

iMarNet: An ocean biogeochemistry model inter-comparison project within a common physical ocean modelling framework.

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23 Abstract

24 Ocean biogeochemistry (OBGC) models span a wide range of complexities from highly
25 simplified, nutrient-restoring schemes, through nutrient-phytoplankton-zooplankton-
26 detritus (NPZD) models that crudely represent the marine biota, through to models that
27 represent a broader trophic structure by grouping organisms as plankton functional types
28 (PFT) based on their biogeochemical role (Dynamic Green Ocean Models) and ecosystem
29 models which group organisms by ecological function and trait. OBGC models are now
30 integral components of Earth System Models (ESMs), but they compete for computing
31 resources with higher resolution dynamical setups and with other components such as
32 atmospheric chemistry and terrestrial vegetation schemes. As such, the choice of OBGC in
33 ESMs needs to balance model complexity and realism alongside relative computing cost.
34 Here, we present an inter-comparison of six OBGC models that were candidates for
35 implementation within the next UK Earth System Model (UKESM1). The models cover a
36 large range of biological complexity (from 7 to 57 tracers) but all include representations of
37 at least the nitrogen, carbon, alkalinity and oxygen cycles. Each OBGC model was coupled to
38 the Nucleus for the European Modelling of the Ocean (NEMO) ocean general circulation
39 model (GCM), and results from physically identical hindcast simulations were compared.
40 Model skill was evaluated for biogeochemical metrics of global-scale bulk properties using
41 conventional statistical techniques. The computing cost of each model was also measured in
42 standardised tests run at two resource levels. No model is shown to consistently outperform
43 all other models across all metrics. Nonetheless, the simpler models are broadly closer to
44 observations across a number of fields, and thus offer a high-efficiency option for ESMs that
45 prioritise high resolution climate dynamics. However, simpler models provide limited insight
46 into more complex marine biogeochemical processes and ecosystem pathways, and a
47 parallel approach of low resolution climate dynamics and high complexity biogeochemistry
48 is desirable in order to provide additional insights into biogeochemistry – climate
49 interactions.

50 1 Introduction

51 Ocean biogeochemistry is a key part of the Earth System: it regulates the cycles of major
52 biogeochemical elements and controls the associated feedback processes between the land,
53 ocean and atmosphere. As a result, changes in ocean biogeochemistry can have important
54 implications for climate (Reid et al., 2009). Marine ecosystems are indirectly affected by
55 anthropogenic environmental change (Jackson et al., 2001), particularly through climate-
56 induced changes in physical properties and CO₂-induced ocean acidification. Understanding
57 and quantifying the response of ocean biogeochemistry to global changes and their
58 feedbacks with the Earth System is essential to improve our capacity to maintain ecosystem
59 services this century and beyond.

60 With the recent publication of the Intergovernmental Panel on Climate Change (IPCC) 5th
61 Assessment Report (AR5), global efforts are already underway to develop the next
62 generation of Earth System Models (ESMs) to support climate policy development and any
63 further IPCC Assessment Report. OBGC coupled to ESMs can help address a series of
64 overarching scientific questions: How will the ocean contribute to atmospheric trace gas
65 composition (e.g. CO₂, CH₄, N₂O, DMS) in a changing climate? Are there tipping points in
66 marine biogeochemistry (e.g. oceanic anoxic events, methane hydrate release) that could be
67 triggered by a changing climate? Are there interactions between ESM processes and
68 society's management of resources (e.g. fisheries, land use, agriculture) in the marine
69 environment? Furthermore, as ESMs are increasingly being evaluated based on their
70 capacity to understand past variability (Braconnot et al., 2012), further questions might
71 include: What controlled variations in atmospheric trace gas concentrations and isotopic
72 composition over the geological past?

73 For an anticipated 6th IPCC assessment report it is generally considered that these global-
74 scale questions, with direct implications for climate policies, will again be the main focus of
75 ocean biogeochemical models within ESMs. In addition, the ESM model archive is
76 increasingly being used by activities within the Inter-Sectoral Impact Model Intercomparison
77 Project ([http://www.pik-potsdam.de/research/climate-impacts-and-](http://www.pik-potsdam.de/research/climate-impacts-and-vulnerabilities/research/rd2-cross-cutting-activities/isi-mip/scientific-publications)
78 [vulnerabilities/research/rd2-cross-cutting-activities/isi-mip/scientific-publications](http://www.pik-potsdam.de/research/climate-impacts-and-vulnerabilities/research/rd2-cross-cutting-activities/isi-mip/scientific-publications)) to
79 address socioeconomically-directed questions such as: How will climate change affect ocean
80 primary production (e.g. Bopp et al., 2013), fisheries (Barange et al., 2014; Cheung et al.,
81 2012), and harmful algal and jellyfish blooms (e.g. Codon et al., 2013, Gilbert et al., 2014)?
82 What is the potential for geoengineering schemes such as ocean fertilisation (Buesseler &
83 Boyd, 2003) and alkalinity addition (Kheshgi, 1995; Harvey, 2008) to affect the climate
84 system, and how do they affect the rest of the Earth System?

Within the UK, the Integrated Global Biogeochemical Modelling Network (iMarNet) project aims to advance the development of ocean biogeochemical models through collaboration between existing modelling groups at Plymouth Marine Laboratory (PML), National Oceanography Centre (NOC), University of East Anglia (UEA) and the Met Office-Hadley Centre (UKMO). As part of iMarNet we conducted an intercomparison of 6 current UK models, to help inform the selection of a baseline OBGC model for the next UK Earth System Model (UKESM1). This intercomparison focused on model skill at reproducing global-scale bulk properties - such as nutrient and carbon distributions - that broadly characterise the activity of marine biota (and, thus, the carbon cycle) in the ocean. To limit the role of errors originating with modelled physics, all of the examined model simulations were performed within the same physical ocean GCM, under the same external forcing and following the same experimental protocol. As all of the models examined have been previously published, our analysis does not include an assessment of their underlying biological fidelity (i.e. the extent to which structures, parameterisations and parameter sets of candidate models are *a priori* realistic). However, while primarily focused on model skill, the intercomparison also considers the computational cost of the models in relation to the realism that they offer.

Previous authors have performed biogeochemical model intercomparisons with parallels to this study (e.g. Friedrichs et al., 2007; Kriest et al., 2010; Steinacher et al., 2010; Popova et al., 2012). These have differed from this study, and each other, in a number of ways. For instance, this study is 3D rather than 1D (cf. Friedrichs et al., 2007); global rather than regional (cf. Popova et al., 2012); uses identical rather than diverse physics (cf. Steinacher et al., 2010); and spans a more functionally diverse range of biogeochemical models (cf. Kriest et al., 2010). The latter two factors, in particular, distinguish this study, permitting us to both formally separate the impact of physics from that of biogeochemical dynamics, and to do so across a broad range of model complexity from NPZD through to state-of-the-art PFT models with considerable ecological sophistication. This study is still constrained by the use of a single ocean circulation, and by a bespoke gradation of model complexity (PlankTOM6 and PlankTOM10 partially inform this). Nonetheless, this study represents an intercomparison along separate lines to those previously conducted.

2 Method

2.1 Experimental Design

All participating models made use of a common version (v3.2) of the NEMO physical ocean general circulation model (Madec, 2008) coupled to the Los Alamos sea-ice model (CICE) (Hunke and Lipscomb, 2008). This physical framework is configured at approximately 1×1 degree horizontal resolution (ORCA100; 292×362 grid points), with a focusing of resolution

around the equator to improve the representation of equatorial upwelling. Vertical space is divided into 75 fixed levels, which increase in thickness with depth, from approximately 1m at the surface to more than 200m at 6000m. Partial level thicknesses are used in the specification of seafloor topography to improve the representation of deep water circulation. Vertical mixing is parameterized using the turbulent kinetic energy scheme of Gaspar et al., (1990), with modifications made by Madec (2008). To ensure that the simulations were performed by the different modelling groups using an identical physical run, a Flexible Configuration Management (FCM) branch of this version of NEMO was created, and all biogeochemical models were implemented in parallel within this branch and run separately.

Simulations were initialised at year 1890 from an extant physics-only spin-up (ocean and sea-ice), to minimise undesirable transient behaviour in ocean circulation. In terms of ocean biogeochemistry, all model runs made use of a common dataset of three-dimensional fields for the initialisation of major tracers. Nutrients (nitrogen, silicon and phosphorus) and dissolved oxygen in this dataset were drawn from the World Ocean Atlas 2009 (Garcia et al., 2010a; Garcia et al., 2010b), while dissolved inorganic carbon (DIC) and alkalinity were drawn from the Global Ocean Data Analysis Project (GLODAP) (Key et al., 2004). GLODAP does not include a DIC field that is directly valid for 1890, so a temporally-interpolated field was produced based on GLODAP's "pre-industrial" (i.e. ~1800) and "1990s" fields of DIC. As there is currently no comprehensive spatial dataset of the micronutrient iron, participating models were permitted to make use of different initial distributions of iron (typically those routinely used by the models in other settings). All other biogeochemical fields (e.g. plankton, particulate or dissolved organic material) were initialised to arbitrary small initial conditions.

After initialisation at 1890, the models were run for 60 years (1890-1949 inclusive) under the so-called "normal year" of version 2 forcing for common ocean-ice reference experiments (CORE2-NYF; Large and Yeager, 2009). Subsequently, the models were run under transient, interannual forcing from the same dataset (CORE2-IAF) for a further 58 years (1950-2007 inclusive). CORE2 provides observationally derived geographical fields of downwelling radiation (separate long- and short-wave), precipitation (separate rain and snow), and surface atmospheric properties (temperature, specific humidity and winds), and is used in conjunction with bulk formulae to calculate net heat, freshwater and momentum exchange between the atmosphere and the ocean.

For all models, some degree of tuning occurred prior to this study, albeit in physical frameworks different (to varying degrees) to that used here. Tuning during this study was limited or absent between models, but some models, such as HadOCC and MEDUSA, may have benefitted from being previously tuned within the NEMO framework (although in a

158 different version and grid configuration).

159 Supplementary Figure S7 shows an intercomparison of the common NEMO physics with
 160 observations for several key physical fields. In terms of SST, NEMO represents observed
 161 patterns well, although simulates a warmer Gulf Stream and noticeably cooler temperatures
 162 in the vicinity of the Labrador Sea. In conjunction with fresher salinities in the North Atlantic
 163 (results not shown), these differences result in shallower depths of the mixed layer and
 164 pycnocline in this region. By contrast, in the Southern Ocean both mixed layer depths and
 165 the modelled pycnocline are markedly deeper than in observations. This latter regional bias
 166 has biogeochemical consequences across all of the models examined here (see later).

167 **2.2 Candidate model structures**

168 The models evaluated within this study vary significantly in biological complexity. The key
 169 features of the participating models are summarized below:

170 **HadOCC** (Palmer & Totterdell, 2001): the *Hadley Centre Ocean Carbon Cycle model*
 171 (*HadOCC*) model is a simple NPZD (Nutrient, Phytoplankton, Zooplankton, Detritus)
 172 representation that uses N nutrient as its base currency but with coupled flows of C,
 173 alkalinity and O₂. The model was the ocean biogeochemistry component of the UK Met
 174 Office's HadCM3 climate model, and was used for the first ever fully coupled carbon-climate
 175 study (Cox et al., 2000).

176 **Diat-HadOCC** (Halloran et al., 2010): is a development of the HadOCC model which includes
 177 two phytoplankton classes (diatoms and “other phytoplankton”) and representations of the
 178 Si and Fe cycles, as well as a dimethyl sulphide (DMS) sub-model. The model is the ocean
 179 biogeochemistry component of HadGEM2-ES (Collins et al., 2011), the UK Met Office's Earth
 180 System model used to run simulations for CMIP5 and the Intergovernmental Panel on
 181 Climate Change (IPCC) 5th Assessment Report (AR5).

182 **MEDUSA-2** (Yool et al., 2011; Yool et al., 2013): *Model of Ecosystem Dynamics, nutrient*
 183 *Utilisation, Sequestration and Acidification (MEDUSA)* is an “intermediate complexity”
 184 plankton ecosystem model designed to incorporate sufficient complexity to address key
 185 feedbacks between anthropogenically-driven changes (climate, acidification) and oceanic
 186 biogeochemistry. MEDUSA-2 resolves a size-structured ecosystem of small
 187 (nanophytoplankton and microzooplankton) and large (microphytoplankton and
 188 mesozooplankton) components that explicitly includes the biogeochemical cycles of N, Si
 189 and Fe nutrients as well as the cycles of C, alkalinity and O₂. As such, MEDUSA-2 is broadly
 190 similar in structure to Diat-HadOCC, but includes several more recent parameterisations.

PlankTOM6 & PlankTOM10 (Le Quéré et al., 2005): PlankTOM is a Dynamic Green Ocean Model that represents lower-trophic level marine ecosystems based on Plankton Functional Types (PFTs). A hierarchy of PlankTOM models exists that vary in the number of PFTs resolved. Two members drawn from this stable were used in iMarNet. PlankTOM6 includes six PFTs - diatoms, coccolithophores, mixed-phytoplankton, bacteria, protozooplankton and mesozooplankton - while PlankTOM10 includes an additional four PFTs - Nitrogen-fixers, *Phaeocystis*, picophytoplankton and macrozooplankton (Le Quéré et al. 2005; Buitenhuis et al., 2013). The models include the marine cycles of C, N, O₂, P, Si, a simplified Fe cycle, and three types of detrital organic pools including their ballasting properties and estimates the air-sea fluxes of CO₂, O₂, DMS, and N₂O. PlankTOM6 and PlankTOM10 were developed by an international community of ecologists and modellers to quantify the interactions between climate and marine biogeochemistry, particularly those mediated through CO₂. They make use of extensive synthesis of data for the parameterisation of growth rates of PFTs (e.g. Buitenhuis et al., 2006; 2010) and for the model evaluation (Buitenhuis et al., 2013).

ERSEM (Baretta et al., 1995; Blackford et al., 2004): *European Regional Seas Ecosystem Model (ERSEM)* is a generic lower-trophic level/ model designed to represent the biogeochemical cycling of C and nutrients as an emergent property of ecosystem interaction. The ecosystem is subdivided into three functional types: producers (phytoplankton), decomposers (bacteria) and consumers (zooplankton), and then further subdivided by trait (size, silica uptake) to create a foodweb. Physiological (ingestion, respiration, excretion and egestion) and population (growth, migration and mortality) processes are included in the descriptions of functional group dynamics. Four phytoplankton (picophytoplankton, nanophytoplankton, diatoms and non-siliceous macrophytoplankton), three zooplankton (microzooplankton, heterotrophic nanoflagellates and mesozooplankton) and one bacteria are represented, along with the cycling of C, N, P, Si and O₂ through pelagic (Blackford et al., 2004) and benthic (Blackford, 1997) ecosystems. ERSEM is used for shelf seas water quality monitoring and climate impact assessment, has been coupled to fisheries models (e.g. Barange et al., 2014), and is run operationally by the UK Met Office (e.g. Siddorn et al., 2007).

The intercomparison process required limited changes to model organisation and code, and models retained disparate parameterisations for several overlapping processes, including ocean carbonate chemistry and air-sea exchange (HadOCC, Diat-HadOCC – Dickson & Goyet 1994, Nightingale et al., 2000; MEDUSA - Blackford et al., 2007; PlankTOM-6, PlankTOM-10 - Orr et al., 1999; ERSEM - Artoli et al., 2012). In the case of calcium carbonate (CaCO₃) production, the models utilised a range of different parameterisations. HadOCC and Diat-HadOCC use a simple empirical relationship that ties CaCO₃ production to primary production. MEDUSA relates CaCO₃ production to export production, with a PIC:POC ratio (particulate inorganic carbon:particulate organic carbon ratio) dependent on calcite

saturation state. In PlankTOM-6 and PlankTOM-10, coccolithophore algae are explicitly modelled, with a fixed PIC:POC ratio. ERSEM relates CaCO_3 production to export production driven by nanophytoplankton losses, with a variable PIC:POC ratio dependent on temperature, nutrient limitation and calcite saturation state. Meanwhile, CaCO_3 dissolution was a simple exponential function of depth in the HadOCC models, with the other models modifying similar vertical dissolution with reference to the ambient saturation state of CaCO_3 .

The representation of biogeochemical cycles and biota in each model are summarized in Tables 1 and 2 respectively.

2.3 Model evaluation

Assessment against observational datasets was made for a set of bulk ocean biogeochemical properties that were common across all models: pCO_2 , alkalinity, dissolved inorganic carbon (DIC), dissolved inorganic nitrogen (DIN), chlorophyll and primary production.. In all cases, model results were regridded to the same geographical grid (World Ocean Atlas) and guided by literature on appropriate skill metrics (e.g. Doney et al., 2009; Stow et al., 2009) model skill was assessed through statistical techniques such as global surface field standard deviation and spatial pattern correlation coefficients. In the biogeochemical regions of the North Atlantic, Equatorial Pacific and Southern Ocean, depth profiles of model outputs were also assessed against observations within the top 1000m of the water column.

Observational fields used within the model intercomparison are comprised of World Ocean Atlas 2009 DIN (Garcia et al., 2010a), chlorophyll (O'Reilly et al., 1998) and pCO_2 (Takahashi et al., 2009). Because of its biogeochemical importance, and the diversity in published estimates, observational primary production is an average of three empirical models: (Behrenfeld and Falkowski, 1997); (Carr et al., 2006) and (Westberry et al., 2008)- which are all estimates derived from satellite ocean colour and SST. The observational fields of chlorophyll and primary production used here represent averages over the 2000-2004 time period. This same period is used throughout the following analysis as a standard interval except in the case of DIC and alkalinity, which are analysed over the mean 1990-1999 period corresponding to the GLODAP data product.

These fields were selected for several reasons. Firstly, they are ocean or biogeochemical bulk properties for which there are global-scale observations. Secondly, these fields broadly

represent foundational aspects of marine biogeochemical cycles. For instance, nutrients play a critical role in regulating the distribution and occurrence of marine plankton, while phytoplankton photosynthesis represents the vast majority of the primary energy source to marine ecosystems. Thirdly, the measurement of these fields is relatively well-defined with long-established standard methodologies. Properties that are directly related to biological entities, for instance biomass abundances, can be less precisely defined, difficult to match up with modelled quantities, or even absent from some models examined here. That said, the observational field of global scale primary production used here has a relatively high uncertainty because it is drawn from three methodologies which exhibit a large range (cf. Yool et al., 2013). Finally the examined properties are those which, if modelled poorly, legitimately cast doubt over the wider utility of a biogeochemical model in an earth systems context. Model results always depart from observations, but systematic disagreement with these basic observations is strongly suggestive of problems with process representation within a model. The model comparison focuses on the mean and seasonal cycle. It does not include evaluation of variability over interannual or longer timescales, in part because of limited data availability.

3 Results

3.1 Model skill assessment

3.1.1 Surface fields

Figures 1-3 (and Supplementary Figures S1-S3) show annual average fields from each of the models for a series of ocean properties, together with comparable observational fields. The figures also include a panel that shows the corresponding model-observation Taylor diagram (Taylor, 2001). These illustrate both the correlation between (azimuthal position) and relative variability (radial axis) of model and observations, such that models more congruent with observations generally appear closer to the reference marker on the x-axis of the diagram. As Taylor diagrams do not account for mean field biases (Joliff et al., 2009) these are provided separately in figure legends.

Figure 1 shows annual average surface pCO_2 fields for both models and observations, with correlation coefficients ranging from $r=0.01$ to $r=0.68$ (Takahashi et al., 2009). In general, the simpler models (HadOCC, Diat-HadOCC and MEDUSA-2) better capture the global spatial pattern of pCO_2 ($r=0.54$ to $r=0.68$), but they overestimate the standard deviation in global surface pCO_2 by up to a factor of 2. This overestimation of the variance in global surface pCO_2 is a result of high modelled pCO_2 values in the equatorial Pacific and in particular the

296 Eastern equatorial Pacific. In contrast, the more complex models (PlankTOM6, PlankTOM10
 297 and ERSEM) perform considerably worse in terms of capturing global spatial patterns of
 298 surface ocean pCO₂. In particular, all three models underestimate the observed high pCO₂
 299 values along the equatorial Pacific ocean as well as the high coastal pCO₂ values in that
 300 region, opposite to the bias found in simpler models. However, the PlankTOM models
 301 overall show comparable standard deviations in mean global surface pCO₂ to that seen in
 302 observations.

303 The negative pCO₂ biases in the equatorial Pacific exhibited by the PlankTOM6, PlankTOM10
 304 and ERSEM models may be explained, at least in part, by the positive biases that these
 305 models show for surface alkalinity in this region (Figure S3). The models with positive pCO₂
 306 biases in the equatorial Pacific (HadOCC, Diat-HadOCC and MEDUSA-2), do not have
 307 negative surface alkalinity biases in this region but values are much closer to observations
 308 (Figure S3). The root of these alkalinity biases lies in variation in PIC production by the
 309 models in this region as discussed in greater detail below.

310 Figure 2 illustrates model performance for annual average surface dissolved inorganic
 311 nitrogen (DIN) concentrations. Here, all models capture global patterns relatively well, with
 312 correlation coefficients >0.8, in part because of the initialisation from observations in 1890.
 313 The model with the highest spatial pattern correlation coefficient is ERSEM, although it
 314 slightly underestimates the global variability of DIN. The other models have lower spatial
 315 pattern correlation coefficients and generally overestimate the global variability of DIN.
 316 PlankTOM6 performs below other models, while PlankTOM10 has similar performance as
 317 the simpler models. In general, aside from ERSEM and PlankTOM10, most models show
 318 elevated Pacific DIN, with the simpler models, MEDUSA-2 in particular, exhibiting high
 319 equatorial anomalies. Finally, while ERSEM shows good agreement throughout most of the
 320 world ocean, both the North Atlantic and North Pacific show anomalously low annual
 321 average DIN concentrations.

322 “Surface DIN concentrations are influenced by both the efficiency of primary production and
 323 the efficiency of remineralisation both of which differ between models. Although we don’t
 324 explore the differences in remineralisation, the models which show positive DIN biases in
 325 the equatorial Pacific (HadOCC, Diat-HadOCC and MEDUSA-2), are generally shown to also
 326 have positive integrated primary production biases in this region (Figure S1). To a lesser
 327 extent the reverse is true of the models with negative DIN biases in the equatorial Pacific
 328 (PlankTOM10 and ERSEM).”
 329

330 Figure 3 shows low correlation ($r < 0.5$) for annual surface chlorophyll concentrations for all

models. The models with the highest correlation coefficients are PlankTOM10 (0.49) followed by MEDUSA-2 (0.36). All other models have correlation coefficients <0.2 . Anomalously high chlorophyll values in the equatorial Pacific and, especially, the Southern Ocean significantly elevate the spatial variability of Diat-HadOCC above that of observations (and all other models). More generally, with the exception of PlankTOM10, all of the models show some degree of excess chlorophyll in the Southern Ocean, with Diat-HadOCC exhibiting very high concentrations in this relatively unproductive region.

In addition to the ocean properties shown in Figures 1-3, complementary figures for alkalinity, DIC and primary production can be found in the supplementary material (Figures S1-S3). In each case, global annual average fields are shown together with the corresponding Taylor diagram.

Table 3 shows the correlation coefficients and standard deviations normalised relative to observations of the models for all six of the ocean properties (five surface fields plus depth-integrated primary production). These are additionally colour-coordinated according to the rank order of model performance, and the range of correlation coefficients over all of the models is shown for each field. As already suggested above, model performance varies both between fields and between models. All models perform consistently and relatively well for DIN and DIC in part because of the “memory” of initial distributions. Model performance varies more widely for $p\text{CO}_2$ and primary production and varies most widely for chlorophyll, although it is consistently poor across all models.

Figure 4 summarises the data in Table 3 by showing the distribution of performance rankings (both correlation coefficients and normalised standard deviations) across the selected fields for each model, i.e. the number of first, second, etc., rankings for each model. No model is shown to consistently outperform all other models across all metrics. Indeed all models perform best in at least one metric, and similarly all models perform worst in at least one metric. There is little discernable relationship between model complexity and model performance. Indeed Table 3 shows that for 4 out of 6 fields the best performing model in terms of correlation coefficients is a simpler model (i.e. HadOCC, Diat-HadOCC or MEDUSA-2) and for 5 out of 6 fields the best performing model in terms of normalised standard deviations is a more complex model (i.e. PlankTOM6, PlankTOM10 or ERSEM).

These findings in annual average model performance are found to be consistent when examined at monthly timescales (Figure 5).

3.1.2 Depth profiles

While the majority of biological activity in the ocean is concentrated in its surface layers, biogeochemical fields in the deep ocean have a complex structure created through the interaction of ocean physics with biologically-mediated processes such as export and remineralisation. As such, model performance cannot be solely assessed from surface fields of ocean BGC properties. To examine this, Figures 6 and 7 show the annual average depth profiles of DIC and alkalinity for three important regions: the North Atlantic (Atlantic 0-60°N), Southern Ocean ($\geq 60^\circ\text{S}$) and Equatorial Pacific (Pacific Ocean 15°S-15°N).

In Figure 6, all models are shown to capture the DIC profile in the Equatorial Pacific though HadOCC, Diat-HadOCC and MEDUSA-2 are somewhat closer to observations than ERSEM and the PlankTOM models. A similar situation is seen in the North Atlantic where the depth profiles of MEDUSA-2, HadOCC and Diat-HadOCC are closest to observations, although surface agreement is greater than that at depth. All models are shown to perform relatively poorly in the Southern Ocean, with much weaker gradients with depth than observations. HadOCC, Diat-HadOCC and ERSEM show gradients that are marginally closer to that observed, but all of the models consistently fail to reproduce the observed $>100 \text{ mmol m}^{-3}$ surface-1000m increase. As Figure S7 shows, this common problem of vertical homogeneity between the models is driven by systematic biases in vertical mixing in this region, as well as known errors in ocean circulation (e.g. Yool et al., 2013).

The annual average depth profiles of alkalinity are shown in Figure 7. In the North Atlantic, HadOCC and Diat-HadOCC are closer to observations while ERSEM and, particularly, MEDUSA-2 are further away from observations (but in opposite directions). Again, and for the same reasons as outlined above, no model performs well at capturing the depth profile observed in the Southern Ocean. In the Equatorial Pacific all of the models have similar alkalinity at depth but diverge from observations towards the surface. The near-surface depth profiles in HadOCC, Diat-HadOCC and MEDUSA-2 are closest to observations in that region. Alkalinity shows very little variability with depth in the PlankTOM6, PlankTOM10 and ERSEM models and is higher than observations in near-surface waters ($>100 \text{ meq m}^{-3}$). This excess alkalinity may explain the broadly lower pCO_2 values visible in this region in Figure 1. The source of this bias in surface alkalinity is, at least in part, due to disparity in modelled CaCO_3 production in this region. As Supplementary Figures S8-S10 show, PlankTOM6, PlankTOM10 and ERSEM export negligible particulate inorganic carbon (PIC; Figure S9) relative to particulate organic carbon (POC; Figure S8) in this region. This results in low rain ratios (Figure S10) and the divergence of DIC and alkalinity performance of these models in this region. The lack of PIC export in these models runs contrary to observations (e.g. Dunne et al., 2007), but reflects the current difficulty in modelling CaCO_3 production – which HadOCC, Diat-HadOCC and MEDUSA-2 circumvent by simplistic empirical parameterisations.

The depth profiles of DIN and O_2 are given in the supplementary material (Figures S4-5).

402

403 **3.2 Computational benchmarking**

404 Computational timing tests (CPU time) were carried out relative to the ocean component of
405 the HadGEM3 (Hewitt et al., 2011) model (ORCA1.0L75), on standard configurations of 128
406 and 256 processors on an IBM Power7 machine. As would be intuitively expected, the cost
407 of candidate ocean biogeochemical models is found to be higher for models with more
408 tracers regardless of the number of processors used. While there are deviations in both
409 directions between the models, broadly there is a linear relationship between number of
410 model tracers and compute cost (Figure S6) reflecting the significant cost of applying
411 advection and mixing terms to each tracer.

412 Using ERSEM (the computationally most expensive model) increases computational cost
413 approximately 6-fold relative to HadOCC when 128 processors are used. This relative
414 increase in computational cost is reduced to approximately 4.5-fold when 256 processors
415 are used. PlankTOM10 has the greatest relative reduction (36.6%) in computational cost
416 when run on 256 processors as opposed to 128, although this model would still increase the
417 total cost of the ocean component by a factor of 5 relative to a physics-only ocean,
418 compared to a factor of 1.5 for HadOCC (Table 4).

419

420 **4 Discussion**

421 Our model comparison suggests that for global annual average surface fields, global
422 monthly average surface fields and annual average depth profiles in three oceanographic
423 regions there is little evidence that increasing the complexity of OBGC models leads to
424 improvements in the representation of large scale ocean patterns of bulk properties. In
425 some cases, the comparison suggests that simpler OBGC are closer to observations than
426 intermediate or complex models for the standard assessment metrics used here.

427 The biologically simpler models HadOCC, Diat-HadOCC and MEDUSA-2 are shown to have
428 generally higher global spatial pattern correlation coefficients of pCO₂, DIC and alkalinity at
429 both annual and monthly temporal resolution (Figures 1, 5 and Table 3). The more complex
430 models PlankTOM6, PlankTOM10 and, in the case of DIC, ERSEM, have annual and monthly
431 standard deviations that are generally closer to observations than the simplest two models
432 (HadOCC and Diat-HadOCC). As such, we find no robust relationship between model
433 complexity and model skill at capturing global scale distributions of surface pCO₂, DIC and
434 alkalinity. The biologically simpler models are shown to generally best capture the depth

435 profiles of DIC and alkalinity in the North Atlantic and Equatorial Pacific (Figures 6-7),
436 possibly because their biological export production can more easily be tuned to maintain
437 the observed vertical gradients.

438 There are however ocean biogeochemical fields where models of greater biological
439 complexity tend to equate to improved model skill. The annual and monthly global
440 correlation coefficients of the PlankTOM models are shown to be closest to observations for
441 chlorophyll and primary production fields (Figures 3 and Table 4). These PlankTOM models
442 do not consistently produce the annual chlorophyll and primary production field standard
443 deviations closest to observations (Table 4), however at monthly resolution their field
444 standard deviations are the most consistent across models (Figure 5).

445 The comparison of depth profiles shows that despite all models being initialised from the
446 same observational fields, there is quite a lot of divergence even at depths of less than
447 1000m. In some cases, such as alkalinity in the Southern Ocean (Figure 7), all models have a
448 similar systematic bias compared to observations. This is suggestive of the influence of
449 errors within the physical ocean model. That is, the ocean biogeochemistry may be
450 influenced to a greater extent by the physical ocean model and hence there is a common
451 response across models. For other fields such as DIN in the Southern Ocean and Equatorial
452 Pacific (Figure S5), models have both positive and negative biases compared to observations
453 suggestive of a greater relative role of the OBGC model than the physical model.

454 It is clear that more biologically complex models are required to more completely assess the
455 impacts of environmental change on marine ecosystems. By representing processes that are
456 not present in simpler models, the more complex models are also able to represent
457 additional factors such as climatically-active gases (e.g. DMS, N₂O). Assessment of such
458 representations however fell outside the scope of this paper. Models of intermediate
459 complexity (e.g. Diat-HadOCC and MEDUSA-2) are shown in this inter-comparison to
460 reproduce large scale ocean biogeochemistry features relatively well, yet minimise
461 computational cost and have sufficient biological complexity to allow important ESM
462 questions to be explored, including those that require an explicit iron cycle (e.g. ocean iron
463 fertilisation).

464 It should be noted that models implemented within the NEMO physical ocean framework
465 prior to this inter-comparison project had an advantage over those new to this framework.
466 This is a somewhat unavoidable consequence of what is also one of this inter-comparison
467 study's main strengths, namely that the models were adapted to use the same ocean
468 physics framework. Specifically, the HadOCC and MEDUSA-2 model developers were familiar
469 with NEMO v3.2 and had some previous opportunity to tune models. Linked to this is the

question of how dependent the results were on parameter values. Although model developers were afforded a limited opportunity to tune parameters, given further time to tune one would expect improved performance, especially for those models that had not been previously implemented within NEMO v3.2.

The rationale for the chosen fields of intercomparison was, as stated previously, that they are common across all models and are key facets of global marine biogeochemistry. It could however be argued that these bulk fields were insufficient to adequately assess all models and in particular the most complex models. Further analysis, beyond the scope of this paper will undertake as thorough an analysis of the biological components as each model will support.

Finally although computational cost is discussed as a pragmatic driver of OBGC model selection, it should be noted that computer power is continuously increasing and the intercomparison results presented here may differ for an alternative spatial resolution ocean grid requiring greater computational resources. In addition, ongoing efforts to transport passive ocean tracers on degraded spatial scales (e.g. Levy et al., 2012) have the potential to result in computational savings that would realistically permit the implementation of higher complexity OBGC models within ESMs.

5 Conclusions

The 6 ocean biogeochemical models analysed within this inter-comparison cover a large range of ecosystem complexity (from 7 tracers in HadOCC to 57 in ERSEM), and therefore result in a range of approximately 5 in computational costs (from increasing the cost of the physical ocean model by a factor of 2 to a factor of 10). Results suggest little evidence that higher biological complexity implies better model performance in reproducing observed global-scale bulk properties of ocean biogeochemistry.

As no model is found to have the highest skill across all metrics and all are most or least skilful for at least one metric, our results suggest that it is in the interest of the international climate modelling community to maintain a diverse suite of ocean biogeochemical models.

One priority for the next generation of Earth System Models (CMIP6) is to enhance model resolution in the hope that it will resolve some of the existing biases in climate models. This puts pressure on the computing time available for representing biological complexity. Our results suggest that intermediate complexity models (such as MEDUSA-2 and Diat-HadOCC) offer a good compromise between the representation of biological complexity (through their inclusion of an iron cycle) and computer time, given their relatively good performance in reproducing bulk properties. However, intermediate complexity models are limited in the detail to which they can address climate feedbacks and

505 it may be that more complex models can in future provide additional insight, based on
506 ongoing measurements and data syntheses.

507 The quest for increasing resolution in ESMs is unlikely to end soon, as the resolution needed
508 to resolve eddies in the ocean ($1/8$ degree or less) needs to be achieved before
509 important improvements in representing climate dynamics are achieved. Most ESMs being
510 developed for the next CMIP phase will have a grid of $1/2$ to $1/4$ degree. Even with
511 increasing computational power and schemes for accelerating transport of passive tracers
512 (Levy et al., 2012) available, other priorities (e.g. ensemble simulations for risk assessments)
513 may still make it difficult to prioritise the representation of biogeochemical complexity in
514 ESMs. In order to achieve scientific progress on important questions of the
515 interactions between marine biogeochemistry and climate, it is thus important that
516 lower resolution ESMs that prioritise biogeochemical complexity are maintained and used in
517 CMIP exercises in parallel to higher resolution models.

518

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723 Table 1. Biogeochemical cycles represented in each candidate model.

724

	HadOCC	Diat-HadOCC	MEDUSA-2	PlankTOM6	PlankTOM10	ERSEM
N	✓	✓	✓	✓	✓	✓
P					✓	✓
Si		✓	✓	✓	✓	✓
Fe		✓	✓	✓	✓	✓
C	✓	✓	✓	✓	✓	✓
Alkalinity	✓	✓	✓	✓	✓	✓
O ₂	✓	✓	✓	✓	✓	✓

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Table 2. Composition of the marine ecosystems represented in each candidate model, along with the total number of biogeochemical tracers (including those detailed in Table 1).

	HadOCC	Diat-HadOCC	MEDUSA-2	PlankTOM6	PlankTOM10	ERSEM
Generic Phytoplankton	✓	✓		✓	✓	
Diatoms		✓	✓	✓	✓	✓
Large Phytoplankton						✓
Picophytoplankton			✓		✓	✓
Coccolithophores				✓	✓	✓
N ₂ fixers					✓	
Flagellates						✓
Phaeocystis					✓	
Generic Zooplankton	✓	✓				
Microzooplankton			✓	✓	✓	✓
Mesozooplankton			✓	✓	✓	✓
Macrozooplankton					✓	
Heterotrophic Nanoflagellates						✓
Picoheterotrophs				✓	✓	✓
Tracers	7	13	15	25	39	57

Table 3. Model-observation correlation coefficients (R) and standard deviations normalised by the standard deviation of observations (σ) for all examined annual surface fields and depth integrated primary productivity. Colours indicate model ranking and are organised through the worst performing model in red to the best performing model in dark blue (through orange, yellow, green and light and dark blue).

Model	pCO ₂		DIN		Chl.		Alkalinity		DIC		Primary Production	
	R	σ	R	σ	R	σ	R	σ	R	σ	R	σ
HadOCC	0.68	1.92	0.88	1.20	0.30	0.68	0.91	1.19	0.93	1.18	0.19	0.92
Diat-HadOCC	0.54	1.77	0.90	1.20	0.15	2.65	0.91	1.19	0.93	1.13	0.13	1.51
MEDUSA-2	0.64	1.56	0.85	1.21	0.36	0.40	0.88	1.14	0.92	1.17	0.64	1.10
PlankTOM6	0.34	1.03	0.79	1.20	0.32	1.08	0.70	0.88	0.75	0.96	0.47	0.61
PlankTOM10	0.29	0.94	0.88	1.19	0.50	0.43	0.58	1.16	0.65	1.08	0.53	0.74
ERSEM	0.01	2.04	0.94	0.95	0.04	0.91	0.84	1.18	0.86	1.07	-0.08	1.12
Range	0.64	1.09	0.15	0.26	0.46	2.64	0.33	0.31	0.28	0.23	0.72	0.90

Table 4. Computational cost of each candidate model when coupled to the ocean component of HadGEM3, relative to a physics-only simulation with the same ocean model (ORCA1.0L75). A cost of 2.0 indicates that adding the biogeochemistry model doubles total simulation cost. Timings are shown for simulations carried-out on 128 and 256 processors of an IBM Power7 machine.

Model	Cost (128 processors)	Cost (256 processors)
HadOCC	1.75	1.48
Diat-HadOCC	2.36	1.88
MEDUSA-2	2.73	2.10
PlankTOM6	5.11	3.52
PlankTOM10	7.74	4.90
ERSEM	10.36	6.87

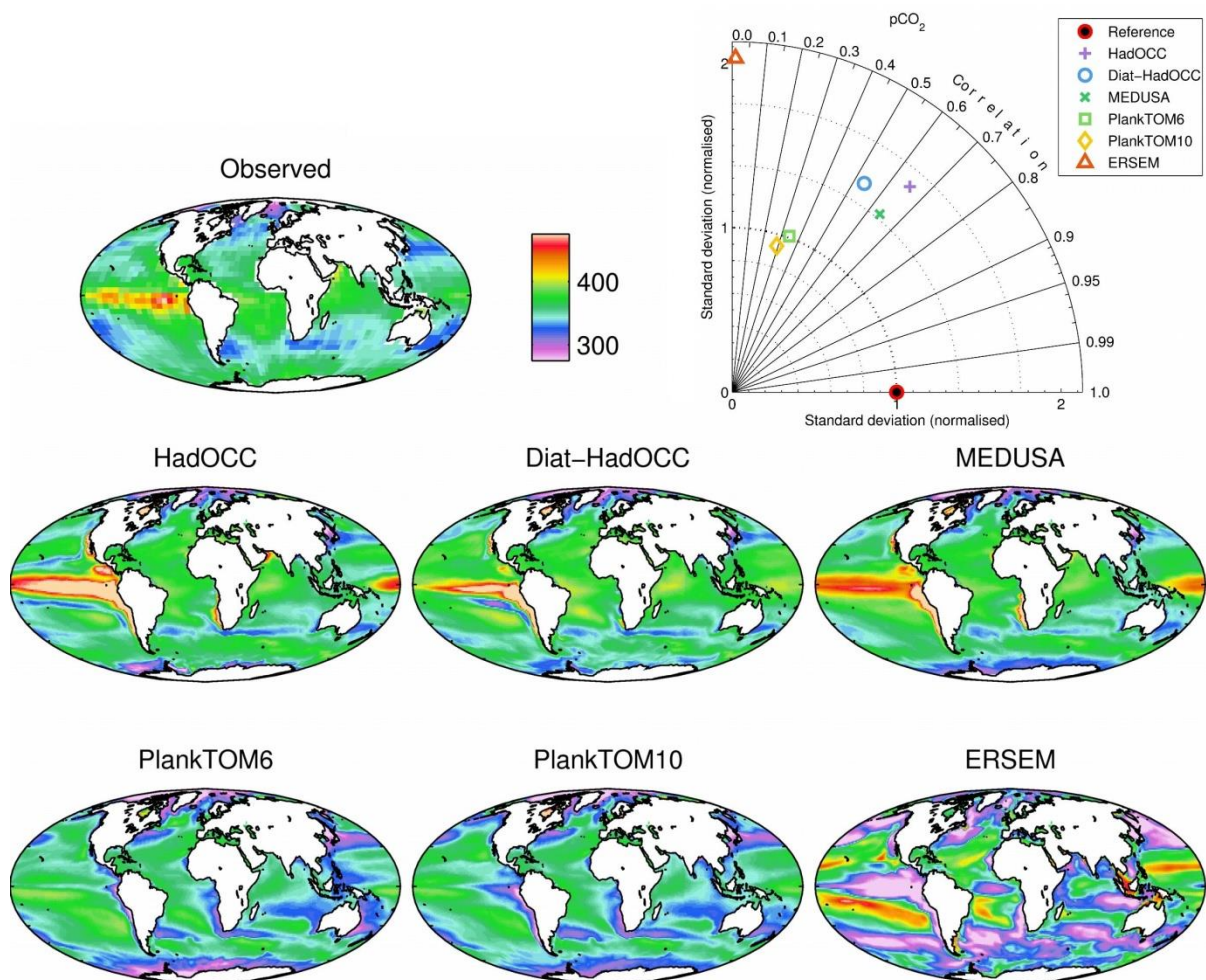


Figure 1. Observational (Takahashi et al., 2009; top left) and modelled annual average surface ocean $p\text{CO}_2$ (μatm) for year 2000. Mean field values: observations 357.7; HadOCC 368.8; Diat-HadOCC 369.2; MEDUSA 368.5; PlankTOM6 349.8; PlankTOM10 349.5; ERSEM 343.0.

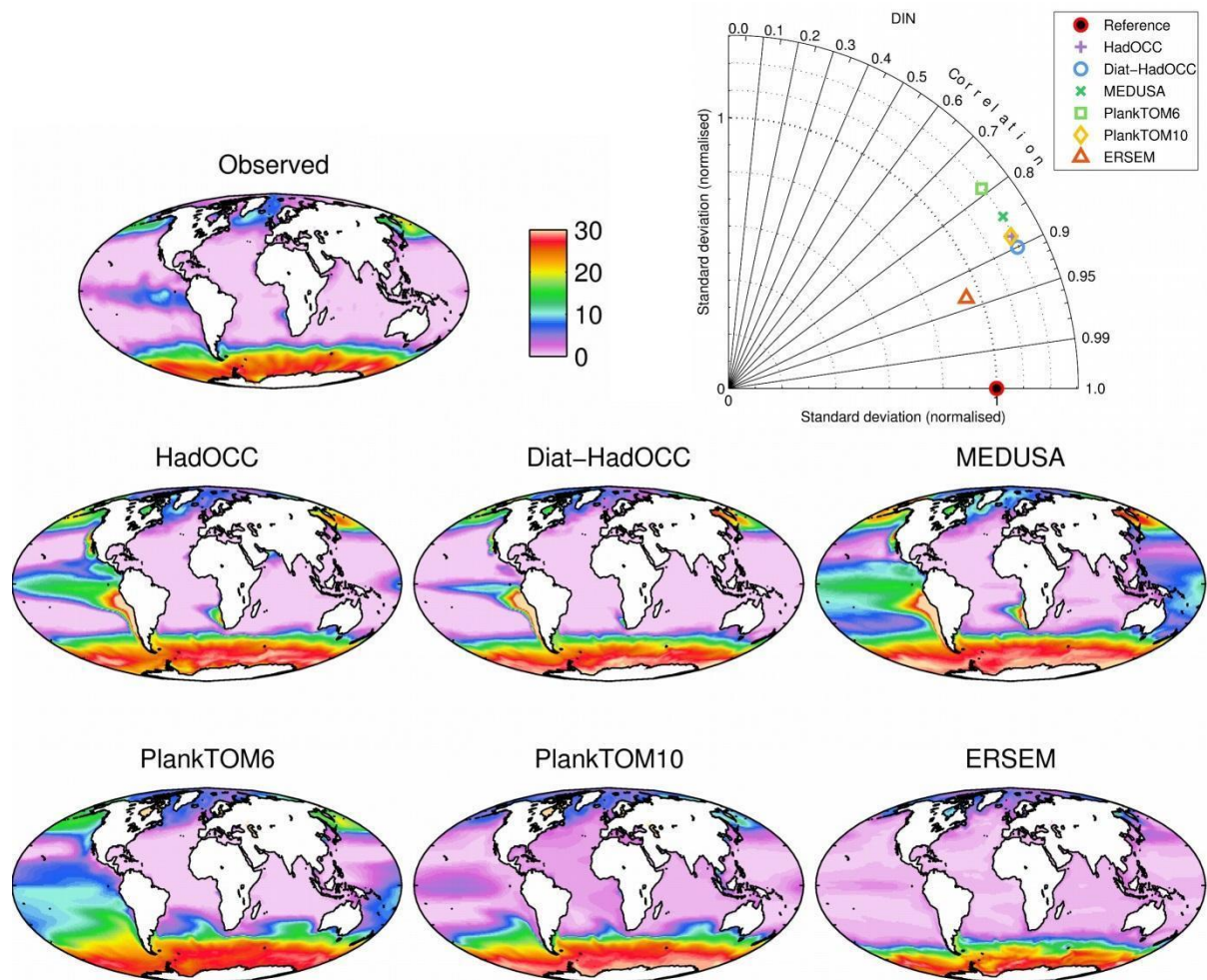
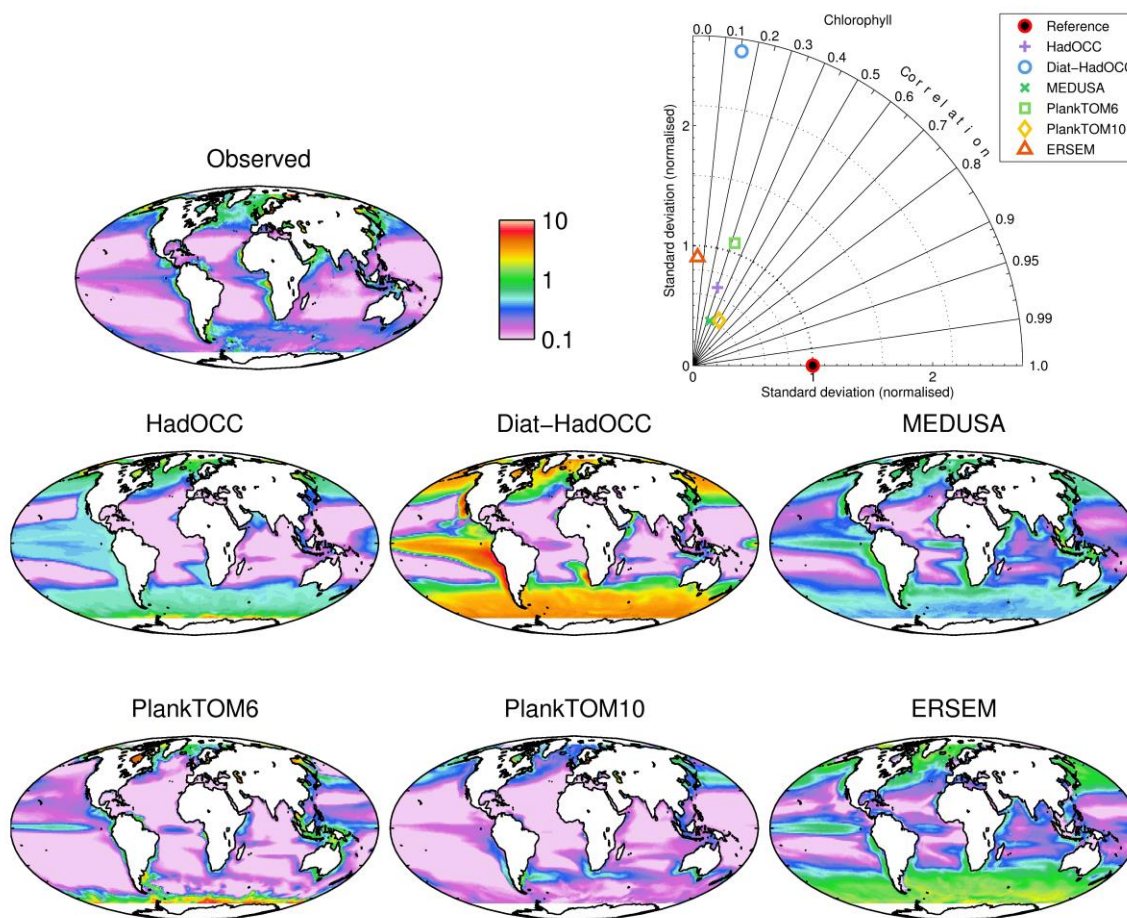


Figure 2. Observational (World Ocean Atlas, 2009; top left) and modelled annual average surface ocean Dissolved Inorganic Nitrogen (mmol m⁻³) for the period 2000-2004. Mean field values: observations 5.24; HadOCC 7.88; Diat-HadOCC 6.33; MEDUSA 10.18; PlankTOM6 9.45; PlankTOM10 7.25; ERSEM 4.58.



774

775 Figure 3. Observational (SeaWiFS; top left) and modelled annual average surface ocean
 776 chlorophyll (mg m⁻³) for the period 2000-2004. To avoid biasing the plots, observational data
 777 and model output are only shown for regions in which all months were represented at least
 778 once across all of the sampled years. Mean field values: observations 0.215; HadOCC 0.347;
 779 Diat-HadOCC 1.170; MEDUSA 0.346; PlankTOM6 0.312; PlankTOM10 0.160; ERSEM 0.501.

780

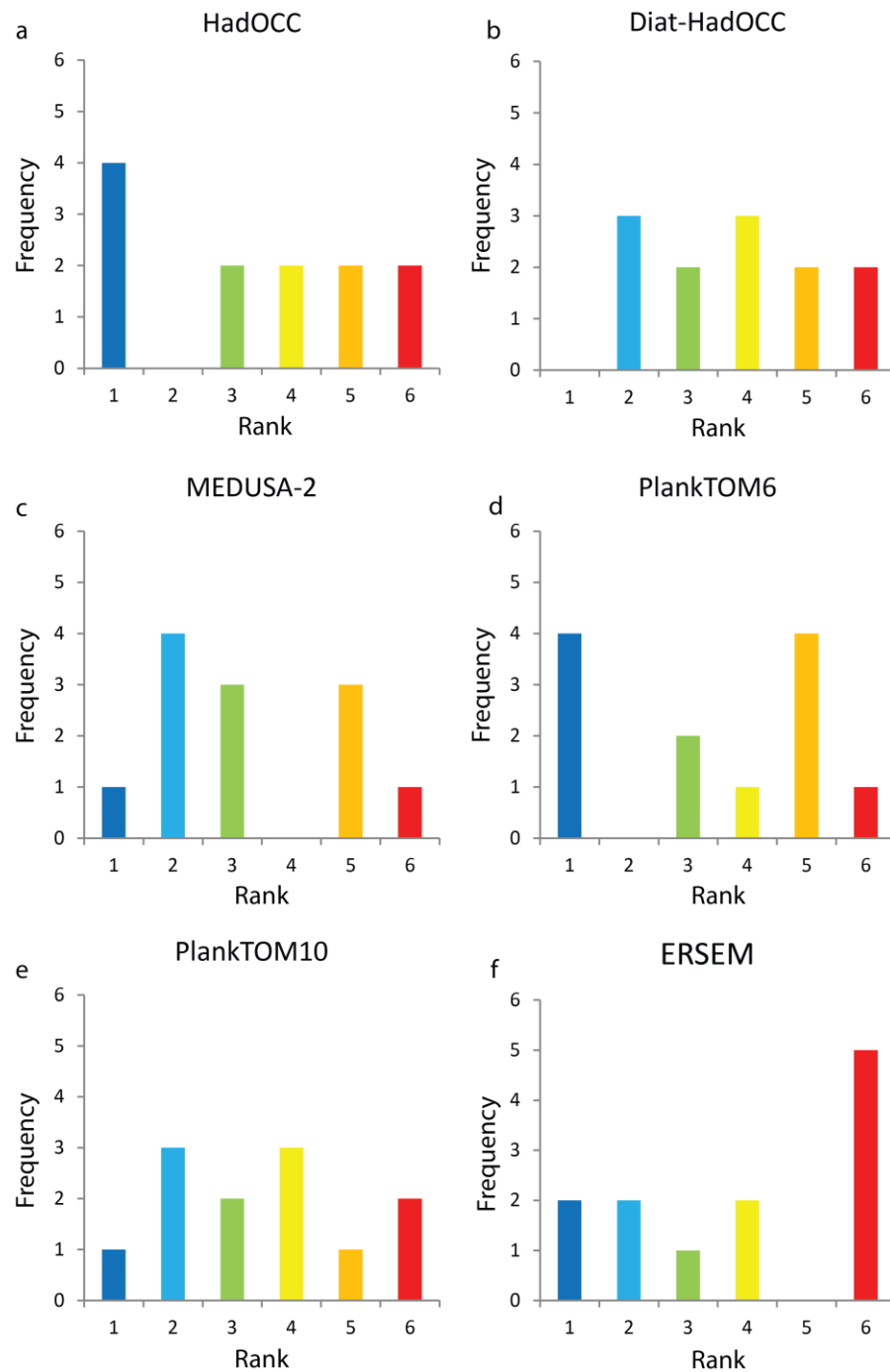
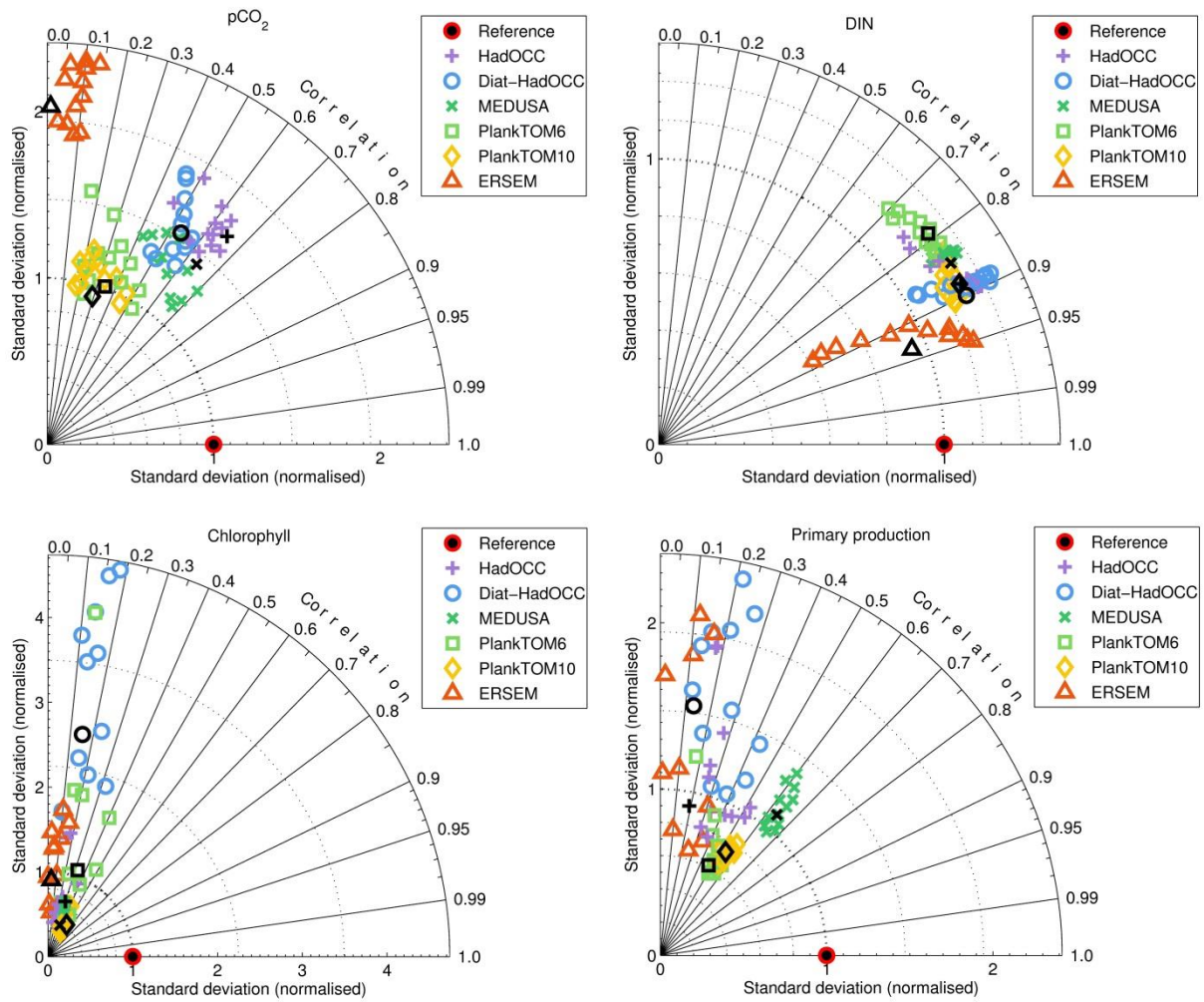
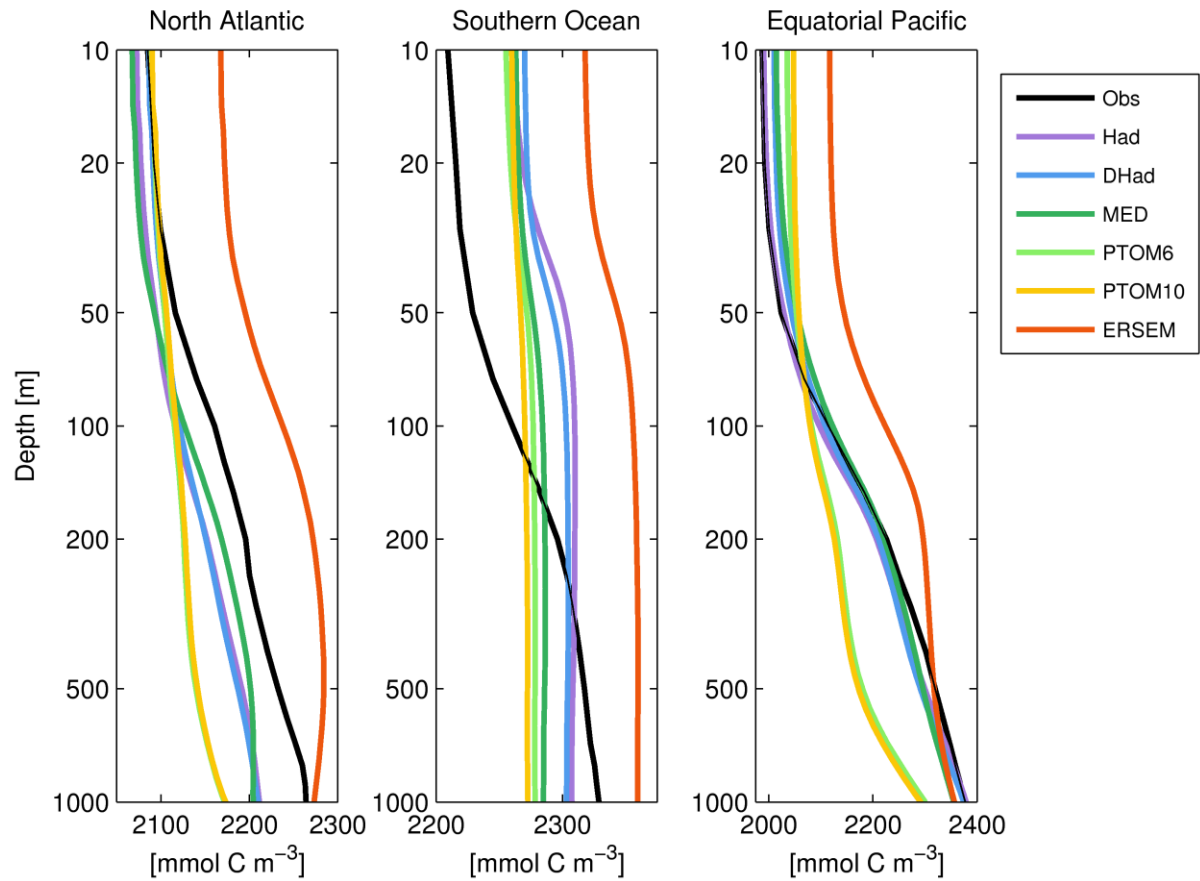


Figure 4. Frequency distributions of best- to worst-performances for each model, in terms of correlation coefficients and normalised standard deviations of annual surface fields and depth integrated primary productivity. Colours follow those of Table 1.



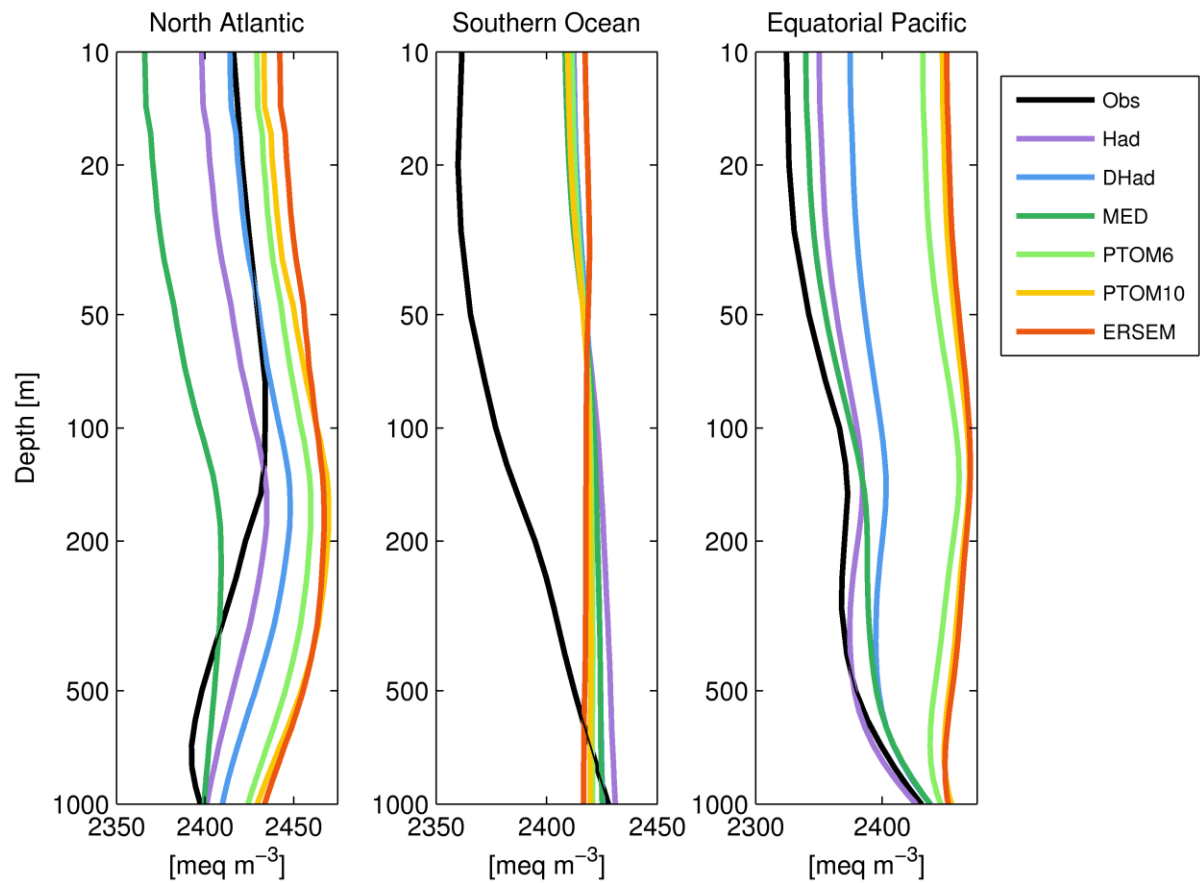
785

786 Figure 5. Monthly Taylor plots for $p\text{CO}_2$, Dissolved Inorganic Nitrogen (DIN), chlorophyll and
 787 primary production for all models relative to observations. Annual averages are shown in
 788 black. Note that negative correlation coefficients are not shown in the Taylor plot.



789

790 Figure 6. Observed (black; GLODAP) and modelled profiles of Dissolved Inorganic Carbon
 791 (mmol C m^{-3}) in the North Atlantic (0°N to 60°N), Southern Ocean (90°S to 60°S) and
 792 Equatorial Pacific (15°S to 15°N). Vertical scaling is logarithmic (\log_{10}).



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796

Figure 7. Observed (black; GLODAP) and modelled profiles of alkalinity (meq m⁻³) in the North Atlantic (0°N to 60°N), Southern Ocean (90°S to 60°S) and Equatorial Pacific (15°S to 15°N). Vertical scaling is logarithmic (log₁₀).