Synergy between TROPOMI sun-induced chlorophyll fluorescence and MODIS spectral reflectance for understanding the dynamics of gross primary productivity at Integrated Carbon Observatory System (ICOS) ecosystem flux sites

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Abstract. An accurate estimation of vegetation gross primary productivity (GPP), which is the amount of carbon taken up by vegetation through photosynthesis for a given time and area, is critical for understanding terrestrial–atmosphere CO₂ exchange processes and ecosystem functioning, as well as ecosystem responses and adaptations to climate change. Prior studies, based on ground, airborne, and satellite sun-induced chlorophyll fluorescence (SIF) observations, have recently revealed close relationships with GPP at different spatial and temporal scales and across different plant functional types (PFTs). However, questions remain regarding whether there is a unique relationship between SIF and GPP across different sites and PFTs and how we can improve GPP estimates using solely remotely sensed data.

Using concurrent measurements of daily TROPOspheric Monitoring Instrument (TROPOMI) SIF (daily SIFd); daily MODIS Terra and Aqua spectral reflectance; vegetation indices (VIs, notably normalized difference vegetation index (NDVI), near-infrared reflectance of vegetation (NIRv), and photochemical reflectance index (PRI)); and daily tower-based GPP across eight major different PFTs, including mixed forests, deciduous broadleaf forests, croplands, evergreen broadleaf forests, evergreen needleleaf forests, grasslands, open shrubland, and wetlands, the strength of the relationships between tower-based GPP and SIFd at 40 Integrated Carbon Observation System (ICOS) flux sites was investigated. The synergy between SIFd and MODIS-based reflectance (R) and VIs to improve GPP estimates using a data-driven modeling approach was also evaluated. The results revealed that the strength of the hyperbolic relationship between GPP and SIFd was strongly site-specific and PFT-dependent. Furthermore, the generalized linear model (GLM), fitted between SIFd, GPP, and site and vegetation type as categorical variables, further supported this site- and PFT-dependent relationship between GPP and SIFd. Using random forest (RF) regression models with GPP as output and the aforementioned variables as predictors (R, SIFd, and VIs), this study also showed that the spectral reflectance bands (RF-R) and SIFd plus spectral reflectance (RF-SIF-R) models explained over 80 % of the seasonal and interannual variations in GPP, whereas the SIFd plus VI (RF-SIF-VI) model reproduced only 75 % of the tower-based GPP variance. In addition, the relative variable importance of predictors of GPP demonstrated that the spectral reflectance bands in the near-infrared, red, and SIFd appeared as the most influential and dominant factors determining GPP predictions, indicating the importance of canopy structure, biochemical properties, and vegetation functioning on GPP estimates. Overall, this study provides insights into understanding the strength of the relationships between GPP and SIF and the use of spectral reflectance and SIFd to improve estimates of GPP across sites and PFTs.
1 Introduction

In the context of climate change, understanding the role of terrestrial ecosystems in terms of exchanges of carbon, water, and energy is crucial in order to fill in the knowledge gap on climatic interactions between the biosphere and the atmosphere. Terrestrial ecosystems are one of the main components of the carbon cycle and are highly sensitive to abiotic stresses. Therefore, an accurate estimation of vegetation gross primary productivity (GPP), which is the carbon flux taken up by vegetation through photosynthesis, is critical for understanding terrestrial–atmosphere CO₂ exchange processes and ecosystem functioning, as well as ecosystem responses and adaptations to climate change (Gamon et al., 2019). Eddy covariance (EC) techniques allow for the estimation of GPP locally (Falge et al., 2002; Moureaux et al., 2008; Chu et al., 2021). However, they have limitations when it comes to upscaling carbon flux estimates at larger scales due to their restricted spatial coverage, temporal dynamics of flux footprints, and limited distribution across different vegetation types, notably in key areas such as Africa and South America (Xiao, 2004; Gamon, 2015; Xiao et al., 2019). GPP can also be estimated based on physical and ecophysiological modeling approaches. However, for estimating GPP at larger scales, those methods are hampered by the lack of understanding of the underlying physiological processes (Jiang and Ryu, 2016; Zhang et al., 2017; Madani et al., 2020).

Remote sensing is widely used to upscale daily GPP to landscape, regional, and global scales using reflected sunlight measured by satellite sensors (Running et al., 2004; Baldocchi et al., 2020; Wu et al., 2020; Kong et al., 2022; Wang et al., 2022). These approaches are mainly based on reflectance-based vegetation indices (VIs) such as the normalized difference vegetation index (NDVI), enhanced vegetation index (EVI), and more recently near-infrared reflectance of vegetation (NIRv) (Badgley et al., 2017; Baldocchi et al., 2020). VIs are mostly sensitive to spatial and temporal variability in structural leaf area index (LAI) and biochemical canopy attributes (Dechange et al., 2020; Pabon-Moreno et al., 2022), but they suffer from saturation in canopy-dense ecosystems and are less sensitive to diurnal and daily variations in photosynthetic status resulting from physiological responses induced by rapid changes in abiotic stresses (Dauwe et al., 2012; Guanter et al., 2014; Wieneke et al., 2016; Zhang et al., 2021a). Remote sensing also provides access to variables which are related to canopy functioning such as the photochemical reflectance index (PRI) (Gamon et al., 1992; Wang et al., 2020) and sun-induced chlorophyll fluorescence (SIF) (Porcar-Castell et al., 2014; Goula et al., 2017; Magney et al., 2019; Yang et al., 2021; Zhang et al., 2022; Li and Xiao, 2022).

PRI is a reflectance-based vegetation index that has been shown to detect vegetation functioning activities under abiotic stress conditions that the abovementioned VIs cannot capture (Meroni et al., 2008). It is due to changes in the absorptance of leaves of around 510 nm or reflectance at 531 nm that are related to the interconversion of the xanthophyll pigment cycles, which represents an important photoprotection mechanism (Gamon et al., 1992; Meroni et al., 2008). Moreover, previous studies have pointed out that PRI can be used to improve canopy GPP estimates at the ecosystem level at daily timescales (Wang et al., 2020; Hmimina et al., 2015; Soudani et al., 2014), but how variations in PRI at long timescales with spatial variations in vegetation types affect the relationship between PRI and GPP remains unresolved and is an area of active research (Porcar-Castell et al., 2014; Chou et al., 2017; Gitelson et al., 2017).

In recent years, SIF has emerged as a promising remotely sensed tool for monitoring canopy GPP, which is functionally and fundamentally different from the aforementioned VIs (Damm et al., 2010; Yang et al., 2015; Köhler et al., 2018; Wang et al., 2021; Guanter et al., 2021). In fact, SIF does not rely on vegetation reflectance; instead, it is a faint signal directly emitted by chlorophyll from the absorbed sunlight just before the occurrence of a photochemical reaction (Porcar-Castell et al., 2014; Gu et al., 2019a; Zhang et al., 2021a, b). SIF has a physical and physiological meaning, and hence SIF offers new opportunities for the global assessment of canopy GPP (Mohammed et al., 2019; Wieneke et al., 2018; Zhang et al., 2020; Kimm et al., 2022; Dechant et al., 2022). Earlier studies relying on ground-, airborne-, and satellite-based SIF data measurements at different temporal and spatial scales have indicated a strong linear site-specific and vegetation-type-dependent relationship between GPP and SIF (Frankenberg et al., 2011; Guanter et al., 2014; Yang et al., 2017; Wood et al., 2017; Li et al., 2018b; Paul-Limoges et al., 2018; Zhang et al., 2021b, 2022). In contrast, at finer temporal scales, such as diurnal and hourly, the relationship between GPP and SIF is not as strong as at longer timescales. Instead, it appears to be non-linear due to rapid changes in instantaneous variations in photosynthetically active radiation (PAR) and environmental conditions (Damm et al., 2015; Marrs et al., 2020; Kim et al., 2021). How and to what extent driving factors such as canopy structure, spatial heterogeneity, and abiotic stress conditions mediate the GPP and SIF relationship remains a challenge and needs to be investigated (Smith et al., 2018; Wang et al., 2021; Li and Xiao, 2022). The main drawback, which relates to the use of SIF to predict GPP at regional and global scales, lies in the weak SIF signal retrieval that requires averaging over large timescales and spatial scales and thus hampers detecting fine-scale dynamics needed to explain underlying processes (Gamon et al., 2019; Köhler et al., 2021). Yet, the TROPOspheric Monitoring Instrument (TROPOMI) sensor, which is on board the Sentinel-5 Precursor (S-5P), represents a novel tool for understanding SIF variations as well as an opportunity to fully evaluate the potential of SIF to improve GPP estimates at the ecosystem scale, as it provides a high temporal resolution at a daily scale (Köhler et al., 2018). In addition, the future satellite mission Fluorescence Explorer (FLEX) will provide on

The overarching objective of this work is to study the potential of SIF, R, and VIs at site and ecosystem scales. The study primarily intends to evaluate at a daily timescale the strength of the relationships between SIF and GPP at 40 Integrated Carbon Observation System (ICOS) flux sites, including several vegetation functional types (mixed forests (MFs), deciduous broadleaf forests (DBFs), croplands (CROs), evergreen broadleaf forests (EBFs), evergreen needleleaf forests (ENFs), grasslands (GRAs), open shrubland (OSH), and wetlands (WETs)), and ultimately to examine the synergy between SIF, R, and VIs to improve canopy GPP estimates based on a data-driven modeling approach.

2 Materials and methods

In this current section, the site characteristics and eddy covariance (EC) flux data are presented. Then, the remote sensing data (TROPOMI, MODIS Aqua and Terra, and Copernicus Land Cover classification) used in the study are described. Lastly, data analysis methods used in this study are presented. Study sites and flux tower in situ EC flux data were obtained through the ICOS Data Portal release in 2018 and 2021 (https://www.icos-cp.eu/data-services, last access: 21 December 2021). We screened over 70 ecosystem ICOS sites, relying on the availability of GPP data for each site with simultaneous TROPOMI SIF observations in the period from February 2018 to December 2020, and maintained 40 sites for analyses. The study sites encompass a latitude from 5.27° N to 67.75° N, including a diversity of plant functional types (PFTs) based on the IGBP vegetation-type classification given by ICOS PI sites: mixed forests (MFs, 2 sites), croplands (CROs, 9 sites), deciduous broadleaf forests (DBFs, 6 sites), evergreen broadleaf forests (EBFs, 2 sites), evergreen needleleaf forests (ENFs, 13 sites), grasslands (GRAs, 3 sites), open shrubland (OSH, 1 site, which is actually a young vineyard plantation), and wetlands (WETs, 4 sites). The PFT at each site was confirmed by photointerpretation of pictures found in the ICOS Data Portal database and Google Earth. Detailed information and references of these sites are provided in Table S1 in the Supplement. Figure 1 presents the location of these study sites, except for the GF-Guy site, located in French Guiana. In the analyses, we used daily GPP values computed as the sum of the half-hourly values estimated from each site. GPP data previously gap-filled by ICOS PI, representing for a full year, which was the case for instance at CH-Dav, FR-Bil, IT-SR2, and SE-Deg, were filtered out and were not used in the analyses.

2.1 Remote sensing data

2.1.1 MODIS Terra and Aqua data

Time series of daily MODIS Terra and Aqua surface reflectance products (MOD09GA, MODOCGA, MYD09GA, and MYDOCGA), centered at the location of each site, were downloaded from the Google Earth Engine database. The quality assurance (QA) flag (ideal quality, QA = 0) and the cloud mask (clear state) criteria were used. Both MODIS Terra and Aqua, used in this study, contain 16 spectral bands of which the spatial resolution from band 1 to band 7 is 300 m and 1 km for the remaining bands (8–16) (Vermote et al., 2015). Detailed information about the MODIS data products is given in Table S2. We used daily MODIS surface reflectance, NDVI, NIRv, and PRI. These VIs are computed according to the equation given in Table 1. For the PRI com-
putation, we used $B_{13}$ as a reference band following Hilker et al. (2009).

### 2.1.2 TROPOMI SIF and Copernicus Global Land Cover data

TROPOMI, as a single payload of the Sentinel-5 Precursor (S-5P) satellite, was launched on 13 October 2017. TROPOMI has a near-sun-synchronous orbit with a repeat cycle of 16 d and an equatorial crossing time at around 13:30 local time (Köhler et al., 2018), which is comparable to those of Orbiting Carbon Observatory-2 (OCO-2) and the Greenhouse Gases Observing Satellite (GOSAT). However, the wide swath of TROPOMI (2600 km) is larger than that of OCO-2 (10 km), which enables TROPOMI to provide almost daily spatially continuous global coverage (Köhler et al., 2018). TROPOMI has a spatial resolution of 7 km along track (5 km since August 2019 owing to diminished integration time) and 3.5 to 14 km across track (based on the viewing angle) and covers the spectral range between 675–775 nm in the near-infrared with a spectral resolution of 0.5 nm, which allows for the retrieval of far-red SIF (Köhler et al., 2018). To decouple SIF emissions from the reflected incident sunlight, a statistical and data-driven approach is used; see Köhler et al. (2018) for more details. We used instantaneous and daily ungridded soundings of TROPOMI far-red SIF at 740 nm obtained from the Caltech dataset between February 2018 and December 2020 (https://data.caltech.edu/records/1347, last access: 14 June 2021). Instantaneous SIF data were reported in mW m$^{-2}$ sr$^{-1}$ nm$^{-1}$. Daily SIF (hereafter referred to as SIF$_d$) is computed by timing instantaneous SIF with a day-length correction factor included in the dataset.

The TROPOMI SIF observations corresponding to each site were determined by relying on the following criteria. Firstly, we extracted all pixels whose center locations are less than 5 km away from the flux tower sites for analyses. The latter choice was motivated by the fact that the relationship between TROPOMI SIF and tower-based GPP gradually weakened as the distance from site to the center of pixel increased (data not shown). Secondly, to reduce the cloud effects on SIF data, SIF$_d$ observations with a cloud fraction over 15% were excluded, even though some findings reveal that TROPOMI SIF is less sensitive to cloud than surface reflectance values (Guanter et al., 2012; Doughty et al., 2021). The 100 m spatial resolution of the Copernicus Global Land Cover classification map for the year 2019 (Buchhorn et al., 2020) was used as a base map of the study sites. This land cover classification map was obtained from the Copernicus Global Land Service website (https://lcviewer.vito.be/download, last access: 25 May 2021).

### 3 Data analysis

In this study, the GPP and SIF$_d$ relationship was evaluated at a daily timescale at different spatial scales. Before investigating the link between GPP and SIF$_d$, it was necessary to figure out a way to process outliers which were mostly associated with negative SIF$_d$ values. It has been shown that excluding directly negative SIF values could have effects on studying the relationships between satellite SIF data and GPP (Köhler et al., 2018, 2021). Thus, to handle the outliers, an exponential model was used to account for the structural relationship between the instantaneous SIF and the SIF error included in the dataset. A threshold of $\pm0.15$ mW m$^{-2}$ sr$^{-1}$ nm$^{-1}$ was then applied to the residual random error of the exponential model.

We used a hyperbolic model to relate GPP to SIF$_d$ following (Damm et al., 2015; Kim et al., 2021) $GPP = a \times \frac{\text{SIF}_d}{\text{SIF}_d + b}$, where $a$ and $b$ are fitted parameters. It is worth noting that a linear model between GPP and SIF$_d$ was also investigated, and the results are provided in the Supplement. Before relating GPP to SIF$_d$ using this hyperbolic model at each site, SIF values equal to or less than zero were discarded. Afterward, the same model was fitted on a PFT scale by pooling all data across all sites for the same PFT. To explore the generalizability of the relationship between GPP and SIF$_d$, first the hyperbolic model was adjusted on data pooled across all sites. Second, to further test how the year, site, and PFT, as categorical variables, and their interactions (year · GPP, site · GPP, and PFT · GPP) influence the GPP and SIF$_d$ relationship, a generalized linear model (GLM) was used. Within the GLM, SIF$_d$ is considered a response variable, whereas site, PFT, year, and GPP are the explanatory variables. These aforementioned variables and their interaction effects may affect the changes or variations either in SIF$_d$ or GPP and may consequently influence the slope and intercept of their relationships.

In order to study the synergy between SIF$_d$, R, and VIs to improve GPP estimates, a random forest (RF) regression model was used (Breiman, 2001). Briefly, an RF is a machine learning algorithm which combines the results of several random ensemble decision trees to reach a final accurate output. Before setting up the RF model, the correlation matrix between all variables was computed. It has been shown that important features can be affected by the high correlation between feature predictors (Tolosı̈ and Lengauer, 2011), suggesting that a decrease in importance values is observed when the level of correlation and the number of correlated variables increase. In practice, a strongly predictive variable belonging to a group of correlated variables can be considered less important than an independent and less informative variable. Based on remotely sensed data inputs and one categorical explanatory variable (PFT), the variables that are the most relevant for estimating GPP on daily data pooled altogether across all sites were evaluated. Four RF models were established by relying on the combination of the
predictive variables to estimate GPP: (1) only surface spectral reflectance (RF-R), (2) surface spectral reflectance plus SIFd (RF-SIF-R), (3) surface spectral reflectance plus SIFd and the PFT as a categorical variable (RF-SIF-R-PFT), and (4) SIFd plus VIs (RF-SIF-VI) (namely NDVI, NIRv, and PRI). A total of 80% of the data were used for training and the remaining for testing the model. It is worth mentioning that a RandomizedSearchCV technique was used (scikit-learn library for Python) to tune the model, and it took the best parameters for each model to predict GPP and applied a 10-fold cross-validation and 20 iterations on the training set to avoid splitting the dataset into training, validating, and testing sets, which could affect the number of data allocated to the training and could easily lead to model overfitting. The ensemble of decision tree models includes 200 trees for all sites of the same PFT (MF, CRO, ENF, DBF, EBF, and PRI). A total of 80% of the data were used for training and the remaining for testing the model. Over-all, the results revealed a hyperbolic relationship with relatively saturating GPP in the presence of moderate to high SIFd. However, the relationships between GPP and SIFd are site-dependent, suggesting that the difference in plant functional types and spatial heterogeneity across sites may significantly affect the relationships between GPP and SIFd. The strongest relationships were found at DK-Sor, FR-Fon, DE-Tha, SE-Nor, and BE-Bra, which are the DBF, ENF, and MF vegetation-type sites, with $R^2$ values being between 0.64 and 0.87 ($p < 0.0001$). The weakest relationships were recorded at the FI-Var, FR-EM2, and DE-RuW sites, and no significant relationship was found at GF-Guy, IT-Cp2, and FR-Mej. For each fit, the number of data points was between 160 and 1510, depending on the data availability at each site. Detailed information and statistics on the relationships between GPP and SIFd at each site are given in Table S3. Note that the independent assessment considering the linear model to relate SIFd to GPP at each site and each PFT and data pooled across all sites revealed a relatively consistent lower goodness of fit, justifying the use of a hyperbolic model (see Tables S4 and S5 and Figs. S1, S2, and S3 in the Supplement).

To test the effects of the PFT on the relationship between GPP and SIFd at the daily timescale, data were pooled across sites of the same PFT (MF, CRO, ENF, DBF, EBF, GRA, OSH, and WET), and the hyperbolic model was applied on each PFT. Figure 3 depicts the scatterplots of the relationships between GPP and SIFd. The relationship between GPP and SIFd was statistically significant for all PFTs ($R^2 = 0.06-0.61, p < 0.0001$), taken individually. Furthermore, the hyperbolic relationship between GPP and SIFd was the strongest for OSH, DBF, and MF, with an $R^2$ of 0.61, 0.59, and 0.52, respectively, and the lowest for EBF with an $R^2$ of 0.06. This result suggests that the relationships between GPP and SIFd were clearly PFT-specific, as shown in Table 2.

Moreover, the generalizability of the relationship between GPP and SIFd was first tested on data pooled together across all sites (Fig. 4). A significant but weak relationship between GPP and SIFd was found across all sites with an $R^2$ of

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Full name</th>
<th>Formulation</th>
<th>Spatial resolution</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>NDVI</td>
<td>Normalized difference vegetation index</td>
<td>$(B_2 - B_1)/(B_2 + B_1)$</td>
<td>500 m</td>
<td>Tucker (1979)</td>
</tr>
<tr>
<td>PRI</td>
<td>Photochemical reflectance index</td>
<td>$(B_{11} - B_{13})/(B_{11} + B_{13})$</td>
<td>1 km</td>
<td>Drolet et al. (2008), Hilker et al. (2009)</td>
</tr>
<tr>
<td>NIRv</td>
<td>Near-infrared reflectance of vegetation</td>
<td>$B_2 \times $ NDVI</td>
<td>500 m</td>
<td>Badgley et al. (2017)</td>
</tr>
</tbody>
</table>

Table 1. MODIS Terra and Aqua vegetation index computations. $B_2$ (841–876 nm) denotes the surface spectral reflectance at band 2, $B_1$ (620–670 nm) denotes the surface spectral reflectance at band 1, $B_{11}$ (526–536 nm) represents the surface spectral reflectance at band 11, and $B_{13}$ (662–672 nm) represents the surface spectral reflectance at band 13.
Figure 2. Site-specific tower-based GPP and SIF$_d$ relationships at daily timescales. $R^2$ represents the coefficient of determination of the relationship between GPP and SIF$_d$ for each site. The color code represents the eight different plant functional types encountered at the study sites: red stands for croplands (CROs), green for deciduous broadleaf forests (DBFs), yellow for evergreen broadleaf forests (EBFs), magenta for evergreen needleleaf forests (ENFs), blue for grasslands (GRAs), cyan for mixed forests (MFs), lime for open shrubland (OSH), and dim-grey for wetland (WET). The dotted black line represents the hyperbolic fit between GPP and SIF$_d$. Plant-functional-type-specific and overall site relationships.

Table 2. Summary statistics of the plant-functional-type-specific GPP and SIF$_d$ relationship in eight major PFTs. All pairwise relationships between GPP and SIF$_d$ were statistically significant with $p < 0.0001$. $a$ and $b$ denote the fitted parameters from the hyperbolic model. The unit of RMSE is in gC m$^{-2}$ d$^{-1}$.

<table>
<thead>
<tr>
<th>PFT</th>
<th>Site</th>
<th>$R^2$</th>
<th>$a$</th>
<th>$b$</th>
<th>RMSE</th>
<th>$N$</th>
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<td>CRO</td>
<td>9</td>
<td>0.20</td>
<td>15.74</td>
<td>0.52</td>
<td>5.29</td>
<td>5538</td>
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<tr>
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<td>6</td>
<td>0.59</td>
<td>26.59</td>
<td>1.09</td>
<td>3.61</td>
<td>3566</td>
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<tr>
<td>EBF</td>
<td>2</td>
<td>0.06</td>
<td>12.31</td>
<td>0.03</td>
<td>2.66</td>
<td>956</td>
</tr>
<tr>
<td>ENF</td>
<td>3</td>
<td>0.32</td>
<td>9.30</td>
<td>0.10</td>
<td>2.94</td>
<td>6440</td>
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<tr>
<td>GRA</td>
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<td>12.21</td>
<td>0.27</td>
<td>3.32</td>
<td>1658</td>
</tr>
<tr>
<td>MF</td>
<td>2</td>
<td>0.52</td>
<td>16.46</td>
<td>0.33</td>
<td>2.79</td>
<td>620</td>
</tr>
<tr>
<td>OSH</td>
<td>1</td>
<td>0.61</td>
<td>13.44</td>
<td>0.50</td>
<td>2.10</td>
<td>1510</td>
</tr>
<tr>
<td>WET</td>
<td>4</td>
<td>0.31</td>
<td>12.35</td>
<td>0.75</td>
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<td>2710</td>
</tr>
<tr>
<td>ALL</td>
<td>40</td>
<td>0.36</td>
<td>15.33</td>
<td>0.45</td>
<td>3.93</td>
<td>22,998</td>
</tr>
</tbody>
</table>

0.36 ($p < 0.0001$) and RMSE of 3.93 gC m$^{-2}$ d$^{-1}$. However, when the variations between the year, site, and PFT as input variables were included in a GLM, along with GPP, the results showed a strong significant relationship between SIF$_d$, year, site, PFT, and GPP ($p < 0.001$). Furthermore, the interactions between the year and GPP and PFT and GPP were found to have a statistically substantial effect on the SIF$_d$ and GPP relationship, while the interaction between the site and GPP was not significant (see Table S5). These findings support that the GPP and SIF$_d$ relationship is considerably influenced by the site PFT and the interannual variations in SIF$_d$.

4.2 Synergy between SIF$_d$, $R$, and VIs to quantify GPP

In order to optimize the inputs for the random forest (RF) regression and to avoid the effects of high correlated explanatory variables on the model performance, the correlation matrix was computed. The correlation matrix (supplied in Fig. S4) revealed a strong dependency between predictive
Figure 3. Relationships between tower-based GPP and SIF_d in eight plant functional types: MF, CRO, ENF, DBF, EBF, GRA, OSH, and WET at daily timescales. \( R^2 \) represents the coefficient of determination of the relationship between GPP and SIF_d. All pairwise relationships between GPP vs. SIF_d were statistically significant with \( p < 0.0001 \). The dotted black line represents the hyperbolic fit between GPP and SIF_d.

Figure 4. Scatterplots of the relationships between tower-based GPP and SIF_d in eight PFTs pooled together across all sites. The dotted black line represents the hyperbolic fit between the GPP and SIF_d. The color code represents the plant functional types encountered in the study sites: red stands for croplands (CROs), green for deciduous broadleaf forests (DBFs), yellow for evergreen needleleaf forests (ENFs), blue for grasslands (GRAs), cyan for mixed forests (MFs), lime for open shrubland (OSH), and dim-grey for wetland (WET).

variables (notably \( B_9 \) vs. \( B_{10} \), \( B_{11} \) vs. \( B_{12} \), and \( B_{13} \) vs. \( B_{14} \)), indicating that using an RF model built in these variables could be affected by those high correlations. Based on these observations, the \( R \) of \( B_{10} \), \( B_{12} \), and \( B_{14} \) was excluded from the explanatory variables of RF regression models.

4.2.1 Performance of GPP estimates using random forest regression

In Fig. 5, tower-based GPP is represented against the four RF GPP models across all sites. Overall, all the RF-model-predicted GPP shows a high agreement with tower-based GPP. Yet, the RF-R model has the strongest relationship with tower-based GPP with an adjusted \( R^2 \) of 0.86 and RMSE of 1.72 gC m\(^{-2}\) d\(^{-1}\), while the RF-SIF-VI model presents the lowest predictions of GPP, as the adjusted \( R^2 \) and RMSE were 0.75 and 2.29 gC m\(^{-2}\) d\(^{-1}\), respectively. Furthermore, the RF-SIF-R and RF-SIF-R-PFT model performed similarly well at estimating GPP, as they could explain 82 % and 83 % of the variations in GPP across all sites, respectively. A paired \( t \) test realized between the four models based on the adjusted \( R^2 \) performance revealed that the difference in adjusted \( R^2 \) between RF-R and RF-SIF-R, RF-R and RF-SIF-R-PFT, and RF-SIF-R and RF-SIF-R-PFT models was not statistically significant. In other words, these three RF models have statistically the same performance.

The RF regression model’s GPP estimates and the observed GPP representing different vegetation types at the site level are depicted in Figs. 6 and 7 for the RF-SIF-R model predictions as an example. The estimates for each site from the other models are presented in the supplementary materials (Fig. S6a RF-R, Fig. S6b RF-R; Fig. S7a RF-SIF-VI, Fig. S7b RF-SIF-VI; and Fig. S8a RF-SIF-R-PFT, Fig. S8b RF-SIF-R-PFT) and the summary statistic results in Table S7 for all RF models. At the site level, the RF-SIF-R model predicted tower-based GPP with high accuracy (adj. \( R^2 = 0.54–0.95 \)), except for three sites such as IT-BCi (adj. \( R^2 = 0.21 \)), IT-Cp2 (adj. \( R^2 = 0.25 \)), and SE-Deg (adj. \( R^2 = 0.41 \)), where the RF-SIF-R model had difficulties in re-
rminating GPP for all eight major PFTs with an adj. PFT, the RF-SIF-R model shows high performance in estimating. However, on data pooled across all sites of the same models have poor GPP predictions for these aforementioned 5% probability level. It is worth noting that all other RF producing GPP, even if \( R^2 \) remains statistically significant at a 5% probability level. It is worth noting that all other RF models have poor GPP predictions for these aforementioned sites. However, on data pooled across all sites of the same PFT, the RF-SIF-R model shows high performance in estimating GPP for all eight major PFTs with an adj. \( R^2 \) being between 0.68 and 0.90. The lowest predictions are encountered in the CRO and EBF sites, whereas the best tower-based GPP estimates were found in the DBF and OSH sites.

In Fig. 8 and Table 3, the observed and estimated GPP representing different PFTs for all four RF models is depicted. The estimation for each site is given in Fig. S5. Overall, all RF models’ GPP predictions capture the seasonal and interannual dynamics of the tower-based GPP very well. However, there are sites, years, and vegetation types where observed GPP cannot be estimated with high accuracy. For instance, the RF models tend to underestimate GPP maxima in GRA, WET, and EBF vegetation types. These underestimates are mostly marked by the slope of the relationships between the observed GPP and predicted GPP in Table 3.

4.2.2 Relative importance of the predictive variables for predicting GPP

Figure 9 shows the relative importance (or mean decrease in impurity) of the predictive variables of the RF models for predicting GPP across all sites pooled together. Fig. 9 indicates that for the RF-R model, \( R \) in the near-infrared (NIR) band \((B_2: 841–876 \text{ nm})\) and \( R \) in the red band \((B_1: 620–670 \text{ nm})\) were found as the most important input variables for GPP estimates. Moreover, it can be seen that the contribution of the far-red \( R(B_{13}) \) in predicting GPP is also important, whereas the contribution of the other \( R \) bands was on a similar level. For the RF-SIF-R model, SIF\(_d\) (\(> 23 \%\)), \( R \) in the NIR \((B_2 = 17 \%)\), and \( R \) in the red band \((B_1 = 9 \%)\) are by far the most relevant variables for GPP prediction, while the other variables contribute less to GPP estimates. The RF-SIF-R-PFT model differs from the previous model (RF-SIF-R) only on the plant functional type categorical variable, and its results underline that the plant functional type variable is still important for predicting GPP. Ultimately, reflectance-based vegetation indices are widely used for predicting GPP at larger scales. Hence, it is worthwhile investigating what the contributions of these interesting variables jointly with SIF\(_d\) in predicting canopy GPP are. The relative importance derived from the RF-SIF-VI model reveals that SIF\(_d\) (36%) is substantially the most relevant variable for predicting GPP. The contributions of NIR\(_v\) and NDVI to the model are comparable, whereas PRI has a lower contribution in estimating GPP.

5 Discussions

5.1 Strength of the relationship between GPP and SIF\(_d\) at site and PFT levels

In this study, the first aim was to evaluate the strength of the relationship between tower-based GPP and SIF\(_d\) at daily timescales and at different spatial scales (at site and plant functional type levels).

At the site level, the results demonstrate that there were strong and statistically significant relationships between GPP and SIF\(_d\). However, the hyperbolic fit between tower-based GPP and SIF\(_d\) varies significantly across sites, which suggests a site-specific relationship. In other words, at these scales the differential variations in plant physiology and vegetation structure across sites and years and the spatiotemporal dynamics of the flux tower footprints (depending mainly on the height of the tower and wind direction), along with spatial heterogeneity and environmental conditions across sites, may strongly affect first of all the SIF emissions, scattering, and reabsorption across sites and consequently the relationship between GPP and SIF\(_d\) (Fournier et al., 2012; Paul-Limoges et al., 2018; Tagliabue et al., 2019; Li et al., 2020; Chu et al., 2021; Zhang et al., 2021b). These results are consistent
Figure 6. Site-specific scatterplots between observed GPP and RF-SIF-R-predicted GPP at daily timescales. The adj. $R^2$ represents the adjusted coefficient of determination of the relationships between observed GPP and predicted GPP. All pairwise relationships between observed GPP vs. predicted GPP were statistically significant at all sites (with $p < 0.0001$). The color code represents the eight different vegetation types encountered in the study sites: red stands for CRO, green for DBF, yellow for EBF, magenta for ENF, blue for GRA, can for MF, lime for OSH, and dim-grey for WET.

Figure 7. Scatterplots of observed GPP against RF-SIF-R-predicted GPP in eight PFTs at daily timescales. The adj. $R^2$ represents the adjusted coefficient of determination of the relationship between observed GPP and predicted GPP. $p$ denotes the probability value of the relationships.

with previous studies based on ground-based and satellite measurements which found evidence that canopy structure, as well as PFT, has substantial effects on the relationships between GPP and SIF across multiple sites (Dechant et al., 2020; Lu et al., 2020; Li et al., 2018b; Sun et al., 2018; Wang et al., 2020; Hao et al., 2021; Wang et al., 2022). For instance, Wang et al. (2020) found that the relationship between OCO-2 SIF observed at 757 and 771 nm and at tower-based GPP across eight vegetation types at 61 flux sites all over the world relies on canopy structure, and Lu et al. (2020) reported a bet-
The relationship between tower-based GPP and SIF\(_d\) considering the PFT was also examined. The results revealed a significant PFT-specific GPP and SIF\(_d\) relationships across all eight major vegetation types. Yet, the hyperbolic relationships between GPP and SIF\(_d\) vary considerably across PFTs, suggesting a PFT-specific relationship. The relationship between GPP and SIF\(_d\) is driven by the ratio between canopy photosynthesis light use efficiency and fluorescence yield, and the canopy escape probability fraction (Porcar-Castell et al., 2014; Zhang et al., 2018; Zeng et al., 2019). The major drivers affecting the canopy photosynthesis and SIF yield include among others leaf morphology and orientation, plant physiology, canopy structure (leaf area index, chlorophyll contents, etc.), rapid changes in incident radiation and illuminated canopy surface, different contributions from photosystem I and II, as well as rapid abiotic responses (Porcar-Castell et al., 2014; Mohammed et al., 2019; Gamon et al., 2019; Yang et al., 2021; Chu et al., 2021; Wang et al., 2022). These explanations altogether sustained the PFT-specific GPP vs. SIF relationship, as those factors can differ considerably across PFTs. Additionally, the results showed that the MF, DBF, and OSH sites have the strongest GPP and SIF\(_d\) relationship, which indicates that SIF may easily capture the seasonal, interannual, and phenological variations in GPP within this vegetation type. In other words, in the MF, DBF, and OSH (one sample of vineyard plantation) biomes, there are explicitly marked seasonal and phenological changes compared to EBFs or ENFs where there is greenness all the time. Thus, in the DBF, MF, and OSH biomes the SIF signal may easily capture the variations in LAI and absorbed PAR and consequently display a high correlation between GPP and SIF\(_d\). On the other hand, the lower observed relations between GPP and SIF\(_d\) in the EBF (GF-Guy and IT-Cp2) sites could be partly explained by a lower spatiotemporal variability in SIF emissions, as well as the contribution effects of the understory vegetation to SIF emissions and uncertainties related to GPP estimates in tropical forests, while in CRO (FR-Mej) the difference in photosynthetic pathways (C\(_3\), C\(_4\), or a mix of both) and different management practices may be the reasons why SIF\(_d\) could not capture the variations in GPP, as reported in earlier studies (Li et al., 2018a; Hayek et al.,...
The second goal in this paper was to explore the synergy between $SIF_d$, $R$, and VIs for estimating GPP using random forest.

The second goal in this paper was to explore the synergy between $SIF_d$ from the TROPOMI instrument and MODIS $R$ and VIs namely NDVI, NIR$_v$, and PRI for predicting GPP on data pooled across all sites. To achieve this purpose, four RF regression models were established: RF-$R$, RF-$SIF-R$, RF-$SIF-R$-PFT, and RF-$SIF-VI$. Except for the RF-$SIF-R$-PFT model, the main advantage of using solely remotely sensed data for estimating GPP is that we do not need to rely on land cover type, land cover change, and meteorological data (Xiao et al., 2019).

Table 3. Summary statistics of plant-functional-type-specific observed GPP against RF-model-predicted GPP relationships in eight major PFTs: MF, CRO, ENF, DBF, EBF, GRA, OSH, and WET. All pairwise relationships between observed GPP and predicted GPP were statistically significant with $p < 0.0001$. The sign $\pm$ denotes the 95% confidence interval on the slope and intercept of the relationships between observed GPP and predicted GPP.

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<th>N</th>
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<th>Slope</th>
<th>Intercept</th>
<th>RMSE</th>
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2018; Mengistu et al., 2020; He et al., 2020; Hornero et al., 2021; Li and Xiao, 2022). Previous studies have also reported weak relationships between GPP and SIF in EBF vegetation-type biomes (Li et al., 2018b; Wang et al., 2020). Moreover, it is worth mentioning that the biases related to cloudless and cloudy skies in space-based SIF retrieval complicate the use of SIF to estimate GPP at the PFT scale because cloudless-sky SIF and cloudless-sky GPP are completely different from cloudy-sky SIF and cloudy-sky GPP, and consequently their relationship may also differ (Miao et al., 2018). Investigating GPP and SIF relationships based only on clear-sky data and cloudy-sky data, without the mix of both, is justified to better understand their links. Ultimately, the PFT-dependent relationships between GPP and SIF$_d$ in this study was confirmed by the weak and statistically significant relationship reported for all biomes on data pooled together across all sites. This hypothesis was further supported by the significant effects of the year, site, and PFT on the relationship between SIF$_d$ and GPP reported in the GLM. Exploring the newly launched satellite instruments such as OCO-3 and ECOSTRESS and the upcoming FLEX and GeoCarb satellite missions, which are planned to have diurnal sampling or fine spatial resolution (for instance 300 m for FLEX), along with ongoing ground-based and airborne-based SIF and GPP data altogether will improve the ability not only to better understand the GPP and SIF relationship but also to completely decouple the effects of driving factors such as vegetation physiology, canopy structure, and abiotic stress conditions that mediate their relationships at the ecosystem scale.

5.2 Synergy between $SIF_d$, $R$, and VIs for estimating GPP using random forest.

The second goal in this paper was to explore the synergy between $SIF_d$ from the TROPOMI instrument and MODIS $R$ and VIs namely NDVI, NIR$_v$, and PRI for predicting GPP on data pooled across all sites. To achieve this purpose, four RF regression models were established: RF-$R$, RF-$SIF-R$, RF-$SIF-R$-PFT, and RF-$SIF-VI$. Except for the RF-$SIF-R$-PFT model, the main advantage of using solely remotely sensed data for estimating GPP is that we do not need to rely on land cover type, land cover change, and meteorological data (Xiao et al., 2019).

https://doi.org/10.5194/bg-20-1473-2023
The current results show that the RF-R (surface spectral reflectance alone), RF-SIF-R (SIFd plus surface spectral reflectance), and RF-SIF-R-PFT (SIFd plus surface spectral reflectance plus PFT) models statistically explain the same variance of GPP at the daily timescale (82%–86%), whereas the RF-SIF-VI (SIFd plus reflectance-based indices) explains the lowest part, about 75% of GPP across all sites. It is well known that at the seasonal scale spectral reflectance captures the variations in canopy structure. The seasonal variations in canopy structure, especially LAI, are strongly correlated with variations in GPP (Dechent et al., 2022). This could justify the strong relationship found between tower-based GPP and the predicted GPP by the RF-R model. On the other hand, SIF is an integrative variable at the seasonal and interannual scales as shown in Fig. 9 and the correlation matrix results (a strong contribution of SIF to GPP estimates and a high correlation between GPP and SIFd compared to each R band taken alone). However, SIF, while exhibiting the highest relative importance, fails to improve the GPP estimate. Hence, while being limited by its spatial resolution (7 km × 3.5 km), at which SIF may lose its physiological information and most likely may reflect phenological, structural, and illumination information (Jonard et al., 2020; Kimm et al., 2021), SIF remains a better predictor of GPP than each reflectance band individually. These results also revealed that the RF-SIF-VI has the poorest performance in predicting GPP. This lower performance could be partly due to the well-known saturation of VIs over dense canopies. In addition, the paired t test did not show any statistically significant difference between RF-R and RF-SIF-R models, which confirms the above hypothesis, which suggests that SIF represents the variations in absorbed PAR at these scales. Recently, Pabon-Moreno et al. (2022) used solely Sentinel-2 satellite-derived red-edge-based and near-infrared-based vegetation indices and all spectral bands to predict GPP at daily timescales across 54 EC flux sites using a data-driven approach (random forest). The authors reported that spectral bands jointly with VIs can inform only 66% of the variance in GPP, which is far less than the here worse-performing model (i.e., RF-SIF-VI) in predicting GPP. The daily scale and solely remotely-sensed-driven RF-R and RF-SIF-R models outperform previous GPP products derived based on data-driven methods (Wolanin et al., 2019; Tramontana et al., 2016; Jung et al., 2019) and process-based models (Jiang and Ryu, 2016; Zhang et al., 2017; Lin et al., 2019), which included even more inputs as predictive variables such as meteorological data, land-cover-type data, and land-cover-change data and were conducted mostly at longer timescales (8 d or monthly timescale) compared to this study. Furthermore, these results are in strong agreement to two recent studies (Cho et al., 2021; Li et al., 2021). More specifically, Cho et al. (2021) found that remotely sensed data alone can explain 81% of GPP variability across four vegetation types, including ENF, EBF, DBF, and MF, in South Korea at 8 d timescales, and Li et al. (2021) pointed out that instantaneous GPP estimates across 56 flux tower sites could be achieved with an $R^2$ of 0.88 and RMSE of 2.42 µmol CO$_2$ m$^{-2}$ s$^{-1}$ using ECOSTRESS land surface temperature, daily MODIS satellite data, and meteorological data from ERA5 reanalysis. This study also revealed that GPP prediction can be achieved with high accuracy based on solely remotely sensed data that are widely and publicly available for all.

The RF models could clearly capture the GPP variations at each site, encompassing different vegetation types as shown in Figs. 6 and 8. Indeed, there are sites, years, and vegetation types where tower-based GPP was underestimated, which were the cases for WET and EBF vegetation types. Furthermore, all RF models struggle to accurately estimate tower GPP at the IT-BCi, IT-Cp2, and SE-Deg sites, owing most likely to SIF pixel heterogeneities and lower GPP values observed in these sites, along with previously explained issues associated with estimating GPP in crops and tropical stands. Similar results were reported recently in Pabon-Moreno et al. (2022), including eight vegetation types (ENF, CRO, DBF, GRA, WET, MF, savannah (SAV), and OSH). The reason behind these poor performances may also be related to difficulties in detecting abiotic stress conditions.
(Bodesheim et al., 2018), underscoring the need for more research on predicting GPP during extreme abiotic conditions.

Furthermore, in this study it is determined what the main variables contributing to GPP prediction are using the four RF models based on the relative importance metric of each model. Yet, it is found that SIF$_d$, the $R$ in the NIR band ($B_2$), the red band ($B_1$), and the far-red band ($B_{13}$), as well as the vegetation type, NDVI, and NIR$_v$, seem to provide useful information for the predictions of GPP as shown in Fig. 9. $B_2$ and $B_1$ are well-known spectral bands for characterizing vegetation canopy structure, seasonal phenology, canopy scattering, and reabsorption due to chlorophyll content within leaves and consequently have a dominant role in estimating GPP across all sites. The high contribution of SIF$_d$ is presumably due to its integrative role at the seasonal and interannual scales, as explained previously (Maguire et al., 2020; Dechant et al., 2022). PRI is known to be implied in the xanthophyll cycle, which is an important photoprotection mechanism and a driver of GPP (Wang et al., 2020; Hnimina et al., 2015; Soudani et al., 2014). However, in this study, the findings evidenced that the contribution of PRI in predicting GPP was weak, which could be explained by the spatial and temporal aggregation of the rapid responses in plant physiological and functional activities, observable at the finer scales (diurnal). Ultimately, the findings in this study suggest that using $R$ bands and SIF for estimating GPP is an important approach for improving GPP predictions compared to GPP products that include meteorological and land-cover-type information.

6 Conclusion

In this study, the strength of the relationships between tower-based GPP and SIF$_d$ encompassing eight major plant functional types (PFTs) at site and interannual scales was evaluated, and the synergy between SIF$_d$, surface spectral reflectance, and reflectance-based indices, namely NDVI, NIR$_v$, and PRI, to improve GPP estimates using a data-driven modeling approach was examined.

At the site scale, the results showed a strong and statistically significant hyperbolic relationship between GPP and SIF$_d$ ($p < 0.0001$). However, these relationships were site-dependent, indicating that canopy structure and environmental conditions affect the relationship between GPP and SIF$_d$. The GPP and SIF$_d$ relationships across all sites of the same PFT were considerably significant and were PFT-specific. Furthermore, it was also found that the relationships between GPP and SIF$_d$ on data pooled across all sites were moderately weak but statistically significant, confirming the PFT dependence of the relationship between GPP and SIF$_d$. The GLM results supported this PFT-dependent relationship between GPP and SIF$_d$, as the site, year, and PFT have meaningful effects on the slope of the relationship between GPP and SIF$_d$.

This study also demonstrated that the spectral reflectance bands and SIF$_d$ plus reflectance explained over 80% of the tower-based GPP variance. The RF models were able to represent the GPP seasonal and interannual variabilities across all sites. In addition, from the mean decrease in impurity results obtained from the RF models, it is inferred that the spectral reflectance bands in the near-infrared, red, and SIF$_d$ appeared as the most influential and dominant factors determining GPP predictions. In summary, this study provides insights into understanding the strength of the relationships between GPP and SIF across different ICOS flux sites and the use of daily MODIS $R$ and SIF$_d$ TROPOMI in predicting GPP across different vegetation types.


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