

1 **Modeling the impact of agricultural land use and management on U.S. carbon budgets**

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18 Keywords: Agriculture, Biogeochemistry, Land Surface Model, Soil Organic Carbon

19

20 **Abstract**

21 Cultivation of the terrestrial land surface can create either a source or sink of atmospheric CO<sub>2</sub>,  
22 depending on land management practices. The Community Land Model (CLM) provides a useful  
23 tool to explore how land use and management impact the soil carbon pool at regional to global  
24 scales. CLM was recently updated to include representation of managed lands growing maize,  
25 soybean, and spring wheat. In this study, CLM-Crop is used to investigate the impacts of various  
26 management practices, including fertilizer use and differential rates of crop residue removal, on  
27 the soil organic carbon (SOC) storage of croplands in the continental United States over  
28 approximately a 170-year period. Results indicate that total U.S. SOC stocks have already lost  
29 over 8 Pg C (10%) due to land cultivation practices (e.g., fertilizer application, cultivar choice,  
30 and residue removal), compared to a land surface composed of native vegetation (i.e.,  
31 grasslands). After long periods of cultivation, individual subgrids (the equivalent of a field plot)  
32 growing maize and soybean lost up to 65% of the carbon stored, compared to a grassland site.  
33 Crop residue management showed the greatest effect on soil carbon storage, with low and  
34 medium residue returns resulting in additional losses of 5% and 3.5%, respectively, in U.S.  
35 carbon storage, while plots with high residue returns stored 2% more carbon. Nitrogenous  
36 fertilizer can alter the amount of soil carbon stocks significantly. Under current levels of crop  
37 residue return, not applying fertilizer resulted in a 5% loss of soil carbon. Our simulations  
38 indicate that disturbance through cultivation will always result in a loss of soil carbon, and  
39 management practices will have a large influence on the magnitude of SOC loss.

40

## 41 **1. Introduction**

42 Bioenergy crops are promoted as a renewable energy source capable of improving energy  
43 security and mitigating greenhouse gas (GHG) emissions from fossil fuels. These crops are  
44 considered environmentally friendly and economically competitive, because CO<sub>2</sub> emitted by  
45 biofuel combustion is partially balanced by atmospheric uptake through photosynthesis (Hughes  
46 et al., 2010). The Renewable Fuel Standard of the U.S. Energy Independence and Security Act  
47 (EISA 2007) sets a national target of producing 136 billion liters of renewable fuels by 2022. Of  
48 this, at least 61 billion liters is expected to come from cellulosic ethanol (U.S. Environmental  
49 Protection Agency, 2010). Though maize grain and sugarcane are currently the major global  
50 sources for bioethanol production, maize production in the United States is not sufficient to meet  
51 the renewable fuel targets. Furthermore, recent studies suggest that production of ethanol from  
52 corn grain might in fact increase GHG emissions because of changes in land use (Searchinger et  
53 al., 2008; Kim et al., 2009; Melillo et al., 2009). For these reasons, cellulosic biofuels produced  
54 from cellulose and hemicellulose plant biomass are considered a viable alternative to  
55 conventional crop-based biofuels.

56 Cellulosic biofuels can be made from perennial feedstocks or from residues of annual  
57 cropping and forestry activities, thereby reducing or eliminating the need for additional  
58 agricultural land. The use of crop residues for bioethanol production shows promise for fulfilling  
59 U.S. renewable fuel goals, but more research is needed on the effects on soil organic carbon  
60 (SOC) of crop residue removal from croplands (Mishra et al., 2013) and net GHG balance  
61 (McKone et al., 2011). Furthermore, crop residues play a crucial role in sustainability and  
62 resilience of agroecosystems (Karlen et al., 2009). Therefore, to understand the environmental  
63 consequences of using crop residues for bioenergy production on large spatial scales, it is

64 essential to know the impacts on the SOC pool of differential rates of crop residue removal and  
65 nitrogenous fertilizer applications.

66 Crop residue is responsible for maintaining soil moisture, returning carbon and other  
67 nutrients to soil, and erosion mitigation; in general, it provides a sustainable environment for  
68 cultivation activities (Lal, 2009). Without residue cover, wind and water erosion will increase  
69 (Van Pelt et al., 2013). Long-term residue harvest results in loss of yields and productivity by  
70 decreasing the nutrient content of soils (Blanco-Canqui and Lal, 2009a). These arguments  
71 demonstrate that using crop residues as a bioenergy fuel resource could have detrimental impacts  
72 on agroecosystems (Blanco-Canqui and Lal, 2009a).

73 Globally, soils store more carbon than the atmosphere and biosphere combined, acting  
74 both as a source and sink of atmospheric CO<sub>2</sub> (IPCC, 2013). However, cultivation loss of SOC  
75 ranges from 50% to 70% (Lal and Bruce, 1999). Over the U.S. Midwest, land conversion led to a  
76 25-50% reduction of soil carbon (Houghton et al., 1999; Lal, 2002). The result is large carbon  
77 payback times, ranging from a few years to several centuries (Fargione et al., 2008; Gibbs et al.,  
78 2008; Searchinger et al., 2008). On the other hand, conversion from cultivation to native  
79 grasslands, such as through enrollment in the Conservation Reserve Program, resulted in  
80 increased soil carbon (Anderson-Teixeira et al., 2009; Pineiro et al., 2009). Therefore, it is  
81 critical to evaluate the impact of agricultural land use and management on regional carbon  
82 budgets.

83 The influence of agriculture on the carbon cycle is complex; carbon capture and storage  
84 in croplands are dependent on management practices, including tillage, fertilizer applications,  
85 residue management, and crop sequence (West and Post, 2002; Hooker et al., 2005; Dou and

86 Hons, 2006; Huggins et al., 2007; Khan et al., 2007; Kim et al., 2009). SOC stocks and fluxes at  
87 a particular location are soil and site specific and reflect the long-term balance between organic  
88 matter inputs from vegetation and losses due to decomposition, erosion, and leaching. Some  
89 studies have attempted to quantify carbon sequestration from mitigation strategies such as no-till  
90 or conservation tillage practices, residue management, use of cover crops, and restoration and  
91 reserve actions (Conant et al., 2001; West and Post, 2002). These studies showed that as farming  
92 techniques are improved to maximize yield and minimize disturbance, SOC can be maintained  
93 and perhaps even increased over time.

94         However, the effect of altered management on agricultural soil's ability to store or emit  
95 carbon is unresolved, largely as a result of conflicting evidence. For example, some studies on  
96 the effects of nitrogen fertilizer indicated a decrease in SOC caused by increased decomposition  
97 (Khan et al., 2007; Russell et al., 2009), while others reported an increase in SOC from increased  
98 biomass returned to the soil after harvest (Jung and Lal, 2011; Halvorson et al., 1999; Wilts et  
99 al., 2004). SOC increases when crop residue is returned to the land (Buyanovsky and Wagner,  
100 1998; Wilhelm et al, 2004; van Groenigen et al., 2011), but residue can also increase  
101 decomposition in warm, moist areas (Johnson et al., 2005). Perhaps the disagreement is the result  
102 of the large variability and uncertainty of field measurements, which make developing  
103 conclusions difficult (Karlen et al., 2011). For example, Smith et al. (2012) found no differences  
104 between the residue-returned and residue-harvested treatments, and in some cases the residue-  
105 harvested sites had increased SOC. Thorburn et al. (2012) also found no consensus regarding  
106 residue harvest and SOC response. Nonetheless, most studies found a loss of SOC with residue  
107 harvesting. Although the variability of SOC measurements can be attributed to any number of  
108 effects — including topography (Senthilkumar et al., 2009b), SOC baseline (Senthilkumar et al.,

109 2009a), aggregate protection (Ananyeva et al., 2013), and even depth (Kravchenko and  
110 Robertson, 2011; Syswerda et al., 2011) — it is generally agreed that if crop residue is used as  
111 feedstock for biofuels, additional carbon losses can occur (Karlen et al., 2011).

112 SOC losses can be mitigated through recommended management practices, but studies  
113 disagree on the limits of harvestable crop residue to maintain SOC levels in soils. Estimates of  
114 harvestable non-grain biomass range from 13% (Tan et al., 2012) to 50% (Blanco-Canqui and  
115 Lal, 2009a), with an average of about 25%, although that might require stabilization of SOC  
116 (Tan et al., 2012). These estimates consider erosion, soil productivity, maintaining SOC, surface  
117 crusting, porosity, aggregate breakdown, compaction, and soil temperature, but the wide range in  
118 estimated biomass available for harvest leaves questions regarding the sustainability of cellulosic  
119 ethanol. However, because the rate of SOC loss tends to increase with increased biomass harvest  
120 (Lemke et al., 2010), harvesting small amounts of residue for biofuel might be feasible.

121 Modeling studies can supplement observational data and explore possible differences in  
122 SOC by investigating idealized cases. A benefit is that the wide study area can be extended to  
123 regional or global scales without resorting to geospatial methods of interpolating sparse data. In  
124 this study, we evaluated the influence of cultivation on SOC by using the agriculture version of  
125 the Community Land Model (CLM), CLM-Crop (Drewniak et al., 2013). Our analysis includes  
126 impacts of changes in land use and also in management practices, such as crop residue harvesting  
127 and fertilizer application. A description of the model and the simulations performed is presented  
128 in Sect. 2, followed by results and a discussion in Sect. 3 and Sect. 4, respectively.

## 129 2 Methods

### 130 2.1 CLM-Crop model description

131 CLM-Crop, the agriculture version of CLM, includes representations of maize, spring  
132 wheat, and soybean crop types with fully coupled carbon-nitrogen cycling (Drewniak et al.,  
133 2013). The variation of carbon and nitrogen allocation to plant components with the growth  
134 phase of crop development is based on the dynamic vegetation model Agro-IBIS (Kucharik and  
135 Brye, 2003). The growth phases are defined as planting, emergence, grain fill, and harvest. Plant  
136 date and growth period are determined from the Crop Calendar Dataset (Sacks et al., 2010), and  
137 each phase is reached according to a phenological heat unit (PHU) method (see Drewniak et al.,  
138 2013).

139 Several processes governing nitrogen cycling are included in CLM-Crop to represent  
140 nitrogen retranslocation, fertilization, and nitrogen fixation in soybean. Nitrogen retranslocation  
141 occurs during the grain fill growth phase, when nitrogen in the leaves and stem are mobilized to  
142 meet organ demands. Fertilizer is applied during the emergence phase for 20 days at constant  
143 rates of 150 kg/ha for maize, 80 kg/ha for spring wheat, and 25 kg/ha for soybean. The 20-day  
144 fertilization period is designed to optimize nitrogen usage and reduce loss of excess nitrogen  
145 through denitrification. Soybean nitrogen fixation allows soybean crops to behave as legumes  
146 fixing additional nitrogen through roots — a treatment similar to that of the SWAT model  
147 (Neitsch et al., 2005).

148 Harvest occurs as soon as maturity is reached. Grain is removed from the system to  
149 represent the consumption of that plant component. The remaining stems and leaves are  
150 considered residue and are split into litter and product pools. Litter is returned to the soil through

151 the decomposition process, while product is removed with the grain for uses such as biofuels,  
152 animal bedding, etc. The amount of residue returned as litter can be varied for different  
153 scenarios. High returns represent sustainable agriculture practices to maintain soil fertility, and  
154 low returns are indicative of high cellulosic biofuel usage.

## 155 **2.2 Input data**

156 CLM-Crop requires two types of input: climate data and surface data. The climate data  
157 from the National Center for Environmental Protection reanalysis for 1948-2004 (Kalnay et al.,  
158 1996) include temperature, wind speed, humidity, precipitation, solar radiation, and surface  
159 pressure at 3-hr intervals. Because the spin-up of the model requires over 600 yr of simulation,  
160 we cycled through the reanalysis data to reach a steady state (Thornton and Rosenbloom, 2005).

161 Surface data sets assign the proportion of each land type and plant functional type in a  
162 grid cell; crops are grown separately from natural vegetation to eliminate competition for  
163 resources. Natural vegetation prescribed from Bonan et al. (2002) includes a generic crop area.  
164 Crop distribution for 1992 from Leff et al. (2004) is used to construct maize, wheat, and soybean  
165 coverage from the total generic crop area. Because the wheat coverage includes both spring and  
166 winter wheat, we model winter wheat as spring wheat in CLM-Crop. Some crop areas  
167 overestimated as double cropping in the data set might result in a crop area being counted twice.

168 In addition to land use, the surface data include the planting dates and growth period of  
169 each crop type from the Crop Calendar Dataset (Sacks et al., 2010). Planting date is the average  
170 day of year when planting occurs, aggregated from 0.5° resolution to 2.8° for CLM-Crop. In  
171 regions where data are not available, Sacks et al. (2010) used nearest-neighbor extrapolation to  
172 infer planting date. Growth period is calculated in Sacks et al. (2010) as the average number of



173 PHUs between the average planting date and the average harvest date for the 30-yr Climatic  
174 Research Unit data set (New et al., 1999).

### 175 **2.3 Simulations**

176 CLM-Crop was run at a resolution of  $2.8^\circ \times 2.8^\circ$  by using the spin-up procedure in  
177 Thornton and Rosenbloom (2005). During spin-up, only natural vegetation was active, and  
178 croplands were simulated as grass until a steady SOC state was reached. At the end of the spin-  
179 up, the land use was converted to include agriculture, representative of the early 1990's land use  
180 maps from Leff et al. (2004). CLM does not have a dynamic vegetation capability when crops  
181 are active, so land use/land cover is held constant for the remaining simulations. Several case  
182 studies were designed and run to evaluate the influence of management practices on SOC (Table  
183 1). Each case study was run for a total of 171 years (three complete cycles of the 1948-2004  
184 data) at an hourly time step to represent the most intense cultivation period in North America  
185 (Ramankutty and Foley, 1999). However, we consider only the last 57 yr of simulation for  
186 analysis with averaged data. The control simulation, representing current fertilizer and  
187 management practices over North America, is compared to an extension of the spin-up, with  
188 crops represented as grass. Additional experiments compared the impact on soil carbon from four  
189 agricultural practices (high, medium, and low residue levels and zero fertilizer) with our control  
190 simulation.

191 To investigate the effects of land use changes on SOC, different residue management  
192 practices, and varied fertilizer application, the results from six scenarios were analyzed (Table 1).  
193 First, conventional crop management (control run, 70% residue) is compared with crops  
194 simulated as grass (grass run). Second, effects of high (90%), medium (30-40%), and low (10%)

195 residue are compared with values for the control run. Third, the effect of no fertilizer application  
196 (with 70% residue) is evaluated by comparison with the control run.

## 197 **3 Results**

### 198 **3.1 Soil organic carbon**

199 Simulated SOC values from the control run range from  $< 2 \text{ kg C m}^{-2}$  in the Southwest to  
200  $> 20 \text{ kg C m}^{-2}$  in the northern United States (Fig. 1). Average SOC values are lower in crop  
201 ecosystems than in natural vegetation systems because of biomass removal and other land  
202 management. The total stored SOC over all land surface types in the United States, as calculated  
203 by CLM-Crop, is 84 Pg C, which falls within the range of previous estimates of 78-85 Pg C  
204 (Kern, 1994). CLM-Crop-simulated SOC for agriculture sites over the contiguous United States  
205 (CONUS) has a pattern similar to that of total SOC, with higher SOC in the northern part of the  
206 country and lower SOC in the southern regions.

207 The general spatial pattern of the model-calculated SOC over CONUS is evaluated by  
208 using available spatially gridded data sets of SOC. The data developed by the global soil carbon  
209 International Geosphere-Biosphere Program (IGBP; Global Soil Data Task Group, 2000) for  
210 CONUS are summarized in Fig. 1b. The SOC pattern and magnitude are similar to the model-  
211 calculated values (Fig. 1a). The differences between the model-calculated SOC and the IGBP  
212 data set are shown in Fig. 1c. In most regions, the percent difference between the data set and the  
213 model simulation is  $< 5\%$ . Areas with higher percent differences are in boreal regions, where  
214 CLM tends to underestimate soil carbon (Koven et al., 2013).

215 Figure 1 includes both managed and natural lands. To evaluate the model-simulated SOC  
216 over agricultural lands, we selected self-identified measurements of SOC from agricultural lands

217 available from the International Soil Carbon Network (ISCN; 2014). This data set has over 4,000  
218 unique SOC measurements to 1-m depth from croplands over CONUS. Although CLM soil  
219 depth (3.8 m) is deeper than the observations (1 m), we feel that the mismatch has little impact  
220 on results since most SOC is in the top soil layers (Jobbagy and Jackson, 2000). Because the  
221 ISCN data were collected over a wide variety of soils, at different points in the crop cycle and  
222 different times since the change in land used, variability is large, and the number of outliers from  
223 the median of the sample is significant. The plot in Fig. 2 shows the range of values with  
224 significant occurrences in the upper quartile and above the 90th percentile of the distribution. We  
225 filtered out outliers with SOC measurements  $> 50 \text{ kg C m}^{-2}$  in this figure only to improve  
226 readability of the graph, since only a small portion (2.5%) of the measured values were higher  
227 than  $50 \text{ kg C m}^{-2}$  and SOC in agriculture lands is typically less than  $50 \text{ kg C}$  (Kern et al., 1994;  
228 Mishra et al., 2010). The model results for the grid cells identified as cropland are included in  
229 Fig. 2. The model results have a smaller range than the ISCN data, as would be expected for  
230 SOC values extracted at the end of the simulation period and post-harvest. In addition, the SOC  
231 in the model is less variable because of the larger grid cells with uniform soil type. Nevertheless,  
232 the median SOC values simulated by CLM-Crop fall within range of the middle 50% of the  
233 ISCN measurements (Fig. 2), and thus the simulated values are comparable, on average, with the  
234 observations.

235         In a further evaluation of the model's performance over agricultural lands, we completed  
236 a site-by-site comparison of modeled SOC to observed SOC. We applied a filter to separate soil  
237 over the modeling domain into three types (clay, sand, and silt), to examine the model behavior  
238 against the different textures. Figure 3 plots simulation results versus observations of SOC for  
239 values selected as described above. Each point indicates the mean observational SOC stock at the

240 model grid scale with the standard deviation. The plot indicates that although the model does  
241 tend to underestimate soil carbon over croplands, CLM does reasonably well at catching a wide  
242 range of SOC values at agricultural sites for all soil textures. The model does not capture the  
243 individual site observations well, due to the high spatial variability. CLM tends to simulate high  
244 SOC in sandy soils, low SOC for silt soils, and clay SOC in between, however the soil texture is  
245 determined from the model data and therefore may not accurately represent the soil texture of the  
246 observations. This result is encouraging, in view of difficulties in comparing CLM-Crop-  
247 simulated SOC with observations at agriculture sites. First, the large grid size used in the model  
248 simulation cannot resolve the small-scale variability between farm-scale measurements, which  
249 are apparent from the large standard deviation in observations. Second, the model is run with  
250 static management for long time periods and cannot capture changes in management or land use  
251 over long temporal and large spatial resolutions while observations are taken over various time  
252 frames with vastly different land use history. Finally, measurements are 1 m depth, and CLM-  
253 Crop estimates SOC for the total soil column (> 300 cm). Despite these challenges, CLM can  
254 capture the range of SOC present at many agriculture sites and in many cases CLM SOC  
255 estimates fall within the standard deviation of the observations.

256         In order to explore the model performance further, we examined the effect of climate  
257 variability on SOC stocks. CLM SOC stocks decrease with increasing mean annual temperature  
258 and total annual precipitation (Fig. 4), which is also supported by observations. Higher  
259 temperatures and soil moisture generally result in higher below ground activity and therefore  
260 faster turnover of soil carbon (Wei et al., 2014). Natural vegetation follows the same temperature  
261 trends, but regions with higher annual precipitation indicate higher SOC stock. This is possibly

262 the result of increased productivity when precipitation is high, however the variability in natural  
263 vegetation is quite high making conclusions difficult.

264 Finally, we also consider the ability of the model to capture temporal changes in SOC  
265 from land use conversion. Percent SOC loss since conversion from forest to agriculture, as  
266 summarized in Wei et al. (2014), is plotted in Fig. 5 over temporal periods ranging from 1-207  
267 years with a subset (500 points) of CLM SOC percent loss taken from random grids and time  
268 periods. Although CLM does not simulate the rapid loss of SOC that occurs in some field  
269 observations, by the end of the simulation, CLM does capture the range of SOC loss as seen in  
270 observations. Initial lower SOC stocks likely cause the initial modest decline in SOC simulated  
271 by the model, since SOC loss increases with increasing initial SOC concentration (Wei et al.,  
272 2014). This result highlights CLMs ability to capture changes in SOC over long time periods.

### 273 **3.2 CLM-Crop-simulated changes in soil carbon**

274 Most grid cells lost between 3% and 45% of total SOC, averaged across the grid cell. The  
275 amount of SOC lost was correlated with the size of the agriculture land base; higher agriculture  
276 land use resulted in larger SOC loss. Individual crop soil columns indicate high losses of SOC,  
277 up to a maximum of 75% of total SOC, although average soil loss is 33-51%. Total loss also  
278 varied with crop type; maize and wheat lost about 10% less SOC than soybean. This is  
279 understandable, given the low residue of soybean crops, although this result varied with location.  
280 For example, total simulated SOC loss over maize and soybean soil columns at the Bondville site  
281 in Illinois was 48%. At the Mead, Nebraska, site, losses of SOC for maize and soybean columns  
282 were approximately 44% and 52%, respectively.

283 While these site-level SOC losses are comparable with observations (Lal, 2004),  
284 comparison with the SOC values in the control simulation might be exaggerated as a result of the  
285 subgrid hierarchy, because the accumulated SOC estimated by the grass simulation was  
286 influenced by all vegetation types in the soil column, while the soil column in the control  
287 simulation only included one crop type. In addition, Ramankutty and Foley (1999) showed that  
288 most early croplands from the late 1800s were formed through deforestation and later prairie  
289 removal. This implies that our estimation might be exaggerated, because grassland ecosystems  
290 can hold more carbon than forests (Schlesinger, 1997). Overall, a 10% loss in total SOC over the  
291 United States between the control run and the grass run accounts for a nationwide carbon loss of  
292 more than 8 Pg (Fig. 6).

293 Residue management can have the largest impact on soil carbon. Increasing the residue  
294 left on the field to 90% results in a 2.6% increase of SOC, but allowing a 10% residue amount  
295 (as a potential result of increased cellulosic biofuel demand) leaves an SOC decrease of over  
296 5.7%. The difference between these two scenarios is over 7 Pg C, almost the same amount as the  
297 total carbon loss due to agricultural land use. Interestingly, we found no notable differences  
298 between crop responses. Even a more modest decrease in the residue returned to the field (30-  
299 40%) results in a 3.5% loss of SOC compared to the control simulation. Increasing the residue  
300 harvest will increase the amount of SOC loss (Anderson-Teixeira et al., 2009; Blanco-Canqui  
301 and Lal, 2009b). Harvesting residue results in the loss of not only soil carbon, but also soil  
302 fertility, indicated by declining yields (data not shown). This implies that increased residue  
303 harvest for cellulose might result in expansion of croplands to counter yield declines.

304 Eliminating fertilizer use showed the biggest impact on yields and SOC, simulating over  
305 6% loss (Fig. 6). Globally, decreases in yields of roughly 60-70% occurred for maize and wheat,

306 but soybeans, relying less on fertilizer inputs, suffered a 22% decrease in yields. The different  
307 response between plant types was large: individual maize and wheat soil columns lost an average  
308 of 63% SOC, whereas soybean only lost 11%. Despite low yields, leaving 70% residue allowed  
309 carbon inputs to maintain nearly the same SOC level as in the run with low residue return. This  
310 indicates a critical role for fertilization in soil carbon storage, without which an additional 5 Pg C  
311 might be lost due to cultivation. The observed result is not surprising, as fertilizer contributes to  
312 the total biomass accumulated during crop development, and increased biomass returned as  
313 residue will allow the soil to retain some of the nutrients taken up during crop growth, improving  
314 the soil fertility.

#### 315 **4. Discussion**

316 CLM-Crop has proven to be a valuable tool for evaluating changes in soil carbon under  
317 various management practices. Our results indicate that the SOC for agricultural sites will be  
318 reduced through any management practice while disturbance continues, with the total amount  
319 lost depending on the management practice. Model-estimated U.S. losses of SOC due to current  
320 cultivation practices are around 10%, with a potential for greater loss as the amount of harvested  
321 residue increases.

322 The amount of biomass residue left on the field after grain harvest has the most  
323 significant effect on SOC. Cellulosic biofuels rely on harvesting the stems and leaves of crops,  
324 resulting in an additional 5% loss of carbon within the soil system. Currently, model subgrids  
325 growing a single crop type on an independent soil column typically lose 33-51% of SOC, and  
326 that loss increases to nearly 90% when residue is harvested. Over long time scales, this effect can  
327 degrade the sustainability of the soil for crop growth and can negatively affect yield. For

328 example, plant nitrogen uptake (Fig. 7) decreased linearly with increasing residue harvest. The  
329 high residue returns uptake 7.4% more N than the current residue runs, whereas medium and low  
330 residue returns have 6.6% and 15.6% lower N uptake, respectively. When fertilizer is not  
331 included, the resulting N uptake is 57% lower. This impact is transferred to yields (Fig. 8)  
332 resulting in 9% and 17% lower yields for the medium and low residue returns, respectively.  
333 Thus, the effects of residue management on SOC are very important, and increasing the amount  
334 of residue used for cellulosic ethanol production could have a significant impact on soil carbon  
335 storage and ultimately plant productivity. Leaving plant residue from crop production in the soil  
336 decreases the amount of carbon lost to the atmosphere. However, meeting cellulosic biofuel  
337 demand through cultivation of managed grasses such as switchgrass and Miscanthus has been  
338 shown to increase soil carbon storage over time (Anderson-Teixeira et al., 2009), most likely  
339 because nutrient demands and management practices are different for these types of biofuel  
340 crops.

341 Disagreement between studies about the possible effect of fertilizer on SOC leaves this  
342 management practice open for further research. Our findings suggest that fertilizer use might  
343 improve yield and increase the amount of carbon returned to the soil in crop residue; however,  
344 increased residue removal for biofuels could reduce this effect. As fertilizers improve and are  
345 applied to maximize plant uptake while minimizing loss to leaching and denitrification, fertilizer  
346 might provide an important tool for farmers to mitigate the soil carbon loss due to increasing  
347 residue harvest for biofuel use. However, care must be taken to ensure that fertilizer inputs do  
348 not exceed plant uptake, which could result in increased nitrogen leached into the groundwater  
349 and increased greenhouse gas emission of N<sub>2</sub>O via nitrification and denitrification pathways. The  
350 effect of increased decomposition when fertilizer is used also needs to be explored.



351 Expanding the model to incorporate other management practices (rotation, tillage,  
352 irrigation, etc.) is important activity for future model development. Erosion, for example, is  
353 expected to increase as a result of crop residue harvest (Lal and Pimentel, 2007). This secondary  
354 effect of residue harvest can have multiple consequences. First, soil fertility will decline with the  
355 loss or transport of soil organic matter. Second, erosion processes result in the breakdown of soil  
356 aggregates promoting oxidation of SOC. Both effects will reduce nutrient and water holding  
357 capacities of the soil (Lal and Pimentel 2008). Finally, the loss of nutrients will result in a  
358 decline of crop productivity, further enhancing SOC loss. As such, our result should be  
359 considered a lower bound estimate of SOC loss from residue harvest. Including these effects and  
360 expanding agricultural models to a global scale should be a priority for future model  
361 development. Given the challenges comparing with observations, focusing on model  
362 developments that capture cropland SOC dynamics is equally important as developing datasets  
363 that can be used for climate model validation, especially considering the increasing complexity  
364 of ESMs that include cropland representation. Although the crop representation in CLM-Crop is  
365 flexible enough for expansion to a global scale, rigorous testing is needed to ensure that crop  
366 behavior is consistent with regional observations.

367 There are some limitations to our modeling approach that lead to uncertainties in the  
368 model prediction of SOC. For example, changes in land use and land cover are not included in  
369 CLM. Historical changes in land use indicate a steady increase in cultivated land which peaked  
370 in the 1940's and declined thereafter (Waisanen and Bliss, 2002). Using a modern land use cover  
371 over the historical period may result in an over prediction of SOC loss, because the model will  
372 overestimate the agricultural land base in some (early) years and the model won't capture  
373 increases in SOC when agriculture land is abandoned. This also limits the influence of beneficial

374 agriculture practices such as crop rotation and fallowing. Historical changes in land management  
375 are also not represented in the model, such as changes in residue harvest over time or organic  
376 matter additions. For example, Lal et al. (1999) suggest early cultivation removed residue  
377 following harvest until after 1940 when residue was returned to the field. The high spatial  
378 variability and difficulty finding these types of historical data is a major challenge for trying to  
379 add these features to CLM.

380 Finally, further research is needed for full evaluation of the importance of agro-  
381 ecosystem impacts on soil carbon. We have shown here that SOC loss can vary greatly,  
382 depending on management practices. Practices such as residue management can have significant  
383 impact on SOC retained in agricultural soils, with higher residue removal from soil leading to  
384 higher SOC losses. Use of fertilizer can compensate for some of the loss, but the benefit is  
385 limited. Further modeling studies are important for simulating these competing effects on carbon  
386 storage. Our study suggests that considerable care is needed in designing appropriate  
387 management practices to realize the full carbon mitigation benefits of using biofuels from  
388 cellulosic ethanol.

### 389 **Acknowledgement**

390 This work was supported by the U.S. Department of Energy (DOE), Office of Science, under contract  
391 DE-AC02-06CH11357. Numerical simulations were performed with resources provided by the National  
392 Energy Research Scientific Computing Center, supported by the Office of Science through DOE contract  
393 DE-AC02-05CH11231.

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576 Figure 1. (a) Total SOC ( $\text{kg C m}^{-2}$ ) simulated by CLM-Crop over the contiguous United States.  
577 (b) Total SOC from the IGBP over the same domain as in (a). (c) Percent difference between (a)  
578 and (b).

579 Figure 2. Box plot of the weighted average total SOC over croplands, as simulated in CLM-Crop  
580 and in observations from the ISCN. Observations reporting  $> 50 \text{ kg C m}^{-2}$  were removed from  
581 the analysis.

582 Figure 3. CLM-modeled SOC ( $\text{kg C m}^{-2}$ ) versus ISCN observations for model derived soil  
583 texture types clay, sand, and silt. Each point represents the mean observed SOC value in the grid  
584 cell; error bars show the standard deviation. The black line represents the 1:1 ratio.

585 Figure 4. Top: The effects of temperature on SOC stock from CLM crops (blue) and natural  
586 vegetation (green) and ISCN observations (red). Bottom: The effects of precipitation on SOC  
587 stock from CLM crops (blue) and natural vegetation (green) and ISCN observations (red).

588 Figure 5. Percent decrease of SOC after conversion from natural vegetation to cropland. Percent  
589 decrease data from Wei et al. (2014) are in red (US points are orange) and CLM percent loss is  
590 blue.

591 Figure 6: Simulated change in total U.S. SOC ( $\text{Pg C}$ ) due to agricultural land management for all  
592 scenarios.

593 Figure 7. The effect of agricultural land management change on crop annual average nitrogen  
594 uptake.

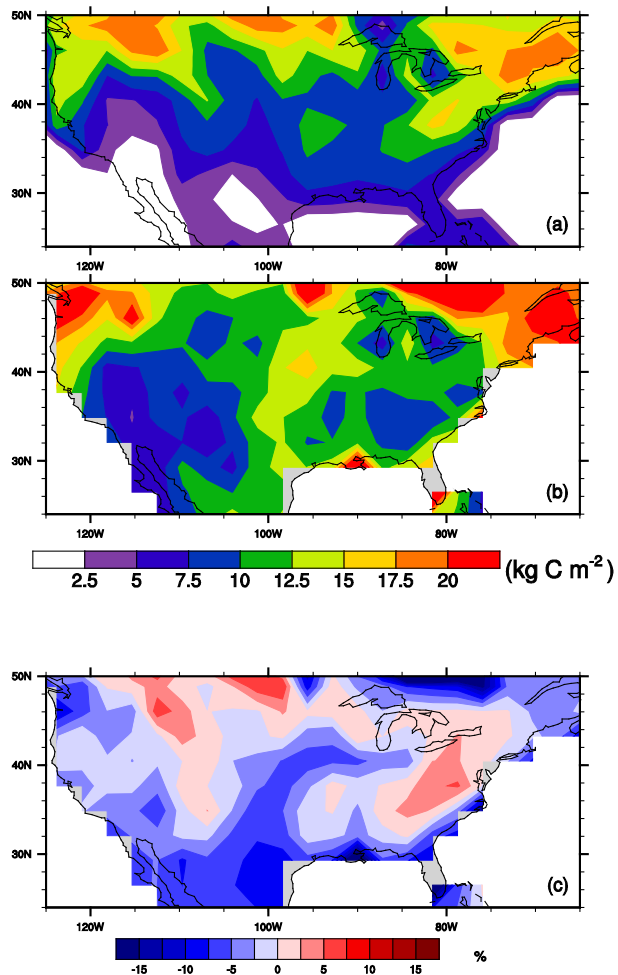
595 Figure 8. The effect of agricultural land management change on annual crop yield.

596 Table 1. CLM-Crop simulations performed.

Run name	Land use	Fertilizer	Residue
Control	Leff et al., 2004	Yes	70% — all crops
High residue	Leff et al., 2004	Yes	90% — all crops
Medium residue	Leff et al., 2004	Yes	30% — maize 30% — wheat 40% — soybean
Low residue	Leff et al., 2004	Yes	10% — all crops
No fertilizer	Leff et al., 2004	No	70% — all crops
Grass	Bonan et al., 2002	Not applicable	Not applicable

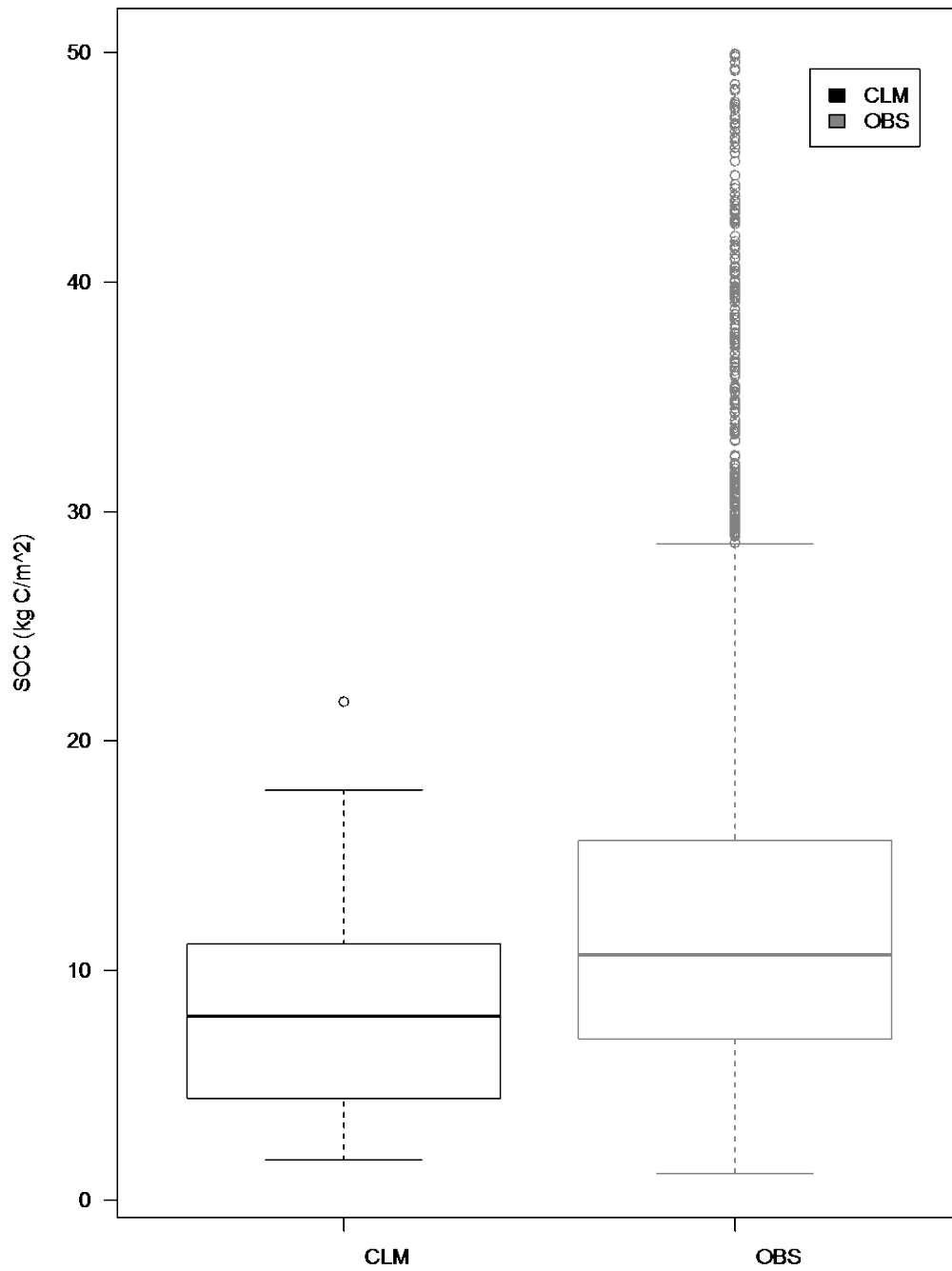
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600 Figure 1. (a) Total SOC ( $\text{kg C m}^{-2}$ ) simulated by CLM-Crop over the contiguous United States. (b) Total  
 601 SOC from the IGBP over the same domain as in (a). (c) Percent difference between (a) and (b).

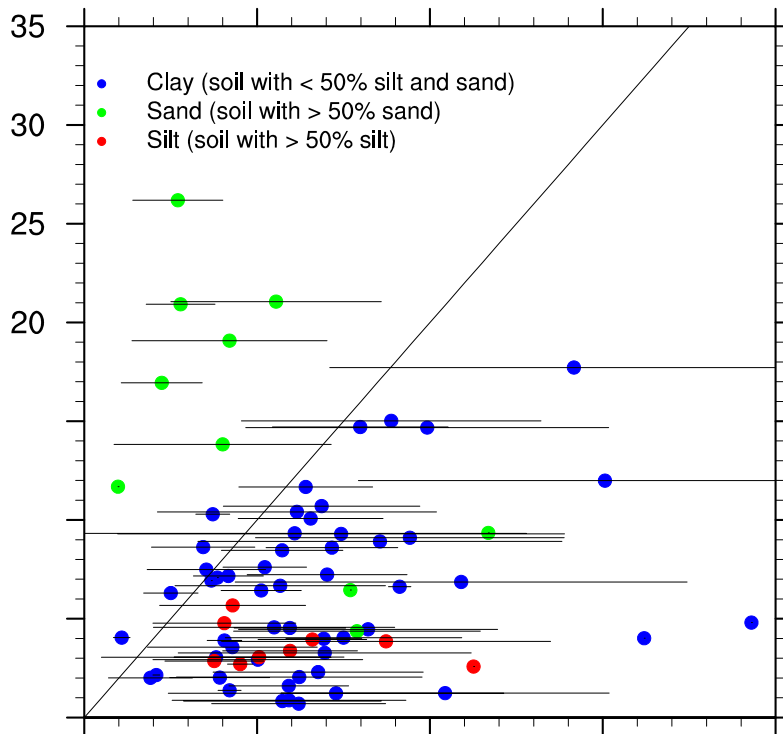


602

603 Figure 2. Box plot of the weighted average total SOC over croplands, as simulated in CLM-Crop and in  
 604 observations from the ISCN. Observations reporting > 50 kg C m<sup>-2</sup> were removed from the analysis.

605

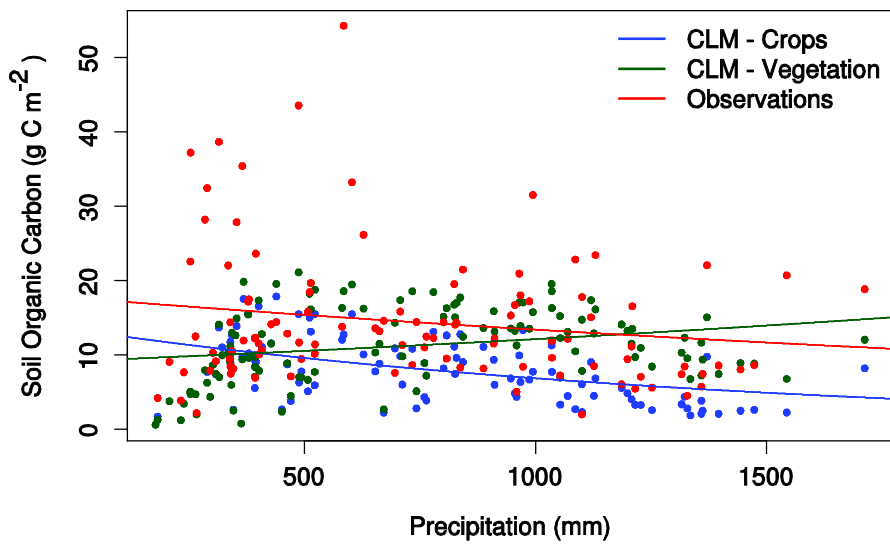
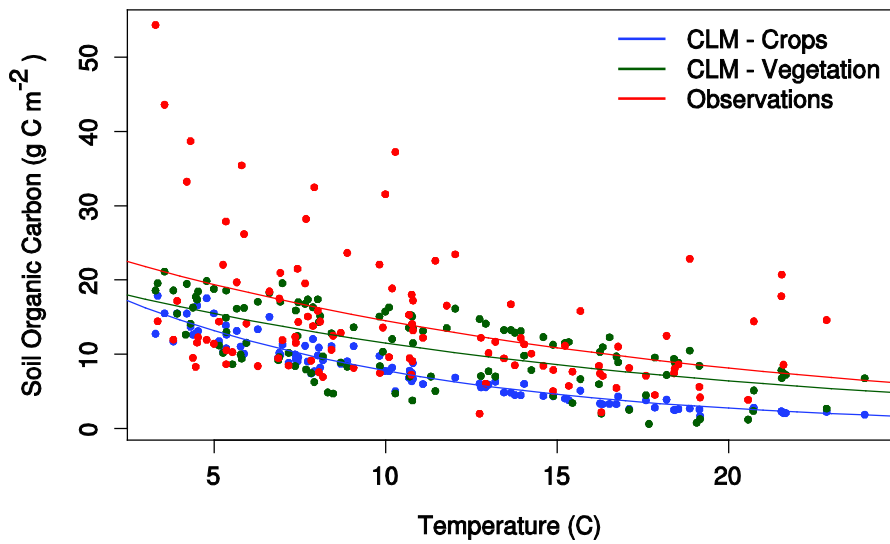




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607 Figure 3. CLM-modeled SOC ( $\text{kg C m}^{-2}$ ) versus ISCN observations for model derived soil  
 608 texture types clay, sand, and silt. Each point represents the mean observed SOC value in the grid  
 609 cell; error bars show the standard deviation. The black line represents the 1:1 ratio.

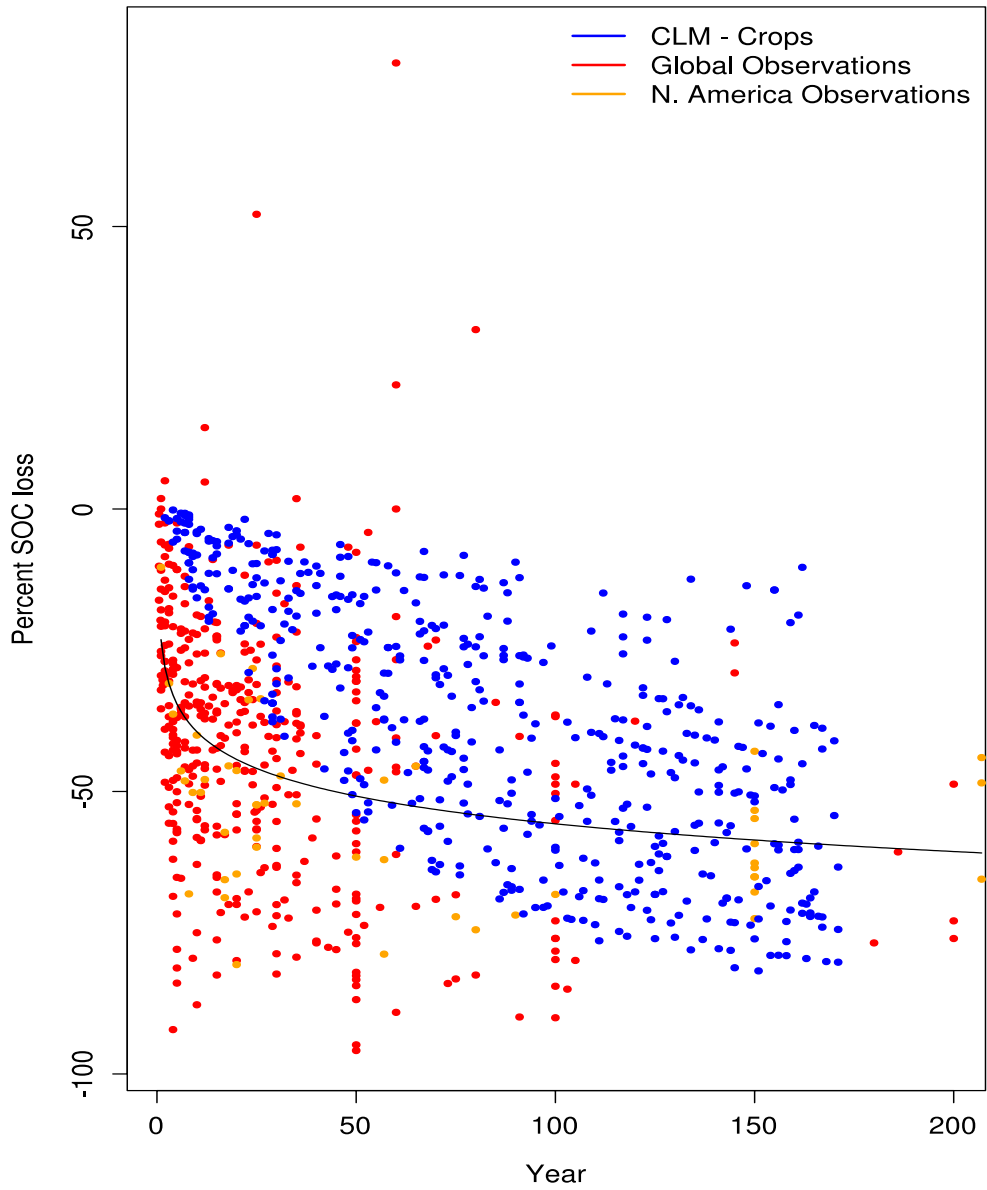
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612 Figure 4. The effects of temperature (top) and precipitation (bottom) on SOC stock from CLM crops  
 613 (blue) and natural vegetation (green) and ISCN observations (red).

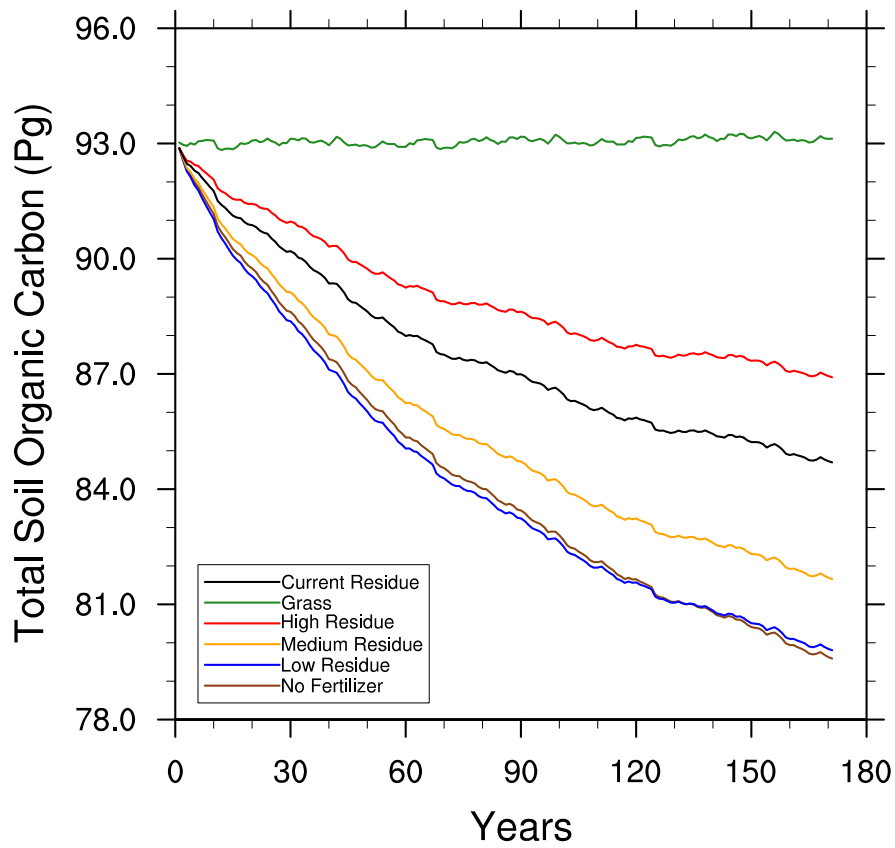
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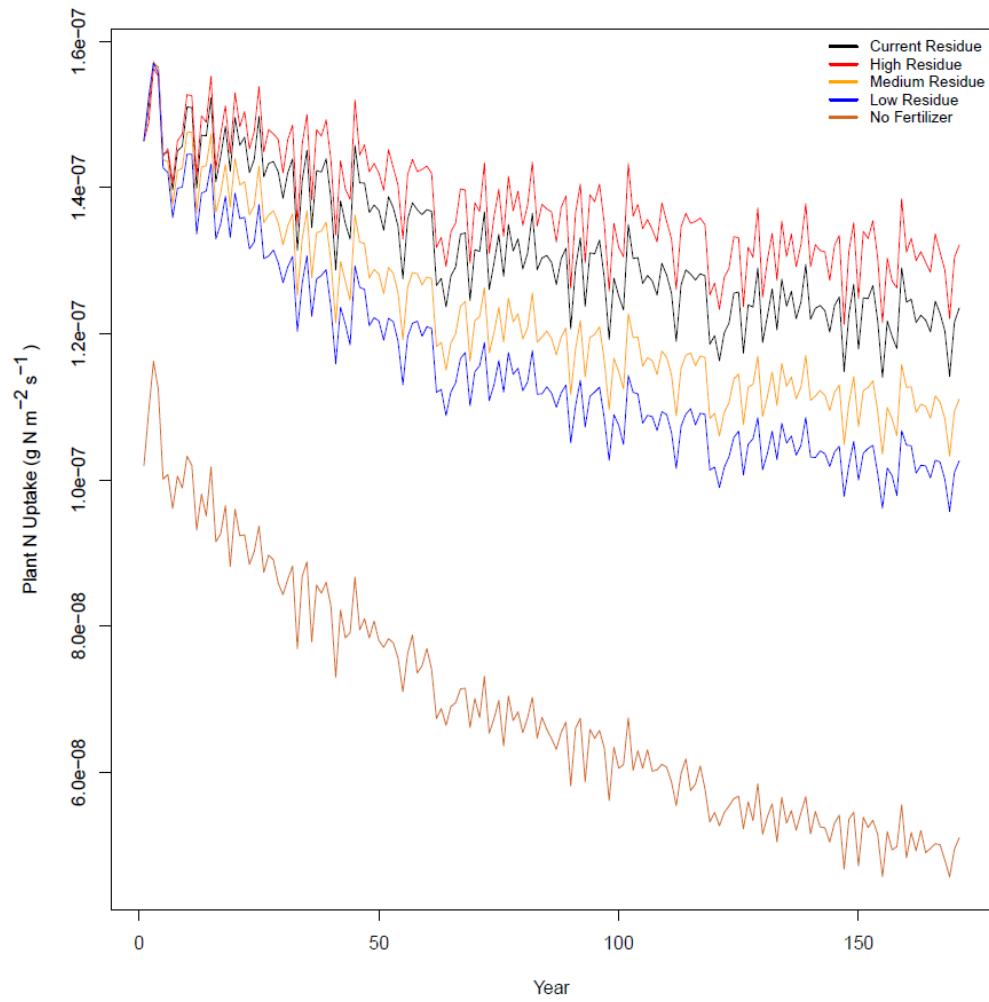
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620 Figure 6: Simulated change in total U.S. SOC (Pg C) due to agricultural land management for all  
 621 scenarios.

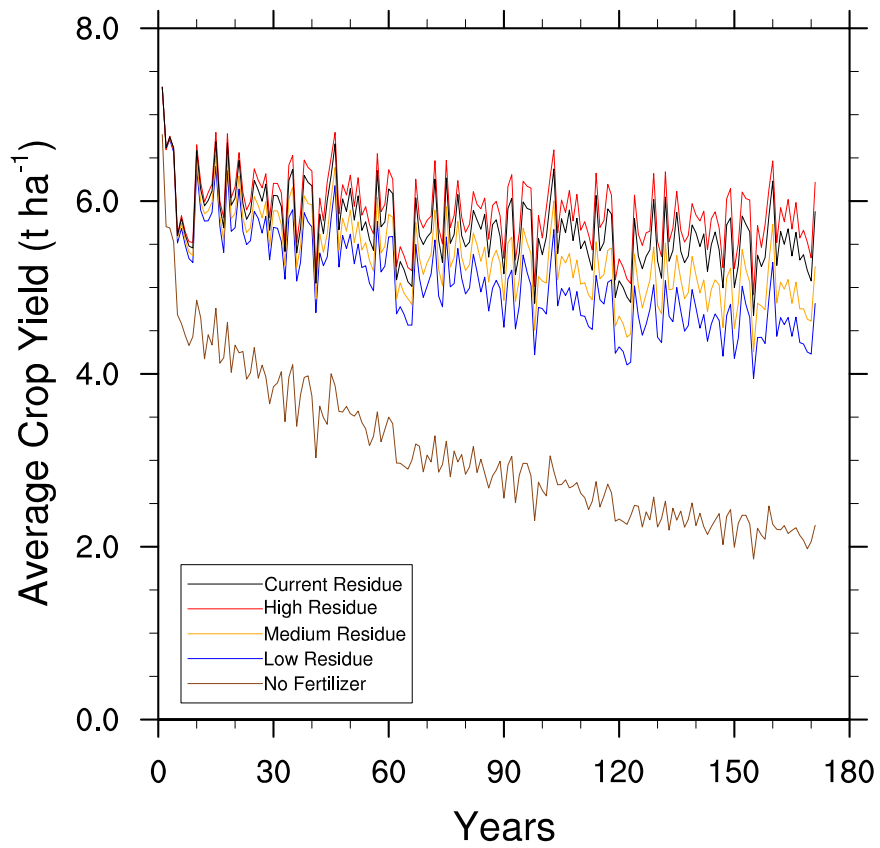


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623

624 Figure 7. The effect of agricultural land management change on crop annual average nitrogen uptake.

625



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627 Figure 8. The effect of agricultural land management change on annual crop yield.

628