

1 **Nitrogen control of ¹³C enrichment in heterotrophic organs relative to leaves in a**
2 **landscape-building desert plant species**

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38 **Abstract**

39 A longstanding puzzle in isotope studies of C₃ plant species is that heterotrophic plant organs (e.g.,
40 stems, roots, seeds, and fruits) tend to be enriched in ¹³C compared to the autotrophic organ (leaves)
41 that provides them with photosynthate. Our inability to explain this puzzle suggests key deficiencies
42 in understanding post-photosynthetic metabolic processes. It also limits the effectiveness of
43 applications of stable carbon isotope analyses in a variety of scientific disciplines ranging from plant
44 physiology to global carbon cycle studies. To gain insight into this puzzle, we excavated whole plant
45 architectures of *Nitraria tangutorum* Bobrov, a C₃ species that has an exceptional capability of fixing
46 sands and building sand dunes, in two deserts in northwestern China. We systematically and
47 simultaneously measured carbon isotope ratios and nitrogen and phosphorous contents of different
48 parts of the excavated plants. We also determined the seasonal variations in leaf carbon isotope ratios
49 on nearby intact plants of *N. tangutorum*. We found, for the first time, that higher nitrogen contents
50 in heterotrophic organs were significantly correlated with increased heterotrophic ¹³C enrichment
51 compared to leaves. However, phosphorous contents had no effect on the enrichment. In addition,
52 new leaves had carbon isotope ratios similar to roots but were progressively depleted in ¹³C as they
53 matured. We concluded that a nitrogen-mediated process, hypothesized to be the refixation of
54 respiratory CO₂ by phosphoenolpyruvate (PEP) carboxylase, was responsible for the differences in
55 ¹³C enrichment among different heterotrophic organs while processes such as fractionating foliar
56 metabolism and preferentially loading into phloem of ¹³C enriched sugars may contribute to the
57 overall autotrophic – heterotrophic difference in carbon isotope compositions.

58 **Key words:** carbon isotope fractionation, post-photosynthetic discrimination, nitrogen, phosphorous,
59 phosphoenolpyruvate carboxylase

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64 **INTRODUCTION**

65 The natural abundance analysis of stable carbon isotopes in plants has become an essential tool for
66 studying plant-environmental interactions, plant metabolism, carbon allocation, and
67 biosphere-atmosphere exchanges of carbon fluxes (Dawson *et al.* 2002; Bowling *et al.* 2008;
68 Tcherkez *et al.* 2011; Cernusak *et al.* 2013). Understanding processes and factors controlling carbon
69 isotope compositions in different plant organs, which are not homogenous (Leavitt and Long 1986),
70 is crucial to the successful applications of this tool (Hobbie and Werner 2004). The primary
71 determinant of plant carbon isotope compositions is the photosynthetic discrimination against the
72 heavier carbon isotope ^{13}C . This primary discrimination process has been relatively well understood
73 and detailed theoretical models relating the discrimination to environmental forcing conditions and
74 leaf physiology and biochemistry have been developed (Farquhar *et al.* 1982; Farquhar and Cernusak
75 2012; Gu and Sun 2014). However, other processes must also influence plant carbon isotope
76 compositions as heterotrophic plant organs (e.g., stems, roots, seeds and fruits) in C_3 plant species
77 have been found to be generally enriched in ^{13}C as compared to the autotrophic organ (leaves) that
78 supplies them with carbohydrates (Craig 1953; Leavitt and Long 1982; Ehleringer *et al.* 1987;
79 Hobbie and Werner 2004; Badeck *et al.* 2005; Cernusak *et al.* 2009). In contrast to the relatively
80 well-understood photosynthetic carbon isotope discrimination, processes controlling the observed
81 heterotrophic ^{13}C enrichment in C_3 plant species remain unclear even though the phenomenon was
82 first reported sixty years ago (Craig 1953).

83 Cernusak *et al.* (2009) and Ghashghaie and Badeck (2014) summarized more than half a dozen
84 of nonexclusive processes that may explain the heterotrophic ^{13}C enrichment in C_3 plant species.
85 These processes generally belong to two broad groups. Group I processes involve the occurrence of
86 contrasting biochemical and metabolic fractionations between autotrophic and heterotrophic organs,
87 for example, ^{13}C -enriched autotrophic vs. ^{13}C -depleted heterotrophic mitochondrial respirations, low
88 autotrophic vs. high heterotrophic CO_2 fixation by phosphoenolpyruvate (PEP) carboxylase, and low
89 autotrophic vs. high heterotrophic loss rates of ^{13}C -depleted volatile organic compounds, surface
90 waxes and other products from secondary plant metabolism. Group II processes involve the
91 utilization of contrasting organ-building photoassimilates, which in turn may be a result of a number
92 of processes, including preferential export of ^{13}C -enriched nighttime sucrose to heterotrophic organs,
93 reduced photosynthetic discrimination against ^{13}C due to developmental shifts in exporting mature

94 leaves, and asynchronous growth of autotrophic vs. heterotrophic organs in contrasting
95 environmental conditions. Although the term post-photosynthetic discrimination or
96 post-carboxylation discrimination has been often used to refer the processes included in both groups,
97 some of the processes in Group II cannot be strictly considered as occurring post photosynthesis or
98 carboxylation. Nearly all processes outlined above have supporting as well as opposing evidences
99 from observational and experimental studies (Cernusak *et al.* 2009). Thus it remains a challenge to
100 identify cause(s) for the sixty-year old puzzle of heterotrophic ^{13}C enrichment.

101 It is important to overcome this challenge as many fundamental issues in a variety of scientific
102 disciplines ranging from plant physiology to global carbon cycle studies depend on a precise
103 knowledge of plant carbon isotope compositions. Towards this goal, we have identified two areas
104 that require strengthening in the studies of heterotrophic ^{13}C enrichment. First, there is a need for
105 systemic, whole-plant studies. Although heterotrophic ^{13}C enrichment in C_3 plant species has been
106 reported widely, most previous studies have been done by comparing heterotrophic organs
107 independently and on a piecemeal basis with leaves. This lack of systemic, whole-plant studies is not
108 conducive to understanding the mechanism of heterotrophic ^{13}C enrichment because to achieve this
109 understanding, one must first have a comprehensive picture of the enrichment (or depletion) across
110 all organs of the same plant.

111 Second, whether and how nutrients affect heterotrophic ^{13}C enrichment needs to be investigated.
112 Nutrients, particularly nitrogen (N) and phosphorous (P), control leaf photosynthetic capacity (Field
113 and Mooney 1986; Domingues *et al.* 2010), which in turn affects the drawdown of CO_2 along
114 stomatal and mesophyll diffusional pathways. It has been shown that leaf N content is positively
115 (negatively) correlated with leaf $\delta^{13}\text{C}$ (carbon isotope discrimination) (Sparks and Ehleringer 1997;
116 Livingston *et al.* 1999; Duursma and Marshall 2006; Cernusak *et al.* 2007). This relationship is
117 consistent with the expectation that higher leaf photosynthetic capacity associated with higher leaf N
118 leads to a sharper drawdown of CO_2 along the diffusional pathways (Cernusak *et al.* 2007, 2013),
119 resulting in an expected pattern according to the photosynthetic isotope discrimination equations
120 (Farquhar *et al.* 1982; Farquhar and Cernusak 2012; Gu and Sun 2014). To our knowledge, hitherto
121 there has been no effort to systematically investigate how plant nutrients might affect heterotrophic
122 ^{13}C enrichment compared to leaves. A lack of such an effort is not justifiable because plant nutrients
123 play important roles in many of the processes discussed in Cernusak *et al.* (2009) and Ghashghaie

124 and Badeck (2014). Thus it would not be surprising if certain relationships exist between plant
125 nutrients and heterotrophic ^{13}C enrichment. An identification of such relationships will greatly assist
126 the illumination of the underlining cause(s) of heterotrophic ^{13}C enrichment.

127 Therefore, the objective of the present study was to gain insight into the longstanding puzzle of
128 heterotrophic ^{13}C enrichment by jointly addressing the two deficiencies identified above. We
129 conducted systematic and simultaneous analyses of carbon isotope ratios and N and P contents with
130 excavated whole architectures of *Nitraria tangutorum* Bobrov, a C_3 shrub species endemic to
131 northwestern deserts in China. These analyses were complemented with investigations of seasonal
132 variations in leaf carbon isotope ratios on intact plants of the same species, thus enabling the
133 analyses of carbon isotope compositions of different heterotrophic organs in a dynamic reference
134 framework. *N. tangutorum* is interesting because it has an exceptional capability of controlling
135 landscape evolution by fixing sands and building sand dunes known as nebkha or coppice dunes
136 around its extensive shoot and root systems (Baas and Nield 2007; Lang *et al.* 2013; Li *et al.* 2013).
137 This characteristic makes it relatively easy to excavate the whole plant including roots for isotope
138 and nutrient analyses, although to our knowledge, this species has never been investigated for
139 heterotrophic ^{13}C enrichment.

140 We will report, for the first time, that variations in ^{13}C enrichment in different heterotrophic
141 organs strongly depend on their N contents, indicating a role of a within-organ N-mediated process
142 in heterotrophic ^{13}C enrichment. We will also show that the observed N – heterotrophic ^{13}C
143 enrichment relationship is most parsimoniously explained through the respiratory CO_2 refixation by
144 PEP carboxylase. Future studies on heterotrophic ^{13}C enrichment should investigate isotopic effects
145 of N content and CO_2 refixation in different plant organs. Direct measurements of PEP carboxylase
146 activity will be essential.

147

148 **MATERIALS AND METHODS**

149 **Biological and environmental characteristics of *Nitraria tangutorum* Bobrov**

150 *Nitraria tangutorum* Bobrov (Fig. 1) is a spiny shrub species in the *Nitraria* genus of the
151 Zygophyllaceae family. Species in the *Nitraria* genus are generally xerophytes, widely distributed in
152 the Middle East, Central Asia, and northwestern regions of China. *N. tangutorum*, however, is
153 endemic to the northwestern regions of China, including northeastern Tibet, Gansu, Qinghai,

154 Xinjiang, western Inner Mongolia, western Ningxia, and northern Shaanxi. It is a pioneer species and
155 has high tolerance for drought, heat, and salts. *N. tangutorum* plays an important ecological role in
156 combating desertification due to its exceptional capabilities in forming phytogenic nebkha dunes
157 which prevent or slow down the movement of sands. According to Li and Jiang (2011) and Li *et al.*
158 (2013), the process of forming a nebkha typically starts when occasional ample moisture allows a
159 seed to germinate inside clay cracks in dried-up flat beds of previous rivers or lakes. As the resulting
160 ortet grows, it intercepts aeolian sands and the plant enters into a clonal reproductive stage. When
161 branches are buried by sands, layering occurs and adventitious roots are formed. Under appropriate
162 sand burial depth and sufficient moisture, ramets are developed from axillary buds in the layering
163 and a clonal colony is formed. If aeolian sand supply is not interrupted, repetitive layering and ramet
164 development will enlarge the colony and further increases its capacity to intercept aeolian sands and
165 a phytogenic nebkha dune is formed (Fig. 1c).

166 The height of a *N. tangutorum* nebkha ranges from 1 to 3 m and some can reach 5 m. The base
167 of a nebkha often has the shape of an ellipse with the major axis parallel to the local prevailing wind
168 direction. The formation of nebkhas alters local microenvironments and provides habitats for other
169 desert species. Li and Jiang (2011) described in detail the biological and environmental
170 characteristics of species in the *Nitraria* genus with a focus on *N. tangutorum*.

171

172 **Study sites**

173 The field work was carried out at two desert locations. The first study site was within an
174 experimental area (40°24' N, 106°43' E) managed by the Experimental Center of Desert Forestry of
175 the Chinese Academy of Forestry. This site is located in Dengkou County, Inner Mongolia
176 Autonomous Region, China. Dengkou County is at the junction between the Hetao Plain and Ulan
177 Buh Desert of the Mongolian Plateau in the middle reaches of the Yellow River. The mean annual
178 temperature is 8.84°C and the mean annual precipitation is 147 mm with 77.5% of annual rainfall
179 occurring from June to September (1983-2012 averages). The mean annual potential evaporation is
180 2381 mm (Li *et al.* 2013). The soil in the study region in general is sandy soil and gray-brown desert
181 soil (Cambic Arenosols and Luvic Gypsisols in FAO taxonomy). The *N. tangutorum* nebkhas at the
182 study site are formed on clay soils deposited by the Yellow River. Although the plant community is
183 dominated by *N. tangutorum*, xerophytic species such as semi-shrub *Artemisia ordosica*, perennial

184 grass *Psammochloa villosa*, and annual species *Agriophyllum squarrosum* and *Corispermum*
185 *mongolicum* can also be found.

186 The second study site was the Gansu Minqin Desert Ecosystem Research Station (38°34' N,
187 102°58' E), Minqin County, Gansu Province, China. Minqin County is located in the lower reaches
188 of Shiyang River, surrounded by the Badain Jaran Desert in the west and north and the Tengger
189 Desert in the east. The mean annual temperature is 8.87°C and the mean annual precipitation is 117
190 mm with 73.1% of annual rainfall occurring from June to September (1983-2012 averages). The
191 mean annual potential evaporation is 2643 mm (Du *et al.* 2010). Thus the second study site is
192 somewhat drier than the first site but with similar annual mean temperatures. The soil at the Minqin
193 site is similar to that at the Dengkou site with sandy soil in the nebkhas and gray-brown desert soil
194 between nebkhas. The native vegetation in the study area is usually dominated by shrubs and
195 semi-shrubs with species such as *N. tangutorum* and *Calligonum mongolicum*. Experimental plots
196 used in this study contained semi-fixed nebkha dunes developed by the growth of *N. tangutorum*.
197 Typically in dry years, *N. tangutorum* is the only species growing in the nebkhas although in wet
198 years, annual species such as *Agriophyllum squarrosum* and *Corispermum mongolicum* can also be
199 found. Because the Minqin site is drier than the DengKou site, the nebkhas at the Minqin site are
200 generally smaller and less populated with plants than at the Dengkou site. The rooting depth is
201 deeper at the Minqin site than at the Dengkou site (Table 1).

202

203 **Excavation of *Nitraria tangutorum* nebkhas**

204 In August 2012, we excavated three nebkhas at each study site. The geometrical and biometrical
205 characteristics of the six nebkhas were summarized in Table 1. At the Dengkou site, the three
206 nebkhas were excavated in a sampling area of 40m × 40m. At the Minqin site, nebkhas were
207 generally much smaller. To ensure availability for analyses of sufficient biomass materials at this site,
208 particularly the fine roots (see below), three sampling areas each with a dimension of 30m × 30m
209 were established and three nebkhas from each sampling area were tentatively excavated. Two
210 nebkhas from one sampling area and one from another were determined to have sufficient amount of
211 fine roots for analyses and were therefore excavated fully.

212 We excavated the nebkhas by carefully teasing away the sands from the mounds to expose the
213 root architecture of *N. tangutorum* with particular attention paid to the preservation of fine roots. The

214 roots of a *N. tangutorum* can be found inside the sand mounds as well as inside the clay layer that
215 generally forms a plain on which the sand mounds rest. We therefore also excavated any roots inside
216 the clay layer to a depth until no more roots could be found.

217 We separated the whole plant biomass into leaves, stems, in-sand roots and below-plain roots.
218 The in-sand roots, which were roots found inside the nebkha sands but above the plain formed by the
219 underlying clay layer, were further separated into in-sand fine roots (diameter ≤ 2 mm) and in-sand
220 coarse roots (diameter > 2 mm). The same root diameter threshold was used to separate the
221 below-plain roots, which were found inside the clay layer under the nebkha sands. Furthermore, the
222 below-plain fine and coarse roots were grouped in a 20cm depth increment from the plain surface.
223 We did not separate the in-sand fine and coarse roots into layers because a nebkha has a cone shape
224 on top, making a layer hard to define. Also we did not use a simple 'below-ground' group because
225 'ground' is not well defined in a nebkha-populated landscape and because there are large physical
226 and chemical differences between sands and clay which may affect the isotope compositions of roots
227 growing in them. Litter was rarely found on the nebkhas, presumably because strong winds at the
228 study sites can easily blow away any litter produced. However, woody debris from dead ramets was
229 present inside the sand mounds and was collected during excavation. Thus for each nebkha, we
230 differentiated the following categories of *N. tangutorum* biomass: the autotrophic organ of leaves, the
231 heterotrophic organs of stems, in-sand fine roots (ISFR), in-sand coarse roots (ISCR), below-plain
232 fine roots (BPFR) in 20 cm depth increments, and below-plain coarse roots (BPCR) in 20cm
233 increments, and the heterotrophic woody debris (WD). Nutrient contents and carbon isotope
234 compositions were measured separately for each category.

235

236 **Measurements of nutrient contents and carbon isotope compositions with excavated biomass**

237 All categories of *N. tangutorum* biomass (leaves, stems, ISFR, ISCR, BPFR in 20cm increments,
238 BPCR in 20cm increments, and WD) from each excavated nebkha were dried to constant weight
239 (60°C, 48 hours). The dry weight of biomass was determined with 0.01 g accuracy on an analytical
240 scale. The biomass carbon stocks were expressed relative to the base area of the nebkha which was
241 assumed to be an ellipse. The fraction of each component was also calculated.

242 Dried materials were randomly selected from each biomass category and ground to 80 mesh.
243 The resultant powder was separated into six duplicates. Three duplicates were analyzed for carbon

244 (C), nitrogen (N) and phosphorous (P) contents and the remaining three for isotope compositions.
245 The C, N and P contents were measured in the Environmental Chemistry Analysis Laboratory in the
246 Institute of Geographic Sciences and Natural Resources Research, the Chinese Academy of Sciences,
247 Beijing, China. Total sample carbon and N were measured with the vario MACRO cube (Elementar
248 Company, Germany). The analytical precision was better than 0.5% Relative Standard Deviation
249 (RSD). Total P was measured with the ICP-OES OPTIMA 5300DV (PE, USA). The analytical
250 precision was better than 2% RSD.

251 The carbon isotope compositions were analyzed at the Stable Isotope Ratio Mass Spectrometer
252 Laboratory of the Chinese Academy of Forestry (SIRMSL, CAF), Beijing, China. The instrument
253 used was a Delta V Advantage Mass Spectrometer (Thermo Fisher Scientific, Inc., USA) coupled
254 with an elemental analyzer (FlashEA 1112; HT Instruments, Inc., USA) in the continuous flow mode.
255 Isotope compositions were expressed using the delta notation (δ) in parts per thousand (‰): $\delta^{13}\text{C}$ (‰)
256 = $[(R_{\text{sample}})/(R_{\text{standard}}) - 1] \times 1000$, where R is the ratio of ^{13}C to ^{12}C . The measurement applied the
257 IAEA-600 standard (Caffeine) relative to V-PDB (Vienna PeeDee Formation Belemnite Limestone).
258 The analytical precision was better than 0.1‰ based on replicate measurements of the reference
259 standard.

260

261 **Measurements of seasonal variations in leaf $\delta^{13}\text{C}$ and C_i/C_a ratio**

262 Photosynthetic carbon isotope discrimination depends on environmental conditions (Farquhar *et al.*
263 1982; Farquhar and Cernusak 2012; Gu and Sun 2014); consequently, leaf carbon isotope ratio $\delta^{13}\text{C}$
264 may change seasonally, potentially making the autotrophic - heterotrophic differences in carbon
265 isotope compositions time dependent. Thus in addition to the isotopic and nutrient analyses for
266 samples from the excavated plant materials, we also measured seasonal variations in leaf carbon
267 isotope compositions and ratios of leaf intercellular airspace (C_i) to ambient (C_a) CO_2 concentrations
268 on nearby un-excavated nebkhas at both the Dengkou and Minqin study sites. Four samples of leaves
269 were taken in each month from May to September of 2012 at both sites and analyzed for carbon
270 isotope ratios at the SIRMSL of CAF. The seasonal variations in C_i/C_a ratios were measured with a
271 Li-6400 portable photosynthetic system (LiCor Environmental Sciences, Lincoln, NE, USA) each
272 month from June to September of 2012 at the Dengkou site with 24 – 28 samples per month and
273 from July to September of 2011 at the Minqin site with 16 samples per month. The chamber

274 environment (temperature, light, and relative humidity) was kept close to ambient conditions at the
 275 time of measurement. Seasonal variations in leaf nutrient contents were not measured. The
 276 measurements of seasonal variations in leaf $\delta^{13}\text{C}$ provide a dynamic reference framework for
 277 examining the $\delta^{13}\text{C}$ values of heterotrophic organs while the independent measurements of seasonal
 278 variations in C_i/C_a ratios allow us to determine whether the seasonal patterns in leaf $\delta^{13}\text{C}$ are
 279 consistent with our current understanding of the photosynthetic carbon isotope discrimination
 280 (Farquhar *et al.* 1982).

281

282 **Quantification of heterotrophic ^{13}C enrichment and statistical analyses**

283 We quantified the difference in carbon isotope composition between the leaves (autotrophic) and a
 284 heterotrophic organ with the following expression:

$$285 \Delta^{13}\text{C}_{organ} = \left(\frac{R_{leaf}}{R_{organ}} - 1 \right) \times 1000 = \left(\frac{\delta^{13}\text{C}_{leaf} / 1000 + 1}{\delta^{13}\text{C}_{organ} / 1000 + 1} - 1 \right) \times 1000 = \frac{\delta^{13}\text{C}_{leaf} - \delta^{13}\text{C}_{organ}}{1 + \delta^{13}\text{C}_{organ} / 1000}. \quad (1)$$

286 Thus a value of $\Delta^{13}\text{C}_{organ} < 0$ indicates an enrichment of ^{13}C in a heterotrophic organ relative to the
 287 leaves while $\Delta^{13}\text{C}_{organ} > 0$ indicates heterotrophic depletion. The values of $\delta^{13}\text{C}_{Leaf}$ used to
 288 calculate $\Delta^{13}\text{C}_{organ}$ came from leaves harvested from *N. tangutorum* of the excavated nebkhas, not
 289 from those for seasonal patterns. The use of Δ in Eq. (1) makes the relationship between autotrophic
 290 and heterotrophic organs analogous to that between reactants and products (Farquhar *et al.* 1989),
 291 which is appropriate for the purpose of this study. A great advantage of introducing $\Delta^{13}\text{C}_{organ}$ is that
 292 heterotrophic ^{13}C enrichment can be compared not only among the organs of the same plant but also
 293 across different plants at the same site or at different sites which may differ in autotrophic isotopic
 294 signatures. Thus the use of $\Delta^{13}\text{C}_{organ}$ facilitates the identification of general patterns.

295 Two-way ANOVA analyses (organ by site) were performed with SPSS (Ver.17.0). C, N, and P
 296 contents, $\delta^{13}\text{C}$, $\Delta^{13}\text{C}_{organ}$, C/N ratios, N/P ratios and C/P ratios were analyzed for differences between
 297 organs and between study sites. Tukey post-hoc tests were used to determine pairwise differences
 298 for significant effects ($P < 0.05$). Regression analyses were used to determine the relationship
 299 between the heterotrophic ^{13}C enrichment and nutrient contents.

300

301 **RESULTS**

302 **Variations in $\Delta^{13}C_{organ}$ among plant organs and between study sites**

303 At both the Dengkou and Minqin study sites, the values of $\Delta^{13}C_{organ}$ for all heterotrophic organs
304 examined were significantly smaller than zero, indicating that without any exception, the
305 heterotrophic organs were enriched in ^{13}C compared to the leaves (Fig. 2). However, there were
306 considerable variations in $\Delta^{13}C_{organ}$ among the heterotrophic organs at both study sites and between
307 the heterotrophic organs across the study sites. Stems were less enriched (closer to zero) than roots at
308 both sites. At the Dengkou site, the most enriched organ was the coarse roots inside the nebkha sands.
309 At the Minqin site, the most enriched part was the fine roots inside the nebkha sands although the
310 difference between the coarse and fine roots inside the sands was not significant. At the Dengkou site,
311 the coarse roots were consistently more enriched than the corresponding fine roots both inside the
312 nebkha sands and below the plains. In contrast at the Minqin site, the coarse roots were less enriched
313 than the corresponding fine roots except for the roots deep into the plains (40 – 80 cm) where the
314 coarse roots were more enriched. However at both sites, the statistical power of the coarse – fine root
315 isotope differences were low as they were not significant at the significance level of 0.05. At the
316 Dengkou site, the woody debris was more enriched than the stems but less enriched than the roots
317 while at the Minqin site, it was less enriched than either the stems or the roots. In all biomass
318 categories investigated, the Dengkou site was more enriched than the Minqin site, particularly in
319 below-plain roots and in woody debris.

320

321 **Variations in nutrient concentrations among plant organs and between sites**

322 There are considerable variations in nutrient contents among plant organs and between sites (Fig. 3).
323 At both the Dengkou and Minqin sites, leaves appeared to have the lowest C (Fig. 3a) but highest N
324 (Fig. 3b) and P (Fig. 3c) contents. At both sites, stems tended to have lower N contents than roots
325 either inside the sand dunes or below the plains under the sand dunes; in contrast, P contents in stems
326 were within the variations of P contents in roots. At the Dengkou site, roots inside the sand dunes had
327 lower N contents than roots below the plain; at the Minqin site, the coarse roots inside the sand dunes
328 had lower N than either coarse or fine roots below the plain while the fine roots inside the sand dunes
329 had N within the variations of those of coarse and fine roots below the plain. At the Dengkou site, the

330 fine roots appeared to have higher P than coarse roots but the differences diminished from inside
331 sands to below plain. There were no clear patterns on root P at the Minqin site. Woody debris had N
332 contents similar to stems at both sites and tended to have significantly less P contents than leaves,
333 stems or roots. Between the two study sites, the leaves had lower C but higher N and P contents at
334 the Dengkou site than at the Minqin site, but the difference is not significant at the significance level
335 of 0.05. In contrast, heterotrophic organs at the Dengkou site tended to have significantly higher N
336 and P contents than at the Minqin site. This contrast suggests that *N. tangutorum* may be able to
337 maintain nutrient contents in leaves for photosynthesis at the expense of stems and roots.

338 Consistent with the variations in C, N and P contents, there were also substantial variations in
339 the ratios of C/N (Fig. 4a), N/P (Fig. 4b) and C/P (Fig. 4c) among plant organs and between sites. For
340 the live biomass (leaves, stems, and roots), the ratios of C/N ranged from about 11 to 30, N/P from
341 20 to 40 and C/P from 300 to 700. As expected, leaves had the lowest C/N and C/P ratios at both
342 sites. Leaves also had the lowest N/P ratios except for stems. Overall, the Dengkou site had lower
343 ratios of C/N and C/P but higher ratios of N/P than the Minqin site, particularly for roots below the
344 plain.

345

346 **Relationships between ^{13}C enrichment and nutrient contents**

347 The observed large variations in ^{13}C enrichment and nutrient contents among heterotrophic organs
348 and between study sites give us an opportunity to examine whether ^{13}C enrichment in heterotrophic
349 organs relative to leaves could be affected by their nutrient contents. We found that across the two
350 study sites and across the heterotrophic organs, $\Delta^{13}\text{C}_{organ}$ was significantly correlated with the N
351 content (Fig. 5b), the C/N ratio (Fig. 5d), and the N/P ratio (Fig. 5e) in the heterotrophic organs. The
352 correlation was negative for N content and N/P ratio but positive for C/N ratio, indicating that higher
353 heterotrophic N contents resulted in larger heterotrophic ^{13}C enrichment relative to leaves. The C/N
354 ratio explained a higher percentage (52%) of variance in $\Delta^{13}\text{C}_{organ}$ than did the N content or the N/P
355 ratio (44 and 42%, respectively). No significant effect of heterotrophic organ C content (Fig. 5a), P
356 content (Fig. 5c), or C/P ratio (Fig. 5f) on $\Delta^{13}\text{C}_{organ}$ were found.

357 We did not have enough independent samples to look at how leaf N contents might affect the

358 heterotrophic ^{13}C enrichment. However, we examined the relationship between $\Delta^{13}\text{C}_{organ}$ and organ
359 nutrient contents normalized by the corresponding leaf nutrient contents (i.e., the ratio of
360 heterotrophic to corresponding leaf nutrient values). The normalized heterotrophic N contents
361 explained somewhat less variance with reduced statistical power compared to the un-normalized
362 values (Compare Fig. S1 to Fig. 5), suggesting that it is the absolute N contents of the heterotrophic
363 organs, not their relative departure from the corresponding leaf N contents, that affect the
364 heterotrophic ^{13}C enrichment.

365

366 **Seasonal variations in leaf $\delta^{13}\text{C}$ and C_i/C_a ratios**

367 At both Dengkou and Minqin sites, leaf $\delta^{13}\text{C}$ of *N. tangutorum* decreased from May to September
368 (Fig. 6a), indicating progressive depletion in the heavier carbon isotope in leaves as the season
369 progressed. Meanwhile, the C_i/C_a ratio increased from the early to late growing season (Fig. 6b).
370 Thus the relationship between the seasonal patterns in leaf $\delta^{13}\text{C}$ and C_i/C_a ratios is consistent with the
371 prediction by the leaf photosynthetic carbon isotope discrimination models (Farquhar *et al.* 1982;
372 Farquhar and Cernusak 2012; Gu and Sun 2014). However, the differences in leaf $\delta^{13}\text{C}$ between the
373 two sites cannot be entirely explained by the differences in the C_i/C_a ratios. In all months examined,
374 the C_i/C_a ratios at the Dengkou site were consistently higher than at the Minqin site. If the C_i/C_a
375 ratios were the only factor controlling the leaf $\delta^{13}\text{C}$, then the Dengkou site should have consistently
376 lower leaf $\delta^{13}\text{C}$ (higher C_i/C_a ratios increase discrimination against ^{13}C during photosynthesis). To
377 the contrary, the Dengkou site had higher leaf $\delta^{13}\text{C}$ than the Minqin site in May, June and July; only
378 in August and September, the difference in leaf $\delta^{13}\text{C}$ was consistent with the effect of the difference
379 in C_i/C_a ratios between the two sites (although the difference in leaf $\delta^{13}\text{C}$ between the two sites were
380 still not significant).

381 Interestingly, the leaf $\delta^{13}\text{C}$ in May and June was close to the biomass-weighted average of root
382 $\delta^{13}\text{C}$ at both study sites, suggesting that the initial building materials of new leaves might have
383 largely come from stored carbon in roots.

384

385 **DISCUSSION**

386 A major finding from this study is that the N content of a heterotrophic organ, expressed either as a

387 fraction of total dry biomass or as a ratio of C to N or N to P, is strongly correlated with this organ's
388 enrichment in ^{13}C relative to leaves with higher N concentrations corresponding to larger enrichment.
389 Because this relationship is caused by variations among heterotrophic organs and because
390 normalizing the heterotrophic N content by the corresponding leaf N content did not improve or even
391 worsened this relationship, the process responsible for it must reside inside the heterotrophic organs
392 themselves. Further, this process must be mediated by N.

393 What N-mediated process could be responsible for the positive N - ^{13}C enrichment relationship
394 among heterotrophic organs? A parsimonious candidate is the respiratory CO_2 refixation by PEP
395 carboxylase. CO_2 from the respiration of heterotrophic organs may dissolve into water and be
396 hydrated into HCO_3^- which is then fixed by PEP carboxylase into oxaloacetate. Both the dissolution of
397 CO_2 into water and the fixation of HCO_3^- by PEP carboxylase discriminate slightly against ^{13}C .
398 However, the hydration process fractionates strongly in favor of ^{13}C and causes it to concentrate
399 in HCO_3^- . Consequently, the CO_2 refixation by PEP carboxylase has a net fractionation of 5.7‰ in
400 favor of ^{13}C relative to the gaseous CO_2 (Farquhar 1983; Melzer and O'Leary 1987; Farquhar *et al.*
401 1989). Thus the respiratory CO_2 refixation by PEP carboxylase should lead to a depletion of ^{13}C in
402 CO_2 escaped to outside compared to the original substrates for respiration while heterotrophic organs
403 should be ^{13}C -enriched due to the addition of organic materials from PEP carboxylase activities.
404 Previous studies have reported high PEP carboxylase activities in heterotrophic organs of a variety of
405 C_3 plant species (Melzer and O'Leary 1987; Berveiller and Damesin 2008; Gessler *et al.* 2009;
406 Gessler *et al.* 2014). If increased N content increases the respiratory CO_2 refixation in heterotrophic
407 organs, then it should also increase ^{13}C enrichment in these organs. Berveiller *et al.* (2010) showed
408 that CO_2 refixation rates of *Fagus sylvatica* stems increased as stem N content increased, which
409 provides a direct support for the hypothesis that CO_2 refixation by PEP carboxylase is a process
410 responsible for our observed positive relationship between N and ^{13}C enrichment in heterotrophic
411 organs.

412 Observed respiration rates of leaves, stems and roots tend to increase with increased N contents
413 (Reich *et al.* 2008). This does not necessarily contradict the PEP carboxylase hypothesis suggested
414 above. The actual respiration rates of these organs may increase so much with increased N contents
415 that the increase cannot be offset by the increased refixation rates by PEP carboxylase. Consequently,

416 the observed rates of CO₂ evolved from heterotrophic organs may still increase even though the
417 refixation rates have increased with increased N contents.

418 The PEP carboxylase hypothesis does imply that the CO₂ escaped to outside from the
419 heterotrophic organs are depleted in ¹³C compared to the substrates utilized for respiration. As
420 summarized in the review of Ghashghaie and Badeck (2014), most isotopic studies on root
421 respiration have found that CO₂ evolved from roots are depleted in ¹³C compared with bulk root
422 material, in contrast to leaf dark respiration which is generally enriched. For stem respiration,
423 however, more contradictory results have been reported. Wingate *et al.* (2010) showed that CO₂
424 evolved from stems of *Pinus pinaster* was depleted in ¹³C compared with the currently measured net
425 CO₂ flux by photosynthetic branches or with the phloem water-soluble organic matter and wood
426 cellulose. Gessler *et al.* (2009) also found that the respiration of stems as well as roots of *Ricinus*
427 *communis* was depleted in ¹³C relative to the assumed respiratory substrates. This latter study was
428 particularly relevant to this present study because the authors determined that the depletion was
429 caused by a strong refixation of respiratory CO₂ catalyzed by PEP carboxylase. In contrast to these
430 studies, Damesin and Lelarge (2003) reported that stem respiration of *Fagus sylvatica* was enriched
431 in ¹³C compared with the total organic matter while Kodama *et al.* (2008) showed that CO₂ evolved
432 from the stem of *Pinus sylvestris* had higher or similar δ¹³C values compared to that of phloem
433 exudate organic matter, depending on respiration rates. More studies are needed to determine
434 whether carbon isotope fractionations of stem respiration depend on species, ages, or environments.
435 Also, the dissolution and hydration of respiratory CO₂ may decouple in location from the fixation
436 of HCO₃⁻ by PEP carboxylase if there is a strong transpiration stream in xylem, with isotopic
437 consequences. For example, respiratory CO₂ can be dissolved and hydrated in roots and stems but
438 the HCO₃⁻ molecules formed can be carried up in xylem transpiration streams (Aubrey & Teskey
439 2009; Angert & Sherer 2011; Bloemen *et al.* 2013, Trumbore *et al.* 2013) and fixed by PEP
440 carboxylase in branches, which will serve to redistribute isotope signatures among different parts of
441 the plant body.

442 Additional studies are also needed to determine whether there are other causes for the observed
443 heterotrophic N – ¹³C enrichment relationship. For example, if different organ N contents are
444 associated with chemical compounds with different isotope signatures or different ‘fragmentation

445 fractionation' (enzymatic reaction of substrate molecules with heterogeneous ^{13}C distribution;
446 Tcherkez *et al.* 2004; Hobbie and Werner 2004), one may expect organ N contents to be correlated
447 with organ isotope signatures, potentially leading to the observed relationship. Another possibility to
448 consider is that atmospheric $\delta^{13}\text{C}$ has been decreasing since the Industrial Revolution due to the
449 emission of ^{13}C -depleted fossil CO_2 . If a heterotrophic organ contains a higher fraction of carbon
450 with an old age, then its bulk $\delta^{13}\text{C}$ would be higher. Stems and roots should contain more old carbon
451 than leaves do. We do not have data to quantify this possibility. However, a qualitative reasoning led
452 us to doubt that a general decreasing trend in atmospheric $\delta^{13}\text{C}$ can explain the observed
453 heterotrophic N – ^{13}C enrichment relationship. Although we do not know the ages of the six nebkhas
454 excavated, atmospheric N deposition has probably been increasing during the life time of these
455 nebkhas. Therefore younger tissues should contain lower $\delta^{13}\text{C}$ and higher N, which would imply a
456 negative N – ^{13}C enrichment relationship, opposite to what we observed. Therefore the positive
457 heterotrophic N – ^{13}C enrichment relationship most likely has a phytogetic, rather than an
458 atmospheric, origin.

459 It is important to clarify that our suggestion that the process responsible for the positive
460 heterotrophic N - ^{13}C enrichment relationship resides in heterotrophic organs does not imply that the
461 cause(s) for heterotrophic enrichment of ^{13}C relative to leaves resides entirely in heterotrophic organs.
462 In fact, to explain the full magnitude of the observed heterotrophic enrichment (2‰), about 35%
463 ($100 \times 2/5.7$) of the carbon of heterotrophic organs has to have cycled through PEP carboxylase once,
464 which appears to be surprisingly large for C_3 plants (Hobbie *et al.* 2003). Also, our finding that the
465 $\delta^{13}\text{C}$ of leaves in the early growing season was close to the mean isotope ratio of roots but decreased
466 as the season progressed indicates that processes inside leaves must also contribute to the overall
467 isotope differences between leaves and heterotrophic organs if the leaf samples for reference are
468 from middle to late growing seasons. The reference leaf samples in our calculation of $\Delta^{13}\text{C}_{organ}$ were
469 from middle growing seasons (August). Therefore, the progressive seasonal depletion in foliar ^{13}C
470 increased the magnitude of the obtained $\Delta^{13}\text{C}_{organ}$. Furthermore, processes such as preferential loading
471 into phloem of the heavier isotope and loss of depleted outer bark materials should also affect the
472 overall autotrophic – heterotrophic isotope differences (Cernusak *et al.* 2009; Ghashghaie and
473 Badeck 2014). While these processes may boost the overall magnitude of heterotrophic ^{13}C

474 enrichment, they cannot explain its relationship with N content among heterotrophic organs.

475 It is likely that leaf N also plays an important role in determining ^{13}C enrichment in
476 heterotrophic organs relative to leaves. We do not have enough leaf-level data to examine this issue
477 in depth but findings from previous studies allow us to speculate about what this role might be. As
478 discussed early, leaf N content is positively correlated with leaf $\delta^{13}\text{C}$ because higher leaf N increases
479 leaf photosynthetic capacity, which results in decreased C_i/C_a ratios and thus reduced discrimination
480 against ^{13}C during photosynthesis (Sparks and Ehleringer 1997; Livingston *et al.* 1999; Duursma and
481 Marshall 2006; Cernusak *et al.* 2007, 2013). However, a positive relationship between leaf N and
482 leaf $\delta^{13}\text{C}$ does not necessarily mean that higher leaf N will reduce the degree of heterotrophic
483 enrichment in ^{13}C compared to leaves as heterotrophic organs use photosynthetic products from
484 leaves. An interesting pathway for leaf N to influence heterotrophic ^{13}C enrichment may lie in the
485 relationship between leaf N and dark respiration. It is known that leaf dark respiration scales with
486 leaf N (Reich *et al.* 2008). It is also known that leaf dark respiration is enriched in ^{13}C , contrary to
487 respirations of stems and roots (Ghashghaie and Badeck 2014). Thus higher leaf N may actually
488 increase the depletion of ^{13}C in leaves relative to heterotrophic organs. Consequently one may expect
489 that N in autotrophic and heterotrophic organs of plants contributes to the isotope difference between
490 these two types of organs in the same direction but through fundamentally different mechanisms.

491 Our analyses benefitted from the large variations in nutrient contents and heterotrophic ^{13}C
492 enrichment both across plant organs and between sites, allowing any relationship (if exists) between
493 these two sets of variables to be seen clearly. The large variations across plant organs are a validation
494 of our systemic, whole-plant sampling strategy. The large between-site differences in organ nutrient
495 contents likely reflect a site difference in soil fertility. The soil of vegetated area at Dengkou
496 contained $0.024 \pm 0.006\%$ N (Jia 2010) while at Minqin the value was $0.01 \pm 0.001\%$ (Song *et al.*
497 2012), explaining the generally higher plant organ N contents at Dengkou than at Minqin. Soil P
498 contents have not been measured at either site. However, we suspect that soil at Dengkou was also
499 richer in P than at Minqin as plant organs generally contained higher P contents at the former than
500 latter site. The cross-organ variations in nutrient contents were larger at Dengkou than at Minqin,
501 possibly because poorer soil nutrient availability limited organ nutrient content variations at the latter
502 site. Correspondingly, the range of heterotrophic ^{13}C enrichment was also wider at Dengkou than at
503 Minqin. Both the cross-organ and between-site variations contributed the observed relationship

504 between the N content and heterotrophic ^{13}C enrichment. However, even within the same site, a
505 pattern between N content and heterotrophic ^{13}C enrichment can be clearly seen, particularly at the
506 Dengkou site. Further, the patterns of the two sites appear to be consistent with each other and form a
507 single relationship. This consistency suggests that the same mechanism operates at the two sites to
508 generate a unified dependence of ^{13}C enrichment on N content across heterotrophic plant organs.

509 The lack of a clear relationship between P content and heterotrophic ^{13}C enrichment (Fig. 5c and
510 Fig. S1c) is interesting. In plants, proteins, which are rich in N, must be maintained with an
511 allocation of a certain fraction of total body P to ribosomal ribonucleic acid (rRNA) (Niklas et al.
512 2005; Elser et al. 2010). Thus the N and P contents are generally positively correlated and the
513 measurements from Minqin and Dengkou are no exception (Fig. S2). So why is there is a clear
514 dependence of heterotrophic ^{13}C enrichment on N but not on P? It could be that the relationship of
515 heterotrophic ^{13}C enrichment with P is considerably weaker than that with N and our data were not
516 sensitive enough to detect it.

517 The relationship of heterotrophic ^{13}C enrichment with the N/P ratio (Fig. 5e and S1e) is broadly
518 similar to that with N (Fig. 5b and S1b), suggesting that the relationship of heterotrophic ^{13}C
519 enrichment with the N/P ratio is largely due to the effect of N rather than to the ratio itself. However,
520 some level of direct dependence of the enrichment on the N/P ratio cannot be ruled out. Niklas et al.
521 (2005) and Elser et al. (2010) integrated biological stoichiometry and metabolic scaling theories,
522 which led them to suggest that growth rates and plant sizes should be related to N/P ratios. These
523 authors' analyses focused on individual plants while our study is on plant organs. However, if the
524 N/P ratio affects fractionating metabolic processes of plant organs, it is conceivable that the N/P ratio
525 can also affect the ^{13}C enrichment (or depletion) of this organ relative to leaves.

526

527 **CONCLUSION**

528 We conclude that heterotrophic ^{13}C enrichment is affected jointly by fractionation processes
529 occurring within heterotrophic organs and within leaves. Processes taking place between
530 heterotrophic organs and leaves (e.g., preferential phloem loading of ^{13}C enriched sugars) may also
531 contribute to this phenomenon. A nitrogen-mediated process, hypothesized to be the CO_2 refixation
532 by PEP carboxylase, may be responsible for variations in ^{13}C enrichment within heterotrophic organs
533 while processes within leaves or between leaves and heterotrophic organs may determine the overall

534 magnitude of heterotrophic ^{13}C enrichment. We suggest that future efforts should focus on the roles
535 of nitrogen and refixation of respiratory CO_2 by PEP carboxylase in carbon isotope fractionation
536 processes both within leaves and within heterotrophic organs as well as in between them. The
537 findings of this study may have implications beyond isotope ecology. There has been a general lack
538 of studies of refixation of respiratory CO_2 by PEP carboxylase in C_3 plant species. To our knowledge,
539 no current terrestrial carbon cycle models consider this post-photosynthetic process. If PEP
540 carboxylase can significantly affect carbon isotope compositions in heterotrophic organs of C_3 plant
541 species, it may very well have strong influence on post-photosynthetic plant carbon budget and
542 therefore terrestrial ecosystem carbon balance.

543
544

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Table 1. Main geometrical and biometrical characteristics of the nebkhas excavated in this study.

Nebkha	Dengkou-1	Dengkou-2	Dengkou-3	Minqin-1	Minqin-2	Minqin-3
Major axis (m)	13.6	9.9	3.65	4	4.6	6.4
Minor axis (m)	8.38	5.9	3.24	3.5	2.9	4.6
Height (m)	2.02	1.38	0.57	0.35	0.44	0.8
Plant cover (%)	80	70	80	11	15	7
Below-plain rooting depth (cm)	< 60	< 40	< 40	< 80	< 80	< 80
Leaf biomass (g C m ⁻² & %)	62.9 (10)	93.7 (12)	85.1 (11)	12.7 (6)	23.0 (11)	11.0 (9)
Stem biomass (g C m ⁻² & %)	159.7 (25)	169.3 (22)	213.3 (28)	35.2 (16)	70.0 (34)	22.2 (19)
In-sand root biomass (g C m ⁻² & %)	289.9 (45)	370.6 (47)	214.7 (28)	92.0 (41)	34.9 (17)	51.9 (44)
Blow-plain root biomass (g C m ⁻² & %)	137.7 (21)	148.7 (19)	260.8 (34)	84.5 (38)	80.5 (39)	32.5 (28)
Total biomass (g C m ⁻² & %)	650.2 (100)	782.3 (100)	773.9 (100)	224.4 (100)	208.3 (100)	117.6 (100)

Figure Captions

Figure 1. Flowers (top, 10 June 2009, Minqin), fruits (middle, 18 July 2009, Minqin) and nebkha (bottom, 3 August 2010, Dengkou) of *Nitraria tangutorum* Bobrov. Pictures courtesy of Jianmin Chu, Research Institute of Forestry, Chinese Academy of Forestry.

Figure 2. The difference in carbon isotope compositions between leaves and heterotrophic organs of *Nitraria tangutorum* Bobrov, which is measured by $\Delta^{13}C_{organ}$ in Eq (1) and averaged across the nebkhas excavated at the same study site (Dengkou or Minqin). Negative values indicate ^{13}C enrichment in heterotrophic organs compared to leaves. Upper-case letters denote ANOVA results within a study site (i.e., comparing $\Delta^{13}C_{organ}$ among different organs at the same site) and lower case letters between the two sites (i.e., comparing $\Delta^{13}C_{organ}$ of the same organ between the two sites). IS stands for in-sand, FR fine root and CR coarse root. 1, 2, 3 and 4 in front of FR or CR stand for 0 - 20, 20 - 40, 40 - 60 and 60 - 80 cm below the plains on which nebkhas rest. Woody debris (WD) from dead ramets is also included in the figure. No ANOVA results for 3FR and 3CR at the Dengkou site as there was only one nebkha having roots between 40 to 60 cm. No roots were found below 60 cm at the Dengkou site.

Figure 3. Carbon (C) (a), nitrogen (N) (b) and phosphorous (P) content (c) of different organs of *Nitraria tangutorum* Bobrov, at the Dengkou and Minqin study sites. Symbols and letters denoting ANOVA results are explained in Figure 2.

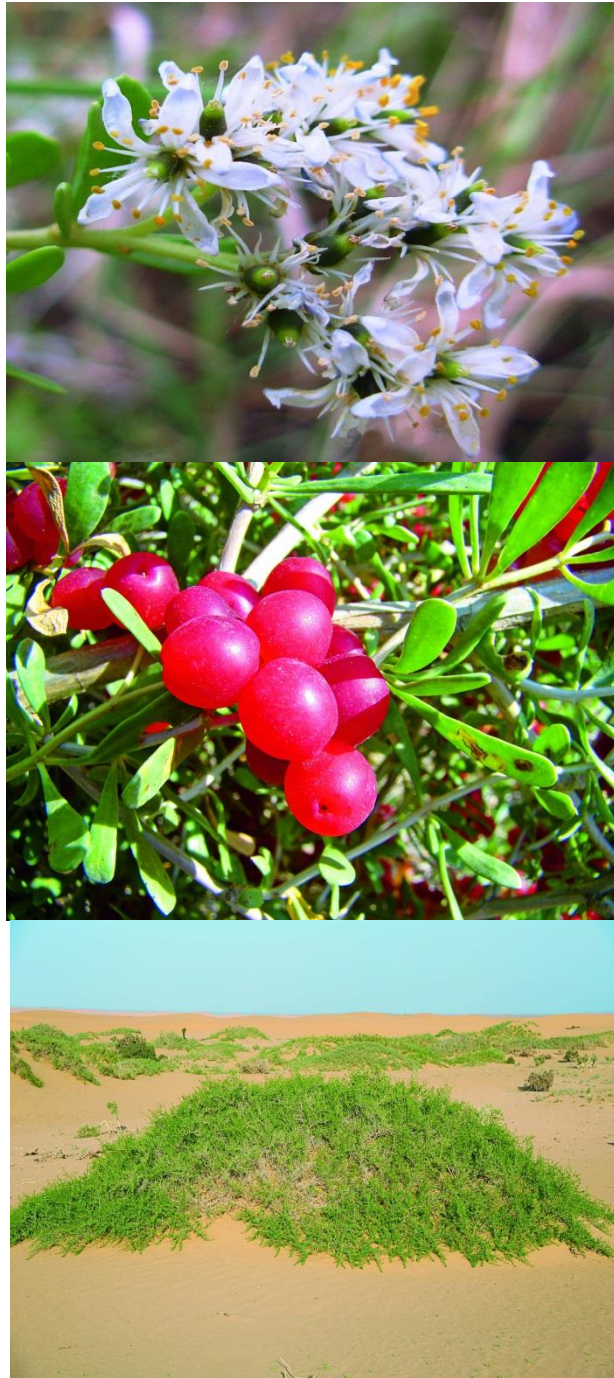
Figure 4. Carbon (C) to nitrogen (N) (a), N to phosphorous (P) (b) and C to P mass ratios (c) of different organs of *Nitraria tangutorum* Bobrov, at the Dengkou and Minqin study sites. Symbols and letters denoting ANOVA results are explained in Figure 2.

Figure 5. Nutrient dependence of the difference in carbon isotope compositions between leaves and heterotrophic organs of *Nitraria tangutorum* Bobrov, which is measured by $\Delta^{13}C_{organ}$ in Eq (1) and

averaged across the nebkhas excavated at the same study site. Negative values indicate ^{13}C enrichment in heterotrophic organs compared to leaves. Changes of $\Delta^{13}\text{C}_{organ}$ as a function of organ contents of carbon (C) (a), nitrogen (N) (b) and phosphorous (P) (c) and of organ mass ratios of C to N (d), N to P (e), and C to P (f). The two arrows in (b) indicate values for woody debris from dead ramets at each study site while in (d) indicates an outlier caused by measurements in phosphorous content (see the outlier in c and f).

Figure 6. Seasonal changes in the ratios of leaf carbon isotopes (a) and intercellular (C_i) to ambient (C_a) CO_2 concentrations of *Nitraria tangutorum* Bobrov at the Dengkou and Minqin study sites. For comparison, the biomass-averaged isotope ratios of roots from the excavated nebkhas are also shown in (a).

Figure 1



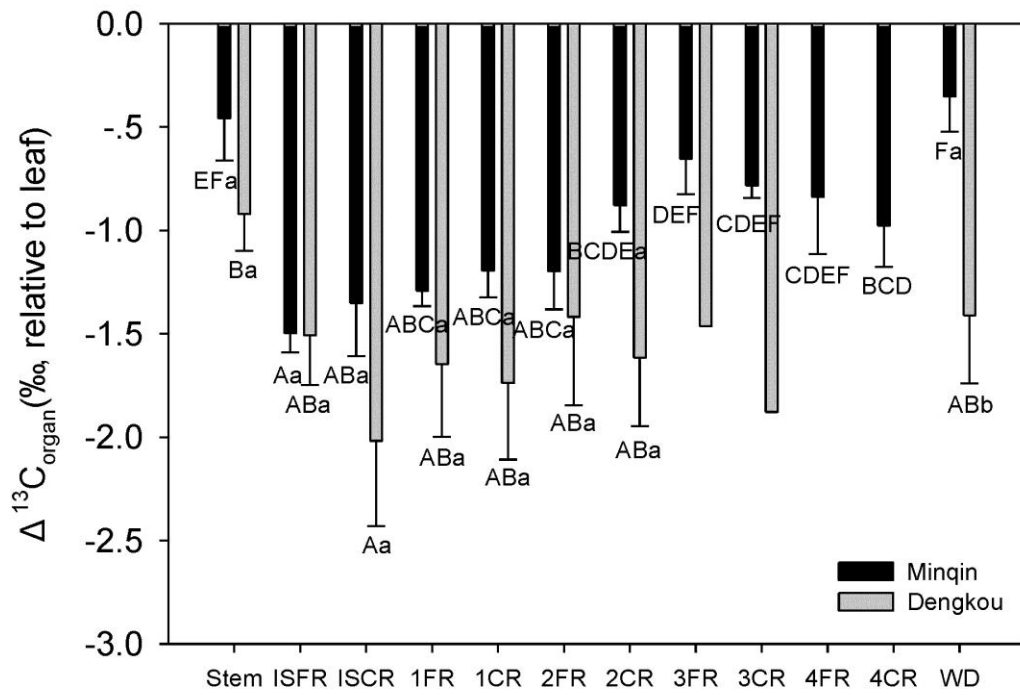


Figure 2

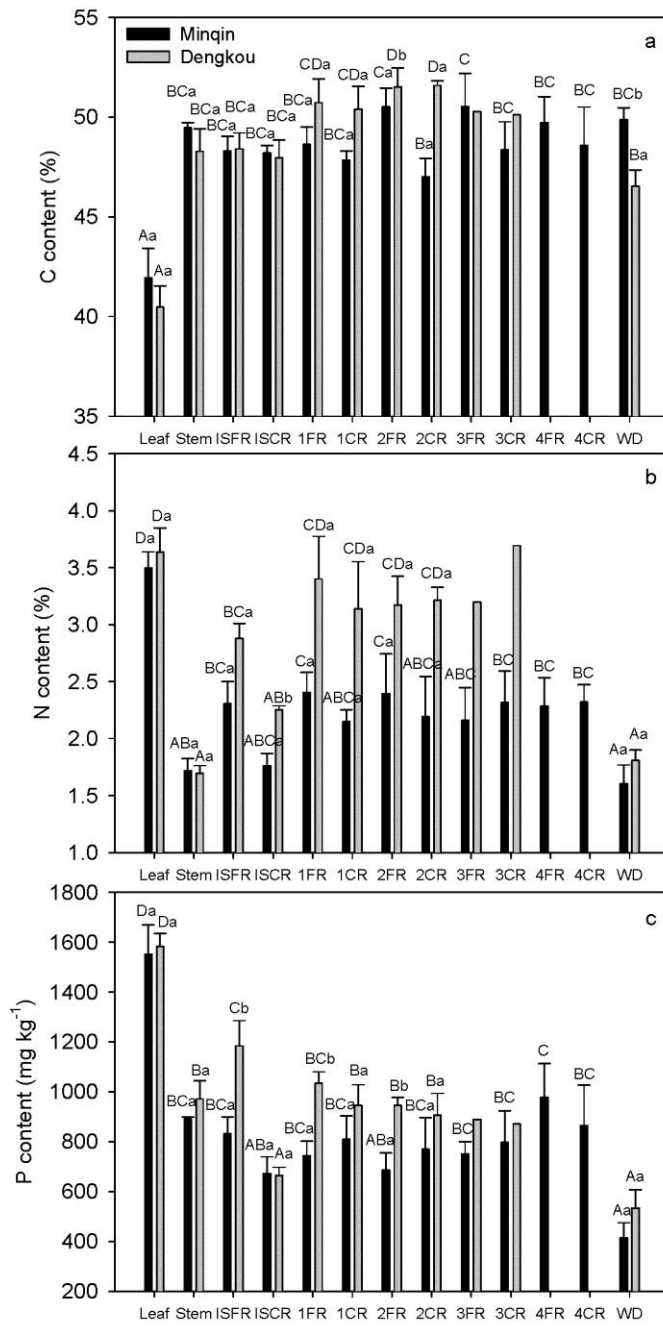


Figure 3

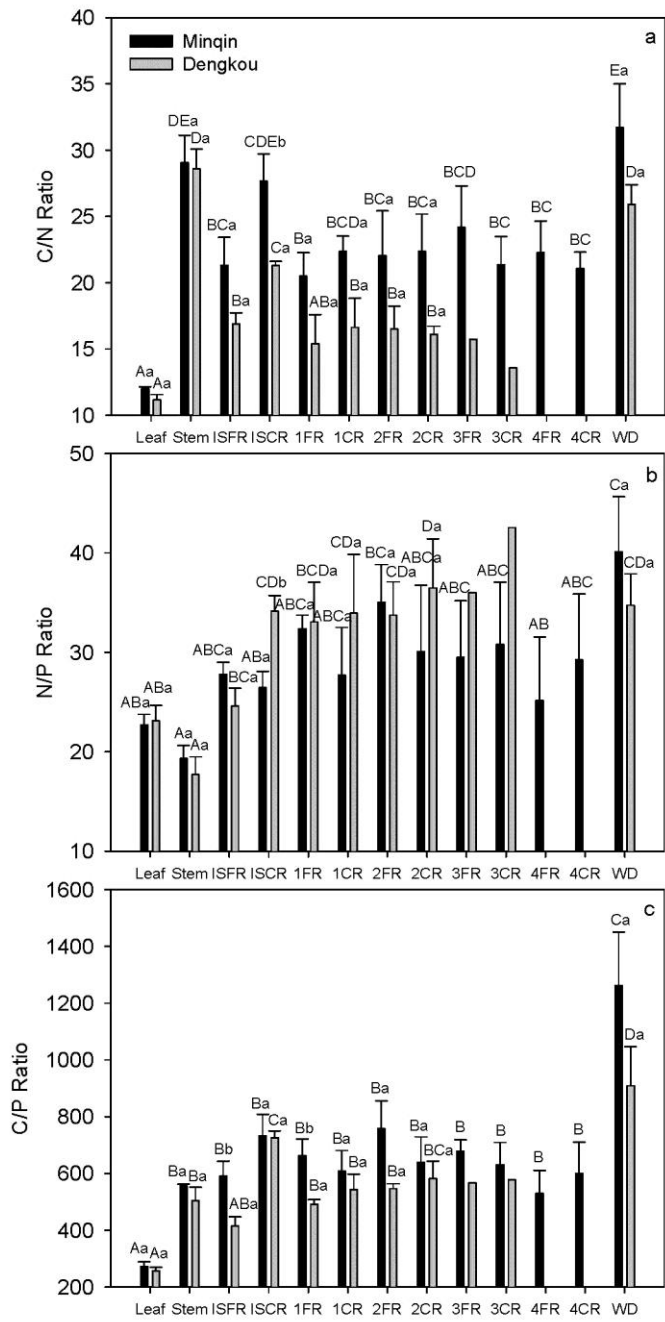


Figure 4

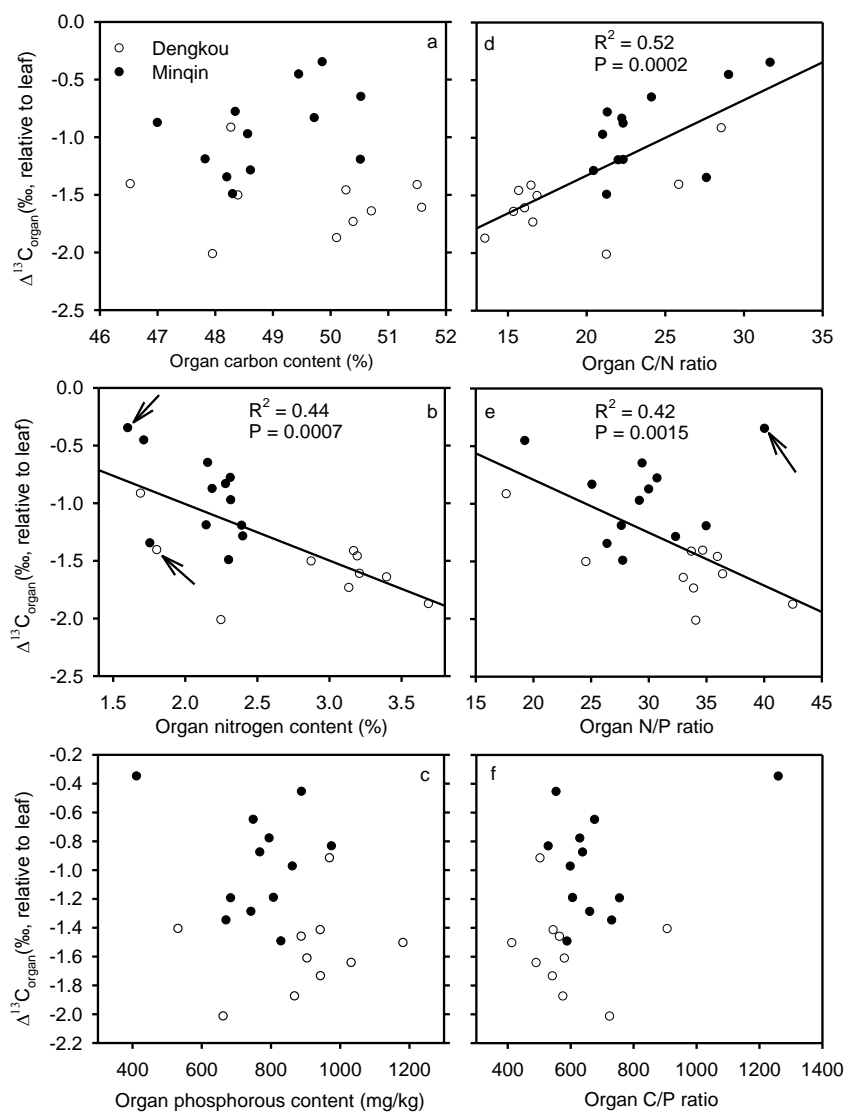


Figure 5

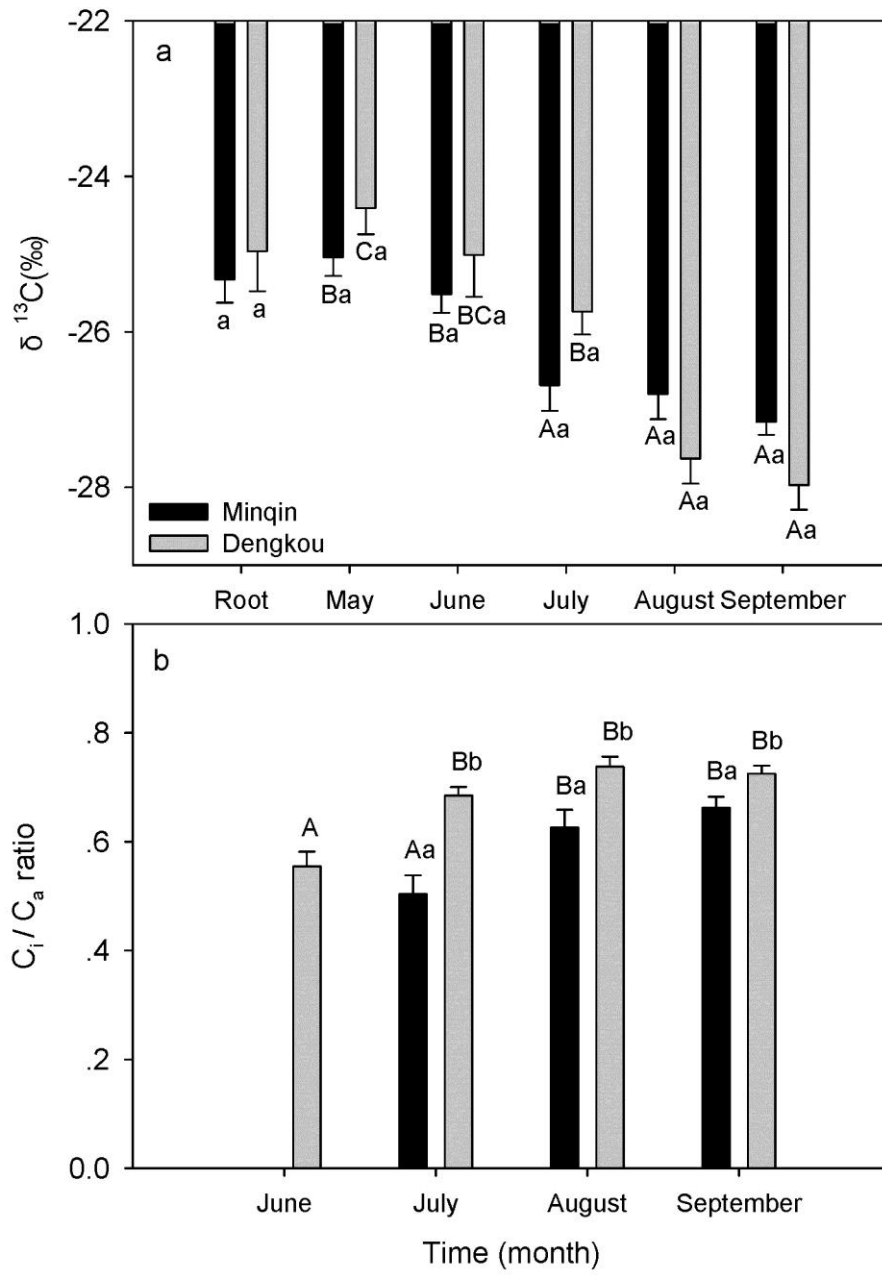


Figure 6