



# Effects of ultraviolet radiation on photosynthetic performance and N<sub>2</sub> fixation in *Trichodesmium erythraeum* IMS 101

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Received: 23 March 2017 – Discussion started: 24 March 2017

Revised: 21 August 2017 – Accepted: 29 August 2017 – Published: 11 October 2017

**Abstract.** Biological effects of ultraviolet radiation (UVR; 280–400 nm) on marine primary producers are of general concern, as oceanic carbon fixers that contribute to the marine biological CO<sub>2</sub> pump are being exposed to increasing UV irradiance due to global change and ozone depletion. We investigated the effects of UV-B (280–320 nm) and UV-A (320–400 nm) on the biogeochemically critical filamentous marine N<sub>2</sub>-fixing cyanobacterium *Trichodesmium* (strain IMS101) using a solar simulator as well as under natural solar radiation. Short exposure to UV-B, UV-A, or integrated total UVR significantly reduced the effective quantum yield of photosystem II (PSII) and photosynthetic carbon and N<sub>2</sub> fixation rates. Cells acclimated to low light were more sensitive to UV exposure compared to high-light-grown ones, which had more UV-absorbing compounds, most likely mycosporine-like amino acids (MAAs). After acclimation under natural sunlight, the specific growth rate was lower (by up to 44 %), MAA content was higher, and average trichome length was shorter (by up to 22 %) in the full spectrum of solar radiation with UVR, than under a photosynthetically active radiation (PAR) alone treatment (400–700 nm). These results suggest that prior shipboard experiments in UV-opaque containers may have substantially overestimated in situ nitrogen fixation rates by *Trichodesmium*, and that natural and anthropogenic elevation of UV radiation intensity could significantly inhibit this vital source of new nitrogen to the current and future oligotrophic oceans.

## 1 Introduction

Global warming is inducing shoaling of the upper mixed layer and enhancing a more frequent stratification of the surface layer, thus exposing phytoplankton cells which live in the upper mixed layer to higher depth-integrated irradiance including UV radiation (Häder and Gao, 2015). The increased levels of UV radiation have generated concern about their negative effects on aquatic living organisms, particularly phytoplankton, which require light for energy and biomass production.

Cyanobacteria are the largest and most widely distributed group of photosynthetic prokaryotes on the Earth, and they contribute markedly to global CO<sub>2</sub> and N<sub>2</sub> fixation (Sohm et al., 2011). Fossil evidence suggests that cyanobacteria first appeared during the Precambrian era (2.8 to 3.5 × 10<sup>9</sup> years ago) when the atmospheric ozone shield was absent (Sinha and Häder, 2008). Cyanobacteria have thus often been presumed to have evolved under more elevated UV radiation conditions than any other photosynthetic organisms, possibly making them better equipped to handle UV radiation.

Nevertheless, a number of studies have shown that UV-B impairs not only the DNA, pigmentation, and protein structures of cyanobacteria but also several key metabolic activities, including growth, survival, buoyancy, nitrogen metabolism, CO<sub>2</sub> uptake, and ribulose 1,5-bisphosphate carboxylase activity (Rastogi et al., 2014). To deal with UV stress cyanobacteria have evolved a number of defense strategies, including migration to escape from UV radiation, efficient DNA repair mechanisms, programmed cell death, the production of antioxidants, and the biosynthesis of UV-absorbing compounds, such as mycosporine-like amino acids

(MAAs) and scytonemin (Rastogi et al., 2014; Häder et al., 2015).

The non-heterocystous cyanobacterium *Trichodesmium* plays a critical role in the marine nitrogen cycle, as it is one of the major contributors to oceanic nitrogen fixation (Capone et al., 1997) and furthermore is an important primary producer in the tropical and subtropical oligotrophic oceans (Carpenter et al., 2004). This global importance of *Trichodesmium* has motivated numerous studies regarding the physiological responses of *Trichodesmium* to environmental factors, including visible light, phosphorus, iron, temperature, and CO<sub>2</sub> (Kranz et al., 2010; Shi et al., 2012; Fu et al., 2014; Spungin et al., 2014; Hutchins et al., 2015). However, to the best of our knowledge, there have been no reports on how UV exposure may affect *Trichodesmium*.

*Trichodesmium* spp. have a cosmopolitan distribution throughout much of the oligotrophic tropical and subtropical oceans, where there is a high penetration of solar UV-A and UV-B radiation (Carpenter et al., 2004). It also frequently forms extensive surface blooms (Westberry and Siegel, 2006), where it is presumably exposed to very high levels of UV radiation. Moreover, in the ocean, *Trichodesmium* populations may experience continuously changing irradiance intensities as a result of vertical mixing. Cells photoacclimated to reduced irradiance at lower depths might be subject to solar UV radiation (UVR) damage when they are vertically delivered close to the sea surface due to mixing. Therefore, this unique cyanobacterium may have developed defensive mechanisms to overcome harmful effects of frequent exposures to intense UV radiation. Understanding how its N<sub>2</sub> fixation and photosynthesis respond to UV irradiance will thus further our knowledge of its ecological and biogeochemical roles in the ocean.

When estimating N<sub>2</sub> fixation using incubation experiments in the field, marine scientists have typically excluded UV radiation by using incubation bottles made of UV-opaque materials like polycarbonate (Capone et al., 1998; Olson et al., 2015). Thus, it seems possible that most shipboard measurements of *Trichodesmium* N<sub>2</sub> fixation rates could be overestimates of actual rates under natural UV exposure conditions in the surface ocean. Our experiments were specifically designed because of the importance of *Trichodesmium* in the input of carbon and nitrogen on oligotrophic oceans, and the lack of studies about the impact of enhanced UVR on the C and N fixation. In this study, *Trichodesmium* was exposed to spectrally realistic irradiances of UVR in laboratory experiments to examine the short-term effects of UVR on photosynthesis and N<sub>2</sub> fixation. In addition, *Trichodesmium* was grown under natural solar irradiance outdoors in order to assess UV impacts on longer timescales, and to test for induction of protective mechanisms to ameliorate chronic UV exposure effects.

## 2 Materials and methods

### 2.1 Experimental design

The experiments to evaluate how UVR affects photosynthesis and N<sub>2</sub> fixation of *Trichodesmium* were carried out in indoor and outdoor environments as follows, with the study divided into two parts: (1) a short-term experiment under a solar simulator (refer to Fig. S1 in the Supplement for the spectrum) to examine the responses of *Trichodesmium erythraeum* IMS 101 to a range of acute UV radiation exposures, and (2) a long-term UV experiment under natural sunlight to examine acclimated growth and physiology of *Trichodesmium* IMS 101. The first set of experiments was intended to mimic intense but transitory UV exposures, as might occur sporadically during vertical mixing, while the second set was intended to give insights into responses during extended near-surface UV exposures, such as during a surface bloom event.

### 2.2 Short-term UV experiment

*Trichodesmium erythraeum* IMS101 strain was isolated from the North Atlantic Ocean (Prufert-Bebout et al., 1993) and maintained in laboratory stock cultures in exponential growth phase in autoclaved artificial seawater enriched with nitrogen-free YBCII medium (Chen et al., 1996). For the short-term UV experiment, the cells were grown under low light (LL; 70  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) and high light (HL; 400  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) (12 : 12 light : dark) photosynthetically active radiation (PAR) for at least 50 generations (about 180 days) prior to the UV experiments. These two light levels represent growth sub-saturating and super-saturating levels for *Trichodesmium* (Cai et al., 2015). Cultures were grown in triplicate using a dilute semi-continuous culture method, with medium renewed every 4–5 days at 25 °C. The cell concentration was maintained at  $< 5 \times 10^4 \text{ cell mL}^{-1}$ .

To determine the short-term responses of *Trichodesmium* IMS101 to UV radiation, subcultures of *Trichodesmium* IMS101 were dispensed at a final cell density of  $2\text{--}4 \times 10^4 \text{ cells mL}^{-1}$  into containers that allow transmission of all or part of the UV spectrum, including 35 mL quartz tubes (for measurements of carbon fixation or measurements of fluorescence parameters), 100 mL quartz tubes (for pigment measurements), or 13 mL gas-tight borosilicate glass vials (for N<sub>2</sub> fixation measurements). Three triplicated radiation treatments were implemented: (1) PAB (PAR + UV-A + UV-B) treatment, using tubes covered with Ultraphan film 295 (DigeFra, Munich, Germany), thus receiving irradiances  $> 295 \text{ nm}$ ; (2) PA (PAR + UV-A) treatment, using tubes covered with Folex 320 film (Montagefolie, Folex, Dreieich, Germany), and receiving irradiances  $> 320 \text{ nm}$ ; and (3) P treatment – tubes covered with Ultraphan film 395 (UV Opak, DigeFra), with samples receiving irradiances

above 395 nm, representing PAR (400–700 nm). Since the transmission spectrum of the borosilicate glass was similar to that of Ultraphan film 295, the borosilicate glass vials for N<sub>2</sub> fixation measurements of PAB treatment were uncovered. Transmission spectra of these tubes (quartz and borosilicate) and the various cut-off foils used in this study are shown in Fig. S1.

The experimental tubes were placed under a solar simulator (Sol 1200W; Dr. Hönle, Martinsried, Germany) at a distance of 110 cm from the lamp, and maintained in a circulating water bath for temperature control (25 °C) (CTP-3000, Eyela, Japan). Irradiance intensities were measured with a LI-COR 2 $\pi$  PAR sensor (PMA2100, Solar Light, USA) that has channels for PAR (400–700 nm), UV-A (320–400 nm), and UV-B (280–320 nm). Measured values at the 110 cm distance were 87 W m<sup>-2</sup> (PAR, ca. 400  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>), 28 W m<sup>-2</sup> (UV-A), and 1 W m<sup>-2</sup> (UV-B). For the fluorescence measurements, samples were exposed under a solar simulator for 60 min and measurements of fluorescence parameters were performed during the exposure (see below). Due to analytical sensitivity issues, for the carbon and N<sub>2</sub> incorporation measurements, the exposure duration was 2 h, and for the measurements of UVAC (UV-absorbing compounds) contents, the exposure time was 10 h.

### 2.3 Long-term UV experiment

To assess the long-term effects of solar ultraviolet radiation on *Trichodesmium* IMS101, an outdoor experiment was carried out during the winter (1 to 26 January 2014) in subtropical Xiamen, China. Cell cultures of 300–400 mL were grown in 500 mL quartz vessels exposed to 100 % daytime natural solar irradiance (surface ocean irradiance) (daytime PAR average of  $\sim$ 120 W m<sup>-2</sup>, highest PAR at noon  $\sim$ 300 W m<sup>-2</sup>). All of the quartz vessels were placed in a shallow water bath at 25 °C using a temperature control system (CTP-3000, Eyela, Japan). Two triplicated radiation treatments were implemented: (1) treatment P – PAR alone (400–700 nm), tubes covered with Ultraphan film 395 (UV Opak, Digefra); (2) treatment PAB – PAR + UV-A + UV-B (295–700 nm), unwrapped quartz tubes. Incident solar radiation was continuously monitored with a broadband Eldonet filter radiometer (Eldonet XP, Real Time Computer, Möhrendorf, Germany) that was placed near the water bath. Daily doses of solar PAR, UV-A and UV-B during the experiments are shown in Fig. S2. The photoperiod during the outdoor incubation was 11 : 13 light : dark (light period from 07:00 to 18:00 local time). Cells were maintained in exponential growth phase (cell density  $< 5 \times 10^4$ ), with dilutions (after sunset) every 4 days. All parameters were measured after acclimation under P or PAB radiation for a week.

In order to evaluate adaptation responses of *Trichodesmium* to natural solar irradiance, all parameters were obtained after one week acclimation outdoor. Specific growth rate ( $\mu$ , d<sup>-1</sup>) of *Trichodesmium* IMS101 was determined

based on the change in cell concentrations over 4 days during the 8th–11th and 12th–15th day using microscopic counts (Cai et al., 2015); the corresponding total dose from day 8 to day 11 and from day 12 to day 15 were 17.03 and 18.51 MJ m<sup>-2</sup>, respectively. Chl *a* content was measured at the 11th, 15th, and 19th day, and Chl *a*-specific absorption spectrum was measured at the 18th day. Carbon and N<sub>2</sub> fixation rate were measured at 11:00–13:00 on the 18th day; the diel solar irradiance record on that day is given in Fig. S3. In order to separate the respective effects of UV-A and UV-B on carbon and N<sub>2</sub> fixation, a shift experiment was carried out: subcultures from either P or PAB treatments were transferred into another P (PAR), PA (PAR + UV-A), or PAB (PAR + UV-A + UV-B) treatment, which were marked as P', PA' and PAB' treatments, respectively (namely P-grown cells divided into P', PA', and PAB' treatments; PAB-grown cells also divided into P', PA', and PAB' treatments). For carbon and N<sub>2</sub> fixation measurements, 35 mL quartz tubes and 13 mL gas-tight borosilicate glass vials were used, respectively, as described below. Triplicate samples were used for each radiation treatment for carbon and N<sub>2</sub> fixation, and the incubations were performed under 100 % solar irradiance for 2 h.

## 3 Measurements and analyses

### 3.1 Effective photochemical quantum yield

Effective photochemical quantum yield ( $F'_V/F'_M$ ) is generally considered to be light quantum use efficiency. We use this parameter to indicate photosystem II activity. During the exposure under the solar simulator in the short-term experiment, small aliquots of cultures (2 mL) were withdrawn at time intervals of 3–10 min and immediately measured (without any dark adaptation) using a pulse-amplitude-modulated (PAM) fluorometer (Xe-PAM, Walz, Germany). The quantum yield of PSII ( $F'_V/F'_M$ ) was determined by measuring the instant maximum fluorescence ( $F'_M$ ) and the steady-state fluorescence ( $F_t$ ) under the actinic light. The maximum fluorescence ( $F'_M$ ) was determined using a saturating light pulse (4000  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> in 0.8 s) with the actinic light level set at 400  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>, similar to the PAR level during the solar simulator exposure. The quantum yield was calculated as  $F'_V/F'_M = (F'_M - F_t)/F'_M$  (Genty et al., 1989).

### 3.2 Chlorophyll-specific absorption spectra and UV-absorbing compounds (UVACs)

Chl *a*-specific absorption spectra were measured on the 18th day, after consecutive sunny days. Cellular absorption spectra were measured using the “quantitative filter technique” (Kiefer and SooHoo, 1982; Mitchell, 1990). The cells were filtered onto GF/F glass fiber filters and scanned from 300 to 800 nm using a 1 nm slit in a spectrophotometer equipped

with an integrating sphere to collect all the transmitted or forward-scattered light (i.e., light diffused by the filter and the quartz diffusing plate). Filters soaked in culture medium were used as blanks. Chlorophyll-specific absorption cross-sections ( $a^*$ ) were calculated according to Cleveland and Weidemann (1993) and Anning et al. (2000). Content of Chl *a* and UV-absorbing compounds (UVACs) were measured by filtering the samples onto GF/F filters and subsequently extracted in 4 mL of 100 % methanol overnight in darkness at 4 °C. The absorption of the supernatant was measured by a scanning spectrophotometer (Beckman Coulter Inc., Fullerton, CA, USA). The concentration of Chl *a* was calculated according to Ritchie (2006). The main absorption values for UV-absorbing compounds ranged between wavelengths of 310 and 360 nm, and the peak absorption value at 332 nm was used to estimate total absorptivity of UVACs according to Dunlap et al. (1995). The absorptivity of UVACs was finally normalized to the Chl *a* content ( $\mu\text{g} (\mu\text{g Chl } a)^{-1}$ ).

*Trichodesmium* IMS101 UVACs content was compared to that of three other marine phytoplankton species, including *Chlorella* sp., *Phaeodactylum tricornutum*, and *Synechococcus* WH7803, representing a green alga, a diatom, and a unicellular cyanobacterium, respectively. All cultures were maintained under the same conditions (25 °C, 150  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ ) for several days prior to pigment extraction. The absorption spectra were measured using the same method in *Trichodesmium* by filtering the samples on GF/F filters, which were subsequently extracted in 4 mL of 100 % methanol overnight at 4 °C. The absorption spectra of the supernatant were scanned from 250 to 800 nm in a spectrophotometer (Beckman Coulter Inc., Fullerton, CA, USA). The optical density (OD) values were then normalized to OD (662 nm) at Chl *a* peak.

### 3.3 Carbon fixation rate

Carbon fixation rates of both short- and long-term experiments were measured using the  $^{14}\text{C}$  method. Samples of 20 mL were placed in 35 mL quartz tubes and inoculated with 5  $\mu\text{Ci}$  (0.185 MBq) of labeled sodium bicarbonate (ICN Radiochemicals) and were then maintained under the corresponding radiation treatments for 2 h. After incubation, the cells were filtered onto Whatman GF/F filters ( $\Phi 25$  mm) and stored at  $-20$  °C until analysis. To determine the radioactivity, the filters were thawed and then exposed to HCl fumes overnight and dried at 60 °C for 4 h before being placed in scintillation cocktail (Hisafe 3, Perkin-Elmer, Shelton, CT, USA) and measured with a scintillation counter (Tri-Carb 2800TR, Perkin-Elmer, Shelton, CT, USA) as previously described (Cai et al., 2015).

### 3.4 $\text{N}_2$ fixation rate

Rates of  $\text{N}_2$  fixation for both short- and long-term experiments were measured in parallel with the carbon fixation measurements using the acetylene reduction assay (ARA) (Capone, 1993). Samples of 5 mL subcultures were placed in 13 mL gas-tight borosilicate vials (described above), and 1 mL of acetylene was injected into the headspace before incubating for 2 h under the corresponding radiation treatment conditions. A 500  $\mu\text{L}$  headspace sample was then analyzed in a gas chromatograph equipped with a flame-ionization detector and quantified relative to an ethylene standard. The ethylene produced was calculated using the Bunsen gas solubility coefficients according to Breitbarth et al. (2004) and an ethylene production to  $\text{N}_2$  fixation conversion factor of 4 was used to derive  $\text{N}_2$  fixation rates, which were then normalized to cell number.

## 4 Data analysis

The inhibition of  $\Phi\text{PSII}$ , carbon fixation, and  $\text{N}_2$  fixation due to UVR, UV-A, or UV-B was calculated as

$$\text{UVR-induced inhibition} = (I_P - I_{\text{PAB}})/I_P \times 100 \%$$

$$\text{UV-A-induced inhibition} = (I_P - I_{\text{PA}})/I_P \times 100 \%$$

$$\text{UV-B-induced inhibition} = \text{UVR}_{\text{inh}} - \text{UVA}_{\text{inh}},$$

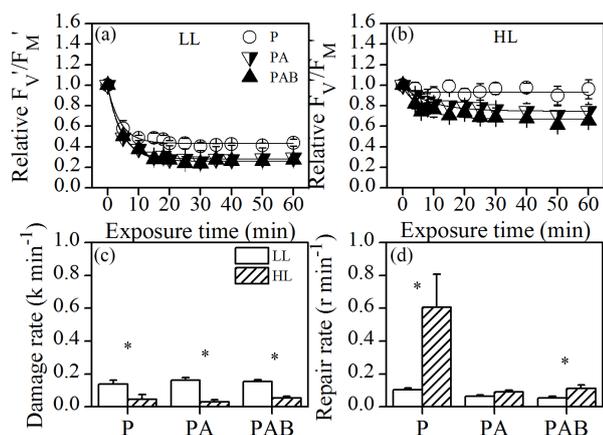
where  $I_P$ ,  $I_{\text{PA}}$ , and  $I_{\text{PAB}}$  indicate the values of carbon fixation or  $\text{N}_2$  fixation in the P, PA, and PAB treatments, respectively. Repair ( $r$ ) and damage ( $k$ ) rates during the 60 min exposure period in the presence of UV were calculated using the Kok model (Heraud and Beardall, 2000):

$$P/P_{\text{initial}} = r/(r+k) + k/(r+k) \times \exp(-(r+k) \times t),$$

where  $P_{\text{initial}}$  and  $P$  were the yield values at the beginning and at exposure time  $t$ . Three replicates for culture conditions or each radiation condition was used in all experiments, and the data are plotted as mean and standard deviation values. Two-way ANOVA tests were used to determine the interaction between acclimatization conditions and UVR at a significance level of  $p = 0.05$ .

## 5 Results

For the short-term UV experiment, the effects of acute UVR exposure on cells grown under LL and HL conditions are shown in Fig. 1. For the cells grown under LL condition, the  $F'_V/F'_M$  declined sharply within 10 min after first exposure in all radiation treatments and then leveled off.  $F'_V/F'_M$  decreased less in the samples receiving PAR alone (to 43 % of the initial value) than those additionally receiving UV-A (to 30 % of the initial value) or UV-A + UV-B (to 24 % of the initial value) (Fig. 1a). The  $F'_V/F'_M$  value of PA

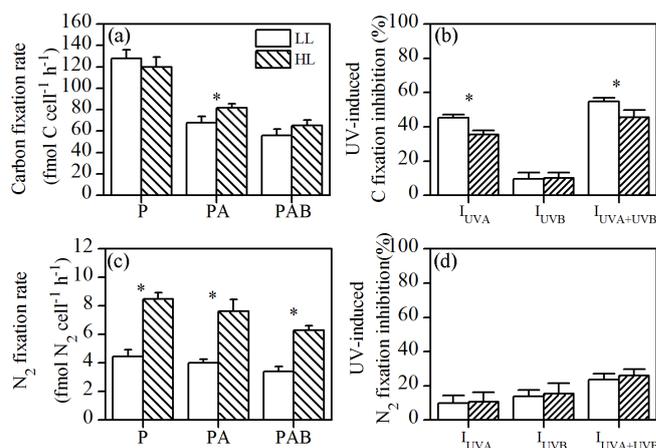


**Figure 1.** Changes of effective quantum yield ( $F'_V/F'_M$ ) of *Trichodesmium* IMS101 grown under (a) LL and (b) HL conditions while exposed to PAR (P), PAR + UVA (PA), and PAR + UVA + UVB (PAB) under a solar simulator for 60 min. PSII damage (c;  $k$ , in  $\text{min}^{-1}$ ) and repair rates (d;  $r$ , in  $\text{min}^{-1}$ ) of LL- and HL-grown cells were derived from the yield decline curve in the upper panels. Asterisks above the histogram bars indicate significant differences between LL- and HL-grown cells. Values are the mean  $\pm$  SD for triplicate incubations.

and PAB treatments were significantly lower compared to the PAR treatment ( $p = 0.03$  and  $p < 0.01$ , respectively).  $F'_V/F'_M$  of HL-grown cells declined less and more slowly compared to the LL-grown cells. The  $F'_V/F'_M$  of HL cells under PAR alone remained more or less constant during the exposure, since the PAR level was similar to the growth level of HL ( $400 \mu\text{mol photons m}^{-2} \text{s}^{-1}$ ). In contrast, the  $F'_V/F'_M$  decreased to 75 and 65 % of its initial value for the PA and PAB treatment, respectively, and were significantly lower than the P treatment ( $p < 0.01$ ) (Fig. 1b).

The damage and repair rates of the PSII reaction center estimated from the exponential decay in the effective quantum yield showed higher damage and lower repair rates in the LL-grown cells than in the HL-grown ones (Fig. 1c, d). The PSII damage rates ( $k$ ,  $\text{min}^{-1}$ ) of LL-grown cells were 0.14, 0.16 and  $0.15 \text{ min}^{-1}$  in the P, PA, and PAB treatments, respectively, about 2 times faster than in the cells grown under HL conditions (Fig. 1c). The PSII repair rates ( $r$ ,  $\text{min}^{-1}$ ) of LL-grown cells were 0.1, 0.06, and  $0.05 \text{ min}^{-1}$  in the P, PA, and PAB treatments, which were 83 % ( $p < 0.01$ ), 33 % ( $p < 0.01$ ), and 54 % ( $p < 0.01$ ) lower than in HL-grown cells, respectively (Fig. 1d). The damage rate was not significantly different among P, PA, and PAB treatments within either of the LL- and HL-grown treatments ( $p > 0.05$ ), but the repair rate was much higher in the P treatment without UV than in PA or PAB treatments in the HL-grown cells ( $p < 0.01$ ).

The photosynthetic carbon fixation and  $\text{N}_2$  fixation rates during the UV exposure are shown in Fig. 2. The HL-grown cells had 17 % higher photosynthetic carbon fixation rates

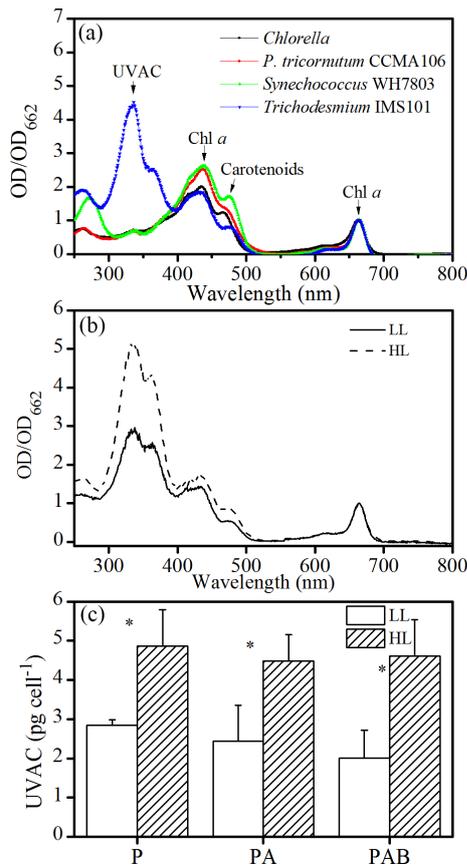


**Figure 2.** Photosynthetic carbon fixation rate (a;  $\text{fmol C cell}^{-1} \text{h}^{-1}$ ) and UV-induced C fixation inhibition (b),  $\text{N}_2$  fixation rate (c;  $\text{fmol N}_2 \text{ cell}^{-1} \text{h}^{-1}$ ), and corresponding UV-induced  $\text{N}_2$  fixation inhibition (d) of *Trichodesmium* IMS101 grown under LL and HL conditions. Asterisks above the histogram bars indicate significant differences between LL- and HL-grown cells. Values are the mean  $\pm$  SD for triplicate incubations.

than the LL-grown ones under the PA treatment ( $p < 0.01$ ); however, the LL- and HL-grown cells did not show significant differences in carbon fixation rates under the P and PAB treatments ( $p = 0.29$ , and  $p = 0.06$ ). In the presence of UV radiation, carbon fixation was significantly inhibited in both LL and HL-grown cells (Fig. 2a). Carbon fixation inhibition induced by UV-A was about 35–45 %, much larger than that induced by UV-B, which caused only about a 10 % inhibition of carbon fixation ( $p < 0.01$ ). The UV-A exposed carbon fixation rate was significantly higher in the LL-grown cells than in HL-grown cells ( $p < 0.01$ ), while UV-B did not cause a significant difference in inhibition between the HL- and LL-grown cells ( $p = 0.88$ ) (Fig. 2b).  $\text{N}_2$  fixation rates were about 2-fold higher in HL-grown cells in all radiation treatments (Fig. 2c,  $p < 0.01$ ), but the UV-induced  $\text{N}_2$  fixation inhibition showed no significant differences between the LL- and HL-grown cells regardless of UV-A or UV-B exposures (Fig. 2d,  $p = 0.80$ ,  $0.62$ ,  $0.39$  for UVA-, UVB-, and UVR-induced inhibition, respectively).

Compared to other phytoplankton under the same growth conditions, *Trichodesmium* IMS101 had much higher absorbance in the UV region (300–400 nm) (Fig. 3a). In this study, the absorbance at 332 nm of HL-grown cells was about 2-fold higher compared to LL-grown ones (Fig. 3b). However, the cellular Chl *a* content (data not shown) and UVACs contents of both LL- and HL-grown cells did not present differences between radiation treatments after exposure to UV for 10 h (Fig. 3c).

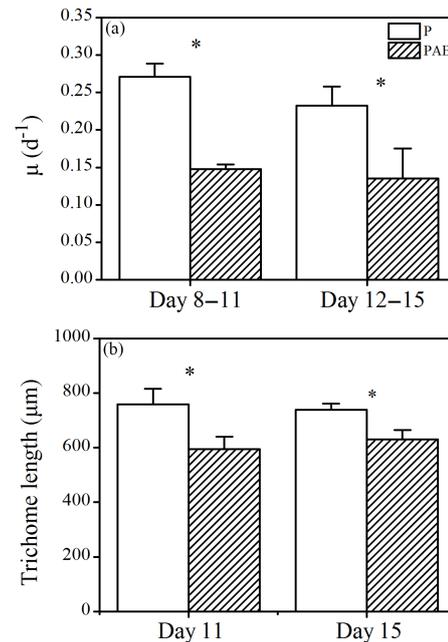
For the long-term UV experiment, after being acclimated under full natural solar radiation for 7 days, the specific growth rates of cells grown under the PAB treatment were  $0.15 \pm 0.01$  and  $0.14 \pm 0.06$  during the 8th–11th day and



**Figure 3.** (a) Absorption spectrum of *Trichodesmium* IMS101 compared to other phytoplankton. Pigments were extracted by 100% methanol. OD value normalized to OD<sub>662</sub> (Chl *a*). (b) Absorption spectrum of the *Trichodesmium* IMS101 grown under LL and HL conditions, with OD value normalized to OD<sub>662</sub> (Chl *a*). (c) Cellular contents of UVACs of *Trichodesmium* IMS101 grown under LL and HL conditions after exposure to PAR (P), PAR + UVA (PA), and PAR + UVA + UVB (PAB) under a solar simulator for 10 h. Asterisks above the histogram bars indicate significant differences between LL- and HL-grown cells. Values are the mean  $\pm$  SD for triplicate incubations.

12th–15th day periods, respectively. These growth rates were significantly lower by 44 and 39% compared to cells grown under the P treatment, respectively (Fig. 4a,  $p = 0.014$  and  $p = 0.03$ ). The mean trichome lengths of P treatment cells on the 11th and 15th day were  $758 \pm 56$  and  $726 \pm 19$   $\mu\text{m}$ , while addition of UVR significantly reduced the trichome length by 22% (day 11,  $p = 0.02$ ) and 11% (day 15,  $p = 0.02$ ).

Analysis of the Chl *a*-specific absorption spectra,  $a^*(\lambda)$ , demonstrated that UVR had a major effect on the absorbance of UV regions and phycobilisomes (Fig. 5). The optical absorption spectra revealed a series of peaks in the UV and visible wavelengths corresponding to the absorption peaks of UVACs at 332 nm, Chl *a* at 437 and 664 nm, phycourobilin (PUB) at 495 nm, phycoerythrobilin (PEB) at 545 nm, phycoerythrocyanin (PEC) at 569 nm, and phycocyanin (PC)

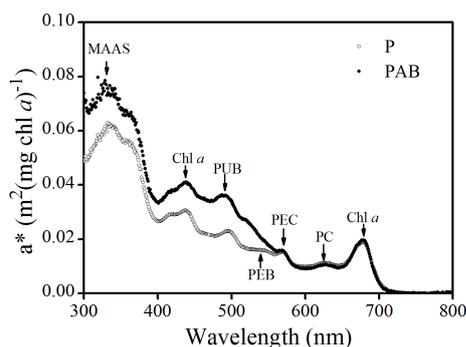


**Figure 4.** (a) Specific growth rate (measured during 8th–11th and 12th–15th days) of *Trichodesmium* IMS101 grown under solar PAR (P) and PAR + UVA + UVB (PAB). Corresponding total solar doses from day 8 to day 11 and from day 12 to day 15 were 17.03 and 18.51 MJ, respectively. (b) Trichome length (measured on the 11th and 15th day) of *Trichodesmium* IMS101 grown under solar PAR (P) and PAR + UVA + UVB (PAB). The asterisks indicate significant differences between radiation treatments. Values are the mean  $\pm$  SD for triplicate cultures.

at 627 nm. In the UV region, the  $a^*(\lambda)$  value was higher in the PAB treatment cultures than in the P treatment cultures (Fig. 5). The UVR treatments did not show clear effects on Chl *a* content compared to acclimation to P alone measured on different days (Fig. S3). However, the ratio of UVACs to Chl *a* was increased by 41% in the PAB compared to the P treatment ( $p < 0.01$ ).

The cells grown in the long-term P and PAB treatments showed different responses for carbon and N<sub>2</sub> fixation after being transferred to short-term P', PA', and PAB' radiation treatments at noon on the 18th day (Fig. 6). P- and PAB-acclimated cells did not show significant differences in carbon fixation among all short-term P', PA' and PAB' treatments (Fig. 6a,  $p = 0.17$ ,  $p = 0.22$ ,  $p = 0.51$ , respectively), nor in the UV-induced inhibition of carbon fixation (Fig. 6b,  $p > 0.05$ ). However, inhibition induced by UV-A at short exposures was about 58% in both P and PAB treatments and significantly higher than inhibition induced by UV-B radiation (Fig. 6b,  $p < 0.01$ ).

N<sub>2</sub> fixation rates of P-acclimated cells were significantly higher than PAB-acclimated cells in all P', PA', and PAB' treatments (Fig. 6c,  $p < 0.01$ ). The N<sub>2</sub> fixation inhibition induced by UV-A of PAB-acclimated cells was 49%, significantly higher by 47% than that of P-



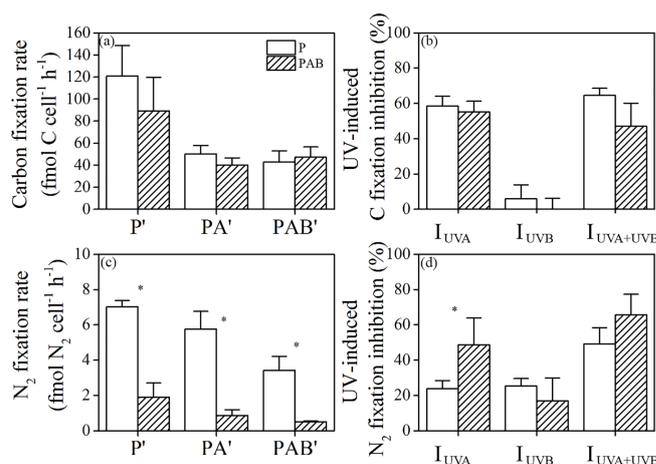
**Figure 5.** Chl *a*-specific absorption spectrum ( $a^*$ ) of *Trichodesmium* IMS101 grown under solar PAR (P) and PAR + UVA + UVB (PAB). The measurements were taken on the 18th day. The absorption peaks of MAAs (330 nm), PUB (495 nm), PEB (545 nm), PEC (569 nm), PC (625 nm), and Chl *a* (438 and 664 nm) are indicated.

acclimated cells ( $p = 0.03$ ), while there was no significant difference in UVB-induced  $N_2$  fixation inhibition between P- and PAB-acclimated cells (Fig. 6d,  $p = 0.62$ ). The carbon fixation rates measured under P (P treated cells to P') and PAB (PAB treated cells to PAB') conditions were 89.2 and 47.1  $\text{fmol C cell}^{-1} \text{h}^{-1}$ , respectively, while  $N_2$  fixation rates measured under those conditions were 1.9 and 0.5  $\text{fmol N}_2 \text{ cell}^{-1} \text{h}^{-1}$ . UVR exposure lowered estimates of carbon and  $N_2$  fixation rates by 47 and 65 %, respectively.

## 6 Discussion

Our study shows that growth, photochemistry, photosynthesis, and  $N_2$  fixation in *Trichodesmium* sp. are all significantly inhibited by UVR, including both UV-A and UV-B. These effects occur in both short-term, acute exposures and after extended exposures during acclimated growth. These results are ecologically relevant, since this cyanobacterium is routinely exposed to elevated solar irradiances in its tropical habitat either transiently, during vertical mixing, or over longer periods during surface blooms. *Trichodesmium* provides a biogeochemically critical source of new N to open-ocean food webs, so significant UV inhibition of its growth and  $N_2$  fixation rates could have major consequences for ocean biology and carbon cycling.

Short exposure to UVR causes a significant decline in the quantum yield of photosystem II (PSII) fluorescence of *Trichodesmium*, which is consistent with damage to critical PSII proteins such as D1 in a brackish water cyanobacterium *Arthrospira* (*Spirulina*) *platensis* (Wu et al., 2011). UV-induced degradation of D1 proteins results in inactivation of PSII, leading to reduction in photosynthetic activity (Campbell et al., 1998). In addition, studies of various microbial mats have shown that RuBisCo activity and supply of ATP and NADPH are inhibited under UV exposure, which



**Figure 6.** Photosynthetic carbon fixation rate (a;  $\text{fmol C cell}^{-1} \text{h}^{-1}$ ) and UV-induced C fixation inhibition (b; %),  $N_2$  fixation rate (c;  $\text{fmol N}_2 \text{ cell}^{-1} \text{h}^{-1}$ ), and corresponding UV-induced  $N_2$  fixation inhibition (d) of *Trichodesmium* IMS101 grown under solar PAR (P) and PAR + UVA + UVB (PAB) transferred to other P', PA' and PAB' treatments. The measurement was taken on the 18th day at 11:00–13:00. Asterisks above the histogram bars indicate significant differences between P and PAB treatments. Values are the mean  $\pm$  SD for triplicate incubations.

might also lead to the reduction in photosynthetic carbon fixation (Cockell and Rothschild, 1999; Sinha et al., 1996, 1997).

Exposure to UVR had an impact on nitrogenase activity in *Trichodesmium*, since both the short- and the long-term UV exposure led to significant reduction in  $N_2$  fixation of up to 30 % (short-term) or  $\sim 60$  % (long-term) (Figs. 2d and 6d). Studies on the freshwater cyanobacterium *Anabaena* sp. (subg. *Dolichospermum*) have shown a 57 % decline in  $N_2$  fixation rate after 30 min of exposure to UVR of 3.65 W (Lesser, 2007). Some rice-field cyanobacteria completely lost  $N_2$  fixation activity after 25–40 min of exposure to UV-B from a 2.5 W source (Kumar et al., 2003). In our results, long-term exposure to UV led to higher inhibition of  $N_2$  fixation, implying that accumulated damage to the key  $N_2$ -fixing enzyme, nitrogenase, could have occurred during the growth period under solar radiation in the presence of UVR.

Compared to  $N_2$  fixation, UVR induced an even higher degree of inhibition of carbon fixation. The carbon fixation rate decreased by 50 % in the presence of UVR. UV-A induced higher inhibition than UV-B, indicating that although UV-B photons (295–320 nm) are in general more energetic and damaging than UV-A (320–400 nm), the greater fluxes of UV-A caused more inhibition of carbon fixation, which was consistent with other studies of spectral dependence of UV effects (Cullen and Neale, 1994; Neale, 2000). This finding is ecologically significant, since UV-A penetrates much deeper into clear open ocean and coastal seawater than does UV-B.

Compared to low-light-grown cells, the high-light-grown ones were more resistant to UVR, which was reflected in the lower PSII damage rate and faster recovery rate in the presence of UVR, as well as the significantly lower levels of carbon fixation inhibition caused by UV-A and/or UV-B. Such a reduced sensitivity to UVR coincided well with a significant increase in UV-absorbing compounds in the HL-grown cells compared to the LL-grown ones. Similar dependence of photosynthetic sensitivity to UV inhibition on growth light levels has been reported in other species of phytoplankton (Litchman and Neale, 2005; Sobrino and Neale, 2007). A red-tide dinoflagellate *Gymnodinium sanguineum* Hirasaka accumulates 14-fold higher MAAs in high-light-grown cells ( $76 \text{ W m}^{-2}$ ) than in low-light-grown ones ( $15 \text{ W m}^{-2}$ ) and the former ones have lower sensitivity to UVR at wavelengths strongly absorbed by the MAAs (Neale et al., 1998). The sensitivity of PSII quantum yield to UV exposure in *Synechococcus* WH7803 was also less in high-light-grown versus low-light-grown cells (Garczarek et al., 2008). In addition, it has been observed that phytoplankton from turbid waters or acclimated to low-light conditions are more sensitive to UVR than those from clear waters (Villafañe et al., 2004; Litchman and Neale, 2005; Helbing et al., 2015). These observations suggest that *Trichodesmium* spp. may acclimate to growth in the upper mixed layer by producing UV-absorbing compounds, making them more tolerant of UVR than cells living at deeper depths.

Although UVR can clearly cause damage to PSII and inhibit physiological processes in *Trichodesmium* sp., this cyanobacterium has evolved protective biochemical mechanisms to deal with UVR in their natural high-UV habitat. One important class of UV-absorbing substances consists of MAAs and scytonemin. These compounds strongly absorb in the UV-A and/or UV-B region of the spectrum and dissipate their energy as heat without forming reactive oxygen species, protecting the cells from UV and from photooxidative stress (Banaszak, 2003). The MAAs, which have strong UV-absorption maxima between 310 and 362 nm (Sinha and Häder, 2008) as identified by high-performance liquid chromatography in other studies, consist of a group of small, water-soluble compounds, including asterina-332 ( $\lambda_{\text{max}} = 332$ ) and shinorine ( $\lambda_{\text{max}} = 334$ ), which are the most abundant, as well as mycosporine-glycine ( $\lambda_{\text{max}} = 310$ ), porphyra-334 ( $\lambda_{\text{max}} = 334$ ), and palythene ( $\lambda_{\text{max}} = 360$ ) (Shick and Dunlap, 2002; Subramaniam et al., 1999). As was found previously in *Trichodesmium* spp., high absorbance in the UV region is mainly due to the presence of MAAs, with absorbance maxima between 310 and 362 nm (Sinha and Häder, 2008).

Our investigation strongly suggests that *Trichodesmium* is able to synthesize MAAs ( $\lambda_{\text{max}} \sim 330$  and 360 nm) in response to elevated PAR and UVR. Synthesis of MAAs has been reported to be stimulated by high PAR and UVR in other phytoplankton (Karsten et al., 1998; Vernet and Whitehead, 1996; Sinha et al., 2001). Our high-light-grown cells

were more tolerant of UVR, likely at least partly due to their ability to synthesize double the amount of MAAs in comparison to low-light-grown ones (Fig. 3b). It has been showed that accumulation of MAAs may represent a natural defensive system against exposure to biologically harmful UVR (Karsten et al., 1998) and cells with high concentrations of MAAs are more resistant to UVR than cells with small amounts of these compounds (Garcia-Pichel and Castenholz, 1993). In fact, MAA concentrations varying between 0.9 and  $8.4 \mu\text{g mg (dry weight)}^{-1}$  have been measured in cyanobacterial isolates (Garcia-Pichel and Castenholz, 1993), and ratios of MAAs to Chl *a* in the range of 0.04 to 0.19 have been reported in cyanobacterial mats (Quesada et al., 1999). In our study, we found that *Trichodesmium* contained a much higher concentration of MAAs (the highest value in HL-grown cells is  $5 \text{ pg cell}^{-1}$ ) and that the ratio of these compounds to Chl *a* was 5, consistent with previous reports in regard to *Trichodesmium* (Subramaniam et al., 1999), which is much higher than in other phytoplankton. This acclimatization capacity depending on intensity and spectral quality of radiation could be a major reason for the ability of *Trichodesmium* to grow and form extensive surface blooms under strong irradiation in the oligotrophic oceans.

In our study, no significant changes in the amount of MAAs were observed after 10 h of exposure to UVR under the solar simulator. In contrast, a significant increase of 23 % in the concentration of MAAs was observed in cells treated with the full solar spectrum compared to PAR-treated ones grown outdoors after consecutive sunny days (on the 18th). It seems that the synthesis of MAAs takes a relatively long time. Other studies have shown the time required for induction of MAAs in other cyanobacteria is dependent on UV doses and species and shows a circadian rhythm (Sinha et al., 2001, 2003).

Long-term exposure to high solar UVR significantly not only reduced *Trichodesmium*'s growth rate (by 37–44 %) but also significantly shortened its average trichome length (less cell per filament) (Fig. 4). The decreased growth rates correlated with decreased trichome length are consistent with our previous studies under different light levels without UVR (Cai et al., 2015). It has been reported that enhanced UVR is one of the environmental factors that not only inhibits the growth of cyanobacteria but also changes their morphology (Rastogi et al., 2014). Natural solar UVR can suppress formation of heterocysts and shorten the filament length of *Anabaena* sp. PCC7120, because UVR may affect calcium signaling then the expression of the key genes responsible for cell differentiation (Gao et al., 2007). Natural levels of solar UVR in southern China were also found to break the filaments and alter the spiral structure of *Arthrospira* (*Spirulina*) *platensis*, with a compressed helix that lessens UV exposures for the cells (Wu et al., 2005). Cells in the trichomes of the estuarine cyanobacterium *Lyngbya aestuarii* coil and then form small bundles in response to UV-B irradiation (Rath and Adhikari, 2007). However, the shortened trichomes of

*Trichodesmium* in this work may be a result of UV-inhibited growth rather than a responsive strategy against UV.

Carbon fixation in the long-term experiment showed similar patterns with the short-term UV experiment, demonstrating that UV-A played a larger role in inhibiting carbon fixation than UV-B. Since the ratio of UV-B to UV-A is lower in natural solar light (1 : 50) than under our artificial UVR (1 : 28), the inhibitory effects of UV-B were smaller compared to UV-A in the cultures under sunlight. Carbon fixation and N<sub>2</sub> fixation rates measured outdoors indicated that UV-induced carbon fixation inhibition recovers quickly following transfer to PAR conditions, while the UV-induced N<sub>2</sub> fixation inhibition does not (Fig. 6a, c). Factors that might be responsible include lower turnover rate of nitrogenase than that of RuBisCo, more UV-induced damage to nitrogenase with lower efficiency of repair (Kumar et al., 2003), and indirect harm caused by reactive oxygen species induced by UV (Singh et al., 2014).

The UV effects in our study were measured under conditions that minimized self-shading, namely during growth as single filaments. However, in its natural habitat *Trichodesmium* often grows in a colonial form, with packages of many cells held together by an extracellular sheath (Capone et al., 1998). In such colonial growth forms, the effective cellular path lengths for UVR are likely greatly increased, thereby amplifying the overall sunscreen factor for the colony. *Trichodesmium* spp. might use this colony strategy to protect themselves from natural UV damage in the ocean.

Our investigation shows that this cyanobacterium appears to have evolved the ability to produce exceptionally high levels of UV protective compounds, likely MAAs. However, even this protective mechanism is insufficient to prevent substantial inhibition of nitrogen and carbon fixation in the high-irradiance environment where this genus lives. *Trichodesmium* spp. are distributed in the upper layers of the euphotic zone in oligotrophic waters, and their population densities are generally greatest at relatively shallow depths (20 to 40 m) in the upper water column (Capone et al., 1997). It seems likely that UV inhibition therefore significantly reduces the amount of critical new nitrogen supplied by *Trichodesmium* to the N-limited oligotrophic gyre ecosystems, a possibility that has not been generally considered in regional or global models of the marine nitrogen cycle. On the other hand, the UV-absorbing compounds (most likely MAAs) are expensive to make in terms of nitrogen in particular (Singh et al., 2008). Decreased nitrogen supplied may increase sensitivity of phytoplankton assemblages to UV further (Litchman et al., 2002), thus potentially creating a positive feedback between N limitation and the UV sensitivity.

*Trichodesmium* can form dense, extensive blooms in the surface oceans, and a frequently cited estimate of global nitrogen fixation rates by *Trichodesmium* blooms is  $\sim 42 \text{ Tg N yr}^{-1}$  (Westberry et al., 2006). Previous biogeochemical models of global N<sub>2</sub> fixation have emphasized con-

trols by many environmental factors, including solar PAR, temperature, wind speed, and nutrient concentrations (Luo et al., 2014), but have largely neglected the effects of UVR. When estimating N<sub>2</sub> fixation using incubation experiments in the field, however, marine scientists have typically excluded UVR by using incubation bottles made of UV-opaque materials like polycarbonate (Olson et al., 2015). Our results suggest that under solar radiation at the surface ocean, including realistic levels of UVR inhibition lowers estimates of carbon fixation and N<sub>2</sub> fixation by around 47 and 65 %, respectively (Fig. 6).

Thus, it seems likely that shipboard measurements and possibly current model projections of *Trichodesmium* N<sub>2</sub> fixation and primary production rates that do not take into account UV inhibition could be substantial overestimates. However, our study was only carried out under full solar radiation, simulating sea surface conditions, so further studies are needed to investigate depth-integrated UV inhibition. Moreover, the response to UVR may be taxon-specific. For example, unicellular N<sub>2</sub>-fixing cyanobacteria such as the genus *Crocospaera*, with smaller cell size and thus greater light permeability, may be more vulnerable to UVR than *Trichodesmium* (Wu et al., 2015). In the future, as enhanced stratification and decreasing mixed layer depth expose cells to relatively higher UV levels, differential sensitivities to UVR may result in changes in diazotroph community composition. Such UV-mediated assemblage shifts could have potentially major consequences for marine productivity, and for the global biogeochemical cycles of nitrogen and carbon. Future research would be necessary to confirm and/or deepen the consequences of UV effects in carbon and nitrogen cycle in the ocean.

**Data availability.** The data sets “Effects of ultraviolet radiation on photosynthetic performance and N<sub>2</sub> fixation in *Trichodesmium erythraeum* IMS 101” are accessible at <https://doi.org/10.5061/dryad.s5p44>.

**The Supplement related to this article is available online at <https://doi.org/10.5194/bg-14-4455-2017-supplement>.**

**Competing interests.** The authors declare that they have no conflict of interest.

**Acknowledgements.** This study was supported by grants from the national key R&D program (2016YFA0601400), the National Natural Science Foundation (41430967, 41720104005), and the joint project of the National Natural Science Foundation of China and Shandong province (no. U1606404) to Kunshan Gao, and by US National Science Foundation grants OCE 1260490 and OCE

1538525 to Feixue Fu and David A. Hutchins. Feixue Fu's visit to Xiamen was supported by MEL's visiting scientists programs. The authors acknowledge financial support from the China Scholarship Council during Xiaoni Cai's visit to the University of Southern California. The authors would like to thank Nana Liu and Xi-angqi Yi from Xiamen University for their kind assistance during the experiments.

Edited by: Gerhard Herndl

Reviewed by: Silvana Halac and Anastazia Banaszak

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