On the role of circulation and mixing in the ventilation of oxygen minimum zones with a focus on the eastern tropical North Atlantic

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Reply to reviewer #1

Reviewer #1: This article largely reviews recent results from the SFB 754 program designed to investigate the climate and biogeochemistry interactions in the oxygen minimum zones (OMZs) of the tropical oceans. It is very well written and gives a thorough and complete overview of the major results to date. Since it is primarily a review/progress article, it is difficult to find fault with any of the results since the article mainly reports and synthesizes the results rather than (re)interpret these results or present new results. So, consequently this review provides (hopefully) constructive comments rather than a scientific critique of the published record.

I very much liked the layout of the paper, and the discussion of the individual components of the oxygen budget and the main mechanisms that influence this budget in the eastern tropical Atlantic. An excellent approach. However I think in general there could have been more discussion of the error bars of the budget, particularly in the individual sections that discuss the processes. Most sections lacked any error bars on the estimates, other than to note that the mechanisms might be poorly constrained (a good reason to show or explicitly discuss the error bars and how they are comprised). Or perhaps error bars were presented in the accompanying figures (e.g. Figure 12, 13 showing the effect of diapycnal mixing) but there was little discussion of how these error bars were computed.

Answer to reviewer #1: We included more discussion on how the error bars were obtained particularly for diapycnal and lateral diffusive fluxes. The calculation of deep diapycnal mixing including error bars was repeated using more deep microstructure profiles that are now available. This leads to changes in Figures 11 to 13, and 21.

We included in section 4.1 "Error estimates are reported as 95% confidence limits and are based on standard errors of the mean of individual K_ρ and oxygen gradient profiles for each subregion. Subsequent error estimates for the mean total K_ρ profile (Fig. 12), flux profiles, and the mean supply profile (Fig. 13) were obtained from Gaussian error propagation (Ferrari and Polzin, 2005;Schafstall et al., 2010)."

Furthermore we included in section 4.2 the sentence "Hahn et al. (2014) estimated a meridional eddy diffusivity profile for the upper 1000 m with the range of uncertainty assumed as large as a factor 2 following Ferrari and Polzin (2005)." And "The corresponding error (i.e. of the eddy-driven meridional oxygen supply) was derived both from the error of the curvature of the meridional oxygen distribution (95% confidence) and the error of the eddy diffusivity (factor 2 assumed following Ferrari and Polzin (2005))."

In section 6.3 we included: "Within this region, the diapycnal flux of oxygen from the mixed layer into the stratified ocean is 73 mmol m^{-2} d^{-1}, with an upper and lower 95% confidence limit determined from Gaussian error propagation (Ferrari and Polzin, 2005;Schafstall et al., 2010) being 105 mmol m^{-2} d^{-1} and 44 mmol m^{-2} d^{-1}, respectively."

Reviewer #1: In the section 4.3 Advection I kept wondering why there was no actual quantification of this component. It was not until the conclusions that it was reported that this component is so poorly resolved that it is actually represented as a (large!) residual in the budget. This information needs to be reported much earlier.

Answer to reviewer #1: Already in the last version of the manuscript, we stated right at the beginning of section 4.3 that "we are only able to quantify this term as a residual".

We now include an explanation at the beginning of section 4.3 "A rigorous determination of the advection term would require mean sections around a closed box to fulfil mass balance within the box. This cannot be achieved with the present observing system. However, our measurements along 23° W confirm that the advection term is a major player in the ventilation of the OMZ, especially above 400 m depth."

Reviewer #1: The reason this component cannot be resolved is because there are insufficient and/or inadequate measurements available to determine this component. This raises another issue. Given the disparate and large number of measurements collected as part of the SFB 754 program, it might also be worthwhile discussing what measurements might still be required and at what resolution. In other words, it is an ideal time to determine what legacy measurements might remain and what additional measurements (e.g. multiple glider transects crossing the deep tropical jets instrumented with O2 sensors etc?) might be needed for monitoring the changes in the OMZ and the processes that lead to these changes.

Answer to reviewer #1:Thank you very much for this comment. Besides the difficulties of quantifying the mean advection, we now changed the wording and add sentences regarding the requirements for future observing systems.

In the summary and discussion we included: "Dedicated process studies using mooring arrays, shipboard and multiple glider observations may help to elucidate the role of different processes in the eastern boundary oxygen budget." and we clarified: "Oxygen data from shipboard repeat hydrography and moored observations show substantial interannual variability (Fig. 8) and trend-like changes (Fig. 19). The continuation of such measurements is essential to be able to test different hypotheses for the driving mechanisms of oxygen changes in the ocean."

Reviewer #1: Finally, given the length of the paper, I think that it's probably best to only focus on the OMZ in the eastern tropical Atlantic Ocean and carve out the comparison with the Pacific for another paper later. It really is a very meaty paper already and there is a lot to digest!

Answer to reviewer #1 (same as answer to reviewer #2): Although removing the ETSP part would shorten the text and keep the focus on the ETNA, we like to keep the comparison of the ETNA OMZ with the ETSP OMZ in the text. The motivation here is similar as for the SFB 754 to compare a hypoxic system, i.e. the ETNA OMZ, with a suboxic system, i.e. the ETSP OMZ. Particularly the observed deoxygenation trend in the hypoxic ETNA OMZ might lead to a shift of the ETNA OMZ to suboxic conditions and hence the comparison of the two systems will lead to a better understanding of differences and similarities of both systems finally to investigate possible consequences of such a possible regime shift in the future. This was not made clear in the earlier text and will be clarified in the revised manuscript.

We included in the introduction: “The Atlantic and Pacific OMZs have many similarities particularly regarding OMZ shape and circulation pattern. The ETNA and the eastern tropical South Pacific (ETSP) OMZs (Figs. 1, 2) are both located in the shadow zones of the ventilated thermocline and are ventilated by lateral and vertical mixing as well as by zonal advection in the equatorial band. However, the striking difference between both OMZs is that the ETNA OMZ is hypoxic (oxygen below ~60 to 120 µmol kg^{-1}) and the ETSP is suboxic (oxygen below about 10 µmol kg^{-1}). Karstensen et al. (2008) concluded that this difference is the result of reduced oxygen levels in the eastward current bands of the Pacific OMZs compared to the Atlantic OMZs, which they argue can be traced back to the larger ratio of the total volume of OMZ layer to the renewal or subduction rate in the Pacific compared to the Atlantic.”

and

“The ETSP OMZ has been studied as well using a reduced observational program. However, the comparison between the hypoxic ETNA and the suboxic ETSP is of particular interest here, as the observed deoxygenation in the ETNA, or future climate change, might lead to a shift from hypoxic to suboxic conditions.”

At beginning of section 8 we included: “A continuation of the observed deoxygenation in the ETNA would turn the ETNA OMZ suboxic within a century, hence it is worth to look at differences and similarities of the ETNA and the ETSP with regard to a possible shift of a hypoxic system to a suboxic system.”

In the summary and discussion we included: “The relative importance of the different terms affecting the oxygen budgets of the ETNA und ETSP OMZs appear to be similar. For both OMZs the eastward advection of oxygen-rich waters from the well-ventilated western boundary was found to be a dominant ventilation process. As the zonal currents are of similar strength in the tropical Pacific and Atlantic, the difference in the basin width of both oceans consequently results in lower oxygen concentrations and larger water mass ages in the eastern tropical Pacific (Fig. 20) compared to the eastern tropical Atlantic (Fig. 6).”

Minor Comments by reviewer #1:

1. Page 12075: Is there any seasonal variation of the shallow OMZ?

We expect that there is a seasonal variation of the shallow OMZ. Unfortunately we don't have a good seasonal coverage of oxygen data in the eastern boundary upwelling region of Mauretania and Senegal and cannot give a clear statement.

2. Page 12077, line 6: I could not wrap my head around this first sentence of this paragraph. Is there a simpler way to write this?

We split the sentence into two: "The 23° W section (Fig. 6) cuts through the ETNA OMZ, which can be identified by low oxygen levels as well as by the high age of the water masses. The gradual change of salinity on density surfaces along this section defines the transition between low- and high-saline water masses of southern and northern origin, respectively."

3. Page 12081: How good is the assumption that meridional advection is negligible? What about the possible significance of cross-equatorial exchanges via thermocline convergence, upwelling and Ekman divergence?

The thermocline convergence, upwelling and Ekman divergence describes the flow within the subtropical cell (STC). The water masses subducted in the eastern subtropics have to follow equatorward and westward pathways without a mean meridional flow into the OMZs, which is described by the ventilated thermocline theory (Luyten et al., 1983b) and observed geostrophic water mass pathways (Zhang et al., 2003). This is described in Sect. 3. So far there is no evidence of a significant mean meridional flow in the core of the open ocean OMZ.

4. Figure 3 caption needs more information about how these oxygen concentration estimates were determined.

We changed caption of Fig. 3: "Minimum oxygen concentration below 200 m (representing the deep oxygen minimum) as obtained from CTD station data taken during the period 2006 to 2013. Oxygen concentration at the deep oxygen minimum below 40 μmol kg^{-1} is marked by purple dots."

5. Figure 17. What causes the big spike at sigma-theta 26.1 in the AOUR values determined for the North Atlantic basin mean (black dots?)

The apparent "spike" that is seen for the density range 26.1 to 26.2 originates from the comparably young reservoir age of this density range (related to enhanced subduction rates). We added a statement to the text (also with a reference to the Figure 9 in

Karstensen et al. 2008 which nicely shows the enhanced subduction within the given density range).

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Reply to reviewer #2

Reviewer #2: This overview is an important contribution to the regional and global communities working on the ETNA and especially towards improving the way models reflect the climate sensitivities of the ETNA OMZ. It reflects a very significant and well coordinated effort by the community represented by the authors. The manuscript succeeds in assembling a description and steady state quantification of the oxygen ventilation and consumption processes but it does so in a manner which is dense and hard to read and make the connections. While there is an attempt to "integrate" the processes in Fig 21 the text has the sense of a number of almost standalone parts of study.

One easy gain to simplify the text would be to remove the section on the ETSP which interrupts the coherence of the ETNA focus. It is also not clear how and where this study adds to what was already known. In this respect a focus in the introduction not just on the gaps in the ETNA that this study set out to investigate but also a brief comparison of the Atlantic and Pacific OMZs to put some context to the relatively well ventilated ETNA.

> Answer to reviewer #2 (same as answer to reviewer #1): Although removing the ETSP part would shorten the text and keep the focus on the ETNA, we like to keep the comparison of the ETNA OMZ with the ETSP OMZ in the text. The motivation here is similar as for the SFB 754 to compare a hypoxic system, i.e. the ETNA OMZ, with

a suboxic system, i.e. the ETSP OMZ. Particularly the observed deoxygenation trend in the hypoxic ETNA OMZ might lead to a shift of the ETNA OMZ to suboxic conditions and hence the comparison of the two systems will lead to a better understanding of differences and similarities of both systems finally to investigate possible consequences of such a possible regime shift in the future. This was not made clear in the earlier text and will be clarified in the revised manuscript.

We included in the introduction: "The Atlantic and Pacific OMZs have many similarities particularly regarding OMZ shape and circulation pattern. The ETNA and the eastern tropical South Pacific (ETSP) OMZs (Figs. 1, 2) are both located in the shadow zones of the ventilated thermocline and are ventilated by lateral and vertical mixing as well as by zonal advection in the equatorial band. However, the striking difference between both OMZs is that the ETNA OMZ is hypoxic (oxygen below ~60 to 120 $\mu mol\ kg^{-1}$) and the ETSP is suboxic (oxygen below about 10 $\mu mol\ kg^{-1}$). Karstensen et al. (2008) concluded that this difference is the result of reduced oxygen levels in the eastward current bands of the Pacific OMZs compared to the Atlantic OMZs, which they argue can be traced back to the larger ratio of the total volume of OMZ layer to the renewal or subduction rate in the Pacific compared to the Atlantic."

and

"The ETSP OMZ has been studied as well using a reduced observational program. However, the comparison between the hypoxic ETNA and the suboxic ETSP is of particular interest here, as the observed deoxygenation in the ETNA, or future climate change, might lead to a shift from hypoxic to suboxic conditions."

At beginning of section 8 we included: "A continuation of the observed deoxygenation in the ETNA would turn the ETNA OMZ suboxic within a century, hence it is worth to look at differences and similarities of the ETNA and the ETSP with regard to a possible shift of a hypoxic system to a suboxic system."

In the summary and discussion we included: "The relative importance of the different terms affecting the oxygen budgets of the ETNA und ETSP OMZs appear to be similar. For both OMZs the eastward advection of oxygen-rich waters from the well-ventilated western boundary was found to be a dominant ventilation process. As the zonal currents are of similar strength in the tropical Pacific and Atlantic, the difference in the basin width of both oceans consequently results in lower oxygen concentrations and larger water mass ages in the eastern tropical Pacific (Fig. 20) compared to the eastern tropical Atlantic (Fig. 6)."

Reviewer #2: Much modelling and observational work has been undertaken on the role of planetary wave systems and dynamics to explain O2 variability and trends in the tropical OMZs but this is not really reflected in this study. Given that these dynamics appear to explain a significant part of the variability in the ETSP and the ETSA it seem that the study should explain why these are under-represented in the ETNA.

Answer to reviewer #2: We are not sure, what is exactly meant with the role of planetary wave systems and dynamics. However, we identified an under-representation of the role of remote forcing via equatorial Kelvin and coastal-trapped waves for the variability in the coastal upwelling regions in the previous version of our manuscript. Thus, we included in section 6.1 a paragraph regarding the role of intraseasonal coastal-trapped waves: "Besides the seasonal cycle, the flow variability off Mauretania and Senegal is influenced by intraseasonal coastal-trapped waves partly originating in the equatorial wave-guide (Polo et al., 2008). However, associated sea level anomalies are substantially weaker in the North Atlantic compared to the same latitude band in the South Atlantic. A strong influence of coastal-trapped waves on the oxygen distribution on the shelf of the ETNA as evidenced for the eastern boundary upwelling systems of the South Pacific and South Atlantic (Gutierrez et al., 2008;Monteiro et al., 2011) could so far not be shown."

And in section 8.2 we included "Eddies are mainly generated by coastal flow instabilities that are influenced by remote equatorial forcing via coastal-trapped waves (Belmadani et al., 2012)."

Reviewer #2: The time series data in Figs 8 and 9 indicate that there are seasonal and intra-seasonal modes which warrant consideration in this context.

Answer to reviewer #2: The time series at 5°N show weak annual and semi-annual variability that might be associated with planetary wave propagation on these timescales. Similarly, intraseasonal variability at 5° N might result from Rossby wave propagation associated with the instability of the NECC. We included in section 4 explicitly planetary waves in the list of processes affecting time series shown in Figs. 8 and 9.

Reviewer #2: Given that one of the major scientific benefits of such a synthesis is a better understanding of the climate sensitivities of the ETNA, it would have been useful to see some discussion on where models may look to improve the way they reflect the climate sensitivity of the OMZ.

Answer to reviewer #2: In the summary and discussion, we suggest directions for model improvements: “The increase in resolution of ocean circulation models improves the tropical circulation and associated oxygen distribution in the Atlantic (Duteil et al., 2014) and the Pacific OMZs (Montes et al., 2014), suggesting that model physics largely contribute to the oxygen bias in coarser-resolution models. However, particularly the intermediate circulation (below 250 m) is still underestimated by these high-resolution simulations in realistic settings.”

“Such a regional pattern is most likely due to changes in the circulation pattern associated with forced ocean dynamics as well as with internal ocean dynamics. […] Improvements of model ventilation physics by increased resolution and/or improved parameterizations will reduce errors in the simulated mean oxygen distribution and its variability, but at the same time will help to better understand the climate sensitivity of OMZs with regard to anthropogenic climate change.”

Reviewer #2: The meridional negative anomaly of the oxygen trend (Fig. 18) between 10 - 30N and 100 - 500m would seem a good basis to examine where the imbalance may be emerging in the proposed budgets Fig. 13 and 14.

Answer to reviewer #2: We are so far not able to conclude from the budget calculation about the trend pattern (Fig. 18). However, we included in Sect. 7: “Changes in the strength and location of the wind-driven gyres are a possible explanation for the long-term oxygen trends observed between 15° and 30° N in Fig. 18.”

Reviewer #2: Finally, the summary is again too long and much of the discussion points are repeating the text. Overall, an effort to clarify the objectives and context of the study as well as removal of non critical parts will help further highlight the strengths of this otherwise comprehensive excellent study.

Answer to reviewer #2: We removed repeating parts of the discussion and also streamlined the text in many places in the main body of the manuscript, which hopefully helps to clarify its main points.

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Abstract

Ocean observations are analysed in the framework of the Collaborative Research Center 754 (SFB 754) "Climate-Biogeochemistry Interactions in the Tropical Ocean" to study 1) the structure of tropical oxygen minimum zones (OMZs), 2) the processes that contribute to the oxygen budget, and 3) long-term changes in the oxygen distribution. The OMZ of the eastern tropical North Atlantic (ETNA), located between the well-ventilated subtropical gyre and the equatorial oxygen maximum, is composed of a deep OMZ at about 400 m depth with its core region centred at about 20° W, 10° N and a shallow OMZ at about 100 m depth with lowest oxygen concentrations in proximity to the coastal upwelling region off Mauritania and Senegal. The oxygen budget of the deep OMZ is given by oxygen consumption mainly balanced by the oxygen supply due to meridional eddy fluxes (about 60 %) and vertical mixing (about 20 %, locally up to 30 %). Advection by zonal jets is crucial for the establishment of the equatorial oxygen maximum. In the latitude range of the deep OMZ, it dominates the oxygen supply in the upper 300 to 400 m and generates the intermediate oxygen maximum between deep and shallow OMZs. Water mass ages from transient tracers indicate substantially older water masses in the core of the deep OMZ (about 120-180 years) compared to regions north and south of it. The deoxygenation of the ETNA OMZ during recent decades suggests a substantial imbalance in the oxygen budget: about 10 % of the

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oxygen consumption during that period was not balanced by ventilation. Long-term oxygen observations show variability on interannual, decadal and multidecadal time scales that can partly be attributed to circulation changes. In comparison to the ETNA OMZ the eastern tropical South Pacific OMZ shows a similar structure including an equatorial oxygen maximum driven by zonal advection, but overall much lower oxygen concentrations approaching zero in extended regions. As the shape of the OMZs is set by ocean circulation, the widespread misrepresentation of the intermediate circulation in ocean circulation models substantially contributes to their oxygen bias, which might have significant impacts on predictions of future oxygen levels.

1 Introduction

The oceanic oxygen distribution is generally characterized by slightly supersaturated oxygen levels in the surface layer, an intermediate oxygen minimum, and higher oxygen levels at depth. This vertical structure is a consequence of the delicate balance between the supply of oxygen through ventilation and circulation, oxygen production by photosynthesis, and oxygen consumption by remineralization of sinking organic matter. The horizontal distribution of oxygen shows major large scale open ocean subsurface oxygen minimum zones (OMZs) in the eastern parts of the tropical Atlantic and Pacific Oceans as well as in the northern Indian Ocean. By analysing a combination of historical and modern observations, an expansion and intensification of OMZs in the tropical oceans has been detected (Stramma et al., 2008b). However, numerical simulations with global or regional models are not able to consistently reproduce such trends and thus up to now fail to provide an explanation of the observed oxygen trends in the tropical ocean (Stramma et al., 2012).

OMZs in the tropical Atlantic were first identified by analysing hydrographic data from the German Meteor Expedition during 1925 to 1927 (Wattenberg, 1938). This dataset revealed the existence of OMZs in both hemispheres of the eastern tropical Atlantic at a depth between 300 and 700 m, situated equatorward of the subtropical gyres and separated by an equatorial oxygen maximum. Based on data, including those from the German Meteor Expedition, and theoretical considerations, Wyrtki (1962) concluded that the boundaries of these OMZs are set by advection with the lowest oxygen levels occurring in almost stagnant water bodies. A plausible theory of thermocline ventilation was delivered by Luyten et al. (1983b). The basis of their theory is of an ocean forced by subtropical Ekman pumping and otherwise obeying circulation pathways that are governed by potential vorticity conservation. This theory

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explains the existence of non-ventilated, near-stagnant shadow zones in the eastern tropics. The remaining slow ventilation of such shadow zones, which under the assumption of steady state is required to balance oxygen consumption, is expected to be the consequence of lateral fluxes of oxygen from oxygen-rich water masses of the subtropics as well as due to diapycnal oxygen fluxes from oxygen-rich layers above and below the thermocline of the OMZs.

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The near-surface layers (upper ~250 m) of the tropical oceans are characterized by the presence of energetic zonal current bands. In the Atlantic below that layer, substantial mean zonal currents are also found particularly in the depth range of the OMZs (Fig. 1). Close to the equator, the strongest intermediate currents are observed with eastward flow at 2° N and 2° S and westward flow in between. The eastward current bands have been found to ventilate the central and eastern equatorial region with oxygen-rich waters from the western boundary (Tsuchiya et al., 1992;Schott et al., 1995;Schott et al., 1998). Together with time-varying equatorial jets they produce an equatorial oxygen maximum at intermediate depths (Brandt et al., 2012). Further poleward alternating zonal jets are present at intermediate depths including the latitude range of the OMZs. Their strengths have been quantified using subsurface drift trajectories from floats (Maximenko et al., 2005;Ollitrault et al., 2006) and repeated shipboard sections (Brandt et al., 2010). Such currents have been reproduced by idealized process modelling (Ménesguen et al., 2009;Ascani et al., 2010;Qiu et al., 2013) but are typically not found (or are unrealistically weak) in ocean circulation models. They contribute to the ventilation of the eastern tropical North Atlantic (ETNA) at intermediate depth, and decadal to multidecadal changes in the strengths of these jets might play a significant role in modulating long-term oxygen changes in the ETNA OMZ (Brandt et al., 2010).

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The Atlantic and Pacific OMZs have many similarities particularly regarding OMZ shape and circulation pattern. The ETNA and eastern tropical South Pacific (ETSP) OMZs (Figs. 1, 2) are both located in the shadow zones of the ventilated thermocline and are ventilated by lateral and vertical mixing as well as by zonal advection in the equatorial band. However, the striking difference between both OMZs is that the ETNA OMZ is hypoxic (oxygen below ~60 to 120 µmol kg^{-1}) and the ETSP is suboxic (oxygen below about 10 µmol kg^{-1}). Karstensen et al. (2008) concluded that this difference is the result of reduced oxygen levels in the eastward current bands of the Pacific OMZs compared to the Atlantic OMZs, which can be traced back to the larger ratio of the total volume of OMZ layer to the renewal or subduction rate in the Pacific compared to the Atlantic.

As part of the Collaborative Research Center 754 (Sonderforschungsbereich, SFB 754) "Climate-Biogeochemistry Interactions in the Tropical Ocean" (first phase 2008-2011 and second phase 2012-2015) physical processes responsible for the ventilation of the ETNA OMZ have been studied using an extended observational program including repeat hydrography by shipboard and glider measurements, an array of subsurface moorings, microstructure measurements and two tracer release experiments. The goals of the research program are to deliver an improved understanding of the ventilation physics of the ETNA OMZ, to come up with a quantitative understanding of the functioning of the OMZs, to monitor regional oxygen variability and trends and to analyse their causes. The ETSP OMZ have been studied as well using a reduced observational program. However, the comparison between the hypoxic ETNA and the suboxic ETSP is of particular interest here, as the observed deoxygenation in the ETNA, or future climate change, might lead to a shift from hypoxic to suboxic conditions. The present paper provides an overview of the current status of the science regarding these topics. The paper is organized as follows: In Sect. 2, data and methods used in this study are described. In Sect. 3, the current system and the OMZ structure in the ETNA are characterized. Results for the quantification of the strength of different ventilation processes, i.e. vertical mixing, lateral mixing, and advection, are presented in Sect. 4. In Sect. 5, the current knowledge on oxygen consumption estimates is presented. The OMZ structure and processes at the continental margin are presented in Sect. 6. Long-term oxygen variability with a special focus on the period of enhanced data coverage is presented in Sect. 7. The results obtained for the ETNA OMZ are then compared to results obtained for the ETSP in Sect. 8 and finally, in Sect. 9, the results are summarized and discussed.

2 Ocean Observations

A major focus of the observational work presented here has been on circulation, ventilation physics, and water mass distribution. In the tropical North Atlantic, observations concentrated on the 23° W section with repeat hydrography, microstructure measurements, velocity measurements (Table 1), and moored observations (Table 2). The 23° W section cuts through the ETNA OMZ from south of the Cape Verde archipelago to slightly south of the equator (Fig. 1). Along the 23° W section, moorings with instrumentation to continuously observe temperature, salinity, oxygen and velocity were deployed at different latitudes (8° N, 5° N, 0°) delivering multi-year time series. Additionally, oxygen sensors were installed at 300 m and 500 m depth at selected moorings (23° W, 4° N and 11.5° N) of the Prediction and Research

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moored Array in the Tropical Atlantic (PIRATA; Bourles et al. (2008)) and at a subsurface mooring at 23° W, 2° N (Fig. 1). For the analysis of hydrographic and velocity data acquired along 23° W, we used the measurements given in Table 1. Besides the 23° W section, we shall present here also data acquired along 18° N at the northern boundary of the ETNA OMZ (Fig. 1, Table 1). Moreover, two tracer release experiments (TREs) were carried out in the ETNA OMZ. During the first TRE, GUTRE (Guinea Upwelling Tracer Release Experiment), in April 2008, 92 kg of the halocarbon tracer trifluoromethyl sulfur pentafluoride (CF_3SF_5) were released at 23° W, 8° N on the potential density surface, σ_θ=26.88 kg m^{-3}. The depth of release, of about 330 m, corresponds to the depth of the oxycline above the deep oxygen minimum. During the following 2.5 years, three tracer surveys were carried out to measure the vertical and horizontal spreading of the tracer (Banyte et al. (2012), Table 1). During the second TRE, OSTRE (Oxygen Supply Tracer Release Experiment), in November 2012, 88.5 kg of the same tracer were released at 21° W, 11° N on the potential density surface σ_θ=27.03 kg m^{-3} corresponding to about 500 m depth which is in the core region of the ETNA OMZ.

In the ETSP OMZ a particular focus was on the ~86° W section (section located at 85°50'W north of 15° S with a westward shift to 88° W south of 20° S, called ~86° W section in the following) with hydrographic and current measurements from 2° N to about 22° S (Fig. 2). Two recent cruises covered that section repeating measurements taken during the RV Knorr cruise in March 1993 (Table 1). Additionally, four cruises were carried out along the continental margin of Peru (Table 1) to investigate the circulation along the continental slope and shelf off Peru as well as the physical processes contributing to the redistribution of oxygen, nutrients and other solutes.

3 Structure of the ETNA OMZ

The subtropical gyre circulation of the northern hemisphere is, to first order, determined by the negative wind stress curl associated with mid-latitude westerlies and northeast trade winds. The resulting Ekman pumping drives subduction of oxygen-rich surface water masses in the subtropics. According to theory, equatorward and westward propagation of subducted water masses forms the northern boundary of the shadow zone of the ventilated thermocline (Luyten et al., 1983b). Within the shadow zone, which is characterized by a weak mean circulation, the ETNA OMZ with a core depth at about 400 m is found. Lowest oxygen concentrations at the core depth are found away from the continental margin at about 20° W, 10° N (Fig. 3). North of the ETNA OMZ is the North Equatorial Current (NEC) flowing

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Gelöscht: The 18° N section was, in addition to two cruises listed in Table 1, covered several times during 2005 to 2010 by research cruises (RV *Poseidon* cruises 320/1, 347/1, 348/1, 399/2, RV *Meteor* cruise 68/3).

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southwestward along the Cape Verde Frontal Zone. It transports oxygen-rich Central Water (CW) formed by subduction in the subtropics as well as intermediate water masses in the deeper layers having their origin mainly in the Labrador Sea and the Mediterranean outflow. To the south, the ETNA OMZ is bounded by the energetic zonal flows near the equator forming the equatorial oxygen maximum (Brandt et al., 2012). Above the main deep OMZ, at a depth of about 100 m, a shallow OMZ is situated, defined as the secondary oxygen minimum below the surface mixed layer and above 200 m (Fig. 4). It is characterized by generally higher oxygen levels compared to the deep OMZ, while occasionally extremely low oxygen levels are possible, and is most pronounced in the northeastern part of the shadow zone close to the highly productive eastern boundary upwelling region off Mauritania and Senegal (Fischer et al., 2013). The mean 18° N section shows shallow mixed layer depths at the continental margin typical for coastal upwelling regions as well as lower salinities in the CW layer that are a consequence of the northward transport of southern hemisphere water along the continental slope within the Poleward Undercurrent (Barton, 1989) and the surface flow associated with the Mauritania Current (Mittelstaedt, 1983) (Fig. 5).

The western boundary of the Atlantic Ocean is associated with relatively high oxygen levels at all latitudes (Fig. 1). At the density of the OMZ layer, the North Brazil Undercurrent (NBUC) / North Brazil Current (NBC) (Schott et al., 2005) transports central and intermediate water masses of southern hemisphere origin northward. The high oxygen concentrations in the CW layer of the NBUC can be traced back along the different branches of the South Equatorial Current (SEC) to the subduction region in the eastern subtropical gyre (Tsuchiya, 1986;Stramma and England, 1999). The CW also includes water from the Indian Ocean that are brought into the Atlantic by eddy shedding from the Agulhas retroflection.

The Antarctic Intermediate Water (AAIW) below the CW originates mainly from the Drake Passage and is transported around the southern hemisphere subtropical gyre to feed into the NBUC (Suga and Talley, 1995). Of importance for the ventilation of the ETNA OMZ is the northward flow of CW and AAIW across the equator. The northward penetration of southern hemisphere water masses at the western boundary changes with depth: AAIW dominates as far as 15° N, the upper CW only as far as 10° N because of the presence of water masses of northern hemisphere origin (Kirchner et al., 2009).

A substantial part of the water masses transported northward within the NBUC forms the upper branch of the Atlantic Meridional Overturning Circulation (AMOC), a circulation known since the German Meteor cruises in the 1920's, as documented by Wüst (1935). The presence of the AMOC under present climate conditions is identified as the main reason for the dominance of southern hemisphere water masses in the tropical North Atlantic discussed above. It contributes to the asymmetric shallow overturning circulations in both hemispheres as well: the subtropical cell (STC) of the northern hemisphere being much weaker than its counterpart in the southern hemisphere (Schott et al., 2004). The STC connects the subduction regions of the eastern subtropical gyres to the equatorial and coastal upwelling regions. In the northern hemisphere, the subducted water masses mostly do not reach the equator. Instead, they contribute to the eastward flow within the North Equatorial Counter Current (NECC)/North Equatorial Undercurrent (NEUC) at about 5° N (Zhang et al., 2003). A particular feature in the ETNA is the presence of an open ocean upwelling regime within the cyclonic circulation of the Guinea Dome south of the Cape Verde archipelago. Associated with the presence of the Guinea Dome are changes in the potential vorticity distribution that further limit the flow of newly subducted water masses from the northern hemisphere subtropics toward the equator within the STC (Malanotte-Rizzoli et al., 2000).

The 23° W section (Fig. 6) cuts through the ETNA OMZ, which can be identified by low oxygen levels as well as by the high age of the water masses. The gradual change of salinity on density surfaces along this section defines the transition between low- and high-saline water masses of southern and northern origin, respectively. Along this section mean eastward and westward flow is typically identified by positive and negative oxygen anomalies relative to the background oxygen distribution (Brandt et al., 2010).

Ventilation time scales of the interior ocean can be quantified by analysing transient tracer distributions. A comprehensive set of CFC-12 and SF_6 measurements in the ETNA (these tracers were measured in parallel to the deliberately released tracer CF_3SF_5) has been explored in detail by Schneider et al. (2012) using the concept of transit time distributions (TTDs) (e.g. Waugh et al. (2004)). The mean age in the centre of the OMZ (σ_θ=27.0 kg m^{-3}) is in the range of 120 to 180 years (Fig. 7). The mean age refers to the average time it takes for a water parcel to reach a certain location in the interior ocean from the time it was last in contact with the surface ocean and hence atmosphere (see Sect. 5 for more discussion on the TTD concept). In contrast to waters in the OMZ centre, water south of about 5° N is significantly better ventilated with mean ages close to 100 years, reflecting the more energetic circulation in the equatorial region. Roughly the same age is found north of about 13° N close to the Cape Verde Islands despite lower oxygen values in the northern compared to the southern region. Below the poorly ventilated OMZ, the even older AAIW (σ_θ=27.3 kg m^{-3}) with

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ventilation times in excess of 500 years is found (close to the detection limit of the CFCs, and thus difficult to accurately quantify), although this water mass has high oxygen concentration. Again, at this density layer the area south of 5° N is significantly better ventilated than north of 5° N (Schneider et al., 2012).

4 Ventilation processes

The oxygen budget of the OMZ takes account of consumption, advection, and diffusion of oxygen. Any imbalance of these terms results in decreasing or increasing oxygen concentration. While consumption is an oxygen sink, advection and diffusion might be sources or sinks depending on the background conditions. Mean advection of oxygen manifests itself in the mean oxygen and velocity distributions: along 23° W, mean eastward current bands are generally associated with elevated oxygen content (Fig. 6) representing an advective ventilation pathway from the western boundary toward the OMZ (Brandt et al., 2010). Horizontal and vertical diffusion act on the mean horizontal and vertical oxygen gradients, respectively. The associated variance production by mesoscale eddy stirring and small-scale turbulence (Ferrari and Polzin, 2005) results in locally elevated oxygen variance. The Eulerian variance along 23° W, as obtained from ship sections, might additionally result from lateral meandering of zonal currents or from vertical movements of isopycnals associated with internal waves and eddies. Moored time series reflect this variability pattern. There is generally higher oxygen variance at 300 m depth close to the oxycline above the deep OMZ core compared to 500 m depth (cf. Figs. 8, 9). Time scales of processes driving the variance in moored time series cover a wide range from those associated with internal waves and tides, inertial oscillations, the mesoscale eddy field to seasonal and interannual variability, including planetary waves (Hahn et al., 2014). Using repeat ship sections, the effect of vertical motion of isopycnals can be removed by calculating oxygen variance on potential density surfaces and projecting back onto depth space (Fig. 10). The remaining oxygen variance in regions of weak mean flow surrounding the ETNA OMZ might be associated with processes responsible for vertical and lateral mixing that is discussed in the following subsections.

4.1 Vertical mixing

Vertical mixing acts on the vertical oxygen gradients and leads to an oxygen supply to the OMZ via down-gradient oxygen fluxes. In order to estimate the vertical or diapycnal oxygen supply, the diapycnal diffusivity K_ρ as a measure for diapycnal mixing is required. From the

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diapycnal spread of the deliberately released tracer during GUTRE, a mean diapycnal diffusivity of $(1.2\pm0.2)\times10^{-5}$ m^2 s^{-1} was derived (Banyte et al., 2012). The tracer was injected on the isopycnal σ_θ=26.88 kg m^{-3} (about 330 m), corresponding to the oxycline above the deep OMZ. GUTRE was accompanied by extensive microstructure and finescale shear measurements that delivered an estimate of $(1.0\pm0.2)\times10^{-5}$ m^2 s^{-1} for K_ρ for the depth range between 150 and 500 m (Fischer et al., 2013). The value inferred from microstructure measurements only considers diapycnal mixing due to small-scale turbulence. However, double diffusive enhancement was found to be small ($\sim0.1\times10^{-5}$ m^2 s^{-1}) in this depth interval (Fischer et al., 2013), so the total diffusivities estimated by the two independent methods agree within the error bars. This estimate of diapycnal mixing is considerably larger than the expected background mixing at this latitude (e.g. Gregg et al. (2003)), probably due to the presence of rough topography (e.g. the Sierra Leone Rise) in the southern part of the OMZ. Combining K_ρ with simultaneous profiles of the vertical oxygen gradient allows determination of the profile of the diapycnal oxygen flux. Its divergence represents the oxygen supply to the OMZ and amounted to about 1 µmol kg^{-1} yr^{-1} in the OMZ core, with the required oxygen transported downwards from the upper CW (Fischer et al., 2013).

Deeper ranging microstructure profiles acquired during the most recent cruises to the ETNA OMZ (Table 1) allowed us to extend the analysis into the deeper water column down to 800 m depth; i.e. allowed us to estimate the diapycnal oxygen flux from the AAIW below as well. In total 200 microstructure profiles, 40 of them down to 800 m, were about equally partitioned to three subregions of the OMZ: a seamount subregion (7 % of OMZ area), an abyssal plain subregion (80 % of OMZ area), and a transition subregion (13 % of OMZ area). They served to estimate subregional mean profiles of the turbulent part of diapycnal diffusivity (Fig. 11). Double diffusive enhancement of K_ρ from simultaneous CTD profiles for each subregion following St Laurent and Schmitt (1999) was accounted for to obtain subregional total K_ρ profiles (Fig. 11) and an area-weighted mean total K_ρ profile (Fig. 12). The mean diapycnal supply (Fig. 13) that, in the following, will be used in the oxygen budget was then derived as the divergence of the low-pass filtered mean diapycnal flux. The mean flux profile was calculated as the area-weighted mean of the three flux profiles from the three subregions, which in turn were obtained by combining mean K_ρ with vertical oxygen gradient profiles from the three subregions. Error estimates are reported as 95% confidence limits and are based on standard errors of the mean of individual K_ρ and oxygen gradient profiles for each subregion. Subsequent error estimates for the mean total K_ρ profile (Fig. 12), flux profiles,

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Gelöscht: They served to estimate the relative shape of the K_ρ profiles between 500 and 800 m for each subregion, in relation to their 150-500 m average K_ρ. The absolute scaling of K_ρ at 500-800 m for each subregion was then achieved by multiplying with the mean K_ρ between 150 and 500 m from all available microstructure profiles.

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and the mean supply profile (Fig. 13) were obtained from Gaussian error propagation (Ferrari and Polzin, 2005;Schafstall et al., 2010).

4.2 Lateral Mixing

Lateral mixing is induced to first order by oceanic mesoscale activity, which dominantly acts along isopycnal surfaces. It effectively mixes oxygen in regions with strong isopycnal oxygen gradients, thus substantially contributing to the ventilation of an OMZ across its lateral boundaries (Luyten et al., 1983a;McCreary et al., 2013;Gnanadesikan et al., 2013;Hahn et al., 2014). For the ETNA OMZ the eddy-driven meridional oxygen flux could be quantified along 23° W both by a diffusive flux parameterization and by eddy correlation (Hahn et al., 2014).

The diffusive flux parameterization as the first method rests on the idea that the eddy-driven along-isopycnal oxygen flux can be expressed as a diffusive flux $F^d = K_e \nabla O_2$ which is down the mean oxygen gradient ∇O_2 with a horizontal eddy diffusivity K_e. Two independent estimates of the meridional component of K_e for the ETNA regime were derived. On the one hand, Banyte et al. (2013) analysed the lateral spreading of the tracer released at 330 m during GUTRE. On the other hand, Hahn et al. (2014) used hydrographic and velocity observations in the upper 1000 m from research vessels and moorings along 23° W during the last 15 years. Fundamentally, Hahn et al. (2014) based their analysis on the mixing length theory (as applied in Ferrari and Polzin (2005)) as well as on the theory of two-dimensional mesoscale turbulence on a β-plane (Eden, 2007).

By comparing observed and simulated tracer distributions, Banyte et al. (2013) estimated a meridional eddy diffusivity of 500 m^2 s^{-1} at about 300 m with an uncertainty of 200 m^2 s^{-1} (Fig. 14). Hahn et al. (2014) estimated a meridional eddy diffusivity profile for the upper 1000 m with the range of uncertainty assumed as large as a factor 2 following Ferrari and Polzin (2005). The profile shows maximum eddy diffusivity close to the surface and decreasing values with depth (Fig. 14). At 300 m, it yields 580 m^2 s^{-1} which is in good agreement with the estimate from Banyte et al. (2013). Together with the mean oxygen distribution, the obtained meridional eddy diffusivity was applied to derive the eddy-driven meridional oxygen flux along 23° W.

As a second method the eddy correlation was used to directly calculate the eddy-driven meridional oxygen flux along isopycnal surfaces using mooring time series of oxygen and meridional velocity acquired at 5° N, 23° W and 8° N, 23° W in the years 2009-2012 and

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2011-2012, respectively (see Hahn et al. (2014) for details). Although both estimation methods are accompanied by large uncertainties, a comparison of the results at the mooring sites reveals coherent profiles of the meridional oxygen flux (100-800 m). At the depth of the OMZ they yield a northward oxygen flux towards the centre of the OMZ.

The oxygen that is meridionally supplied to the ETNA OMZ regime by lateral mixing can then be derived as the divergence of the eddy-driven meridional oxygen flux. The average profile of this eddy-driven meridional oxygen supply (6°-14° N, 23° W) obtained using the diffusive flux parameterization shows a substantial gain of oxygen at the depth of the OMZ and a loss of oxygen above (Fig. 14). The corresponding error was derived both from the error of the curvature of the meridional oxygen distribution (95% confidence) and the error of the eddy diffusivity (factor 2 assumed following (Ferrari and Polzin, 2005)).

The tropical and subtropical oceans are generally assumed to be associated with an anisotropic horizontal eddy diffusivity (Banyte et al., 2013;Eden, 2007;Eden and Greatbatch, 2009;Kamenkovich et al., 2009) with larger horizontal eddy diffusivities in the zonal than in the meridional direction. Nevertheless, at the depth of the OMZ core, we consider the zonal eddy flux divergence small compared to the meridional eddy flux divergence, since the 2nd derivative of oxygen is an order of magnitude smaller in the zonal than the meridional direction.

4.3 Advection

We now turn to the remaining ventilation term in the budget; that is, the term associated with zonal advection (meridional advection is assumed to be negligible). We are only able to quantify this term as a residual. A rigorous determination of the advection term would require mean sections around a closed box to fulfil mass balance within the box. This cannot be achieved with the present observing system. However, our measurements along 23° W confirm that the advection term is a major player in the ventilation of the OMZ, especially above 400 m depth.

The key factor for carrying the relatively oxygen-rich waters eastwards from the western boundary is the presence of a series of latitudinally stacked zonal jets that are now known to be an ubiquitous feature of the tropical oceans (e.g. Maximenko et al. (2005), Qiu et al. (2013)). Near the equator in the Atlantic, these jets are confined below the Equatorial Undercurrent (EUC), but away from the equator they extend to the surface, and at all latitudes they tend to have a strong depth-independent (barotropic) structure (Fig. 6). Brandt et al. (2010) suggested that a reduction in the strength of these jets north of the equator was a factor

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in the recent reduction in oxygen within the OMZ. The influence these jets have on the meridional oxygen distribution can clearly be seen in Figure 13 of Hahn et al. (2014) showing the eddy-driven meridional oxygen supply. The red and blue alternating bands above 400 m depth in that figure indicate a latitudinal redistribution of oxygen by mesoscale eddies, i.e. an oxygen gain for westward jets and an oxygen loss for eastward jets. It is clear from this figure that the zonal jets must play an important role in ventilating the upper 400 m of the water column in the latitude band (north of 6° N) of the OMZ. Looking at the equatorial region, the oxygen source (shown in blue) associated with the eastward jets, centred near 2° N and 2° S below 350 m depth, can also be seen in Figure 13 of Hahn et al. (2014). These so-called "flanking jets" are clearly an important ventilation pathway for maintaining the oxygen maximum at the equator, as discussed further below.

The ventilation of the equatorial region, where there is a local oxygen maximum, has been studied by Brandt et al. (2012) using an advection-diffusion model. The role of the equatorial deep jets (EDJ; see Brandt et al. (2008) and Brandt et al. (2011)) was also discussed in that paper. As can be seen from Fig. 6, the "flanking jets" are much stronger than the off-equatorial zonal jets noted earlier. Ascani et al. (2010) have suggested that these jets are maintained by Yanai waves, generated at the surface (possibly by instability of the energetic near-surface flow field forming tropical instability waves (von Schuckmann et al., 2008;Jochum et al., 2004)), which break at depth. The jets show considerable variability (see Fig. 15) on monthly time scales but are almost always unidirectional (especially in the northern hemisphere); their longitudinal dependence along the equator is currently uncertain. The EDJ are also thought to be generated by downward propagating Yanai waves, in this case by barotropic instability of these waves as discussed by Hua et al. (2008) (see also d'Orgeville et al. (2007) and Ménesguen et al. (2009)). The EDJ show downward phase propagation but upward energy propagation, consistent with the above theory, and lead to variability with a roughly 4.5-year period throughout the water column within 2 degrees latitude on either side of the equator and above about 3000 m depth (Brandt et al., 2011). As shown by Brandt et al. (2012), there is evidence of a corresponding 4.5-year variability in oxygen levels in the same region (Fig. 8, equator) with variability at 300 m depth at 23° W on the equator having a range comparable to the range of the mean oxygen level along the equator across the whole Atlantic.

5 Consumption

Oxygen consumption is a key mechanism for the formation of OMZs (Sverdrup, 1938;Wyrtki, 1962) and, although being a prominent part of the local oxygen budget of the OMZs, it is among the poorest constrained ones. We will consider here only the net consumption that is the combined effect of removal and production of oxygen. Removal of oxygen is related to the metabolism of marine life as well as to elementary chemical reactions, whereas production of oxygen is related to photosynthesis and as such confined to the euphotic zone (e.g. Martz et al. (2008)). We will focus in this section on pelagic oxygen consumption; removal of oxygen from the water column by uptake at the sediment-water interface will be discussed in Sect. 6.

Direct observations of oxygen in-situ respiration are rare, primarily due to technical difficulties (e.g. Holtappels et al. (2014)). The most commonly applied approach to quantify time and space integrated oxygen removal and production processes is through an *apparent oxygen utilization rate* (AOUR; e.g. Riley (1951);Jenkins (1982, 1998);Karstensen et al. (2008);Martz et al. (2008);Stanley et al. (2012)). The AOUR is calculated as the ratio between the apparent oxygen utilization (AOU) and age (τ). Hereby AOU is determined as the difference between the air saturation value of dissolved oxygen (e.g. Weiss (1970)) at a given temperature and salinity (surface water saturation is commonly assumed to be 100 %) and the observed in-situ oxygen concentration. The *aging* of the water starts when a water parcel leaves the surface mixed layer (τ=0) and enters the ocean interior. As the aging is closely linked to the ventilation process the age is also called *ventilation age*. In many cases the ventilation age is calculated from transient tracers (e.g. CFC-11, CFC-12, CCl_4, SF_6). Under the assumption of a given surface saturation (typically 100 %), the observed in-situ tracer concentration is converted back to an equivalent atmospheric mixing ratio via its solubility function. The comparison with the respective tracer atmospheric time history finally yields the *tracer age*. For radioactive tracers (e.g. $^{3}He/^{3}H$, ^{39}Ar) a slightly different approach is used but still requires the knowledge of surface concentrations or surface input functions (e.g. Roether et al. (2013);Lu et al. (2014)). AOUR calculated using the tracer age follows an exponential decay with depth, at least for oceanic regions dominated by advection (Riley, 1951;Jenkins, 1982, 1998;Karstensen et al., 2008;Martz et al., 2008;Stanley et al., 2012). Such a structure supports the view that consumption is primarily a function of downward sinking particles (Martin et al., 1987).

The basic concept behind a ratio of along pathway oxygen removal and along pathway age increase assumes that the two quantities are consistently altered by ocean transport processes, e.g. it is assumed that as age increases so does AOU (Thiele and Sarmiento, 1990). While this seems to be a reasonable assumption for the ventilated gyre it is questionable for the shadow zone where the OMZs are located. Here diapycnal mixing (Fischer et al., 2013) and complex advection/lateral mixing patterns (Brandt et al., 2010;Hahn et al., 2014) have a strong influence on the local oxygen transport and water parcels from multiple source regions with different ventilation ages and along-path integrated oxygen consumption meet and mix.

Water mass composition and water ages can also be considered in a TTD approach (Haine and Hall, 2002), but limitations exist for non-steady state tracers (such as transient tracers). The TTD concept acknowledges the shortcomings in age calculations, which assign a single tracer age to a water parcel, and provides a framework to more realistically characterize the ventilation age (e.g. Waugh et al. (2004)) by providing a mean age of the TTD. In a study using transient tracer data set (up to 2009), Schneider et al. (2012) showed for the ETNA that the TTD obeys an inverse Gaussian function with the two moments Γ and Δ being equal (Δ/Γ=1), where Γ is the mean age and Δ defines the width of the TTD. In the limit of Δ/Γ=0, the mean age of the TTD equals the single tracer age.

Here an extended set of CFC-12, SF_6 and oxygen data collected in the ETNA OMZ is used to apply the TTD approach for exploring the oxygen consumption rate. Using CFC-12 and SF_6 data (SF_6 preferentially used if available and CFC-12 if CFC-12>450 ppt, i.e. corresponding to atmospheric mixing ratios at about the end of the near-linear atmospheric increase) the AOUR is calculated using two different Δ/Γ ratios (Fig. 16). Note that the AOUR for Δ/Γ=0 is larger than values reported previously (Fig. 16) that were obtained by using a single tracer age concept applied to data collected in the ventilated gyre (e.g. Karstensen et al. (2008)).

The two estimates for Δ/Γ=0 and Δ/Γ=1 represent an upper and lower limit of the AOUR within the ETNA OMZ, respectively. A shortcoming of the TTD concept in this region is its one-dimensionality (single water mass), i.e. it only considers the along-isopycnal mixing of parcels of a single source water mass, which might have encountered different advection and diffusion pathways and thus differ in age and AOU. The influence of diapycnal mixing (Fischer et al., 2013) and the mixing of two or more source waters (e.g. North and South Atlantic Central Water) (Kirchner et al., 2009;Brandt et al., 2010) is not considered by TTD concept, which probably leads to a bias of the resulting AOUR. In fact our AOUR values for Δ/Γ=1 are lower than those calculated by Stanley et al. (2012) for the ventilated gyre region of the western North Atlantic close to Bermuda, where they used the TTD approach with Δ/Γ=1 on tritium (^{3}H) and ^{3}He measurements. They derived AOUR values close to 5 µmol kg$^-$

^{1} yr^{-1} for the potential density level of 27.0 kg m^{-3} that were similar to AOUR values obtained by Karstensen et al. (2008) using CFC-11 ages. For the same density level that is close to the OMZ core depth at roughly 400 m, we derived AOUR values of only about 1.5 µmol kg^{-1} yr^{-1} using the TTD approach with Δ/Γ=1. The main differences are that the waters off Bermuda are much better represented by a single water mass and that they are significantly younger with a TTD derived mean age of a few tens of years. Waters in the ETNA OMZ instead are a mixture of water masses from multiple sources, some of which might be rather old resulting in a mean TTD age of 120-180 years (Fig. 7).

Another approach to estimate the large scale AOUR is based on the *reservoir age* (Bolin and Rodhe, 1973), which is derived as the ratio of the total volume of the reservoir for an isopycnal range and the corresponding ventilating flux (that is the subduction rate). The AOUR based on the reservoir age is then given by the ratio of the mean AOU of the isopycnal volume and the corresponding reservoir age. For the ETNA OMZ, the AOUR obtained using obtained reservoir ages of Karstensen et al. (2008) is for some density classes rather similar to the TTD approach with Δ/Γ=0, while for the well-ventilated isopycnal volumes (26.1 to 26.2 kg m^{-3}; see also Fig. 9 in Karstensen et al. (2008)) it is closer to the AOUR from the tracer age approach (Fig. 17).

6 Processes at the continental margin

Processes contributing to the ventilation of the OMZ at the continental margin are advective oxygen transport within the eastern boundary current system, upper-ocean diapycnal oxygen supply due to increased turbulent mixing on the continental slope and shelf, and eddy-driven isopycnal oxygen transport. In comparison to the open ocean OMZs, the consumption of oxygen at the continental margin is generally enhanced due to high pelagic primary production, which in turn results in an increased respiration associated with sinking particles in the water column and at the sediment-water interface. These processes are largely responsible for the regional oxygen distribution particularly defining the shape of the shallow OMZ. Along the eastern boundary, oxygen concentrations within the shallow OMZ decrease towards the north reaching a minimum at about 20° N (Fig. 4). For the deep OMZ, minimum oxygen levels at the continental margin are found south of 16° N (Machin and Pelegri, 2009).

6.1 Upwelling and circulation

The continental margin off Mauritania and Senegal is part of the Canary eastern boundary upwelling system that extends from the northern tip of the Iberia peninsula at 43° N to south of Dakar at about 10° N (e.g. Mittelstaedt (1991)). Due to changes in wind forcing associated

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with the migration of the Intertropical Convergence Zone, coastal upwelling off Mauritania and Senegal exhibits a pronounced seasonality. Here winds favorable to upwelling prevail primarily from December to April. The seasonality in upwelling and associated primary production must be reflected in oxygen consumption and thus in water-column oxygen concentrations at the continental margin.

The ventilation of the waters above the continental margin occurs primarily through the Mauritania Current in the surface layer and the Poleward Undercurrent below. Both currents transport relatively oxygen-rich South Atlantic Central Water, which is supplied by the eastward flowing NECC and NEUC (Figs. 1, 4), northward into the upwelling region. Often, these two currents are not distinct from each other (e.g. Peña-Izquierdo et al. (2012)). Usually, the Poleward Undercurrent is found attached to the continental slope between 50 and 300 m depth, but it may extend as deep as 1000 m (Mittelstaedt, 1983;Barton, 1989;Hagen, 2001;Peña-Izquierdo et al., 2012). Average along-shore velocities from 18° N (Fig. 5) show dominantly poleward flow in the upper 300 m at the continental slope of Mauritania exceeding 0.05 m s^{-1}. However, the effect of the eddy field and other variability on the mean flow is clearly not averaged out due to the small number of available ship sections.

Previous studies showed that the Mauritania Current exhibits a seasonal behavior (Mittelstaedt, 1991), which was found to be associated with the seasonality of the NECC, suggesting that the ventilation of the water masses above the continental margin also varies seasonally. In boreal winter and early boreal spring, when the NECC is weak, the Mauritania Current only reaches latitudes of about 14° N, while in boreal summer and early boreal autumn, due to the strengthening of the NECC and the relaxation of the northeast trade winds, the Mauritania Current reaches latitudes of about 20° N (Mittelstaedt, 1991;Stramma et al., 2008a). Besides the seasonal cycle, the flow variability off Mauretania and Senegal is influenced by intraseasonal coastal-trapped waves partly originating in the equatorial wave-guide (Polo et al., 2008). However associated sea level anomalies are substantially weaker compared to the same latitude band in the South Atlantic. A strong influence of coastal-trapped waves on the oxygen distribution on the shelf of the ETNA as evidenced for the eastern boundary upwelling system of the South Pacific and South Atlantic (Gutierrez et al., 2008;Monteiro et al., 2011) could so far not be shown.

Several studies have indicated that most of the water carried northward at the continental margin of Mauritania recirculates in the region off Cape Blanc at about 21° N within a cyclonic gyre (Mittelstaedt, 1983;Peña-Izquierdo et al., 2012). This circulation pattern is in

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agreement with the regional distribution of oxygen levels within the shallow oxygen minimum that exhibits lowest oxygen concentrations at the continental margin and offshore just south of Cape Blanc (Peña-Izquierdo et al., 2012).

6.2 Benthic oxygen uptake

Oxygen uptake within the benthic region (i.e., the sediment and the immediately overlying water) is largely controlled by sediment oxygen consumption and can be a significant sink for oxygen from the water column above. In contrast to the difficulties of direct measurement of pelagic oxygen consumption, local measurements of sediment oxygen uptake are relatively straightforward to perform with a variety of techniques. Recent developments in measurement techniques include the use of benthic chambers, eddy-correlation techniques, multi-sensor microprofilers and benthic observatories (e.g. Glud (2008)). Total benthic oxygen uptake (TOU), which includes all processes consuming oxygen within the benthic region, is commonly measured by enclosure techniques such as benthic chambers. With these systems, the initial oxygen decrease of an overlying well-mixed water phase is approximately linear. TOU is then calculated based on the rate of oxygen decrease, accounting for the enclosed area and water volume. TOU rates have recently been measured in the upper 1000 m on the continental slope and shelf off Mauritania using benthic chambers attached to landers (Dale et al., 2014). The reported TOU rates that are quantified in terms of oxygen fluxes into the sediments were as high as 10 mmol m^{-2} d^{-1} in depths between 50 and 100 m and decreased quasi-exponentially to about 3 mmol m^{-2} d^{-1} in a depth of 1000 m. To compare TOU rates to pelagic oxygen consumption, we have to apply the TOU to a water volume with a given in-situ density: the consumption within a 1 m thick layer above the bottom due to TOU is three orders of magnitudes larger when compared to pelagic oxygen consumption occurring at similar depths. This is due to the volume-specific production and degradation of organic material in surface sediments, which supports high densities of microbes and metazoans (Glud, 2008). In shelf areas, it is estimated that 10 to 50 % of the pelagic primary production reaches the sediment (Canfield, 1993;Wollast, 1998) and benthic remineralization plays a key role in this region for the recycling of nutrients and burial of carbon.

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Although the benthic oxygen consumption due to TOU at the shelf strongly exceeds pelagic oxygen consumption, benthic processes play a minor role for oxygen depletion within larger volumes as that of the deep OMZ. To illustrate this, we assume that oxygen depleted water masses are laterally exchanged between the shelf and the open ocean. Between 300 and 600 m depth the continental margin has a typical average topographic slope of about 4 %

corresponding to 25 m shelf width per 1 m depth change. Assuming a TOU of 5 mmol m^{-2} d^{-1} results in an oxygen depletion by the sediments of 125 mmol d^{-1} per 1 m depth range and 1 m along-shelf distance. Using the range of pelagic oxygen consumption determined in Sect. 5 (1 to 5 µmol kg^{-1} yr^{-1}) and corresponding in-situ density, the equivalent water volume resulting in an oxygen depletion of 125 mmol d^{-1} would be 44×10^3 m^3 to 9×10^3 m^3, corresponding to a distance from the shelf, where both processes have comparable influence, of 44 km to 9 km. In other words, pelagic oxygen consumption within the deep OMZ, typically extending about 1000 km offshore, is 1 to 2 orders of magnitude larger than benthic oxygen consumption due to oxygen fluxes into the continental slope sediments. Reduced topographic slopes at shallower depths suggest a more important role of benthic oxygen uptake for the shallow OMZ, which is characterized by minimum oxygen concentration close to the continental margin and is not as widespread as its deeper counterpart (cf. Figs. 3, 4).

6.3 Diapycnal oxygen fluxes at the continental margin

Diapycnal mixing on continental slopes and shelf is often found to be elevated due to tides interacting with topographic boundaries that accelerate an energy cascade from large scale open ocean tides to small-scale turbulence (e.g. Sandstrom and Oakey (1995)). As shown by Schafstall et al. (2010), diapycnal mixing along the upper continental slope and lower shelf region off Mauritania is strongly elevated due to the presence of nonlinear internal waves that are boosted by the interaction of the barotropic tide with critically sloping topography (e.g. Holloway (1985)). Diapycnal nutrient fluxes calculated for the upwelling region are amongst the highest reported to date (Schafstall et al., 2010).

To assess the role of diapycnal mixing for ventilating the upper layer of the ocean above the continental slope, the diapycnal oxygen flux was calculated from 112 microstructure profiles collected the continental slope between 500 m and 100 m water depth at 18° N along with CTD-O_2 profiles from two boreal winter cruises on the shelf of Mauritania (for details of the data set used see Schafstall et al. (2010)). Elevated mixing was found in a region with water depths shallower than 500 m (Schafstall et al., 2010). Within this region, the diapycnal flux of oxygen from the mixed layer into the stratified ocean is 73 mmol m^{-2} d^{-1}, with an upper and lower 95% confidence limit determined from Gaussian error propagation (Ferrari and Polzin, 2005;Schafstall et al., 2010) being 105 mmol m^{-2} d^{-1} and 44 mmol m^{-2} d^{-1}, respectively. The diapycnal oxygen flux thus exceeds the benthic oxygen uptake by about a factor of 7. The diapycnal flux profile exponentially decays with depth and the downward oxygen flux is reduced to less than 10 mmol m^{-2} d^{-1} at a depth of 60 m below the mixed layer, which has an

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average thickness of about 20 m. Diapycnal mixing is thus able to fully supply the oxygen that is required by the benthic oxygen uptake for water depths shallower than about 80 m. At about 150 m depth, however, the diapycnal flux changes sign due to the presence of the shallow OMZ and oxygen here is essentially fluxed upward, although at small rates. Thus, oxygen from the sea surface cannot contribute to ventilating the deeper water column via diapycnal mixing.

It should be noted that the diapycnal oxygen flux divergence from the mixed layer to 60 m below the mixed layer yields a diapycnal oxygen supply of about 400 µmol kg^{-1} yr^{-1}. In steady state other oxygen transport processes and consumption are required to balance this substantial oxygen supply. While vertical advection during the upwelling season might contribute to the balance, the oxygen supply due to other transport processes should be at least an order of magnitude lower in this region. The diapycnal oxygen supply to the upper thermocline can thus be used to define an upper limit of the oxygen consumption below the mixed layer. Such a consumption rate is, however, two orders of magnitude larger than the one estimated for the deep ocean as discussed above.

The results suggest that the high oxygen demand of the water column and the sediments within the upwelling region at shallow depths above the shallow OMZ may well be supplied from the surface via diapycnal mixing. At larger depths however, the continental slope must be ventilated via advective processes or isopycnal mixing. Nevertheless, although benthic oxygen uptake is an important local process decreasing oxygen levels in the bottom waters along the continental slope, it is negligible for the overall oxygen balance of the deep open ocean OMZ.

7 Long-term variability in ETNA OMZ

OMZs of the tropical oceans expanded and intensified during the last 50 years. Decreasing oxygen trends were found for the 300-700 m layers of selected regions with the strongest decrease in the ETNA of -0.34±0.13 µmol kg^{-1} yr^{-1} for the region 10-14° N, 20-30° W (Stramma et al., 2008b). The global analysis of observed changes in the oxygen content between 1960-1974 and 1990-2008 indicates a widespread and significant deoxygenation at about 200 m depth in the tropical oceans (Stramma et al., 2010b). In the ETNA, this depth level corresponds to the intermediate oxygen maximum between the deep and shallow OMZs that is mainly ventilated by advection via zonal jets. A similar regional pattern of

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deoxygenation as for the 200 m level was found when vertically averaging oxygen changes over 200-700 m, albeit with a smaller amplitude (Stramma et al., 2010b).

One of the main questions regarding the observed oxygen trend is its possible relation to anthropogenic forcing that was suggested in a number of recent studies (Bopp et al., 2002;Keeling and Garcia, 2002;Plattner et al., 2002;Matear and Hirst, 2003;Oschlies et al., 2008;Schmittner et al., 2008;Frölicher et al., 2009;Keeling et al., 2010;Helm et al., 2011). Different mechanisms were suggested. Global warming results in decreasing oxygen solubility in surface waters, and due to the increasing upper ocean stratification, it might impact ocean circulation, subduction, and vertical mixing. Increased CO_2 levels and ocean acidification might impact biogeochemistry and oxygen consumption as well. However, up to now, current coupled climate-biogeochemistry models fail to reproduce the observed regional patterns of the oxygen trend, thus prohibiting a solid conclusion to be drawn about driving mechanisms of the observed on-going deoxygenation (Stramma et al., 2012).

Similarly it remains an open question, how much of the observed oxygen changes are related to internal variability of the ocean and the climate system and what the dominant mechanisms are. The analysis of dissolved oxygen concentrations at 300 m depth in the tropical and South Atlantic Ocean south of 20° N obtained from stations collected during the 1925-to-1927 Meteor Expedition and the period 1990-2008 showed different and sometimes reversed trends compared to the mean oxygen trends found for the last 50 years, which indicates that the trend is not continuous but multidecadal variations are superimposed (Stramma et al., 2012). The oxygen trend along 23° W for the period 1972 to 2013 indicates a widespread oxygen decline with the strongest oxygen reduction above the core of the deep OMZ and north of the Cape Verde archipelago (Fig. 18). However, oxygen anomalies within two boxes covering the region of relatively high oxygen above the deep oxycline (150-300 m) and the core region of the deep OMZ (350-700 m) (Fig. 19) show varying trends over the extended period (1900-2013) and the more recent period of enhanced measurements from 2006 to 2013. Note that the trend over the extended period is dominated by data taken during the 1970's, 1980's and the period 2006-2013. For the intermediate oxygen maximum (150-300 m) there is only a weak oxygen decline during the period 1900-2013 of -0.8 ± 0.5 µmol kg^{-1} $decade^{-1}$, while during the period 2006-2013 a much stronger decline of -14.3 ± 6.9 µmol kg^{-1} $decade^{-1}$ was observed. For the deep oxygen minimum (350-700 m) the long-term trend for the period 1900-2013 is -1.8 ± 0.3 µmol kg^{-1} $decade^{-1}$, while during the period 2006-2013 oxygen increased by 2.7 ± 1.9 µmol kg^{-1} $decade^{-1}$. These variations in the obtained trends that are related to different time scales and depth ranges may help to understand underlying mechanism of long-term oxygen changes.

Different mechanisms might contribute to decadal to multidecadal oxygen variability: 1) Decadal to multidecadal AMOC changes would result in changes of the water mass distribution in the tropical North Atlantic as identified for example in simulations with ocean-atmosphere general circulation models (Chang et al., 2008). Shifts of the boundary between northern and southern hemisphere water masses would likely affect oxygen distribution as well. 2) The transport of Indian Ocean CW toward the Atlantic via the Agulhas leakage might have increased during the last decades due to a poleward shift of the southern hemisphere westerlies. Such a change was observable in the NBUC as an increase in CW salinity (Biastoch et al., 2009) and might be associated with changes in the oxygen distribution as well. 3) Changes in the strength of latitudinally stacked zonal jets as derived by Brandt et al. (2010) result in changes in the advective pathways to the ETNA OMZ with likely strongest impact in the upper 300-400 m of the water column (Hahn et al., 2014). 4) Changes in the strength and location of the wind-driven gyres are a possible explanation for the long-term oxygen trends observed between 15° and 30° N in Fig. 18. 5) The variability of ventilation efficiency, either through dynamics (subduction) or saturation (warming) is able to produce oxygen anomalies that propagate into the ocean's interior (Karstensen et al., 2008).

In the North Atlantic, indications exist of a North Atlantic Oscillation (NAO) influence on multidecadal oxygen variations (Stendardo and Gruber, 2012). A similar influence of the NAO (e.g. due to associated changes in the northeast trade winds) on the water masses of the ETNA OMZ has not yet been shown. However, multidecadal changes in the strength of Atlantic STCs were detected in assimilation model runs. These changes include a minimum STC-layer (about 50-300 m) convergence in the early 1970's and a maximum in the early 1990's (Rabe et al., 2008), which would affect the supply of newly subducted oxygen-rich water masses from the subtropics to the tropics.

8 Similarities and differences between ETNA and ETSP OMZs

Similar to the hypoxic ETNA OMZ, the suboxic ETSP OMZ is located in the shadow zone equatorward of the subtropical gyre with lowest oxygen levels near the shelf-break. The most prominent difference between both OMZs is that the ETSP OMZ covers a much wider region and that oxygen values in its core region are close to zero (Karstensen et al., 2008) while the typical large scale oxygen minimum in the ETNA only recently reached values slightly below

40 µmol kg^{-1} (Stramma et al., 2009). A continuation of the observed deoxygenation in the ETNA would turn the ETNA OMZ suboxic within a century, hence it is worth to look at differences and similarities of the ETNA and the ETSP with regard to a possible shift of a hypoxic system to a suboxic system.

8.1 The large scale distribution

Different to the ETNA with its Guinea Dome and the eastern tropical South Atlantic and eastern tropical North Pacific with similar domes there is no dome in the ETSP (Kessler, 2006). Similar to the equatorial Atlantic, the equatorial Pacific is characterized by a local oxygen maximum and a system of eastward and westward currents (Figs. 2, 20). Near the equator, the EUC, the NICC and SICC all carry water richer in oxygen than the adjacent westward flows (Stramma et al., 2010a). In the eastern Pacific, the Northern and Southern Subsurface Countercurrents (NSCC and SSCC) are already low in oxygen and, different from the corresponding current bands in the Atlantic, do not provide oxygen-rich water to the OMZ. Near the Peruvian shelf, poleward and equatorward currents exist which supply equatorial and subtropical water to the eastern near shelf regions (Fig. 2). The Chile-Peru Coastal Current (CPCC) and the Peru-Chile Current (PCC) flow equatorward in the near-surface layer close to the coast and farther than ~150 km from the coast, respectively, while the Peru-Chile Undercurrent (PCUC) flows poleward in subsurface layers along the outer continental shelf and inner slope (Chaigneau et al., 2013). Based on a hydrographic survey off Peru in January and February 2009 and in combination with float data and model results, Czeschel et al. (2011) prepared a schematic on the intermediate circulation of the ETSP and its link to the OMZ. The centre of the OMZ is a stagnant flow area and the mean currents at 400 m depth in the open ocean ETSP are weak. Along the ~86° W section lowest oxygen is observed between 6° S and 10° S centred at about 400 m depth and on the isopycnal σ_θ=26.8 kg m^{-3}. Along this isopycnal the mean age is increased in the region of the low oxygen core with maximum mean age of about 300 yr at about 11° S, slightly poleward of the lowest oxygen concentration, and reduced near the equator with a mean age of about 200 yr (Fig. 20).

8.2 Mesoscale processes

Mesoscale variability dominantly occurs as propagating Rossby waves and as nonlinear vortices or eddies. In particular, nonlinear vortices can trap and transport momentum, heat, mass and the chemical constituents of seawater, and therefore contribute to the large scale water mass distribution (Chelton et al., 2007). Eddies are mainly generated by coastal flow

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instabilities that are influenced by remote equatorial forcing via coastal-trapped waves (Belmadani et al., 2012). They move from the coastal upwelling regions westward and hence transport shelf waters offshore. These eddies affect the regions' biogeochemical budgets, but also the primary productivity of the regions (Lachkar and Gruber, 2012) and seem to play an important role for the oxygen distribution on the poleward side of the OMZ's. In global satellite observations of nonlinear mesoscale eddies by Chelton et al. (2011) it turned out that in the ETNA south and east of the Cape Verde Islands almost no eddies with a lifetime of ≥16 weeks were present, while in the ETSP a large number of such eddies could be identified, their occurrence extends close to the equator and the Peruvian shelf as can be seen in Figure 4a of Chelton et al. (2011). Despite the inferred weak eddy activity in the ETNA, water mass anomalies including local oxygen minima at shallow depth just below the mixed layer have been found in cyclonic as well as in anticyclonic mode water eddies in this region (see Fig. 4, showing few profiles with oxygen concentration below 40 µmol kg^{-1}). In the ETSP, a region of high eddy production is located just off the shelf at 15-16° S and strong eddies were described from a survey in November 2012. A strong anticyclonic mode water eddy located near the shelf of Peru at about 16° S showed a heat anomaly of 17.7×10^{18} J, a salt anomaly of 36.5×10^{10} kg (Stramma et al., 2013) and an oxygen anomaly of -10.0×10^{16} µmol (Stramma et al., 2014). Even in a mooring at ~20° S, 85° W some 1500 km offshore the passage of an anticyclonic mode water eddy carrying an oxygen anomaly of -10.5×10^{16} µmol could be observed (Stramma et al., 2014). As eddies fall apart at the end of their lifetime the anomalous hydrographic and biogeochemistry anomalies are redistributed in the ocean.

8.3 Oxygen budgets

A quantitative evaluation of the different terms of the oxygen budget of the tropical Pacific OMZ could not be performed so far. A rough estimate of the oxygen budget was instead given by Stramma et al. (2010a). They estimated the advective oxygen supply to the tropical Pacific OMZ from oxygen concentrations at, and zonal mass transport across, the 125° W meridian. The eastward mass transport associated with the EUC, SCC's and ICCs was estimated to be about 30×10^{9} kg s^{-1}. It was assumed that this mass transport is returned by the adjacent westward currents with a typical relative oxygen difference between eastward and westward currents of about 20 µmol kg^{-1}. The resulting net advective molar oxygen supply across 125° W is 0.6×10^{6} mol s^{-1} (Stramma et al., 2010a). The diffusive supply was estimated through the climatological 60 µmol kg^{-1} surface surrounding the tropical Pacific OMZ. Vertical and lateral oxygen gradients were evaluated at this surface and multiplied with a diapycnal diffusivity of

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1×10^{-5} m^2 s^{-1} (Ledwell et al., 1998) and a horizontal eddy diffusivity of 500 m^2 s^{-1} characteristic for the off-equatorial regions (Davis, 2005), respectively. Integrating these products over the surface area resulted in a vertical diffusive molar oxygen supply of 0.4×10^6 mol s^{-1} mostly through the upper surface, where the gradients are large, and in a lateral diffusive molar oxygen supply of 0.8×10^6 mol s^{-1} (Stramma et al., 2010a). The mass of the tropical Pacific OMZs between 30° N and 30° S with oxygen concentrations <60 µmol kg^{-1} is about 16×10^{18} kg. Dividing the estimates of molar supply by the mass leads to an advective oxygen supply of about 1.2 µmol kg^{-1} yr^{-1}, a lateral diffusive oxygen supply of 1.6 µmol kg^{-1} yr^{-1} and a vertical diffusive oxygen supply of 0.8 µmol kg^{-1} yr^{-1}. The oxygen utilization rate calculated to balance the net oxygen supply resulted in about 3.6 µmol kg^{-1} yr^{-1}. These rough estimates of the oxygen budget are far from being a reliable result, however it points to an allocation of about 33 % by advection, 45 % by eddy mixing and 22 % by vertical mixing. The calculation of the tropical Pacific oxygen budget differs from the calculation of the ETNA oxygen budget presented above: While advection along the equator is included in the oxygen supply to the tropical Pacific OMZ, it is not in the ETNA OMZ. The budget of the ETNA OMZ included only the advective supply by zonal jets in the latitude range of the ETNA OMZ, while eddy mixing meridionally fluxes oxygen from the subtropical gyre in the north and the well-ventilated equatorial region in the south into the ETNA OMZ.

8.4 Trends in oxygen

As the ETSP OMZ is extremely low in oxygen a decreasing trend is much more difficult to determine. Furthermore, data are sparse to investigate the trend. However, for the eastern Pacific equatorial region (5° S to 5° N, 105-115° W) a decrease of 0.13±0.32 µmol kg^{-1} yr^{-1} was described (Stramma et al., 2008b) for the 300-700 m depth layer for the last 50 years. The stronger decrease in oxygen in the ETNA compared to the ETSP is also visible from a global compilation of the trends of the last 50 years at 300 m depth (Stramma et al., 2012).

On interannual to multidecadal times scales oxygen variability in the ETSP is expected to be influenced by similar processes as those influencing the ETNA (see end of Sect. 7), but likely differ due to the different climate signals influencing these ocean basins. In the Pacific, the multidecadal variability of the Pacific Decadal Oscillation (PDO) has the strongest influence on long time scales, while El Niño/Southern Oscillation (ENSO), that mainly influences the upper 350 m of the ETSP, is superimposed on long-term changes (Czeschel et al., 2012). The variability of the Pacific STCs exhibits an ENSO signature with strong meridional transport occurring during La Niña and weak meridional transport during El Niño and hence is a

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possible mechanism for oxygen variability (Zilberman et al., 2013). Model runs indicate a control of decadal and bidecadal climate variability in the tropical Pacific by the off-equatorial South Pacific Ocean triggered by changes of wind stress curl in the South Pacific extratropics (Tatebe et al., 2013) as an additional mechanism for oxygen variability. Besides decadal to multidecadal changes in the ventilation processes, variations in the oxygen consumption have been suggested to result in changes of the suboxic and hypoxic volume of the tropical and subtropical Pacific on similar timescales (Deutsch et al., 2011;Ito and Deutsch, 2013). From 3 sediment cores along the North American margin Deutsch et al. (2014) proposed that centennial changes in the North Pacific anoxia are linked to changes of tropical trade winds and their effect on upwelling and biological production.

9 Summary and discussion

The aim of the present paper is to provide a synthesis of the results from recent efforts to understand the physical mechanisms underpinning the functioning of the OMZs in the eastern tropical oceans with a focus on the ETNA. The paper is mainly based on observations in the ETNA and the ETSP. The ETNA was selected to perform a dedicated observational program consisting of a large number of research cruises, continuous moored observations, and TREs to better understand the role of circulation and mixing in the ventilation of the OMZ. Results are summarized in the schematic Fig. 21. The ETSP was selected to allow a comparison of a hypoxic and a suboxic OMZ.

One of the main results of these efforts is a first quantification of the oxygen budget of the deep ETNA OMZ (Brandt et al., 2010;Fischer et al., 2013;Hahn et al., 2014) that is here extended to 800 m depth (Fig. 21). Integrating the different terms of the oxygen budget of the ETNA OMZ (Hahn et al., 2014) in the depth range below the deep oxycline from 350 m to 570 m yields a consumption (after Karstensen et al. (2008)) mainly balanced by the divergence of the meridional eddy flux (about 60 %) and the divergence of the diapycnal flux (20 %). The obtained residual of about 20 % can be ascribed in equal parts to the zonal advection and the long-term oxygen tendency as taken from Brandt et al. (2010). However, these are rough estimates. Most of the terms in the oxygen budget are associated with significant error, which particularly is the case for consumption and meridional eddy flux. Due to the TRE (Banyte et al., 2012) and repeated microstructure measurements (Fischer et al., 2013) the error in the diapycnal oxygen supply is comparatively small. The diapycnal oxygen supply is strongest slightly above the deep OMZ core, where it accounts for about one

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third of the oxygen supply required to balance consumption. There are, however, indications of regional variations in the diapycnal eddy diffusivity with higher values over the seamount region (up to one order of magnitude) compared to the abyssal plains (Fig. 11) resulting also in a general increase of the diapycnal eddy diffusivity with depth (Fig. 12).

The contribution of the mean advection to the oxygen budget of the OMZ cannot yet be quantified from observational data. Instead, idealized advection-diffusion models were used to estimate this contribution (Brandt et al., 2010;Brandt et al., 2012). For these calculations a basin-wide mean velocity field has to be prescribed based mainly on our knowledge of the mean flow along 23°W. However, the zonal extent of the zonal jets, their deviation from a purely zonal flow, and their connection to the well-ventilated western boundary regime are crucial in this calculation, but are not well constrained by observations, which leads again to a large uncertainty of the contribution of the mean advection to the oxygen budget of the ETNA OMZ.

Consumption as the main oxygen sink in the oxygen budget of the OMZ is currently best estimated as the net consumption along a water mass path from the subduction region toward the OMZ (Haine and Hall, 2002;Karstensen et al., 2008;Schneider et al., 2012). The different methods presented here yield a range of possible net consumption rates differing by a factor of 2 to 4 (Fig. 17). Besides this uncertainty, AOUR represents a large scale net consumption rate that cannot account for the regional inhomogeneity in consumption for example due to higher productivity in coastal, equatorial or open ocean upwelling regions compared to the oligotrophic ocean. For a local oxygen budget as presented here, the local oxygen consumption within the OMZ is required which could substantially differ from values representing an integrated oxygen consumption along pathways from the subduction regions, through the oligotrophic ocean (often including the western boundary regime) into the OMZs. Additionally, the assumption of a consumption profile decreasing exponentially with depth (Martin et al., 1987) might be invalid. Lutz et al. (2002) noted the inability to fit sediment trap data to a single exponential function. Due to vertical changes in liability of organic matter, sinking rate, and mineral ballast effect, they therefore suggested to use the sum of two exponential functions with different decay. Processes that would also contribute to a deviation from a single exponential profile include respiration associated with the daily vertical migration cycle of zooplankton (Bianchi et al., 2013) or oxygen consumption at the sediment-ocean interface and associated lateral spreading of low oxygen waters. To tackle the problem of regional and temporal consumption variability new targeted data/model approaches are

Peter Brandt 4.11.2014 16:21
Gelöscht: The presence of seamounts in extended parts of ETNA OMZ might explain the observed smaller equatorward reduction of the diapycnal diffusivity than expected from internal wave-wave interaction theory (Henyey et al., 1986;Gregg et al., 2003;Banyte et al., 2012). It might also be responsible for an increased diapycnal oxygen supply from below (Figs. 11, 13).

Peter Brandt 14.11.2014 17:13
Gelöscht: The strength of the zonal jets penetrating into the ETNA OMZ (as well as into the ETSP OMZ) is of the order of a few cm/s and generally smaller than the characteristic eddy velocity (Hahn et al., 2014); the contribution of the zonal mean advection calculated from the currently available number of repeated ship sections remains thus uncertain. Note that the few moorings in the ETNA OMZ do not resolve the meridional structure of latitudinally stacked zonal jets.

Peter Brandt 14.11.2014 17:14
Gelöscht: e

Peter Brandt 14.11.2014 17:14
Gelöscht: of mean advection to the oxygen budget of the OMZ

Peter Brandt 27.10.2014 17:59
Gelöscht: . The TTD concept additionally accounts for mixing between water masses following different paths

Peter Brandt 27.10.2014 18:46
Gelöscht: Obtained net consumption estimates strongly depend on the water mass age and the comparison of different methods to derive such ages

Peter Brandt 27.10.2014 18:46
Gelöscht: s

Peter Brandt 27.10.2014 18:48
Gelöscht: these estimates

Peter Brandt 27.10.2014 18:49
Gelöscht: s

required including observations of sinking particles or incubations for estimating pelagic oxygen consumption.

The relative importance of the different terms affecting the oxygen budgets of the ETNA and ETSP OMZs appear to be similar. For both OMZs the eastward advection of oxygen-rich waters from the well-ventilated western boundary was found to be a dominant ventilation process. As the zonal currents are of similar strength in the tropical Pacific and Atlantic, the difference in the basin width of both oceans consequently results in lower oxygen concentrations and larger water mass ages in the eastern tropical Pacific (Fig. 20) compared to the eastern tropical Atlantic (Fig. 6).

Processes contributing to the oxygen budget at the eastern boundary include diapycnal mixing locally elevated due to tide-topography interaction, advective oxygen supply associated with (seasonally varying) eastern boundary circulation and coastal-trapped waves, mesoscale eddies favouring and redistributing oxygen anomalies, pelagic consumption and consumption at the sediment-ocean interface. Due to high variability of most of these processes both in space and time, the mean oxygen budget at the shelf is much less constrained compared to the open ocean. Often these processes are characterized by strong physical-biogeochemical interaction. For example the downward oxygen flux from the mixed layer due to elevated diapycnal mixing at the shelf (Schafstall et al., 2010) must be balanced at least partly by local consumption. The extremely large vertical oxygen gradients at the shelf in the ETSP (from saturated oxygen levels in the mixed layer to zero oxygen within few meters below) suggest extremely high consumptions rates just below the mixed layer. Another example are isolated eddies generated by the instability of the eastern boundary current. Such eddies transfer shelf water properties toward the open ocean while transforming these properties (particularly oxygen) by enhanced physical-biogeochemical interactions during their westward migration (Stramma et al., 2014). Their influence on the mean distribution of the shallow and deep OMZ could so far not be quantified. Dedicated process studies using mooring arrays, shipboard and multiple glider observations may help to elucidate the role of different processes in the eastern boundary oxygen budget.

The increase in resolution of ocean circulation models improves the tropical circulation and associated oxygen distribution in the Atlantic (Duteil et al., 2014) and the Pacific OMZs (Montes et al., 2014), suggesting that deficiencies in model physics largely contribute to the oxygen bias in coarser-resolution models. However, particularly the intermediate circulation (below 250 m) is still underestimated by these high-resolution simulations in realistic settings.

Peter Brandt 27.10.2014 14:26
Gelöscht: ,

Peter Brandt 1.11.2014 10:53
[1] verschoben (Einfügung)

Peter Brandt 1.11.2014 10:53
Gelöscht: Nevertheless, t

Peter Brandt 1.11.2014 10:51
Gelöscht: Ocean circulation is the main factor setting the OMZ boundaries.

Peter Brandt 1.11.2014 10:53
[1] nach oben verschoben: Nevertheless, the increase in resolution of ocean circulation models improves the tropical circulation and associated oxygen distribution (Duteil et al., 2014), suggesting that model physics largely contribute to the oxygen bias in coarser-resolution models.

Peter Brandt 1.11.2014 10:40
Gelöscht: (Duteil et al., 2014)Poleward of the tropical Atlantic and Pacific OMZs are the well-ventilated subtropical gyres. The OMZs of both hemispheres are separated by an equatorial oxygen maximum (Figs. 1, 2) that is generated by the energetic flow composed of narrow zonal jets along the equator (Stramma et al., 2010a;Brandt et al., 2012). In the vertical the ETNA OMZ is characterized by an intermediate oxygen maximum at about 200-300 m separating the shallow from the deep oxygen minimum (Fig. 21). From the residual of the oxygen budget it could be concluded that this intermediate oxygen maximum can be ascribed to the oxygen supply by advection associated with latitudinally stacked zonal jets (Hahn et al., 2 ... [2]

Peter Brandt 1.11.2014 10:56
Gelöscht: Duteil et al. (2014)

Peter Brandt 1.11.2014 10:56
Gelöscht:).

To identify the physical mechanism responsible for the mean and variable zonal jets, idealized high-resolution models have been employed (Ménesguen et al., 2009;Ascani et al., 2010;Qiu et al., 2013). Such idealized models could further be used, by including oxygen in the simulations, to study the roles of mean and variable advection in maintaining the tropical OMZs and to identfy the mechanisms driving oxygen variability on interannual to multidecadal timescales.

The oxygen decline in the ETNA OMZ during the last decades corresponds to about 10 % of the oxygen sink due to consumption not balanced by ventilation processes. This is a substantial imbalance in the oxygen budget of the ETNA OMZ. The regional pattern along the 23° W section indicates strongest oxygen reduction above the core of the deep OMZ and north of the Cape Verde archipelago (Fig. 18). Such a regional pattern is most likely due to changes in the circulation pattern associated with forced ocean dynamics as well as with internal ocean dynamics. Time series of all available oxygen data of the ETNA OMZ (Fig. 19) indicate variations on interannual, decadal, and multidecadal time scales; the long-term trend of deoxygenation associated with anthropogenic climate changes might not be the dominant signal on such a regional scale. Improvements of model ventilation physics by increased resolution and/or improved parameterizations will reduce errors in the simulated mean oxygen distribution and its variability, but at the same time will help to better understand the climate sensitivity of OMZ with regard to anthropogenic climate change.

Oxygen data from shipboard repeat hydrography and moored observations show substantial interannual variability (Fig. 8) and trend-like changes (Fig. 19). The continuation of such measurements is essential to be able to test different hypotheses for the driving mechanisms of oxygen changes in the ocean. Using idealized or process models, distinct observed variability patterns might be reproduced and attributed to circulation changes and/or changes in the water mass distribution associated with the AMOC, STCs, PDO, or ENSO. For ocean circulation models the acquired data provide the basis for improving the physical system in coupled climate-biogeochemistry simulations to make projection of future oxygen evolution more reliable.

Acknowledgments

This study was funded by the Deutsche Forschungsgemeinschaft as part of the Sonderforschungsbereich 754 “Climate–Biogeochemistry Interactions in the Tropical Ocean”, through several research cruises with RV *Meteor* and RV *Maria S. Merian* and by the Deutsche Bundesministerium für Bildung und Forschung (BMBF) as part of the projects

Peter Brandt 2.11.2014 18:30
Gelöscht:

Peter Brandt 8.11.2014 22:35
Gelöscht: associated with

Peter Brandt 8.11.2014 22:43
Gelöscht: Up to now, the regional pattern of observed oxygen changes cannot be reproduced by coupled climate-biogeochemistry models (Stramma et al., 2012), which could be the result of biases in the simulated mean circulation and oxygen distribution. Today it remains an open question how such biases influence the evolution of the oceanic oxygen content under on-going anthropogenic climate change in coupled climate-biogeochemistry simulations.

Peter Brandt 8.11.2014 22:11
Gelöscht: ... [3]

Peter Brandt 1.11.2014 13:55
Gelöscht: during the period 2006-2013

Peter Brandt 1.11.2014 13:55
Gelöscht: but also substantial interannual variability as for example associated with the EDJ (Fig. 8)

Peter Brandt 1.11.2014 13:56
Gelöscht: extension

Peter Brandt 1.11.2014 16:32
Gelöscht: these

Peter Brandt 1.11.2014 13:56
Gelöscht: time series

NORDATLANTIK (03F0605B, 03F0443B), RACE (03F0651B), SOPRAN (03F0462A, 03F0662A), and AWA (01DG12073E). Moored velocity and oxygen observations were partly acquired in cooperation with the PIRATA project and we would like to thank B. Bourlès, R. Lumpkin, C. Schmid, and G. Foltz for their help with mooring work and data sharing. We also thank J. Lübbecke, L. D. Bryant, and B. Dewitte for helpful discussions and comments on an earlier version of the manuscript. We thank the captains and crew of the RV *Maria S. Merian*, RV *Meteor*, RV *Poseidon*, and RV *L'Atalante* as well as our technical group for their help with the fieldwork.

Peter Brandt 28.10.2014 16:08
Gelöscht: and

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Table 1. Research cruises to the tropical eastern Atlantic and Pacific oceans. Depending on the measurements carried out and the geographical area covered on the different cruises up to 22 sections were used to determine the mean 23° W section, 7 sections for the mean 18° N sections and 3 sections for the mean ~86° W section.

Vessel and Cruise (Date)	Main Work	Region
Tropical Atlantic, 5° S-14° N / ~23° W and OMZ area		
Thalassa (Jul-Aug 1999)	23° W section	5° S-6° N
Seaward Johnson (Jan 2000)	23° W section	5° S-4° N
Meteor 47/1 (Apr 2000)	23° W section	5° S-4° N
Meteor 55 (Oct 2002)	24° W section	0-10° N
Polarstern Ant XXII/5 (Jun 2005)	23° W section	5° S-14° N
Meteor 68/1 (May 2006)	23° W section	2° S-0.5° N
Ron Brown (Jun 2006)	23° W section	5° S-14° N
Meteor 68/2 (Jun-Jul 2006)	23° W section, moorings	4° S-14° N
Ron Brown (May 2007)	23° W section	4° N-14° N
L'Atalante GEOMAR 4 (Feb-Mar 2008)	23° W section, moorings	2° S-14° N
Maria S. Merian 08/1 (Apr-May 2008)	23° W section, GUTRE tracer release	7.5° N-14° N, 23° W, 8° N at 330 m
Maria S. Merian 10/1 (Nov-Dec 2008)	GUTRE tracer survey	4° N-14° N / 27.5° W-17.5° W
Polarstern Ant XXV/5 (May 2009)	23° W section	5° S-14° N
Endeavor 463 (May-Jun 2009)	23° W section	4° S-3° N
Ron Brown (Jul-Aug 2009)	23° W section	0-14° N
Meteor 80/1 (Oct-Nov 2009)	23° W section, moorings	5° S-14° N
Polarstern Ant XXVI/1 (Nov 2009)	23° W section	5° S-14° N
Meteor 80/2 (Dec 2009)	GUTRE tracer survey	4° N-14° N / 31° W-15° W
Meteor 81/1 (Feb-Mar 2010)	22° W section	5° S-13° N
Polarstern Ant XXVI/4 (May 2010)	23° W section	5° S-13.5° N
Meteor 83/1 (Oct-Nov 2010)	GUTRE tracer survey	2° N-15° N / 28° W-15° W
Maria S. Merian 18/2 (May-Jun 2011)	23° W section, moorings	5° S-14° N
Maria S. Merian 18/3 (Jun-Jul 2011)	23° W section	4° N-14° N
Ron Brown (Jul-Aug 2011)	23° W section	0-14° N
Maria S. Merian 22 (Oct-Nov 2012)	23° W section, moorings	5° S-14° N
Maria S. Merian 23 (Dec 2012)	23° W section, OSTRE tracer release	4° S-5° N, 21° W, 11° N at 500 m

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Meteor 97 (May-Jun 2013)	OSTRE tracer survey	8° N-12° N / 23° W-19° W
Meteor 106 (Apr-May 2014)	23° W section, moorings	5° S-14° N
Tropical Atlantic, 26° W-16° W / 18° N		
P320/1 (Mar-Apr 2005)	18° N section	19°W-16.4°W
Meteor M68/3 (Jul-Aug 2006)	18° N section	26° W-16.3° W
P347 (Jan-Feb 2007)	18° N section	17.5° W-16.3° W
P348 (Mar 2007)	18° N section	23.2° W-16.4° W
L'Atalante GEOMAR 3 (Feb 2008)	18° N section	24.3° W-16.3° W
P399/2 (Jun 2010)	18° N section	21° W-16.5° W
Maria S. Merian 22 (Nov 2012)	18° N section	26° W-20° W
Tropical Pacific, 22° S-2° N / ~86° W and continental slope		
Knorr (Mar-Apr 1993)	~86°W section	22° S-2° N
Meteor 77/3 (Jan 2009)	Continental slope	18° S-10° S
Meteor 77/4 (Feb 2009)	~86° W section	14° S-2° N
Meteor 90 (Nov 2012)	~86° W section	22° S-2° N
Meteor 91 (Dec 2012)	Continental slope	17° S-5° S
Meteor 92 (Jan 2013)	Continental slope	13° S-10° S
Meteor 93 (Feb 2013)	Continental slope	14° S-10° S

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Table 2. Moored oxygen observations in the eastern tropical Atlantic along 23°W.

Position	Period	Mooring type	Depth [m]
0° / 23° W	May 2011 - Oct 2012	Subsurface	300, 500
2° / 23° W	Feb 2008 - May 2011	Subsurface	300, 500
4° / 23° W	Jul 2009 - Jan 2013	PIRATA	300, 500
5° N / 23° W	Nov 2009 - Oct 2012	Subsurface	100 – 800
8° N / 23° W	Nov 2009 - Oct 2012	Subsurface	100 – 800
11.5° / 23° W	Jul 2009 - Jan 2013	PIRATA	300, 500

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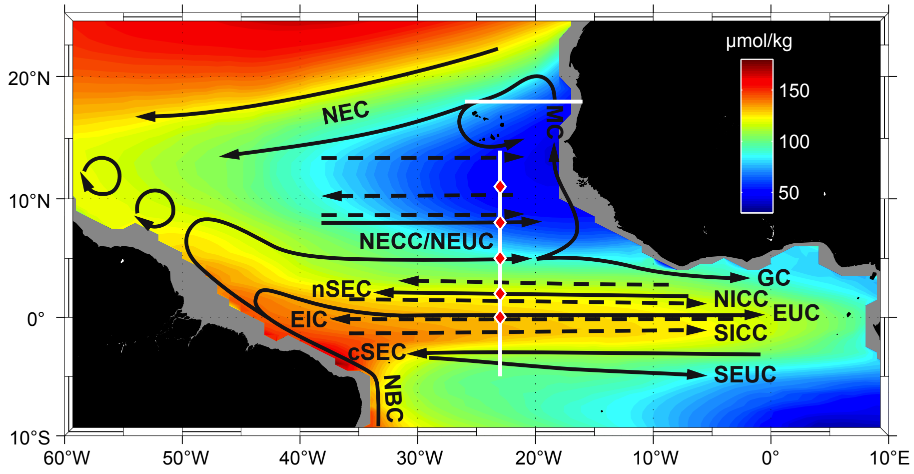

Figure 1. Oxygen concentration [µmol/kg] in the tropical Atlantic at σ_θ=27.1 kg m^{-3} (close to the deep oxygen minimum) as obtained from the MIMOC climatology (Schmidtko et al., 2013) with circulation schematic superimposed. Surface and thermocline current branches shown (black solid arrows) are the North Equatorial Current (NEC), the Mauritania Current (MC), the northern and central branch of the South Equatorial Current (nSEC and cSEC), the North Equatorial Countercurrent (NECC), the Guinea Current (GC), the North Brazil Current (NBC), the North and South Equatorial Undercurrent (NEUC and SEUC), and the Equatorial Undercurrent (EUC). Intermediate current branches shown (black dashed arrows) are North and South Intermediate Countercurrents (NICC and SICC) or “flanking jets”, and the Equatorial Intermediate Current (EIC). The 23° W and 18° N repeat sections are marked by white lines, mooring positions by red diamonds.

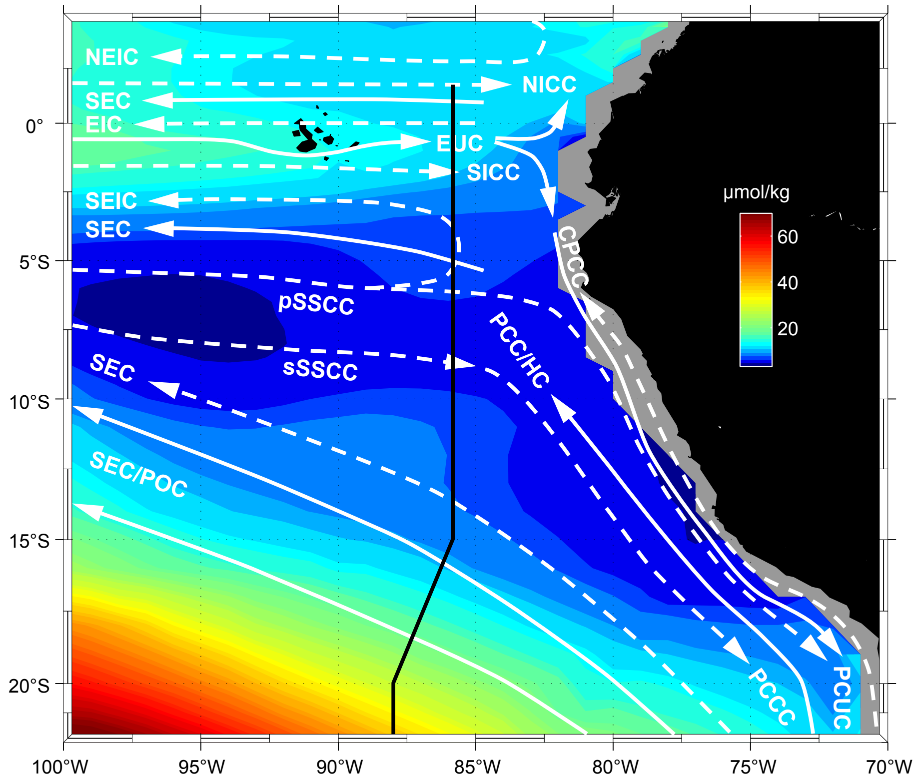

Figure 2. Oxygen concentration [µmol kg^{-1}] in the eastern tropical Pacific at σ_θ=26.8 kg m^{-3} (close to the deep oxygen minimum) as obtained from the MIMOC climatology (Schmidtko et al., 2013) with circulation schematic superimposed. Current bands displayed are for the surface layer (white solid arrows) the South Equatorial Current (SEC), the Equatorial Undercurrent (EUC), the Peru-Chile or Humboldt Current (PCC/HC), the Peru Oceanic Current (POC) and for the thermocline layer (white dashed arrows) the North Equatorial Intermediate Current (NEIC), the North Intermediate Countercurrent (NICC), the Equatorial Intermediate Current (EIC), the South Intermediate Countercurrent (SICC), the primary and secondary Southern Subsurface Countercurrent (pSSCC, sSSCC), the deeper layer of the SEC, the Chile-Peru Coastal Current (CPCC), the Peru-Chile Undercurrent (PCUC) and the Peru-Chile Countercurrent (PCCC). The location of the ~86° W section is marked as black line.

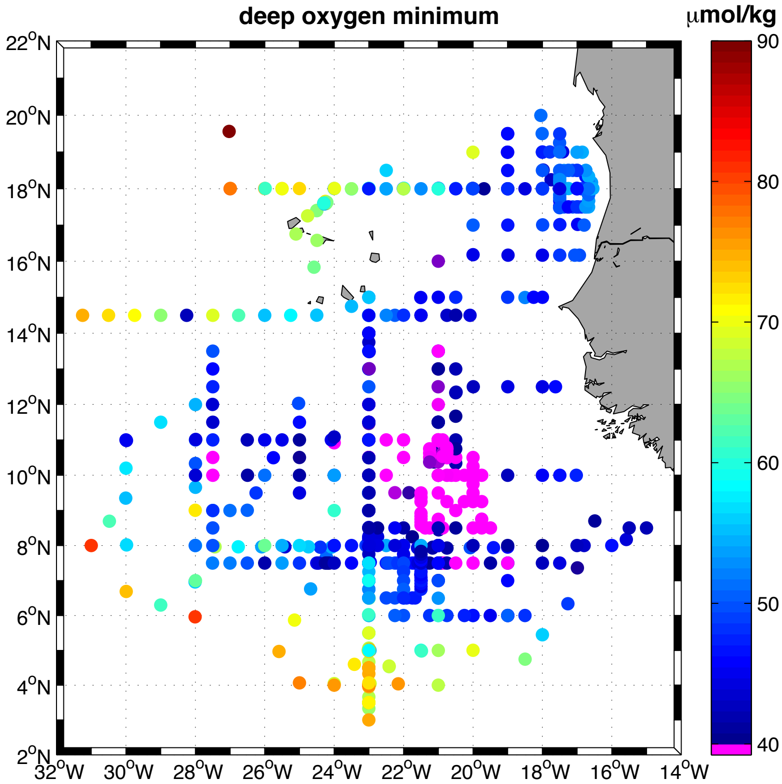

Figure 3. Minimum oxygen concentration below 200 m (representing the deep oxygen minimum) as obtained from CTD station data taken during the period 2006 to 2013. Oxygen concentration at the deep oxygen minimum below 40 µmol kg^{-1} is marked by purple dots.

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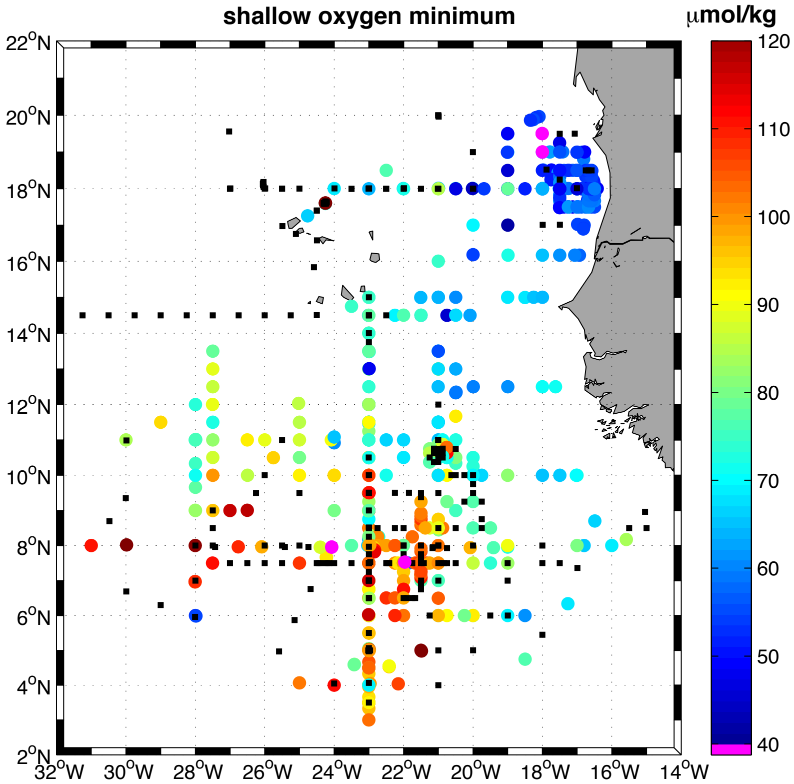

Figure 4. Minimum oxygen concentration above 200 m (representing the shallow oxygen minimum) as obtained from CTD station data taken during the period 2006 to 2013. Black squares indicate profiles without a shallow oxygen minimum (i.e. minimum oxygen concentration was found at the lower boundary of the chosen depth range that is 200 m). Oxygen concentration at the shallow oxygen minimum below 40 µmol kg^{-1} is marked by purple dots.

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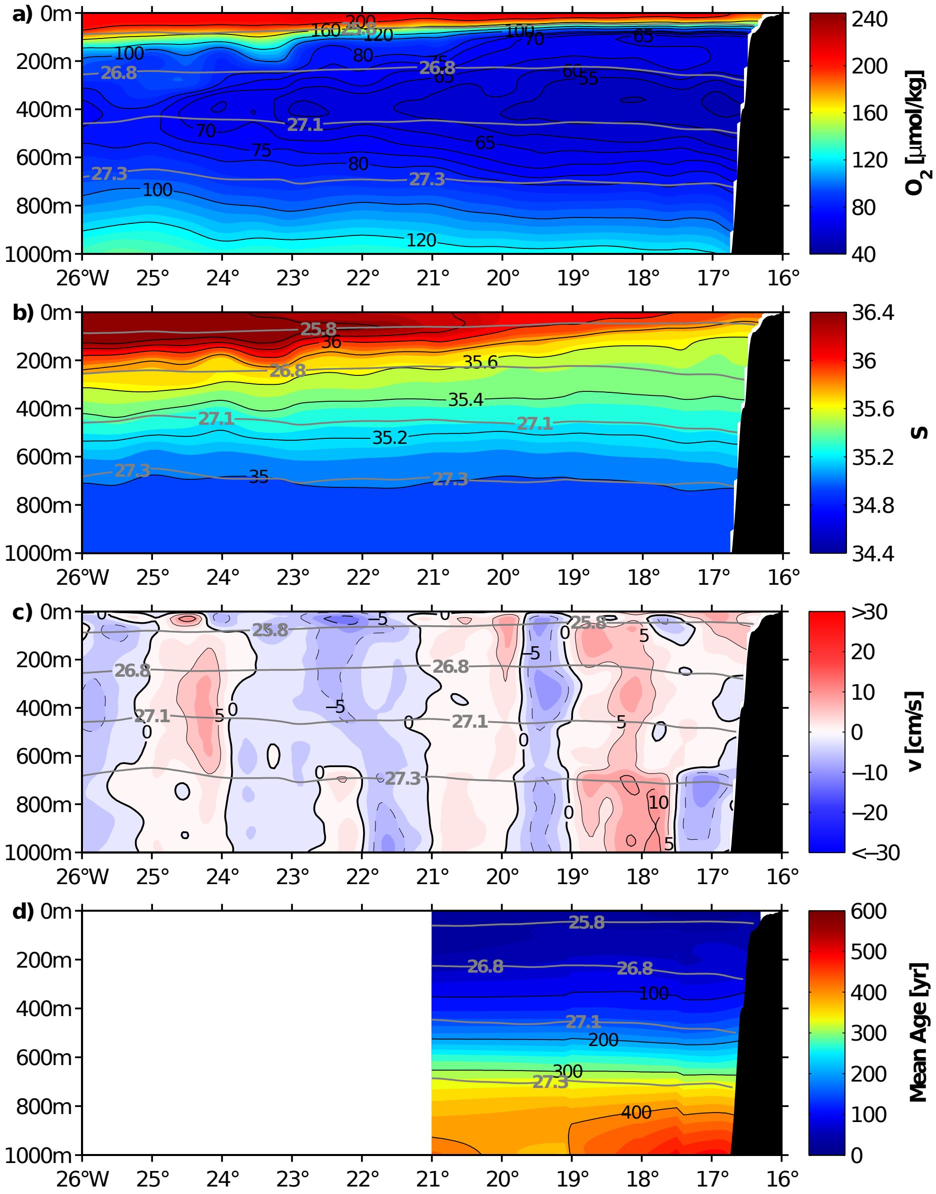

Figure 5. (a) Mean oxygen content, **(b)** salinity, **(c)** meridional velocity (positive northward), and **(d)** mean age as obtained from zonal ship sections taken along 18° N during 2005-2012. Grey contours mark potential density [kg m^{-3}]. Besides the deep oxygen minimum at about 400 m depth there is a shallow oxygen minimum at about 100 m in proximity to the shelf **(a)**.

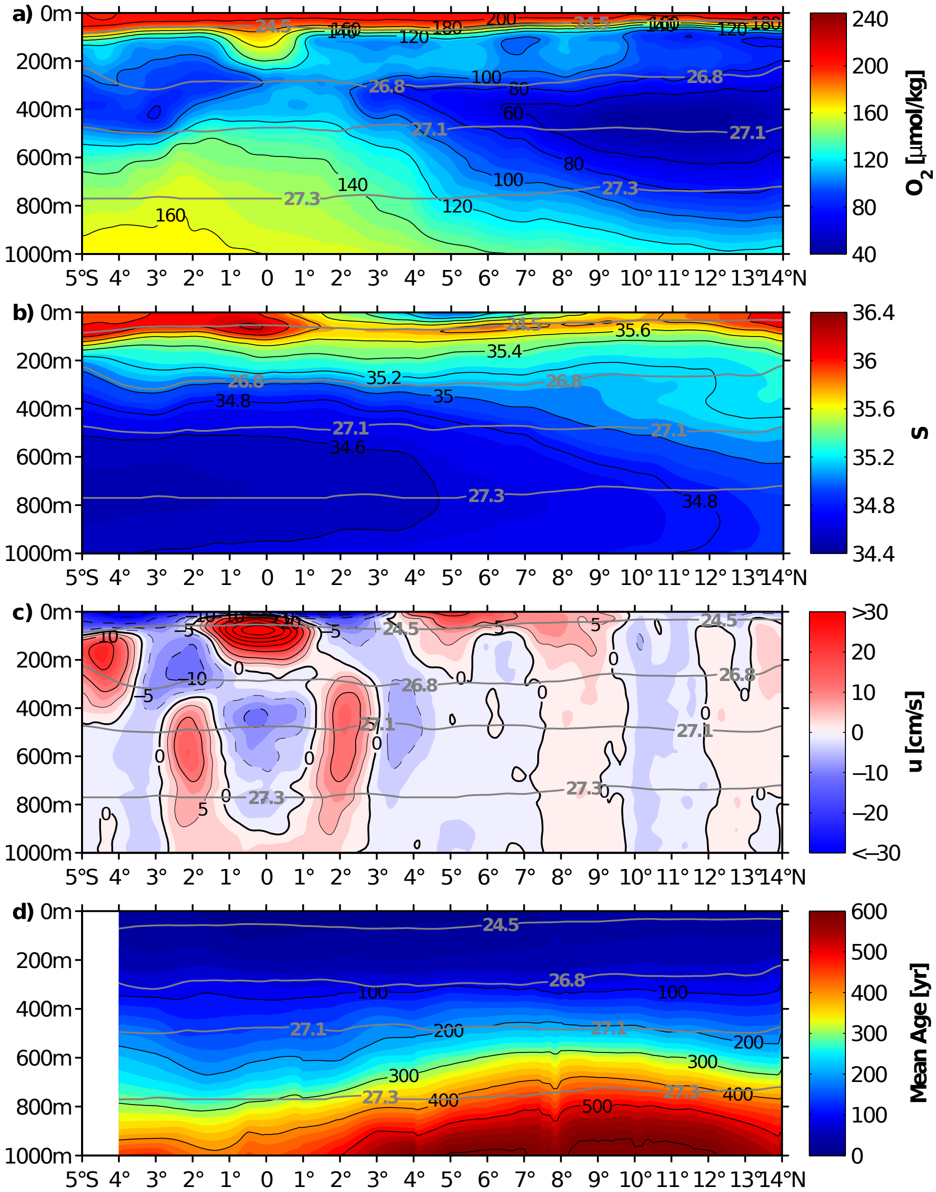

Figure 6. (a) Mean oxygen content, **(b)** salinity, **(c)** zonal velocity (positive eastward), and **(d)** mean age as obtained from meridional ship sections taken along 23° W during 1999-2012. Grey contours mark potential density [kg m^{-3}]. Eastward current bands, marked by reddish colours, are generally associated with elevated oxygen content.

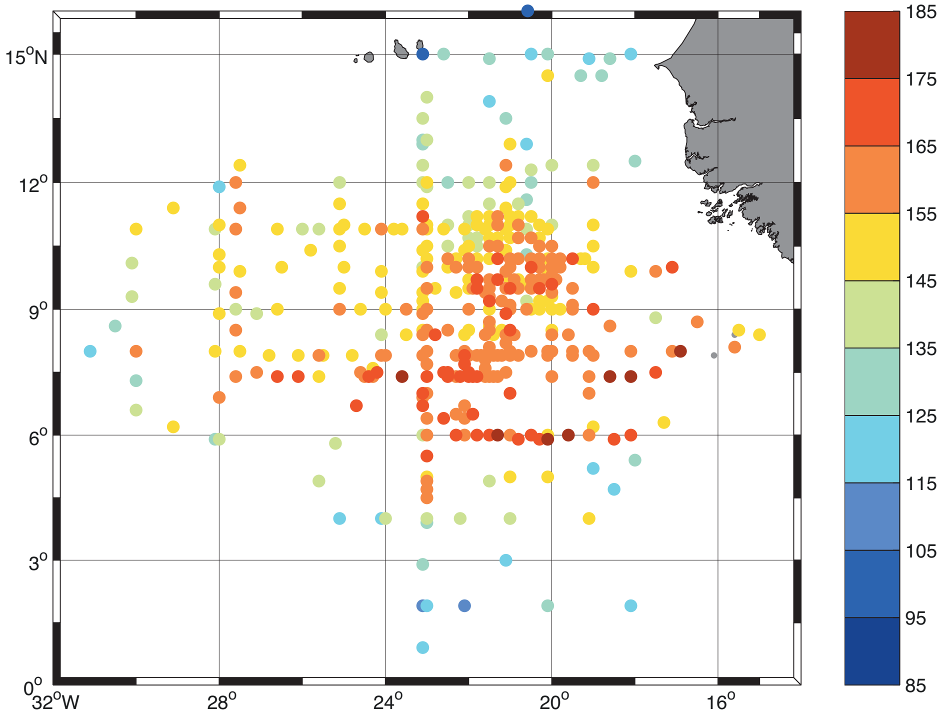

Figure 7. Mean age [yr] at σ_θ=27.0 kg m^{-3} which corresponds approximately to the depth of the deep oxygen minimum.

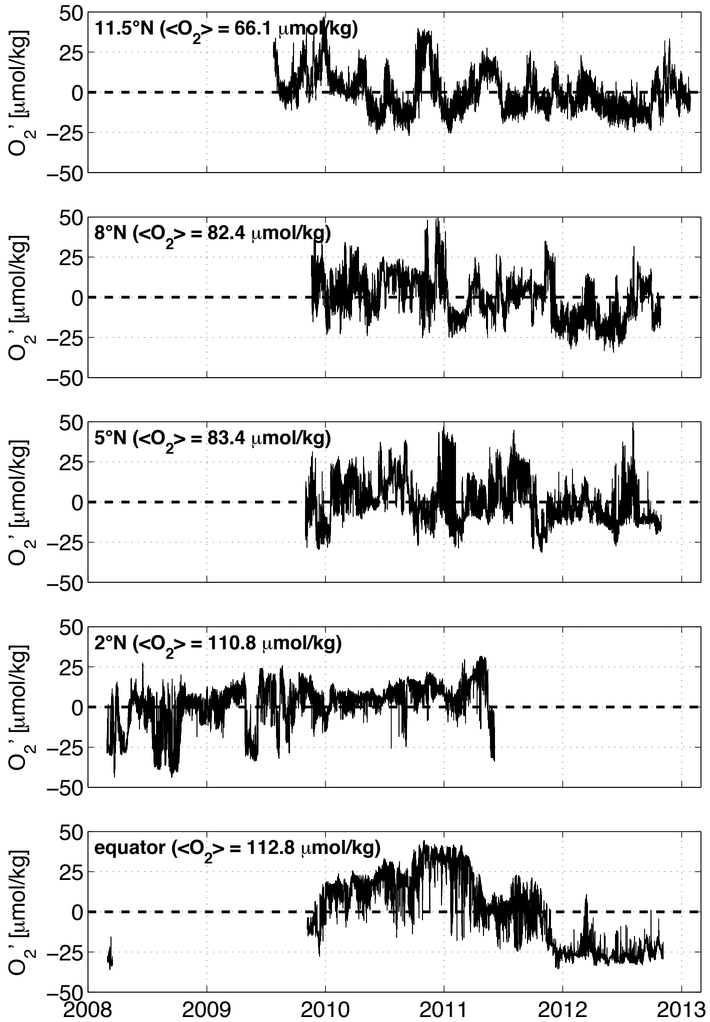

Figure 8. Time series of oxygen anomaly at about 300 m depth from moored observations along 23° W at different latitudes. Mean oxygen values at the different mooring locations are given in brackets.

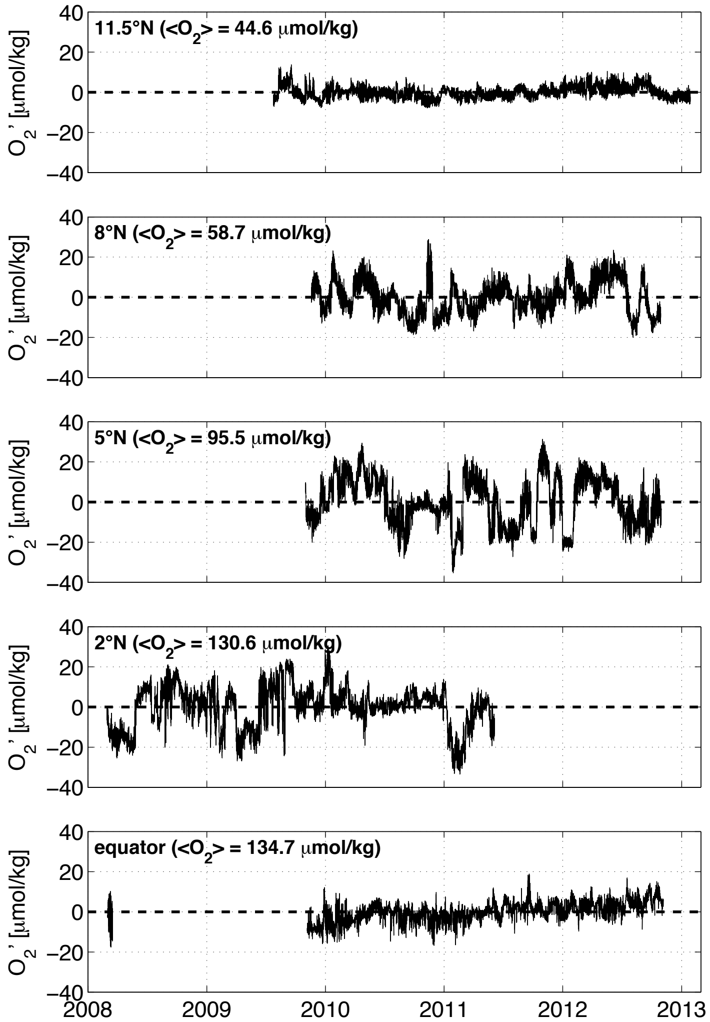

Figure 9. As Fig. 8, but at about 500 m depth.

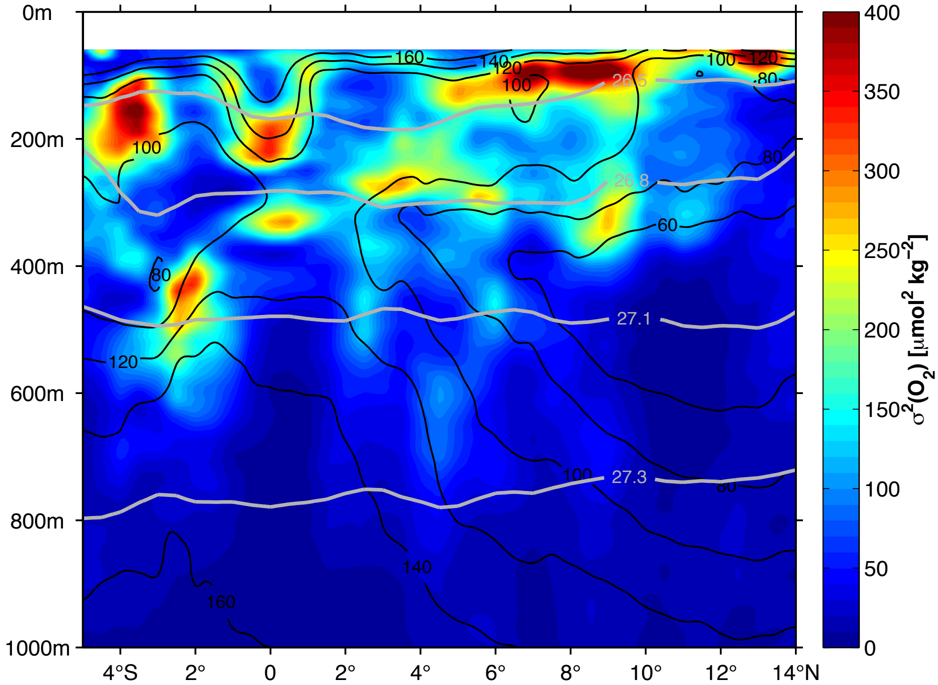

Figure 10. Oxygen variance along 23° W from repeat ship sections. The analysis was done on isopycnal surfaces and the results were projected back onto depth coordinates. Grey contours mark potential density [kg m^{-3}], black contours mark mean oxygen [µmol kg^{-1}].

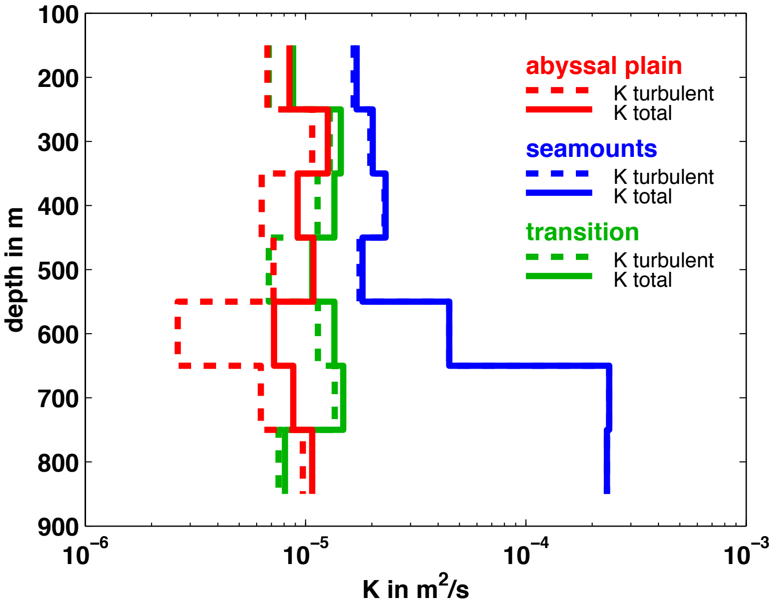

Figure 11. Profiles of the diapycnal eddy diffusivity as estimated from microstructure measurements (dashed lines) and by accounting for the effect of double diffusion (solid lines) for different regions: (red) abyssal plain, (blue) seamount region, and (green) transition region.

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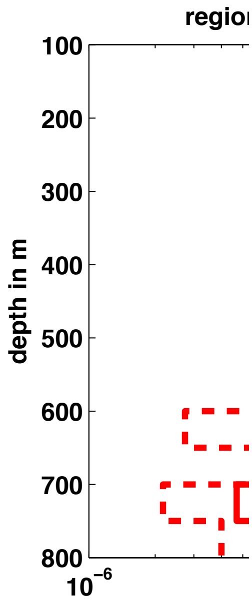

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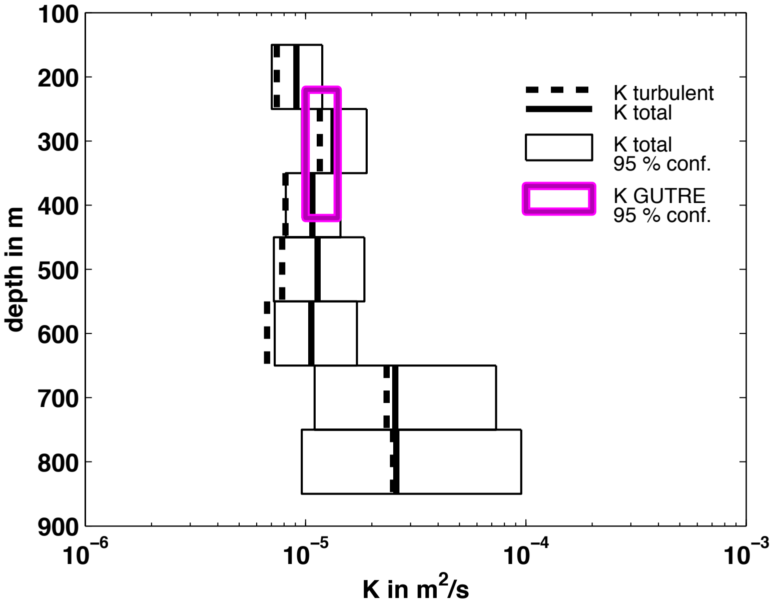

Figure 12. Diapycnal eddy diffusivity as estimated from microstructure measurements (dashed black line) and the tracer release experiment (purple box representing 95 % confidence error level). The profile of total diapycnal eddy diffusivity is obtained by accounting for the effect of double diffusion (solid black line with 95 % confidence error level).

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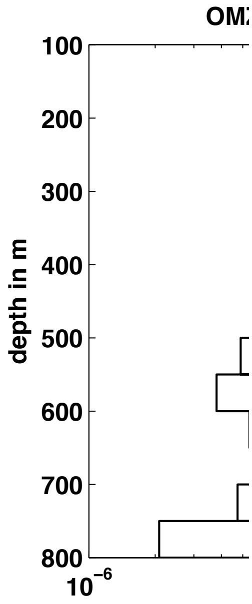

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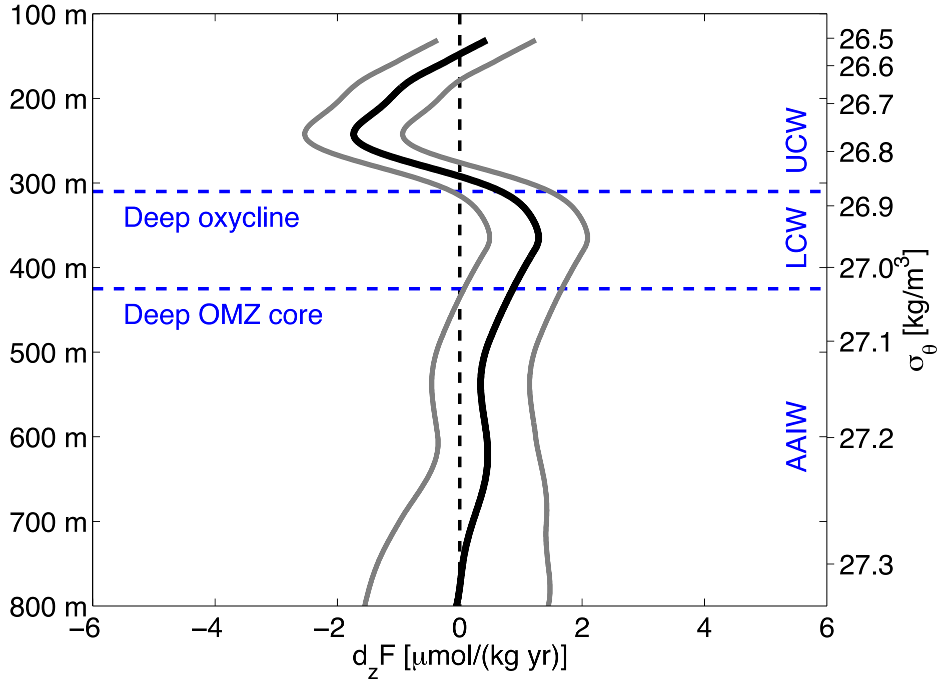

Figure 13. Mean oxygen supply due to diapycnal mixing (solid black line) for the open ocean ETNA OMZ and 95 % confidence error level (solid grey lines) as function of depth (left axis) or potential density (right axis). Blue dashed lines mark the depths of the deep oxycline and of the core of the deep OMZ that separate layers of upper and lower CW, and AAIW.

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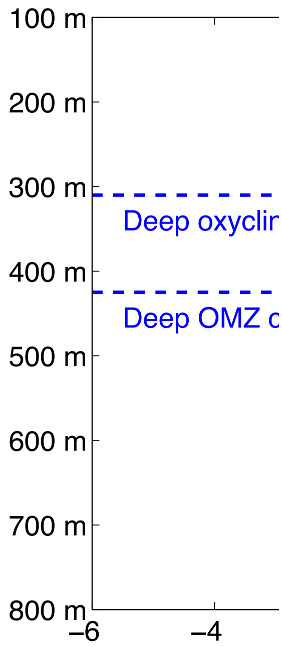

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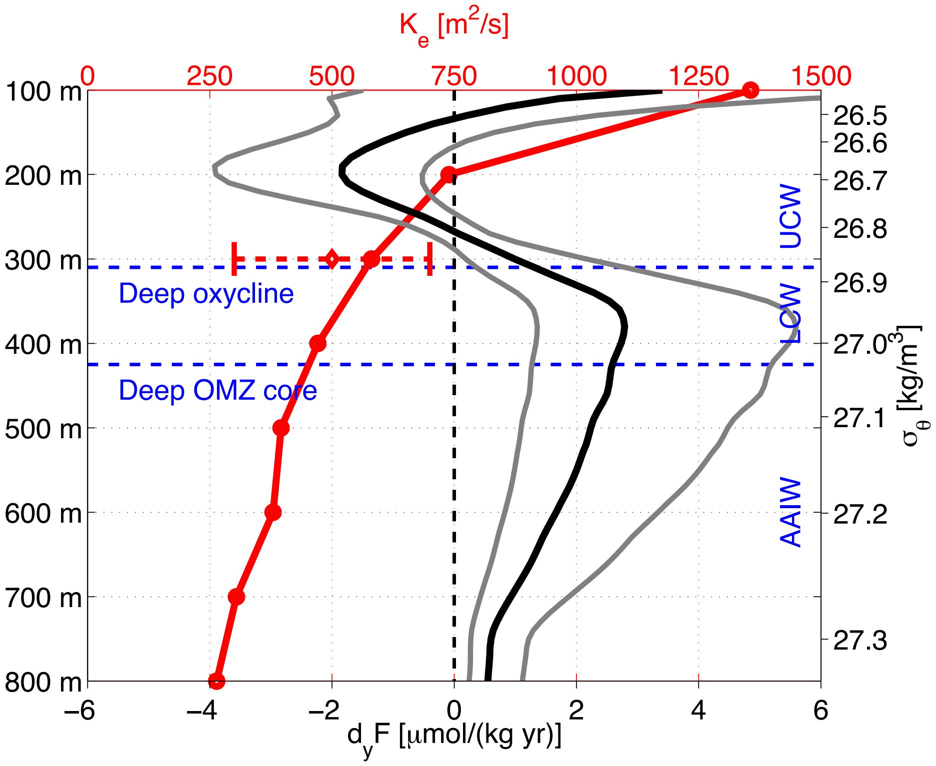

Figure 14. Eddy diffusivity as estimated from moored and shipboard observations (red circles, red line, upper axis) and from the tracer release experiment (red diamond with error bar, upper axis) as function of depth (left axis) or potential density (right axis). Also shown is the mean isopycnal meridional eddy-driven oxygen supply (black line, lower axis) for the open ocean ETNA OMZ with error levels (grey lines, lower axis) that were calculated from both the error of the curvature of the meridional oxygen distribution (95% confidence) and the error of the eddy diffusivity (factor 2 assumed). Blue dashed lines mark the depths of the deep oxycline and of the core of the deep OMZ that separate layers of upper and lower CW, and AAIW.

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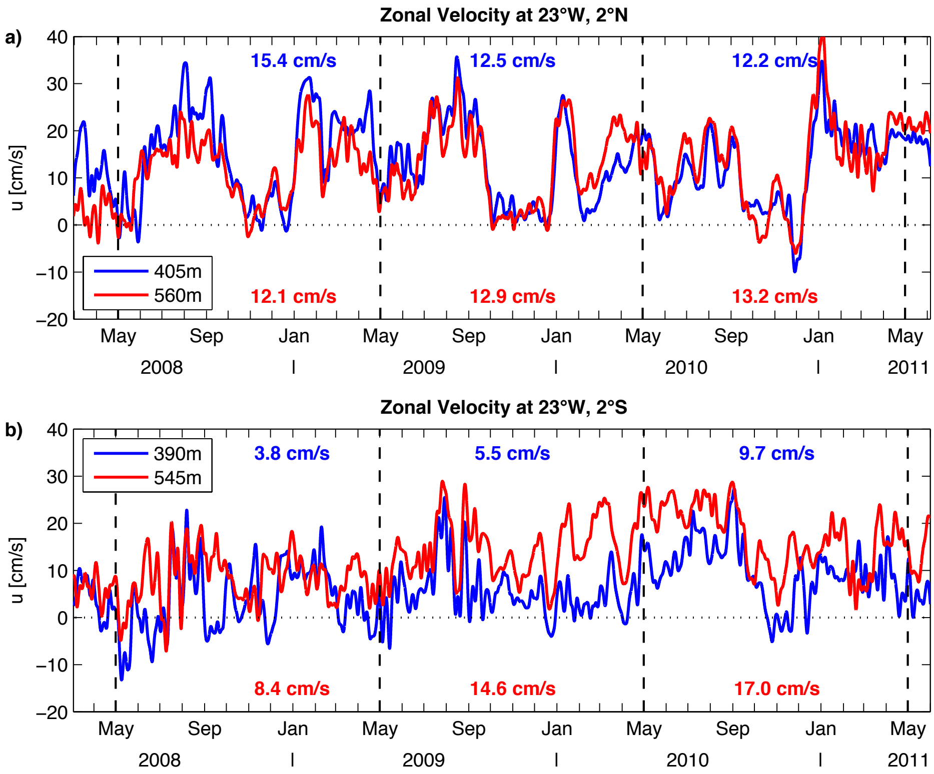

Figure 15. Zonal velocity from moored observations at 23° W, 2° N (a) and 23° W, 2° S (b) at about 400 m (blue lines) and 550 m (red lines). Blue and red numbers represent annual mean velocities at about 400 m and 550 m depth, respectively. Dashed vertical lines mark time periods used for the calculation of annual means; dotted horizontal line marks zero velocity.

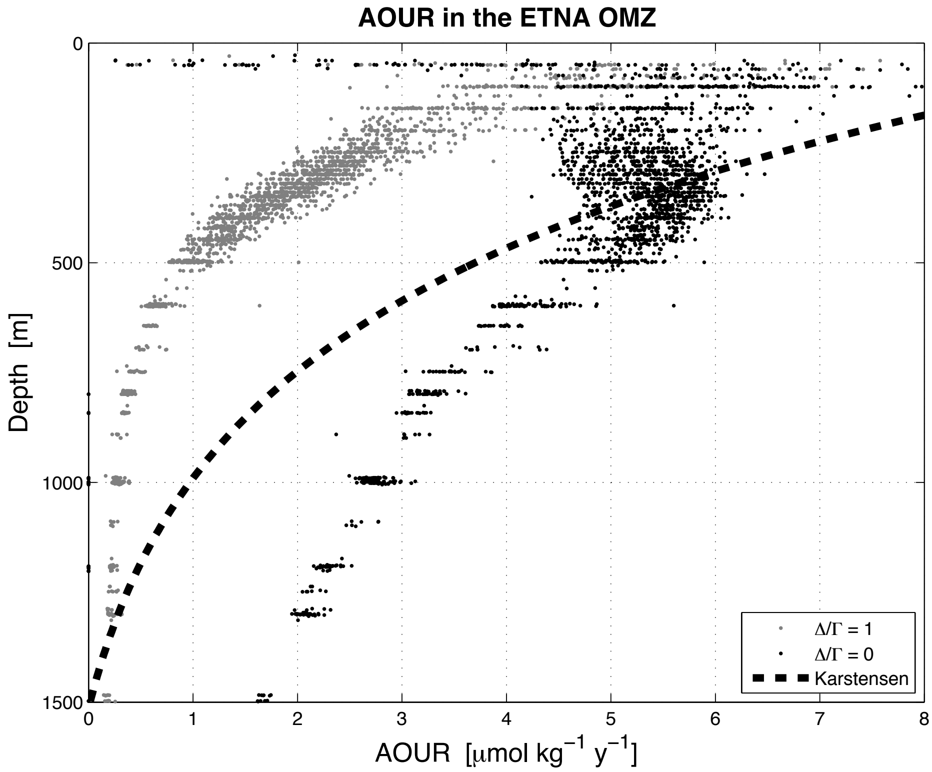

Figure 16. AOUR in the ETNA OMZ (between 4° N and 14° N and east of 32° W). The AOUR was calculated using the TTD approach with two different assumptions about mixing: Black dots corresponds to no mixing, $\Delta/\Gamma=0$; grey dots to moderate mixing, $\Delta/\Gamma=1$. The dashed line marks AOUR as obtained by Karstensen et al. (2008) using CFC-11 ages from the ventilated gyre.

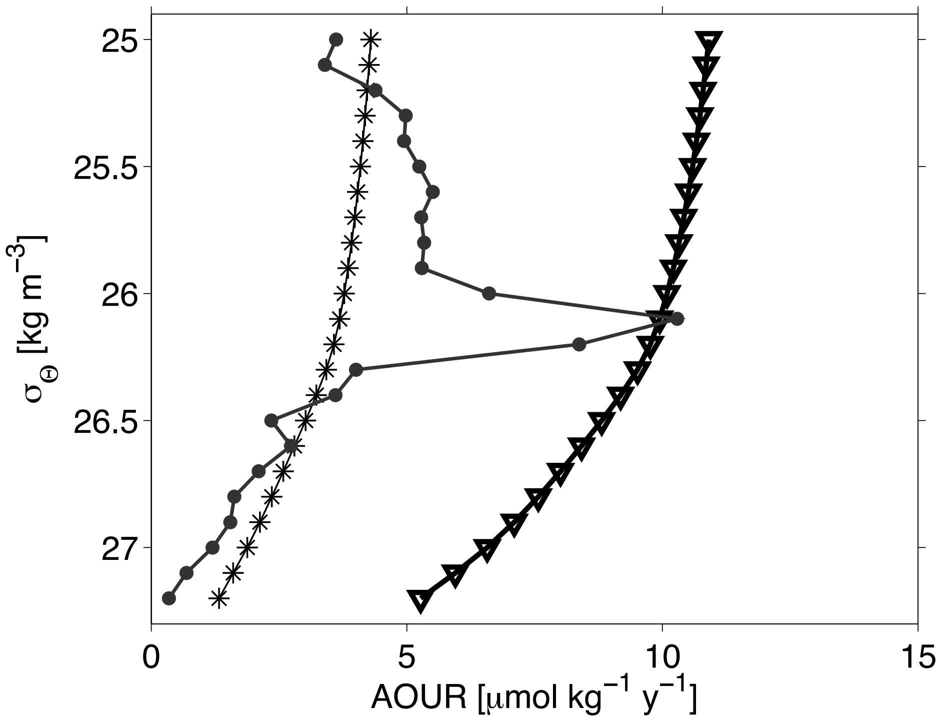

Figure 17. Three estimates of AOUR as function of density: Schneider et al. (2012) used the TTD approach for the ETNA (stars), Karstensen et al. (2008) used CFC-11 water ages from the ventilated gyre only (triangles), and based on the ratio of North Atlantic mean AOU for isopycnal volumes and the corresponding reservoir ages (black dots, see further details, e.g. reservoir ages and volumes, in Karstensen et al. (2008) Figs. 9 and 10).

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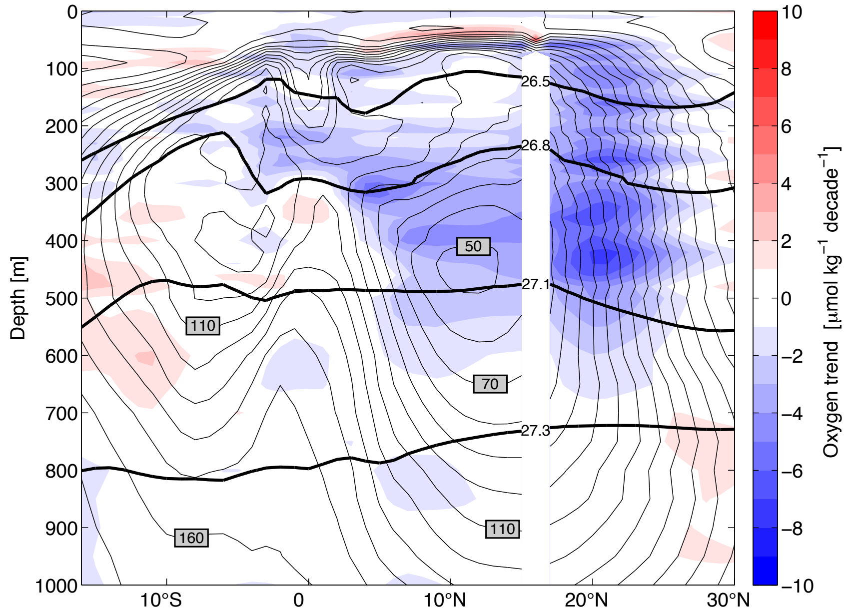

Figure 18. Oxygen trend along 23° W between 20° W and 26° W and between 1972 and 2013 as obtained from the MIMOC climatology (Schmidtko et al., 2013). The trend was calculated on depth coordinates using oxygen anomalies relative to mean oxygen. Thin black contours mark mean oxygen [µmol kg^{-1}], thick black contours mark potential density [kg m^{-3}], both from the MIMOC climatology.

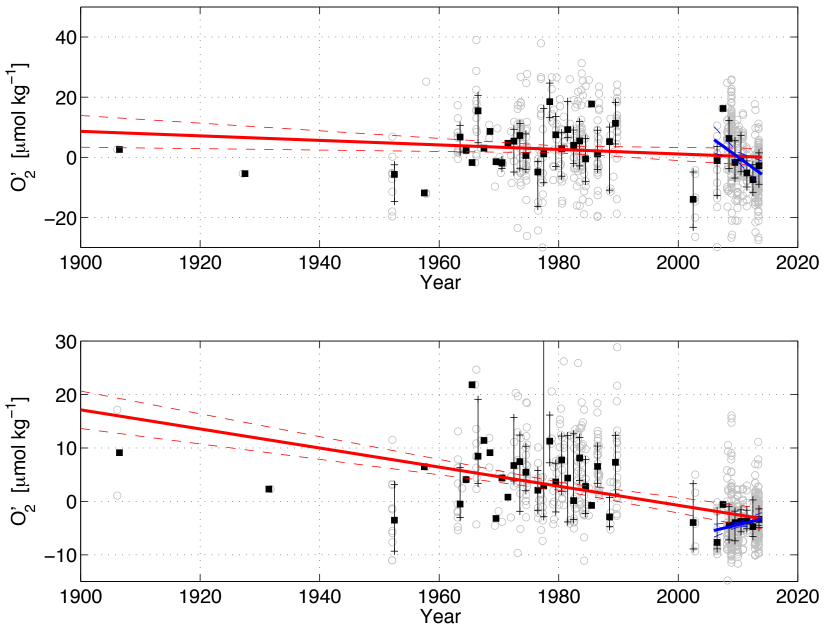

Figure 19. Oxygen anomalies for the region 9-15° N, 20-26° W and 150-300 m (intermediate oxygen maximum, upper panel) and 350-700 m (deep oxygen minimum, lower panel). Grey circles represent all available data, whiskers show interquartile range of data within each year and the black squares annual medians. Trends are calculated using annual medians weighted by the square root of available data within each year for the period 1900-2013 (solid red line) and 2006-2013 (solid blue line). The dashed lines mark the standard errors of the trends.

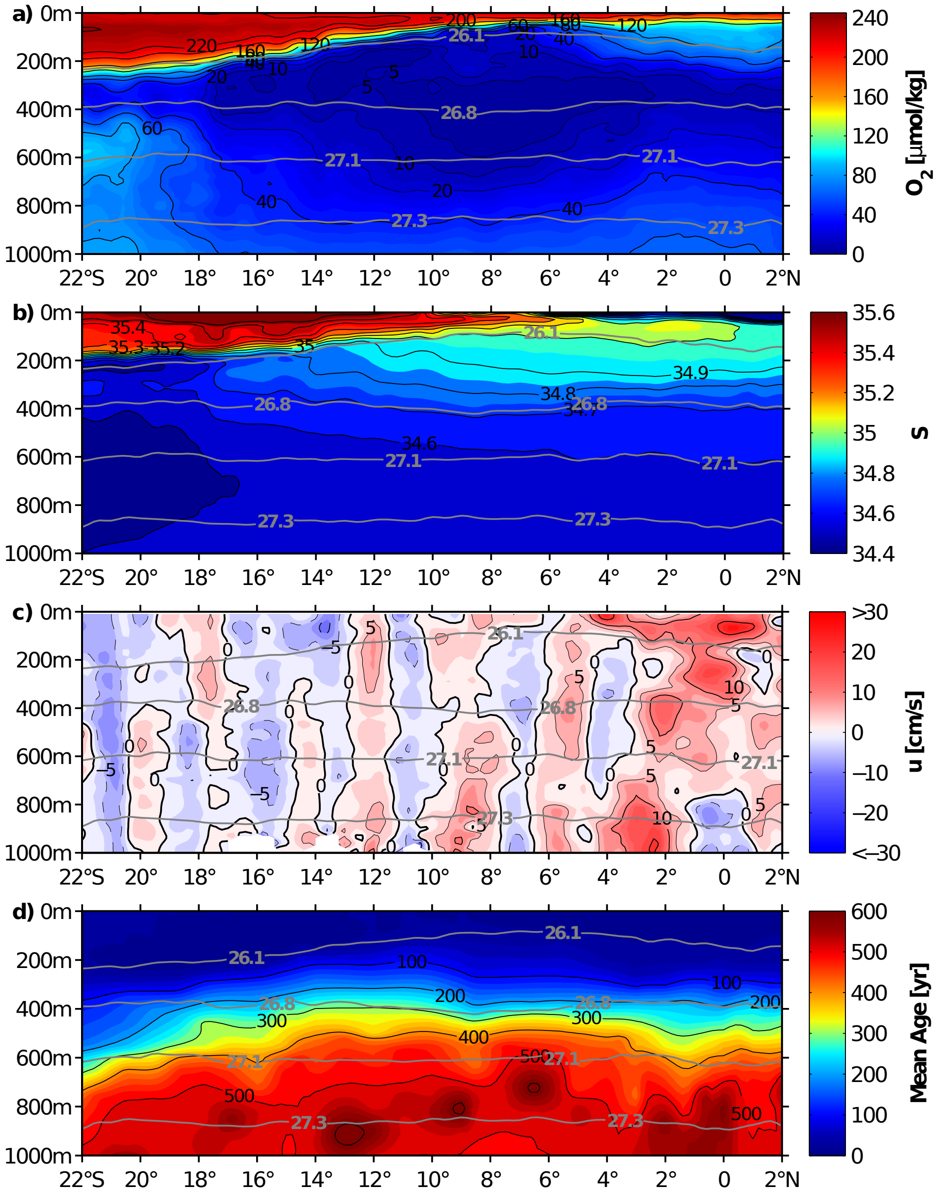

Figure 20. (a) Mean oxygen content, (b) salinity, (c) zonal velocity (positive eastward), and (d) mean age as obtained from meridional ship sections taken on three Pacific surveys along ~86° W during 1993-2012. Grey contours mark potential density [kg m^{-3}]. The mean age is solely based on data from 1993. Eastward current bands, marked by reddish colours, are generally associated with elevated oxygen content.

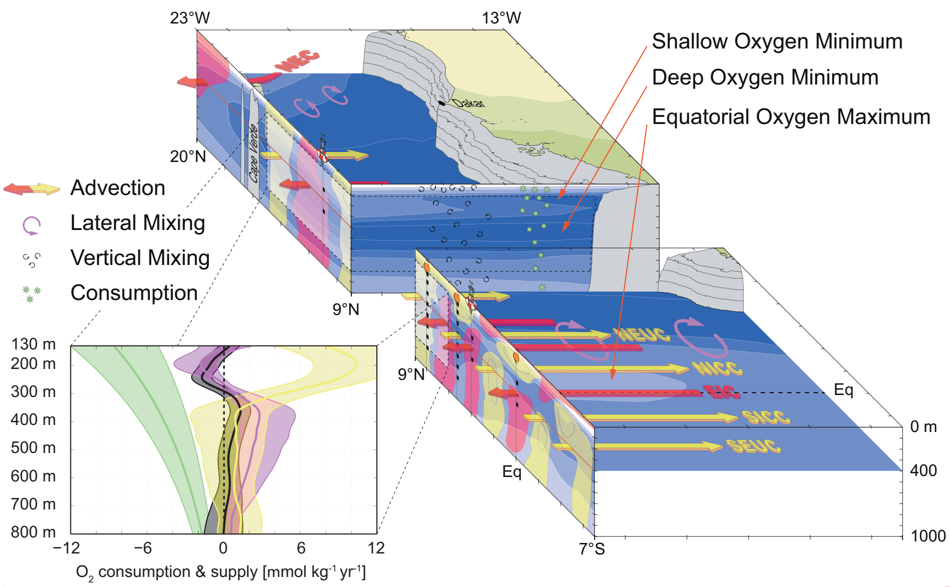

Figure 21. Schematic of the functioning of the ETNA OMZ and its oxygen budget. In the upper box, the oxygen distribution (bluish colours with dark/light blue corresponding to low/high oxygen) is shown at the sections along 23° W and 9° N and at the depth of 400 m; in the lower right box it is shown at the section along 23° W and at the depth of 400 m. Red and yellow areas at the 23° W section correspond to westward and eastward flow also marked by red and yellow arrows, respectively. The oxygen budget (lower left panel) includes physical supply by meridional (violet curve) and vertical mixing (black curve) as well as consumption after Karstensen et al. (2008) (green curve). The yellow curve in the lower left panel is the residual of the other 3 terms, which is dominated by zonal advection. All error estimates (coloured shadings) are referred to a 95 % confidence [except the isopycnal meridional eddy supply, where the error was estimated from both the error of the oxygen curvature (95 % confidence) and the error of the eddy diffusivity (factor 2 assumed)] (see further details in text and in Hahn et al. (2014)).

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