

1 **Impacts of soil moisture on *de-novo* monoterpene emissions from European**  
2 **beech, Holm oak, Scots pine, and Norway spruce**

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## 1 **Abstract**

2 Impacts of soil moisture on *de-novo* monoterpene (MT) emissions from Holm oak, European  
3 beech, Scots pine, and Norway spruce were studied in laboratory experiments. The volumetric  
4 water content of the soil,  $\Theta$ , was used as reference quantity to parameterize the dependency of  
5 MT emissions on soil moisture and to characterize the severity of the drought.

6 When  $\Theta$  dropped from  $0.4 \text{ m}^3 \cdot \text{m}^{-3}$  to  $\sim 0.2 \text{ m}^3 \cdot \text{m}^{-3}$  slight increases of *de-novo* MT emissions  
7 were observed but with further progressing drought the emissions decreased to almost zero. In  
8 most cases the increases of MT emissions observed under conditions of mild drought were  
9 explainable by increases of leaf temperature due to lowered transpirational cooling. When  $\Theta$   
10 fell below certain thresholds, MT emissions decreased simultaneously with  $\Theta$  and the  
11 relationship between  $\Theta$  and MT emissions was approximately linear. The thresholds of  $\Theta$   
12 ( $0.044\text{--}0.19 \text{ m}^3 \cdot \text{m}^{-3}$ ) were determined as well as other parameters required to describe the soil  
13 moisture dependence of *de-novo* MT emissions for application in the Model of Emissions of  
14 Gases and Aerosols from Nature, MEGAN.

15 A factorial approach was found appropriate to describe the impacts of  $\Theta$ , temperature, and  
16 light. Temperature and  $\Theta$  influenced the emissions largely independent from each other, and,  
17 in a similar manner, light intensity and  $\Theta$  acted independently on *de-novo* MT emissions. The  
18 use of  $\Theta$  as reference quantity in a factorial approach was tenable in predicting constitutive  
19 *de-novo* MT emissions when  $\Theta$  changed on a time scale of days. Only when soil moisture  
20 changed suddenly empirical parameterization with  $\Theta$  as a reference was unsuccessful.

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24 Key words: *de-novo* monoterpene emissions, soil moisture, algorithms, modelling

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## 1 1. Introduction

2 Biogenic volatile organic compounds (BVOC) are important atmospheric trace gases. They  
 3 are involved in photochemical ozone- and particle formation and they impact the oxidation  
 4 capacity of the Troposphere (e.g. Chameides et al., 1988; Jacob and Wofsy, 1988; Derwent et  
 5 al., 1996; Kulmala et al., 2004). On a global scale the source strengths of BVOC is estimated  
 6 to ~ 1000 Tg per year (Guenther et al., 1995; 2012) which exceeds the source strengths of  
 7 anthropogenic volatile organic compounds by about an order of magnitude. The estimates of  
 8 the global source strengths originate from model calculations which are often based on the  
 9 same general procedure: BVOC emissions for standard conditions and for representative plant  
 10 species (plant functional types) are used as basic model input. Dependencies of the BVOC  
 11 emissions on variables such as temperature, light intensity (PAR = photosynthetic active  
 12 radiation) and soil moisture are considered by applying phenomenological algorithms that  
 13 describe the respective dependencies.

14 One of the first algorithms was developed by Tingey et al. (1980, 1991), who showed that  
 15 monoterpene (MT) emissions from Slash pine exponentially depend on temperature but are  
 16 independent of PAR. The underlying reason is that MT emissions from conifers originate  
 17 from MT diffusion out of pools, which depend on temperature and not directly on PAR. In a  
 18 modified syntax, Tingey's algorithm reads:

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$$20 \quad \Phi = \Phi^S \cdot \exp(\beta \cdot (T - T_S)) \quad (1)$$

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22 In Eq. (1),  $\Phi$  is the emission rate of the MT at the actual temperature  $T$ ,  $\Phi^S$  is the standard  
 23 emission rate or emission activity factor, i.e. the emission rate measured at standard  
 24 temperature  $T_S$ .  $\beta$  is the parameter describing the temperature dependence.  $\beta$  is in the range of  
 25  $0.09 \text{ K}^{-1}$ .

26 Isoprene is emitted directly after its biosynthesis. Isoprene emissions thus are *de-novo*  
 27 emissions and directly related to the rate of isoprene biosynthesis. Biosynthetic activity  
 28 generally depends on T and PAR. Hence, both variables are required to describe isoprene  
 29 emissions as shown in the algorithm by Guenther et al. (1993). In a modified syntax, and  
 30 neglecting decreases of isoprene emissions induced by high temperature stress (e.g. Guenther  
 31 et al., 1993), the algorithm of Guenther et al. (1993) reads:

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$$33 \quad \Phi = \Phi^S \cdot \frac{c_L \cdot \alpha \cdot PAR}{\sqrt{1 + \alpha^2 \cdot PAR^2}} \cdot \exp(\beta \cdot (T - T_S)) \quad (2)$$

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2 In Eq. (2),  $\Phi$  is the emission rate at temperature  $T$  and at light intensity PAR.  $\Phi^S$  is the  
3 emission activity factor i.e. the emission rate measured at standard light intensity (often set to  
4  $1000 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ ) and at standard temperature,  $T_S$  (often set to  $30 \text{ }^\circ\text{C}$ ).  $\alpha$  is the parameter  
5 describing the PAR dependence of the emission, and  $c_L$  is a normalization factor used to  
6 obtain  $\Phi = \Phi^S$  when PAR is equal to the standard light intensity.

7 Eq. (2) consists of three factors: first the emission activity factor, second the factor describing  
8 the PAR dependence and third the factor describing the temperature dependence. A factorial  
9 approach requires the individual factors to be independent of each other; i. e. Guenther et al.  
10 (1993) postulated that the PAR dependence is independent of the actual temperature and vice  
11 versa, that the temperature dependence is independent of the actual PAR.

12 Schuh et al. (1997) found interdependent impacts of temperature and PAR on MT emissions  
13 from sunflower which could not be described by a factorial approach as given in Eq. (2). They  
14 ascribed the observed interdependency to the two different mechanisms of MT emissions, MT  
15 emissions from pools that are independent of the actual light intensity and *de-novo* emissions  
16 that depend on the actual light intensity. Depending on the species, both mechanisms can act  
17 in parallel. Schuh et al. (1997) suggested two additive terms to describe T and PAR  
18 dependence of MT emissions. One of them was similar to Eq. (2) and was used to describe  
19 *de-novo* MT emissions. The other one was similar to Eq. (1) and describes the temperature  
20 dependence of MT emissions from pools. By using additive terms the factorial approach for  
21 *de-novo* emissions was retained. This was confirmed by Shao et al. (2001) who used this  
22 algorithm to describe the emissions from Scots pine. Scots pine exhibits pure pool emissions,  
23 mixed pool and *de-novo* emissions as well as a pure *de-novo* emission (Kleist et al., 2012).

24 Schuh et al. (1997) noted the high variability of MT standard emission rates. Measuring  
25 emissions from different individuals of a given species under identical conditions of T and  
26 PAR still resulted in highly variable emission rates. This clearly shows that MT emissions  
27 also depend on other variables than T and PAR alone. Among such other variables are leaf  
28 phenology,  $\text{CO}_2$  concentrations and soil moisture. We here focus on soil moisture.

29

30 In the Model of Emissions of Gases and Aerosols from Nature (MEGAN, Guenther et al.,  
31 2006; 2012), impacts of soil moisture are only considered for isoprene emissions. Guenther et  
32 al. (2006) implemented the empirical algorithm by Pegoraro et al. (2004) who used the  
33 volumetric water content,  $\Theta$ , as reference quantity for characterizing the impacts of soil  
34 moisture. Three different regimes of  $\Theta$  are used in MEGAN to define the factor that describes

1 the impact of soil moisture on isoprene emissions. Above a threshold  $\Theta_1$ , isoprene emissions  
2 are not affected by soil moisture and the factor is unity. Below  $\Theta_1$ , isoprene emissions linearly  
3 decrease with decreasing  $\Theta$  until the wilting point  $\Theta_w$  is reached. The wilting point  $\Theta_w$  is the  
4 soil moisture below which plants cannot extract water from the soil. At and below  $\Theta_w$   
5 isoprene emissions are set to zero. In MEGAN,  $\Theta_w$  is taken from a database by Chen and  
6 Dudhia (2001). The difference:  $\Theta_1 - \Theta_w$ ,  $\Delta\Theta_1$ , is the empirical parameter used to describe the  
7 dependence of isoprene emissions on soil moisture. Its value ( $\Delta\Theta_1 = 0.06 \text{ m}^3 \cdot \text{m}^{-3}$ ) is taken  
8 from Pegoraro et al. (2004).

9 Compared to isoprene there are less studies on impacts of soil moisture on MT emissions.  
10 Some studies show increasing emissions with decreasing soil moisture (Bertin and Staudt,  
11 1996; Blanch et al., 2007; Ormeño et al., 2007), others show decreasing emissions with  
12 increasing severity of drought (Lavoit et al., 2009; Šimpraga et al., 2011). Besides this,  
13 different reference quantities have been used to characterize the soil moisture level. Among  
14 these are the plant water potential (Ormeño et al., 2007; Lavoit et al., 2009) and the diurnal  
15 variation of the radial stem diameter (Šimpraga et al., 2011). As these plant parameters are  
16 highly variable between individuals and influenced by a larger number of factors, they can  
17 hardly be used for up-scaling.

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19 Aim of our study was to provide data that can be used for modelling the impacts of soil  
20 moisture on *de-novo* MT emissions with MEGAN. For this purpose we performed laboratory  
21 measurements with different plant species exposed to drought. We tested whether the  
22 volumetric water content  $\Theta$  can be used as reference quantity and whether a factorial  
23 approach is justified. Results of our experiments and the limitations of our approach are  
24 described below.

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## 26 **2. Methods**

### 27 **2.1 Laboratory set up**

28 Experiments were performed at the Jülich Plant Atmosphere Chamber facility (JPAC). The  
29 setup of the chambers has already been described elsewhere in detail (e.g. Schimang et al.,  
30 2006; Mentel et al., 2009, 2013). The two plant chambers used for these experiments were  
31 made of borosilicate glass and operated as continuously stirred tank reactors (CSTR). To keep  
32 the temperature constant, the CSTRs were mounted in separate walk-in climate chambers.  
33 Each CSTR was equipped with a Teflon fan providing homogeneous mixing and diminishing  
34 the boundary layer resistance at leaf surfaces. The chambers were equipped with several

1 connections to introduce temperature sensors (Newport Omega, HTMTSS), a light-intensity  
2 sensor (LI-COR, LI-189) and to connect the tubes for gas phase analysis and air supply.  
3 For the experiments described here two plant chambers with volumes of 1150 L and 164 L  
4 were used. The chambers were equipped with 11 and 7 discharge lamps (HQI 400 W/D;  
5 Osram, Munich, Germany), respectively, resulting in PAR at full illumination and at typical  
6 mid-canopy heights of  $440 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  and  $700 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ , respectively. Infrared radiation  
7 (between 750 and 1050 nm) from the lamps was reflected by filters (type IR3, Prinz Optics  
8 GmbH, Stromberg, Germany) placed between the lamps and the chambers in order to  
9 minimize radiative heating of the plants. To minimise wall losses, all tubes either consisted of  
10 Teflon (PTFE or PFA) or glass.

11 Ambient air was purified by an adsorptive drying device (Zander Aufbereitungstechnik  
12 GmbH & Co. KG, Essen, Germany, KEA 70) and by a palladium catalyst operating at  $450^\circ\text{C}$ .  
13 Ozone, NO, NO<sub>2</sub>, and volatile organic compounds ( $> \text{C}_3$ ) were removed after the air had  
14 passed the purification system. Concentrations of CO<sub>2</sub> and water vapour were also reduced by  
15 the adsorption dryer. CO<sub>2</sub> was added to the inlet air to keep the CO<sub>2</sub> concentrations similar to  
16 those in the environment. CO<sub>2</sub> concentrations at plant chamber inlet were about 385 ppm.  
17 Uptake by the plants reduced the CO<sub>2</sub> concentrations in the chamber to about 350 ppm when  
18 the plants were well watered. Progressing drought caused lowered net photosynthesis and CO<sub>2</sub>  
19 concentrations in the chamber increased near to those at chamber inlet. The air flow through  
20 the chambers was kept constant by mass flow controllers (Brooks Instruments). Typical air  
21 flows were in the range of 20 - 30 L·min<sup>-1</sup> when using the small chamber and 50 - 100 L·min<sup>-1</sup>  
22 when using the large chamber.

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24 Also the analytical equipment at JPAC is described in detail in previous publications (Schuh  
25 et al., 1997; Wildt et al., 1997; Schimang et al., 2006). O<sub>3</sub> concentrations were measured by  
26 UV absorption (Thermo Environmental Instruments, model 49). Concentrations of NO were  
27 measured by chemiluminescence (Tecan, CLD 770 AL PPT) and for the measurements of  
28 NO<sub>2</sub> the analysed air was led through a photolytic converter (Tecan, PLC 760). During all the  
29 measurements presented here, O<sub>3</sub> mixing ratios were below 1 ppb. NO<sub>x</sub> mixing ratios were  
30 about 300 ppt.

31 Differences in mixing ratios of H<sub>2</sub>O and CO<sub>2</sub> between chamber inlet and outlet were  
32 measured by IR absorption (Rosemount Binos 100 4P). Absolute H<sub>2</sub>O concentrations were  
33 determined with dew point mirrors (Walz, MTS-MK1). Mixing ratios of BVOC were  
34 measured using gas chromatography - mass spectrometry (GC-MS, Agilent GC-MSD-system

1 HP5890 Series II + MS HP5972A, or GC-MSD-system HP6890 + HP5973, equipped with  
 2 thermal desorption, TDSG, Gerstel, Mülheim, Germany). Calibration of the GC-MS systems  
 3 was performed using a diffusion source containing pure chemicals in individual vials in  
 4 combination with a dynamic dilution system. Concentrations of the compounds released from  
 5 the calibration source were determined from the mass loss rates of the individual compounds  
 6 and the dilution fluxes. The VOC mixing ratios were in the lower ppb to ppt range. For details  
 7 on the GC systems and calibration procedure see e.g. Heiden et al. (2003).

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9 The CSTR contained shoots and leaves of the plants. The upper part of the setup was  
 10 separated from the lower part containing roots and soil by PTFE sheets with a hole at the  
 11 centre to accommodate the stem. The stem duct was closed by a flexible bag.

12 The pot containing the plants' roots was positioned in a shallow dish allowing for collecting  
 13 excess water from the pot. Plant, pot, and dish were mounted on a balance (Sartorius, MC1)  
 14 that was used to measure the weight of the investigated plant together with the soil and the  
 15 water in the soil. The flexibility of the bag that sealed the gap between stem and stem duct  
 16 allowed weighing the plant / soil system on-line. The balance had a nominal resolution of 1 g  
 17 but variations of the chamber's slight overpressure (5 – 10 mbar) imposed noise in the range  
 18 of 20 - 30 g limiting the precision of weight measurements.

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## 20 **2.2 Determination of flux densities**

21 Flux densities for the compound X,  $\Phi(X)$ , were calculated using the respective differences of  
 22 the mixing ratios between chamber inlet and outlet and the leaf area,  $A_{leaf}$ , as basis:

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$$24 \quad \Phi(X) = \frac{F_{air} \cdot ([X]_i - [X])}{A_{leaf}} \quad (3)$$

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26 In Eq. (3),  $F_{air}$  is the air flow through the chamber (in units of  $\text{mol s}^{-1}$ ),  $[X]_i$  is the mixing  
 27 ratio of compound X at chamber inlet and  $[X]$  is the mixing ratio of compound X in the  
 28 chamber. Flux densities are termed as MT emission rates for  $X = \text{MT}$ , transpiration rates for  
 29  $X = \text{H}_2\text{O}$ , and net assimilation rates for  $X = \text{CO}_2$ . According to the results of several tests (e.g.  
 30 Schuh et al., 1997; Heiden et al., 2003; Schimang et al., 2006) wall losses and chemical  
 31 reactions were unimportant for all compounds investigated here and were therefore neglected.  
 32 As a convention flux densities are positive when compounds are emitted (e.g. water, MT) and  
 33 negative when compounds are taken up (e.g.  $\text{CO}_2$ ). To allow better visual comparison in  
 34 diagrams, net assimilation is multiplied by -1.

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Stomatal conductance was calculated using Eq. (4).

$$c(H_2O) = \frac{\phi(H_2O)}{\Delta[H_2O]} \quad (4)$$

Herein,  $\Delta[H_2O]$  is the difference between water vapour mixing ratios in the substomatal cavity and in the chamber air, the former calculated from leaf temperature assuming saturation vapour pressure in the substomatal cavity.

After finishing an experiment, about 5 % of the leaves from broadleaf species were dissected from the plant, taking care to select representative leaves. The leaves were scanned for leaf area determination and evaluated by image analysis. Total leaf area was calculated by multiplying the averaged area measured per dissected leaf by the total number of leaves.

Needle area for the conifers were determined as described in Shao et al. (2001). The number of needles per 10 cm of branches with green needles was counted for representative branches, needles were removed from these branches, scanned and the area of dark pixels was determined. Upscaling from the measured needle area to the total needle area was performed by considering the total lengths of branches with green needles for the respective conifer.

Uncertainty in leaf / needle area determination was estimated to approximately 10 %. Note that the needle areas given in Table 1 are projected needle areas and leaf areas for broadleaf species are one sided leaf areas.

### 2.3 Experiments with plants

Experiments were conducted with individual plants using species representative for European climate zones. European beech (*Fagus sylvatica* L.) and Holm oak (*Quercus ilex* L.) represented species without storage pools for monoterpenes. Scots pine (*Pinus sylvestris* L.) and Norway spruce (*Picea abies* L.) mainly exhibited MT pool emissions but also showed *de-novo* MT emissions.

In total 7 plants were used for the measurements: one individual each for beech, spruce, and pine and four individuals of Holm oak. One to two years old beech-, pine-, and spruce-seedlings were taken from the forest, potted in buckets of 15 L volume and stored outside for about a year before they were used for the experiments. Seedlings of Holm oak were obtained from the forest nursery of Castelporziano Estate, Rome, central Italy. These have been successively potted in 15 L buckets and stored for several weeks in a growth room before



1 using them in the CSTR. All plants were potted in the same soil that was a mix of peat  
2 (Einheitserde ED73 containing  $2\text{g}\cdot\text{L}^{-1}$  nutrient salts and  $1\text{g}\cdot\text{L}^{-1}$  clay) with quartz sand,  
3 volumetric ratio 5:1, density  $0.46 \pm 0.07\text{kg}\cdot\text{L}^{-1}$ .

4 To control for the *de-novo* nature of the 1,8-cineol emission from the conifers we exposed the  
5 plants to  $^{13}\text{CO}_2$ . The chamber was flushed with synthetic air (Air Liquide, Germany) and  
6  $^{13}\text{CO}_2$  (99 %  $^{13}\text{C}$ ,  $\sim 350\text{ppm}$ ) was added for  $\sim 3\text{h}$  in each of both experiments.

7 Individual plants were investigated for time periods of 3 – 10 weeks. In most of our studies,  
8 the impact of soil moisture was investigated (Table 1, European beech, two experiments with  
9 Holm oak - experiment Holm oak 1 and Holm oak 2 -, one experiment with Norway spruce  
10 and one with Scots pine). In these experiments the plants were exposed to a diurnal rhythm of  
11 11 hours illumination, 11 hours darkness and simulation of twilight by switching on or off  
12 individual lamps within 1 hour in the morning and evening, respectively.

13 In additional studies, we varied T or PAR, respectively, in parallel to soil moisture to  
14 investigate the potential limitations of the factorial approach. In the third experiment with  
15 Holm oak the chamber temperature was systematically changed during periods of  
16 illumination. Except for two days, the chamber temperature was set to  $15\text{ }^\circ\text{C}$  during the night  
17 and kept at  $15\text{ }^\circ\text{C}$  for the next 6 – 7 hours of the following illumination period (from 3 o'clock  
18 to  $\sim 10$  o'clock). Then the temperature was set to  $20\text{ }^\circ\text{C}$  for four hours (from  $\sim 10$  to  $\sim 14$   
19 o'clock) and thereafter to  $25\text{ }^\circ\text{C}$  (from  $\sim 14$  to  $\sim 18$  o'clock, all data local time). The exact  
20 timing of temperature settings was adapted to the start of GC runs. The period of illumination  
21 was elongated to 15 h allowing measuring at the 3 different temperatures but at the same PAR  
22 for each day. When  $\Theta$  had fallen below  $0.02\text{ m}^3\cdot\text{m}^{-3}$ , the systematic temperature variations  
23 were interrupted for two days to follow the drought induced decrease of MT emissions  
24 without changes of temperature and PAR. After these two days the chamber temperatures  
25 were again varied in the same manner as before these days.

26 In the fourth experiment with Holm oak, PAR was changed systematically during periods of  
27 illumination. Every second day PAR was set to  $700\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$  for 6 hours (from 4 o'clock  
28 to  $\sim 10$  o'clock), thereafter to  $400\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$  for 5 hours (from  $\sim 10$  to  $\sim 15$  o'clock), and  
29 then to  $200\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$  for 5 hours (from  $\sim 15$  to  $\sim 20$  o'clock). From  $\sim 20$  o'clock to 4  
30 o'clock PAR was zero. During the other days, PAR was held constant at  $400\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$   
31 from 4:00 to 20:00. Table 1 lists the experiments and the respective conditions of PAR and  
32 chamber temperatures.

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1 Due to the radiation from the lamps, the chamber temperatures and the leaf temperatures  
 2 varied with illumination. During periods of darkness leaf temperatures and chamber  
 3 temperatures were similar. During periods of illumination leaf temperature was higher than  
 4 chamber temperature. For well watered plants leaf temperature was about 2 °C higher than the  
 5 chamber temperature. Progressing drought stress decreased transpiration and leaf  
 6 temperatures increased by additional 2 – 3 °C relative to the chamber temperature (Fig. 1).

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## 9 **2.4 Determination of $\Theta$ and the $\Theta$ -dependence of MT emissions**

10 The volumetric water content,  $\Theta$ , was determined from the mass loss of water during the  
 11 respective experiments (Eq. 5).

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$$13 \quad \Theta = \frac{M_{act} - M_{dry}}{V_{soil}} \cdot \frac{1}{\rho} \quad (5)$$

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15 In Eq. (5),  $M_{act}$  is the actual mass of the soil and  $M_{dry}$  is the dry mass of the soil.  $V_{soil}$  is the  
 16 volume of the soil in the pots neglecting the volume of the roots.  $M_{act}$  was measured online  
 17 and  $M_{dry}$  was estimated from soil samples taken from the top of the pots and oven dried at  
 18 110 °C for five days. The measured mass loss was converted to volume loss by using a water  
 19 density,  $\rho$ , of 1 kg L<sup>-1</sup>.

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21 The dependence of MT emission on  $\Theta$  was parameterized in the following manner: Maximum  
 22 MT emissions were reached when  $\Theta$  had fallen near to the threshold below which MT  
 23 emissions started to decrease ( $\Theta_1$ ). The average of the emission rates measured around the  
 24 maximum emissions was set as standard emission rate,  $\Phi^S$ . Emission rates measured at the  
 25 same light intensity and chamber temperature were normalized by dividing them by  $\Phi^S$ . The  
 26 normalised data were used to determine the relationship between MT emissions and  $\Theta$ .  
 27 Although the decrease of emissions with decreasing  $\Theta$  appeared to be exponential, we applied  
 28 a linear approximation to be comparable to other parameterization approaches. Linear fits to  
 29 the normalized data allowed to determine  $\Theta_1$  as well as the volumetric water content  $\Theta_0$  below  
 30 which the extrapolated emissions became zero. Only data with  $\Theta < \Theta_1$  and measured at the  
 31 same PAR and the same chamber temperature were taken for fitting. Furthermore, all data  
 32 where  $\Phi/\Phi^S < 0.05$  were discarded to diminish the impact of the exponential behaviour.

1 Similar to the notation used in MEGAN, we calculated  $\Delta\Theta_1$ , the difference  $\Theta_1 - \Theta_0$ . This  
 2 procedure of determining the  $\Theta$  dependence of MT emissions was performed for all data sets.

3

#### 4 **2.5 Error determination and conversion from $\Theta$ to RWC**

5 Experimental errors in the determination of  $\Theta$  were due to the noise on  $M_{act}$ , due to the  
 6 uncertainties of  $M_{dry}$  and due to the error in the determination of  $V_{soil}$ . The error in volume  
 7 determination was negligible and, compared to the error in  $M_{dry}$ , the error by the noise was of  
 8 minor importance. The statistical noise of 20 – 30 g (peak to peak noise, deviation from  
 9 average at maximum  $\pm 15$  g) added an uncertainty of  $\pm 15$  mL to the volume of water, which  
 10 is low compared to the total amount of added water (5 – 6 L). At soil volumes of about 13 L,  
 11 the uncertainty produced by the noise on  $\Theta$  was  $\pm 0.0012 \text{ m}^3 \cdot \text{m}^{-3}$ .

12 The error due to our procedure of taking soil samples was higher. Taking samples from the  
 13 same pot caused differences in the dry mass. Extrapolated to the total mass of the soil the  
 14 maximum deviation was 420 g leading to an uncertainty of  $\pm 210$  g for  $M_{dry}$ . This is more  
 15 than an order of magnitude higher than the uncertainty added by the noise on  $M_{act}$ . The  
 16 uncertainty we give for  $\Theta$  is therefore mainly based on the uncertainty of  $M_{dry}$  which,  
 17 converted to the water volume is  $\pm 210$  mL. The possible error added to  $\Theta$  by the uncertainty  
 18 of  $M_{dry}$  is:  $\pm 0.016 \text{ m}^3 \text{ m}^{-3}$ .

19 It has to be noted that the error caused by the different error sources have different qualities:  
 20 while errors due to the noise are statistical errors, the error caused by uncertainty of  $M_{dry}$  is a  
 21 systematic error for each individual experiment. Errors in  $M_{dry}$  mainly cause a systematic  
 22 shift of the  $\Theta$  axis. Errors in the zero point may therefore be high and in one case, the  
 23 measured  $M_{act}$  was lower than  $M_{dry}$ . This led to slightly negative values for  $\Theta$  which is  
 24 physically impossible. However, since the deviation from zero was quite low, we left the  
 25 negative values.

26 Erroneous determination of  $M_{dry}$  does not impose important uncertainties on  $\Delta\Theta_1$ . Due to the  
 27 systematic nature of this error, its main effect is a systematic shift of the  $\Theta$  axis. As  $\Delta\Theta_1$  is the  
 28 difference between  $\Theta_1$  and  $\Theta_0$ , systematic shifts in  $\Theta$  cancel out. Hence, total uncertainties in  
 29  $\Delta\Theta_1$  which is the main parameter for modelling with MEGAN were quite low and thus  
 30 acceptable.

31 Besides uncertainties of  $\Theta$  caused by errors in the determination of  $M_{act}$  and  $M_{dry}$  there is  
 32 also an uncertainty due to our fitting procedure. Also MT emissions contain uncertainties and  
 33 hence, data obtained from fits using the MT emissions as base conserve these uncertainties.

1 Nevertheless, the statements we give on the soil moisture dependence of MT emissions and  
 2 on the differences observed with this respect between MT emissions on the one hand and net  
 3 photosynthesis and transpiration on the other hand are not substantially affected by the errors  
 4 in  $\Theta$ .

5  
 6 To allow using our data also for models that use the relative water content of the soil as  
 7 reference we give a conversion factor from  $\Theta$  to RWC. According to Rambal et al. (2003),  
 8 RWC is the ratio of current water content to water content at field capacity. Using our mass  
 9 based data, RWC can be calculated according to Eq. (6):

10

$$11 \quad RWC = \frac{M_{act} - M_{dry}}{M_{FC} - M_{dry}} \quad (6)$$

12

13 In Eq. (6),  $M_{FC}$  is the mass of the soil at field capacity,  $M_{act}$  is the actual mass and  $M_{dry}$  the  
 14 dry mass as in Eq. (5). Field capacity is reached when the micropores of the soil are filled  
 15 with water and the macropores filled with air after water is lost by gravity. According to our  
 16 procedure of waiting some hours before measuring the weight of pot and plant, the water in  
 17 the macropores should have been lost. We therefore approximate  $M_{FC}$  from the weight shortly  
 18 after removing the excess water from the dishes below the pots and after subtracting the mass  
 19 of the empty pot. Setting  $RWC = x \cdot \theta$  it follows:

20

$$21 \quad x = \frac{V}{M_{FC} - M_{dry}} \cdot \rho \quad (7)$$

22

23 As factor pooled from all measurements we obtained  $x = 2.6 \text{ [kg} \cdot \text{kg}^{-1} \cdot \text{m}^{-3} \cdot \text{m}^3]$  if soil water  
 24 content is measured in  $kg(H_2O)_{act} \cdot kg(H_2O)_{FC}^{-1}$ . Note that the conversion factor is only  
 25 valid for our type of soil and cannot be transferred to other experiments or field conditions.

26

27

## 28 **3. Results**

### 29 **3.1 Emission patterns**

30 All investigated plants emitted monoterpenes (MT) while emissions of isoprene and  
 31 sesquiterpenes were low, if detectable at all. Neither stress induced emissions of phenolic  
 32 volatiles originating downstream of the shikimate pathway nor stress induced emissions  
 33 originating from the octadecanoid pathway were observed. Even for drought stressed plants

1 such non-MT emissions were absent indicating that the plants did not substantially suffer  
2 from other unintended stresses than drought.

3 The MT emission patterns were constant for each individual Holm oak and European beech.  
4 Relating the emission rates of a given MT to those of other MT (cross correlations) emitted  
5 from the same plant yielded significant correlations with coefficients of determination always  
6 above  $R^2 > 0.95$  (Fig. 2). On the one hand the high correlation showed that all MT had the  
7 same basic emission mechanism: all of them were *de-novo* emissions. On the other hand the  
8 excellent correlation was obtained including the data during severe drought. This implies that  
9 for a given plant the impacts of drought were exactly the same for emissions of each  
10 individual MT species. Therefore the effects of drought on *de-novo* MT emissions can be  
11 shown at the example of a single MT.

12 Sabinene was the dominant emission from European beech, comprising nearly 1/3 of the total  
13 emissions. Other MT emitted in substantial amounts were:  $\gamma$ -terpinene,  $\alpha$ -terpinene,  $\beta$ -  
14 phellandrene, and  $\alpha$ -terpinolene. We will show the response of European beech to drought at  
15 the example of sabinene. Main MT emissions from Holm oak were those of  $\alpha$ -pinene,  
16 limonene,  $\beta$ -pinene, sabinene and myrcene. In two of the experiments with Holm oak  $\alpha$ -  
17 pinene was the dominant emission (experiment 1: ~ 48 % of total MT emissions; experiment  
18 3: ~ 42 % of total MT emissions), in the two other experiments the emissions of limonene  
19 were the strongest (experiment 2: limonene ~ 45 %,  $\alpha$ -pinene ~ 23 % of total MT emissions;  
20 experiment 4: limonene ~ 39 %,  $\alpha$ -pinene ~ 25 % of total MT emissions). To demonstrate the  
21 behaviour of MT emissions from Holm oak in response to drought we choose  $\alpha$ -pinene as  
22 pivotal substance. It has to be noted that strong emissions of the acyclic ocimenes have been  
23 absent from Holm oaks. Emissions of ocimenes may show different behaviour than emissions  
24 of the cyclic MT (Staudt and Bertin, 1998). On the one hand correlations as shown in Fig. 2  
25 may be disturbed in the presence of strong ocimene emissions. On the other hand we cannot  
26 report on the drought impacts on ocimene emissions which may be different from that shown  
27 here for the cyclic MT. During our experiments the ocimene emissions were too low to  
28 significant influence the sum of all MT emissions (maximum ~ 6 % of total MT emissions).

29  
30 For spruce and pine cross correlations as shown in Fig. 2 were useless. Both conifers  
31 exhibited pure pool emissions, mixed pool and *de-novo* emissions as well as one pure *de-novo*  
32 emission (compare Shao et al. 2001; Kleist et al., 2012). The only pure *de-novo* MT emission  
33 was that of 1,8-cineole. We therefore show only the data we obtained for 1,8-cineole although  
34 these emissions made only a minor contribution to the total emissions. For spruce the

1 emissions of 1,8-cineole contributed to less than 1 % to the total, for pine they contributed to  
 2 about 10% to the total MT emissions.

3

## 4 **3.2 Impacts of soil moisture**

### 5 **3.2.1 European beech**

6 Fig. 3 shows the time series of sabinene emissions from European beech over a period of  
 7 about three weeks. According to the *de-novo* nature of the emissions a significant diurnal  
 8 variation was observed with nearly no emissions during darkness and high emissions during  
 9 periods of illumination. As can be seen in the  $\Theta$ -trace, the plant was watered 4 times during  
 10 the experiments. Between 2.5 and 5.6 L water were added after soil moisture had reached  
 11 values of  $\Theta \sim 0.1 \text{ m}^3 \cdot \text{m}^{-3}$  (dry conditions) and  $\Theta$  near to  $0 \text{ m}^3 \cdot \text{m}^{-3}$  (severe drought),  
 12 respectively.

13 Besides the strong light dependent variation, also impacts of soil moisture on MT emissions  
 14 were observable. During severe drought (2<sup>nd</sup> period, days 5 - 17 in Fig. 3) MT emissions  
 15 decreased near to zero. After re-watering MT emissions increased again. Such increases were  
 16 not instantaneous but appeared on a time scale of few days.

17

18 Transpiration (not shown in Fig. 3) and net assimilation showed similar responses to  $\Theta$  as MT  
 19 emissions but with different response times. As can be seen, changes in net assimilation  
 20 appeared earlier than changes in MT emissions, in particular during the phase of the second  
 21 drought period (Fig. 3, days 5 - 17).

22

23 Fig. 4 shows the dependence of normalized sabinene emissions and net assimilation on  $\Theta$ .  
 24 Only data taken at  $\text{PAR} = 440 \mu\text{mol} \cdot \text{m}^{-2} \cdot \text{s}^{-1}$  are shown. For  $\Theta$  between 0.35 and  $0.1 \text{ m}^3 \cdot \text{m}^{-3}$ ,  
 25 MT emissions showed a considerable scatter that was mainly caused by different response  
 26 times for the emissions to increase to pre-drought levels. With  $\Theta$  falling below  $0.1 \text{ m}^3 \cdot \text{m}^{-3}$   
 27 emissions decreased to minute amounts. As obvious from Fig. 4, changes of net assimilation  
 28 as response to decreasing soil moisture were different from those of MT emissions. Net  
 29 assimilation already decreased at higher  $\Theta$  of  $\sim 0.22 \text{ m}^3 \cdot \text{m}^{-3}$ .

30

### 31 **3.2.2. Holm oak**

32 Two experiments with Holm oak were set up to investigate whether the reduction of MT  
 33 emissions with decreasing  $\Theta$  was determined by the soil moisture or by some other time  
 34 constant of the plant itself (Table 1, experiment Holm oak 1 and Holm oak 2, temporal shape

1 of  $\alpha$ -pinene emissions, assimilation and  $\Theta$  see Fig. S1 in the supplement). Holm oak 1 was  
 2 investigated at higher PAR and at higher T and showed higher rates of net photosynthesis and  
 3 higher transpiration (data not shown). The soil and the plants roots were also exposed to  
 4 higher temperature compared to Holm oak 2. Due to the combination of higher evaporation  
 5 and transpiration rates,  $\Theta$  dropped from  $\sim 0.12 \text{ m}^3 \cdot \text{m}^{-3}$  to  $\sim 0.03 \text{ m}^3 \cdot \text{m}^{-3}$  within 8 days, faster  
 6 than for Holm oak 2 where it took about 18 days.

7 The MT emissions decreased also on different time scales in the two experiments (see Fig.  
 8 5a). In contrast, the decrease of MT emissions with decreasing  $\Theta$  was similar for both  
 9 individuals although they were investigated at different dynamics of drought progression (Fig.  
 10 5b). The substantial difference observed for the temporal behaviour of the emissions (Fig. 5a)  
 11 did not cause significant changes in decrease of emission rates for  $\Theta < 0.1 \text{ m}^3 \cdot \text{m}^{-3}$  (Fig. 5b).  
 12 Similar as for beech, increasing MT emissions were observed for Holm oak when  $\Theta$  declined  
 13 from  $\sim 0.4 \text{ m}^3 \cdot \text{m}^{-3}$  to  $0.15 \text{ m}^3 \cdot \text{m}^{-3}$ . For Holm oak 1, at higher T and PAR, the emissions  
 14 increased nearly 3-fold. For Holm oak 2 the increase was about 40 %.

15

16 In the third experiment with Holm oak chamber temperature was changed systematically  
 17 during the progressing drought (Table 1, experiment Holm oak 3). This experiment was  
 18 designed to measure the impact of  $\Theta$  on MT emissions at different temperatures as well as the  
 19 temperature dependence at different stages of drought.

20 The temperature dependence of MT emissions was determined by linear regression analysis  
 21 of  $\ln(\Phi)$  versus leaf temperatures (see Eq.(1) or Eq. (2) third factor). During the first 9 days  
 22 with varying temperature at  $\Theta > 0.1 \text{ m}^3 \cdot \text{m}^{-3}$  the temperature dependence remained constant  
 23 with  $\beta = 0.12 \pm 0.007 \text{ [K}^{-1}\text{]}$  (mean, 1  $\sigma$  standard deviation).

24 Similar to the observations in the other experiments with Holm oak, MT emissions decreased  
 25 when  $\Theta$  fell below  $0.06 \text{ m}^3 \cdot \text{m}^{-3}$ . During this measurement period the impacts of progressing  
 26 drought and variations of temperature superimposed each other. Although temperature  
 27 increased systematically over the day, emissions did not increase substantially. Consistent  
 28 with the findings reported by Bertin and Staudt (1996). In the raw data typical log-linear  
 29 relationships between emissions and temperature were not easily observable. We therefore  
 30 had to remove the drought induced decrease of MT emissions over the day.

31 Approaches to determine the  $\Theta$  dependence from the data obtained at the days when  
 32 temperature was held constant were not successful. The small dynamic range in which  $\Theta$   
 33 changed during these two days (from  $\sim 0.025$  to  $\sim 0.013 \text{ m}^3 \cdot \text{m}^{-3}$ ) prevented a reliable analysis.  
 34 On the other hand, the assumed linear decrease of MT emissions with decreasing  $\Theta$  is only an

1 approximation because the decrease appeared to be exponential (compare Fig. 4, 5b). We  
 2 therefore directly used the temporal decay of the emissions during the two days when  
 3 temperature and PAR were held constant to develop the drought correction considering that  
 4 the temporal decay of emissions reflects the decay in  $\Theta$  (compare Figs 5a and 5b).

5 An exponential function was fitted to the temporal decay measured during the respective days  
 6 yielding a decay rate of  $0.04 \pm 0.002 \text{ h}^{-1}$ ,  $R^2 = 0.85$ . The correction factor was set to 1 for the  
 7 time when the chamber had reached steady state conditions after twilight in the morning.  
 8 Correction factors were then calculated for each time when the chromatograms were taken by  
 9 using the decay rate of  $0.04 \text{ h}^{-1}$ . The emission rates determined for the respective times were  
 10 then divided by the correction factor which dropped from 1 in the morning to  $\sim 0.6$  in the  
 11 evening. Data corrected for the drought induced decrease were used to determine the  
 12 temperature coefficient  $\beta$  during periods with low soil moisture.

13 Fig. 6 shows the values obtained for  $\beta$  in dependence of  $\Theta$ . As the decay rate of 0.04 per hour  
 14 was not applicable for  $\Theta$  near to  $\Theta_1$ , data points near to  $\Theta_1$  ( $0.06 < \Theta < 0.12 \text{ m}^3 \cdot \text{m}^{-3}$ ) were  
 15 discarded. Data analysis resulted in  $\beta = 0.13 \pm 0.024 \text{ [K}^{-1}\text{]}$  for the data points determined for  
 16  $\Theta < 0.06 \text{ m}^3 \text{ m}^{-3}$  which is about the same as  $\beta = 0.12$ , determined for stress free conditions  
 17 within the error limits. Thus, no significant differences were found between the temperature  
 18 dependency of emissions under drought stress and under stress free conditions.

19

20 In the fourth experiment with Holm oak PAR was changed systematically during the  
 21 progressing drought (Table 1, experiment Holm oak 4) to allow for determining the  
 22 dependence of the emissions on  $\Theta$  at different PAR and the dependence of emissions on PAR  
 23 at progressing drought.

24 The correction was made in the same way as described above by fitting an exponential  
 25 function to the temporal decay measured during the days at constant PAR and temperature.  
 26 The emission rates measured the next day under conditions of variable PAR were then  
 27 corrected by dividing them by the correction factors. The data already corrected for their  $\Theta$   
 28 dependence were normalized using the emission rates measured at the respective day at PAR  
 29  $= 700 \mu\text{mol m}^{-2} \text{ s}^{-1}$ . Fig. 7 shows the normalised emission as a function of light intensity at the  
 30 example of 3 days. Within the precision of the data the light intensity dependence did not  
 31 substantially or systematically change with progressing drought.

32

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34



### 1 3.2.3. Scots pine and Norway spruce

2 The experiments with pine and spruce lasted for about a month each and each of the plants  
3 was exposed to 3 drought periods. At least one of these drought applications was severe with  
4  $\Theta < 0.01 \text{ m}^3 \cdot \text{m}^{-3}$ .

5 Main emissions from both conifers were pool MT emissions such as  $\alpha$ -pinene and  $\Delta$ -3-carene.  
6 Besides such pool emissions the plants also emitted 1,8-cineole which is a *de-novo* emission  
7 (Tarvainen et al., 2005; Kleist et al., 2012). This was also tested for both individuals used in  
8 our experiments by exposing them to  $^{13}\text{CO}_2$ . After three hours of  $^{13}\text{CO}_2$  exposure 1,8-cineole  
9 was strongly labeled indicating that 1,8-cineole was a *de-novo* emission with negligible  
10 contribution of pool emissions. We here focus on the  $\Theta$  dependence of 1,8-cineole in order to  
11 compare with results obtained for the *de-novo* MT emissions from the deciduous species.

12 Emissions of 1,8-cineole from both species behaved similar to the *de-novo* MT emissions  
13 from the broadleaf species (compare Fig S2 in the supplement). When  $\Theta$  dropped from 0.35  
14  $\text{m}^3 \cdot \text{m}^{-3}$  to the respective thresholds  $\Theta_1$  the emissions slightly increased ( $\sim 30\%$  for spruce and  
15  $\sim 50\%$  for pine). Below  $\Theta_1$  the 1,8-cineole emissions decreased and approached nearly zero  
16 (compare Figs. S3 and S4 in the supplement). After re-watering, MT emissions recovered on a  
17 time scale of days and reached levels similar to those before the drought. All in all, the *de*  
18 *novo* emissions of pine and spruce behaved the same as the *de-novo* MT emissions from the  
19 broadleaf species. Data of dependency of the 1,8-cineole emissions on  $\Theta$  are listed in Table 2  
20 together with the data obtained in the other experiments.

21

## 22 4. Discussion:

### 23 4. 1 Comparison to literature data

#### 24 4.1.1 Mild drought stress

25 All *de-novo* MT emissions from all plants investigated in our experiments were dependent on  
26 soil moisture. While mild drought ( $\Theta > \Theta_1$  with  $\Theta_1 = \Theta_0 + \Delta\Theta_1$ , see Table 2) caused slight  
27 increases of MT emissions, severe drought ( $\Theta < \Theta_1$ ) caused decreasing MT emissions from all  
28 investigated species.

29 Increasing emissions under mild drought have been reported before (Bertin and Staudt, 1996;  
30 Blanch et al., 2007; Ormeño et al., 2007). Blanch et al. (2007) studied MT emissions from  
31 Holm oak (*Quercus ilex*) and Aleppo pine (*Pinus halepensis*). They report emissions to  
32 increase two-fold for Holm oak when the relative water content of the leaves decreases from  $\sim$   
33 90 % to 80 %. Ormeño et al. (2007) studied the impact of water deficit on emissions from  
34 Rosemary (*Rosmarinus officinalis*), Aleppo pine (*Pinus halepensis*), Rock rose (*Cistus*

1 *albidus*), and Kermes oak (*Quercus coccifera*). Using the plant water potential as a reference,  
2 they report two to three fold increases of MT emissions when the water potential drops from  
3 -2 to -8 MPa.

4 Although these previous studies agree with our finding that MT emissions increase under mild  
5 drought, a quantitative comparison is impossible because different reference quantities are  
6 used to characterize the degree of drought stress. We assume that most of the increases in MT  
7 emissions observed during our studies were only an indirect effect of drought. In our studies  
8 leaf temperatures were reasonably well measured for the broadleaf species. Leaf temperatures  
9 increased when  $\Theta$  dropped from high values ( $\Theta > 0.3 \text{ m}^3 \cdot \text{m}^{-3}$ ) to  $\sim 0.1 \text{ m}^3 \cdot \text{m}^{-3}$  even though  
10 chamber temperatures were kept constant. Obviously, the decline in transpiration lowered its  
11 cooling effect leading to higher leaf temperatures (Fig. 1). In the experiment with European  
12 beech and in three of the experiments with Holm oak (experiments 2, 3, and 4) MT emissions  
13 increased by less than 50% when leaf temperature increased due to the progressing drought.  
14 Applying  $\beta \sim 0.12 \text{ K}^{-1}$ , the main fraction of increases in MT emissions from these plants was  
15 explained by the increase of leaf temperature. Only for one single Holm oak we found an  
16 increase ( $\sim$  three fold, see Fig. 5b, red circles) too high to be explained by increases in leaf  
17 temperature alone.

18 Due to the watering-drought-watering procedure used here it is possible that parts of the  
19 increases arise from recovery from a preceding drought. In particular when  $\Theta$  decreases  
20 rapidly, the effects of sequential droughts may overlap. However, the effect of strongly  
21 increasing MT emissions with  $\Theta$  dropping from high levels to  $\Theta_1$  was observed in one  
22 experiment only and without further information we can only speculate on this effect.

23 The reason for increases of the 1,8-cineole emissions from conifers during mild drought stress  
24 ( $\sim 30 - 50\%$  when  $\Theta$  dropped from 0.35 to  $0.1 \text{ m}^3 \text{ m}^{-3}$ ) also remains unproven because leaf  
25 temperatures were not reliably measurable for both conifers. Nevertheless it is reasonable to  
26 assume that also in conifer species needle temperature increase due to lower transpirational  
27 cooling caused the observed slight increases in MT emissions.

28 For modelling of isoprene emissions in MEGAN, the impact of leaf temperature is  
29 implemented by a general temperature dependence of the emissions. Such parameterization  
30 cannot differentiate between variations of leaf temperature by variations in transpiration or by  
31 variations of air temperature. Therefore the indirect effect of drought does not appear in the  
32 factor for the dependence of isoprene emissions on soil moisture (Guenther et al., 2006). In  
33 analogy we suggest, to consider decreasing emissions caused by severe drought stress also for  
34 *de-novo* MT emissions.

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#### 4.1.2 Severe drought stress

Similar to previous studies (e.g. Bertin and Staudt, 1996; Llusà and Peñuelas, 1998; Plaza et al., 2005; Lavoit et al., 2009; Šimpraga et al., 2011; Bourtsoukidis et al., 2014) we observed substantially reduced MT emissions during periods of severe drought as well as increasing emissions after re-watering (Peñuelas et al., 2009). Llusà and Peñuelas (1998) found strong suppression of MT emissions from non-storing species such as Holm oak and a significant relation between net assimilation and MT emissions. Lavoit et al. (2009) found exponentially decreasing emissions when the leaf water potential fell below -2 MPa. Šimpraga et al. (2011) show strong attenuation of MT emissions from European beech with progressing drought. The temporal shape of sabinene emissions from Beech as shown here in Fig. 3 for the second severe drought was nearly identical to the temporal shape observed by Šimpraga et al. (2011) indicating that the same general effect was found. But again, direct comparison of the results is not easy because different reference quantities are used to characterise the degree of drought.

Bourtsoukidis et al. (2014) use soil water availability to characterize the degree of drought. They measured BVOC emissions from Pedunculate oak (*Quercus robur*) and Black cherry (*Prunus serotina*). Compared to the MT emissions from regularly watered plants (soil water availability ~ 30 %) the MT emissions from plants under drought (soil water availability ~ 2.3 %) were reduced by about 65 %. This is similar to the reductions found when taking the relative water content of the soil as a reference instead of  $\Theta$ .

As shown in Fig. 2, cross correlations including data obtained under severe drought led to excellent coefficients of determination implying that severe drought acts in the same manner on all MT. This can only be explained by two possibilities. Either drought suppresses all MT synthase activities in an identical manner, or affects a common precursor of all MT. While the former explanation is unlikely the latter is consistent to the findings of Grote et al. (2010) who show that MT synthase activities are unaffected by drought. The most probable explanation for the identical response of all MT emissions to the drought therefore is an impact on a common precursor.

#### 4.2 Present state of modelling with MEGAN

While effects of soil moisture on *de-novo* MT emissions are not yet considered in the Model of Emissions of Gases and Aerosols from Nature (MEGAN, Guenther et al., 2006, 2012) the

1 effects on isoprene emissions are considered. As noted above, isoprene emissions are  
2 unaffected as long as  $\Theta$  is above a threshold  $\Theta_1$ , they decrease linearly between  $\Theta_1$  and the  
3 wilting point  $\Theta_w$  and they remain zero as long as  $\Theta < \Theta_w$ . We found a similar behavior for  
4 the *de-novo* MT emissions indicating that the emission of MT on soil moisture can be  
5 modelled using the same formalism with the same basic modelling parameters  $\Theta_w$  and  $\Delta\Theta_1$ .

6 The wilting point  $\Theta_w$  is the soil moisture below the plants cannot take up water. During our  
7 measurements we always observed a recovery of the plants from the drought after re-  
8 watering. Thus soil moisture during drought in our experiments must have been always  
9 slightly above a critical value causing permanent damage to the plant. Insofar  $\Theta_0$  listed in  
10 Table 1 may not directly reflect  $\Theta_w$ . However,  $\Theta_w$  depends on the soil and  $\Theta_w$  varies by up to  
11 two orders of magnitude (Chen and Dudhia, 2001). For modelling,  $\Theta_w$  data from Chen and  
12 Dudhia (2001) are used and such data are listed for different soil types. The data we provide  
13 for  $\Theta_0$  are therefore not needed for modelling purposes and possible differences between  $\Theta_0$   
14 and  $\Theta_w$  are not relevant for modelling.

15 Basic parameter for modelling is  $\Delta\Theta_1$ . Our average of  $\Delta\Theta_1$  (average =  $0.08 \pm 0.05 \text{ m}^3 \cdot \text{m}^{-3}$ ,  
16 error =  $1\sigma$  standard deviation, statistical weight the same for individual plants) is similar to  
17  $\Delta\Theta_1$  used to model isoprene emissions ( $\Delta\Theta_1 = 0.06 \text{ m}^3 \cdot \text{m}^{-3}$ ). Our data for  $\Delta\Theta_1$  show  
18 substantial variability and it is unknown so far whether the high variability is caused by a  
19 different behavior of individuals or by other reasons. Within the uncertainty of the data it was  
20 also impossible to find differences between Holm oak and the other species. No conclusions  
21 can thus be drawn whether or not more drought tolerant plants such as Holm oak show  
22 different behavior than more drought sensitive plants such as European beech. However, all  
23 plants behaved similar and we therefore suggest that using the average  $\Delta\Theta_1$  for modeling  
24 purposes is a tenable approach.

25 Considering the impact of soil moisture in MEGAN, results in a 7 % reduction of global  
26 isoprene emissions only but substantial impacts of drought are expected on a regional scale  
27 (Guenther et al., 2006). In contrast Acosta Navarro et al. (2014) find significant depletion of  
28 global isoprene emissions by 24 % when considering drought impacts.

29 Including the impacts of soil moisture on *de-novo* MT emissions in modelling may have  
30 different effects because isoprene emitters and *de-novo* MT emitters are regionally differently  
31 distributed. Recent studies show that, particularly in Europe, the fraction of MT emitting  
32 species is larger than previously predicted (Oderbolz et al., 2013; Kemper Pacheco et al.,

1 2014). The effects described here may therefore have large impacts when modelling MT  
2 emissions in Europe.

3 Impacts of drought on MT emissions in Europe have been modelled by Lavoit et al. (2011).  
4 The authors performed model calculations to determine the impact of soil moisture on MT  
5 emissions from Holm oak in southern France. As input for their model they used data from  
6 Lavoit et al. (2009) who determined the soil moisture dependence of MT emissions from  
7 Holm oak using the leaf water potential as reference quantity. For modelling they used the  
8 relative water content of the soil (RWC) as reference considering a relationship between leaf  
9 water potential and RWC. Lavoit et al. (2011) give a threshold of  $0.7 \text{ kg}\cdot\text{kg}^{-1}$  above which  
10 MT emissions from Holm oak are independent of soil moisture and a linear decrease to zero  
11 at  $\text{RWC} = 0.4 \text{ kg}\cdot\text{kg}^{-1}$ .

12 Using our data for Holm oak and applying our conversion factor (Eq. 7) we obtain  $\text{RWC} =$   
13  $0.24 \pm 0.1 \text{ kg}\cdot\text{kg}^{-1}$  as the threshold. The emissions are zero at  $\text{RWC} \leq 0.09 \pm 0.1 \text{ kg}\cdot\text{kg}^{-1}$ . Both  
14 our values are far lower than the data used by Lavoit et al. (2011) for up-scaling. Such  
15 differences are explainable by different structural properties of soils stressing the importance  
16 for regional models to consider soil properties for a more realistic emission estimate.  
17 However, the differences also show that the impacts of drought on regional MT emissions  
18 may be less pronounced than modelled by Lavoit et al. (2011).

19

### 20 **4.3 Use of $\Theta$ as reference quantity for modelling**

21 We aimed at providing a data set to support modelling of soil moisture effects on *de-novo* MT  
22 emissions with MEGAN. Therefore we used  $\Theta$  as reference quantity and we established an  
23 empirical relationship between *de-novo* MT emissions and  $\Theta$ . Nevertheless, when reflecting  
24 mechanisms of the drought impact, plant physiological processes must be considered. For  
25 isoprene the decreasing emissions as result of drought are ascribed to a general decrease of the  
26 plant's performance (e. g. Brüggemann and Schnitzler, 2002). Decreased plant performance  
27 causes reduction of isoprene biosynthesis and thus isoprene emissions. Basic parts of isoprene  
28 and MT biosynthesis pathways are identical suggesting that general mechanisms causing the  
29 reduction of isoprene and MT emissions are similar. We therefore suggest that, similar to  
30 isoprene emissions, also the decrease of *de-novo* MT emissions is caused by a general  
31 decrease of the plants performance.

32

33 As plant performance is coupled to the rate of transpiration and to net assimilation either of  
34 them may be regarded as reference quantity. But, as already pointed out by Peñuelas et al.

1 (2009) and by Loreto and Schnitzler (2010), drought induced responses in transpiration or net  
2 assimilation differ from responses in MT emissions. This general behavior was also found  
3 here in all experiments and we therefore could not use either of them as reference quantity.

4 We used  $\Theta$  as reference, but, from a mechanistic point of view  $\Theta$  is not a direct reference  
5 quantity. In a first step, soil moisture impacts the plants performance and in a second step the  
6 plants performance affects MT synthesis and emissions. The relationship found here between  
7 *de-novo* MT emissions and  $\Theta$  therefore has to be scrutinized. In particular the temporal  
8 behaviour of MT emissions may be skewed by the time needed for the plant to respond to  
9 changes in  $\Theta$ .

10

11 Indeed there were time periods with substantial time lags between changes of  $\Theta$  and the plants  
12 responses in MT emissions. Re-watering caused  $\Theta$  to increase to optimum conditions for the  
13 plants on time scales of hours. For the *de-novo* MT emissions it took some days until the same  
14 level was reached as before the drought. We assume that the time needed for the plants to  
15 recover from previous droughts was the reason for the decoupling of  $\Theta$  and MT emissions  
16 during such time periods.

17 Quick changes of  $\Theta$  also appear in nature in case of strong rainfall. If such rainfall appears  
18 after a severe drought period with  $\Theta$  being far below the threshold affecting emissions,  $\Theta$  and  
19 emissions are most probably also decoupled in nature impeding a correct description of the  
20 emission temporal behavior during such periods.

21

22 Whereas the increase of MT emissions during recovery after severe drought cannot be  
23 described by the formalism given here, impacts of soil moisture during desiccation can be  
24 described. Desiccation appears on longer time scales than changes of soil moisture after heavy  
25 rain fall. For such longer time scales, the lag between changes of  $\Theta$  and the plants' response  
26 should not carry too much weight in skewing the relationship between emissions and  $\Theta$ . This  
27 assumption was confirmed by the result from the two experiments with Holm oak at different  
28 temporal progression of drought. Although the temporal decreases appeared on different time  
29 scales the  $\Theta$  dependence of MT emissions remained similar (compare Figs. 5a and 5b). As  
30 long as desiccation appeared on a time scale of days, the plant responses to changes in  $\Theta$  were  
31 obviously quick enough to allow finding the relationship between  $\Theta$  and *de-novo* MT  
32 emissions. Although  $\Theta$  is only an indirect reference quantity for plant performance, it proved

1 to be suitable for considering the impacts of soil moisture on *de-novo* MT emissions in  
2 modelling.

3

4 We tested whether or not semi mechanistic models can be used to describe the impacts of soil  
5 moisture on MT emissions. In a first step we looked at the increase of MT emissions during  
6 recovery. Niinemets et al. (2002) couple *de-novo* MT emissions to photosynthetic electron  
7 transport. They use the fraction of the photosynthetic electron transport necessary for MT  
8 synthesis ( $\epsilon$ ) as a surrogate for standard emissions. By keeping  $\epsilon$  constant they closely couple  
9 isoprenoid emissions to photosynthesis. We tested this approach using the data from beech  
10 during a period of mild drought and re-watering when stomatal conductance was still reliably  
11 measurable (Fig. 3, days 0 to 11). From our data obtained during mild stress and recovery  
12  $\epsilon$  was calculated as described in Niinemets et al. (2002).  $\epsilon$  was found to be constant during  
13 recovery but increased for  $\Theta < 0.2 \text{ m}^3 \text{ m}^{-3}$ , i.e. when photosynthesis already dropped but  
14 emissions were still not affected by the drought.

15 Constant  $\epsilon$  during recovery indicates a close coupling between photosynthesis and MT  
16 emissions during this period. Contrary, the decoupling of MT emissions from photosynthesis  
17 observed with  $\Theta$  falling from 0.2 to 0.1  $\text{m}^3 \text{ m}^{-3}$  disturbed the relationship between  $\epsilon$  and MT  
18 emissions.

19 Such decoupling was also observed for isoprene emissions and photosynthetic carbon supply  
20 and has been explained by use of alternative carbon sources for isoprene biosynthesis (Possell  
21 and Loreto, 2013 and references cited therein). This may also be the reason for decoupling of  
22 MT emissions and photosynthesis. More improved semi mechanistic models (e.g.  
23 Morfopoulos et al., 2013, 2014; Grote et al., 2014) allow varying the fraction of electron  
24 transport used for MT synthesis. Such variation is requested by our results and indeed, the  
25 dependence of MT emissions on soil moisture as shown by Grote et al. (2014) matches our  
26 findings better than a description with fixed electron transport. Nevertheless, there are still  
27 differences between our data and the model predictions. Our data show a substantial shift with  
28 sustained MT emissions at already strongly suppressed net photosynthesis. Even improved  
29 semi-mechanistic models overestimate the impacts of drought on *de-novo* MT emissions. In  
30 particular the later reactions of MT emissions compared to the reactions in net photosynthesis  
31 (e.g. Fig. 4) should be taken into account. In units of  $\Theta$  this shift is in the range of  $0.09 \text{ m}^3 \cdot \text{m}^{-3}$   
32 and in units of RWC about  $0.23 \text{ kg} \cdot \text{kg}^{-1}$ .

33

34

#### 1 **4.4 Justification of a multiplicative approach for modelling**

2 Modelling BVOC emissions is often performed using factorial approaches. An important  
3 requirement for the validity of such a factorial approach is a negligible interdependency of the  
4 individual factors. We investigated such possible interdependencies but no substantial effects  
5 were found.

6 As can be seen from Fig. 6, the temperature dependence of MT emissions from Holm oak was  
7 largely independent of the actual  $\Theta$ . Vice versa, the soil moisture dependence of the emissions  
8 was independent of the actual temperature (compare data in Table 2). Also the PAR  
9 dependence of MT emissions from this species was not substantially affected by the actual  
10 soil moisture (Fig. 7) and vice versa the  $\Theta$  dependence was not substantially influenced by  
11 PAR (Table 2). Compared to the overall effect of drought on *de-novo* MT emissions, possible  
12 residual interdependencies were negligible. This indicates that a factorial approach is justified  
13 at least for Holm oak investigated with this respect. From the similarity of basic processes  
14 leading to *de-novo* MT emissions we postulate that such interdependencies are also negligible  
15 for other plant species.

16

#### 17 **5. Conclusions**

18 All *de-novo* MT emissions from all plants investigated in our experiments clearly depended  
19 on soil moisture. The investigated species are representative for European climate zones and  
20 they all are strong MT emitters. We therefore conclude that impacts of drought have to be re-  
21 considered for modelling.

22 To the best of our knowledge the direct impacts of soil moisture on constitutive *de-novo* MT  
23 emissions are not considered in MEGAN. Severe drought reduces the emissions and therefore  
24 models neglecting impacts of soil moisture overestimate MT emissions. Depending on the  
25 climatology in the respective regions and depending on the fraction of *de-novo* emitted MT,  
26 modelled MT emissions may drop substantially when considering soil moisture as variable  
27 influencing *de-novo* MT emissions.

28 On the other hand to the best of our knowledge semi mechanistic models over predict the  
29 impacts of drought on *de-novo* MT emissions. Again, depending on the climatology of the  
30 respective regions and the fraction of *de-novo* emitters in the respective region, modelled *de-*  
31 *novo* MT emissions may increase substantially. Large differences of modelling results for MT  
32 emissions (Arneth et al., 2008) may therefore be reduced to some extent.

33



1 Although the detailed mechanisms causing the reductions in *de-novo* MT emissions are still  
2 unknown, one statement can be made. Estimations of future alterations of MT emissions must  
3 consider such effects because climate change may induce more and longer lasting drought  
4 periods (Dai, 2013). Future long lasting drought periods will suppress constitutive *de-novo*  
5 MT emissions. In combination with more intensive heat periods that negatively affect *de-novo*  
6 MT emissions (Kleist et al., 2012), these abiotic stresses will have substantial impacts on  
7 regional and global BVOC emissions.

8

9

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13

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1

2 Table 1: List of experiments and chamber settings

<b>Plant</b>	<b>Purpose of experiment</b>	<b>Leaf area</b> [m <sup>2</sup> ]	<b>Chamber</b> temperature [°C]	<b>PAR</b> [μmol·m <sup>-2</sup> ·s <sup>-1</sup> ]
European beech	Θ-dependence	0.91	23	440
Holm oak 1	Θ-dependence	0.25	25	600
Holm oak 2	Θ-dependence	0.27	22	440
Norway spruce	Θ-dependence	0.7	23	440
Scots pine	Θ-dependence	0.45	25	400
Holm oak 3	Θ- and T-dependence	0.18	15	500
Holm oak 3	Θ- and T-dependence		20	500
Holm oak 3	Θ- and T-dependence		25	500
Holm oak 4	Θ- and PAR-dependence	0.25	20	700
Holm oak 4	Θ- and PAR-dependence		20	400
Holm oak 4	Θ- and PAR-dependence		20	200

3

4

5



1  
2 **Table 2.** Data from fits of emission rates in versus  $\Theta$ . EB = European beech, HO = Holm oak,  
3 NS = Norway spruce, SP = Scots pine. Numbers behind species indicate experiment number  
4 according to the succession of the experiments described in Section Results. Data behind the  
5 slash give chamber temperatures in  $^{\circ}\text{C}$  and PAR in  $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ , respectively.  $\Phi^{\text{th}}(\text{MT})$  and  
6  $\Phi^{\text{th}}(\Sigma\text{MT})$  are the rates of *de-novo* emissions measured for the MT listed in the second column  
7 and for the sum of all MT emissions, respectively as measured near to  $\Theta_1$ .  $\Theta_1$  is the  
8 volumetric water content of the soil when emissions start to decrease ( $\Theta_1 = \Delta\Theta_1 + \Theta_0$ ).  $\Theta_0 =$   
9 intercept of linear regression analysis when MT emissions are extrapolated to be zero.  $\Delta\Theta_1$  is  
10 the range of  $\Theta$  in which the emissions drop from their maximum to zero.

	MT	$\Phi^{\text{th}}(\text{MT})$ [nmol·m <sup>-2</sup> ·s <sup>-1</sup> ]	$\Phi^{\text{th}}(\Sigma\text{MT})$ [nmol·m <sup>-2</sup> ·s <sup>-1</sup> ]	$\Delta\Theta_1$ m <sup>3</sup> ·m <sup>-3</sup>	$\Theta_0$ m <sup>3</sup> ·m <sup>-3</sup>
EB	Sabinene	0.9 ± 0.03	2.6 ± 0.04	0.09 ± 0.004	0.03 ± 0.002
HO1	$\alpha$ -pinene	4.9 ± 0.45	10.2 ± 0.47	0.044 ± 0.004	0.058 ± 0.002
HO2	$\alpha$ -pinene	2.1 ± 0.05	9.2 ± 0.25	0.063 ± 0.003	0.071 ± 0.002
NS	1,8-cin.	(3.3 ± 1.1) 10 <sup>-4</sup>	*	0.19 ± 0.01	0.02 ± 0.007
SP	1,8-cin.	0.88 ± 0.15	*	0.068 ± 0.005	0.041 ± 0.004
HO3 / 15	$\alpha$ -pinene	3.5 ± 0.08	7.9 ± 0.74	0.055 ± 0.01	-0.006 ± 0.007
HO3 / 20	$\alpha$ -pinene	4.8 ± 0.22	11.7 ± 0.6	0.058 ± 0.008	-0.01 ± 0.004
HO3 / 25	$\alpha$ -pinene	6.5 ± 0.34	15.9 ± 0.8	0.079 ± 0.016	-0.021 ± 0.008
HO4 / 700	$\alpha$ -pinene	1.6 ± 0.18	6.7 ± 0.8	0.065 ± 0.008	0.016 ± 0.006
HO4 / 400	$\alpha$ -pinene	0.7 ± 0.1	2.6 ± 0.4	0.045 ± 0.015	0.018 ± 0.012
HO4 / 200	$\alpha$ -pinene	0.3 ± 0.04	1.2 ± 0.17	0.044 ± 0.015	0.019 ± 0.012

11  
12 \*For the conifers pure *de-novo* emissions are of minor importance for the total emissions ( $\Phi^{\text{th}}$   
13 ( $\Sigma\text{MT}) = 0.18 \text{ nmol m}^{-2} \text{ s}^{-1}$  for spruce and  $\Phi^{\text{th}}(\Sigma\text{MT}) = 6.2 \text{ nmol m}^{-2} \text{ s}^{-1}$  for pine, both for the well  
14 watered individuals). Note that the formalism given here for the *de-novo* emissions of European  
15 beech and Holm oak can be applied to individual MT as well as to  $\Phi^{\text{th}}(\Sigma\text{MT})$  whereas the  
16 formalism is only applicable to 1,8-cineole emissions from both conifers and not to the sum of MT  
17 emissions.

18  
19  
20

1 **Figure captions**

2

3 **Fig.1:** Temporal development of transpiration (blue trace left hand y-axis) and leaf  
 4 temperature (red trace, right hand y-axis, average of three leaves) at the example of Holm oak,  
 5 experiment 1. The plant was irrigated at day 0 ( $\Theta = 0.4 \text{ m}^3 \cdot \text{m}^{-3}$ ). At the end of the drought  
 6 period  $\Theta$  had fallen to  $0.05 \text{ m}^3 \cdot \text{m}^{-3}$ . Chamber temperature  $25 \text{ }^\circ\text{C}$ , PAR =  $600 \text{ } \mu\text{mol m}^{-2} \text{ s}^{-1}$ .

7

8 **Fig. 2:** Correlation plot of emission rates measured for Holm oak, experiment 2. Only data  
 9 measured at a PAR of  $440 \text{ } \mu\text{mol m}^{-2} \text{ s}^{-1}$  and a chamber temperature of  $22 \text{ }^\circ\text{C}$  are plotted. The  
 10 variation of emission rates is caused by changes in soil moisture. The high coefficients of  
 11 determination ( $R^2 > 0.95$ ) indicate that only the strengths of the emissions was changed but  
 12 not the emission pattern.

13

14 **Fig. 3:** Long term time series of sabinene emissions from a European beech seedling (red  
 15 circles, left hand y-scale), assimilation (multiplied by -1, black line, left hand scale) and  
 16 volumetric water content of the soil  $\Theta$  (blue dashed line, right hand y-scale).

17

18 **Fig. 4:** Normalised sabinene emissions from beech (red symbols, left scale) and rates of net  
 19 photosynthesis (black symbols, right scale, multiplied by -1) in dependence on  $\Theta$ . Closed  
 20 triangles represent data taken during a first drought period that was stopped when  $\Theta$  had  
 21 fallen to  $0.1 \text{ m}^3 \cdot \text{m}^{-3}$  (days 0 – 5 in Fig. 3), open circles represent data taken during the  
 22 following period of recovery (days 6 – 10 in Fig. 3) until the end of the severe drought at day  
 23 17. Only data taken at a chamber temperature of  $23 \text{ }^\circ\text{C}$  and a PAR of  $440 \text{ } \mu\text{mol m}^{-2} \text{ s}^{-1}$  are  
 24 considered.

25

26 **Fig. 5a:** Temporal shape of normalised  $\alpha$ -pinene emissions from two individuals of Holm  
 27 oak, experiments Holm oak 1 and Holm oak 2. Red circles show the data obtained at higher  
 28 PAR and at higher chamber temperature. Blue squares show the data obtained for the plant  
 29 investigated at lower temperature and PAR. Only data taken during periods of full  
 30 illumination are shown. For better comparison the emissions were separately normalized to  
 31 the emission rates measured for the respective individual at  $\Theta \sim 0.12 \text{ m}^3 \cdot \text{m}^{-3}$ .

32

1 **Fig. 5b:** Normalized  $\alpha$ -pinene emissions from Holm oak in dependence of  $\Theta$ . Red circles  
2 show the data measured for the plant investigated at higher PAR and higher chamber  
3 temperature, blue squares show data taken for the plant investigated at lower PAR and lower  
4 temperature. Same data as in Fig. 5a.

5

6 **Fig. 6:** Temperature coefficient  $\beta$  for  $\alpha$ -pinene emissions from Holm oak (experiment 3) at  
7 different soil moisture. Black circles represent the data obtained without impacts of drought  
8 on MT emissions. Red squares represent the data obtained for  $\Theta < 0.06 \text{ m}^3 \cdot \text{m}^{-3}$  after  
9 correcting for the drought induced decrease of emissions. Errors in  $\beta$  were about  $\pm 0.01 \text{ K}^{-1}$   
10 for the data obtained without drought stress. For the data obtained during drought stress the  
11 errors from the normalization procedure had to be taken into account and errors in  $\beta$  are  
12 estimated to  $\pm 0.03 \text{ K}^{-1}$ . Error in  $\Theta$  is estimated to  $\pm 0.016 \text{ m}^3 \cdot \text{m}^{-3}$ .

13

14 **Fig. 7:** Emission rates corrected for the temporal decrease due to the progressing drought and  
15 normalized to the emission rates measured the respective day at  $\text{PAR} = 700 \mu\text{mol m}^{-2} \text{ s}^{-1}$  as  
16 function of PAR. Blue circles represent data taken at  $\Theta \sim 0.055 \text{ m}^3 \cdot \text{m}^{-3}$ , black triangles show  
17 data taken at  $\Theta \sim 0.043 \text{ m}^3 \cdot \text{m}^{-3}$  and red squares show the data obtained at  $\Theta \sim 0.018 \text{ m}^3 \cdot \text{m}^{-3}$ .  
18 Experiment Holm oak 4, absolute emission rates measured at  $700 \mu\text{mol m}^{-2} \text{ s}^{-1}$  dropped by a  
19 factor of about 40 with  $\Theta$  falling from  $0.055 \text{ m}^3 \cdot \text{m}^{-3}$  to  $0.018 \text{ m}^3 \cdot \text{m}^{-3}$ .