

Answer to Reviewers

We would like to thank the reviewers for the detailed and constructive reviews. We think that the review suggestions and the revisions as a consequence hereof have substantially improved sections of the discussion and results, as well as figures of the manuscript. We hope that the reviewers find the revised version of the manuscript more balanced in accordance with some of the critique points raised by reviewer#2.

Reviewer #1

This paper presents an original approach to disentangle rainfall effects to other factors such as the grazing on the vegetation dynamics, and particularly the greening trends of the Sahel. It aims to analyze if NDVI data are able to capture variations observed in in situ observations of vegetation caused by rainfall variability and differences in grazing regimes.

I noted that authors have taken into account most of the suggestions made during the first stage of the review process, so I have now just five comments:

1) For the definition of the SoS and EoS of the growing season, you used TIMESAT. You used the MODIS NDVI product, but it exists also the MODIS vegetation phenology product providing also estimates of the timing of vegetation phenology. So I wondered why you chosen TIMESAT rather than the existing product?

The MODIS SoS and EoS products are in 500 m resolution and created mainly from 8-day EVI. We want to keep to the 250 m resolution data as this is the only MODIS resolution that is comparable with the smaller ungrazed plots.

2) To found the best parameter for monitoring biomass, you test here each parameter separately. Why you don't test the combination of parameters?

This is a very valid point and multiple regression or PCA analysis is a natural next step. However we feel that this should be thoroughly treated in a separate study and perhaps include biomass sampling from more diverse locations and with less focus on grazing. For this study it is also a point of discussion to keep the NDVI metrics at level with the research gone into identifying the greening of the Sahel, i.e. using a single parameter.

3) You found for your site that the best parameter for monitoring biomass is the small integrated NDVI. Do you think that this will be the case for other Sahelian areas?

For annual herbaceous vegetation, yes, it is reasonable to assume that focusing on the growing season activity represented by the small integrated NDVI should be the best parameter.

4) P11, L26 change 15 and 30% by 15% and 30%

Corrected

5) Last comment, this paper benefits from an important database of field measurements, so it is quite "frustrating" that you used only 8 years of data. I understand the reasons why you don't use AVHRR data but however I wondered if you plan to test also

the possibility of using AVHRR dataset.

This is a good point. The time series from the AVHRR GIMMS3g pixel covering the test site are now included together with site averaged biomass and precipitation. The results of the GIMMS3g comparison with in situ measured biomass have been discussed in the text also, however pointing out that a strict comparison is inadequate due to the spatial scales mismatch.

Reviewer #2.

This paper addresses the impact of grazing intensity and enclosure on the relation between remote sensing data and standing biomass, considered a proxy of above ground net primary productivity (ANPP). It is based on a very valuable long-term dataset, described and analysed in Miehe et al 2010, and MODIS data. It proposes a new hypothesis concerning the Sahelian NDVI trend.

Three main results are shown:

- i) Differences are found between enclosures and grazed pastures in terms of biomass, plant species and species traits. It is shown that end-of-season standing biomass is higher for enclosures than for grazed plots, mostly for years with cumulated rainfall larger than average.
- ii) The best NDVI metric to correlate to end-of-season biomass, for both enclosure and grazed pasture, is the NDVI integrated over the growing season (iNDVI).
- iii) It is shown that the higher end-of-season standing biomass of enclosures does not translate in a higher iNDVI.

The discussion then suggests that increasing grazing intensity in the Sahel over the last decades may have contributed to the 'regreening' trend observed by satellites. The paper reads well. References are up to date and relevant. Figures are clear (but see below, some figures should be added). It is well suited for Biogeosciences. This study addresses two very important points: first, the impact of free or managed grazing on biomass and productivity, second its impact on NDVI and the possible role of changing grazing intensity on the NDVI trend detected by satellites in the Sahel. It potentially provides an important contribution to the 'Sahel greening' debate and deserves publication. A number of issues have to be addressed before it can be published though.

We would like to take the opportunity to thank the reviewer for the insightful, detailed and constructive comments and suggestions for an improved and more balanced manuscript. We agree with reviewer on more or less all issues raised and believe that the revised version of the manus has largely benefitted from the comments and suggestions.

General comment:

The paper is based on a very valuable dataset that was already analysed in Miehe et al. 2010. It is unnecessary to repeat what was done and found before. That should mostly go in the introduction, since it is known already (ex. difference in terms of biomass, effect of rainfall). The paper should focus on what is new: namely the link between field and remote sensing for enclosure and grazed land, and the possible differences. In the same vein, the different metrics have been compared before in the same area (M'Bow et al. 2013 among others). Again, the present paper should focus on what is new: the differences between enclosure and grazed land in terms of these metrics. Some trimming is necessary.

Thanks; the suggested trimming has been done as suggested. Most of the biomass/precipitation differences are now merely described under the site description with reference to Miehe 2010 and a few sentences is provided in the introduction as well. Reviewer 1 suggested that the AVHRR GIMMS pixel values are shown together with biomass and rainfall. While this is not strictly necessary for the grazing issue, it is a good suggestion and will satisfy some curiosity amongst the readers involved in validation of long term dataset. It is however pointed out that a strict comparison is inadequate due to the mismatch of spatial scales between

GIMMS pixel resolution and Widou ground observations. In summary, figure 2 has been kept and improved with the GIMMS3g iNDVI time series, while figure 3A & B are now left out.

The conclusion that changes in grazing intensity may play a role in the NDVI trend is largely unsubstantiated and largely extrapolated. This extrapolation ignores a number of interfering factors. I recommend to better substantiate the results and conclusions and to be more cautious before generalizing. Following are two issues to address so as to reach more solid conclusions.

Going through the manuscript again, we fully agree with this important comment and for that reason we have chosen to substantially change the way the linkage between grazing and the Sahel greening is presented in the discussion since this hypothesis was in fact not supported by the data presented; changes to the revised manus are explained further below.

1) Confusion between ANPP and end-of-season standing biomass. The measurements of end-of-season biomass is a proxy for ANPP. It is best suited for annual vegetation. However, as it is commented in other papers (by Miehe among many others), several factors impact the ANPP/end of season standing biomass: herbivory (grazing, insects etc ...), phenology and measurement dates and frequency. For most studies, these factors are considered negligible, since, for example, they do not change a lot between sites or years. In this study, the very objective is to address the impact of differences in grazing intensity and species composition, therefore these factors have to be discussed.

We thank the reviewer for this comment. We acknowledge that this is an important difference when the aim is to study differences in grazed and non-grazed biomass. We have chosen to use a consistent terminology throughout the manuscript by using the term “end of season standing biomass” (abbreviated as ESSB in the revised manus) since this reflects more accurately what has been measured at the site over the years studied. The difference between ANPP and ESSB has been explained more thoroughly in the “field data” section (ESSB being used as a proxy for ANPP) – furthermore the timing of ESSB sampling are discussed in the context of changes in species as pointed out by the reviewer (see below).

There are already some elements in the text (in the discussion section), which try to estimate ingestion by cattle. In my opinion, this deserves a full treatment, with a description of methods, data and results. I acknowledge it is a difficult task. However, it needs to be addressed to support the conclusions on the impact of grazing on ANPP (note also that ingestion is not the only effect. Trampling also occurs). Some words on the consequence of changing leaf lifespan on the date of peak biomass may be needed also. If exclosure plants have higher lifespan, does that mean that late september biomass data are closer to ANPP than for exclosure than for grazed pastures? Is there 2-year old plant matter (e.g. litter, straws) that should be removed from ANPP. All over the text, there is a need to distinguish ANPP from end-of-season aboveground biomass (do not use productivity or production when end-of-season biomass is the variable or provide corrected estimates of ANPP).

Again, we acknowledge the reviewer comment on this. However, the full data required to address and adjust for the difference between “end of season standing biomass” and ANPP do not exist for this site. Unfortunately estimates of trampling and ingestion do not exist in more detail than already put into the discussion. We have chosen to include the information about livestock ingestion in the section of field data with a reference to the publication by Miehe et al 2010 including this information. This information is used to show that the ESSB sampled in grazing exclosures are orders of magnitude higher than the value expected to be ingested by livestock from the current stocking densities. We believe that this is as far as we can go based on the available data, but the point that the difference between ESSB for grazed and non-grazed areas by far exceed the quantity expected to be ingested by livestock has been made more clear in abstract and conclusion.

2) More important: The possible impact of changes in grazing intensities on the NDVI Sahel trend is not really substantiated. The results nicely show that NDVI / biomass relationship is different for enclosure compared to two different grazing systems. One can conclude that there is no significant differences between the grazed plots (communal versus controlled), despite different grazing intensities. Then, if the (un-cultivated) Sahel as a whole is considered a 'grazed' area over the last decades, as opposed to a large enclosure in 1984 becoming progressively grazed, we can draw the opposite conclusion: 'Changing grazing intensity is NOT responsible for NDVI trends in the Sahel'.

This is a crucial point and again we fully acknowledge the critique by reviewer that the conclusion was in fact not supported by empirical evidence. We have discussed this amongst authors and a large amount of text has been changed in the revised manuscript (in particular the abstract, discussion and conclusion) to make it more clear what can concluded from the Widou data presented and what is merely hypotheses that can/should be studied further with the availability of appropriate datasets.

I recommend to revise the discussion/conclusion of the paper, based on more substantiated findings, and I recommend to have a much more balanced conclusion.

We have done so and hope that reviewer agrees that the revised manuscript appears more balanced in the sense that we do not claim anymore that the data presented here supports that grazing will cause a greener Sahel. What can be concluded from the data presented is nicely summarized by reviewer in the beginning of the reviewer comments and the discussion of the impact on EO greening of Widou/Sahelian rangelands from changes in grazing, is kept as discussion items not claimed to be supported by the ground observations.

Minor comments:

1) The influence of grazing intensity on NPP estimates found by other authors is not always in line with what is reported here (decrease of NPP due to grazing). For instance, see Hiernaux et al. 2009, J. Hydrology for similar ecosystems. Please moderate/change your statement.

Thank you for pointing this out. The statement has been modified to include points from this publication: Hiernaux, P., Mougin, E., Diarra, L., Soumaguel, N., Lavenu, F., Tracol, Y. and Diawara, M., 2009, Sahelian rangeland response to changes in rainfall over two decades in the Gourma region, Mali: Journal of Hydrology, v. 375, p. 114-127.

2) Enclosure is a very atypical situation in the Sahel. The nature of such enclosures deserve some comments. In my opinion, a cattle-free Sahel would not look like (firefree) enclosures. The difference between communal and controlled grazing makes probably more sense.

This is of course a very valid point, and it should already have been mentioned in the text. It has been added to the discussion.

3) The differences in biomass / iNDVI for the different plots is really interesting. A figure with ANPP / iNDVI would be nice (in addition to end-of-season-biomass/iNDVI), which estimates of grazing and phenology influences to correct ANPP as much as possible. I was wondering if the differences would still be significant when such corrections are accounted for. Also, it seems that one year is largely driving the correlation for

exclosure (do the results still hold without that year, in terms of different relationships between the plots, after correction for herbivory/trampling effects ?) Consider also including figures with average annual cycle of NDVI for the plots, as an illustration of the differences (or the absence of).

This is a valid point as well, but unfortunately apart from the estimation of ingestion already mentioned in the discussion, we have no solid data/methods available to convert standing crop into ANPP. Therefore the wording of the text has been changed to reflect this by writing "ESSB" instead.

4) Are there any data or literature results on the optical properties of the exclosure plots? That would feed a nice discussion. Differences in canopy architecture ?LAI ?leaf optical properties ? Nitrogen content?

Yes this is a very important point. Unfortunately we do not have detailed information on this and without this information there is no empirical support (in the Widou data presented) for the linkage between species composition and the impact on seasonally integrated NDVI. For this reason we have based a part of the discussion on the results reported by Mbow et al. (2013) where such data is available from the Dahra site.

5) A number of statements have to be down-toned or reformulated. For instance 'It is beyond doubts that the increasing population in Sahel and the widespread practice of pastoralism has caused a significant increase in livestock over the recent decades (Ickowicz et al. 2012)'. I would be much more cautious, as real figures for livestock are extremely local or often inaccurate, to say the least (except maybe in some places with sedentary cattle, like the Ferlo ?). Also, in agropastoral Sahel, increase in population is not always accompanied by increase in livestock, you may have less land available for grazing.

The last two sentences of the discussions are questionable also. In some places, NDVI trend has already been shown to correspond to herbaceous biomass and ANPP trends (long term field and satellite studies, papers by Dardel et al., among others for recent studies).

Yes agreed, the statements mentioned have been toned down or changed (see also answer to 2. major point of review). The study of Dardel 2014 was already included but has been additionally used in the text.

Beside, I don't see why the Olsen et al. paper is in line with the paper on trees in the Sahel. In region where tree cover is less than 5%, iNDVI has not been shown to depend on tree cover changes (neither changes in magnitude, nor in specific composition), as far as I know. Please explain. The term 'improvement' needs to be defined in a scientific way, if to be used in a discussion like this (Biodiversity? Productivity? People income? Livestock ?).

Ok this part has now been removed from the paper, but as reviewer is aware (we believe ☺) new research from Brandt et al. (some published – some in prep) is looking into these interesting issues in relation to the greening debate.

6) A technical question, of minor importance. There may be a pixel-size issue, when large view angle MODIS data are plotted against field data. The authors may want to consider only near-nadir data. That may even improve their results. Perhaps this has been looked at already.

Yes, this is a question that is/have been being studied amongst the co-authors and reviewer is right that the angular effect is of importance when calculating seasonal metrics from MODIS index data in the Sahel. In this study we have chosen to be on the "safe side" by using fitting algorithms based on the MODIS standard product that does already to some degree incorporate selection of low view angles in the compositing scheme (the one observation with the lowest view angle out of the two highest NDVI observations in a given

composite period is selected). The MODIS BRDF corrected product could as well have been selected for the current study – however it is expected that the curve-fitting performed when using the TIMESAT software for calculating the NDVI seasonal metrics does mitigate this issue of off-nadir measurements.

For these reasons, I recommend 'major revisions' to the manuscript.

1 | Does **Vegetation Parameterization from EO NDVI Data-Seasonal Metrics** Capture Variations in Species
2 Composition and Biomass due to Grazing in Semi-Arid Grassland Savanna?

3

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23

24 **Abstract**

25 Most regional scale studies of vegetation in the Sahel have been based on Earth Observation (EO) imagery,
26 due to the limited number of sites providing continuous and long term *in situ* meteorological and vegetation
27 measurements. From long time series of coarse resolution normalized difference vegetation index (NDVI)
28 data a greening of the Sahel since the 1980s has been identified. ~~The greening appears highly related to a~~
29 ~~general increase in rainfall following the severe droughts of the 1970s and 80s. In the same time period the~~
30 ~~region has experienced a drastic population boom and a resulting increase in numbers of livestock.~~
31 However, it is poorly understood how commonly applied remote sensing techniques reflect the ~~extensive~~
32 influence of ~~extensive~~ grazing (~~and changes in grazing pressure~~) on natural rangeland vegetation. This paper
33 analyses time series of ~~parameterized~~ Moderate Resolution Imaging Spectroradiometer (MODIS) NDVI ~~data-~~
34 ~~metrics~~ by comparison with data from the Widou_Thiengoly test site in northern Senegal. Field data include
35 grazing intensity, ~~end of season standing biomass (ESSB)~~ ~~vegetation productivity~~, and species composition
36 from sizeable areas suitable for comparison with moderate - coarse resolution satellite imagery. It is
37 ~~established shown~~ that sampling plots excluded from grazing have ~~a higher Net Primary Production (NPP)~~
38 ~~and~~ different species composition ~~characterized by a longer growth cycle~~ as compared to plots under
39 controlled grazing or communal grazing. ~~Also substantially higher ESSB is observed for grazing exclosures as~~
40 ~~compared to grazed areas, exceeding substantially the amount of biomass expected to be ingested by~~
41 ~~livestock for this area.~~ The seasonal ~~small~~ integrated NDVI (~~NDVI small integral; capturing only the signal~~
42 ~~inherent to the growing season recurrent vegetation~~), derived using absolute thresholds to estimate start
43 and end of growing seasons, is identified as the ~~parameter metric~~ most strongly related to ~~vegetation-~~
44 ~~productivity~~ ~~ESSB~~ for all grazing regimes. However plot-pixel comparisons demonstrate~~s~~ how the
45 NDVI/biomass ~~ESSB~~ relationship changes due to grazing induced variation in annual plant species
46 composition and the NDVI values for grazed plots are only slightly lower than the values observed for the
47 ungrazed plots. Hence, average ~~biomass~~ ~~ESSB~~ in ungrazed plots since 2000 was 0.93 tons/hectare,
48 compared to 0.51 tons/hectare for plots subjected to controlled grazing and 0.49 tons/hectare for

49 communally grazed plots, but the average integrated NDVI values for the same period were 1.56, 1.49, and
50 1.45 for ungrazed, controlled and communal respectively, i.e. a much smaller difference. This indicates that
51 a grazing induced development towards less standing crop biomass ESSB and shorter cycled annual plants
52 and with limited reduced ability to turn additional water in wet years into biomass is not adequately
53 captured by seasonal NDVI metrics.

54 |

55 | **Keywords:** biomass, Senegal, *in situ* measurements, MODIS, satellite data, Senegal, MODIS

56

57 1. *Introduction*

58 The need for long time series of data on a regional scale to monitor vegetation development in the semi-
59 arid Sahel is crucial, since this region has been characterized by high variability in rainfall (Nicholson et al.,
60 1990) combined with an increasing population (Ickowicz et al., 2012) over the last decades. Much research
61 on resource availability and land degradation have been based on time series of medium and low spatial
62 resolution Earth observation (EO) data spurred by the limited amount of ground based long-term data for
63 this region. Long term EO datasets of vegetation indices (VI's) derived from satellite based optical sensors
64 have been used over many years to estimate ground based vegetation parameters metrics such as
65 composition, biomass and Sahelian vegetation resource availability ([Tucker, 1978; Tucker, 1979; Anyamba](#)
66 [and Tucker, 2005; Herrmann et al., 2005; Olsson et al., 2005; Seaquist et al., 2006; Olsson et al., 2005;](#)
67 [Heumann et al., 2007; Fensholt and Rasmussen, 2011; Herrmann et al., 2005; Fensholt and Proud, 2012;](#)
68 [Fensholt and Rasmussen, 2011; Anyamba and Tucker, 2005; Tucker, 1978; Tucker, 1979](#)). Especially for
69 herbaceous vegetation dominated by annual plant species has been found to have a strong relations
70 between *in situ* measured biophysical parameters biomass (end of season standing biomass (ESSB) is often
71 used as a proxy for aboveground net primary production (ANPP)) and commonly used vegetation sensitive

72 indices such as the normalized difference vegetation index (NDVI) [has been found](#) (Tucker et al., 1985;
73 Prince, 1991a; Prince, 1991b; Dardel et al., 2014; Prince, 1991b; Prince, 1991a; Tucker et al., 1985). For an
74 adequate interpretation of vegetation [evolution](#)[change studies](#), the [heavy reliance](#)[dependency](#) on remote
75 sensing for large scale and long term studies makes it important to have a clear understanding of how
76 vegetation properties are derived from the often coarse [spatial](#) resolution data and the potential
77 implications of working with EO based proxies for vegetation productivity.

78 |

79 Numerous studies have used vegetation indices (in particular the NDVI) [integrated over the growing season-](#)
80 [\(Budde et al., 2004; Anyamba and Tucker, 2005; Fensholt et al., 2009\)\)](#), as a proxy for vegetation
81 productivity in semi-arid environments [\(Tucker et al., 1986; Prince, 1991a; Prince, 1991b; Rasmussen,](#)
82 [1998; Prince, 1991a; Milich and Weiss, 2000; Anyamba and Tucker, 2005; Rasmussen, 1998; Fensholt et al.,](#)
83 [2009\)](#). [Inter-comparison of NDVI trends in Sahel between products from AVHRR, MODIS terra, and SPOT](#)
84 [VGT has been conducted \(Fensholt et al., 2009\)](#). While [it was found that trend patterns were not identical](#)
85 [between sensors, annual average NDVI from all three products compared reasonably well to *in situ* NDVI](#)
86 [measurements from the Dahra field site in northern Senegal from 2002 to 2007. Using MODIS NDVI as](#)
87 [reference, the coarse resolution GIMMS AVHRR data was found to be well suited for long term vegetation](#)
88 [studies in Sahel-Sudanian areas receiving less than 1000 mm/year of precipitation.](#)

89 It is well documented that the relationship between integrated NDVI and herbaceous Aboveground Net
90 Primary Production (ANPP) is empirically based and varies as a function vegetation structure (see e.g.
91 [\(Wessels et al., 2006; Prince and Goward, 1995; Prince et al., 1995; Prince and Goward, 1996; Goetz et al.,](#)
92 [1999; Wessels et al., 2006\)\)](#). For instance early studies by [\(Tucker et al., 1985\)](#) and [\(Prince, 1991a\)](#) found a
93 moderate linear relationship between the satellite observations of VI's and the seasonal primary production
94 based on NOAA AVHRR data for vegetation monitoring in the Sahel. [For areas of pronounced seasonality,](#)
95 [like the semi-arid Sahel, it is generally accepted that the most accurate EO-based estimates of annual ANPP](#)

is obtained if the satellite signal derived from the dry season is omitted and preferably information derived only from the growing season is considered (Mbow et al., 2013). However, this can be done in multiple ways and several studies focusing on the Sahelian region have extracted and used different EO-based characterisations of the annual vegetation growth (Eklundh and Olsson, 2003; Olsson et al., 2005; Heumann et al., 2007; Fensholt and Proud, 2012; Fensholt et al., 2013; Heumann et al., 2007; Olsson et al., 2005). At current state there is no consensus about which NDVI metric should be used as a proxy for annual ANPP, and vegetation metrics related to NDVI amplitude, length of growing season, or different ways of calculating the growing season integral, have all been suggested -(de Jong et al. 2011; Eklundh and Olsson, 2003; Olsson et al., 2005; Heumann et al., 2007; de Jong et al., 2011; Fensholt and Proud, 2012; Fensholt et al., 2013; Heumann et al. 2007; Olsson et al. 2005).

Inter comparison of NDVI trends in Sahel between products from AVHRR, MODIS terra, and SPOT VGT has been conducted (Fensholt et al., 2009). While it was found that trend patterns were not identical between sensors, annual average NDVI from all three products compared reasonably well to in situ NDVI measurements from the Dahra field site in northern Senegal from 2002 to 2007. Using MODIS NDVI as reference, the coarse resolution GIMMS AVHRR data was found to be well suited for long term vegetation studies in Sahel-Sudanian areas receiving less than 1000 mm/year of precipitation.

For areas of pronounced seasonality, like the semi arid Sahel, it is generally accepted that the most accurate EO-based estimates of annual ANPP is obtained if the satellite signal derived from the dry season is omitted and preferably information derived only from the growing season is considered (Mbow et al., 2013). Several studies focusing on the Sahelian region have extracted and used different EO-based characterisations of the annual vegetation growth (Eklundh and Olsson, 2003; Fensholt and Proud, 2012; Fensholt et al., 2013; Heumann et al., 2007; Olsson et al., 2005). The type of time series parameterization functioning as the best proxy for annual ANPP is however not agreed upon and vegetation measurements related to NDVI amplitude, length of growing season, or different ways of calculating the growing season integral, have all

120 been suggested. Precipitation/vegetation interaction has been studied using NDVI (Nicholson et al., 1990; Herrmann et al., 2005; Huber et al., 2011) and NDVI has also been used to investigate inter-annual carry-over effects (Martiny et al., 2005; Camberlin et al., 2007; Philippon et al., 2007; Philippon et al., 2009; Martiny et al., 2005; Camberlin et al., 2007) and for disentanglement of climate and human influence (Wessels et al., 2007; Seaquist et al., 2009; Wessels et al., 2007). Although water is the primary limiting factor for vegetation growth in the Sahel (Eagleson, 1982) and precipitation amounts and patterns have been found to influence both NPP and species composition of grasslands (Knapp et al., 2002; Wezel and Schlecht, 2004), the effects of grazing are also acknowledged to have large impacts on herbaceous vegetation in terms of both NPP, standing crop ESSB, and species composition (Breman and Cisse, 1977; Hiernaux and Turner, 1996; Hiernaux, 1998; Hiernaux and Turner, 1996; Miehe et al., 2010). Recent research based on *in situ* measurements of NDVI suggests that inter-annual variation of species composition may have a large influence on the relationship between NPP and NDVI (Mbow et al., 2013). As managed grazing and pastoralism is the dominant livelihood strategy in the Sahel and in drylands in general (Asner et al., 2004), it is important to analyze and understand the impact from grazing on EO-based vegetation indices.

134 This could lead to an improved basis for interpretations of vegetation trends as reported in the recent
135 greening of the Sahel (Olsson et al., 2005; Herrmann et al., 2005; Fuller, 1998; Fensholt and Rasmussen,
136 2011).

137 Much research has studied the influence of both climatic and anthropogenic factors on vegetation evolution
138 in the Sahel. While this research includes vegetation studies based on field and experimental data (Elberse
139 and Breman, 1989; Elberse and Breman, 1990; Hiernaux and Turner, 1996; Hiernaux, 1998; Hiernaux et al.,
140 1999; Oba et al., 2000; Wezel and Schlecht, 2004; Hiernaux and Turner, 1996; Hiernaux, 1998; Miehe et al.,
141 2010; Hiernaux et al., 1999; Elberse and Breman, 1989; Elberse and Breman, 1990; Oba et al., 2000; Dardel
142 et al., 2014), as well as remote sensing data (Fuller, 1998; Anyamba et al., 2005; Herrmann et al., 2005;
143 Olsson et al., 2005; Herrmann et al., 2005; Fuller, 1998; Fensholt et al., 2009; Fensholt and Rasmussen,
144 2011; Anyamba et al., 2005; Fensholt et al., 2009), the recent greening of the Sahel found from statistically

145 significant trends in time series of AVHRR GIMMS data ([Eklundh and Olsson, 2003](#); Herrmann et al., 2005;
146 Olsson et al., 2005; [Eklundh and Olsson, 2003](#)) has evoked questions as to what processes on the ground
147 actually reflects these changes ([Begue et al., 2011](#); Herrmann and Tappan, 2013; [Begue et al., 2011](#)). Inter-
148 comparison of NDVI trends in Sahel between products from AVHRR, MODIS terra, and SPOT VGT has been
149 conducted (Fensholt et al., 2009). While it was found that trend patterns were not identical between
150 sensors, annual average NDVI from all three products compared reasonably well to in situ NDVI
151 measurements from the Dahra field site in northern Senegal from 2002 to 2007. Using MODIS NDVI as
152 reference, the coarse resolution GIMMS AVHRR data was found to be well suited for long term vegetation
153 studies in Sahel-Sudanian areas receiving less than 1000 mm/year of precipitation.

154 For areas of pronounced seasonality, like the semi-arid Sahel, it is generally accepted that the most accurate
155 EO-based estimates of annual ANPP is obtained if the satellite signal derived from the dry season is omitted
156 and preferably information derived only from the growing season is considered (Mbow et al., 2013). Several
157 studies focusing on the Sahelian region have extracted and used different EO-based characterisations of the
158 annual vegetation growth (Eklundh and Olsson, 2003; Fensholt and Proud, 2012; Fensholt et al., 2013;
159 Heumann et al., 2007; Olsson et al., 2005). The type of time series parameterization functioning as the best
160 proxy for annual ANPP is however not agreed upon and vegetation measurements related to NDVI
161 amplitude, length of growing season, or different ways of calculating the growing season integral, have all
162 been suggested.

163 The objective of this study is to examine whether appropriate time series parameterization of the EO-based
164 vegetation index NDVI can adequately capture variations in *in situ* measured vegetation abundance and
165 species composition, caused by inter-annual rainfall variability and differences in grazing regimes under
166 identical soil and meteorological conditions.

167 In general there is a scarcity of *in situ* measurements suitable for comparison with remote sensing images in
168 the semi-arid grassland savanna. Data gathered under controlled stocking conditions, over long time spans,

169 and covering large enough areas to effectively measure the effects of different grazing intensities are scarce.
170 We accessed and examined 27 years of standing herbaceous biomass and several years of species
171 composition measurements from multiple sampling plots at the WidouThiengoly test site in Northern
172 Senegal. For this purpose the data from Widou_Thiengoly [test site in Northern Senegal](#) are unique and offers
173 the possibility for comparing plot measurements with pixel derived values from sensors at medium spatial
174 resolution. We accessed and examined 27 years of [standing](#) herbaceous [biomassESSB](#) and several years of
175 species composition measurements from multiple sampling plots at [the](#) Widou_Thiengoly [test site in](#)
176 [Northern Senegal](#). We compared and analyzed the field measurements with the growing season vegetation
177 [parameters-metrics](#) derived from the Moderate Resolution Imaging Spectroradiometer (MODIS) instrument.

178 The [specific](#) objective of this study is to examine whether appropriate time series parameterization of the
179 EO-based vegetation index NDVI can adequately capture variations in *in situ* measured vegetation
180 abundance and species composition, caused by inter-annual rainfall variability and differences in grazing
181 regimes under identical soil and meteorological conditions.

182

183 2. *Site*

184 The Widou_Thiengoly test site, also described in (Miehe et al., 2010), is located in the Ferlo region in
185 northern Senegal (15°59'N, 15°19'W). The fenced paddock area measures 7.6 km from its northernmost to
186 southernmost point and is 2.1 km wide (Figure 1). The site is located south of the Widou_Thiengoly deep
187 well, with the northern tip just a few hundred meters from the well and village areas. The soil is Cambic_
188 Arenosol according to FAO soil map (FAO IUSS Working Group WRB, 2006; FAO, 2009) and the average
189 annual precipitation in the study period of 1981 to 2007 was 277 mm (*in situ* gauge data), with 1983 being
190 the driest (105 mm) and 2005 the wettest year (478 mm) (rainfall variability of 28%). The vegetation
191 consists of tree and shrub savannas dominated by *Sclerocarya birrea*, *Balanites aegyptiaca*, *Acacia* spp. and

192 | *Boscia senegalensis*, with the woody strata covering on average <5%. The herbaceous layer is almost
193 exclusively constituted by annuals and usually dominated by grasses, with strongly varying proportions of
194 forbs, depending on micro-habitat, rainfall regime, grazing intensity and fire events. Pastoralism is the main
195 land use. The relationship between precipitation and standing crop biomassESSB is examined in {Miehe et
196 al. (2010), and differences in standing cropESSB for the grazing regimes was_were identified, despite the
197 plots receiving similar precipitation. The ungrazed plots generally have more standing cropESSB than the
198 communal grazed plots, whereas plots subjected to controlled grazing is in between.

199

200 **Figure 1:** Site overview including sampling plots, rain gauges, and grid representing 250m MODIS pixels. Plot
201 labels include plot numbers and grazing intensity (A = no grazing, B, C or D = controlled grazing, E =
202 communal/free grazing). The high resolution background imagery was printed from Google Earth (@2014
203 Google - Cnes/Spot Image, Digital Globe).

204

205 3. **Data**

206

207 3.1 *Field data*

208 Field data were collected in the framework of a grazing trial set up in 1981 by Senegalo-German
209 cooperation. Daily rainfall was measured in at two to six rain gauges placed along the transect between the
210 village and the southern end of the paddock area (Figure 1). Twenty-five vegetation sampling plots of 1
211 hectare were subjected to three different grazing regimes. Grazing exclosure (no grazing) is represented by
212 5 plots (labeled A), controlled grazing by 14 plots (subdivided into B, C and D according to local gradients of
213 grazing intensity – see {Miehe et al. (2010)), and the free communal pasture by 5 plots outside the paddock

214 area (labeled E). Communal use is considered to represent the heaviest grazing intensity. From stocking
215 densities in the experimental area, it has been estimated that 0.05 t/ha biomass are consumed on average
216 in the controlled paddock area and 0.1 t on the communal pasture during the three months of the rainy
217 season, assuming a consumption around 6 kg dry matter per day per livestock unit (Miehe et al., 2010).

218 All plots have been consistently sampled for above ground biomass at the end of growing seasons (ESSB) by
219 clipping the herb layer on 25 subplots with 1 m² per plot (for details see Miehe et al., (2010)). Annual plant
220 species composition has been registered for all plots since 1992 by line transect sampling according to
221 (Daget & Poissonet, 1971). Every species touching a metal pin placed every meter along a SE-NW-oriented
222 diagonal of 100 meters across the plots was counted once. The number of touches of each species across all
223 100 collection points was taken as a measure of the relative frequency of the species.

224 3.2 Satellite data

225 For this study we ~~are interested in apply~~ inga data product commonly used for studies of vegetation
226 ~~evolution on larger scales and over time, more specifically the Normalized Difference Vegetation Index~~
227 ~~{NDVI}.~~ NDVI data (MOD13Q1 product) from the MODIS instrument (MOD13Q1 product), available from
228 2000 and onwards on 16-day temporal resolution, ~~has and~~ a spatial resolution of 250 meters. The MOD13Q1
229 data product is commonly used for studies of vegetation changes/trends on larger scales and the spatial
230 resolution allows This is high enough for comparison with the 1 Ha sampling plots, while still providing a
231 temporal resolution sufficient for accurate inter and intra seasonal vegetation monitoring ~~growing season~~
232 ~~evolution~~ (Huete et al., 2002). Data from the National Oceanic and Atmospheric Administration (NOAA)
233 Advanced Very High Resolution Radiometer (AVHRR) instruments provides a much longer time series
234 (starting in 1981) well aligned with the in situ data used here. However, available datasets (e.g. the
235 GIMMS3g NDVI (Tucker et al., 2005) and LTDR (Pedelty et al., 2007)) are produced from reduced resolution
236 Global Area Coverage (GAC) AVHRR data rendering the spatial resolution ~~too coarse in adequate~~ (5.5-8 km)
237 for a direct comparison with the ungrazed areas at the Widou Thiengoly site (Tucker et al., 2005). The NDVI

238 NDVI-time series from the single GIMMsS3g dataset pixel covering encompassing the test site (single pixel)
239 is used here with these reservations in mind to provide a long term context with full time series of biomass
240 measurements. The site constitutes 25 % of the pixel area, and as such the communally grazed plots are the
241 most representative for comparison (include with precipitation and biomass figure).

242

243

244 4. **Methods**

245 The standing crop measurements ESSB and precipitation are compared with AVHRR GIMMs NDVI data only
246 to provide long term context for the site. The site covers approximately 25 % of the GIMMS3g pixel area,
247 and as such the communally grazed plots are the most representative for a direct comparison with the
248 coarse resolution EO data. Measurements from individual plots, together with characteristics of species
249 composition data, are compared with vegetation growing season parameterization-metrics as derived from
250 MODIS NDVI. Several different vegetation parameters-metrics are tested as proxies for standing crop ESSB,-
251 including maximum values, amplitude (difference between maximum and minimum NDVI), start of season,
252 end of season, length of season, large integral (capturing the signal inherent to the growing season
253 recurrent and persistent vegetation), length, maximum, small integral, (capturing only the signal inherent to
254 the growing season recurrent vegetation) end, and annual sum. EO-based vegetation parameters-metrics
255 are extracted using the TIMESAT software (Jonsson and Eklundh, 2004). Two methods based on relative and
256 absolute threshold settings for determining start and end of seasons are tested. Relative thresholds
257 determine the start and end of the growing season from chosen percentages of the annual time series
258 amplitude, while absolute thresholds determine the start and end from fixed NDVI values. Both methods
259 have been used and reported in the scientific literature, but without testing the implications of the
260 methodological choice. From these comparisons with in situ measured ESSB, the most highly correlated

261 parameter (small NDVI integral) is selected to examine how well differences in vegetation composition and
262 abundance caused by different grazing regimes and inter-annual rainfall variability are can be captured by
263 EO metrics. The findings from parameterization the optimization ofef-MODIS NDVI metrics are also
264 implemented-applied when using the parameterizing GIMMS GIMMS3g NDVI data for the long term
265 comparison.

266 4.1 *Overlap between sampling plots and pixels*

267 The biomass and species composition sampling plots of approximately one hectare do not all fit well within
268 a single MODIS pixel. This is partly due to many plots located close to fences surrounding the areas of
269 different grazing treatments. This set up was originally meant to make sampling comparison easier between
270 plots under different grazing regimes. Therefore the combination of a MODIS pixel covering an area
271 subjected to a single grazing treatment, and in which a sampling plot also fits well, makes several
272 plots/pixels combinations unsuitable for per-pixel comparisons. If a sampling plot is extending into more
273 than one MODIS pixel, the pixel within which the sampling plot center is located is used. To avoid using
274 MODIS data covering heterogeneous grazing treatments a threshold is set. For this study the
275 threshold(chosen isapproximatelyas 70 % of any given pixels area). meaning that Ppixels which that are not
276 constituted by include at least 70 % ef area of the samea dedicated grazing treatment experiment area are
277 not used for per-pixel analysis. This value is meant to balance the need to include as much data as possible
278 from the smaller ungrazed plots, while still sorting masking out the most pixels characterized by most
279 heterogeneous pixels in terms of grazing treatments. Taking these factors into account, we used 15
280 locations where sampling plots and MODIS pixels correspond~~s~~ (Table 1). The MODIS data product time-
281 spancovers from April 2000 to present and, combined with a stopdiscontinuation of in-in situ vegetation
282 sampling after the 2007 growing season, this restrict temporal overlap to between-cover 2000 and 2007.
283 For 2000 and 2001 no suitable species inventory data were available. In 2006 biomass samples from only 2
284 of the 15 plots were collected.

285

286 **Table 1:** Sampling plot characteristics and percentage coverage of differently grazed areas of coinciding
287 MODIS pixels. Grazing: A = excluded (ungrazed), BCD = controlled, E = communal.

288

289 *4.2 Characteristics of annual species in suitable plots*

290 To provide an assessment of the general annual species properties for the three grazing regimes, each
291 species has been assigned semi-quantitative values (ranging from 1-3) for characteristics which may
292 influence the signal as observed from satellite time series. This includes cover degree, biomass, and life
293 span (table 2). These values are not biophysical units, but relative between species. For cover degree, a
294 value of 1 represents poor cover of small or filiform leaves, upright growth and mainly erectophile
295 structure, leaving much visible soil surface when seen vertically. A value of 3 is a strong cover degree given
296 to plants with large/broad or numerous horizontally arranged leaves (planophile) and dense tufts (grasses),
297 where little soil visible when seen vertically. A value of 2 is in between. For biomass a value of 1 is given to
298 small, tender plants of little biomass, 2 is given to plants of medium biomass, and 3 is given to tall,
299 spreading or compact plants of high biomass. For life span a value of 1 represents short cycle plants, which
300 are often already vanished or dried up at biomass harvest time (end of September). 2 is given to annual
301 plants of medium length life span, and 3 is given to plants with optimum development at the end of the
302 rainy season with plant parts able to continue growth after fructification (see supplementary material for
303 the specific values assigned to each species).

304

305 | **Table 2:** Variables and ranges used to characterize herbaceous species.

306

307 The numbers of different species present in plots and their frequency varies inter-annually (see ranges in
308 Table 2). Assessments of general species characteristics for each grazing regime are calculated as the multi-
309 year means of frequency weighted averages for each of the three variables of cover degree, biomass, and
310 life span (eq. 1):

311

312 Where $\bar{x}_{j,y}$ is the mean frequency of species j for all plots of similar grazing treatment in one year, $x_{j,y}$ is the
313 variable value (1-3) for a given species characteristic (e.g. cover degree) and n is the number of years. (See
314 appendix A for variable values for the individual species).

315 | *4.3 Satellite derived growing season [parameters-metrics](#)*

316 | Eight different [parameters-metrics](#) are derived from NDVI metrics, seven of them by using the TIMESAT
317 software. TIMESAT fits smoothed curves to time series and extract seasonal [parameters-metrics](#) (Jonsson
318 and Eklundh, 2004), including: amplitude, start of growing season, large integral, length, maximum, small
319 integral, and end of growing season. In addition to these, the annual sum was calculated. When using
320 TIMESAT the start and end of seasons can be defined, either by setting a relative threshold on the
321 amplitude of a given year, or by using absolute values. Minimum NDVI can differ depending on surface
322 properties, such as soil, topography and litter. Therefore defining start and end using specified percentages
323 of the amplitude (relative threshold) can be seen as a way to insure the flexibility that is needed to analyze
324 larger areas. Otherwise the risk exists of setting a threshold below min or above max NDVI for a given pixel
325 and missing a growing season entirely. On the other hand, relative thresholds risk introducing a bias in start
326 of season and end of season as a function of the amplitude, as larger amplitudes will require higher NDVI
327 values before a threshold is reached. For a smaller and relatively homogenous area with similar minimum
328 NDVI, better accuracy may be achieved by setting absolute thresholds (fixed NDVI values), as they are not
329 dependent on variation in amplitude. Both methods were applied here to investigate if either absolute or

330 | relative thresholds produce **parameters-metrics** that are more highly correlated to field data. An inter-
331 | comparison between the two sets of results is also performed to examine if any of the seven TIMESAT
332 | derived **parameters-metrics** are particularly sensitive to choice of threshold method. The annual sum is
333 | naturally not dependent on estimates of either start or end.

334 | The relative thresholds determining the start and end of growing season is set to 15 % and 30 %
335 | respectively. The start of growing season is set according to base (non-growing season) NDVI which did not
336 | exceed 15 % of the annual amplitude for any pixels examined. The higher percentage applied to determine
337 | the end of growing season is necessary to leave out the initial wilting period after the chlorophyll activity
338 | has dropped off, but where NDVI is not yet down to pre-growing season level. The values set for absolute
339 | thresholds are 0.22 and 0.25 for the start and end of growing season respectively (values found by carefully
340 | studying time series from pixels within the area). The other settings in TIMESAT were identical for both
341 | runs: Savitzky-Golay fitting function with a window size of 3, and 2 iterations with no spike method used
342 | (see Jonsson and Eklundh, 2004 for details on TIMESAT). These settings, especially the small window size
343 | of the Savitzky-Golay filter, produced a curve fit respecting the NDVI observations of the MODIS product.
344 | **For GIMMs NDVI the same settings are used, except for the absolute thresholds, which are 0.17±0.03 for**
345 | **start of season and 0.21±0.06 for end of season.**

346

347 | 5. Results

348

349 | 5.1 Precipitation, standing crop ESSB and Long-term coarse resolution NDVI

350 | Standing crop ESSB (tons/ha) averaged by grazing regime is shown together with annual precipitation and
351 | GIMMS small integrated NDVI values for the period 1981-2007 in (Figure 2), and consistently higher
352 | productivity is seen for ungrazed plots from 1998, following several years with favorable rainfall conditions

353 | {no drought conditions detected for the site since 1992}. The correlation (r) between GIMMsS3giNDVI and
354 | ESSB for communally grazed plots (representing more than 75% of the pixel) is 0.61. Calculating an average
355 | standing crop ESSB value, including plots under controlled grazing regime and exclosures weighted by their
356 | percentage of the GIMMsS3g pixel, do not change the r value.

357

358 | **Figure 2:** Light grey columns: Annual precipitation recorded at Widou_Thiengoly test site. Values calculated
359 | as average from 2-6 gauges. Solid lines: ESSB measured for different grazing regimes, A: Excluded from
360 | grazing, BCD: Controlled grazing, E: Communal grazing. Dashed line: GIMMsS3g small integrated NDVI
361 | (absolute thresholds applied).

362

363 | *5.2 Species composition*

364 | Around 120 different annual and perennial plant species have been registered since sampling started. The
365 | number of annual species registered per plot varies between 7 and 26. Changes through time in species
366 | composition have been observed between plots under different grazing treatments. Commonly found
367 | species for all grazing regimes are *Aristida mutabilis & adscensionis*, *Schoenfeldia gracilis*, *Indigofera*
368 | *senegalensis & aspera*, *Cenchrus biflorus*, *Gisekia pharnaceoides*, *Zornia glochidiata*, *Dactyloctenium*
369 | *aegyptiacum*, *Tragus berteronianus*, *Alysicarpus ovalifolius*, and *Eragrostis ciliaris*. Some species are
370 | common in areas with controlled or communal grazing, including *Chloris prieurii* and *Eragrostis tremula &*
371 | *aspera* but not common in ungrazed plots. In ungrazed plots *Monsonia senegalensis*, *Commelina forskalei*,
372 | and *Tetrapogon cenchriformis* are common, while they are not found in grazed areas. The general plant
373 | species characteristics for each grazing regime, calculated as frequency weighted averages (eq. 1), show
374 | species in ungrazed plots to have stronger cover degree (2.34 vs 1.65 or 1.63) and longer life span (2.30 vs
375 | 1.67 or 1.70), as compared to plots under controlled or communal grazing (Table 3). The controlled and

376 communally grazed plots show little difference in characteristics as species favored by grazing are common
377 for both.

378

379 | **Table 3:** General plant species characteristics for each grazing regime, calculated as species frequency
380 weighted averages for the period of 2002 to 2005.

381

382 | 5.3 Relation between EO-based vegetation [parameters-metrics](#) and field data

383 | The seven EO-based vegetation [parameters-metrics](#) from both TIMESAT threshold setting methods, the
384 annual sum of NDVI, and field data measurements of [ESSB](#) (from plots listed in Table 1) have been
385 compared using the Pearson product-moment correlation (Table 4A and Table_4B). Significant relations ($p <$
386 0.05) are indicated by * and highly significant relations ($p < 0.005$) are indicated by **.

387 | For the [parameters-metrics](#) estimated using relative thresholds, the amplitude, maximum and small integral
388 are all highly correlated to [standing crop ESSB](#) across grazing regimes, although r values from comparison
389 with plots excluded from grazing are lower for amplitude and maximum, than for small integral. For
390 [parameters-metrics](#) estimated using the absolute thresholds, the amplitude, end, maximum, large integrals
391 and small integrals are all highly correlated with [ESSB](#) of the controlled and communal grazing regimes.
392 However, only end ($r = 0.72$), large integral ($r = 0.76$) and small integral ($r = 0.80$) have high correlation with
393 measurements from grazing excluded plots. The [simple](#)-annual sums are also highly correlated to [ESSB](#) for
394 all grazing treatments, although less so than small integrals.

395

396 | **Table 4:** Correlation coefficients between *in situ* measurements of [ESSB](#) and satellite based growing season
397 [parameters-metrics](#) derived from MODIS NDVI product with: A) [Thresholds relative to the seasonal NDVI](#)

398 | relative amplitude, dependent thresholds. B) Thresholds set to an absolute NDVI values. Coefficients marked
399 with * represent significant relations ($p < 0.05$) and coefficients marked with ** represent highly statistically
400 significant relations ($p < 0.005$).

401

402 | When inter-comparing the parameters-metrics calculated using the two threshold methods, some are
403 highly correlated, with r values exceeding 0.9 and following the 1:1 line (Figure 43), but the start, end and
404 length parameters-metrics are observed to be very different with low r values (0.46, 0.48 and 0.52
405 respectively). The length and end of growing season calculated using relative thresholds are negatively
406 correlated with ESSB (Table 4A) and start appears unrelated. When calculated using absolute thresholds,
407 end and length are positively correlated with biomassESSB, while start is negatively but weakly correlated
408 (Table 4B). However, the relation between length/end and ESSB calculated using relative thresholds is only
409 significant ($p < 0.05$) when compared with ESSB of controlled plots, while relations between length and end,
410 calculated using absolute thresholds, and ESSB are significant on $p < 0.05$ for all grazing regimes.

411

412 | **Figure 43:** Relationship between growing season parameters-metrics calculated by using relative thresholds
413 (x-axis) and absolute thresholds (y-axis) in TIMESAT.

414

415 | The small integrated NDVI derived using absolute threshold values is used for examining whether the
416 differences found in field data are also captured in the NDVI metrics. This choice is based on the following
417 two reasons: first, it is the parameter this seasonal NDVI metric of shows highest consistent correlation with
418 field data across grazing treatment, and second, the absolute thresholds appear to be the more robust
419 method for this small area of analysis. Time lines in Figure 54A-C show small integrated NDVI averaged for
420 grazing treatment together with ESSB. The small integrated NDVI for excluded plots are on average slightly

421 higher than those of the controlled and communal pastures. Comparing with Figure 2 higher NDVI for
422 excluded plots would be expected in most years, and especially for 2003 and 2005, where the differences in
423 ESSB are large. For 2003 the small integrated NDVI is higher for excluded plots (values of 1.6 vs 1.3 for
424 controlled and 1.4 for communal) but the difference is not of the same magnitude as for the ground
425 observations, as where more than three times the biomass-ESSB was measured at excluded plots this year.
426 In 2005 the relative difference in biomass-ESSB was also large, but no difference in NDVI between excluded
427 and controlled plots are found, while communal plot small integrated NDVI was only slightly lower. In Figure
428 54D-F the relations between individual measurements of plot ESSB and small integrated NDVI for coinciding
429 MODIS pixels are shown (see r values in Table 4B). The slope of the relations between biomass-ESSB and
430 NDVI are observed to be steeper for controlled and communally grazed areas, than for excluded areas.

431

432 **Figure 54:** A, B and C: Black lines showing annual values of MODIS NDVI small integrals for pixels covering
433 vegetation sampling plots, averaged for each grazing regime. Grey bars showing standing crop biomassESSB
434 averaged by grazing regime. D, E and F show relations between individual measurements of plot biomass-
435 ESSB and MODIS NDVI small integrals.

436

437 6. Discussion

438 The years 1983, 1984 and 1992 received are characterized by very little limited precipitation and can be
439 categorized as drought years with low biomass (Figure 2). After 1997 ungrazed plots appear to consistently
440 have more standing crop ESSB as compared to grazed areas (both controlled and communal grazing). In
441 {Miehe et al. (2010) this is attributed to precipitation variability, which before this point was higher and
442 therefore masked the grazing influence. It was also concluded that there is evidence of a gradual change in

443 species composition ~~on~~for ungrazed plots, and of long-term degradation in the grazed areas with increase
444 of grasses and species of low fodder quality.

445 Differences between grazed and non-grazed areas are particularly evident in the stronger increase of ESSB
446 on enclosure plots when precipitation is above average. Findings from clipping experiments showed clipping
447 simulating grazing to reduce NPP (Hiernaux and Turner, 1996). This is consistent with biomass ESSB on
448 controlled and communally grazed plots being less than the ~~actual NPP ESSB measured for grazing~~
449 ~~enclosures. However, it also noted in (Hiernaux et al. (2009) reports that intense grazing can on the one~~
450 ~~hand promote long cycled annual herbaceous vegetation of relatively high biomass (refused by livestock),~~
451 ~~such as *Sida cordifolia*, thereby maintaining or increasing production, or on the other hand grazing may~~
452 ~~also favor short cycle annuals of high fodder quality but relatively lower biomass, such as *Zornia*~~
453 ~~Glochidiata~~, which lessens production. Data from Miehe et al. (2010) are used here to assess the potential
454 impact on ESSB from livestock ingestion: With a daily consumption around 6 kg dry matter per day per
455 livestock unit and ~~the~~ fixed and estimated stocking densities in the experimental area, it can be estimated
456 that on average 0.05 t/ha biomass are consumed in the controlled paddock area and 0,1 t on the communal
457 pasture during the three months of the rainy season. While trampling may affect soil and vegetation
458 ~~(Hiernaux et al. 1999), no assessment of trampling effect on the herbaceous vegetation are available for~~
459 ~~Widou Thiengoly.~~ Figure 2 shows, however, that the difference in biomass between grazed and ungrazed
460 plots constantly exceeds 0.1 t/ha by several orders of magnitude after 1997, which supports true
461 differences in productivity. This is further supported by the clear difference in general plant species
462 characteristics between ungrazed and grazed plots (Table 3). The grazing intensity and the effects it has on
463 species composition therefore clearly affect the biomass production ESSB and can influence
464 precipitation/productivity relationship for herbaceous savanna vegetation, despite plots being co-located
465 close together and receiving near-identical precipitation. It should be noted that the altered species
466 composition towards longer cycled annuals for grazing exclosures is inevitably going to cause some
467 uncertainty in the assumption about measuring ESSB as a proxy for ANPP. This is because annual

468 | herbaceous vegetation types of different cycle lengths are likely to peak with a different timing and
469 | selecting a uniform end of season date for the sampling will have to be a compromise between securing
470 | that limited biomass has disappeared from decay processes (short cycled annuals) and that vegetation
471 | growth has reached the seasonal maximum (longer cycled annuals).

472 | MODIS NDVI growing season parameters-metrics were calculated for comparison with the field data, to
473 | analyze which parameterization output from the NDVI time series generated the highest correlation with *in*
474 | *situ* measured ESSB. Which threshold method is the most suitable when estimating seasonal integrals and
475 | timing was investigated by applying both a relative and absolute threshold on NDVI to define start and end
476 | of growing season. It was observed that differences in estimated start, end, and length of growing seasons
477 | was-were produced depending on the choice of threshold method. The 16-days temporal resolution of the
478 | MODIS NDVI product and short growing seasons are likely part of the explanation, and in future studies it
479 | could be interesting to investigate if an NDVI product of higher temporal resolution, from e.g. the
480 | geostationary MSG SEVIRI instrument, would reduce this difference. However, through inter-comparison
481 | (Figure 43) it is shown how that many parameters-metrics are only very slightly affected by this. Amongst
482 | these are the small NDVI integrals. Although and even though the values do not conform strictly to the 1:1
483 | line, as absolute thresholds result yields in slightly higher values, the two approaches are very highly
484 | correlated (Figure 53F). The large NDVI integrals are found to be more sensitive to the choice of threshold
485 | method. This is interesting as much research is based on the relation between seasonal sums of NDVI (equal
486 | to large integral) and ANPP in the Sahel (Tucker et al., 1985; Tucker et al., 1986; Prince, 1991a; Prince,
487 | 1991b; Eklundh and Olsson, 2003; Prince, 1991a; Tucker et al., 1985; Fensholt and Rasmussen, 2011; Olsson
488 | et al., 2005; Eklundh and Olsson, 2003; Fensholt et al., 2006; Fensholt and Rasmussen, 2011; Tucker et al.,
489 | 1986).

490 | The comparison of MODIS NDVI metrics with biomass-ESSB shows that several parameters-metrics are well
491 | correlated with the field data-ground observations, but the small NDVI integral is the overall highest

492 correlated across grazing treatments. This is in line with recent findings as reported in [Mbow et al. \(2013\)](#)
493 [and Fensholt et al. \(2013\)](#). The [apparent lack of reduced](#) sensitivity of the small integral to threshold
494 methods, together with the higher correlations, is a strong argument for using this as vegetation
495 productivity proxy [for ecosystems dominated by herbaceous vegetation](#) instead of the more commonly
496 used large integral. This is further underlined if large NDVI integrals are calculated using relative thresholds,
497 as the results are not as highly correlated with biomass_data as many other [parametersmetrics](#). While [the](#)
498 [long time series of GIMMsS3g NDVI data from the AVHRR instruments fits well with the vegetation](#)
499 [sampling conducted in Widou Thiengoly, but is mainly showed mainly for contextual comparison, as its the](#)
500 [long time series fits well with the vegetation sampling, spatial scales of EO data and ground observations,](#)
501 [respectively, does not allow for a direct comparison. However, also it is worth noting that quite low-](#)
502 [correlation between GIMMs NDVI and standing crop is found. For the GIMMsS3g NDVI the small](#)
503 [integrated parameter metric was also found to have the highest correlation with standing crop ESSB \(r =](#)
504 [0.61\). In contrast This is a bit lower than \[\\(Dardel et al. \\(2014\\) who found much higher strong correlations\]\(#\)](#)
505 [between herbaceous biomass and GIMMsS3gs NDVI, but this may be explained by the differences in spatial](#)
506 [coverage of ground observations used \(spatial averaging performed for a larger multiple areas of ground](#)
507 [observations by Dardel et al. \(2014\), while this study only uses is based on a single pixel\).](#)

508 The values of MODIS small integrated NDVI for controlled and communally grazed plots are only slightly
509 lower than the values observed for the ungrazed plots. This is even true in years where the difference in
510 [standing crop ESSB](#) is large (Figure 54) and despite the stronger cover degree and longer life span found
511 when assessing species characteristics (Table 3). The relationships in Table 4B between small integral and
512 [ESSB](#) may appear quite robust for all grazing regimes. There are high correlation coefficients and 49 sets of
513 compatible field data/satellite data observations for controlled grazing, and 28 sets of observations for no
514 grazing and communal grazing. However, in Figure 54 it is clearly shown how NDVI cannot differentiate
515 between the higher [ESSB](#) of the ungrazed plots and lower [ESSB](#) of grazed plots.

516 In Figure 65 a conceptual illustration shows how two different linear relations between small integrated
517 NDVI (or any other seasonal NDVI integration) and biomass can change over time
518 depending as a function of the grazing pressure (on ungrazed and grazed conditions). Of the two horizontal
519 arrows (black lines) shown in the figure, only the course represented by the downward-right-arrow going
520 from grazed to ungrazed is actually represented in data, as the Widou_ Thiengoly site was all grazed prior to
521 fencing in 1981. Assuming that the process is reversible, implementing intense grazing on currently
522 excluded areas will, in time, result in species compositions similar to currently grazed plots (the change
523 indicated by the upward-left-arrow). The results presented here suggest that EO based NDVI metrics are in
524 fact only to a limited degree able to capture the grazing induced variations in *in situ* measured ESSB and
525 species composition.

526 It should be noted that the ungrazed plots at Widou_ Thiengoly does not generally represent an
527 unusual situation in the Sahel. The ungrazed plots represent but rather an extreme case in
528 opposition that is different to long-term communal intensely grazed areas as being the normal conditions for
529 Sahelian rangelands. However, if assuming an overall increase in livestock density in Sahel during recent
530 decades (Ickowicz et al., 2012) driven by the rapid growth in human population, grazing induced changes in
531 species composition and ESSB add an interesting perspective to the interpretation of the observed greening
532 due to the altered NDVI/ESSB relationship (Figure 4 D-F) as a function of grazing pressure. If the Sahel has
533 become gradually more intensely grazed, then a gradual decrease of the commonness of species found in
534 the ungrazed plots, and an increase of the species found in grazed plots, is possible. It is clear that
535 differences in ESSB does not translate into a uniform NDVI metric response and therefore the reverse
536 interpretation that an increase in greening as observed in the Sahel equals an increase in ESSB does not
537 necessarily hold true. Hypothetically,

539 The grazing induced changes in the NDVI/biomass ratio (Figure 5 D-F) add an interesting perspective to the
540 remote sensing based greening trends for Sahel. However, gradual changes in species composition in
541 increasingly grazed areas of the Sahel can be one of the reasons why few studies have identified the
542 greening trends in field data, with the recent study ~~by~~ Dardel et al. (2014) as an exception~~exception~~.

543

544 Changing NDVI/biomass relationship as a function of species composition was as also reported by Mbow et
545 al. (2013) using *in situ* NDVI measurements, exemplified by a year with heavy presence of *Zornia glochidiata*
546 (a short cycled annual species with low biomass and high greenness due to a planophile leaf orientation)
547 common in grazed areas. Such a change in the NDVI/biomass relationship caused by a change in species
548 towards annuals characterized by a higher gGreenness/biomass ratio can be illustrated by the vertical set of
549 arrows in Figure 5 (dashed lines). The presented data from Widou_Thengoly does not allow for a detailed
550 analysis of the relationship between species composition and NDVI, but it would be interesting to study
551 further if the apparent lack of NDVI to monitor the *in situ* observed decrease in ESSB for grazed areas could
552 be influenced by the presence of species like *Zornia glochidiata* which is known to generate high NDVI per
553 unit of biomass. We do not here attempt to suggest that this is a major factor in the observed greening. But
554 we do suggest that grazing induced changes in species composition may pose an important challenge in the
555 attempt to reconcile NDVI trends with field measurements.

556 It is important to stress that the results presented here are based on limited observations and are therefore
557 inconclusive on larger scales. However, the Widou_Thiengoly dataset presented here is rather unique~~and-~~
558 ~~t~~The standard interpretation of increasing NDVI trends as increased biomass productivity ideally needs to
559 be further tested by 1: Monitoring of long term ungrazed areas, with existing record of species composition,
560 subjected to increasingly intense grazing and over an area large enough for comparison with at least
561 medium resolution satellite observations. 2: Confirmation of findings in other Sahelian locations
562 geographically distant from Widou_Thiengoly by excluding more areas to grazing. EO observed greening

563 | should not be indiscriminately interpreted as an improvement in livelihood before this greening trend has
564 | been interpreted into biophysically meaningful processes.

565 |
566 | **Figure 65:** Conceptual figure illustrating the potential effect of grazing on the relationship between small
567 | integrated NDVI and standing crop biomass ESSB.

568 |
569 | The increasing population in Sahel and the widespread practice of pastoralism has caused an increase in-
570 | livestock over the recent decades (Ickowicz et al., 2012) and thereby also increasing grazing intensity. In the-
571 | light of the findings presented here the EO-based greening of Sahel (documented from coarse resolution-
572 | imagery) should probably not be indiscriminately interpreted as an improvement before this observed-
573 | greening has been interpreted into biophysically meaningful processes.

574 |
575 | **7. Conclusions**

576 | In this study we evaluated the ability of the MODIS 250m Normalized Difference Vegetation Index (NDVI) to
577 | reflect changes in vegetation properties induced by different grazing regimes under identical (or close to)
578 | soil and meteorological conditions for a semi-arid environment in the West African Sahel.

579 | From the extensive field observations at the Widou Thiengoly site in Senegal it is established shown that
580 | plots excluded from grazing have substantially more higher values of standing crop ESSB as compared to
581 | plots under controlled grazing or communal grazing (highest intensity), even when taking livestock ingestion
582 | into account. Vegetation in ungrazed plots was also better able to increase standing crop during wet years,
583 | where precipitation exceeds the long term average. Furthermore, annual plant species characteristics were
584 | assessed based on semi-quantitative evaluations of cover degree, biomass, and life span. By calculating

585 species frequency weighted averages for each grazing regime, overall lower cover degrees and shorter life
586 spans of species in grazed plots are were shown found.

587 An inter-comparison between NDVI growing season parameters metrics derived using different threshold
588 methods implemented in the TIME-SAT software was performed. The results suggests that an approach
589 applying absolute NDVI threshold method values is advantageous for local scale analysis as conducted here.
590 The most well best suited metric single parameter for monitoring ESSB in this semi-arid grassland area is
591 identified as small integrated NDVI, due to low sensitivity to choice of threshold, as well as consistently
592 strong relations ($r \geq 0.78$, $p < 0.005$) with standing crop ESSB for all grazing regimes.

593 However, the values of small integrated NDVI for controlled and communally grazed plots are only slightly
594 lower than the values observed for the ungrazed plots, even in years where the difference in standing
595 crop ESSB is large. The average standing crop ESSB in for ungrazed plots since 2000 was 0.93 tons/hectare,
596 compared to 0.51 tons/hectare for plots subjected to controlled grazing and 0.49 tons/hectare for
597 communally grazed plots, while average small integrated NDVI values for the same period were 1.56, 1.49,
598 and 1.45 for ungrazed, controlled and communal respectively.

599 There are clear variations differences in the observed in the NDVI/biomass productivity ESSB relationship
600 as a function of grazing intensity are found in this study. This indicates that slow and gradual grazing
601 induced development changes towards less standing crop ESSB, species with lower cover degree and shorter
602 life span, and limited ability to turn additional water in wet years into biomass due to heavy grazing, will not
603 necessarily be reflected in NDVI trends over time metrics and therefore an increase in NDVI over time
604 cannot unambiguously be concluded to represent an increase in herbaceous biomass in the semi-arid Sahel.

605 |

606 |

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773 Appendix A - Supplementary material

774

Species name	cover degree	biomass	life span
<i>Abutilonpannosum</i>	3	3	3
<i>Acalyphaciliata</i>	2	2	3
<i>Acacia (juv)</i>	1	1	3
<i>Achyranthesargentea</i>	3	2	2
<i>Aervajavanica</i>	3	3	3
<i>Aeschynomeneindica</i>	3	3	3
<i>Alternantheranodiflora</i>	2	2	3
<i>Alysicarpusovalifolius</i>	2	2	3
<i>Amaranthus viridis</i>	3	3	3
<i>Andropogon gayanus</i>	3	3	3
<i>Aristidafuniculata</i>	1	1	2
<i>Aristidalongiflora</i>	3	2	3
<i>Aristidastipoides</i>	1	2	2
<i>Aristadamutabilis&adscensionis</i>	1	1	1
<i>Blainvilleagayana</i>	3	3	3
<i>Boerhaviaerecta&spp.</i>	1	2	3
<i>Bosciasenegalensis (juv.)</i>	2	1	3
<i>Brachiariaxantholeuca&orthostachys</i>	3	2	3
<i>Brachiariatalata&spp.</i>	3	2	3
<i>Brachiariadeflexa&ramosa</i>	2	2	3
<i>Bulbostylisbarbata&Fimbristylisispidula</i>	1	1	2
<i>Calotropisprocerajuv.</i>	2	2	3
<i>Cardiospermumhalicacabum</i>	3	2	3
<i>Cassiaitalica</i>	2	2	3
<i>Cassiamimosoides</i>	1	1	3
<i>Cassiaobtusifolia</i>	3	3	3
<i>Cassiaoccidentalis</i>	2	2	3
<i>Cenchrusbiflorus</i>	3	2	3
<i>Cenchrusprieurii</i>	3	2	3
<i>Ceratothecasesamoides</i>	2	2	3
<i>Chlorispilosa</i>	2	2	2
<i>Chlorisprieurii</i>	2	2	2
<i>Citrulluscolocynthis</i>	2	2	3
<i>Cleomemonophylla</i>	1	1	2
<i>Cleometenella</i>	1	1	1
<i>Cleomeviscosa</i>	2	1	3
<i>Combretumaculeatum (juv.)</i>	2	1	3
<i>Commelinabenghalensis</i>	3	1	3
<i>Commelinaforskalei</i>	3	1	3
<i>Corallocarpusepigaeus</i>	2	2	3

<i>Corchorusolitorius</i>	2	2	3
<i>Corchorustridens</i>	2	2	3
<i>Crotalariapodocarpa</i>	2	3	3
<i>Chrozophorasenegalensis</i>	2	3	3
<i>Ctenolepiscerasiformis</i>	1	2	3
<i>Cucumismelo</i>	3	2	3
<i>Cyperusrotundus</i>	2	1	3
<i>Dactylocteniumaegyptiacum</i>	3	2	2
<i>Digitariahorizontalis</i>	2	2	2
<i>Echinochloacolona</i>	3	2	3
<i>Eragrostis ciliaris</i>	1	1	2
<i>Eragrostisdiplachnoides</i>	2	2	3
<i>Eragrostislingulata&cilianensis</i>	1	1	2
<i>Eragrostispilosa</i>	1	1	2
<i>Eragrostistremula&aspera</i>	1	1	2
<i>Euphorbiaaegyptiaca</i>	3	1	2
<i>Gisekiapharnaceoides</i>	2	1	2
<i>Grewiabicolor (juv.)</i>	2	1	3
<i>Gynandropsisgynandra</i>	2	2	3
<i>Hackelochloagranularis</i>	1	1	2
<i>Heliotropiumbacciferum</i>	3	3	3
<i>Heliotropiumstrigosum</i>	1	1	2
<i>Hibiscus asper</i>	3	3	3
<i>Hibiscus sabdariffa</i>	3	3	3
<i>Hibiscus sidaeformis</i>	3	2	3
<i>Indigoferaastragalina</i>	3	3	3
<i>Indigoferacolutea</i>	2	2	3
<i>Indigoferadiphylla</i>	3	2	3
<i>Indigoferapilosa</i>	2	1	2
<i>Indigoferasecundiflora</i>	3	3	3
<i>Indigoferasenegalensis&aspera</i>	3	2	3
<i>Ipomoeacoptica</i>	2	1	3
<i>Ipomoeadichroa</i>	2	2	3
<i>Ipomoeakotschyana</i>	2	1	3
<i>Ipomoeapes-tigridis</i>	3	2	3
<i>Ipomoeaeriocarpa</i>	2	1	3
<i>Ipomeatriloba</i>	3	2	3
<i>Ipomoeavagans</i>	2	1	3
<i>Kohautiagrandiflora&senegalensis</i>	1	1	2
<i>Leptadeniahastata</i>	2	2	3
<i>Leptothriumsenegalense</i>	3	2	3
<i>Limeumdiffusum</i>	1	1	2
<i>Limeumpterocarpum</i>	1	1	2
<i>Limeumviscosum</i>	2	1	2

<i>Maeruaangolensis</i> (juv.)	2	1	3
<i>Merremiaaegyptiaca</i>	3	1	3
<i>Merremiapinnata</i>	1	1	3
<i>Merremiasp.</i>	2	1	3
<i>Merremiatridentata</i>	3	2	3
<i>Mollugonudicaulis&cerviana</i>	1	1	2
<i>Momordicabalsamina</i>	2	1	3
<i>Monsoniasenegalensis</i>	3	1	2
<i>Panicumlaetum</i>	2	2	1
<i>Pancreatumtrianthum</i>	2	2	3
<i>Pennisetumpedicellatum</i>	2	2	2
<i>Pennisetumtyphoides</i>	2	3	3
<i>Peristrophebicalyculata&Diplpteraverticillata</i>	2	2	3
<i>Pergulariadaemia</i>	3	2	3
<i>Phyllanthusniruri&pentandrus</i>	1	1	2
<i>Polygalaerioptera</i>	1	2	2
<i>Polycarpealinearifolia</i>	2	2	2
<i>Portulacafoliosa</i>	2	1	1
<i>Portulacaoleracea</i>	2	1	2
<i>Pupaliaalappacea</i>	2	2	3
<i>Rogeriaadenophylla</i>	3	3	3
<i>Schoenefeldiagracilis</i>	2	2	2
<i>Sclerocaryabirrea</i> (juv.)	1	1	3
<i>Sesamumalatum</i>	1	1	3
<i>Sesuviumhydaspicum&portulacastrum</i>	2	1	2
<i>Sesbaniarostrata</i>	3	3	3
<i>Sorghum bicolor</i>	2	3	3
<i>Spermacocechaetocephala&radiata</i>	2	1	3
<i>Sphenocleazeylanica</i>	2	1	3
<i>Stylochitonhypogaeus</i>	3	2	3
<i>Tephrosiapurpurea</i>	3	3	3
<i>Tephrosia uniflora</i>	3	3	3
<i>Tetrapogoncenchriformis</i>	2	2	2
<i>Tinosporabakis</i>	2	2	3
<i>Tragusbereronianus</i>	2	1	2
<i>Trichoneuramollis</i>	2	2	3
<i>Tribulusterrestris</i>	3	2	3
<i>Urgineaindica&Dipcaditacazzeanum</i>	1	1	3
<i>Waltheriaindica</i>	3	3	3
<i>Zorniaglochidiata</i>	3	1	3

776 **Tables**

777 Table 1: Sampling plot characteristics and percentage coverage of differently grazed areas of coinciding
 778 MODIS pixels. Grazing: A = excluded (ungrazed), BCD = controlled, E = communal.

Grazing	Plot	Topography	No grazing	Controlled	Communal
A	1.1	Clayey interdunes	64 %	36 %	
A	2.1	Sandy-silty interdunes	78 %	6 %	16 %
A	3.1	Sandy mid and lower slopes	77 %	21 %	2 %
A	4.1	Sandy mid and lower slopes	97 % (39 %)	0 % (58 %)	3 %
B	1.2	Clayey interdunes		100 %	
B	3.5	Sandy upper and middle slopes		100 %	
C	2.2	Sandy-silty interdunes	3 %	97 %	
D	2.6	Sandy upper and middle slopes		100 %	
D	3.4	Sandy upper and middle slopes		100 %	
D	2.4	Sandy-silty interdunes		95 % (5 % corridor)	
D	3.2	Sandy upper and middle slopes	3 %	97 %	
E	1.4	Clayey interdunes		100 %	
E	2.7	Sandy-silty interdunes		100 %	
E	3.6	Sandy mid and lower slopes		100 %	
E	4.4	Sandy mid and lower slopes		100 %	

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781 Table 2: Variables and ranges used to characterize herbaceous species.

Variable	Range
Species frequency	1 – 100
Number of species in plot	7 – 26
Cover degree	1 – 3
Biomass	1 – 3
Life span	1 – 3

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783

784 Table 3: General plant species characteristics for each grazing regime, calculated as species frequency
785 weighted averages for the period of 2002 to 2005.

	Cover degree	Biomass	Life span
Ungrazed	2.34	1.51	2.30
Controlled	1.65	1.41	1.67
Communal	1.63	1.39	1.70

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788 Table 4: Correlation coefficients between *in situ* measurements of biomass and satellite based growing
 789 season [parameters-metrics](#) derived from MODIS NDVI product with: A) relative amplitude dependent
 790 thresholds. B) Thresholds set to absolute values. Coefficients marked with * represent significant relations
 791 (p < 0.05) and coefficients marked with ** represent highly statistically significant relations (p < 0.005).

A)	Excluded (n = 28)	Controlled (n = 49)	Communal (n = 28)
Amplitude	0.71**	0.77**	0.79**
End	-0.29	-0.55**	-0.45*
Large int.	0.56**	0.40**	0.53**
Length	-0.17	-0.36*	-0.29
Max	0.72**	0.78**	0.80**
Small int.	0.79**	0.76**	0.81**
Start	0.03	0.12	0.10

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B)	Excluded (n = 28)	Controlled (n = 49)	Communal (n = 28)
Amplitude	0.61**	0.77**	0.79**
End	0.72**	0.42**	0.41**
Large	0.76**	0.68**	0.69**
Length	0.63**	0.39**	0.41*
Max	0.61**	0.78**	0.80**
Small	0.80**	0.78**	0.81**
Start	-0.32*	-0.29*	-0.25
Sum ⁺	0.67**	0.72**	0.77**

793 ⁺: Thresholds not relevant

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