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# A neural network-based estimate of the seasonal to inter-annual variability of the Atlantic Ocean carbon sink

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# Abstract

The Atlantic Ocean is one of the most important sinks for atmospheric carbon dioxide  $(CO_2)$ , but this sink is known to vary substantially in time. Here we use surface ocean  $CO_2$  observations to estimate this sink and the temporal variability from 1998 to 2007 in

- <sup>5</sup> the Atlantic Ocean. We benefit from (i) a continuous improvement of the observations, i.e., the Surface Ocean CO<sub>2</sub> Atlas (SOCAT) v1.5 database and (ii) a newly developed technique to interpolate the observations in space and time. In particular, we use a 2 step neural network approach to reconstruct basin-wide monthly maps of the sea surface partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) at a resolution of 1° × 1°. From those, we compute
- <sup>10</sup> the air–sea CO<sub>2</sub> flux maps using a standard gas exchange parameterization and highresolution wind speeds. The neural networks fit the observed  $pCO_2$  data with a root mean square error (RMSE) of about 10 µatm and with almost no bias. A check against independent time series data reveals a larger RMSE of about 17 µatm. We estimate a decadal mean uptake flux of  $-0.45\pm0.15 \text{ PgCyr}^{-1}$  for the Atlantic between 44° S and
- <sup>15</sup> 79° N, representing the sum of a strong uptake north of 18° N ( $-0.39 \pm 0.10 \text{ PgC yr}^{-1}$ ), outgassing in the tropics (18° S–18° N, 0.11 ± 0.07 PgC yr<sup>-1</sup>), and uptake in the subtropical/temperate South Atlantic south of 18° S ( $-0.16 \pm 0.06 \text{ PgC yr}^{-1}$ ), consistent with recent studies. We find the strongest seasonal variability of the CO<sub>2</sub> flux in the temperature driven subtropical North Atlantic, with uptake in winter and outgassing
- <sup>20</sup> in summer. The seasonal cycle is antiphased in the subpolar latitudes relative to the subtropics largely as a result of the biologically driven winter-to-summer drawdown of CO<sub>2</sub>. Over the analysis period (1998 to 2007) sea surface  $pCO_2$  increased faster than that of the atmosphere in large areas poleward of 40° N, but many other parts of the North Atlantic increased more slowly, resulting in a barely changing Atlantic car-
- <sup>25</sup> bon sink north of the equator  $(-0.007 \text{ PgCyr}^{-1} \text{ decade}^{-1})$ . Surface ocean  $pCO_2$  was also increasing less than that of the atmosphere over most of the Atlantic south of the equator, leading to a substantial trend toward a stronger  $CO_2$  sink for the entire South

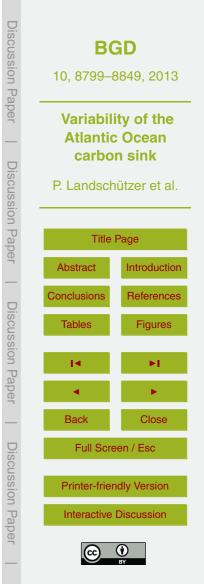


Atlantic ( $-0.14 \text{ PgCyr}^{-1} \text{ decade}^{-1}$ ). The Atlantic carbon sink varies relatively little on inter-annual time-scales ( $\pm 0.04 \text{ PgCyr}^{-1}$ ;  $1\sigma$ ).

#### 1 Introduction

- Over the last two decades, the Atlantic Ocean (44° S to 79° N and west of 19° E) has taken up about 0.49 ± 0.11 PgCyr<sup>-1</sup> on average, with about half of it being driven by the uptake of anthropogenic CO<sub>2</sub>, while the other half represents an uptake flux of natural CO<sub>2</sub> (Schuster et al., 2013; Gruber et al., 2009). This makes the Atlantic Ocean one of the most important sinks for atmospheric CO<sub>2</sub>, and especially for anthropogenic CO<sub>2</sub> (Sabine et al., 2004; Mikaloff Fletcher et al., 2006, 2007; Gruber et al., 2009).
  The Atlantic sink estimate was taken from a recent Regional Carbon Cycle Assessment and Processes (RECCAP) synthesis by Schuster et al. (2013) where the authors reviewed different methodologies to estimate the air–sea CO<sub>2</sub> fluxes and provided a "best" estimate. The methods included estimates derived (i) using ocean surface par-
- tial pressure of  $CO_2$  ( $pCO_2$ ) measurements (Takahashi et al., 2009), (ii) from ocean general circulation models that include a full representation of the oceanic carbon cycle (e.g. Le Quéré et al., 2007; Graven et al., 2012; Doney et al., 2009), (iii) inversions of ocean interior carbon measurements (e.g. Gruber et al., 2009) and (iv) from inversions of atmospheric  $CO_2$  (e.g. Gurney et al., 2008). For the "best" estimate, only the observationally based estimates were used, however, i.e., those relying on  $pCO_2$  observations and those derived from the ocean interior carbon observations through an inversion approach. These methods gave rather similar long-term mean values for the whole basin, although with substantial sub-basin differences.

The Atlantic Ocean sink varies substantially by season, which is in part driven by the seasonal variations in surface ocean  $pCO_2$  (Takahashi et al., 1993, 2002; Schuster et al., 2013), but the sink strength is also affected by seasonal variations in surface ocean winds and atmospheric  $CO_2$ . Surface ocean  $pCO_2$  varies over a wide range above and below the atmospheric  $pCO_2$ , with much of the seasonal

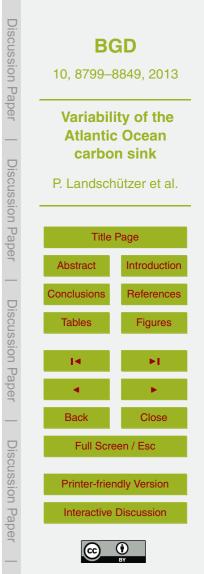


amplitudedominated by temperature in the subtropical regions in both hemispheres (Gruber et al., 2002; Takahashi et al., 2002; Sarmiento and Gruber, 2006), explaining the summer maximum in surface ocean  $pCO_2$ . In contrast, biological processes acting in synergy with ocean mixing and circulation dominates the seasonal  $pCO_2$  cycle

in equatorial and high latitude regions (poleward of 40°) (Takahashi et al., 1993; Bennington et al., 2009), explaining the summer minimum in oceanic *p*CO<sub>2</sub>. Due to their opposite phasing, these two drivers cancel each other out along the regime boundaries at around 40° (Takahashi et al., 2002), leading to a minimum in the seasonal amplitude there. Schuster et al. (2013) identified a broad agreement among independent seasonal flux estimates in the temperature driven subtropics, but not elsewhere.

Long term trends and inter-annual variability of the Atlantic carbon sink represent a source of substantial disagreement between the different methodologies and studies. Using surface ocean  $pCO_2$  observations, Schuster and Watson (2007) argued for a decrease in the North Atlantic carbon sink and a reduction in the seasonal ampli-

- <sup>15</sup> tude in both the subtropical and temperate North Atlantic from the mid-1990s to the mid-2000s. They linked this reduction to the large changes that occurred in the climate mode of the North Atlantic over this period, i.e., a shift of the North Atlantic Oscillation (NAO) from very positive phases in the early 1990s to negative and near-zero phases in the mid-2000s. Corbière et al. (2007) supported this conclusion on the basis of their
- <sup>20</sup> observations from the subpolar gyre over the 1993 to 2003 period, pointing out that the larger than expected increase in the observed  $pCO_2$  is mainly a result of rapid warming. Further support for a decreasing North Atlantic sink comes from Lefèvre et al. (2004), Lüger et al. (2006), Olsen et al. (2006), and Schuster et al. (2009), although each study analyzed different regions and periods and also used different methods to determine
- trends. Schuster et al. (2009), for example, analysed data from 1990 until 2006 in the eastern subpolar gyre and throughout most of the central North Atlantic, while Olsen et al. (2006) only focused on the Nordic Seas, but looked at a more extended period, i.e., from 1981 until 2002/2003.

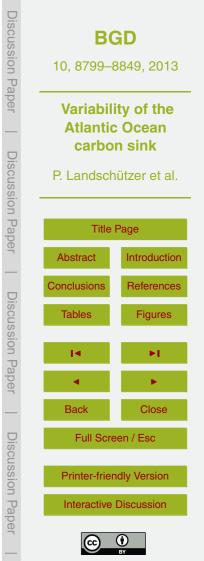


Based on the results of a global ocean biogeochemistry model, Thomas et al. (2008) argued that this trend toward a smaller North Atlantic sink is transitory and is expected to rebound in the near-term future, i.e. that this decrease is part of a "natural" fluctuation and should not be interpreted as a signal of anthropogenic climate change. They

interpreted the decline in the sink strength to be the result of a NAO-driven reduction in the transport of water by the North Atlantic Current into the eastern subpolar gyre. In a contrasting modeling study, Ullman et al. (2009) argued that the North Atlantic carbon sink actually increased from the mid-1990s to the mid-2000s. They proposed that the declining trend in the NAO from the early to mid-1990s until the mid-2000s led to
 reduced convective mixing in the subpolar gyre, counteracting the impact of warming.

The initial year and period of data analyzed for trends are crucial aspects to consider when resolving different perspectives (Gruber, 2009). McKinley et al. (2011) pointed out that when the surface ocean trends in  $pCO_2$  are analysed over more than 25 yr, all regions in the North Atlantic exhibit trends that are not statistically different from the <sup>15</sup> trend in atmospheric  $CO_2$ , implying no change in the sink/source strength. However, when the periods of analyses were shortened to 10 yr and the beginning and ends shifted, substantial trends emerged, largely reflecting inter-annual to decadal timescale variability.

A major challenge in detecting trends in the Atlantic Ocean carbon sink is due to the highly heterogeneous distribution of the surface ocean *p*CO<sub>2</sub> observations in time and space. Different approaches have been employed to overcome this limitation and to create basin or sub-basin wide estimates. These include the binning of data to 4° × 5° bins in latitude and longitude followed by an advection-based interpolation method (Takahashi et al., 1999, 2003, 2009), binning of data to large-scale biogeochemical provinces (McKinley et al., 2011), multi-linear regression models (e.g. Chierici et al., 2009; Peng and Wanninkhof, 2010), and neural network-based methods (e.g. Lefèvre et al., 2005; Friedrich and Oschlies, 2009; Telszewski et al., 2009). Each of these approaches has its strengths and weaknesses. For example, the binning and interpolation scheme employed by Takahashi et al. (1999) is well suited for constraining



monthly climatologies, however, its coarse resolution tends to smooth out small-scale features. However, the method is very robust and it is not sensitive to outliers. The binning to large-scale biogeochemical provinces works well to determine long-term trends (McKinley et al., 2011), but its resolution is even more coarse. The multi-linear regression models allow very finely resolved estimates, however, the explained variance in these statistical models is often relatively low, causing substantial uncertainties in the estimated fields.

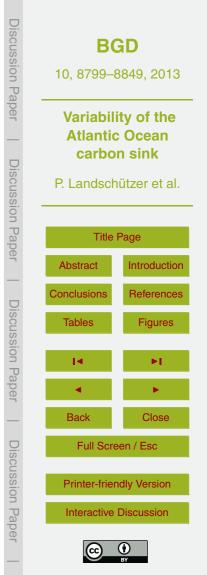
Here, we overcome most of these limitations by presenting a new neural networkbased approach, which determines the non-linear relationships between the surface 10 ocean  $pCO_2$  observations and a set of independent observations to produce basinwide sea surface maps of  $pCO_2$  on a monthly basis. Our network gathers information from similar ocean biogeochemical regimes and provides us with regional estimates, which we use to investigate the changing distribution of the sea surface  $pCO_2$  in the Atlantic Ocean. We benefit from the recent publication of the Surface Ocean  $CO_2$  Atlas

- <sup>15</sup> (SOCAT), which provides the to-date largest a global data set of surface ocean fugacity of CO<sub>2</sub> observations (Pfeil et al., 2013; Sabine et al., 2013), which we converted to  $pCO_2$ . We validate our results using independent data from time series stations and we show that our results are in good agreement with these observations. Our basinwide  $pCO_2$  maps provide a basis to calculate the air-sea fluxes for the entire Atlantic and to quantify the seasonal to inter-annual variability in its sink strength
- <sup>20</sup> and to quantify the seasonal to inter-annual variability in its sink strength.

#### 2 Data and methods

We combine two neural network methods to reconstruct the sea-surface partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) for the period 1998 to 2007 on a monthly 1° × 1° resolution. In particular, we use a two stage approach to establish numerical relationships between

pCO<sub>2</sub> and a suite of independent predictors that are known to drive its variability. In the first stage, we use a neural network clustering algorithm to define a discrete set of biogeochemical provinces that share a common relationship between the independent



variables and  $pCO_2$ . In the second stage, we derive for each biogeochemical province a non-linear and continuous relationship between  $pCO_2$  and the input parameters on the basis of a feed-forward network (FFN) method. This input-output relationship is then used to estimate surface ocean  $pCO_2$  for each month and each pixel. For both

- stages we use the global data sets, as this permits us to take advantage of the fact that biogeochemical provinces with limited coverage in a particular ocean basin can learn from observations in the same biogeochemical province in another ocean basin. Here, we evaluate and discuss only the results for the Atlantic Ocean. The resulting surface ocean  $pCO_2$  distribution is then combined with corresponding atmospheric  $pCO_2$  data
- <sup>10</sup> and wind-speed based estimates of the gas transfer velocity to construct the mean and variability of the Atlantic Ocean carbon sink from 1998 to 2007.

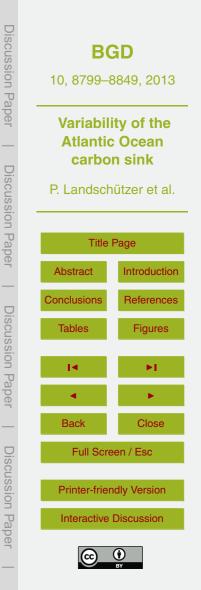
#### 2.1 Data

The gridded observations of the surface ocean fugacity of  $CO_2$  ( $fCO_2$ ) from the Surface Ocean  $CO_2$  Atlas (SOCAT) Version 1.5 (Sabine et al., 2013) form the basis for our computations. This data set includes global observations over the period 1970 to 2007 and was homogenized by an extensive series of automatic and manual secondary quality controls (Pfeil et al., 2013). The  $fCO_2$  data distribution in the Atlantic Ocean in time and space is highly skewed. The number of  $1^\circ \times 1^\circ$  pixels with  $fCO_2$  measurements per year varies from as low as ~ 180 per year in 1999 and 2000 to over 4000 in 2006 and 2007. The last two years together account for about 40 % of all measurements.

The global data in the other ocean basins are more homogeneously distributed in time. In contrast, the Atlantic has a good spatial coverage, while this is not the case for many of the other ocean basins.

The reported  $fCO_2$  estimates were converted to  $pCO_2$  using the formulation (see e.g. Körtzinger, 1999):

$$pCO_2 = fCO_2 \cdot \exp\left(P_{atm}^{surf} \frac{B + 2 \cdot \delta}{R \cdot T}\right)^{-1}$$



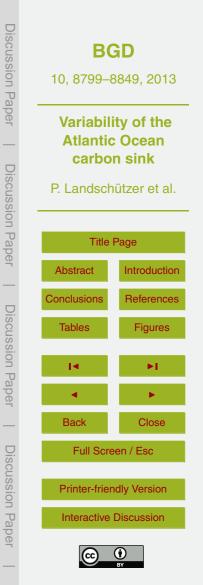
(1)

where  $P_{\text{atm}}^{\text{surf}}$  is the total atmospheric surface pressure, *B* and  $\delta$  are viral coefficients (Weiss, 1974), *R* is the gas constant and *T* is the absolute temperature. National Centers for Environmental Prediction (NCEP) monthly mean sea level pressure was used for  $P_{\text{atm}}^{\text{surf}}$  (Kalnay et al., 1996).

- <sup>5</sup> A crucial choice concerns the selection of the independent input variables used for the training of the networks. We chose sea surface temperature (SST), chlorophyll *a* concentration (CHL), ocean mixed layer depth (MLD), sea surface salinity (SSS) and the atmospheric CO<sub>2</sub> mole fraction (xCO<sub>2,atm</sub>). These parameters represent physical, chemical and biological proxies determining the distribution of pCO<sub>2</sub> in the sea
- <sup>10</sup> surface layer. For SST, we use the National Oceanic and Atmospheric Administration (NOAA) Optimum Interpolation (OI) sea surface temperature v.2 (Reynolds et al., 2002), for CHL the SeaWiFS mapped chlorophyll (SeaWiFSProject, http://oceancolor. gsfc.nasa.gov/cgi/l3), for MLD the mixed layer depth data from the Estimating the Circulation and Climate of the Ocean, Phase II (ECCO2) project (Menemenlis et al., 2008),
- <sup>15</sup> for SSS the Simple Ocean Data Assimilation (SODA) sea surface salinity data (Carton and Giese, 2008) and for  $xCO_{2,atm}$  the monthly atmospheric  $CO_2$  from GLOBALVIEW-CO2 (2011). Furthermore, the monthly  $pCO_2$  climatology of Takahashi et al. (2009) is used as an additional input parameter for defining the biogeochemical provinces. Due to their strongly skewed distribution, mixed layer depth (MLD) and chlorophyll *a* (CHL) were log-transformed before use as predictor values.

Our analysis is restricted to the time period from 1998 to 2007 due to the temporal limitations of the data. No satellite chlorophyll data are available before the 1997 launch of the SeaWiFS mission, and the  $CO_2$  observations in SOCAT v1.5 extend to the year 2007.

<sup>25</sup> Data with an original resolution finer than the required 1° × 1° were binned onto the desired grid (by averaging over every data point within the new bin), whereas input data with a coarser resolution were interpolated using a bilinear interpolation. We further took monthly averages of all inputs with a finer temporal resolution.



After co-locating the input variables to the same points in time and space, they are organized as input vectors ( $p_n$ ) and the corresponding  $pCO_2$  observations are organized as corresponding targets (t). Every vector consists of data points at the same geographical location on a 1° × 1° grid at the same point in time. Input vectors with <sup>5</sup> empty vector elements were removed from the datasets.

To highlight anomalies and year-to-year trends within our data sets, we further produced de-seasonalised sets of our input variables by removing their long-term mean seasonal cycle from 1990 to 2010 (1998 to 2010 in the case of chlorophyll *a* and 1992 to 2010 in case of MLD and SSS) from the original dataset.

<sup>10</sup> Where no chlorophyll *a* satellite data are available, due to cloud cover, we estimate the sea surface  $pCO_2$  only with the remaining input parameters.

Independent timeseries data to validate our results are derived from the combined record from BATS (Bermuda Atlantic Time Series Station) and Hydrostation "S" (Bates, 2007; Gruber et al., 2002) and the European Station for Time Series in the Ocean (ESTOC) (e.g. González-Dávila et al., 2007).

(ESTOC) (e.g. Gonzalez-Davila et al.,

#### 2.2 Methods

We use a self-organizing map (SOM) method (Kohonen, 1987, 2001) to partition the global ocean into 16 regimes of similar patterns, i.e., biogeochemical provinces. The choice of 16 provinces represents a subjectively determined optimum between too many regions with too little data and a high degree of correlation between the provinces, and too few regions with a lot of data, but too high variance in the data. The monthly SST, log(MLD), SSS, and climatological  $pCO_2$  data of Takahashi et al. (2009) were used as input for the SOM (see Table 1). We chose not to include chlorophyll, i.e., log(CHL), due to missing values from cloud cover. Details on the SOM method can be found in the Appendix.

Despite their strong seasonal dynamics in space (Fig. 1a) and time (Fig. 1b), the estimated biogeochemical provinces exhibit a coherent large-scale behavior, reflecting



the well known oceanic structures such as the gyres, the equatorial regions, and the high-latitude North Atlantic.

As a second step we use a feed-forward network (FFN) method to reconstruct the non-linear relationship between our input variables and the target, i.e.,  $pCO_2$ , sepa-

- <sup>5</sup> rately for each of the 16 biogeochemical provinces. The FFN method is a type of backpropagation network method that is capable of approximating any function with a finite number of discontinuities (Demuth et al., 2008). The established relationship is further used to predict the  $pCO_2$  for each point in time and space where no observations are available.
- <sup>10</sup> The feed-forward network was trained with the FINP dataset that included all input variables including their deseasonalised representation (see Table 1). To this end the dataset was split into the 16 ocean provinces (FINP<sup>*k*</sup>, FINP2<sup>*k*</sup>, with k = 1, ..., 16) and each of them was processed separately. Due to the temporal and spatial variability of the regimes and the heterogeneous distribution of the  $pCO_2$  data, large differences <sup>15</sup> exist in the number of observations within the different provinces. Details on the settings

used for the FFN can be found in the Appendix.

The air–sea flux was then calculated from the estimated  $pCO_2$  for each grid cell and month, using the quadratic windspeed dependence of the gas transfer velocity of Wanninkhof (1992) with the gas transfer coefficient from Sweeney et al. (2007) and monthly mean windspeeds from the Cross-Calibrated Multi-Platform (CCMP) product

by Atlas et al. (2011). More details are provided in the Appendix.

### 3 Results

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# 3.1 Residuals and validation

The combined SOM-FFN method obtains very good fits to the data with an overall mean  $r^2$  between the fitted  $pCO_2$  and the gridded Atlantic Ocean SOCAT v1.5 data of 0.87 and a root mean squared error (RMSE) of about 10 µatm (Table 2). The overall



bias is small  $(-0.10 \,\mu atm)$ . These results apply also to each year individually, indicating that the temporally inhomogeneous data distribution does not have a measurable effect on the estimates for each year.

The residuals are not entirely randomly distributed in space. As shown in Fig. 2a, the temporal mean residuals in each pixel show generally low values in open ocean region, but tend to increase toward the fronts. The strongest model-observation discrepancies occur in the equatorial Atlantic, along the Gulf Stream and North Atlantic Current as well as in the Norwegian, Greenland and the North Seas, i.e., mostly in regions with relatively strong horizontal gradients in surface ocean  $pCO_2$ .

<sup>10</sup> The standard deviation of the residuals (Fig. 2b) shows that the strongest temporal errors occur again in the high latitudes of the North Atlantic, in particular the Norwegian and North Sea, as well as along the North American coastline and in the eastern South Atlantic between 0 and 30° S. This indicates that the model input parameters are not able to predict all the temporal variability occurring in these regions with known <sup>15</sup> biogeochemical complexity.

The residuals are independent of the magnitude of the estimated  $pCO_2$ , and also do not show any dependence on the magnitude of the independent variables (Fig. 3). Each bin mean of the residuals is close to zero, with the strongest spreading occurring in the low  $pCO_2$  areas around 275 µatm which coincides with low SST at around 5 °C and high log(CHL) concentrations at around -0.25 to  $1.25 \text{ mgm}^{-3}$ .

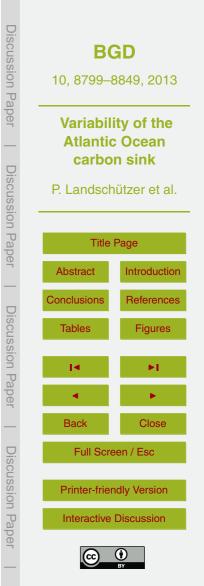
In conclusion, the residuals indicate that the combined SOM-FFN method fulfils most tests for a good fit and does not contain any major hidden biases. In particular, there is no indication of a substantial degeneration of the fits as a function of data density, neither in time nor in space. Regions with high spatial or temporal variability are the least well fitted, while the fits for most of the open ocean are very good.

# 3.2 Validation with independent observations

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A second check on how well the model is able to generalize is to validate the outputs against independent data. To this end, we compare the network-based  $pCO_2$  estimates



with observations from two timeseries stations in the subtropical North Atlantic, i.e., the combined record from BATS (Bermuda Atlantic Time Series Station) and Hydrostation "S" (Bates, 2007; Gruber et al., 2002) which is located in the northwestern Sargasso Sea near Bermuda ( $32^{\circ}10' N$ ,  $64^{\circ}30' W$ ) and the European Station for Time Series

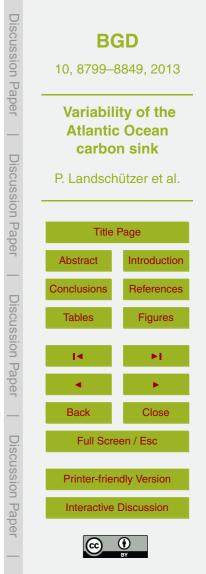
 in the Ocean (ESTOC) (e.g. González-Dávila et al., 2007) located in the eastern subtropical gyre near the Canary Islands (29.04° N, 15.5° W). These stations provide near monthly coverage over the time period estimated by the model. As we do not have estimates centred at the exact geographical position of both timeseries stations, we interpolate the 4 closest surrounding grid-boxes, weighted by their distance, to compare
 our results.

Figure 4 shows the comparison between the neural network estimates with both timeseries for the period between 1998 to 2007 and additionally the mean seasonal cycle within this period. While the mean seasonal cycle is captured fairly well, Fig. 4 shows that the neural network estimates in general underestimate the winter minima at Bermuda from January to April and the autumn maxima at ESTOC from August to

at Bermuda from January to April and the autumn maxima at ESTOC from August to November. This underestimation of the seasonal amplitude is consistent with previous neural network studies (e.g. Telszewski et al., 2009). The neural network estimates further show a decrease in the summer sea surface *p*CO<sub>2</sub> from 2005 onwards which is not seen in the ESTOC data. The decadal mean difference between BATS data
 and neural network estimates is 7.56 µatm with a root mean squared error (RMSE) of 17.53 µatm. Similar to BATS, the decadal mean difference between the estimates in this study and the ESTOC data is -8.06 µatm with an RMSE of 16.85 µatm.

#### 3.3 Uncertainty of the air-sea flux

The uncertainty of the flux product stems from the error in the estimated  $\Delta p CO_2$  and the uncertainty of the gas transfer coefficient (Takahashi et al., 2009). We estimate this uncertainty for the integrated flux over the 4 considered RECCAP/Ocean Inversion regions (see Table 3 for region boarders) rather than for each 1° × 1° grid-cell.



The  $\Delta pCO_2$  estimate is subject to two main sources of errors, i.e., the error derived from discretizing the original observations into 1° × 1° bins and the error of the neural network method to interpolate the data in time and space. For the discretizing error we use a value of 5 µatm as reported by Sabine et al. (2013), while we adopt our RMSE value of 9.89 µatm (see Table 2) for the interpolation error. When computing next the

error of the mean over a larger scale region, it would be inappropriate to assume that each of the estimates is independent, as these errors are spatially correlated.

To estimate the discretization error associated with gridding for each REC-CAP/Ocean Inversion region, we use the spatial decorrelation length scale of 400 km estimated by Jones et al. (2012) to compute the effective degrees of freedom. The

uncertainty of the mean is then estimated by dividing the standard deviation by the square root of the effective degrees of freedom. This results in an uncertainty of between  $1.02 \,\mu$ atm and  $2.01 \,\mu$ atm for the individual regions.

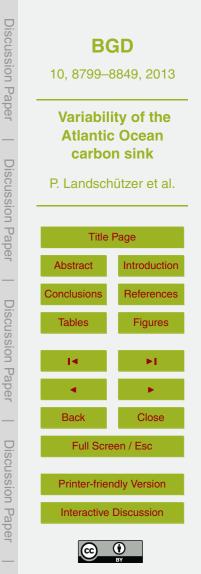
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To estimate the spatial mean of the neural network error for each RECCAP/Ocean Inversion region, we estimate the spatial correlation by analysing the semi-variogram of the residuals (see e.g. Kalkhan, 2011) (for details see Appendix). While in some regions the spatial correlation of the residuals fall very quickly, the correlation of the residuals within one bin is substantial, yielding a substantial reduction in the effective degrees of freedom. The uncertainty between different regions ranges from 0.99 μatm to 3.72 μatm.

Adding the error from the gridding and the neural network together, and assuming a mean error of 0.2 µatm for the atmospheric  $pCO_2$ , yields a total  $\Delta pCO_2$  error for the 4 regions between 2.21 and 5.93 µatm. With a mean gas transfer rate in the Atlantic Ocean of 0.05 mol Cm<sup>-2</sup> yr<sup>-1</sup> µatm<sup>-1</sup> this results in a flux error between 0.03 and 0.06 PgCyr<sup>-1</sup> and an overall basin error of 0.07 PgCyr<sup>-1</sup> calculated by standard error propagation.

Furthermore, following Sweeney et al. (2007), we assume a random error of 30 % in the gas-transfer velocity. For our long term mean estimate of the Atlantic Ocean  $(-0.45 \text{ PgCyr}^{-1})$  the error due to the piston velocity uncertainty is  $0.13 \text{ PgCyr}^{-1}$ . This



results in a total uncertainty estimate for the Atlantic Ocean of  $\pm 0.15 \text{ PgC yr}^{-1}$ , or roughly 33%, with the largest contribution stemming from the uncertain gas transfer velocity.

# 3.4 Decadal mean $pCO_2$ and air-sea $CO_2$ flux

The lowest decadal mean sea surface *p*CO<sub>2</sub> values are found in the northern North Atlantic, especially the Labrador Sea, the Greenland Sea and the Norwegian Sea with *p*CO<sub>2</sub> below 320 μatm and in the mid-latitudes, along the Gulf stream and North Atlantic Current and the South Atlantic south of 30° S (Fig. 5a). The highest *p*CO<sub>2</sub> values can be identified in the equatorial Atlantic, in the North Atlantic along the Caribbean
 Current and the tropical and subtropical South Atlantic northwards of 30° S. Further high values are estimated at 60° N around the Irminger basin and 30° N in the subtropical North Atlantic along the Portugal Current, and in the eastern North Atlantic along

the Canaries Current.

The decadal mean *p*CO<sub>2</sub> distribution from the neural network method is generally very similar to that estimated by Takahashi et al. (2009), with some important exceptions (Fig. 6). To produce this comparison plot, we first binned our estimates to the same resolution (4° × 5°) as the original climatology of Takahashi et al. (2009). We then corrected our estimates to the year 2000 by subtracting 4.5 µatm on the basis of the assumption that the surface ocean follows the atmospheric trend of 1.5 µatm per year and the fact that our estimate is centered around mid-2003. The strongest differences

and the fact that our estimate is centered around mid-2003. The strongest differences can be identified in the high latitudes of the North Atlantic within the Labrador Sea, the Greenland Sea and the Norwegian Sea. For the entire Atlantic we derive a mean difference of 0.38 µatm and a RMSE of 6.45 µatm.

Given the overall small bias and the low RMSE between the two very different meth-

 $_{\rm 25}$  ods to interpolate the data, it appears that the long-term mean surface ocean  $p{\rm CO}_2$  can be very robustly estimated from the available observations.



The CO<sub>2</sub> flux density (Fig. 5b) largely follows the pCO<sub>2</sub> pattern, although with some notable differences. Overall, the North Atlantic is a strong sink for atmospheric CO<sub>2</sub> in the mid and high latitudes, whereas the lower latitudes act as a source for atmospheric CO<sub>2</sub>. The strongest CO<sub>2</sub> uptake in the North Atlantic occurs along the Gulf Stream and the North Atlantic Current, as well as in the Labrador, Norwegian, and Greenland Sea, and in the South Atlantic south of 30° S.

We estimate a decadal mean flux of  $-0.44 \pm 0.15 \text{ PgCyr}^{-1}$  for the Atlantic Ocean from 44° S to 76° N and 100° W to 19° E ( $-0.45 \pm 0.15 \text{ PgCyr}^{-1}$  from 44° S to 79° N and west of 30° E). This is in good agreement with Schuster et al. (2013) who provided a "best" estimate of  $-0.49 \pm 0.11 \text{ PgCyr}^{-1}$  (derived from the mean fluxes of the  $pCO_2$  climatology and the Ocean Inversion fluxes within the RECCAP project).

Table 3 lists the long-term mean fluxes for the Atlantic Ocean as well as for the four individual ocean RECCAP/Ocean Inversion regions considered by Schuster et al. (2013). While the basin average flux is well within the uncertainty range of the best es-

- <sup>15</sup> timate from Schuster et al. (2013), the subtropical North Atlantic (18° N to 49° N) mean flux is just outside the uncertainty range of the RECCAP best estimate. In general, the neural networks fluxes are closest to those of the  $pCO_2$  climatology of Takahashi et al. (2009) with the exception of the subtropical South Atlantic (44° S to 18° S) where the long term mean flux is closest to the results of the Ocean Inversion and the Ocean Bio-20 geochemical Models. We estimate the main carbon sink region to be the high latitude
- <sup>20</sup> geochemical Models. We estimate the main carbon sink region to be the high latitude North Atlantic with strong uptake throughout the year and a decadal average uptake of  $-0.20 \pm 0.07 \text{ PgC yr}^{-1}$ .

#### 3.5 Seasonality

10

The 10 yr mean sea surface  $\rho CO_2$  seasonal cycle exhibits strong latitudinal differences (Fig. 7). While we find the weakest seasonal signals north of 60° N, south of 40° S and

<sup>25</sup> (Fig. 7). While we find the weakest seasonal signals north of 60° N, south of 40° S and near the equator from 10° S to 10° N, the temperate North Atlantic (40° to 60° N) has a distinct seasonal cycle with high  $pCO_2$  from October to April and low values from May to September. The opposite cycle occurs in the subtropical North Atlantic between



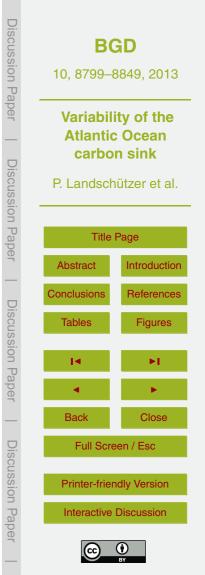
 $10^\circ\,N$  to  $40^\circ\,N$  and  $10^\circ\,S$  to  $40^\circ\,S$  with low partial pressures in winter and a seasonal maximum in the warmer summer months.

The mean seasonal cycle of our neural network-based estimates of pCO<sub>2</sub> agrees relatively well with the seasonal cycle estimated by Takahashi et al. (2009), but substantial
differences exist at the regional level (Fig. 8). As above, we corrected our estimate to the year 2000 in order to have better comparable estimates. The strongest difference can be identified in the high latitude North Atlantic, where we estimate a stronger seasonal cycle with higher values in the winter and lower values in the summer, with differences of more than 10 µatm. In comparison, the differences throughout the Atlantic Ocean are mostly within the calculated RMSE of our method.

To determine the drivers behind the seasonal cycles, we split the long-term mean seasonal cycle at each grid cell into a thermal and into a non-thermal component (Takahashi et al., 2002; Sarmiento and Gruber, 2006). The latter is driven by the seasonal changes in temperature and is computed on the basis of the well known temperature sensitivity of  $pCO_2$  (Takahashi et al., 1993) and the non-thermal component is computed by the difference.

The seasonal cycles of the thermally and non-thermally driven partial pressures tend to cancel each other (Fig. 9), consistent with previous analyses (Takahashi et al., 2002; Sarmiento and Gruber, 2006). In detail, we find in both hemispheres the non-thermally

- <sup>20</sup> driven  $pCO_2$  starts to decrease due to increasing biological production and reduced vertical mixing resulting in increased stratification in the warmer months. The thermally driven seasonal cycle on the other hand follows the increase in sea surface temperature and causes an increase in the sea surface  $pCO_2$  due to a reduced solubility. Comparing Fig. 9 with Fig. 7 reveals that the non-thermal seasonal cycle of the sea
- <sup>25</sup> surface  $pCO_2$  dominates over the thermally driven seasonal cycle polewards of 40° N. In contrast, the seasonal cycle in the subtropical North and South Atlantic is driven by the thermal  $pCO_2$  component. The thermal and non-thermal driven seasonal cycle of the equatorial band and in the South Atlantic south of 35° S compensate each other, resulting in little variability within each band.



The seasonal cycle of the  $CO_2$  flux is largely driven by the seasonal cycle of the sea surface  $pCO_2$  with only modest modifications by the seasonal cycles of wind and solubility (Fig. 7b). The temperature driven solubility and the wind lead to a larger outgassing of  $CO_2$  in large areas in the high latitudes in winter, where the sea surface  $pCO_2$  is supersaturated, and to an increasing uptake of  $CO_2$  in the subtropics and low latitudes and vice versa in summer. Throughout the entire Atlantic, the flux densities are considerably larger during the Northern Hemisphere winter season, compared to the summer. The neural network estimates shows a strong seasonal  $CO_2$  outgassing in summer in the northern subtropics, driven by the increasing  $pCO_2$ , with a 6-month difference in the Southern Hemisphere.

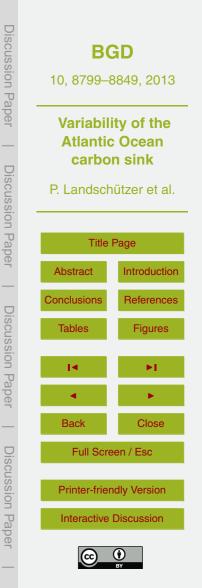
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# 3.6 CO<sub>2</sub> trends and inter-annual variability

Across large areas of the Atlantic, the 10 yr trend of surface ocean  $pCO_2$  is estimated to be lower than the atmospheric trend (Fig. 10a), but there are notable exceptions. In this plot, the atmospheric trend has been subtracted from the long-term mean trends for each  $1^{\circ} \times 1^{\circ}$  pixel, so that positive values indicate a rate of increase faster than of the atmosphere and vice versa for negative values.

The strongest increase in ocean surface  $\rho CO_2$  relative to that in the atmosphere is found in the North Atlantic poleward of 40° N along the Gulf Stream and North Atlantic Current. Here, the neural network output suggests an increase in sea-surface  $\rho CO_2$ 

- of more than 2 times the atmospheric increase. Metzl et al. (2010) investigated the sink trend over a similar time period (2001 to 2008) in the North Atlantic subpolar gyre (53° N to 57.5° N, 45° W to 35° W and 57.5° N to 62° N, 40° W to 25° W). These authors found a particularly strong increase in the winter sea surface  $fCO_2$  of  $5.8 \pm 1.1$  and  $7.2 \pm 1.3 \,\mu$ atm yr<sup>-1</sup>. While this is much stronger than suggested here (see Fig. 10)
- <sup>25</sup> both studies agree on the North Atlantic subpolar gyre having a trend toward lower undersaturation over the ~ 2000 to ~ 2007 period. McKinley et al. (2011) found a similar trend toward a weaker undersaturation in their subtropical seasonally-stratified region around 40° N for the period 1993 until 2005, but did not identify a significant trend in the



subpolar gyre over the same period. This may reflect differences in the time period, as their analyses with an earlier start, i.e., pre 1990, suggest also a trend toward a weaker undersaturation.

Decadal trends in surface ocean  $pCO_2$ , in the Labrador Sea and some parts of 5 the Icelandic Seas were much smaller than that in the atmosphere, leading to an overall relatively small trend for the entire region north of 40° N. As the low latitudes of the North Atlantic (0 to 40° N) have close to zero trend relative to that of the atmosphere, the entire North Atlantic pCO<sub>2</sub> trend is also very close to that of the atmosphere, i.e.,  $1.80 \,\mu \text{atm} \,\text{yr}^{-1}$  versus  $1.90 \,\mu \text{atm} \,\text{yr}^{-1}$  for the atmosphere. As expected from the uptake of anthropogenic  $CO_2$  by the surface ocean, the majority of the ocean 10  $pCO_2$  trend stems from the non-thermal part, i.e., the increase in surface ocean DIC  $(1.46 \,\mu \text{atm}\,\text{yr}^{-1})$ . However, the overall warming trend in the North Atlantic over this decade further enhances the ocean  $pCO_2$  trend quite considerably (with on average stronger than atmospheric increases of 2.25  $\mu$ atmyr<sup>-1</sup> from 40° N to 60° N and east of 50° W and 4.03  $\mu$  atm yr<sup>-1</sup> at 60° N to 70° N and 0° to 10° W). Splitting the trend into thermal and non-thermal component shows on average a linear trend of  $1.46 \,\mu atm \, yr^{-1}$  for the non-thermal component, while the thermal driven trend is on average 0.37  $\mu$ atm yr<sup>-1</sup>). The small differential increase in surface ocean pCO<sub>2</sub> results in an almost steady strength of the Atlantic carbon sink north of the equator  $(-0.007 \text{ Pg C yr}^{-1} \text{ decade}^{-1}).$ 20

Trends for the South Atlantic show a slower increase in the sea surface  $pCO_2$  relative to that in the atmosphere with the exception of the eastern South Atlantic and parts along the South American coast. On average, surface ocean  $pCO_2$  increased only by 0.98 µatm yr<sup>-1</sup> over the 1998 through 2007 period, resulting in a carbon flux <sup>25</sup> increase of  $-0.14 PgC yr^{-1} decade^{-1}$ . Similar to the North Atlantic, the main driving force for the trend is the non-thermal component of the  $pCO_2$  with an average trend of 0.76 µatm yr<sup>-1</sup> compared to 0.19 µatm yr<sup>-1</sup> of the thermal component.



Taking the North and South Atlantic together, the trend over the entire study region is one toward a stronger sink over the 10 yr period with an overall mean trend of  $1.26 \,\mu atm \, yr^{-1}$  and a flux trend of  $-0.15 \, PgC \, yr^{-1} \, decade^{-1}$ .

It is not possible to conclude from our data whether the 10-yr trends we identify are part of a longer term trend (Schuster et al., 2009) or whether they are part of a decadal time-scale variability (Thomas et al., 2008; Gruber, 2009; McKinley et al., 2011). The most recent study by (McKinley et al., 2011) suggest the latter to be the case, but reported 50-yr trends in heat storage (Levitus et al., 2012) and interior ocean oxygen changes in the North Atlantic (Stendardo and Gruber, 2012) indicate that the North

- <sup>10</sup> Atlantic and in particular its subpolar gyre has been subject to multi-decadal changes. It is also tempting to point out that the resulting pattern of a decreasing sink in large areas of the North Atlantic and increasing sink in the South Atlantic appears to be mirrored in the observation of a faster rate of accumulation in anthropogenic  $CO_2$  in the South compared to the North Atlantic (Wanninkhof et al., 2010). One needs to be careful, though as the surface accent trands are for the sum of network and anthropogenic  $CO_2$
- though, as the surface ocean trends are for the sum of natural and anthropogenic  $CO_2$ , while the ocean interior trends are for anthropogenic  $CO_2$  only.

The sea surface  $pCO_2$  exibits substantial year-to-year variability within the North Atlantic north of 40° N and the eastern Equatorial and South Atlantic. In contrast, the subtropics in both hemispheres show much less year-to-year variability.

<sup>20</sup> Integrating our monthly air–sea  $CO_2$  flux estimates for each year over the Atlantic ocean reveals small but significant inter-annual variability (Fig. 11a). In particular, we find the strongest variability during the second half of our study period. Annual mean fluxes range from  $-0.39 \pm 0.13 \text{ PgCyr}^{-1}$  in 2001 up to  $-0.56 \pm 0.18 \text{ PgCyr}^{-1}$  in 2006. Figure 11b illustrates the inter-annual variabilities (IAV) for both hemispheres and the

entire Atlantic Ocean. The IAV, calculated as a 12 month running average, is fairly constant from 1998 to 2004 with a weak flux decrease in the Northern Hemisphere counterbalanced by a weak increase in the Southern Hemisphere. After 2004 the Atlantic Ocean sink increases mainly due to increases in the Southern Hemisphere. The standard deviations of the IAV (calculated as a 12 month running average and further



detrended) for the Northern Hemisphere, Southern Hemisphere and Atlantic Ocean are  $0.02 \text{ PgCyr}^{-1}$ ,  $0.02 \text{ PgCyr}^{-1}$  and  $0.04 \text{ PgCyr}^{-1}$  respectively. This result indicates only limited inter-annual variability in both hemispheres on a basin scale.

- Inter-annual variability of the sea-surface  $pCO_2$  in the North Atlantic is often linked to variations in the North Atlantic Oscillation (NAO) (e.g. Gruber et al., 2002; Schuster and Watson, 2007; Thomas et al., 2008). The NAO is the dominant large-scale climate mode in the Atlantic Ocean (e.g. Hurrel, 1995) and impacts sea-surface  $pCO_2$ via changes in the driving parameters. During positive NAO phases, sea surface temperature shows a tripole pattern with cold anomalies in the subpolar region and warm anomalies in the mid-latitudes and corresponding changes in vertical mixing and nutrient supply (Marshall et al., 2001). Corbière et al. (2007) found an increase in sea surface  $pCO_2$  in the subpolar gyre between the mid-1990s and mid-2000s linked to an increase in SST due to a shift from positive to negative NAO. We investigate the effect
- of the NAO by focusing on two  $10^{\circ} \times 10^{\circ}$  boxes, one in the subtropical North Atlantic (20° N to 30° N and 40° W to 50° W) and the other in the subpolar North Atlantic (50° N to 60° N and 30° W to 40° W). Figure 12 illustrates the  $pCO_2$  estimate and their anomalies for each box together with the NAO index. We find a weak but significant ( $p \le 0.05$ ) positive correlation in the subtropics (R = 0.32) and negative correlation in the subpolar box (R = -0.31). This pattern is consistent with that identified by Thomas et al. (2008)
- on the basis of a modeling study (see also summary by Gruber (2009) and recent multi-model analysis by Keller et al. (2012), and the available time-series analyses (e.g Gruber et al., 2002; Bates, 2007) from the BATS site). Clearly, an important driver are the NAO-associated SST anomalies, but strongly modified by the various physical and biogeochemical changes that are driven by the NAO-induced changes in heat fluxes and windstress (see e.g. Keller et al., 2012).
- Discussion Paper **BGD** 10, 8799-8849, 2013 Variability of the **Atlantic Ocean** carbon sink Discussion Paper P. Landschützer et al. **Title Page** Introduction Abstract Conclusions References **Discussion** Paper Tables **Figures** Back Close Full Screen / Esc **Discussion** Paper **Printer-friendly Version** Interactive Discussion

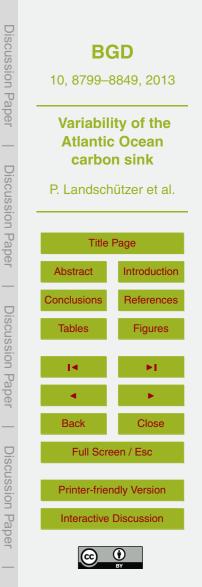
# 4 Summary and conclusions

Our study suggests a decadal mean CO₂ flux from 1998 to 2007 of 0.45±0.15 Pg C yr<sup>-1</sup> for the Atlantic Ocean from 44° S to 79° N and west of 30° E. This result is in good accordance with recent findings from the RECCAP project (Schuster et al., 2013). We
<sup>5</sup> find the strongest seasonal variability of the sea surface *p*CO₂ and the air–sea fluxes within the subtropics in the northern and Southern Hemisphere, i.e. the zones where the temperature effect dominates the seasonal cycle of the sea surface *p*CO₂. Trends in the sea surface *p*CO₂ suggest that in large areas polewards of 40° N the sea surface *p*CO₂ increased faster than the atmospheric *p*CO₂, leading to a regional reduction in the carbon sink. However, this is counterbalanced on basin scale by slower increasing trends elsewhere in the North Atlantic. The South Atlantic in contrast shows an increase of the basin carbon sink throughout the study period. In total, the Atlantic Ocean carbon sink increased at about -0.15 Pg C yr<sup>-1</sup> decade<sup>-1</sup>. The standard deviation of the interannual variability of the spatially integrated CO₂ flux within the study period in the

<sup>15</sup> Atlantic Ocean was 0.04 PgCyr<sup>-1</sup> with both low inter-annual variability in the Southern Hemisphere (0.02 PgCyr<sup>-1</sup>) and in the Northern Hemisphere (0.02 PgCyr<sup>-1</sup>).

It would be beneficial to extend the study period to further investigate responses to climate modes such as the NAO and to investigate multi-decadal variabilities. Currently however, we are limited to 1997 since no basin-wide chlorophyll *a* measurements are

- <sup>20</sup> available before and chlorophyll *a* is a simple, but important proxy representing the relation between biology and  $pCO_2$ . Modelled chlorophyll *a* is available before the launch of satellite observations, but these products to-date do not have achieved sufficient reliability as yet. Our product shows that the the data collection and synthesis effort of the marine carbon community makes it possible to investigate the seasonal to inter-annual
- variability of the ocean carbon sink on a basin-scale based on observations. Future measurements are expected to increase the accuracy of observation based estimates, such as our neural network approach, providing better historical estimates and more accurate products to evaluate ocean biogeochemical models.



# Appendix

# A1 Dividing the global ocean into biogeochemical regimes using a self-organizing map

- <sup>5</sup> A map with 16 neurons was chosen, organized on a 2 dimensional  $4 \times 4$  point hexagonal grid. This results in the separation of the world ocean into 16 biogeochemical provinces. The Euclidean distance between a neuron and the datapoints under consideration was used for the distance function. The weight matrix ( $\mathbf{W}_{m,n}$ ) was randomly initialized. After initialization, the training vectors are introduced to the SOM with each training
- <sup>10</sup> parameter as 1 element of the vector. For the *j*-th input vector  $p_n^j$  with the length *n* the Euclidean distances to each of the *i* = 1,..., 16 neurons represented by each row *i* of the weight matrix are calculated:

$$d_{i}^{j} = \sqrt{\sum_{l=1}^{n} \left( \mathbf{W}_{i,l} - \boldsymbol{p}_{l}^{j} \right)^{2}}$$
(A1)

 $d_m^j$  comprises a vector containing the Euclidean distances to each neuron *i* of the input vector  $p_n^j$ . The neuron *i*, gets updated by moving towards the average position of all the training vectors *j* it was identified as a winner, or a close neighbour of the winner. This sort of training is called "batch training". This is done by adjusting the *i*-th row of the initial weights matrix after the iterative step *q* following Vesanto et al. (2000):

$$\mathbf{W}_{i,n}(q+1) = \frac{\sum_{j=1}^{r} \mathbf{S}\left(d_{\text{neighbour}} - d_{i}^{j}\right) \cdot \boldsymbol{p}_{n}^{j}}{\sum_{j=1}^{r} \mathbf{S}\left(d_{\text{neighbour}} - d_{i}^{j}\right)}$$

where  $\mathbf{W}_{(i,n)}$  is the *i*-th row of the input weight matrix,  $d_i^j$  is the Euclidean distance between the neuron *i* and the presented *j*-th input vector and  $d_{\text{neighbour}}$  is the 8820



(A2)

neighbourhood radius. **S** describes the step function. Neighbouring neurons will only be updated if  $\mathbf{S}(d_{\text{neighbour}} - d_i^j) > 0$ . During the training of our SOM we decrease  $d_{\text{neighbour}}$  in 2 steps from an initial coarse training phase where we update the surrounding nearest neighbours that lie within a neighbourhood radius of 3, which lasts for the first 100 iterations, to a final fine training phase where only the winning neuron is updated.

After q = 200 iterations (presenting all our input vectors to the SOM 200 times) we stop the training and the input vectors are re-introduced, without updating the SOM. In return every vector receives the neuron number *i* of the winning neuron, where  $d_i^j = \min(d_m^j)$ , until every training vector is labelled with a number between 1 and 16, representing the regime the vector belongs to. Since every training vector has a geographical location we can now divide the global ocean into these 16 regimes, i.e., the 16 biogeochemical provinces.

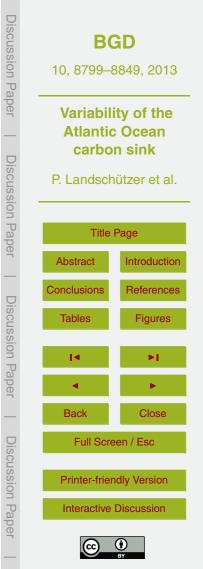
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We forced the relative weights of the input data toward the  $pCO_2$  data, in order to minimize the variance of  $pCO_2$  within each biogeochemical province. We do this by only log-normalizing MLD as input. As a consequence the range between the lowest and highest value of  $pCO_2$  is one order of magnitude larger than that for SST, and about another order of magnitude larger than the remaining input parameters (log(MLD), SSS). This was done to reduce the biases in the second stage of the fitting, i.e., in the feed-forward network. As a consequence, the biogeochemical provinces follow the seasonal pattern of the  $pCO_2$  climatology, meaning that the seasonality of  $pCO_2$  at any given location will be mostly determined by the seasonal changes of the biogeochemical provinces and to a lesser degree by the seasonal cycle of the input data in the second state. In addition, owing to the climatological nature of the used  $pCO_2$  data,

# there are little inter-annual shifts in the distribution of the biogeochemical provinces.

#### <sup>25</sup> A2 Reconstructing the sea surface $\rho$ CO<sub>2</sub> using a feed-forward network

Our feed-forward network uses 2 layers of neurons, 1 hidden layer of neurons using a sigmoid transfer function and 1 linear output layer. The hidden layer response to the



input vector  $\boldsymbol{p}_n^j$  can be written as follows:

$$a_{i} = \frac{2}{1 + \exp\left(-2 \cdot \left(\mathbf{W}_{i,n} \cdot \boldsymbol{p}_{n}^{j} + b_{i}\right)\right)} - 1$$

where  $a_i$  is the hidden layer response of *i*-th neuron. The response of all neurons *m* then forms the output vector of the hidden layer  $a_m$ . This vector serves as input for the second layer of neurons, the linear output layer.

$$\rho \text{CO}_{2,\text{est}}^{j} = \mathbf{W}_{m} \cdot \boldsymbol{a}_{m} + b \tag{A4}$$

Equations (A3) and (A4) show how the network calculates the scalar output  $pCO_{2, est}^{j}$  for the *j*-th input vector  $\boldsymbol{p}_{n}^{j}$  in 2 steps, each step referring to 1 layer of the network. In the hidden layer the input vectors are multiplied with the weight matrix of the hidden layer  $\mathbf{W}_{m,n}$  and added to the layer bias vector  $\boldsymbol{b}_{m}$ . The output vector of the hidden layer  $\boldsymbol{a}_{m}$  is created using a tangents-sigmoid transfer function, that computes elements of  $\boldsymbol{a}_{m}$  in the range from -1 to +1. Similar to the SOM, the size of  $\mathbf{W}_{m,n}$  is determined by the size *n* of the input vector and the number *m* of neurons in the hidden layer. The length of  $\boldsymbol{b}_{m}$  and  $\boldsymbol{a}_{m}$  is as well determined by the number of neurons *m*. In the linear

- <sup>15</sup> output layer  $a_m$  is processed the same way as  $p_n^j$  in the hidden layer, with the exception that the output layer only consists of 1 neuron to produce one scalar target for every input vector. Furthermore, the linear output layer allows  $pCO_{2, est}^j$  to have any value between –inf and +inf. During the training the weights and biases of each layer get iteratively adjusted to minimize the error between the network output target  $pCO_{2, est}^j$
- <sup>20</sup> and the scalar target element  $t^{j}$  that corresponds to  $p_{n}^{j}$ . Therefore, the network can only be trained by those input vectors which do have co-located observations.

Before the training starts, the training vectors with corresponding observations from our  $FINP^{k}$  dataset are provided to initialize the network layer size. We randomly generate the networks initial weights and biases. An important parameter that has to be



(A3)

provided before training starts is the number of neurons. Too few neurons are not able to reproduce realistic results, whereas too many neurons decrease the computational performance and cause over-fitting and therefore the network is not able to generalize (Demuth et al., 2008). Since the number of inputs and targets varies per regime, we

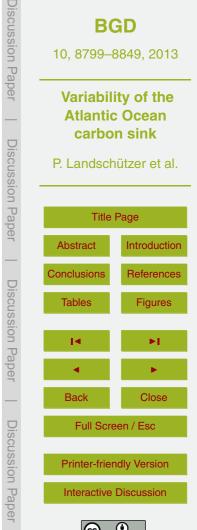
- <sup>5</sup> can not provide one best number of neurons to use for all 16 regimes. We therefore perform a pre-training, increasing the number of hidden neurons paraboloidal starting from 2 neurons up to a number where the ratio between of the training sample size to the number of weights does exceed 30. Amari et al. (1997) proposed this ratio to prevent artificial neural networks from over-fitting.
- <sup>10</sup> During every pre-training process the FINP<sup>*k*</sup> set is divided into 2 separate sub-sets. The first (FITR<sup>*k*</sup>) is used to train the network and the second (FIVAL<sup>*k*</sup>) is used for validation. Amari et al. (1997) suggested an optimal split ( $r_{opt}$ ) between training and validation data as a function of the sample number *m*:

$$r_{\rm opt} = \frac{1}{\sqrt{2 \cdot m}}$$

- <sup>15</sup> During every pre-training process the FITR<sup>*k*</sup> training vectors and the corresponding FITR<sup>*k*</sup> targets are introduced to the network and the weights and biases are iteratively updated in the direction where the performance function, which is the mean squared error between network outputs  $pCO_{2, est}^{j}$  and FITR<sup>*k*</sup> targets  $t^{j}$ , decreases most rapidly. Our feed-forward network uses the Levenberg–Marquardt (Marquardt, 1963) algorithm to update weights and biases in every iteration step to reduce the mean squared error
- between outputs and targets. The application of the algorithm in neural networks is described in more detail in (Hagan and Menhaj, 1994; Hagan et al., 1996).

After every iteration of each pre-training the network is validated by using the FIVAL<sup>k</sup> sub-set. The updated weights and biases are used to simulate outputs from the FIVAL<sup>k</sup>

<sup>25</sup> inputs and the mean squared error between these outputs and the FIVAL<sup>k</sup> targets is calculated. Every pre-training of the network stops automatically when 6 consecutive iterations do not reduce the network's error on the FIVAL<sup>k</sup> targets to prevent the network



(A5)

from over-fitting. After the pre-trainings with increasing number of neurons we select the one where the mean squared error of the validation data set FIVAL is a minimum and receive the optimal number of neurons for the actual training process.

During the actual training process the number of neurons is adjusted according to <sup>5</sup> the best pre-training performance for each of the 16 regimes separately. We perform 10 trainings where we randomly pick validation data according to Eq. (A5) out of the entire pool of observations to validate the network output. After every training we use the trained network to simulate  $pCO_{2, est}^{j}$  from the FINP<sup>k</sup> dataset and average the output of the 10 training cycles, to end up with 1 estimate for our time period between 1998 to 2007 for each regime. After 16 FFN runs we can now combine our results of the 16 regions to retrieve our  $pCO_{2}$  estimates from 1998 to 2007 on a global 1° × 1° grid.

#### A3 Air-sea CO<sub>2</sub> flux calculation

We calculate the air–sea flux density in mol C  $m^{-2}\,yr^{-1}$  for each month and 1°  $\times$  1° pixel from

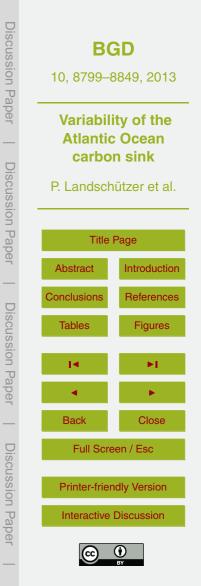
<sup>15</sup> 
$$F_{\text{CO}_2} = -k_w \cdot S_{\text{CO}_2} \cdot (1 - f_{\text{ice}}) \cdot (\rho \text{CO}_{2,\text{atm,wet}} - \rho \text{CO}_2)$$
 (A6)

where  $S_{CO_2}$  is the mainly temperature driven solubility of  $CO_2$  (calculated in mol C m<sup>-3</sup> µatm<sup>-1</sup>) in and  $k_w$  is the gas transfer velocity (calculated in m yr<sup>-1</sup>) and where the flux is defined positive upward, i.e., outgassing is positive, and uptake is negative.  $f_{ice}$  refers to the percent of ice cover within a region derived from Rayner et al. (2003).

For the gas transfer velocity (here calculated in  $cmh^{-1}$ ) we decided to use the formulation of Wanninkhof (1992) with the scaling factor of Sweeney et al. (2007), i.e.,

$$k_w = 0.27 \cdot (Sc/660)^{-\frac{1}{2}} \cdot u^2$$

where Sc the dimensionless Schmidt number and u the monthly mean Cross-Calibrated Multi-Platform (CCMP) wind speed (Atlas et al., 2011) at a height of 10 m above the sea surface.



(A7)

The solubility of  $CO_2$  is calculated according to Weiss (1974) and the Schmidt number according to Wanninkhof (1992) using the same SST and SSS data we used for the training of our network.

The partial pressure of atmospheric  $CO_2$ , i.e.,  $pCO_{2,atm,wet}$ , was computed from the dry air mixing ratio  $xCO_2$  of GLOBALVIEW-CO2 (2011), taking into account the water vapor correction according to Dickson et al. (2007):

 $\rho CO_{2,atm,wet} = x CO_{2,atm} \cdot [P_{atm,surf} - P_{H_2O}]$ 

where  $P_{\text{atm,surf}}$  is the sea-level pressure from NCEP (Kalnay et al., 1996), and  $P_{\text{H}_2\text{O}}$  describes the water vapor pressure.

#### 10 A4 Accounting for spatial autocorrelation in flux uncertainty analysis

For each RECCAP/Ocean Inversion region (see Table 3 for region boarders), we first divide the residuals into 5 randomly chosen mutually-exclusive ensembles, with the exception of the subtropical North Atlantic, where we use 10 ensembles, due to the larger amount of data. For each ensemble, we compute the semi-variance of the residuals and their point-to-point Haversine distance matrix, and then fit an exponential function

$$\int dx = \int dx = \int dx = \int dx$$

of the form

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$$a + b \cdot \exp\left(\frac{-x}{c}\right)$$

to the semi-variogram in order to estimate the correlation length (parameter c) between the residuals. We find that the semi-variograms are very sensitive to extreme values of the residuals, forcing us to use Chauvenet's criterion to reject them prior to the computation and the fit. By using several different ensembles per region, we will account for

the potential biasing effect of their removal.

Figure A1 shows the semi-variograms of all ensembles in the Atlantic Ocean. Correlation lengths of the residuals vary between 9 km, where the ensembles are well below the distance between 2 neighbouring grid boxes, and 532 km. However, in all cases



(A8)

(A9)

the semi-variogram shows a large lag 0 correlation, (semi-variance at 0 distance varies between  $20-60 \,\mu atm^2$  within the different ensembles in the different regions) indicating the residuals within one grid cell are correlated with each other, leading to a substantial reduction of the degrees of freedom.

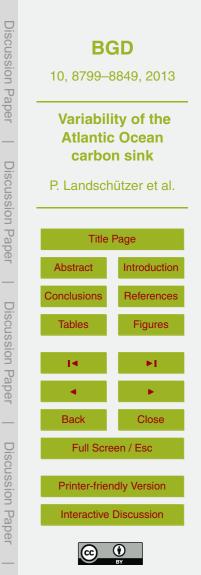
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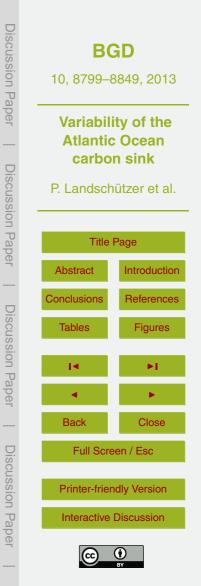
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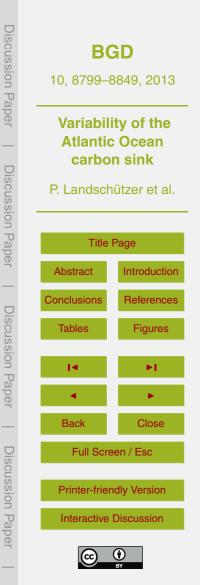
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**Table 1.** Input and target vector elements for each subset used within our method. The subscript ds describes de-seasonalised data, which are computed by subtracting the long-term mean seasonal cycle from the original dataset as explained in the text. Additionally, log(CHL) was excluded from sets FINP, FITR, FIVAL and FINP2 to estimate  $pCO_2$  where no satellite chlorophyll *a* is available due to cloud cover.

Set name	Elements <i>n</i> of the <i>j</i> -th input vectors $\boldsymbol{p}_n^j$	Targets (t <sup>j</sup> )
Sinp Finp, Fitr, Fival Finp2	$\begin{array}{l} \text{SST, log(MLD), SSS, $$p$CO_{2,Takahashi}$\\ \text{SST, log(CHL), log(MLD), SSS, $$x$CO_{2,atm}$, $$ST_{ds}$, $CHL_{ds}$, $MLD_{ds}$, $$SS_{ds}$, $$x$CO_{2,atm,ds}$\\ \text{SST, log(CHL), log(MLD), $$SS, $$x$CO_{2,atm}$, $$ST_{ds}$, $CHL_{ds}$, $MLD_{ds}$, $$SS_{ds}$, $$x$CO_{2,atm,ds}$\\ \end{array}$	− pCO <sub>2,SOCAT</sub> −



**Table 2.** Statistical measures of the comparison of the neural network-based estimates of  $\rho CO_2$  with the SOCAT v1.5 gridded observations in the Atlantic Ocean from 44° S to 79° N and west of 30° E.

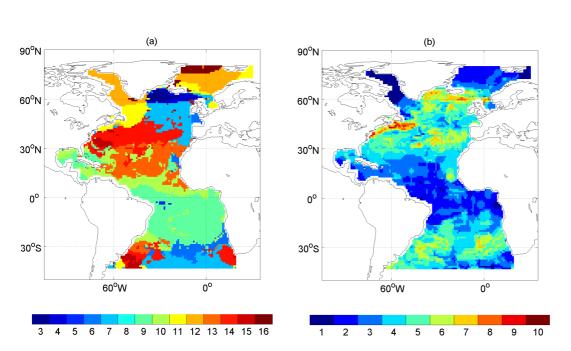
Period	$r^2$	RMSE [µatm]	bias [µatm]	# data	
1998–2007	0.87	9.89	-0.10	20 003	
1998	0.93	7.15	-0.18	583	
1999	0.89	9.35	1.62	186	
2000	0.78	11.14	-0.19	178	
2001	0.83	11.48	-0.87	566	
2002	0.87	8.98	0.22	1941	
2003	0.87	7.47	-0.11	1963	
2004	0.87	8.13	-0.14	2729	
2005	0.88	9.52	-0.43	3575	
2006	0.85	11.51	-0.10	4280	
2007	0.87	10.89	0.12	4002	



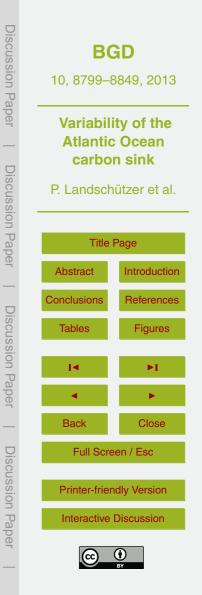
**Table 3.** Comparison of regional and basin-wide decadal mean  $CO_2$  fluxes in Pg Cyr<sup>-1</sup> from the neural network-based method (column I) compared to a range of other methods. This includes (II) the  $pCO_2$  climatology of Takahashi et al. (2009) and the Tier 1 methodologies described in Schuster et al. (2013) which include (III) Ocean Inversion (Gruber et al., 2009) (IV) Atmospheric inversion (Peylin et al., 2013) (V) Ocean Biogeochemical models as well as observation based results including (VI) a SOCAT v1.5 based multi parameter regression (Schuster et al., 2013) and (VII) an estimate based on the  $pCO_2$  database of Takahashi et al. (2009) updated by McKinley et al. (2011). (VIII) lists the best estimate from combining the different RECCAP methodologies as described in Schuster et al. (2013).

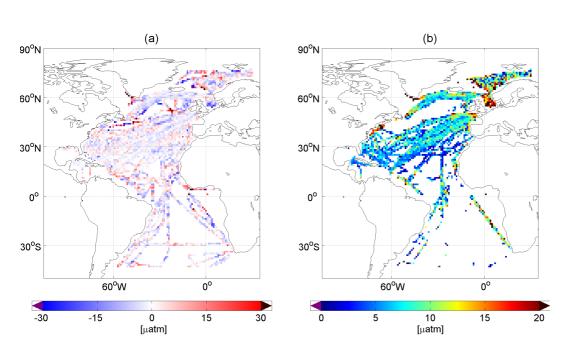
Region	(I) Neural Network [PgCyr <sup>-1</sup> ]	(II) pCO <sub>2</sub> climatology [PgCyr <sup>-1</sup> ]	(III) Ocean Inversion [PgCyr <sup>-1</sup> ]	(IV) Atmospheric Inversion [PgCyr <sup>-1</sup> ]	(V) OBGC models [PgCyr <sup>-1</sup> ]	(VI) SOCAT MPR [PgCyr <sup>-1</sup> ]	(VII) pCO <sub>2</sub> database [PgCyr <sup>-1</sup> ]	(VIII) RECCAP best estimate [PgCyr <sup>-1</sup> ]
49° N–76° N	-0.20	-0.23	-0.19	-0.28	-0.17	-0.07	-0.30	-0.21
(West of 19° E)	±0.07	± 0.12	±0.06	±0.03	±0.02	±0.04	±0.13	±0.06
18° N–49° N	-0.19	-0.19	-0.34	-0.31	-0.13	-0.18	-0.24	-0.26
	±0.07	±0.09	±0.08	±0.03	±0.03	±0.09	±0.16	±0.06
18° S–18° N	0.11	0.11	0.13	0.12	0.15	0.10	0.12	0.12
	±0.07	±0.05	± 0.06	±0.05	±0.06	±0.05	±0.14	±0.04
44° S–18° S	-0.16	-0.10	-0.17	-0.13	-0.17	-0.25	-0.21	-0.14
	± 0.06	±0.05	±0.05	±0.02	±0.01	±0.12	±0.23	±0.04
Atlantic Ocean	-0.44	-0.42	-0.56	-0.60	-0.32	-0.40	-0.63	-0.49
	±0.15	±0.17	±0.13	±0.07	±0.07	±0.16	±0.34	±0.11



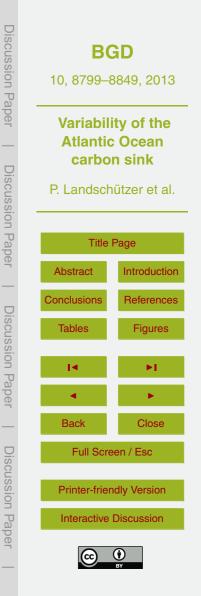


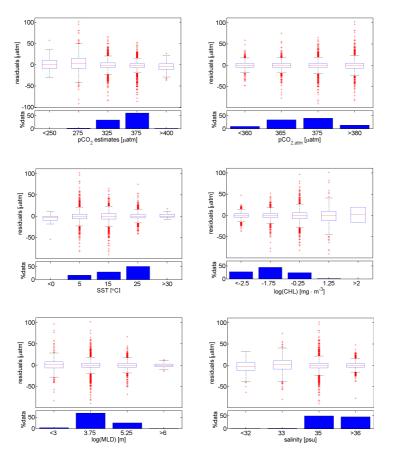
**Fig. 1.** Map of the biogeochemical provinces in the Atlantic Ocean identified by the Self-Organizing Map (SOM) method: **(a)** province number of the mode (i.e., most frequent occurrence). Provinces 1 and 2 do not occur in the Atlantic Ocean. **(b)** The number of provinces every pixel belongs to from 1998 to 2007.





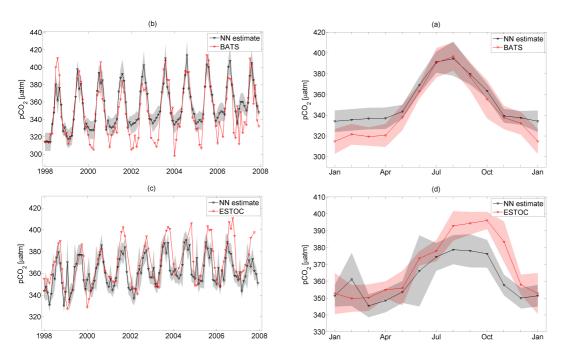
**Fig. 2. (a)** Temporal mean residuals and **(b)** standard deviation of the residuals in µatm between neural network estimates and SOCAT v1.5 gridded observations (Sabine et al., 2013) for the period from 1998–2007.





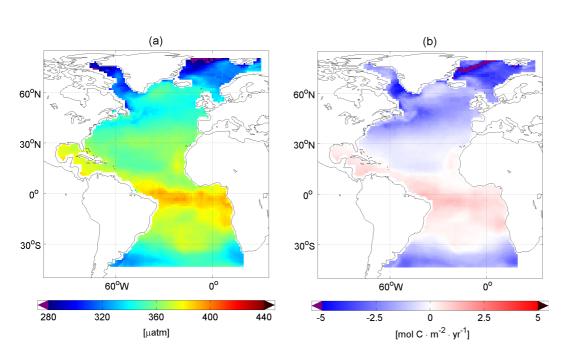
**Fig. 3.** Residuals as a function of (a) fitted  $pCO_2$ , (b) atmospheric  $pCO_2$ , (c) sea-surface temperature (SST,) (d) natural log of surface chlorophyll (log(CHL)), (e) natural log of mixed layer depth (log(MLD)), and (f) sea-surface salinity (SSS). The upper plot in each panel shows the residuals, while the lower one shows the relative number of observations within each bin.





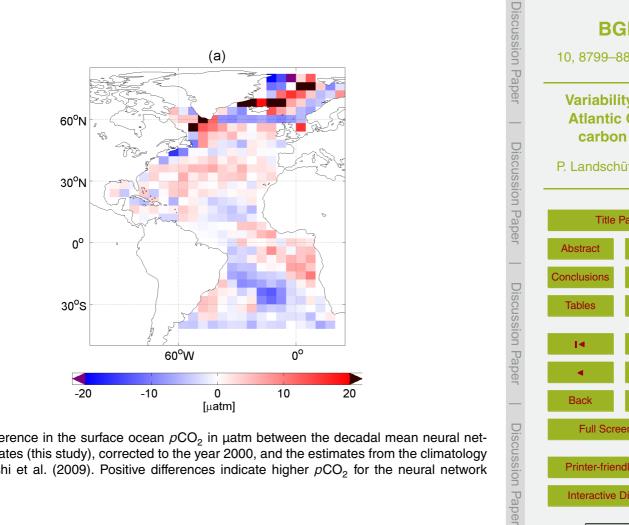
**Fig. 4.** Long term seasonal cycle and mean seasonal cycle of the neural network estimates compared to BATS Hydrostation "S" (Bates, 2007; Gruber et al., 2002) **(a, b)** and ESTOC (González-Dávila et al., 2007) **(c, d)** timeseries stations. Grey shading shows the uncertainty based on the RMSE of the NN estimate. Pink shading shows the standard deviation of the the mean seasonal cycle for each timeseries station.

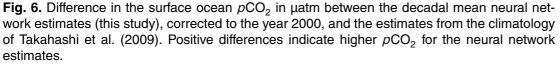




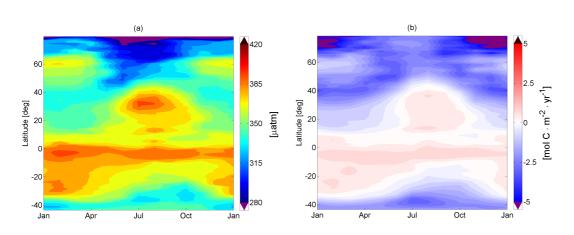
**Fig. 5. (a)** Decadal mean surface ocean  $pCO_2$  and **(b)**  $CO_2$  flux density in mol C m<sup>-2</sup> yr<sup>-1</sup> for the Atlantic Ocean. Negative flux densities indicate  $CO_2$  uptake by the ocean.



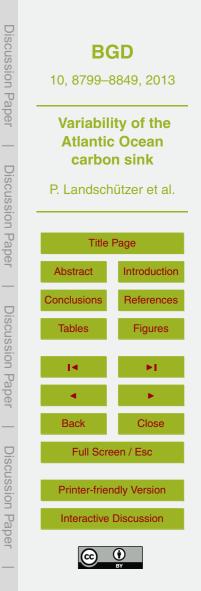


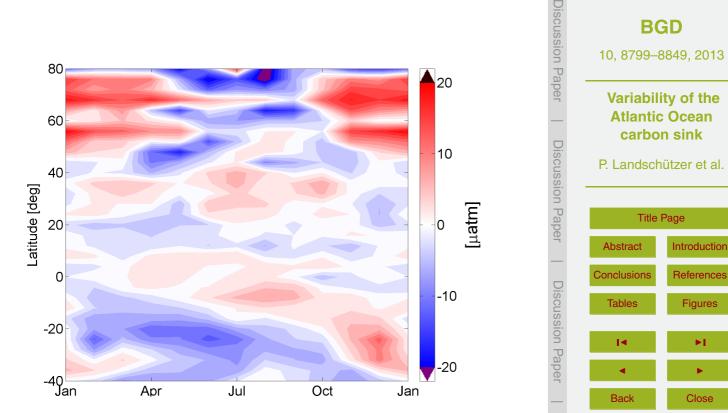






**Fig. 7.** Hovmöller plot of the long term mean seasonal cycle of (a)  $pCO_2$  in µatm and (b) the  $CO_2$  flux density in mol C m<sup>-2</sup> yr<sup>-1</sup>.





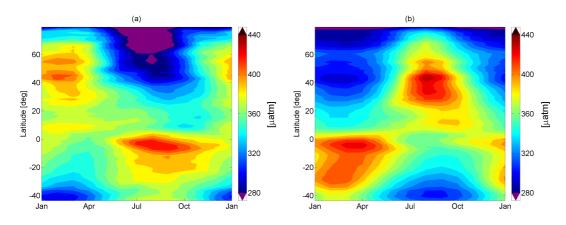
**Fig. 8.** Difference in the surface ocean  $pCO_2$  seasonal cycle between the long term mean seasonal neural network estimates (this study), corrected to the year 2000 and the climatology of Takahashi et al. (2009). Positive differences indicate higher partial pressures of  $CO_2$  in the neural network based estimates.

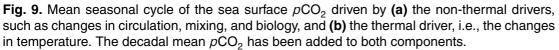


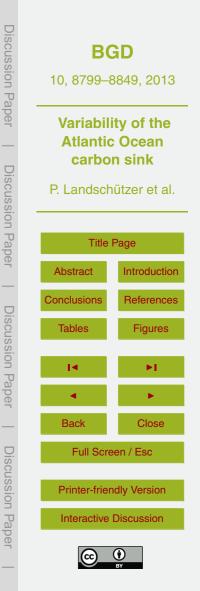
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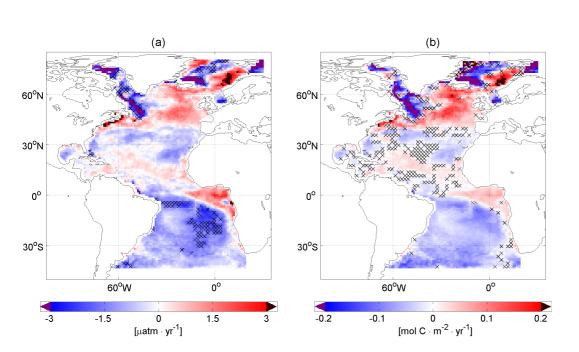
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**Discussion** Paper



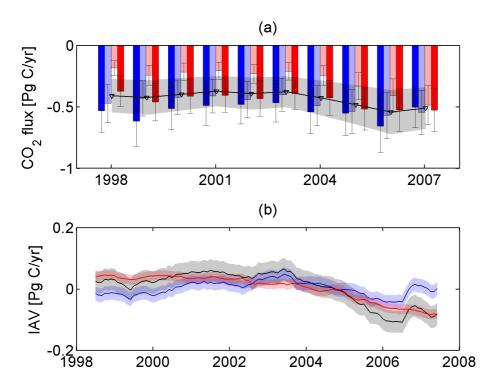




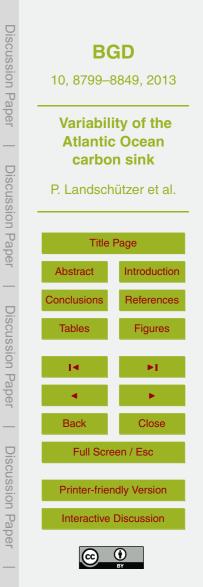


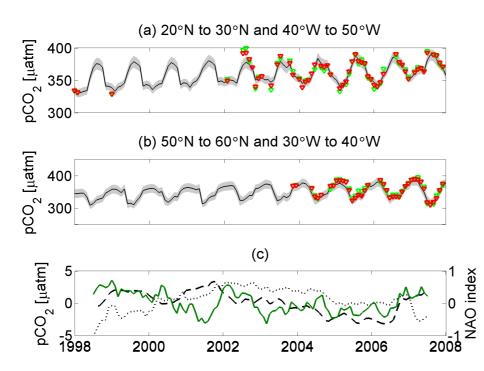
**Fig. 10.** Linear trends (a) in sea surface  $pCO_2$  relative to that in the atmosphere and (b) in the  $CO_2$  flux over the period 1998–2007. The relative trend in sea surface  $pCO_2$  was computed by subtracting the atmospheric mean trend. Areas with cross-hatch indicate where the trend is outside the 95% confidence level ( $p \ge 0.05$ ). Trends are derived by applying a 12 month running mean to each pixel and are calculated as the slope of a linear fit.





**Fig. 11. (a)** Seasonal and annual mean fluxes from 1998–2007 in the Atlantic Ocean (44°S to 79°N and west of 30°E). Dark blue shows the results for the Northern Hemisphere winter months (DJF), light blue the spring months (MAM), light red the summer months (JJA), dark red the autumn months (SON). The annual mean flux is plotted as a black line on top. **(b)** Interannual variability (calculated using a 12 month running mean) for the Northern Hemisphere (blue line), the Southern Hemisphere (red line) and the entire Atlantic Ocean (black line).





**Fig. 12. (a)** Temporal evolution of the  $pCO_2$  (in µatm) in the subtropical (20° N to 30° N; 40° W to 50° W) and **(b)** in the subpolar box (50° N to 60° N; 30° W to 40° W). The black line shows the spatial average  $pCO_2$  within each box. Red triangles illustrate the average sea surface measured  $pCO_2$  within each box and the green triangles show the average neural network  $pCO_2$  co-located to the measurements. **(c)**  $pCO_2$  anomalies (left axis in µatm – detrended and smoothed using a 12 month running average filter) compared to the NAO index (right axis – smoothed using a 12 month running average filter). The dashed line shows the anomaly for the subtropical box, the dotted line for the subpolar box and the green line illustrates the NAO index.



