

1 **Initial Spread of <sup>137</sup>Cs from the Fukushima Dai-ichi Nuclear Power Plant over the**  
2 **Japan Continental Shelf: A Study Using a High-resolution Global-Coastal Nested**  
3 **Ocean Model**

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## Abstract

The March 11, 2011 Tōhoku M9 and M7.9 earthquake-induced tsunami destroyed facilities at the Fukushima Dai-ichi Nuclear Power Plant (FNPP) that led to a significant long-term flow of the radionuclide  $^{137}\text{Cs}$  into coastal waters. A high-resolution global-coastal nested ocean model was first constructed to simulate the March 11 tsunami and coastal inundation. Based on the model's success in reproducing the observed tsunami and coastal inundation, model experiments were then conducted with differing resolution to assess the initial spread of  $^{137}\text{Cs}$  over the east shelf of Japan. The  $^{137}\text{Cs}$  was tracked as a conservative tracer in the three-dimensional model flow field over the period March 26-August 31, 2011. The results clearly show that for the same  $^{137}\text{Cs}$  discharge, the model-predicted spreading of  $^{137}\text{Cs}$  was sensitive not only to model resolution but also the FNPP seawall structure. A coarse-resolution (~2 km) model simulation led to an overestimation of lateral diffusion and thus faster dispersion of  $^{137}\text{Cs}$  from the coast to the deep ocean, while advective processes played a more significant role when the model resolution at and around the FNPP was refined to ~ 5 m. By resolving the pathways from the leaking source to the southern and northern discharge canals, the high-resolution model better predicted the  $^{137}\text{Cs}$  spreading in the inner shelf where in situ measurements were made 30 km off the coast. The overestimation of  $^{137}\text{Cs}$  concentration near the coast is thought to be due to the omission of sedimentation processes in the model, which was evident in sediment measurements taken after the accident.

## 66 1 Introduction

67 The March 11, 2011 Tōhoku magnitude 9.0 and 7.9 earthquakes caused a massive  
68 tsunami with ~16-m wave height nearshore and tsunami-induced inundation that  
69 devastated the east coast of Japan (Fig. 1). Unlike previous earthquake-induced tsunami  
70 events, the Fukushima Dai-ichi Nuclear Power Plant (FNPP) was seriously damaged,  
71 resulting in leaking of large amounts of artificial radionuclides, mainly  $^{131}\text{I}$  ( $t_{1/2} = 8.02$   
72 days),  $^{134}\text{Cs}$  ( $t_{1/2} = 2.065$  years) and  $^{137}\text{Cs}$  ( $t_{1/2} = 30.17$  years), from several reactor units  
73 into the coastal ocean (Ohnishi, 2012). In this event, the planned dumping from the  
74 storage room contained low-level radioactive water, while the leaking from reactors  
75 contained high-level radioactive water, with a concentration of  $9.4 \times 10^{14}$  Bq for  $^{137}\text{Cs}$  and  
76  $^{134}\text{Cs}$  as well as  $2.8 \times 10^{15}$  Bq for  $^{131}\text{I}$  from Unit-2 over the period April 1-6 and of  
77  $9.8 \times 10^{12}$  Bq for  $^{137}\text{Cs}$ ,  $9.3 \times 10^{12}$  Bq for  $^{134}\text{Cs}$ , and  $9.5 \times 10^{12}$  Bq for  $^{131}\text{I}$  from Unit-3 over  
78 the period May 10-11. In comparison to the Chernobyl disaster in 1986, this was not the  
79 most serious radionuclide-release in the past. But, the Chernobyl Nuclear Power Plant  
80 was located inland and its impact on the Black and Baltic Seas was through deposition  
81 with a value of  $10^5$  Bq, smaller than what happened at the FNPP. As a result, following  
82 the March 11 2011 tsunami event, in addition to the wet and dry deposition from the  
83 atmosphere, the coastal water was contaminated by discharges of a large portion of high-  
84 level radioactive water out of FNPP from leaking sources (Honda et al., 2012) and from  
85 inland-polluted rivers (Oura and Ebihara, 2012).

86 Among these radioactive isotopes,  $^{137}\text{Cs}$  was of particular interest because of its long  
87 30.2 year half-life. The accumulation of  $^{137}\text{Cs}$  in marine food chains could exert a  
88 profound impact on marine biota and human health and thus the local to regional

89 ecosystem (Buesseler et al. 2011; Grossman, 2011). Determining accurately an initial  
90 dispersion of  $^{137}\text{Cs}$  off Japan's coast was a prerequisite for assessing its long-term  
91 impacts on the interior Pacific Ocean. After leaking occurred, many efforts were made on  
92 monitoring the spread of  $^{137}\text{Cs}$  off Japan's coast. Ministry of Education, Culture, Sport,  
93 Sciences and Technology (MEXT) (<http://radioactivity.nsr.go.jp/ja/list/238/list-1.html>)  
94 and Tokyo Electric Power Company (TEPCO)  
95 (<http://radioactivity.nsr.go.jp/ja/list/239/list-1.html>) started measuring the  $^{137}\text{Cs}$   
96 concentration around the FNPP and in the offshore coastal waters (Figs 2 and Fig. 3). In  
97 addition to these two government-established monitoring programs, several field surveys  
98 were carried out in an offshore region to assess the spreading of  $^{137}\text{Cs}$  by oceanic currents,  
99 lateral diffusion and vertical mixing (e.g., Honda et al., 2012; Behrens et al., 2012; Dietze  
100 and Kriest, 2012). The research team led by K. Buesseler (Woods Hole Oceanographic  
101 Institution) made a comprehensive survey in the shelf and deeper waters off FNPP in  
102 June 2011. Their survey measured  $^{137}\text{Cs}$  concentration over the inner-shelf area 30 km  
103 away from the coast and then along several transects across the Kuroshio in the deep  
104 ocean (Fig. 3). The data collected from these monitoring and field surveys have provided  
105 a direct assessment of temporal change and spatial distribution of  $^{137}\text{Cs}$  concentration in  
106 the coastal waters. Due to the complex nature of advection and mixing in this coastal  
107 region, however, these data cannot alone be used to predict the spreading processes of  
108  $^{137}\text{Cs}$  from FNPP in the shelf waters after the leaking started. This is one of the key  
109 reasons why an ocean model was proposed for this purpose.

110 It is not a trivial task for a model to simulate and predict accurately the spatial  
111 distribution and temporal change of the  $^{137}\text{Cs}$  concentration off the Japan coast. Since

112 advection and mixing are two key physical processes that control the spread of  $^{137}\text{Cs}$  in  
113 ocean waters, we need an ocean model that is capable of resolving an integrated coastal  
114 and regional circulation system over scales from a few meters (small scale, e.g. around  
115 FNPP) to a few kilometers (mesoscale) over the shelf. The flow around FNPP and near  
116 the coast is mainly controlled by tidal exchange, winds and local geometry. The  
117 circulation in this shelf region includes the Kuroshio on the south, the Oyashio Current  
118 on the north, Tsugaru Current from Tsugaru Strait, and multiple eddies formed in the  
119 intersection area of these currents (Fig. 1). To simulate the outflow from FNPP, we need  
120 a model with accurate fitting of complex coastal geometry within and around FNPP. The  
121 water over the shelf was always stratified so that water temperature and salinity must be  
122 included in the model simulation. Several regional-scale ocean model exercises have  
123 been made to simulate the  $^{137}\text{Cs}$  spread from FNPP, e.g., Kawamura et al. (2011) and  
124 Tsumune et al. (2012) with a spatial resolution of 2 km or larger, and Estournel et al  
125 (2012) with a resolution of 0.6 km. However, the water exchange between FNPP and the  
126 surrounding ocean is through a ~200-m wide narrow entrance between the two  
127 breakwaters. The FNPP seawall structure between the two discharging canals (namely,  
128 the north and south discharging canals) is ~1300 m. Without sufficient model resolution  
129 to accurately capture the complex pathways of  $^{137}\text{Cs}$  from FNPP, assessments made by  
130 these regional-scale models could be biased with large uncertainty. It is not clear,  
131 however, to what degree this bias could be. Could the bias caused by model resolution  
132 and geometric fitting issues led to a significant different conclusion about the dispersion  
133 of  $^{137}\text{Cs}$  off the Japan coast or reproduce the same distribution with just a small difference

134 in accuracy? To our knowledge, this issue has not been well addressed yet in previous  
135 modeling experiments.

136 Geometric fitting of complex coastlines around FNPP and in coastal regions is a  
137 critical factor to resolve multi-scale geometrically-controlled near-shore advection while  
138 sufficient model resolution is prerequisite of capturing a realistic lateral dispersion. Chen  
139 et al. (2008) conducted a model-dye comparison experiment over Georges Bank, with an  
140 aim of examining the impact of model resolution on lateral dispersion in the coastal  
141 ocean. They found that in order to simulate accurately the observed lateral dispersion  
142 within a tidal mixing front with a spatial variation scale of a few kilometers, model  
143 resolution down to ~500 m or less was required. Overestimation of lateral dispersion due  
144 to model resolution varied in space and time, which could be 4-10 times larger as the  
145 model grid size is bigger than 2-4 km. As a result, the model could point to an unrealistic  
146 conclusion that differed significantly from the dye observations. The dynamical processes  
147 off the FNPP coast are more complicated than on Georges Bank, so that failure to  
148 adequately resolve the important spatial scales in this region might lead to a large lateral  
149 dispersion rate and thus overestimate the offshore spreading of  $^{137}\text{Cs}$  over the eastern  
150 Japan shelf.

151 The biggest challenge for a model to provide an accurate simulation of the spatial  
152 distribution and temporal change of  $^{137}\text{Cs}$  over the Japan shelf is the large uncertainty in  
153 the estimation of the total amount of  $^{137}\text{Cs}$  leaking into the water. The leaking lasted for  
154 months, so that the source was both spatially- and time-dependent (Estournel et al, 2012).  
155 One approach to solve this problem is to treat  $^{137}\text{Cs}$  as a conservative tracer and inversely  
156 determine its source amount by tracking it in the flow field for a relatively short period

157 during which the model-predicted tracer field had the best match to observations. This  
158 method was used to evaluate the total amount of  $^{137}\text{Cs}$  from FNPP in the previous  
159 modeling experiments made by Kawamura et al. (2011), Tsumune et al. (2012), and  
160 Estournel et al. (2012). This method is generally sound, but an adjustment in this type of  
161 inverse tracking could vary from model to model, particularly for the case with different  
162 model resolutions and setups. Due to this uncertainty, the more interesting model  
163 problem, to our opinion, is on gaining knowledge of the sensitivity of the model  
164 assessment results to model skill and configuration rather than on evaluating how well a  
165 model simulates the observed  $^{137}\text{Cs}$  concentration.

166 We, an international research team with members from the University of  
167 Massachusetts-Dartmouth, Woods Hole Oceanographic Institution and Yokohama  
168 National University, have developed a high-resolution global-regional-coastal integrated  
169 seismic-ocean-tracer FVCOM model system to simulate the March 11 earthquake-  
170 induced tsunami, coastal inundation and initial spread of  $^{137}\text{Cs}$ . Taking advantage of the  
171 geometric flexibility of the unstructured triangular grid, the model has a local resolution  
172 of up to 5 m around FNPP and near the coast. Nesting with the global-FVCOM hindcast  
173 field with data assimilation of satellite-derived sea surface temperature and sea surface  
174 height, the high-resolution regional-coastal FVCOM model not only resolved a realistic  
175 regional circulation but also provided a better representation of the water exchange  
176 between FNPP and the surrounding ocean. Built on our success in simulating the  
177 observed tsunami and coastal inundation (Chen et al., in revision), we applied this model  
178 system to track  $^{137}\text{Cs}$  over the period March 26 - August 31, 2011. Our studies were  
179 aimed at assessing the impact of multi-scale physical processes on the initial spread of

180  $^{137}\text{Cs}$  in the coastal region of Japan. The results were presented in several conferences  
181 (Beardsley et al., 2012, Lai et al., 2012, Chen et al., 2012) and many invited talks. A  
182 detailed description and summary of model results are given here.

183

## 184 **2 The model and design of numerical experiments**

185 The  $^{137}\text{Cs}$  was tracked as a conservative tracer in the three-dimensional (3D) flow  
186 field predicted by the high-resolution nested global-coastal FVCOM model over the  
187 period March 26-August 31, 2011 (Fig. 4). Hereafter we refer to the global FVCOM  
188 model as Global-FVCOM and the Japan coastal FVCOM model as JC-FVCOM.  
189 FVCOM is the prognostic, unstructured-grid Finite-Volume Community Ocean Model  
190 originally developed by Chen et al. (2003) and upgraded by the FVCOM team (Chen et  
191 al., 2006a-b, 2012). FVCOM solves the flux form of the governing equations in control  
192 volumes constructed with multi-triangular meshes using a second-order accurate discrete  
193 flux scheme, which provides accurate fitting of irregular coastal geometries and  
194 flexibility in adjusting the grid resolution to capture the key physical processes (Chen et  
195 al., 2007). The finite-volume approach ensures local mass, heat, salt, and tracer  
196 conservation in the sense of numerical computation, which is suitable to trace  $^{137}\text{Cs}$  for  
197 this study. The tracer module of FVCOM was validated through a model-dye comparison  
198 experiment made on Georges Bank in the northern North Atlantic Ocean by Chen et al.  
199 (2008).

200 Global-FVCOM is a fully ocean-ice coupled model covering the entire global ocean  
201 with a grid resolution of  $\sim 2$  km along the eastern Japanese coast (Fig. 4). The vertical  
202 grid discretization was implemented using a hybrid terrain-following coordinate with a



203 total of 45 layers (Chen et al., in revision). The  $s$ -coordinate was used in regions with  
204 depth greater than 225 m, in which 10 and 5 uniform layers with a thickness of 5 m were  
205 specified near the surface and bottom, respectively. The uniform thickness  $\sigma$ -coordinate  
206 was employed in regions of depth less than 225 m. The coordinate transition occurred at  
207 the depth of 225 m where all layers have a uniform thickness of 5 m. Global FVCOM  
208 was driven by astronomical tidal forcing with eight constituents ( $M_2$ ,  $S_2$ ,  $N_2$ ,  $K_2$ ,  $K_1$ ,  $O_1$ ,  
209  $P_1$ , and  $Q_1$ ) and the NCEP reanalysis meteorological forcing fields (surface wind stress,  
210 net heat flux/shortwave irradiation, air pressure gradients, precipitation minus  
211 evaporation ( $P-E$ ), and freshwater discharge from all major rivers along the coast.  
212 Initialized with the assimilated model fields at the end of December 31, 2010, we ran  
213 Global-FVCOM for the period January 1, 2011 - August 31, 2011 for this  $^{137}\text{Cs}$  tracking  
214 experiment. To ensure that Global-FVCOM was capable of capturing the regional  
215 circulation along the eastern Japanese coast, satellite-derived sea surface temperature  
216 (SST) (<http://www.nodc.noaa.gov/SatelliteData/ghrsst>) and AVISO sea surface height  
217 (SSH) ([http://www.aviso.oceanobs.com/en/data/products/sea-surface-height-](http://www.aviso.oceanobs.com/en/data/products/sea-surface-height-products.html)  
218 [products.html](http://www.aviso.oceanobs.com/en/data/products/sea-surface-height-products.html)) were assimilated into the model. Global-FVCOM has been validated  
219 through a 50-year spin-up simulation and a 33-year (1978-2010) hindcast assimilation  
220 (Gao, 2011; Hu et al., 2011)

221 JC-FVCOM was configured with horizontal resolution varying from 2 km near the  
222 boundary nesting with Global-FVCOM to 5-10 m in the nearshore coastal region  
223 (including the FNPP) (Fig. 4). JC-FVCOM had the same hybrid vertical coordinate  
224 system with 45 layers and was forced with the same meteorological forcing as Global-  
225 FVCOM.

226 To assess the importance of resolving the detailed geometry around the leaking  
227 facility on the temporal and spatial distribution of  $^{137}\text{Cs}$  in the coastal region off Japan,  
228 we tracked  $^{137}\text{Cs}$  in two types of flow fields: one from Global-FVCOM with a horizontal  
229 resolution of 2 km along the coast around the FNPP and the other from the nested JC-  
230 FVCOM-Global-FVCOM system with a resolution of 5 m in and around the FNPP. The  
231 first set of experiments used the same approach as previous studies (Kawamura et al.,  
232 2011; Tsumune et al., 2012; and Estournel et al., 2012), in which the  $^{137}\text{Cs}$  discharge was  
233 treated as a point source at the coast in a regional model, while the second set of  
234 experiments had sufficient resolution to simulate the  $^{137}\text{Cs}$  discharge from the FNPP  
235 through the different pathways into the ocean.

236 We adopted a similar approach as used by Tsumune et al. (2012) and Estournel et al.  
237 (2012) to determine inversely the amount of  $^{137}\text{Cs}$  at the source based on the best fit with  
238 observations made at the northern discharge canal (1F-N) and the southern discharge  
239 canal (1F-S) of FNPP (Fig. 2). The model-predicted  $^{137}\text{Cs}$  field was validated with  
240 comparisons to the monitored concentrations at 2F and Iwasawa south of 1F-S and other  
241 TEPCO, MEXT and WHOI measurements data made in the near-shore and offshore  
242 regions.

243 In this study, direct atmospheric loading was not considered. As reported by  
244 Kawamura et al. (2011) and Tsumune et al. (2012), almost all of the  $^{137}\text{Cs}$  atmospheric  
245 deposition into the ocean occurred in March, with very little later. While atmospheric  
246 loading can be easily included in a tracer model, an accurate estimate of the time- and  
247 spatial-dependent  $^{137}\text{Cs}$  loading that occurred during March was not available, so  
248 previous modeling assessments were focused solely on the direct water discharge at the

249 coast. We followed the same strategy in our tracer experiments. To avoid underestimation  
250 due to the lack of atmospheric loading, we started tracking  $^{137}\text{Cs}$  on March 26, 2011, with  
251 the understanding that the model-data mismatch in late March would likely be caused by  
252 atmospheric loading. Since the  $^{137}\text{Cs}$  loading from leaking sources were adjusted by best  
253 fitting with measurements at sites 1F-N and 1F-S at the two canal exits, the model  
254 simulation should indirectly account for some of the actual atmospheric deposition  
255 occurring in late March. Our focus here is to examine the importance of resolving the  
256 complex structure of the FNPP on predicting the initial spread of  $^{137}\text{Cs}$  in the Japanese  
257 coastal region. This approach could help us separate the impacts of the water source on  
258 the oceanic environment from the atmospheric source.

259

## 260 **3 Results**

### 261 **3.1 Comparisons with observations**

262 The results of the high-resolution model case predicted by the nested JC-  
263 FVCOM/Global-FVCOM will be presented first, followed by a comparison with the  
264 Global-FVCOM coarse-resolution model case.

265 The  $^{137}\text{Cs}$  released at the nuclear reactor sites within the FNPP facility flowed out of  
266 the FNPP mainly through the channel bounded by the northern and southern breakwaters  
267 (Fig. 2; Ohnishi, 2012). In our high-resolution model case, which direction and how  
268 much  $^{137}\text{Cs}$  flowed to the 1F-N and 1F-S site outside the breakwaters depended on  
269 whether or not the model was capable of resolving the local water flushing processes  
270 around FNPP. The observed  $^{137}\text{Cs}$  concentration at these two sites varied with the same  
271 trend but slightly difference amplitudes, reached two peaks around the end of March and

272 early April, and then rapidly decayed with time after April 12, 2011 (Fig. 5). By tuning  
273 the amount of  $^{137}\text{Cs}$  at the source, the high-resolution model reproduced these variations  
274 reasonably well. This suggested that the model could provide a realistic flow exchange  
275 process between FNPP and the adjacent ocean.

276 Sites 2F and Iwasawa are located near the coast about 9 and 14 km south of 1F-S,  
277 respectively. The model-data comparison at these two sites again captured the observed  
278 rapid increase in  $^{137}\text{Cs}$  concentration in late March and the gradual decay trend in April  
279 (Fig. 6). But, at these sites the peak concentration of  $^{137}\text{Cs}$  was about 1~2 orders of  
280 magnitude smaller than that at 1F-S.

281 A further comparison was made at eight MEXT sites over the shelf (Fig. 7). The  
282 measurements suggest that, excluding atmospheric loading, a significant amount of  $^{137}\text{Cs}$   
283 in the radioactive water from the FNPP arrived in this shelf area about two weeks after  
284 leaking started. Although the MEXT sites were only about 30 km away from the coast,  
285 the maximum  $^{137}\text{Cs}$  concentrations were about  $10^2$ - $10^3$  lower compared with the peak  
286 values observed at the monitoring site 1F-S. The  $^{137}\text{Cs}$  concentrations at MEXT sites  
287 reached a peak value of  $\sim 100$  Bq/L at the end of April and then rapidly decreased to  $\sim 10$   
288 Bq/L or less during May. The model-computed  $^{137}\text{Cs}$  concentration and its decay trend  
289 with time were in reasonable agreement with observations. For example, at site MEXT-3,  
290 the observed  $^{137}\text{Cs}$  concentration was slightly lower than 100 Bq/L in early April and  
291 lower than 10 Bq/L in early June. These two values and varying trends were well  
292 captured by the model. For the given same time, the model suggested that the  $^{137}\text{Cs}$   
293 concentrations were higher at the northern sites than at the southern sites. It is thought  
294 that the  $^{137}\text{Cs}$  detected at the MEXT sites before April 8 was due to atmospheric

295 deposition (Kawamura et al., 2011; Estournel et al., 2012; Tsumune et al. 2012). Since  
296 neither atmospheric deposition nor an initial field of  $^{137}\text{Cs}$  concentration was set up in the  
297 current study, no direct comparison with observational value recorded during that period  
298 should be made.

299 We next compared the model-computed  $^{137}\text{Cs}$  concentrations with observed data at the  
300 same location and time where measurements were made for all available data sources,  
301 including TEPCO, MEXT, and WHOI. At the surface (Fig. 8), starting in early April, the  
302 model-computed  $^{137}\text{Cs}$  concentration matched well with MEXT and TEPCO  
303 measurements. A mismatch appeared in late March that was likely a result of atmospheric  
304 deposition, which is omitted in our study. TEPCO measurement sites were located in the  
305 near-shore regions close to FNPP. Good agreement between model-computed and  
306 observed  $^{137}\text{Cs}$  concentrations for TEPCO data in April through August suggests that the  
307 high-resolution model succeeded in resolving the advection and dispersion processes near  
308 the coast. A good match was also found for MEXT data from April to May, indicating  
309 that the model was also robust to capture these physical processes in the inner shelf  
310 region over a time scale of a month after leaking started. The model, however, tended to  
311 overestimate the  $^{137}\text{Cs}$  concentrations recorded during the WHOI June survey and at  
312 MEXT sites in August. The WHOI survey started with one transect roughly around the  
313 200-m isobath 30 km from the coast followed by other transects across the slope and  
314 eastward Kuroshio main stream. Placing both model-computed and observed  $^{137}\text{Cs}$   
315 concentrations at measurement sites and creating images based on these data (Fig. 9), we  
316 can see that the model was robust in predicting the spatial distribution of  $^{137}\text{Cs}$   
317 concentration that were observed during the WHOI June survey, but it tended to

318 overestimate the size of the  $^{137}\text{Cs}$  concentration plume and its values in the mid-shelf and  
319 slope regions.

320 Near the bottom (Fig. 10), however, the model-computed  $^{137}\text{Cs}$  concentration was  
321 generally lower than the observed values at both TEPCO and MEXT monitoring sites.  
322 The overestimation at the surface and underestimation near the bottom implies that in  
323 addition to vertical diffusion and mixing, there were other physical processes that were  
324 responsible for a downward flux of  $^{137}\text{Cs}$  in the water column. By adding a sinking term  
325 in the  $^{137}\text{Cs}$  tracer model, one should be able to improve the simulation results. The  
326 critical issue is that such a sinking term is related to sedimentation over the shelf with the  
327 sinking velocity varying significantly with different types of sediment. We will discuss  
328 this issue in the next section.

329

### 330 **3.2 Comparisons between high- and coarse-resolution models**

331 The  $^{137}\text{Cs}$  was mainly transported into the Japan's coastal shelf through a pumping-  
332 like process from the narrow exit between the FNPP northern and southern breakwaters.  
333 As a result of tidal flushing and dumping of cooling water into FNPP, the maximum  
334 outflow at the exit was about  $\sim 2$  m/s. This strong outflow was jet-like and formed a  
335 cyclonic vortex initially due to shear instability (Fig. 11). With a continuous supply of  
336 water from the exit, this vortex became large and then separated into several large  
337 cyclonic and anticyclonic vortexes in late March before entering the continental shelf  
338 where the regional-scale circulation became dominant. Once the  $^{137}\text{Cs}$  tracer was over the  
339 shelf where the water depth was 50-100 m or deeper, its spread was strongly influenced  
340 by the local wind and regional circulation (Fig. 12). In April, the tracer appeared like a

341 coastal plume, which moved forth and back in the south-north direction along the coast.  
342 In May, the  $^{137}\text{Cs}$  plume was still constrained within the coastal region but had a  
343 significant southward transport. It arrived in the southern region about 180 km south of  
344 FNPP in mid-May, where a portion of the  $^{137}\text{Cs}$  was carried offshore by the eastward-  
345 flowing Kuroshio. At the same time, the northward wind caused coastal upwelling and  
346 wind-induced Ekman flow advected and dispersed the  $^{137}\text{Cs}$  plume offshore. In July and  
347 August, the plume was predominantly transported towards the north and gradually  
348 dispersed into the interior of the Pacific Ocean. These results are consistent with the  $^{137}\text{Cs}$   
349 samples collected at Hasaki - a coastal station 180 km south of FNPP by Aoyama et al.  
350 (2012) and measurements made at ten sites along the northern coast of Sanriku and  
351 Tsugaru Strait north of FNPP by Inoue et al. (2012).

352 To our knowledge, all previous model assessments of the  $^{137}\text{Cs}$  spreading were made  
353 with a regional-scale model without resolving the geometry of FNPP. Key questions here  
354 are: is the initial pumping process from FNPP critical for a model to produce a realistic  
355 spread of  $^{137}\text{Cs}$  from the FNPP, or could the near-shore process be ignored if one is only  
356 interested to predict the  $^{137}\text{Cs}$  spread over a regional scale? To address these questions,  
357 we followed the methods used in previous model assessments and tracked  $^{137}\text{Cs}$  in the  
358 flow field predicted by Global-FVCOM.

359 In this regional model case, because the 2-km resolution grid was unable to resolve  
360 the FNPP facility and breakwater complex, the leaking  $^{137}\text{Cs}$  was treated as a point source  
361 with the same rate of release used in the high-resolution model. The resulting spread of  
362  $^{137}\text{Cs}$  predicted by Global-FVCOM differed significantly from the high-resolution model  
363 case. At 4:00 GMT March 26, for example, the high-resolution nested model showed that

364 the  $^{137}\text{Cs}$  tracer was still around the FNPP exit, but the Global-FVCOM-computed  $^{137}\text{Cs}$   
365 concentration was distributed symmetrically in relation to the point source and covered a  
366 much larger area with a width of  $\sim 0.2^\circ$  in latitude along the coast (Fig. 12: upper panels).  
367 At 00:00 GMT June 1, the  $^{137}\text{Cs}$  concentration predicted by Global-FVCOM had spread  
368 in the entire shelf and slope region up to  $43^\circ\text{N}$ , while the  $^{137}\text{Cs}$  concentration computed  
369 by the high-resolution nested model remained high near the coast and no tracer was found  
370 north of  $41^\circ\text{N}$  (Fig. 12: lower panels). It is clear that Global-FVCOM significantly  
371 overestimated the size of the plume. As a result, the model-computed  $^{137}\text{Cs}$   
372 concentrations were significantly lower than observations at both near-shore and offshore  
373 measurement sites (Fig. 13).

374 The high- and coarse-resolution model results can help us understand why previous  
375 modeling efforts failed to reproduce the temporal variation of the  $^{137}\text{Cs}$  concentration  
376 over the shelf region. Applying a 2-km resolution Regional Ocean Model System (ROMs)  
377 to the Japanese coast, Tsumune et al. (2012) conducted a tracer experiment to predict the  
378  $^{137}\text{Cs}$  spread over the shelf. The model did capture the  $^{137}\text{Cs}$  concentration peak at  
379 MEXT-8 in mid-April, but significantly underestimated  $^{137}\text{Cs}$  concentrations at the other  
380 MEXT-1 to MEXT-7 sites. Coincidentally, Estournel et al. (2012) reported that their  
381 model also underestimated  $^{137}\text{Cs}$  concentrations at all MEXT sites, even though they  
382 increased the grid resolution to 600 m. They attributed this underestimation to the lack of  
383 information on the river discharge in the model which could cause a thin, low-salinity  
384 surface layer and enhance the offshore transport under the influence of wind. Our results,  
385 however, suggest that in order to reproduce the observed spread of  $^{137}\text{Cs}$  over the shelf, a  
386 model needs to resolve the realistic coastal geometry of the FNPP and adjacent region. In



387 order to reproduce the dispersion process from the leaking source to 1F-N and 1F-S, a  
388 model must be capable of resolving the complex small-scale vortex current field that  
389 controlled the water exchange or pumping around FNPP. Failure to capture this initial  
390 pumping process could lead to the unrealistic  $^{137}\text{Cs}$  spreading over the shelf.

391 As we pointed out in the introduction, the spread of  $^{137}\text{Cs}$  is controlled mainly by  
392 advection and dispersion processes. Chen et al. (2008) derived analytically the governing  
393 equations controlling the movement of the center of a small-scale dye patch in the coastal  
394 ocean. The equations indicate that after the dye is released, the movement of the dye  
395 patch is driven by the ensemble velocity integrated through the dye patch and the  
396 concentration flux related to the vertical shear of the horizontal velocity of the dye patch.  
397 Considering a dye patch that moves conservatively in the ocean, the total amount of the  
398 dye remains unchanged, but its concentration can change significantly as a result of  
399 deformation of the dye patch due to vertical and lateral dispersion that are related to  
400 velocity shears and turbulent diffusion. In order to capture the dye spreading, it is critical  
401 to resolve the realistic vertical and lateral diffusion processes. For many coastal ocean  
402 models, the horizontal diffusion is parameterized using a Smagorinsky eddy  
403 parameterization method (Smagorinsky, 1963), which depends on the model resolution  
404 and velocity shears.

405 Our results indicate that an underestimation of  $^{137}\text{Cs}$  concentration over the shelf  
406 predicted by Global-FVCOM was mainly due to the overestimation of  $^{137}\text{Cs}$  spreading in  
407 the coastal region. This overestimation was caused by insufficient grid resolution to  
408 capture realistic lateral diffusion. This explanation can be applied to previous regional

409 model simulations and emphasize the critical importance of model resolution in the  
410 parameterization of lateral diffusion.

411

#### 412 **4 Discussion**

413 Like previous modeling efforts, we treated  $^{137}\text{Cs}$  as a dissolved conservative tracer  
414 without including flocculation processes to suspended sediments. The overestimation at  
415 the surface and underestimation near the bottom in the model-predicted  $^{137}\text{Cs}$   
416 concentration implies that sedimentation processes should be included if one attempts to  
417 make an accurate prediction of the spread of  $^{137}\text{Cs}$  over the shelf. This finding was also  
418 anticipated by Estournel et al. (2012) who suggested that an overestimation of the  
419 predicted  $^{137}\text{Cs}$  concentration can probably be caused by ignoring a sinking term in the  
420 tracer equation. The suggestions from these modeling experiments are supported by the  
421  $^{137}\text{Cs}$  concentration levels found in the bottom sediment layer at monitoring sites along  
422 the Japanese coast. At many monitoring sites in the shelf region between the 50-m and  
423 200-m isobaths, the observed  $^{137}\text{Cs}$  concentration in sediments increased significant with  
424 time (Fig. 14). In monitoring sites around FNPP, the sediment  $^{137}\text{Cs}$  concentrations  
425 showed high values before July and then decreased rapidly with time afterward (Fig. 15).  
426 A simple estimation indicates that during April-June, the model-data discrepancy values  
427 at the surface and near the bottom were about 48% and -39% in the coastal area. So,  
428 without adding a sinking term in the  $^{137}\text{Cs}$  tracer equation, about 9% more of the total  
429 amount of  $^{137}\text{Cs}$  remained in the seawater than what was measured. Assuming these extra  
430 amounts were deposited in the sediment through sedimentation, this means that at least 9%  
431 of the discharged  $^{137}\text{Cs}$  was removed by sedimentation. The rapid drop in  $^{137}\text{Cs}$

432 concentrations at monitoring sites in the coastal region around FNPP after July implies  
433 that the  $^{137}\text{Cs}$  in sediment layers could be re-suspended and carried offshore through  
434 advection and mixing processes. This can be inferred since the observation data showed  
435 that the concentration of radioactive materials through the end of July remained higher  
436 than expected in the coastal region (Buesseler et al., 2011). Because these processes  
437 varied significantly in space and time and the lack of knowledge about the  $^{137}\text{Cs}$ -sediment  
438 flocculation processes, it was not feasible to attempt to include sedimentation in our  $^{137}\text{Cs}$   
439 tracking experiments.

440

## 441 **5 Summary**

442 A high-resolution global-coastal nested ocean model was developed to simulate the  
443 initial spreading of  $^{137}\text{Cs}$  over the Japan shelf after the March 11, 2011 Fukushima Dai-  
444 ichi Nuclear Power Plant failure. With sufficient resolution to resolve the complex water  
445 exchange process between the FNPP and adjacent coastal ocean, this nested model  
446 succeeded in reproducing the temporal variation and spatial distribution of  $^{137}\text{Cs}$  over the  
447 shelf during the April-August period. The comparison between high-resolution nested  
448 and regional-scale models clearly showed that given the same discharge of  $^{137}\text{Cs}$ , the  
449 model-predicted spreading of  $^{137}\text{Cs}$  was sensitive not only to model resolution but also  
450 geometric fitting. Failure to capture this initial dispersion process from the leaking source  
451 to the 1F-N and 1F-S monitoring sites could lead to an unrealistic prediction of  $^{137}\text{Cs}$   
452 spreading over the shelf. A coarse-resolution (~2 km) regional scale model overestimated  
453 lateral diffusion and thus caused faster dispersion of  $^{137}\text{Cs}$  from the coast to the deep  
454 ocean.

455 The  $^{137}\text{Cs}$  spreading process predicted by the high-resolution nested model was in  
456 good agreement with measurements over the inner shelf, but showed an overestimation at  
457 the surface and underestimation near the bottom in the offshore region. These model-data  
458 discrepancies were mainly due to the assumption that treated  $^{137}\text{Cs}$  as a dissolved  
459 conservative tracer without inclusion of flocculation processes to suspended sediments.  
460 The importance of sedimentation was evident in both model results and  $^{137}\text{Cs}$   
461 measurements made in the bottom sediment at monitoring sites in the coastal region.

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591 **Figure Captions**

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593 Figure 1. Schematic of the regional circulation pattern with an enlarged view of the  
594 Fukushima Daiichi Nuclear Power Plant.

595 Figure 2. Locations of the north discharge canal (1F-N) and the south discharge canal  
596 (1F-S), the 2F and Iwasawa stations at south of 1F-S and the eight MEXT  
597 sampling sites 30 km off the coast. The filled triangle indicates the location of the  
598 discharge source for leaking  $^{137}\text{Cs}$ .

599 Figure 3. Location of MEXT, TEPCO and WHOI monitoring and survey measurement  
600 sites. Lower-left panel is an enlarged view of the near-shore monitoring sites  
601 bounded by a dashed line box.

602 Figure 4. A view of model grids for the global-Japan coastal nested FVCOM system used  
603 in this study. The Global-FVCOM grid covers the entire global ocean with a  
604 horizontal resolution of 2 km in the Japanese coastal region (shown in the right  
605 panel). The blue line in the right panel indicates the nesting boundary that link  
606 Global-FVCOM and the Japan coastal FVCOM (JC-FVCOM). The left panel is  
607 an enlarged view of JC-FVCOM.

608 Figure 5. Comparisons of model-computed and observed  $^{137}\text{Cs}$  concentrations at 1F-N  
609 and 1F-S over the period March 26 to June 8, 2011.

610 Figure 6. Comparisons of model-computed and observed  $^{137}\text{Cs}$  concentrations at 2F and  
611 Iwasawa over the period March 26 to June 8, 2011.

612 Figure 7. Comparisons of model-computed and observed  $^{137}\text{Cs}$  concentrations at eight  
613 MEXT monitoring sites (30 km off the coast) for the period April 1 to June 8,  
614 2011.

615 Figure 8. Logarithmic ratio of the high-resolution nested model-computed surface  $^{137}\text{Cs}$   
616 concentration to the observation at MEXT, TEPCO and WHOI measurement sites  
617 over the period March 26 to August 31, 2011.

618 Figure 9. Comparisons of high-resolution nested model-computed and observed (from the  
619 June WHOI survey) surface  $^{137}\text{Cs}$  concentrations at the survey sites in June, 2011.

620 Figure 10. Logarithmic ratio of the model-computed  $^{137}\text{Cs}$  bottom concentration to the  
621 observation at MEXT and TEPCO measurement sites over the period March 26 to  
622 August 31, 2011.

623 Figure 11. Distributions of the high-resolution nested model computed surface  $^{137}\text{Cs}$   
624 concentration around FNPP at 01:00, 02:00, 03:00 and 04:00 GMT, March 26, 2011.  
625 Label “C” indicates the location of a cyclonic vortex, and label “A” indicates the  
626 location of an anti-cyclonic vortex.

627 Figure 12. Distributions of the high-resolution nested model computed surface  $^{137}\text{Cs}$   
628 concentration in the Japan’s coastal region at 15:00 GMT April 15; 15:00 GMT May  
629 15; 00:00 GMT July 1; and 00:00 GMT August 1, 2011.

630 Figure 13. Comparisons of distributions of the  $^{137}\text{Cs}$  concentrations predicted by the  
631 nested Global-FVCOM and JC-FVCOM model (left panels) and the Global-FVCOM  
632 (right panels) at 04:00 GMT March 26 and 00:00 GMT June 1, 2011.

633 Figure 14. Logarithmic ratio of the Global-FVCOM-computed surface  $^{137}\text{Cs}$   
634 concentration to the observation at MEXT and TEPCO measurement sites during  
635 April and May, 2011.

636 Figure 15. Time series of the  $^{137}\text{Cs}$  concentration (unit: Bq/kg) measured in sediments in  
637 the outer-shelf monitoring sites during April to October 2011.

638 Figure 16. Time series of the  $^{137}\text{Cs}$  concentration (unit: Bq/kg) measured in sediments in  
639 the near-shore monitoring sites during June to December 2011.

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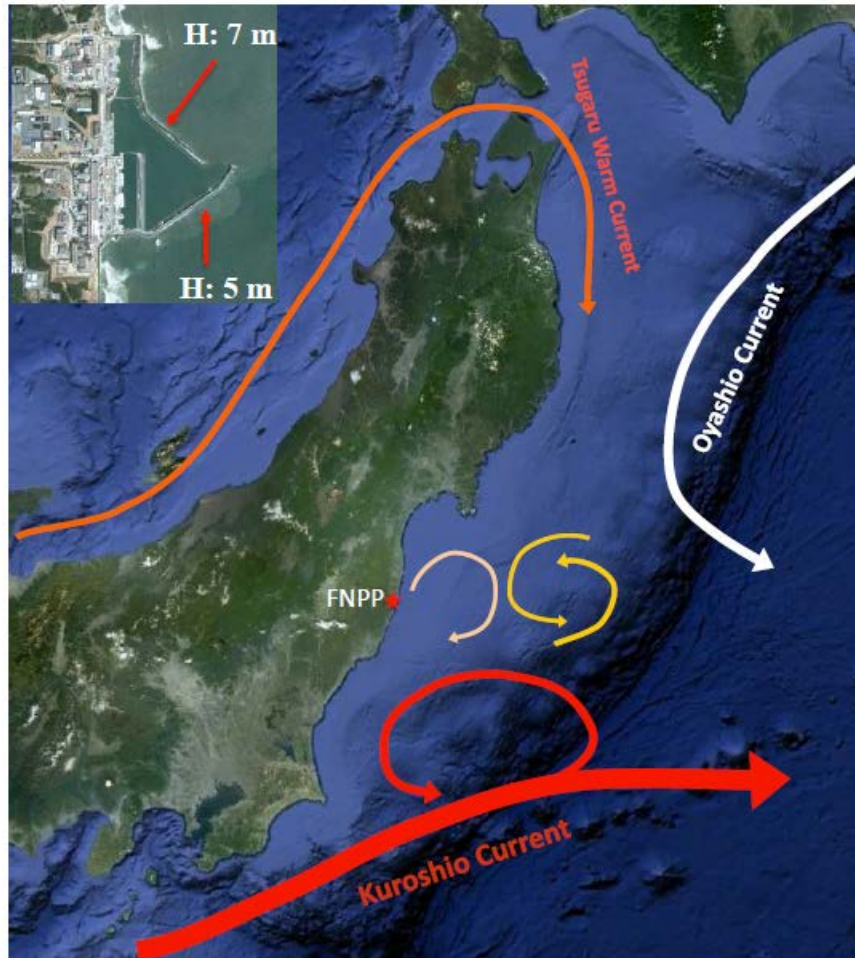
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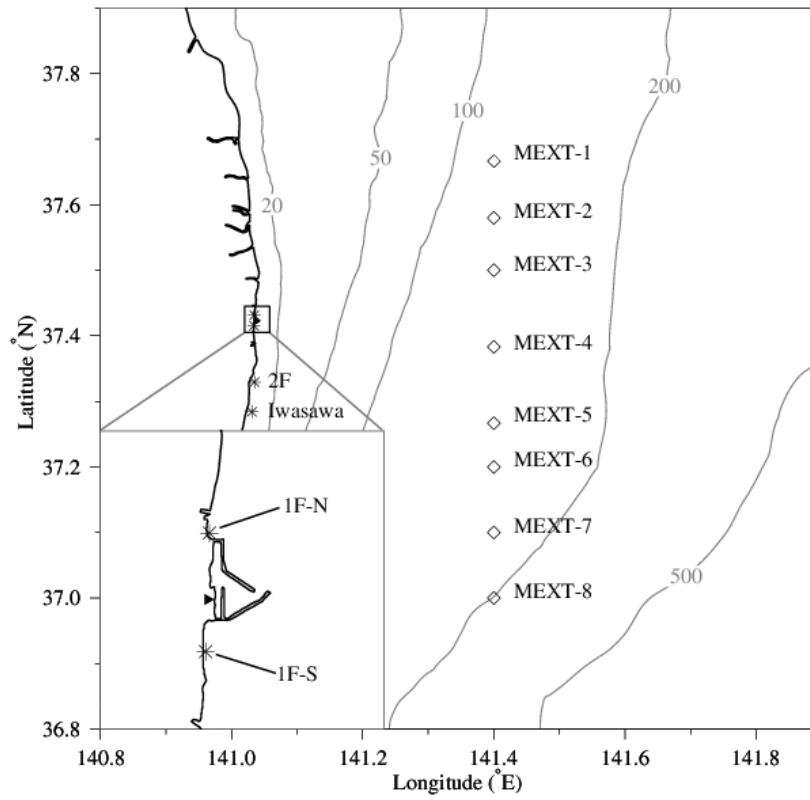
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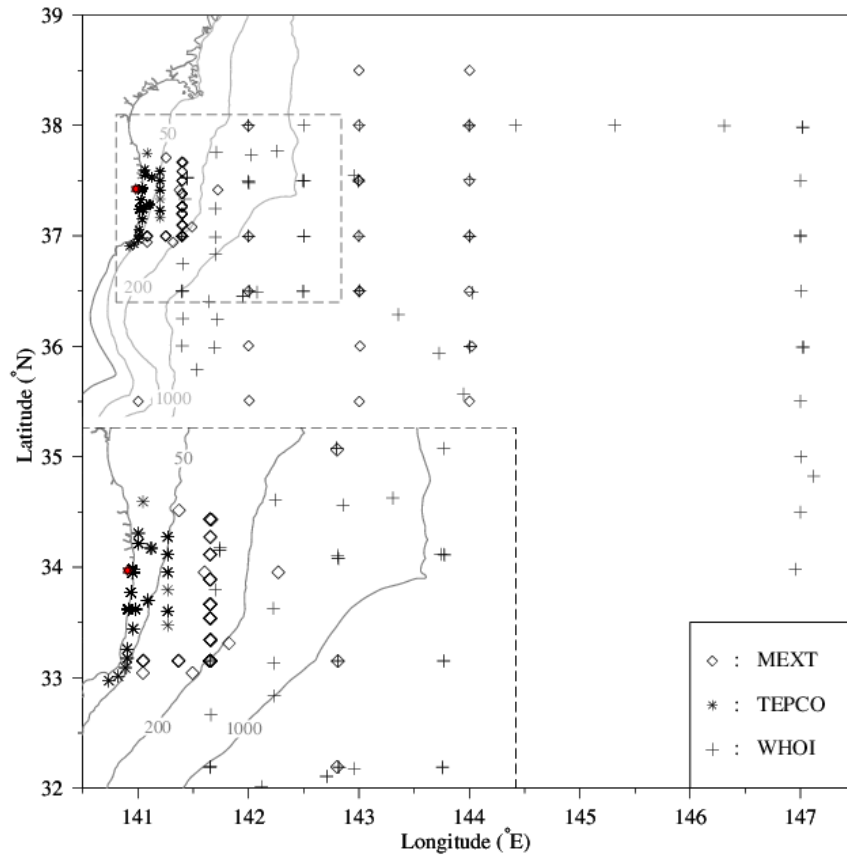
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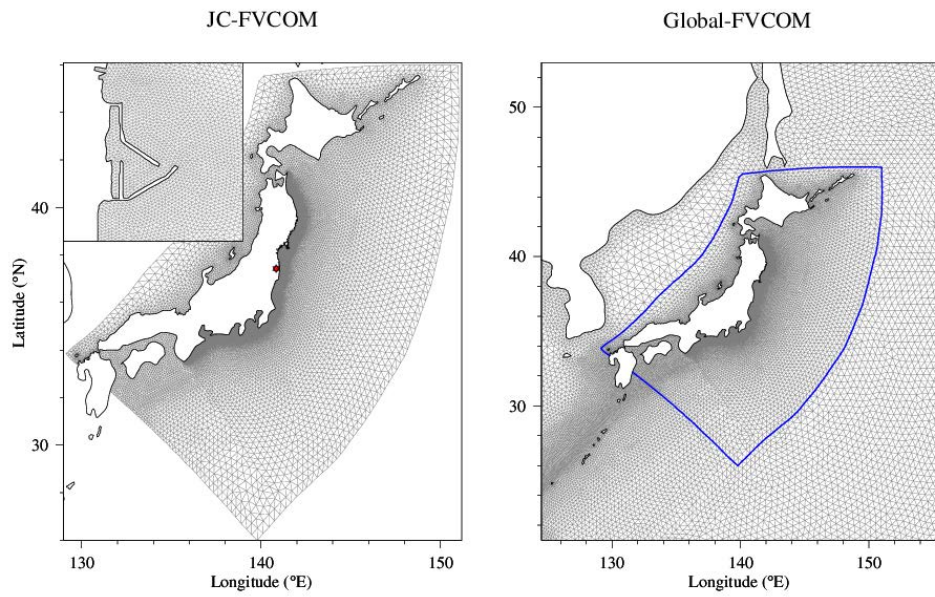
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691 Fig. 3

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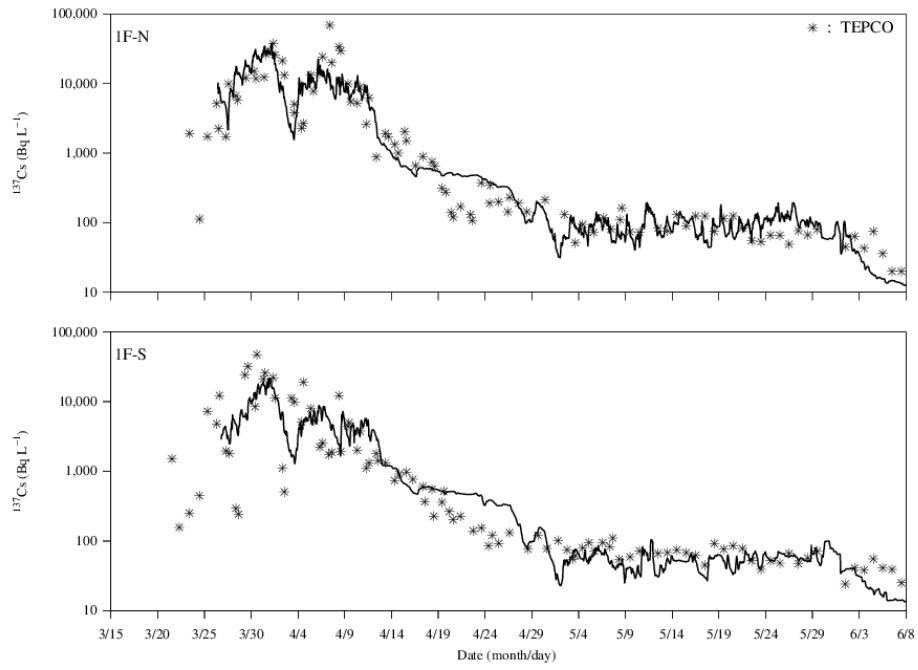
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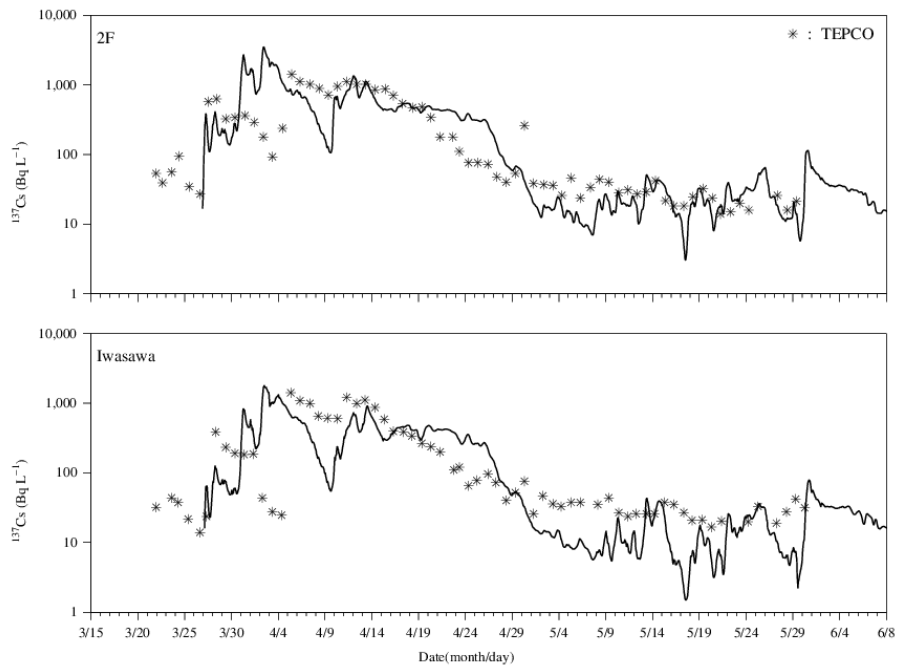
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722 Fig. 5

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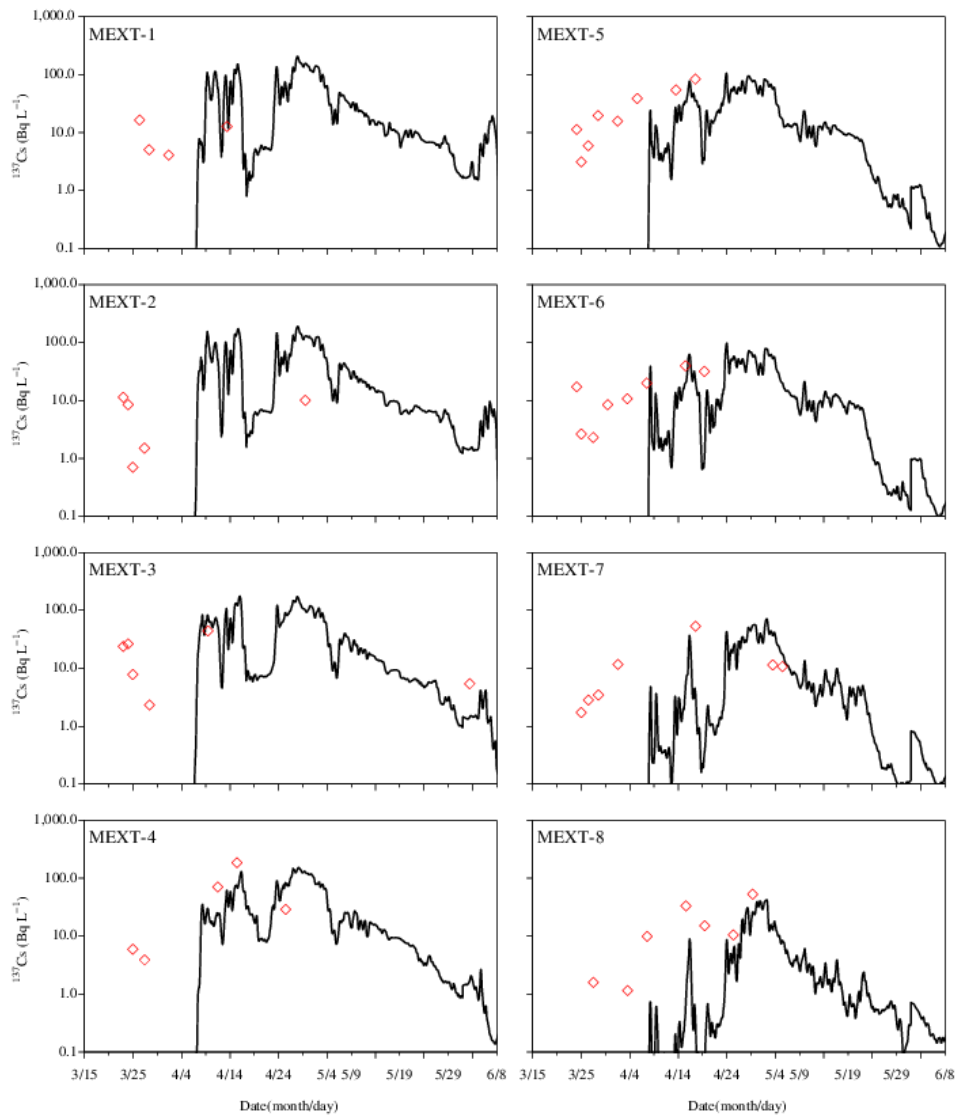
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737 Fig. 6

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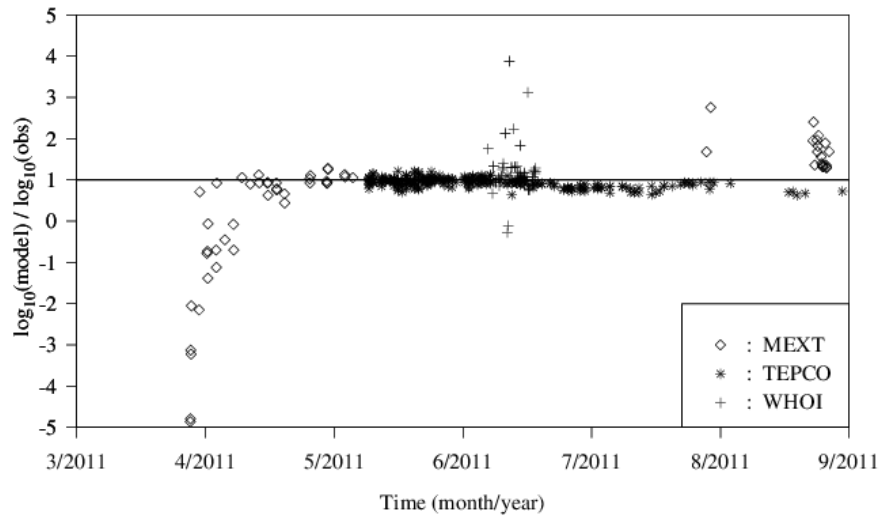
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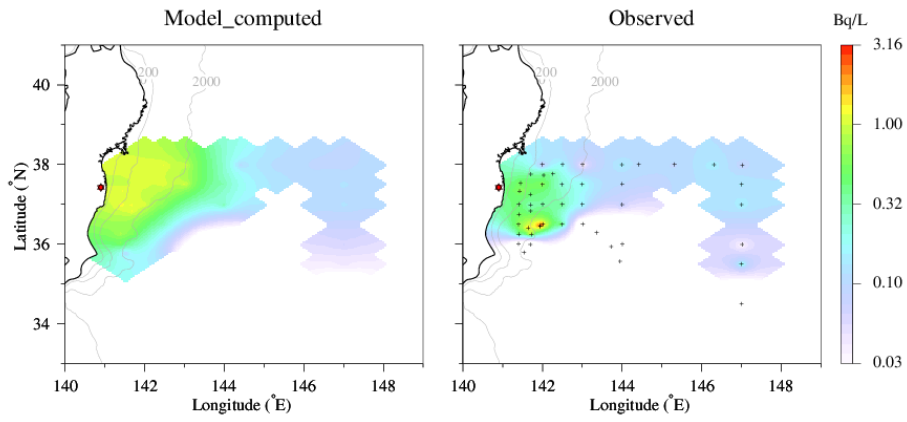
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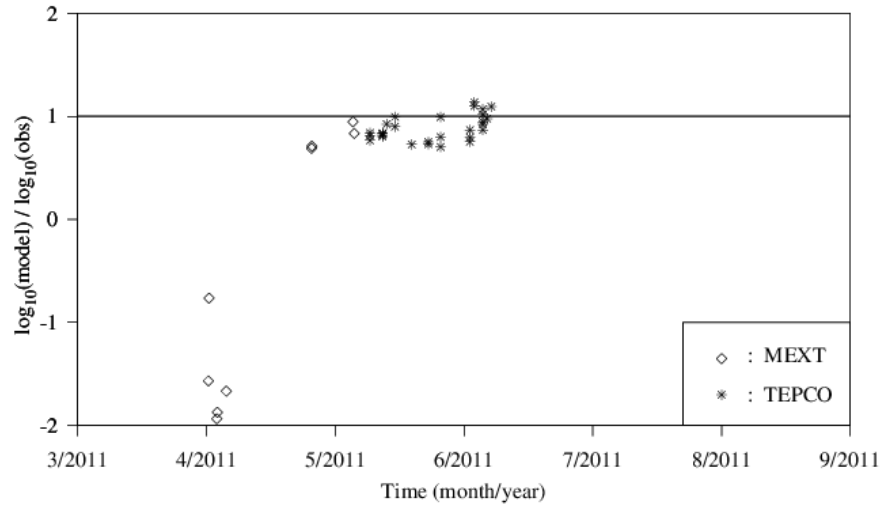
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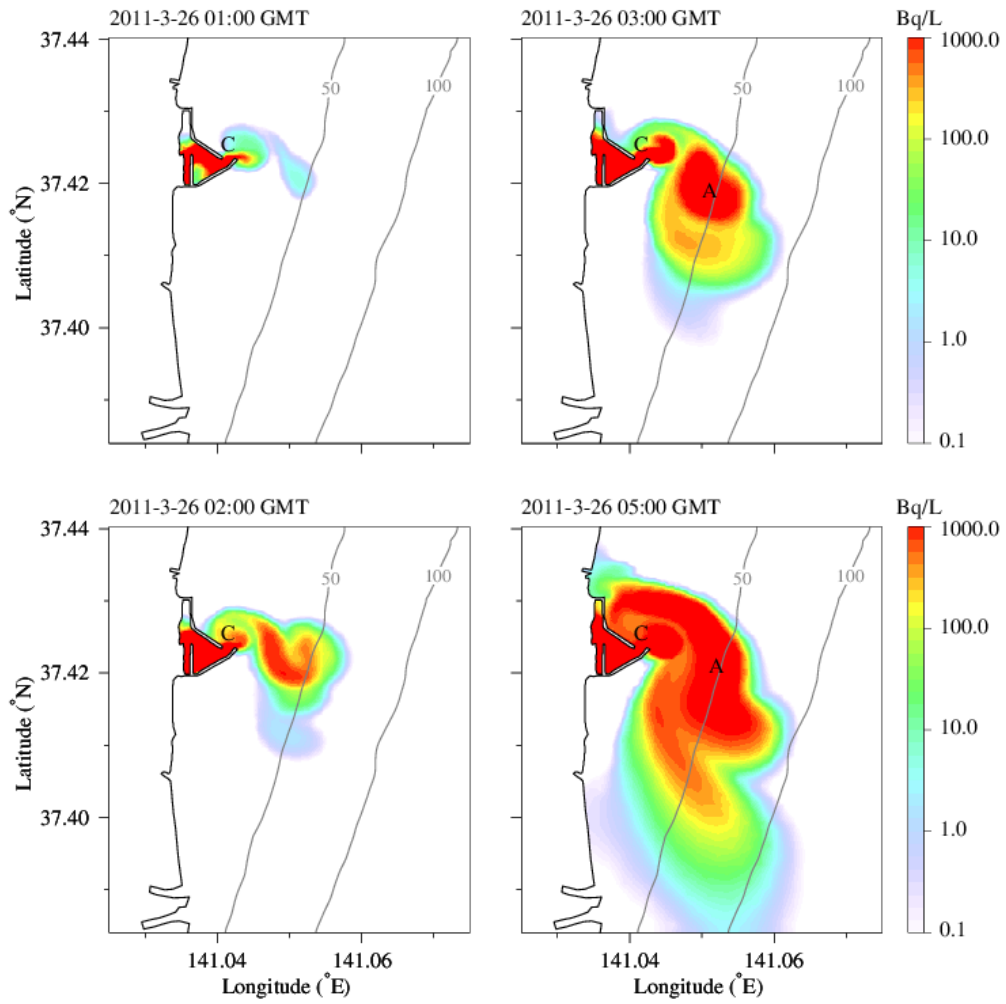
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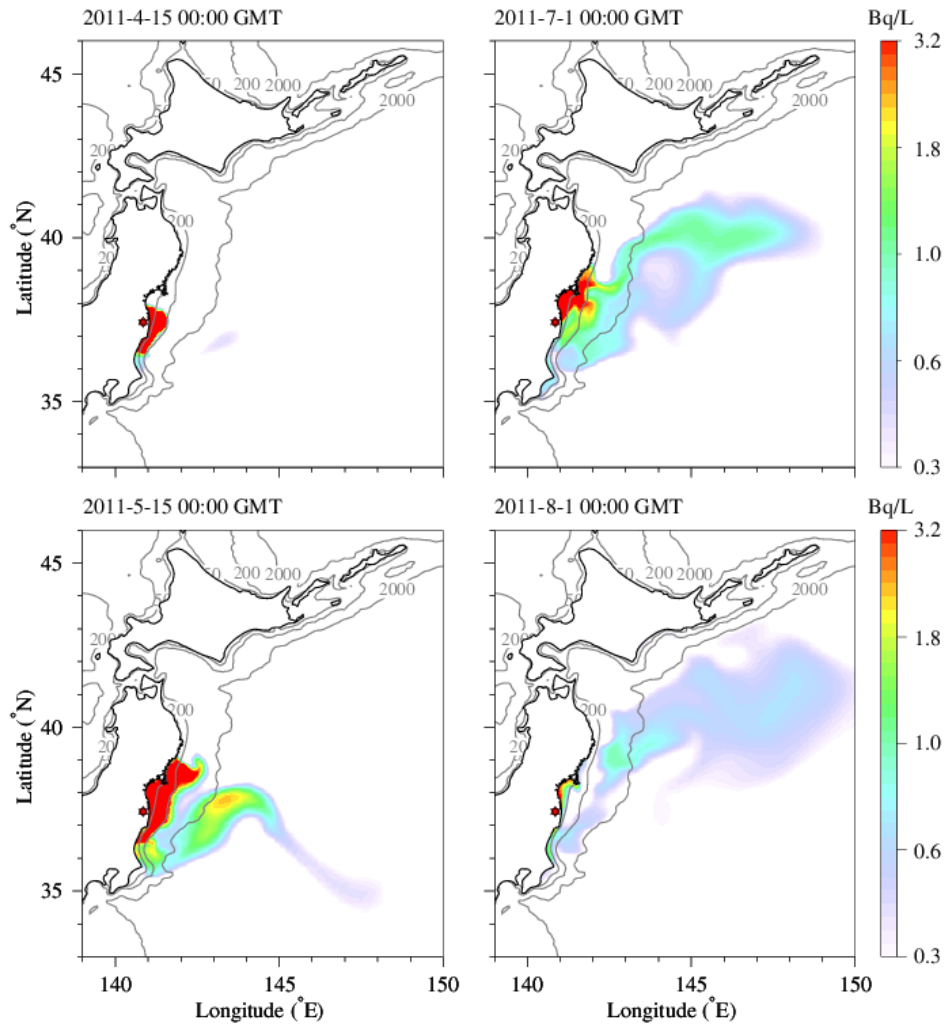
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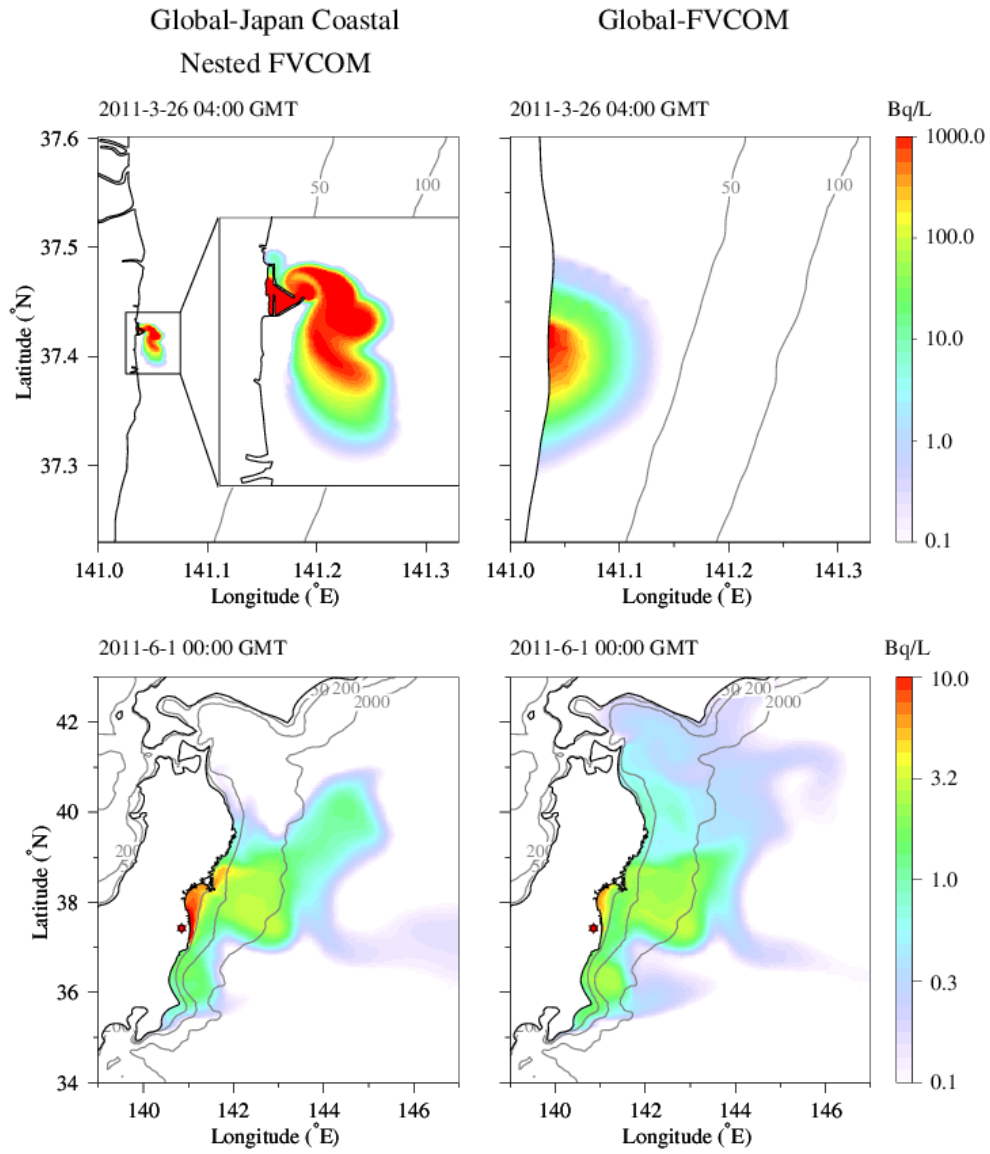
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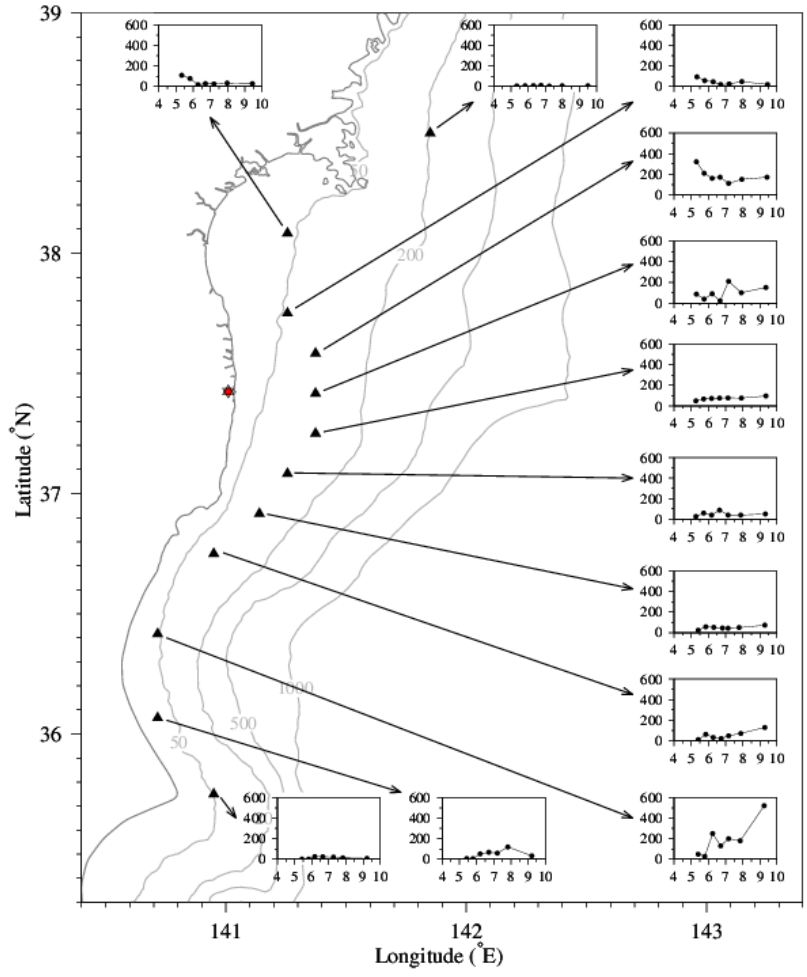
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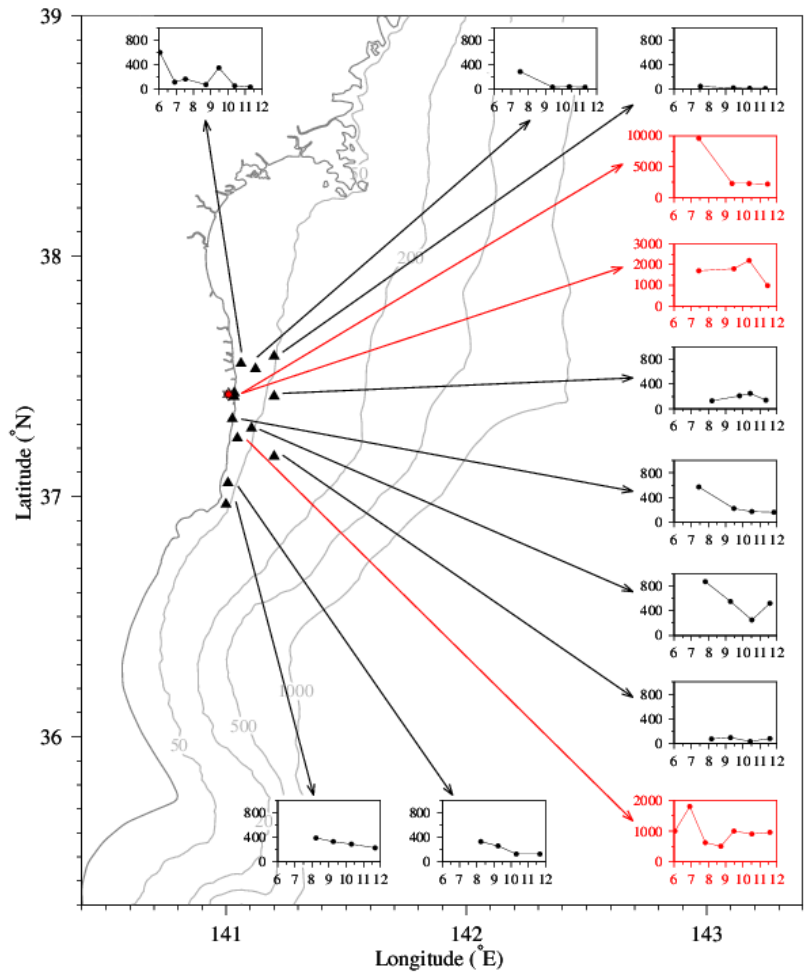
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865 Fig. 16