



Supplement of

Legacies of past land use have a stronger effect on forest carbon exchange than future climate change in a temperate forest landscape

Dominik Thom et al.

Correspondence to: Dominik Thom (dominik.thom@uvm.edu)

The copyright of individual parts of the supplement might differ from the CC BY 4.0 License.

1 Section S1: Historical data

2 Archival sources

All archival sources were obtained from the archives of the Austrian Federal Forests (Österreichische Bundesforste), located in Purkersdorf, Austria. The material consists of maps, quantitative documentations (e.g., tables of growing stock per species and stand), and verbal descriptions of vegetation state, natural disturbances, and forest management. We compiled these sources by means of photographical documentation and subsequent transcription.

9	The full	list of	sources	are:
---	----------	---------	---------	------

- 10 Revisionsoperat des K.K. Wirtschaftsbezirkes Reichraming 1903-1912
- 11 Revisionsoperat für den K.K. Wirtschaftsbezirk Reichraming 1913-1922
- 12 Wirtschafts-Buch für den k.k. Wirtschaftsbezirk Reichramming 1903-1926
- 13 Reichraming 1938-1947 [data for the period 1927-1937]
- 14 Gedenkbuch 1950-1959 FV. Reichraming
- 15 Gedenkbuch 1960-1969 FV. Reichraming
- 16 Gedenkbuch Reichraming 1970-1983
- 17 Revisions-Operat für den K.K. Wirtschaftsbezirk Weyer (Steiermärkischer Religionsfonds)
- 18 1902-1911
- 19 Revisions-Operat für den K.K. Wirtschaftsbezirk Weyer (Steirm. Fondsforst) 1912-1921
- 20 Weyer 1928-1937

21 Altenmarkt 19	938-1947
------------------	----------

22 WB Weyer 1953-62, I

- 23 Wirtschaftsbuch begonnen mit dem Jahr 1902 (Weyer, Oberösterreichischet Religionsfonds)
- 24 Waldbesitz Ebenforst der Herrschaft Steyr. Flächentabelle, Bestandsbeschreibung,
- 25 Altersklassen Verzeichnis nach dem Stande 1898
- 26 R. Klöpferscher Waldbesitz Reichraming, Revier Ebenforst. Stand 1. April 1947 [Map]
- 27 R. Klöpfer'scher Waldbesitz Reichraming, Revier Weissenbach, Stand 1. April 1947 [Map]
- 28 Nikolaus'scher Waldbesitz Reichraming, Revier Weissenbach, Stand 1. I. 1964 [Map]
- 29 Nikolaus'scher Waldbesitz Reichraming, Revier Ebenforst. Stand 1. I. 1947 [Map]
- Waldwirtschaftsplan 1974-1983 Forstwirtschaftsbezirk Karl Heinrich NICOLAUS, 4462
 Reichraming.
- 32 Betriebseinrichtungs-Elabort vom Reviere Zeitschenberg O.Ö. 1907
- 33 W.B. Rosenau 1950-1959
- 34

From these sources, two types of data were extracted: First, spatially explicit data at the level of stands for the entire study landscape (see Fig. S1). These data represent the best available historical information, and were available for certain points in time (or multi-year inventory periods). Specifically, spatially explicit inventories on the forest state were available for the periods 1902/03, 1912/13, and 1926/27 (see Fig. S2). In addition, stand-level data on natural disturbances and anthropogenic disturbances (harvesting) were available for the period 1902 – 1927. Second, time series of harvest levels were available for the entire study landscape with 42 annual resolution (source materials for the forest districts Weyer and Reichraming). These data 43 were used to analyze the annual variation in harvest levels. They were furthermore analyzed for 44 major disturbance events. In addition we screened the written protocols and examined 45 meteorological data with a particular focus on detecting major disturbance events outside the 46 two well-documented disturbance episodes 1917-1923 and 2007-2013. These analyses showed 47 that no notable disturbance events occurred between the two major periods analyzed explicitly 48 here.

49

50 Identification of spatial units

51 The delineation of forest stands started in the 1880s in our study area. In most cases, the boundaries of these stands were found to be still valid today, however, minor changes have 52 been made over time (these are well-documented in the forest inventory sources). The spatial 53 54 identification of stand units was done case by case, comparing toponyms, stand shapes and sizes between historical and recent maps. This approach allowed us to link data spatially 55 between different time periods, and to evaluate the congruence of spatial units between 56 periods. Minor reduction in the size of stand polygons was frequently detected, and was 57 usually attributable to the construction of roads and other infrastructure. In some cases, 58 59 changes in the stand configuration were made (particularly in remote high-elevation areas of the landscape), which were accounted for by subdividing the respective polygons. 60

61

62 Data gaps

Forests that were under federal ownership throughout the study period were found to be bestdocumented. Two areas in the northern reaches of the landscape were under different

ownership, but were sufficiently well documented to retain them in our study. These areas
have previously been part of the domain Lamberg, and cover about 1/6 of the total landscape.
Nonetheless, a number of data gaps had to be filled to achieve a complete and seamless
reconstruction of landscape history.

To fill data gaps regarding the temporal variation in natural disturbance and land use we 69 70 assumed equivalence in relative changes, i.e., based on harvesting rates in a given year for a certain area, we assumed an equivalent change also for areas with missing data. For instance, 71 after 1923 time series on annual harvest and natural disturbance were only available for the 72 forest districts of Reichraming and Weyer (the two main historic forest districts in our study 73 area, covering in total 4492.4 ha). Moreover, Reichraming is lacking data for the years 1938 74 75 to 1946, hence the temporal variation of harvests was only based on the data for Weyer during this period. The data for Weyer terminates in 1952, i.e., only data from the district 76 Reichraming was available for the following years. Where the time series of the two forest 77 districts overlapped, we found similar trends in Reichraming and Weyer, supporting our 78 assumption of equivalence between the two areas. 79







82 district Reichraming in 1903. The colors denote different age classes of forest stands.

Wintschaftsbezich Reichnamin 1902 hebungen (et. Stammahl Sammy un flache Holamaose fins Ben hum 111 2 540 the li C 23 110 30 4 6:13 115 23% 177 198 174 13; 14 26 23 57 57 163 11 17 0 12 5 1. 32 94 a 90 17 10.2 575 5 1.54 120 9 7-46

Fig. S2: Example for an inventory table extracted from archival sources, showing stem number
(Stammzahl), basal area (Bestandesgrundfläche) and growing stock (Holzvorrat) per tree
species and stand.



Fig. S3: Age distribution across the study landscape in 1905.

89 Section S2: Legacy spin-up

90 Legacy spin-up procedure

91 Management and disturbance history have a long-lasting influence on forest stands, and are important determinants of the state of a forest at any given point in time. In forest landscape 92 93 models, the initialization of the state of the ecosystem accounts for legacies of past land use and disturbance. However, the information provided upon initialization differs considerably 94 95 between models (e.g., Garcia-Gonzalo et al., 2007; Schumacher and Bugmann, 2006; Thom et al., 2017) and is crucially determined by model structure. For instance, while structural 96 97 information plays only a minor role in cell-based simulation models (Scheller et al., 2007), individual-based models retain information about tree dimensions, canopy heights, gaps, 98 regeneration etc. (Seidl et al., 2012). Yet, detailed information about forest ecosystem attributes 99 for initializing simulation models is oftentimes not available (e.g., the spatial patterns of past 100 disturbances or soil carbon stocks). This is important as uncertainties in initialization can have 101 102 substantial influence on the simulated trajectories (Temperli et al. 2013).

103 Using models enables the simulation of past forest development, including past management and disturbances, in the form of a spin-up run. Models can thus help to create realistic and 104 quantitative past and current states of forests. In a conventional spin-up, the model is run for an 105 extended period of time under past forcing, and a snapshot of the simulated state is taken-after 106 reaching a predefined stopping criterion (e.g., elapsed time, variation in certain C pools) – as 107 108 the starting point for scenario analyses (Thornton and Rosenbloom 2005). This results in meaningful estimates regarding important ecosystem properties, and a system state that is 109 consistent with the internal model logic. However, thus derived ecosystem states often do not 110 111 correspond well with the information available from past and current observations. For instance, a stand that was recently disturbed in reality could be initialized in a late-seral stage from a 112

spin-up. This lack of structural realism strongly limits the utility of a traditional spin-up approach for initializing models for future projections. Factors such as the spatial distribution of age cohorts on the landscape have important implications for the future ecosystem dynamics, e.g., in the context of future susceptibility to disturbances. Therefore, we have developed a new spin-up approach, termed legacy spin-up, aiming to assimilate available data on the ecosystem state at a given point in time into the spin-up procedure, in order to improve the correspondence of the model state derived from spin-up with the observed state of the system.

Our approach differs from conventional model spin-up by considering the available information 120 of the state of any given stand on the landscape for a reference point in time (Fig. S4). As with 121 a conventional spin-up, the legacy spin-up starts by running the model over an extended period 122 123 of time. This results in a large number of possible states that a given stand on the landscape can be in, given the prevailing climate and soil conditions as well as the past management and 124 disturbance regime. From this state space of each stand, the legacy spin-up procedure selects 125 126 the state that corresponds most closely to the reference values available for each stand (e.g., observed values from forest inventories, remote sensing, or archival data). In other words, the 127 legacy spin-up does not simply use the vegetation state of the last year of the spin-up run for all 128 stands as initial condition for scenario analysis, but for each stand identifies the specific year of 129 130 the spin-up run in which the state of the vegetation corresponds most closely to the reference conditions. 131

To improve the correspondence between the simulated state space for each stand and the reference conditions we harness the adaptive capacity of the agent-based forest management module (ABE) integrated into iLand (Rammer and Seidl, 2015). As detailed information on historic management is usually not available, we start the spin-up run using generic historic management. The emerging state space in the spin-up simulation is monitored and compared to

the reference values, and ABE adapts stand management iteratively to decrease the deviationbetween the simulated state space and the reference conditions.

For each stand polygon an a priori stand treatment program (STP) is created based on available 139 information on past management regimes and the current state of the system (i.e., the reference 140 state). Such a typical STP for managed forests in Central Europe includes planting, several 141 142 thinnings and a final cut (Fig. S4). For instance, the initial planting could plant trees according to the target species shares (A in Fig. S4). During the simulation the defined management steps 143 are executed (e.g., thinnings, B, final cut C). Periodically, the state of the forest is evaluated 144 against the available reference data. A basic evaluation compares, for instance, the growing 145 stock and species shares emerging from the simulation with the respective reference state, and 146 147 calculates a similarity score (e.g., Bray-Curtis index). When the deviation between the emerging state space from the simulations and the reference state are not satisfactorily, the STP for the 148 next rotation can be altered. In the example in Fig. S4, the simulated share of spruce was lower 149 150 than the spruce share in the reference state, indicating that spruce was likely favored by past management, either by planting spruce (C) or by favoring spruce via selective thinnings. This 151 information is incorporated in the spin-up run, which henceforth uses a modified STP for the 152 given stand and the next rotation (D). This process of iterative adaptation of historic 153 154 management to increase the similarity between the emerging system state and the reference state is repeated several times. Whenever the simulated forest state has a higher similarity to 155 156 the reference state than in previous iterations, the state of the stand is stored within a snapshot database (including all relevant information on ecosystem pools and structures), potentially 157 158 overwriting previously saved states with lower similarity values. This process is executed for all stands on the landscape in parallel. The final step of the process (after, e.g., 1000 years of 159 spin-up) is for each stand to load the saved forest state from the database (i.e., the state that had 160 161 the highest similarity score relative to the reference state throughout the iterative spin-up run),

and to create a single landscape "composite" from all of these saved stand states. This composite is subsequently used as the initial state of the landscape for scenario simulations. The spin-up procedure also creates detailed log files which can be further analyzed (e.g., regarding the deviation of the initialized landscape from the reference state). Technically, the logic of the legacy spin-up is implemented as a JavaScript library. The library is used by application specific JavaScript code (e.g., the historic management regime for the given landscape, or the calculation of similarity indices based on available data) that is provided by the user.

One big advantage of the legacy spin-up procedure is that it can accommodate varying degrees 169 of data availability. If, for instance, only information on stand ages are available, age is the sole 170 criterion used to determine the reference state. However, in many cases there is also information 171 172 on species composition, growing stock, etc. available (as was the case in the historical data from the 1905 inventory of the landscape studied here), which can be jointly assimilated into the 173 spin-up procedure. If density or growing stock is available in addition to age and species, for 174 175 instance, the legacies of past non-stand-replacing disturbances and management operations 176 such as thinnings can be captured more faithfully in the spin-up. However, even if no information on the reference vegetation state is available, the procedure can be used to generate 177 a first estimate of landscape-scale vegetation structure and composition based on simulations 178 179 of historic management and disturbance regimes. The legacy spin-up thus combines the advantages of a conventional spin-up (model-internal consistency of the initialized ecosystem 180 states) with the assimilation of available data on the study system for initializing the model. 181

182

183 Application of the legacy spin-up in the current analysis

For the current study, our aim was to initialize the historic landscape based on stand-level forest
management and planning data for 1905, extracted from historical archives. The available

information on reference states from archival sources was species composition and age classes 186 187 per stand, as well as stand-level growing stock. Consequently we defined reference states as the species-specific growing stock and age for every stand, also accounting the possibility of 188 multiple age classes within a stand (representing multilayer and multicohort stands). We 189 developed species and site specific a priori STPs (planting, tending, thinning and harvesting 190 activities) based on common forest management practice in Austria during the 19th century 191 (Stifter 1994). Initially, the share of species in plantings was assumed equal to the reference 192 193 species share for each stand. If the Bray-Curties Index, a measure for the similarity of the simulated species composition to the reference state, was above a user-defined threshold at the 194 195 end of a simulation period, ABE autonomously adapted planting activities, aiming for a species composition closer to the reference state. Shade-intolerant species were planted in groups, while 196 197 shade-tolerant species were planted in equal spacing in order to improve the competitiveness 198 of shade-intolerant species, and increase the spatial realism of the emerging species distribution patterns. Tending and thinning were specified by the stand age at which these activities are 199 200 conducted, the amount of timber removed in each intervention, the minimum dbh (diameter at 201 breast height) for tree removal, and the relative share of trees to be removed per dbh class (e.g., in order to differentiate between thinnings from below and from above). The simulation period 202 203 was defined by the reference stand age. A combined index including the Bray-Curtis-Similarity 204 Index (for tree species composition) and the relative deviation from the reference growing stock level were used to determine the best approximation of the simulated vegetation to the reference 205 state. For an initial estimate of belowground carbon pools in year 0 of the spin-up we used data 206 207 of Kalkalpen National Park (KANP) as derived by Thom and others (2017) for the year 1999. Only simulated states > year 100 of legacy spin-up were considered for initialization, in order 208 to allow belowground carbon pools to adjust to historical management. 209

We started the legacy spin-up procedure from bare ground, assuming reduced nitrogen pools as 210 described in the section "Landscape initialization and drivers" (as a result of historic 211 management such as litter raking). We ran the legacy spin-up for 1000 years, assuming constant 212 historic climate conditions. In total 2079 stands were simulated in the legacy spin-up, and 213 subsequently reassembled to the landscape representing the state of forest vegetation in 1905. 214 Our evaluations of the spin-up procedure indicated a good match between reference conditions 215 determined from archival sources and simulation for tree species composition (Fig. S5) and 216 217 growing stock (Fig. S6) on the landscape.

218

219



Fig. S4: Concept of the legacy spin-up. Upper panel: a fictitious landscape with differing reference states for the spin-up. Lower panel: The development of one stand over two simulated rotations over the course of the legacy spin-up. Letters A to D indicate different phases of the process: A initial planting of target vegetation, B thinnings, C final cut, D modified stand treatment program (STP) for the next rotation period (see text for details).





Fig. S5: Reference state (from archival sources) and simulated tree species composition emerging as the end point of a legacy spin-up for the year 1905. Species share refers to the relative growing stock per species (1 = 100%).



Fig. S6: Reference state (from archival sources) and simulated growing stock emerging as end
point of a legacy spin-up for the year 1905. Each observation refers to a stand polygon (n=

235 2079). Mean values: Reference state 216.9 m³ ha⁻¹ and simulated 207.0 m³ ha⁻¹.

237 **References**

Garcia-Gonzalo, J., Peltola, H., Zubizarreta Gerendiain, A. and Kellomäki, S.: Impacts of forest
landscape structure and management on timber production and carbon stocks in the boreal
forest ecosystem under changing climate, For. Ecol. Manage., 241(1–3), 243–257,
doi:10.1016/j.foreco.2007.01.008, 2007.

- Rammer W, Seidl R. 2015. Coupling human and natural systems: Simulating adaptive
 management agents in dynamically changing forest landscapes. Glob Environ Chang
 35:475–85.
- Scheller, R. M., Domingo, J. B., Sturtevant, B. R., Williams, J. S., Rudy, A., Gustafson, E. J.
 and Mladenoff, D. J.: Design, development, and application of LANDIS-II, a spatial
 landscape simulation model with flexible temporal and spatial resolution, Ecol. Modell.,
 201(3–4), 409–419, doi:10.1016/j.ecolmodel.2006.10.009, 2007.
- Schumacher, S. and Bugmann, H.: The relative importance of climatic effects, wildfires and
 management for future forest landscape dynamics in the Swiss Alps, Glob. Chang. Biol.,
- 251 12(8), 1435–1450, doi:10.1111/j.1365-2486.2006.01188.x, 2006.
- Seidl, R., Rammer, W., Scheller, R. M. and Spies, T. A.: An individual-based process model to
 simulate landscape-scale forest ecosystem dynamics, Ecol. Modell., 231, 87–100,
 doi:10.1016/j.ecolmodel.2012.02.015, 2012.
- Stifter, A. 1994. Österreichs Wald Vom Urwald zur Waldwirtschaft. Österreichischer
 Forstverein, Wien, Austria, pp 1 544.
- Temperli C, Zell J, Bugmann H, Elkin C. 2013. Sensitivity of ecosystem goods and services
 projections of a forest landscape model to initialization data. Landsc Ecol 28:1337–52.

259	Thom D, Rammer W, Dirnböck T, Müller J, Kobler J, Katzensteiner K, Helm N, Seidl R. 2017.
260	The impacts of climate change and disturbance on spatio-temporal trajectories of
261	biodiversity in a temperate forest landscape. J Appl Ecol 54:28-38.

Thornton P, Rosenbloom NA. 2005. Ecosystem model spin-up: Estimating steady state
conditions in a coupled terrestrial carbon and nitrogen cycle mode. Ecol Modell 189:25–
48.





Fig. S7: Growing stock (timber volume over bark) harvested in the periods (a) 1924 – 1952, (b)
1956 – 1973, and (c) 1974 – 1983, as reconstructed from archival sources (observed) and
simulated with iLand. Simulation data are for the baseline scenario, i.e. assuming historic
natural disturbance and management regimes.





Fig. S8: Observed and simulated growing stock disturbed during the second disturbance episode
(2007 – 2013). Observed values were derived from disturbance inventories of Kalkalpen
National Park, whereas simulated values are for the baseline scenario (i.e., assuming historic
natural disturbances and management regimes.



Fig. S9: Observed and simulated growing stock by tree species in the year 1999. Observations are from forest management and planning data of the Austrian Federal Forests, whereas simulated data are for the baseline scenario (i.e., assuming historic natural disturbance and management regimes).



Fig. S10: Growing stock by tree species over time, reconstructed by means of simulation modeling. Data are for the baseline scenario (i.e., assuming historic natural disturbance and management regimes).



Fig. S11: Carbon storage per compartment, reconstructed by means of simulation modeling.
Data are for the baseline scenario (i.e., assuming historic natural disturbance and management
regimes).