

# Impact of atmospheric and terrestrial CO<sub>2</sub> feedbacks on fertilization-induced marine carbon uptake

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Abstract. The sensitivity of oceanic CO<sub>2</sub> uptake to alterations in the marine biological carbon pump, such as brought about by natural or purposeful ocean fertilization, has repeatedly been investigated by studies employing numerical biogeochemical ocean models. It is shown here that the results of such ocean-centered studies are very sensitive to the assumption made about the response of the carbon reservoirs on the atmospheric side of the sea surface. Assumptions made include prescribed atmospheric  $pCO_2$ , an interactive atmospheric CO<sub>2</sub> pool exchanging carbon with the ocean but not with the terrestrial biosphere, and an interactive atmosphere that exchanges carbon with both oceanic and terrestrial carbon pools. The impact of these assumptions on simulated annual to millennial oceanic carbon uptake is investigated for a hypothetical increase in the C:N ratio of the biological pump and for an idealized enhancement of phytoplankton growth. Compared to simulations with interactive atmosphere, using prescribed atmospheric  $pCO_2$  overestimates the sensitivity of the oceanic CO<sub>2</sub> uptake to changes in the biological pump, by about 2%, 25%, 100%, and >500% on annual, decadal, centennial, and millennial timescales, respectively. The smaller efficiency of the oceanic carbon uptake under an interactive atmosphere is due to the back flux of  $CO_2$  that occurs when atmospheric  $CO_2$  is reduced. Adding an interactive terrestrial carbon pool to the atmosphere-ocean model system has a small effect on annual timescales, but increases the simulated fertilization-induced oceanic carbon uptake by about 4%, 50%, and 100% on decadal, centennial, and millennial timescales, respectively, for pCO<sub>2</sub> sensitivities of the terrestrial carbon storage in the middle range of the C4MIP models (Friedlingstein et al., 2006). For such sensitivities, a substantial fraction of oceanic carbon uptake induced by natural or purposeful ocean fertilization originates, on timescales longer than decades, not from the atmosphere but from the terrestrial biosphere.

# 1 Introduction

The oceanic control on atmospheric CO<sub>2</sub> is the result of a complex interplay of physical, chemical, and biological processes. It primarily arises from the temperaturedependent solubility of CO2 (solubility pump) and the photosynthetic conversion of dissolved inorganic carbon into carbon-containing organic particles that may sink away from immediate contact with the atmosphere (biological pump) (Volk and Hoffert, 1985). While the ongoing global warming is often assumed to affect mainly the solubility pump with relatively minor impacts on the biological pump (Sarmiento and Le Quéré, 1996), there is more and more evidence for possibly significant disturbances of the marine biological pump: Increasing levels of sea-water CO<sub>2</sub> are expected to impact on the marine biology, e.g., by making it harder to form calcium carbonate shells (Riebesell et al., 2000) or by affecting the ratio of the biotic carbon-to-nutrient drawdown (Riebesell et al., 2007). At the same time, the surface ocean is fertilized by a rapidly increasing atmospheric input of nitrogen to the oceans due to emissions from the combustion of fossil fuels as well as from the production and use of fertilizers (Duce et al., 2008). Purposeful ocean fertilization, in particular using iron, is also discussed as a possible way to reduce the acceleration of atmospheric CO<sub>2</sub> concentrations in response to anthropogenic emissions (Lampitt et al., 2008).

A number of modeling studies have addressed the potential impact of such disturbances of the biological pump on the oceanic carbon uptake. For example, Schneider et al. (2004) investigated the impact of  $CO_2$ -dependent C:N ratios of particulate organic matter formation and export. They found that by increasing the molar C:N ratio from 7.1 in year 1770 to 8.1 in year 2000 and thereafter, the oceanic carbon uptake increased by 70 PgC by year 2100 compared to a simulation with a constant C:N ratio of 7.1. A recent study by Oschlies et al. (2008) assumed a somewhat larger  $CO_2$ dependent increase in C:N ratios from 6.7 in year 1765 to 8.4 in year 2100 which, in their model, resulted in a *smaller* additional oceanic  $CO_2$  uptake of 34 PgC by year 2100. At



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first sight, there seems to be significant ( $\sim$ factor 2) ambiguity in the simulated impact of very similar changes in the marine biology on the oceanic carbon uptake.

Similarly different results have been reported for model studies investigating the impact of atmospheric dust supply and the associated fertilization with the micronutrient iron: Forcing a model with different dust scenarios including switches from interglacial to glacial conditions, Moore et al. (2006) reported that, on decadal timescales, 45% to 60% of a dust-related increase in carbon export by the marine biological pump was exporting carbon removed from the atmospheric CO<sub>2</sub> pool via air-sea exchange. On switching from present-day to last-glacial maximum dust fields, Bopp et al. (2003), on the other hand, found that merely 25% of the additional carbon exported by the biological pump was derived from the atmosphere on decadal timescales.

The third example refers to simulated purposeful iron fertilization: For simulated localized iron fertilization in the tropical Pacific, Gnanadesikan et al. (2003) found that only about 10% of the initial pulse of carbon exported out of the surface layer were actually removed from the atmosphere on a 10- to 100-year timescale. A similar study by Jin et al. (2008) reported, on the contrary, that more than 75% of the carbon exported in response to localized iron fertilization in the equatorial Pacific came from the atmosphere, though this percentage was reduced to 34% when the entire Pacific was fertilized.

In all above examples, the simulated ratio of changes in air-sea  $CO_2$  flux to changes in biotic carbon export, which has been referred to as atmospheric uptake efficiency (Jin et al., 2008), differs by a factor of about 2 or more among different published model results. This difference is larger than the 19% scatter in simulated anthropogenic carbon uptake for a range of different circulation models participating in the Ocean Carbon Model Intercomparison Project (OCMIP) (Orr et al., 2001). However, while the OCMIP simulations followed a common protocol for the treatment of the biological pump and air-sea gas exchange, this was not the case for the above-mentioned studies looking at sensitivities of air-sea  $CO_2$  flux to changes in the biological pump.

Jin et al. (2008) showed that part of the discrepancy among the different studies could be attributed to the different depth distribution of fertilization within the euphotic zone. The closer to the sea surface the biology is altered, the higher is the likelihood that changes in export production are felt by the atmosphere. This is of particular relevance in tropical regions with shallow mixed layers and deep chlorophyll maxima where biotically induced air-sea  $CO_2$  flux shows little correlation with local export production (Oschlies and Kähler, 2004). The Jin et al. (2008) argument applies specifically to differences between early models simulating iron fertilization by restoring euphotic zone nutrient levels to zero and more detailed marine ecosystem models that resolve biological processes within the euphotic zone. It is probably of less relevance to explain differences between the relatively similar ecosystem models used by Bopp et al. (2003) and Moore et al. (2006) or the varying C:N ratios employed by Schneider et al. (2004) and Oschlies et al. (2008).

Here a different hypothesis will be investigated, namely that differences in the treatment of the atmospheric boundary condition can explain part of the differences among the different model studies. It appears that all those simulations that revealed high ratios of anomalous air-sea CO<sub>2</sub> fluxes to anomalous biotic carbon-export fluxes used prescribed atmospheric CO<sub>2</sub> levels (Schneider et al., 2004; Moore et al., 2006; Jin et al., 2008). On the other hand, all simulations that allowed atmospheric CO<sub>2</sub> levels to vary in response to air-sea CO2 fluxes, yielded lower ratios of anomalous air-sea CO2 fluxes to anomalous oceanic carbon-export fluxes (Bopp et al., 2003; Gnanadesikan et al., 2003; Oschlies et al., 2008). Earlier studies have already acknowledged a systematic overestimation of the impact of changes in the biological pump on oceanic carbon uptake by simulations using fixed atmospheric  $pCO_2$  (e.g., Schneider et al., 2004; Jin et al., 2008; Oschlies et al., 2008). A, to the author's knowledge, first quantitative estimate of this overestimate was given by Jin et al. (2008) who referred to a paper in preparation by Sarmiento et al. (2009), according to which simulations with interactive atmospheric carbon pool resulted in a 20% reduction in fertilization-induced oceanic CO<sub>2</sub> uptake over 10 years (and 50% over 100 years) compared to simulations with fixed atmospheric  $pCO_2$ . The current study was performed independently and will investigate not only the feedback arising from an interactive atmosphere, but also the feedbacks arising from the exchange of carbon between the atmosphere and the terrestrial biosphere on annual to millennial timescales. As long as the timescales considered do not exceed many thousands of years, weathering feedbacks can be neglected and will not be considered in this paper. A main result is that both atmospheric and terrestrial CO<sub>2</sub> feedbacks can lead to significant modifications in the response of the marine carbon uptake to disturbances in the biological pump.

The paper is organized as follows: after introducing the model experiments in the following section, Sect. 3 will examine the atmospheric and terrestrial feedbacks for the example of  $pCO_2$ -sensitive C:N ratios. The relevance of different time and space scales considered in the perturbation of the biological pump will be investigated for idealized experiments with enhanced phytoplankton maximum growth rates in Sect. 4. A concluding section ends the paper.

# 2 Model

The model used in this paper is the University of Victoria (UVic) Earth System Climate Model (Weaver et al., 2001) version 2.8. The oceanic component is a fully threedimensional primitive-equation model with nineteen levels in the vertical ranging from 50 m near the surface to 500 m at the seafloor. It is coupled to a single-level energymoisture balance model of the atmosphere and a dynamicthermodynamic sea ice component. The configuration used here is essentially as described by Schmittner et al. (2008), except for the molar C:N ratio of 6.6 and the molar O<sub>2</sub>:N ratio of 10.6. It includes a simple marine ecosystem model and an active terrestrial vegetation and carbon cycle component, which is based on the Hadley Centre's TRIFFID model (Cox et al., 2000). The trace nutrient iron is not explicitly included. By tuning the biological parameters (in particular the relatively low phytoplankton maximum growth rate of  $0.13 \,\text{day}^{-1}$  at  $0^{\circ}$ C), the model nevertheless achieves a reasonable fit to observed marine biogeochemical tracer distributions (Schmittner et al., 2005, 2008). All model components use a common horizontal resolution of  $1.8^{\circ}$  latitude  $\times 3.6^{\circ}$  longitude.

#### 2.1 Model experiments

Two different scenarios of disturbances of the marine biological pump are discussed in the following sections: the impact of pCO<sub>2</sub>-sensitive C:N ratios simulated by prescribing C:N ratios that increase with time (Sect. 3), and the impact of enhanced phytoplankton maximum growth rates, which may be viewed as a highly idealized ocean fertilization scenario (Sect. 4). The latter scenario uses a constant molar C:N ratio of 6.6 and assumes a large perturbation by increasing phytoplankton maximum growth rates from  $0.13 \, \text{day}^{-1}$  in the control experiment to  $10.0 \, \text{day}^{-1}$  (at  $0^{\circ}$ C) in the perturbation experiments beginning at time zero. These experiments do not attempt to simulate a realistic phytoplankton behavior, but were set up to resemble earlier model simulations and to deplete surface nutrient concentrations. As shown below, it turned out that even with these very high maximum growth rates, surface nutrients could not be fully depleted in the model. In different sensitivity experiments, elevated maximum growth rates are applied either globally or limited to the tropical ocean between 18° S and 18° N or to the Southern Ocean south of 30° S, which both include large HNLC (high nutrient, low chlorophyll) areas.

All model runs start from the same model state that was obtained by spinning up the model for 7000 years under preindustrial prescribed atmospheric  $pCO_2$  of 280  $\mu$ atm and with a molar C:N ratio of 6.6. For each scenario, four different model configurations were employed (Fig. 1), differing only in the treatment of the atmospheric and terrestrial carbon pools and their interaction with each other:

 ATCO2const: atmospheric pCO<sub>2</sub> is fixed at 280 μatm. In consequence, annual mean climate and terrestrial carbon stocks stay constant. This configuration essentially assumes an infinitely large atmospheric carbon pool such that CO<sub>2</sub> concentrations do not change in response to CO<sub>2</sub> exchange with the ocean. Total carbon is not conserved.



Fig. 1. Schematic design of the different model configurations used (see text). Shown are active carbon pools of configurations ATCO2const, VEGconst, COUPLED, and CLIMconst. Atmospheric  $pCO_2$  is prescribed in ATCO2const, which corresponds to assuming an infinitely large atmospheric carbon reservoir. CLIM-const assumes constant  $pCO_2$  in the atmospheric radiation budget and therefore simulates an essentially constant climate apart from possible  $CO_2$  driven albedo changes.

- VEGconst: uses a finite atmospheric carbon reservoir (initially 573 PgC, corresponding to 280  $\mu$ atm), which can exchange carbon with the ocean (initially 37 300 PgC) such that total carbon is conserved. No exchange is allowed with the terrestrial carbon pool.
- COUPLED: uses the same initial carbon reservoir sizes as experiment VEGconst, but allows the atmosphere to exchange carbon with both the ocean and the terrestrial carbon pool (initially 1350 PgC in soils and 600 PgC in vegetation), such that total carbon is conserved.
- CLIMconst: uses the same initial carbon reservoir sizes as experiment COUPLED and allows both ocean and terrestrial vegetation to exchange carbon with the atmosphere, but assumes constant atmospheric  $pCO_2=280 \ \mu atm$  in the atmosphere's radiation budget. Note that this ensures only an approximately constant climate, which can still change in response to CO<sub>2</sub>-induced terrestrial albedo changes. See text below.

### **3** Impact of pCO<sub>2</sub>-sensitive C:N ratios

This section investigates the oceanic carbon uptake that may result from a possible increase in the ratio of carbon to nitrogen drawdown in response to increasing  $CO_2$  levels in the atmosphere and in the ocean surface waters. There is some evidence from mesocosm experiments for such an increase in C:N drawdown with  $pCO_2$  (Engel et al., 2004; Riebesell et al., 2007), and model studies have investigated the hypothetical impacts of a  $pCO_2$ -sensitive stoichiometry on the oceanic carbon uptake (Schneider et al., 2004; Oschlies et al., 2008). The current study investigates the sensitivity of the simulated impact on the oceanic carbon uptake to carbon feedbacks on the atmospheric side of the sea surface.

Interpolating the mesocosm-derived  $pCO_2$  dependence of the of C:N drawdown by the biological pump (Riebesell et al., 2007), the temporal evolution of the model's C:N ratio is assumed to follow observed and predicted atmospheric  $pCO_2$ . Here, historical data are used for the period 1765 until 1990, and the IS92 scenario (Houghton et al., 1997) is used for the period 1990 until 2100, by which the model's C:N ratio reaches 7.98 (Fig. 2a). With respect to the assumed pre-industrial C:N ratio of 6.6, this corresponds to an increase in molar C:N ratios by about 21%. The setup is similar to that used by Oschlies et al. (2008), except for the use of prescribed anthropogenic  $CO_2$  emissions in that study, with C:N ratios diagnosed from the model's actual atmospheric CO<sub>2</sub>. The current study, in contrast, does not explicitly include CO2 emissions and "only" prescribes the evolution of C:N ratios, which is identical over all model runs irrespective of the modeled atmospheric CO<sub>2</sub> levels.

All four model configurations introduced in the previous section were started from the same spun up state in year 1765 and integrated until year 2100. The increase in simulated biotic carbon export in response to the increasing C:N ratios from about 7 PgC/yr in year 1765 to more than 8.4 PgC/yr in model year 2100 is very similar among all model runs (Fig. 2b, Table 1). Below, it will be shown that despite this close agreement in simulated export production, oceanic  $CO_2$  uptake differs considerably among the different model runs.

#### 3.1 Feedbacks from the atmospheric carbon pool

Most model studies investigating changes in the marine biological pump have, so far, neglected possible feedbacks from the terrestrial carbon pool. It is therefore appropriate to first investigate the results of the two experiments ATCO2const and VEGconst, which both keep the carbon content of the terrestrial vegetation and soils constant. Simulated atmospheric CO<sub>2</sub>, by definition, stays constant at 280  $\mu$ atm in experiment ATCO2const and drops by 9.7 µatm by year 2100 in run VEGconst on introducing the temporally increasing C:N ratios (Fig. 3a). The increase in the oceanic carbon inventory associated with the enhanced export of organic carbon is twice as large in run ATCO2const compared to VEGconst (Fig. 3b: 41.0 PgC and 20.7 PgC, respectively, see also Table 1). The carbon taken up by the ocean from the atmosphere in experiment VEGconst lowers atmospheric  $pCO_2$ . This reduces the air-sea  $CO_2$  partial pressure difference and therefore leads to a back flux of CO2 from the surface ocean to the atmosphere. No such back flux occurs in run ATCO2const.

The comparison of VEGconst and ATCO2const reveals that, for the simulated case of globally uniform changes in C:N ratios, the back flux included in model VEGconst compensates about 50% of the original air-sea carbon flux induced by the perturbation of the biological pump in run ATCO2const. In year 2100 the simulated air-sea  $CO_2$  flux



**Fig. 2.** (a) Time series of prescribed molar C:N ratio used in all experiments with varying C:N ratio. (b) Simulated export of organic carbon at z=126 m (in PgC/yr). The simulated export production is almost identical among the different model configurations.

Table 1. Simulated carbon inventories and flux changes.

Property	ATCO2const	VEGconst	COUPLED	CLIMconst
pCO <sub>2</sub>	280	270.3	273.8	274.3
$\Delta C_{atm}$	$0^{a}$	-20.7	-12.7	-11.4
$\Delta C_{ocn}$	41.0	20.7	26.9	28.8
$\Delta C_{ter}$	0	0	-14.1	-17.2
$\Delta SAT$	0	-0.068	-0.031	-0.0015
$\Delta EP$	1.40	1.45	1.44	1.40
$\Delta ASE$	0.53	0.27	0.37	0.39
$\int \Delta ASE / \int \Delta EP$	0.38	0.20	0.26	0.28

Experiments for  $pCO_2$ -sensitive C:N ratios prescribed according to Fig. 2a. All numbers are model results for year 2100. Units are  $\mu$ atm for  $pCO_2$  and PgC for changes in atmospheric, marine and terrestrial carbon pools ( $\Delta C_{atm}$ ,  $\Delta C_{ocn}$  and  $\Delta C_{ter}$ ), and degrees Celcius for the change in globally averaged surface air temperature,  $\Delta$ SAT.  $\Delta C_{ocn}$  includes dissolved inorganic carbon and particulate organic carbon, the latter of which amounts to about 0.4 PgC. Fluxes are in PgC yr<sup>-1</sup> for changes in export production ( $\Delta$ EP) and air-sea CO<sub>2</sub> exchange ( $\Delta$ ASE) with respect to the constant stoichiometry case. The last line is the ratio of time integrals of air-sea carbon flux and export production, respectively, from year 1765 to year 2100. <sup>a</sup> Total carbon is not conserved in experiment ATCO2const.

reaches 0.54 PgC/yr in run ATCO2const and 0.27 PgC/yr in run VEGconst (Fig. 4a). It turns out that in experiment ATCO2const 38% of the additional carbon exported in response to the elevated C:N ratios is derived from the atmosphere, whereas only 20% is derived from the atmosphere in run VEGconst (Fig. 4b). This factor 2 difference in atmospheric uptake efficiency is similar to that found among earlier studies referred to in the introduction.

#### 3.2 Feedbacks from the terrestrial carbon pool

Once the terrestrial vegetation is allowed to exchange carbon with the atmosphere in experiment COUPLED, oceanic carbon uptake induced by enhanced C:N ratios exceeds the amount simulated by VEGconst by about 50% (Fig. 3b). The main reason for this increase is that modeled terrestrial primary production is sensitive to atmospheric CO<sub>2</sub> whereas respiration is not. Primary production is reduced at lower atmospheric CO2 levels (assuming otherwise unchanged environmental conditions), which leads to a net flux of carbon from the terrestrial carbon pool to the atmosphere. The UVic model has a sensitivity of land carbon storage to atmospheric  $pCO_2$  of about 1.2 PgC/ $\mu$ atm estimated from climate change simulations over essentially the same time interval as considered here (Friedlingstein et al., 2006). In order to reduce atmospheric  $pCO_2$  by 1  $\mu$ atm, the ocean now has to take up not only 2.1 PgC of the atmosphere's carbon equivalent of  $1\,\mu$ atm, but also  $1.2\,\text{PgC}$  from the terrestrial biosphere. That is, the interactive carbon pool seen by the ocean on the atmospheric side of the sea surface has increased by almost 60% in run COUPLED compared to experiment VEGconst.

Compared to the VEGconst run, atmospheric  $pCO_2$  decreases less (Fig. 3a), allowing more  $CO_2$  to invade the ocean (Fig. 3b). The negative terrestrial vegetation feedback on atmospheric  $CO_2$  levels is thus equivalent to a positive feedback on oceanic  $CO_2$  uptake. Note, that this feedback depends on the  $CO_2$  fertilization effect on the terrestrial biosphere. The  $CO_2$  sensitivity and its magnitude in the terrestrial component of the UVic Earth System model are common among current coupled carbon-climate models (Friedlingstein et al., 2006). However, the degree of realism of the modeled magnitude of this feedback is under debate (e.g., Sokolov et al., 2008).

#### 3.3 Feedbacks from climate change

The perturbation applied to the biological pump in the above experiments consists in a prescribed change in the C:N ratio. This perturbation differs from anthropogenic greenhouse gas perturbations as it immediately affects the biological pump. Changes in the marine carbon cycle then affect atmospheric CO<sub>2</sub> and, in turn, cause some climate change in experiments VEGconst and COUPLED. As a result of the variations in C:N ratios considered, atmospheric CO<sub>2</sub> concentrations decline by less than  $10 \,\mu$ atm and induce a global cooling of less than 0.07°C (Fig. 3d) in runs VEGconst and COUPLED, but not in CLIMconst. At the higher air temperatures of CLIMconst, terrestrial respiration rates are higher and the terrestrial biosphere loses an additional 3 PgC by year 2100 compared to run COUPLED with its lower temperatures. Of this extra carbon released into the atmosphere, only about 1 PgC stay in the atmosphere, whereas the oceanic uptake is higher by 2 PgC in run CLIMconst compared to COUPLED as a result of higher atmospheric partial CO<sub>2</sub>



**Fig. 3.** Results of simulations with prescribed increase of C:N ratios (Fig. 2a): Simulated evolution of (**a**) atmospheric  $pCO_2$  (in  $\mu$ atm), (**b**) oceanic carbon inventory referenced to initial state (in PgC), (**c**) terrestrial carbon (vegetation plus soils, in PgC), (**d**) global-mean surface air temperature (in °C).



**Fig. 4.** Results of experiment with prescribed increase of C:N ratios (Fig. 2a): (a) Simulated air-sea gas exchange of CO<sub>2</sub> (in PgC/yr). (b) Ratio of cumulative air-sea carbon flux to simulated export of organic carbon across z=126 m.

pressure (Table 1). Given an oceanic uptake of 28.8 PgC simulated by run CLIMconst, the feedback from fertilizationinduced climate change reduces the oceanic carbon uptake by about 7%. This is small compared to the differences between the oceanic carbon uptake simulated by experiments ATCO2const and COUPLED or VEGconst and COUPLED. The sensitivity of the biological-pump driven oceanic carbon uptake to fertilization-induced climate change is small compared to the sensitivities to atmospheric and terrestrial carbon feedbacks.

#### **3.4** Sensitivity to background CO<sub>2</sub> levels

All simulations above employed a pre-industrial atmospheric  $pCO_2$  background of 280  $\mu$ atm. Because of non-linear responses of the oceanic carbonate system and also of the terrestrial biosphere to carbon addition, the detailed response

to fertilization will be different at different atmospheric CO<sub>2</sub> levels. Unfortunately, it is more complicated to set up runs equivalent to VEGconst (and to some extent CLIMconst) with increasing background pCO<sub>2</sub> than it is for constant pCO<sub>2</sub>. To keep climate and/or vegetation identical to a control run with increasing background pCO<sub>2</sub>, one would have to prescribe the evolution of climate and/or vegetation for the entire fertilization run.

To explore the sensitivity of the results reported above to background  $pCO_2$  typical for historical and expected future  $CO_2$  levels, two model runs corresponding to COUPLED and ATCO2const were performed for prescribed increasing C:N ratios and increasing background atmospheric  $CO_2$  levels accounting for anthropogenic emissions. The COUPLED experiment, and a control experiment with constant C:N ratios, were forced by historical emissions until year 2000 and the SRES A2 emission scenario thereafter (Schmittner et al., 2008). The ATCO2const experiment was forced by the evolution of atmospheric  $pCO_2$  taken from the control run.

The COUPLED experiment forced by CO<sub>2</sub> emissions resulted in an atmospheric carbon inventory 27.5 PgC smaller than that of the control run by A.D. 2100, an additional oceanic carbon uptake of 30.8 PgC, and a terrestrial carbon loss of 3.4 PgC. That is, for the same increase in C:N ratios, the atmospheric CO<sub>2</sub> reduction is about twice as high as in the simulation with pre-industrial background atmospheric  $pCO_2$  (12.7 PgC, Table 1), whereas the ocean uptake is only 14% larger than the value under pre-industrial background pCO<sub>2</sub> (26.9 PgC). The larger sensitivity of atmospheric  $pCO_2$  to changes in the C:N ratio (or ocean fertilization) at higher atmospheric  $pCO_2$  is caused by an increase in the Revelle factor at higher CO<sub>2</sub> levels (Kurz and Maier-Reimer, 1993). Additional nonlinearities come from the terrestrial biosphere's response to changes in background  $CO_2$ , temperature, and hydrological cycle. The cumulative terrestrial response to the perturbation induced by increasing C:N ratios by year 2100 is smaller in the emission scenario (-3.4 PgC) than in the run with pre-industrial background  $CO_2$  (-14.1 PgC).

For the experiment with prescribed increasing  $pCO_2$  (corresponding to ATCO2const), the oceanic uptake amounts to 46.1 PgC by year 2100, which is 12% larger than the oceanic uptake of run ATCO2const under pre-industrial background CO<sub>2</sub> (41.0 PgC, Table 1). That is, the relative differences in simulated fertilization-induced oceanic carbon uptake between experiments ATCO2const and COUPLED are similar in the emission scenario and the pre-industrial  $pCO_2$  scenario. Since the main focus of this paper is on relative rather than absolute differences among different model configurations, this gives some support for the robustness of our results.

# 4 Impact of enhanced phytoplankton maximum growth rates

While the experiments of the previous section assumed a globally uniform increase in C:N ratios on the centennial timescale of the anthropocene, this section considers the idealized scenario of an instantaneous switch in phytoplankton growth rate in order to investigate the impact of atmospheric and terrestrial carbon feedbacks on different timescales and for different regional patterns of the perturbation. The assumed perturbation is a large increase in phytoplankton maximum growth rates (see Sect. 2).

These experiments can be viewed as (yet another) indirect attempt to estimate the potential impact of large-scale iron fertilization on the global carbon cycle. The enhanced growth-rate approach taken here is intermediate between more idealized experiments that restore euphotic-zone nutrients to zero (e.g., Sarmiento and Orr, 1991; Gnanadesikan et al., 2003) and more detailed experiments with an explicit representation of iron (e.g., Aumont et al., 2006; Jin et al., 2008). In particular, surface nutrients are not fully depleted under enhanced maximum phytoplankton growth rates in the Southern Ocean, because of light limitation in winter, under sea ice and in areas of deep mixed layers. This is illustrated in Fig. 5 by the surface phosphate fields simulated for model run COUPLED after switching to the enhanced phytoplankton maximum growth rate. Apart from a sensitivity experiment discussed below, the maximum growth rate is enhanced at all depth levels, although light limitation suppresses any significant impact below about 130 m. For the idealized global scenario, the four different configurations ATCO2const, VEGconst, CONSTclim, and COUPLED are integrated over a period of 1000 years, for the regional scenarios the integration is limited to 100 years.

# 4.1 Temporal evolution

On switching to higher maximum growth rates, all model configurations show an initial peak in export production caused by a rapid consumption of surface nutrients left unutilized at the end of the model spin up. This peak in export production reaches 34 PgC/yr in the first year, i.e., about 27 PgC/yr above the normal level simulated by the control run with unchanged phytoplankton maximum growth rate. After 10 years, the excess export production is already reduced to 9 PgC/yr, and it reaches 4 PgC/yr after 100 years and about 1.5 PgC/yr after 1000 years (Table 2, Fig. 6a). The enhanced maximum growth rates increase export production by more than 20% even after 1000 years of operation. However, apart from experiment ATCO2const, oceanic carbon uptake has saturated during this time and residual air-sea carbon fluxes are less than 0.03 PgC/yr in year 1000 (Fig. 6b). Accordingly, the oceanic uptake efficiency, which is defined here as the cumulative CO2 air-sea flux to the cumulative excess export production, decreases with time and



**Fig. 5.** Surface phosphate concentrations simulated by (**a**) the control experiment, (**b**) the experiment with globally enhanced phytoplankton maximum growth rates, (**c**) the experiments with maximum phytoplankton growth rates enhanced south of 30° S, and (**d**) with maximum phytoplankton growth rates enhanced in the tropical belt between 18° S and 18° N. All results are shown for model year 100, but look virtually identical already a few years after the onset of the perturbation. Units are mmol  $PO_4 m^{-3}$ .

Table 2.	Simulate	d changes	in carbon	fluxes	for glo	obally	enhanc	ed
phytopla	nkton max	kimum gro	wth rate.					

Property	Time	ATCO2const	VEGconst	COUPLED	CLIMconst
	(yr)				
$\Delta EP$	1	26.8	26.8	26.8	26.8
$(PgCyr^{-1})$	10	8.7	8.9	8.9	8.7
	100	4.1	5.3	5.0	4.1
	1000	1.64	1.15	1.35	1.54
$\Delta ASE$	1	9.8	9.6	9.5	9.5
$(PgCyr^{-1})$	10	4.0	2.6	3.0	3.1
	100	1.8	0.40	0.96	1.1
	1000	0.33	-0.029	-0.011	0.016
$\int \Delta ASE/$	1	0.37	0.36	0.35	0.35
∫ ΔEP	10	0.47	0.37	0.39	0.39
-	100	0.44	0.20	0.29	0.32
	1000	0.39	0.067	0.15	0.17

will eventually approach zero (Fig. 6c). The oceanic uptake efficiency is identical to the atmospheric uptake efficiency defined by Jin et al. (2008). The change in terminology reflects the fact that in experiments with interactive terrestrial biosphere considered here (but not by Jin et al. (2008)), part of the carbon exchanged across the sea surface comes from the land. At the end of the 1000-year simulations with enhanced phytoplankton maximum growth rate, the ocean has taken up 190 PgC in run VEGconst, 460 PgC in run CLIMconst, 422 PgC in run COUPLED, and 1023 PgC in run ATCO2const (Table 3).

Qualitatively, the results of these millennial runs are very similar to those obtained in the previous section by varying the C:N ratio on centennial timescales: Experiment ATCO2const simulates the highest oceanic CO<sub>2</sub> uptake and VEGconst simulates the smallest oceanic carbon



**Fig. 6.** Simulations with globally enhanced phytoplankton maximum growth rates: (a) Simulated export of organic carbon at z=126 m (in PgC/yr). (b) Simulated air-sea gas exchange of CO<sub>2</sub> (in PgC/yr). (c) Ratio of cumulative air-sea carbon flux to simulated export of organic carbon across z=126 m.

uptake (Fig. 7). The uptake simulated by the COUPLED and CLIMconst runs is less than half of the uptake simulated by ATCO2const. At the same time, it is more than twice as high as in run VEGconst. While the terrestrial carbon pool remains unchanged in runs ATCO2const and VEGconst, it substantially decreases in CLIMconst and COUPLED (by



**Fig. 7.** Simulations with globally enhanced phytoplankton maximum growth rates: Simulated evolution of (**a**) atmospheric  $pCO_2$  (in  $\mu$ atm), (**b**) oceanic carbon inventory referenced to initial state (in PgC), (**c**) terrestrial carbon (vegetation plus soils, in PgC), (**d**) global-mean surface air temperature (in °C).

**Table 3.** Simulated carbon inventory changes for globally enhanced phytoplankton maximum growth rate.

Property	Time	ATCO2const	VEGconst	COUPLED	CLIMconst
	(yr)				
pCO <sub>2</sub>	1	280	276.8	277.0	277.0
$(\mu atm)$	10	280	260.0	264.5	265.6
	100	280	218.1	240.5	247.1
	1000	280	190.2	204.5	215.6
$\Delta C_{ocn}$	1	9.74	9.51	9.42	9.42
(Pg C)	10	53.9	43.3	45.0	45.2
	100	269	131.0	186.3	193.3
	1000	1023	190.3	422.4	460.1
$\Delta C_{ter}$	1	0	0	-0.30	-0.33
(Pg C)	10	0	0	-11.4	-13.9
	100	0	0	-101.0	-121.4
	1000	0	0	-262.8	-323.6

The change in oceanic carbon,  $\Delta C_{ocn}$  includes dissolved inorganic carbon and organic particulate carbon (the model does not explicitly resolve dissolved organic carbon or particulate inorganic carbon). In year 1, increases in organic matter account for about 25% of  $\Delta C_{ocn}$ .

324 PgC and 263 PgC, respectively). That is, after 1000 years most of the additional carbon taken up by the ocean comes from the land and not from the atmosphere!

The relatively small difference in terrestrial carbon loss between experiments CLIMconst and COUPLED is due to the lower surface temperatures in the COUPLED experiment (Fig. 7d), which reduce the respiratory losses of carbon from the terrestrial carbon pool. Interestingly, experiment CLIMconst shows a decline of surface air temperatures by about 0.2°C within 1000 years although atmospheric CO<sub>2</sub> is assumed constant in the atmospheric radiation budget. This slight cooling is caused by changes in the albedo resulting from changes in terrestrial vegetation. In run CLIMconst, the lower atmospheric CO<sub>2</sub> leads to a shift from boreal forest with an albedo of 0.17 to tundra with an albedo of 0.22 over large parts of Asia and smaller parts of North America between about  $60^{\circ}$  N and  $68^{\circ}$  N.

Similar to the results of the previous section, the efficiency of the enhanced export production to take up carbon from the atmosphere and store it in the ocean differs considerably among the different model configurations (Fig. 6c). In model year 1000, the oceanic uptake efficiency amounts to 0.39 in run ATCO2const and to only 0.065 in run VEGconst. The ratios diagnosed from experiments CLIMconst and COUPLED are 0.17 and 0.15, respectively.

# 4.2 Regional perturbations

Consistent with the findings of earlier studies (e.g., Gnanadesikan et al., 2003; Aumont et al., 2006), enhancing phytoplankton maximum growth rates in the tropics has only little impact on atmospheric CO<sub>2</sub>, with reductions in all experiments being less than  $8\,\mu$  atm over 100 years in all experiments (Fig. 8a, dashed lines). This is mirrored in the simulated export production that is, after an initial enhancement during the first few years, only marginally (7%) larger than that simulated by the unperturbed control run (Fig. 8c). Oceanic carbon uptake amounts to some 20-30 PgC after 100 years (Fig. 8b), with an air-sea CO<sub>2</sub> flux of about 0.1 PgC yr (Fig. 8d). In contrast, enhancing phytoplankton growth in the Southern Ocean is much more effective in the model, resulting in a much larger decline of atmospheric CO<sub>2</sub> levels and a much larger increase in export production, air-sea CO<sub>2</sub> flux and oceanic carbon uptake (Fig. 8, dotted lines). Typically, the impact of enhanced phytoplankton maximum growth in the Southern Ocean amounts to about 80% of the impact obtained when phytoplankton growth increased globally.

The oceanic uptake efficiency is relatively insensitive to the chosen perturbation area. For the COUPLED experiment, the oceanic uptake efficiency after 100 years is 0.29 for the global perturbation, 0.31 for the tropical perturbation, and 0.32 for the Southern Ocean perturbation (Fig. 9). In a sensitivity experiment in which the phytoplankton maximum growth rate was increased only in the first grid level of the model ( $\Delta z$ =50 m), the oceanic uptake efficiency increased to 0.36 for the tropical perturbation and to 0.33 for the Southern Ocean perturbation. This increase is consistent with the findings of Jin et al. (2008) who showed that an enhancement of phytoplankton growth close to the sea surface resulted in a higher fraction of exported carbon being derived from the atmosphere than for an enhancement of growth over the entire euphotic zone.

In terms of relative changes, the impacts of feedbacks from the atmospheric and terrestrial carbon pools are very similar among the different regional perturbations: After 100 years, simulated oceanic carbon uptake is about 100% higher compared to the VEGconst experiment when atmospheric  $pCO_2$ 



**Fig. 8.** Simulations with enhanced phytoplankton growth rates applied globally (solid lines), to the tropical ocean between 18° S and 18° N (dashed lines), and to the Southern Ocean south of 30° S (dotted lines). (a) Simulated atmospheric  $pCO_2$  (in  $\mu$ atm, left axis, and in PgC, right axis), (b) oceanic carbon uptake (in PgC), (c) export production (in PgC/yr), and (d) air-sea CO<sub>2</sub> exchange (in PgC/yr).

is prescribed at a fixed level, and is more than 30% higher when both atmospheric and terrestrial carbon feedbacks are accounted for in run COUPLED. Compared to these differences, the impact of the climate feedback is small and amounts to a few percent only.

# 5 Conclusions

This study was motivated by the considerable differences in published model-derived estimates of the impact of enhanced biological production on oceanic carbon uptake. Ocean-only studies that assumed a constant atmospheric  $pCO_2$  (e.g., Schneider et al., 2004; Moore et al., 2006; Jin et al., 2008) typically predicted an oceanic carbon uptake about twice as high as estimates from coupled ocean-atmosphere studies that allowed atmospheric CO<sub>2</sub> to vary in response to airsea CO<sub>2</sub> fluxes (e.g., Bopp et al., 2003; Gnanadesikan et al., 2003; Oschlies et al., 2008). While those investigators that assumed constant atmospheric CO<sub>2</sub> and generally obtained a high oceanic uptake efficiency acknowledged that their estimates were likely overestimates because of the choice of the atmospheric boundary condition, the magnitude of this overestimate has not vet been established. A first quantitative estimate is given in an as yet unpublished study of already referred to by Jin et al. (2008). In addition to the feedbacks originating from a finite atmospheric carbon reservoir, the current study also examined possible feedbacks from the terrestrial carbon pool.

Prescribing atmospheric  $pCO_2$  rather than using an interactive atmospheric carbon pool implicitly assumes an infinite size of the atmospheric carbon reservoir. Under this assumption that neglects the back flux of  $CO_2$  form the ocean to the atmosphere that occurs when atmospheric  $CO_2$  is re-



Fig. 9. Ratio of cumulative air-sea  $CO_2$  flux to cumulative export production for experiments with enhanced phytoplankton maximum growth rates applied (a) globally, (b) to the tropics between  $18^{\circ}$  S and  $18^{\circ}$  N, (c) to the Southern Ocean south of  $30^{\circ}$  S, and (d) to the uppermost grid box in the tropics between  $18^{\circ}$  S and  $18^{\circ}$  N.



Fig. 10. Compilation of the oceanic uptake efficiency, i.e., the ratio of cumulative air-sea  $CO_2$  flux to cumulative export production for the different experiments. "varC:N" refers to the experiments with prescribed increase of C:N ratios (Sect. 3), "maxgr." refers to the experiments with enhanced phytoplankton maximum growth rate (Sect. 4).

duced, the oceanic uptake efficiency turns out to be overestimated by about 25% on decadal timescales and about 100% on centennial timescales (Fig. 10). The addition of an interactive terrestrial carbon pool on the atmospheric side of the sea surface can, to some extent, buffer changes in atmospheric  $pCO_2$ . The magnitude of this "buffering" effect depends on the  $pCO_2$  sensitivity of the terrestrial biosphere, which shows large differences among different models (e.g. Friedlingstein et al., 2006). There is evidence that elevated atmospheric  $CO_2$  concentrations stimulate net accumulation of carbon in terrestrial ecosystems (Luo et al., 2006), though this could not be confirmed in temperate latitude forest inventories (Caspersen et al., 2000). The overall situation is

**Table 4.** Sensitivity of oceanic uptake efficiencies to atmospheric and terrestrial carbon feedbacks.

Experiment	ATCO2const VEGconst	COUPLED VEGconst	CLIMconst COUPLED
varC:N	1.94	1.32	1.09
maxgr.1 yr	1.02	0.99	1.00
maxgr.10 yr	1.26	1.04	1.00
maxgr.100 yr	2.23	1.46	1.09
maxgr.1000 yr	5.86	2.20	1.16
maxgr.S.Ocean	2.15	1.43	1.08
maxgr.tropics	1.83	1.33	1.06

Ratios of oceanic uptake efficiencies computed for ATMCO2const and COUPLED with respect to VEGconst, and of CLIMconst with respect to COUPLED. The experiments use *p*CO<sub>2</sub>-sensitive C:N ratios (varC:N) and enhanced phytoplankton maximum growth rates applied globally (maxgr.1 yr to maxgr.1000 yr), and over the Southern Ocean (maxgr.S.Ocean) and over the tropics (maxgr.tropics). Values are given for year 2100 for experiment varC:N and for model year 100 for the other experiments unless indicated otherwise in the experiment name.

still unclear (e.g., Sokolov et al., 2008). The terrestrial component of the UVic model used here has a sensitivity of land carbon storage to atmospheric  $pCO_2$  of about 1.2 PgC/ $\mu$ atm, which is in the middle range of sensitivities of other commonly used carbon cycle models (Friedlingstein et al., 2006). However, recent attempts to include the coupling of carbon and nitrogen cycles in the terrestrial biosphere have reported lower efficiencies of about 0.5 PgC/ $\mu$ atm (Thornton et al., 2007; Sokolov et al., 2008).

The terrestrial biosphere's  $pCO_2$  sensitivity effectively increases the actual atmospheric carbon pool of 2.1 PgC/ $\mu$ atm seen by the ocean on the atmospheric side of the sea surface by almost 60% according to the UVic model sensitivity. This figure would reduce to 25% for the recent terrestrial models with coupled nitrogen and carbon cycles. For the current model, the oceanic carbon uptake in response to an enhancement of the biological pump increases by a few percent on decadal timescales, by about 50% on centennial timescales, and by more than 100% on millennial timescales compared to a simulation that neglects feedbacks from the terrestrial carbon pool (Table 4). This impact is much larger than the feedback effects from fertilization-induced climate change which, in the biological-pump perturbations modeled here, reduce the simulated oceanic CO<sub>2</sub> uptake by a few percent only.

The results of the idealized model experiments have revealed a considerable impact of atmospheric and terrestrial carbon feedbacks on estimated oceanic carbon uptake in response to changes in the biological carbon pump. On decadal to centennial timescales, model-derived estimates of oceanic carbon uptake can vary by up to a factor 2, depending on the treatment of the atmospheric and terrestrial carbon pools. This can explain a substantial part of the difference reported by different previous studies. The results reported here are also relevant for attempts to assess the impacts of either natural or purposeful ocean fertilization. Any local enhancement of the biological pump will generate remote carbon fluxes, making a quantitative assessment of the net impact on atmospheric CO<sub>2</sub> difficult. Moreover, oceanic carbon uptake is not identical to atmospheric CO<sub>2</sub> drawdown because of terrestrial carbon feedbacks.

Given our current understanding of global carbon feedbacks, the quantitative details of the idealized model experiments presented remain uncertain, although the sign of atmospheric and terrestrial carbon feedbacks and their impacts on fertilization-induced marine carbon uptake are expected to be robust. The results indicate the difficulties and inherent uncertainties we are still facing when trying to assess the impact of natural or purposeful ocean fertilization on atmospheric CO<sub>2</sub>.

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