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# Sea–air CO<sub>2</sub> fluxes in the Indian Ocean between 1990 and 2009

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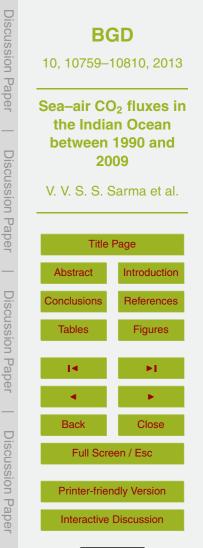




#### Abstract

The Indian Ocean (44° S–30° N) plays an important role in the global carbon cycle, yet remains one of the most poorly sampled ocean regions. Several approaches have been used to estimate net sea–air CO<sub>2</sub> fluxes in this region: interpolated observations, ocean <sup>5</sup> biogeochemical models, atmospheric and ocean inversions. As part of the RECCAP (REgional Carbon Cycle Assessment and Processes) project, we combine these different approaches to quantify and assess the magnitude and variability in Indian Ocean sea–air CO<sub>2</sub> fluxes between 1990 and 2009. Using all of the models and inversions, the median annual mean sea–air CO<sub>2</sub> uptake of −0.37 ± 0.06 Pg Cyr<sup>−1</sup>, is consistent with

- <sup>10</sup> the  $-0.24 \pm 0.12 \text{ PgC yr}^{-1}$  calculated from observations. The fluxes from the Southern Indian Ocean (18° S–44° S;  $-0.43 \pm 0.07 \text{ PgC yr}^{-1}$ ) are similar in magnitude to the annual uptake for the entire Indian Ocean. All models capture the observed pattern of fluxes in the Indian Ocean with the following exceptions: underestimation of upwelling fluxes in the northwestern region (off Oman and Somalia), over estimation in the north-
- eastern region (Bay of Bengal) and underestimation of the CO<sub>2</sub> sink in the subtropical convergence zone. These differences were mainly driven by a lack of atmospheric CO<sub>2</sub> data in atmospheric inversions, and poor simulation of monsoonal currents and freshwater discharge in ocean biogeochemical models. Overall, the models and inversions do capture the phase of the observed seasonality for the entire Indian Ocean but over
- estimate the magnitude. The predicted sea–air CO<sub>2</sub> fluxes by Ocean BioGeochemical Models (OBGM) respond to seasonal variability with strong phase lags with reference to climatological CO<sub>2</sub> flux, whereas the atmospheric inversions predict an order of magnitude higher seasonal flux than OBGMs. The simulated interannual variability by the OBGMs is weaker than atmospheric inversions. Prediction of such weak interannual
- <sup>25</sup> variability in CO<sub>2</sub> fluxes by atmospheric inversions was mainly caused by lack of atmospheric data in the Indian Ocean. The OBGM models suggest a small strengthening of the sink over the period 1990–2009 of  $-0.01 \text{ PgC} \text{ decade}^{-1}$ . This is inconsistent with the observations in the southwest Indian Ocean that shows the growth rate of oceanic



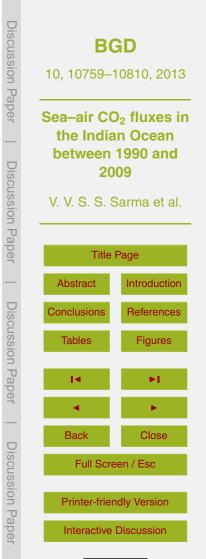
 $\rho$ CO<sub>2</sub> was faster than the observed atmospheric CO<sub>2</sub> growth, a finding attributed to the trend of the Southern Annual Mode (SAM) during the 1990s.

#### 1 Introduction

Since the beginning of the industrial revolution, atmospheric carbon dioxide (CO<sub>2</sub>) con<sup>5</sup> centration has increased with time due to anthropogenic activity such as fossil fuel combustion and land use changes. These activities led to increased accumulation of CO<sub>2</sub> in the atmosphere from ~ 4.0 PgCyr<sup>-1</sup> in 1970 to 6.8 PgCyr<sup>-1</sup> in 2000 (Raupach et al., 2007) and up to 8.4 PgCyr<sup>-1</sup> in 2006 (Boden et al., 2012). Of the total anthropogenic emissions, about half remain in the atmosphere, leading to a warming
<sup>10</sup> of globe in recent years (IPCC, 2007) while the remaining half is stored in the ocean and on land.

The Indian Ocean is unique compared to the other two major ocean basins as it is completely closed in the north by the Indian sub-continent and connected to the tropical Pacific via the Indonesian Through Flow (ITF) in the east, and opened to other major oceans at the southern boundary (south of 40° S) (Fig. 1). The Northern Indian Ocean experiences seasonal reversals in circulation driven by monsoonal forcing (Schott and McCreary, 2001), which modulates heat and salinity transport and the biogeochemical cycling of carbon and nitrogen. This zone is one of the most productive regions in the world accounting for 15–20% of global ocean primary productivity (e.g., Chavez and Barber, 1987; Behrenfield and Falkowski, 1997). The northeastern region (Bay of 20 Bengal) receives significant amount of freshwater and is strongly stratified compared to northwestern Indian Ocean leading to contrasting behavior in physical processes and biogeochemical cycling (Wykti et al., 1973; George et al., 1994). Consequently, the northeastern Indian Ocean acts as a mild net sink of atmospheric CO<sub>2</sub> whereas northwestern Indian acts as a net source (Kumar et al., 1996; Sarma, 2003; Takahashi 25

et al., 2009; Valsala and Maksyutov, 2010a; Sarma et al., 2012). The  $pCO_2$  in this region shows large seasonal variations associated with the monsoonal circulation with





maximums during summer and winter and minimums in the transition periods (Sarma et al., 1998; Goyet et al., 1998; Sarma et al., 2000; Sarma, 2003; Sarma et al., 2012). In addition to the geographical features, the atmospheric forcing of the Indian Ocean is also unique. The predominant westerly winds can be seen along the equator in the

- <sup>5</sup> Indian Ocean in contrast to the dominant trade winds present in other tropical ocean basins. As a result of existence of westerly winds in the tropical Indian Ocean, a flat equatorial thermocline is present in the east-west direction leading to an absence of upwelling in the eastern tropical Indian Ocean with a west-to-east propagation of the annual cycle of SSTs (Murtugudde and Busalacchi, 1999; Xie et al., 2002).
- <sup>10</sup> The southern tropical and subtropical Indian Ocean is also under the influence of water discharged from the Pacific via the Indonesian Through Flow (Valsala and Ikeda, 2007; Valsala et al., 2010b). The region between 15° S to 50° S in the

Indian Ocean is a major subduction zone due to positive wind stress curl (Schott et al., 2009). These subducted water masses then travel to the northern Indian Ocean through a shallow meridional overturning circulation known as the cross-equatorial cell

(Miyama et al., 2003; Schott et al., 2002).

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The Indian Ocean remains poorly sampled spatially, and more importantly temporally. At present only about 30 % of the region is sufficiently sampled to observationally resolve the seasonal cycle (Fig. 2). As a consequence published studies in the In-

dian Ocean have concentrated primarily in the northwestern (Arabian Sea; e.g. George et al., 1994; Kumar et al., 1996; Goyet et al., 1998; Sarma et al., 1998, 2003; Sarma, 2003, 2004) and southwestern Indian Ocean, south of 35° S (e.g. Metzl et al., 1991, 1995, 1998; Poisson et al., 1993; Metzl, 2009).

Nevertheless, several studies have focused on the entire Indian Ocean using interpolation of surface partial pressure of  $CO_2$  ( $pCO_2$ ), dissolved inorganic carbon (DIC) and total alkalinity (TA) data collected during the Indian Ocean cruises e.g. (Louanchi et al., 1996; Sabine et al., 2000; Bates et al., 2006). These studies suggest that the region north of 20° S acts as a strong source of  $CO_2$  to the atmosphere (0.367 PgCyr<sup>-1</sup>) with a sink of atmospheric  $CO_2$  between 20° S–35° S of -0.13 PgCyr<sup>-1</sup> (Bates et al., 2006).



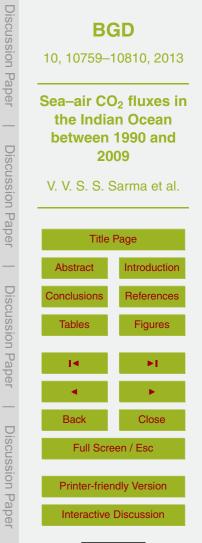


This is in contrast with Sabine et al. (2000), who using underway  $pCO_2$  data estimated the Indian Ocean (north of 35° S) to be a net sink of atmospheric  $CO_2$  (-0.15 PgCyr<sup>-1</sup>) for the year 1995.

Recently Valsala and Makyutov (2013) estimated interannual variability in air–sea
CO<sub>2</sub> fluxes in the Northern Indian Ocean (NIO) using a simple biogeochemical model coupled to an offline ocean tracer transport model driven by ocean reanalysis data. They found that the maximum seasonal and interannual variability in CO<sub>2</sub> emissions, was located in the coastal Arabian Sea and Southern Indian Penninsula. Valsala et al. (2012) examined the seasonal, interannual and interdecadal variability of atmospheric CO<sub>2</sub> sink in the Southern Indian Ocean (SIO). They reported two distinct CO<sub>2</sub> uptake regions located between 15–35° S and 35–50° S. The CO<sub>2</sub> response is driven by the solubility pump in the northern region, while both the solubility and biological pump contributes equally in the southern region.

The Indian Ocean also experiences strong variability driven by the Indian Ocean
Dipole Zonal Mode (IODZM), and is additionally influenced by the El Nino Southern Oscillation (ENSO) and the Southern Annular Mode (SAM) (Saji et al., 1999; Murthurgude et al., 2002; Thompson and Solomon, 2002). Several physical/hydrological/circulation factors (e.g. variability in atmospheric forcings, open boundaries such as Indonesian Through Flow (ITF), (Fieux et al., 1996; Coatanoan et al., 1999) influence sea–air CO<sub>2</sub>
fluxes and the carbon budgets (Sarma, 2006). The estimated sea–air CO<sub>2</sub> fluxes at regional and basin scales suggest strong seasonal and interannual variations (Bates et al., 2006; Goyet et al., 1998; Louanchi et al., 1996; Metzl et al., 1995, 1998, 1999;

 Sabine et al., 2000; Sarma et al., 1998, 2003; Takahashi et al., 2002, 2009).
 Valsala and Maksyutov (2013) noted that air-sea CO<sub>2</sub> flux anomalies from the
 <sup>25</sup> coastal Arabian Sea and Southern Peninsular India are related to the Southern Oscillation (SO) and Indian Ocean Dipole/Zonal Mode (IODZM). When the correlation of CO<sub>2</sub> flux with the IODZM is stronger, the corresponding correlation with the SO is weaker or opposite. For instance, between 1981 and 1985, about 20% of interannual variations of CO<sub>2</sub> emissions in Arabian Sea were explained by IODZM when SO has





no significant correlation during this period. On the other hand, between 1990 and 1995, the  $CO_2$  emissions in Arabian Sea displayed negative correlation with SO when IODZM has no significant relation. This means that a particular mode is responsible for interannual variation over several years. Studies in the southwestern Indian Ocean

based on long-term CO<sub>2</sub> observations describe the spatiotemporal *p*CO<sub>2</sub> variations for that region (Goyet et al., 1991; Metzl et al., 1991, 1995, 1998; Poisson et al., 1993, 1994; Metzl, 2009). In the period 1991–2007 Metzl (2009) calculated an oceanic *p*CO<sub>2</sub> growth rate of 2.11 ± 0.11 µatm yr<sup>-1</sup> which is ~ 0.4 µatm yr<sup>-1</sup> faster than in the atmosphere, suggesting that this region acts as a reducing sink of atmospheric CO<sub>2</sub>. They further noted that the growth rate is similar between 20° S–42° S during austral summer (2.2–2.4 µatm yr<sup>-1</sup>) while it was lower in the north of 40° S (1.5–1.7 µatm yr<sup>-1</sup>) than at higher latitudes (> 40° S) (2.2 µatm yr<sup>-1</sup>) during austral winter and such spatial variations were attributed to SAM.

Gruber et al. (2009) synthesized net  $CO_2$  flux estimates on the basis of inversions of interior ocean carbon observations, using a suite of ocean generation circulation models and compared these with an oceanic  $pCO_2$  based climatology (Takahashi et al., 2009). This study highlighted the difficulties in simulating the seasonal dynamics of the

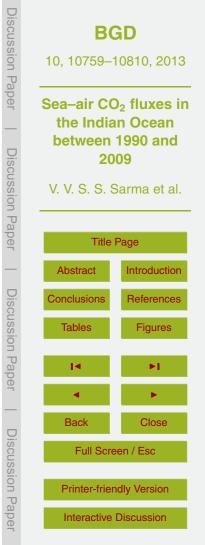
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upper ocean in the ocean biogeochemical models, resulting in a strong bias in mixed layer depth and other properties compared to observations (e.g. McKinley et al., 2006).

<sup>20</sup> Gruber et al. (2009) further noticed a significant mismatch between top down and bottom up inversion in the tropical Indian Ocean, potentially due to a lack of atmospheric CO<sub>2</sub> data.

Given the paucity of sampling in this important region interpolated observations, atmospheric and ocean inversions and ocean biogeochemical models have been used

<sup>25</sup> to quantify the response of sea–air  $CO_2$  fluxes over the Indian Ocean. The objective of this work is to compare the sea–air  $CO_2$  fluxes and oceanic  $pCO_2$  from the different approaches to evaluate and quantify how these simulate  $CO_2$  fluxes in the Indian Ocean on annual mean, seasonal and interannual time-scales.



#### 2 Methods

#### 2.1 Study region

Based on the RECCAP regional definitions, we define three primary regions: (i) the entire Indian Ocean (30° N–44° S); (ii) the North Indian Ocean (NIO; 30° N–18° S); and the Southern Indian Ocean (SIO; 18° S–44° S) (Fig. 1). Additionally, beyond the RECCAP regions we define 3 sub regions as part of the Northern Indian Ocean: the Arabian Sea (0°–30° N, 30° E–78° E); the Bay of Bengal (0–30° N, 78° E–100° E); and the Southern portion of the northern Indian Ocean, as each of these regions have a unique set of key drivers.

#### 10 2.2 Datasets

In order to describe the regional  $CO_2$  fluxes for the Indian Ocean and its subregions, RECCAP Tier 1 global  $CO_2$  flux products were used (Canadell et al., 2011), which is included datasets from observations, ocean biogeochemical models, atmospheric and ocean inversions.

#### 15 2.2.1 Observations

Overall the Indian Ocean remains one of the least sampled basins in the world ocean with respect to  $CO_2$  measurements both in terms of space and time (Pfeil et al., 2013). Within the Indian Ocean there are only two zones, the Arabian Sea, where seasonal data are available, and southwestern Indian Ocean (near Kerguluen Islands), where longer-term data are available (Fig. 2). Away from these regions, particularly in the eastern Indian Ocean sparse sampling (2–3 times in a year) makes quantifying and understanding seasonal variability is a challenge. In order to fill these gaps, Takahashi et al. (2009) compiled more than 3 million measurement of oceanic  $pCO_2$  and these were averaged onto a global grid (4° × 5°) with two dimensional advection-diffusion

 $_{25}$  equations used to interpolate spatially for each month. This  $pCO_2$  data has been inter-





polated to 1° × 1° grid and combined with the Calibrated Multi-Platform Winds (CCMP; Atlas et al., 2011) to generate a monthly climatology of net sea–air CO<sub>2</sub> fluxes for REC-CAP. In our subsequent analysis and comparison with different models and inversions, we define CO<sub>2</sub> flux and pCO<sub>2</sub> observations as these climatologies. These fluxes carry several errors associated with sparse coverage of data, wind speed measurements and gas transfer coefficients (Wanninkhof et al., 2013 and Sweeney et al., 2007 for more discussion). Following Gruber et al. (2009) and Schuster et al. (2013) we estimate the uncertainty on all sea–air flux observations to be ±50%.

#### 2.2.2 Ocean models

- <sup>10</sup> Sea-to-air CO<sub>2</sub> flux and oceanic pCO<sub>2</sub> data were obtained from five ocean biogeochemical models coupled to ocean general circulation models (Table 1). The models represent physical, chemical and biological processes governing the marine carbon cycle and the exchange of CO<sub>2</sub> with the atmosphere. These models are coarse resolution and do not resolve mesoscale features. These simulations are driven with meteorolog-
- <sup>15</sup> ical reanalysis products, based on observations, for atmospheric boundary conditions over the period 1990–2009. All of these models have been integrated from the preindustrial to present day with the same atmospheric CO<sub>2</sub> history. The physical models vary in many aspects such as the details of physical forcing, sub-grid scale parameterizations, and experimental configurations that are detailed in the reference for each
- <sup>20</sup> model in Table 1. In addition, the models incorporate different biogeochemical modules that can substantially influence the simulated fields of surface CO<sub>2</sub>.

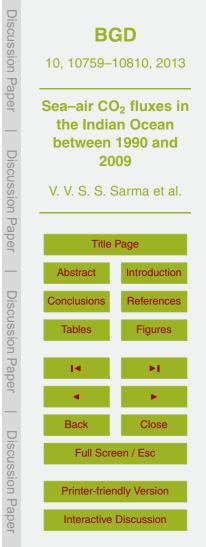
#### 2.2.3 Atmospheric inversions

Atmospheric inversions estimate the surface CO<sub>2</sub> fluxes that best fit the spatiotemporal patterns of measured atmospheric CO<sub>2</sub> given a defined, time-evolving atmospheric transport from numerical models. Usually the atmospheric inversions include a priori information about the surface CO<sub>2</sub> fluxes, either from observation based products or



biogeochemical models. The atmospheric inversions used in this manuscript (Table 2) were described in Peylin et al. (2013). The inversions vary in many aspects of their configuration but all are run globally. Here we focus on those aspects of the inversions pertinent to estimating Indian Ocean fluxes.

- <sup>5</sup> While globally, the atmospheric inversions typically use measurements from 50–100 sites, relatively few are in or near the Indian Ocean region (Fig. 1). The longest records within the region are Seychelles (55° E, 5° S) and Amsterdam Island (78° E, 38° S), while Cape Point (18° E, 34° S) and Cape Grim (145° E, 40° S) lie at the edge of the region. Cape Rama (74° E, 15° N), Bukit Kototabang (100° E, 0° S), Mt Kenya (37° E,
- <sup>10</sup> 0° S) and Tromelin Island (54° E, 16° S) have relatively short records within the inversion period, and many inversions do not include them. Even some of the longer records have suspected data quality issues. Seychelles data before 1996 were subject to a variety of sampling methods, with samples likely taken inland between 1994 and 1996 rather than on the coast, making the 1994–1996 measurements more susceptible to
- <sup>15</sup> local effects. Some inversions apply larger data uncertainties to Seychelles data before 1997 for this reason. Amsterdam Island measurements from 2001–2005 require correction for calibration issues (Le Quéré et al., 2008), but this correction is not included in most of the data products (e.g. GLOBALVIEW-CO<sub>2</sub>, 2009) used by the inversions. Also the GLOBALVIEW-CO<sub>2</sub> product does not include Amsterdam Island measurements
- after 2005, with many inversions consequently relying on extrapolated concentrations instead. For these reasons, interannual variability from the inversions should be interpreted with caution. To account for these uncertainties in the observational record and potential resulting biases in the calculations of annual mean uptake and variability, we only use values in the period 1997–2008.
- The atmospheric inversions used here estimate carbon fluxes either for pre-defined regions (8 cases) or at the resolution of the atmospheric transport model underlying the inversion (3 cases) (Table 2). The number of regions solved for varies across inversions, with 2–10 regions lying fully or partially within the Indian Ocean region analyzed here. Where only 2 Indian Ocean regions are solved for, these are close to those defined



in Sect. 2.1. For inversions solving at grid-cell resolution, a correlation length scale is used to ensure that the estimated fluxes vary smoothly across larger regions. Most inversions use either the Takahashi et al. (1999) or Takahashi et al. (2009) sea–air  $CO_2$  fluxes as a prior constraint to the inversion. These two compilations are similar for the NIO with an annual mean flux of around 0.11–0.12 PgCyr<sup>-1</sup> while in the SIO they are a little different (-0.37 or -0.49 PgCyr<sup>-1</sup>). Annual mean prior fluxes for the inversions not using these compilations are similar (within 0.1 PgCyr<sup>-1</sup> of the Takahashi values).

#### 2.2.4 Ocean inverse methods

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Here we use ten ocean inverse model simulations presented by Gruber et al. (2009)
and the mean value across these simulations calculated from three periods: 1995, 2000 and 2005. As this technique only solves for an annual mean state, i.e. does not resolve seasonality or inter-annual variability, these simulations are only used to assess the annual uptake. We have chosen to give all of the models equal weight in order to be consistent with our analysis of ocean models and atmospheric inversions, where
no weighting scheme was used. The specific details on methods and models used in these ocean inversions are detailed in Mikaloff Fletcher et al. (2006), Mikaloff Fletcher et al. (2007) and Gruber et al. (2009).

#### 2.3 Calculation and assessment of sea-air CO<sub>2</sub> fluxes

Sea-air CO<sub>2</sub> fluxes for ocean models and inversions were calculated as a median and
 the variability as a median absolute deviation (MAD; Gauss, 1816), consistent with
 Schuster et al. (2013) and Lenton et al. (2013). The MAD is the value where one half of all values are closer to the median than the MAD, and is a useful statistic for excluding outliers in data sets. The calculation of the annual uptake and seasonal variability of sea-air CO<sub>2</sub> fluxes from atmospheric inversions and ocean biogeochemical models
 used data from all of the models and inversions listed in Tables 1 and 2. The sea-air CO<sub>2</sub> flux into the ocean is defined as negative, consistent with RECCAP protocols.





#### 3 Result and discussion

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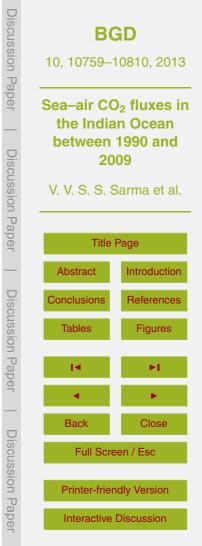
In order to examine how well ocean biogeochemical models, ocean and atmospheric inversions are simulating  $CO_2$  uptake by the Indian Ocean with reference to observations, comparisons were made at (i) annual, (ii) seasonal and (iii) inter-annual timescales.

#### 3.1 Annual mean sea-air CO<sub>2</sub> fluxes for 1990 to 2009

Table 3 and Fig. 3 present the median (across models and inversions) of sea–air CO<sub>2</sub> flux for the entire Indian Ocean (44° S–30° N), northern Indian Ocean (NIO; 18° S–30° N) and southern Indian Ocean (SIO; 44° S–18° S). For the atmospheric inversions,
the annual mean is taken over the available years of each individual inversion, excluding the period before 1997 when some inversions are strongly influenced by poor quality data at Seychelles. For ocean biogeochemical models, the annual mean was calculated over available years between 1990–2009, while in the case of ocean inversions the annual mean flux is calculated from the three periods 1995, 2000 and 2005.
The observed pattern of annual mean uptake for the Indian Ocean is shown in Fig. 4.

#### 3.1.1 Entire Indian Ocean (44° S–30° N)

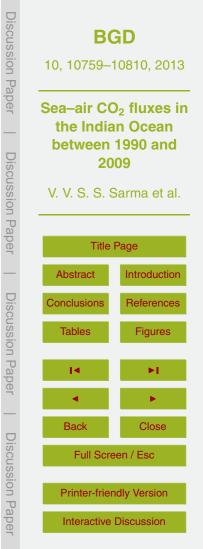
The simulated median annual sea–air fluxes varied between -0.36 and  $-0.37 \text{ Pg C yr}^{-1}$ for the Indian Ocean, (Table 3 and Fig. 3) with no significant difference among methods. However, all these methods over estimate uptake by about  $0.1 \text{ Pg C yr}^{-1}$  compared to observations ( $-0.24 \text{ Pg C yr}^{-1}$ ), but do agree within the observational uncertainty of  $\pm 0.12 \text{ Pg yr}^{-1}$ . The observational pattern of CO<sub>2</sub> flux in Fig. 4 shows that the uptake is dominated by the SIO while within the NIO the air–sea flux varies in sign. The near perfect agreement between the ocean biogeochemical models and the inversions for the entire basin is not maintained for the NIO/SIO subdivision. The ocean inversions displayed the largest MAD in the annual uptake ( $0.08 \text{ Pg C yr}^{-1}$ ) followed by atmospheric





inversions  $(0.06 \text{ PgCyr}^{-1})$  while the smallest MAD was shown by the ocean biogeochemical models  $(0.03 \text{ PgCyr}^{-1})$ ; Table 3; Fig. 3). The high MAD in the inversions was caused by sparse atmospheric CO<sub>2</sub> and differences in the modeled ocean physical circulation in the Indian Ocean.

- Gruber et al. (2009) synthesized the estimates of the contemporary net air-sea CO<sub>2</sub> flux in the global ocean using a suite of 10 ocean general circulation models (Mikaloff Fletcher et al., 2006, 2007) and compared them with the Takahashi *p*CO<sub>2</sub> climatology (Takahashi et al., 2009) for the period between 1990 and 2000. The difference between the climatology and inversions at the regional level amounted to less than
   0.1 PgCyr<sup>-1</sup>. In addition to this the atmospheric data are available only at 4 stations in the Indian Ocean (Fig. 1). The reasonable agreement between atmospheric inversions and ocean *p*CO<sub>2</sub> estimates is mainly based on the prior estimates in the inversion of atmospheric CO<sub>2</sub>. The deviation from these estimates should occur only if these pri-
- ors turn out to be inconsistent with the atmospheric  $CO_2$  data in the context of the inversions. The mismatches between these estimates (inversion versus observations) reflect primarily the relatively small information content of atmospheric  $CO_2$  with regard to regional scale air–sea  $CO_2$  fluxes. This problem is particularly severe in the tropics and the temperate Southern Hemisphere, as these regions have an inadequate number of atmospheric observation stations. As a result, small changes in the selection of
- <sup>20</sup> the stations (Gurney et al., 2008; Patra et al., 2006) or in the setup of the inversions can lead to large shifts in the inversely estimated fluxes. The ocean regions that seem to be most affected are the tropical Indian Ocean and the temperate South Pacific. Hence, the atmospheric  $CO_2$  inversion treats these areas as unconstrained, and may alter the estimated fluxes substantially in order to match better data constraints elsewhere.
- The agreement between observations and all models in the entire basin is not maintained at several zones, namely the Oman/Somali upwelling zone, freshwater discharge zone (Bay of Bengal), the position of the South Equatorial Current (SEC), uptake in the subtropical convergence zone in the southern subtropical Indian Ocean (Fig. 4). The air–sea CO<sub>2</sub> fluxes were poorly simulated by many models in the north-



western Indian Ocean, where the models underestimated  $CO_2$  fluxes to the atmosphere from the coastal upwelling zones; in contrast the ocean models overestimated the  $CO_2$  influx in the freshwater dominated zone in the Bay of Bengal. The SEC normally situates at  $15^{\circ}-18^{\circ}$  S (Schott and McCreary, 2001) which is the boundary between high surface ocean  $pCO_2$  and flux to atmosphere and low surface ocean  $pCO_2$ and flux into the sea (Sabine et al., 2000). This transition zone is more easily observed in subsurface features than at the surface, marking the boundary between the low oxygen, high nutrient waters of the northern Indian Ocean and the high oxygen, low nutrient values of the subtropical gyre (Wyrtki, 1973). This front marks the northern most extent of the low surface  $pCO_2$  values. This boundary occurred mostly north of  $10^{\circ}$  S by many models. In addition to this, the subtropical convergence zone is the most important  $CO_2$  sink zone in the Indian Ocean, and appears underestimated by many models (Fig. 4). The more detailed examination of  $CO_2$  fluxes in these regions is given below.

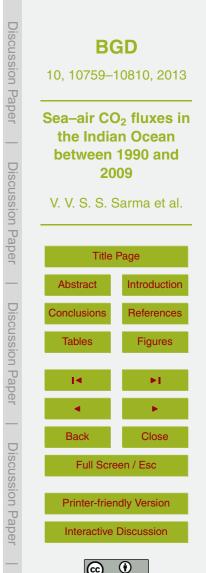
#### 15 3.1.2 Northern Indian Ocean (NIO; 18° S–30° N)

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Observations suggest that the ocean region north of 10° S is a net source for atmospheric CO<sub>2</sub> i.e. positive sea–air CO<sub>2</sub> flux, with the exception of Bay of Bengal that is a net sink of atmospheric CO<sub>2</sub>. Encouragingly all methods, with the exception of the ocean biogeochemical models (OBGMs), simulate a positive sea–air CO<sub>2</sub> flux over the NIO region (~ 0.1 PgCyr<sup>-1</sup>; Table 3 and Fig. 3). This net uptake is very consistent with recent water column<sup>14</sup>C-dissolved inorganic carbon measurements analyzed over the last 2 decades (0.1 ± 0.03 PgCyr<sup>-1</sup>; Dutta and Bhusan, 2012). In contrast, OBGMs

suggest a small net uptake in this region  $(-0.01 \pm 0.07 \text{ Pg C yr}^{-1})$ , but do capture areas of strong net positive flux in parts of NIO (Fig. 4). The differences in the NIO may be explained by the location of the transition between net source to sink between the NIO and SIO in OGCMs. Observationally this is located around 18° S, however all OBGMs suggest that this transition is further northward (mostly north of 10° S). This means that when the OBGM uptake estimates are integrated between 30° N and 18° S, we capture

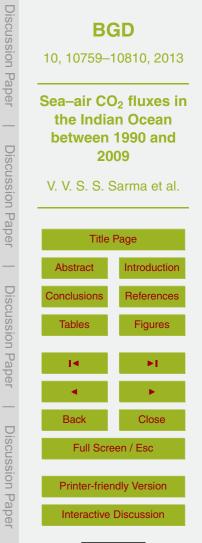


areas more characteristic of SIO conditions (i.e. strong net sink) that partially offsets the positive sea-air fluxes of  $CO_2$  in the NIO, illustrated in Fig. 5. The large source of  $CO_2$  to the atmosphere from the Indian Ocean is driven by coastal upwelling in the northwestern Indian Ocean, i.e., off Somalia and off the Oman coast where  $pCO_2$  lev-

- <sup>5</sup> els as high as > 600 µatm have been reported during peak southwest (SW) monsoon period (Kortzinger and Duinker, 1997; Goyet et al., 1998). All models underestimated these fluxes with the exception of NEMO-PLANKTON5, which overestimated the flux over the entire NIO. The differences in the response of the OBGMs in these key regions may be related to challenges of simulating and capturing the observed response
- <sup>10</sup> by coarse resolution global models, specifically the monsoonal circulation and mixing in the Arabian Sea (northwestern basin). Here the  $pCO_2$  levels are highly influenced by monsoonal circulation of atmospheric winds and ocean circulation resulting in inputs of dissolved inorganic carbon due to vertical mixing of water column (Sarma et al., 1996, 1998; Goyet et al., 1998; Kortinger and Duinker, 1997). It was estimated that the
- <sup>15</sup> mixing effect is a dominant factor during the monsoon period while biological effects dominate during non-monsoon periods (Louanchi et al., 1996; Sarma et al., 2000). Encouragingly, OBGMs in the Arabian Sea do capture the observed net sea–air CO<sub>2</sub>flux, although the strength of the source varies among the models.

In contrast several of the ocean prognostic models were capable of simulating the

- <sup>20</sup> observed CO<sub>2</sub> sink in the Bay of Bengal, although again the magnitude varies among models. Both NEMO-PLANKTON and PISCES over estimated and changed the direction of flux into sea-to-atmosphere (Fig. 4). This is recognized as a challenging area to capture the physical and biogeochemical responses related to both the role of the monsoon and the significant amount of discharge from the major rivers such as Ganges,
- <sup>25</sup> Brahmaputra, Godavari etc with variable characteristics of inorganic carbon system. Recently Sarma et al. (2012) observed that whether coastal Bay of Bengal acts as a source or sink may depend on the characteristics of the discharge water received by the coastal zone; in many cases, the current OBGMs either do not incorporate freshwater river inputs at all or treat the lateral river boundary condition as the addition of



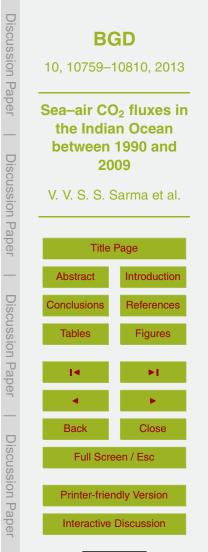


freshwater only without incorporating explicit water chemistry (dissolved inorganic carbon, alkalinity). The OBGMs underestimated the discharge by as much as 50% (Dia and Trenberth, 2002).

- The atmospheric inversions estimate a  $CO_2$  source to the atmosphere (0.13  $\pm$  $_{5}$  0.05 PgCyr<sup>-1</sup>) in the NIO which is similar to that derived from observations. However it is important to note that the prior ocean flux estimates used by the inversions are also a small source with the majority between 0.11-0.12 PgCyr<sup>-1</sup> (range from 0.05-1.14 PgCyr<sup>-1</sup>). Since most inversions are using only one set of atmospheric measurements in this region (Seychelles), it is likely that the prior flux has a strong influence on the estimated flux. Differences in modeled atmospheric transport are also likely to 10 be contributed. Two inversions, C13 CCAM law and C13 MATCH rayner, differ only by the atmospheric transport model used in the inversion and the region map for which fluxes were solved. However in one inversion, the annual mean flux increased from the prior value and in the other it decreased. These two models show a large difference in the CO<sub>2</sub> response at Seychelles due to the prior land flux, and this is compensated 15
- in the inversion by altering the ocean flux local to Seychelles. This emphasizes the challenge for atmospheric inversions in this region, where both the ocean region itself has limited atmospheric observations and the surrounding land regions are also very poorly sampled, if at all.
- Gruber et al. (2009) compared the "top down" estimates of the air-sea fluxes based 20 on the interannual inversions of atmospheric CO<sub>2</sub> with the "bottom up" estimates based on the oceanic inversion or the surface ocean pCO<sub>2</sub> data. They found excellent agreements in many regions except tropical Indian Ocean (18° S-18° N) and temperate Southern Hemisphere. The mismatches between these two estimates reflect informa-
- tion of atmospheric  $CO_2$  with regard to air-sea  $CO_2$  fluxes (Jacobson et al., 2007). 25

#### Southern Indian Ocean (SIO; 44° S–18° S) 3.1.3

The region comprises two key oceanographic regimes: the oligotrophic waters in the northern part and Southern Ocean waters in the south. The Subtropical Front 10774





(STF) separates these two regimes nominally at 40° S in this region. Integrated over these regions, the median of all approaches  $(-0.43 \pm 0.07 \text{ PgC yr}^{-1})$  suggests a strong sink of atmospheric CO<sub>2</sub> that agrees within observational uncertainty in this region  $(-0.34 \pm 0.17 \text{ PgC yr}^{-1})$ . The median of OBGMs  $(-0.34 \pm 0.06 \text{ PgC yr}^{-1})$  agrees particularly well with the observed CO<sub>2</sub> flux. Conversely the atmospheric inversions estimate a larger uptake  $(-0.48 \pm 0.03 \text{ PgC yr}^{-1})$ , while the oceanic inversions estimates  $(-0.40 \pm 0.02 \text{ PgC yr}^{-1})$  lie between these values. It is worth noting that even the larger uptake estimated by the atmospheric and ocean inversions lies within the uncertainty of the observed flux. The SIO fluxes are similar in magnitude to the annual uptake for the entire Indian Ocean, indicating the majority of the net uptake occurs in the SIO, consistent with other studies (Sabine et al., 2000; Bates et al., 2006; Metzl, 2007; Takahashi et al., 2009).

OBGMs (Figs. 4) clearly show the response of the two oceanographic regimes, in the oligotrophic waters a net annual sink of CO<sub>2</sub> is evident while south of the STF a significantly stronger net sink is seen consistent with observations (Metzl, 2009 and references therein). However, the flux magnitudes were not well represented with reference to observations. For instance, in the 30° S–40° S latitudinal belt, the oceanic uptake of CO<sub>2</sub> was underestimated compared to the observations. Sabine et al. (1999)

observed that the highest concentrations and deepest penetration of anthropogenic

<sup>20</sup> carbon was associated with subtropical convergence zone (30° S–40° S) and the transition from the high salinity subtropical gyre waters to the low salinity Antarctic waters. The outcropping of these density surfaces and subsequent sinking of surface waters provides a pathway for excess  $CO_2$  to enter the interior of the ocean. Overestimation of the  $CO_2$  uptake by the models in these zones suggests that vertical mixing was not constrained properly in the models leading to excess deep mixing resulting in an increase in surface water  $pCO_2$  and a decrease in the flux to the ocean.

In case of atmospheric inversions, there is more variation in prior flux for the SIO than for the NIO. Most inversions used a prior of either -0.37 or -0.49 PgCyr<sup>-1</sup>, reflecting changes in Takahashi et al. (2009) fluxes compared with earlier compilations. The en-





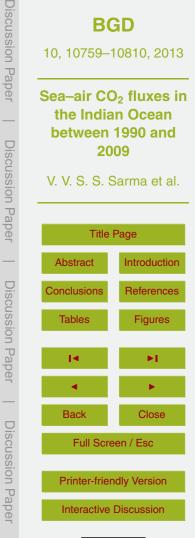
semble of inversions did not reconcile this difference, with estimated SIO fluxes spanning a larger range than the range of prior fluxes. As for the NIO, the SIO was poorly constrained by atmospheric measurements. The key site for the SIO region is Amsterdam Island, but this was not used in all inversions and, as noted in Sect. 2.2.3, there are

- 5 some issues about data availability and calibration from 2000 onwards. It is likely that atmospheric transport differences also contributed to the variability in uptake estimates for this region. Gruber et al. (2009) noted that the temperate region of the Indian Ocean in the Southern Hemisphere (18° S–44° S) had excellent agreement between ocean inversions and atmospheric inversions, more so with TRANSCOM T3L3, interannually-
- resolved inversions (Baker et al., 2006) than with TRANSCOM T3L1 which only solved 10 for mean fluxes (Gurney et al., 2003). Though the actual reasons are unknown for why interannual inversions agreed better with the bottom up estimates than those inversions solving only for mean fluxes or mean seasonality, they hypothesized that selection of the time period of data used and selection of observation stations were potential causes (Patra et al., 2006; Gurney et al., 2008).
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### 3.2 Seasonal variations in pCO<sub>2</sub> and sea-air CO<sub>2</sub> fluxes

In order to examine how well various modeling approaches simulate seasonal variations in air-sea CO<sub>2</sub> fluxes with respect to observations in the Indian Ocean, the simulated  $pCO_2$  by different models were compared with observations. This provides insights into the ability of ocean biogeochemical models to represent the complex interplay of physical and biological processes that drive sea-air CO<sub>2</sub> exchange. The ability of a model to reproduce the seasonal cycle also provides some reassurance that the ocean models are correctly projecting climate sensitivity of the processes that could influence long-term projections of the ocean CO<sub>2</sub> uptake.





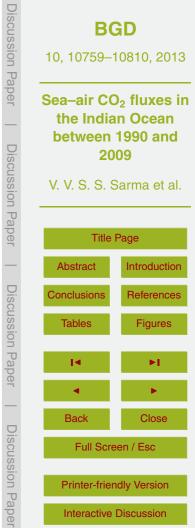
#### 3.2.1 Indian Ocean 44° S-30° N

In June to September, the changes in air–sea  $CO_2$  fluxes in the Indian Ocean results from the combined effects of increased mixing driven by southwest monsoon, resulting in increased biological uptake of  $CO_2$  in the NIO while deeper mixing and low produc-

- <sup>5</sup> tion, offset to some degree by surface cooling, result in a increased  $pCO_2$  levels in the SIO (Louanchi et al., 1996; Sarma et al., 2000; Takahashi et al., 2009). Figure 6 shows the median and MAD air–sea flux seasonal cycle anomaly across ocean models and atmospheric inversions compared to the seasonality derived from observations. In general the ocean models and atmospheric inversions capture the phasing of the ob-
- <sup>10</sup> served seasonality for the entire Indian Ocean (Fig. 6) but overestimate the magnitude. The variation across ocean models is smaller than the variation across inversions. This variation will be explored in Sect. 3.2.2 and Sect. 3.2.3 when the seasonality of fluxes for the NIO and SIO are presented. The seasonality for the entire Indian Ocean was dominated by the seasonality in the SIO. The seasonal variations in  $pCO_2$  for individual
- <sup>15</sup> OGBMs were drawn for the entire Indian Ocean in Fig. 7. Ocean biogeochemical models captured the observed seasonal cycle for the Indian Ocean, however all individual models overestimate the magnitude of the  $pCO_2$  seasonality in all seasons consistent with the larger than observed median annual mean uptake.

#### 3.2.2 North Indian Ocean 18° S-30° N

- The Northern Indian Ocean displays strong seasonality due to occurrence of both northeast and southwest monsoons that lead to seasonal changes in atmospheric and upper ocean circulation, which can have significant impact on the inorganic carbon system (George et al., 1994; Sarma et al., 1996). Figure 6 shows the spatially integrated seasonality of the NIO from atmospheric inversions and OBGMs. The observed seasonality was generally small, with observed maximum fluxes in June–August and minimum fluxes in October. Describer: This seasonality are the set to be a seasonality of the seasonality of
- seasonality was generally small, with observed maximum fluxes in June–August and minimum fluxes in October–December. This seasonality was mostly captured by the atmospheric inversions, but with large spread amongst inversions. By contrast, OBGMs





showed a response strongly out of phase with the observations giving maximum fluxes in April–May and minimum fluxes in July–September, approximately 3–4 months ahead of the observed fluxes. Additionally, the OBGMs overestimated the magnitude of  $pCO_2$  in all seasons. We will explore the behavior of the ocean models further by considering sub-regions of the NIQ while the large inversion spread will be discussed by presenting

<sup>5</sup> sub-regions of the NIO, while the large inversion spread will be discussed by presenting individual inversion results.

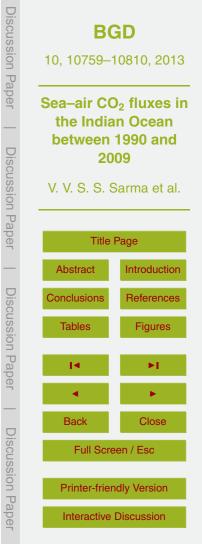
Since the NIO consists of three key sub-regions which are influenced by different combinations of physical processes (the Arabian Sea, Bay of Bengal and the Equatorial Indian Ocean), the seasonal variations in sea–air  $CO_2$  fluxes and  $pCO_2$  anomaly are shown for these three zones from observations and OBGMs (Fig. 8 and 9). Observationally, it is well know that during boreal summer, the flux of  $CO_2$  to atmosphere in the NIO results from the combined effects of the boreal summer monsoon driven increased mixing leading to increased biological uptake of  $CO_2$  and enhanced uptake through higher wind speeds in the NIO (Sarma et al., 2000; Takahashi et al., 2009) and the inverse during the boreal winter.

Ocean model simulated seasonal  $pCO_2$  variations in all regions were larger than observed in all three sub-regions. In case of the Arabian Sea, the  $pCO_2$  was overestimated by the models during April and June by ~ 10–15 µatm and underestimated during January and February by a similar magnitude (Fig. 7). These differences in  $pCO_2$ 

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- <sup>20</sup> are likely related to challenges of simulating the mixing and biological production in the Arabian Sea in coarse resolution OBGMs coupled to relatively simple biogeochemical models (Friedrichs et al., 2007). However, these higher  $pCO_2$  values do not translate to larger CO<sub>2</sub> fluxes and in fact the OBGMs significantly underestimated sea–air CO<sub>2</sub> fluxes in the Arabian Sea. This is because in the OBGMs the largest  $pCO_2$  occurs out
- of phase with the monsoon, while observational  $pCO_2$  phasing is closely associated with the onset of the monsoon.

The contribution of the Bay of Bengal seasonality to the total NIO seasonality is much smaller than that of the Arabian Sea, and it is consistent with <sup>14</sup>C based studies (e.g. Dutta and Bhushan, 2012). The ocean model seasonality is offset by several



months relative to the observations suggesting overestimation of oceanic uptake of  $CO_2$  during boreal summer. The large  $pCO_2$  values seen in April and May are captured, although over estimated in OBGMs. This overestimation leads to a sea-air positive flux earlier in the year than observed, while observations suggest a flux of similar magnitude

<sup>5</sup> associated with the boreal summer monsoon. In the southern equatorial region ( $0^{\circ}$ – 18° S), overestimation during the austral summer and underestimation during austral winter were also evident (Fig. 7) both in  $\rho$ CO<sub>2</sub> and sea–air CO<sub>2</sub> fluxes. Given that the observed uptake along the equator is relatively small, and strong seasonality present in the OBGMs may have been associated with the inclusion of larger portion of subtropical water in the NIO region (discussed in Sect. 3.1.2).

The seasonal cycle of fluxes from individual inversions is shown in Fig. 10 for the NIO. There is a large variation across inversions. One factor in this variation is the relative uncertainty applied to any atmospheric  $CO_2$  record and the flux being estimated. For example, an inversion with low data uncertainty and high flux uncertainty will allow the

- <sup>15</sup> flux estimates to deviate further from any prior flux to give a better fit to the atmospheric data. This is the case for the RIGC inversion which has the largest sink in January and source in September. By contrast the LSCEv inversion uses smaller prior flux uncertainties and consequently maintains a seasonality which is much closer to the prior flux (which is close to the observed seasonality). The choice of atmospheric CO<sub>2</sub>
- <sup>20</sup> data also influences the inversions. NICAM, which gives more positive fluxes in May and June than other inversions, is the only inversion to include CO<sub>2</sub> data from Cape Rama, India, and this may be the reason for its different seasonality. Finally, differences in atmospheric transport are also important. The large February fluxes from the MATCH inversion are a response to relatively weak transport of land biosphere seasonality to <sup>25</sup> Seychelles.

#### 3.2.3 Southern Indian Ocean (SIO; 44° S–18° S)

This region is comprised of the oligotrophic subtropical waters and Southern Ocean separated by the Subtropical Front. The seasonal response in this oligotrophic region





is strongly solubility driven (Valsala et al., 2012) with only weak biological production evident (McClain et al., 2004) associated with vertical mixing in the winter (Louanchi et al., 1996). South of the STF in the SubAntarctic Zone (SAZ), there is a well defined seasonal cycle associated with strong biological production during the Austral Summer

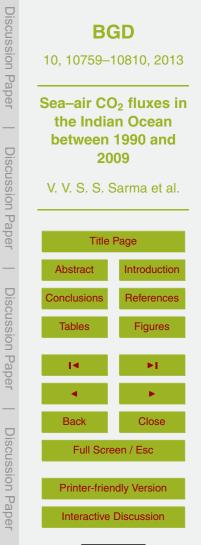
<sup>5</sup> leading to a strong positive sea–air  $CO_2$  flux. During Austral Winter, deep mixing brings inorganic carbon and nutrients to the surface and biological production is reduced, leading to a weaker sink of atmospheric  $CO_2$  (Metzl et al., 2009 and references therein).

The median seasonality for the ocean biogeochemical models, atmospheric inversions and observations for the SIO are shown in Fig. 6. The similarity in the magnitude

- and phase with the total response was consistent across methods with this region dominating the seasonality in the sea-air flux for the entire Indian Ocean (Metzl, 2009; Takahashi et al., 2009). The simulated phase and amplitude of seasonality agreed well with observations, and suggests that the role played by the portion of the SAZ included in the SIO is relatively small. The range in observations was generally large enough to encompass the MAD from ocean models and atmospheric inversions. For the atmospheric inversions.
- spheric inversions, there is some tendency for inversions with larger seasonality to also give larger annual mean uptake in this region.

While the median of the seasonal cycle of air-sea  $CO_2$  fluxes shows reasonable agreement with the observations, the simulated  $pCO_2$  values were higher than ob-

- <sup>20</sup> served. These differences may be potentially related to the different wind products used. OBGMs use the NCEP based winds, while observations use the CCMP winds, which are weaker in this region. OBGMs simulated  $pCO_2$  values were higher than observations by 10 µatm during all seasons; concentrating on well sampled regions (i.e. the Southwest Indian Ocean; Fig. 2), this difference is only present during the Austral
- <sup>25</sup> Summer. This suggests that larger than errors in the simulated  $pCO_2$  values in OBGMs may be problems with the mixing parameterizations during the Austral Summer. This is consistent with observations from the GLODAP (Key et al., 2004) dataset that indicate that increasing mixing would bring subsurface water with DIC : TA ratios of (~ 0.88) to the surface acting to increase oceanic  $pCO_2$ .





#### 3.3 Inter-annual variability (IAV)

Understanding the inter-annual variations in CO<sub>2</sub> fluxes in the Indian Ocean is an important prerequisite to projecting future CO<sub>2</sub> fluxes. However, at present no basin-scale observational time-series are available across the entire Indian Ocean with which to

assess simulated inter-annual variability of sea–air CO<sub>2</sub> fluxes. As a consequence, we focus on sea–air fluxes simulated from atmospheric inversions and ocean biogeochemical models. Additionally given the fact that the Indian Ocean exhibits different regimes, we focus primarily on the IAV in the NIO between 1997 and 2008 and the SIO between 1990 and 2008. To avoid biasing the magnitude of the seasonality we first de-trend the simulated time-series of IAV.

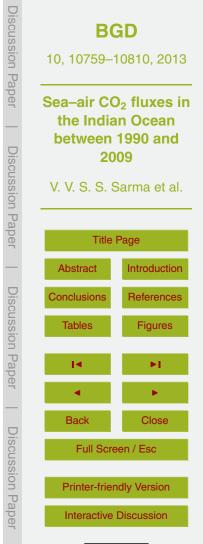
#### 3.3.1 Indian Ocean (44° S-30° N)

The inter-annual variability of sea–air  $CO_2$  fluxes from ocean biogeochemical and atmospheric inversions are shown in Fig. 11. The range of sea–air  $CO_2$  fluxes for the period of 1990–2009 was significantly different for ocean biogeochemical models (0.02 to  $-0.03 \text{ PgC yr}^{-1}$ ) and atmospheric inversions (-0.13 to  $0.11 \text{ PgC yr}^{-1}$ ). Atmospheric inversions predicted an order of magnitude higher sea–air  $CO_2$  flux variability. The MAD from atmospheric inversions was also significantly greater than that for the OBGMs.

#### 3.3.2 North Indian Ocean

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Although the IAV as simulated by OBGMs in the NIO was not large (-0.02 to 0.02 PgCyr<sup>-1</sup>), however, it was larger than the annual mean uptake (1997–2009). This suggests that the character of the annual mean sink may change in OBGMs from being an area of net weak negative sea–air fluxes to weak positive sea–air fluxes. The MAD shows a very small range suggests that the OBGMs show good agreement at IAV timescales in this region. Atmospheric inversions give much larger median interannual variability with larger MAD than the ocean models and suggest that about 50 % of





the total Indian Ocean variability occurs in the NIO. This result encompasses a large range of variability across individual inversions (0.06 to  $-0.07 \text{ PgC yr}^{-1}$ ), which again is larger than the annual mean uptake from inversions. Interestingly, inversions with large IAV tend also have large amplitude seasonality and vice versa. Two reasons may

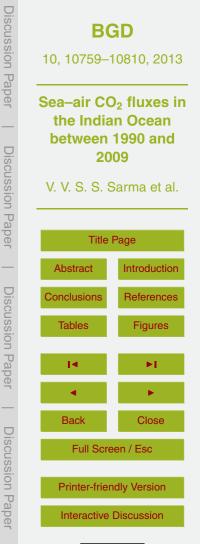
<sup>5</sup> contribute to this (i) those inversions with lower variability probably used lower prior flux uncertainty for their ocean regions, thus constraining how variable these fluxes could be; and (ii) the lower variability inversions tend to be those that use the atmospheric data at the sampling time (perhaps only a few times per month for flask data), rather than as a monthly mean. Depending on the inversion set-up, this might weaken the
 <sup>10</sup> atmospheric constraint relative to the prior flux constraint.

Additionally, for the atmospheric inversions giving larger variability, there is generally some correlation between the interannual flux anomalies for the NIO and differences between Seychelles atmospheric  $CO_2$  and measurements at similar latitude in the Atlantic Basin (from Ascension Island). For example the below average NIO flux in 2001

- followed by above average flux in 2002 is coincident with a smaller SEY-ASC CO<sub>2</sub> difference in 2001 and larger difference in 2002. Thus the NIO flux estimates appear to be responding to local CO<sub>2</sub> anomalies at Seychelles. However, with little or no atmospheric data for surrounding land regions, the inversions are unable to determine whether the flux anomaly should be attributed to the NIO or to upstream land regions.
- It is worth noting that the CT inversions, which do include more recent sites from Africa and Indonesia, show weak and somewhat different IAV, supporting the hypothesis that better sampling of surrounding land regions might change the flux allocation to the NIO.

The IAV in sea-air CO<sub>2</sub> fluxes in the NIO has been linked to the Indian Ocean Dipole/Zonal Mode (IODZM) and the Southern Oscillation (SO) (Fig. 11; Valsala and

<sup>25</sup> Maksyutov, 2013). Valsala and Maksyutov (2013) reported that the strongest correlations (0.3) are found between the IODZM and sea–air CO<sub>2</sub> flux IAV in the Arabian Sea and that the roles of these two (SO and IODZM) modes are complementary in the period 1980–1999. Simulated IAV appears to show good correlation with the strong IOD event in 1997–1998, with strong positive sea–air anomalies are simulated in OBGMs



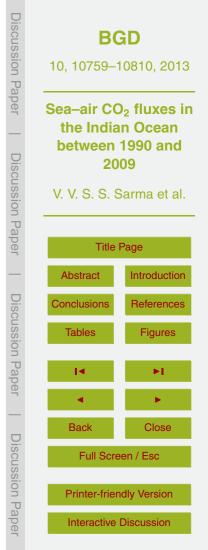
and inversions and low observed biological production (Wigget et al., 2002). Over the latter period (after 2000) there appears to be some correlation with simulated fluxes over part of the record, however during some periods there is little coherence in the sign and phasing of sea-air IAV  $CO_2$  fluxes e.g. 2007.

#### 5 3.3.3 Southern Indian Ocean

The interannual variability simulated in the SIO was small (0.02 to -0.02 PgC/yr) relative to the annual mean uptake ( $\ll 10 \%$ ). The MAD from OBGCMs is also very small in the SIO suggesting good coherence among models at IAV timescales. Further, the magnitude of the simulated IAV was similar in the NIO and the SIO. The median of the OBGMs suggests a weak strengthening of the sink over the period 1990–2009 of  $-0.01 \text{ PgC} \text{ decade}^{-1}$  ( $R^2 = 0.3$ ). This result is inconsistent with Metzl (2009) who focused on  $pCO_2$  observations in the southwest Indian Ocean (south of 20° S). They showed that the growth rate of oceanic  $pCO_2$  was faster than the observed atmospheric  $CO_2$  growth rate, suggesting an overall reduction of the oceanic  $CO_2$  sink in this region

- between 1991 and 2007. Metzl (2009) attributed this behavior to the high index state of the Southern Annular Mode (SAM) during the 1990s. While our correlation coefficient was very low, we do note that an increase in the SAM should increase SSTs in this region (e.g. Sen Gupta et al., 2006), which would act to increase stratification and lead to a solubility driven increase in positive sea to air CO<sub>2</sub> fluxes. These results suggest that
   other mechanisms may explain these trends such as the role the IOD/ZM that plays
- a role in modulating SSTs in these regions (Saji et al., 1999), which is a key driver of the carbon cycle variability in the subtropical gyre.

As with the NIO, the interannual variability estimated by the atmospheric inversions was much larger than that estimated by the ocean models. The spread across atmo-<sup>25</sup> spheric inversions was also highly variable with some years (e.g. 2004–2005) showing much larger inversion spread than for the years immediately before or after. The range in the magnitude of IAV across inversions was slightly smaller for the SIO than the NIO,





the IAV varying between 0.05 and  $-0.08 \text{ PgCyr}^{-1}$ . There was not a strong relationship across models between the magnitude of IAV for the NIO and SIO.

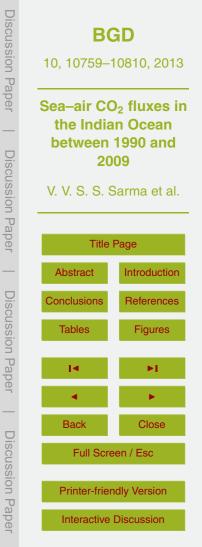
The key atmospheric  $CO_2$  site for the SIO is Amsterdam Island, but not all inversions included this data. Figure 11 shows the median and MAD for the inversions grouped

- <sup>5</sup> by whith or without AMS data was included. It is clear that the negative flux anomaly in 2004–2005 was driven by the AMS data. This is also the period where there are known calibration issues with the AMS data (Le Quéré et al., 2008) which were not corrected in the GLOBALVIEW-CO<sub>2</sub> (2009) data compilation used by many inversions. As such, fluxes estimated through this period should be treated cautiously. Atmospheric CO<sub>2</sub>
- <sup>10</sup> gradients are very small across Southern Hemisphere ocean regions and measurement locations are remote and in harsh environments. This makes the maintenance of well-calibrated measurements very challenging, but also critical for estimating interannual variability of fluxes or trends in fluxes. To illustrate this, if we calculate the trend over the period 1990–2007 we see an increasing uptake of –0.05 PgC decade<sup>-1</sup>
- $(R^2 = 0.3)$ ; however if we do not include AMS we find no evidence of any trend over this period.

### 4 Conclusions

Despite the fact that the Indian Ocean plays an important role in the global carbon budget, it remains under-sampled with respect to surface ocean CO<sub>2</sub>. In response to these limited observations, different approaches have been used to estimate total net sea-air CO<sub>2</sub> exchange for the Indian Ocean and understand different scales of variability: (i) spatially interpolated observations; (ii) atmospheric inversions; (iii) ocean inversions; and (iv) ocean biogeochemical models. The goal of this study was to combine these different approaches to explore and quantify how well the models represent the vari-

ability in the uptake of sea-air CO<sub>2</sub> fluxes in the Indian Ocean in comparison to flux estimates derived from observations. We used the recalculated sea-air CO<sub>2</sub> flux climatology of Wanninkhof et al. (2013) as our observational product; five different ocean





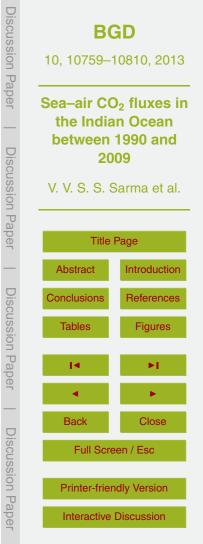
biogeochemical models driven with observed atmospheric CO<sub>2</sub> concentrations; twelve atmospheric inversions using atmospheric records collected around the Indian Ocean; and ten ocean inverse models driven by subsurface dissolved inorganic carbon fields.

Our results show that the median annual uptake from all four approaches applied to

the entire Indian Ocean region (44° S–30° N) are between –0.24 and –0.37 PgCyr<sup>-1</sup> with a median value for all models of –0.37±0.08 PgCyr<sup>-1</sup>. The region 44° S–18° S, dominates the annual uptake with a median of all models of –0.43±0.07 PgCyr<sup>-1</sup>. Ocean inversion models show the greatest mean absolute deviation in the modelled uptake (0.08 PgCyr<sup>-1</sup>). In the region north of 18° S both ocean biogeochemical mod els and ocean inversions show an approximately zero to small CO<sub>2</sub> flux (–0.01 to 0.13 PgCyr<sup>-1</sup>) to the atmosphere. We see little variation in the predicted integrated flux from the entire Indian Ocean by OBGMs, atmospheric and ocean inversions.

All the models predicted the observed air–sea CO<sub>2</sub> flux patterns in the Indian Ocean except for underestimation of upwelling fluxes in the northwestern region (off Oman and Somalia), over estimation in the northeastern region (Bay of Bengal), and underestimation of CO<sub>2</sub> sink in the subtropical convergence zone. These error patterns were mainly driven poor simulations of monsoonal currents and freshwater discharge in the case of the OBGMs.

At seasonal times scales, the observations and models capture a well-defined seasonal cycle in the sea-air CO<sub>2</sub> flux, however weaker amplitudes were predicted by OBGMs while stronger amplitude was found in the atmospheric inversions. All approaches tend to show enhanced flux into the atmosphere during summer in both the hemispheres. The seasonal variations in the ocean models were approximately 3–4 months out of phase compared with observations, with the models leading the observed maximum in the northern Indian Ocean. On the other hand, the OBGMs' simulated seasonal cycle of air-sea CO<sub>2</sub> fluxes agreed reasonably well with the observations, however with higher seasonal fluxes than observed. These differences may be potentially related to the different wind products used as OBGMs used the NCEP based winds while observations used the CCMP winds. These differences between



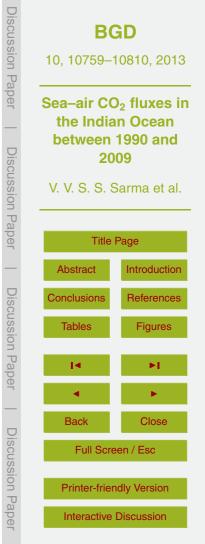


models and observations may reflect errors in the model formulation as well as poor observational data both in the ocean and atmosphere.

The simulated interannual variability by the OBGMs is relatively weak compared to the variability derived from the atmospheric inversions and suggests that about 50 %

- <sup>5</sup> of the total Indian Ocean variability occurs in the NIO. The OBGMs suggest a weak strengthening of the sink over the period 1990–2009 of  $-0.01 \text{ PgC} \text{ decade}^{-1}$  in the SIO. These results are inconsistent with the observations in the southwest Indian Ocean showing that the growth rate of oceanic  $pCO_2$  was faster than the observed atmospheric  $CO_2$  growth attributed to Southern Annular Mode (SAM) during the 1990s.
- Such controversy in inter-annual variations was mainly caused by lack of atmospheric observations. In order to estimate the IAV in the Indian Ocean, well calibrated atmospheric measurements are critical, which is very challenging as these are collected in remote locations and harsh environment. However the ongoing measurement net work in this region would lead to more accumulate simulations of pCO<sub>2</sub> in future.
- Overall the model approaches examined in this study predicted the annual ocean CO<sub>2</sub> uptake similarly and within the errors associated with the observations. However on the regional scale none of the models represented the observed response well due to lack of atmospheric observation and poor representation of physical processes particularly in response to the monsoonal circulation. The future projection of the CO<sub>2</sub>
- <sup>20</sup> flux from this region depends on the variations of monsoonal cycles, and the influence of atmospheric events such as Indian Ocean Dipole Zonal Mode and El Niño. Unless these processes are represented well in the models, it will remain difficult to confidently project the future changes in  $CO_2$  fluxes in the Indian Ocean. For this intensive ocean observations of  $pCO_2$  and more atmospheric tower observations are required for further improvement of models.

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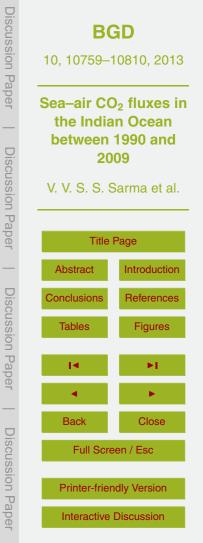
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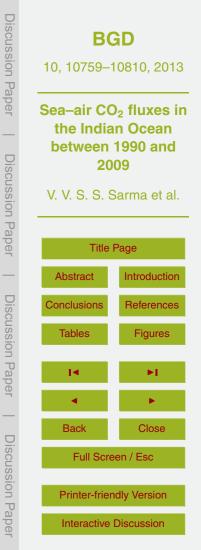
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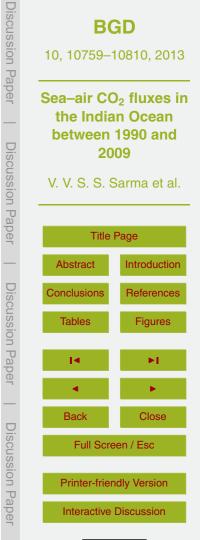
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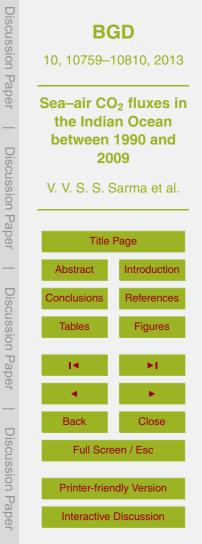
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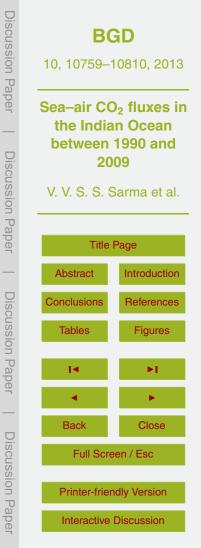




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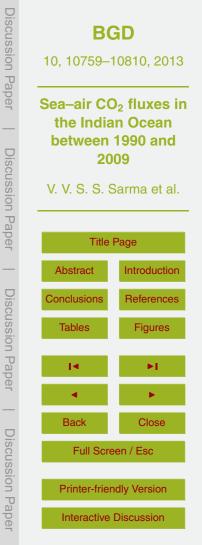
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Sea-air CO<sub>2</sub> fluxes in

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**Title Page** 

Abstract

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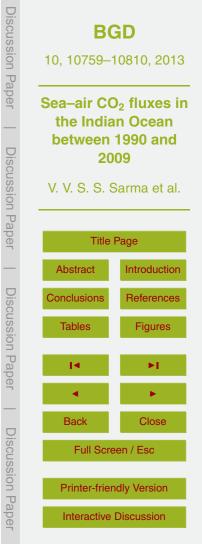
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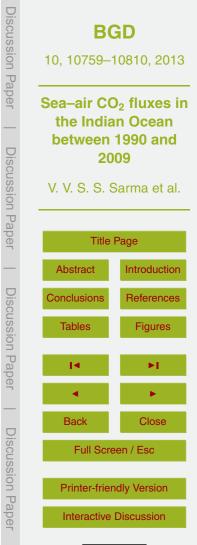




Table 1. The list of ocean biogeochemical models, the periods over which the data was evalu-
ated and the reference to each model, and the surface area between $30^{\circ}$ N–44 $^{\circ}$ S.

Model Name	Period	Area (km <sup>2</sup> )	Reference
CSIRO*	1990–2009	$5.06 \times 10^{7}$	(Matear and Lenton, 2008)
CCSM-BEC*	1990–2009	4.28 × 10 <sup>7</sup>	(Thomas et al., 2008)
NEMO-Plankton5	1990–2009	5.14 × 10 <sup>7</sup>	(Le Quéré et al., 2007)
NEMO-PISCES	1990–2009	4.67 × 10 <sup>7</sup>	(Aumont and Bopp, 2006)
CCSM-ETH*	1990–2007	4.28 × 10 <sup>7</sup>	(Graven et al., 2012)

\* Denotes the models for which  $pCO_2$  values were available.





Model Name	Period <sup>1</sup>	Sites used from Fig. 1	Flux res. in Indian <sup>2</sup>	Reference
LSCE_an_v2.1 LSCE var v1.0	1996–2004 1990–2008	5	3.75° × 2.5° 3.75° × 2.5°	(Piao et al., 2009) (Chevallier et al., 2010)
C13_CCAM_law	1990-2008	5	3.75 x 2.5 10	(Rayner et al., 2008)
C13_MATCH_rayner	1992–2008	5	8	(Rayner et al., 2008)
CTRACKER_US <sup>3</sup>	2001–2008	4	4	(Peters et al., 2007)
CTRACKER_EU	2001–2008	4	4	(Peters et al., 2010)
JENA_s96_v3.3	1996–2008	3	5° × 3.75°	(Rödenbeck, 2005)
TRCOM_mean_9008	1990–2008	4	2	(Baker et al., 2006)
RIGC_patra	1990–2008	5	4	(Patra et al., 2005)
JMA_2010	1990–2008	5	2	(Maki et al., 2010)
NICAM_niwa_woaia	1990–2007	6	2	(Niwa et al., 2012) <sup>4</sup>

Table 2. The atmospheric inversions and periods over which data was evaluated in this study.

<sup>1</sup> Period used for analysis. Inversions may have been run for longer.

<sup>2</sup> Longitude × latitude if inversion solves for each grid cell, otherwise number of ocean regions in or overlapping the Indian Ocean.

<sup>3</sup> CT2009 release.

<sup>4</sup> Inversion method as this reference, except CONTRAIL aircraft CO<sub>2</sub> data not used for RECCAP inversion.





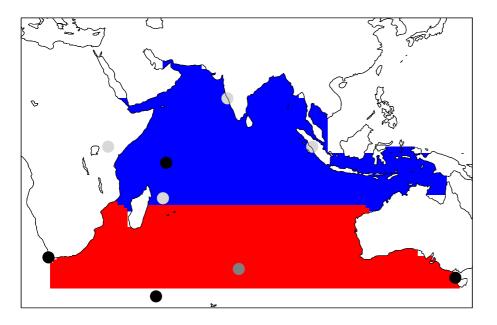
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app



**Table 3.** The annual multi-model median uptake (negative into the ocean) and median absolute deviation (MAD) from ocean biogeochemical models, atmospheric and ocean inversions, observations and all of the models. All units are  $PgCyr^{-1}$ .

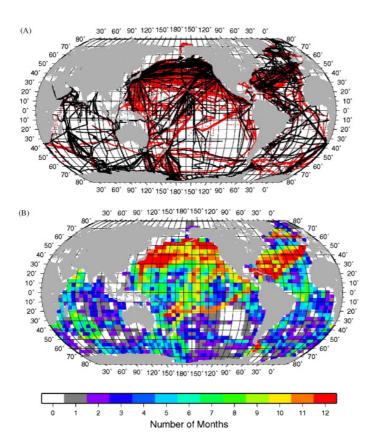
	Obs	OBGC Models	Atm Inversions	Ocean Inversions	All Models ( <i>n</i> = 26)	Surface Area (km <sup>2</sup> )
30° N–44° S	$-0.24 \pm 0.12$	$-0.36 \pm 0.03$	$-0.36 \pm 0.06$	$-0.37 \pm 0.08$	$-0.37 \pm 0.06$	4.9 × 10 <sup>7</sup>
30° N–18° S	$0.1 \pm 0.05$	$-0.01 \pm 0.07$	$0.13 \pm 0.05$	$0.08 \pm 0.01$	$0.08 \pm 0.04$	$2.44 \times 10^{7}$
18° S–44° S	$-0.34 \pm 0.17$	$-0.34 \pm 0.06$	$-0.48 \pm 0.03$	$-0.40\pm0.02$	$-0.43\pm0.07$	2.53 × 10 <sup>7</sup>

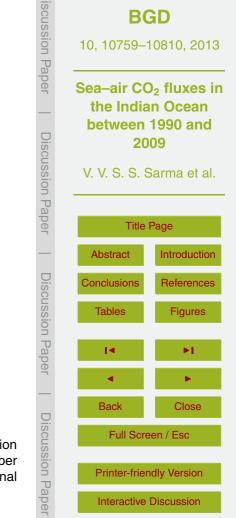


**Fig. 1.** Sub-regions of the Indian Ocean ( $30^{\circ}$  N– $44^{\circ}$  S, red and blue combined) used in this paper: North Indian Ocean (blue), South Indian Ocean (red). Overlain is the network of atmospheric observations of CO<sub>2</sub>. The colour of the dot indicates how many inversions used data from that location (black: all or almost all inversions, dark grey: around half the inversions, light grey: one or two inversions). We note that the temporal period over which the atmospheric data was collected is not the same for all the stations.









**BGD** 



Fig. 2. The upper figure shows the location of observations of oceanic  $pCO_2$  based on 3 million observations collected since 1958 (Takahashi et al., 2009). The lower panel shows the number of months of the year for which observations exist. This data is the basis of the observational data used in this study (reproduced from Takahashi et al., 2009).

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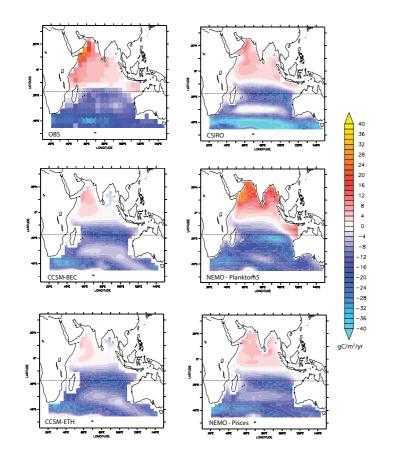
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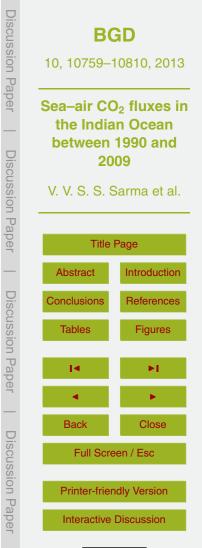
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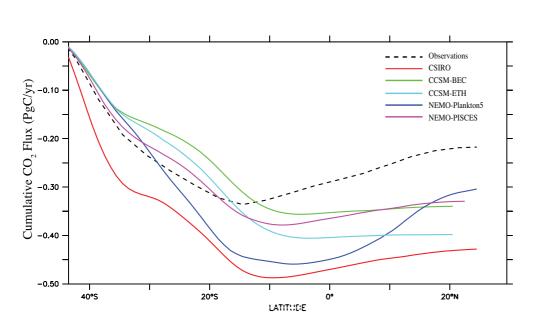
**Fig. 3.** Annual median uptake from observations, ocean biogeochemical models, atmospheric inversions and ocean inversions  $(PgCyr^{-1})$ . The error bars represent the median absolute deviation (MAD). Negative values represent fluxes into the ocean.



**Fig. 4.** Annual mean uptake, in  $gCm^2yr^{-1}$ , from the five ocean biogeochemical models and observations, negative values reflect fluxes into the ocean.

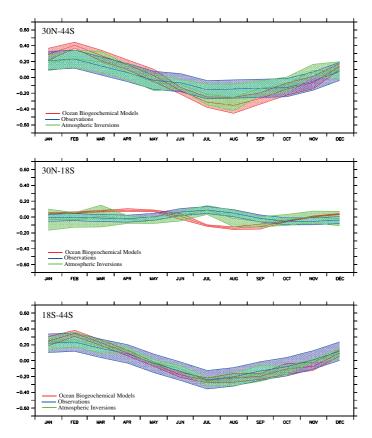


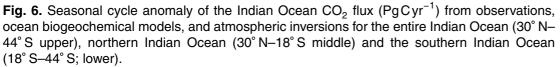


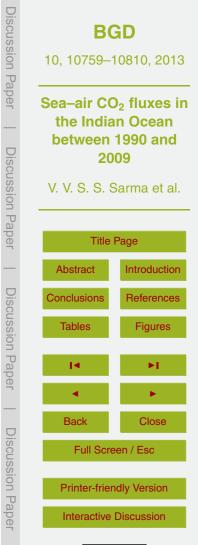


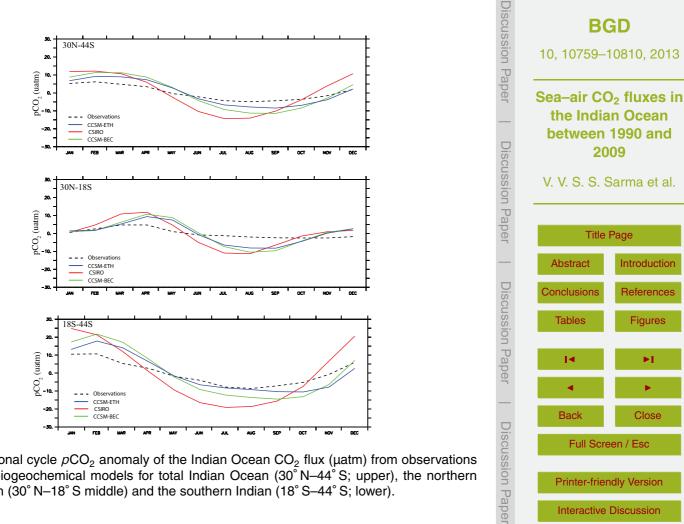
**Fig. 5.** The cumulative, zonally-integrated, annual mean  $CO_2$  uptake (30° N–44° S) from the biogeochemical ocean models and observations (dashed line) (PgCyr<sup>-1</sup>). Negative values reflect sea–air fluxes into the ocean.









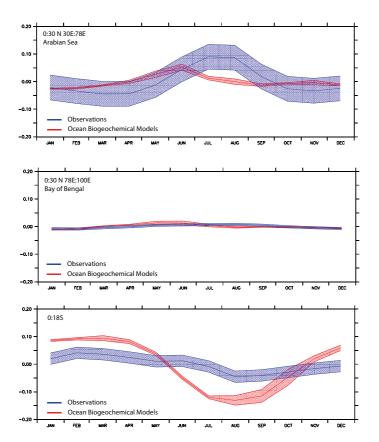


**Fig. 7.** Seasonal cycle  $pCO_2$  anomaly of the Indian Ocean  $CO_2$  flux (µatm) from observations and ocean biogeochemical models for total Indian Ocean (30° N-44° S; upper), the northern Indian Ocean (30° N-18° S middle) and the southern Indian (18° S-44° S; lower).



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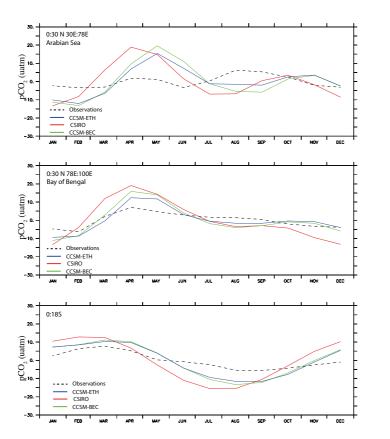
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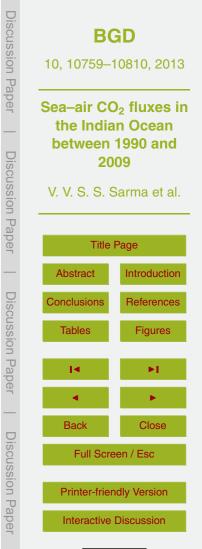
**Fig. 8.** Seasonal cycle  $CO_2$  flux anomaly for parts of the North Indian Ocean (PgCyr<sup>-1</sup>) from observations and ocean biogeochemical models: the Arabian Sea (0°–30° N, 30° E–78° E; upper), the Bay of Bengal (0°–30° N, 78° E–100° E; middle) and the area between 0°–18° S (lower).



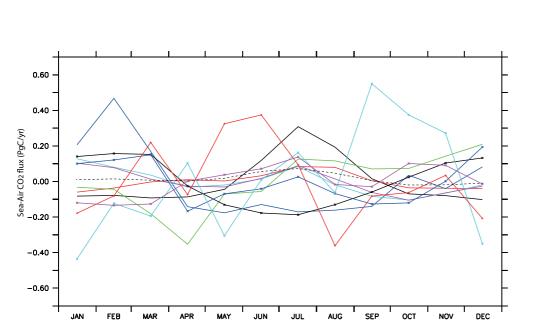




**Fig. 9.** Seasonal cycle  $pCO_2$  anomaly for parts of the North Indian Ocean (µtam) from observations and ocean biogeochemical models: the Arabian Sea (0°–30° N, 30° E–78° E; upper), the Bay of Bengal (0°–30° N, 78° E–100° E; middle) and the area between 0°–18° S (lower).



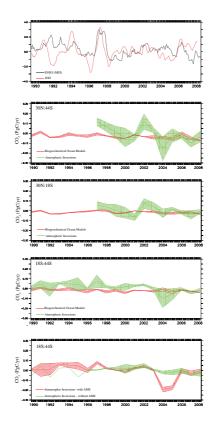


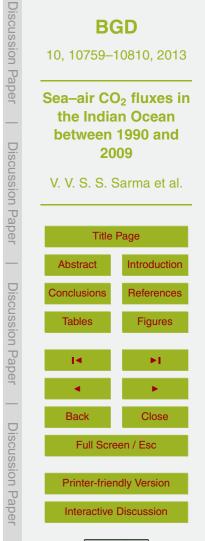


**Fig. 10.** The seasonal cycle of the sea-air  $CO_2$  flux anomaly for the Northern Indian Ocean (30° N-18° S) from atmospheric inversions, overlain on the plot (dashed line) is the observed seasonal cycle.









**Fig. 11.** The oceanic inter-annual variability from ocean biogeochemical models and atmospheric inversions. The upper panel shows ENSO and IOD index (The IOD/IODZM comes from FRCGC based on HADSST, the MEI comes from the ERSL (http://www.esrl.noaa.gov/psd/enso/mei/) that is taken from http://climexp.knmi.nl). The second panel represents the region  $30^{\circ}$  N– $44^{\circ}$  S, the third figure is the region  $30^{\circ}$  N– $18^{\circ}$  S, the forth figure the region  $18^{\circ}$  S– $44^{\circ}$  S, the bottom panel shows the inter-annual variability from atmospheric inversions in the region  $18^{\circ}$  S– $44^{\circ}$  S with and without the AMS data (see text for explanation).

