

1 **Diatom flux reflects water-mass conditions on the southern Northwind Abyssal Plain,**
2 **Arctic Ocean**

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13 **ABSTRACT:**

14 We studied time-series fluxes of diatom particles from 4 October 2010 to 18
15 September 2012 using bottom-tethered moorings with two sediment traps deployed at 180 m
16 and 1300 m depths at Station NAP (75°N, 162°W; 1975-m water depth) in the western Arctic
17 Ocean. This paper discusses on the relationship of time-series diatom fluxes with satellite-based
18 sea ice motion and simulated hydrographic variations. We observed clear maxima of the diatom
19 valve flux in November–December of both 2010 and 2011, and in August 2011. Diatoms in
20 samples were categorized into 98 taxa. The diatom flux maxima were characterized by many
21 resting spores in November–December and by the sea ice-associated diatom *Fossula arctica* in
22 August 2011. These assemblages along with abundant clay minerals in the samples suggest a
23 significant influence of shelf-origin materials transported by mesoscale eddies, which developed
24 along the Chukchi Sea shelf break. In contrast, the fluxes of total mass and diatoms were
25 reduced in summer 2012. We hypothesize that this suppression reflects the influx of
26 oligotrophic water originating from the central Canada Basin. A physical oceanographic model
27 demonstrated that oligotrophic surface water from the Beaufort Gyre was supplied to Station
28 NAP from December 2011 to early half of 2012.

31 KEY WORDS: diatom, phytoplankton, sinking particle flux, sediment trap, Northwind Abyssal
32 Plain, Arctic Ocean

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1. Introduction

36

37 There are numerous studies reporting the significant influence of the recent declining
38 trend in Arctic sea-ice extent (Stroeve et al., 2012) on marine ecosystems (i.e., Grebmeier et al.,
39 2010; Wassmann and Reigstad, 2011; Wassmann et al., 2011). Interannual monitoring to
40 observe the influences of hydrographic variations on primary productivity and the
41 microplankton assemblage is key to estimating the future direction of lower-trophic levels of
42 marine ecosystems and biogeochemical cycles in the Arctic Ocean. In the Canada Basin of the
43 western Arctic Ocean, the shift in wind patterns has promoted downward Ekman pumping and
44 consequent Beaufort Gyre circulation seen in recent decades (McPhee, 2013). The
45 intensification of sea-surface circulation is accompanied by shelf-break upwelling along the
46 southern part of the Beaufort Gyre and by lateral shelf–basin interactions (McLaughlin and
47 Carmack, 2010; Nishino et al., 2011b, 2013; Watanabe and Hasumi, 2009), which also influence
48 ecosystems and biogeochemical cycles. In addition, the enhanced Ekman forcing under
49 decreasing sea-ice cover results in deepening of the nutricline in the central part of the Beaufort
50 Gyre (McLaughlin and Carmack, 2010; Nishino et al., 2011a), limiting the biological pump
51 effect in this area (Nishino et al., 2011a).

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53 Although the shelf and shelf slope areas of the Arctic Ocean have been extensively
54 monitored (i.e., Hargrave et al., 1989; Fukuchi et al., 1993; Wassmann et al., 2004; Forest et al.,
55 2007, 2011; Gaye et al., 2007; Sampei et al., 2011), year-round studies of sinking biogenic
56 particles over the basins are still limited, with a few exceptions (Fahl and Nöthig, 2007; Lalande
57 et al., 2009; Honjo et al., 2010; O'Brien et al., 2013). In the cryopelagic Canada Basin, where
58 picoplankton are the major primary producers, biogenic particles are remineralized in the upper
59 water column, and particulate organic carbon (POC) supplied to the deep sea is essentially
60 composed of allochthonous old carbon (Honjo et al., 2010). The low production of shell-bearing
61 microplankton and zooplankton fecal pellets, which have roles as ballast for sinking organic
matter, limits the function of the biological pump in the oligotrophic cryopelagic Canada Basin

62 ([Honjo et al., 2010](#)).

63 A long-term sediment trap experiment, including the observation of diatom fluxes, has
64 been underway in the eastern Fram Strait from 2000 to 2005 ([Bauerfeind et al., 2009](#)). Results
65 from the Hausgarten Arctic long-term observatory show that the interannual variations in
66 sea-surface hydrography, including ice conditions, affect the time-series variations in settling
67 particle fluxes and the assemblages of settling plankton remains ([Bauerfeind et al., 2009](#)). The
68 only previous report concerning an annual time series of diatom fluxes in the Arctic Ocean basin
69 is that by [Zernova et al. \(2000\)](#), whose target region was at Station LOMO2 off the Laptev Sea.
70 [Zernova et al. \(2000\)](#) showed that there was high diatom production and high settling fluxes of
71 diatom particles under sea-ice at Station LOMO2 during the seasonal solar radiation maximum.
72 [Lalande et al. \(2014\)](#) compared short-term monitoring data for diatom fluxes in the Laptev Sea
73 in 1995, the Fram Strait in 1997, and the central Arctic Ocean in 2012. They suggested that
74 nutrient supply is the key factor determining summer diatom production and POC flux in the
75 central Arctic Basin.

76 In 1998 in the Chukchi Borderland, the ice-tethered drifting sediment trap “S97-120m”
77 was deployed, recording a relatively high POC flux as compared to that in the Canada Basin
78 ([Honjo et al., 2010](#)). Based on the first year-round monitoring of settling particle flux at Station
79 NAP in the southern Northwind Abyssal Plain, [Watanabe et al. \(2014\)](#) suggested that the large
80 amounts of settling biogenic and lithogenic particles in November–December 2010 were
81 transported from the Chukchi Sea shelf by the westward advection of a cold eddy that
82 developed off Barrow Canyon in early summer 2010. According to a schematic diagram in
83 [Honjo et al. \(2010\)](#), the POC fluxes at around 120-m depth at 75°N and around 200 m at 80°N
84 in the Canada Basin are about 0.12 and 0.08 g-C m⁻² yr⁻¹, respectively (values extracted from
85 their Fig. 11). The annual POC flux at Station NAP during the first deployment period was
86 about 0.24 and 0.32 g-C m⁻² yr⁻¹ at the depths of the shallow and deep traps, respectively
87 ([Watanabe et al., 2014](#)).

88 Diatom dominances in phytoplankton assemblages are usually observed in eutrophic
89 waters whereas dominance of flagellates and picoplankton rather than diatoms are observed in
90 oligotrophic waters such as central basin ([Ardyna et al., 2011](#); [Coupel et al., 2012](#); [Lalande et al.,](#)
91 [2014](#)). Diatoms are one of the dominant phytoplankton in the Chukchi Sea ([Sukhanova et al.,](#)
92 [2009](#); [Coupel et al., 2012](#); [Joo et al., 2012](#); [Laney and Sosik, 2014](#)), and the recent

93 environmental changes have influenced the diatom flora and phytoplankton phenology ([Arrigo](#)
94 [et al., 2012](#); [Ardyna et al., 2014](#)). As one of the major contributors to the biological pump,
95 settling diatom fluxes in the offshore regions along the Chukchi Sea shelf are likely affected by
96 the recent dramatic environmental changes.

97 In this paper, we present new findings on the settling flux of diatom valves and the
98 relationships between diatom valve flux, sinking diatom flora, and upper water-mass properties
99 in the southern Northwind Abyssal Plain from October 2010 to September 2012. The Chukchi
100 Sea is one of the obvious areas of retreating summer sea-ice ([Stroeve et al., 2012](#)). The upper
101 water column in the Chukchi Borderland can be affected by three characteristic water-masses:
102 Pacific water, East Siberian Shelf water, and Beaufort Gyre water ([Nishino et al., 2011a](#)).
103 [Watanabe et al. \(2014\)](#) documented the eddy-induced winter maximum of settling particle flux
104 at Station NAP. This early-winter event should be observed in settling diatom flux. This paper
105 newly mentions on the summer flux of settling diatom particles in addition to winter flux
106 maximum event of diatom flux. The present paper is the first report on year-round diatom floral
107 flux after the clear trend of declining sea-ice in the western Arctic Ocean. We expect that the
108 recent hydrographic changes in the western Arctic Ocean will be reflected in the settling diatom
109 flux and associated assemblages. The objectives of this paper are (1) to report the variation in
110 diatom flux and assemblage, and (2) to consider how hydrographic changes in the upper water
111 column are reflected in the diatom assemblage and diatom flux in the Northwind Abyssal Plain.

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2. Materials and methods

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115 Two year-round deployments of a bottom-tethered mooring with two conical
116 time-series sediment traps (model SMD26S-6000; Nichiyu Giken Kogyo Co. Ltd., Tokyo,
117 Japan) were conducted at Station NAP on the southern Northwind Abyssal Plain (75°N, 162°W;
118 1975-m water depth) from 4 October 2010 through 27 September 2011 and from 4 October
119 2011 through 17 September 2012. Sediment trap with pressure and temperature sensors was
120 deployed at shallow depth (about 180-260m) and deep depth (1300-1360m). The settling
121 particles were collected for 10–15 days per sample. Before sediment-trap deployment, the 26
122 sampling cups of each trap were filled with seawater containing 5% neutralized formalin as an
123 antiseptic (pH~8.2). In this study we analyzed the samples from both traps except the one that

124 contained a very low volume of trapped particles.

125 The recovered sediment-trap samples were sieved through a 1-mm mesh to remove
126 swimmers (Matsuno et al., 2014), and then the fine size-fraction (less than 1 mm) was split into
127 appropriate aliquots (1/1000) for diatom analysis by using a wet sample divider (WSD-10;
128 McLane Research Laboratories, East Falmouth, Massachusetts, USA). One of the aliquots was
129 filtered onto a membrane filter (0.45- μ m pore size) with a 3-mm grid. The sample was desalted
130 by rinsing with Milli-Q water, and then the sample filter was dried overnight in an oven at 50 °C.
131 Two sample filters were prepared for each sample, and then one of the filters was mounted on a
132 microscope glass slide with Canada balsam.

133 Sample filters mounted on the glass slides were counted for diatoms under a light
134 microscope at 600 \times magnification. A duplicate sample was observed using scanning electron
135 microscope observation after osmium coating. A minimum of 400 diatom valves (including
136 resting-spore valves) per sample were identified, usually to species or genus level. As described
137 in a previous microplankton flux study in the southeastern Beaufort Sea (Forest et al., 2007), the
138 flux of diatom-derived POC (hereafter, diatom POC flux) was estimated on the basis of diatom
139 cell size and an equation for converting cell volume to carbon content per diatom cell
140 (Menden-Deuer and Lessard, 2000). Diatom valve fluxes were estimated on the basis of valve
141 count numbers, aliquot size, filtered area (535 mm²), area of sample filter observed, aperture
142 area of sediment trap (0.5 m²), and the sampling period (Onodera et al., 2005). The method for
143 bulk component analysis is described by Watanabe et al. (2014).

144 Sea-ice concentration and light intensity close to Station NAP during the sampling
145 period were obtained from the National Centers for Environmental Prediction (NCEP)/Climate
146 Forecast System Reanalysis (CFSR) (Saha et al., 2010). Sea surface temperature (SST) at
147 Station NAP was taken from the National Oceanographic and Atmospheric Administration
148 (NOAA) OI.v2 SST (Reynolds et al., 2002). Because the moored sediment trap array at Station
149 NAP did not include equipment to measure current velocity, and salinity, satellite-based sea ice
150 motion data and numerical simulation results from a physical oceanographic model known as
151 the Center for Climate System Research Ocean Component Model (COCO) (Hasumi, 2006)
152 were applied to estimate the sea ice and ocean current conditions in the western Arctic Ocean
153 during the sampling period. The National Snow and Ice Data Center (NSIDC) provided the
154 Polar Pathfinder 25 km EASE-Grid sea ice motion vectors, version 2 (Fowler et al., 2013). This

155 dataset was constructed from multiple satellite sensors, such as Special Sensor Microwave /
156 Imager (SSM/I), Advanced Microwave Scanning Radiometer-Earth Observing System
157 (AMSR-E), and Advanced Very High Resolution Radiometer (AVHRR), and in-situ
158 measurements of the International Arctic Buoy Programme (IABP). In our study, the monthly
159 mean vector data were downloaded from the NSIDC website
160 (http://nsidc.org/data/docs/daac/nsidc0116_icemotion.gd.html). The pan-Arctic ice-ocean model
161 has the horizontal grid size of about 25 km and 28 vertical levels, where the layer thickness
162 varies from 2 m in the uppermost level to 500 m below 1000 m depth. The sea ice part includes
163 a one-layer thermodynamic formulation (Bitz and Lipscomb, 1999) and elastic-viscous-plastic
164 rheology (Hunke and Dukowicz, 1997). The ocean component is a free-surface ocean general
165 circulation model formulated with the uniformly third-order polynomial interpolation algorithm
166 (Leonard et al., 1994) for horizontal advection scheme. The model domain contains the entire
167 Arctic Ocean, the Greenland-Iceland-Norwegian seas, and the northern part of the North
168 Atlantic. The spin-up experiment was initiated from the temperature and salinity fields of Polar
169 Science Center Hydrographic Climatology version 3.0 (Steele et al., 2001), no ocean circulation,
170 and no sea ice. The interannual experiment from 1979 to 2012 was then performed. Whereas
171 most parts of experimental designs were the same as in Watanabe (2013) and Watanabe and Ogi
172 (2013), the model version was upgraded from COCO 3.4 to 4.9 and the atmospheric forcing
173 dataset was changed from NCEP1 (Kalnay et al., 1996) to the NCEP/CFSR in the present study.

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3. Results

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3.1 Oceanographic features and mooring conditions

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179 Station NAP is located at the southwestern edge of the Beaufort Gyre (Fig. 1), and is
180 occasionally influenced by relatively oligotrophic waters of the Beaufort Gyre (Nishino et al.,
181 2011a). The study area is in polar night from early November through early February (Fig. 2a).
182 The CFSR shortwave radiation at the sea surface (or surface of sea ice) ranged from 0 to 378 W
183 m⁻² (Fig. 2a). Station NAP is located in a seasonal sea-ice zone, and is covered by sea-ice from
184 late October through July (Fig. 2b). Sea surface temperature temporarily increased to about 2 °C
185 in early August in 2011 and 2012 (Fig. 2d).

186 The upper water column around the study area is categorized by four water masses
187 (McLaughlin et al., 2011). Under the surface mixed layer (about the upper 25 m), Pacific
188 summer water is observed at 25–100 m water depth (salinity approximately 31–32; Steele et al.,
189 2004). Cold Pacific winter water (temperature minimum at 150 m, salinity around 33;
190 Coachman and Barnes, 1961) is found under the Pacific summer water (100–250 m water
191 depth). Higher salinity water originating from the Atlantic Ocean is observed under the Pacific
192 winter water.

193 According to the logged data from pressure and temperature sensors attached to the
194 sediment traps, the shallower sediment trap was moored at a water depth of 181–218 m (median,
195 184 m) for the first deployment period, and at 247–319 m (median, 256 m) for the second (Fig.
196 2c). Therefore, the shallow trap was in Pacific winter water during the sampling period, except
197 for in May and July 2012 (Fig. 2c, d). In July 2012, the depth of the shallower trap deepened to
198 320 m in the warm Atlantic water layer, probably because of intensified water currents and
199 incline of mooring, which might have temporarily decreased the trapping efficiency for sinking
200 particles (Matsuno et al., 2014). Although the deepening of shallow trap in May 2012 was minor
201 compared to that in July 2012, the increase of water temperature at shallow trap depth suggests
202 the shallowing upper boundary of the Atlantic water layer. The deeper sediment trap was
203 moored at 1318–1378 m for the entire sampling period.

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205 3.2 Total mass flux and bulk components

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207 As previously reported by Watanabe et al. (2014), the total mass flux showed clear
208 annual maxima in November–December in both 2010 and 2011 (Fig. 2e, f). The major
209 component of trapped particles was lithogenic silt-clay minerals (Fig. 2e). There was another
210 peak in total mass flux in summer 2011, but this summer peak did not appear in 2012. The
211 time-series of biogenic opal flux showed variations similar to those of total mass flux ($r = 0.93$
212 for shallow trap data, $n = 34$) (Fig. 2e). Microscopic observation suggests that the biogenic opal
213 in the studied material consisted mainly of diatom valves and radiolarian shells (Ikenoue et al.,
214 2014). The trap samples also contained low numbers of silicoflagellate skeletons, siliceous
215 endoskeleton of dinoflagellate genus *Actiniscus*, chrysophyte cysts, ebridian flagellate and
216 palmals. The contribution of these siliceous flagellates to POC and biogenic opal fluxes

217 appears minor compared to the contribution from diatoms and radiolarians. This result is
218 different from a previous observation on the Mackenzie Shelf in the southwestern Beaufort Sea
219 that showed a significant contribution by small flagellates to the POC flux (Forest et al., 2007).

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3.3 Diatom POC flux

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223 In order to estimate the diatom contribution to POC flux, the diatom POC flux is
224 required instead of the flux data for diatom valve abundance. Time-series fluctuations in the
225 diatom POC flux and in the dominant taxa in diatom POC estimation differ from those of the
226 diatom valve flux because of the temporary increases in the flux of larger centric diatoms (Figs.
227 3 and 4). The estimated diatom POC flux is based on observed valve numbers. It is therefore
228 difficult to estimate the influence of selective decomposition of diatom valves and diatom
229 carbon on the POC flux during the sinking process. In November–December most of the POC
230 was attributed to *Coscinodiscus*, *Rhizosolenia*, and *Chaetoceros* (Fig. 4). A temporary increase
231 in diatom POC flux was caused by the appearance of large *Coscinodiscus* in late March and
232 from mid-April to early May 2011. The ice-related algae *Fossula arctica* was the primary
233 species in diatom POC flux during August–September 2011. The high diatom POC flux from
234 *Rhizosolenia* and *Proboscia* in November 2011 was evidenced by the abundant occurrence of
235 the end parts of their needle-like valves rather than the abundant occurrence of intact cells. Thus,
236 the diatom POC flux in November 2011 became overestimate and exceeded total POC flux.
237 *Proboscia* was dominant in the eastern Chukchi Sea shelf waters in September–October 2010 (J.
238 Onodera, unpublished data). The diatom POC flux in summer 2012 was composed mainly of
239 *Thalassiosira* spp. Although vegetative *Chaetoceros* (subgenus *Hyalochaete*) and
240 *Thalassionema* were numerically abundant, their contribution to diatom POC was relatively
241 minor because their cell volume is one to five orders smaller than *Coscinodiscus*, *Rhizosolenia*,
242 *Proboscia*, and *Thalassiosira*.

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3.4 Diatom valve flux and species composition

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246 The total diatom flux captured in the shallow trap showed clear seasonality (Fig. 3a). A
247 relatively high flux of diatom valves was observed in November–December 2010,

248 August–September 2011, and November–December 2011 (Fig. 3a). The sinking diatom flux
249 rapidly increased in August 2011, when the sea-ice retreated at Station NAP (Figs. 2b, 3a). The
250 maximum of the total diatom flux at the shallow trap depth in summer 2011 reached 11.3×10^6
251 valves $\text{m}^{-2} \text{d}^{-1}$ in the period from 18 to 31 August. This maximum was approximately 28% of
252 the diatom flux maximum at Station LOMO2 (150-m trap depth) in summer 1996 (Zernova et
253 al., 2000). In 2012, a seasonal increase in total diatom flux started after June. However, diatom
254 flux and total mass flux in June–September 2012 were lower than those in summer 2011. The
255 maximum fluxes reached 17.5×10^6 valves $\text{m}^{-2} \text{d}^{-1}$ and 10.8×10^6 valves $\text{m}^{-2} \text{d}^{-1}$ in early winter
256 2010 and 2011, respectively. The high diatom flux season at the deep trap was similar to that at
257 the shallow trap (Fig. 3a, b). However, there was different between two traps that total diatom
258 flux at deep trap in summer 2011 was higher than those in early winter maxima of 2010 and
259 2011.

260 The diatoms found in all samples examined were categorized into 98 taxa (Table 1).
261 Because diatom species usually observed in fresh or low-salinity water were very rare, the
262 biogenic materials collected in this study were primarily of marine origin. In the shallow trap
263 samples, the genera *Thalassionema* and *Chaetoceros* (subgenus *Hyalochaete*) were the major
264 components from late October 2010 to early July 2011 (Fig. 3c). *Chaetoceros* relatively
265 increased in late November–December 2010. *Thalassionema* relatively increased in the low flux
266 period and reached to 70% in March 2011. Then, *Fragilariopsis* (*oceanica* and *cylindrus*),
267 which are sea ice-related diatom species (Ren et al., 2014), gradually increased from April to
268 August 2011. The sinking diatom assemblage in summer 2011 was mainly composed of *Fossula*
269 *artica*, one of the common sea-ice diatoms in the Arctic Ocean (Cremer, 1999; Quillfeldt,
270 2003). The maximum relative abundance of *F. artica* was 80% in 14–28 September 2011. After
271 the period of *F. artica* dominance, the relative abundance of *Proboscia eumorpha* increased in
272 shallow trap samples in October–November 2011 (Fig. 3c). The sinking diatom flora during the
273 high flux period of November–December 2011 was essentially the same as that in 2010,
274 although the relative abundance of *Chaetoceros* resting spores was relatively minor compared to
275 other diatoms (Fig. 3a, b). The relative increases of *Fragilariopsis* and *Fossula* were not
276 observed in 2012. The relative abundance of sea ice-related diatoms was less than 23% in
277 summer 2012. Instead, relative abundance of planktic diatoms such as *Thalassiosira* spp. and
278 *Nitzschia* spp. increased in settling diatom assemblage in summer 2012.

279 In comparison of shallow and deep trap diatom floras, the dominant species in settling
280 diatom flora of two traps were the same in the periods of diatom flux maxima (Fig. 3d).
281 However, time-series succession of major diatom species in deep trap samples were unclear
282 compared to that of shallow trap. The clear increase in the relative abundance of *Proboscia*
283 observed at shallow trap in October-November 2011 was not observed at deep trap

284 *Melosira arctica*, which was commonly observed at Station LOMO2 (Zernova et al.,
285 2000) and under summer sea ice in the northern Laptev Sea (Lalande et al., 2014), was rarely
286 observed in our samples (<2% numerical valve abundance). It has been reported that
287 *Neodenticula seminae* is an endemic species in the subarctic North Pacific (Hasle, 1976;
288 Yanagisawa and Akiba, 1990). This species has been expanding its distribution to the North
289 Atlantic Ocean via the Arctic Ocean since 1999 (Reid et al., 2007). At Station NAP, *N. seminae*
290 frustules and their fragments were sporadically observed in both shallow and deep trap samples
291 (Fig. 3c, d). Some diatom valves were observed within aggregated clay minerals, which are
292 considered an allochthonous component originating from the Chukchi Sea shelf.

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3.5 Sinking speed

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296 Using the time-lag between the observed flux maxima at the shallow and deep trap depths, we
297 estimated the average sinking speed of aggregated diatom particles between these depths at
298 37–75 m d⁻¹ in November 2010 and >85 m d⁻¹ in August 2011. The faster sinking speed in
299 August 2011 was primarily due to the abundant gelatinous material of zooplanktonic origin and
300 the larger particle sizes resulting from chains of the diatoms *Fossula arctica* and *Fragilariopsis*
301 spp.

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4. Discussion

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4.1 Summer diatom flux and changes in upper water masses

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Because there are clearly differences in phytoplankton productivity and phytoplankton

310 assemblages between the Chukchi Sea shelf and the Canada Basin, the settling diatom flux at
311 Station NAP should reflect the times-series of hydrographic variations. The diatom flux and
312 species composition observed in summer 2011 and 2012 likely reflected the dominance of
313 different water masses—either shelf water or oligotrophic Beaufort Gyre water—in the upper
314 water column. The high dominance of *Fossula arctica* at Station NAP in summer 2011 suggests
315 the presence of sea-ice transported from the highly productive Chukchi Sea shelf. This species
316 is commonly observed in the spring assemblage of ice and water in the Chukchi Sea (Quillfeldt
317 et al., 2003). According to data for the biogeographic distribution of diatoms in the Laptev Sea,
318 *F. arctica* is mainly observed in the sea-ice assemblage around shelf zones rather than on the
319 basin side (Cremer, 1999). The relatively high flux of lithogenic material in 2011 also suggests
320 that many of the particles trapped in this study originated primarily from the Chukchi Sea shelf.
321 During October 2010, there was a high cell density of *Proboscia eumorpha* over the eastern
322 Chukchi Sea shelf, whereas there were low cell densities of *Proboscia* species in water samples
323 from the southwestern Canada Basin and the Northwind Abyssal Plain (J. Onodera, unpublished
324 data). The relative increase in *P. eumorpha* after the period of *F. arctica* dominance in 2011
325 suggests the influence of Chukchi Shelf waters at Station NAP.

326 The transport of coastal water toward Station NAP in summer 2011 was also inferred from the
327 presence of the Pacific copepod *Neocalanus cristatus* in sediment traps (Matsuno et al., 2014).
328 Also present was abundant gelatinous zooplankton material, such as the “houses” of
329 appendicularians (Oikopleuridae) (S. Chiba, pers. comm.), in August–September 2011. In
330 contrast to 2011, the limited influence of shelf-origin sea-ice and shelf waters around Station
331 NAP in 2012 is evidenced by the absence of biogenic and lithogenic particles in trap samples
332 and the rare occurrence of *F. arctica* and other coastal biogenic particles in January–September
333 2012.

334 To examine the mechanisms behind the suppressed biogenic fluxes in summer 2012, we
335 addressed the relationship between horizontal advection and settling particle fluxes using
336 satellite-based sea-ice motion data and the pan-Arctic ice–ocean model. The sea-ice and
337 water-mass properties at Station NAP should be considered to be occasionally influenced by
338 inter-annual variability in the Beaufort Gyre circulation. First, we checked the Polar Pathfinder
339 sea-ice motion vectors and determined the seasonal averages in the western Arctic Ocean (Fig.
340 5). During the winter, from November 2010 to January 2011, an anti-cyclonic sea-ice circulation

341 (normally referred to as the “Beaufort Gyre”) appeared over the Canada Basin and Chukchi
342 Borderland. This pattern subsided once in early spring and then returned for the summer season
343 from May to July 2011. Thus, in 2011, the source region for sea ice around Station NAP would
344 have been the southern Beaufort Sea. In contrast, there was prevailing southward sea-ice motion
345 from winter to spring 2012. The anti-cyclonic circulation appeared again in the region the
346 following early summer, but its strength was clearly weaker than in 2011. This difference
347 between the two years also suggests that shelf-origin sea ice had less effect on settling particle
348 fluxes around Station NAP in 2012.

349 We next analyzed the results from our inter-annual experiment using the 25-km grid COCO
350 model. The spatial pattern of simulated sea-ice motion produced by this model was nearly with
351 the same as the satellite-based one (Fig. 6). We then compared the simulated sea-surface height
352 in the western Arctic Ocean using the summertime averages in 2011 and 2012 (Fig. 7). In
353 general, the spatial pattern of sea-surface height reflects the intensity and location of the oceanic
354 Beaufort Gyre. The COCO model demonstrated that the sea-surface height was greater over the
355 entire western Arctic basin, and the maximum height was located more to the western side of
356 the basin in summer 2012 than in summer 2011. This difference between the two years indicates
357 that the Beaufort Gyre expanded toward the Chukchi Borderland in 2012.

358 The five-year time-series of simulated ocean current direction in the surface 100-m layer
359 shows that a northwestward current frequently prevailed east of Station NAP (Fig. 8). This
360 situation favors the spread of shelf-origin water, with a high abundance of coastal diatom taxa
361 and lithogenic materials, toward the Chukchi Borderland. The model results also show that the
362 current direction switched southwestward in December 2011. Because the central Canada Basin
363 is known as an oligotrophic region (Nishino et al., 2011a), the transport of nutrient-poor basin
364 water toward Station NAP following this change in prevailing current direction is a possible
365 factor explaining the lower diatom flux in summer 2012. These model results suggest that
366 variations in the Beaufort Gyre significantly influenced nutrient availability and the consequent
367 biogenic fluxes at Station NAP.

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369 **4.2 Lateral advection of coastal diatoms in early winter**

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371 Based on biogeographic characteristics, much of the *Chaetoceros* resting spores and

372 other coastal diatoms in the studied samples can be regarded as allochthonous materials
373 transported from shelf to basin. Compared to previous studies of particulate carbon fluxes in the
374 Arctic Ocean (summarized in [Wassmann et al., 2004](#)), the early winter maximum of POC flux in
375 our study is unusual under conditions of sea-ice cover and polar night. No diatom flux
376 maximum was observed in any early winter during the previous diatom flux study at Station
377 LOMO2 from September 1995 to August 1996 ([Zernova et al., 2000](#)). Because polar diatoms
378 show tolerance to low light intensity ([Lee et al., 2008](#)), the autumn diatom production probably
379 continued under sea-ice cover and decreasing solar radiation at Station NAP after late October
380 ([Fig. 2a, b](#)). However, the high diatom production and subsequent flux of settling diatoms and
381 other biogenic particles, which were comparable to those in the summer, cannot be explained on
382 the basis of the general seasonality of primary production and sinking particle flux in the
383 seasonal sea-ice zone of the Arctic Ocean ([Wassmann et al., 2004](#); [Wassmann and Reigstad,](#)
384 [2011](#)). In this study, we also observed the annual maximum of lithogenic particle flux during the
385 period of high sinking diatom flux in November–December ([Figs. 2 and 3](#); [Watanabe et al.,](#)
386 [2014](#)). In the early winter of each year, the origin of diatom particles comprising the diatom flux
387 maximum around Station NAP should be treated as a complex of transported shelf-origin
388 materials and autochthonous diatoms. The dominance of *Chaetoceros* (subgenus *Hyalochaete*)
389 spp. and their resting spores, and the abundant silt-clay minerals in the studied samples,
390 suggests the substantial influence of Chukchi Sea shelf waters.

391 The increased supply of coastal diatoms and lithogenic materials in the basin in early
392 winter can be explained by several possible mechanisms. For example, the re-suspension of
393 shelf bottom materials into the upper water column would result in the continuous dominance of
394 lithogenic materials, including valves of coastal diatoms, in the studied particles at Station NAP.
395 In addition, suspended neritic diatoms are incorporated into sea ice and driven offshore
396 ([Róžańska et al., 2008](#)). However, sea-ice drift and the usual re-suspension of shelf materials
397 cannot fully explain the early winter flux maxima of diatoms and lithogenic particles at Station
398 NAP. The high-resolution pan-Arctic Ocean model COCO demonstrated that a drifting
399 anti-cyclonic cold eddy generated north of Point Barrow in June 2010 passed Station NAP at the
400 100- to 200-m water depth during late October–early December 2010 ([Watanabe et al., 2014](#)).
401 The simulated passage of the cold eddy was consistent with the observed event-like cooling and
402 deepening of the moored trap depth that we recorded in late October–December 2010 ([Fig. 2c,](#)

403 d). In addition, this eddy continued to pull cold water from the outer shelf during the early part
404 of its passage from off Point Barrow toward Station NAP. Therefore, the movement of the cold
405 eddy could account for the appearance of the high proportion of shelf bottom-water at Station
406 NAP in late October–early December (Fig. S2.2 in [Watanabe et al., 2014](#)).

407 Based on the observed characteristics of diatom floral fluxes and the physical
408 oceanographic simulation, we suggest that the early winter maximum of diatom flux observed
409 in this study was caused primarily by a drifting cold eddy that developed along the shelf break
410 off Point Barrow ([Watanabe et al., 2014](#)). The smaller maximum in diatom flux at the deep-trap
411 depth in early winter probably reflects the horizontal diffusion of settling particles in deeper
412 layers under the eddy ([Siegel et al., 1990](#)) in addition to biogenic particle decomposition.
413 Whereas eddy-induced lateral transport of coastal materials has been reported in the Canada
414 Basin ([O'Brien et al., 2011, 2013](#); [Nishino et al., 2011b](#)), the eddy in this study, composed of
415 Pacific-origin waters with lower density, did not flow down the shelf slope. Because the shallow
416 sediment trap was moored at about 260 m during the second deployment, the temperature and
417 pressure sensors attached to the sediment trap did not detect the direct influence of the cold eddy.
418 However, a similar event involving eddy-induced transport of shelf materials to the basin in
419 early winter 2011 is evident in the high diatom flux, the characteristic diatom assemblage, and
420 the high abundance of lithogenic clay particles.

421

422 **4.3 Role of diatoms in the biological pump**

423

424 Because biogenic opal has a ballast effect on the export of particulate organic matter to
425 deep basins ([Honjo et al., 2008](#); [Honda and Watanabe 2010](#)), the biological pump is usually
426 effective in diatom-rich oceans such as the Aleutian Basin in the Bering Sea ([Takahashi et al.,](#)
427 [2002](#)), the subarctic North Pacific ([Honda et al., 2002](#); [Takahashi et al., 2002](#); [Honda and](#)
428 [Watanabe, 2010](#)), and the Southern Ocean ([Honjo et al., 2008](#)). However, most settling
429 autochthonous POC in the central Canada Basin is remineralized within subsurface layers
430 ([Honjo et al., 2010](#)). Fresh POC is not supplied to deeper layers, even though there is primary
431 production of 2–4 mol-C m⁻² yr⁻¹ ([Honjo et al., 2010](#)). The primary producers in the cryopelagic
432 Canada Basin are mainly green algae and other picoplankton (e.g., [Coupel et al., 2012](#)). The
433 ineffective biological pump in the Canada Basin can be explained by the limited amounts of

434 diatoms supplying biogenic ballast and fecal pellets (Honjo et al., 2010). The relatively
435 abundant POC fluxes at Station NAP, as compared to those at sediment-trap Station
436 CD04-3067m (trap depth: 3067 m) in the central Canada Basin (Honjo et al., 2010), are due to
437 the higher lateral carbon transport from the Chukchi Sea shelf, autochthonous production of
438 phytoplankton and zooplankton around Station NAP (Watanabe et al., 2014).

439 The diatoms collected in our samples sometimes retained frustules in chain form.
440 Furthermore, frustules with residual protoplasm were also observed in summer samples. Their
441 occurrence suggests that the carbon supplied to the deep sea in the Northwind Abyssal Plain
442 includes not only old carbon transported from the shelf or sea-floor ridge, but also fresh carbon
443 produced around the study area. When the influence of shelf-origin water is obvious at Station
444 NAP, as in 2011, the biological pump at Station NAP will be relatively active owing to abundant
445 supplies of biogenic and lithogenic particles. In contrast, when oligotrophic water from the
446 central Canada Basin is supplied to Station NAP, as observed in early 2012, the sinking particle
447 flux at Station NAP will be limited. In this situation, the efficiency of the biological pump might
448 be reduced to a level comparable to that in the central Canada Basin. Therefore, on the Chukchi
449 shelf side of the outer Beaufort Gyre, primary productivity and the biological pump are
450 influenced by the spatial distribution of upper water masses (Nishino et al., 2011a). When
451 oligotrophic sea-surface waters reduce the summer particle flux, as was evident in summer 2012,
452 the eddy effect on lateral advection of shelf materials to the basin (Nishino et al., 2011b;
453 O'Brien et al., 2011, 2013; Watanabe et al. 2014) becomes important to the seasonality of
454 organic matter flux and the composition of the sinking microplankton flora in the study area
455 (Watanabe et al., 2014).

456

457 **Author contributions**

458 N.H. planned the research project. J.O. carried out the diatom analysis and offshore
459 work of sediment-trap mooring experiments. E.W. implemented the physical oceanographic
460 model. M.C.H. analyzed the biogenic opal in sediment trap samples. J.O. and E.W. prepared the
461 manuscript with contributions from all co-authors.

462

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473

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688 Table and figure captions

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690 Table 1. Diatom taxa found in sediment trap samples from Station NAP collected from 4
691 October 2010 to 18 September 2012. The symbols "*" and "?" indicate sea ice-related
692 taxa, and uncertain identification in this study, respectively.

693

694 Figure 1. Bathymetric map around Station NAP (solid black circle at 75°N, 162°W) in the
695 western Arctic Ocean, and schematic of sea-surface circulation over the Chukchi Sea
696 shelf and in the southern Canada Basin (Danielson et al., 2011). NR, Northwind Ridge;
697 NAP, Northwind Abyssal Plain; CP, Chukchi Plateau; CS, Chukchi Spur; CAP, Chukchi
698 Abyssal Plain; AMR, Alpha-Mendeleev Ridge complex.

699

700 Figure 2. Time-series data at Station NAP from 1 October 2010 through 18 September 2012. (a)
701 Climate Forecast System Reanalysis (CFSR) reanalysis data of shortwave radiation, (b)
702 CFSR reanalysis data of sea-ice concentration, (c) depth log of moored shallow trap, (d)
703 water temperature recorded at moored shallow trap (black line), and NOAA OI.v2
704 weekly sea-surface temperature at Station NAP (gray line), (e) total mass flux and bulk
705 components of sinking particles at shallow trap depth (data period was expanded from
706 Watanabe et al., 2014), and (f) total mass flux and bulk components at deep trap depth.
707 Blank areas in bulk component data indicate no analysis because of limited sample
708 volume.

709

710 Figure 3. Total diatom flux and settling diatom assemblage at Station NAP from 4 October 2010
711 through 17 September 2012. (a) Sinking diatom flux at shallow trap, (b) sinking diatom
712 flux at deep trap, (c) relative diatom valve abundance excluding *Chaetoceros* spores at
713 shallow trap, and (d) relative diatom valve abundance excluding *Chaetoceros* spores at
714 deep trap. Blanks in time-series data indicate periods with no data because of limited
715 sample volume or periods without sampling because of mooring turnaround. The plot
716 data is listed in Table A1.

717

718 Figure 4. Time-series fluxes of total POC and diatom-derived carbon at Station NAP. (a)

719 Shallow trap, and (b) deep trap.

720

721 Figure 5. Sea ice motion vectors in the western Arctic Ocean derived from the Polar Pathfinder
722 dataset in (a-c) 2011 and (e-f) 2012. (g-i) Their difference (2012 minus 2011). Seasonal
723 averages for (a,d,g) November to January, (b,e,h) February to April, and (c,f,i) May to
724 July were calculated from monthly mean data. Each vector in the EASE grid was
725 interpolated to the COCO model grid for comparison, and the obtained vectors are shown
726 every eight grid (approximately 200 km). Unit vector corresponds to 5 cm s^{-1} . The
727 location of Station NAP is presented by the red circular symbol. Thin contours indicate
728 isobaths of 100 m, 1000 m, and 3000 m.

729

730 Figure 6. Same as Figure 5, but the COCO model result.

731

732 Figure 7. Sea surface height (cm) in the western Arctic Ocean obtained from the COCO model.
733 The summertime averages over June, July, and August are shown for (a) 2011 and (b)
734 2012. Black contours trace isobaths of 100 m, 1000 m, and 3000 m. The white contours
735 indicate a sea surface height of zero. The purple line corresponds to 75°N , used for
736 modeled current direction in Figure 8. Red dots show the location of Station NAP. Purple
737 dots represent the east and west limits of the horizontal section in Figure 8.

738

739 Figure 8 Modeled ocean current direction averaged from the surface to 100-m depth across an
740 east–west section along 75°N (see purple line in Figure 7). The vertical axis represents an
741 inter-annual time-series from 2008 to 2012. Blue (red) color indicates a northwestward
742 (southwestward) ocean current.

Table 1. Diatom taxa found in sediment trap samples from Station NAP collected from 4 October 2010 to 18 September 2012. The symbols "*" and "?" indicate sea ice-related taxa, and uncertain identification in this study, respectively.

Taxa	
<i>Achnanthes brevipes</i> Agardh 1824	<i>Neodenticula seminae</i> (Simonsen & Kanaya) Akiba & Yanagisawa 1986
<i>Achnanthes lanceolata</i> (Brebisson) Grunow 1880 ?	<i>Nitzschia arctica</i> Cleve 1896 *
<i>Actinocyclus curvatulus</i> Janisch 1874	<i>Nitzschia frigida</i> Grunow 1880 *
<i>Actinocyclus</i> spp.	<i>Nitzschia neofrigida</i> Medlin 1990 *
<i>Actinoptychus senarius</i> (Ehrenberg) Ehrenberg 1843	<i>Nitzschia polaris</i> (Grunow) Grunow 1884 *
<i>Asteromphalus brookei</i> Bailey 1856	<i>Nitzschia promare</i> Medlin 1990 *
<i>Asteromphalus hyalinus</i> Karsten 1905	<i>Nitzschia seriata</i> Cleve 1883
<i>Aulacoseira</i> spp.	<i>Nitzschia</i> spp.
<i>Bacillaria</i> spp.	<i>Odontella aurita</i> (Lyngbye) Agardh 1832
<i>Bacterosira fragilis</i> (Gran) Gran 1900	<i>Paralia</i> spp.
Centric spp.	<i>Pauliella taeniata</i> (Grunow) Round & Basson 1997
<i>Chaetoceros</i> (subgen. <i>Chaetoceros</i>) spp.	Pennate spp.
<i>Chaetoceros atlanticum</i> Cleve 1873	<i>Pinnularia quadratarea</i> (A.Schmidt) Cleve 1895 *
<i>Chaetoceros</i> (subgen. <i>Hyalochaete</i>) spp.	<i>Pinnularia quadratarea</i> var. <i>cuneata</i> Østrup 1905 *
<i>Chaetoceros</i> spp. Resting Spores	<i>Pinnularia quadratarea</i> var. <i>dubia</i> Heiden 1905 *
<i>Coscinodiscus oculus-iridis</i> Ehrenberg 1839	<i>Pinnularia semiinflata</i> (Østrup) Poulin & Cardinal 1982
<i>Coscinodiscus radiatus</i> Ehrenberg 1840	<i>Pinnularia</i> spp.
<i>Craspedopleura kryophila</i> (Cleve) Poulin 1993 *	<i>Pleurosigma stuxbergii</i> Cleve & Grunow 1880 *
<i>Cyclotella</i> spp.	<i>Pleurosigma</i> spp.
<i>Cylindrotheca closterium</i> (Ehrenberg) Lewin & Reimann 1964	<i>Pseudo-nitzschia</i> spp.
<i>Cymbella silesiaca</i> Bleisch 1864 ?	<i>Porosira glacialis</i> (Grunow) Jørgensen 1905 *
<i>Cymbella sinuata</i> Gregory 1858	<i>Proboscia eumorpha</i> Takahashi, Jordan & Priddle 1994
<i>Cymbella</i> spp.	<i>Pseudogomphonema arcticum</i> (Grunow) Medlin 1986
<i>Delphineis</i> sp. cf. <i>angustata</i> (Pantocsek) Andrews 1981	<i>Pseudogomphonema septentrionale</i> var. <i>angustatum</i> (Østrup) Medlin 1986 *
<i>Delphineis surirella</i> (Ehrenberg) Andrews 1981	<i>Pseudogomphonema</i> spp.
<i>Diploneis litoralis</i> var. <i>clathrata</i> (Østrup) Cleve 1896 *	<i>Rhizosolenia borealis</i> Sundström 1986
<i>Diploneis</i> sp. cf. <i>bombus</i> (Ehrenberg) Ehrenberg 1853	<i>Rhizosolenia hebetata</i> Bailey 1856
<i>Diploneis</i> spp.	<i>Rhizosolenia hebetata</i> f. <i>semispina</i> (Hensen) Gran 1904 ?
<i>Entomoneis</i> spp.	<i>Rhizosolenia setigera</i> Brightwell 1858
<i>Eucampia groenlandica</i> Cleve 1896	<i>Rhizosolenia</i> spp.
<i>Fossula arctica</i> Hasle, Syvertsen & Quillfeldt 1996 *	<i>Synedropsis hyperborea</i> (Grunow) Hasle, Medlin & Sybertsen 1994 *
<i>Fragilariopsis cylindrus</i> (Grunow) Krieger 1954 *	<i>Synedra</i> spp.
<i>Fragilariopsis oceanica</i> (Cleve) Hasle 1965 *	<i>Thalassiosira antarctica</i> Comber 1896 *
<i>Fragilariopsis</i> spp.	<i>Thalassiosira bioculata</i> (Grunow) Ostfeld 1903
<i>Gyrosigma hudsonii</i> Poulin & Cardinal	<i>Thalassiosira decipiens</i> (Grunow) Jørgensen 1905 ?
<i>Gyrosigma macrum</i> (W.Smith) Cleve 1894 ?	<i>Thalassiosira eccentrica</i> (Ehrenberg) Cleve 1904 ?
<i>Haslea crucigeroides</i> (Hustedt) Simonsen 1974 *	<i>Thalassiosira hyalina</i> (Grunow) Gran 1897
<i>Licmophora</i> sp.	<i>Thalassiosira hyperborea</i> (Grunow) Hasle 1989
<i>Melosira arctica</i> Dickie 1852 *	<i>Thalassiosira leptopus</i> (Grunow) Hasle & Fryxell 1977
<i>Melosira moniliformis</i> (Müller) Agardh 1824 ?	<i>Thalassiosira nordenskiöldii</i> Cleve 1873
<i>Navicula algida</i> Grunow 1884 *	<i>Thalassiosira trifulta</i> Group
<i>Navicula directa</i> (Smith) Ralfs 1861	<i>Thalassiosira</i> spp.
<i>Navicula distans</i> (Smith) Ralfs 1861	<i>Thalassionema nitzschioides</i> (Grunow) Mereschkowsky 1902
<i>Navicula forcipata</i> var. <i>densestriata</i> Schmidt 1881 ? *	<i>Thalassionema</i> spp. ?
<i>Navicula kariana</i> var. <i>detersa</i> Grunow 1882 *	<i>Thalassiothrix</i> sp.
<i>Navicula kryokonites</i> Cleve 1883 *	<i>Trachyneis aspera</i> (Ehrenberg) Cleve 1894
<i>Navicula obtusa</i> Cleve 1883 *	
<i>Navicula superba</i> Cleve 1883 *	
<i>Navicula transitans</i> Cleve 1883 *	
<i>Navicula transitans</i> var. <i>derasa</i> (Grunow) Cleve 1883 *	
<i>Navicula valida</i> Cleve & Grunow 1880 *	
<i>Navicula</i> spp.	

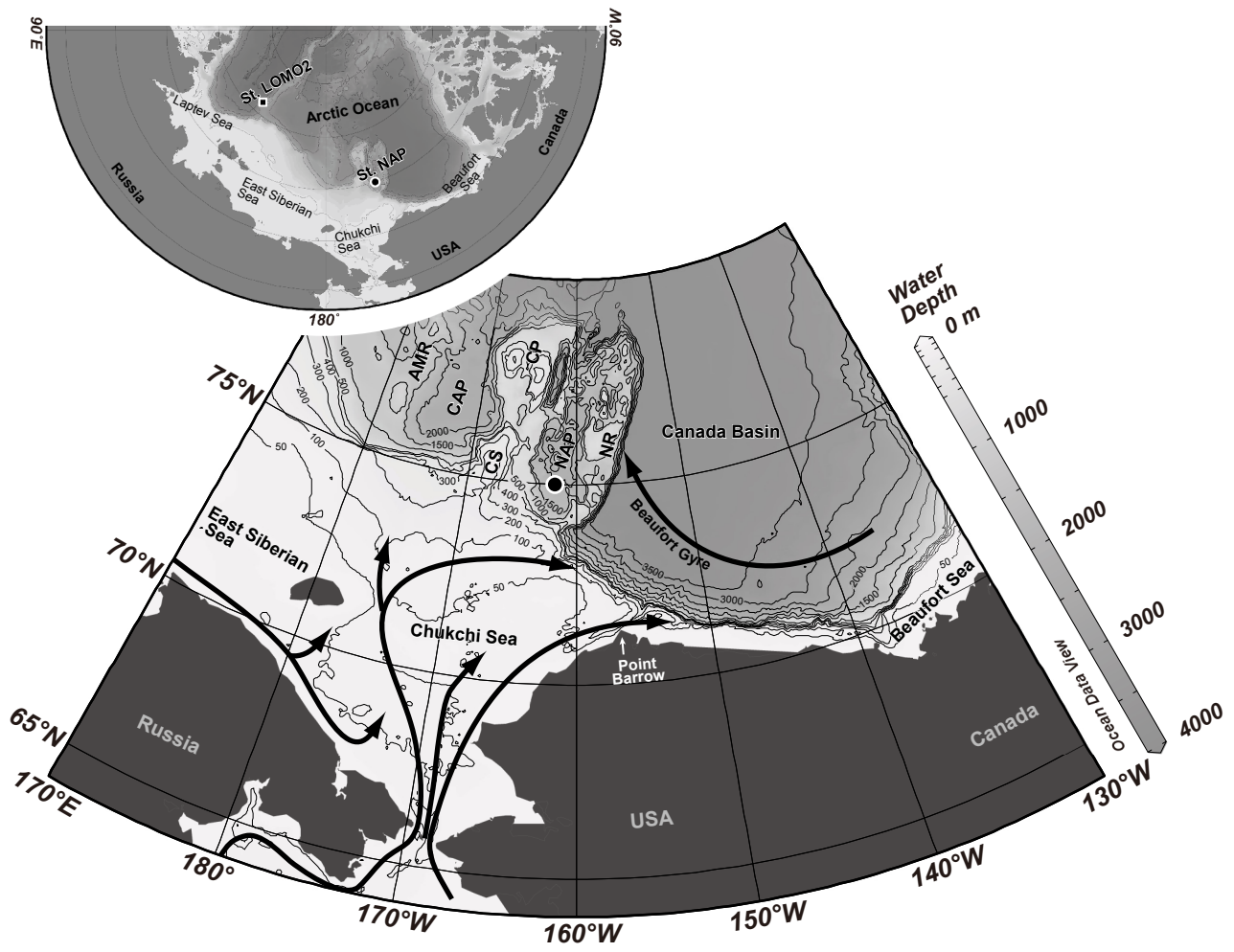


Fig. 1

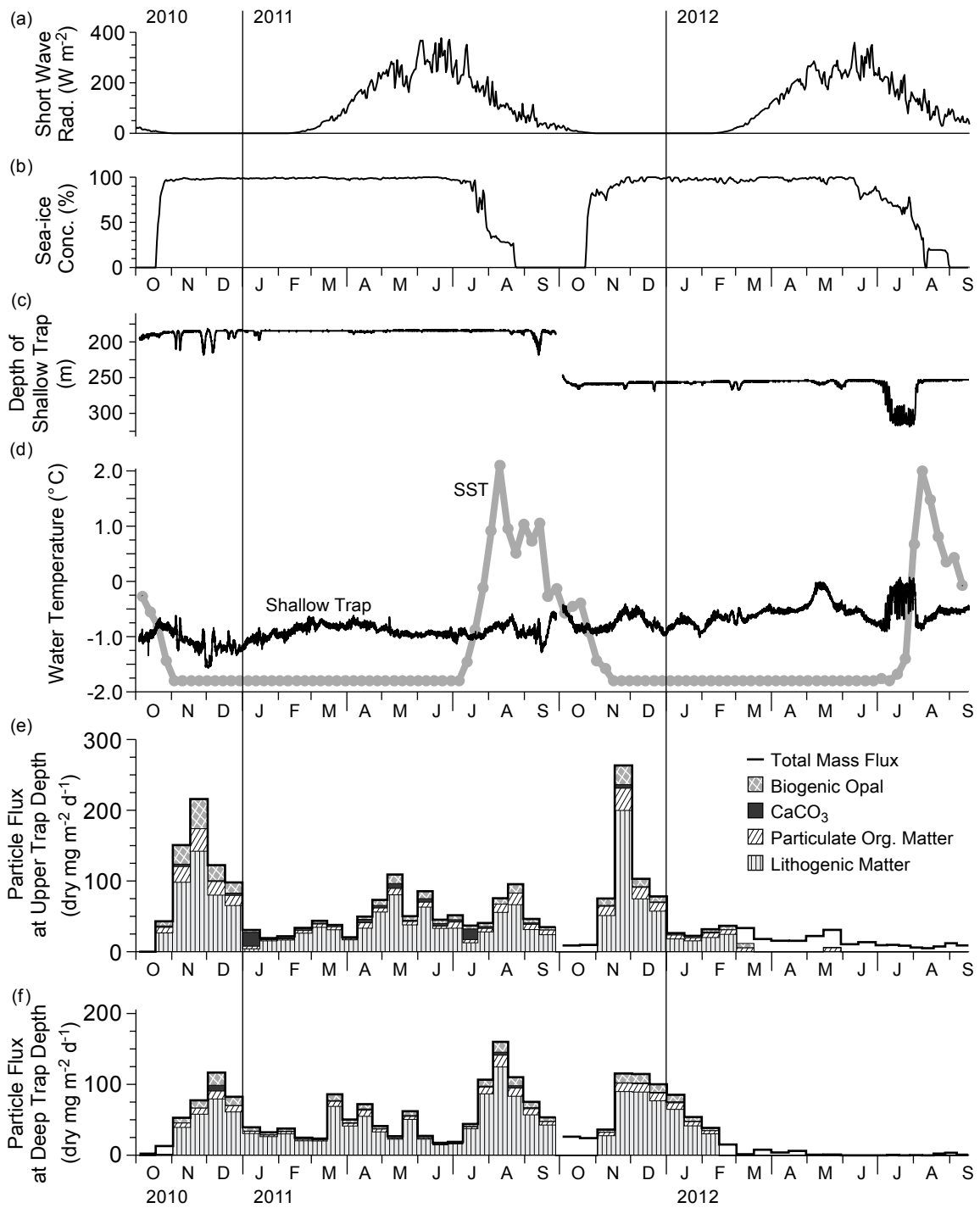


Fig. 2

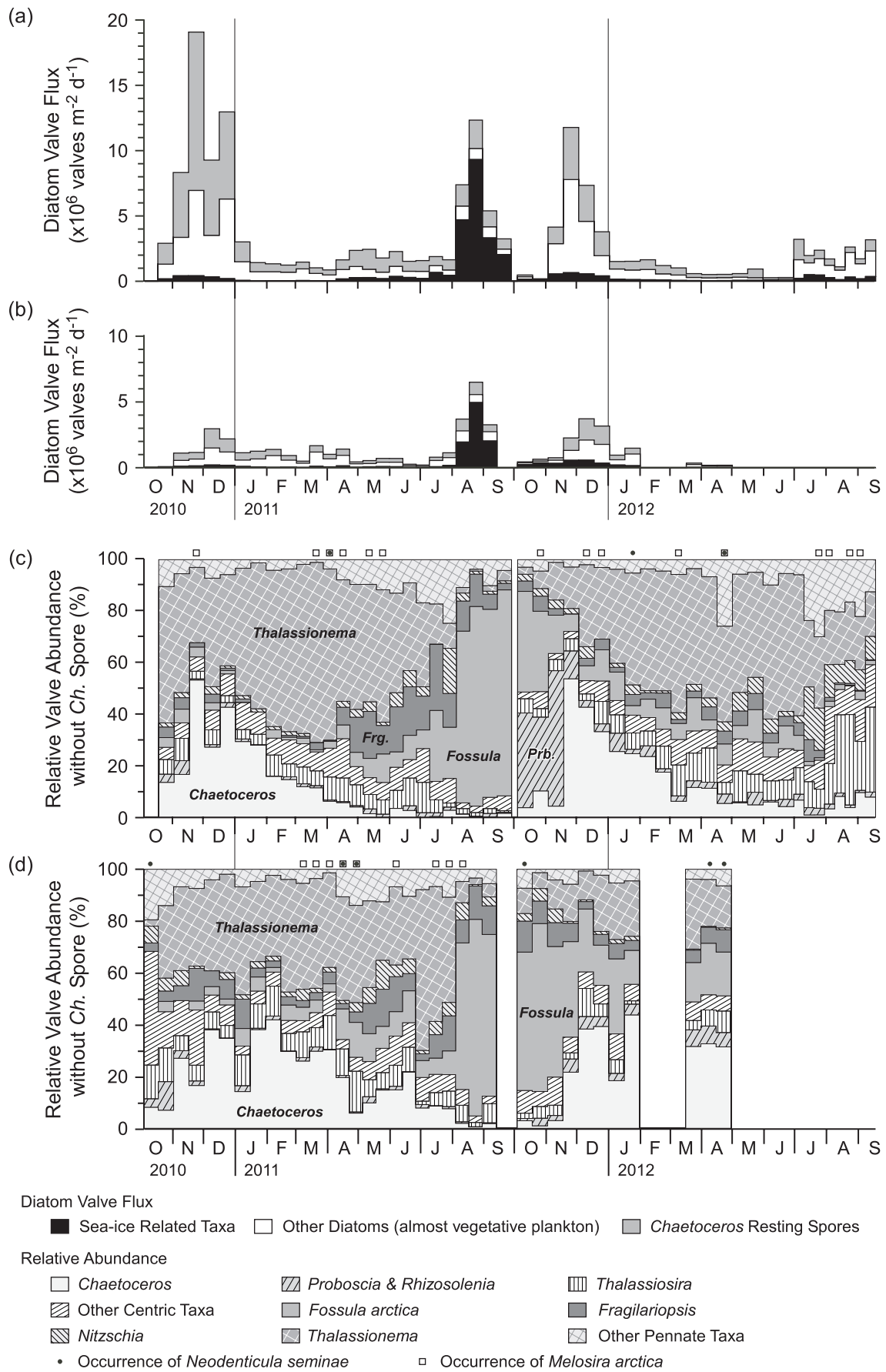


Fig. 3

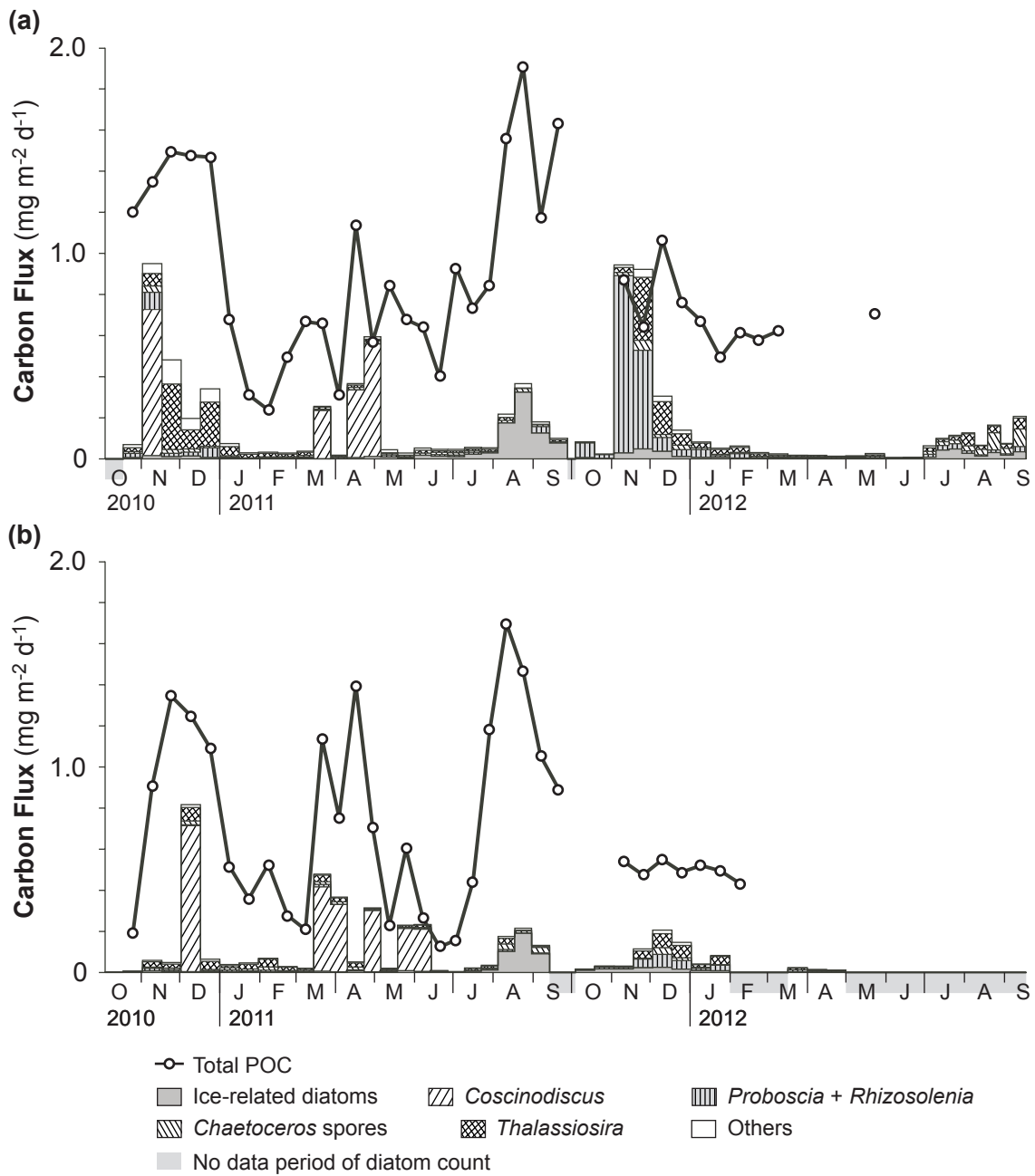


Fig. 4

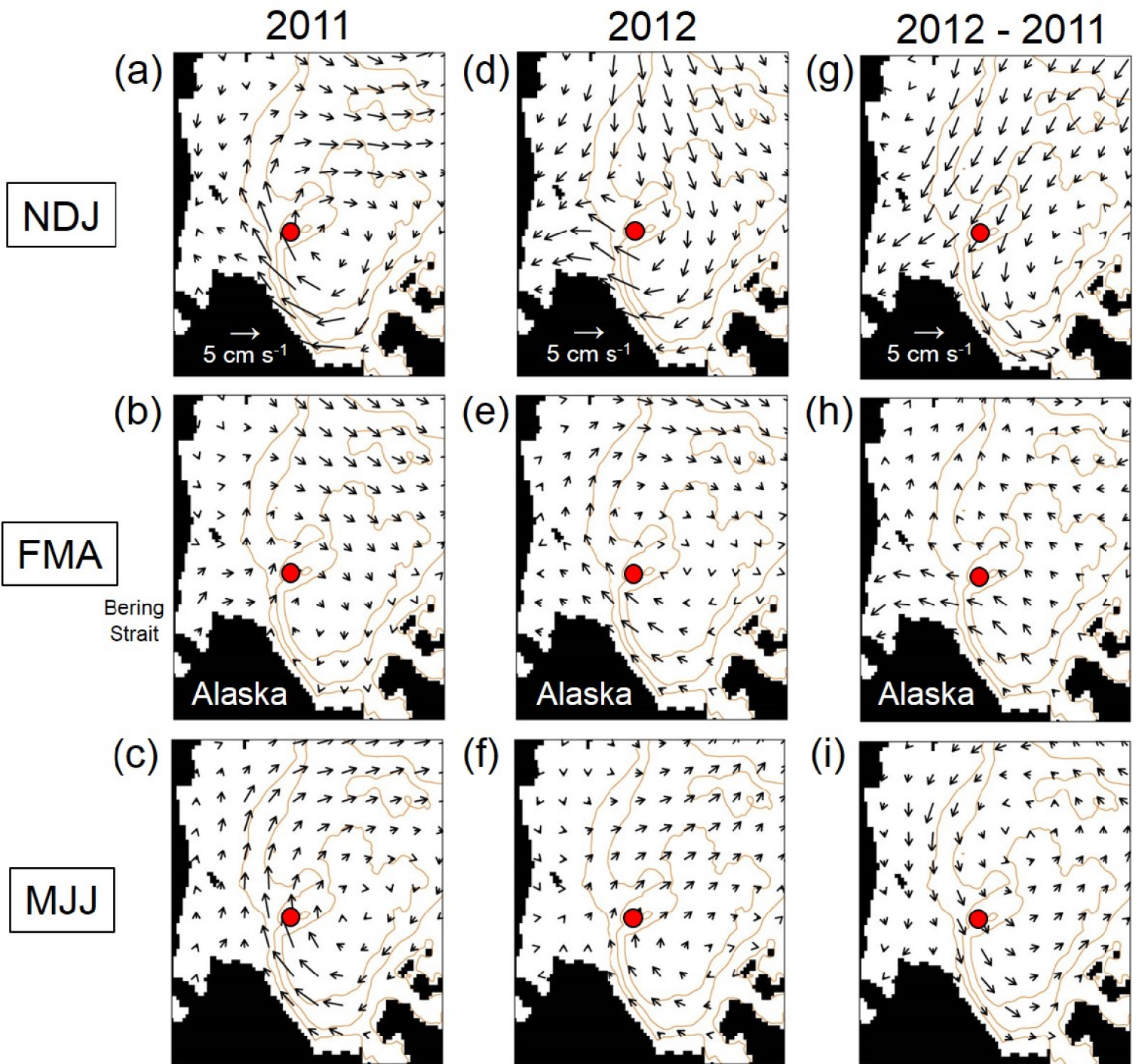


Fig. 5

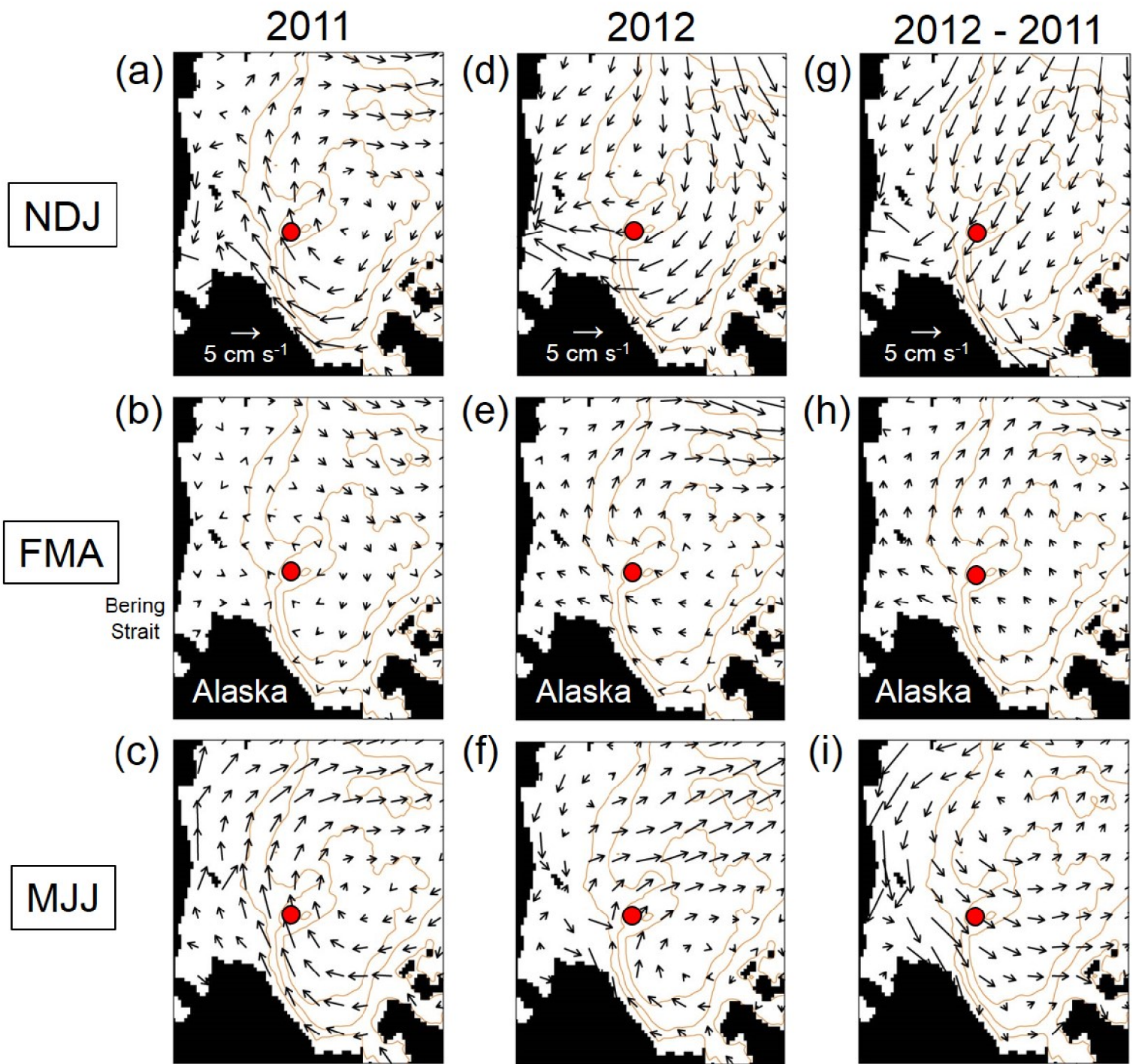


Fig. 6

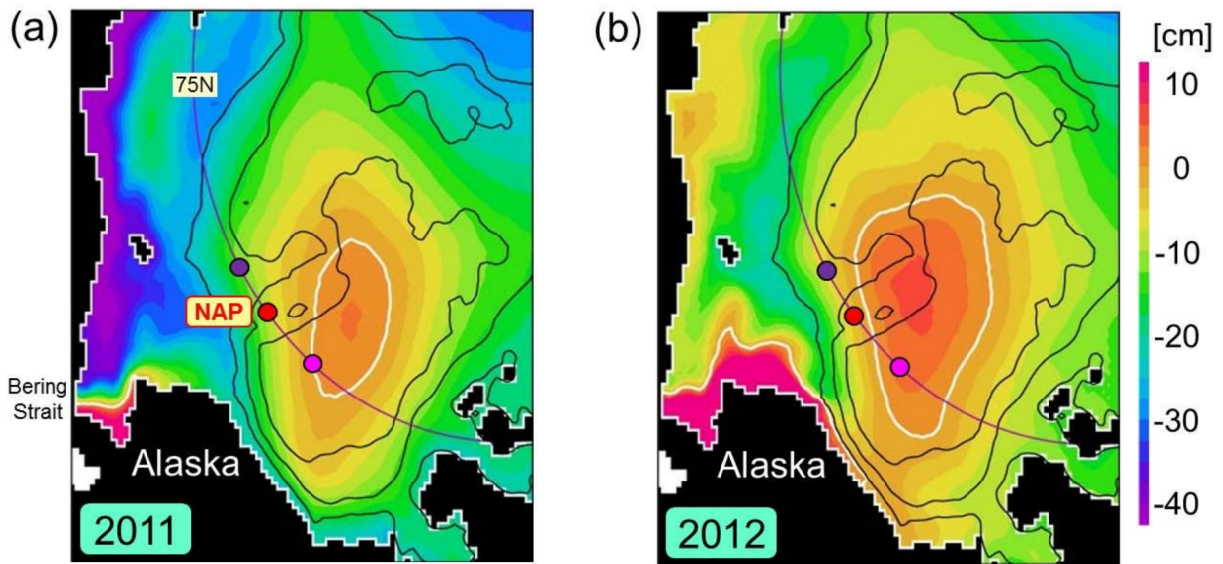


Fig. 7

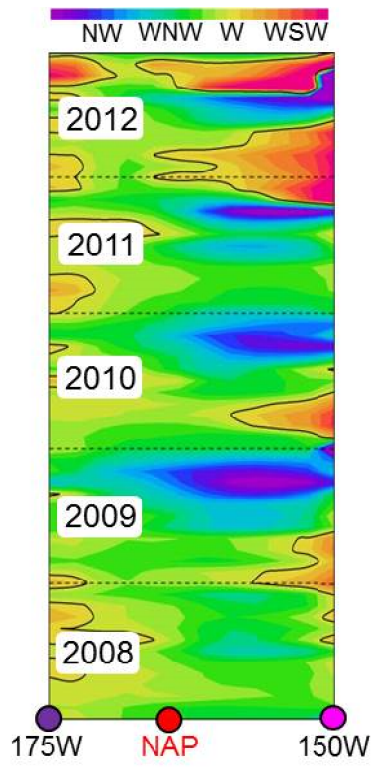


Fig. 8