

1 **On the role of circulation and mixing in the ventilation of**  
2 **oxygen minimum zones with a focus on the eastern**  
3 **tropical North Atlantic**

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12  
13 **Abstract**

14 Ocean observations are analyzed in the framework of the Collaborative Research Center 754  
15 (SFB 754) "Climate-Biogeochemistry Interactions in the Tropical Ocean" to study 1) the  
16 structure of tropical oxygen minimum zones (OMZs), 2) the processes that contribute to the  
17 oxygen budget, and 3) long-term changes in the oxygen distribution. The OMZ of the eastern  
18 tropical North Atlantic (ETNA), located between the well-ventilated subtropical gyre and the  
19 equatorial oxygen maximum, is composed of a deep OMZ at about 400 m depth with its core  
20 region centred at about 20° W, 10° N and a shallow OMZ at about 100 m depth with lowest  
21 oxygen concentrations in proximity to the coastal upwelling region off Mauritania and  
22 Senegal. The oxygen budget of the deep OMZ is given by oxygen consumption mainly  
23 balanced by the oxygen supply due to meridional eddy fluxes (about 60 %) and vertical  
24 mixing (about 20 %, locally up to 30 %). Advection by zonal jets is crucial for the  
25 establishment of the equatorial oxygen maximum. In the latitude range of the deep OMZ, it  
26 dominates the oxygen supply in the upper 300 to 400 m and generates the intermediate  
27 oxygen maximum between deep and shallow OMZs. Water mass ages from transient tracers  
28 indicate substantially older water masses in the core of the deep OMZ (about 120-180 years)  
29 compared to regions north and south of it. The deoxygenation of the ETNA OMZ during  
30 recent decades suggests a substantial imbalance in the oxygen budget: about 10 % of the

31 oxygen consumption during that period was not balanced by ventilation. Long-term oxygen  
32 observations show variability on interannual, decadal and multidecadal time scales that can  
33 partly be attributed to circulation changes. In comparison to the ETNA OMZ the eastern  
34 tropical South Pacific OMZ shows a similar structure including an equatorial oxygen  
35 maximum driven by zonal advection, but overall much lower oxygen concentrations  
36 approaching zero in extended regions. As the shape of the OMZs is set by ocean circulation,  
37 the widespread misrepresentation of the intermediate circulation in ocean circulation models  
38 substantially contributes to their oxygen bias, which might have significant impacts on  
39 predictions of future oxygen levels.

40

## 41 **1 Introduction**

42 The oceanic oxygen distribution is generally characterized by slightly supersaturated oxygen  
43 levels in the surface layer, an intermediate oxygen minimum, and higher oxygen levels at  
44 depth. This vertical structure is a consequence of the delicate balance between the supply of  
45 oxygen through ventilation and circulation, oxygen production by photosynthesis, and oxygen  
46 consumption by remineralization of sinking organic matter. The horizontal distribution of  
47 oxygen shows major large scale open ocean subsurface oxygen minimum zones (OMZs) in  
48 the eastern parts of the tropical Atlantic and Pacific Oceans as well as in the northern Indian  
49 Ocean. By analysing a combination of historical and modern observations, an expansion and  
50 intensification of OMZs in the tropical oceans has been detected (Stramma et al., 2008b).  
51 However, numerical simulations with global or regional models are not able to consistently  
52 reproduce such trends and thus up to now fail to provide an explanation of the observed  
53 oxygen trends in the tropical ocean (Stramma et al., 2012).

54 OMZs in the tropical Atlantic were first identified by analysing hydrographic data from the  
55 German Meteor Expedition during 1925 to 1927 (Wattenberg, 1938). This dataset revealed  
56 the existence of OMZs in both hemispheres of the eastern tropical Atlantic at a depth between  
57 300 and 700 m, situated equatorward of the subtropical gyres and separated by an equatorial  
58 oxygen maximum. Based on data, including those from the German Meteor Expedition, and  
59 theoretical considerations, Wyrski (1962) concluded that the boundaries of these OMZs are  
60 set by advection with the lowest oxygen levels occurring in almost stagnant water bodies. A  
61 plausible theory of thermocline ventilation was delivered by Luyten et al. (1983b). The basis  
62 of their theory is of an ocean forced by subtropical Ekman pumping and otherwise obeying  
63 circulation pathways that are governed by potential vorticity conservation. This theory

64 explains the existence of non-ventilated, near-stagnant shadow zones in the eastern tropics.  
65 The remaining slow ventilation of such shadow zones, which under the assumption of steady  
66 state is required to balance oxygen consumption, is expected to be the consequence of lateral  
67 fluxes of oxygen from oxygen-rich water masses of the subtropics as well as due to diapycnal  
68 oxygen fluxes from oxygen-rich layers above and below the thermocline of the OMZs.

69 The near-surface layers (upper ~250 m) of the tropical oceans are characterized by the  
70 presence of energetic zonal current bands. In the Atlantic below that layer, substantial mean  
71 zonal currents are also found particularly in the depth range of the OMZs (Fig. 1). Close to  
72 the equator, the strongest intermediate currents are observed with eastward flow at 2° N and  
73 2° S and westward flow in between. The eastward current bands have been found to ventilate  
74 the central and eastern equatorial region with oxygen-rich waters from the western boundary  
75 (Tsuchiya et al., 1992;Schott et al., 1995;Schott et al., 1998). Together with time-varying  
76 equatorial jets they produce an equatorial oxygen maximum at intermediate depths (Brandt et  
77 al., 2012). Further poleward alternating zonal jets are present at intermediate depths including  
78 the latitude range of the OMZs. Their strengths have been quantified using subsurface drift  
79 trajectories from floats (Maximenko et al., 2005;Ollitrault et al., 2006) and repeated shipboard  
80 sections (Brandt et al., 2010). Such currents have been reproduced by idealized process  
81 modelling (Ménèsquen et al., 2009;Ascani et al., 2010;Qiu et al., 2013) but are typically not  
82 found (or are unrealistically weak) in ocean circulation models. They contribute to the  
83 ventilation of the eastern tropical North Atlantic (ETNA) at intermediate depth, and decadal  
84 to multidecadal changes in the strengths of these jets might play a significant role in  
85 modulating long-term oxygen changes in the ETNA OMZ (Brandt et al., 2010).

86 The Atlantic and Pacific OMZs have many similarities particularly regarding OMZ shape and  
87 circulation pattern. The ETNA and the eastern tropical South Pacific (ETSP) OMZs (Figs. 1,  
88 2) are both located in the shadow zones of the ventilated thermocline and are ventilated by  
89 lateral and vertical mixing as well as by zonal advection in the equatorial band. However, the  
90 striking difference between both OMZs is that the ETNA OMZ is hypoxic (oxygen below ~60  
91 to 120  $\mu\text{mol kg}^{-1}$ ) and the ETSP is suboxic (oxygen below about 10  $\mu\text{mol kg}^{-1}$ ). Karstensen et  
92 al. (2008) concluded that this difference is the result of reduced oxygen levels in the eastward  
93 current bands of the Pacific OMZs compared to the Atlantic OMZs, which they argue can be  
94 traced back to the larger ratio of the total volume of OMZ layer to the renewal or subduction  
95 rate in the Pacific compared to the Atlantic.

96 As part of the Collaborative Research Center 754 (Sonderforschungsbereich, SFB 754)  
97 "Climate-Biogeochemistry Interactions in the Tropical Ocean" (first phase 2008-2011 and  
98 second phase 2012-2015) physical processes responsible for the ventilation of the ETNA  
99 OMZ have been studied using an extended observational program including repeat  
100 hydrography by shipboard and glider measurements, an array of subsurface moorings,  
101 microstructure measurements and two tracer release experiments. The goals of the research  
102 program are to deliver an improved understanding of the ventilation physics of the ETNA  
103 OMZ, to come up with a quantitative understanding of the functioning of the OMZs, to  
104 monitor regional oxygen variability and trends and to analyse their causes. The ETSP OMZ  
105 has been studied as well using a reduced observational program. However, the comparison  
106 between the hypoxic ETNA and the suboxic ETSP is of particular interest here, as the  
107 observed deoxygenation in the ETNA, or future climate change, might lead to a shift from  
108 hypoxic to suboxic conditions. The present paper provides an overview of the current status  
109 of the science regarding these topics. The paper is organized as follows: In Sect. 2, data and  
110 methods used in this study are described. In Sect. 3, the current system and the OMZ structure  
111 in the ETNA are characterized. Results for the quantification of the strength of different  
112 ventilation processes, i.e. vertical mixing, lateral mixing, and advection, are presented in Sect.  
113 4. In Sect. 5, the current knowledge on oxygen consumption estimates is presented. The OMZ  
114 structure and processes at the continental margin are presented in Sect. 6. Long-term oxygen  
115 variability with a special focus on the period of enhanced data coverage is presented in Sect.  
116 7. The results obtained for the ETNA OMZ are then compared to results obtained for the  
117 ETSP in Sect. 8 and finally, in Sect. 9, the results are summarized and discussed.

118

## 119 **2 Ocean Observations**

120 A major focus of the observational work presented here has been on circulation, ventilation  
121 physics, and water mass distribution. In the tropical North Atlantic, observations have been  
122 concentrated on the 23° W section with repeat hydrography, microstructure measurements,  
123 velocity measurements (Table 1), and moored observations (Table 2). The 23° W section cuts  
124 through the ETNA OMZ from south of the Cape Verde archipelago to slightly south of the  
125 equator (Fig. 1). Along the 23° W section, moorings with instrumentation to continuously  
126 observe temperature, salinity, oxygen and velocity were deployed at 8° N and 5° N delivering  
127 multi-year time series. Additionally, oxygen sensors were installed at 300 m and 500 m depth  
128 at selected moorings (23° W, 4° N and 11.5° N) of the Prediction and Research moored Array

129 in the Tropical Atlantic (PIRATA; Bourles et al., 2008) and at subsurface moorings at 23° W,  
130 2° N and 0° (Fig. 1). For the analysis of hydrographic and velocity data acquired along 23°  
131 W, we used the measurements given in Table 1. Besides the 23° W section, we shall present  
132 here also data acquired along 18° N at the northern boundary of the ETNA OMZ (Fig. 1,  
133 Table 1). Moreover, two tracer release experiments (TREs) were carried out in the ETNA  
134 OMZ. During the first TRE, GUTRE (Guinea Upwelling Tracer Release Experiment), in  
135 April 2008, 92 kg of the halocarbon tracer trifluoromethyl sulfur pentafluoride (CF<sub>3</sub>SF<sub>5</sub>) were  
136 released at 23° W, 8° N on the potential density surface,  $\sigma_{\theta}=26.88 \text{ kg m}^{-3}$ . The depth of  
137 release, of about 330 m, corresponds to the depth of the oxycline above the deep oxygen  
138 minimum. During the following 2.5 years, three tracer surveys were carried out to measure  
139 the vertical and horizontal spreading of the tracer (Banyte et al. (2012), Table 1). During the  
140 second TRE, OSTRE (Oxygen Supply Tracer Release Experiment), in November 2012, 88.5  
141 kg of the same tracer were released at 21° W, 11° N on the potential density surface  $\sigma_{\theta}=27.03$   
142  $\text{kg m}^{-3}$  corresponding to about 500 m depth which is in the core region of the ETNA OMZ.

143 In the ETSP OMZ a particular focus was on the ~86° W section (section located at 85°50'W  
144 north of 15° S with a westward shift to 88° W south of 20° S, called ~86° W section in the  
145 following) with hydrographic and current measurements from 2° N to about 22° S (Fig. 2).  
146 Two recent cruises covered that section repeating measurements taken during the RV Knorr  
147 cruise in March 1993 (Table 1). Additionally, four cruises were carried out along the  
148 continental margin of Peru (Table 1) to investigate the circulation along the continental slope  
149 and shelf off Peru as well as the physical processes contributing to the redistribution of  
150 oxygen, nutrients and other solutes.

151

### 152 **3 Structure of the ETNA OMZ**

153 The subtropical gyre circulation of the northern hemisphere is, to first order, determined by  
154 the negative wind stress curl associated with mid-latitude westerlies and northeast trade  
155 winds. The resulting Ekman pumping drives subduction of oxygen-rich surface water masses  
156 in the subtropics. According to theory, equatorward and westward propagation of subducted  
157 water masses forms the northern boundary of the shadow zone of the ventilated thermocline  
158 (Luyten et al., 1983b). Within the shadow zone, which is characterized by a weak mean  
159 circulation, the ETNA OMZ with a core depth at about 400 m is found. Lowest oxygen  
160 concentrations at the core depth are found away from the continental margin at about 20° W,  
161 10° N (Fig. 3). North of the ETNA OMZ, the North Equatorial Current (NEC) is flowing

162 southwestward along the Cape Verde Frontal Zone. It transports oxygen-rich Central Water  
163 (CW) formed by subduction in the subtropics as well as intermediate water masses in the  
164 deeper layers having their origin mainly in the Labrador Sea and the Mediterranean outflow.  
165 To the south, the ETNA OMZ is bounded by the energetic zonal flows near the equator  
166 forming the equatorial oxygen maximum (Brandt et al., 2012). Above the main deep OMZ, at  
167 a depth of about 100 m, a shallow OMZ is situated, defined as the secondary oxygen  
168 minimum below the surface mixed layer and above 200 m (Fig. 4). It is characterized by  
169 generally higher oxygen levels compared to the deep OMZ, while occasionally extremely low  
170 oxygen levels are possible, and is most pronounced in the northeastern part of the shadow  
171 zone close to the highly productive eastern boundary upwelling region off Mauritania and  
172 Senegal (Fischer et al., 2013). The mean 18° N section shows shallow mixed layer depths  
173 over the continental margin typical for coastal upwelling regions as well as lower salinities in  
174 the CW layer that are a consequence of the northward transport of southern hemisphere water  
175 along the continental slope within the Poleward Undercurrent (Barton, 1989) and the surface  
176 flow associated with the Mauritania Current (Mittelstaedt, 1983) (Fig. 5).

177 The western boundary of the Atlantic Ocean is associated with relatively high oxygen levels  
178 at all latitudes (Fig. 1). At the density of the OMZ layer, the North Brazil Undercurrent  
179 (NBUC) / North Brazil Current (NBC) (Schott et al., 2005) transports central and  
180 intermediate water masses of southern hemisphere origin northward. The high oxygen  
181 concentrations in the CW layer of the NBUC can be traced back along the different branches  
182 of the South Equatorial Current (SEC) to the subduction region in the eastern subtropical gyre  
183 (Tsuchiya, 1986; Stramma and England, 1999). The CW also includes water from the Indian  
184 Ocean that is brought into the Atlantic by eddy shedding from the Agulhas retroflection.

185 The Antarctic Intermediate Water (AAIW) below the CW originates mainly from the Drake  
186 Passage and is transported around the southern hemisphere subtropical gyre to feed into the  
187 NBUC (Suga and Talley, 1995). Of importance for the ventilation of the ETNA OMZ is the  
188 northward flow of CW and AAIW across the equator. The northward penetration of southern  
189 hemisphere water masses at the western boundary changes with depth: AAIW dominates as  
190 far as 15° N, the upper CW only as far as 10° N because of the presence of water masses of  
191 northern hemisphere origin (Kirchner et al., 2009).

192 A substantial part of the water masses transported northward within the NBUC forms the  
193 upper branch of the Atlantic Meridional Overturning Circulation (AMOC), a circulation  
194 known since the German Meteor cruises in the 1920's, as documented by Wüst (1935). The

195 presence of the AMOC under present climate conditions is identified as the main reason for  
196 the dominance of southern hemisphere water masses in the tropical North Atlantic discussed  
197 above. It contributes to the asymmetric shallow overturning circulations in both hemispheres  
198 as well: the subtropical cell (STC) of the northern hemisphere being much weaker than its  
199 counterpart in the southern hemisphere (Schott et al., 2004). The STC connects the subduction  
200 regions of the eastern subtropical gyres to the equatorial and coastal upwelling regions. In the  
201 northern hemisphere, the subducted water masses mostly do not reach the equator. Instead,  
202 they contribute to the eastward flow within the North Equatorial Counter Current  
203 (NECC)/North Equatorial Undercurrent (NEUC) at about 5° N (Zhang et al., 2003). A  
204 particular feature in the ETNA is the presence of an open ocean upwelling regime within the  
205 cyclonic circulation of the Guinea Dome south of the Cape Verde archipelago. Associated  
206 with the presence of the Guinea Dome are changes in the potential vorticity distribution that  
207 further limit the flow of newly subducted water masses from the northern hemisphere  
208 subtropics toward the equator within the STC (Malanotte-Rizzoli et al., 2000).

209 The 23° W section (Fig. 6) cuts through the ETNA OMZ, which can be identified by low  
210 oxygen levels as well as by the high age of the water masses. The gradual change of salinity  
211 on density surfaces along this section defines the transition between low- and high-saline  
212 water masses of southern and northern origin, respectively. Along this section mean eastward  
213 and westward flow is typically identified by positive and negative oxygen anomalies relative  
214 to the background oxygen distribution (Brandt et al., 2010).

215 Ventilation time scales of the interior ocean can be quantified by analysing transient tracer  
216 distributions. A comprehensive set of CFC-12 and SF<sub>6</sub> measurements in the ETNA (these  
217 tracers were measured in parallel to the deliberately released tracer CF<sub>3</sub>SF<sub>5</sub>) has been  
218 explored in detail by Schneider et al. (2012) using the concept of transit time distributions  
219 (TTDs) (e.g. Waugh et al., 2004). The mean age in the centre of the OMZ ( $\sigma_{\theta}=27.0 \text{ kg m}^{-3}$ ) is  
220 in the range of 120 to 180 years (Fig. 7). The mean age refers to the average time it takes for a  
221 water parcel to reach a certain location in the interior ocean from the time it was last in  
222 contact with the surface ocean and hence atmosphere (see Sect. 5 for more discussion on the  
223 TTD concept). In contrast to waters in the OMZ centre, water south of about 5° N is  
224 significantly better ventilated with mean ages close to 100 years, reflecting the more energetic  
225 circulation in the equatorial region. Roughly the same age is found north of about 13° N close  
226 to the Cape Verde Islands despite lower oxygen values in the northern compared to the  
227 southern region. Below the poorly ventilated OMZ, the even older AAIW ( $\sigma_{\theta}=27.3 \text{ kg m}^{-3}$ )

228 with ventilation times in excess of 500 years is found (close to the detection limit of the  
229 CFCs, and thus difficult to accurately quantify), although this water mass has high oxygen  
230 concentration. Again, at this density layer the area south of 5° N is significantly better  
231 ventilated than north of 5° N (Schneider et al., 2012).

232

## 233 **4 Ventilation processes**

234 The oxygen budget of the OMZ takes account of consumption, advection, and diffusion of  
235 oxygen. Any imbalance of these terms results in decreasing or increasing oxygen  
236 concentration. While consumption is an oxygen sink, advection and diffusion might be  
237 sources or sinks depending on the background conditions. Mean advection of oxygen  
238 manifests itself in the mean oxygen and velocity distributions: along 23° W, mean eastward  
239 current bands are generally associated with elevated oxygen content (Fig. 6) representing an  
240 advective ventilation pathway from the western boundary toward the OMZ (Brandt et al.,  
241 2010). Horizontal and vertical diffusion act on the mean horizontal and vertical oxygen  
242 gradients, respectively. The associated variance production by mesoscale eddy stirring and  
243 small-scale turbulence (Ferrari and Polzin, 2005) results in locally elevated oxygen variance.  
244 The Eulerian variance along 23° W, as obtained from ship sections, might additionally result  
245 from lateral meandering of zonal currents or from vertical movements of isopycnals  
246 associated with internal waves and eddies. Moored time series reflect this variability pattern.  
247 There is generally higher oxygen variance at 300 m depth close to the oxycline above the  
248 deep OMZ core compared to 500 m depth (cf. Figs. 8, 9). Time scales of processes driving the  
249 variance in moored time series cover a wide range from those associated with internal waves  
250 and tides, inertial oscillations, the mesoscale eddy field to seasonal and interannual  
251 variability, including planetary waves (Hahn et al., 2014). Using repeat ship sections, the  
252 effect of vertical motion of isopycnals can be removed by calculating oxygen variance on  
253 potential density surfaces and projecting back onto depth space (Fig. 10). The remaining  
254 oxygen variance in regions of weak mean flow surrounding the ETNA OMZ might be  
255 associated with processes responsible for vertical and lateral mixing that is discussed in the  
256 following subsections.

### 257 **4.1 Vertical mixing**

258 Vertical mixing acts on the vertical oxygen gradients and leads to an oxygen supply to the  
259 OMZ via down-gradient oxygen fluxes. In order to estimate the vertical or diapycnal oxygen  
260 supply, the diapycnal diffusivity  $K_\rho$  as a measure for diapycnal mixing is required. From the



261 diapycnal spread of the deliberately released tracer during GUTRE, a mean diapycnal  
262 diffusivity of  $(1.2\pm 0.2)\times 10^{-5} \text{ m}^2 \text{ s}^{-1}$  was derived (Banyte et al., 2012). The tracer was injected  
263 on the isopycnal  $\sigma_\theta=26.88 \text{ kg m}^{-3}$  (about 330 m), corresponding to the oxycline above the  
264 deep OMZ. GUTRE was accompanied by extensive microstructure and finescale shear  
265 measurements that delivered an estimate of  $(1.0\pm 0.2)\times 10^{-5} \text{ m}^2 \text{ s}^{-1}$  for  $K_\rho$  for the depth range  
266 between 150 and 500 m (Fischer et al., 2013). The value inferred from microstructure  
267 measurements only considers diapycnal mixing due to small-scale turbulence. However,  
268 double diffusive enhancement was found to be small ( $\sim 0.1\times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ) in this depth interval  
269 (Fischer et al., 2013), so the total diffusivities estimated by the two independent methods  
270 agree within the error bars. This estimate of diapycnal mixing is considerably larger than the  
271 expected background mixing at this latitude (e.g. Gregg et al., 2003), probably due to the  
272 presence of rough topography (e.g. the Sierra Leone Rise) in the southern part of the OMZ.  
273 Combining  $K_\rho$  with simultaneous profiles of the vertical oxygen gradient allows  
274 determination of the profile of the diapycnal oxygen flux. Its divergence represents the  
275 oxygen supply to the OMZ and amounted to about  $1 \mu\text{mol kg}^{-1} \text{ yr}^{-1}$  in the OMZ core, with the  
276 required oxygen transported downwards from the upper CW (Fischer et al., 2013).

277 Deeper ranging microstructure profiles acquired during the most recent cruises to the ETNA  
278 OMZ (Table 1) allowed us to extend the analysis into the deeper water column down to 800  
279 m depth; i.e. allowed us to estimate the diapycnal oxygen flux from the AAIW below as well.  
280 In total 200 microstructure profiles, 40 of them down to 800 m, were about equally  
281 partitioned to three subregions of the OMZ: a seamount subregion (7 % of OMZ area), an  
282 abyssal plain subregion (80 % of OMZ area), and a transition subregion (13 % of OMZ area).  
283 They served to estimate subregional mean profiles of the turbulent part of diapycnal  
284 diffusivity (Fig. 11). Double diffusive enhancement of  $K_\rho$  from simultaneous CTD profiles  
285 for each subregion following St Laurent and Schmitt (1999) was accounted for to obtain  
286 subregional total  $K_\rho$  profiles (Fig. 11) and an area-weighted mean total  $K_\rho$  profile (Fig. 12).  
287 The mean diapycnal supply (Fig. 13) that, in the following, will be used in the oxygen budget  
288 was then derived as the divergence of the low-pass filtered mean diapycnal flux. The mean  
289 flux profile was calculated as the area-weighted mean of the three flux profiles from the three  
290 subregions, which in turn were obtained by combining mean  $K_\rho$  with vertical oxygen gradient  
291 profiles from the three subregions. Error estimates are reported as 95% confidence limits and  
292 are based on standard errors of the mean of individual  $K_\rho$  and oxygen gradient profiles for  
293 each subregion. Subsequent error estimates for the mean total  $K_\rho$  profile (Fig. 12), flux

294 profiles, and the mean supply profile (Fig. 13) were obtained from Gaussian error propagation  
295 (Ferrari and Polzin, 2005; Schafstall et al., 2010).

296

## 297 **4.2 Lateral Mixing**

298 Lateral mixing is induced to first order by oceanic mesoscale activity, which dominantly acts  
299 along isopycnal surfaces. It effectively mixes oxygen in regions with strong isopycnal oxygen  
300 gradients, thus substantially contributing to the ventilation of an OMZ across its lateral  
301 boundaries (Luyten et al., 1983a; McCreary et al., 2013; Gnanadesikan et al., 2013; Hahn et al.,  
302 2014). For the ETNA OMZ the eddy-driven meridional oxygen flux could be quantified along  
303 23° W both by a diffusive flux parameterization and by eddy correlation (Hahn et al., 2014).

304 The diffusive flux parameterization as the first method rests on the idea that the eddy-driven  
305 along-isopycnal oxygen flux can be expressed as a diffusive flux  $F^d = K_e \nabla O_2$  which is down  
306 the mean oxygen gradient  $\nabla O_2$  with a horizontal eddy diffusivity  $K_e$ . Two independent  
307 estimates of the meridional component of  $K_e$  for the ETNA regime were derived. On the one  
308 hand, Banyte et al. (2013) analysed the lateral spreading of the tracer released at 330 m during  
309 GUTRE. On the other hand, Hahn et al. (2014) used hydrographic and velocity observations  
310 in the upper 1000 m from research vessels and moorings along 23° W during the last 15  
311 years. Fundamentally, Hahn et al. (2014) based their analysis on the mixing length theory (as  
312 applied in Ferrari and Polzin, 2005) as well as on the theory of two-dimensional mesoscale  
313 turbulence on a  $\beta$ -plane (Eden, 2007).

314 By comparing observed and simulated tracer distributions, Banyte et al. (2013) estimated a  
315 meridional eddy diffusivity of  $500 \text{ m}^2 \text{ s}^{-1}$  at about 300 m with an uncertainty of  $200 \text{ m}^2 \text{ s}^{-1}$   
316 (Fig. 14). Hahn et al. (2014) estimated a meridional eddy diffusivity profile for the upper  
317 1000 m with the range of uncertainty assumed as large as a factor 2 following Ferrari and  
318 Polzin (2005). The profile shows maximum eddy diffusivity close to the surface and  
319 decreasing values with depth (Fig. 14). At 300 m, it yields  $580 \text{ m}^2 \text{ s}^{-1}$  which is in good  
320 agreement with the estimate from Banyte et al. (2013). Together with the mean oxygen  
321 distribution, the obtained meridional eddy diffusivity was applied to derive the eddy-driven  
322 meridional oxygen flux along 23° W.

323 As a second method the eddy correlation was used to directly calculate the eddy-driven  
324 meridional oxygen flux along isopycnal surfaces using mooring time series of oxygen and  
325 meridional velocity acquired at 5° N, 23° W and 8° N, 23° W in the years 2009-2012 and

326 2011-2012, respectively (see Hahn et al. (2014) for details). Although both estimation  
327 methods are accompanied by large uncertainties, a comparison of the results at the mooring  
328 sites reveals coherent profiles of the meridional oxygen flux (100-800 m). At the depth of the  
329 OMZ they yield a northward oxygen flux towards the centre of the OMZ.

330 The oxygen that is meridionally supplied to the ETNA OMZ regime by lateral mixing can  
331 then be derived as the divergence of the eddy-driven meridional oxygen flux. The average  
332 profile of this eddy-driven meridional oxygen supply ( $6^{\circ}$ - $14^{\circ}$  N,  $23^{\circ}$  W) obtained using the  
333 diffusive flux parameterization shows a substantial gain of oxygen at the depth of the OMZ  
334 and a loss of oxygen above (Fig. 14). The corresponding error was derived both from the error  
335 of the curvature of the meridional oxygen distribution (95% confidence) and the error of the  
336 eddy diffusivity (factor 2 assumed following Ferrari and Polzin, 2005).

337 The tropical and subtropical oceans are generally assumed to be associated with an  
338 anisotropic horizontal eddy diffusivity (Banyte et al., 2013; Eden, 2007; Eden and Greatbatch,  
339 2009; Kamenkovich et al., 2009) with larger horizontal eddy diffusivities in the zonal than in  
340 the meridional direction. Nevertheless, at the depth of the OMZ core, we consider the zonal  
341 eddy flux divergence small compared to the meridional eddy flux divergence, since the 2<sup>nd</sup>  
342 derivative of oxygen is an order of magnitude smaller in the zonal than the meridional  
343 direction.

### 344 **4.3 Advection**

345 We now turn to the remaining ventilation term in the budget; that is, the term associated with  
346 zonal advection (meridional advection is assumed to be negligible). We are only able to  
347 quantify this term as a residual. A rigorous determination of the advection term would require  
348 mean sections around a closed box to fulfil mass balance within the box. This cannot be  
349 achieved with the present observing system. However, our measurements along  $23^{\circ}$  W  
350 confirm that the advection term is a major player in the ventilation of the OMZ, especially  
351 above 400 m depth.

352 The key factor for carrying the relatively oxygen-rich waters eastwards from the western  
353 boundary is the presence of a series of latitudinally stacked zonal jets that are now known to  
354 be an ubiquitous feature of the tropical oceans (e.g. Maximenko et al., 2005; Qiu et al., 2013).  
355 Near the equator in the Atlantic, these jets are confined below the Equatorial Undercurrent  
356 (EUC), but away from the equator they extend to the surface, and at all latitudes they tend to  
357 have a strong depth-independent (barotropic) structure (Fig. 6). Brandt et al. (2010) suggested  
358 that a reduction in the strength of these jets north of the equator was a factor in the recent

359 reduction in oxygen within the OMZ. The influence these jets have on the meridional oxygen  
360 distribution can clearly be seen in Figure 13 of Hahn et al. (2014) showing the eddy-driven  
361 meridional oxygen supply. The red and blue alternating bands above 400 m depth in that  
362 figure indicate a latitudinal redistribution of oxygen by mesoscale eddies, i.e. an oxygen gain  
363 for westward jets and an oxygen loss for eastward jets. It is clear from this figure that the  
364 zonal jets must play an important role in ventilating the upper 400 m of the water column in  
365 the latitude band (north of 6° N) of the OMZ. Looking at the equatorial region, the oxygen  
366 source (shown in blue) associated with the eastward jets, centred near 2° N and 2° S below  
367 350 m depth, can also be seen in Figure 13 of Hahn et al. (2014). These so-called “flanking  
368 jets” are clearly an important ventilation pathway for maintaining the oxygen maximum at the  
369 equator, as discussed further below.

370 The ventilation of the equatorial region, where there is a local oxygen maximum, has been  
371 studied by Brandt et al. (2012) using an advection-diffusion model. The role of the equatorial  
372 deep jets (EDJ; see Brandt et al. (2008) and Brandt et al. (2011)) was also discussed in that  
373 paper. As can be seen from Fig. 6, the “flanking jets” are much stronger than the off-  
374 equatorial zonal jets noted earlier. Ascani et al. (2010) have suggested that these jets are  
375 maintained by Yanai waves, generated at the surface (possibly by instability of the energetic  
376 near-surface flow field forming tropical instability waves (von Schuckmann et al.,  
377 2008; Jochum et al., 2004)), which break at depth. The jets show considerable variability (see  
378 Fig. 15) on monthly time scales, but are almost always unidirectional (especially in the  
379 northern hemisphere); their longitudinal dependence along the equator is currently uncertain.  
380 The EDJ are also thought to be generated by downward propagating Yanai waves, in this case  
381 by barotropic instability of these waves as discussed by Hua et al. (2008) (see also d'Orgeville  
382 et al. (2007) and Ménesguen et al. (2009)). The EDJ show downward phase propagation but  
383 upward energy propagation, consistent with the above theory, and lead to variability with a  
384 roughly 4.5-year period throughout the water column within 2 degrees latitude on either side  
385 of the equator and above about 3000 m depth (Brandt et al., 2011). As shown by Brandt et al.  
386 (2012), there is evidence of a corresponding 4.5-year variability in oxygen levels in the same  
387 region (Fig. 8, equator) with variability at 300 m depth at 23° W on the equator having a  
388 range comparable to the range of the mean oxygen level along the equator across the whole  
389 Atlantic.

390

## 391 **5 Consumption**

392 Oxygen consumption is a key mechanism for the formation of OMZs (Sverdrup,  
393 1938;Wyrтки, 1962) and, although being a prominent part of the local oxygen budget of the  
394 OMZs, it is among the poorest constrained ones. We will consider here only the net  
395 consumption that is the combined effect of removal and production of oxygen. Removal of  
396 oxygen is related to the metabolism of marine life as well as to elementary chemical reactions,  
397 whereas production of oxygen is related to photosynthesis and as such confined to the  
398 euphotic zone (e.g. Martz et al., 2008). We will focus in this section on pelagic oxygen  
399 consumption; removal of oxygen from the water column by uptake at the sediment-water  
400 interface will be discussed in Sect. 6.

401 Direct observations of oxygen in-situ respiration are rare, primarily due to technical  
402 difficulties (e.g. Holtappels et al., 2014). The most commonly applied approach to quantify  
403 time and space integrated oxygen removal and production processes is through an *apparent*  
404 *oxygen utilization rate* (AOUR; e.g. Riley, 1951;Jenkins, 1982, 1998;Karstensen et al.,  
405 2008;Martz et al., 2008;Stanley et al., 2012). The AOURL is calculated as the ratio between the  
406 apparent oxygen utilization (AOU) and age ( $\tau$ ). Hereby AOU is determined as the difference  
407 between the air saturation value of dissolved oxygen (e.g. Weiss, 1970) at a given temperature  
408 and salinity (surface water saturation is commonly assumed to be 100 %) and the observed in-  
409 situ oxygen concentration. The *aging* of the water starts when a water parcel leaves the  
410 surface mixed layer ( $\tau=0$ ) and enters the ocean interior. As the aging is closely linked to the  
411 ventilation process the age is also called *ventilation age*. In many cases the ventilation age is  
412 calculated from transient tracers (e.g. CFC-11, CFC-12, CCl<sub>4</sub>, SF<sub>6</sub>). Under the assumption of  
413 a given surface saturation (typically 100 %), the observed in-situ tracer concentration is  
414 converted back to an equivalent atmospheric mixing ratio via its solubility function. The  
415 comparison with the respective tracer atmospheric time history finally yields the *tracer age*.  
416 For radioactive tracers (e.g. <sup>3</sup>He/<sup>3</sup>H, <sup>39</sup>Ar) a slightly different approach is used but still  
417 requires the knowledge of surface concentrations or surface input functions (e.g. Roether et  
418 al., 2013;Lu et al., 2014). AOURL calculated using the tracer age follows an exponential decay  
419 with depth, at least for oceanic regions dominated by advection (Riley, 1951;Jenkins, 1982,  
420 1998;Karstensen et al., 2008;Martz et al., 2008;Stanley et al., 2012). Such a structure supports  
421 the view that consumption is primarily a function of downward sinking particles (Martin et  
422 al., 1987).

423 The basic concept behind a ratio of along pathway oxygen removal and along pathway age  
424 increase assumes that the two quantities are consistently altered by ocean transport processes,

425 e.g. it is assumed that as age increases so does AOU (Thiele and Sarmiento, 1990). While this  
426 seems to be a reasonable assumption for the ventilated gyre it is questionable for the shadow  
427 zone where the OMZs are located. Here diapycnal mixing (Fischer et al., 2013) and complex  
428 advection/lateral mixing patterns (Brandt et al., 2010;Hahn et al., 2014) have a strong  
429 influence on the local oxygen transport and water parcels from multiple source regions with  
430 different ventilation ages and along-path integrated oxygen consumption meet and mix.

431 Water mass composition and water ages can also be considered in a TTD approach (Haine  
432 and Hall, 2002), but limitations exist for non-steady state tracers (such as transient tracers).  
433 The TTD concept acknowledges the shortcomings in age calculations, which assign a single  
434 tracer age to a water parcel, and provides a framework to more realistically characterize the  
435 ventilation age (e.g. Waugh et al., 2004) by providing a mean age of the TTD. In a study  
436 using a transient tracer data set (up to 2009), Schneider et al. (2012) showed for the ETNA  
437 that the TTD obeys an inverse Gaussian function with the two moments  $\Gamma$  and  $\Delta$  being equal  
438 ( $\Delta/\Gamma=1$ ), where  $\Gamma$  is the mean age and  $\Delta$  defines the width of the TTD. In the limit of  $\Delta/\Gamma=0$ ,  
439 the mean age of the TTD equals the single tracer age.

440 Here an extended set of CFC-12, SF<sub>6</sub> and oxygen data collected in the ETNA OMZ is used to  
441 apply the TTD approach for exploring the oxygen consumption rate. Using CFC-12 and SF<sub>6</sub>  
442 data (SF<sub>6</sub> preferentially used if available and CFC-12 if CFC-12>450 ppt, i.e. corresponding  
443 to atmospheric mixing ratios at about the end of the near-linear atmospheric increase) the  
444 AOUR is calculated using two different  $\Delta/\Gamma$  ratios (Fig. 16). Note that the AOUR for  $\Delta/\Gamma=0$   
445 is larger than values reported previously (Fig. 16) that were obtained by using a single tracer  
446 age concept applied to data collected in the ventilated gyre (e.g. Karstensen et al., 2008).

447 The two estimates for  $\Delta/\Gamma=0$  and  $\Delta/\Gamma=1$  represent an upper and lower limit of the AOUR  
448 within the ETNA OMZ, respectively. A shortcoming of the TTD concept in this region is its  
449 one-dimensionality (single water mass), i.e. it only considers the along-isopycnal mixing of  
450 parcels of a single source water mass, which might have encountered different advection and  
451 diffusion pathways and thus differ in age and AOU. The influence of diapycnal mixing  
452 (Fischer et al., 2013) and the mixing of two or more source waters (e.g. North and South  
453 Atlantic Central Water) (Kirchner et al., 2009;Brandt et al., 2010) is not considered by the  
454 TTD concept, which probably leads to a bias of the resulting AOUR. In fact our AOUR  
455 values for  $\Delta/\Gamma=1$  are lower than those calculated by Stanley et al. (2012) for the ventilated  
456 gyre region of the western North Atlantic close to Bermuda, where they used the TTD  
457 approach with  $\Delta/\Gamma=1$  on tritium (<sup>3</sup>H) and <sup>3</sup>He measurements. They derived AOUR values

458 close to  $5 \mu\text{mol kg}^{-1} \text{yr}^{-1}$  for the potential density level of  $27.0 \text{ kg m}^{-3}$  that were similar to  
459 AOUR values obtained by Karstensen et al. (2008) using CFC-11 ages. For the same density  
460 level that is close to the OMZ core depth at roughly 400 m, we derived AOUR values of only  
461 about  $1.5 \mu\text{mol kg}^{-1} \text{yr}^{-1}$  using the TTD approach with  $\Delta/\Gamma=1$ . The main differences are that  
462 the waters off Bermuda are much better represented by a single water mass and that they are  
463 significantly younger with a TTD derived mean age of a few tens of years. Waters in the  
464 ETNA OMZ instead are a mixture of water masses from multiple sources, some of which  
465 might be rather old resulting in a mean TTD age of 120-180 years (Fig. 7).

466 Another approach to estimate the large scale AOUR is based on the *reservoir age* (Bolin and  
467 Rodhe, 1973), which is derived as the ratio of the total volume of the reservoir for an  
468 isopycnal range and the corresponding ventilating flux (that is the subduction rate). The  
469 AOUR based on the reservoir age is then given by the ratio of the mean AOU of the isopycnal  
470 volume and the corresponding reservoir age. For the ETNA OMZ, the AOUR obtained using  
471 the reservoir ages of Karstensen et al. (2008) is for some density classes rather similar to the  
472 TTD approach with  $\Delta/\Gamma=0$ , while for the well-ventilated isopycnal volumes ( $26.1$  to  $26.2 \text{ kg}$   
473  $\text{m}^{-3}$ ; see also Fig. 9 in Karstensen et al. (2008)) it is closer to the AOUR from the tracer age  
474 approach (Fig. 17).

## 475 **6 Processes at the continental margin**

476 Processes contributing to the ventilation of OMZs at the continental margin are advective  
477 oxygen transport within the eastern boundary current system, upper-ocean diapycnal oxygen  
478 supply due to increased turbulent mixing on the continental slope and shelf, and eddy-driven  
479 isopycnal oxygen transport. In comparison to the open ocean OMZs, the consumption of  
480 oxygen at the continental margin is generally enhanced due to high pelagic primary  
481 production, which in turn results in an increased respiration associated with sinking particles  
482 in the water column and at the sediment-water interface. These processes are largely  
483 responsible for the regional oxygen distribution particularly defining the shape of the shallow  
484 OMZ in the ETNA. Along the eastern boundary, oxygen concentrations within the shallow  
485 OMZ decrease towards the north reaching a minimum at about  $20^\circ \text{ N}$  (Fig. 4). For the deep  
486 OMZ, minimum oxygen levels at the continental margin are found south of  $16^\circ \text{ N}$  (Machin  
487 and Pelegri, 2009).

### 488 **6.1 Upwelling and circulation**

489 The continental margin off Mauritania and Senegal is part of the Canary eastern boundary  
490 upwelling system that extends from the northern tip of the Iberia peninsula at  $43^\circ \text{ N}$  to south

491 of Dakar at about 10° N (e.g. Mittelstaedt, 1991). Due to changes in wind forcing associated  
492 with the migration of the Intertropical Convergence Zone, coastal upwelling off Mauritania  
493 and Senegal exhibits a pronounced seasonality. Here winds favorable to upwelling prevail  
494 primarily from December to April. The seasonality in upwelling and associated primary  
495 production must be reflected in oxygen consumption and thus in water-column oxygen  
496 concentrations at the continental margin.

497 The ventilation of the waters above the continental margin occurs primarily through the  
498 Mauritania Current in the surface layer and the Poleward Undercurrent below. Both currents  
499 transport relatively oxygen-rich South Atlantic Central Water, which is supplied by the  
500 eastward flowing NECC and NEUC (Figs. 1, 4), northward into the upwelling region. Often,  
501 these two currents are not distinct from each other (e.g. Peña-Izquierdo et al., 2012). Usually,  
502 the Poleward Undercurrent is found attached to the continental slope between 50 and 300 m  
503 depth, but it may extend as deep as 1000 m (Mittelstaedt, 1983; Barton, 1989; Hagen,  
504 2001; Peña-Izquierdo et al., 2012). Average along-shore velocities from 18° N (Fig. 5) show  
505 dominantly poleward flow in the upper 300 m over the continental slope of Mauritania  
506 exceeding 0.05 m s<sup>-1</sup>. However, the effect of the eddy field and other variability on the mean  
507 flow is clearly not averaged out due to the small number of available ship sections.

508 Previous studies showed that the Mauritania Current exhibits a seasonal behavior  
509 (Mittelstaedt, 1991), which was found to be associated with the seasonality of the NECC,  
510 suggesting that the ventilation of the water masses above the continental margin also varies  
511 seasonally. In boreal winter and early boreal spring, when the NECC is weak, the Mauritania  
512 Current only reaches latitudes of about 14° N, while in boreal summer and early boreal  
513 autumn, due to the strengthening of the NECC and the relaxation of the northeast trade winds,  
514 the Mauritania Current reaches latitudes of about 20° N (Mittelstaedt, 1991; Stramma et al.,  
515 2008a). Besides the seasonal cycle, the flow variability off Mauretania and Senegal is  
516 influenced by intraseasonal coastal-trapped waves partly originating in the equatorial wave-  
517 guide (Polo et al., 2008). However, associated sea level anomalies are substantially weaker in  
518 the North Atlantic compared to the same latitude band in the South Atlantic. A strong  
519 influence of coastal-trapped waves on the oxygen distribution on the shelf of the ETNA as  
520 evidenced for the eastern boundary upwelling systems of the South Pacific and South Atlantic  
521 (Gutierrez et al., 2008; Monteiro et al., 2011) could so far not be shown.

522 Several studies have indicated that most of the water carried northward at the continental  
523 margin of Mauritania recirculates in the region off Cape Blanc at about 21° N within a



524 cyclonic gyre (Mittelstaedt, 1983;Peña-Izquierdo et al., 2012). This circulation pattern is in  
525 agreement with the regional distribution of oxygen levels within the shallow oxygen  
526 minimum that exhibits lowest oxygen concentrations at the continental margin and offshore  
527 just south of Cape Blanc (Peña-Izquierdo et al., 2012).

## 528 **6.2 Benthic oxygen uptake**

529 Oxygen uptake within the benthic region (i.e., the sediment and the immediately overlying  
530 water) is largely controlled by sediment oxygen consumption and can be a significant sink for  
531 oxygen from the water column above. In contrast to the difficulties of direct measurement of  
532 pelagic oxygen consumption, local measurements of sediment oxygen uptake are relatively  
533 straightforward to perform with a variety of techniques. Recent developments in measurement  
534 techniques include the use of benthic chambers, eddy-correlation techniques, multi-sensor  
535 microprofilers and benthic observatories (e.g. Glud, 2008). Total benthic oxygen uptake  
536 (TOU), which includes all processes consuming oxygen within the benthic region, is  
537 commonly measured by enclosure techniques such as benthic chambers. With these systems,  
538 the initial oxygen decrease of an overlying well-mixed water phase is approximately linear.  
539 TOU is then calculated based on the rate of oxygen decrease, accounting for the enclosed area  
540 and water volume. TOU rates have recently been measured in the upper 1000 m on the  
541 continental slope and shelf off Mauritania using benthic chambers attached to landers (Dale et  
542 al., 2014). The reported TOU rates that are quantified in terms of oxygen fluxes into the  
543 sediments were as high as  $10 \text{ mmol m}^{-2} \text{ d}^{-1}$  in depths between 50 and 100 m and decreased  
544 quasi-exponentially to about  $3 \text{ mmol m}^{-2} \text{ d}^{-1}$  in a depth of 1000 m. To compare TOU rates to  
545 pelagic oxygen consumption, we have to apply the TOU to a water volume with a given in-  
546 situ density: the consumption within a 1 m thick layer above the bottom due to TOU is three  
547 orders of magnitudes larger when compared to pelagic oxygen consumption occurring at  
548 similar depths. This is due to the volume-specific production and degradation of organic  
549 material in surface sediments, which supports high densities of microbes and metazoans  
550 (Glud, 2008). In shelf areas, it is estimated that 10 to 50 % of the pelagic primary production  
551 reaches the sediment (Canfield, 1993;Wollast, 1998) and benthic remineralization plays a key  
552 role in this region for the recycling of nutrients and burial of carbon.

553 Although the benthic oxygen consumption due to TOU at the shelf strongly exceeds pelagic  
554 oxygen consumption, benthic processes play a minor role for oxygen depletion within larger  
555 volumes as that of the deep OMZ. To illustrate this, we assume that oxygen depleted water  
556 masses are laterally exchanged between the shelf and the open ocean. Between 300 and 600 m

557 depth the continental margin has a typical average topographic slope of about 4 %  
558 corresponding to 25 m shelf width per 1 m depth change. Assuming a TOU of  $5 \text{ mmol m}^{-2} \text{ d}^{-1}$   
559 results in an oxygen depletion by the sediments of  $125 \text{ mmol d}^{-1}$  per 1 m depth range and 1 m  
560 along-shelf distance. Using the range of pelagic oxygen consumption determined in Sect. 5 (1  
561 to  $5 \text{ } \mu\text{mol kg}^{-1} \text{ yr}^{-1}$ ) and corresponding in-situ density, the equivalent water volume resulting  
562 in an oxygen depletion of  $125 \text{ mmol d}^{-1}$  would be  $44 \times 10^3 \text{ m}^3$  to  $9 \times 10^3 \text{ m}^3$ , corresponding to a  
563 distance from the shelf, where both processes have comparable influence, of 44 km to 9 km.  
564 In other words, pelagic oxygen consumption within the deep OMZ, typically extending about  
565 1000 km offshore, is 1 to 2 orders of magnitude larger than benthic oxygen consumption due  
566 to oxygen fluxes into the continental slope sediments. Reduced topographic slopes at  
567 shallower depths suggest a more important role of benthic oxygen uptake for the shallow  
568 OMZ, which is characterized by minimum oxygen concentration close to the continental  
569 margin and is not as widespread as its deeper counterpart (cf. Figs. 3, 4).

### 570 **6.3 Diapycnal oxygen fluxes at the continental margin**

571 Diapycnal mixing on continental slopes and shelves is often found to be elevated due to tides  
572 interacting with topographic boundaries that accelerate an energy cascade from large scale  
573 open ocean tides to small-scale turbulence (e.g. Sandstrom and Oakey, 1995). As shown by  
574 Schafstall et al. (2010), diapycnal mixing along the upper continental slope and lower shelf  
575 region off Mauritania is strongly elevated due to the presence of nonlinear internal waves that  
576 are boosted by the interaction of the barotropic tide with critically sloping topography (e.g.  
577 Holloway, 1985). Diapycnal nutrient fluxes calculated for the upwelling region are amongst  
578 the highest reported to date (Schafstall et al., 2010).

579 To assess the role of diapycnal mixing for ventilating the upper layer of the ocean above the  
580 continental slope, the diapycnal oxygen flux was calculated from 112 microstructure profiles  
581 collected over the continental slope between 500 m and 100 m water depth at  $18^\circ \text{ N}$  along  
582 with CTD- $\text{O}_2$  profiles from two boreal winter cruises on the shelf of Mauritania (for details of  
583 the data set used see Schafstall et al. (2010)). Elevated mixing was found in a region with  
584 water depths shallower than 500 m (Schafstall et al., 2010). Within this region, the diapycnal  
585 flux of oxygen from the mixed layer into the stratified ocean is  $73 \text{ mmol m}^{-2} \text{ d}^{-1}$ , with an  
586 upper and lower 95% confidence limit determined from Gaussian error propagation (Ferrari  
587 and Polzin, 2005; Schafstall et al., 2010) being  $105 \text{ mmol m}^{-2} \text{ d}^{-1}$  and  $44 \text{ mmol m}^{-2} \text{ d}^{-1}$ ,  
588 respectively. The diapycnal oxygen flux thus exceeds the benthic oxygen uptake by about a  
589 factor of 7. The diapycnal flux profile exponentially decays with depth and the downward

590 oxygen flux is reduced to less than  $10 \text{ mmol m}^{-2} \text{ d}^{-1}$  at a depth of 60 m below the mixed layer,  
591 which has an average thickness of about 20 m. Diapycnal mixing is thus able to fully supply  
592 the oxygen that is required by the benthic oxygen uptake for water depths shallower than  
593 about 80 m. At about 150 m depth, however, the diapycnal flux changes sign due to the  
594 presence of the shallow OMZ and oxygen here is essentially fluxed upward, although at small  
595 rates. Thus, oxygen from the sea surface cannot contribute to ventilating the deeper water  
596 column via diapycnal mixing.

597 It should be noted that the diapycnal oxygen flux divergence from the mixed layer to 60 m  
598 below the mixed layer yields a diapycnal oxygen supply of about  $400 \text{ } \mu\text{mol kg}^{-1} \text{ yr}^{-1}$ . In steady  
599 state other oxygen transport processes and consumption are required to balance this  
600 substantial oxygen supply. While vertical advection during the upwelling season might  
601 contribute to the balance, the oxygen supply due to other transport processes should be at least  
602 an order of magnitude lower in this region. The diapycnal oxygen supply to the upper  
603 thermocline can thus be used to define an upper limit of the oxygen consumption below the  
604 mixed layer. Such a consumption rate is, however, two orders of magnitude larger than the  
605 one estimated for the deep ocean as discussed above.

606 The results suggest that the high oxygen demand of the water column and the sediments  
607 within the upwelling region at shallow depths above the shallow OMZ may well be supplied  
608 from the surface via diapycnal mixing. At larger depths however, the continental slope must  
609 be ventilated via advective processes or isopycnal mixing. Nevertheless, although benthic  
610 oxygen uptake is an important local process decreasing oxygen levels in the bottom waters  
611 along the continental slope, it is negligible for the overall oxygen balance of the deep open  
612 ocean OMZ.

613

## 614 **7 Long-term variability in ETNA OMZ**

615 OMZs of the tropical oceans expanded and intensified during the last 50 years. Decreasing  
616 oxygen trends were found for the 300-700 m layers of selected regions with the strongest  
617 decrease in the ETNA of  $-0.34 \pm 0.13 \text{ } \mu\text{mol kg}^{-1} \text{ yr}^{-1}$  for the region  $10\text{-}14^\circ \text{ N}$ ,  $20\text{-}30^\circ \text{ W}$   
618 (Stramma et al., 2008b). The global analysis of observed changes in the oxygen content  
619 between 1960-1974 and 1990-2008 indicates a widespread and significant deoxygenation at  
620 about 200 m depth in the tropical oceans (Stramma et al., 2010b). In the ETNA, this depth  
621 level corresponds to the intermediate oxygen maximum between the deep and shallow OMZs  
622 that is mainly ventilated by advection via zonal jets. A similar regional pattern of

623 deoxygenation as for the 200 m level was found when vertically averaging oxygen changes  
624 over 200-700 m, albeit with a smaller amplitude (Stramma et al., 2010b).

625 One of the main questions regarding the observed oxygen trend is its possible relation to  
626 anthropogenic forcing that was suggested in a number of recent studies (Bopp et al.,  
627 2002;Keeling and Garcia, 2002;Plattner et al., 2002;Matear and Hirst, 2003;Oschlies et al.,  
628 2008;Schmittner et al., 2008;Frölicher et al., 2009;Keeling et al., 2010;Helm et al., 2011).  
629 Different mechanisms were suggested. Global warming results in decreasing oxygen  
630 solubility in surface waters, and due to the increasing upper ocean stratification, it might  
631 impact ocean circulation, subduction, and vertical mixing. Increased CO<sub>2</sub> levels and ocean  
632 acidification might impact biogeochemistry and oxygen consumption as well. However, up to  
633 now, current coupled climate-biogeochemistry models fail to reproduce the observed regional  
634 patterns of the oxygen trend, thus prohibiting a solid conclusion to be drawn about driving  
635 mechanisms of the observed on-going deoxygenation (Stramma et al., 2012).

636 Similarly it remains an open question, how much of the observed oxygen changes are related  
637 to internal variability of the ocean and the climate system and what the dominant mechanisms  
638 are. The analysis of dissolved oxygen concentrations at 300 m depth in the tropical and South  
639 Atlantic Ocean south of 20° N obtained from stations collected during the 1925-to-1927  
640 Meteor Expedition and the period 1990-2008 showed different and sometimes reversed trends  
641 compared to the mean oxygen trends found for the last 50 years, which indicates that the trend  
642 is not continuous but multidecadal variations are superimposed (Stramma et al., 2012). The  
643 oxygen trend along 23° W for the period 1972 to 2013 indicates a widespread oxygen decline  
644 with the strongest oxygen reduction above the core of the deep OMZ and north of the Cape  
645 Verde archipelago (Fig. 18). However, oxygen anomalies within two boxes covering the  
646 region of relatively high oxygen above the deep oxycline (150-300 m) and the core region of  
647 the deep OMZ (350-700 m) (Fig. 19) show varying trends over the extended period (1900-  
648 2013) and the more recent period of enhanced measurements from 2006 to 2013. Note that the  
649 trend over the extended period is dominated by data taken during the 1970's, 1980's and the  
650 period 2006-2013. For the intermediate oxygen maximum (150-300 m) there is only a weak  
651 oxygen decline during the period 1900-2013 of  $-0.8 \pm 0.5 \mu\text{mol kg}^{-1} \text{ decade}^{-1}$ , while during the  
652 period 2006-2013 a much stronger decline of  $-14.3 \pm 6.9 \mu\text{mol kg}^{-1} \text{ decade}^{-1}$  was observed. For  
653 the deep oxygen minimum (350-700 m) the long-term trend for the period 1900-2013 is  
654  $-1.8 \pm 0.3 \mu\text{mol kg}^{-1} \text{ decade}^{-1}$ , while during the period 2006-2013 oxygen increased by  $2.7 \pm 1.9$   
655  $\mu\text{mol kg}^{-1} \text{ decade}^{-1}$ . These variations in the obtained trends that are related to different time

656 scales and depth ranges may help to understand underlying mechanism of long-term oxygen  
657 changes.

658 Different mechanisms might contribute to decadal to multidecadal oxygen variability: 1)  
659 Decadal to multidecadal AMOC changes would result in changes of the water mass  
660 distribution in the tropical North Atlantic as identified for example in simulations with ocean-  
661 atmosphere general circulation models (Chang et al., 2008). Shifts of the boundary between  
662 northern and southern hemisphere water masses would likely affect oxygen distribution as  
663 well. 2) The transport of Indian Ocean CW toward the Atlantic via the Agulhas leakage might  
664 have increased during the last decades due to a poleward shift of the southern hemisphere  
665 westerlies. Such a change was observable in the NBUC as an increase in CW salinity  
666 (Biaostoch et al., 2009) and might be associated with changes in the oxygen distribution as  
667 well. 3) Changes in the strength of latitudinally stacked zonal jets as derived by Brandt et al.  
668 (2010) result in changes in the advective pathways to the ETNA OMZ with likely strongest  
669 impact in the upper 300-400 m of the water column (Hahn et al., 2014). 4) Changes in the  
670 strength and location of the wind-driven gyres are a possible explanation for the long-term  
671 oxygen trends observed between 15° and 30° N in Fig. 18. 5) The variability of ventilation  
672 efficiency, either through dynamics (subduction) or saturation (warming) is able to produce  
673 oxygen anomalies that propagate into the ocean's interior (Karstensen et al., 2008).

674 In the North Atlantic, indications exist of a North Atlantic Oscillation (NAO) influence on  
675 multidecadal oxygen variations (Stendardo and Gruber, 2012). A similar influence of the  
676 NAO (e.g. due to associated changes in the northeast trade winds) on the water masses of the  
677 ETNA OMZ has not yet been shown. However, multidecadal changes in the strength of  
678 Atlantic STCs were detected in assimilation model runs. These changes include a minimum  
679 STC-layer (about 50-300 m) convergence in the early 1970's and a maximum in the early  
680 1990's (Rabe et al., 2008), which would affect the supply of newly subducted oxygen-rich  
681 water masses from the subtropics to the tropics.

682

## 683 **8 Similarities and differences between ETNA and ETSP OMZs**

684 Similar to the hypoxic ETNA OMZ, the suboxic ETSP OMZ is located in the shadow zone  
685 equatorward of the subtropical gyre with lowest oxygen levels near the shelf-break. The most  
686 prominent difference between the two OMZs is that the ETSP OMZ covers a much wider  
687 region and that oxygen values in its core region are close to zero (Karstensen et al., 2008)  
688 while the typical large scale oxygen minimum in the ETNA only recently reached values

689 slightly below  $40 \mu\text{mol kg}^{-1}$  (Stramma et al., 2009). A continuation of the observed  
690 deoxygenation in the ETNA would turn the ETNA OMZ suboxic within a century; hence it is  
691 worth to look at differences and similarities of the ETNA and the ETSP with regard to a  
692 possible shift of a hypoxic system to a suboxic system.

### 693 **8.1 The large scale distribution**

694 Different to the ETNA with its Guinea Dome and the eastern tropical South Atlantic and  
695 eastern tropical North Pacific with similar domes, there is no dome in the ETSP (Kessler,  
696 2006). Similar to the equatorial Atlantic, the equatorial Pacific is characterized by a local  
697 oxygen maximum and a system of eastward and westward currents (Figs. 2, 20). Near the  
698 equator, the EUC, the NICC, and the SICC all carry water richer in oxygen than the adjacent  
699 westward flows (Stramma et al., 2010a). In the eastern Pacific, the Northern and Southern  
700 Subsurface Countercurrents (NSCC and SSCC) are already low in oxygen and, different from  
701 the corresponding current bands in the Atlantic, do not provide oxygen-rich water to the  
702 OMZ. Near the Peruvian shelf, poleward and equatorward currents exist which supply  
703 equatorial and subtropical water to the eastern near shelf regions (Fig. 2). The Chile-Peru  
704 Coastal Current (CPCC) and the Peru-Chile Current (PCC) flow equatorward in the near-  
705 surface layer close to the coast and farther than  $\sim 150$  km from the coast, respectively, while  
706 the Peru-Chile Undercurrent (PCUC) flows poleward in subsurface layers along the outer  
707 continental shelf and inner slope (Chaigneau et al., 2013). Based on a hydrographic survey off  
708 Peru in January and February 2009 and in combination with float data and model results,  
709 Czeschel et al. (2011) prepared a schematic on the intermediate circulation of the ETSP and  
710 its link to the OMZ. The centre of the OMZ is a stagnant flow area and the mean currents at  
711 400 m depth in the open ocean ETSP are weak. Along the  $\sim 86^\circ$  W section lowest oxygen is  
712 observed between  $6^\circ$  S and  $10^\circ$  S centred at about 400 m depth and on the isopycnal  $\sigma_\theta=26.8$   
713  $\text{kg m}^{-3}$ . Along this isopycnal the mean age is increased in the region of the low oxygen core  
714 with maximum mean age of about 300 yr at about  $11^\circ$  S, slightly poleward of the lowest  
715 oxygen concentration, and reduced near the equator with a mean age of about 200 yr (Fig.  
716 20).

### 717 **8.2 Mesoscale processes**

718 Mesoscale variability dominantly occurs as propagating Rossby waves and as nonlinear  
719 vortices or eddies. In particular, nonlinear vortices can trap and transport momentum, heat,  
720 mass and the chemical constituents of seawater, and therefore contribute to the large scale  
721 water mass distribution (Chelton et al., 2007). Eddies are mainly generated by coastal flow

722 instabilities that are influenced by remote equatorial forcing via coastal-trapped waves  
723 (Belmadani et al., 2012). They move westward from the coastal upwelling regions and hence  
724 carry shelf waters offshore. These eddies affect the regions' biogeochemical budgets, but also  
725 the primary productivity of the regions (Lachkar and Gruber, 2012) and seem to play an  
726 important role for the oxygen distribution on the poleward side of the OMZs. In global  
727 satellite observations of nonlinear mesoscale eddies by Chelton et al. (2011), it turned out that  
728 in the ETNA south and east of the Cape Verde Islands almost no eddies with a lifetime of  $\geq 16$   
729 weeks were present, while in the ETSP a large number of such eddies could be identified.  
730 Their occurrence extends close to the equator and the Peruvian shelf as can be seen in Figure  
731 4a of Chelton et al. (2011). Despite the inferred weak eddy activity in the ETNA, water mass  
732 anomalies including local oxygen minima at shallow depth just below the mixed layer have  
733 been found in cyclonic as well as in anticyclonic mode water eddies in this region (see Fig. 4,  
734 showing a few profiles with oxygen concentration below  $40 \mu\text{mol kg}^{-1}$ ). In the ETSP, a region  
735 of high eddy production is located just off the shelf at  $15\text{-}16^\circ \text{ S}$  and strong eddies were  
736 described from a survey in November 2012. A strong anticyclonic mode water eddy located  
737 near the shelf of Peru at about  $16^\circ \text{ S}$  showed a heat anomaly of  $17.7 \times 10^{18} \text{ J}$ , a salt anomaly of  
738  $36.5 \times 10^{10} \text{ kg}$  (Stramma et al., 2013) and an oxygen anomaly of  $-10.0 \times 10^{16} \mu\text{mol}$  (Stramma et  
739 al., 2014). Even in a mooring at  $\sim 20^\circ \text{ S}$ ,  $85^\circ \text{ W}$  some 1500 km offshore, the passage of an  
740 anticyclonic mode water eddy carrying an oxygen anomaly of  $-10.5 \times 10^{16} \mu\text{mol}$  could be  
741 observed (Stramma et al., 2014). As eddies fall apart at the end of their lifetime, the  
742 anomalous hydrographic and biogeochemistry anomalies are redistributed in the ocean.

### 743 **8.3 Oxygen budgets**

744 A quantitative evaluation of the different terms of the oxygen budget of the tropical Pacific  
745 OMZ could not be performed so far. A rough estimate of the oxygen budget was instead  
746 given by Stramma et al. (2010a). They estimated the advective oxygen supply to the tropical  
747 Pacific OMZ from oxygen concentrations at, and zonal mass transport across, the  $125^\circ \text{ W}$   
748 meridian. The eastward mass transport associated with the EUC, SCC's and ICCs was  
749 estimated to be about  $30 \times 10^9 \text{ kg s}^{-1}$ . It was assumed that this mass transport is returned by the  
750 adjacent westward currents with a typical relative oxygen difference between eastward and  
751 westward currents of about  $20 \mu\text{mol kg}^{-1}$ . The resulting net advective molar oxygen supply  
752 across  $125^\circ \text{ W}$  is  $0.6 \times 10^6 \text{ mol s}^{-1}$  (Stramma et al., 2010a). The diffusive supply was estimated  
753 through the climatological  $60 \mu\text{mol kg}^{-1}$  surface surrounding the tropical Pacific OMZ.  
754 Vertical and lateral oxygen gradients were evaluated at this surface and multiplied with a

755 diapycnal diffusivity of  $1 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$  (Ledwell et al., 1998) and a horizontal eddy diffusivity  
756 of  $500 \text{ m}^2 \text{ s}^{-1}$  characteristic for the off-equatorial regions (Davis, 2005), respectively.  
757 Integrating these products over the surface area resulted in a vertical diffusive molar oxygen  
758 supply of  $0.4 \times 10^6 \text{ mol s}^{-1}$  mostly through the upper surface, where the gradients are large, and  
759 in a lateral diffusive molar oxygen supply of  $0.8 \times 10^6 \text{ mol s}^{-1}$  (Stramma et al., 2010a). The  
760 mass of the tropical Pacific OMZs between  $30^\circ \text{ N}$  and  $30^\circ \text{ S}$  with oxygen concentrations  $<60$   
761  $\mu\text{mol kg}^{-1}$  is about  $16 \times 10^{18} \text{ kg}$ . Dividing the estimates of molar supply by the mass leads to an  
762 advective oxygen supply of about  $1.2 \mu\text{mol kg}^{-1} \text{ yr}^{-1}$ , a lateral diffusive oxygen supply of  $1.6$   
763  $\mu\text{mol kg}^{-1} \text{ yr}^{-1}$  and a vertical diffusive oxygen supply of  $0.8 \mu\text{mol kg}^{-1} \text{ yr}^{-1}$ . The oxygen  
764 utilization rate calculated to balance the net oxygen supply resulted in about  $3.6 \mu\text{mol kg}^{-1} \text{ yr}^{-1}$ .  
765 These rough estimates of the oxygen budget are far from being a reliable result, however, it  
766 points to an allocation of about 33 % by advection, 45 % by eddy mixing and 22 % by vertical  
767 mixing. The calculation of the tropical Pacific oxygen budget differs from the calculation of  
768 the ETNA oxygen budget presented above: While advection along the equator is included in  
769 the oxygen supply to the tropical Pacific OMZ, it is not in the ETNA OMZ. The budget of the  
770 ETNA OMZ included only the advective supply by zonal jets in the latitude range of the  
771 ETNA OMZ, while eddy mixing results in a meridional oxygen transport from the subtropical  
772 gyre in the north and the well-ventilated equatorial region in the south into the ETNA OMZ.

#### 773 **8.4 Trends in oxygen**

774 As the ETSP OMZ is extremely low in oxygen a decreasing trend is much more difficult to  
775 determine. Furthermore, data are sparse to investigate the trend. However, for the eastern  
776 Pacific equatorial region ( $5^\circ \text{ S}$  to  $5^\circ \text{ N}$ ,  $105\text{-}115^\circ \text{ W}$ ) a decrease of  $0.13 \pm 0.32 \mu\text{mol kg}^{-1} \text{ yr}^{-1}$   
777 was described (Stramma et al., 2008b) for the 300-700 m depth layer for the last 50 years. The  
778 stronger decrease in oxygen in the ETNA compared to the ETSP is also visible from a global  
779 compilation of the trends of the last 50 years at 300 m depth (Stramma et al., 2012).

780 On interannual to multidecadal time scales, oxygen variability in the ETSP is expected to be  
781 influenced by similar processes as those influencing the ETNA (see end of Sect. 7), albeit in  
782 response to the different large-scale climate modes that impact each ocean basin. In the  
783 Pacific, the multidecadal variability of the Pacific Decadal Oscillation (PDO) has the  
784 strongest influence on long time scales, while El Niño/Southern Oscillation (ENSO), that  
785 mainly influences the upper 350 m of the ETSP, is superimposed on long-term changes  
786 (Czeschel et al., 2012). The variability of the Pacific STCs exhibits an ENSO signature with  
787 strong meridional transport occurring during La Niña and weak meridional transport during El



788 Niño and hence is a possible mechanism for oxygen variability (Zilberman et al., 2013).  
789 Model runs indicate a control of decadal and bidecadal climate variability in the tropical  
790 Pacific by the off-equatorial South Pacific Ocean triggered by changes of wind stress curl in  
791 the South Pacific extratropics (Tatebe et al., 2013) as an additional mechanism for oxygen  
792 variability. Besides decadal to multidecadal changes in the ventilation processes, variations in  
793 the oxygen consumption have been suggested to result in changes of the suboxic and hypoxic  
794 volume of the tropical and subtropical Pacific on similar timescales (Deutsch et al., 2011; Ito  
795 and Deutsch, 2013). From 3 sediment cores along the North American margin, Deutsch et al.  
796 (2014) proposed that centennial changes in the North Pacific anoxia are linked to changes of  
797 tropical trade winds and their effect on upwelling and biological production.

798

## 799 **9 Summary and discussion**

800 The aim of the present paper is to provide a synthesis of the results from recent efforts to  
801 understand the physical mechanisms underpinning the functioning of the OMZs in the eastern  
802 tropical oceans with a focus on the ETNA. The paper is mainly based on observations in the  
803 ETNA and the ETSP. The ETNA was selected to perform a dedicated observational program  
804 consisting of a large number of research cruises, continuous moored observations, and TREs  
805 to better understand the role of circulation and mixing in the ventilation of the OMZ. Results  
806 are summarized in the schematic Fig. 21. The ETSP was selected to allow a comparison of a  
807 hypoxic and a suboxic OMZ. There are substantial differences in the dynamics of the  
808 thermocline with a dominance of seasonal over interannual modes of variability in the  
809 Atlantic as opposed to interannual modes dominating in the Pacific as well as in the ocean-  
810 shelf interactions, that are possibly associated with different climate sensitivities of the OMZs  
811 in both oceans. However, a comparison of the factors of deoxygenation in the Atlantic and the  
812 Pacific might help to assess the possibility of a shift of the ETNA from hypoxic to suboxic.

813 One of the main results of the recent efforts is a first quantification of the oxygen budget of  
814 the deep ETNA OMZ (Brandt et al., 2010; Fischer et al., 2013; Hahn et al., 2014) that is here  
815 extended to 800 m depth (Fig. 21). Integrating the different terms of the oxygen budget of the  
816 ETNA OMZ (Hahn et al., 2014) in the depth range below the deep oxycline from 350 m to  
817 570 m yields a consumption (after Karstensen et al. (2008)) mainly balanced by the  
818 divergence of the meridional eddy flux (about 60 %) and the divergence of the diapycnal flux  
819 (20 %). The obtained residual of about 20 % can be ascribed in equal parts to the zonal  
820 advection and the long-term oxygen tendency as taken from Brandt et al. (2010). However,

821 these are rough estimates. Most of the terms in the oxygen budget are associated with  
822 significant error, which particularly is the case for consumption and meridional eddy flux.  
823 Due to the TRE (Banyte et al., 2012) and repeated microstructure measurements (Fischer et  
824 al., 2013) the error in the diapycnal oxygen supply is comparatively small. The diapycnal  
825 oxygen supply is strongest slightly above the deep OMZ core, where it accounts for about one  
826 third of the oxygen supply required to balance consumption. There are, however, indications  
827 of regional variations in the diapycnal eddy diffusivity with higher values over the seamount  
828 region (up to one order of magnitude) compared to the abyssal plains (Fig. 11) resulting also  
829 in a general increase of the diapycnal eddy diffusivity with depth (Fig. 12).

830 The contribution of the mean advection to the oxygen budget of the OMZ cannot be  
831 quantified from observational data. Instead, idealized advection-diffusion models were used  
832 to estimate this contribution (Brandt et al., 2010; Brandt et al., 2012). For these calculations a  
833 basin-wide mean velocity field has to be prescribed based mainly on our knowledge of the  
834 mean flow along 23°W. However, the zonal extent of the zonal jets, their deviation from a  
835 purely zonal flow, and their connection to the well-ventilated western boundary regime are  
836 crucial in this calculation, but are not well constrained by observations, which leads again to a  
837 large uncertainty of the contribution of the mean advection to the oxygen budget of the ETNA  
838 OMZ.

839 Consumption as the main oxygen sink in the oxygen budget of the OMZ is currently best  
840 estimated as the net consumption along a water mass path from the subduction region toward  
841 the OMZ (Haine and Hall, 2002; Karstensen et al., 2008; Schneider et al., 2012). The different  
842 methods presented here yield a range of possible net consumption rates differing by a factor  
843 of 2 to 4 (Fig. 17). Besides this uncertainty, AOUR represents a large scale net consumption  
844 rate that cannot account for the regional inhomogeneity in consumption for example due to  
845 higher productivity in coastal, equatorial or open ocean upwelling regions compared to the  
846 oligotrophic ocean. For a local oxygen budget as presented here, the local oxygen  
847 consumption within the OMZ is required which could substantially differ from values  
848 representing an integrated oxygen consumption along pathways from the subduction regions,  
849 through the oligotrophic ocean (often including the western boundary regime) into the OMZs.  
850 Additionally, the assumption of a consumption profile decreasing exponentially with depth  
851 (Martin et al., 1987) might be invalid. Lutz et al. (2002) noted the inability to fit sediment trap  
852 data to a single exponential function. Due to vertical changes in lability of organic matter,  
853 sinking rate, and mineral ballast effect, they therefore suggested to use the sum of two

854 exponential functions with different decay. Processes that would also contribute to a deviation  
855 from a single exponential profile include respiration associated with the daily vertical  
856 migration cycle of zooplankton (Bianchi et al., 2013) or oxygen consumption at the sediment-  
857 ocean interface and associated lateral spreading of low oxygen waters. To tackle the problem  
858 of regional and temporal consumption variability, new targeted data/model approaches are  
859 required including observations of sinking particles or incubations for estimating pelagic  
860 oxygen consumption.

861 The relative importance of the different terms affecting the oxygen budgets of the ETNA and  
862 ETSP OMZs appear to be similar. For both OMZs the eastward advection of oxygen-rich  
863 waters from the well-ventilated western boundary was found to be a dominant ventilation  
864 process. As the zonal currents are of similar strength in the tropical Pacific and Atlantic, the  
865 difference in the basin width of both oceans consequently results in lower oxygen  
866 concentrations and larger water mass ages in the eastern tropical Pacific (Fig. 20) compared to  
867 the eastern tropical Atlantic (Fig. 6).

868 Processes contributing to the oxygen budget at the eastern boundary include diapycnal mixing  
869 locally elevated due to tide-topography interaction, advective oxygen supply associated with  
870 (seasonally varying) eastern boundary circulation and coastal-trapped waves, mesoscale  
871 eddies favouring and redistributing oxygen anomalies, pelagic consumption and consumption  
872 at the sediment-ocean interface. Due to high variability of most of these processes both in  
873 space and time, the mean oxygen budget at the shelf is much less constrained compared to the  
874 open ocean. Often these processes are characterized by strong physical-biogeochemical  
875 interaction. For example the downward oxygen flux from the mixed layer due to elevated  
876 diapycnal mixing at the shelf (Schafstall et al., 2010) must be balanced at least partly by local  
877 consumption. The extremely large vertical oxygen gradient at the shelf in the ETSP (from  
878 saturated oxygen levels in the mixed layer to zero oxygen within few meters below) suggests  
879 extremely high consumption rates just below the mixed layer. Another example are isolated  
880 eddies generated by the instability of the eastern boundary current. Such eddies transfer shelf  
881 water properties toward the open ocean while transforming these properties (particularly  
882 oxygen) by enhanced physical-biogeochemical interactions during their westward migration  
883 (Stramma et al., 2014). Their influence on the mean distribution of the shallow and deep  
884 OMZ could so far not be quantified. Dedicated process studies using mooring arrays,  
885 shipboard and multiple glider observations may help to elucidate the role of different  
886 processes in the eastern boundary oxygen budget.

887 The increase in resolution of ocean circulation models improves the tropical circulation and  
888 associated oxygen distribution in the Atlantic (Duteil et al., 2014) and the Pacific OMZs  
889 (Montes et al., 2014), suggesting that deficiencies in model physics largely contribute to the  
890 oxygen bias in coarser-resolution models. However, particularly the intermediate circulation  
891 (below 250 m) is still underestimated by these high-resolution simulations in realistic settings.  
892 To identify the physical mechanism responsible for the mean and variable zonal jets, idealized  
893 high-resolution models have been employed (Ménèsquen et al., 2009;Ascani et al., 2010;Qiu  
894 et al., 2013). Such idealized models could further be used, by including oxygen in the  
895 simulations, to study the roles of mean and variable advection in maintaining the tropical  
896 OMZs and to identify the mechanisms driving oxygen variability on interannual to  
897 multidecadal timescales.

898 The oxygen decline in the ETNA OMZ during the last decades corresponds to about 10 % of  
899 the oxygen sink due to consumption not balanced by ventilation processes. This is a  
900 substantial imbalance in the oxygen budget of the ETNA OMZ. The regional pattern along  
901 the 23° W section indicates strongest oxygen reduction above the core of the deep OMZ and  
902 north of the Cape Verde archipelago (Fig. 18). Such a regional pattern is most likely due to  
903 changes in the circulation pattern associated with forced ocean dynamics as well as with  
904 internal ocean dynamics. Time series of all available oxygen data of the ETNA OMZ (Fig.  
905 19) indicate variations on interannual, decadal, and multidecadal time scales; the long-term  
906 trend of deoxygenation associated with anthropogenic climate change might not be the  
907 dominant signal on such a regional scale. Improvements of model ventilation physics by  
908 increased resolution and/or improved parameterizations will reduce errors in the simulated  
909 mean oxygen distribution and its variability, but at the same time will help to better  
910 understand the climate sensitivity of OMZs with regard to anthropogenic climate change.

911 Oxygen data from shipboard repeat hydrography and moored observations show substantial  
912 interannual variability (Fig. 8) and trend-like changes (Fig. 19). The continuation of such  
913 measurements is essential to be able to test different hypotheses for the driving mechanisms  
914 of oxygen changes in the ocean. Using idealized or process models, distinct observed  
915 variability patterns might be reproduced and attributed to circulation changes and/or changes  
916 in the water mass distribution associated with the AMOC, STCs, PDO, or ENSO. For ocean  
917 circulation models the acquired data provide the basis for improving the physical system in  
918 coupled climate-biogeochemistry simulations to make projections of future oxygen evolution  
919 more reliable.

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1227

1228 Table 1. Research cruises to the tropical eastern Atlantic and Pacific oceans. Depending on  
 1229 the measurements carried out and the geographical area covered on the different cruises up to  
 1230 22 sections were used to determine the mean 23° W section, 7 sections for the mean 18° N  
 1231 sections and 3 sections for the mean ~86° W section.

Vessel and Cruise (Date)	Main Work	Region
<b>Tropical Atlantic, 5° S-14° N / ~23° W and OMZ area</b>		
Thalassa (Jul-Aug 1999)	23° W section	5° S-6° N
Seaward Johnson (Jan 2000)	23° W section	5° S-4° N
Meteor 47/1 (Apr 2000)	23° W section	5° S-4° N
Meteor 55 (Oct 2002)	24° W section	0-10° N
Polarstern Ant XXII/5 (Jun 2005)	23° W section	5° S-14° N
Meteor 68/1 (May 2006)	23° W section	2° S-0.5° N
Ron Brown (Jun 2006)	23° W section	5° S-14° N
Meteor 68/2 (Jun-Jul 2006)	23° W section, moorings	4° S-14° N
Ron Brown (May 2007)	23° W section	4° N-14° N
L'Atalante GEOMAR 4 (Feb-Mar 2008)	23° W section, moorings	2° S-14° N
Maria S. Merian 08/1 (Apr-May 2008)	23° W section, GUTRE tracer release	7.5° N-14° N, 23° W, 8° N at 330 m
Maria S. Merian 10/1 (Nov-Dec 2008)	GUTRE tracer survey	4° N-14° N / 27.5° W- 17.5° W
Polarstern Ant XXV/5 (May 2009)	23° W section	5° S-14° N
Endeavor 463 (May-Jun 2009)	23° W section	4° S-3° N
Ron Brown (Jul-Aug 2009)	23° W section	0-14° N
Meteor 80/1 (Oct-Nov 2009)	23° W section, moorings	5° S-14° N
Polarstern Ant XXVI/1 (Nov 2009)	23° W section	5° S-14° N
Meteor 80/2 (Dec 2009)	GUTRE tracer survey	4° N-14° N / 31° W-15° W
Meteor 81/1 (Feb-Mar 2010)	22° W section	5° S-13° N
Polarstern Ant XXVI/4 (May 2010)	23° W section	5° S-13.5° N
Meteor 83/1 (Oct-Nov 2010)	GUTRE tracer survey	2° N-15° N / 28° W-15° W
Maria S. Merian 18/2 (May-Jun 2011)	23° W section, moorings	5° S-14° N
Maria S. Merian 18/3 (Jun-Jul 2011)	23° W section	4° N-14° N
Ron Brown (Jul-Aug 2011)	23° W section	0-14° N
Maria S. Merian 22 (Oct-Nov 2012)	23° W section, moorings	5° S-14° N
Maria S. Merian 23 (Dec 2012)	23° W section, OSTRE tracer release	4° S-5° N, 21° W, 11° N at 500 m

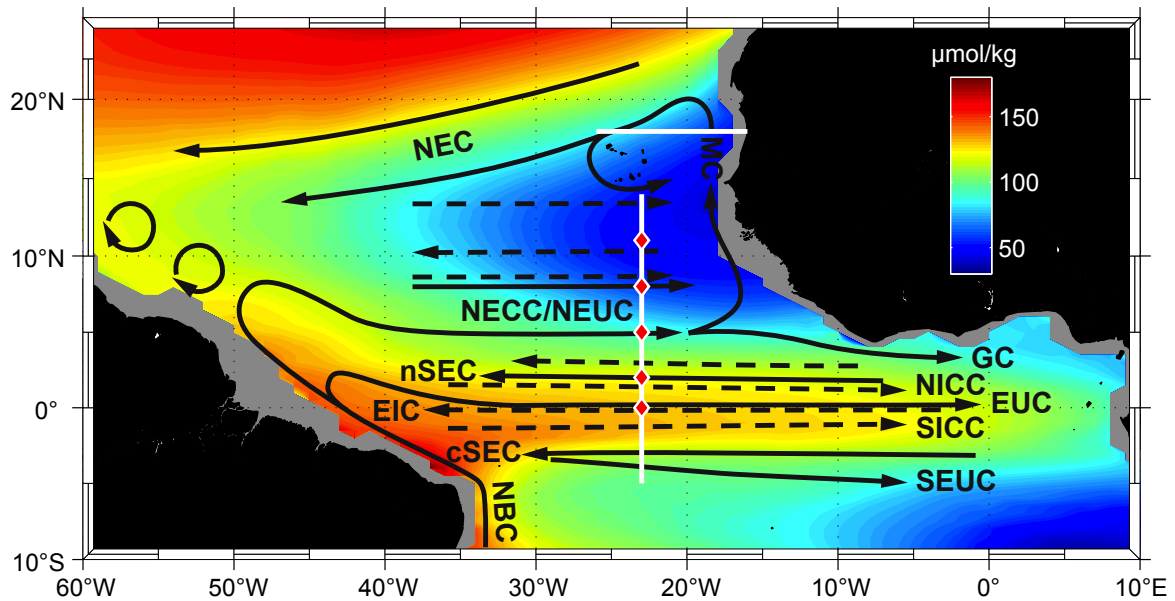
Meteor 97 (May-Jun 2013)	OSTRE tracer survey	8° N-12° N / 23° W-19° W
Meteor 106 (Apr-May 2014)	23° W section, moorings	5° S-14° N
<b>Tropical Atlantic, 26° W-16° W / 18° N</b>		
P320/1 (Mar-Apr 2005)	18° N section	19°W-16.4°W
Meteor M68/3 (Jul-Aug 2006)	18° N section	26° W-16.3° W
P347 (Jan-Feb 2007)	18° N section	17.5° W-16.3° W
P348 (Mar 2007)	18° N section	23.2° W-16.4° W
L'Atalante GEOMAR 3 (Feb 2008)	18° N section	24.3° W-16.3° W
P399/2 (Jun 2010)	18° N section	21° W-16.5° W
Maria S. Merian 22 (Nov 2012)	18° N section	26° W-20° W
<b>Tropical Pacific, 22° S-2° N / ~86° W and continental slope</b>		
Knorr (Mar-Apr 1993)	~86°W section	22° S-2° N
Meteor 77/3 (Jan 2009)	Continental slope	18° S-10° S
Meteor 77/4 (Feb 2009)	~86° W section	14° S-2° N
Meteor 90 (Nov 2012)	~86° W section	22° S-2° N
Meteor 91 (Dec 2012)	Continental slope	17° S-5° S
Meteor 92 (Jan 2013)	Continental slope	13° S-10° S
Meteor 93 (Feb 2013)	Continental slope	14° S-10° S

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1234 Table 2. Moored oxygen observations in the eastern tropical Atlantic along 23°W.

<b>Position</b>	<b>Period</b>	<b>Mooring type</b>	<b>Depth [m]</b>
0° / 23° W	May 2011 - Oct 2012	Subsurface	300, 500
2° / 23° W	Feb 2008 - May 2011	Subsurface	300, 500
4° / 23° W	Jul 2009 - Jan 2013	PIRATA	300, 500
5° N / 23° W	Nov 2009 - Oct 2012	Subsurface	100 – 800
8° N / 23° W	Nov 2009 - Oct 2012	Subsurface	100 – 800
11.5° / 23° W	Jul 2009 - Jan 2013	PIRATA	300, 500

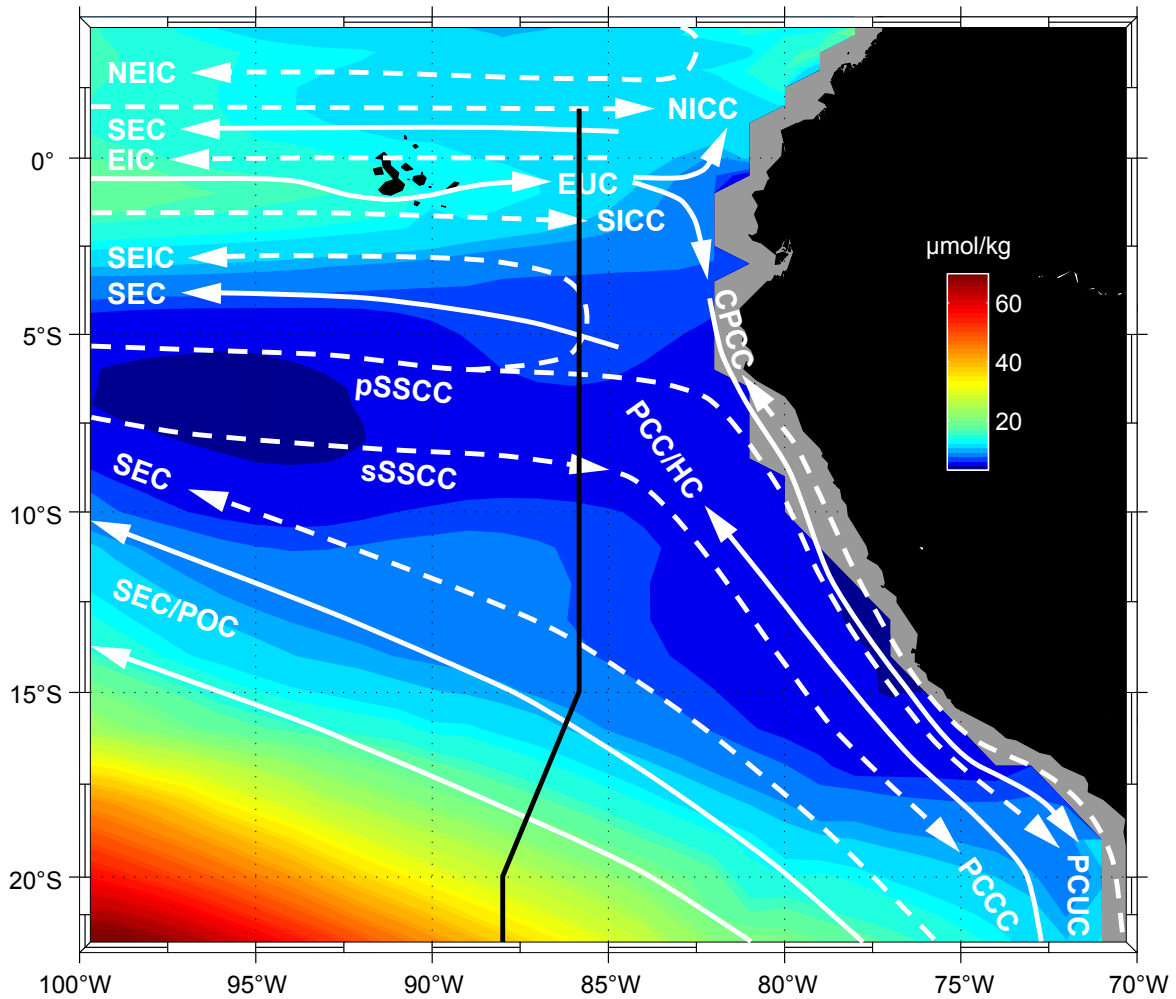
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1237 **Figure 1.** Oxygen concentration [ $\mu\text{mol/kg}$ ] in the tropical Atlantic at  $\sigma_{\theta}=27.1 \text{ kg m}^{-3}$  (close to  
 1238 the deep oxygen minimum) as obtained from the MIMOC climatology (Schmidtko et al.,  
 1239 2013) with circulation schematic superimposed. Surface and thermocline current branches  
 1240 shown (black solid arrows) are the North Equatorial Current (NEC), the Mauritania Current  
 1241 (MC), the northern and central branch of the South Equatorial Current (nSEC and cSEC), the  
 1242 North Equatorial Countercurrent (NECC), the Guinea Current (GC), the North Brazil Current  
 1243 (NBC), the North and South Equatorial Undercurrent (NEUC and SEUC), and the Equatorial  
 1244 Undercurrent (EUC). Intermediate current branches shown (black dashed arrows) are North  
 1245 and South Intermediate Countercurrents (NICC and SICC) or “flanking jets”, and the  
 1246 Equatorial Intermediate Current (EIC). The  $23^{\circ} \text{ W}$  and  $18^{\circ} \text{ N}$  repeat sections are marked by  
 1247 white lines, mooring positions by red diamonds.

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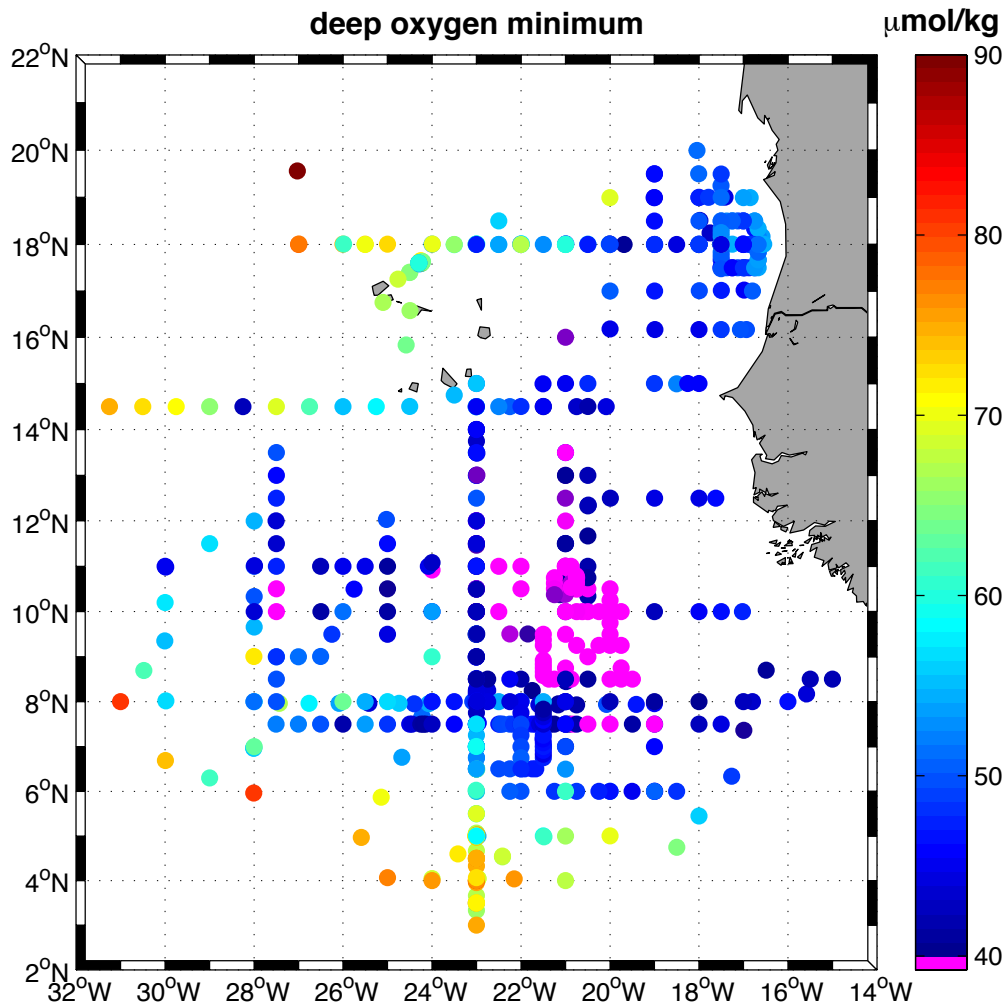


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1250 **Figure 2.** Oxygen concentration [ $\mu\text{mol kg}^{-1}$ ] in the eastern tropical Pacific at  $\sigma_{\theta}=26.8 \text{ kg m}^{-3}$   
 1251 (close to the deep oxygen minimum) as obtained from the MIMOC climatology (Schmidtke  
 1252 et al., 2013) with circulation schematic superimposed. Current bands displayed are for the  
 1253 surface layer (white solid arrows) the South Equatorial Current (SEC), the Equatorial  
 1254 Undercurrent (EUC), the Peru-Chile or Humboldt Current (PCC/HC), the Peru Oceanic  
 1255 Current (POC) and for the thermocline layer (white dashed arrows) the North Equatorial  
 1256 Intermediate Current (NEIC), the North Intermediate Countercurrent (NICC), the Equatorial  
 1257 Intermediate Current (EIC), the South Intermediate Countercurrent (SICC), the primary and  
 1258 secondary Southern Subsurface Countercurrent (pSSCC, sSSCC), the deeper layer of the  
 1259 SEC, the Chile-Peru Coastal Current (CPCC), the Peru-Chile Undercurrent (PCUC) and the  
 1260 Peru-Chile Countercurrent (PCCC). The location of the  $\sim 86^{\circ}$  W section is marked as black  
 1261 line.

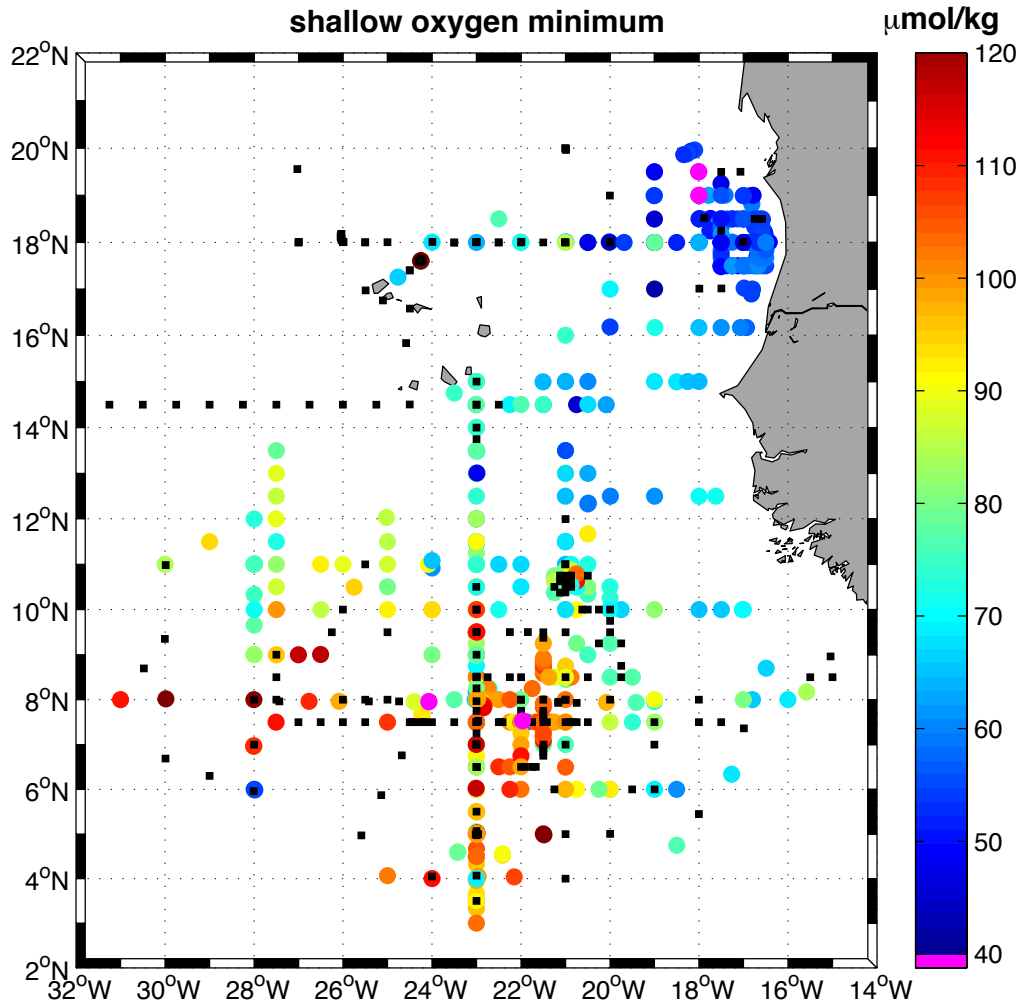
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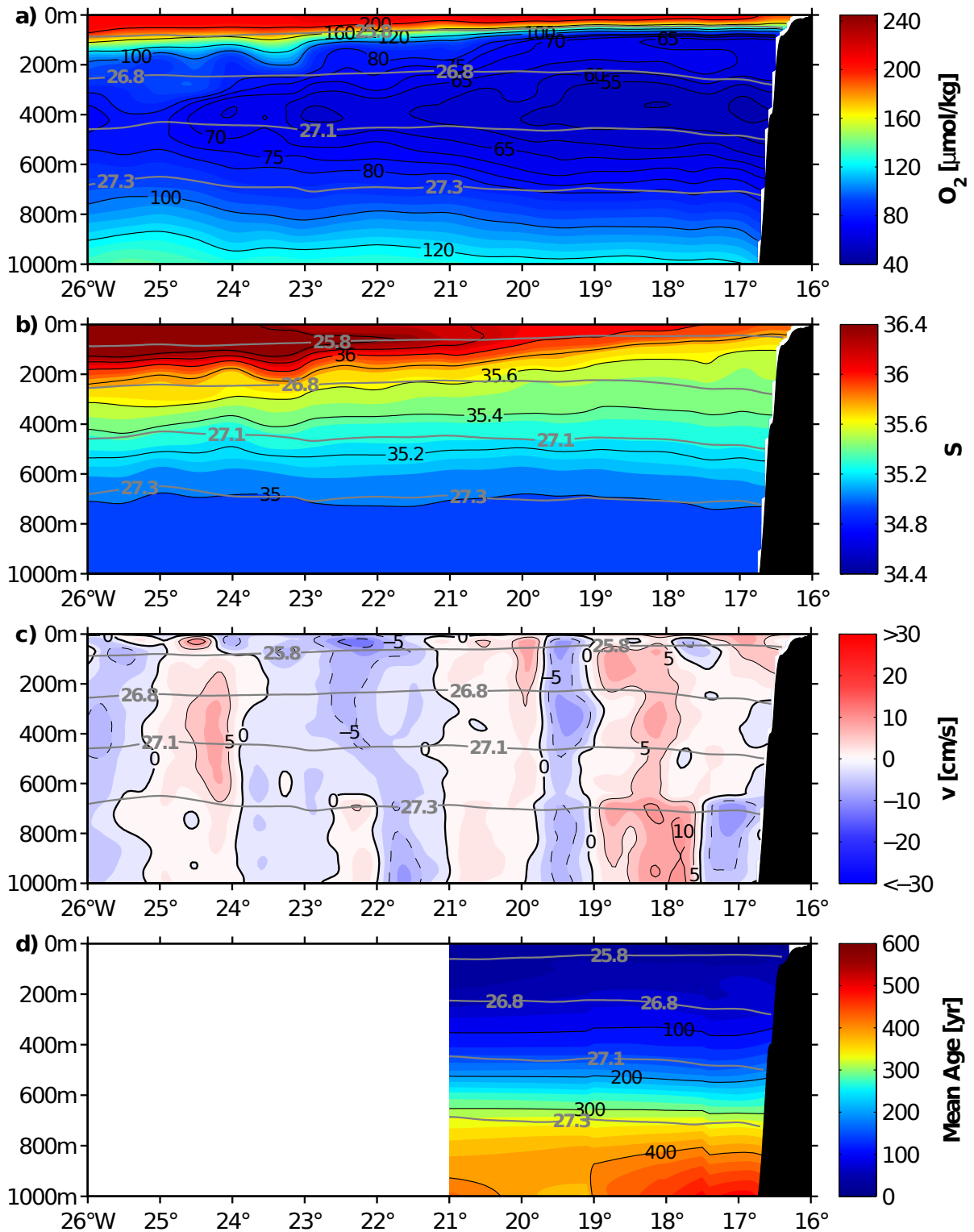
1264 **Figure 3.** Minimum oxygen concentration below 200 m (representing the deep oxygen  
 1265 minimum) as obtained from CTD station data taken during the period 2006 to 2013. Oxygen  
 1266 concentration at the deep oxygen minimum below  $40 \mu\text{mol kg}^{-1}$  is marked by purple dots.



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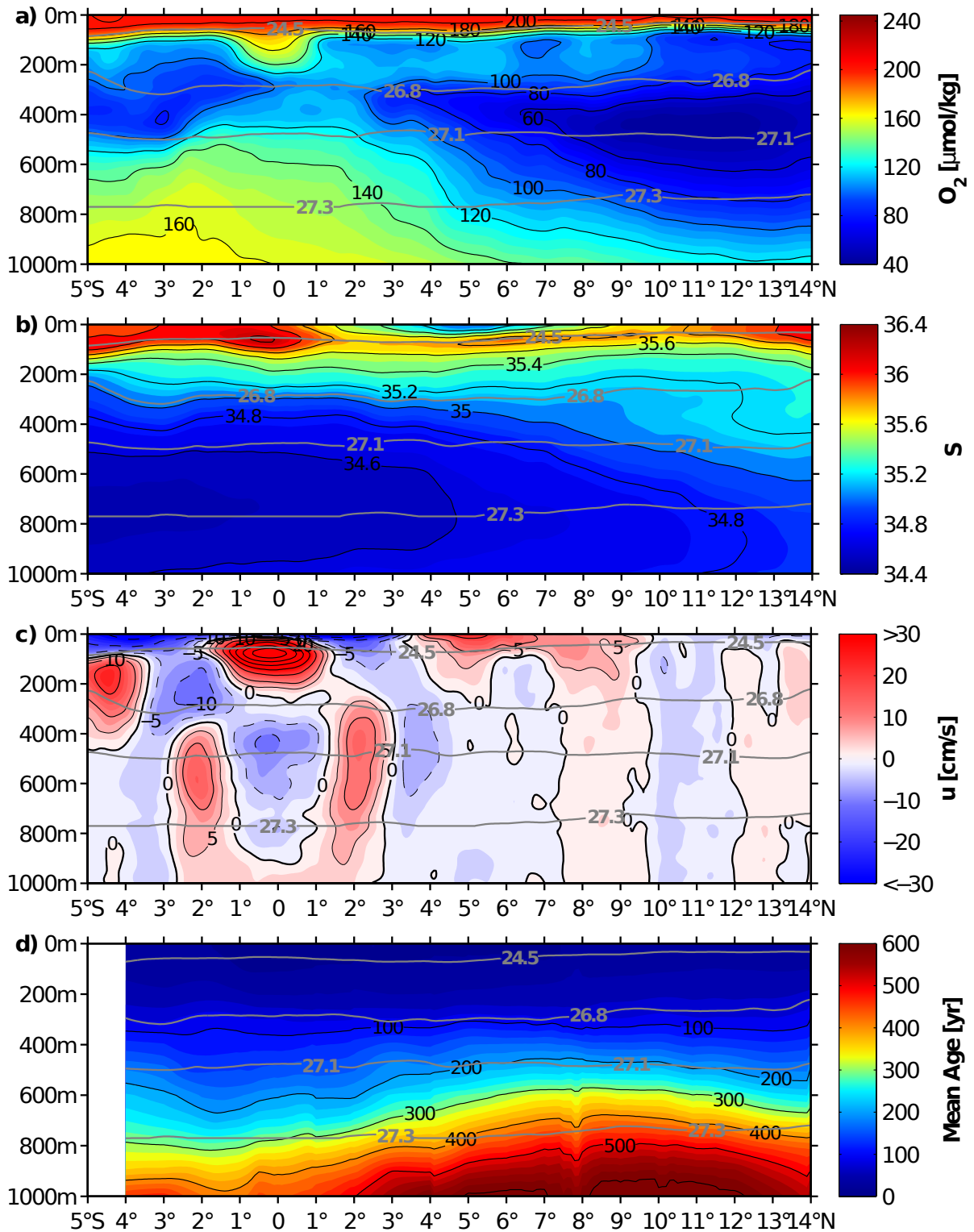
1268 **Figure 4.** Minimum oxygen concentration above 200 m (representing the shallow oxygen  
 1269 minimum) as obtained from CTD station data taken during the period 2006 to 2013. Black  
 1270 squares indicate profiles without a shallow oxygen minimum (i.e. minimum oxygen  
 1271 concentration was found at the lower boundary of the chosen depth range that is 200 m).  
 1272 Oxygen concentration at the shallow oxygen minimum below  $40 \mu\text{mol kg}^{-1}$  is marked by  
 1273 purple dots.

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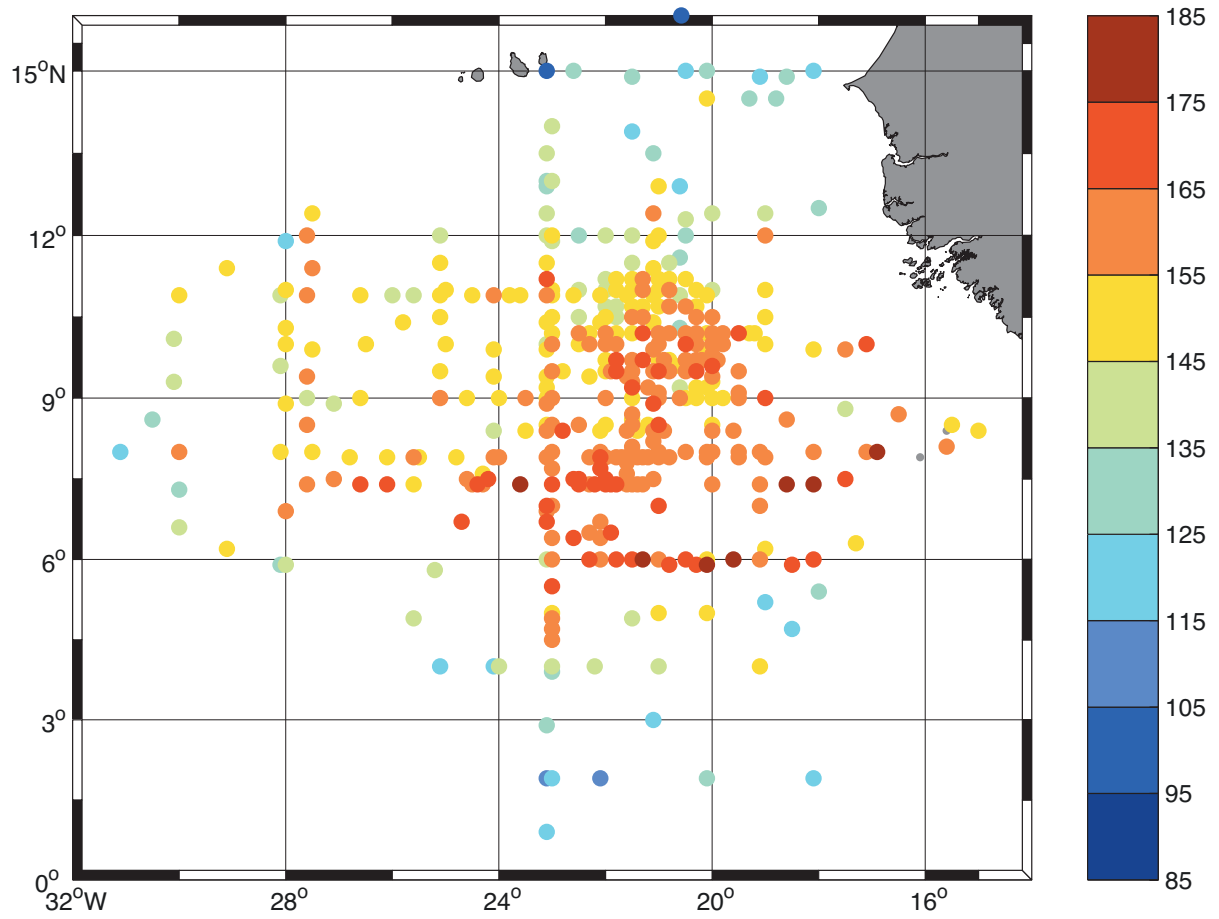


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**Figure 5.** (a) Mean oxygen content, (b) salinity, (c) meridional velocity (positive northward), and (d) mean age as obtained from zonal ship sections taken along 18° N during 2005-2012. Grey contours mark potential density [ $\text{kg m}^{-3}$ ]. Besides the deep oxygen minimum at about 400 m depth there is a shallow oxygen minimum at about 100 m in proximity to the shelf (a).



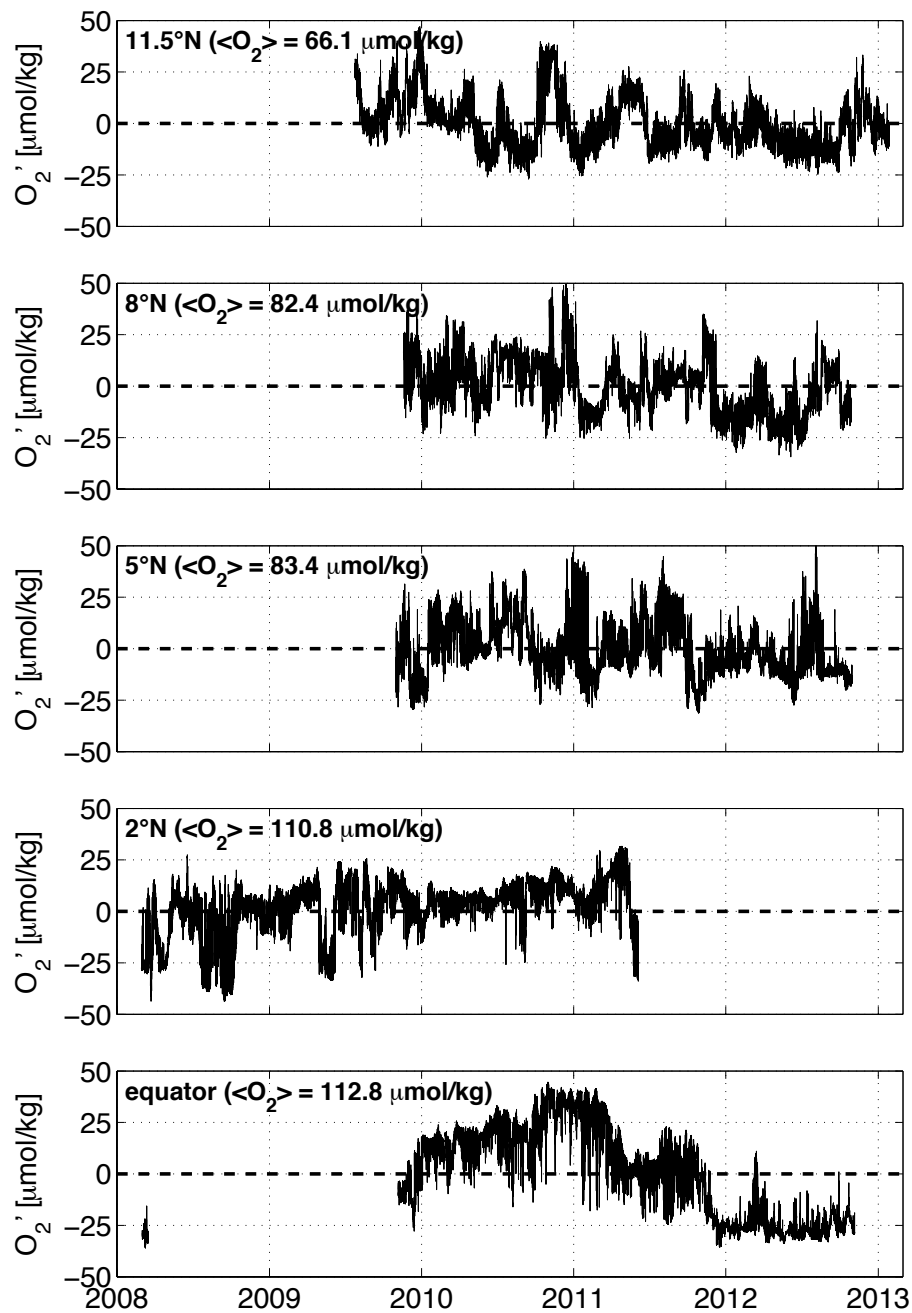
1281  
 1282 **Figure 6.** (a) Mean oxygen content, (b) salinity, (c) zonal velocity (positive eastward), and  
 1283 (d) mean age as obtained from meridional ship sections taken along 23° W during 1999-2012.  
 1284 Grey contours mark potential density [ $\text{kg m}^{-3}$ ]. Eastward current bands, marked by reddish  
 1285 colours, are generally associated with elevated oxygen content.  
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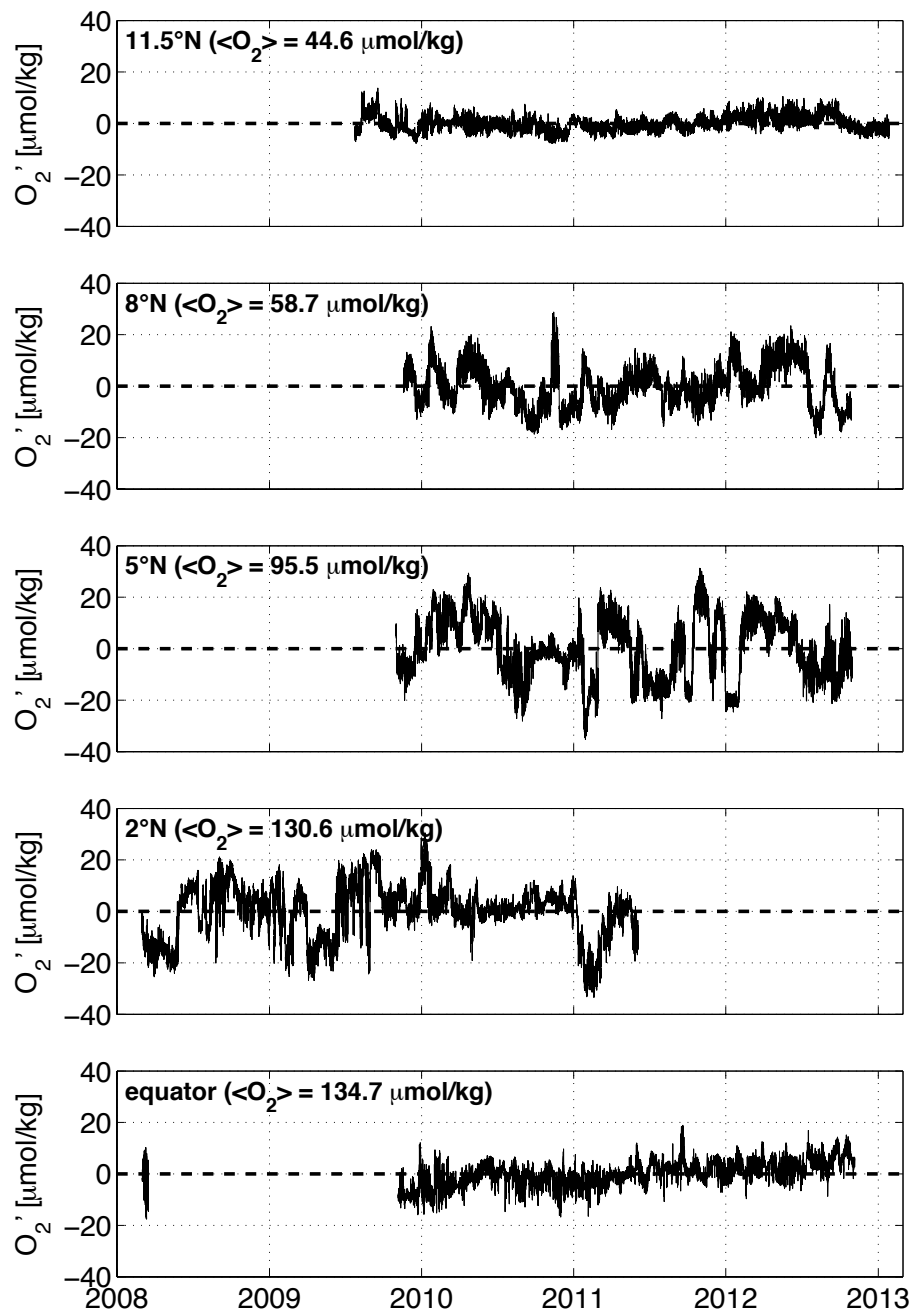
1288 **Figure 7.** Mean age [yr] at  $\sigma_{\theta}=27.0 \text{ kg m}^{-3}$  which corresponds approximately to the depth of  
 1289 the deep oxygen minimum.

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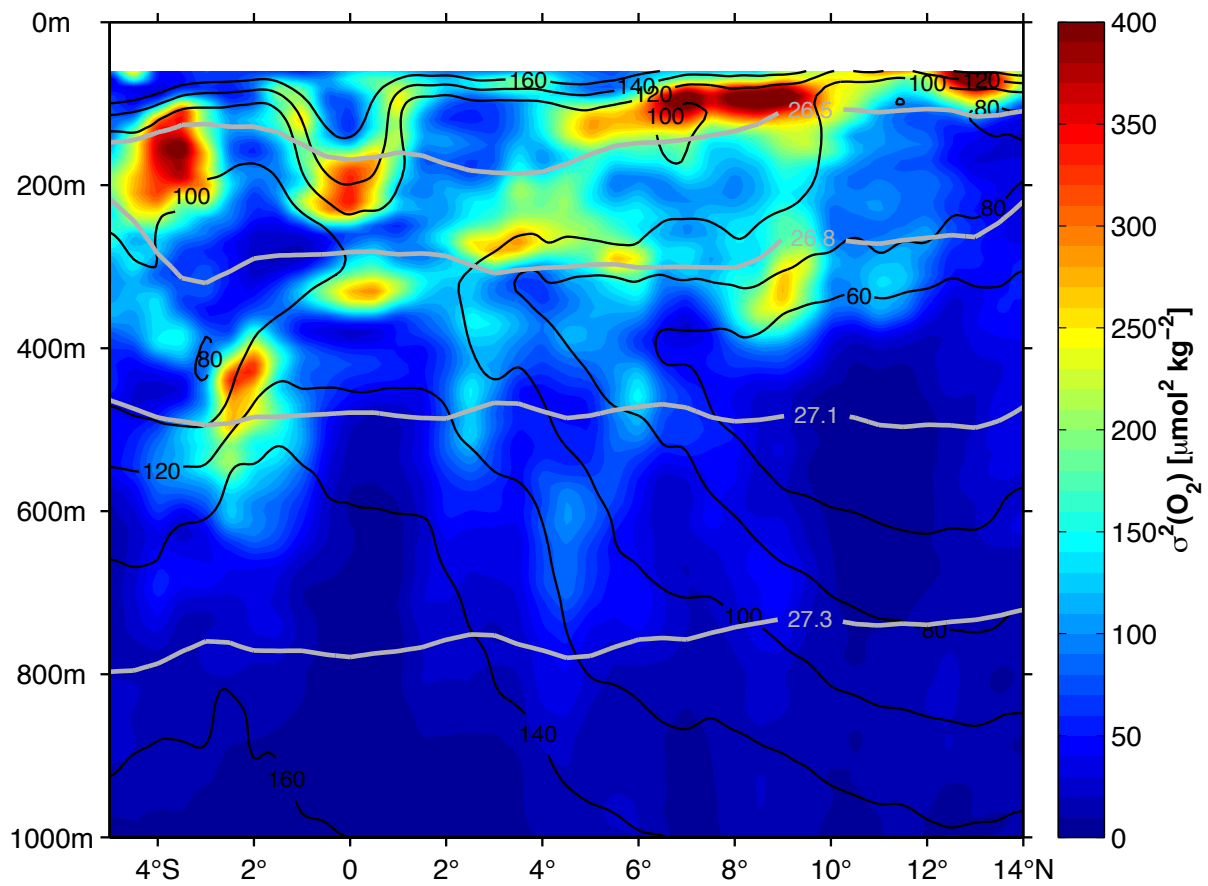
1292 **Figure 8.** Time series of oxygen anomaly at about 300 m depth from moored observations  
 1293 along 23° W at different latitudes. Mean oxygen values at the different mooring locations are  
 1294 given in brackets.



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1296 **Figure 9.** As Fig. 8, but at about 500 m depth.

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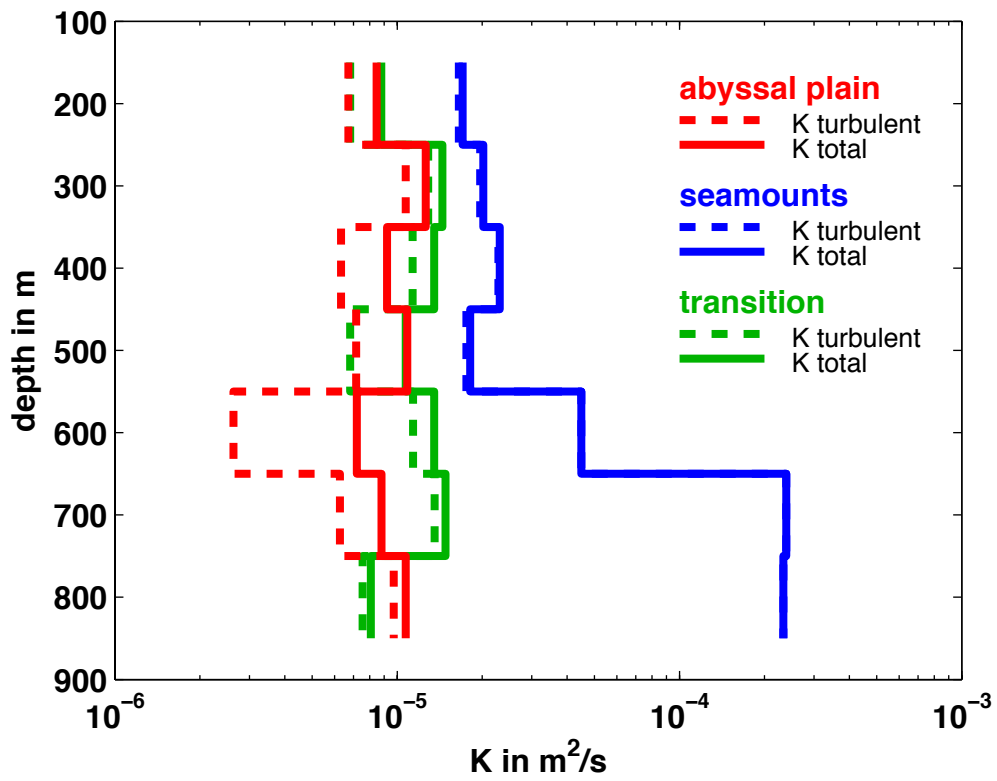


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1299 **Figure 10.** Oxygen variance along 23° W from repeat ship sections. The analysis was done on  
 1300 isopycnal surfaces and the results were projected back onto depth coordinates. Grey contours  
 1301 mark potential density [ $\text{kg m}^{-3}$ ], black contours mark mean oxygen [ $\mu\text{mol kg}^{-1}$ ].

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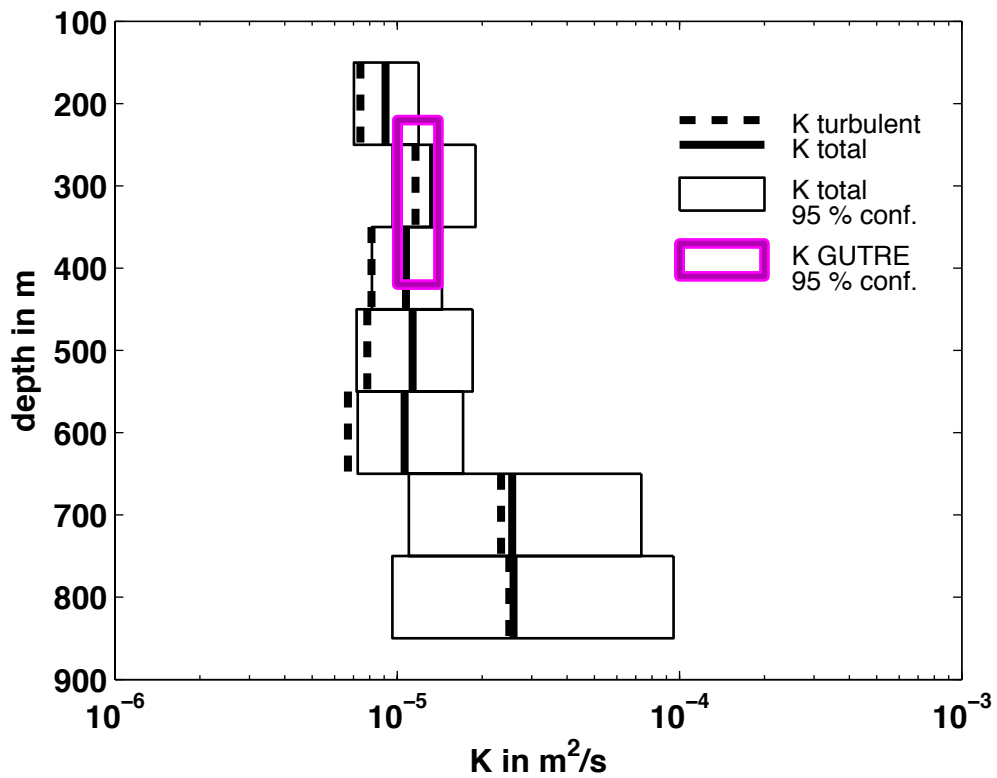




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1304 **Figure 11.** Profiles of the diapycnal eddy diffusivity as estimated from microstructure  
 1305 measurements (dashed lines) and by accounting for the effect of double diffusion (solid lines)  
 1306 for different regions: (red) abyssal plain, (blue) seamount region, and (green) transition  
 1307 region.

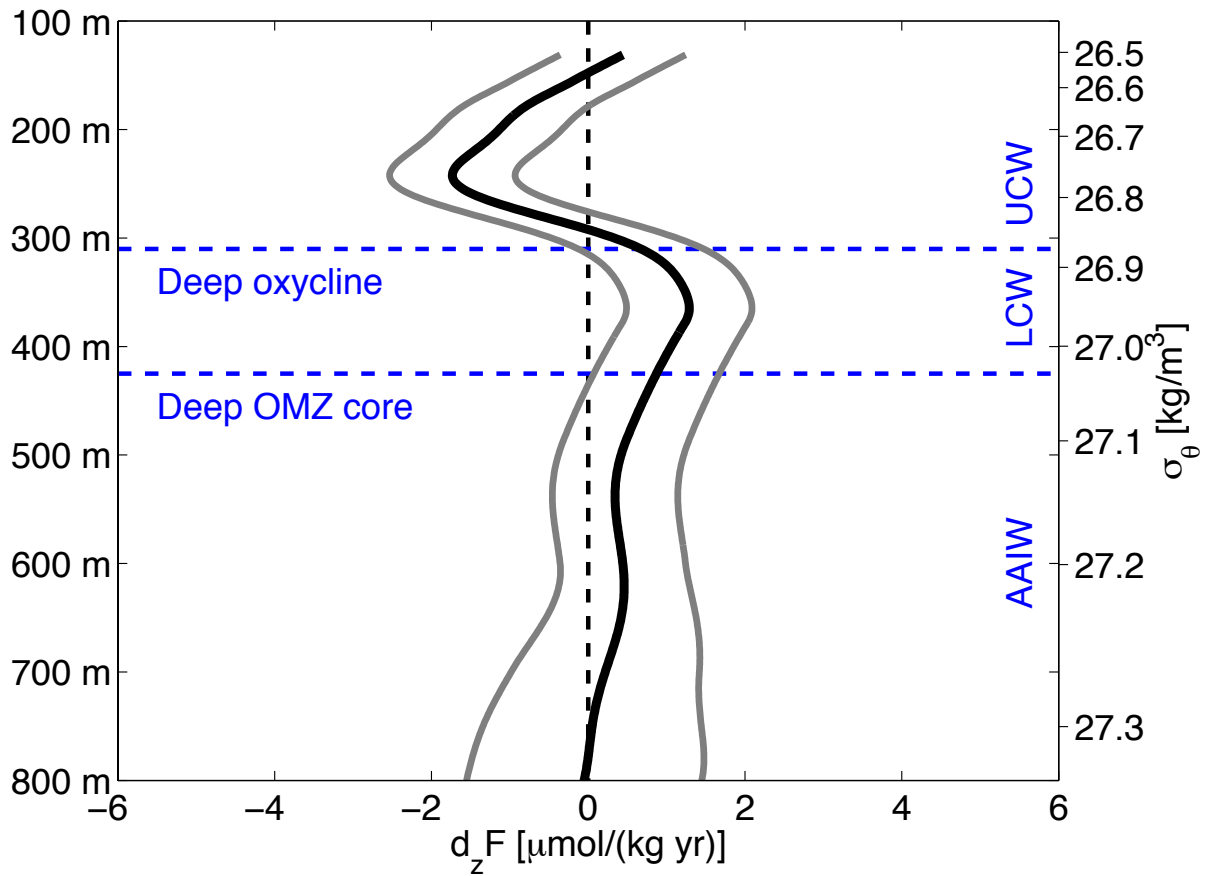
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1310 **Figure 12.** Diapycnal eddy diffusivity as estimated from microstructure measurements  
 1311 (dashed black line) and the tracer release experiment (purple box representing 95 %  
 1312 confidence error level). The profile of total diapycnal eddy diffusivity is obtained by  
 1313 accounting for the effect of double diffusion (solid black line with 95 % confidence error  
 1314 level).

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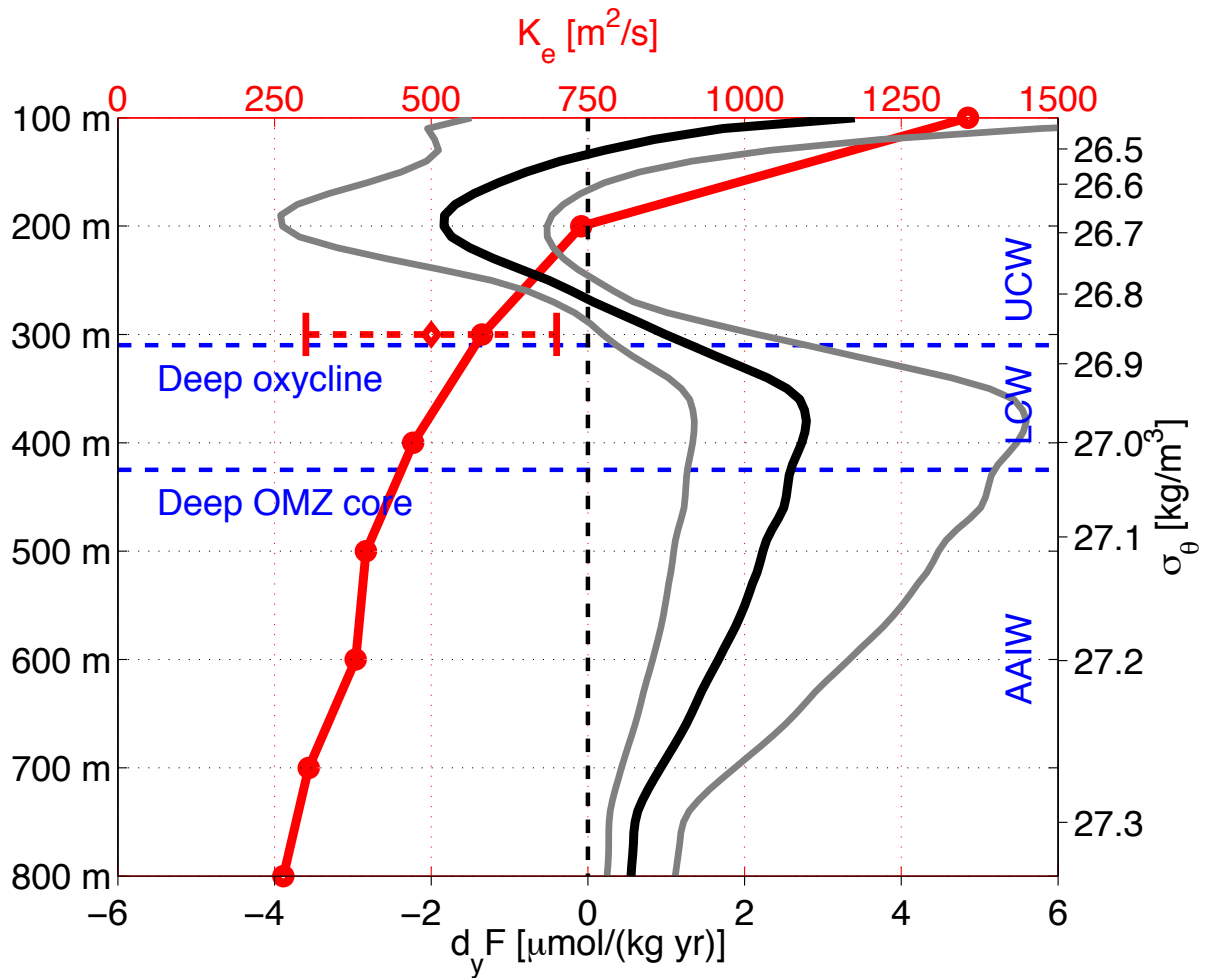
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1317 **Figure 13.** Mean oxygen supply due to diapycnal mixing (solid black line) for the open ocean  
 1318 ETNA OMZ and 95 % confidence error level (solid grey lines) as function of depth (left axis)  
 1319 or potential density (right axis). Blue dashed lines mark the depths of the deep oxycline and of  
 1320 the core of the deep OMZ that separate layers of upper and lower CW, and AAIW.

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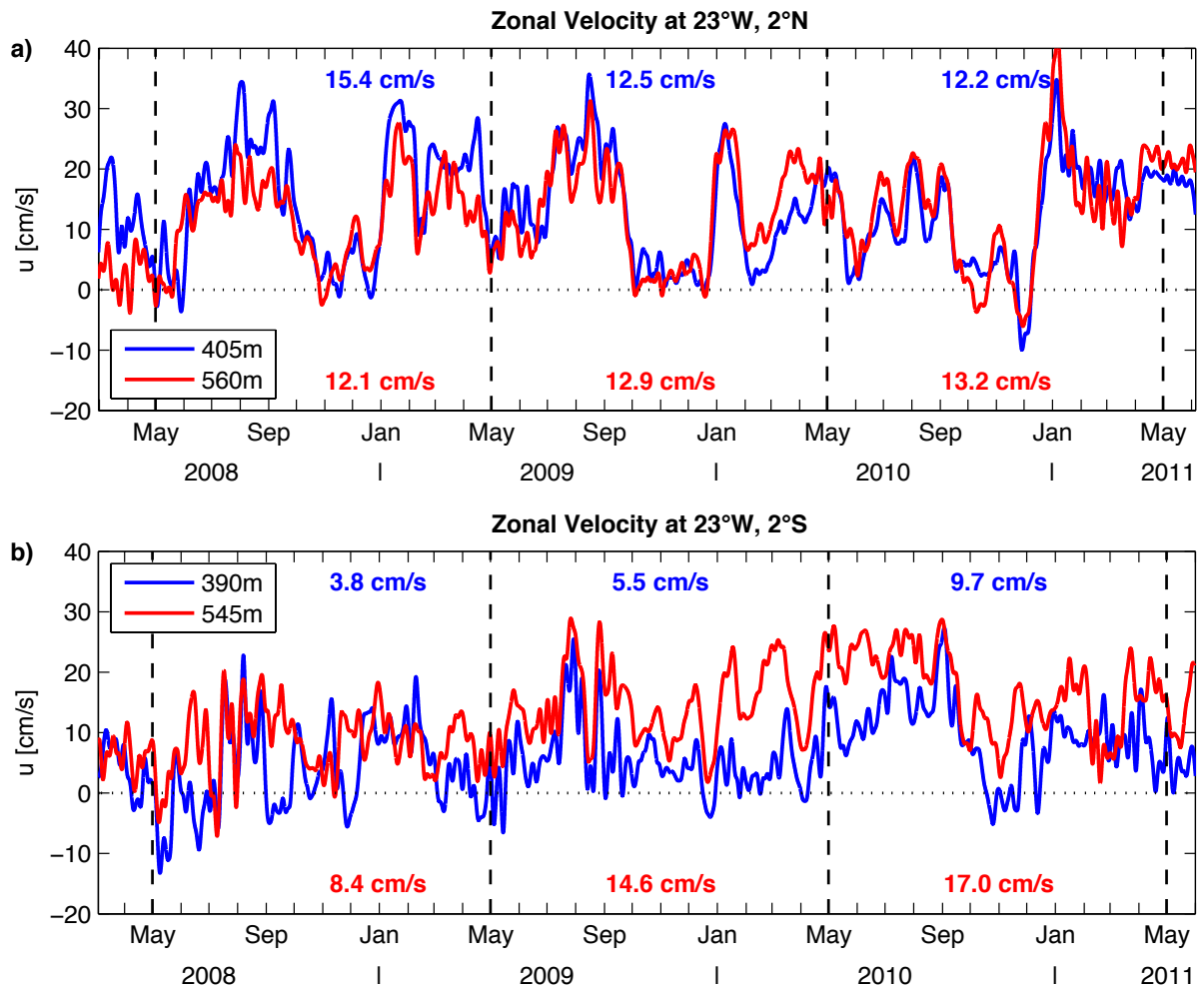
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1325 **Figure 14.** Eddy diffusivity as estimated from moored and shipboard observations (red  
 1326 circles, red line, upper axis) and from the tracer release experiment (red diamond with error  
 1327 bar, upper axis) as function of depth (left axis) or potential density (right axis). Also shown is  
 1328 the mean isopycnal meridional eddy-driven oxygen supply (black line, lower axis) for the  
 1329 open ocean ETNA OMZ with error levels (grey lines, lower axis) that were calculated from  
 1330 both the error of the curvature of the meridional oxygen distribution (95% confidence) and the  
 1331 error of the eddy diffusivity (factor 2 assumed). Blue dashed lines mark the depths of the deep  
 1332 oxycline and of the core of the deep OMZ that separate layers of upper and lower CW, and  
 1333 AAIW.

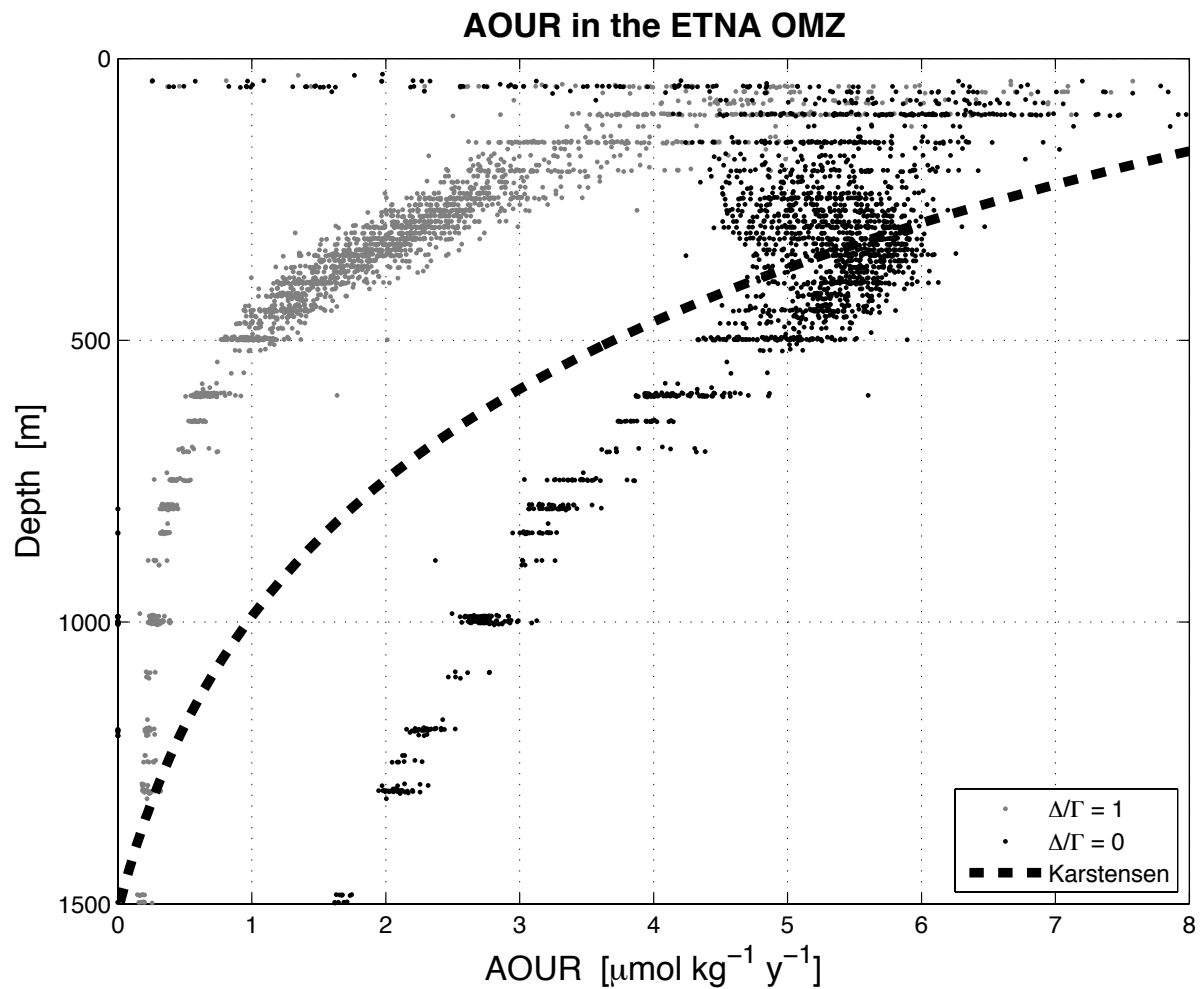
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1336 **Figure 15.** Zonal velocity from moored observations at 23° W, 2° N (a) and 23° W, 2° S (b)  
 1337 at about 400 m (blue lines) and 550 m (red lines). Blue and red numbers represent annual  
 1338 mean velocities at about 400 m and 550 m depth, respectively. Dashed vertical lines mark  
 1339 time periods used for the calculation of annual means; dotted horizontal line marks zero  
 1340 velocity.

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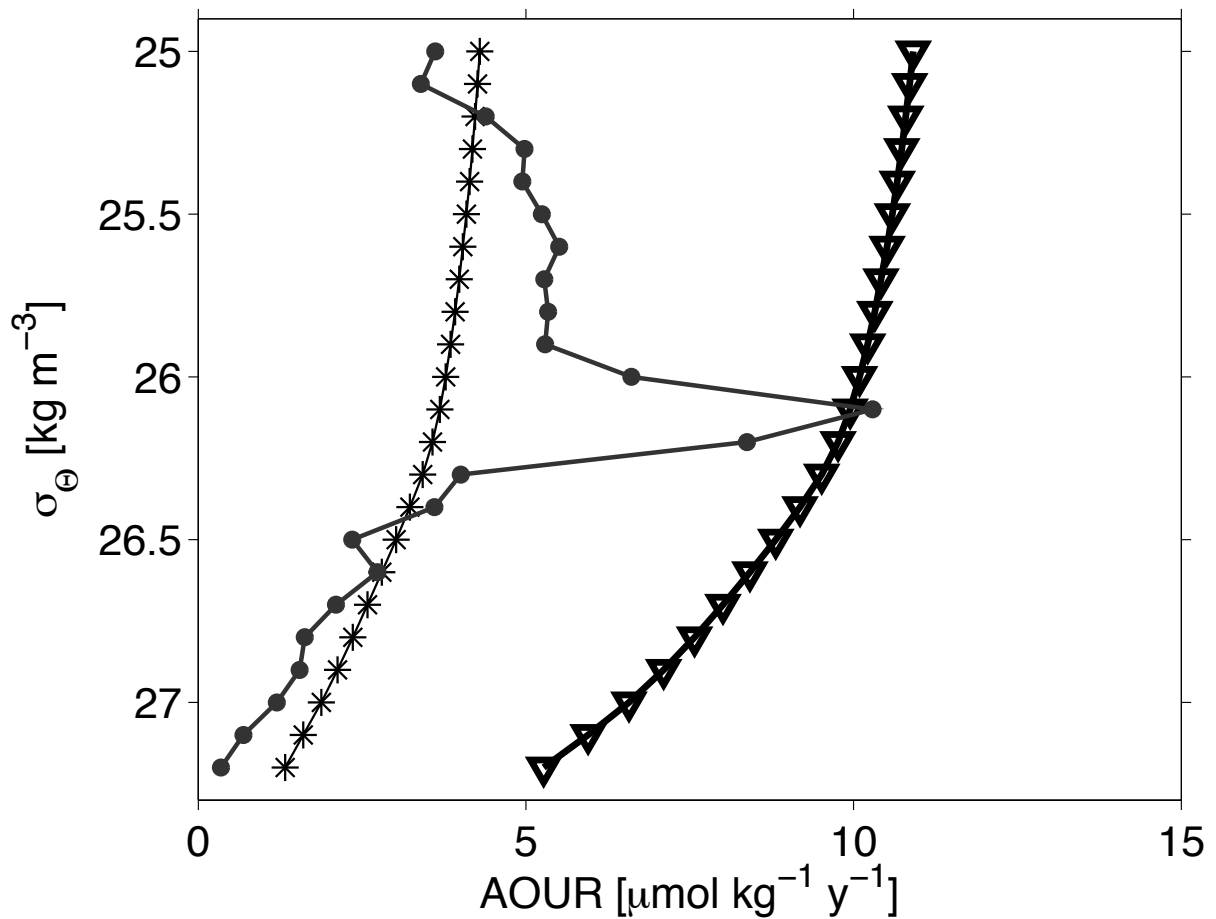


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1343 **Figure 16.** AOUR in the ETNA OMZ (between 4° N and 14° N and east of 32° W). The  
 1344 AOUR was calculated using the TTD approach with two different assumptions about mixing:  
 1345 Black dots corresponds to no mixing,  $\Delta\Gamma=0$ ; grey dots to moderate mixing,  $\Delta\Gamma=1$ . The  
 1346 dashed line marks AOUR as obtained by Karstensen et al. (2008) using CFC-11 ages from the  
 1347 ventilated gyre.

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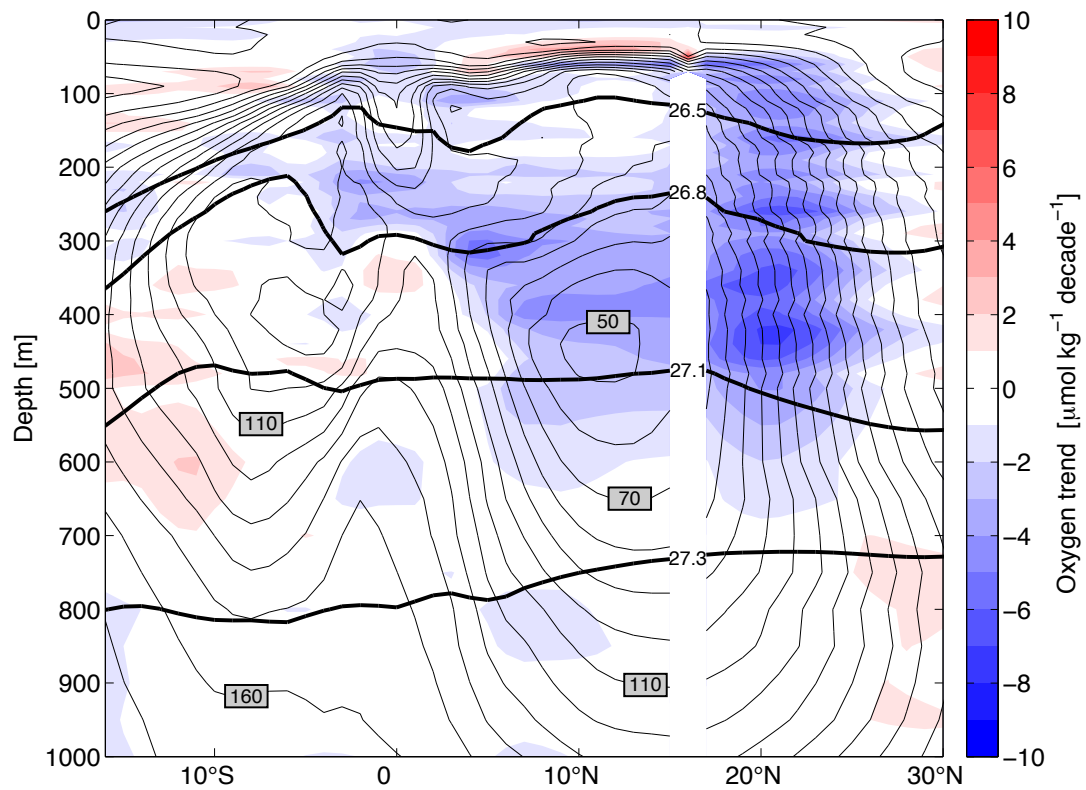


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1351 **Figure 17.** Three estimates of AOUR as function of density: Schneider et al. (2012) used the  
 1352 TTD approach for the ETNA (stars), Karstensen et al. (2008) used CFC-11 water ages from  
 1353 the ventilated gyre only (triangles), and based on the ratio of North Atlantic mean AOU for  
 1354 isopycnal volumes and the corresponding reservoir ages (black dots, see further details, e.g.  
 1355 reservoir ages and volumes, in Karstensen et al. (2008) Figs. 9 and 10).

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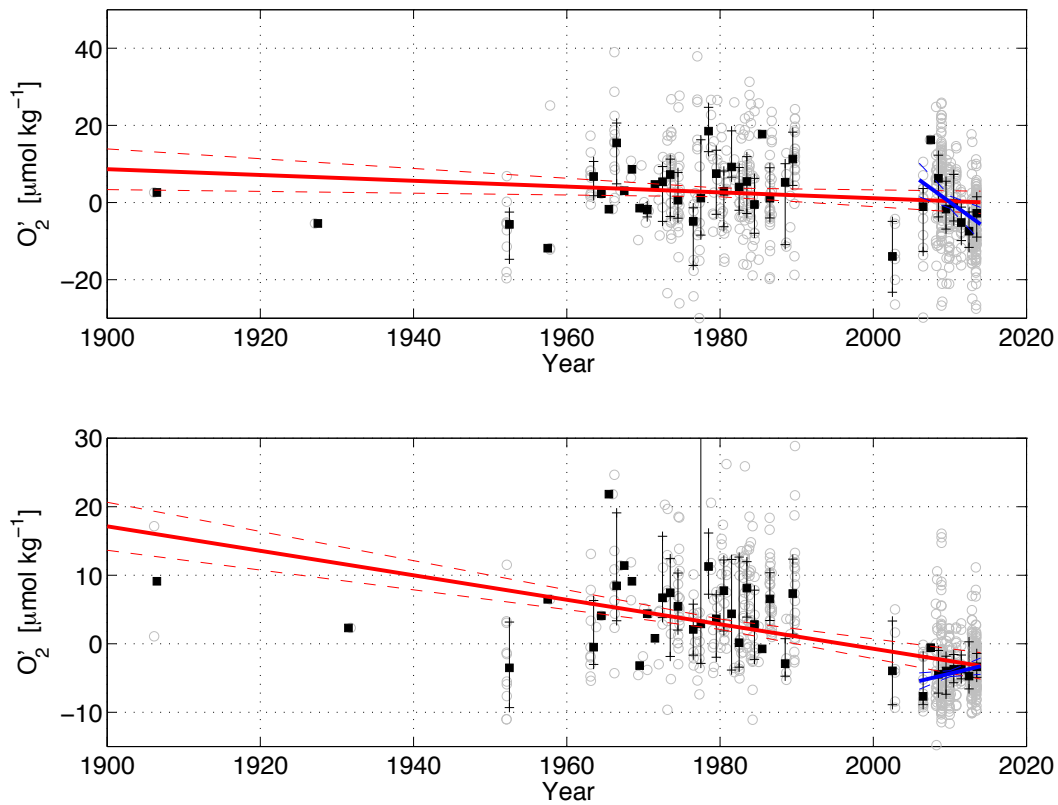


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1359 **Figure 18.** Oxygen trend along 23° W between 20° W and 26° W and between 1972 and  
 1360 2013 as obtained from the MIMOC climatology (Schmidtko et al., 2013). The trend was  
 1361 calculated on depth coordinates using oxygen anomalies relative to mean oxygen. Thin black  
 1362 contours mark mean oxygen [ $\mu\text{mol kg}^{-1}$ ], thick black contours mark potential density [ $\text{kg m}^{-3}$ ],  
 1363 both from the MIMOC climatology.

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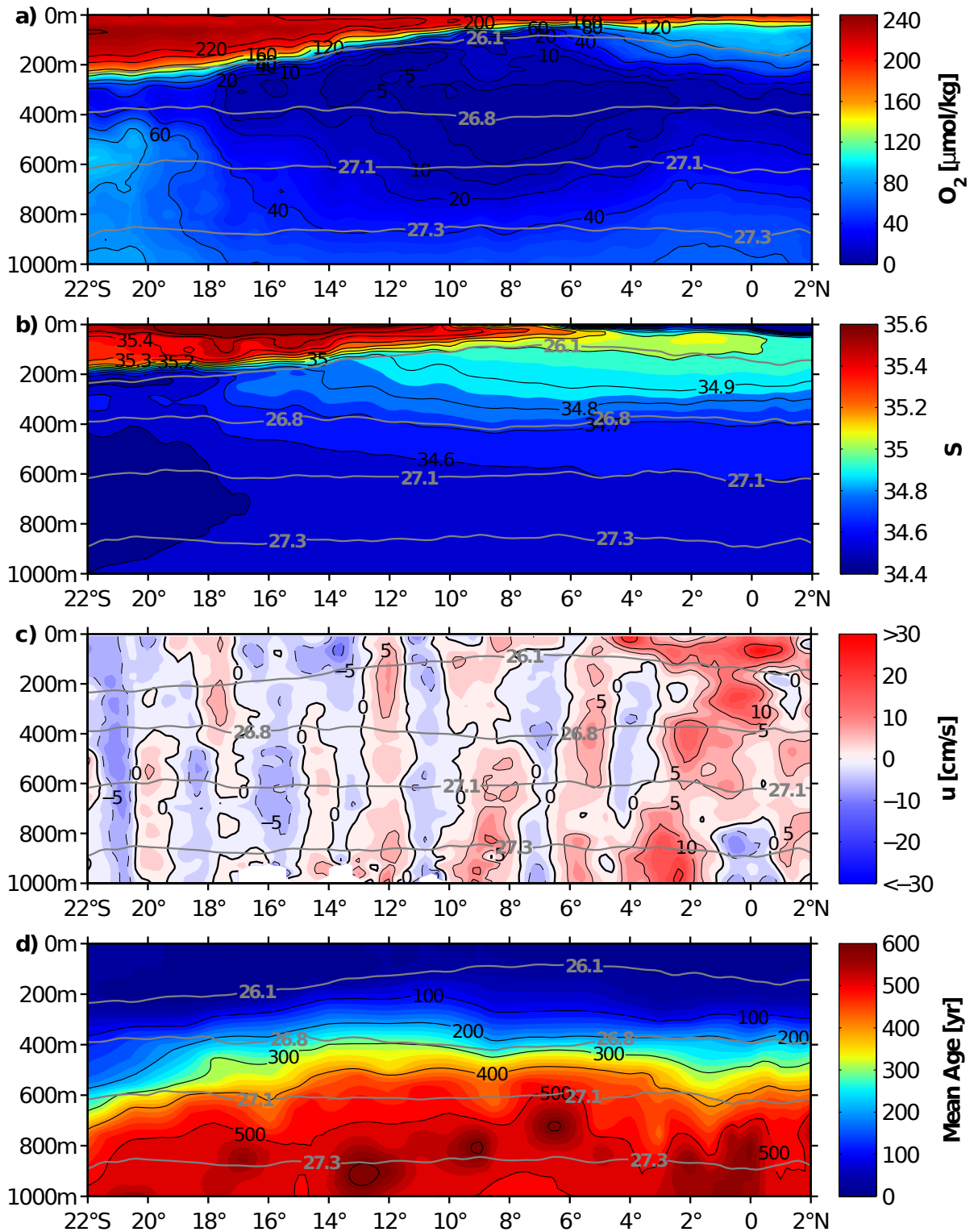




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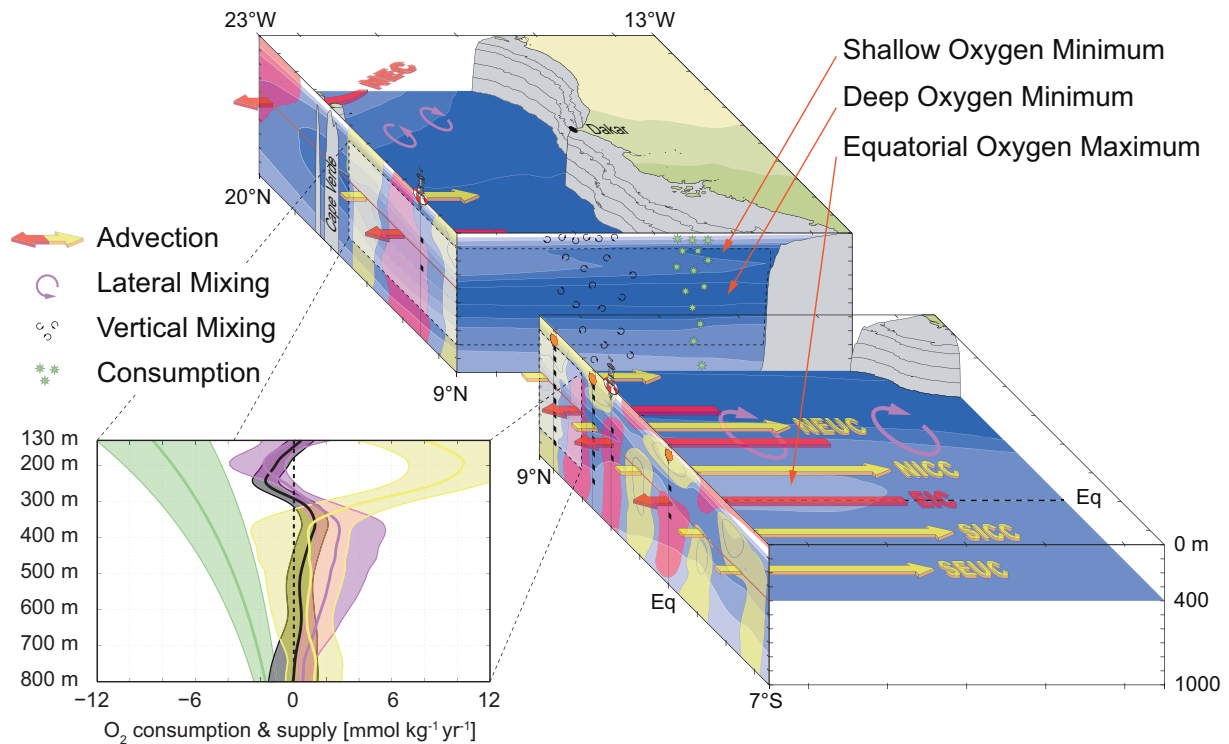
1366 **Figure 19.** Oxygen anomalies for the region 9-15° N, 20-26° W and 150-300 m (intermediate  
 1367 oxygen maximum, upper panel) and 350-700 m (deep oxygen minimum, lower panel). Grey  
 1368 circles represent all available data, whiskers show interquartile range of data within each year  
 1369 and the black squares annual medians. Trends are calculated using annual medians weighted  
 1370 by the square root of available data within each year for the period 1900-2013 (solid red line)  
 1371 and 2006-2013 (solid blue line). The dashed lines mark the standard errors of the trends.

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 1374 **Figure 20.** (a) Mean oxygen content, (b) salinity, (c) zonal velocity (positive eastward), and  
 1375 (d) mean age as obtained from meridional ship sections taken on three Pacific surveys along  
 1376  $\sim 86^\circ$  W during 1993-2012. Grey contours mark potential density [ $\text{kg m}^{-3}$ ]. The mean age is  
 1377 solely based on data from 1993. Eastward current bands, marked by reddish colours, are  
 1378 generally associated with elevated oxygen content.

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1381 **Figure 21.** Schematic of the functioning of the ETNA OMZ and its oxygen budget. In the  
 1382 upper box, the oxygen distribution (bluish colours with dark/light blue corresponding to  
 1383 low/high oxygen) is shown at the sections along 23° W and 9° N and at the depth of 400 m; in  
 1384 the lower right box it is shown at the section along 23° W and at the depth of 400 m. Red and  
 1385 yellow areas at the 23° W section correspond to westward and eastward flow also marked by  
 1386 red and yellow arrows, respectively. The oxygen budget (lower left panel) includes physical  
 1387 supply by meridional (violet curve) and vertical mixing (black curve) as well as consumption  
 1388 after Karstensen et al. (2008) (green curve). The yellow curve in the lower left panel is the  
 1389 residual of the other 3 terms, which is dominated by zonal advection. All error estimates  
 1390 (coloured shadings) are referred to a 95 % confidence [except the isopycnal meridional eddy  
 1391 supply, where the error was estimated from both the error of the oxygen curvature (95 %  
 1392 confidence) and the error of the eddy diffusivity (factor 2 assumed)] (see further details in text  
 1393 and in Hahn et al. (2014)).

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