

1 **Increase in soil organic carbon by agricultural intensification in Northern China**

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14
15 **Abstract.** Agricultural intensification has contributed greatly to the sustained food
16 supply of China's 1.3 billion population over the 30 year period during 1982-2011.
17 Intensification has several and widely recognized negative environmental impacts
18 including depletion of water resources, pollution of water bodies, greenhouse gas
19 emissions and soil acidification. However, there have been few studies over this
20 period on the impacts of intensification on soil organic carbon (SOC) at the regional
21 level. The present study was conducted in Huantai county, a typical intensive farming
22 region in Northern China, to analyze the temporal dynamics of SOC influenced by
23 climate and farming practices. The results indicate that from 1982 to 2011, SOC
24 content and stock in the 0-20 cm layer of the cropland increased from 7.8 ± 1.6 to
25 $11.0 \pm 2.3 \text{ g}\cdot\text{kg}^{-1}$ (41%) and 21 ± 4.3 to $33.0 \pm 7.0 \text{ Mg}\cdot\text{ha}^{-1}$ (54%), respectively. The
26 SOC stock (0-20 cm) of the farmland for the entire county increased from 0.75 to 1.2
27 Tg (59%). Correlation analysis revealed that incorporation of crop residues
28 significantly increased SOC, while increase in the mean annual temperature decreased
29 the SOC level. Therefore, agricultural intensification has increased crop productivity
30 and contributed to SOC sequestration in Northern China. In the near future, more
31 appropriate technologies and practices must be developed and implemented for a

32 maintenance or enhancement of SOC in this region and elsewhere in Northern China,
33 that also reduce non-CO₂ greenhouse gas emissions, since the climate benefit from the
34 additional SOC storage is estimated to be smaller than the negative climate impacts of
35 N₂O from N fertilizer additions.

36

37 **Keywords:** Soil organic carbon, agricultural production intensification, crop residue
38 incorporation, nitrogen fertilizer, temperature, Northern China

39

40 **1 Introduction**

41 Increasing soil organic matter (SOM) storage in arable lands can ensure the
42 sustained supply of nitrogen (N) and other nutrients to crop growth and maintain
43 appropriate soil quality such as aeration, permeability, water-holding capacity and
44 nutrient preserving capacity (Smith et al., 2012). Globally, accumulation of SOM or
45 soil organic carbon (SOC) stock in arable lands, which contributes to the mitigation of
46 greenhouse effect and a concomitant improvement in soil fertility (Matson et al., 1997;
47 Sainju et al., 2009), may be achieved by a range of improved farming practices. These
48 practices include adoption of high-yielding crop varieties, balanced fertilization, crop
49 residue incorporation, no-till (NT) or reduced tillage, optimal irrigation, high cropping
50 intensity (Matson et al., 1997; Kucharik, et al., 2001). For instance, agricultural soils
51 in US had a carbon sink capacity of 1.3-21.2 Tg C annually from 1982 to 1997, due to
52 land use, NT, higher cropping intensity etc. (Eve et al., 2002; Ogle et al., 2003). The
53 rate of increase in SOC stock in Canada was 5.7 Tg C yr⁻¹ during 1991-2001 (Vanden
54 Bygaart et al., 2004). In The Netherlands, the SOC content of arable land increased by
55 about 0.08 g kg⁻¹ yr⁻¹ between 1984 and 2004 (Reijneveld et al., 2009). Benbi and
56 Brar (2009) reported that SOC in the Punjab state of India increased from 2.9 to 4.0 g
57 kg⁻¹ (38%) between 1981 and 2006, largely resulting from irrigation, optimal
58 fertilization, and an increase in crop productivity.

59 Northern China is one of the most important agricultural regions, producing 60-80%
60 and 35-40% of nation's wheat (*Triticum aestivum* L.) and maize (*Zea mays* L.),
61 respectively (NBSC, 2014). Similar to other regions in Northern China, Huantai

62 county has experienced the agricultural intensification process including a high
63 cropping intensity (200%, winter wheat–summer maize), high fertilizer rate (500-600
64 kg N ha⁻¹ yr⁻¹), frequent irrigation and tillage, and an increasing ratio of crop residues
65 incorporation since the 1980s (Shi et al., 2013; Kong et al., 2014). Agricultural
66 intensification increased crop yield within a short period. For example, Huantai
67 county achieved production of >15 Mg grains (wheat + maize) ha⁻¹ yr⁻¹ for all of its
68 farmland since 1990. The effect of agricultural intensification on increasing crop
69 yields has been well documented, however, most of the research done on SOC
70 sequestration in agricultural soils is confined to long-term plot-scale experiments.
71 Studies available at national or region levels were within short period, especially in
72 Northern China (<20 years) where is characterized by low levels of SOM.

73 We collected three-decades of data of climate, farm management and crop yield
74 from Huantai county, to: 1) analyze the evolution of SOC at the regional level from
75 1982 to 2011, and 2) establish the cause-effect relationship between the driving forces
76 and SOC change. The results derived from this study may contribute to improved
77 farm management for the long-term sustainable agricultural development in the
78 intensive farming of Northern China and elsewhere.

79

80 **2 Materials and methods**

81 2.1 Study area

82 Huantai county, with an area of 509 km², is located in Northern China
83 (36°51'50"-37°06'00" N latitude and 117°50'00"-118°10'40" E longitude). It is
84 characterized by a warm temperate continental monsoon climate, with annual average
85 temperature of 13.4 °C and annual precipitation of 604 mm. The rainfall occurs
86 mainly in June, July and August; with the annual frost-free season of about 198 days.
87 Slope gradient of the landscape is low in northern and high in southern regions, with
88 an average altitude of 6.5-29.5 m, falling gently from southwest to northeast. The
89 main soil types, according to the US soil classification system, include Haplustalfs,
90 Aquepts and Vertisols. The household contract responsibility system was
91 implemented in Huantai county in 1980, and land productivity has increased

92 significantly with an increase in fertilizer input, frequent irrigation and adoption of
93 high-yielding varieties. In 1990, Huantai county became the first *Dun Liang County*
94 which achieved high productivity of one Mg of grain per mu for the whole county (1
95 mu=1/15 ha) in China. Vegetable production has also been intensified in the county
96 since 1990s.

97

98 2.2 Data Collection

99 The soil, climate and farming data were collected between 2011 to 2013 from the
100 sources as described below:

101 - *The 2nd National Soil Survey*: The 2nd National Soil Survey in China was
102 conducted during 1981-1983, and was undertaken in 1982 for Huantai county. The
103 survey collected and analyzed soil samples for genesis, physical, chemical and
104 biological properties. The SOM content was tested using the potassium dichromate
105 titrimetric method (Jankauskas et al., 2006). The SOM data in this study was obtained
106 for 258 soil samples (0-20 cm), together with the corresponding GPS location
107 coordinates.

108 - *Annual Soil Fertility Survey*: The annual SOM data of farmland soil (0-20 cm)
109 were collected from the Soil Fertility Survey, a program undertaken by the county
110 agricultural extension since 1987. Every year, samples from the topsoil layer were
111 collected after the autumn harvest and analyzed for pH, SOM and nutrient contents.
112 Soil sampling in the survey was stratified according to the division of administrative
113 villages. Each village had at least one composite soil sample, representing 6.7-33.3 ha
114 of farmland. The number of soil samples was from 199 (in 2003) to 3637 (in 2007)
115 and the average was 786 each year. The SOM content was determined by the
116 potassium dichromate titrimetric method (Jankauskas et al., 2006). The data for 1988,
117 1991, 2000, 2001, 2004, 2005 and 2010 were for each town as the survey was only
118 done at the township level. As there were no data for the period 1983 to 1986, it was
119 assumed that a steady change in SOC occurred during this period. Thus, the average
120 SOC content for the whole county was obtained by interpolation based on the data
121 from 1982 and 1987.

122 - *Soil Sampling and Measurement Program*: In September 2011, a soil survey
123 was implemented for the whole county. Soil samples were obtained from 0-20 cm
124 depth of the farmland (including cropland and vegetable land), in an evenly
125 distributed 2 × 2 km grid. Each sample was composited from three collection points.
126 The GPS location was recorded for each sampling point. Soil was ground and passed
127 through a 0.15 mm sieve. For the SOC analysis, 2-3 g of the soil sample was weighed
128 into the beaker, and then 20 mL HCl of 0.5 mol·L⁻¹ was added for acidification and
129 removal of carbonates. Samples were then transferred into a rotary oscillator
130 (Ronghua, HY-B) to shake for 30 min. After standing for 12 h, deionized water was
131 used to remove the acid from soil samples until neutral pH was obtained. Soil samples
132 were dried in the oven at 60 °C and weighed. The SOC content was determined by a
133 C and N Elemental Analyzer (Thermo EA flash 1112). As acidification led to soil
134 mass loss, the SOC data were corrected using Eq. (1):

135

$$136 \quad W_{soil2} = \frac{m_{soil1} \times W_{soil1}}{m_{soil2}} \quad (1)$$

137

138 Where, W_{soil2} is the corrected SOC content, m_{soil1} is the soil mass after
139 acidification, W_{soil1} is the SOC content after acidification and m_{soil2} is the soil mass
140 before acidification. The comparative study of SOC content determined by Elemental
141 Analyzer and potassium dichromate titrimetric method indicated that these two
142 methods are comparable and the differences in between are not significant (Wang et
143 al., 2014).

144 - *Climate and farm management*: Data were obtained for land use (e.g. area under
145 crops, vegetables and urban use), grain and straw yields of wheat and maize, nitrogen
146 fertilizer rate, and the rate of straw incorporation from the Huantai agricultural
147 yearbook of 1982 - 2011. Climate data for temperature and precipitation were
148 obtained from the China Climatic Data Center, National Meteorological Information
149 Center, CMA (<http://cdc.cma.gov.cn>). The temperature and precipitation data was
150 daily value for the county level.

151 - *Calculation of SOC density and stock*: The Van Bemmelen conversion factor,
152 namely that SOM contains 58% carbon (Page et al., 1982), was used to compute SOC
153 content in (Eq. (2)). The SOC density and stock were computed by Eq. (3) and Eq. (4),
154 respectively:

155

$$156 \quad SOC = SOM \times 0.58 \times 10 \quad (2)$$

$$157 \quad SOCD = SOC \times \gamma \times H \times 10^4 \quad (3)$$

$$158 \quad SOCS = SOCD \times S \times 10^{-9} \quad (4)$$

159

160 where, SOC is the soil organic carbon content (g kg^{-1}), SOM is the soil organic
161 matter content (%), *SOCD* is the SOC density (kg ha^{-1}), γ is the soil bulk density (BD,
162 g cm^{-3}), H is the thickness (m) of soil layer (0-20 cm), *SOCS* is the SOC stock (Tg)
163 for the whole county, and S is the farmland area (ha). Soil BD values were
164 interpolated over years from measured values taken in 1982 and 2011. Average
165 number of cropland and vegetable land soil samples was 786 and 79, respectively. For
166 the calculation of SOCS, we assumed that the SOC content of land for industrial or
167 residential use was maintained at the same level after the farmland was converted.

168 2.3 Data analysis

169 The Kolmogorov-Smirnov test was used in the SPSS Statistics 17.0 package to
170 determine if SOC content followed a normal distribution. Central tendency, dispersion
171 degree and distribution characteristics of SOC data were calculated. Pearson
172 correlation analysis and/or partial correlation analysis were conducted between SOC
173 content and driving factors including mean annual temperature, mean annual
174 precipitation, grain yield, nitrogen fertilizer rate and straw C incorporation. A
175 multivariate regression model was developed to account for the impact of these
176 factors on temporal SOC change in Huantai county.

177

178 **3 Results**

179 3.1 Evolution of SOC content and density between 1982 and 2011

180 Figure 1 presents the dynamic changes of topsoil SOC content and density for the
181 cropland (winter wheat-summer maize) from 1982 to 2011. The mean increase in
182 cropland from 1982 to 2011 was $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.3 \text{ g kg}^{-1}$ for SOC content
183 and, $21.4 \pm 4.3 \text{ Mg ha}^{-1}$ to $33.0 \pm 7.0 \text{ Mg ha}^{-1}$ for SOC density, with rates of increase of
184 41% and 54%, respectively. The mean SOC content of vegetable land increased
185 similarly as cropland, i.e., from $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.8 \text{ g kg}^{-1}$ (data not shown).
186 This trend indicates that the rate of increase in SOC content and density of cropland
187 (0-20 cm) in Huantai county since the early 1980s was $0.11 \text{ g kg}^{-1} \text{ yr}^{-1}$ and 0.40 Mg
188 $\text{ha}^{-1} \text{ yr}^{-1}$, respectively. The growth of SOC density was significantly related to
189 increasing SOC content, but also supported by the increase in soil BD in the 0-20 cm
190 layer of the farmland (1.4 g cm^{-3} in 1982 to 1.5 g cm^{-3} in 2011).

191

192 3.2 Change of SOC stock in Huantai county from 1982 to 2011

193 An adjustment in the local agricultural sector altered the land use between 1982
194 and 2011 in Huantai county. The farmland area in 1982 was 35204 ha, of which more
195 than 99% was under winter wheat - summer maize cropping (cropland). Due to the
196 expansion of vegetable production since 1990s, land used for vegetable production
197 increased to about 20% by the early 2000s, and has remained constant until 2011 at
198 about 6000 ha. The average SOC content of vegetable land is not significant different
199 from that of cropland ($11.0 \text{ vs. } 11.0 \text{ g kg}^{-1}$ in 2011 for whole county). Some farmland
200 was converted to construction use with the expansion of industry and urban land uses.
201 Of the 31% reduction of farmland area between 1982 and 2011, 16% was used for
202 vegetable farming and 15% converted to construction land.

203 Although area under cropland decreased from 35,204 ha in 1982 to 24,343 ha in
204 2011, the SOC stock of cropland (0-20 cm) increased from 0.75 ± 0.15 to 0.80 ± 0.17
205 Tg C (an increase by 6.7%, Fig. 2). When the SOC stock in vegetable land and
206 construction land (converted from cropland and for industrial and residential use. The
207 area increased every year as urbanization took place) was also included, total SOC
208 stock of the farmland in the Huantai county was estimated to be 1.2 Tg C in 2011,

209 with a total increase of 59% compared to the 0.75 ± 0.15 Tg C in 1982 (Fig. 2).

210

211 3.3 The cause-effect relationship governing change in SOC level

212 Among the natural/climate forces which can influence SOC level, mean air
213 temperature in Huantai county increased within the period of 1982 to 2011, with a
214 relationship represented by the regression equation $y = 0.073x + 12.2$ ($R^2 = 0.67$,
215 $P < 0.0001$, Fig. 3a). However, there was no significant change in precipitation over
216 this period. Nitrogen fertilizer input for farmland was $400 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in the 1980s
217 and peaked at about $600 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in 1994, followed by a decline to 500 kg N ha^{-1}
218 yr^{-1} in 2011. There were also significant increases in grain yield over this period ($R^2 =$
219 0.63 , $P < 0.0001$, Fig. 3b). The carbon input between 1982 and 1987 was estimated at
220 about 800 to $1000 \text{ kg C ha}^{-1} \text{ yr}^{-1}$, mainly through roots. However, crop production
221 experienced a rapid growth after 1988, and crop residues (mainly wheat straw) were
222 returned to the soil, leading to a significant increase in the input of biomass-C,
223 especially the aboveground C. As much as 70% of maize straw was also incorporated
224 to the farmland from 2007 onward, leading to a total C input of $> 8000 \text{ kg ha}^{-1} \text{ yr}^{-1}$ (R^2
225 $= 0.90$, $P < 0.0001$, Fig. 3c).

226 Correlation and regression analyses were performed between SOC of cropland
227 and driving factors, i.e., temperature, precipitation, crop (wheat and maize) yield, N
228 fertilizer rate and C input from crop residues (Table 1). There was a highly significant
229 correlation ($P < 0.01$) between SOC content and temperature, crop yield, C input from
230 crop residues, with correlation coefficients (r) of 0.55, 0.79 and 0.91, respectively.
231 The correlation between SOC and N fertilizer rate was also significant ($r = 0.38$,
232 $P < 0.05$). However, there was no significant correlation between SOC content and the
233 mean annual precipitation.

234 A partial correlation analysis was conducted to determine the relationship
235 between SOC content of cropland with any one major driving factor, as the effect of a
236 set of controlling random variables removed (Table 2). The data indicated a highly
237 significant and positive correlation between SOC content and the C input from crop
238 residues ($r = 0.80$, $P < 0.0001$), but a negative correlation between SOC content and

239 annual mean temperature ($r=-0.42$, $P=0.027$). The weak positive correlation between
240 SOC content and N fertilizer rate ($r=0.03$, $P=0.86$), and weak negative correlation
241 between SOC and crop yield ($r=-0.08$, $P=0.70$), indicated that N fertilizer and
242 increasing crop yield did not contributed to the augment of SOC in Huantai from 1982
243 to 2011. As the effect of C input from crop residues was removed during partial
244 correlation, the rising temperature during the past 3 decades significantly decreased
245 the cropland SOC content in Huantai, or promoted the SOC decomposition. In
246 addition to the partial correlation analysis, a multivariate regression model was also
247 developed as follows:

$$248 \quad Y=12.0 - 0.31 \text{ Temperature} - 0.0003 \text{ Precipitation} - 0.0006 \text{ Nitrogen} + 0.0005 \text{ C} \\ 249 \text{ input} - 0.0001 \text{ Yield} \quad (r^2=0.88)$$

250 where, Y is the SOC content in g kg^{-1} . The multi-variate regression analysis also
251 confirmed the positive impact of the input of crop residue carbon and negative impact
252 of the increase in temperature.

253

254 **4 Discussion**

255 4.1 Driving factors for SOC accumulation

256 The SOC level of farmland was influenced by climate (mainly temperature and
257 precipitation) and farming practices, including crop residue incorporation, N fertilizer
258 use, crop yield etc. (Khan et al. 2007; Ladha et al. 2011).

259 *Climate factors:* Climate warming may increase the rate of SOM decomposition,
260 while the effect of precipitation on SOC is mostly known to be positive because in
261 general SOC increases with increase in precipitation (Post et al., 1982). Whereas air
262 temperature in the Huantai region has significantly increased since the 1980s, the
263 precipitation has not. There is a significant positive correlation between the
264 temperature and SOC content (Table 1), however the partial correlation analysis
265 showed that the correlation was negative ($r=-0.42$, $p=0.03$, Table 2), indicating that
266 SOC in Huantai county was enhanced by factors other than temperature and
267 precipitation. Indeed, it may take much longer (~50 yrs) to observe the effect of
268 climate change on SOC level (De Bruijn et al., 2012), indicating a strong need for

269 long-term research.

270 *Nitrogen fertilizer:* In general, N is the most limiting nutrient in crop production
271 systems (Robertson and Vitousek, 2009). It promotes the production of crop dry
272 matter (and therefore C input to the soil) while chemically stabilizing C in the soil,
273 thereby potentially increasing soil C storage (Paustian et al., 1997). A high input of N
274 fertilizer was a prominent feature of farming in Huantai county, where average N
275 fertilizer rate increased from 400 kg N ha⁻¹ yr⁻¹ in the 1980s to 600 kg N ha⁻¹ yr⁻¹ in
276 the 1990s. However, the rate of N fertilizer gradually declined and stabilized at 500
277 kg N ha⁻¹ yr⁻¹ in the 2010s. Such a trend is attributed to the increased use of machinery
278 in agriculture and the extension of formula fertilization techniques adopted in the
279 region. The strategy was to balance the N fertilization rate in consideration of the high
280 grain output (>15 Mg grain ha⁻¹ yr⁻¹). However, the rate of SOC increase was still
281 high during this period, as indicated by a weak positive correlation between N
282 fertilizer rate and SOC level over the 30-year period (Table 1). The vegetable
283 production in Huantai county is open-field and there is less organic fertilizer input
284 (3-5 t ha⁻¹ yr⁻¹) compared with other regions like Shouguang (>15 t ha⁻¹ yr⁻¹) in China,
285 SOC increase due to organic fertilizers is not significant and at the same level as
286 cropland (11.0 vs. 10.9 g kg⁻¹ in 2011).

287 A few studies (Khan et al., 2007; Mulvaney et al., 2009) have reported that even
288 the long-term input of a massive amount of residue-C and synthetic N fertilizer do not
289 sequester SOC; this was not the case with intensification of cropland in Northern
290 China. A major factor lies in the concentration of the principal parameter (i.e., SOM)
291 which was significantly lower in cropland soils of Northern China than those of the
292 U.S. Corn Belt (9 vs. 25 g kg⁻¹, Ludwig et al., 2011) or countries of the western
293 Europe, because large areas of farmlands in Northern China were affected by
294 saline-alkaline processes prior to 1970s. The initial low crop productivity in the
295 temperate region where N fertilization rate was low resulted in higher SOC level in
296 Northern China with increasing biomass input achieved by higher input of N. Similar
297 trends have been reported by other studies from around the world (Song et al., 2005;
298 Alvarez, 2005). Nonetheless, it is important to point out that any excessive application

299 of mineral N not only increases the production cost, but also exacerbates the negative
300 environment effects, including nitrate contamination of ground and surface waters and
301 N₂O emission to the atmosphere (Triberti et al., 2008). Carbon sequestration effects
302 should be valued in a systematic approach (Wang and Cao, 2011). Indeed, the climate
303 benefit from the additional SOC storage is smaller than the climate damage caused by
304 N₂O from N fertilizer additions (N₂O emissions are estimated (conservatively) to be
305 ~57 kt CO₂-eq. yr⁻¹, assuming the smallest farmland area of 24343 ha and current
306 stable N application rate of 500 kg N ha⁻¹ yr⁻¹ using the IPCC Tier 1 default Emission
307 Factor of 0.01; soil C storage over the period is equivalent to 54 kt CO₂-eq. yr⁻¹). If
308 the indirect emissions (ammonia volatilization, leaching and runoff) are considered,
309 the environmental effect of nitrogen fertilizer application will be more serious.

310 Balanced fertilization should be widely promoted, for optimization of the
311 integrated economic benefits and ecosystem services. It is important to understand
312 that increasing input of N fertilizer increased SOC only when crop residues were
313 returned to the soil. There may have been either no, or only a slight increase in SOC
314 level, if the aboveground crop residues were removed or burnt (Alvarez, 2005) so N
315 fertilization in itself is not a suitable strategy to increase SOC, particularly considering
316 the over-riding effects of N₂O emissions from the N fertilizer.

317 *Grain yield:* Grain yield in Huantai county increased from 7200 kg ha⁻¹ yr⁻¹ in
318 1982 to 16117 kg ha⁻¹ yr⁻¹ in 2011 (an increase of 124%). The highly significant
319 correlation ($r=0.79$, $P<0.01$) between SOC content and grain yield indicates the
320 importance of SOM to achieving high crop productivity, and *vice versa* (Pan et al.,
321 2009). Indeed, the interdependence between crop yield and SOM content is widely
322 recognized (Lal, 2002; 2013). Increase in the SOC pool of 1 Mg C ha⁻¹ in the root
323 zone can increase annual food production by 30-50 million Mg in developing
324 countries (Lal, 2013). Meta-analysis indicated that crop yield greatly increased by
325 crop residue retention ($P<0.001$), particularly in upland China (Liu et al., 2014). Qiu
326 et al. (2009) estimated that for every increase of 1 g C kg⁻¹ of SOC in Huantai county,
327 grain yield could increase by 454 kg ha⁻¹. In Northern China, beneficial effects of crop

328 yield increase will be enhanced as the strategy of returning crop residues is extended
329 to other regions of Northern China.

330 *Carbon input from crop residues:* Carbon input is one of the most efficient factors
331 for the accretion of SOC, which is also confirmed by the highly significant correlation
332 between SOC content and C input from crop residue incorporation ($r=0.80$, $P<0.0001$,
333 Table 2). Similar results have also been reported by other scientists (Freibauer et al.,
334 2004). Smith et al. (2005; 2012) reported that input of crop residues could attain the
335 highest rate of C sequestration ($0.7 \text{ Mg C ha yr}^{-1}$) in comparison with that of merely
336 $0.2 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ with the input of mineral N fertilizer. In our study, there are two
337 SOC increase stages, one early (1987-1992) and one late (2007-2012), which the early
338 one coincides nicely with increased wheat residue incorporation and the late one with
339 the increased maize residue incorporation. Again, it highlights the significance of crop
340 straw input for the building of soil organic matter. In comparison with data from other
341 countries like India ($\sim 1 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Srinivasarao et al., 2014) or US ($\sim 4.2 \text{ Mg C}$
342 $\text{ha}^{-1} \text{ yr}^{-1}$, Johnson et al., 2006), the input of residue-C in Northern China is much
343 higher ($\sim 8 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Fig. 3c), for maintaining a rapid rate of increase of SOM.
344 Thus, rate of input of residue-C is the principal determinant of the rate of increase of
345 SOM: the annual rate of SOM increase being lower in India, intermediate in the U.S.
346 and high in the North China Plains. Since retention of the entire amount of residues of
347 maize in North China started from 2007/2008, the accretion of SOC is projected to
348 continue for another two to three decades until the mid-2040s. It should also be noted
349 that in our study, soil bulk density from 1983 to 2011 was interpolated and this could
350 give rise to errors in the SOC storage estimation.

351 Miao et al. (2011) reported that the significance of N fertilizer and crop residues
352 incorporation to the maintenance and increase of the SOM. Retention of residues
353 (wheat and maize) in conjunction with appropriate rate of N fertilization have been
354 properly implemented in Northern China where the antecedent levels SOM are much
355 lower than those in northern America and western Europe. Therefore, a judicious
356 continuation of these practices will continue to accumulate SOM for a long time to
357 come. However, similar trends may not occur under all situations. For example, Khan

358 et al. (2007) explained that after attaining a steady state, it is unlikely that SOC will
359 continue to increase, and may even decline with continuous use of synthetic N,
360 because of the enhanced activities of heterotrophic soil microorganisms in using
361 crop-derived residues or SOM. In the context of Northern China, therefore, it is likely
362 that the increase in SOC level will continue because of the improved crop productivity
363 and retention of crop residues, but it will eventually attain a new equilibrium. Some
364 other SOC-enhancing practices including application of residue-based animal manure
365 derived from the same land unit, contribute further to SOC sequestration. In addition,
366 higher level of mechanization during the agricultural intensification process may
367 increase the soil BD (about 7% in our study), and this also contributed to the increase
368 of SOC stock and should not be ignored when quantifying of farmland carbon
369 sequestration.

370

371 4.2 Comparison of SOC accretion through agricultural intensification in different 372 regions of China

373 Agriculture in China has grown rapidly over the three decades since 1982,
374 primarily because of the Household Contract Responsibility System and adoption of
375 the open policy. Further, the intensification process achieved some economic and
376 environmental benefits (Firbank et al., 2013). In the case of Northern China, however,
377 there were also problems with increases in soil compaction and water pollution over
378 the period of three decades.

379 Among all agronomic regions, Northern China registered the highest rate of SOC
380 sequestration. In contrast, however, the SOC level has declined in Northeast China
381 since the 1980s (Table 3). Northeast China, one of the few world regions
382 characterized by the black soil (Phaeozems) and cold climate, has high antecedent
383 SOC content ($\sim 20 \text{ g kg}^{-1}$ in uncultivated soils). Thereafter, cultivation and intensive
384 farming after the 1980s have increased the rate of decomposition of SOM along with
385 a low input of organic materials and biomass-C. The SOC level in Northern China
386 was extremely low (5 to 10 g kg^{-1}) in comparison with the soils of Northeast China
387 and other regions. This trend can be explained by the fact that Northern China has a

388 long history of low crop productivity, long dry season (Stockmann et al., 2013), sandy
389 soil texture and low input of organic amendments. Application of N fertilizer and
390 retention of crop residues has increased the SOC level more in dry lands of Northern
391 China than in those of paddy soils of the lowlands (Liu et al., 2014). Huantai county
392 and other agronomic regions (except Northeast China) in China also witnessed
393 increase in SOC level, mainly attributed to the practices of fertilization (synthetic and
394 organic), increase in crop yield, retention of crop residues, adoption of conservation
395 tillage and use of organic amendments (Table 3). The 0-30 cm layer of soils of
396 cropland in China have gained SOC at the rate of 17-28 Tg C yr⁻¹ between 1980 and
397 2000 (Huang et al., 2010), which is similar to the estimates of 25-37 Tg C yr⁻¹
398 reported by Lal (2002).

399 Agricultural intensification in China is an ongoing process, and is progressively
400 evolving over time. For instance, since 2012, maize residues from some cropland have
401 been harvested by Huantai farmers for use as cattle feed and the eventual return of the
402 animal manure to cropland. It is possible that the efficiency of SOM accretion through
403 animal manure is higher than that of returning maize straw (Wilhelm et al., 2007),
404 which may result in yet another period of SOM accretion at the regional level with
405 proper dissemination of this technology (Ladha et al., 2011). Similar to northern
406 China, other important grain production region like Midwest US also experienced the
407 stage of SOC accumulation, although the practices (residue management, non- or
408 reduced tillage and crop rotation) are different (Ogle et al., 2003; Jelinski and
409 Kucharik, 2009). Adoption of conservation agriculture (NT or minimum tillage) may
410 be another option for SOC sequestration. However, its applicability and efficiency
411 need to be validated through long-term research.

412

413 5 Conclusions

414 The study of the impact of agricultural intensification on SOC content and stock
415 was conducted in the Huantai county, which is a representative region in Northern
416 China. The farmland SOC stock of the whole county increased by more than 50%
417 over three decades from 1982-2011. Among several improved farming practices,

418 retention of crop residues strongly contributed to the restoration of SOC, but there
419 was no synergistic effect between N fertilization rate and crop yield on increase in
420 SOC. The SOC content decreased with increase in mean annual temperature. The
421 temporal change in SOC was significantly influenced by the evolution of the practice
422 of retention of crop residues through implementation of some local farming policies.
423 The data support the conclusion that agricultural intensification may both increase
424 crop productivity and enhance some ecosystem services, such as SOC sequestration in
425 croplands of Northern China. However, current farming practice (e.g., retention of
426 crop residues) may not always linearly increase SOC over time, indicating a strong
427 need for a long-term research. Furthermore, there is also a need to explore other
428 options such as the application of manure through integration of crop and animal
429 production. Research on the use of animal manure within the region is a priority,
430 because of its multiple benefits for grain production, the economy and ecosystem
431 services such as SOC sequestration.

432

433 **Author contribution**

434 Fanqiao Meng, Wenliang Wu and Yan Liao designed the experiments and Yan Liao
435 carried them out. Yan Liao and Pete Smith performed the calculations and data
436 analysis. Yan Liao, Fanqiao Meng, Pete Smith and Rattan Lal prepared the
437 manuscript with contributions from all co-authors.

438

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586
587

588 Table 1 Correlation analysis between SOC content of cropland and driving factors in
 589 Huantai county

	SOC	Temperature	Precipitation	Crop yield	N fertilizer rate	C input from crop residues
SOC	1					
Temperature	0.55**	1				
Precipitation	0.30	0.09	1			
Crop yield	0.79**	0.62**	0.30	1		
N fertilizer rate	0.38*	0.01	0.48**	0.55**	1	
C input from crop residues	0.91**	0.73**	0.35	0.89**	0.43*	1

590 ** means a highly significant correlation at the level of $p < 0.01$; * means a significant
 591 correlation at the level of $p < 0.05$

592

593

594 Table 2 Partial correlation analysis between SOC content of cropland and driving
 595 factors in Huantai county

Control variables	Partial correlation coefficient between SOC and the driving factor*	
Crop yield, C input from crop residues	SOC vs. Temperature	-0.42 (p=0.03, df=26)
Crop yield, C input from crop residues	SOC vs. N fertilizer rate	0.03 (p=0.86, df=26)
C input from crop residues, N fertilizer rate, Temperature	SOC vs. Crop yield	-0.08 (p=0.70, df=25)
N fertilizer rate, Temperature, crop yield	SOC vs. C input from crop residues	0.80 (p<0.0001, df=25)

596 *All of the partial correlation coefficients are 2-tailed.

597

598

599 Table 3 Topsoil (0-20 cm) SOC change from 1980s to 2000s in different agronomic
 600 regions of China*

Region	Site	Soil type (FAO)	Climate	Period	SOC	SOCD	SOCS	Literature
					%	Mg ha ⁻¹ yr ⁻¹	Tg yr ⁻¹	
	Our study				41	0.40	0.15	
North China	Quzhou	Fluvisols	Warm, semi-arid, temperate monsoon	1980-2000	31			Liu, et al., 2005
	Daxing	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2000	33			Hu, et al., 2006
	Hebei	Calcaric Cambisol	Warm, semi-arid, temperate monsoon	1984-2004		0.34	2.2	Xi, et al., 2013
	Henan	Fluvisols	Warm, semi-humid, temperate monsoon	1984-2004		0.32	2.8	Xi, et al., 2013
	Luancheng	Calcaric Cambisol	Warm, semi-arid, temperate monsoon	1979-2000	38			Zhang et al., 2003
Northeast China	Liaoning	Chernozems	Semi-humid, temperate continental monsoon	1984-2004		-0.57	-2.9	Xi, et al., 2010
	Jilin	Albic luvisols	Semi-humid temperate monsoon	1985-2005		-0.81	-7.2	Xi, et al., 2010
	Heilongjiang	Phaeozems	Cold temperate monsoon	1986-2006		-0.70	-5.5	Xi, et al., 2010
	Heilongjiang	Phaeozems	Cold temperate monsoon	1982-2002	-14			Yu et al., 2003
Northwest China	Yining	Calciustoll	Arid temperate continental	1981-2001	-9.3			Hou et al., 2003
	Akesu	Calcaric fluvisols	Arid, warm temperate	1982-2001	10			Li et al., 2002

			continental monsoon					
	Huangshui	Eutric cambisols	Arid, warm temperate continental monsoon	1981-2001	28			Chen et al., 2003
	Zhangye	Eutric cambisols	Arid, warm temperate continental monsoon	1982-2003		0.2		Zhang et al., 2009
East China	Jiangsu	Fluvisols/cambisols	Northern subtropical humid monsoon	1980-2000	34			Yu et al., 2003
	Jinhua	Haplic alisols	subtropical humid monsoon	1982-2002	2.6			Xie et al., 2003
	Xuzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2001		0.1~0.5		Zhang et al., 2009
Central China	Hunan	Haplic alisols	Humid, mid-subtropical monsoon	1984-2004		0.17	0.6	Xi, et al., 2013
	Hubei	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.31	1.2	Xi, et al., 2013
	Zhengzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1982-2003	35			Fu et al., 2004
	Taoyuan	Haplic alisols	Humid, mid-subtropical monsoon	1979-2003	32			Liu et al., 2006
	Jiangnan	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.62		Xi et al., 2013
Southern China	Hainan	Ferralic cambisols	Tropical monsoon	1984-2004		-0.16	-0.5	Xi, et al., 2013
	Binyang	Haplic acrisols	Tropical monsoon	1981-2001	19			Liu et al., 2006
	Pixian	Haplic	Sub-tropical	1981-2002	9.0			Wei et al., 2004

	acrisols	monsoon				
Yucheng	Haplic	Sub-tropical	1981-2002	19		Hu et al., 2004
	acrisols	monsoon				
Meitan	Haplic	Sub-tropical	1980-2001	67		Ding et al., 2002
	acrisols	monsoon				

601 *only the literatures with more than 20 years of experimental interval and more than 30
602 observation/sampling points were included.

603

604

605 Figure captions

606

607 Figure 1 Evolution of SOC content and density for cropland from 1982 to 2011 in
608 Huantai County. Error bars are highlighted only for the years which soil survey is
609 undertaken.

610

611 Figure 2 Change of SOC stock for farmland (cropland, vegetable land, farmland
612 converted to construction land) from 1982 to 2011 in Huantai County.

613

614 Figure 3 Regression analysis of driving factors with the years in Huantai. a)
615 temperature vs. year, b) yield vs. year, and c) carbon input vs. year.





