

1 **A Meta-Analysis on the Impacts of Partial Cutting on**
2 **Forest Structure and Carbon Storage**

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16

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36 **Abstract**

37 Partial cutting, which removes some individual trees from a forest, is one of the major
38 and widespread forest management practices that can significantly alter both forest
39 structure and carbon (C) storage. Using 744 observations from 81 studies published
40 between 1973 and 2011, we synthesized the impacts of partial cutting on three
41 variables associated with forest structure (i.e., mean annual growth of diameter at
42 breast height (DBH), basal area (BA), and volume) and four variables related to
43 various C stock components (i.e., aboveground biomass C (AGBC), understory C,
44 forest floor C, and mineral soil C). Results show that the growth of DBH elevated by
45 112% after partial cutting, compared to the uncut control, while stand BA and volume
46 reduced immediately by 34% and 29%, respectively. On average, partial cutting
47 reduced AGBC by 43%, increased understory C storage by 392%, but did not show
48 significant effects on C storages on forest floor and in mineral soil. All the effects on
49 DBH growth, stand BA, volume, and AGBC intensified linearly with cutting intensity
50 (CI) and decreased linearly with the number of recovery years (RY). In addition to the
51 strong impacts of CI and RY, other factors such as climate zone and forest type also
52 affected forest responses to partial cutting. The data assembled in this synthesis were
53 not sufficient to determine how long it would take for a complete recovery after
54 cutting because long-term experiments were scarce. Future efforts should be tailored
55 to increase the duration of the experiments and balance geographic locations of field
56 studies.

57

58 **1 Introduction**

59 Forests cover 31% of the total land area globally and play a major role in the
60 global carbon (C) cycle (FAO, 2010; Pan et al., 2011). They experience various

61 disturbances, often with increasing frequency and severity unseen in recorded history
62 (Asner et al., 2005; DeFries et al., 2010; Masek et al., 2011). Partial cutting, one of
63 the major forest management activities in many regions of the world (Houghton, 2005;
64 Peres et al., 2006), removes some tree individuals from forests to serve various
65 purposes including enhancement of wood production, management of species
66 composition and stand structure, and reduction of fire risk (Kolb et al., 1998; Harvey
67 et al., 2002; Frey et al., 2003; McDowell et al., 2006; Campbell et al., 2009). Partial
68 cutting is known to cause significant impacts on both forest structure and functions
69 (Reich, 2011; Goetz et al., 2012). It can alter tree spacing, density, and size
70 distribution, and affect carbon exchange between the biosphere and the atmosphere
71 (Vesala et al., 2005; Dwyer et al., 2010; Huang and Asner, 2010).

72 The impact of forest cutting, especially partial cutting, has been identified as one
73 of the major knowledge gaps in regional and global C accounting (Liu et al., 2011;
74 Goetz et al., 2012). Most studies have been performed at plot scale (e.g., Bunker et al.,
75 2005; Vargas et al., 2009; Navarro et al., 2010), and the experimental results from
76 individual studies are highly variable mainly because of the differences in cutting
77 intensity (CI) and length of recovery years (RY) after cutting. For example, some
78 studies reported substantial increases in the growth of tree diameter at breast height
79 (DBH) (Guariguata, 1999; Vesala et al., 2005), stand basal area (BA) (Vargas et al.,
80 2009), tree volume (Curtis et al., 1997; Smith, 2003), and some C stocks (Lee et al.,
81 2002; Kunhamu et al., 2009; Horner et al., 2010; Navarro et al., 2010) following
82 partial cutting, whereas many showed insignificant or opposite impacts on these forest
83 properties (Sawadogo et al., 2005; Chan et al., 2006; Lindquist, 2007; Peña-Claros et
84 al., 2008; Skovsgaard, 2009; Campbell et al., 2009). The diversified and seemingly
85 inconsistent results across various studies preclude our comprehensive understanding

86 of forest cutting and hinder the extrapolation of experimental results to predict
87 long-term change of forest ecosystem and C dynamics at regional and global scales
88 (Luo et al., 2006).

89 To our knowledge, two syntheses examining the changes of soil C stock after
90 forest cutting in temperate forests (Nave et al., 2010) and global forests (Johnson and
91 Curtis, 2001) have been conducted to date. Both studies found the change of soil
92 carbon in mineral soils was insignificant. The C storage on the forest floor either
93 decreased (Nave et al., 2010) or changed insignificantly (Johnson and Curtis, 2001)
94 following forest cutting. Nevertheless, these two syntheses concentrated on soil C
95 dynamics, and their focus was on clear cutting rather than partial cutting. Apparently,
96 a comprehensive assessment on the impacts of partial cutting on the structure and C
97 dynamics in forest ecosystems is needed for a better understanding and quantification
98 of its role in the C cycle (Pregitzer and Euskirchen, 2004; Huang and Asner, 2010;
99 Goetz et al., 2012). In this paper, we analyzed and synthesized 744 observations from
100 81 field studies published between 1973 and 2011 and examined changes in various
101 forest structural characteristics and C stock components induced by partial cutting.

102 **2 Methods**

103 **2.1 Data Sources**

104 Data were collected from relevant scientific papers published by the end of
105 December 2011. We searched the online databases Web of Knowledge
106 (<http://isiknowledge.com>) and Google scholar (<http://scholar.google.com>) for
107 available papers published in the English language using keywords “thinning,”
108 “selective cutting,” “partial cut,” “harvesting,” “management treatment,” or
109 “silvicultural treatment” (in title, abstract, or keywords) and theme “forest”. The
110 following three criteria were used to select data from papers for this synthesis. First,

111 studies without control (i.e., an uncut forest plot) were excluded. Without control plots,
112 it is impossible to do the paired comparison to analyze the relative changes induced
113 by partial cutting over time. Second, modeling studies were excluded because our
114 synthesis was based on field observations. Finally, papers that did not report CI and
115 those dealing with repeated cuttings were also excluded. After scanning all the papers
116 returned, we compiled a database of 81 papers published between 1973 and 2010 that
117 reported the impacts of partial cutting on either the forest structure and/or C storage
118 (Fig. S1).

119 Cutting intensity (i.e., CI) has been defined differently in the collected 81
120 publications, using either the amount of volume, BA, or stems removed from or
121 remained in the stand. In this paper, we define CI as the removed (not remaining)
122 fraction of volume, stand BA, or stems in the stand during cutting operations. If the CI
123 is defined otherwise in the original papers, we have converted it to our definition.

124 The raw data were either extracted from published tables or obtained by
125 digitizing published graphs using GetData Graph Digitizer 2.24 (free software
126 downloaded from <http://getdata-graph-digitizer.com>). The final constructed dataset
127 consists of 744 observations, with the longest RY being 42 years after cutting. It
128 includes three variables associated with forest structure (annual mean DBH growth,
129 stand BA, and volume) and four variables related to various C stock components
130 (aboveground biomass C (AGBC), understory C storage, forest floor C, and mineral
131 soil C (with a depth of 0-15 cm)) (Table S1). We defined the depth of mineral soil as
132 0-15cm in this analysis because about 82% of the studies reported the soil C sampled
133 in this layer. The other studies (i.e., *Yang et al., 2001*; *Gundale et al., 2005*) that
134 provided soil C at the depth of 0-10cm were also utilized directly without further
135 transformation in order to include observations as many as possible. In addition, each

136 record includes information regarding its geographic location (longitude and latitude),
137 climate information (tropical, subtropical, Mediterranean, temperate continental,
138 temperate maritime, and boreal), forest type (coniferous, broadleaf, and mixed), and
139 definition of CI (defined by stem number, stand BA, or volume) which were easily
140 extracted from the publications. If the paper did not report the climate information, we
141 determined it according to the geographic location of the study sites.

142 **2.2 Meta-analysis**

143 The percent relative change (RC) in any of the seven variables following partial
144 cutting was calculated as follows (Laganière et al., 2010; Power et al., 2011):

$$145 \quad RC = (CUT_t - CON_t) / CON_t * 100$$

146 where CUT_t is the value of a given variable from the cutting stand at time t , and CON_t
147 is the value from the control at time t . Thus, negative RC indicates a negative
148 response to partial cutting and positive RC indicates a positive response.

149 Many of the studies did not report any measure of variance for the response
150 variables that we were interested in. Thus, in order to include as many studies as
151 possible, an unweighted meta-analysis was used in this paper, in which the response
152 effects were not weighted by sample size (Gurevitch and Hedges, 2001; Guo and
153 Gifford, 2002). The nonparametric resampling was utilized to generate bias-corrected
154 bootstrapped approximate 95% confidence intervals from 1,000 randomizations in
155 SPSS PASW Statistics 18 (SPSS Inc.). The estimated effects were considered
156 statistically different from zero if the zero did not fall into the 95% confidence
157 interval, and the two effects were considered significantly different if the confidence
158 intervals of them did not overlap.

159 To investigate the impacts of cutting intensity on forest properties, all data were
160 grouped into three CI categories: light ($CI < 34\%$), moderate ($34\% \leq CI < 67\%$), and

161 heavy ($CI \geq 67\%$). We further examined the recovery of these properties after cutting
162 practices by grouping the observations into three recovery years (i.e., RY) according
163 to the number of years after cutting: short ($RY \leq 5$ years), medium ($5 \text{ years} < RY \leq 10$
164 years), and long ($RY > 10$ years) experiments. We put all experiments longer than 10
165 years into one category because there were not enough observations in this category
166 to divide it further. These grouped analyses were performed on the variables with
167 sufficient observations (i.e., DBH growth, stand BA, volume, and AGBC).

168 To determine if including other biophysical factors (in addition to CI and RY)
169 can reduce unexplained variation in the observed responses, we examined the
170 relationships between the relative changes and the other underlying factors including
171 climate zone, forest type, and definition of CI (using stand BA, volume, or stems) for
172 all the four variables with sufficient observations (i.e., DBH growth, stand BA,
173 volume, and AGBC). For the convenience of quantitatively estimating their
174 relationship, we treated these categorical factors (i.e., climate zone, forest type, and CI
175 definition) as dummy variables (Gujarati, 1970). Because CI and RY usually play a
176 dominant role in both the overall changes and the recovery of the forest ecosystem
177 after partial cutting (Scheller et al., 2011), they may overshadow the impact of the
178 other factors on the general response patterns. To reduce this effect, we calculated the
179 partial correlation coefficients between all of these dummy variables and relative
180 changes while holding CI and RY as the control variables in SPSS PASW Statistics 18
181 (SPSS Inc.).

182 **3 Results**

183 **3.1 Overall Direction and Magnitude of the Changes in Forest Structure and C** 184 **Stocks**

185 The relative changes of various forest structural attributes and C stock

186 components following partial cutting varied in direction and magnitude (Fig.1 and
187 Table 1). For stand BA and volume, a negative effect was the most frequently
188 observed pattern. By contrast, a positive effect was mostly observed in DBH growth.
189 Overall, partial cutting decreased stand BA and volume significantly by 34 and 29
190 percent, respectively, but increased DBH growth by 112 percent relative to the uncut
191 controls in our compiled dataset. Closely related to the structure dynamics, the C
192 stored in AGBC reduced significantly by 43 percent, while the C stored in understory
193 elevated substantially by 392 percent, compared with the uncut controls. However,
194 decreases in the C stocks of both forest floor (reduced by 9%, $P=0.18$) and mineral
195 soil (declined by 3%, $P=0.37$) were not significant (Table 1).

196 **3.2 Factors Affecting the Responses of Forest Structure and C Pools to Partial** 197 **Cutting**

198 CI and RY played a major role in the response of forest ecosystem to partial
199 cutting (Fig. S2 and Table 2). CI had a significant and negative correlation with stand
200 BA, volume, and AGBC, while RY related significantly and positively to all of them.
201 By contrast, the relative change of DBH growth was significantly and positively
202 correlated with CI ($r=0.23$, $P<0.01$), and significantly and negatively with RY
203 ($r=-0.18$, $P < 0.05$). As for the relationships between CI or RY and understory C
204 storage, forest floor C, or mineral soil C, only a positive correlation between CI and
205 understory C ($r=0.60$, $P < 0.01$) was significant.

206 Factors other than CI and RY also contributed to the observed variations in both
207 forest structure and C pools (Table 2). For the two variables with sufficient
208 observations (i.e., DBH growth and stand BA), our results show that the positive
209 effect of partial cutting on DBH growth was more intensive in the broadleaf trees than
210 in conifer ones compared with the uncut controls ($r=0.22$, $P<0.01$) (Fig.4 and Table 2)

211 probably because of the greater light improvement for the remaining trees in broadleaf
212 forests compared to coniferous forests after partial cutting (Hale, 2003). Nevertheless,
213 the changes in stand BA after partial cutting did not differ significantly with forest
214 type (Fig. 4 and Table 2), most likely due to its strong dependence on cutting intensity
215 (Scheller et al., 2011). We found the increase in DBH growth was lower in subtropical
216 forests than that in most others ($r=-0.24$, $P<0.01$) (Fig.5 and Table 2), which can also
217 be mainly explained by relatively lower light enhancement for the remaining trees in
218 subtropical forests compared to other forests after cutting practices (Hale, 2003). In
219 addition, the changes of DBH growth and stand BA were overall weaker in boreal
220 forests relative to most other regions (Fig.5 and Table 2), probably due to the lower
221 vegetation productivity under this climate condition. It's interesting to notice that the
222 definition of CI was closely linked to the relative changes in DBH growth, stand BA,
223 volume, and AGBC, indicating CI definition can strongly influence study results.

224 **3.3 Impacts of Cutting Intensity and Recovery Time**

225 Cutting intensity had significant impacts on the relative change of DBH growth,
226 stand BA, volume, and AGBC, the four variables with sufficient observations (Figs
227 1-2). Overall, the relative changes in DBH growth increased linearly with CI ($r = 0.31$,
228 $P<0.01$) but with a large variation among individual studies ($SE=79\%$), especially
229 when $RY < 5$ years. In contrast, the relative changes decreased linearly in stand BA
230 ($r=-0.88$, $P<0.01$) and volume ($r=-0.67$, $P<0.01$), with the largest slope and the most
231 significant tendency in short term ($RY < 5$ years). The change pattern for the AGBC
232 was similar to that of the two structural metrics (stand BA and volume) and exhibited
233 a significantly decreased trend over the low-high CI gradient ($r=-0.39$, $P<0.01$) (Fig.
234 2).

235 The trend along the RY gradient reflects ecosystem recovery patterns after

236 disturbance (Fig. 3). Overall, the magnitude of the relative changes induced by partial
237 cutting reduced with the increasing of RY as shown by a decreasing trend in both the
238 positive effects on DBH growth and the negative effects on BA, volume, and AGBC.
239 The recovery or returning of these variables to uncut levels depended strongly on CI,
240 and the recovery time was positively related to CI (Fig. 3). The recovering trends,
241 indicated by the slopes of the regression, were statistically significant for stand BA
242 ($P<0.01$), volume ($P<0.01$), and AGBC ($P<0.01$), but not for DBH growth ($P=0.43$).
243 In addition, the trends of the ecosystem recovery varied with the CI for different
244 variables. A statistically significant decreasing trend in the relative change of DBH
245 growth was observed along RY under light cutting ($r=-0.25$, $P<0.05$), whereas the
246 trends under moderate ($P=0.53$) and heavy cutting ($P=0.50$) were not significant. In
247 contrast, the recovering trend in AGBC under light cutting was not significant
248 ($P=0.63$) but those under moderate ($P<0.05$) and heavy ($P<0.01$) cuttings were
249 significant. The relative changes in both stand BA and volume increased significantly
250 as RY became longer under all CI groups.

251 General linear models (Gujarati, 1970) were developed to investigate whether
252 the responses of forest to partial cutting can be predicted using the variables in the
253 dataset (Table 3). Our linear models only explained a small fraction of the relative
254 change of DBH growth, indicating some variables not included in this study may play
255 a role. In contrast, the explanatory power of the models for the relative changes in
256 stand BA, volume, and AGBC using CI and RY as independent variables were 43%,
257 48%, and 65%, respectively, and improved to 65%, 76%, and 76%, respectively, when
258 adding three more independent variables (forest type, climatic zone, and CI
259 definition). These results suggest the effects of partial cutting on a forest ecosystem
260 can be mainly explained by CI and RY, but other factors (e.g., climate zone, forest

261 type, and CI definition) contributed significantly as well.

262 **4 Discussion**

263 **4.1 Forest Structure Change after Partial Cutting**

264 Our synthesis indicates that partial cutting stimulates the growth of residual trees
265 significantly, in spite of large variations among cutting intensity (i.e., CI), recovery
266 years (i.e., RY), and site conditions, which corresponds to the general notion that
267 partial cutting reduces individual competition and thus should have a positive effect
268 on residual tree growth (Walter and Maguire, 2004; Vesala et al., 2005; Navarro et al.,
269 2010). Overall, the relative changes of DBH growth correlated positively with the
270 increase of CI, which was also comparable to many individual studies (Juodvalkis et
271 al., 2005; Peña-Claros et al., 2008). Moreover, we found an overall declining trend of
272 the positive impacts of partial cutting on DBH growth over time or along recovery
273 years, indicating that the growth stimulation effect on residual trees should decline
274 with ecosystem recovery over time, consistent with previous speculations (e.g.,
275 Sánchez-Humanes and Espelta, 2011). However, uncertainties remain in the changes
276 of DBH growth after partial cutting. First, the relative changes in DBH growth
277 correlated positively to CI and negatively to RY in general (Table 2), but the relative
278 changes along the CI gradient in the short term (RY < 5 years) (Fig 2) and along RY
279 sequences after moderate and heavy cutting were not significant (Fig 3). Second, large
280 variations were observed in the relative changes of DBH growth. This might suggest
281 that other factors including cutting method, species, and site conditions may exert
282 significant impacts on the magnitude of the relative changes of DBH growth after
283 partial cutting (Skovsgaard, 2009). For example, we found that the DBH growth was
284 more intensive in the broadleaf trees than in conifers compared with the uncut
285 controls, and was lower in subtropical forests than the other forests, mainly because of

286 the different levels of light improvement for remaining trees after cutting activities
287 (Hale, 2003).

288 The relative changes in stand BA and volume following cutting varied
289 significantly along the cutting intensity and recovery years gradients, with the greatest
290 decrease in the short term under heavy cutting (Figs 2 and 3). This change pattern
291 supports the hypothesis that the impact of partial cutting is relatively short-term with
292 the greatest impacts in the early years after disturbance under heavy disturbance
293 intensity (Amiro et al., 2010; Liu et al., 2011). The returning of stand BA and volume
294 to uncut level depends strongly on cutting intensity with a longer time needed for a
295 higher intensity (Figs 2 and 3). These results suggest that the impacts of partial cutting
296 on forest structure decline over time owing to the forest recovery, and the magnitude
297 of the recovery was closely related to the cutting intensity—both of them were
298 consistent with previous understanding (Juodvalkis et al., 2005).

299 **4.2 Carbon Stock Dynamics after Partial Cutting**

300 One of the most crucial issues in studying ecological consequences of partial
301 cutting in forest is how it impacts the carbon stocks over years under different
302 disturbance intensities. A conceptual trajectory of C changes following a cutting is a
303 large pulse of C loss as a result of the cutting followed by subsequent recovery over
304 time (Liu et al., 2011; Weng et al., 2012; Goetz et al., 2012). The returning to
305 pre-cutting levels can take decades and varies with CI (Pregitzer and Euskirchen,
306 2004). Our synthesis corresponds well to this trajectory and suggests that C storage in
307 AGBC after partial cutting decreased linearly with CI (Fig. 2). At the same time, the
308 carbon loss induced by partial cutting recovered with time (Fig. 3), which confirms
309 that partial cutting may only have a short-term negative impact on carbon

310 accumulation in vegetation (Vargas et al., 2009; Amiro et al., 2010). However, we did
311 not find significant recover trends after low-intensity cutting in our compiled database,
312 suggesting that low cutting effects may be outweighed by the other effects induced by
313 the between-site variations, such as the differences in stand structure, forest type, and
314 stand age (Ryan et al., 2004; Blanco et al., 2006). In addition, it will remain difficult
315 to determine how much time is needed for a complete recovery since there were no
316 observations on AGBC longer than 42 years in our synthesized database. Using the
317 linear models, we estimated that the AGBC of a temperate deciduous forest requires
318 an average of 31 years to return to the uncut level following partial cutting with a CI
319 of 0.5 (defined by stand BA), and it requires 52 years to recover from clear-cutting
320 (CI is 1). These predictions were comparable to the model study that suggested the
321 period required to replace carbon lost during and after moderate cutting should be
322 within 50 years and between 50 and 100 years for clear-cutting in ponderosa pine
323 stands in Oregon (Law et al., 2001).

324 Understory C was stimulated significantly by partial cutting in all of the studies.
325 This stimulation can be mainly attributed to an increase in the availability of light,
326 water, and nutrients to understory because of tree removal (Aussenac, 2000; Kleintjes
327 et al., 2004; Deal, 2007). However, the C increase in understory cannot compensate
328 the C loss in AGBC since it only accounts for a substantially small proportion of the
329 aboveground biomass in forest as a whole (Gilliam, 2007). In addition, understory
330 would have impacts on residual tree growth through competition for moisture and
331 nutrient.

332 Soil C stored in the forest floor reflects the balance between C input by litterfall,

333 rhizodeposition and cutting residuals, and the C release during decomposition (Jandl
334 et al., 2007). The removal of overstory trees reduces the annual litterfall input directly
335 (Blanco et al., 2006; Campbell et al., 2009; Kunhamu et al., 2009), which is expected
336 to exert certain negative impacts on the C stock in the forest floor since the
337 accumulation of annual litterfall is an important component of forest floor C stock. At
338 the same time, increase in soil surface temperature after partial cutting, which could
339 accelerate the decay rates (Piene and van Cleve, 1978; Kunhamu et al., 2009), might
340 also contribute to the reduction of the C stock on the forest floor. However, our
341 synthesis indicates the change in the forest floor C stock was not significantly affected
342 by cutting. This finding can be largely explained by the fact that the negative effects
343 of decreased C input from litterfall together with the increase in the decomposition
344 rate of the forest floor C may have been compensated by the immediate C input of
345 cutting residues into the forest floor (de Wit and Kvindesland, 1999), and the
346 following ecosystem recovery over time.

347 Our synthesis indicates that the change in mineral soil C after partial cutting was
348 not significant, consistent with the findings from the two previous meta-analyses
349 (Johnson and Curtis, 2001; Nave et al., 2010). The C stock in mineral soil varies
350 greatly among sites and is primarily determined by other influences such as soil
351 chemistry and physical characteristics (Nave et al., 2010).

352 It should be noted that the biomass C removed generally did not return
353 immediately to the atmosphere but rather remained stored in a durable status such as in
354 wood products (Fahey et al., 2009), which (if long lived) can be considered a C sink
355 (Pacala et al., 2007). The removed biomass was one major contributor to global
356 energy supply (Berndes et al., 2003). Moreover, it's widely accepted that partial
357 cutting alters species composition and stand structure, which can provide many

358 benefits, such as enhancement of wood products and reduction of fire risk (Kolb et al.
359 1998;Harvey et al., 2002; Frey et al., 2003; McDowell et al. 2006;Campbell et al.
360 2009). Thus, the immediate AGBC loss induced by partial cutting can be repaid in
361 other terms of forest services and functions.

362 **4.3 Implications and Challenges**

363 It is evident that cutting practice significantly affects the structure and C stocks
364 of the forest ecosystem. Because partial cutting will likely continue as a major type of
365 silvicultural treatment and the demand for timber products continues to rise, forest
366 management practices should be implemented in a way to reduce the negative impacts
367 on forest functions and improve sustainability. Our meta-analysis confirms that C
368 storage in the forest sector can be enhanced either by increasing the time between
369 cutting activities to allow sufficient time for forests to recover, or reducing the CI
370 during each cutting activity (Harmon et al., 2009). For instance, Øyen and Nilsen
371 (2002) reported that the CI, defined by volume, should not be more than 65% in
372 southeast Norway in order to keep the forest biomass sustainable, given a cutting
373 cycle of less than 50 years. If managed sustainably, partial cutting could
374 simultaneously preserve remaining native forests and function as a long-term carbon
375 sink (Berthrong et al., 2009; Powers et al., 2012), and even increase C storage while
376 providing as many forest products as the traditional clear-cutting (Harmon and Marks,
377 2002).

378 However, several challenges still preclude our comprehensive understanding of
379 the dynamics in forest ecosystems affected by partial cutting. First, large geographic
380 bias existed in the field observations we have assembled (Figs S1 and S3). About 63%
381 of the case studies were from North America, but their forested area only accounts for
382 17 % of the world's total forest area (FAO, 2010). Comparatively, the number of

383 studies was much lower in Africa and South America (Fig. S3). Second, the depth of
384 the mineral soil was restricted to the surface soil with a depth of 0-15 cm in our
385 database. However, it has been recognized that the relative change of carbon stock
386 might be equally important in the subsoil (Don et al., 2011). Third, other factors such
387 as cutting methods (Johnson et al., 2002; Blanc et al., 2009), post-treatment methods
388 (Olsson and Staaf, 1995), stand age (Juodvalkis et al., 2005), and site conditions
389 (Skovsgaard, 2009) also exerted significant impacts on the responses of forest
390 ecosystems to partial cutting. However, because of the lack of detailed description of
391 these variables in many studies in our database, their impacts could not be analyzed in
392 this study. Forth, long-term experiments are rare and urgently needed. Many model
393 studies suggested that partial cutting increased the ecosystem carbon in the long term
394 (Garcia-Gonzalo et al., 2007; Taylor et al., 2008; Thiffault et al., 2011). However, it is
395 still difficult to determine how long a complete recovery would take due to the lack of
396 long-term experiments. Finally, the remote sensing techniques are a cost-effective
397 way to detect large-scale changes in both forest area and carbon storage (Skole and
398 Tucker, 1993; Achard et al., 2002). However, it is difficult to isolate the effects of
399 partial cutting from the other disturbances using remote sensing (Houghton, 2005;
400 Asner et al., 2005; Peres et al., 2006; Liu et al., 2011). For a better understanding of
401 the consequences of partial cutting at regional or global scale, both the inventories and
402 remotely sensed data sets may be needed (Goetz et al., 2012).

403 **5 Summary**

404 This study provides a comprehensive assessment of the dynamics of several key
405 forest properties following partial cutting. Our results show that partial cutting
406 decreases stand BA and volume greatly, but it can promote the growth of residual
407 trees. Partial cutting reduces AGBC significantly while greatly increased C storage in

408 the understory. The C stocks on forest floor and on mineral soil are not significantly
409 affected by partial cutting.

410 For the four variables with sufficient observations (DBH growth, stand BA,
411 volume, and AGBC), the magnitude of the relative change increases linearly with the
412 CI and decreased linearly over time. It appears that CI and RY are the major factors
413 responsible for the observed variations among various studies. Nevertheless, we found
414 other factors (e.g., climate zone, forest type, and CI definition) also play a role.
415 Results highlight the variable responses of the different structural characteristics and
416 C stock components to partial cutting and the intrinsic nature of ecosystem resilience
417 after the disturbance. Although partial cutting generally reduced C storage in
418 aboveground biomass through removing individual trees from a forest, it can provide
419 many benefits in other ways. Additionally, the data extracted from various studies did
420 not enable us to determine how long it would take for a complete recovery under
421 different CI levels. To further our understanding of the impacts of partial cutting, we
422 recommend future efforts should be tailored to reduce the geographic bias of field
423 studies and increase the depth of soil sampling and the duration of the experiments.

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643

644 **Figure captions**

645 **Fig. 1** Overall directions and magnitudes of the relative changes (%) in the growth of
646 diameter at breast height (DBH growth), stand basal area (BA), volume, aboveground
647 biomass carbon (AGBC), understory C storage, forest floor C, and mineral soil C after
648 partial cutting, compared to the uncut control. The error bars are the standard error
649 (SE). The results of One-Way ANOVA ($P < 0.05$) among three cutting intensity (CI)
650 groups (light [L]: $CI < 34\%$; moderate [M]: $34\% \leq CI < 67\%$; and heavy [H]: $CI \geq 67\%$)
651 are shown beside the error bars, with a different letter meaning a difference significant
652 at $P < 0.05$. The values on the X-axis for DBH growth and understory C are 10 times
653 those of other variables.

654 **Fig. 2** The relative changes (%) along the CI gradient where the observations were
655 grouped by the recovery years (RY) since cutting activities into short (green circle:
656 $RY \leq 5$ years), medium (blue circle: $5 \text{ years} < RY \leq 10$ years), and long (red circle:
657 $RY > 10$ years) time periods. The dotted lines represent the linear fit curves of short,
658 medium, and long RY with corresponding colors, and the bold black line indicates the
659 linear fit curve of all observations. The correlation coefficient (R), confidence of
660 significance (P), standard error (SE), and the number of observations (N) of each
661 linear regression analysis are shown at the bottom of figure panel. Five observations
662 that are larger than 400% were not shown in the figure panel of DBH growth.

663 **Fig. 3** The relative changes (%) along the RY gradient, where the observations were
664 grouped into L (green circle), M (blue circle), and H (red circle) cutting levels. The
665 dotted lines represent the linear fit curves of the cutting under L, M, and H intensities
666 with corresponding colors, and the bold black line indicates the linear fit curve of all

667 cutting (All). The *R*, *P*, *SE* and *N* of each linear regression analysis are shown at the
668 bottom of each figure panel. Five observations that are larger than 400% were not
669 shown in the figure panel of DBH growth.

670

671 **Fig. 4** Scatterplots of the mean relative changes (%) of DBH growth and stand BA for
672 different forest types (i.e., broadleaf and coniferous) grouped by cutting intensity and
673 recovery years when there are three or more observations for the group. C1 to C10
674 represents the cutting intensity levels ranging from 0-10 to 90-100 with 10 percent
675 interval. Y1, Y2, and Y3 indicates the number of recovery years of 0-5, 5-10, and >10
676 yr., respectively.

677

678 **Fig. 5** Scatterplots of the mean relative changes (%) of DBH growth and stand BA for
679 different climatic zones (i.e., tropical, subtropical, Mediterranean, temperate marine,
680 temperate continental, and boreal) grouped by cutting intensity and recovery years
681 when there are three or more observations for the group.

682

683 **Table 1** Mean values of the relative changes (%) for the seven variables related to
684 forest structure and carbon (C) storage, grouped by cutting intensity (CI) classes if
685 available.

Indicators	CI classes	Mean relative change (%) (lower and upper 95% bootstrapped confidence intervals)	Mean CI	Mean RY	Number of observations	Number of studies
DBH growth	Light	66.90 (46.57, 90.15)	0.19	12	65	15
	Moderate	101.99 (82.69, 121.26)	0.49	8	79	22
	Heavy	169.76 (120.23, 229.20)	0.83	14	64	12
	All	111.88 (92.15, 135.86)	0.50	11	208	31
Stand BA	Light	-15.18 (-18.16, -11.80)	0.24	9	98	24
	Moderate	-37.28 (-39.76, -34.81)	0.46	8	94	27
	Heavy	-68.31 (-72.58, -64.29)	0.80	10	46	13
	All	-34.18 (-37.36, -31.19)	0.45	9	238	35
Volume	Light	-20.76 (-25.07, -16.55)	0.22	10	50	12
	Moderate	-29.62 (-32.51, -26.71)	0.44	9	32	11
	Heavy	-62.57 (-67.06, -58.57)	0.76	11	10	4
	All	-28.39 (-32.01, -25.08)	0.36	10	92	15
AGBC	Light	-28.21 (-36.08, -20.31)	0.26	7	20	8
	Moderate	-42.16 (-46.39, -27.94)	0.53	5	48	18
	Heavy	-49.18 (-56.33, -41.97)	0.88	12	62	11
	All	-43.36 (-47.67, -39.33)	0.65	9	130	26
Understory C storage	All	391.54 (220.04, 603.82)	0.61	4	19	4
Forest floor C	All	-9.24 (-23.35, 3.50)	0.41	5	30	12
Mineral soil C	All	-2.93(-9.45, 3.59)	0.44	4	28	11

686 Significant transitions, inferred as approximate 95% bootstrapped confidence
687 intervals (based on 1000 bootstrap samples) that contain 0, are in bold, which
688 suggests the relative changes in the variable was insignificant compared to the uncut
689 control.

690 DBH growth: growth of diameter at breast height; BA, basal area; AGBC,
691 aboveground biomass C; RY: recovery years since cutting activities.

692 **Table 2** Partial correlation coefficients between relative changes of the seven variables (related to
 693 forest structure and C storage) and potential driving factors.

Indicator	CI	RY	C ₁	C ₂	C ₃	C ₄	C ₅	F ₁	F ₂	DCI ₁	DCI ₂	df
DBH growth	0.23**	-0.18*	-0.24**	0.33**	-0.01	-0.06	-0.15*	-	0.22**	0.23**	-0.28**	201
Stand BA	-0.64**	0.27**	-0.20**	-0.05	-0.05	0.35**	-0.16*	-0.16*	0.03	0.21**	-0.17**	238
Volume	-0.67**	0.26*	-	0.22*	0.09	-0.10	0.22*	-	-0.21	0.63**	-0.03	89
AGBC	-0.69**	0.77**	0.09	-0.06	-0.22*	0.37**	0.07	-	0.10	-0.08	0.36**	126
Understory C storage	0.60**	-0.12	-	-	-	-	-	-	-	-	-	-
Forest floor C	-0.07	-0.31	-	-	-	-	-	-	-	-	-	-
Mineral soil C	-0.06	-0.24	-	-	-	-	-	-	-	-	-	-

694 *. Correlation is significant at the 0.05 level (2-tailed); **. Correlation is significant at the 0.01 level (2-tailed). df is the degrees of
 695 freedom
 696 C₁~C₅: dummy variables for climate zone where Tropical was considered the base climate zone. C₁ (=1 if is Subtropical, =0, otherwise),
 697 C₂ (=1 if is Mediterranean, =0, otherwise), C₃ (=1 if is Temperate marine, =0, otherwise), C₄ (=1 if is Temperate continental, =0, otherwise),
 698 C₅ (=1 if is Boreal, =0, otherwise).
 699 F₁~F₂: dummy variables for forest type where coniferous was taken as the base forest type. F₁ (=1 if lies in mixed group, =0, otherwise),
 700 F₂ (=1 if lies in broadleaf group, =0, otherwise).
 701 DCI₁~DCI₂: dummy variables for the definition of cutting intensity where definition by stems number was considered the base
 702 definition. DCI₁ (=1 if defined by basal area, =0, otherwise), DCI₂ (=1 if defined by volume, =0, otherwise).
 703

704 **Table 3** General linear models of potential driving factors predicting the relative
 705 changes (%) for the four variables with sufficient observations (P<0.001)

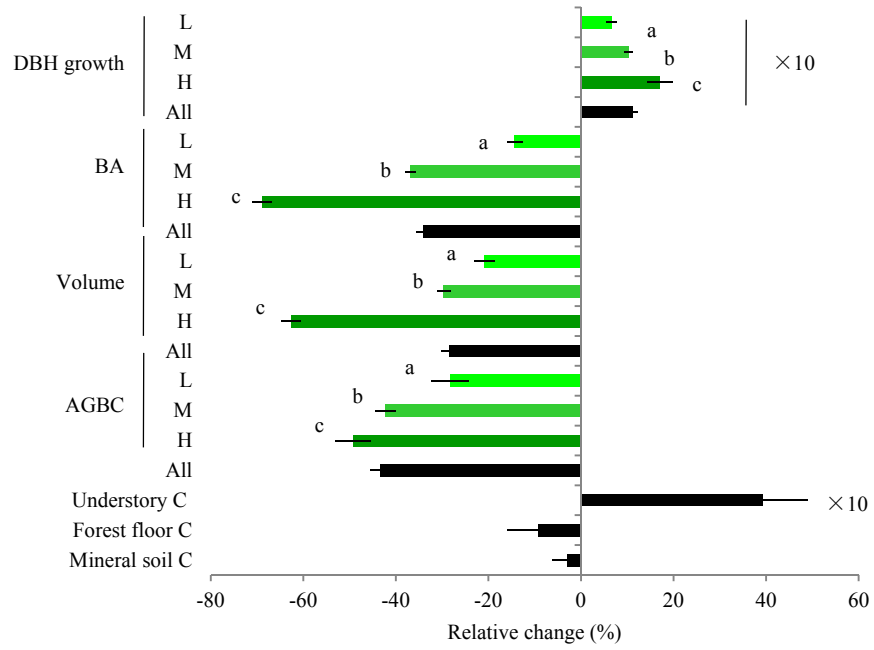
Indicators	R ²	Liner model
DBH growth	0.13	-1.90CI-1.73 [*] RY+112.87 ^{**}
	0.29	12.73CI-3.25 ^{**} RY-47.89C1+68.24 [*] C ₂ +49.18C ₃ +24.70C ₄ -43.82C ₅ +61.17 ^{**} F ₂ -15.71DCI ₁ -2.79DCI ₂ +84.47 [*]
Stand BA	0.43	-54.58 [*] CI+0.70 ^{**} RY-11.91 ^{**}
	0.65	-49.56 ^{**} CI+0.65 ^{**} RY-4.12C1-35.44 ^{**} C ₂ -22.93 ^{**} C ₃ -25.18 ^{**} C ₄ -36.72 ^{**} C ₅ -20.28 ^{**} F ₁ -2.51F ₂ +23.18 ^{**} DCI ₁ +17.83 ^{**} DCI ₂ -11.17 [*]
Volume	0.48	-62.26 ^{**} CI+0.44 [*] RY-10.63 ^{**}
	0.76	-91.85 ^{**} CI+0.87 ^{**} RY-2.79C1+15.85C ₂ -4.10C ₃ -25.18C ₄ +2.17C ₅ +1.73F ₂ +29.68 [*] DCI ₁ +25.51 ^{**} DCI ₂ -26.26 [*]
AGBC	0.65	-58.66CI ^{**} +2.05Y ^{**} -22.73 ^{**}
	0.76	-74.79 [*] CI+1.85 ^{**} RY+13.26C1+7.74C ₂ +8.85C ₃ +15.00 ^{**} C ₄ +20.17 ^{**} C ₅ +7.65 ^{**} F ₂ +3.98DCI ₁ +12.57 [*] DCI ₂ -33.41 ^{**}

706 *. Correlation is significant at the 0.05 level (2-tailed); **. Correlation is significant at
 707 the 0.01 level (2-tailed)

708 The abbreviations are the same as Table 2.

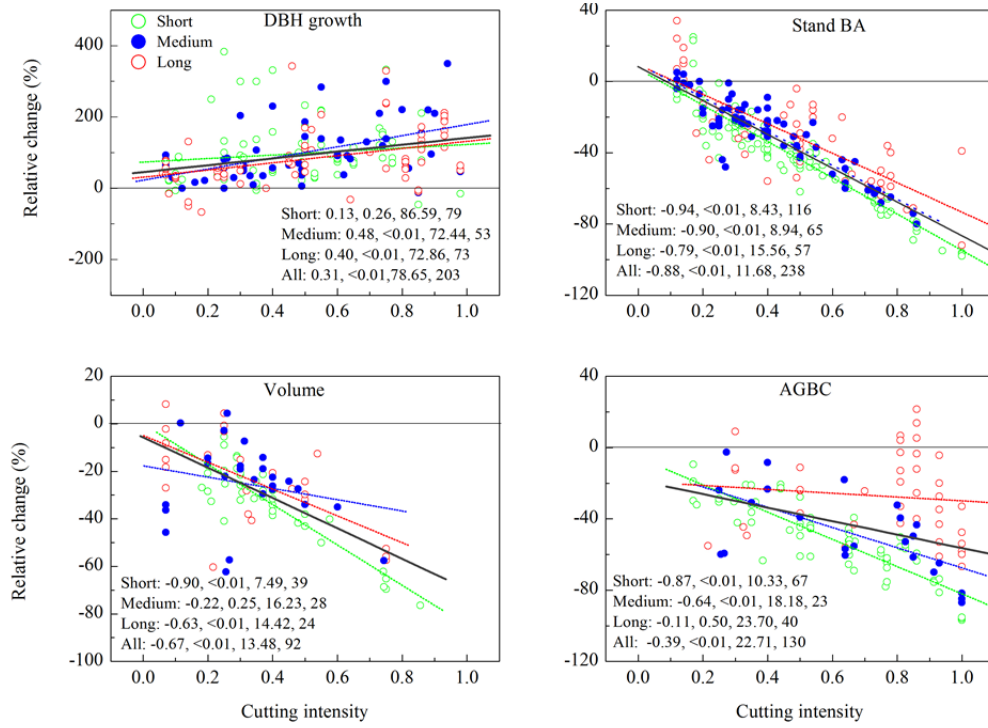
709

710 **Figure 1**

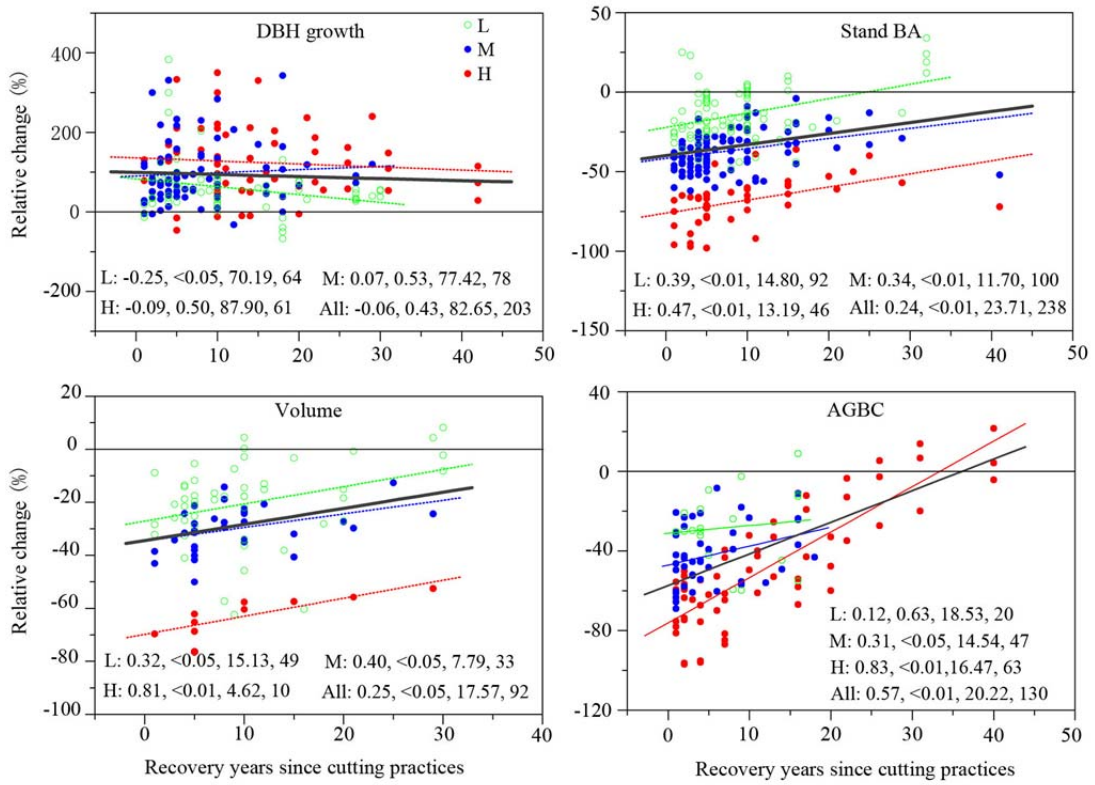


711

712 **Figure 2**



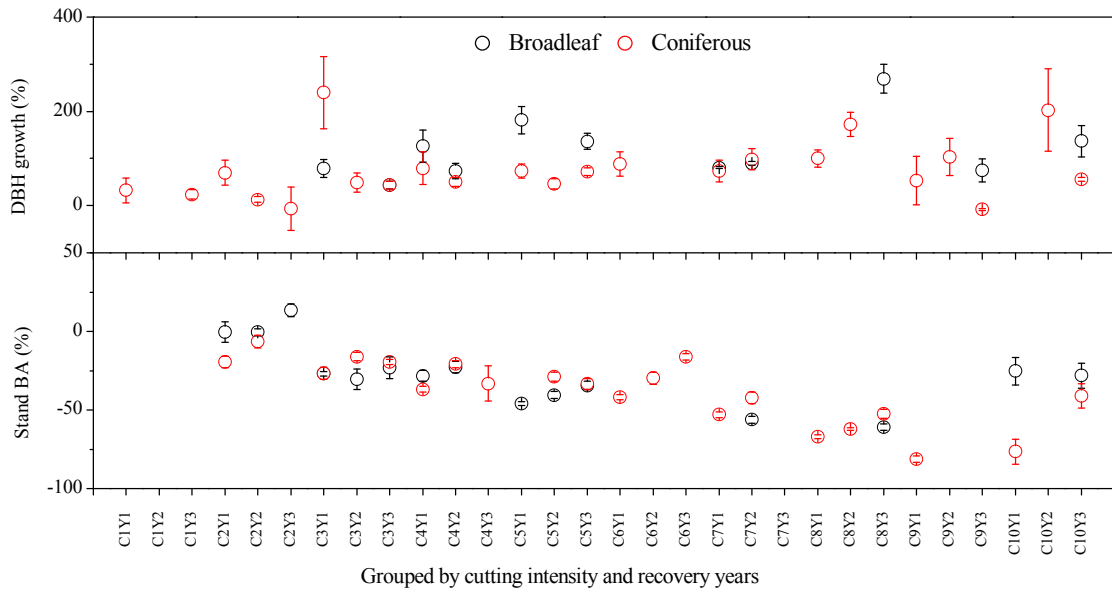
713 **Figure 3**



714

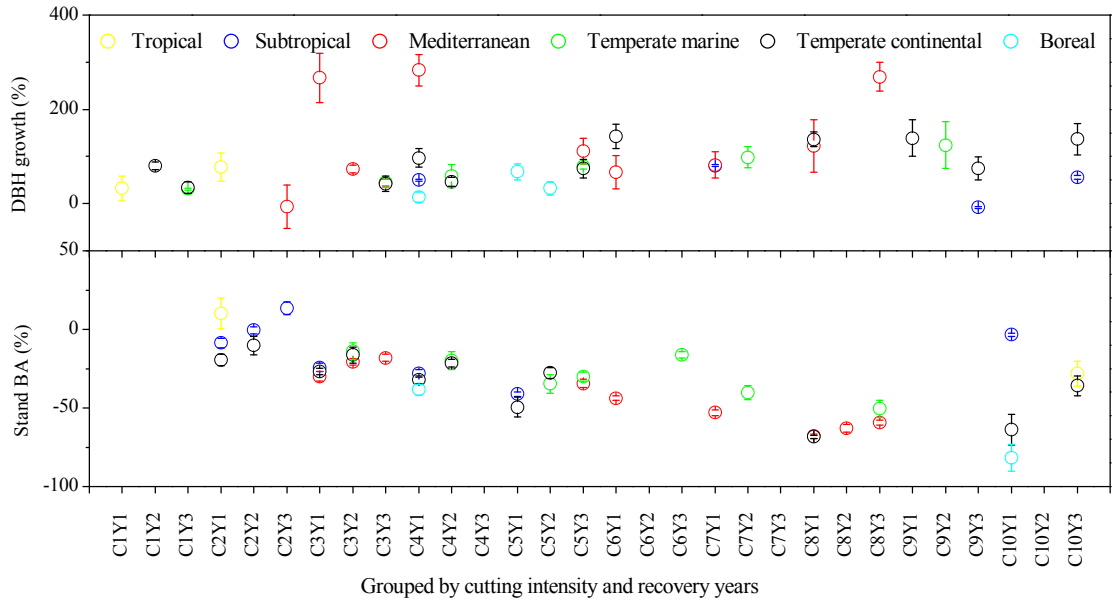
715

716 **Figure 4**



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720 **Figure 5**



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