



# MODIS vegetation products as proxies of photosynthetic potential along a gradient of meteorologically and biologically driven ecosystem productivity

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**Abstract.** A direct relationship between gross ecosystem productivity (GEP) estimated by the eddy covariance (EC) method and Moderate Resolution Imaging Spectroradiometer (MODIS) vegetation indices (VIs) has been observed in many temperate and tropical ecosystems. However, in Australian evergreen forests, and particularly sclerophyll and temperate woodlands, MODIS VIs do not capture seasonality of GEP. In this study, we re-evaluate the connection between satellite and flux tower data at four contrasting Australian ecosystems, through comparisons of GEP and four measures of photosynthetic potential, derived via parameterization of the light response curve: ecosystem light use efficiency (LUE), photosynthetic capacity ( $P_c$ ), GEP at saturation ( $GEP_{sat}$ ), and quantum yield ( $\alpha$ ), with MODIS vegetation satellite products, including VIs, gross primary productivity ( $GPP_{MOD}$ ), leaf area index ( $LAI_{MOD}$ ), and fraction of photosynthetic active radiation ( $fPAR_{MOD}$ ). We found that satellite-derived biophysical products constitute a measurement of ecosystem structure (e.g. leaf area index – quantity of leaves) and function (e.g. leaf level photosynthetic assimilation capacity – quality of leaves), rather than GEP. Our results show that in primarily meteorological-driven (e.g. photosynthetic active radiation, air temperature, and/or precip-

itation) and relatively aseasonal ecosystems (e.g. evergreen wet sclerophyll forests), there were no statistically significant relationships between GEP and satellite-derived measures of greenness. In contrast, for phenology-driven ecosystems (e.g. tropical savannas), changes in the vegetation status drove GEP, and tower-based measurements of photosynthetic activity were best represented by VIs. We observed the highest correlations between MODIS products and GEP in locations where key meteorological variables and vegetation phenology were synchronous (e.g. semi-arid *Acacia* woodlands) and low correlation at locations where they were asynchronous (e.g. Mediterranean ecosystems). However, we found a statistical significant relationship between the seasonal measures of photosynthetic potential ( $P_c$  and LUE) and VIs, where each ecosystem aligns along a continuum; we emphasize here that knowledge of the conditions in which flux tower measurements and VIs or other remote sensing products converge greatly advances our understanding of the mechanisms driving the carbon cycle (phenology and climate drivers) and provides an ecological basis for interpretation of satellite-derived measures of greenness.

## 1 Introduction

Eddy flux towers constitute a powerful tool to measure and study carbon, energy, and water fluxes. Even though the number of eddy covariance (EC) sites has been steadily increasing (Baldocchi, 2014; Baldocchi et al., 2001), instrumentation, personnel costs, and equipment maintenance limit the establishment of new sites. This is demonstrated by the distribution of flux towers around the world and in particular the under-representation of tropical and semi-arid locations in the Southern Hemisphere (Australia, Africa, and South America) (<http://fluxnet.ornl.gov/maps-graphics> and Beringer et al., 2007). The first EC tower was established in 1990 at Harvard Forest (Wofsy et al., 1993) followed by five other sites in 1993 (Baldocchi, 2003). In Australia, only two locations, Howard Springs (AU-How; Hutley et al., 2000) and Tumberumba (AU-Tum; Leuning et al., 2005), have a record that extends more than 10 years.

Many applications rely on large-scale, remotely sensed (RS) representations of vegetation dynamics (greenness) to (1) upscale water and carbon fluxes from the limited tower footprint (radius < 10 km) representative of eddy covariance measurements, (2) scale fluxes in time and extend a longer time series from limited tower data, (3) fill gaps due to quality control in the flux measurements, (4) study continental phenology to be validated at flux tower sites, and (5) parameterize land surface (LSMs) and agricultural models to be tested at EC locations. Past studies have focused on the relationship between the Moderate Resolution Imaging Spectroradiometer (MODIS) VIs, such as the enhanced vegetation index (EVI), and tower-based measurements of gross ecosystem productivity (GEP) (Gamon et al., 2013; Huete et al., 2006, 2008; Maeda et al., 2014; Sims et al., 2006; Wang et al., 2004). In these studies, satellite-derived vegetation indices (VIs) represented a community property of chlorophyll content, leaf area index (LAI), and fractional vegetation cover. A simple linear regression between seasonal (monthly or 16-day) EVI and GEP has previously provided a good coefficient of determination ( $R^2$ ) for different ecosystems:

$$\text{GEP} = b_0 + b_1 \times \text{EVI}, \quad (1)$$

where  $b_0$  and  $b_1$  are the fitted coefficients. Huete et al. (2006) reported an  $R^2$  of 0.5 for Eq. (1) in tropical forests and converted pastures over the Amazon basin, and an  $R^2$  of 0.74 in dry to humid tropical forest sites in Southeast Asia (Huete et al., 2008). Over the North Australian mesic and xeric tropical savannas,  $R^2$  ranged from 0.52 at a wooded grassland (Alice Springs, AU-ASM) to 0.89 in woodlands (Howard Springs, AU-How) (Ma et al., 2013).

Similar relationships to Eq. (1) have been explored using monthly maximal net ecosystem exchange ( $\text{NEE}_{\text{max}}$ ):

$$\text{NEE}_{\text{max}} = b_0 + b_1 \times \text{EVI}. \quad (2)$$

This regression showed an improved fit in forests ( $R^2 = 0.83$  for deciduous and  $R^2 = 0.72$  for coniferous forests) com-

pared to the GEP-EVI model ( $R^2 = 0.81$  for deciduous and  $R^2 = 0.69$  for evergreen forests) (Olofsson et al., 2008).

Other approaches to link carbon fluxes to RS products include radiation-greenness (GR) models, where both a meteorological driver, represented by the photosynthetic active radiation (PAR), and a vegetation phenology driver, represented by EVI or by the normalized difference vegetation index (NDVI), are implicitly included in the model (Ma et al., 2014; Peng and Gitelson, 2012). By definition, the GEP/PAR ratio is commonly referred to as ecosystem light use efficiency (LUE), where

$$\text{LUE} = b_0 + b_1 \times \text{EVI}. \quad (3)$$

However, the EVI vs. LUE relationship has shown lower  $R^2$  values (0.76) compared to the EVI vs. GEP regression (0.92) for a group of North American ecosystems that included evergreen needleleaf and deciduous forests, grasslands, and savannas (Sims et al., 2006). Hill et al. (2006) also reported an  $R^2$  of  $\sim 0.2$  for the NDVI vs. LUE relationship for the Australian sclerophyll forest of Tumberumba (AU-Tum); however, this result was not statistically significant ( $p > 0.05$ ). To better represent GEP in rainfall-driven semi-arid ecosystems, Sjöström et al. (2011) increased the level of complexity of the GR model by scaling down observations of PAR using the evaporative fraction (EF) term from EC measurements (a proxy for water availability); thus GEP was calculated as

$$\text{GEP} = \text{EVI} \times \text{PAR} \times \text{EF}, \quad (4)$$

where EF is the ratio between latent heat flux (LE) and the surface turbulent fluxes ( $H + LE$ ), and  $H$  is defined as the sensible heat flux,  $\text{EF} = \text{LE}/(H + \text{LE})$ . The model increased the predictive power of the GR model in some ecosystems; however, it was not applicable at regional scales due to its reliance upon supporting tower measurements.

Temperature-greenness (GT) models use the MODIS land surface temperature product (LST) and VIs to calculate GEP as in Sims et al. (2008). The GT GEP model for nine North American temperate EC sites was calculated as

$$\text{GEP} = \text{EVI}_{\text{scaled}} \times \text{LST}_{\text{scaled}} \times m, \quad (5)$$

where  $m$  is a function of mean annual LST and plant functional type (different formulation provided for evergreen and deciduous vegetation),  $\text{LST}_{\text{scaled}}$  is the minimum of two equations ( $\text{LST}/30$ ) and  $(2.5 - (0.05 \times \text{LST}))$ , and  $\text{EVI}_{\text{scaled}}$  is  $\text{EVI} - 0.10$ . A similar GT model, used by Wu et al. (2011), showed high correlation in deciduous forests ( $R^2 = \sim 0.90$ ) and lower  $R^2$  values in non-forest areas ( $R^2 = 0.27$  to  $0.91$ ) and evergreen forests ( $R^2 = 0.28$  to  $0.91$ ).

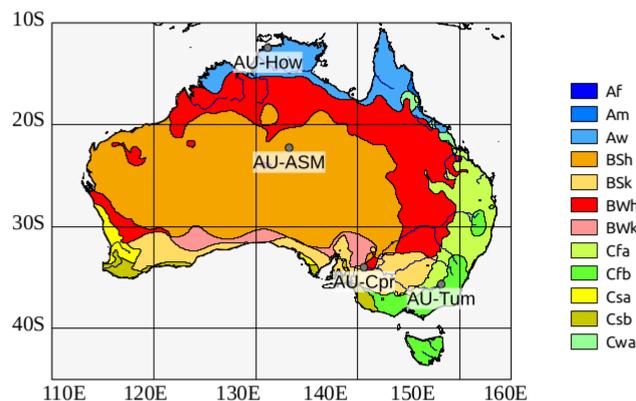
Other more complex derivations, including the C-Fix model (Veroustraete et al., 2002) and the MODIS gross primary productivity product ( $\text{GPP}_{\text{MOD}}$ ), rely on biome-specific relationships that include (1) vegetation phenology represented by MODIS-derived fraction of absorbed

PAR that a plant canopy absorbs for photosynthesis and growth ( $fPAR_{MOD}$ ); and (2) air temperature ( $T_{air}$ ), water vapour pressure deficit (VPD), and PAR as climate drivers (Running et al., 2000). When applied to Australian ecosystems, the  $GPP_{MOD}$  (collection 4) was able to estimate the amplitude of the GEP annual cycle in a temperate evergreen wet sclerophyll forest (*Eucalyptus*-dominated); however, it was out-of-phase (Leuning et al., 2005). For a tropical savanna (AU-How),  $GPP_{MOD}$  (collection 5) overestimated dry-season GEP (Kanniah et al., 2009). Even though  $GPP_{MOD}$  (collection 4.8) at AU-How accurately represented seasonality in productivity, low estimates of PAR and other model input variables were compensated by abnormally high  $fPAR_{MOD}$  values (Kanniah et al., 2009) – a clear indication of obtaining a good result for the wrong reasons.

Besides the difficulties inherent in determining GEP in diverse ecosystems, all of the complex models (e.g.  $GPP_{MOD}$  and GT model) require in situ measurements of water fluxes, PAR, and/or biome classification information to calibrate or derive some variables, and consequently, regression coefficients do not necessarily extend to ecosystem types other than those for which the derivation was obtained. Our first objective was to revisit the GEP vs. EVI and GEP vs.  $GPP_{MOD}$  regressions at different sites to gain a better understanding of ecosystem behaviour, rather than simply to determine the “best performing model”. We look at particularly challenging land cover classes: seasonal wet–dry and xeric tropical savannas, Mediterranean environments characterized by hot and dry summers (mallee), and temperate evergreen sclerophyll forests. The selected locations are part of the OzFlux eddy covariance network and represent sites where previous studies have shown satellite-derived GEP models to be unable to replicate in situ measurements.

Our second objective was to derive, using the light response curve, different ground-based measures of vegetation photosynthetic potential: quantum yield ( $\alpha$ ), photosynthetic capacity ( $P_c$ ), GEP at saturation light ( $GEP_{sat}$ ), and ecosystem light use efficiency (LUE), in an attempt to separate the vegetation structure and function (phenology) from the climatic drivers of productivity. We explored the seasonality of the four measures of photosynthetic potential ( $\alpha$ ,  $P_c$ , LUE,  $GEP_{sat}$ ), and aimed to determine whether EVI was able to replicate absolute value and their annual cycle rather than photosynthetic activity (GEP), based on linear regressions. Similarly, we included other MODIS biophysical datasets ( $NDVI$ ,  $LAI_{MOD}$ , and  $fPAR_{MOD}$ ) in our analysis in an effort to understand how to interpret different satellite measures of greenness and how these products can inform modellers and ecologists about vegetation phenology. In contrast to biome-specific classification approaches, we treated the relationship between greenness and photosynthetic potential to be a continuum, and therefore, we explored multiple site regressions.

Our third objective was to combine satellite-derived meteorology (radiation, precipitation, and temperature) and biological drivers (vegetation phenology) to determine site-



**Figure 1.** Location of four OzFlux eddy covariance tower sites included in this analysis: AU-How: Howard Springs (at Aw), AU-ASM: Alice Springs mulga (at BSh and BWh boundary), AU-Cpr: Calperum–Chowilla (at Bwk), and AU-Tum: Tumbarumba (at Cfa and Cfb boundary). Köppen–Geiger climate classification as published by Kottek et al. (2006) and Rubel and Kottek (2010), where Aw is equatorial winter dry climate, BSh is arid steppe, BWh is hot arid desert, BWk is cold arid desert, Cfb is warm temperate fully humid warm summer, Cfa is warm temperate fully humid hot summer, and Cwa is warm temperate winter dry hot summer. Other climate classes are equatorial fully humid (Af) and monsoonal climate (Am), arid summer dry and cold desert (BSk), and warm temperate hot summer (Csa) and warm summer (Csb) steppes.

specific and multi-biome GEP values using multiple regression models. In this study, we evaluated the advantages of introducing both types of variables; we investigated whether the regressions hold across biomes, and whether productivity processes are driven by phenology, light, water availability, and/or temperature; and we infer which of these variables govern the GEP seasonal cycle for each particular ecosystem. These results advance our understanding of driving mechanisms of the carbon cycle (climate, biological adaptation, or a combination of both) and temporal and spatial scaling, and they provide an ecological basis for the interpretation of satellite-derived measures of greenness and phenology products.

## 2 Methods

### 2.1 Study sites

The OzFlux infrastructure network is operated by a collaborative research group and was set up to provide the Australian and global ecosystem modelling communities with  $CO_2$  and  $H_2O$  flux and meteorological data (Beringer et al., 2016). We selected four contrasting long-term eddy flux (EC) sites from the OzFlux network (Fig. 1 and Table 1) for this study.

In northern Australia, the Howard Springs (AU-How) eddy flux tower is located in the Black Jungle Conservation Reserve, an open woodland savanna dominated by an under-

**Table 1.** OzFlux sites presented in this study – location and additional information.

ID	Name	Measurement period		Elevation (m a.s.l.)	Lat (°)	Long (°)	Vegetation height (m)	Biome	u*tresh (m s <sup>-1</sup> )	u*min (m s <sup>-1</sup> )	u*max (m s <sup>-1</sup> )
		Start	End								
AU_How	Howard Springs	2001	2015	64	-12.5	131.2	15	Open woodland savanna	0.122	0.000	0.253
AU_ASM	Alice Springs mulga	2010	2013	606	-22.3	133.2	6	Mulga	0.105	0.000	0.215
AU_Tum	Tumbarumba	2001	2014	1200	-35.7	148.2	40	Wet sclerophyll forest	0.173	0.000	0.421
AU_Cpr	Calperum–Chowilla	2010	2012	379	-34.0	140.6	5	Mallee	0.176	0.086	0.265

storey of annual grasses and two overstorey tree species: *Eucalyptus miniata* and *Eucalyptus tentrodonata* (Hutley et al., 2011; Kanniah et al., 2011). In the middle of the continent, among the xeric tropical savannas, the Alice Springs mulga site (AU-ASM) is located in a semi-arid mulga woodland dominated by *Acacia aneura* and different annual and perennial grasses including Mitchell grass (gen. *Astrelba*) and *Spinifex* (gen. *Triodia*) (Cleverly et al., 2013; Eamus et al., 2013). Classified as a Mediterranean environment and characterized by hot and dry summers, the Calperum–Chowilla flux tower (AU-Cpr) is located at the fringes of the River Murray floodplains, a mallee site (multi-stemmed *Eucalyptus socialis* and *E. dumosa* open woodland) (Meyer et al., 2015). The evergreen Tumbarumba (AU-Tum) site is located in Bago State Forest, NSW, and classified as temperate evergreen wet sclerophyll (hard-indigestible leaves) forest. It is dominated by 40 m tall *Eucalyptus delegatensis* trees (Leuning et al., 2005; van Niel et al., 2012).

Fluxes at all towers were measured by the EC method with an open-path system. Simultaneously, an array of different sensors measured meteorological data including air temperature ( $T_{\text{air}}$ ), relative humidity (RH), incoming and reflected shortwave radiation ( $SW_{\text{down}}$  and  $SW_{\text{up}}$ ), and incoming and reflected longwave radiation ( $LW_{\text{down}}$  and  $LW_{\text{up}}$ ). Refer to each site reference for complete information regarding ecosystem and measurement techniques.

## 2.2 Eddy covariance data

We used Level 3 OzFlux data that include an initial OzFlux standard quality control (QC) (Isaac et al., 2016). All data were subject to the same quality assurance (QA) procedures and calculations, providing methodological consistency among sites and reducing the uncertainty of the calculated fluxes. We performed additional quality checks and removal of outliers, and data were corrected for low turbulence periods (see Sect. 2.2.1). Ecosystem respiration ( $R_{\text{eco}}$ ) and GEP were calculated from EC measurements of net ecosystem exchange (NEE) as presented in Sect. 2.2.2. Finally, we derived different measures of ecosystem vegetation photosynthetic potential (Sect. 2.2.3).

### 2.2.1 Eddy covariance and meteorological measurements

Incoming and outgoing radiation, both shortwave ( $SW_{\text{down}}$  and  $SW_{\text{up}}$ ) and longwave ( $LW_{\text{down}}$  and  $LW_{\text{up}}$ ), were measured using a CNR1 net radiometer instrument (Campbell Scientific). All sensors were placed above the canopy at the same height or higher than the EC system. As there were no measurements of PAR radiation available at AU-ASM, AU-Tum, and AU-Cpr, we assumed  $PAR = 2 \times SW$  (Papaioannou et al., 1993; Szeicz, 1974), where PAR is measured as the flux of photons ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) and  $SW_{\text{down}}$  as the heat flux density ( $\text{W m}^{-2}$ ). We understood this as an approximation because PAR radiation (0.4–0.7 nm) is a spectral subset of  $SW_{\text{down}}$  (0.3–3 nm).

At AU-Tum, the NEE is calculated as the sum of the turbulent flux measured by eddy covariance ( $F_C$ ) plus changes in the amount of  $\text{CO}_2$  in the canopy air space (storage flux,  $S_{\text{CO}_2}$ ), where  $NEE = F_C + S_{\text{CO}_2}$ . At all other sites, given the sparse vegetation cover and the smaller control volume over the vegetation that is lower in height ( $< 15$  m),  $F_C$  is assumed to be representative of NEE.

Hourly fluxes measured during rainy periods, when the sonic anemometer and the open-path infrared gas analyser (IRGA) do not function correctly, were identified and removed from the time series. We also removed isolated observations (between missing values). We identified any residual spikes from the hourly NEE data using the method proposed by Papale et al. (2006) and modified by Barr et al. (2009). For each hour ( $i$ ), the measure of change in NEE ( $d_i$ ) from the previous ( $i - 1$ ) and next ( $i + 1$ ) time step is calculated as

$$d_i = (NEE_i - NEE_{i-1}) - (NEE_{i+1} - NEE_i). \quad (6)$$

A spike is identified if the change is outside a given range:

$$M_d - \left( \frac{z \times \text{median } |d_i - M_d|}{0.6745} \right) < d_i < M_d + \left( \frac{z \times \text{median } |d_i - M_d|}{0.6745} \right), \quad (7)$$

where  $M_d$  is the median of the differences ( $d_i$ ),  $\pm 0.6745$  are the quartiles for a standard normal distribution, and the constant  $z$  was conservatively set to 5 (Restrepo-Coupe et al., 2013).

### 2.2.2 Ecosystem respiration ( $R_{\text{eco}}$ ) and gross ecosystem productivity (GEP)

Night-time hourly NEE values were corrected for periods of low turbulent mixing by removing them from the time series data. Low turbulent missing periods were determined when friction velocity ( $u_*$  in  $\text{m s}^{-1}$ ) was below a threshold value ( $u_{*\text{thresh}}$ ) as described in Restrepo-Coupe et al. (2013). Table 1 presents site-specific  $u_{*\text{thresh}}$  values and the corresponding upper and lower confidence bounds.

Night-time NEE was assumed to be representative of ecosystem respiration ( $R_{\text{eco}}$ ), and it was calculated by fitting  $R_{\text{eco}}$  to a second-order Fourier regression based on the day of the year (DOY) as in Richardson and Hollinger (2005):

$$R_{\text{eco}} = fo + s_1 \sin(\text{Dpi}) + c_1 \cos(\text{Dpi}) + s_2 \sin(2\text{Dpi}) + c_2 \cos(2\text{Dpi}) + e, \quad (8)$$

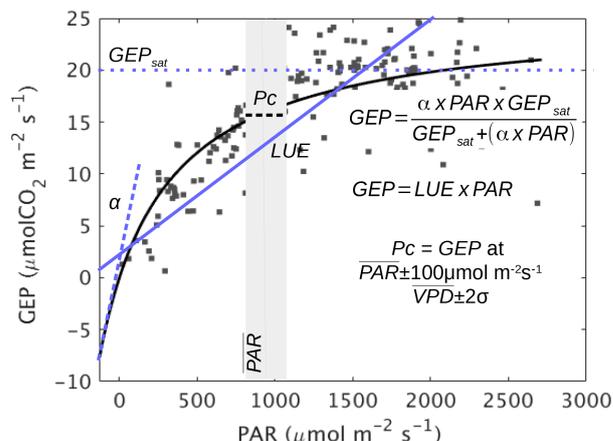
where  $fo$ ,  $e$ ,  $s_1$ ,  $c_1$ ,  $s_2$ , and  $c_2$  are the fitted coefficients and  $\text{Dpi} = \text{DOY} \times 360/365$  in radians. This method calculates  $R_{\text{eco}}$  with minimal use of environmental covariates. In order to determine the consistency of the Fourier regression method and the low friction velocity ( $u_*$ ) filter on the modelled  $R_{\text{eco}}$  (directly dependent on night-time NEE values), we compared the results presented here to  $R_{\text{eco}}$  values based on the intercept of the relation (rectangular hyperbola) between NEE and  $\text{SW}_{\text{down}}$  (for no incoming radiation,  $\text{SW}_{\text{down}} = 0$ ) (Suyker and Verma, 2001) (Supplement Fig. S1).

Gross ecosystem exchange (GEE) was calculated as the difference between NEE and  $R_{\text{eco}}$  ( $\text{GEE} = \text{NEE} + R_{\text{eco}}$ ). We defined gross ecosystem productivity (GEP) as negative GEE (positive values of GEP flux indicate carbon uptake). For a 16-day moving window, we fitted two rectangular hyperbolas on the relationship between incoming PAR and GEP observations (separating morning and afternoon values) as in Johnson and Goody (2011) and based on the Michaelis and Menten formulation (1913):

$$\text{GEP} = \frac{\alpha \times \text{GEP}_{\text{sat}} \times \text{PAR}}{\text{GEP}_{\text{sat}} + (\alpha \times \text{PAR})}, \quad (9)$$

where  $\alpha$  is the ecosystem apparent quantum yield for  $\text{CO}_2$  uptake (the initial slope), and  $\text{GEP}_{\text{sat}}$  is GEP at saturating light (the asymptote of the regression) (Falge et al., 2001) (Fig. 2). Our intention was to compare 16-day MODIS data to observations rather than to model a complete time series. We therefore filled infrequent GEP missing values only if there were 30 h of measurements in a 16-day period.

We obtained similar seasonal patterns and good agreement using different methods for calculating GEP and  $R_{\text{eco}}$  (Fig. S1). We observed no statistically significant seasonal differences between calculating  $R_{\text{eco}}$  as the intercept of the light response curve (Falge et al., 2001), and NEE not subject to  $u_{*\text{thresh}}$  correction ( $R_{\text{eco LRC}}$ ), and calculating  $R_{\text{eco}}$  using the Fourier regression method (slope  $\sim 0.87$  and  $R^2 = 0.94$  linear regression between  $R_{\text{eco LRC}}$  and  $R_{\text{eco}}$ ). This comparison increased our confidence in using either method to derive



**Figure 2.** Rectangular hyperbola fitted to 16 days worth of hourly gross ecosystem productivity (GEP,  $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) vs. photosynthetic active radiation (PAR,  $\mu\text{mol m}^{-2} \text{ s}^{-1}$ ) data measured at Howard Springs eddy covariance tower (black line). From the rectangular hyperbola: quantum yield ( $\alpha$ ,  $\mu\text{molCO}_2 \mu\text{mol}^{-1}$ ) (blue dashed line) and GEP at saturation ( $\text{GEP}_{\text{sat}}$ ,  $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) (blue dotted line). Photosynthetic capacity ( $P_c$ ,  $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) (black dashed line) was calculated as the 16-day mean GEP at mean annual daytime PAR ( $\text{PAR} \pm 100 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ) (grey area) and mean annual VPD ( $\text{VPD} \pm 2$  standard deviations). Light use efficiency (LUE,  $\mu\text{molCO}_2 \mu\text{mol}^{-1}$ ) was defined as the ratio between daily GEP and PAR, the slope of the linear regression (blue line).

the GEP and  $R_{\text{eco}}$  fluxes from the EC data, their absolute values, and the seasonality presented here.

GEP and GPP (true photosynthesis minus photorespiration; Wohlfahrt and Gu, 2015) have been used interchangeably in the literature. However, GPP in this study was distinguished from GEP; thus as GEP does not include  $\text{CO}_2$  recycling at leaf level (i.e. re-assimilation of dark respiration) or below the plane of the EC system (i.e. within canopy volume) (Stoy et al., 2006), differences may be important when comparing flux-tower observations of GEP to the MODIS GPP product (see next section).

### 2.2.3 Four measures of ecosystem photosynthetic potential: $\alpha$ , LUE, $\text{GEP}_{\text{sat}}$ , and $P_c$

Measures of photosynthetic potential constitute an attempt to separate the inherent vegetation properties that contribute to photosynthetic activity (GEP) from the effects of the meteorological influences on productivity using the parameterization of the 16-day light response equation. The variables  $\alpha$ , LUE,  $\text{GEP}_{\text{sat}}$ , and  $P_c$  were intended to represent an ecosystem property, a descriptor of the vegetation phenology similar to leaf area index (LAI) or above ground biomass (AGB). We calculated 16-day mean  $\alpha$  and  $\text{GEP}_{\text{sat}}$ , which are the two coefficients that define the GEP vs. PAR rectangular hyperbola (Eq. 5) as a measure of the vegetation structure and function (Fig. 2). Both  $\alpha$  ( $\mu\text{mol CO}_2 \text{ mmol}^{-1}$ ) and  $\text{GEP}_{\text{sat}}$

( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) values are known to vary with vegetation type, temperature, water availability, and  $\text{CO}_2$  concentration. The  $\text{GEP}_{\text{sat}}$  represents the ecosystem response at saturating levels of PAR, usually constrained by high vapour pressure deficit (VPD), air temperature ( $T_{\text{air}}$ ), water availability, and foliar N, among other variables (Collatz et al., 1991; Ehleringer et al., 1997; Tezara et al., 1999). By contrast,  $\alpha$  is measured at low light levels, when diffuse radiation is high (cloudy periods, sunset, and sunrise). Ecosystem light use efficiency (LUE) was defined as the mean daily  $\text{GEP}/\text{PAR}$  ratio. Therefore, LUE includes the effect of day length, the radiation environment (diffuse vs. direct), water availability, and other physical factors.

We used the relationships between tower-measured GEP, PAR, and VPD to characterize the photosynthetic capacity of the ecosystem ( $P_c$ ), where  $P_c$  was defined as the average GEP for incoming radiation at light levels that are non-saturating – values between the annual daytime mean  $\text{PAR} \pm 100 \mu\text{mol m}^{-2} \text{ s}^{-1}$  (940, 1045, 788, and  $843 \mu\text{mol m}^{-2} \text{ s}^{-1}$  at AU-How, AU-ASM, AU-Tum, and AU-Cpr, respectively), and VPD ranges between annual daytime mean  $\pm 2$  standard deviations (Fig. 2) (Hutyra et al., 2007; Restrepo-Coupe et al., 2013).  $P_c$  was interpreted as a measure of the built capacity without taking into account the day-to-day changes in available light, photoperiod, and extreme VPD and PAR values. The derivation of  $P_c$  did not take into account other variables such as  $T_{\text{air}}$  or soil water content.

## 2.3 Remote sensing data

### 2.3.1 Moderate Resolution Imaging Spectroradiometer (MODIS)

We retrieved MODIS reflectances, VIs, and other products from the USGS repository covering the four eddy flux locations. Data were subject to quality assurance (QA) filtering, and pixels sampled during cloudy conditions and pixels adjacent to cloudy pixels were rejected (for a complete list of QA rules see Supplement Table S1). Other QA datasets and/or fields related to the above products that were not included in the original metadata were not examined as part of the quality filtering process.

At each site we extracted either a 1 km window (or a 1.25 km window depending on MODIS product resolution – see Table 2) centred on the location of the flux tower. The mean and standard deviation of all pixels were assumed to be representative of the ecosystem. The derivative data collection included the following MODIS data (also see Table 2).

**MCD43A1** The 8-day 500 m (collection 5) nadir bidirectional reflectance distribution function (BRDF) adjusted reflectance (NBAR) product was used to derive the enhanced vegetation index ( $\text{EVI}_{\text{SZA30}}$ ) and the normalized vegetation index ( $\text{NDVI}_{\text{SZA30}}$ ) at fixed solar zenith an-

gle of  $30^\circ$  (available for 2003 to 2013):

$$\text{NDVI}_{\text{SZA30}} = \frac{\text{NIR}_{\text{SZA30}} - R_{\text{SZA30}}}{\text{NIR}_{\text{SZA30}} + R_{\text{SZA30}}} \quad (10)$$

$$\text{EVI}_{\text{SZA30}} = \frac{G \times (\text{NIR}_{\text{SZA30}} - R_{\text{SZA30}})}{\text{NIR}_{\text{SZA30}} + (C1 \times R_{\text{SZA30}}) - (C2 \times B_{\text{SZA30}}) + L}, \quad (11)$$

where  $R_{\text{SZA30}}$ ,  $\text{NIR}_{\text{SZA30}}$ , and  $B_{\text{SZA30}}$  are the red, near-infrared, and blue band BRDF-corrected reflectances, and coefficients  $G = 2.5$ ,  $C1 = 6$ ,  $C2 = 7.5$ , and  $L = 1$  (Huete et al., 1994). Both VIs are measures of greenness and have been designed to monitor vegetation, in particular photosynthetic potential and phenology (Huete et al., 1994; Running et al., 1994). However, the EVI has been optimized to minimize the effects of soil background, and to reduce the impact of residual atmospheric effects.

We labelled the NBAR VIs as  $\text{EVI}_{\text{SZA30}}$  and  $\text{NDVI}_{\text{SZA30}}$  to differentiate them from the MOD13 VI product (EVI and NDVI), and emphasize that the values presented here include a BRDF correction that aims to remove the influence of sun-sensor geometry on the reflectance signal (Schaaf et al., 2002).

**MOD15A2** The leaf area index ( $\text{LAI}_{\text{MOD}}$ ), and fraction of photosynthetically active radiation ( $\text{fPAR}_{\text{MOD}}$ ) absorbed by vegetation from atmospherically corrected surface reflectance products (Knyazikhin et al., 1999) were recorded. Data were filtered to remove outliers present in the  $\text{fPAR}_{\text{MOD}}$  and  $\text{LAI}_{\text{MOD}}$  time series using Eq. (3). A threshold value of 6 for the  $z$  coefficient was calibrated to remove 8-day variations of  $\pm 50\%$  on  $\text{fPAR}_{\text{MOD}}$ , and  $\pm 3\text{--}4$  units in  $\text{LAI}_{\text{MOD}}$ .

**MOD17A2** The 8-day gross primary production ( $\text{GPP}_{\text{MOD}}$ ) and net photosynthesis ( $\text{PsnNet}$ ) (collection 5.1) are calculated. The  $\text{GPP}_{\text{MOD}}$  is calculated using the formulation proposed by Running et al. (2000) and relies on satellite-derived shortwave downward solar radiation ( $\text{SW}_{\text{down}}$ ),  $\text{fPAR}_{\text{MOD}}$ , maximum light-use-efficiency ( $\varepsilon_{\text{max}}$ ) obtained from a biome-properties look-up table, and maximum daily VPD ( $\text{VPD}_{\text{max}}$ ) and minimum daily air temperature ( $T_{\text{min}}$ ) from forcing meteorology:

$$\text{GPP}_{\text{MOD}} = \varepsilon_{\text{max}} \times 0.45 \times \text{SW}_{\text{down}} \times \text{fPAR}_{\text{MOD}} \times \text{f}(\text{VPD}_{\text{max}}) \times \text{f}(T_{\text{min}}), \quad (12)$$

where only the highest quality data were selected for the analysis.

**MOD11A2** Daytime land surface temperature ( $\text{LST}_{\text{day}}$ ) 8-day time series was included in the analysis in order to study the effect of  $T_{\text{air}}$ , another important ecosystem carbon flux driver. Thus, LST or skin temperature (temperature at the interface between the surface and the atmosphere) has been proven to be highly correlated to  $T_{\text{air}}$  (Shen and Leptoukh, 2011).

**Table 2.** Remote sensing data sources, cell size, sample size (eddy covariance tower site at the centre pixel), and time interval.

Product	Description	Data source	Cell size	Sample size	Interval
LAI <sub>MOD</sub>	Leaf area index	MOD15A2	1000 m	1 × 1	8-day
fPAR <sub>MOD</sub>	Fraction of absorbed PAR	MOD15A2	1000 m	1 × 1	8-day
LST <sub>day</sub>	Daytime land surface temperature	MOD11A2	1000 m	1 × 1	8-day
GPP <sub>MOD</sub>	Gross primary production	MOD17A2	1000 m	1 × 1	8-day
EVI <sub>SZA30</sub>	NBAR enhanced vegetation index	MCD43A1	500 m	2 × 2	8-day
NDVI <sub>SZA30</sub>	NBAR normalized difference vegetation index	MCD43A1	500 m	2 × 2	8-day
TRMM	Mean monthly precipitation	TRMM	0.25°	1 × 1	Monthly
SW <sub>CERES</sub>	Shortwave radiation	CERES	1°	1 × 1	Monthly

### 2.3.2 Satellite measures of precipitation (TRMM) and incoming solar radiation (CERES)

This study incorporated monthly 0.25° resolution precipitation data (1998–2013) in units of millimetres per month from the Tropical Rainfall Measuring Mission (TRMM) data product (3B43-v7) derived by combining TRMM satellite data, GOES-PI satellite data, and a global network of gauge data (Huffman et al., 2007). We used 1.0° resolution monthly surface shortwave flux down (all sky) in watts per square metre from the Clouds and the Earth's Radiant Energy System (CERES) experiment (Gesch et al., 1999). The CERES Energy Balanced And Filled top of the atmosphere (EBAF) Surface\_Ed2.8 product provided fluxes at surface, consistent with top of the atmosphere fluxes (CERES EBAF-TOA) (Kato et al., 2012). No quality control was performed on the rain (Precip<sub>TRMM</sub>) or shortwave (SW<sub>CERES</sub>) satellite-derived time series. We used satellite-derived meteorological variables instead of in situ measurements as the independent variable in GEP models (see Sect. 2.5); thus, our findings (e.g. regressions) can be extrapolated to regional and continental scales.

### 2.4 Mean values

All analyses were done on 16-day data; therefore, 8-day MODIS products were resampled to match the selected temporal resolution. We interpolated lower frequency satellite remote sensing time series (e.g. CERES and TRMM), using a linear regression from the original dataset to 16-days, where the original value corresponds to the centre of the month defined as day 15, and the newly interpolated value will be representative of the middle of the 16-day period.

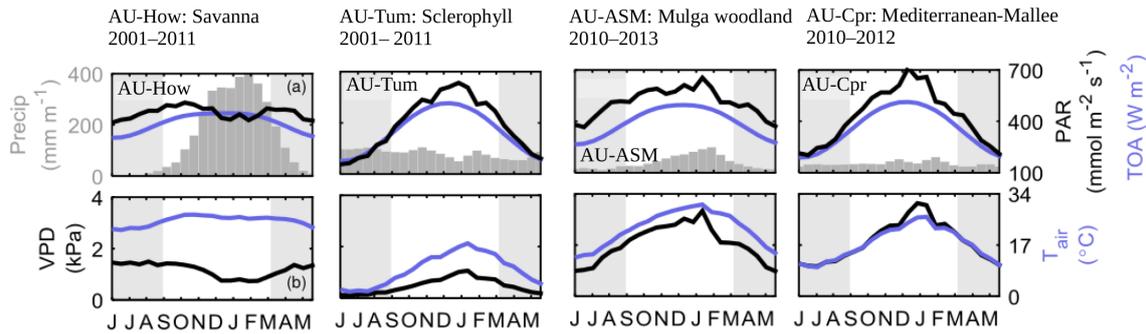
Mean fluxes and variables from the eddy covariance are reported on a 30 min or hourly basis. Daily averages were calculated if at least 45 out of 48, or 21 out of 24 data points were available for the day. Bi-weekly values were calculated if at least 4 out of the 16 days were available. For analysis and presentation purposes, we averaged all existing 16-day values of EC and RS data to produce a single-year, seasonal cycle. We understand measures of photosynthetic potential to be dependent on the selection of the aggregation

period. However, the 16-day interval has been shown to be representative of important ecological processes, in particular, leaf appearance to full expansion (Jurik, 1986; Varone and Gratani, 2009), greenup of soil biological crusts in response to precipitation events (Cleverly et al., 2016a), and reported ecosystem-level changes in ecosystem water use efficiency (Shi et al., 2014).

### 2.5 Evaluation of synchronicity between remote sensing and flux tower data

We fitted type II (orthogonal) linear regressions that account for uncertainty in both variables (satellite and EC). We obtained an array of very simple models of productivity and photosynthetic potential. For example,  $GEP_{RS} = b_0 + b_1 \times RS$ , where  $b_0$  and  $b_1$  were site-specific coefficients, and RS are satellite-derived products (EVI, fPAR, etc.). We compared the different models to the observations (GEP vs.  $GEP_{EVI}$ , GEP vs.  $GEP_{NDVI}$ , etc.) using Taylor single diagrams (Taylor, 2001), where the radial distances from the origin are the normalized standard deviation, and the azimuthal position is the correlation coefficient between the  $GEP_{RS}$  and GEP or any other measure of ecosystem photosynthetic potential (Fig. S2).

We determined at each site which combination of carbon flux and MODIS index showed good agreement based on statistical descriptors: coefficient of determination,  $p$  value, root-mean-square error (RMSE), standard deviation (SD) of the observation and model, and the Akaike's information criterion (AIC). Thus, we analysed site-specific and cross-site multiple regression models to compare different biological (greenness) and environmental controls (precipitation, temperature, and radiation) on productivity. In each ecosystem, GEP was modelled as a linear regression using a single independent variable, two variables, and bivariate models that included an interaction term. For example, (1)  $GEP = b_0 + b_1 \times EVI_{SZA30}$ , (2)  $GEP = b_0 + b_1 \times EVI_{SZA30} + b_2 \times SW_{CERES}$ , and (3)  $GEP = b_0 + b_1 \times EVI_{SZA30} + b_2 \times SW_{CERES} + b_3 \times EVI_{SZA30} \times SW_{CERES}$ , where  $b_0$ ,  $b_1$ ,  $b_2$ , and  $b_3$  were fitted coefficients by the non-linear mixed-effects estimation method. Additional models derived from the all-site regressions were



**Figure 3.** Savanna (AU-How), wet sclerophyll (AU-Tum), mulga (AU-ASM), and mallee (AU-Cpr) ecosystems, OzFlux sites annual cycle (16-day composites) of (a) precipitation (Precip;  $\text{mm month}^{-1}$ ) (grey bars) and photosynthetic active radiation (PAR;  $\mu\text{mol m}^{-2} \text{d}^{-1}$ ) (blue line), and (b) vapour pressure deficit (VPD; kPa) (black line) and air temperature ( $T_{\text{air}}$ ;  $^{\circ}\text{C}$ ) (blue line). Grey boxes indicate Southern Hemisphere spring and summer September to March.

compared to the site-specific results. We inferred ecosystem adaptation responses to climate (e.g. light harvest adaptation, water limitation, among other phenological responses) from the bivariate models. This analysis is useful for the interpretation of satellite-derived phenology metrics and understanding the biophysical significance of different measures of greenness when incorporated into land surface models as representative of vegetation status (Case et al., 2014).

### 3 Results

#### 3.1 Seasonality of in situ measurements

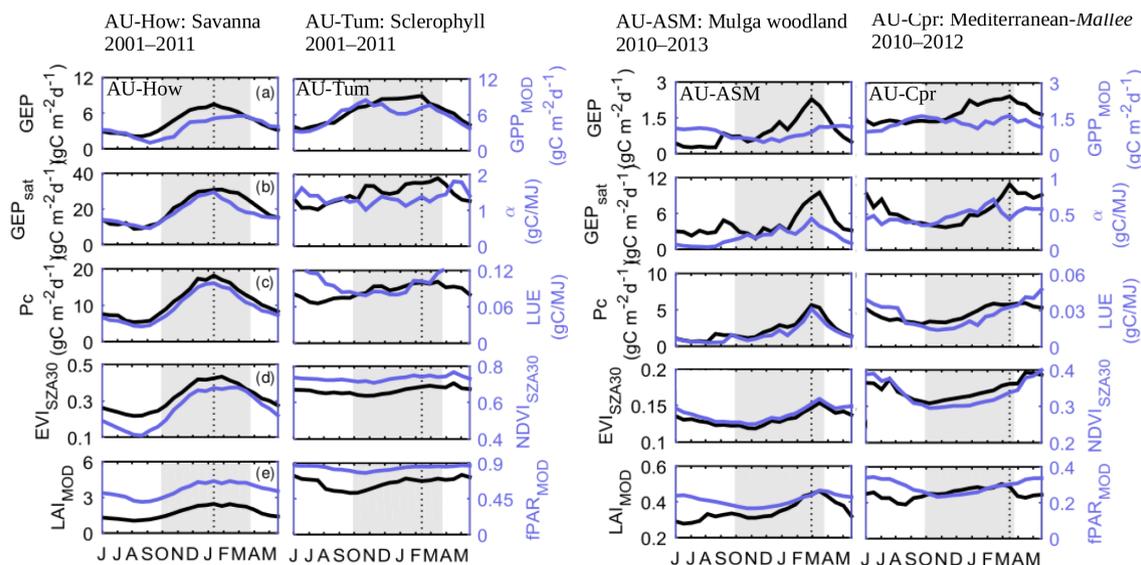
In this section we describe the seasonality of in situ meteorological measurements to better understand ecosystem carbon fluxes, and to contextualize the differences in vegetation responses to climate. In particular, we contrast seasonal patterns of air temperature ( $T_{\text{air}}$ ), precipitation, and VPD across sites, and compare observations of the annual cycle of photosynthetic activity (productivity) and potential (biophysical drivers of productivity) for each ecosystem.

With the exception of AU-How, all sites showed strong seasonality in  $T_{\text{air}}$  (Fig. 3). However, the timing of mean daily  $T_{\text{air}}$  minimum and maximum, and the amplitude of the annual values, varied according to site. The smallest range in  $T_{\text{air}}$  ( $5^{\circ}\text{C}$ ) occurred at the northern tropical savanna (AU-How), and the largest amplitude ( $15^{\circ}\text{C}$ ) occurred at the southern temperate locations (AU-Cpr and AU-Tum). The annual cycle of VPD followed  $T_{\text{air}}$  at all locations except AU-How where summer and autumn rains (February–March) led to an increase in atmospheric water content (Fig. 3). Precipitation at AU-How was higher and more seasonal than at any other site, with a mean monthly rainfall of  $152 \text{ mm}$  ( $1824 \text{ mm yr}^{-1}$ ) and ranging from 1 to  $396 \text{ mm month}^{-1}$ . Incoming radiation at the tropical savanna site (AU-How) did not show clear seasonality (Fig. 3). In this tropical savanna (latitude  $12.49^{\circ}\text{S}$ ) the summer solstice, where top of the at-

mosphere (TOA) radiation is highest, coincides with monsoonal cloudiness, resulting in reduced surface radiation. By contrast, at temperate sites like AU-Cpr and AU-Tum, the difference in mean daily PAR between summer and winter was  $\sim 460 \mu\text{mol m}^{-2} \text{ s}^{-1}$ . Rainfall was aseasonal at AU-Tum ( $\sim 78 \text{ mm month}^{-1}$ ) and was very low at the semi-arid sites of AU-Cpr and AU-ASM, with mean precipitation values of 34 and  $37 \text{ mm month}^{-1}$  respectively.

Productivity in the four ecosystems ranged from a high at AU-How and AU-Tum (Fig. 4) (peak 16-day multi-year average GEP of  $8.4$  and  $7.7 \text{ gC m}^{-2} \text{ d}^{-1}$  respectively) to a low at AU-Cpr and AU-ASM (peak 16-day annual average GEP average of  $2.4$  and  $3.4 \text{ gC m}^{-2} \text{ d}^{-1}$  respectively) (Fig. 4). There was a clear seasonal cycle in photosynthetic activity with maxima in the summer at AU-How and AU-Tum (November–March) and in the autumn (March–April) at AU-ASM and AU-Cpr. The peaks were broader at AU-Tum than at AU-How and at AU-ASM (Fig. 4). An additional short-lived increase in GEP was apparent at AU-ASM in the spring (October) before the summer wet period (Fig. 4a). Figures S3 and S4 show the diel cycles of VPD, GEP, and other meteorological and flux variables in example summer (January) and winter months (July).

Vegetation phenology, as indicated by the seasonal cycle of photosynthetic potential ( $P_c$ , LUE,  $\alpha$ , and  $\text{GEP}_{\text{sat}}$ ), diverged from photosynthetic activity (GEP) at the southern locations of AU-Tum and AU-Cpr as shown by the differences in the timing of maximum and minimum GEP compared to vegetation phenology (Figs. 4 and S5). At the tropical savanna site (AU-How), ecosystem quantum yield ( $\alpha$ ) increased gradually in the spring (September), reaching a maximum during the summer month of January in synchrony with GEP. In the sclerophyll forest (AU-Tum),  $\alpha$  remained at a constant value of  $\sim 1.4 \text{ gC MJ}^{-1}$  until the middle of the autumn (April–May) when it reached a value of  $1.76 \text{ gC MJ}^{-1}$ . Maximum  $\text{GEP}_{\text{sat}}$  occurred during the summer at this site ( $\sim 36 \text{ gC m}^{-2} \text{ d}^{-1}$ ), and gradually decreased by the start of the autumn with a winter minimum ( $20 \text{ gC m}^{-2} \text{ d}^{-1}$ ). At AU-



**Figure 4.** Savanna (AU-How), wet sclerophyll (AU-Tum), mulga (AU-ASM), and mallee (AU-Cpr) ecosystems, OzFlux sites annual cycle (16-day composites) of eddy-flux-derived (a) gross ecosystem productivity (GEP;  $\text{gC m}^{-2} \text{d}^{-1}$ ) (black line) and MODIS gross primary productivity ( $\text{GPP}_{\text{MOD}}$ ) product (light blue line); (b) GEP at saturation light ( $\text{GEP}_{\text{sat}}$ ;  $\text{gC m}^{-2} \text{d}^{-1}$ ) (black line) and ecosystem quantum yield ( $\alpha$ ;  $\text{gC MJ}^{-1}$ ) (light blue line); (c) photosynthetic capacity ( $P_c$ ;  $\text{gC m}^{-2} \text{d}^{-1}$ ) (black line) and the ratio of GEP over PAR (black line), the light use efficiency (LUE;  $\text{gC MJ}^{-1}$ ) (light blue line). At the bottom two panels, satellite-derived data of (d) MODIS enhanced vegetation index at fixed solar zenith angle of  $30^\circ$  ( $\text{EVI}_{\text{SZA}30}$ ) (black line) and the normalized difference vegetation index ( $\text{NDVI}_{\text{SZA}30}$ ) (light blue line); (e) MODIS leaf area index ( $\text{LAI}_{\text{MOD}}$ ) (black line) and MODIS fraction of the absorbed photosynthetic active radiation ( $\text{fPAR}_{\text{MOD}}$ ) (light blue line). Grey boxes indicate Southern Hemisphere spring and summer September to March. The black dashed vertical line indicates the timing of maximum GEP.

Tum, the  $\text{GEP}_{\text{sat}}$  and  $\alpha$  were out-of-phase (Fig. 4) and although seasonality was limited in  $\text{GEP}_{\text{sat}}$  and  $\alpha$ , neither of them matched seasonal fluctuations in VPD (cf. Figs. 3 and 4). Similar to  $\text{GEP}_{\text{sat}}$ , LUE decreased during the summer months and experienced a winter maximum opposite to the annual cycle of GEP. Given the high degree of seasonality of GEP at AU-Tum, it is interesting that the photosynthetic potential was comparatively less seasonal and asynchronous to productivity. Figure S5 shows the relationships between the different measures of ecosystem performance, indicating that they are not always linear.

### 3.2 Seasonality of satellite products

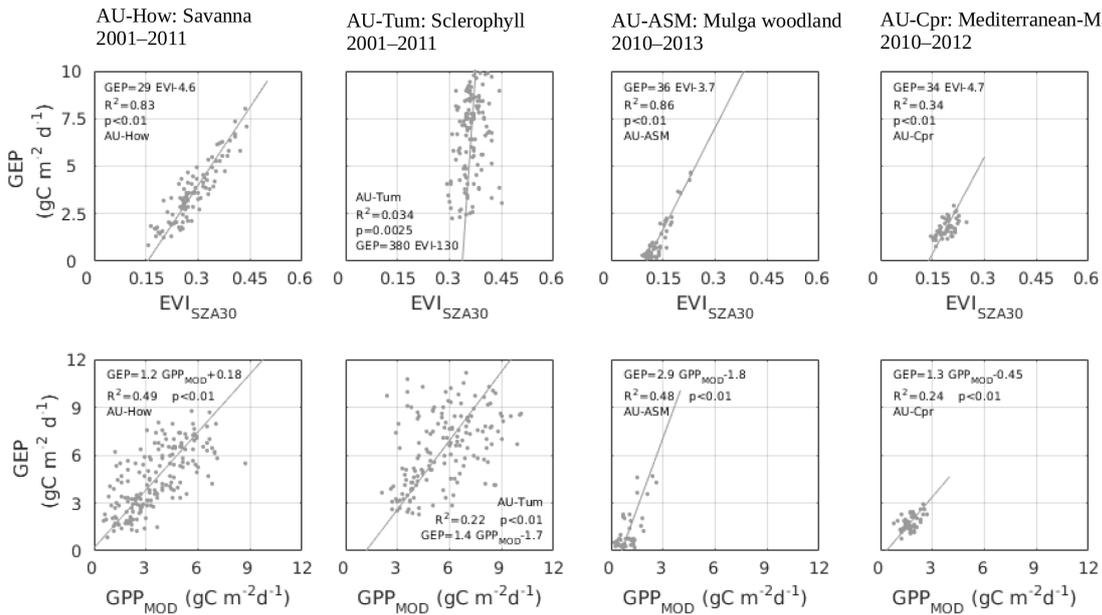
In the tropical savanna (AU-How) the annual cycles of RS products synchronously reached an early summer maximum in January, and high values extended throughout the autumn (Fig. 4d and e). By contrast at AU-Cpr, both  $\text{NDVI}_{\text{SZA}30}$  and  $\text{EVI}_{\text{SZA}30}$  peaked in autumn–winter, coinciding with the lowest GEP values (Fig. 4p and s).  $\text{EVI}_{\text{SZA}30}$  and  $\text{NDVI}_{\text{SZA}30}$  at AU-ASM captured the autumn peak in GEP with a maximum in March; however, a spring VI minimum (November) was not observable in GEP. At the two semi-arid sites (AU-ASM and AU-Cpr),  $\text{fPAR}_{\text{MOD}}$  was relatively aseasonal, and the amplitude of the annual cycle was  $\sim 0.09$ , with a 0.25–0.34 range at AU-Cpr and lower values between 0.17 and 0.26

at AU-ASM (Fig. 4o).  $\text{LAI}_{\text{MOD}}$  at AU-Cpr reached a maximum of 0.50 during the autumn (March) and a spring minimum (September) of 0.39. At AU-ASM, the  $\text{LAI}_{\text{MOD}}$  product ranged from 0.17 (December) to 0.27 (April) (Fig. 4t). Most RS products (e.g.  $\text{EVI}_{\text{SZA}30}$  and  $\text{LAI}_{\text{MOD}}$ ) showed no clear seasonality at AU-Tum (Fig. 5i and j).

$\text{fPAR}_{\text{MOD}}$  vs.  $\text{NDVI}_{\text{SZA}30}$  were highly correlated at all sites ( $R^2 > 0.7$ ,  $p < 0.01$ ), with the exception of the sclerophyll forest (AU-Tum) where  $\text{NDVI}_{\text{SZA}30}$  remained constant in the 0.68–0.83 range ( $R^2 = 0.01$ ) (Fig. S6). At the sclerophyll forest site (AU-Tum), the  $\text{NDVI}_{\text{SZA}30}$  reached values close to saturation. Similar to  $\text{fPAR}_{\text{MOD}}$  vs.  $\text{NDVI}_{\text{SZA}30}$ ,  $\text{EVI}_{\text{SZA}30}$  vs.  $\text{NDVI}_{\text{SZA}30}$  was highly correlated ( $R^2 = 0.96$ , all-site regression). However, the timing of minimum and maximum between  $\text{NDVI}_{\text{SZA}30}$  and  $\text{EVI}_{\text{SZA}30}$  differed at AU-Cpr and AU-How (Figs. 4 and 5d and s).

### 3.3 Relationship between MODIS EVI and GPP and in situ measures of ecosystem photosynthetic activity (GEP)

In this study we used a simple linear model to predict GEP from  $\text{EVI}_{\text{SZA}30}$  and  $\text{GPP}_{\text{MOD}}$ . We observed three patterns. First, in the tropical savanna site (AU-How) there was a highly significant correlation between photosynthetic activity and  $\text{EVI}_{\text{SZA}30}$ , where  $\text{EVI}_{\text{SZA}30}$  explained 82 % of GEP



**Figure 5.** Top row: linear regression between 16- and 8-day time series of measured gross ecosystem productivity (GEP;  $\text{gC m}^{-2} \text{d}^{-1}$ ) (top row) and the MODIS fixed solar zenith angle of 30° enhanced vegetation index ( $\text{EVI}_{\text{SZA30}}$ ) at (a) Howard Springs (AU-How) open woodland savanna, (b) Alice Springs mulga (AU-ASM), (c) Tumbaramba (AU-Tum) wet sclerophyll forest eddy, and (d) Chowilla mallee (AU-Cpr) covariance site. Lower row: regression between GEP and MODIS gross primary productivity ( $\text{GPP}_{\text{MOD}}$ ) (e) AU-How, (f) AU-Tum, (g) AU-ASM, and (h) AU-Cpr.

(Fig. 5a). Similarly at AU-ASM, productivity was statistically related to  $\text{EVI}_{\text{SZA30}}$  ( $R^2 = 0.86$ ,  $p < 0.01$ ). However,  $\text{GPP}_{\text{MOD}}$  only explained 49 % of GEP at AU-How and 48 % at AU-ASM (Fig. 5e and g).

A second pattern was observed in the sclerophyll forest site (AU-Tum), where the relationship between GEP and  $\text{EVI}_{\text{SZA30}}$  was not statistically significant ( $R^2 < 0.01$  and  $p = 0.93$ , Fig. 5b). At AU-Tum there was a clear seasonal cycle in GEP (low in winter and high during the summer) that was not captured by the small amplitude of the satellite-derived data (Fig. 3). Of the four ecosystems examined, AU-Tum was the only site where  $\text{GPP}_{\text{MOD}}$  showed an improvement (higher predictive value of GEP) compared to  $\text{EVI}_{\text{SZA30}}$ . However, as reported in previous works (Leuning et al., 2005), the  $\text{GPP}_{\text{MOD}}$  product was unable to capture the seasonality of the sclerophyll forest as it underestimated the observed summer peak in GEP which corresponded to a second minimum in  $\text{GPP}_{\text{MOD}}$ .

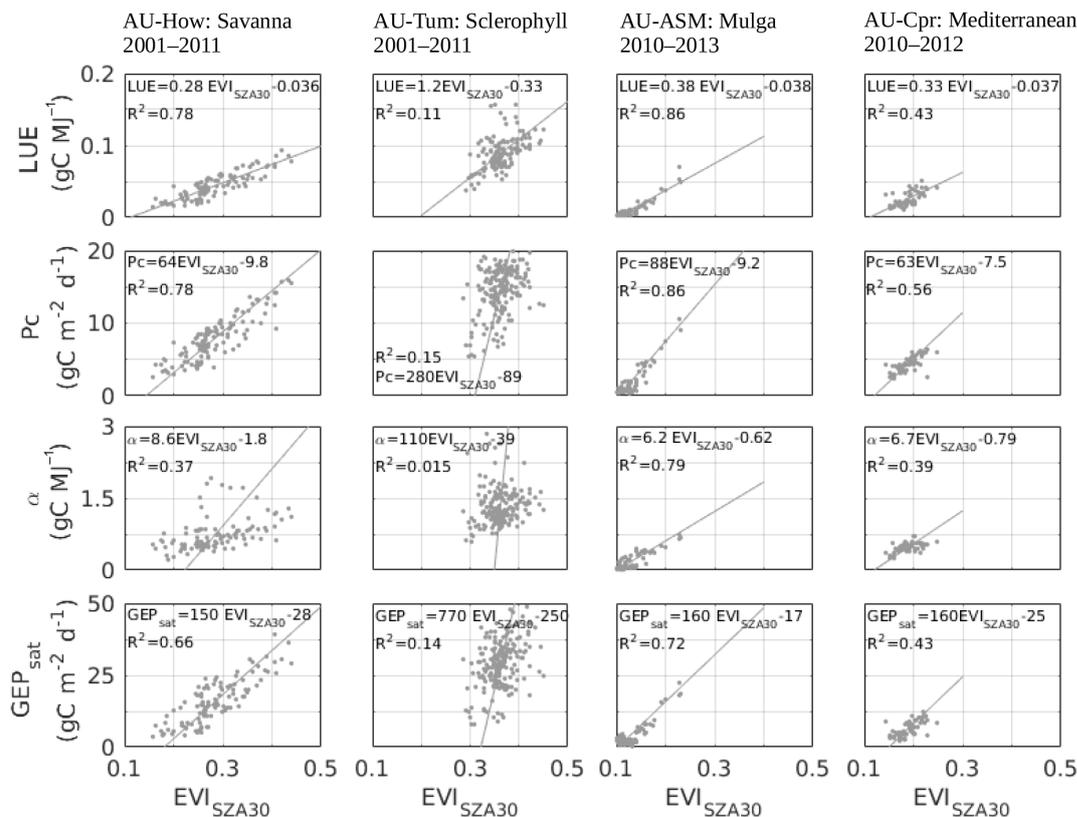
Finally, at the semi-arid site (AU-Cpr), we observed  $R^2$  values significantly different from 0 but a small  $R^2$  value of 0.34 and 0.24 ( $p < 0.01$ ) for GEP vs.  $\text{EVI}_{\text{SZA30}}$  and GEP vs.  $\text{GPP}_{\text{MOD}}$ , respectively. This demonstrated the low predictive power of both satellite products to determine seasonal GEP values in this Mediterranean ecosystem. In particular the  $\text{GEP}_{\text{EVI}}$  and  $\text{GPP}_{\text{MOD}}$  models tended to underestimate productivity at low levels (Fig. 5d and h).

The relationship between productivity and  $\text{EVI}_{\text{SZA30}}$  was complex across the different Australian ecosystems (Fig. 5).

The semi-arid site of AU-Cpr and the sclerophyll forest of AU-Tum are particularly interesting because of the inability of  $\text{EVI}_{\text{SZA30}}$  to seasonally replicate GEP (Fig. 5). An additional analysis that considers the amplitude and phase of the annual cycle (based on all available 16-day observations) was conducted using Taylor plots (Fig. S7). This analysis showed that  $\text{EVI}_{\text{SZA30}}$  was in-phase and able to predict the range of productivity values at AU-How and AU-ASM, while at the AU-Cpr site the  $\text{EVI}_{\text{SZA30}}$  captured the amplitude of seasonal GEP; however, the linear model was out-of-phase. At AU-Tum, the  $\text{EVI}_{\text{SZA30}}$ -based model consistently preceded in situ observations (asynchronous) and exaggerated GEP seasonality (ratio between the standard deviation of the model and observations was 4.98).

### 3.4 Relationship between $\text{EVI}_{\text{SZA30}}$ and measures of photosynthetic potential ( $\alpha$ , LUE, $\text{GEP}_{\text{sat}}$ , and $\text{Pc}$ )

In this section we reconsider our understanding of  $\text{EVI}_{\text{SZA30}}$  by relating it to different measures of photosynthetic potential ( $\alpha$ , LUE,  $\text{GEP}_{\text{sat}}$ , and  $\text{Pc}$ ) across the four sites (Fig. 6). Similar to Sect. 3.3, we used a very simple linear model in which  $\text{EVI}_{\text{SZA30}}$  was expected to predict  $\alpha$ , LUE,  $\text{GEP}_{\text{sat}}$ , and  $\text{Pc}$ . In the regression models for photosynthetic potential the  $R^2$  values were similar to the GEP models for AU-How and AU-ASM (cf. Fig. 6c and g). However,  $\text{EVI}_{\text{SZA30}}$  vs.  $\alpha$  at AU-How  $R^2$  was relatively low ( $R^2 < 0.4$ ,  $p < 0.01$ ). At the AU-Cpr site, the  $\text{EVI}_{\text{SZA30}}$ -based model was able to improve



**Figure 6.** Relationships between 16-day mean values of (a) light use efficiency (LUE; gC MJ<sup>-1</sup>), (b) photosynthetic capacity (Pc; gC m<sup>-2</sup> d<sup>-1</sup>), (c) ecosystem quantum yield (α; gC MJ<sup>-1</sup>), and (d) GEP at saturation light (GEP<sub>sat</sub>; gC m<sup>-2</sup> d<sup>-1</sup>), and MODIS fixed solar zenith angle of 30° enhanced vegetation index (EVI<sub>SZA30</sub>). Four key Australian ecosystem sites, from left to right (columns): AU-How savanna, AU-ASM mulga, wet sclerophyll forest of AU-Tum, and AU-Cpr mallee.

the timing and amplitude of the annual cycle when used to calculate LUE, Pc, and GEP<sub>sat</sub> instead of GEP (Fig. 6 and S7).

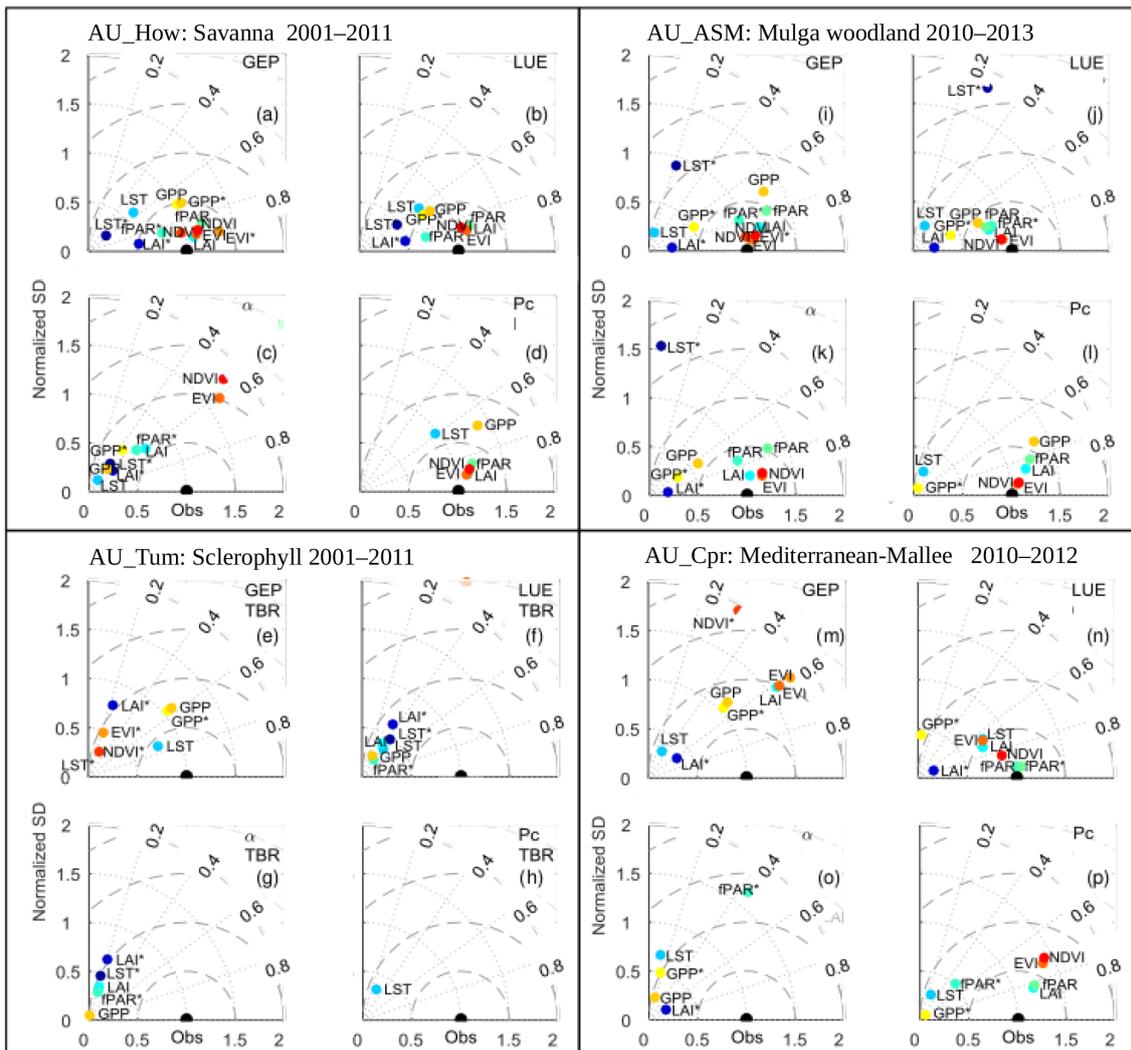
At the sclerophyll forest site (AU-Tum) the EVI<sub>SZA30</sub> was able to predict vegetation phenology rather than productivity. For example we observed that Pc (but not α) was significantly related to EVI<sub>SZA30</sub> ( $R^2 = 0.16$ ,  $p < 0.01$ ; Fig. 6 and Table S4). Even though the regressions between LUE, GEP<sub>sat</sub>, and Pc against EVI<sub>SZA30</sub> showed higher correlation ( $R^2 \sim 0.13$ ,  $p < 0.01$ ) than the GEP vs. EVI<sub>SZA30</sub> relationship ( $R^2 = 0.04$ ,  $p = 0.25$ ) at AU-Tum,  $R^2$  values were still low. The low  $R^2$  can be explained by the small dynamic range of both seasonal measures of photosynthetic potential and EVI<sub>SZA30</sub> (cf. Figs. 4 and 6).

### 3.5 Satellite products compared to flux-tower-based measures of ecosystem potential

In this section we explore other MODIS products (LAI<sub>MOD</sub>, fPAR<sub>MOD</sub>, and NDVI<sub>SZA30</sub>) to determine whether the predictive power of EVI<sub>SZA30</sub> as a measure of photosynthetic potential (e.g. Pc) can be generalized across other satellite-derived biophysical parameters. We aimed to determine, for

each location, which of the MODIS products capture the seasonality and phenology of vegetation, thereby gaining some insight into the significance of the different VIs and other satellite-derived ecosystem drivers. At AU-How and AU-ASM the MODIS LAI<sub>MOD</sub>, fPAR<sub>MOD</sub>, and VIs showed a larger or similar correlations to LUE and Pc in comparison to GEP (Table S4, Fig. 7a and b and i and j, respectively). At AU-How, AU-ASM, and AU-Cpr, based on our analysis using Taylor plots, most RS products were in-phase with the various measures' phenology ( $R^2 > 0.8$  and low RMSE) (Figs. 7, S2 and Table 4). However, there was a tendency for most RS indices to underestimate the seasonality of the LUE annual cycle at all sites (i.e., standard deviation was smaller for LUE<sub>RS</sub> than the observed, Fig. 7). With the exception of AU-Tum, all products were able to capture seasonal changes in Pc (Figs. 6 and 7).

Similar to EVI<sub>SZA30</sub>, most of the MODIS indices, and in particular fPAR<sub>MOD</sub> and LAI<sub>MOD</sub>, showed strong linear relationships with LUE and Pc at the Mediterranean ecosystem AU-Cpr, where the introduction of phenology represented an important improvement over the RS-derived models (Figs. 6 and 7). Similarly, comparable to EVI<sub>SZA30</sub>, other MODIS



**Figure 7.** Taylor diagrams showing model results for Howard Springs (AU-How), Tumbarumba (AU-Tum), Alice Springs (AU-ASM), and Calperum–Chowilla (AU-Cpr) based on site-specific and all-sites linear regressions between gross ecosystem productivity (GEP), light use efficiency (LUE), photosynthetic capacity (Pc), and ecosystem quantum yield ( $\alpha$ ) and different remote sensing products MODIS fixed solar zenith angle of  $30^\circ$  enhanced vegetation index (EVI) and normalized difference vegetation index (NDVI), gross primary productivity product (GPP), daytime land surface temperature (LST), leaf area index (LAI), and fraction of the absorbed photosynthetic active radiation (fPAR). All-site relationships are labelled with an asterisk (e.g. EVI\*). EVI and NDVI labels are used instead of EVI<sub>SZA30</sub> and NDVI<sub>SZA30</sub> for displaying purposes. Missing sites indicate that the model overestimates the seasonality of observations – the model normalized standard deviation is  $> 2$ .

products were unable to replicate GEP at AU-Tum (Fig. 7). However, the small amplitude of seasonality in LUE and Pc were well characterized by LUE<sub>RS</sub> and Pc<sub>RS</sub>, including a winter maximum similar to that in LUE (Fig. 4), despite underestimating the annual seasonal cycle in the sclerophyll forest (Figs. 4 and 7e–h).

### 3.6 Multi-biome-derived linear relationships between VIs and photosynthetic potential (phenology) and activity (productivity)

Our objective was to investigate whether one relation fits all flux sites, and which RS products and equations would enable us to extend our analysis from these four key Australian ecosystems to a continental scale. The all-site relationship for MODIS EVI<sub>SZA30</sub>, NDVI<sub>SZA30</sub>, LAI<sub>MOD</sub>, and fPAR<sub>MOD</sub> products (in that order) shows the best agreement (phase and amplitude) to seasonality of LUE and Pc (Fig. 7). Correlations increased for relationships built using data for all the

ecosystems instead of the site-specific equations, with the exception of the AU-ASM site (Table 3 and Figs. 7 and 8).

Improvements in how satellite products can model biological drivers (photosynthetic potential) instead of productivity per se, are clearly seen at the evergreen temperate forest of AU-Tum. At AU-Tum the relationship between GEP and any of the satellite products was not statistically significant ( $R^2 < 0.1$ ), with the exception of  $LST_{\text{day}}$  (Figs. 5 and 7). However, skin temperature ( $LST_{\text{day}}$ ) is a meteorological driver rather than a direct measure of productivity, and the low all-site  $LST_{\text{day}}$  vs. GEP correlation was an indication of this ( $R^2 = 0.66$ ,  $p = 0.03$ ; Fig. 8).

The wet sclerophyll forest introduced the greatest uncertainties to the linear models across all sites (Fig. 8). For example, regressions involving  $EVI_{\text{SZA}30}$  were exponential; therefore, significantly increasing GEP and LUE translated into slightly higher  $EVI_{\text{SZA}30}$  values, a behaviour mostly driven by the observations at AU-Tum. In particular, the relationships between LUE and  $fPAR_{\text{MOD}}$  and LUE and  $NDVI_{\text{SZA}30}$  at AU-Tum were problematic as  $fPAR_{\text{MOD}}$  and  $NDVI_{\text{SZA}30}$  appeared to “saturate” at 0.9 and 0.8, respectively (Fig. 8).

$EVI_{\text{SZA}30}$  explained 81 % of  $P_c$  seasonality based on an all-site regression (Table S4). Similarly,  $NDVI_{\text{SZA}30}$  showed a high coefficient of determination (0.70 for  $GEP_{\text{NDVI}}$ , 0.75 for  $LUE_{\text{NDVI}}$ , and 0.79 for  $P_{\text{cNDVI}}$ ) (Table S4). The null hypothesis of no correlation was rejected ( $p < 0.01$ ) for all regressions between MODIS VIs,  $LAI_{\text{MOD}}$ , and  $fPAR_{\text{MOD}}$  vs. photosynthetic potential (phenology) and activity (productivity) (Table S4). However, statistical significance of GEP vs.  $GEP_{\text{RS}}$  was driven by the AU-ASM and AU-How ecosystems.

Multiple linear regression models used to predict GEP by combining satellite-derived meteorology and biologic parameters (Table 3) showed large correlations when both drivers were introduced (weather and vegetation phenology), with the exception of the AU-Tum site where  $SW_{\text{CERES}}$  and  $LST_{\text{day}}$  explained 60 and 58 % of GEP, respectively, and the AU-ASM and AU-How sites where  $EVI_{\text{SZA}30}$  and  $NDVI_{\text{SZA}30}$  explained  $\sim 84$  and  $\sim 80$  % of the variations in GEP, respectively. In particular, at the AU-How site, no significant improvement to the GEP model was obtained when combining MODIS VIs with any meteorological variable ( $R^2$  remains similarly high:  $R^2 \sim 0.82$ ). By contrast, at the AU-ASM site,  $EVI_{\text{SZA}30}$ , satellite-derived incoming shortwave ( $SW_{\text{CERES}}$ ), and the interaction of both significantly increased model correlation with an  $R^2$  of 0.88 and a lower AIC (Akaike’s information criterion as a measure of model quality) when compared to models relying only on  $EVI_{\text{SZA}30}$  ( $R^2 = 0.85$ ,  $AIC = 64$ ) or  $SW_{\text{CERES}}$  ( $R^2 = 0.02$ ,  $AIC = 209$ ) (Table 3). Similar results were obtained for those regressions driven by  $EVI_{\text{SZA}30}$  and precipitation at this rainfall-pulse-driven site ( $R^2 = 0.88$ ,  $AIC = 42$ ). At the AU-Cpr site, temperature-greenness models were highly correlated to GEP ( $R^2 > 0.64$ ); however, the best results

(higher  $R^2$  and lower AIC) were obtained for radiation-greenness models, explaining 71 % ( $EVI_{\text{SZA}30} - SW_{\text{CERES}}$  and  $NDVI_{\text{SZA}30} - SW_{\text{CERES}}$ ) of GEP. For a complete version of Table 3 that includes all available variable combinations, see Table S3.

## 4 Discussion

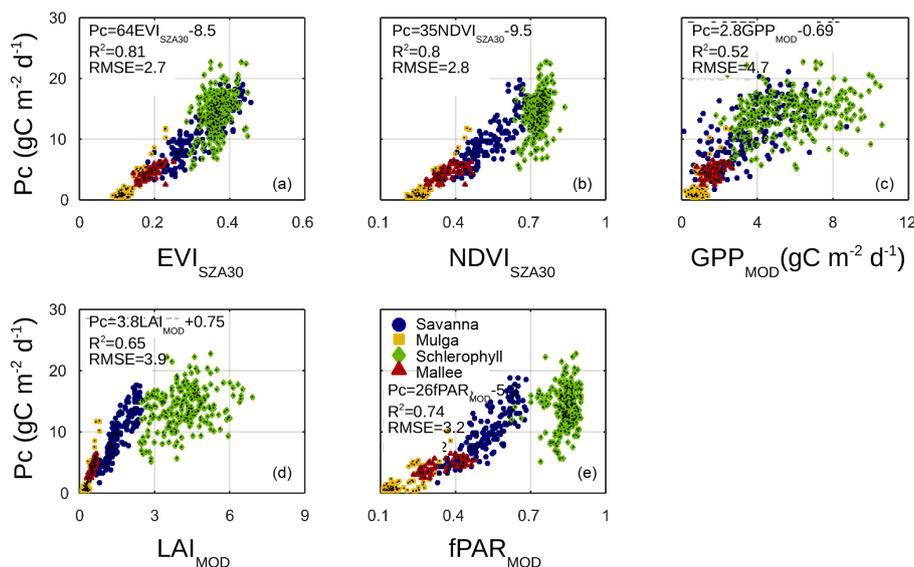
### 4.1 Derivation of measures of photosynthetic potential at tropical savannas, sclerophyll forests, and semi-arid ecosystems

In this study we were able to separate the biological (vegetation phenological signal) from the climatic drivers of productivity using eddy covariance carbon exchange data. Using the parameterization of the light response curve, we derived different measures of vegetation photosynthetic potential ( $\alpha$ , LUE,  $GEP_{\text{sat}}$ , and  $P_c$ ) (Balzarolo et al., 2015; Wohlfahrt et al., 2010). At seasonal timescales (e.g. 16-days, monthly), our analysis looks at the biotic drivers of productivity; whereas at shorter timescales (e.g. hourly, daily), photosynthetic potential can be limited or enhanced by meteorological controls, thus linked to resource scarcity (i.e. high VPD or water constraints), or availability (e.g. increase radiation or access to soil water), and correspondent ecosystem responses (e.g. stomatal closure,  $\text{CO}_2$  fertilization) will determine GEP (Ainsworth and Long, 2005; Doughty et al., 2014; Fatichi et al., 2014). The variables  $\alpha$ , LUE,  $GEP_{\text{sat}}$ , and  $P_c$  have different biophysical meanings; therefore, we were able to establish physiological explanations for describing why and which RS products and environmental variables relate to them in each ecosystem. For example,  $GEP_{\text{sat}}$  measured at high levels of PAR is prone to be influenced by various environmental factors (VPD,  $T_{\text{air}}$ , and soil water availability), and therefore may be a good indicator of canopy stress.

As observed at AU-How,  $GEP_{\text{sat}}$  was highly and negatively correlated to periods of low precipitation and negatively correlated with VPD (Table S4). Seasonal values of  $GEP_{\text{sat}}$  at the semi-arid sites (AU-Cpr and AU-ASM) did not show a direct relationship with VPD or precipitation. This does not mean that there is no effect of atmospheric demand or soil moisture content on carbon fluxes at shorter timescales (hourly or daily) (Cleverly et al., 2016b; Eamus et al., 2013). Compared to  $GEP_{\text{sat}}$ , we expected  $\alpha$  to be less dependent on VPD and to better reflect vegetation phenology, as  $\alpha$  represents the canopy photosynthetic response at low levels of PAR characteristic of cloud cover (diffuse light) during early morning or late afternoon periods (Kanniah et al., 2012, 2013). However, among all measures of phenology,  $\alpha$  showed one of the lowest site-specific correlations when compared to any of the RS products presented in this study. Our results show that LUE and  $P_c$  showed the best correlations to VIs; this is confirmation that this research deals less with the instantaneous responses ( $GEP_{\text{sat}}$  and  $\alpha$ ) and rather

**Table 3.** Linear regressions obtained by a non-linear mixed-effects regression model for gross ecosystem productivity (GEP,  $\text{gC m}^{-2} \text{d}^{-1}$ ) vs. combinations of 16-day average MODIS products: fixed solar zenith angle of  $30^\circ$  enhanced vegetation index (EVI<sub>30</sub>), daytime and land surface temperature (LST<sub>day</sub>,  $^\circ\text{C}$ ), fixed solar zenith angle of  $30^\circ$  normalized difference vegetation index (NDVI<sub>30</sub>), precipitation from the Tropical Rainfall Measuring Mission (PrecipTRMM,  $\text{mm month}^{-1}$ ) data product from 1998 to 2013 (NASA, 2014b), and surface shortwave incident radiation from the Clouds and the Earth's Radiant Energy System (SWCERES,  $\text{W m}^{-2}$ ) data product from 2000 to 2013 (NASA, 2014a). Model runs for AU-How: Howard Springs, AU-ASM: Alice Springs mulga, AU-Cpr: Calperum-Chowilla, and AU-Tun: Tumbarumba, and all available data (data include all sites). Bold fonts highlight values mentioned in the text.

Regression model	AU_How				AU_ASM				AU_Tun			
	Coeff [a, b, c, d]	$R^2$	AIC	CI	Coeff [a, b, c, d]	$R^2$	AIC	CI	Coeff [a, b, c, d]	$R^2$	AIC	CI
GEP = a EVI + b	<b>121.94</b> , -2.651	<b>0.82</b>	<b>263</b>	<b>126.01</b> , -2.481	<b>0.85</b>	<b>64</b>	<b>115.52</b> , 0.901	<b>15.55</b> , 2.011	<b>121.94</b> , -2.651	<b>0.82</b>	<b>263</b>	<b>126.01</b> , -2.481
GEP = a NDVI	[15.03, -4.111]	0.78	275	[14.34, -3.101]	0.83	80	[19.05, -7.281]	[5.23, 3.791]	[15.03, -4.111]	0.78	275	[14.34, -3.101]
GEP = a LST <sub>day</sub> + b	[-0.22, 70.911]	0.28	676	[-0.02, 7.591]	0.03	218	<b>0.26</b> , -68.091	<b>0.15</b> , 4.451	[-0.22, 70.911]	0.28	676	[-0.02, 7.591]
GEP = a PrecipTRMM + b	[0.01, 3.051]	0.53	627	[0.01, 0.381]	0.30	182	[-0.017, 7.541]	[0.005, 0.311]	[0.01, 3.051]	0.53	627	[0.01, 0.381]
GEP = a SWCERES + b	[-0.012, 7.301]	0.02	781	[0.005, -0.301]	0.02	209	[0.026, 1.0251]	[0.001, 0.261]	[-0.012, 7.301]	0.02	781	[0.005, -0.301]
GEP = a EVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	[-29.96, 127.38, 0.09, -0.34]	<b>0.82</b>	<b>268</b>	[-11.51, 76.94, 0.03, -0.16]	0.87	66	[-2.64, 1.38, 0.081]	[0.21, 10.71, 0.04]	[-29.96, 127.38, 0.09, -0.34]	<b>0.82</b>	<b>268</b>	[-11.51, 76.94, 0.03, -0.16]
GEP = a EVI + b LST <sub>day</sub> + c SWCERES EVI + d	<b>-3.57</b> , <b>24.15</b> , <b>0.003</b> , -0.004	<b>0.82</b>	<b>266</b>	[-2.48, -21.70, -0.02, 0.19]	0.87	54	<b>17.75</b> , -19.41, -0.05, 0.211	<b>13.25</b> , <b>8.84</b> , <b>0.017</b> , <b>0.051</b>	<b>-3.57</b> , <b>24.15</b> , <b>0.003</b> , -0.004	<b>0.82</b>	<b>266</b>	[-2.48, -21.70, -0.02, 0.19]
GEP = a SWCERES + b SWCERES EVI + c	[3.63, -0.03, 0.097]	0.82	263	<b>-0.008</b> , -0.01, 0.101	<b>0.88</b>	<b>42</b>	[0.69, -0.014, 0.121]	[0.29, 0.006, 0.016]	[3.63, -0.03, 0.097]	0.82	263	<b>-0.008</b> , -0.01, 0.101
GEP = a EVI + b PrecipTRMM + c PrecipTRMM EVI + d	<b>-2.13</b> , <b>18.93</b> , <b>0.01</b> , -0.021	<b>0.84</b>	<b>253</b>	<b>-1.32</b> , <b>15.09</b> , -0.019, 0.181	<b>0.85</b>	<b>42</b>	[1.63, 15.31, 0.002, -0.041]	[3.78, 10.29, 0.06, 0.161]	<b>-2.13</b> , <b>18.93</b> , <b>0.01</b> , -0.021	<b>0.84</b>	<b>253</b>	<b>-1.32</b> , <b>15.09</b> , -0.019, 0.181
GEP = a NDVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	[-57.78, 118, 0.17, -0.331]	0.79	279	[-24.42, 79.28, 0.07, -0.211]	0.86	75	[23.1, -416.25, -0.83, 1.511]	[105.9, 145.1, 0.57, 0.501]	[-57.78, 118, 0.17, -0.331]	0.79	279	[-24.42, 79.28, 0.07, -0.211]
GEP = a NDVI + b SWCERES + c SWCERES NDVI + d	[-9.6, 23.6, 0.02, -0.031]	0.79	277	[2.77, -11.51, -0.02, 0.101]	0.87	62	<b>13.58</b> , -17.68, -0.12, 0.1981	<b>10.29</b> , <b>0.006</b> , <b>0.016</b>	[-9.6, 23.6, 0.02, -0.031]	0.79	277	[2.77, -11.51, -0.02, 0.101]
GEP = a SWCERES + b SWCERES NDVI + c	[2.63, -0.031, 0.071]	0.78	277	[-0.15, -0.01, 0.061]	0.88	64	<b>0.72</b> , -0.056, 0.111	<b>0.29</b> , <b>0.01</b> , <b>0.014</b>	[2.63, -0.031, 0.071]	0.78	277	[-0.15, -0.01, 0.061]
Regression model	Coeff [a, b, c, d]											
GEP = a EVI + b	<b>12.74</b> , -0.711	<b>0.36</b>	<b>49</b>	<b>12.47</b> , -2.191	<b>0.69</b>	<b>1323</b>			<b>12.74</b> , -0.711	<b>0.36</b>	<b>49</b>	<b>12.47</b> , -2.191
GEP = a NDVI	[3.97, 0.241]	0.09	70	[12.62, -2.741]	<b>0.72</b>	<b>1276</b>			[3.97, 0.241]	0.09	70	[12.62, -2.741]
GEP = a LST <sub>day</sub> + b	[0.017, -3.271]	0.12	69	[-0.095, 3.2571]	0.14	2279			[0.017, -3.271]	0.12	69	[-0.095, 3.2571]
GEP = a PrecipTRMM + b	[0.0006, 1.661]	0.02	73	[0.009, 3.601]	0.01	1314			[0.0006, 1.661]	0.02	73	[0.009, 3.601]
GEP = a SWCERES + b	[0.003, 0.141]	0.12	67	[0.007, 2.811]	0.13	2340			[0.003, 0.141]	0.12	67	[0.007, 2.811]
GEP = a EVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	<b>12.8</b> , -14.58, -0.08, 0.531	<b>0.63</b>	<b>30</b>	[-5.60, 17.51, 0.01, 0.021]	<b>0.70</b>	<b>1322</b>			<b>12.8</b> , -14.58, -0.08, 0.531	<b>0.63</b>	<b>30</b>	[-5.60, 17.51, 0.01, 0.021]
GEP = a EVI + b SWCERES + c SWCERES EVI + d	<b>1.87</b> , -4.52, -0.01, 0.0951	<b>0.62</b>	<b>26</b>	<b>-0.31</b> , <b>4.95</b> , -0.009, 0.0791	<b>0.82</b>	<b>1154</b>			<b>1.87</b> , -4.52, -0.01, 0.0951	<b>0.62</b>	<b>26</b>	<b>-0.31</b> , <b>4.95</b> , -0.009, 0.0791
GEP = a SWCERES + b SWCERES EVI + c	[1.023, -0.01, 0.071]	0.62	23	[0.92, -0.014, 0.111]	0.82	1179			[1.023, -0.01, 0.071]	0.62	23	[0.92, -0.014, 0.111]
GEP = a EVI + b PrecipTRMM + c PrecipTRMM EVI + d	[0.21, 3.96, -0.03, 0.21]	0.52	43	[-2.35, 22.48, 0.008, -0.021]	0.66	1312			[0.21, 3.96, -0.03, 0.21]	0.52	43	[-2.35, 22.48, 0.008, -0.021]
GEP = a NDVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	<b>34.5</b> , -119.1, -0.12, 0.431	<b>0.60</b>	<b>34</b>	[0.43, -27.31, -0.01, 0.141]	0.79	1226			<b>34.5</b> , -119.1, -0.12, 0.431	<b>0.60</b>	<b>34</b>	[0.43, -27.31, -0.01, 0.141]
GEP = a NDVI + b SWCERES + c SWCERES NDVI + d	<b>12.74</b> , -5.59, -0.02, 0.071	<b>0.60</b>	<b>30</b>	<b>-0.75</b> , <b>27.31</b> , -0.01, 0.051	<b>0.88</b>	<b>1013</b>			<b>12.74</b> , -5.59, -0.02, 0.071	<b>0.60</b>	<b>30</b>	<b>-0.75</b> , <b>27.31</b> , -0.01, 0.051
GEP = a SWCERES + b SWCERES NDVI + c	[0.69, -0.01, 0.041]	0.57	30	[0.64, -0.016, 0.0581]	0.87	1052			[0.69, -0.01, 0.041]	0.57	30	[0.64, -0.016, 0.0581]
Regression model	Coeff [a, b, c, d]											
GEP = a EVI + b	<b>12.05</b> , <b>0.381</b>	<b>0.36</b>	<b>49</b>	<b>12.47</b> , -2.191	<b>0.69</b>	<b>1323</b>			<b>12.05</b> , <b>0.381</b>	<b>0.36</b>	<b>49</b>	<b>12.47</b> , -2.191
GEP = a NDVI	[1.29, 0.461]	0.09	70	[12.62, -2.741]	<b>0.72</b>	<b>1276</b>			[1.29, 0.461]	0.09	70	[12.62, -2.741]
GEP = a LST <sub>day</sub> + b	[0.006, 1.741]	0.12	69	[-0.095, 3.2571]	0.14	2279			[0.006, 1.741]	0.12	69	[-0.095, 3.2571]
GEP = a PrecipTRMM + b	[0.0003, 0.0971]	0.02	73	[0.009, 3.601]	0.01	1314			[0.0003, 0.0971]	0.02	73	[0.009, 3.601]
GEP = a SWCERES + b	[0.0008, 0.141]	0.12	67	[0.007, 2.811]	0.13	2340			[0.0008, 0.141]	0.12	67	[0.007, 2.811]
GEP = a EVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	<b>9.4</b> , <b>51.44</b> , <b>0.03</b> , <b>0.171</b>	<b>0.63</b>	<b>30</b>	[-5.60, 17.51, 0.01, 0.021]	<b>0.70</b>	<b>1322</b>			<b>9.4</b> , <b>51.44</b> , <b>0.03</b> , <b>0.171</b>	<b>0.63</b>	<b>30</b>	[-5.60, 17.51, 0.01, 0.021]
GEP = a EVI + b SWCERES + c SWCERES EVI + d	<b>0.83</b> , <b>4.41</b> , <b>0.005</b> , <b>0.0251</b>	<b>0.62</b>	<b>26</b>	<b>-0.31</b> , <b>4.95</b> , -0.009, 0.0791	<b>0.82</b>	<b>1154</b>			<b>0.83</b> , <b>4.41</b> , <b>0.005</b> , <b>0.0251</b>	<b>0.62</b>	<b>26</b>	<b>-0.31</b> , <b>4.95</b> , -0.009, 0.0791
GEP = a SWCERES + b SWCERES EVI + c	[0.0097, 0.001, 0.0081]	0.62	23	[0.92, -0.014, 0.111]	0.82	1179			[0.0097, 0.001, 0.0081]	0.62	23	[0.92, -0.014, 0.111]
GEP = a EVI + b PrecipTRMM + c PrecipTRMM EVI + d	[0.69, 3.57, 0.015, 0.081]	0.52	43	[-2.35, 22.48, 0.008, -0.021]	0.66	1312			[0.69, 3.57, 0.015, 0.081]	0.52	43	[-2.35, 22.48, 0.008, -0.021]
GEP = a NDVI + b LST <sub>day</sub> + c LST <sub>day</sub> EVI + d	<b>10.8</b> , <b>29.76</b> , <b>0.036</b> , <b>0.111</b>	<b>0.60</b>	<b>34</b>	[0.43, -27.31, -0.01, 0.141]	0.79	1226			<b>10.8</b> , <b>29.76</b> , <b>0.036</b> , <b>0.111</b>	<b>0.60</b>	<b>34</b>	[0.43, -27.31, -0.01, 0.141]
GEP = a NDVI + b SWCERES + c SWCERES NDVI + d	<b>0.88</b> , <b>2.32</b> , <b>0.005</b> , <b>0.0141</b>	<b>0.60</b>	<b>30</b>	<b>-0.75</b> , <b>27.31</b> , -0.01, 0.051	<b>0.88</b>	<b>1013</b>			<b>0.88</b> , <b>2.32</b> , <b>0.005</b> , <b>0.0141</b>	<b>0.60</b>	<b>30</b>	<b>-0.75</b> , <b>27.31</b> , -0.01, 0.051
GEP = a SWCERES + b SWCERES NDVI + c	[0.12, 0.002, 0.0051]	0.57	30	[0.64, -0.016, 0.0581]	0.87	1052			[0.12, 0.002, 0.0051]	0.57	30	[0.64, -0.016, 0.0581]



**Figure 8.** Relationships between 16-day mean values of photosynthetic capacity ( $P_c$ ;  $\text{gC m}^{-2} \text{d}^{-1}$ ) and different RS products: (a) MODIS fixed solar zenith angle of  $30^\circ$  enhanced vegetation index ( $\text{EVI}_{\text{SZA30}}$ ), (b) normalized difference vegetation index ( $\text{NDVI}_{\text{SZA30}}$ ), (c) MODIS gross primary productivity ( $\text{GPP}_{\text{MOD}}$ ;  $\text{gC m}^{-2} \text{d}^{-1}$ ), (d) leaf area index ( $\text{LAI}_{\text{MOD}}$ ), and (e) fraction of the absorbed photosynthetic active radiation ( $\text{fPAR}_{\text{MOD}}$ ). Four key Australian ecosystem sites are included in the analysis: AU-How savanna (blue circles), AU-ASM mulga (yellow square markers), AU-Cpr mallee (red triangles), and wet sclerophyll forest of AU-Tum (green diamonds).

focuses on the mid-term, 16-day seasonal descriptors of vegetation phenology ( $P_c$  and LUE).

The influence of other environmental factors apart from PAR and VPD, such as soil water content and  $T_{\text{air}}$ , is difficult to isolate from the derivation of vegetation descriptors as there may be a high degree of cross-correlation between the different variables (e.g. VPD vs.  $T_{\text{air}}$ ). Moreover, to what degree it is feasible to untangle the relations between climate and vegetation is complex and not well understood, as the feedback processes are essential in ecosystem function (leaf flush, wood allocation, among other vegetation strategies respond to available resources), species competition, and herbivory cycles (Delpierre et al., 2015). Our results show that VIs were highly related to  $P_c$ , which is interpreted as a phenology descriptor that does not consider the day-to-day changes in available light or photoperiod or the vegetation response to high and low VPD and PAR values. By contrast, implicit in the derivation of LUE were the day length and anomalous climatic conditions. This finding has important implications when using EC data for the validation of satellite-derived phenology (Restrepo Coupe et al., 2015).

#### 4.2 Seasonality and comparisons between satellite products and flux-tower-based measurements of carbon flux: photosynthetic activity (productivity) and potential (phenology)

Previous satellite-derived models of productivity usually apply to locations where the seasonality of GEP is synchronous with climatic and vegetation phenology drivers (Mahadevan

et al., 2008; Sims et al., 2008; Wu et al., 2010; Xiao et al., 2004), such as in temperate deciduous forests, where temperature and incoming radiation coincide with changes in ecosystem structure and function (e.g. autumn sub-zero temperatures may initiate leaf abscission; Vitasse et al., 2014). In our analysis, productivity was only synchronous with all measures of photosynthetic potential at the savanna site (AU-How), where clouds and heavy rainfall in the summer wet season resulted in low VPD, reduced TOA (aseasonal PAR), and minimal fluctuations in  $T_{\text{air}}$ . At AU-How, we observed a consistently large correlation between MODIS VIs and productivity and no improvement in GEP when accounting for meteorology. Moreover, the highly significant  $\text{EVI}_{\text{SZA30}}$  vs. GEP relationship at AU-How could be generalized to other satellite-derived biophysical products.

Arid and semi-arid vegetation dominate  $\sim 75\%$  of the Australian continent, and in these ecosystems a characteristic mix of grasses (understorey) and woody plants (overstorey) contributes to total annual GEP at different times of the year. More importantly, the phenology of grasses and trees is driven by, or responds differently to, various climatic drivers (e.g. trees greening up after spring rainfalls while grasses remain dormant (Cleverly et al., 2016a; Ma et al., 2013; Shi et al., 2014)). The changing seasonal contributions to the reflectance signal and to GEP are generally related to soil water content thresholds. Our study presents two semi-arid *Acacia* and *Eucalyptus* woodlands, where we found that models relating VIs with photosynthetic potential (phenology), rather than activity (productivity), improved the predictive power of RS greenness indices (AU-Cpr) or showed sim-

ilar statistical descriptors (AU-ASM). At the woodland *Acacia* site,  $LAI_{MOD}$  and  $fPAR_{MOD}$  overestimated the periods of low capacity (associated with browndown phases) (Ma et al., 2013). This can be better understood if we account for small but non-negligible photosynthetic activity in *Acacia* after the summer rains have ended (Cleverly et al., 2013; Eamus et al., 2013). At this particular site (AU-ASM), the high  $LAI_{MOD}$  and VIs observed during dormancy may not be interpreted as high photosynthetic potential. Satellite data, and even some ground-based measurements of  $LAI_{MOD}$ , cannot differentiate between the different fractional components: photosynthetic active vegetation (fPAV), and non-photosynthetic vegetation (fNPV). Future work requires phenocams or biomass studies in which fPV and fNPV may be spectrally or mechanically separated.

In low productivity ecosystems (AU-ASM and AU-Cpr), satellite and EC data/noise ratio may have a considerable effect on the site-specific regressions (e.g. sun geometry influence on VIs seasonal values, and EC uncertainties). However, differences between AU-ASM and AU-Cpr regressions (e.g.  $EVI_{SZA30}$  was highly correlated to GEP only at AU-ASM), and the fact that the VI product has been corrected for BRDF effects, increases our confidence in the analysis presented here. Moreover, the lower VIs vs. GEP correlation values obtained at AU-Cpr compared to AU-ASM could be attributed to mallee site productivity being more dependent on meteorological drivers than photosynthetic potential, or GEP being driven by climate (e.g. autumn precipitation – when Pc remains constant) or by vegetation phenology (e.g. summer LAI and canopy chlorophyll content, among others) at different times of the year.

Similar to Mediterranean ecosystems (AU-Cpr), in wet sclerophyll forests (AU-Tum) without signs of water limitation, the VIs were unable to replicate seasonality in GEP. In particular, the dominant species of sclerophyll forests, *Eucalyptus*, *Acacia*, and *Banksia*, show very little seasonal variation in canopy structure as seen in aseasonal LAI observations (Zolfaghar, 2013), and leaf longevity (Eamus et al., 2006). Leaf quantity (e.g. LAI) and quality (e.g. leaf level photosynthetic assimilation capacity) are two key parameters in driving photosynthetic potential; when these are aseasonal, asynchronous, or lagged, they may confound the interpretation of seasonal measures of greening. Thus, the observed increasing predictive power of VIs as a measure of photosynthetic potential (e.g.  $EVI_{SZA30}$  vs. Pc,  $R^2 = 0.16$  at AU-Tum) may not be comparable to similar relationships at sites where vegetation phenology showed a larger dynamic range (e.g.  $EVI_{SZA30}$  vs. Pc,  $R^2 = 0.79$  at AU-How).

#### 4.3 Considerations for the selection of RS data to be used on GEP models and phenology validation studies

This study reports high correlations for Pc vs.  $EVI_{SZA30}$  ( $R^2 = 0.81$ ) and Pc vs.  $NDVI_{SZA30}$  ( $R^2 = 0.80$ ). The fact that

a brighter soil background results in lower NDVI values than with a dark soil background for the same quantity of partial vegetation cover (Huete, 1988; Huete and Tucker, 1991) may have a positive effect on the all-site Pc vs.  $NDVI_{SZA30}$  regressions (increase  $R^2$ ). However, darkened soils following precipitation also raise NDVI values for incomplete canopies (Gao et al., 2000), and may similarly suggest higher vegetation or soil biological crust activity. On the other hand, soil brightness and moisture may have a negative effect on the confidence interval of the  $x$  intercept for the proposed relationships (e.g. Pc vs.  $NDVI_{SZA30}$ , for  $NDVI_{SZA30} \sim 0$ ). Moreover, at certain times the AU-ASM and AU-Cpr sites were at the low end of the vegetation activity range, and the observed RS signal may have been dominated by soil water content rather than by photosynthetic potential. Furthermore, caution is needed when using  $fPAR_{MOD}$  and other products as we observed a threshold value above which in situ changes were undetectable (e.g. MODIS  $fPAR > 0.9$ ,  $NDVI_{SZA30} > 0.8$ ). This might have been due to the NDVI saturating at high biomass (Huete et al., 2002; Santin-Janin et al., 2009).

Temperature-greenness models of GEP (Sims et al., 2008; Xiao et al., 2004) take into account the meteorological and biophysical drivers that determine productivity. Nevertheless, correlations between photosynthetic characteristics and  $LST_{day}$  were weaker than for VIs. Moreover, if the seasonality of GEP is driven by local climatology, as in the case of AU-Tum where GEP was statistically correlated to  $LST_{day}$ , our intent is to understand the relation between vegetation characteristics and RS products rather than indiscriminately use any satellite-derived index to describe phenology or photosynthetic potential. Our study demonstrates that multiple linear regression models that combine satellite-derived meteorology and biological parameters to describe GEP fit better when both drivers are introduced rather than when only one factor drives the relation (a single meteorology or greenness variable). However, two exceptions to this rule were observed: (1) at AU-Tum where  $SW_{CERES}$  was able to explain 60% of GEP, and (2) in the tropical savanna at AU-How where  $EVI_{SZA30}$  was able to explain  $\sim 82\%$  of the variation in GEP, and where we did not obtain any significant improvement to the GEP model when combining MODIS VIs and any meteorological variable ( $R^2$  remains similarly high:  $R^2 > 0.82$ ). In summary, in evergreen sclerophyll forests, even when GEP is highly seasonal, GEP is driven by meteorology as seen by the fact that most of the measures of photosynthetic potential showed small seasonal changes, similar to different MODIS products. By contrast, at sites where most of the GEP seasonality was driven by vegetation status (Pc as a proxy) rather than the meteorological inputs (PAR, air temperature, and precipitation), or where meteorology and phenology were synchronous, VIs were strongly correlated to both GEP and Pc (e.g. tropical savanna). This was in agreement with the expectation that

RS products constitute a measurement of ecosystem photosynthetic potential rather than productivity per se.

Our analysis shows how MODIS greenness indices were able to estimate different measures of ecosystem photosynthetic potential across biomes. At only one site (AU-Tum) was there very little seasonal variation in  $EVI_{SZA30}$ , compared to other evergreen ecosystems. Both the strong correlations among VIs and  $P_c$  from in situ EC carbon flux measurements at the remaining sites (AU-How, AU-ASM, and AU-Cpr), and the positioning of each ecosystem along a continuum of MODIS-derived variables representing vegetation phenology, confirm the usefulness of satellite products as being representative of vegetation structure and function. This research confirms the viability of remote-sensing-derived phenology to be validated and, more importantly, understood, using eddy-flux measurements of  $P_c$ . However, an increase in effort in determining seasonal patterns of carbon allocation (partition between leaves and wood), understorey and overstorey responses, and leaf carbon assimilation and chlorophyll content over time may be required to obtain a more meaningful understanding of RS indices and their biophysical significance. Moreover, the reader should be aware that rapid changes in vegetation phenology (e.g.  $\alpha$  and  $GEP_{sat}$ ) caused by short-term environmental stresses (e.g.  $T_{air}$ , humidity, soil water deficit, or waterlogging) may not be accurately estimated by RS products, and may require the employment of in situ high-frequency optical measurements (e.g. phenocams), land surface vegetation models, or direct EC measurements.

For this study we included all available 16-day data corresponding individually to more than 10 years at AU-How and AU-Tum, and 2 to 3 years at AU-Cpr and AU-ASM. The long-term sampling implies that we were likely to be capturing a large range in mean ecosystem behaviour. RS products may over- or under-represent the canopy response to periods of extreme temperature and precipitation, although the time series in this study included years that were warmer than normal and heatwaves, e.g. 2012–2013 (BOM, 2012, 2013; van Gorsel et al., 2016) and years that were wetter than normal, e.g. 2011 (Fasullo et al., 2013; Poulter et al., 2014), which led to GEP that is larger than normal at AU-ASM and AU-Cpr (Cleverly et al., 2013; Eamus et al., 2013; Koerber et al., 2016). It is beyond the scope of this work to evaluate the inter-annual variability of the vegetation responses to disturbance (e.g. insect infestation or fire) or extreme climatic events (e.g. flooding or long-term drought). Improvements to satellite-derived phenology can be related to an increasing number of EC sites and samples, thereby emphasizing the importance of long-term time measurements and sampling of diverse ecosystems.

## 5 Conclusions

Satellite vegetation products have been widely used to scale carbon fluxes from eddy covariance (EC) towers to regions and continents. However, in some key Australian ecosystems, MODIS gross primary productivity (GPP) product and vegetation indices (VIs) do not track seasonality of gross ecosystem productivity (GEP). In particular, we found that  $EVI_{SZA30}$  was unable to represent GEP at the temperate evergreen sclerophyll forest of Tumberumba (AU-Tum) and at the Mediterranean ecosystem (mallee) of Calperum–Chowilla (AU-Cpr). This result extends across satellite products overall:  $MODIS\ GPP_{MOD}$ ,  $LAI_{MOD}$ ,  $fPAR_{MOD}$ , and other VIs.

We aimed for a greater understanding of the mechanistic controls on seasonal GEP, and proposed the parameterization of the light response curve from EC fluxes as a novel tool to obtain ground-based seasonal estimates of ecosystem photosynthetic potential (light use efficiency (LUE), photosynthetic capacity ( $P_c$ ), GEP at saturation ( $GEP_{sat}$ ), and quantum yield ( $\alpha$ )). Photosynthetic potential refers to the presence of photosynthetic infrastructure in the form of ecosystem structure (e.g. leaf area index – quantity of leaves) and function (e.g. leaf level photosynthetic assimilation capacity – quality of leaves) independent of the meteorological and environmental conditions that drive GEP. Based on basic linear regressions, we demonstrated that MODIS-derived biophysical products (e.g. VIs) were a proxy for ecosystem photosynthetic potential rather than GEP. We reported statistically significant regressions between VIs (e.g.  $NDVI_{SZA30}$  and  $EVI_{SZA30}$ ) and long-term measures of phenology (e.g. LUE and  $P_c$ ), in contrast to ecosystem descriptors subject to short-term responses to environmental conditions (e.g.  $GEP_{sat}$  and  $\alpha$ ). Our results should extend to other methods and measures of greenness, including VIs and chromatic indices from phenocams and in situ spectrometers.

We found that the linear regressions between MODIS biophysical products and photosynthetic potential converged on a single function across very diverse biome types, which implies that these relationships may persist over very large areas, thus improving our ability to extrapolate in situ phenology and seasonality to continental scales, across longer temporal scales, and enabling us to identify rapid changes due to extreme events or spatial variations at ecotones. We further found that saturation of  $fPAR_{MOD}$  and  $NDVI_{SZA30}$  restricted their usefulness, except in comparatively low biomass ecosystems (savannas and arid and semi-arid savannas and woodlands).

We quantified how much GEP seasonality could be explained by different variables: radiation ( $SW_{down}$ ), temperature ( $T_{air}$ ), precipitation (Precip), or phenology (VIs as proxy). Our analysis showed that the relationship between RS products and GEP was only clear when productivity was driven by either (1) ecosystem phenology and climate, synchronously driving GEP, as was observed at Alice Springs

mulga woodland (AU-ASM), and similar to many temperate deciduous locations, or (2) solely the vegetation photosynthetic potential, as observed at the tropical savanna site of Howard Springs (AU-How). At AU-How, radiation and temperature were constant throughout the year, although ecosystem photosynthetic activity (GEP) and potential (e.g. Pc and LUE) fluctuated with the highly seasonal understorey. However, RS products do not follow GEP when (3) phenology is asynchronous with key meteorological drivers such that GEP is driven by one or the other at different times of the year, as we observed at AU-Cpr; or when (4) GEP is driven by meteorology ( $SW_{\text{down}}$ ,  $T_{\text{air}}$ , soil water availability, VPD, or different combinations), and photosynthetic potential is aseasonal, as observed at AU-Tum. At AU-Tum, changes in productivity were driven by  $SW_{\text{down}}$ , while the ecosystem biophysical properties remained relatively constant throughout the year, represented by the small amplitude of the annual cycles in Pc and LUE (true evergreen forest). An understanding of why satellite vs. flux tower estimates of GEP relationships hold, or do not hold, greatly contributes to our comprehension of carbon cycle mechanisms and scaling factors that are at play (e.g. climate and phenology, among others).

## 6 Data availability

EC data can be freely accessed at <http://www.ozflux.org.au/>. MODIS satellite reflectance values, vegetation indices, and other products can be downloaded from the USGS depository at <http://e4ftl01.cr.usgs.gov/>. TRMM satellite-derived precipitation can be accessed at <http://mirador.gsfc.nasa.gov/cgi-bin/mirador/presentNavigation.pl?tree=project&project=TRMM>. Global shortwave radiation can be downloaded from [http://ceres.larc.nasa.gov/order\\_data.php](http://ceres.larc.nasa.gov/order_data.php). MATLAB code is available upon request ([nataliacoupe@gmail.com](mailto:nataliacoupe@gmail.com)).

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