

1 **Author Response**

2
3 We are grateful to the three anonymous referees for their insightful and constructive feedback
4 on our discussion paper. We have expressed our thanks in the acknowledgements section of
5 our revised manuscript. Below we address each of the referees' comments in turn, explaining
6 our response and detailing relevant changes that we have made to a revised version of our
7 paper. Our responses below are shown in red text, and changes made during revision of the
8 manuscript have been highlighted using the 'track changes' function.

9
10 The tracked changes are below the author response

11
12 Anonymous Referee #1

13
14 The study of Speed et al. investigates how carbon stocks in different vegetation and soil pools
15 vary across a ca. 300m altitudinal gradient in southern Norway. They find little effect of
16 grazing intensity, twelve years after grazing levels were manipulated. Their main conclusion
17 is that there is continuous variation in soil organic matter stocks, with soil stocks increasing
18 linearly with altitude, while there is a clear 'breakpoint' at the treeline for vegetation carbon
19 stocks. The implications of these contrasting patterns are discussed in the context of
20 ecosystem carbon stocks.

21
22 This is an interesting paper, but I do not find the conclusion that soil organic matter stocks are
23 linearly related to altitude to be convincing. It appears that there is the same change as has
24 been observed in previous studies (Sjögersten Wookey 2009; Hartley et al. 2012), albeit
25 slightly less pronounced. The tundra system investigated in the current study is more grass-
26 dominated than in these previous studies, which may help explain some of the differences.
27 However, the key issue is that the data presented in Figure 3 does appear to show a change in
28 organic matter contents and C stocks in the organic horizon at the treeline. All the organic
29 horizon C contents are lower in the forest. There is one thick organic horizon within the forest
30 zone (Fig A4), but the organic horizon C stocks in all other forest sites are substantially lower
31 than the mean for the tundra. The analysis does not find a significant relationship between
32 organic matter carbon stocks and altitude within the forest or tundra zones, and therefore it
33 appears that the overall relationship with altitude is driven, in large part, by a change between
34 the two ecosystem types.

35
36 In the supplementary methods of the study of Hartley et al. 2012, data were presented
37 comparing tundra-heath and birch forest at the same altitude within the ecotone, ob-serving
38 the same pattern of changes in soil carbon storage as when comparing sites above and below
39 the treeline. Again, it may be that the more grass-dominated tundra in the current study
40 explains the reduced magnitude of the differences in organic horizon C stocks above and
41 below the treeline, but the data do not appear to support the conclusion that there is
42 continuous variation in soil stocks with altitude, or that there is no threshold change around
43 the treeline.

44
45 There is still very valuable information in this paper, especially in terms of how ecosystem C
46 stocks change with altitude, with the relative importance of changes in above versus below
47 ground stocks being presented clearly. I would suggest the study not claim there is no
48 threshold change in soil carbon stocks around the treeline, but rather place the relatively small
49 threshold change in soil stocks observed for this ecotone into the context of substantial
50 increases in tree biomass. It would also be worth emphasising the differences between the

1 vegetation communities (grass versus shrub-dominated tundra) being investigated in the
2 current study versus those in much of the literature which has been cited.

3
4 Response: Referee 1 states that the observed linear increase in organic horizon C stock
5 (Figure 4c) is driven by differences between the forest and alpine ecosystems rather than
6 elevation *per se*. The evidence for this is that the organic horizon C stock is lower in the forest
7 than alpine zone (when averaged across the elevational gradient within each system, P15446
8 L9-10 in the Discussion Paper) and that there is no elevational trend within either the forest or
9 alpine zone (P15446 L11-13). The referee's interpretation here is correct. As we detailed in
10 Section 3.2.2, there was no breakpoint in the linear relationship between organic soil C stock
11 and elevation. As the referee states, the increase in organic soil C stock can thus be viewed as
12 a response to the changing ecosystem, rather than a relationship with elevation *per se*.

13
14 Our main conclusion from this study is that C stocks do not linearly change with elevation
15 across the treeline ecotone (15449, L21-24). We discuss that vegetation state explicitly needs
16 to be addressed. So we completely agree with Referee 1 regarding this point.

17
18 In our revision we have revised both the discussion section and the abstract to avoid giving a
19 mixed message. We now explicitly address the differences between the ecosystems in organic
20 horizon C stocks in the discussion. We have also revised the discussion to further emphasise
21 the need to account for vegetation state in addition to elevation when predicting C stocks
22 around the treeline ecotone.

23
24 We have also highlighted the different vegetation type utilized in our study (see the following
25 point for a more specific response to this point).

26
27 Specific comments:

28 Page 15441 line 5: This is the first time that the type of tundra being studied is really
29 described. It would be useful to include more details in the introduction regarding the type of
30 ecotone being studied and how it differs from some of the previous studies which have been
31 cited.

32 Response: The referee raises a good point, although we note that the vegetation composition
33 in our study is closer to that studied by Kammer et al., than the Abisko studies of Sjøgersten
34 & Wookey and Hartley et al.

35
36 In our revision we have provided more detailed description of the tundra vegetation in the
37 Introduction section, and have highlighted how we study a different tundra vegetation type to
38 some of the previous studies (Sjøgersten & Wookey, Hartley et al.), but more closely related
39 to others (Kammer et al.).

40
41 Page 15443 line 3: A fuller justification of the number of points required to detect breakpoints
42 would be useful. There are only nine forest plots and since the hypotheses are about
43 continuous versus discontinuous changes, is this really enough to be able to detect relatively
44 small magnitude threshold effects?

45 Response: There are indeed only 9 plots within the forest. However, we do not test for
46 breakpoints within the forest or within the alpine zones, only within the entire elevational
47 gradient (where n=36). Sample sizes of around 40 have been shown to give acceptable
48 estimates of breakpoint positions (Ryan, S. E., and Porth, L. S.: A tutorial on the piecewise
49 regression approach applied to bedload transport data, U.S. Department of Agriculture, Forest
50 Service, Rocky Mountain Research Station, 41, 2007.)

1 In our revision we have given fuller justification for the sample size.

2
3 Page 15445 line 14: I am slightly confused about the definition of the organic horizon. With
4 some of the soils having carbon contents as low as 10

5 Response: The reviewer raises a valid point here. According to IIUSS WRG, 2006 organic
6 material should contain 20% SOC. The reason that some of the plots sampled in the birch
7 forest have values as low as 10% is due to mixing of organic material (O_{iea}) with the
8 underlying mineral layer due to turbation. This turbation is assumed to be due to wind
9 exposure on the trees and resultant below-ground perturbation. This turbation will lead to
10 reduced estimates of C in the organic horizon, increased estimates of C in the mineral
11 horizon, but will have no effect on the overall ecosystem C stock estimates.

12
13 In our revision we have clarified this by adding: "Due to difficulties separating the pure O-
14 horizon from the underlying mineral horizon in the birch forest, as caused by arboturbation,
15 the O-horizon represented OE or OA-horizons. Mixing of organic and mineral material will
16 reduce the soil organic carbon content (SOC) and increase the bulk density of the soil
17 (Martinsen et al. 2011). However, estimates of the ecosystem C stock will not be affected."
18 (line 19, pg 15441).

19
20 Page 15448 lines 9-13: There seems to be an argument here that increases in carbon storage in
21 plant biomass takes place more slowly than losses of carbon from soils. This is an interesting
22 suggestion and perhaps one that could be discussed in more detail, in terms of trajectories of
23 change in ecosystem carbon storage as the treeline shifts.

24 Response: This is indeed the argument we make here, and we agree that it should be
25 discussed in more detail as the referee suggests.

26 In our revision we have further elucidated this argument.

27 28 **Anonymous Referee #2**

29 Speed et al measure soil and vegetation C stocks across an altitudinal gradient which includes
30 a treeline ecotone. Within the alpine zone, they also assess the effects of grazing on ecosystem
31 C stocks. The main question driving this research is whether there is continuous or
32 discontinuous variation in ecosystem C stocks across the treeline ecotone. The authors report
33 a minimum in ecosystem C stock at the treeline, with gradually increasing C stocks at both
34 lower and higher elevations. They also report no significant effects of grazing on ecosystem C
35 stocks.

36
37 The results are well presented and the manuscript is clearly written. The data shows the
38 complexity of C storage across a mountain birch treeline, with relatively high productivity
39 and stimulated decomposition at lower altitudes and low productivity and de-composition at
40 higher altitudes. However, I think that the authors should move the focus to the influence of
41 different vegetation on C stocks, rather than interpret the treeline as a 'discontinuum' within
42 an elevational gradient (see. p. 15447, l. 15-17). The fact that vegetation C stock decreases as
43 one goes up to a treeline is trivial, and somewhat implicit in the definition of treeline. What I
44 find relevant in this study is that increased C stored in the soils can outweigh this decrease in
45 vegetation C, with its implications on changing C storage patterns in regions where treelines
46 are moving upwards.

47
48 Response: That birch C stock decreases up to the treeline is of course implicit in the treeline
49 definition. However, we do believe that it is important to quantify the magnitude of the
50 gradient in vegetation C stock, and particularly the degree to which the birch C stock is

1 partially balanced by the field-layer vegetation C stock (higher in the alpine zone than in the
2 forest). The patterns of ecosystem C stocks, comprising vegetation and soil C stocks are of
3 great relevance (note that Referee 1 makes a similar point from the ‘other side of the coin’).
4 We do find clear evidence that there is a discontinuum in some C stocks at the treeline within
5 the overall elevational gradient, and as the referee points out, this has implications for C
6 stocks along elevational gradients in regions with dynamic treelines.

7
8 In our revision we have increased the emphasis on the influence of different vegetation types
9 on C stocks in addition to discontinuous changes at the treeline.

10
11 Moreover, I think that changes in vegetation type across the treeline and the elevational
12 gradient itself (obviously) overlap, and that it is difficult to separate the effects of both
13 factors. Data in figs 3-5 show both, an elevational gradient and a change in vegetation and this
14 is well illustrated by the segmented regressions in figs 3 and 5. However, I think that fig. 4
15 also shows a discontinuity in organic C content. Maybe the authors could also consider to
16 study the effect of elevation on C content and soil depth within the alpine zone (Fig. 4), not
17 with a segmented regression but with a regression excluding the forest data. Would the
18 current relationships still hold? This could be easily added to the current figure. Also in Fig
19 A4, excluding the forest data points, maybe a negative relationship between organic horizon
20 depth and elevation becomes significant? This would probably explain why the increase in
21 organic horizon C stock does not increase at the rate of organic C content (Fig. 4C 4a).

22 Response: The analyses that Referee 2 suggests have already been carried out, and these were
23 reported in the original version of our paper. These are reported in text in the results. E.g.
24 elevation and organic horizon C content within the alpine zone: P15445 L18. We have not
25 added these relationships to the Figures, as in our opinion this makes them overcrowded and
26 hard to read.

27
28 In our revision we have also added the statistics to the results section of our test of the
29 difference in horizon depths between the forest and alpine zones – the organic horizon was on
30 average 1 cm deeper in the alpine zone than in the forest zone.

31
32 Overall, I think that the focus of the paper could be changed from a rather descriptive
33 treatment of the elevational gradient effect to a discussion of the different mechanisms driving
34 the observed effects (vegetation changes, microclimatic effects on decomposition, etc.).

35 Response: Unfortunately we do not have data available to partition the influence of the
36 different mechanisms on carbon stocks. However, in our revision we have added further
37 discussion (3 new paragraphs, see the comments of Referee 3 and our responses) of these
38 different mechanisms that may drive the patterns that we have observed.

39
40 Specific comments

41 p. 15438, l. 1-3. Are the reported elevational patterns in SOC largely vegetation mediated?
42 What is the contribution of temperature/moisture effects on SOC?

43 Response: The authors of this review link elevational patterns to both abiotic controls on
44 decomposition, and changes in vegetation with elevation.

45 We have revised this section to include this information.

46
47 Fig 2. Wouldn't a classification based on functional groups (i.e. shrubs, grasses, sedges...) be
48 more useful?

49 Response: Referee 1 suggested that the difference in vegetation between our study and other
50 related studies be given greater emphasis. Therefore we believe that retaining the species

1 based vegetation description is preferable as it provides greater information as to the field-
2 layer vegetation.

3 Fig. 5 caption: 'Field vegetation'? Birch is vegetation as well...

4 Response: Thank you for pointing out this error

5 In our revision this has been corrected

6
7 Referee 3

8 Speed et al. present a clearly structured paper documenting changes in ecosystem carbon
9 stocks with elevation across a treeline ecotone. The major findings of this paper are: (1)
10 vegetation C stocks decrease with elevation until the treeline, after which the vegetation C
11 stocks are constant, (2) organic soil C stocks increase with elevation across the all vegetation
12 zones, (3) total ecosystem C stocks increase with elevation above the treeline but decrease
13 with elevation below the forest line, such that there is a minimum between the forest line and
14 treeline (Fig. 5), and (4) there was no effect of short-term grazing on elevational patterns in
15 ecosystem C stocks. This manuscript is appropriate for the scope of the journal
16 Biogeosciences.

17
18 General Comments:

19
20 The authors establish clear predictions and then test these using appropriate methods,
21 statistical techniques and interpretation. The results are well presented and the findings and
22 interpretation are interesting. The paper is well written and the figures appropriately formatted
23 and clear for the most part (see technical corrections below). The references to the literature
24 are appropriate. However, I agree with reviewer #2 that the focus on the treeline as being
25 static with a decrease in vegetation C with elevation is somewhat trivial and does not
26 highlight the greatest contributions of the study.

27 Response: As we also replied to Referee 2, although it may be obvious that birch C stocks
28 decrease towards the treeline, it remains important to quantify this in order to compare it to
29 the C stocks in the rest of the vegetation and the soil. In our revision we have also added
30 discussion relating to the dynamic nature of the treeline. This is further detailed in response to
31 another of Referee 3's comments below.

32
33 This study will contribute to our understanding of forest ecotone carbon storage, particularly
34 under global change. In fact, relating the findings to global change and addressing the
35 dynamic nature of this treeline ecotone is where the paper could be strengthened (see below).
36 There are three issues that could be better addressed: (1) the paper could have a stronger focus
37 on climate and climate change, (2) the dynamic nature of the treeline could be better
38 incorporated into the interpretation of the data, and (3) the implications of reduced
39 recruitment due to herbivory could be better discussed.

40 Response: We are grateful for this insight from the Referee. We have addressed all these
41 issues in our revision. Details of the changes made are given below in response to the
42 Referee's specific comments regarding these points.

43
44 As Referee #2 discusses, the paper could have a stronger focus on the separate contributing
45 effects of vegetation versus climate along the elevational gradient. And these findings could
46 be put in the context of on-going climate change in the region in the discussion. Right now the
47 links of the findings to climate are weak. What are the differences in climate along the
48 elevational gradient? How do these differences relate to projected temperature changes in the
49 region? How might ecosystem C stocks change with climate warming?

1 Response: The growing season soil temperature (5cm) decreases by around 1.4°C per 100m
2 elevation within the alpine zone (1120 to 1260m). We unfortunately do not have equivalent
3 data for within the forest. However, the climatic warming scenarios suggest a mean annual
4 temperature increase of 2.3 to 4.6°C by 2100 in Norway (2.5 to 3.5°C in study region). Thus
5 we can expect decreases in high alpine ecosystem C stocks, and increases in low alpine
6 ecosystem C stocks dependent on rise of the treeline

7
8 In our revision we have added discussion of this, and combined this discussion with that of
9 the timescales of different stock responses as suggested by Referee 1.

10
11 The ecosystem C stocks are put in the context of the vegetation gradient, but the dy-namic
12 nature of the treeline that is suggested by the age structure (Fig. 3b) is not ad-equately
13 discussed. How will ecosystem C stocks change with an advancing treeline. The study may
14 not be able to answer this question, but it could be better addressed in the discussion section
15 of the paper. Perhaps some sort of modelling exercise could shed further light on this issue.

16 Response: The reviewer makes a very good point here.

17 In our revision we have added discussion relating to the dynamic nature of the treeline in
18 general, and with respect to herbivory (see following comment). The idea of a modelling
19 exercise to explore this further is particularly interesting and something that has already
20 begun, however, we feel that it is beyond the scope of the current study.

21
22 Though the authors do discuss herbivory, the implications of changing herbivory on treeline
23 carbon storage is not as well fleshed out in the paper as it could be. The authors did not
24 observe an effect of short-term grazing on the ecosystem C storage, however, they have
25 previously found an impact of grazing on tree recruitment in this region. However, the
26 impacts of grazing on future reduced recruitment could potentially be worked into a model
27 estimate of the impact of grazing on future ecosystem C stocks.

28 Response: In our revision we have developed the discussion of the implications of changing
29 herbivory on C storage across the treeline ecotone. To include estimates of the impact of
30 grazing on C storage would require a detailed simulation model to be developed. We feel that
31 this is outside the scope of the current study. However, it is certainly a worthy avenue to
32 pursue in future.

33
34 As mentioned above, it would improve the paper if the difference in response rates between
35 soil, vegetation and herbivory contributions to ecosystem C were explicitly addressed. Since
36 both soil and vegetation C are driven by climate, how quickly would change occur to
37 ecosystem C storage in this system with treeline advance? This dynamism also ties in with
38 discussion of grazing, since the authors recognized already that the experiment may not have
39 been running for long enough to have a meaningful impact on C stocks during this study.
40 Perhaps it would be possible to gain further information from analysis of the grazing plots
41 regarding response times in this system (as per review 1).

42 Response: This is a good point.

43 In our revision we have added discussion of the response rates of the different components of
44 the ecosystem, discussing the direct impacts of climatic warming (e.g. temperature on
45 decomposition rate), followed by vegetation change (which may be buffered by herbivory, or
46 accelerated by decreases in grazing) and then the indirect impacts on soil stocks mediated
47 through vegetation change.

48
49 In order to address these issues, I would recommend the inclusion of three new para-graphs in
50 the discussion and perhaps the addition of qualitative or quantitative modelled estimates of

1 the influence of changing climate, treeline dynamics and herbivory in the region and the
2 impacts of these changes on ecosystem C storage.

3 **Response:** In our revision we have added discussion of these three factors (herbivory, climate
4 and response rates). Modelling of the impact of changing climate and herbivory through
5 treeline dynamics is a very good idea, but beyond the scope of the current study.

6
7 Specific Comments and Technical Corrections:

8 1. The term field-layer vegetation should be changed to ground vegetation or some-thing
9 similar as it is confusing. (And, the hyphenation should be used consistently if the term or a
10 similar one is retained)

11 **Response:** Ground layer vegetation is often used to refer to bryophytes and lichens. We have
12 therefore retained the term field-layer.

13 **In our revision we have defined field-layer at first mention, and have ensured consistent use
14 of the hyphen.**

15
16 2. There are some minor phrasing issues that might benefit from a re-read e.g. p15437, line 2-
17 5: 'biomass contribute', 'stock are' – singular or plural? P 15440, line 3 'soils were stored
18 dark and cold' could be 'soils were stored in dark and cold conditions

19 **Response:** Thank you for pointing out these errors.

20 **In our revision these have all been corrected.**

21
22 3. P 15437 line 16: Should treeline advance and shrub expansion be considered an
23 environmental 'challenge'?

24 **Response:** We agree that this was poorly phrased. The challenges are caused by the treeline
25 advance (e.g. through driving further climatic change) as described later in this paragraph.

26 **In our revision we have changed 'environmental challenges' to 'environmental changes'**

27
28 4. P15448 line 26-27: Discussion between Hallinger et al (New Phytologist (2010) 186: 890–
29 899), Buntgen & Schweingruber (New Phytologist (2010) 188: 646–651) and Hallinger &
30 Wilmking (New Phytologist (2011) 189: 902–908) – could be useful for context on treeline
31 advance and age structure.

32 **Response:** We are aware of this discussion and agree it gives important context.

33 **In our revision we have cited the original Hallinger et al. paper (2010).**

34
35 5. The grey and black dots in the figures are very hard to distinguish and should be changed to
36 be larger or different symbols so that they can be told apart from each other.

37 **Response:** In our revision we have increased the size of the circular points and have also
38 lightened the shade of the grey points to increase the contrast from the black points (This
39 applies to Figures 3, 4, 5, A2, A3, A4).

40
41 6. Figure A2 is very difficult to read. Perhaps it could be turned into a multi-panel figure of
42 the different components of the ordination to improve the communication of the data/analysis.

43 **Response:** We agree that this figure is difficult to read.

44 **In our revision we have edited this Figure to improve its legibility within a single panel since
45 we found it difficult to interpret when split between multiple panels.**

46

1 Continuous and discontinuous variation in ecosystem 2 carbon stocks with elevation across a treeline ecotone

3
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16 17 **Abstract**

18 Treelines differentiate vastly contrasting ecosystems: open tundra from closed forest. Treeline
19 advance has implications for the climate system due to the impact of the transition from
20 tundra to forest ecosystem on carbon (C) storage and albedo. Treeline advance has been seen
21 to increase above-ground C stocks as low vegetation is replaced with trees, but decrease
22 organic soil C stocks as old carbon is decomposed. However, studies comparing across the
23 treeline typically do not account for elevational variation within the ecotone. Here we sample
24 ecosystem C stocks along an elevational gradient (970 to 1300 m), incorporating a large-scale
25 and long-term livestock grazing experiment, in the Southern Norwegian mountains. We
26 investigate whether there are continuous or discontinuous changes in C storage across the
27 treeline ecotone, and whether these are modulated by grazing. We find that vegetation C stock
28 decreases with elevation, with a clear breakpoint between the forest line and treeline above
29 which the vegetation C stock is constant. ~~In contrast,~~ C stocks in organic surface horizons of
30 the soil ~~increase linearly with elevation within the study's elevational range~~ were higher above

1 | the treeline than in the forest, whereas C stocks in mineral soil horizons are unrelated to
2 elevation. Total ecosystem C stocks also showed a discontinuous elevational pattern,
3 increasing with elevation above the treeline (8 g m^{-2} per m increase in elevation), but
4 decreasing with elevation below the forest line (-15 g m^{-2} per m increase in elevation), such
5 that ecosystem C storage reaches a minimum between the forest line and treeline. We did not
6 find any effect of short-term (12 years) grazing on the elevational patterns. Our findings
7 demonstrate that patterns of C storage across the treeline are complex, and should be taken
8 account of when estimating ecosystem C storage with shifting treelines.

9 | **1 Introduction**

10 The treeline ecotone separates largely contrasting ecosystems in arctic and alpine zones.
11 | Forests, within which high above-ground biomass contributes strongly to the ecosystem
12 carbon stocks, transition over relatively short distances into alpine or arctic tundra, within
13 | which the ecosystem C stocks are largely within organic horizons in the soil. Globally, low
14 temperatures have been associated with the elevational limitation of the treeline ecotone
15 (Körner and Paulsen, 2004). However, many treelines are not currently advancing despite a
16 warming climate (52% of treelines showed advance in a recent meta-analysis; Harsch et al.,
17 2009). This supports the suggestion that other factors limit individual treelines at the regional
18 and local scale (Danby, 2011). In some regions herbivory (Speed et al., 2010; Cairns and
19 Moen, 2004) and land-use (Gehrig-Fasel et al., 2007; Tasser et al., 2007) have been directly
20 linked to the limitation of treelines, and hence decreases in herbivory and the abandonment of
21 land-use can drive treeline advance, affecting C storage (Speed et al., 2014).

22 The latitudinal and elevational advance of trees and shrubs into tundra ecosystems is one of
23 | today's key environmental changes (Myers-Smith et al., 2011). Crucially, the advance
24 of shrubs and trees into tundra ecosystems can affect the global climate through changing
25 albedo levels, feeding back to further vegetation change (de Wit et al., 2014; Eugster et al.,
26 2000; Chapin et al., 2000). Shifts between tundra and forest ecosystems can also impact on
27 global climate through changes in ecosystem C balance and stocks (Sjögersten and Wookey,
28 2009). The above-ground to below-ground ratio in ecosystem C stocks tends to be higher in
29 forest than in tundra ecosystems (e.g. Hartley et al., 2012). Studies comparing alpine and
30 forest ecosystems suggest that treeline advance onto tundra releases the older C stored in the
31 organic horizons of the soil (Kammer et al., 2009) which is not fully compensated for by
32 increases in above-ground stocks (Hartley et al., 2012; Sjögersten and Wookey, 2009).
33 However, studies that seek to investigate carbon balances over the treeline ecotone typically

1 focus on comparisons of forest and tundra ecosystems, without reference to the wider
2 elevational pattern. Data for European grasslands and Swiss forest ecosystems indicate a
3 significant increase in soil organic carbon stocks with elevation with a particularly strong
4 increase within organic horizons [driven by changes in vegetation input and abiotic limitations](#)
5 [to decomposition](#) (Sjögersten et al., 2011). However, there may be a clear discontinuity in
6 plant C stocks at the treeline boundary due to the tendency for cool environment ecosystems
7 to exist in one of two alternate stable states: forest or tundra, with intermediate cover of trees
8 being less common (Scheffer et al., 2012). There thus remains a need for ecosystem level
9 assessment across the treeline ecotone to fully distinguish threshold effects at the treeline
10 from general elevational patterns in C stocks.

11 Herbivores may affect ecosystems C stocks due to effects on both above- and belowground
12 processes (Bardgett and Wardle, 2010), however, the effects may vary with herbivore density.
13 For example, even low ungulate densities can prevent treeline advance (Speed et al., 2010),
14 while soil C stocks in alpine grassland peak at low sheep densities (Martinsen et al., 2011).
15 Overall, herbivores may be expected to maintain soil-dominated ecosystem C stocks at the
16 expense of aboveground C if the herbivore densities are kept below a threshold that prevents
17 increased plant activity from stimulating decomposition of tundra soil C stocks (Hartley et al.,
18 2012).

19 Here we aim to determine the relative effect of elevation from that of the treeline *per se* on
20 ecosystem C stocks. To achieve this, we assess ecosystem C stocks along an elevational
21 gradient spanning the treeline ecotone with a range of elevations within both the forest and
22 alpine zones. We combine this with a grazing experiment in the alpine zone to include an
23 investigation of the effects of different densities of grazing livestock over 11 years on alpine
24 tundra carbon stocks. [The alpine tundra studied here is dominated by graminoids and](#)
25 [ericaceous shrubs and thus differs from the heath dominated tundra studied by Hartley et al.](#)
26 [and Sjögersten and Wookey \(2012;2009\), with more similarity to that studied by Kammer et](#)
27 [al. \(2009\).](#)

28 We predict that vegetation carbon stocks would decrease with elevation and be greater in the
29 forest than in the alpine zone, with a sharp boundary at the treeline. We also predict that due
30 to decreasing rates of decomposition at higher elevations (Sjögersten et al., 2011), the soil
31 carbon stock would be greater with elevation and higher in the alpine zone than in the forest,
32 due to faster cycling of organic matter in forests than non-forest soils (Mills et al., 2014).
33 Since soil C stocks are generally larger than vegetation C stocks in Southern Scandes

1 mountains (Speed et al., 2014), we predict that the ecosystem C stock would also be greater in
2 the alpine zone than in the forest, with a smooth decrease across the treeline ecotone. Within
3 the alpine zone we also predict that vegetation C storage would be greatest when ungrazed,
4 due to the increased establishment of birch (Speed et al., 2010) and the elevational advance of
5 lowland species (Speed et al., 2012) following herbivory release. We also predict that the
6 ecosystem level C stock would be greatest at low sheep densities due to increased soil C
7 storage (Martinsen et al., 2011).

8 **2 Methods**

9 **2.1 Study site**

10 The study was undertaken along an elevational gradient spanning the *Betula pubescens* spp.
11 *czerepanovii* treeline ecotone, from closed forest to open alpine ecosystems, located in Hol in
12 the mountains of Southern Norway. The elevational gradient ranged from 970 to 1300 m. The
13 site consists of a mountain birch forest grading into the alpine zone, within which is a long-
14 term, large-scale alpine grazing experiment giving the opportunity for us to investigate the
15 impact of grazing in addition to elevation. The sheep grazing experiment comprises three
16 treatments: ungrazed (0 sheep km⁻²), low (25 sheep km⁻²) and high (80 sheep km⁻²) sheep
17 densities across 9 enclosures (n=3, in a randomised block design). The enclosures cover an
18 elevational gradient from a minimum of 1050 m to over 1300 m (Figure 1). The site has been
19 experimentally grazed since 2002. Prior to the start of the experimental grazing, there was a
20 low density of sheep in the region, so the low sheep density treatment represents a
21 continuation of the past grazing history.

22 The forest line (or timberline sensu Körner and Paulsen, 2004) reaches a maximum at around
23 1100 m, whilst the current treeline is between 1150 and 1200 m (Figure 1). Within the grazing
24 experiment area, sheep have been observed to constrain the establishment and growth of
25 mountain birch at both high and low densities (Speed et al., 2010, 2011a; Speed et al., 2011b).
26 In the ungrazed treatment, birch have recruited across the whole elevational range of the
27 experiment, up to 1300 m during the experimental grazing period to date (Speed et al., 2010,
28 Figure 1).

29 **2.2 Study design:**

30 Three plots were located at each of three elevational levels in forest (Figure 1), using random
31 stratified sampling during early July 2012 and 2013. In the alpine zone, nine plots were

1 located at each of three elevational levels. One plot was established at each elevational level
2 in each of the 9 experimental grazing enclosures, thus three plots per elevational level in each
3 of the ungrazed, low sheep density and high sheep density treatments (Figure 1). In the
4 ungrazed treatment these were pre-selected at sites where mountain birch has recruited. Plots
5 were selected at equivalent elevation and vegetation in the high and low sheep densities.

6 **2.3 Birch**

7 At each alpine plot a 10 m radius circle was marked, and in each forest plot a 10 × 10 m
8 quadrat marked. The difference in area was to allow for the different densities of birch in the
9 two ecosystems. All birch (of any age and size) within the plots were counted, and the basal
10 stem diameter, DBH (diameter at breast height, where applicable) and height were recorded.
11 A random subsample of the birch was destructively harvested to age and determine biomass.
12 Using these subsamples, the relationship between birch basal stem diameter and biomass was
13 estimated using linear regression for individuals with a stem diameter under 50 mm
14 (Appendix A Figure A1). The biomass of birch with stem diameter over 50 mm was estimated
15 using the published relationship between biomass and diameter at breast height of mountain
16 birch in mountain areas within the same region (Bollandsås et al., 2009). As an estimate of the
17 stand age, we used the 75% quantile of the age (estimated from the relationship between stem
18 diameter and age) of all birch in each plot.

19 **2.4 Vegetation**

20 Within each plot, two 50 x 50 cm quadrats were randomly located within grassland vegetation
21 in the alpine plots and typical understory vegetation dominated by graminoids and herbs in
22 the forest. The point intercept method was used to determine the relative abundances of
23 species across communities (Jonasson, 1988). All vegetation intercepts were recorded at the
24 species level across 16 pins per quadrat. After point intercept recording, all above-ground
25 vegetation within the quadrat was harvested at ground level. This was dried in ovens at 50°C
26 for 48 hours and then dry weight was determined.

27 **2.5 Soils**

28 Soil was sampled immediately adjacent to the vegetation quadrats in July 2012 and 2013
29 using a cylindrical soil auger (diameter 5.2 cm). The soil was sampled by genetic horizon and
30 the depth of each horizon was recorded. To obtain enough material for analysis, three to seven

1 soil samples from the horizons at each plot were taken and bulked prior to analysis. The
2 organic soil layer (O_i , O_{ea} or the total organic layer O_{iea}) was sampled with three replicates
3 from all 36 plots (27 inside the enclosures and 9 in the birch forest). Due to difficulties
4 separating the pure O-horizon from the underlying mineral horizon in the birch forest, as
5 caused by arboturbation, the O-horizon represented transition horizons OE or OA. Mixing of
6 organic and mineral material will reduce the soil organic carbon content (SOC) and increase
7 the bulk density of the soil (Martinsen et al., 2011). However, estimates of the ecosystem C
8 stock will not be affected. Soil from entire profiles (i.e. including E, A and where present B
9 and C horizons, in addition to the organic soil layer) were sampled to a maximum depth of
10 23.5 cm (the length of the auger) at 28 of the 36 plots (5 out of 9 in the forest and 23 out of 27
11 in the enclosures, although for two of these sites there was no mineral soil present).
12 Characteristics of organic soil horizons are estimated from three replicates per plot, whereas
13 complete profile estimates are based on between one and three replicates per plot. These
14 replicates were pooled within plots prior to statistical analyses. The upper part of the C-
15 horizon was bulked with the B horizon. Carbon stocks for the plots with samples from the
16 entire profile thus slightly underestimate the total stocks since the sample was limited to 23.5
17 cm depth. C stocks will be further underestimated by the omission of large roots. Soils were
18 stored under cold and dark conditions prior to drying (40°C in a drying cabinet, Wascator,
19 type NV-97-1). Bulk density (g cm^{-3}) was determined based on the dry matter mass (after
20 drying at 105°C and correcting for amount of roots and gravel (> 2mm) in the sample) and the
21 sample volume. Subsamples of the dried and sieved samples were further dried at 60°C and
22 milled prior to determination of total C and N concentration. Total C and N were determined
23 by dry combustion (Leco CHN-1000; Leco Corporation, Solland, Sweden) (Nelson and
24 Sommers, 1982) and the Dumas method (Bremner and Mulvaney, 1982), respectively. Due
25 to the low pH (mean $\text{pH}_{\text{H}_2\text{O}} = 4.7$) total C represents organic C, because acid soils do not
26 contain carbonates. For comparisons of ~~soil organic carbon content (% SOC)~~ (%), depth
27 weighted mean values were used for both organic surface (O) horizons and mineral horizons.

28 **2.6 Quantification of C stocks**

29 Birch biomass was converted to C stock by multiplying the value by 52.63% (C content of
30 mountain birch in the nearby region of Setesdal and at similar elevations; Speed et al., 2014).
31 Vegetation C stock was estimated by multiplying the relative abundance of three growth
32 forms (graminoids, shrubs and herbs) within each quadrat by the mean C content for that

1 growth form and at the elevation of each plot, estimated from the models presented by
2 Mysterud et al. (2011). Soil C stocks were calculated by multiplying horizon depth, bulk
3 density and C concentration (Martinsen et al. 2011) and expressed as kg C m⁻².

4 **2.7 Statistical analyses**

5 Non-metric multidimensional scaling (NMDS) of the plant communities was used to explore
6 patterns in plant community composition across the treeline ecotone, using the ‘vegan’
7 package (Oksanen et al., 2013). We used segmented regression to test whether the slope of the
8 relationship between each the parameters of interest and elevation differed across the treeline
9 ecotone, and to estimate the elevation of the breakpoints, using the statistical package
10 ‘segmented’ (Muggeo, 2008). We thus tested whether the slope differed across a sample size
11 of 36 plots. Sample sizes of around 40 have been found to give acceptable estimates of the
12 locations of breakpoints (Ryan and Porth, 2007). If there was no difference in slope, we used
13 linear models to investigate whether the parameter linearly varied with elevation. We also
14 tested whether the parameters showed linear trends within each of the forest and alpine parts
15 of the elevational gradient, and whether there were significant differences between the
16 parameters above and below the forest line. Finally, we also tested whether there were
17 differences between sheep grazing treatments within the alpine zone. All model residuals
18 were visually inspected. Statistical analyses were undertaken in R (R Core Team, 2013).

19 **3 Results**

20 **3.1 Vegetation**

21 **3.1.1 Field-layer**

22 Forest field-layer vegetation (defined as all vascular vegetation excluding trees) was
23 dominated by the grasses *Avenella flexuosa* (syn. *Deschampsia flexuosa*) and *Anthoxanthum*
24 *odoratum*, the fern *Gymnocarpium dryopteris* and the herbs *Maianthum bifolium*,
25 *Melampyrum sylvaticum* and *Geranium sylvaticum* (Figure 2). Alpine field-layer vegetation
26 was dominated by the grasses *Nardus stricta* and *Deschampsia flexuosa*, and the dwarf shrubs
27 *Empetrum* spp., *Vaccinium myrtillus*, *V. uliginosum* and *Betula nana* across all grazing
28 treatments (Figure 2). There was a considerable distinction between the field-layer vegetation
29 composition in the forest and the alpine quadrats, but a high degree of overlap between the

1 | field-layer vegetation composition between the three grazing treatments within the alpine
2 | enclosures (Figure A2).

3 | There was a clear breakpoint in the relationship between the field-layer vegetation C stock
4 | and elevation (Figure 3a). The breakpoint was estimated at 1178 m (95% confidence interval
5 | 1134 - 1173 m, $P = 0.002$). There was an increase in the field-layer vegetation C stock with
6 | elevation below this point on the gradient (slope $1.13 \text{ g C m}^{-2} \text{ m}^{-1} \pm \text{standard error } 0.30$) and a
7 | decrease with elevation above this threshold (slope $-1.00 \text{ g C m}^{-2} \text{ m}^{-1} \pm 0.49$, Figure 3a). The
8 | mean vegetation field-layer C stock was higher in the alpine zone ($212.2 \text{ g m}^{-2} \pm 82.7$) than in
9 | the forest zone ($82.7 \text{ g m}^{-2} \pm 12.4$, $F_{1,34}=20.75$, $P<0.001$). The field-layer vegetation C stock
10 | did not vary with elevation within either the forest or the alpine zone ($F_{1,7}=4.99$, $P = 0.061$
11 | and $F_{1,25} = 2.06$, $P = 0.16$ respectively), nor did it vary between the grazing treatments in the
12 | alpine zone ($F_{2,24}=0.04$, $P = 0.96$).

13 | 3.1.2 Birch

14 | There was a breakpoint in the relationship between the density of mountain birch individuals
15 | and elevation. Below 1120m (95% CI 1067 – 1172, $P = 0.005$) the elevational decrease in
16 | birch density was steeper (slope $-0.0039 \text{ individuals m}^{-2} \text{ m}^{-1} \pm 0.0008$) than above 1120 m
17 | where it did not differ from 0 (slope $-0.0002 \text{ individuals m}^{-2} \text{ m}^{-1} \pm 0.0006$) and birch were
18 | present mainly at low densities (Figure A3).

19 | Birch stand age (as measured by the 75% quantile of individuals in each plot) decreased
20 | linearly along the elevational gradient (Figure 3b, slope $-0.208 \text{ years m}^{-1} \pm 0.027$, $F_{1,34} =$
21 | 60.81 $P < 0.001$) from around 60 years at the lower end of the forest, towards 0 (i.e. birch on
22 | average absent) above 1250 m.

23 | There was a breakpoint in the relationship between birch C stock and elevation ($P < 0.001$).
24 | The breakpoint was at 1139 m (1113 – 1165). Below this elevation, there was a significant
25 | decrease in birch C stock (slope $-2.14 \text{ g C m}^{-2} \text{ m}^{-1} \pm 0.20$) but the slope did not differ from 0
26 | above this elevation ($-0.04 \text{ g C m}^{-2} \text{ m}^{-1} \pm 0.21$). The birch C stock was significantly greater
27 | in the forest ($2702.6 \text{ g C m}^{-2} \pm 279.0$) than in the alpine zone ($18.2 \text{ g C m}^{-2} \pm 9.5$, $F_{1,34}=291.7$,
28 | $P<0.001$, Figure 3b). Birch C stock decreased with elevation within the forest ($F_{1,7} =10.38$,
29 | $P= 0.015$) but not within the alpine zone ($F_{1,25} = 1.33$, $P = 0.72$, Figure 3c). Birch C stock did
30 | not differ between the grazing treatments in the alpine zone ($F_{2,24} = 1.87$, $P = 0.18$, Figure 3c).

1 3.1.3 Total Vegetation

2 There was a breakpoint in the relationship between total vegetation C stock and elevation
3 within the treeline ecotone. The breakpoint was at 1136 m (1109 – 1164, $P < 0.001$, Figure
4 3d). Total vegetation C stock decreased with elevation below this point (slope $-22.2 \text{ g C m}^{-2} \text{ m}^{-1} \pm 2.1$)
5 but did not change with elevation above this point ($-1.064 \text{ g C m}^{-2} \text{ m}^{-1} \pm 2.229$).
6 Total vegetation C stock was significantly greater in the forest ($2785.3 \text{ g C m}^{-2} \pm 271.0$) than
7 in the alpine zone ($230.4 \text{ g m}^{-2} \pm 21.0$, $F_{1,34} = 267.5$, $P < 0.001$, Figure 3d). Total vegetation
8 biomass decreased with elevation within the forest zone ($F_{1,7} = 10.38$, $P = 0.015$, Figure 3d),
9 but did not vary with elevation ($F_{1,24} = 1.53$, $P = 0.23$), nor between grazing treatments in the
10 alpine zone ($F_{2,24} = 0.50$, $P = 0.79$, Figure 3d)

11 3.2 Soil:

12 3.2.1 Soil organic carbon concentration

13 Soil organic carbon concentration (SOC %) increased linearly within organic soil horizons
14 (based on all 36 plots) with elevation across the ecotone ($F_{1,34} = 42.09$ $P < 0.001$, Figure 4a),
15 and the slope did not vary with elevation ($P = 0.55$). SOC was significantly greater in alpine
16 organic horizons ($27.6\% \pm 1.2$) than in forest organic horizons ($13.3\% \pm 0.9$, $F_{1,34} = 46.01$, P
17 < 0.001 , Figure 4a). SOC of the organic horizon increased with elevation within the alpine
18 zone ($F_{1,25} = 6.87$, $P = 0.015$) but not within the forest zone ($F_{1,7} = 0.52$, $P = 0.49$, Figure 4a).
19 It also did not differ between grazing treatments in the alpine zone ($F_{2,24} = 1.03$, $P = 0.37$,
20 Figure 4a). Organic soil horizon depth did not vary with elevation, but was on average 1 cm
21 deeper in the alpine zone ($3.6 \text{ cm} \pm 0.26$) than in the forest zone ($2.6 \text{ cm} \pm 0.42$, $F_{1,34} = 4.24$, P
22 $= 0.047$) (Figure A4a).

23 Depth weighted % SOC of the mineral horizons (based on the 26 plots with a mineral sub-
24 soil) did not vary with elevation, although this was marginal ($F_{1,24} = 4.24$, $P = 0.051$, Figure
25 4b) and there was no change in the slope across the elevational gradient ($P = 0.86$). Mineral
26 SOC was however significantly greater in the alpine zone ($3.56\% \pm 0.28$) than in the forest
27 zone ($2.22\% \pm 0.26$, $F_{1,24} = 5.01$, $P = 0.03$, Figure 4b). Mineral soil SOC did not vary with
28 elevation within the forest zone ($F_{1,3} = 2.66$, $P = 0.20$), nor within the alpine zone ($F_{1,19} =$
29 0.43 , $P = 0.52$, Figure 4b) and did not vary between the grazing treatments within the alpine
30 zone ($F_{2,18} = 2.99$, $P = 0.08$, Figure 4b). Mineral soil horizon depth did not vary with
31 elevation, nor did it vary between the alpine and forest zones (Figure A4b).

3.2.2 C stocks

Carbon stocks of the organic horizons (based on all 36 plots) increased with elevation ($F_{1,34} = 8.46$, $P = 0.006$, Figure 4c) and there was no difference in the slope along the elevational gradient ($P = 0.21$). Organic horizon C stock was significantly lower in forest ($1.01 \text{ kg C m}^{-2} \pm 0.18$) than in alpine soils ($2.13 \text{ kg C m}^{-2} \pm 0.21$, $F_{1,34} = 8.33$, $P = 0.007$, Figure 4c). Organic soil C stock did not vary with elevation within either the forest ($F_{2,7} = 0.05$, $P = 0.82$) or the alpine part of the gradient ($F_{2,25} = 0.97$, $P = 0.33$), nor did it differ between grazing treatments in the alpine zone ($F_{2,24} = 0.84$, $P = 0.44$, Figure 4c).

Mineral soil C stock (based on the 26 plots with a mineral sub-soil) did not increase with elevation ($F_{1,26} = 1.17$, $P = 0.29$, Figure 4d) and there was no change in the slope along the elevational gradient ($P = 0.43$). Mineral soil C stock did not significantly differ between forest ($1.80 \text{ kg C m}^{-2} \pm 0.32$) and alpine soils ($2.25 \text{ kg C m}^{-2} \pm 0.23$, $F_{1,26} = 0.76$, $P = 0.38$, Figure 4d). Mineral soil C stock did not vary with elevation within either the forest ($F_{2,3} = 2.82$, $P = 0.19$) or the alpine ($F_{2,21} = 0.77$, $P = 0.39$) parts of the elevational gradient, nor did it differ between grazing treatments in the alpine zone ($F_{2,8} = 0.04$, $P = 0.95$, Figure 4d).

3.3 Ecosystem carbon stocks

The total ecosystem carbon stock (based on a total of 28 plots; 26 with a full mineral profile sampled plus 2 where the whole profile comprised organic horizons only) showed a discontinuous response to elevation across the treeline ecotone. The breakpoint was at 1139 m (1066 – 1212, $P = 0.04$, Figure 5). Below this elevation there was a decrease in ecosystem C stock with elevation ($-0.015 \text{ kg C m}^{-2} \text{ m}^{-1} \pm 0.007$) but above this elevation there was an increase in ecosystem C stock ($0.008 \text{ kg C m}^{-2} \text{ m}^{-1} \pm 0.006$). Ecosystem C stock was on average greater in the forest ($6.20 \text{ kg C m}^{-2} \pm 0.47$) than in the alpine zone ($4.69 \text{ kg C m}^{-2} \pm 0.25$, $F_{1,26} = 6.98$, $P = 0.014$). The ecosystem C stock did not vary with elevation within either the forest ($F_{1,3} = 0.26$, $P = 0.64$) or the alpine part of the gradient ($F_{1,21} = 2.30$, $P = 0.15$) nor did it vary with grazing treatment within the alpine zone ($F_{2,20} = 0.79$, $P = 0.67$).

4 Discussion

The treeline is a prominent ecotone separating the widely different ecosystems of boreal forest and alpine or arctic tundra. As many treelines are currently advancing in alpine regions around the world (Harsch et al., 2009), understanding the implications for C storage is critically important from a climate change perspective (Sjögersten and Wookey, 2009). In this

1 study we demonstrate that there is a discontinuum in the relationship between ecosystem
2 carbon stock and elevation which falls between the forest line and treeline. Below the treeline,
3 ecosystem carbon stock decreases with elevation (-15 g m^{-2} per m increase in elevation),
4 while above the treeline ecosystem C stock increases with elevation (8 g m^{-2} per m increase in
5 elevation). This discontinuum is driven by threshold changes in aboveground field-layer
6 vegetation and birch C stocks, and ~~a linear increase in organic soil C stock with~~
7 ~~elevation~~higher organic soil C stocks in alpine tundra than forests. This finding suggests that
8 for at least some treelines, the threshold in vegetation C stocks within the treeline ecotone can
9 outweigh the ~~continual increase in organic horizon C stocks with elevation~~higher organic
10 horizon soil C stocks in alpine vegetation, such that ecosystem C storage is at a trough
11 between the forest line and treeline. The implication of this is that ecosystem C stocks will not
12 respond linearly to forest expansion into tundra, and as we demonstrate by contrasting the
13 mean alpine and mean forest C stocks, comparative studies of tundra and forest ecosystems
14 miss some of the complexities of the overall elevational gradient.

15 Tundra and forest ecosystems appear to be alternate stable states, intermediate tree covers are
16 less common (Scheffer et al., 2012). These two stable states have different predominant C
17 stocks, in soil organic matter in the alpine system and in woody biomass in the forest system.
18 We find a transition between forest and alpine tundra ecosystem C stocks. Forest soils have
19 greater turnover rates in the topsoils than non-forest soils (see Mills et al., 2014) resulting in
20 lower accumulation of C in the O-horizon, but this is compensated for by an increase in
21 vegetation C storage with increasing biomass of trees. Thus, the breakpoint in ecosystem C
22 storage (falling between the forest line and treeline) represents a trough and an intermediate
23 state of C-storage. Here soil C storage is reduced by higher plant activity (driven by an
24 upslope shift in lowland plant species Speed et al., 2012), but aboveground vegetation C
25 stocks have not yet increased, as tree establishment is a slow process, and at this site limited
26 by herbivory as well as climate.

27 Although we found a linear increase in C stocks within the organic horizon across the treeline
28 ecotone, we did not see any trend with elevation within either the forest or alpine zones, thus
29 the linear increase across the whole gradient may be an artefact of the differences between
30 forest and alpine ecosystems. This highlights the importance of considering vegetation state in
31 addition to elevation when addressing C stocks across ecotones. As expected, and supported
32 by other studies (e.g. Kammer et al., 2009), we found that C stock in the organic horizons
33 increases smoothly with elevation across the treeline ecotone, while mMineral horizon C

1 stock was unrelated to elevation. In contrast, vegetation C stock showed a clearly
2 discontinuous decrease at the forest line. Treeline advance may therefore increase above-
3 ground C stocks but have a lower magnitude negative impact on below-ground C stocks. This
4 negative impact is likely to be due to the stimulation of decomposition of older organic
5 material by higher plant activity in tree dominated ecosystems as demonstrated at both
6 Fennoscandian (Hartley et al., 2012) and Alaskan treelines (Wilmking et al., 2006), as well as
7 higher degradability of C in forest soils than tundra soils (Kammer et al., 2009). One of the
8 processes linked to treeline advance is the decomposition of old organic soil carbon
9 associated with the colonisation of trees (Sjögersten and Wookey, 2009; Hartley et al., 2012).
10 Therefore, a factor that is likely to modulate the ecosystem carbon stock across the treeline
11 ecotone is the age of the tree stand. In our study the stand age decreased linearly with
12 elevation, as would be expected at an advancing treeline (see Hallinger et al., 2010). Thus in
13 our study elevation is partially confounded with birch stand age and a ¹⁴C approach
14 examining the age of respired C, as implemented by Hartley et al. (2012), would be required
15 to investigate the linkage between birch stand age and the age of the respired carbon.

16 Grazing was predicted to affect both above- and belowground C stocks, and the impact
17 expected to vary with herbivore density. In another Southern Scandes site (Setesdal Vesthei),
18 open tundra had a lower above-ground C store than the forest, and equal C stocks at similar
19 elevations, and the difference in ecosystem state was attributable to the long-term influence of
20 grazing livestock (over several decades Speed et al., 2014). In the current study, we found no
21 difference in any C stocks between the different grazing treatments. This is despite the fact
22 that birch establishment and growth is limited by livestock herbivory at this site (Speed et al.,
23 2011a; Speed et al., 2011b; Speed et al., 2010) and grassland soil C storage in organic horizons
24 storage peaks at low sheep densities (Martinsen et al., 2011). However, development of
25 carbon stocks is a slow process at such high elevations, and after 12 years of experimental
26 grazing the establishing birch are not yet at a size where they substantially contribute to C
27 stocks.

28 Under a warming climate, the treeline can be expected to rise (Körner and Paulsen, 2004).
29 Predictions for future climatic warming at our study site are between 2.5°C and 3.5°C
30 (depending on the scenario and model) by 2100 (Engen-Skaugen et al., 2008). Soil
31 temperature (growing season, 5 cm depth) at the study site decreases by 1.4°C per 100 m
32 elevation within the alpine zone (V. Martinsen, unpublished data). If the ecosystem tracked
33 climatic change we could then expect the trough of ecosystem C storage to shift around 200 m

1 upslope. High alpine ecosystems would thus have a decrease in ecosystem C storage, and low
2 alpine ecosystems would see an increase in ecosystem C storage. However, in practice, local
3 scale factors are likely to limit the rate of treeline rise (Danby, 2011), of which herbivory is
4 likely to be crucial within the current study region (Speed et al., 2010).

5 Using the observed pattern in C stocks across this dynamic treeline ecotone, we propose a
6 progression of ecosystem C stock responses to treeline advance in a warmer climate. 1. In the
7 short-term (around 5 years), temperature limited soil processes such as decomposition may
8 increase, reducing soil C stocks, while increased growth of vegetation (Arft et al., 1999) may
9 increase aboveground C stocks and hence litter inputs. At this stage the impact on ecosystem
10 C stocks will be minor. 2. At a longer time scale (decades) shifts in vegetation composition
11 (Speed et al., 2013) may occur, and increased tree recruitment and growth above the treeline
12 may become apparent (Speed et al., 2011b). Any vegetation changes are likely to lead to
13 changes in litter quality, increasing the decomposability of soil organic matter (Kammer et al.,
14 2009). At this stage, the ecosystem C storage is likely to reach a minimum. 3. In the longer
15 term (several decades to centuries) forest development will lead to development of
16 aboveground stocks partially compensating for decreases in soil C stocks (Speed et al., 2014).

17 Although ecosystem carbon stocks may respond to a climatically driven treeline advance, this
18 pattern may be buffered by herbivory. Previous studies have demonstrated how herbivores
19 can prevent climate driven advancement of trees and shrubs into tundra (Speed et al.,
20 2010; Olofsson et al., 2009), increases in biomass (Kaarlejärvi et al., 2013; Post and Pedersen,
21 2008) and upslope movement of plant communities (Speed et al., 2012). Thus future
22 ecosystem C stocks at and above the treeline will depend upon both future climatic conditions
23 and herbivore densities.

24 A number of drivers including climate and land-use changes are driving shifts in treelines
25 globally, and these are expected to have substantial influences feeding back to the global
26 climate due to the impact of the tundra to forest transition on carbon balance and albedo. We
27 have demonstrated that this ecotone transition is associated with a threshold change in
28 vegetation C stock along an elevational gradient, and higher organic horizon C stocks in the
29 alpine zone than the forest zone, but there is a linear and continuous increase in organic soil
30 carbon stock across the ecotone. Furthermore, there is some evidence that the total ecosystem
31 carbon stock reaches a trough between the forest line and treeline, increasing both at lower
32 forest elevations and at higher alpine elevations. Thus estimates and models of carbon storage

1 in relation to treeline shifts need to account for threshold relationships [associated with](#)
2 [ecosystem state transitions](#) across the treeline ecotone.

3 **Author contributions**

4 G.A, V.M. & J.S. performed fieldwork. J.S. processed and analysed vegetation samples and
5 data & V.M. processed and analysed soil samples and data. G.A. & A.M. initiated the grazing
6 experiment. All authors contributed to the design and implementation of the study. J.S. wrote
7 the manuscript with contribution from all co-authors.

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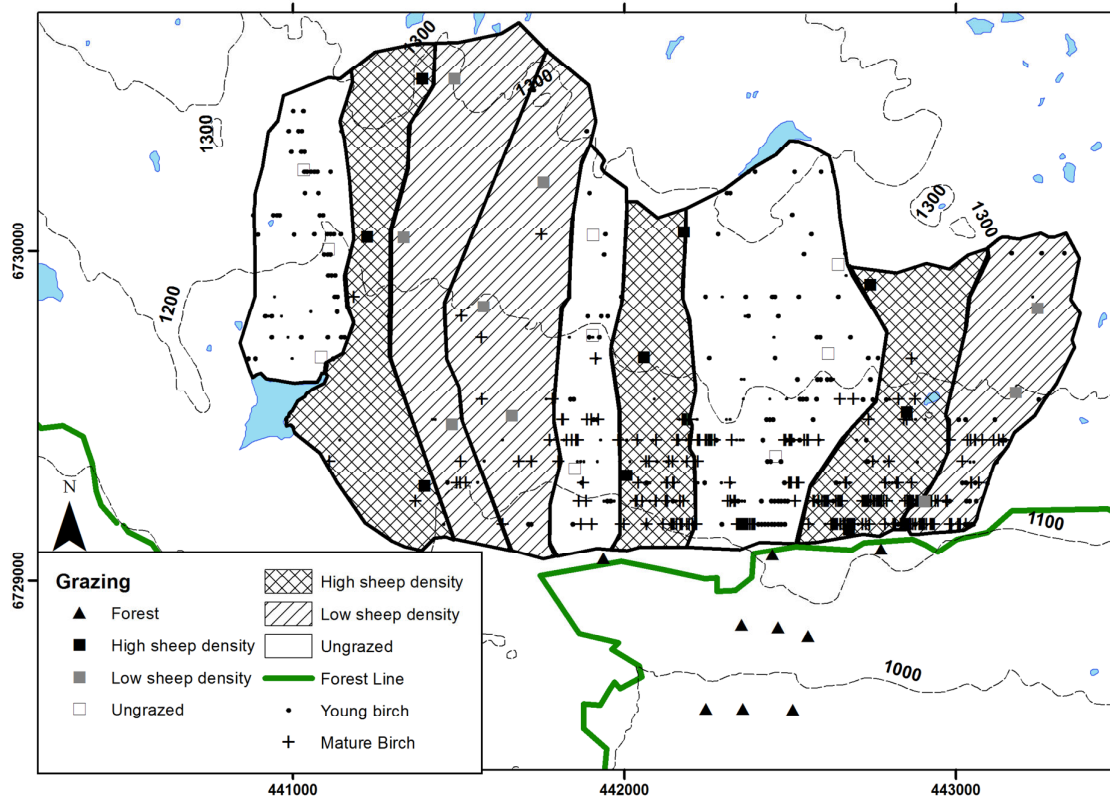
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- 44

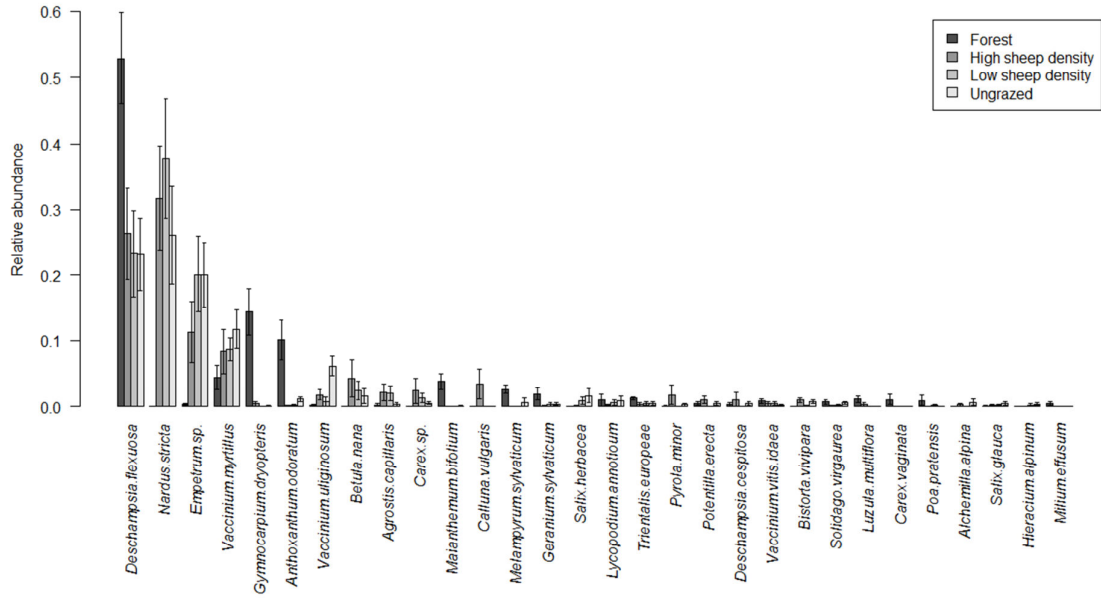


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2 Figure 1: Map of study area and grazing experiment showing the experimental enclosures and
 3 locations of sample plots. Observed young and mature birch individuals sampled along
 4 transects (Speed et al. 2010) are included for reference, and the thick solid line indicates the
 5 forest line. Universal Transverse Mercator grid zone 32V.

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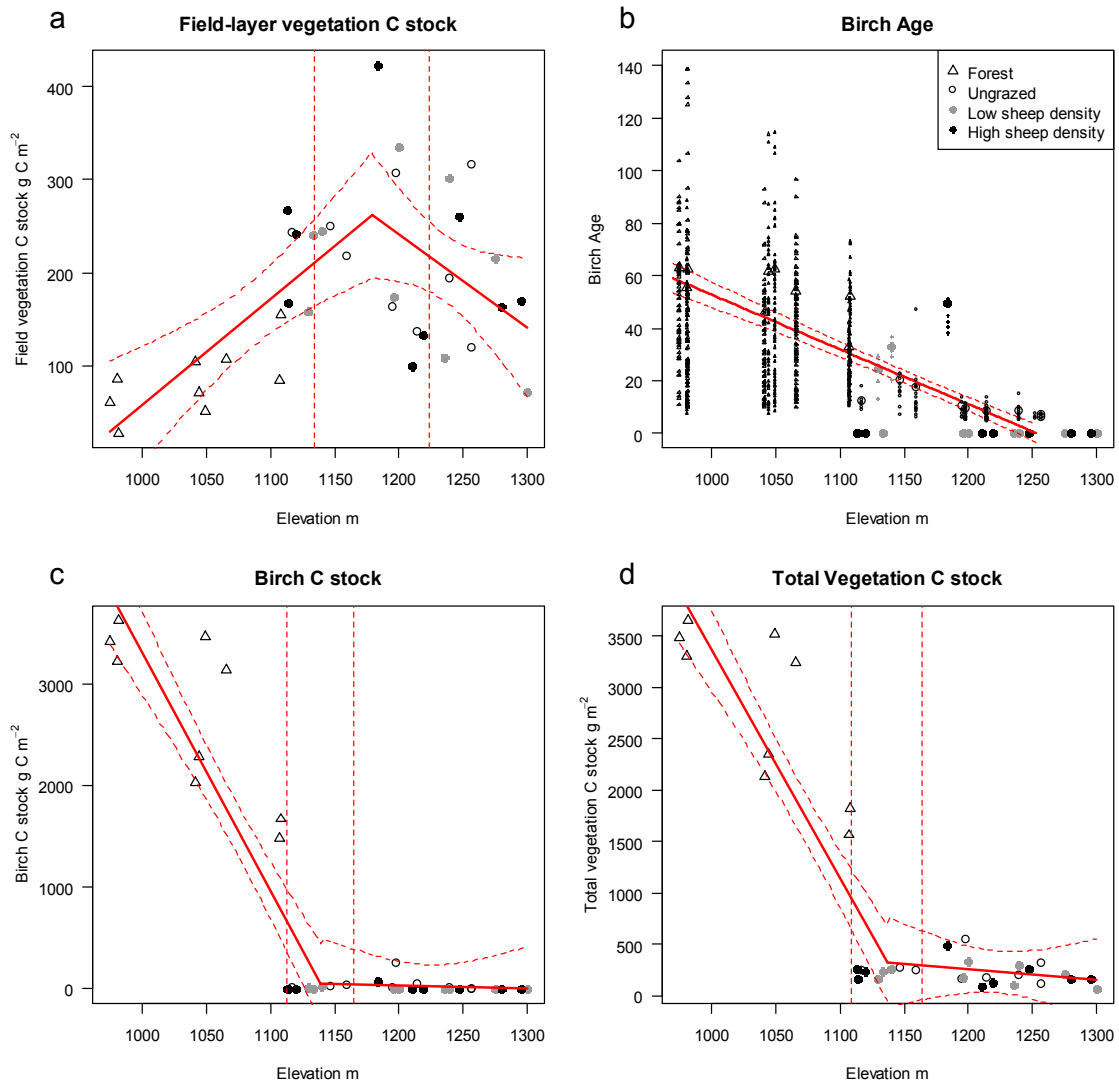


2

3 Figure 2: The relative abundance of different field-layer species in the forest zone and
4 different grazing treatments within the alpine zone. The mean number of intercepts per
5 quadrat is shown, along with standard errors. Only species that represent over 0.1% of the
6 total number of intercepts are shown.

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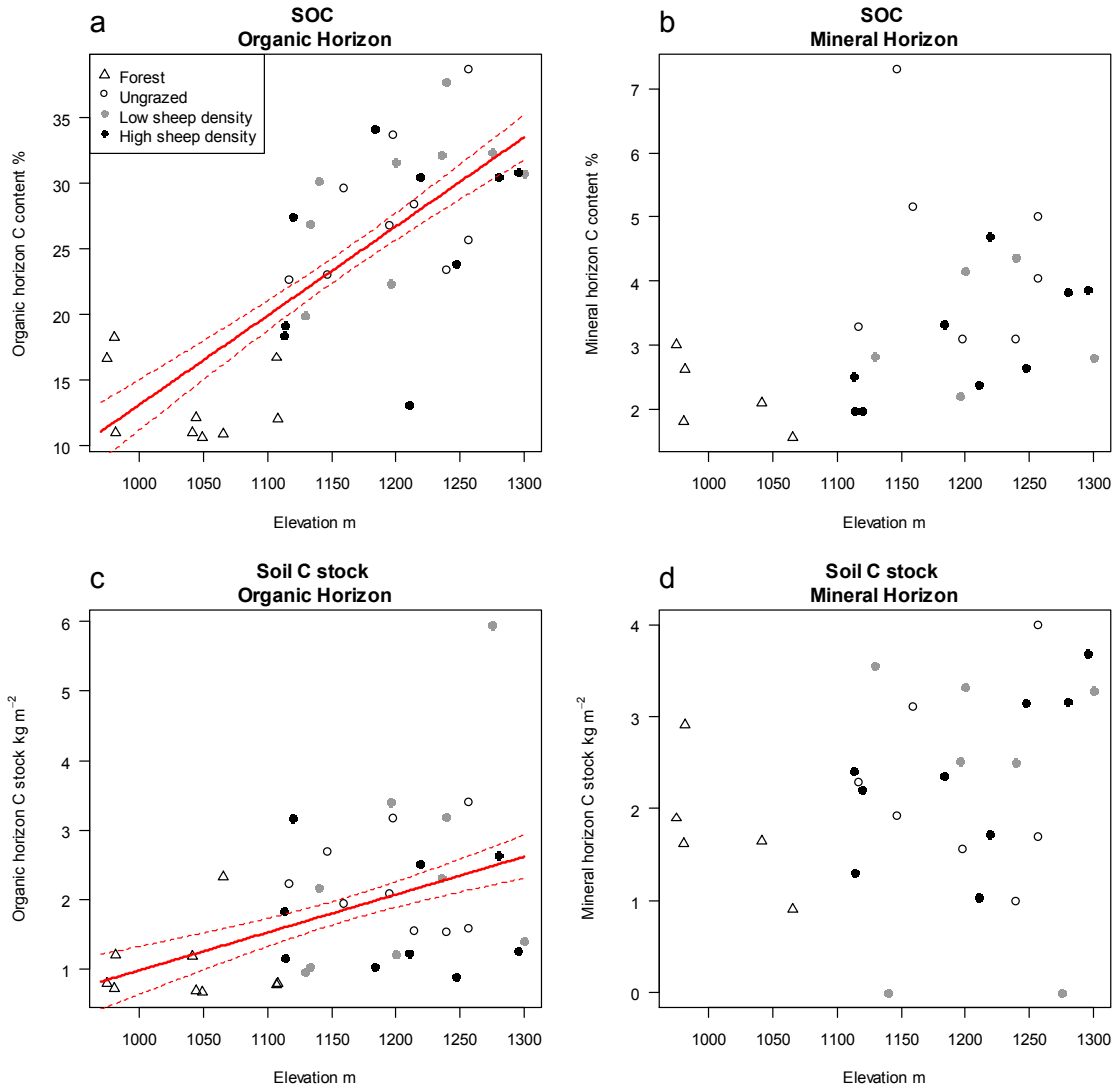
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3 Figure 3: (a) The C stock of the field-layer vegetation (b) the age of birch in each plot (c) the
4 C stock in the aboveground birch stands and (d) the total aboveground vegetation C stock all
5 plotted along the elevational gradient. Each plot is represented by a point, averaged across
6 two quadrats for the field-layer vegetation. Means and standard errors are shown by
7 regression lines. The vertical dashed lines show the 95% confidence intervals of the break
8 points in the segmented regression, where there was a significant difference in slope across
9 the elevational gradient ($P < 0.05$). In (b) the estimated age of each sampled tree is plotted
10 while the regression line is based on the 75% quantile value. The 75% quantile individual is
11 shown with a larger point within each plot.

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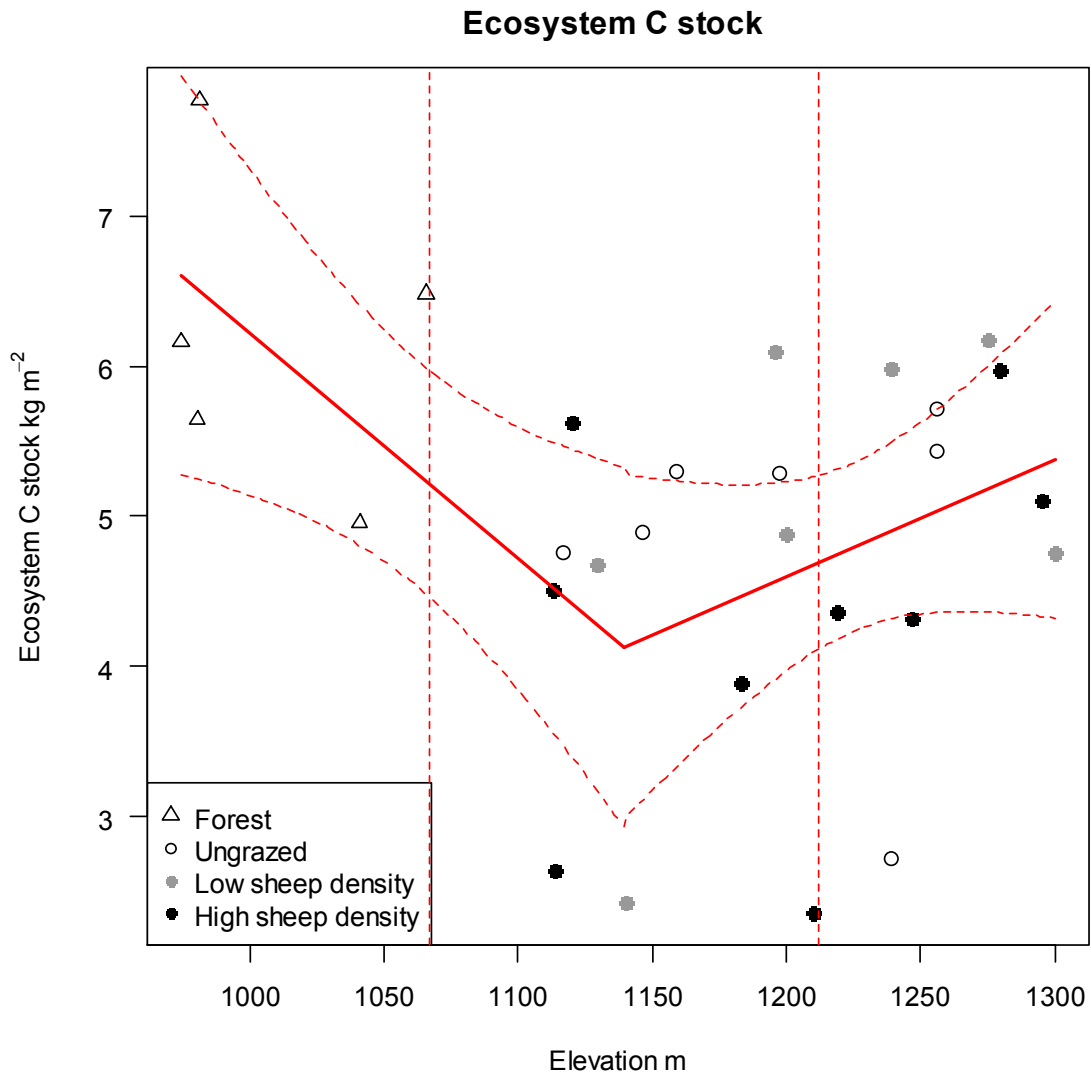


2

3 Figure 4: C content (%) of (a) organic soil horizons and (b) mineral soil horizons weighted by
4 the depth of sub-horizons along the elevational gradient and C stocks (kg m^{-2}) of the (c)
5 organic and (d) mineral soil horizons across the elevational gradient. Means and standard
6 errors are shown by regression lines where significant. Each point represents a plot, averaged
7 across multiple samples. Only plots for which a full soil profile was sampled are included in
8 the mineral soil figures. The depths of the organic and mineral soil horizons are shown in
9 Appendix A Figure A4.

10

1



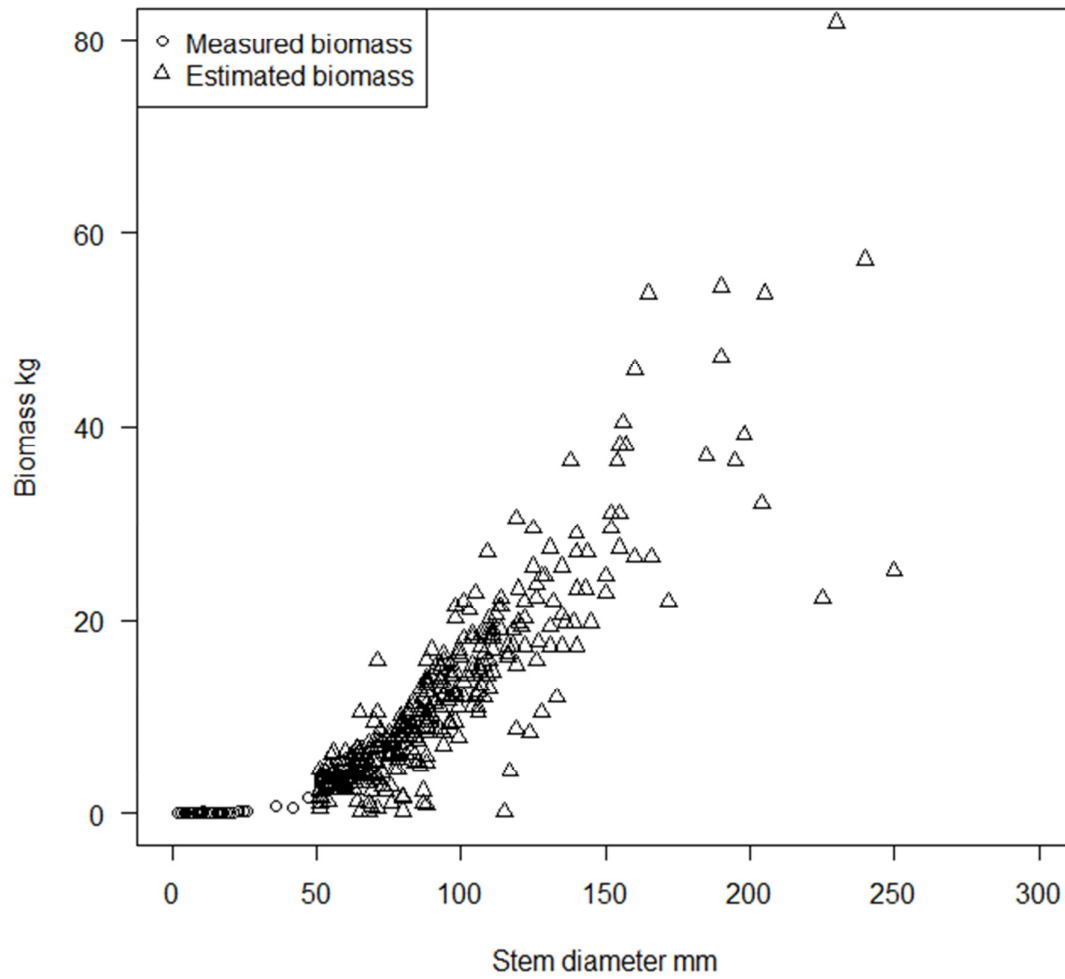
2

3 Figure 5: Ecosystem carbon, including field-layer vegetation, birch, organic and mineral soil
4 horizons. Means and standard errors are shown by regression lines. Each point represents a
5 plot. Only plots for which a full soil profile was sampled are included. The vertical dashed
6 lines show the 95% confidence intervals of the break points in the segmented regression,
7 where there was a significant difference in slope across the elevational gradient ($P < 0.05$).

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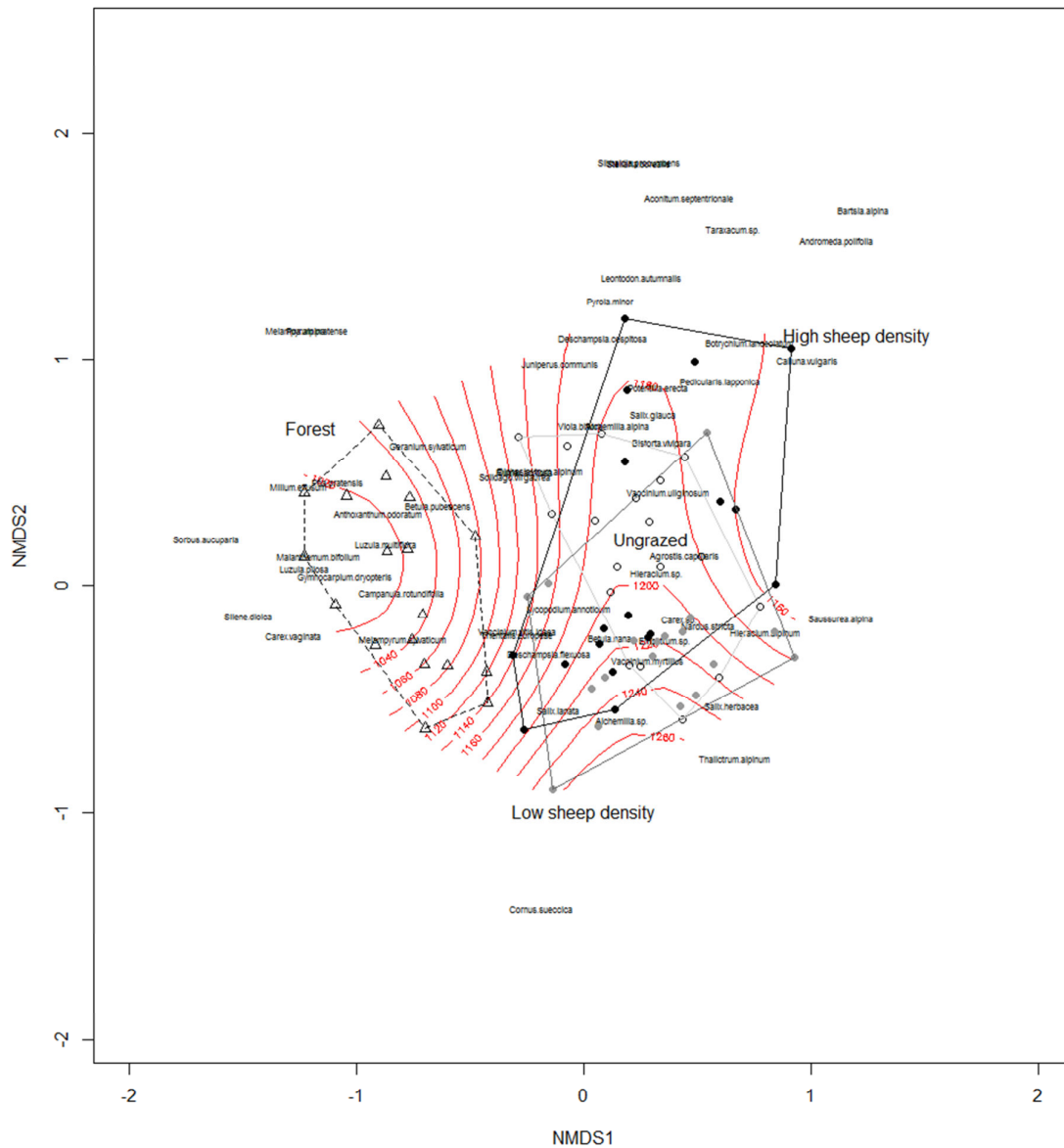
1 **Appendix A**



2

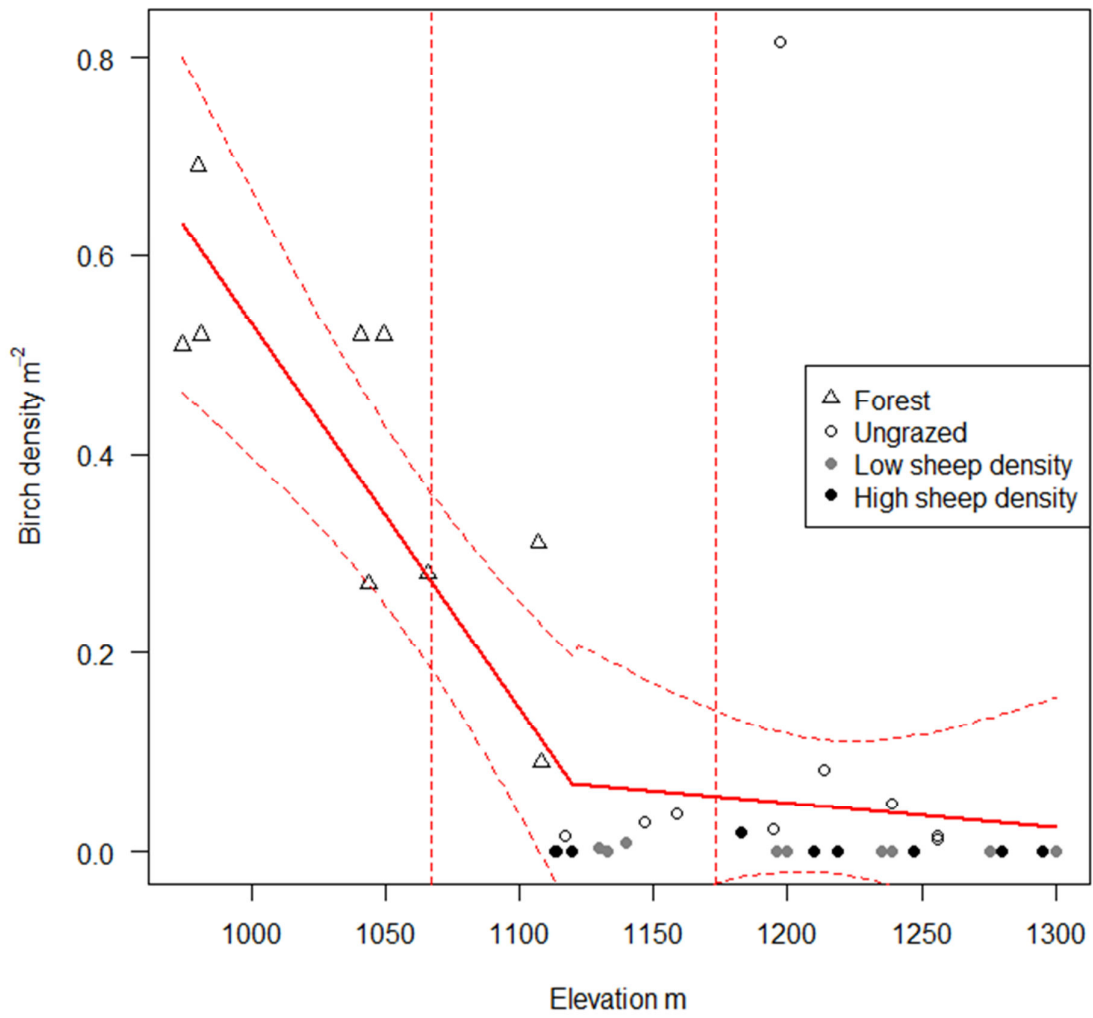
3 Figure A1: Biomass of birch stems directly measured (stem diameter <50 mm) or estimated
4 from published relationships (stem diameter > 50 mm) for mountain birch in alpine areas of
5 Southern Norway (Bollandsås et al., 2009)

6



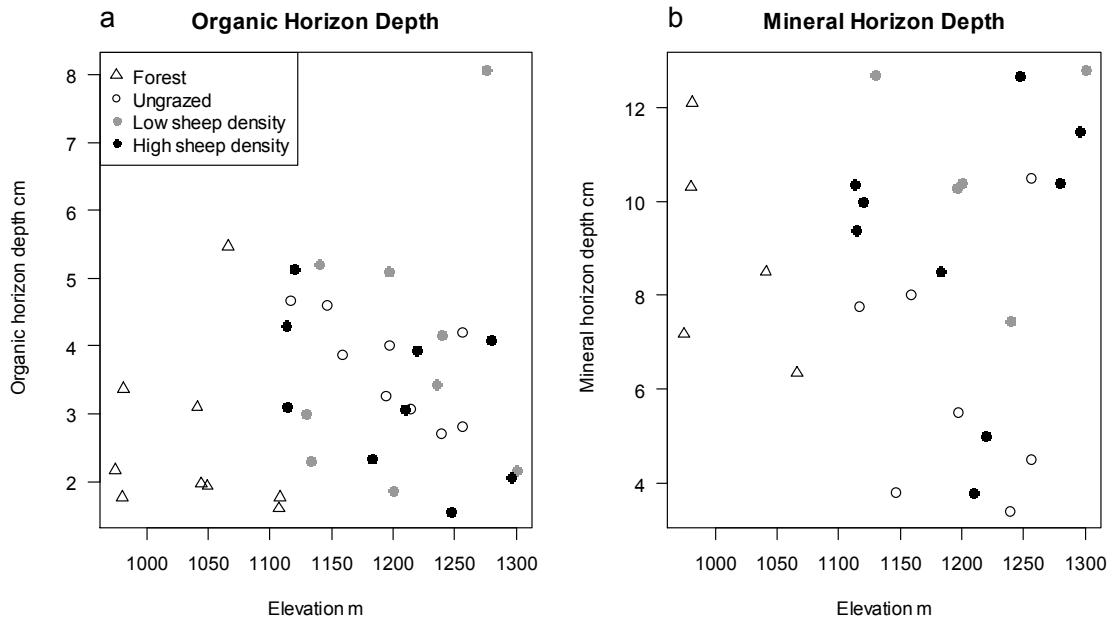
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2 Figure A2. Non-metric multi-dimensional scaling (NMDS) ordination of the field-layer
 3 vegetation across the elevational gradient. Each point represents a quadrat (2 per plot) plotted
 4 along the first and second axes. The red contour lines show thin plane splines fit for elevation
 5 across the quadrats. Convex hulls are drawn around the quadrats from the forest region and
 6 each grazing treatment and labelled appropriately. Species scores are also shown and labelled
 7 by species name.



1

2 Figure A3: The density of birch individuals across the forest and alpine plots. Means and
 3 standard errors are shown by regression lines. The vertical dashed lines show the 95%
 4 confidence intervals of the break points in the segmented regression



1

2 Figure A4: The depth of (a) organic and (b) mineral soil horizons along the elevational
 3 gradient. Each point represents a plot, averaged across 3 samples for the organic horizon and
 4 1-3 samples for the mineral horizon. Only plots for which a full soil profile was sampled are
 5 included in the mineral soil figures. Neither variable showed a significant relationship with
 6 elevation.