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# Physical-biological interactions to the west of Hawaiian Islands: impact of submesoscale dynamics on biological productivity

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## Abstract

Abundant energetic eddies and frontal processes occur frequently to the west of Hawaiian Islands. Their impacts on biological productivity, however, are ambiguous because satellite-measured surface chlorophyll often shows a completely different pattern to eddy kinetic energy field. Our study suggests a new mechanism of how those oceanic eddies and frontal processes affect phytoplankton dynamics by changing their physiological conditions. Due to eddy–eddy or eddy–front interactions, high eddy activity creates regions with enhanced shear and straining that leads to rapid upper ocean re-stratification and submesoscale vertical motions. The restratification process decreases mixed layer depth that increases the mean exposure of the phytoplankton cells to light, thus resulting in enhanced photosynthetic carbon-based production. In contrast, increased light in the surface layer could either decrease phytoplankton chlorophyll due to the photoacclimation effect or increase chlorophyll when light is a limiting factor for phytoplankton growth. Combined with another two competing processes for vertical nutrient flux, ocean restratification and submesoscale upward motions, it introduces different responses and uncertainties of observed chlorophyll-based production to eddy activity and frontal processes.

## 1 Introduction

The circulation pattern to the west of Hawaiian Islands located in the North Pacific subtropical gyre is known to be highly dynamic and energetic due to the complex interactions between wind, current, and the Hawaiian archipelago (e.g., Xie et al., 2001; Liu et al., 2003; Qiu and Chen, 2010; Sasaki et al., 2010; Yoshida et al., 2011). With surface drifters and hydrographic data, four major zonal currents have been identified in this region. Embedded in the large-scale, westward-flowing North Equatorial Current (NEC) spanning between 8° N and 30° N, the eastward flowing North Pacific Subtropical Countercurrent (STCC) is mostly observed north of 24° N. Driven by the far-reaching

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patches (Lévy et al., 2001; Lehahn et al., 2007; Xiu et al., 2012). In the lee of Hawaiian Islands, in addition to the wind-driven eddy pumping process, Calil and Richards (2010) observed transient chlorophyll “hot spots” from satellite and related them to the episodic nutrient injections at the submesoscale. To the further west (e.g., west of dateline), however, satellite-derived high chlorophyll values are not commonly observed even with similar eddy activity as in the lee of Hawaiian Islands (Calil et al., 2011). This usually leads to the conclusion that there are no statistical relationship between eddy activity and biological productivity in that region, especially on longer time scales. In this work, we will revisit this view and examine the potential impacts by eddies and frontal processes on phytoplankton dynamics to the west of Hawaiian Islands using several different satellite observations.

## 2 Data and methods

Monthly and 8 day surface chlorophyll concentrations from the Sea-viewing Wide Field-of-view Sensor (SeaWiFS) with 9 km horizontal resolution during 1998–2007 were used in this study. For the same period and sampling frequency, two primary production estimates derived via SeaWiFS Vertically Generalized Production Model (VGPM; Behrenfeld and Falkowski, 1997) and SeaWiFS Carbon-based Production Model (CbPM; Westberry et al., 2008) were adopted to represent biological productivity. Mixed layer depth (MLD) was calculated from the Thermal Ocean Prediction Model (TOPS) and the Simple Ocean Data Assimilation (SODA) data. A merged and gridded satellite product of MSLA (Maps of Sea Level Anomaly) and MADT (Maps of Absolute Dynamic Topography) distributed by the Archiving, Validation, and Interpretation of Satellite Oceanographic data (AVISO), which consist of maps at 7 day interval on a 0.25° horizontal grid were used to calculate eddy kinetic energy (EKE) and absolute geostrophic velocities, respectively. Monthly surface wind products were obtained from QuickSCAT scatterometer. Measured annual-mean climatology of nitrate ( $\text{NO}_3$ ) and silicate ( $\text{SiO}_4$ ) obtained from World Ocean Atlas 2009 (WOA09; Garcia et al., 2010)

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were used to calculate nutricline depth. Nutricline depth was estimated with a criterion of  $1.0 \text{ mmol m}^{-3}$  increase from a reference depth of 10 m.

To diagnose regions of large stretching and strain, we calculated the finite-size Lyapunov exponent (FSLE), which is the inverse time of the separation of adjacent particle pairs. The FSLE is defined as

$$\lambda = \frac{1}{\tau} \log \left( \frac{\delta_f}{\delta_0} \right) \quad (1)$$

where  $\delta_0$  and  $\delta_f$  are the initial and final distance of particle pairs, respectively, and  $\tau$  is the time the particle pairs take to reach  $\delta_f$ . In this study, we set  $\delta_0$  and  $\delta_f$  to be  $0.02^\circ$  and  $0.5^\circ$ , respectively to represent submesoscale processes. Absolute geostrophic velocities were used to calculate particle trajectories. Spatiotemporal interpolation of the velocity data was achieved by bilinear interpolation to grid points below the resolution of the altimetry data. Numerical integration was performed by using a standard fourth-order Runge–Kutta scheme.

The FSLE can be performed by calculating the separation of initially nearby particles as time moves forward or backward. In chaotic systems, forward and backward evolutions have been suggested to approximate stable and unstable manifolds of the flow field, respectively (d'Ovidio et al., 2004; Lehahn et al., 2007; Calil et al., 2011). Hyperbolic points are usually in the regions where unstable and stable manifolds cross each other. Tracers such as nutrients in these regions can be stretched along the unstable manifolds and compressed along the stable manifolds (d'Ovidio et al., 2009). Regions of large FSLEs from backward calculations are therefore prone to surface frontogenesis and are related to strong vertical velocities (Lehahn et al., 2007; Calil and Richards, 2010).

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### 3 Results

Two production products CbPM and VGPM were compared with in-situ measurements at a fixed station ALOHA (a long-term oligotrophic habitat assessment; 22°45' N, 158° W). VGPM derived primary production (PP) tends to underestimate the mean condition in that area (Fig. 1). CbPM derived PP that approximately doubles VGPM PP, lies in a reasonable range of observations. CbPM PP also indicates a closer timing of seasonal peaks to observations than VGPM PP. Both CbPM and VGPM PP, however, underestimate observed seasonal amplitudes.

To the west of Hawaiian Islands, a high EKE band spanning from 17° N to 27° N is evident in the mean condition (Fig. 2a). This EKE signal primarily results from enhanced eddy and frontal activities that might propagate from the east or be generated locally. In the immediate lee of the islands, one distinct region emerges with a EKE higher than 300 cm<sup>2</sup> s<sup>-2</sup>. Its formation mechanism has been connected with the local wind stress curl associated with the blocking of the trade wind by the Hawaiian Islands. These eddies are usually confined to the lee of the island with a relatively short lifespan (e.g. a few weeks). Away from the immediate lee, both local wind stress curl and current instability are responsible for the observed high EKE band.

Mean FSLE during 1998–2007 indicates a similar spatial pattern as EKE, with a high-magnitude zonal band between 17° N to 28° N (Fig. 2b). Regions with high FSLE are known to be prone to submesoscale frontogenesis with increasing strain and deformation. The spatial correlation coefficient between mean FSLE and mean EKE is 0.76, suggesting that strong eddies are generally associated with intense submesoscale processes that are often concurrent with vertical motions.

One of the mostly used ocean color satellite-derived variables to probe biological activity is surface chlorophyll concentration (Chl). We computed the annual mean Chl during 1998–2007, the same period as in EKE calculation (Fig. 2c). Except for the slightly enhanced magnitude in the immediate lee corresponding to the highest EKE region (> 300 cm<sup>2</sup> s<sup>-2</sup>), Chl shows a completely different spatial pattern to EKE field

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with prominent concentration gradients in both zonal and meridional direction. High Chl signal in the immediate lee is very likely attributable to the eddy pumping in cyclonic eddy core and the submesoscale upwelling in anticyclonic eddy edge that brings high nutrient to the upper layer and supports high biological productivity (Bidigare et al., 2003; Kuwahara et al., 2008; Nencioli et al., 2008; Calil and Richards, 2010). To the west of this region, EKE magnitude reduces and nutricline depth deepens (not shown here). The combination of these two factors may result in the mismatch of spatial pattern between EKE and Chl.

Carbon and chlorophyll are two commonly used variables to quantify phytoplankton biomass. However, due to the complex effect of light, temperature, and nutrient, these two variables are usually decoupled from each other (e.g., Behrenfeld et al., 2005; Xiu and Chai, 2012). Phytoplankton carbon production is typically regulated by photosynthetic rate, while phytoplankton chlorophyll production is controlled by both nutrient assimilation and light regulation, known as photoacclimation. The ratio, phytoplankton carbon-to-chlorophyll (C : Chl) generally decreasing from high light to low light has been used as an indicator to assess phytoplankton physiology from space and time (e.g., Behrenfeld et al., 2005; Wang et al., 2009; Li et al., 2010). VGPM PP estimated from surface chlorophyll concentration indicates a similar annual mean pattern in space to Chl (Fig. 3a) with the lowest in the southwest of the domain and a strong meridional gradient that are consistent with the spatial pattern of nutrients (nitrate and silicate) from WOA09.

CbPM PP calculated from phytoplankton carbon, however, differs a lot (Fig. 3b). A high CbPM PP band is found between 17° N and 28° N with small zonal gradient to the west of Hawaiian Islands that resembles the high EKE band (Fig. 2a). Moreover, this band is found to be occupied by shallower MLD than surrounding waters (Fig. 3c), which provides a dynamic link between CbPM PP and EKE. Sea surface winds are usually responsible for the MLD and its variability in most of the oceans and there is a low zonal band existing to the west of Hawaiian Islands. Two regional boxes are therefore used to examine the temporal correlations between monthly EKE, MLD, and

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wind speed (Fig. 3d). The northern box, located within a similar latitudinal rang as Hawaiian Islands depicts a high negative correlation between EKE and MLD ( $r = -0.6$ ) and a low positive correlation between wind speed and MLD ( $r = 0.2$ ). In contrast, the southern box indicates a low positive correlation between EKE and MLD ( $r = 0.1$ ) and a high positive correlation between wind speed and MLD ( $r = 0.5$ ). This suggests that eddy and frontal activities are primary factors in controlling MLD and upper ocean restratification in the high EKE band (e.g., the northern box region).

A snapshot of 8 day averaged CbPM PP around 11 February 2006 shows a similar spatial pattern with FSLE, where regions of enhanced CbPM are associated with high FSLE values (Fig. 4a), whereas this spatial relationship is not clear between VGPM PP and FSLE (Fig. 4b). Comparisons between FSLE and MLD and between FSLE and EKE support that submesoscale frontal processes with high FSLE values arising from eddy activities are able to decrease mixed layer depth and restratify the upper ocean. In addition to the instantaneous case, climatological FSLE also indicates a high positive spatial correlation with CbPM PP and with EKE, and a negative correlation with MLD, however, the correlation between FSLE and VGPM PP is relatively low (Fig. 5).

To further investigate interannual variations, we first divide the domain into high EKE region ( $EKE > 200 \text{ cm}^2 \text{ s}^{-2}$ ) and low EKE region ( $EKE < 200 \text{ cm}^2 \text{ s}^{-2}$ ) during each month. We then calculate the averaged variable differences between these two regions (high EKE region-low EKE region) for each monthly data and further average them over the year to construct the annual mean terms (Fig. 6a). There are consistent positive values of CbPM PP and negative values of MLD during each year suggesting high EKE corresponding to high CbPM PP and low MLD. However, the relationship between EKE and VGPM PP is not clear on the annual mean basis, which is likely due to the influence of two competing processes induced by eddies, restratification that suppresses vertical mixing of deep nutrients and upwelling that brings nutrients up to the surface layer. This competing mechanism for chlorophyll-based PP is particularly effective in oligotrophic waters where nutricline depth is deep and the resultant upwelling nutrient flux is dampened.

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Interannual EKE signal in this region has been suggested highly correlate with the Pacific Decadal Oscillation (PDO) index via modulating vertical shear of horizontal velocity (Yoshida et al., 2011). In addition, a significant negative correlation between EKE and the North Pacific Gyre Oscillation (NPGO) index is also found during 1998–2007 (Fig. 6b). As a consequence, eddy induced enhancement in CbPM PP indicates a significant positive correlation with PDO index ( $r = 0.82$ ) and a robust negative correlation with NPGO index ( $r = -0.77$ ) as well, suggesting more carbon are fixed during positive PDO (negative NPGO) phase and less during negative PDO (positive NPGO) phase.

To summarize findings in this study, a conceptual model of the phytoplankton responses to eddy and frontal dynamics to the west of Hawaiian Islands is proposed (Fig. 7). Due to eddy–eddy or eddy–front interactions, high eddy activity creates regions with enhanced shear and straining that leads to rapid upper ocean restratification and submesoscale upward motions. The restratification process decreases MLD that would increase the mean exposure of the phytoplankton cells to light. As carbon-based PP produced from carbon-fixing photosynthesis is largely controlled by light levels in the water column, decreased MLD further results in enhanced carbon-based production. In the surface layer, increased light can decrease phytoplankton chlorophyll due to the photoacclimation effect, while it can also increase phytoplankton chlorophyll when light is a limiting factor for phytoplankton growth. In addition to light levels, chlorophyll-based PP is also controlled by availability of nutrient that is determined by two competing processes, ocean restratification and submesoscale upward motions. The connection between eddy and frontal activities and chlorophyll-based PP is therefore difficult to detect solely from satellite observations.

## 4 Discussion

Eddies are a ubiquitous feature over the global ocean. Their impacts on physical and biogeochemical properties through different processes have been widely observed and discussed (e.g., Bidigare et al., 2003; Kuwahara et al., 2008; Nencioli et al., 2008; Calil

and Richards, 2010; Xiu and Chai, 2011). In this work, we present a new view how eddies affect biological productivity in oligotrophic waters via changing phytoplankton physiological conditions. While most of the studies focus on satellite-derived chlorophyll concentration that is thought to be a poor proxy for phytoplankton biomass (Behrenfeld et al., 2005; Wang et al., 2009; Li et al., 2010; Xiu and Chai, 2012), this effect is generally neglected.

Results presented in this study is different from those conducted in high latitudes where nutrient is replete during winter and spring and light is a limiting factor for both phytoplankton photosynthetic carbon fixation and nutrient assimilation. Increasing light due to eddy restratification can thus trigger phytoplankton carbon and chlorophyll blooms together (Lévy et al., 1999; Taylor and Ferrari, 2011; Mahadevan et al., 2012).

Summertime phytoplankton increases in the North Pacific gyre have been observed to be supported by N<sub>2</sub>-fixing organisms (e.g., Dore et al., 2008; Calil et al., 2011). Eddy induced upper ocean restratification constrains more diazotrophs in the upper layer, increases their chances to fix more N<sub>2</sub> from atmosphere as an alternative nutrient source. In this scenario, variability of phytoplankton C : Chl is mostly linked to photoacclimation with a relatively stable stoichiometry. Other than that, both vertical nutrient supply and light condition are responsible for observed variability in phytoplankton C : Chl ratio. When nutrient is depleted in the surface layer, photosynthetic carbon fixation can still continue leading to a deviated phytoplankton carbon to nitrogen ratio (C : N) from the standard Redfield ratio.

While previous studies identified the role of eddy in triggering episodic vertical nutrient injection and stimulating phytoplankton blooms (Johnson et al., 2010; Calil et al., 2011), the long-term impact of eddies on phytoplankton productivity still needs to be investigated, especially to the west of Hawaiian Islands. Our study suggests that interannual variability of eddy induced phytoplankton carbon enhancement has a robust relationship with PDO (NPGO) index as a result of modulated eddy and frontal activities. This provides a potential dynamic link between climate variability and carbon cycle for this region.

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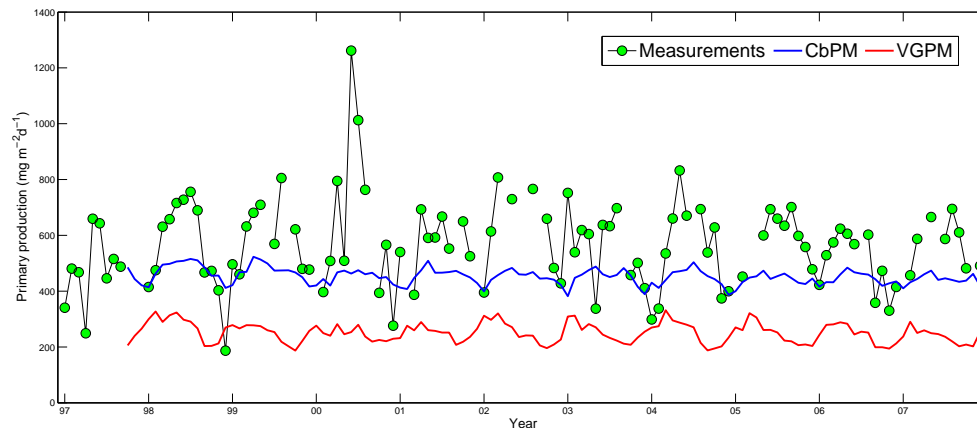
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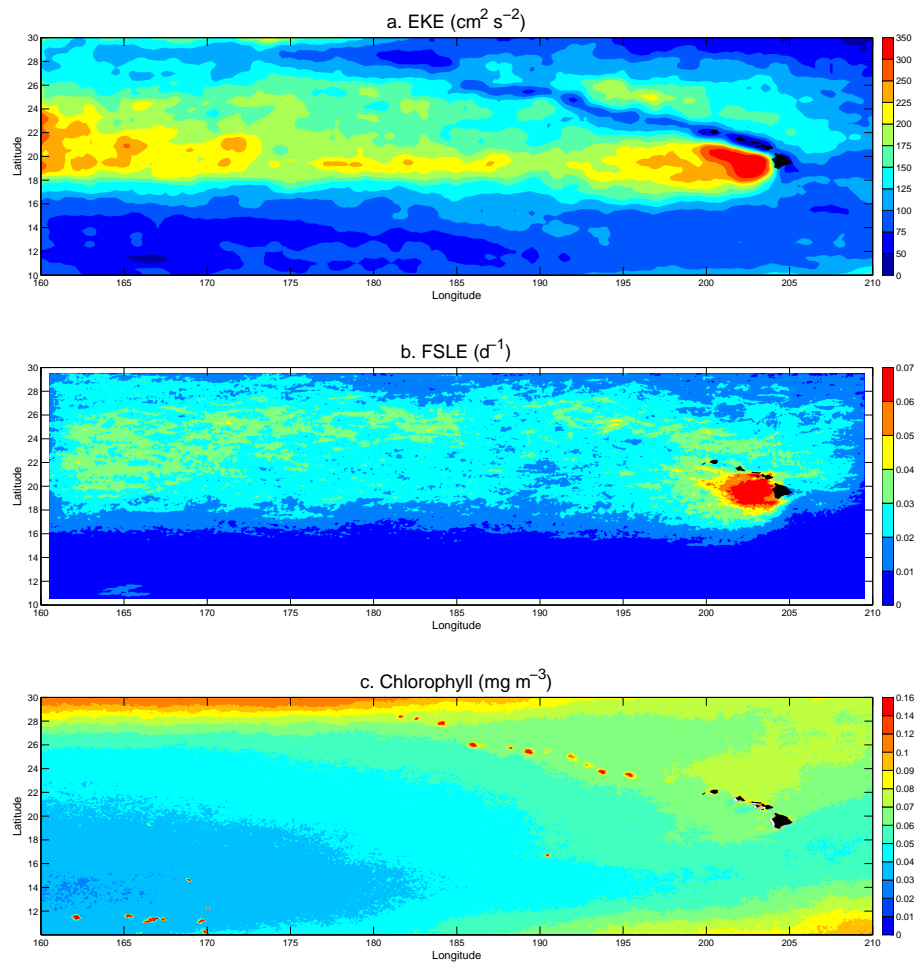
# Physical-biological interactions to the west of Hawaiian Islands

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**Fig. 1.** Comparison of CbPM and VGPM derived primary production with in-situ observations at a fixed station ALOHA (a long-term oligotrophic habitat assessment; 22°45' N, 158° W).

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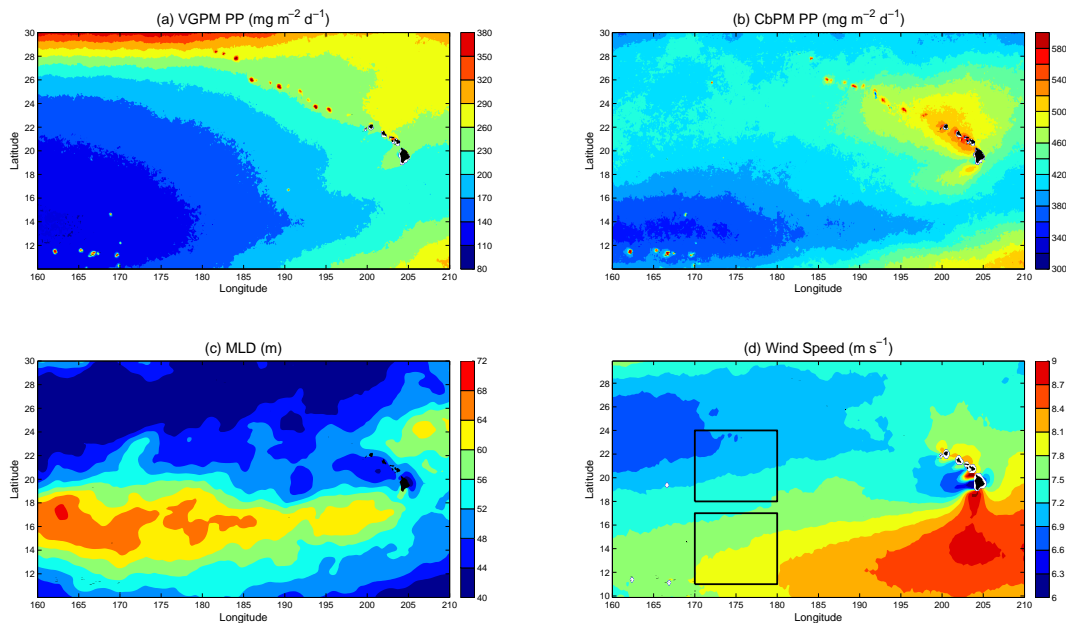


**Fig. 2.** Climatological mean of eddy kinetic energy (EKE), FSLE and SeaWiFS derived surface chlorophyll concentration averaged over 1998–2007.



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**Fig. 3.** Climatological mean of **(a)** VGPM derived primary production, **(b)** CbPM derived primary production, **(c)** mixed layer depth, and **(d)** wind speed averaged over 1998–2007.

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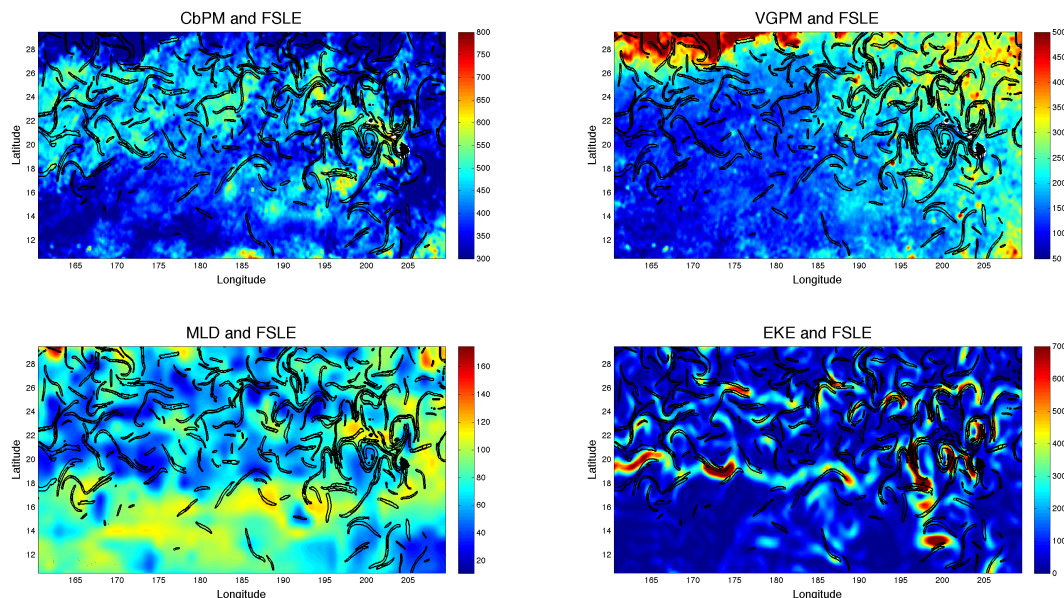
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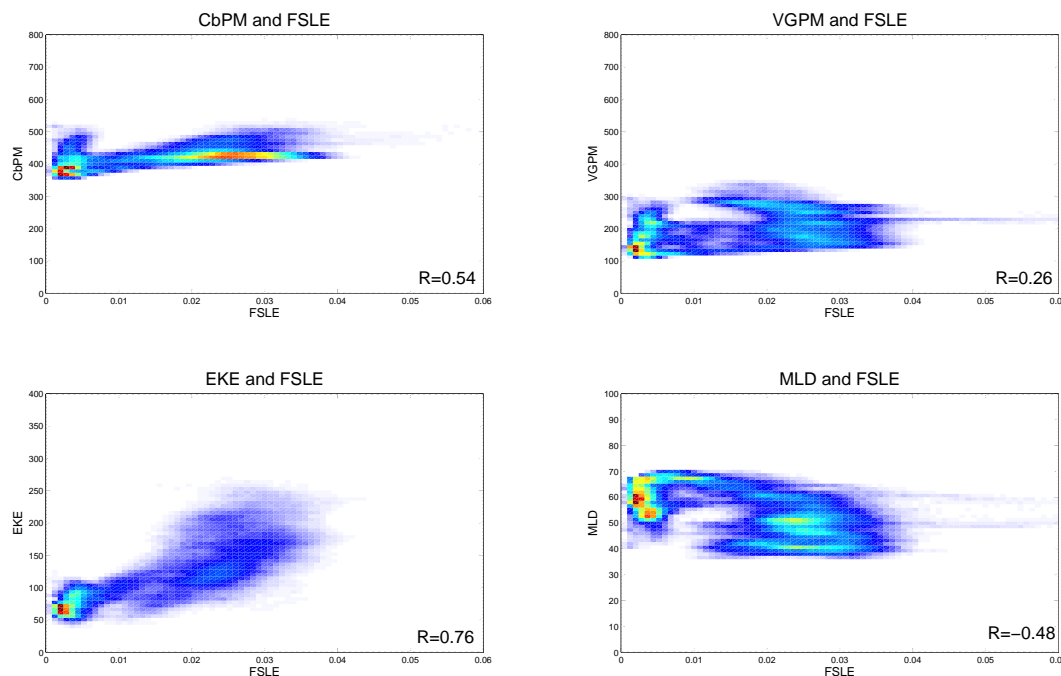
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**Fig. 4.** A snapshot of CbPM PP ( $\text{mgm}^{-2} \text{d}^{-1}$ ), VGPM PP ( $\text{mgm}^{-2} \text{d}^{-1}$ ), MLD (m), and EKE ( $\text{cm}^2 \text{s}^{-2}$ ) in colors. Overlaid black contours mark high FSLE ( $\text{d}^{-1}$ ) regions.

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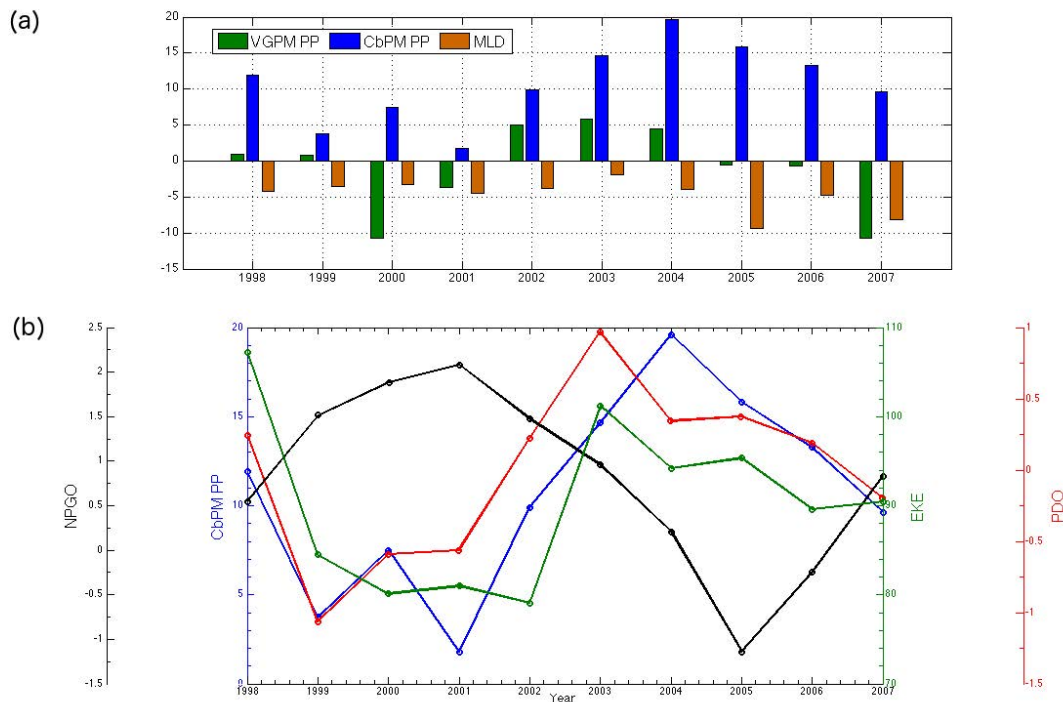
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**Fig. 5.** Density plots of spatial distributions between mean CbPM PP ( $\text{mg m}^{-2} \text{d}^{-1}$ ) and FSLE ( $\text{d}^{-1}$ ), VGPM PP ( $\text{mg m}^{-2} \text{d}^{-1}$ ) and FSLE, EKE ( $\text{cm}^2 \text{s}^{-2}$ ) and FSLE, MLD (m) and FSLE. Warm color represents high data density, and cold color represents low data density.

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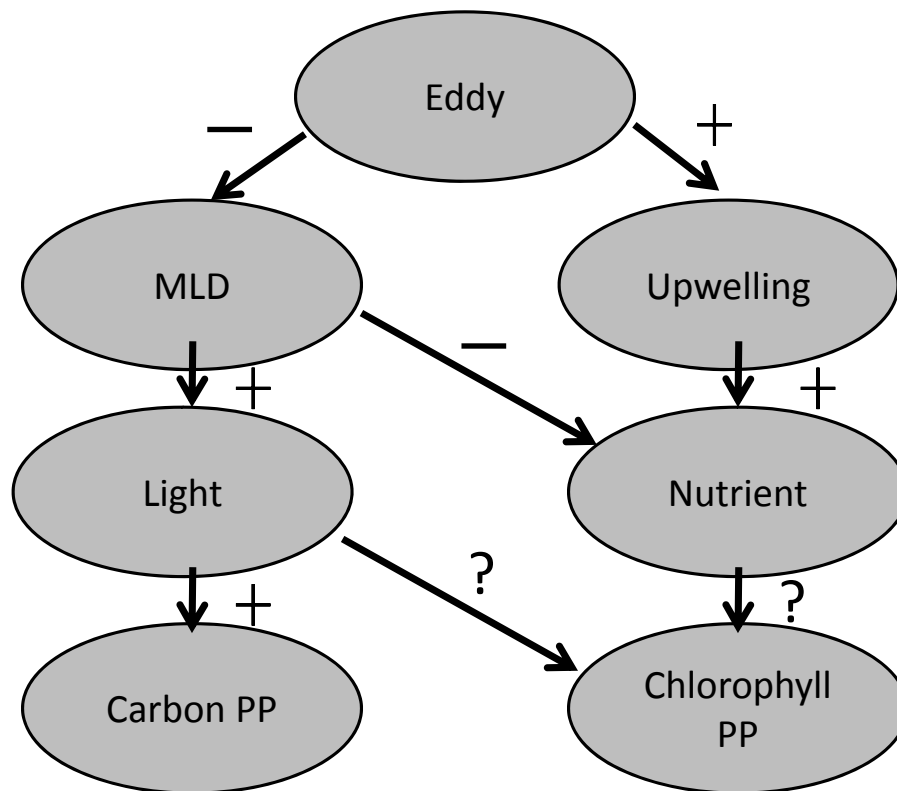
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**Fig. 6.** (a) Primary production (VGPM and CbPM; unit:  $\text{mgm}^{-2} \text{d}^{-1}$ ) and mixed layer depth (unit: m) differences between high EKE region ( $\text{EKE} > 200 \text{ cm}^2 \text{s}^{-2}$ ) and low EKE region ( $\text{EKE} < 200 \text{ cm}^2 \text{s}^{-2}$ ) (high EKE region-low EKE region) for each monthly data (further averaged over the year). (b) Comparison of CbPM PP difference (unit:  $\text{mgm}^{-2} \text{d}^{-1}$ ) with domain-averaged EKE, Pacific Decadal Oscillation (PDO) index and North Pacific Gyre Oscillation (NPGO) index during 1998–2007.

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**Fig. 7.** A conceptual model depicting how eddy affect phytoplankton production to the west of Hawaiian Islands. The “+” represents a positive response, the “-” represents a negative response, and the “?” represents both possible directional responses.

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