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Quantifying the role of fire in the Earth system – Part 2: Impact on the net carbon balance of global terrestrial ecosystems for the 20th century

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Abstract

Fire is the primary terrestrial ecosystem disturbance agent on a global scale. It affects the net carbon balance of global terrestrial ecosystems by emitting carbon directly and immediately to the atmosphere from biomass burning (i.e., the fire direct effect), and by changing net ecosystem productivity and land-use carbon loss in post-fire regions due 5 to biomass burning and fire-induced vegetation mortality (i.e., the fire indirect effect). Here, we provide the first quantitative assessment of the impact of fire on the net carbon balance of global terrestrial ecosystems during the 20th century, and investigate the roles of fire direct and indirect effects. This was done by quantifying the difference between the 20th century fire-on and fire-off simulations with the NCAR Community 10 Land Model CLM4.5 as model platform. Results show that fire decreases the net carbon gain of global terrestrial ecosystems by 1.0 Pg Cyr⁻¹ averaged across the 20th century, as a result of the fire direct effect (1.9 PgCyr^{-1}) partly offset by the indirect effect $(-0.9 \text{ Pg C yr}^{-1})$. Fire generally decreases the average carbon gains of terrestrial ecosystems in post-fire regions, which is significant over tropical savannas and some 15

North American and East Asian forests. The general decrease of carbon gains in postfire regions is because the fire direct and indirect effects have similar spatial patterns but with opposite function and the former (which decreases the carbon gain of land) is generally stronger. Moreover, the effect of fire on net carbon balance significantly declines prior to ~ 1970 with a trend of 8 TgCyr^{-1} due to increasing fire indirect effect, and increases afterward with a trend of 18 TgCyr^{-1} due to increasing fire direct effect.

1 Introduction

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Fire is an important earth system process and the primary terrestrial ecosystem disturbance agent on a global scale (Fosberg et al., 1999; Bowman et al., 2009). Today, global fires are routinely monitored by satellite (Arino and Rosaz, 1999; Giglio et al., 2003; Roy et al., 2005) and are simulated by most of the global terrestrial biosphere





models used for the Intergovernmental Panel on Climate Change (IPCC) (Arora and Boer, 2005; Thonicke et al., 2010; Li et al., 2012). Fire affects the net carbon balance of terrestrial ecosystems both directly and indirectly (Kasischke et al., 1995; Mouillot and Field, 2005). The direct effect is caused by biomass burning, which emits carbon

- to the atmosphere immediately (Andreae and Merlet, 2001; van der Werf et al., 2010). In addition, fire can affect net ecosystem productivity (NEP, the balance of ecosystem productivity and respiration) and land-use carbon loss in post-fire regions by changing vegetation and carbon pool structures or terrestrial physical characteristics through biomass burning and vegetation mortality, which is not limited to the burning period and
- 10 can last for more than 100 yr in some regions (the indirect effect, Kasischke et al., 1995; Houghton et al., 1999; Hicke et al., 2003; Amiro et al., 2010). Quantifying the impact of fire on the global terrestrial carbon balance is an important part of quantifying the role of fire in the Earth system, and is required to better understand the global-scale carbon dynamics and ecosystems and their changes (Mouillot and Field, 2005; Schulze, 2006; Duranics 2022)
- ¹⁵ Running, 2008).

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Earlier global-scale quantitative studies about the effect of fire on terrestrial carbon balance were focused on the fire carbon emissions (i.e., the fire direct effect). Quantitative assessments of contemporary global fire carbon emissions were pioneered by Seiler and Crutzen (1980) using information documented in the literature. Subsequently, Schultz (2002), Duncan et al. (2003), van der Werf et al. (2006, 2010), and Randerson et al. (2012) improved our understanding of the spatial and temporal distribution of contemporary fire carbon emissions using satellite observations, providing an

- estimate of ~ 2 PgCyr⁻¹ for global fire carbon emissions during the satellite era. Moreover, Prentice et al. (2010) pointed out that fire carbon emissions from the satellitebased GFED3 and the global dynamical vegetation model LPX-DGVM accounted for
- about 1/3 and 1/5 of the interannual variation of the 1997–2005 global carbon balance, respectively, by calculating the determinant coefficients (i.e., R^2 values in the linear regression) between detrended fire carbon emissions and global carbon balance. Besides improving contemporary estimates, long-term fire carbon emissions over the past





several decades, centuries, and millennia have been reconstructed based on global models with carbon dynamics (Mouillot et al., 2006; Schultz et al., 2008; Kloster et al., 2010; Ward et al., 2012; van der Werf et al., 2013), the fire emissions equation from Seiler and Crutzen (1980) with estimated historical global burned area as input data

 Mieville et al., 2010), sedimentary charcoal records (Marlon et al., 2008, 2013), Antarctic ice-core CH₄ records (Ferretti et al., 2005), and Antarctic ice-core CO records (Wang et al., 2010; Prentice, 2010). Large uncertainties remain, however.

Almost all the earlier studies regarding the total and indirect effects of fire were at the site or regional scale. Using boreal forest chronosequences, Law et al. (2003),

- ¹⁰ Campbell et al. (2004), Bond-Lamberty et al. (2004), Goulden et al. (2006, 2011), and Amiro et al. (2010) investigated the changes of site-level NEP and/or its components in the post-fire succession period. Also, several studies estimated the effect of fire on the terrestrial carbon fluxes at the site level or in a region using an empirical model (Kasischke et al., 1995; Houghton et al., 2000), the biogeochemical model Biome-BGC
- (Thornton et al., 2002; Bond-Lamberty et al., 2007), the carbon cycle model CASA (Hicke et al., 2003), a simplified satellite-based carbon flux model (Yi et al., 2013), and the global process-based vegetation model ORCHIDEE with vegetation distribution fixed (Yue et al., 2013). In addition, using field observations, San Jose et al. (1998), Shackleton and Scholes (2000), Tilman et al. (2000), Wang et al. (2001), and Irvine
- et al. (2007) investigated the differences in site-level ecosystem carbon storage and/or fluxes with different fire frequencies or severities. As far as we know, Ward et al. (2012) was the only one to provide a global estimate involving the indirect effect of fire, which showed that fire decreased the carbon loss from land use and land cover change (wood harvest included) based on an unreleased version of the Community Land Surface
- ²⁵ Model CLM4. So far, there have not been any global estimates of fire total effect and the effect of fire on terrestrial carbon balance through changing NEP.

Recently, Li et al. (2012a, b, 2013) developed a global fire model. In this fire model, burned area fraction was determined by climate and weather conditions, vegetation composition and structure, and human activities. After the calculation of burned area





fraction, fire impact was estimated, including biomass and peat burning, fire-induced vegetation mortality, and the adjustment of the carbon and nitrogen (C/N) pools. As part 1 of a project designed to quantify the role of fire in the Earth system (Li et al., 2013), the global fire scheme was introduced in detail, tested in the Community Earth

- System Model version 1.0 (CESM1.0)'s land component the Community Land Model version 4 (CLM4), and evaluated against the satellite-based GFED3 fire product for 1997–2004. Results showed that the fire scheme reasonably simulated the multi-year average of burned area, fire seasonality, fire interannual variability, and fire carbon emissions. In addition, simulated contributions of contemporary fire carbon emissions
- from various sources (deforestation fires, agricultural fires, peat fires, and others) were close to previous assessments based on satellite data, government statistics, and other information. The global land model CLM4.5 (Oleson et al., 2013), available at http: //www.cesm.ucar.edu/models/cesm1.2 since June 2013, included the new fire model and calculated the water, energy, carbon, and nitrogen cycles and their interactions at the land–atmosphere interface, providing a practical platform to quantify the long-term
- effect of fire on the global net terrestrial carbon balance.

As part 2 of the project designed to quantify the role of fire in the Earth system, the present study provides the first estimates regarding the impact of fire on the global net terrestrial carbon balance during the 20th century. It is based on quantitative assess-

- ²⁰ ment of the difference in carbon fluxes between a CLM4.5 control (fire-on) simulation and a 20th century fire-off simulation. Related mechanisms are investigated by analyzing the role of fire's direct and indirect effects. In this paper, Sect. 2 introduces the methods and data, including the model platform, simulations and model input data, evaluation of CLM4.5 contemporary global simulations and related benchmark data,
- and CLM4.5 burned area simulations during the 20th century. Section 3 quantifies the impact of fire on net ecosystem exchange (NEE) during the 20th century and then investigates the role of fire direct and indirect effects, where NEE is used by CLM4.5 to quantify the net carbon balance of terrestrial ecosystems (see Sect. 2.1). Discussion and conclusions appear in Sect. 4.





2 Methods and data

2.1 Model platform

CLM4.5 is the latest version of the CLM family of models (Oleson et al., 2013) and the land component of the earth system model CESM1.2. The CLM family has been widely used to investigate the long-term historical change in carbon, water, and heat fluxes 5 and fire (Qian et al., 2006; Bonan and Levis, 2010; Lawrence et al., 2012; Kloster et al., 2010; Le Quéré et al., 2013; Koven et al., 2013; Ward et al., 2013), and, as the land component of CESM and its precursor the Community Climate System Model (CCSM), supports the IPCC global change research (http://www.ipcc.ch/). CLM4.5, like its precursor CLM4, integrates biophysical, biogeographic, and biogeochemical processes of the land surface into a single and physically consistent framework, and has the ability to model the impact of transient land cover and land use change. It succeeds CLM4 whose biogeochemistry module is mainly based on the terrestrial ecosystem model Biome-BGC version 4.1.2 (Thornton et al., 2007) with updates to photosynthesis (Bonan et al., 2011; Sun et al., 2012), soil biogeochemistry (Koven et al., 2013), 15 fire dynamics (Li et al., 2012a, b, 2013), cold region hydrology (Swenson et al., 2012;

Swenson and Lawrence, 2012), lake model (Subin et al., 2012), and biogenic volatile organic compounds model (Guenther et al., 2012). Like its precursors, CLM4.5 represents the land surface as a hierarchy of subgrid types, including glacier, lake, wetland,
²⁰ urban, and vegetation land units. A vegetated land unit is further divided into plant function types (PFTs) that share a soil column.

The terrestrial carbon cycle in CLM4.5 is initiated by biosphere carbon uptake via photosynthesis (gross primary production, GPP). GPP and a storage carbon pool supply carbon for maintenance respiration of live vegetation tissues. After accounting for

the carbon cost of maintenance respiration, the remaining carbon flux is allocated to the carbon pools of live vegetation tissues for current plant growth and the storage carbon pools for future growth and metabolic activities. The process of plant growth produces growth respiration flux. GPP minus autotrophic respiration (Ra, the sum of





maintenance respiration and growth respiration) is called the net primary productivity (NPP = GPP - Ra). A portion of the carbon pools from live vegetation tissues is transferred to litter by turnover, mortality (including fire-induced mortality), and phenology processes. Before the decomposition of litter, woody litter passes through a coarse woody debris (CWD) pool for physical degradation. A portion of the carbon is released 5 to the atmosphere during the decomposition of litter and soil organic matter, which is called heterotrophic respiration (Rh). Finally, the net carbon balance of terrestrial ecosystems (i.e., NEE) is the balance between the net ecosystem productivity (i.e., NEP = NPP - Rh) and the carbon loss of terrestrial ecosystems due to biomass burning (C_{fe}) and land use (C_{lh} , wood harvest included):

 $NEE = -NEP + C_{fe} + C_{lh}$

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A negative value of NEE indicates a land uptake of carbon. Note that the definition of NEP in CLM4.5 is the same as that in Campbell et al. (2004) and LPJ-DGVM (Sitch et al., 2003), but differs from the eddy covariance-oriented definition in Randerson et al. (2002). The definition of NEE in CLM4.5 is the same as that in LPJ-DGVM (Sitch et al., 2003), but the latter lacks the item of $C_{\rm lh}$ because LPJ-DGVM does not consider the land use and wood harvest.

The CLM4.5 official version (clm4 5 07) with several modifications in fire module is used here. First, two bugs in the code are fixed: one is in modeling fire in tropical closed forests when land use and land cover change dataset is not used (e.g., spin-20 up simulation), and the other is in the conversion of burned area fraction from the column-level to PFT-level in grid cells with a fraction of cropland. Second, we change the combustion completeness factor (CC) from 0.2 to 0.25 for CWD and from 0.4 to 0.5 for litter, and set the maximum spread rate for grasses 5% higher than original value. When we tuned parameters for the CLM4.5 official version based on a 1.9° (lat) x 25 2.5° (lon) simulation, the 1850 spin-up simulation was stopped too early and a slight downward trend was still present in the fire simulation. This and the first bug caused



(1)



2004 simulation, which misled us into setting lower CCs for CWD and litter and a bit lower maximum spread rate for grasses in the CLM4.5 official version. The adjusted CC for litter is close to that (~ 0.6) used in CLM4 by Kloster et al. (2010) and Li et al. (2013). The adjusted CC for CWD is about half of ~ 0.6 used by Kloster et al. (2010) and 5
5 0.5 used by Li et al. (2013) in CLM4, because we have found that CWD simulated in CLM4.5 is double of that in CLM4. Third, we change the lower threshold of fuel load to 75 gCm⁻² and set the higher threshold of the impact of surface relative humidity (RH) on fire to 80 %, but keep the higher threshold of fuel load of 1050 gCm⁻² and the lower threshold of RH-impact of 30 % unchanged, in order to decrease the impact of spatial resolution on fire simulation in savannas. The two adjusted parameter values can be supported by William et al. (1998) and Weir (2007). Fourth, due to the above

¹⁰ spatial resolution on fire simulation in savannas. The two adjusted parameter values can be supported by William et al. (1998) and Weir (2007). Fourth, due to the above changes (mainly from the second bug fixing), a global constant which controls the global agricultural burned area is recalibrated by the inverse method introduced in Li et al. (2013) and is changed from 0.153 (30 min)⁻¹ to 0.148 (30 min)⁻¹.

2.2 Simulations and input data

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2.2.1 Control (fire-on) and fire-off simulations

All simulations are conducted at a spatial resolution of 1.9° (lat) × 2.5° (lon) and a temporal resolution of 30 min.

First, a control simulation (i.e., fire-on simulation) is performed for 1850–2004. The 1850–2004 transient run is forced by the 1850–2004 time-varying CO_2 concentration, nitrogen and aerosol deposition, land use and land cover change, and population density data. Atmospheric forcing is obtained by cycling 25 yr (1948–1972) atmospheric reanalysis data (Qian et al., 2006) of surface temperature, wind speed, specific humidity, air pressure, precipitation, and surface downward solar radiation for 1850–1947

followed by the full time series of the 1948–2004 reanalysis data, and using climatological cloud-to-ground lightning data before 1996 and time-varying cloud-to-ground lightning data for 1996–2004. The 1850–2004 transient run starts from an 1850 equi-





librium (spun-up) state of CLM4.5 that is forced by the cycling 25 yr (1948–1972) atmospheric reanalysis data from Qian et al. (2006), the climatological cloud-to-ground lightning data, and the land cover, CO_2 concentration, nitrogen and aerosol deposition, and population density at their 1850 values.

Second, a 20th century fire-off simulation is branched from the control simulation in 1900. The only difference between fire-on and fire-off simulations is that the fire is switched off during 1900–1999 in the fire-off simulation. The difference between the fire-on and fire-off simulations represents the fire effect.

2.2.2 Input data

- The 1948–2004 T62 (~ 1.875°) global 3h surface temperature, wind speed, specific humidity, air pressure and 6h precipitation and surface downward solar radiation are from Qian et al. (2006). The 1850–2004 annual 0.5° population density is derived from the Database of the Global Environment version 3.1(HYDEv3.1) (Klein Goldewijk et al., 2010) prior to 1990 and the Gridded Population of the World version 3 (GPWv3)
- (CIESIN, 2005) since 1990. The climatological 3 h T62 cloud-to-ground lightning data is derived from the NASA LIS/OTD grid product v2.2 (http://ghrc.msfc.nasa.gov) 2 h climatological lightning data. The time-varying 3 h T62 cloud-to-ground lightning data for 1996–2004 is derived from the LIS/OTDv2.2 daily lightning time series and 2 h climatological lightning data. The cloud-to-ground lightning fraction is calculated based
- on Prentice and Mackerras (1977). The annual 1.9° (lat) × 2.5° (lon) land use and land cover change (LULCC) data for 1850–2005 are from the CLM4.5 land surface data (Lawrence et al., 2012; Oleson et al., 2013), which are based on version 1 of the Land-Use History A product (LUHa.v1) (Hurtt et al., 2006). The 1850–2004 annual CO₂ and monthly 1.9° (lat) × 2.5° (lon) nitrogen and aerosol deposition are provided with CESM1.2. Other standard detenate processory for running CLM4.5 are described in
- ²⁵ CESM1.2. Other standard datasets necessary for running CLM4.5 are described in Oleson et al. (2013). All of the input data are automatically regridded to the resolution of model running by CLM4.5.





2.3 Evaluation of CLM4.5 contemporary global performance

2.3.1 Benchmarks

Data used to evaluate the CLM4.5 global performance of present-day burned area, fire carbon emissions, NEE, GPP, and NPP are introduced as follows.

- As benchmarks for the global fire simulations, we use 1997–2004 0.5° monthly burned area and fire carbon emissions from the GFED3 (Giglio et al., 2010; van der Werf et al., 2010). GFED3 and its precursors have been commonly used to evaluate global fire simulations (Arora and Boer, 2005; Kloster et al., 2010; Prentice et al., 2011; Ward et al., 2012; Li et al., 2012a, 2013). The GFED3 burned area data are a mixture of observations and satellite-based estimates, which are generated from the 500 m MODIS burned area maps (MCD64A1), active fire detections from multiple satellites, local regression, and regional regression trees (Giglio et al., 2010; L. Giglio, personal communication, 2012). The GFED3 fire carbon emissions data are the output of a revised version of CASA carbon model driven by the GFED3 burned area, the MODIS
- vegetation and land data, active fire detections from multiple satellites, atmospheric observations, the MODIS photosynthetically active radiation, and the AVHRR NDVI data (van der Werf et al., 2010).

As benchmarks for the global total of NEE, we use the 1990's average presented by the IPCC AR4 (Denman et al., 2007) and the IPCC AR5 (Ciais et al., 2013). As benchmarks for temporal variability of NEE, we use the 1988, 2004 monthly 2.5° (let) x 2.75°

- ²⁰ marks for temporal variability of NEE, we use the 1988–2004 monthly 2.5° (lat) × 3.75° (lon) NEE data from the LSCE data (Chevallier et al., 2010) and 1982–2004 monthly 3.75° (lat) × 5° (lon) NEE data from the MPI-BGC Jena v3.5 data (Röedenbeck et al., 2006; updated in March 2013). The two NEE datasets are based on the measured atmospheric CO_2 concentrations and atmospheric transport models. Similar to Pren-
- tice et al. (2011), we only use the temporal variability of global fluxes since it is the most reliable output of the inversion process, although the two inversions also provide global spatial distribution. As benchmarks for global GPP, we use the 2000–2004 1 km annual GPP from collection 5 of the MODIS GPP product (MOD17) (Zhao et al., 2005,





2010), and 1982–2004 monthly 0.5° GPP data derived from the FLUXNET network of eddy covariance towers (Jung et al., 2011; updated in February 2013). The two global GPP products are commonly used to evaluate the global GPP simulation of process-based ecosystem models (Bonan et al., 2011; Mao et al., 2012; Piao et al., 2013). In
⁵ addition, the 2000–2004 1 km annual NPP from collection 5 of the MODIS NPP product (MOD17) (Zhao et al., 2005, 2010) is used as the benchmark for CLM4.5 NPP simulation.

2.3.2 CLM4.5 global performance

Testing the performance of global models against present-day observations is a crucial procedure, to enable confidence in the historical reconstructions, future projections, and quantitative assessments of impact of a process or phenomenon. Table 1 summarizes the global performance of CLM4.5. As shown in Table 1, CLM4.5 can overall reasonably simulate the global total, temporal variability, and large-scale spatial pattern of contemporary fire and terrestrial carbon fluxes.

- ¹⁵ For burned area, 1997–2004 average of global total simulated in CLM4.5 is 322 Mha yr⁻¹, close to the GFED3 of 380 Mha yr⁻¹. For temporal pattern, CLM4.5 captures the peak in 1998 and the year-to-year variability from 1999 to 2003 shown in GFED3 (Fig. S1a). For spatial pattern of the 1997–2004 average, CLM4.5 reproduces a high burned area fraction in tropical savannas, a moderate fraction in northern Eura-
- sia, and a low fraction in deserts and humid forests (Fig. S2). The temporal correlation between CLM4.5 and GFED3 global burned area over 1997–2004 is 0.63, and the global spatial correlation between CLM4.5 and GFED3 1997–2004 average burned area fraction is 0.71. Both of the temporal and spatial correlations can pass the Student's *t* test at the 0.05 significance level.
- For fire carbon emissions, 1997–2004 average of simulated global total is 2.1 PgCyr⁻¹, the same with GFED3. Interannual variability of global fire carbon emissions from CLM4.5 is similar to GFED3, i.e., peaks in 1997 and 1998 followed by a decline (Fig. S1b). For the 1997–2004 average, CLM4.5 reproduces the high car-



bon emissions in tropical savannas, the moderate emissions around 50° N in Eurasia, and the low emissions in deserts and the core of tropical humid forests (Fig. S3). The spatiotemporal patterns of CLM4.5 and GFED3 are significantly correlated at the 0.05 level (temporal correlation of global fire carbon emissions: 0.91; global spatial correlation of 1997–2004 averaged fire carbon emissions: 0.5).

Global NEE simulated by CLM4.5 for the 1990s is -0.8 PgC yr^{-1} , within the value range of -1.0 ± 0.6 reported by the IPCC AR4 (Denman et al., 2007) and -1.1 ± 0.9 reported by the IPCC AR5 (Ciais et al., 2013). CLM4.5 can reproduce the amplitude and timing of peaks and troughs shown in the LSCE (Chevallier et al., 2010) and MPI-BGC Jena v3.5 (Röedenbeck et al., 2006; C. Röedenbeck, personal communication, 2013) (Fig. S4). Temporal correlation coefficients are 0.74 between the CLM4.5 simulation and LSCE for 1988–2004 and 0.75 between the CLM4.5 simulation and MPI-BGC Jena v3.5 for 1981–2004, significant at the 0.05 level.

Averages of global GPP are 127 and 122 Pg C yr⁻¹ for CLM4.5 and the FLUXNETbased estimates (Jung et al., 2011; M. Jung, personal communication, 2013) over 1982–2004; and 130, 110, and 122 Pg C yr⁻¹ for CLM4.5, the satellite-based estimates (Zhao et al., 2005; Zhao and Running et al., 2010), and the FLUXNET-based estimates over 2000–2004. CLM4.5's GPP is higher than the two benchmarks, but close to the multi-model ensemble average of 1982–2008 global GPP across the 10 process-based

- ²⁰ terrestrial biosphere models used for the IPCC AR5 $(133 \pm 15 \text{ PgC yr}^{-1})$ (Piao et al., 2013). Temporal correlation between CLM4.5 and the FLUXNET-based estimates is 0.38 over 1982–2004, while temporal correlation with the FLUXNET-based estimates is 0.3–0.4 for 2 models and less than 0.3 for the other 8 models in Piao et al. (2013). For 2000–2004, the temporal correlation between CLM4.5 and the satellite-based es-
- timates is 0.87, significant at the 0.05 level and higher than 0.85 between the two benchmarks. Piao et al. (2013) pointed out that the FLUXNET-based estimates might have a large uncertainty in temporal pattern because a small number of flux towers were available in tropical ecosystems and the tropical ecosystems largely drove the interannual variability in the carbon cycle (Denman et al., 2007). For spatial pattern,





the CLM4.5 simulation and the two benchmarks show the highest GPP in tropical forests, followed by temperate and boreal forests; and the lowest value in high-latitude regions with short growing seasons and deserts (Fig. S5). The spatial correlation is 0.90 between CLM4.5 simulation and the FLUXNET-based estimates, and 0.88 between CLM4.5 and the satellite-based estimates, indicating that the CLM4.5 simulation and the two benchmarks are in good agreement in describing the large-scale GPP distribution.

For NPP, 2000–2004 average of global total is 54 PgCyr^{-1} for CLM4.5, the same with the satellite-based estimates (Zhao et al., 2005; Zhao and Running, 2010). CLM4.5 captures the decline of NPP from 2000 to 2002 and the increase from 2002 to 2004. The temporal correlation between CLM4.5 and the satellite-based estimates is 0.75, significant at the 0.05 level. Spatial patterns of NPP from CLM4.5 and the satellite-based estimates are significantly correlated (global spatial correlation: 0.81) and similar to their spatial patterns of GPP (Fig. S6).

15 2.4 Simulated burned area in the 20th century

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Information about historical burned area during the 20th century provides some background to understand the impact of fire on the global carbon budget in Sect. 3. Average global burned area of CLM4.5 over 1900–1999 is 316 Mha yr⁻¹, lower than the estimate of ~ 500 Mha yr⁻¹ from Mouillot and Field (2005). However, Mouillot and Field (2005) may overestimate the 20th century average of global burned area, because it estimated area burned at the end of the 20th century to be ~ 1.5 times bigger than GFED3 (Giglio et al., 2010) and GBA2000 (Grégoire et al., 2003) and its contemporary burned area was used to scale its historical reconstruction.

The long-term trend of the simulated global burned area presents a shift in ~ 1970 . ²⁵ It shows a downward trend of -0.33 Mha yr⁻¹ from 1900 to 1971, following an upward trend of 1.37 Mha yr⁻¹ since 1972 (Fig. 1a). Both trends are significant at the 0.05 level according to the Mann–Kendall trend test. The simulated long-term trend is similar to that in the reconstructed burned area of Mouillot and Field (2005). The long-term trend





in Mouillot and Field (2005) was based on published data, data on land-use practices, qualitative reports, as well as from local studies such as tree ring analysis.

For the 20th century, CLM4.5 simulates a high burned area fraction in tropical savannas, a moderate fraction in northern Eurasia and the Rocky Mountains, and a low

fraction in arid regions due to low fuel availability and in humid forests due to low fuel combustibility (Fig. 1b). The global spatial pattern of burned area in CLM4.5 is similar to that in Mouillot and Field (2005).

3 Impact of fire on the net carbon balance of global terrestrial ecosystems (NEE)

10 3.1 Total effect of fire

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The 1900–1999 average of global NEE is -0.1 PgCyr^{-1} for the fire-on simulation and -1.1 PgCyr^{-1} for the fire-off simulation, respectively (Table 2). Their difference (fire-on – fire-off) is 1.0 PgCyr^{-1} , which can pass the Student's *t* test at the 0.05 significance level, indicating that fire significantly decreases the net land carbon sink averaged across the 20th century. The simulated fire effect for 1960–1999 (0.8 PgCyr^{-1}) is ~ 10% of anthropogenic emissions for the same period (Le Quéré et al., 2013).

Figure 2 shows the time series of annual NEE in fire-on and fire-off simulations and their difference. As shown in Fig. 2, annual NEE in the fire-on simulation fluctuates around zero prior to \sim 1970, while often shows a negative value (i.e. land is carbon sink) during the following three decades. The temporal pattern of NEE during the 20th

- ²⁰ sink) during the following three decades. The temporal pattern of NEE during the 20th century in CLM4.5 is similar to that simulated by the global process-based vegetation model ORCHIDEE with the sub-model of vegetation dynamics turned off (Piao et al., 2009). Fire increases annual NEE for the whole period. Long-term trend in fire effect has a shift around 1970. The fire effect declines before 1971 with a linear trend of 25 -8 TgCyr⁻¹ and increases since 1972 with a linear trend of 18 TgCyr⁻¹ (Tg = 10¹² g).
 - Both trends are significant at the 0.05 level.





As shown in Fig. 3, the difference in the average of annual NEE is generally positive in post-fire regions. The difference is significant over tropical savannas mainly due to high burned area fraction and some North American and East Asian forests mainly due to high carbon storage.

- NEE is the change of ecosystem carbon storage during a period. Fire increases the 20th century average of annual NEE (i.e. decreases the net carbon gain of land) (Table 2 and Fig. 3), indicating that the 100 yr average of ecosystem carbon storage in the fire-on simulation is smaller than that in the fire-off simulation. This can be supported by the site-level field observations from San Jose et al. (1998), Tilman et al. (2000), Net Storage (2000), Net Storage
- ¹⁰ Shackleton and Scholes (2000), Wang et al. (2001), and Irvine et al. (2007), which reported that ecosystem carbon pools in burned stands were smaller than those in unburned stands on various timescales (from less than to many times of normal fire return intervals, from several years to more than one hundred years) and for various ecosystems (savanna and forests). Moreover, our results are consistent with Bond-
- Lamberty et al. (2007) which showed that fire decreased NBP (i.e., increase NEE) for 1948–2005 in central Canadian boreal forest based on the process-based ecosystem model Biome-BGC. In addition, as shown in Fig. 2, the difference of NEE between the fire-on and fire-off simulations (fire-on – fire-off) is always positive, meaning that ecosystem carbon storage in the fire-off simulation increases with fire-exclusion time compared with the fire-on simulation in agroement with the field observations of San
- ²⁰ compared with the fire-on simulation, in agreement with the field observations of San Jose et al. (1998) that ecosystem carbon pools increased with years after savanna protection.

3.2 Direct and indirect effects of fire

In this section, in order to investigate the mechanisms concerning the effect of fire on NEE, fire effect is further separated into two parts: the direct effect in Sect. 3.2.1 and the indirect effect in Sect. 3.2.2.





3.2.1 Direct effect of fire

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The 20th century average of fire carbon emissions (i.e., the fire direct effect) is 1.9 PgCyr^{-1} (Table 2). Our estimate is higher than Ward et al. (2012) (~ 1.7 PgCyr^{-1}), but lower than Mouillot et al. (2006) (~ 2.5 PgCyr^{-1}). Ward et al. (2012)/Mouillot et al. (2006) under/overestimated, respectively, contemporary fire carbon emissions compared with GFED3, suggesting that they may under/overestimate the average across the 20th century.

Global fire carbon emissions do not show an obvious long-term trend before ~ 1970, but do present a significant upward trend of 22 Tg C yr^{-1} for the three following decades (Fig. 4a). The different trend between fire carbon emissions and burned area shown in Fig. 1a is mainly because fuel load in the majority of regions likely to burn (defined here as grid cells with simulated 1900–1999 average burned area faction no lower than $0.01 \% \text{ yr}^{-1}$) and tropical deforestation fires increase with time during the 20th century. The upward trends of fuel load in the majority of regions likely to burn in CLM4.5 are

- ¹⁵ generally stronger than or contrary to the trends in CLM4 due to the updates of canopy process and soil biogeochemistry process in CLM4.5 (Koven et al., 2013). The weak long-term trend in fire carbon emissions before ~ 1970 is similar to earlier estimates from GICC (Mieville et al., 2010), Kloster et al. (2010), and Ward et al. (2012), falls into the likely range of long-term trend from Mouillot et al. (2006), and is in the range
- of trends shown in earlier reconstructions based on charcoal records (Marlon et al., 2008, 2013), CO records in Antarctic ice core (Wang et al., 2010; Prentice, 2010), CH₄ records in Antarctic ice core (Ferretti et al., 2005), and a global numerical model (van der Werf et al., 2013). The reconstructions based on the charcoal records and the CO records show a clear downward trend, contrary to that shown in the last two
 reconstructions. The significant upward trend of fire carbon emissions in CLM4.5 since ~ 1970 is consistent with Mouillot et al. (2006), RETRO (Schultz et al., 2008), GICC
 - (Mieville et al., 2010), and Kloster et al. (2010).





CLM4.5 simulates the high carbon emissions in tropical savannas in Africa, South America, and South Asia, the moderate carbon emissions in Canada and around 50° N in Eurasia, and the low emissions in desert, frozen soil regions, and the core of tropical closed forests (Fig. 4b). The spatial pattern is similar to that of GICC (Mieville et al., 2010).

3.2.2 Indirect effect of fire

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As shown in Table 2, the indirect effect of fire (i.e., the difference in $-NEP + C_{lh}$) increases the land carbon sink by 0.9 PgCyr^{-1} (fire-on: -2.0 PgCyr^{-1} ; fire-off: -1.1 PgCyr^{-1}), which offsets 42% of fire carbon emissions. The fire indirect effect is primarily driven by the influence of fire on NEP. Fire increases NEP by 0.8 PgCyr^{-1} (fire-on: 3.0 PgCyr^{-1} ; fire-off: 2.3 PgCyr^{-1}) and decreases C_{lh} by 0.1 PgCyr^{-1} (fire-on: 1.0 PgCyr^{-1} ; fire-off: 1.1 PgCyr^{-1}). The differences between fire-on and fire-off simulations for $-NEP + C_{lh}$ and NEP are significant at the 0.05 level.

As shown in Fig. 5, the differences in $-NEP + C_{lh}$, NEP, and C_{lh} increase with time ¹⁵ during the 20th century. The fire indirect effect has an upward trend of 7 Tg C yr^{-1} . Linear trends of the difference between fire-on and fire-off simulations are 5 and -2 Tg C yr^{-1} for NEP and C_{lh} , respectively. All of the three trends are significant at the 0.05 level. Unlike the burned area (Fig. 1a) and fire carbon emissions (Fig. 4a), the indirect effect of fire and the impact of fire on NEP and C_{lh} do not show a shift in their long-term trends, implying that they mainly correspond to the growing difference in fire history between the fire-on and fire-off simulations.

As shown in Fig. 6, fire generally increases the average NEP in post-fire regions. Its spatial pattern is similar to that of fire carbon emissions shown in Fig. 4b, but with smaller magnitude. Moreover, fire decreases $C_{\rm lh}$ in post-fire regions where the land

cover changed. Both the effects of fire on NEP and C_{lh} generally contribute to the land carbon sink, so their total effects (i.e., the fire indirect effect) generally increases the land carbon sink in post-fire regions.





That NEP is higher in the fire-on simulation than that in the fire-off simulation can be supported by earlier studies. Based on forest chronosequences, Law et al. (2003), Campbell et al. (2004), Bond-Lamberty et al. (2004), Goulden et al. (2006, 2011), and Amiro et al. (2010) reported that NEP after a fire was higher than its pre-fire value ex-5 cept for a very short period at the beginning of post-fire succession. Their findings were also reproduced by earlier modeling studies (Thornton et al., 2002; Hicke et al., 2003; Bond-Lamberty et al., 2007; Yi et al., 2013; Yue et al., 2013) and are consistent with the ecosystem succession theory (Odum, 1969). In addition, as noted by Houghton et al. (1999, 2000) and Ward et al. (2012), fire maintained a lower ecosystem carbon storage and would decrease land carbon loss if land use occurred. This is also the 10 case in our simulations in which we find a lower land-use carbon loss in the fire-on simulation than that in the fire-off simulation. Fire-induced decrease of land-use carbon loss averages 0.1 Pg Cyr⁻¹ across the 20th century in our present study, which is smaller than the estimate of $\sim 0.2 \text{ PgCyr}^{-1}$ in Ward et al. (2012) because the impact of fire before the 20th century on carbon storage was considered in Ward et al. (2012). 15

Furthermore, to understand the effect of fire on NEP in CLM4.5, we investigate the impact of fire on its components: GPP, Ra, NPP, and Rh. As shown in Table 2, fire decreases the 20th century average of global NPP, Rh, GPP and Ra by 1.9, 2.7, 5.0, and 3.1 PgCyr⁻¹, which are significant at the 0.05 level. The difference in these fluxes between the fire-on and fire-off simulations increases with time, with linear trends of 20 -40, -44, -80, and -40 TgCyr^{-1} for NPP, Rh, GPP, and Ra, respectively (Fig. 7). With respect to spatial patterns, fire generally decreases all the four fluxes in post-fire regions (not shown). Their spatial patterns are similar to that of the impact of fire on NEP (Fig. 6) but with opposite signs. In CLM4.5, fire decreases GPP mainly because fire decreases the grid-cell photosynthesizing leaf area. Less carbon supply due to re-25 duced GPP and less carbon demand due to fire-related decrease of live vegetation tissue contribute to the lower Ra in the fire-on simulation. The lower NPP in the fireon simulation is because NPP is mainly determined by GPP (annual GPP is much greater than annual Ra). The decrease of Rh in post-fire regions is mainly because fire





decreases the C availability for decomposition through reducing carbon input to the terrestrial ecosystems and by burning of litter and CWD. Fire increases NEP because the decrease of NPP due to fire is smaller than the decrease of Rh. This can be supported by earlier studies based on observations (Law et al., 2003; Amiro et al., 2010; Goulden
 et al., 2011) and modeling (Yue et al., 2013) which showed that post-fire recovery of GPP, Ra, and NPP was generally faster than that of Rh.

4 Discussion and conclusions

In this study, we provide the first quantitative assessment regarding the impact of fire on the net carbon balance of global terrestrial ecosystems during the 20th century. The
 global land surface model CLM4.5 is used as the model platform which can overall reproduce the observed global total, temporal variability, and large-scale spatial pattern of present-day fire and carbon fluxes. The difference between fire-on and fire-off CLM4.5 simulations is used to quantify the fire effect. Moreover, the roles of fire's direct (i.e., fire carbon emissions) and indirect (i.e., fire influences the NEP and land-use carbon emissions through changing terrestrial characteristics) effects on the net carbon balance are investigated. Our main findings can be summarized as follows:

- Fire significantly decreases the net carbon gain of global terrestrial ecosystems by 1.0 PgCyr⁻¹ averaged across the 20th century, which is the result of 42 % of fire carbon emissions (1.9 PgCyr⁻¹) offset by the fire indirect effect (-0.9 PgCyr⁻¹).
- Difference in annual global NEE between the fire-on and fire-off simulations (fire-on fire-off) is always positive during the 20th century, because the global fire carbon emissions are always higher than the fire indirect effect. The effect of fire on NEE significantly declines prior to 1971 (trend: -8 Tg C yr⁻¹) and increases since 1972 (trend: 18 Tg C yr⁻¹). The decline prior to ~ 1970 is caused by the increase of the fire indirect effect. The increase since ~ 1970 is due to the significant.





increase of fire carbon emissions, although 32% of the upward trend in the fire carbon emissions is offset by the fire indirect effect.

- Fire generally decreases the carbon gain of terrestrial ecosystems in post-fire regions because spatial patterns of the fire direct and indirect effects are similar and the fire direct effect (decreasing the carbon gain of land) is stronger than the indirect effect (increasing the carbon gain of land). The total and indirect effects are significant over savannas in Africa and South America mainly due to the high burned area fraction and some North American and East Asian forests mainly due to the high terrestrial carbon storage, where the direct effect is also strong.

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Several sources of uncertainty in our estimates are worth noting. First, our simulations before 1948 are forced by cycling 25 yr (1948–1972) atmosphere observations from Qian et al. (2004). The atmosphere observations from Qian et al. (2004) cover 1948–2004 in total. Our simulations before 1948 are therefore only driven by external forcing factors: population density, atmospheric CO₂ concentration, nitrogen and aerosol deposition, land use and land cover change, and wood harvest. This may affect our trend analysis of fire effects.

Second, vegetation distribution in our CLM4.5 simulations is prescribed, although other ecosystem characteristics (e.g., LAI, biomass, and carbon fluxes) are dynamically simulated. Therefore, the effect of fire on net carbon balance through changing
vegetation distribution is not accounted for in our present estimates, as in most earlier site-level and regional modeling studies (Thornton et al., 2002; Law et al., 2003; Hicke et al., 2003; Ward et al., 2012; Yue et al., 2013). Based on a dynamical global vegetation model SDGVM, Bond et al. (2005) showed that global closed forest cover (80–100% tree cover) was 56.4% of vegetated grid cells for the 20th century fire-off simulation, compared with the 26.9% for control simulation. Though Bond et al. (2005)

may substantially overestimate the importance of fire in the adjustment of vegetation distribution due to the modeling bias in grass-tree competition (Scheiter and Higgens, 2009), earlier studies (San Jose et al., 1998; Staver et al., 2011; Murphy and Bow-





man, 2012) reported that fire could limit tree cover in some regions. If the impact of fire on vegetation distribution is considered, the carbon sink may become bigger over some transition zones between woody and herbaceous plants in the fire-off simulation, thereby increasing the estimates of fire effect on the net carbon balance.

- ⁵ Third, CLM4.5 is not coupled with an atmosphere model in the present study, so our estimates do not consider the real-time feedback of fire-induced change in climate, trace gases, and aerosols. Our fire-off simulation shows higher LAI and land carbon sink than the fire-on simulation. If CLM4.5 is coupled with an atmosphere model, the difference between fire-on and fire-off simulations will probably be magnified due to the pecifica coupled in the presidue to an end the difference between fire-on and fire-off simulations will probably be magnified due to
- the positive vegetation-climate feedbacks reported by Charney (1975), Dickinson and Kennedy (1992), Levis et al. (1999, 2004a), Brovkin et al. (2003), Bonan et al. (2008a), and Delire et al. (2011). The positive vegetation-climate feedbacks may also enlarge the fire effect on NEE through changing the terrestrial water and heat states. In the present study, the impact of fire on terrestrial water and heat states is weak and may
- ¹⁵ be suppressed because the same atmospheric forcing is used for the simulations with and without fire. Moreover, earlier studies reported that fire emissions would increase atmospheric CO₂ concentration (Jacobson, 2004; Ward et al., 2012; D. S. Ward, personal communication, 2013). If a lower atmospheric CO₂ concentration is considered in fire-off simulation, terrestrial carbon sink in fire-off simulation and the effect of fire on
- NEE will be decreased according to earlier carbon-concentration studies (Bonan and Levis, 2010; Arora et al., 2013). In addition, the net cooling influence of fire trace gas and aerosol emissions reported by Randerson et al. (2006) and Ward et al. (2012) may reduce the fire effects on NEE, given that cooling can increase terrestrial carbon sink through reducing ecosystem respiration (Bonan, 2008b).
- The last two limitations in our estimates could be solved by using a dynamic global vegetation model (DGVM) and coupling with an atmosphere model. However, explaining these simulation results will be challenging because biases in DGVMs and atmosphere models will be inevitably introduced in and may be enlarged by positive vegetation–climate feedbacks (Bonan and Levis, 2006). In particular, current DGVMs have





difficulty in reproducing the transition zones between woody and herbaceous plants, which are the key regions for the impact of fire on vegetation distribution, though they are good at capturing the central regions of major vegetation categories (Sitch et al., 2003; Levis et al., 2004b; Bond et al., 2004; Castillo et al., 2012; Zeng et al., 2013).

- Also, existing atmosphere models still have large biases and uncertainties in simulating precipitation over land (Dai et al., 2006; Hegerl, et al., 2007; Joetzjer et al., 2013; Kumar et al., 2013). Precipitation over land is an important variable for the simulation of vegetation, carbon, and fire. In addition, so far, DGVMs have been not able to co-work with land use data yet, and many earlier studies already justified the importance of
 land use in global carbon cycle (Houghton et al., 1999; Denman et al., 2007; Lawrence et al., 2012). If a DGVM is used as model platform, there will be bias in the simulation
- et al., 2012). If a DGVM is used as model platform, there will be bias in the simulation of net carbon balance because land use will not be taken into account.

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Table 1. Comparison between CLM4.5 simulations and benchmarks for burned area, fire carbon emissions, net ecosystem exchange (NEE, a negative value indicates a land uptake of carbon), gross primary production (GPP), and net primary production (NPP). Statistics include the average (Avg), temporal correlation of annual global total between CLM4.5 and benchmarks (T-Cor), and global spatial correlation of multi-year average between CLM4.5 and benchmarks with a spatial resolution of 1.9° (lat) × 2.5° (lon) (S-Cor) during the common periods of simulations and benchmarks. Units of Avg are Mhayr⁻¹ for burned area and PgCyr⁻¹ for fire carbon emissions, NEE, GPP and NPP, where Pg = 10^{15} g.

Variables	Period	Statistics	CLM4.5	Benchmarks	Source for Benchmarks	
Burned area	1997–2004	Avg T-Cor S-Cor ^a	322	380 0.63 ^b 0.71 ^b	GFED3 (Giglio et al., 2010;	
Fire carbon emissions	1997–2004	Avg T-Cor S-Cor	2.1	2.1 0.91 ^b 0.50 ^b	van der Werf et al., 2010)	
NEE	1990s	Avg	-0.8	-1.0 ± 0.6 -1.1 ± 0.9	CC AR4 (Denman et al., 2007) CC AR5 (Ciais et al., 2013)	
	1988–2004	T-Cor		0.74 ^b	LSCE (Chevallier et al., 2010)	
	1981–2004	T-Cor		0.75 ^b	MPI-BGC Jena v3.5 (Röedenbeck et al., 2006; C. Röedenbeck, personal communication, 2013)	
GPP	1982–2004	Avg T-Cor S-Cor	127	122 0.38 ^c 0.90 ^b	Jung et al. (2011); M. Jung (personal communication, 2013)	
	2000–2004	Avg T-Cor S-Cor	130	110 0.87 ^b 0.88 ^b	Zhao et al. (2005);	
NPP	2000–2004	Avg T-Cor S-Cor	54	54 0.75 ^b 0.81 ^b	Zhao and Running (2010)	

^a Burned area fraction rather than burned area are used.

^b Pearson correlation passed the Student's *t* test at the α = 0.05 significance level.

^c Pearson correlation passed the Student's *t* test at the $\alpha = 0.1$ significance level.





Table 2. The 20th century average of global annual NEE, fire carbon emissions, net ecosystem production (NEP), NPP, heterotrophic respiration (Rh), GPP, autotrophic respiration (Ra), and carbon loss due to land use and wood harvest (C_{lh}), and $-NEP + C_{lh}$ in fire-on and fire-off simulations and their difference (fire-on – fire-off) in the two simulations. Fire direct and indirect effects on the mean of terrestrial carbon balance are quantified by the difference in fire carbon emissions and $-NEP + C_{lh}$, respectively. Units are PgCyr⁻¹. In CLM4.5, NEE = $-NEP + C_{lh} + fire$ carbon emissions, where NEP = NPP – Rh and NPP = GPP – Ra.

Variables	Fire-on – fire-off	Fire-on	Fire-off
NEE	1.0*	-0.1	-1.1
Fire carbon emissions	1.9*	1.9	0.0
-NEP + C _{lh}	-0.9*	-2.0	-1.1
NEP	0.8*	3.0	2.3
NPP	-1.9 [*]	49.6	51.6
Rh	-2.7*	46.6	49.3
GPP	-5.0^{*}	118.9	123.9
Ra	-3.1*	69.3	72.4
C _{lh}	-0.1	1.0	1.1

* Difference in the means between fire-on and fire-off simulations passed the Student's *t* test at α = 0.05 significance level.



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Fig. 1. (a) 1900–1999 historical variability of global total of annual burned area and (b) global spatial distribution of 1900-1999 average annual burned area fraction.



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Fig. 3. Difference (fire-on - fire-off) in the 1900-1999 average NEE from fire-on and fire-off simulations. Difference passed the Student's t test at the α = 0.05 significance level is stippled. Unit is $gCm^{-2}yr^{-1}$.



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Fig. 4. Same as Fig. 1, but for fire carbon emissions.



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Fig. 5. (a) Fire indirect impact on NEE; **(b)** NEP and **(c)** C_{lh} in fire-on and fire-off simulations and their differences. Unit is PgCyr⁻¹.







Fig. 6. Difference (fire-on – fire-off) in the average of annual –NEP + C_{lh} , NEP, C_{lh} , for 1900–1999. The difference for –NEP + C_{lh} represents fire indirect effect. Difference in average with the Student's *t* test at $\alpha = 0.05$ significance level is stippled. Unit is g C m⁻² yr⁻¹.







Fig. 7. Same as the Fig. 5b and c, but for (a) NPP, (b) Rh, (c) GPP, (d) Ra.



