

Referee #3

(1) Soil carbon concentrations were measured using two different methods (pp. 16501-16502) that have shown to differ from each other. Most of the samples were analyzed by the potassium dichromate method and these samples were concentrated near the beginning and middle of the 20 year study period. However, soil samples collected towards the end of the 30 year study period were measured by dry combustion. Dry combustion usually yields higher carbon concentrations than wet chemical digestions, and this is documented in perhaps 20-30 different reports in the literature. For example, see Tivet et al. (2012) *Soil Sci. Soc. Am. J.* 76: 1048-1059; and, Islam (2006) *Encyclopedia of Soil Science* (R. Lal, ed.), pp. 1164-1167. Does this important methodological difference have any bearing on the rise in SOC concentration and density towards the end of the 30 year study period as illustrated in Figure 1 on page 16523 of the manuscript?

[AUTHORS]: We did a pre-experiment to compare the two different methods on the soil used in our study. Our result indicated that SOC contents determined by dry combustion and potassium dichromate titrimetric method are comparable and not significant different within the SOC level 7-12 g kg⁻¹. This meant that the SOC differences due to analytical methods are negligible for the soil in our study (Wang Pan-lei, Qin Feng-qin, Cai Pei, Meng Fan-qiao, Zhang Min, Comparison of Acidification and Soil Organic Carbon Determination for Semihumid Soils in North China, *Chinese Journal of Soil Science*, 2014, 45(4): 880-887) and will not lead to significant increase of SOC due to different method. However, we agreed with the reviewer that for SOC at high level (<12 g kg⁻¹), this difference should be taken into account.

(2) The authors indicate that bulk density was "interpolated" for apparently all of the soil samples taken during the 1982-2011 study period (page 16503, lines 8-9). I assume this means that there were either none or very few direct measurement of soil bulk density to accompany the soils that were collected for measurement of carbon concentration. Since bulk density has a very large and important impact on the calculation of soil carbon stocks, this strikes me as an important limitation to the value of this data set. Furthermore, bulk density can vary substantially across a landscape in response to soil physical characteristics, organic matter production and decay, land management practices, and variation in these factors through time. So, trying to simply interpolate this very important number could give rise to large and unknowable errors in the estimate of soil carbon stocks (mass per unit area).

[AUTHORS]: Our study aimed to analyze the impacts of agricultural intensification on soil carbon, and the relationship between SOC content and driving factors (climate and farming managements). The SOC content and its relationship with driving factors are not influenced by soil bulk density. The soil bulk density was included in calculation of the change of SOC storage within the past three decades and the minor error of soil bulk density will not change the general conclusion of this study. However, we revised the text of Discussion section at line 348-350 to highlight this issue.

Minor comments

Page 16499, Line 7: I'm not sure why there should be a tilde (~) in between the two numbers 1.3 and 21.2. This is also done on Page 16501 Line 14. Should this actually be a dash, or some other

symbol?

[AUTHORS]: Tilde has been replaced by dash at Line 51 and 113, and modification has also been done at other relevant lines of the MS.

Page 16499, Line 7: The units “million T C annually” are used in the middle of this line. It might be better to transform this into Teragrams (Tg) of C since you use Tg throughout the remainder of the paper.

[AUTHORS]: This has been revised at Line 51.

Page 16502, Line 4: The term “SOC content” is used here and in many subsequent locations throughout the manuscript. This is a vague term and should be replaced with “SOC concentration”.

[AUTHORS]: We have consulted several scientists on this issue and the responses are different. Some scientist thought that concentration is more appropriate for liquid. The term of “SOC content” or “carbon content” has also been in many papers, for example: Guohan Song, Lianqing Li, Genxing Pan, et al. Topsoil organic carbon storage of China and its loss by cultivation. *Biogeochemistry*, 2005, 74: 47-62; Pat H. Bellamy, Peter J. Loveland, R. Ian Bradley, et al. Carbon losses from all soils across England and Wales 1978–2003. *Nature*, 2005, 437(8): 245-248; Catherine E. Stewart, Keith Paustian, Richard T. Conant, et al. Soil carbon saturation: concept, evidence and evaluation. *Biogeochemistry*, 2007, 86:19-31. So in our MS we kept the expression of “SOC content”.

Referee #4

The paper analysed the change in soil carbon stocks and content over a period of three decades for a county in northern China. Although the paper is well written, and the authors collected a relevant data set, the paper lacks details on the methodology and data sources, which should be added. The subject of the paper is interesting, but does not really provide a substantial contribution to scientific progress, as there have been more studies that showed that agricultural intensification in China can lead to increased SOC levels.

[AUTHORS]: We hope our study can contribute to deeper and wider understanding of the impact of agricultural intensification on SOC levels in the following perspectives: 1) Long-term monitoring of SOC change at regional level. The study period in the study was 30 years (from 1982 to 2011), covering the most dynamic stage of Chinese agricultural development. Most studies on SOC change in China are around 20 years (Table 3); 2) High densities of soil sampling. The least number of samples was 199 in 2003 and the largest number was 3637 in 2006. Average number of soil samplings was about 800 sampling annually. 3) Evolvement of straw incorporation. Wheat straw incorporation started in 1988 and the farmland area under incorporation gradually increased gradually. Maize straw incorporation reached 70% of total farmland in 2008. These three features are quite unique among studies on relationship between SOC level and farming managements and will provide an interesting and important scientific evidences for better management of SOC.

For the methodology and data sources, we gave more details at line 113-115.

Minor comments:

* Page 16499, line 7: Unit not clear

[AUTHORs]: This has been revised at Line 51.

* Page 16499, line 25: here is mentioned that few if any study exist on the SOC content and stock change, however, later in Table 3 several studies are mentioned for Northern China, thus change this text.

[AUTHORs]: This has been revised accordingly at Line 70-72.

* Page 16500: Add also some information on the total size of the county

[AUTHORs]: We have added the data of 509 km² at Line 82.

* Page 16501: How many soil samples were derived from the Annual Soil Fertility Survey for each year?

[AUTHORs]: Information of soil sampling was included in a table in the early edition of this paper and the table was deleted according to the editor's suggestion. More information of annual soil fertility survey was added at line 114-115.

* Page 16501: It is not clear whether land use is also reported in the soil survey

[AUTHORs]: Land use was reported in the annual soil survey and was categorized to types of cropland, vegetable land and construction land.

* Page 16502: According to Pribyl (2010) a conversion factor of 0.5 would in most cases be more appropriate

[AUTHORs]: In China, 0.58 is a most commonly accepted conversion coefficient in soil organic matter/carbon research, and still adopted now in official soil organic matter monitoring program (Bao, S. D. Soil Agro-chemistry Analysis. Beijing: China Agriculture Press. 2005; Wu, H. B., Guo, Z. T., Peng, C. H. Land use induced changes of organic carbon storage in soils of China. Global Change Biology, 2003, 9: 305-315). We also used this coefficient in this paper to keep the consistence with the data of SOC in other studies like in Table 3. Thank the reviewer for this comment and in later research, we will consider the coefficient proposed by Pribyl (2010).

* Page 16502: At which level was the climate data obtained, average for the county or higher resolution? And temporal resolution?

[AUTHORs]: Climate data obtained is average for the county level. The data of temperature and precipitation is the daily values. We revised the text at Line 149-150.

* Page 16503: Data analysis section should be extended, explaining better how the data were calculated, how many samples, and average for each land use?

[AUTHORs]: Equation (3) and (4) are used for SOC density and SOC storage, respectively, applicable for cropland, vegetable land and construction land. During the years when the annual soil survey was implemented and detailed soil data was obtained, the number of soil

samplings ranged between 199 and 3637 with an average of 800 samples per year. Number of vegetable soils averaged 79. We added the information at Line 164-166.

*Page 16505 and Figure 2: How was the SOC stock under construction land determined? I would expect that this should be lower, as the top layer is often removed.

[AUTHORS]: Farmland protection is a basic policy in Huantai and China. When farmland is transferred to construction land, the top soil (0-30 cm) will be removed to other farmland or greenhouse. This meant that the process of construction/industrialization will not result in the significant loss of soil carbon. So the SOC content in the previous year was viewed as the SOC content of construction land after transformed from farmland.

* Page 16506, line 20: Not by precipitation, as that was not a significant correlation according to Table 1

[AUTHORS]: We revised the text at Line 256-258 as this is a general statement.

* Page 16508, line 1: Why was the C input from organic fertilizers not included in the analysis, although not significant, it could be added to Table 1.

[AUTHORS]: In Huantai, organic fertilizer was not applied in cropland since 1980s except in vegetable land and orchard. Our paper mainly analysis the relationship between SOC level and farming managements on cropland, so the C input from organic fertilizer was not included in the analysis.

* Page 16508: The N₂O emissions will be even higher, here only the direct emissions are accounted for, but including the indirect emissions (ammonia volatilization and leaching and runoff) and the emissions from crop residues will double this value

[AUTHORS]: We agree with the reviewer's point. The text was revised at Line 307–309.

References:

Pribyl, D.W. 2010. A critical review of the conventional SOC to SOM conversion factor. *Geoderma* 156, 75-83.

1 **Increase in soil organic carbon by agricultural intensification in Northern China**

2
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14
15 **Abstract.** Agricultural intensification has contributed greatly to the sustained food
16 supply of China's 1.3 billion population over the 30 year period during 1982-2011.
17 Intensification has several and widely recognized negative environmental impacts
18 including depletion of water resources, pollution of water bodies, greenhouse gas
19 emissions and soil acidification. However, there have been few studies over this
20 period on the impacts of intensification on soil organic carbon (SOC) at the regional
21 level. The present study was conducted in Huantai county, a typical intensive farming
22 region in Northern China, to analyze the temporal dynamics of SOC influenced by
23 climate and farming practices. The results indicate that from 1982 to 2011, SOC
24 content and stock in the 0-20 cm layer of the cropland increased from 7.8 ± 1.6 to
25 $11.0 \pm 2.3 \text{ g}\cdot\text{kg}^{-1}$ (41%) and 21 ± 4.3 to $33.0 \pm 7.0 \text{ Mg}\cdot\text{ha}^{-1}$ (54%), respectively. The
26 SOC stock (0-20 cm) of the farmland for the entire county increased from 0.75 to 1.2
27 Tg (59%). Correlation analysis revealed that incorporation of crop residues
28 significantly increased SOC, while increase in the mean annual temperature decreased
29 the SOC level. Therefore, agricultural intensification has increased crop productivity
30 and contributed to SOC sequestration in Northern China. In the near future, more
31 appropriate technologies and practices must be developed and implemented for a

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36 maintenance or enhancement of SOC in this region and elsewhere in Northern China,
37 that also reduce non-CO₂ greenhouse gas emissions, since the climate benefit from the
38 additional SOC storage is estimated to be smaller than the negative climate impacts of
39 N₂O from N fertilizer additions.
40

41 **Keywords:** Soil organic carbon, agricultural production intensification, crop residue
42 incorporation, nitrogen fertilizer, temperature, Northern China
43

44 **1 Introduction**

45 Increasing soil organic matter (SOM) storage in arable lands can ensure the
46 sustained supply of nitrogen (N) and other nutrients to crop growth and maintain
47 appropriate soil quality such as aeration, permeability, water-holding capacity and
48 nutrient preserving capacity (Smith et al., 2012). Globally, accumulation of SOM or
49 soil organic carbon (SOC) stock in arable lands, which contributes to the mitigation of
50 greenhouse effect and a concomitant improvement in soil fertility (Matson et al., 1997;
51 Sainju et al., 2009), may be achieved by a range of improved farming practices. These
52 practices include adoption of high-yielding crop varieties, balanced fertilization, crop
53 residue incorporation, no-till (NT) or reduced tillage, optimal irrigation, high cropping
54 intensity (Matson et al., 1997; Kucharik, et al., 2001). For instance, agricultural soils
55 in US had a carbon sink capacity of 1.3-21.2 Tg C annually from 1982 to 1997, due to
56 land use, NT, higher cropping intensity etc. (Eve et al., 2002; Ogle et al., 2003). The
57 rate of increase in SOC stock in Canada was 5.7 Tg C yr⁻¹ during 1991-2001 (Vanden
58 Bygaart et al., 2004). In The Netherlands, the SOC content of arable land increased by
59 about 0.08 g kg⁻¹ yr⁻¹ between 1984 and 2004 (Reijneveld et al., 2009). Benbi and
60 Brar (2009) reported that SOC in the Punjab state of India increased from 2.9 to 4.0 g
61 kg⁻¹ (38%) between 1981 and 2006, largely resulting from irrigation, optimal
62 fertilization, and an increase in crop productivity.

63 Northern China is one of the most important agricultural regions, producing 60-80%
64 and 35-40% of nation's wheat (*Triticum aestivum* L.) and maize (*Zea mays* L.),
65 respectively (NBSC, 2014). Similar to other regions in Northern China, Huantai

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68 county has experienced the agricultural intensification process including a high
69 cropping intensity (200%, winter wheat, summer maize), high fertilizer rate (500-600
70 kg N ha⁻¹ yr⁻¹), frequent irrigation and tillage, and an increasing ratio of crop residues
71 incorporation since the 1980s (Shi et al., 2013; Kong et al., 2014). Agricultural
72 intensification increased crop yield within a short period. For example, Huantai
73 county achieved production of >15 Mg grains (wheat + maize) ha⁻¹ yr⁻¹ for all of its
74 farmland since 1990. The effect of agricultural intensification on increasing crop
75 yields has been well documented, however, most of the research done on SOC
76 sequestration in agricultural soils is confined to long-term plot-scale experiments.
77 Studies available at national or region levels were within short period, especially in
78 Northern China (<20 years), where is characterized by low levels of SOM.

79 We collected three-decades of data of climate, farm management and crop yield
80 from Huantai county, to: 1) analyze the evolution of SOC at the regional level from
81 1982 to 2011, and 2) establish the cause-effect relationship between the driving forces
82 and SOC change. The results derived from this study may contribute to improved
83 farm management for the long-term sustainable agricultural development in the
84 intensive farming of Northern China and elsewhere.

85

86 2 Materials and methods

87 2.1 Study area

88 Huantai county, with an area of 509 km², is located in Northern China
89 (36°51'50"-37°06'00" N latitude and 117°50'00"-118°10'40" E longitude). It is
90 characterized by a warm temperate continental monsoon climate, with annual average
91 temperature of 13.4 °C and annual precipitation of 604 mm. The rainfall occurs
92 mainly in June, July and August; with the annual frost-free season of about 198 days.
93 Slope gradient of the landscape is low in northern and high in southern regions, with
94 an average altitude of 6.5-29.5 m, falling gently from southwest to northeast. The
95 main soil types, according to the US soil classification system, include Haplustalfs,
96 Aquepts and Vertisols. The household contract responsibility system was
97 implemented in Huantai county in 1980, and land productivity has increased

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114 significantly with an increase in fertilizer input, frequent irrigation and adoption of
115 high-yielding varieties. In 1990, Huantai county became the first *Dun Liang County*
116 which achieved high productivity of one Mg of grain per mu for the whole county (1
117 mu=1/15 ha) in China. Vegetable production has also been intensified in the county
118 since 1990s.

119

120 2.2 Data Collection

121 The soil, climate and farming data were collected between 2011 to 2013 from the
122 sources as described below:

123 - *The 2nd National Soil Survey*: The 2nd National Soil Survey in China was
124 conducted during 1981-1983, and was undertaken in 1982 for Huantai county. The
125 survey collected and analyzed soil samples for genesis, physical, chemical and
126 biological properties. The SOM content was tested using the potassium dichromate
127 titrimetric method (Jankauskas et al., 2006). The SOM data in this study was obtained
128 for 258 soil samples (0-20 cm), together with the corresponding GPS location
129 coordinates.

130 - *Annual Soil Fertility Survey*: The annual SOM data of farmland soil (0-20 cm)
131 were collected from the Soil Fertility Survey, a program undertaken by the county
132 agricultural extension since 1987. Every year, samples from the topsoil layer were
133 collected after the autumn harvest and analyzed for pH, SOM and nutrient contents.
134 Soil sampling in the survey was stratified according to the division of administrative
135 villages. Each village had at least one composite soil sample, representing 6.7-33.3 ha
136 of farmland. The number of soil samples was from 199 (in 2003) to 3637 (in 2007)
137 and the average was 786 each year. The SOM content was determined by the
138 potassium dichromate titrimetric method (Jankauskas et al., 2006). The data for 1988,
139 1991, 2000, 2001, 2004, 2005 and 2010 were for each town as the survey was only
140 done at the township level. As there were no data for the period 1983 to 1986, it was
141 assumed that a steady change in SOC occurred during this period. Thus, the average
142 SOC content for the whole county was obtained by interpolation based on the data
143 from 1982 and 1987.

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146 - *Soil Sampling and Measurement Program*: In September 2011, a soil survey
147 was implemented for the whole county. Soil samples were obtained from 0-20 cm
148 depth of the farmland (including cropland and vegetable land), in an evenly
149 distributed 2 × 2 km grid. Each sample was composited from three collection points.
150 The GPS location was recorded for each sampling point. Soil was ground and passed
151 through a 0.15 mm sieve. For the SOC analysis, 2-3 g of the soil sample was weighed
152 into the beaker, and then 20 mL HCl of 0.5 mol·L⁻¹ was added for acidification and
153 removal of carbonates. Samples were then transferred into a rotary oscillator
154 (Ronghua, HY-B) to shake for 30 min. After standing for 12 h, deionized water was
155 used to remove the acid from soil samples until neutral pH was obtained. Soil samples
156 were dried in the oven at 60 °C and weighed. The SOC content was determined by a
157 C and N Elemental Analyzer (Thermo EA flash 1112). As acidification led to soil
158 mass loss, the SOC data were corrected using Eq. (1):

159

$$160 \quad W_{soil2} = \frac{m_{soil1} \times W_{soil1}}{m_{soil2}} \quad (1)$$

161

162 Where, W_{soil2} is the corrected SOC content, m_{soil1} is the soil mass after
163 acidification, W_{soil1} is the SOC content after acidification and m_{soil2} is the soil mass
164 before acidification. The comparative study of SOC content determined by Elemental
165 Analyzer and potassium dichromate titrimetric method indicated that these two
166 methods are comparable and the differences in between are not significant (Wang et
167 al., 2014).

168 - *Climate and farm management*: Data were obtained for land use (e.g. area under
169 crops, vegetables and urban use), grain and straw yields of wheat and maize, nitrogen
170 fertilizer rate, and the rate of straw incorporation from the Huantai agricultural
171 yearbook of 1982 - 2011. Climate data for temperature and precipitation were
172 obtained from the China Climatic Data Center, National Meteorological Information
173 Center, CMA (<http://cdc.cma.gov.cn>). The temperature and precipitation data was
174 daily value for the county level.

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177 - *Calculation of SOC density and stock*: The Van Bemmelen conversion factor,
178 namely that SOM contains 58% carbon (Page et al., 1982), was used to compute SOC
179 content in (Eq. (2)). The SOC density and stock were computed by Eq. (3) and Eq. (4),
180 respectively:

181

$$182 \quad SOC = SOM \times 0.58 \times 10 \quad (2)$$

$$183 \quad SOCD = SOC \times \gamma \times H \times 10^4 \quad (3)$$

$$184 \quad SOCS = SOCD \times S \times 10^{-9} \quad (4)$$

185

186 where, SOC is the soil organic carbon content (g kg^{-1}), SOM is the soil organic
187 matter content (%), SOCD is the SOC density (kg ha^{-1}), γ is the soil bulk density (BD,
188 g cm^{-3}), H is the thickness (m) of soil layer (0-20 cm), SOCS is the SOC stock (Tg)
189 for the whole county, and S is the farmland area (ha). Soil BD values were
190 interpolated over years from measured values taken in 1982 and 2011. Average
191 number of cropland and vegetable land soil samples was 786 and 79, respectively. For
192 the calculation of SOCS, we assumed that the SOC content of land for industrial or
193 residential use was maintained at the same level after the farmland was converted.

194 2.3 Data analysis

195 The Kolmogorov-Smirnov test was used in the SPSS Statistics 17.0 package to
196 determine if SOC content followed a normal distribution. Central tendency, dispersion
197 degree and distribution characteristics of SOC data were calculated. Pearson
198 correlation analysis and/or partial correlation analysis were conducted between SOC
199 content and driving factors including mean annual temperature, mean annual
200 precipitation, grain yield, nitrogen fertilizer rate and straw C incorporation. A
201 multivariate regression model was developed to account for the impact of these
202 factors on temporal SOC change in Huantai county.

203

204 3 Results

205 3.1 Evolution of SOC content and density between 1982 and 2011

207 Figure 1 presents the dynamic changes of topsoil SOC content and density for the
208 cropland (winter wheat-summer maize) from 1982 to 2011. The mean increase in
209 cropland from 1982 to 2011 was $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.3 \text{ g kg}^{-1}$ for SOC content
210 and, $21.4 \pm 4.3 \text{ Mg ha}^{-1}$ to $33.0 \pm 7.0 \text{ Mg ha}^{-1}$ for SOC density, with rates of increase of
211 41% and 54%, respectively. The mean SOC content of vegetable land increased
212 similarly as cropland, i.e., from $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.8 \text{ g kg}^{-1}$ (data not shown).
213 This trend indicates that the rate of increase in SOC content and density of cropland
214 (0-20 cm) in Huantai county since the early 1980s was $0.11 \text{ g kg}^{-1} \text{ yr}^{-1}$ and 0.40 Mg
215 $\text{ha}^{-1} \text{ yr}^{-1}$, respectively. The growth of SOC density was significantly related to
216 increasing SOC content, but also supported by the increase in soil BD in the 0-20 cm
217 layer of the farmland (1.4 g cm^{-3} in 1982 to 1.5 g cm^{-3} in 2011).

218

219 | 3.2 Change of SOC stock in Huantai county from 1982 to 2011

220 An adjustment in the local agricultural sector altered the land use between 1982
221 and 2011 in Huantai county. The farmland area in 1982 was 35204 ha, of which more
222 than 99% was under winter wheat - summer maize cropping (cropland). Due to the
223 expansion of vegetable production since 1990s, land used for vegetable production
224 increased to about 20% by the early 2000s, and has remained constant until 2011 at
225 | about 6000 ha. The average SOC content of vegetable land is not significant different
226 from that of cropland ($11.0 \text{ vs. } 11.0 \text{ g kg}^{-1}$ in 2011 for whole county). Some farmland
227 was converted to construction use with the expansion of industry and urban land uses.
228 Of the 31% reduction of farmland area between 1982 and 2011, 16% was used for
229 vegetable farming and 15% converted to construction land.

230 Although area under cropland decreased from 35,204 ha in 1982 to 24,343 ha in
231 2011, the SOC stock of cropland (0-20 cm) increased from 0.75 ± 0.15 to 0.80 ± 0.17
232 Tg C (an increase by 6.7%, Fig. 2). When the SOC stock in vegetable land and
233 construction land (converted from cropland and for industrial and residential use. The
234 area increased every year as urbanization took place) was also included, total SOC
235 stock of the farmland in the Huantai county was estimated to be 1.2 Tg C in 2011,

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237 | with a total increase of 59% compared to the 0.75 ± 0.15 Tg C in 1982 (Fig. 2).
238

239 3.3 The cause-effect relationship governing change in SOC level

240 Among the natural/climate forces which can influence SOC level, mean air
241 temperature in Huantai county increased within the period of 1982 to 2011, with a
242 relationship represented by the regression equation $y = 0.073x + 12.2$ ($R^2 = 0.67$,
243 $P < 0.0001$, Fig. 3a). However, there was no significant change in precipitation over
244 this period. Nitrogen fertilizer input for farmland was $400 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in the 1980s
245 and peaked at about $600 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in 1994, followed by a decline to 500 kg N ha^{-1}
246 yr^{-1} in 2011. There were also significant increases in grain yield over this period ($R^2 =$
247 0.63 , $P < 0.0001$, Fig. 3b). The carbon input between 1982 and 1987 was estimated at
248 about 800 to $1000 \text{ kg C ha}^{-1} \text{ yr}^{-1}$, mainly through roots. However, crop production
249 experienced a rapid growth after 1988, and crop residues (mainly wheat straw) were
250 returned to the soil, leading to a significant increase in the input of biomass-C,
251 especially the aboveground C. As much as 70% of maize straw was also incorporated
252 to the farmland from 2007 onward, leading to a total C input of $> 8000 \text{ kg ha}^{-1} \text{ yr}^{-1}$ ($R^2 =$
253 0.90 , $P < 0.0001$, Fig. 3c).

254 Correlation and regression analyses were performed between SOC of cropland
255 and driving factors, i.e., temperature, precipitation, crop (wheat and maize) yield, N
256 fertilizer rate and C input from crop residues (Table 1). There was a highly significant
257 correlation ($P < 0.01$) between SOC content and temperature, crop yield, C input from
258 crop residues, with correlation coefficients (r) of 0.55, 0.79 and 0.91, respectively.
259 The correlation between SOC and N fertilizer rate was also significant ($r = 0.38$,
260 $P < 0.05$). However, there was no significant correlation between SOC content and the
261 mean annual precipitation.

262 A partial correlation analysis was conducted to determine the relationship
263 between SOC content of cropland with any one major driving factor, as the effect of a
264 set of controlling random variables removed (Table 2). The data indicated a highly
265 significant and positive correlation between SOC content and the C input from crop
266 residues ($r = 0.80$, $P < 0.0001$), but a negative correlation between SOC content and

267 annual mean temperature ($r=-0.42$, $P=0.027$). The weak positive correlation between
268 SOC content and N fertilizer rate ($r=0.03$, $P=0.86$), and weak negative correlation
269 between SOC and crop yield ($r=-0.08$, $P=0.70$), indicated that N fertilizer and
270 increasing crop yield did not contributed to the augment of SOC in Huantai from 1982
271 to 2011. As the effect of C input from crop residues was removed during partial
272 correlation, the rising temperature during the past 3 decades significantly decreased
273 the cropland SOC content in Huantai, or promoted the SOC decomposition. In
274 addition to the partial correlation analysis, a multivariate regression model was also
275 developed as follows:

$$276 \quad Y=12.0 - 0.31 \text{ Temperature} - 0.0003 \text{ Precipitation} - 0.0006 \text{ Nitrogen} + 0.0005 \text{ C}$$
$$277 \quad \text{input} - 0.0001 \text{ Yield} \quad (r^2=0.88)$$

278 where, Y is the SOC content in g kg^{-1} . The multi-variate regression analysis also
279 confirmed the positive impact of the input of crop residue carbon and negative impact
280 of the increase in temperature.

281

282 4 Discussion

283 4.1 Driving factors for SOC accumulation

284 The SOC level of farmland was influenced by climate (mainly temperature and
285 precipitation) and farming practices, including crop residue incorporation, N fertilizer
286 use, crop yield etc. (Khan et al. 2007; Ladha et al. 2011).

287 *Climate factors:* Climate warming may increase the rate of SOM decomposition,
288 while the effect of precipitation on SOC is mostly known to be positive because in
289 general SOC increases with increase in precipitation (Post et al., 1982). Whereas air
290 temperature in the Huantai region has significantly increased since the 1980s, the
291 precipitation has not. There is a significant positive correlation between the
292 temperature and SOC content (Table 1), however the partial correlation analysis
293 showed that the correlation was negative ($r=-0.42$, $p=0.03$, Table 2), indicating that
294 SOC in Huantai county was enhanced by factors other than temperature and
295 precipitation. Indeed, it may take much longer (~50 yrs) to observe the effect of
296 climate change on SOC level (De Bruijn et al., 2012), indicating a strong need for

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304 long-term research.

305 *Nitrogen fertilizer*: In general, N is the most limiting nutrient in crop production
306 systems (Robertson and Vitousek, 2009). It promotes the production of crop dry
307 matter (and therefore C input to the soil) while chemically stabilizing C in the soil,
308 thereby potentially increasing soil C storage (Paustian et al., 1997). A high input of N
309 fertilizer was a prominent feature of farming in Huantai county, where average N
310 fertilizer rate increased from 400 kg N ha⁻¹ yr⁻¹ in the 1980s to 600 kg N ha⁻¹ yr⁻¹ in
311 the 1990s. However, the rate of N fertilizer gradually declined and stabilized at 500
312 kg N ha⁻¹ yr⁻¹ in the 2010s. Such a trend is attributed to the increased use of machinery
313 in agriculture and the extension of formula fertilization techniques adopted in the
314 region. The strategy was to balance the N fertilization rate in consideration of the high
315 grain output (>15 Mg grain ha⁻¹ yr⁻¹). However, the rate of SOC increase was still
316 high during this period, as indicated by a weak positive correlation between N
317 fertilizer rate and SOC level over the 30-year period (Table 1). The vegetable
318 production in Huantai county is open-field and there is less organic fertilizer input
319 (3.5 t ha⁻¹ yr⁻¹) compared with other regions like Shouguang (>15 t ha⁻¹ yr⁻¹) in China,
320 SOC increase due to organic fertilizers is not significant and at the same level as
321 cropland (11.0 vs. 10.9 g kg⁻¹ in 2011).

322 A few studies (Khan et al., 2007; Mulvaney et al., 2009) have reported that even
323 the long-term input of a massive amount of residue-C and synthetic N fertilizer do not
324 sequester SOC; this was not the case with intensification of cropland in Northern
325 China. A major factor lies in the concentration of the principal parameter (i.e., SOM)
326 which was significantly lower in cropland soils of Northern China than those of the
327 U.S. Corn Belt (9 vs. 25 g kg⁻¹, Ludwig et al., 2011) or countries of the western
328 Europe, because large areas of farmlands in Northern China were affected by
329 saline-alkaline processes prior to 1970s. The initial low crop productivity in the
330 temperate region where N fertilization rate was low resulted in higher SOC level in
331 Northern China with increasing biomass input achieved by higher input of N. Similar
332 trends have been reported by other studies from around the world (Song et al., 2005;
333 Alvarez, 2005). Nonetheless, it is important to point out that any excessive application

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336 of mineral N not only increases the production cost, but also exacerbates the negative
337 environment effects, including nitrate contamination of ground and surface waters and
338 N₂O emission to the atmosphere (Triberti et al., 2008). Carbon sequestration effects
339 should be valued in a systematic approach (Wang and Cao, 2011). Indeed, the climate
340 benefit from the additional SOC storage is smaller than the climate damage caused by
341 N₂O from N fertilizer additions (N₂O emissions are estimated (conservatively) to be
342 ~57 kt CO₂-eq. yr⁻¹, assuming the smallest farmland area of 24343 ha and current
343 stable N application rate of 500 kg N ha⁻¹ yr⁻¹ using the IPCC Tier 1 default Emission
344 Factor of 0.01; soil C storage over the period is equivalent to 54 kt CO₂-eq. yr⁻¹). If
345 the indirect emissions (ammonia volatilization, leaching and runoff) are considered,
346 the environmental effect of nitrogen fertilizer application will be more serious.

347 Balanced fertilization should be widely promoted, for optimization of the
348 integrated economic benefits and ecosystem services. It is important to understand
349 that increasing input of N fertilizer increased SOC only when crop residues were
350 returned to the soil. There may have been either no, or only a slight increase in SOC
351 level, if the aboveground crop residues were removed or burnt (Alvarez, 2005) so N
352 fertilization in itself is not a suitable strategy to increase SOC, particularly considering
353 the over-riding effects of N₂O emissions from the N fertilizer.

354 *Grain yield:* Grain yield in Huantai county increased from 7200 kg ha⁻¹ yr⁻¹ in
355 1982 to 16117 kg ha⁻¹ yr⁻¹ in 2011 (an increase of 124%). The highly significant
356 correlation ($r=0.79$, $P<0.01$) between SOC content and grain yield indicates the
357 importance of SOM to achieving high crop productivity, and *vice versa* (Pan et al.,
358 2009). Indeed, the interdependence between crop yield and SOM content is widely
359 recognized (Lal, 2002; 2013). Increase in the SOC pool of 1 Mg C ha⁻¹ in the root
360 zone can increase annual food production by 30-50 million Mg in developing
361 countries (Lal, 2013). Meta-analysis indicated that crop yield greatly increased by
362 crop residue retention ($P<0.001$), particularly in upland China (Liu et al., 2014). Qiu
363 et al. (2009) estimated that for every increase of 1 g C kg⁻¹ of SOC in Huantai county,
364 grain yield could increase by 454 kg ha⁻¹. In Northern China, beneficial effects of crop

365 yield increase will be enhanced as the strategy of returning crop residues is extended
366 to other regions of Northern China.

367 *Carbon input from crop residues:* Carbon input is one of the most efficient factors
368 for the accretion of SOC, which is also confirmed by the highly significant correlation
369 between SOC content and C input from crop residue incorporation ($r=0.80$, $P<0.0001$,
370 Table 2). Similar results have also been reported by other scientists (Freibauer et al.,
371 2004). Smith et al. (2005; 2012) reported that input of crop residues could attain the
372 highest rate of C sequestration ($0.7 \text{ Mg C ha yr}^{-1}$) in comparison with that of merely
373 $0.2 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ with the input of mineral N fertilizer. In our study, there are two
374 SOC increase stages, one early (1987-1992) and one late (2007-2012), which the early
375 one coincides nicely with increased wheat residue incorporation and the late one with
376 the increased maize residue incorporation. Again, it highlights the significance of crop
377 straw input for the building of soil organic matter. In comparison with data from other
378 countries like India ($\sim 1 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Srinivasarao et al., 2014) or US ($\sim 4.2 \text{ Mg C}$
379 $\text{ha}^{-1} \text{ yr}^{-1}$, Johnson et al., 2006), the input of residue-C in Northern China is much
380 higher ($\sim 8 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Fig. 3c), for maintaining a rapid rate of increase of SOM.
381 Thus, rate of input of residue-C is the principal determinant of the rate of increase of
382 SOM: the annual rate of SOM increase being lower in India, intermediate in the U.S.
383 and high in the North China Plains. Since retention of the entire amount of residues of
384 maize in North China started from 2007/2008, the accretion of SOC is projected to
385 continue for another two to three decades until the mid-2040s. It should also be noted
386 that in our study, soil bulk density from 1983 to 2011 was interpolated and this could
387 give rise to errors in the SOC storage estimation.

388 Miao et al. (2011) reported that the significance of N fertilizer and crop residues
389 incorporation to the maintenance and increase of the SOM. Retention of residues
390 (wheat and maize) in conjunction with appropriate rate of N fertilization have been
391 properly implemented in Northern China where the antecedent levels SOM are much
392 lower than those in northern America and western Europe. Therefore, a judicious
393 continuation of these practices will continue to accumulate SOM for a long time to
394 come. However, similar trends may not occur under all situations. For example, Khan

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398 et al. (2007) explained that after attaining a steady state, it is unlikely that SOC will
399 continue to increase, and may even decline with continuous use of synthetic N,
400 because of the enhanced activities of heterotrophic soil microorganisms in using
401 crop-derived residues or SOM. In the context of Northern China, therefore, it is likely
402 that the increase in SOC level will continue because of the improved crop productivity
403 and retention of crop residues, but it will eventually attain a new equilibrium. Some
404 other SOC-enhancing practices including application of residue-based animal manure
405 derived from the same land unit, contribute further to SOC sequestration. In addition,
406 higher level of mechanization during the agricultural intensification process may
407 increase the soil BD (about 7% in our study), and this also contributed to the increase
408 of SOC stock and should not be ignored when quantifying of farmland carbon
409 sequestration.

410

411 4.2 Comparison of SOC accretion through agricultural intensification in different 412 regions of China

413 Agriculture in China has grown rapidly over the three decades since 1982,
414 primarily because of the Household Contract Responsibility System and adoption of
415 the open policy. Further, the intensification process achieved some economic and
416 environmental benefits (Firbank et al., 2013). In the case of Northern China, however,
417 there were also problems with increases in soil compaction and water pollution over
418 the period of three decades.

419 Among all agronomic regions, Northern China registered the highest rate of SOC
420 sequestration. In contrast, however, the SOC level has declined in Northeast China
421 since the 1980s (Table 3). Northeast China, one of the few world regions
422 characterized by the black soil (Phaeozems) and cold climate, has high antecedent
423 SOC content ($\sim 20 \text{ g kg}^{-1}$ in uncultivated soils). Thereafter, cultivation and intensive
424 farming after the 1980s have increased the rate of decomposition of SOM along with
425 a low input of organic materials and biomass-C. The SOC level in Northern China
426 was extremely low (5 to 10 g kg^{-1}) in comparison with the soils of Northeast China
427 and other regions. This trend can be explained by the fact that Northern China has a

428 long history of low crop productivity, long dry season (Stockmann et al., 2013), sandy
429 soil texture and low input of organic amendments. Application of N fertilizer and
430 retention of crop residues has increased the SOC level more in dry lands of Northern
431 China than in those of paddy soils of the lowlands (Liu et al., 2014). Huantai county
432 and other agronomic regions (except Northeast China) in China also witnessed
433 increase in SOC level, mainly attributed to the practices of fertilization (synthetic and
434 organic), increase in crop yield, retention of crop residues, adoption of conservation
435 tillage and use of organic amendments (Table 3). The 0-30 cm layer of soils of
436 cropland in China have gained SOC at the rate of 17-28 Tg C yr⁻¹ between 1980 and
437 2000 (Huang et al., 2010), which is similar to the estimates of 25-37 Tg C yr⁻¹
438 reported by Lal (2002).

439 Agricultural intensification in China is an ongoing process, and is progressively
440 evolving over time. For instance, since 2012, maize residues from some cropland have
441 been harvested by Huantai farmers for use as cattle feed and the eventual return of the
442 animal manure to cropland. It is possible that the efficiency of SOM accretion through
443 animal manure is higher than that of returning maize straw (Wilhelm et al., 2007),
444 which may result in yet another period of SOM accretion at the regional level with
445 proper dissemination of this technology (Ladha et al., 2011). Similar to northern
446 China, other important grain production region like Midwest US also experienced the
447 stage of SOC accumulation, although the practices (residue management, non- or
448 reduced tillage and crop rotation) are different (Ogle et al., 2003; Jelinski and
449 Kucharik, 2009). Adoption of conservation agriculture (NT or minimum tillage) may
450 be another option for SOC sequestration. However, its applicability and efficiency
451 need to be validated through long-term research.

452

453 5 Conclusions

454 The study of the impact of agricultural intensification on SOC content and stock
455 was conducted in the Huantai county, which is a representative region in Northern
456 China. The farmland SOC stock of the whole county increased by more than 50%
457 over three decades from 1982-2011. Among several improved farming practices,

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462 retention of crop residues strongly contributed to the restoration of SOC, but there
463 was no synergistic effect between N fertilization rate and crop yield on increase in
464 SOC. The SOC content decreased with increase in mean annual temperature. The
465 temporal change in SOC was significantly influenced by the evolution of the practice
466 of retention of crop residues through implementation of some local farming policies.
467 The data support the conclusion that agricultural intensification may both increase
468 crop productivity and enhance some ecosystem services, such as SOC sequestration in
469 croplands of Northern China. However, current farming practice (e.g., retention of
470 crop residues) may not always linearly increase SOC over time, indicating a strong
471 need for a long-term research. Furthermore, there is also a need to explore other
472 options such as the application of manure through integration of crop and animal
473 production. Research on the use of animal manure within the region is a priority,
474 because of its multiple benefits for grain production, the economy and ecosystem
475 services such as SOC sequestration.

476

477 **Author contribution**

478 Fanqiao Meng, Wenliang Wu and Yan Liao designed the experiments and Yan Liao
479 carried them out. Yan Liao and Pete Smith performed the calculations and data
480 analysis. Yan Liao, Fanqiao Meng, Pete Smith and Rattan Lal prepared the
481 manuscript with contributions from all co-authors.

482

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637
638

639 Table 1 Correlation analysis between SOC content of cropland and driving factors in
 640 Huantai county

	SOC	Temperature	Precipitation	Crop yield	N fertilizer rate	C input from crop residues
SOC	1					
Temperature	0.55**	1				
Precipitation	0.30	0.09	1			
Crop yield	0.79**	0.62**	0.30	1		
N fertilizer rate	0.38*	0.01	0.48**	0.55**	1	
C input from crop residues	0.91**	0.73**	0.35	0.89**	0.43*	1

641 ** means a highly significant correlation at the level of $p < 0.01$; * means a significant
 642 correlation at the level of $p < 0.05$

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 644

645 Table 2 Partial correlation analysis between SOC content of cropland and driving
 646 factors in Huantai county

Control variables	Partial correlation coefficient between SOC and the driving factor*	
Crop yield, C input from crop residues	SOC vs. Temperature	-0.42 (p=0.03, df=26)
Crop yield, C input from crop residues	SOC vs. N fertilizer rate	0.03 (p=0.86, df=26)
C input from crop residues, N fertilizer rate, Temperature	SOC vs. Crop yield	-0.08 (p=0.70, df=25)
N fertilizer rate, Temperature, crop yield	SOC vs. C input from crop residues	0.80 (p<0.0001, df=25)

647 *All of the partial correlation coefficients are 2-tailed.

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650 Table 3 Topsoil (0-20 cm) SOC change from 1980s to 2000s in different agronomic
 651 regions of China*

Region	Site	Soil type (FAO)	Climate	Period	SOC	SOCD	SOCS	Literature	
					%	Mg ha ⁻¹ yr ⁻¹	Tg yr ⁻¹		
Our study					41	0.40	0.15		
North China	Quzhou	Fluvisols	Warm, semi-arid, temperate monsoon	1980-2000	31			Liu, et al., 2005 M 1/23/2015 3:59 PM 已删除: ~	
	Daxing	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2000	33			Hu, et al., 2006 M 1/23/2015 3:59 PM 已删除: ~	
	Hebei	Calcaric	Warm, semi-arid, temperate monsoon	1984-2004		0.34	2.2	Xi, et al., 2013	M 1/23/2015 3:59 PM 已删除: ~
		Cambisol							
Henan	Fluvisols	Warm, semi-humid, temperate monsoon	1984-2004		0.32	2.8	Xi, et al., 2013 M 1/23/2015 3:59 PM 已删除: ~		
	Luancheng	Calcaric	Warm, semi-arid, temperate monsoon	1979-2000	38		Zhang et al., 2003	M 1/23/2015 4:03 PM 已删除: ~	
		Cambisol							
Northeast China	Liaoning	Chernozems	Semi-humid, temperate continental monsoon	1984-2004		-0.57	-2.9	Xi, et al., 2013 M 1/23/2015 4:02 PM 已删除: ~	
								Jilin	Albic luvisols
	Heilongjiang	Phaeozems	Cold temperate monsoon	1986-2006		-0.70	-5.5	Xi, et al., 2013 M 1/23/2015 4:02 PM 已删除: ~	
	Heilongjiang	Phaeozems	Cold temperate monsoon	1982-2002		-14		Yu et al., 2013 M 1/23/2015 3:59 PM 已删除: ~	
Northwest China	Yining	Calcistoll	Arid temperate continental	1981-2001		-9.3		Hou et al., 2013 M 1/23/2015 3:59 PM 已删除: ~	
	Akesu	Calcaric	Arid, warm	1982-2001		10		Li et al., 2002	

		fluvisols	temperate continental monsoon							
	Huangshui	Eutric cambisols	Arid, warm temperate continental monsoon	1981-2001	28				Chen et al, 2003	
	Zhangye	Eutric cambisols	Arid, warm temperate continental monsoon	1982-2003		0.2			Zhang et al., 2009	
East China	Jiangsu	Fluvisols/cambisols	Northern subtropical humid monsoon	1980-2000	34				Yu et al., 2003	
	Jinhua	Haplic alisols	subtropical humid monsoon	1982-2002	2.6				Xie et al., 2003	
	Xuzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2001		0.1~0.5			Zhang et al., 2009	
Central China	Hunan	Haplic alisols	Humid, mid-subtropical monsoon	1984-2004		0.17	0.6		Xi, et al., 2013	M 1/23/2015 4:00 PM 已删除: ~
	Hubei	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.31	1.2		Xi, et al., 2013	M 1/23/2015 4:00 PM 已删除: ~
	Zhengzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1982-2003	35				Fu et al., 2012	正版用户 1/25/2015 2:44 PM 已删除: Hunan
	Taoyuan	Haplic alisols	Humid, mid-subtropical monsoon	1979-2003	32				Liu et al., 2012	正版用户 1/25/2015 2:45 PM 已删除: Humid,
	Jiangnan	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.62			Xi et al., 2013	正版用户 1/25/2015 2:45 PM 已删除: mid-subtropical monsoon
										正版用户 1/25/2015 2:45 PM 已删除: 1984-2004
Southern China	Hainan	Ferralic cambisols	Tropical monsoon	1984-2004		-0.16	-0.5		Xi, et al., 2013	正版用户 1/25/2015 2:45 PM 已删除: 0.35
	Binyang	Haplic acrisols	Tropical monsoon	1981-2001	19				Liu et al., 2013	正版用户 1/25/2015 2:46 PM 已删除: Xi et al., 2013
										M 1/23/2015 4:00 PM 已删除: ~

Pixian	Haplic acrisols	Sub-tropical monsoon	1981-2002	9.0	Wei et al., 2004
Yucheng	Haplic acrisols	Sub-tropical monsoon	1981-2002	19	Hu et al., 2004
Meitan	Haplic acrisols	Sub-tropical monsoon	1980-2001	67	Ding et al., 2002

679 *only the literatures with more than 20 years of experimental interval and more than 30
680 observation/sampling points were included.
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692 Figure captions

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694 Figure 1 Evolution of SOC content and density for cropland from 1982 to 2011 in
695 Huantai County. Error bars are highlighted only for the years which soil survey is
696 undertaken.

697

698 Figure 2 Change of SOC stock for farmland (cropland, vegetable land, farmland
699 converted to construction land) from 1982 to 2011 in Huantai County.

700

701 Figure 3 Regression analysis of driving factors with the years in Huantai. a)
702 temperature vs. year, b) yield vs. year, and c) carbon input vs. year.





