

1 **Radiative forcing bias of simulated surface albedo modifications**  
2 **linked to forest cover changes at northern latitudes**

3 RUNNING TITLE: On albedo bias in climate models

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## 16 **Abstract**

17 In the presence of snow, the bias in the prediction of surface albedo by many climate models  
18 remains difficult to correct due to the difficulties of separating the albedo parameterizations  
19 from those describing snow and vegetation cover and structure. This can be overcome by  
20 extracting the albedo parameterizations in isolation, by executing them with observed  
21 meteorology and information on vegetation structure, and by comparing the resulting  
22 predictions to observations. Here, we employ an empirical dataset of forest structure and  
23 daily meteorology for three snow cover seasons and for three case regions in boreal Norway  
24 to compute and evaluate predicted albedo to those based on daily MODIS retrievals. Forest  
25 and adjacent open area albedos are subsequently used to estimate bias in top-of-the-  
26 atmosphere (TOA) radiative forcings (RF) from albedo changes ( $\Delta\alpha$ , Open - Forest)  
27 connected to land use and land cover changes (LULCC).

28 As expected, given the diversity of approaches by which snow masking by tall-statured  
29 vegetation is parameterized, the magnitude and sign of the albedo biases varied considerably  
30 for forests. Large biases at the open sites were also detected which was unexpected given that  
31 these sites were snow-covered throughout most of the analytical time period therefore  
32 eliminating potential biases linked to snow-masking parameterizations. Biases at the open  
33 sites were mostly positive, exacerbating the strength of vegetation masking effects and hence  
34 the simulated LULCC  $\Delta\alpha$  RF. Despite the large biases in both forest and open area albedos  
35 by some schemes in some months and years, the mean  $\Delta\alpha$  RF bias over the three-year period  
36 (Nov. – May) was considerably small across models ( $-2.1 \pm 1.04 \text{ Wm}^{-2}$ ;  $21\% \pm 11\%$ ); 4 of 6  
37 models had normalized mean absolute errors less than 20%. Identifying systematic sources of  
38 the albedo prediction biases proved challenging, although for some schemes clear sources  
39 were identified.

40

41 Keywords: observation, LULCC, prediction, vegetation masking, model, climate impact,

42 land surface, climate model

## 43 **1. Introduction**

44 Albedo change radiative perturbations due to land use and land cover change (LULCC) have  
45 long been considered some of the strongest climate forcing mechanisms at global and  
46 regional scales (Cess, 1978; Otterman, 1977), yet results from recent historical LULCC  
47 modeling studies reveal an order of magnitude spread in the temperature response from  
48 albedo change forcings (Brovkin et al., 2006; Lawrence et al., 2012; Pongratz et al., 2010).  
49 This is likely because, in regions and months with snow cover, the interactions between  
50 vegetation and snow significantly complicate the relationship between the change in forest  
51 cover fraction and surface albedo ( $\alpha_s$ ) (de Noblet-Ducoudré et al., 2012). Outcomes of  
52 model inter-comparison studies (LUCID) (Boisier et al., 2012) employing identical LULCC  
53 prescriptions suggest that, apart from the way individual land surface models (LSMs)  
54 implement LULCC in their own land cover map (i.e., differences in biogeography), model  
55 differences in the way  $\alpha_s$  is parameterized could be a significant source of this spread (de  
56 Noblet-Ducoudré et al., 2012; Pitman et al., 2009). Recent attributional analysis by Boisier et  
57 al. (2012) suggests that the contribution from the latter is indeed comparable to the former and  
58 worthy of further investigation, particularly given the importance of albedo radiative  
59 feedbacks when ground or canopy surfaces are covered with snow (Crook and Forster, 2014;  
60 Hall and Qu, 2006).

61 Simulated  $\alpha_s$  over snow-covered forests by climate models is often biased high (Essery, 2013;  
62 Lorant et al., 2014; Roesch, 2006). While most climate models distinguish between snow  
63 intercepted in forest canopies and snow on the ground, many differ in how they parameterize  
64 the fractions of ground and canopy that are covered with snow for given masses of lying and  
65 intercepted snow (Essery, 2013; Qu and Hall, 2007). This is likely because, rather than trying  
66 to simulate the complex processes of canopy snow interception and unloading as is done by

67 many sophisticated, physically-based snow models (Essery et al., 2013; Essery et al., 2009) –  
68 many climate models must employ simplified parameterizations to reduce computational  
69 demands. In their assessment of  $\alpha_s$  feedbacks simulated by 14 CMIP5 models, Qu and Hall  
70 (2014) found that the largest intermodel spread in  $\alpha_s$  occurred in northern latitude regions and  
71 suspected it to be the reason for the differences in the large range of local feedbacks. As with  
72 their previous inter-comparison analysis (Qu and Hall, 2007), Qu and Hall (2014) assert that  
73 parameterizations of snow masking in many CMIP5 models may still require improvement.

74 We hypothesize that parameterizations of snow masking by vegetation can be refined and  
75 improved in many climate models. To this end, we evaluate albedo parameterizations of six  
76 prominent climate models in greater detail in order to pinpoint major sources of bias and  
77 inter-model variability. Rather than running the full land model, we extract only the requisite  
78 equations (parameterizations) enabling albedo prediction using observed forest structure and  
79 daily meteorology. Climate models are typically evaluated by looking at differences between  
80 their results and observation. In the presence of snow, a bias in the simulated albedo may be  
81 due to deviations in the modeled snow cover or to an inaccurate representation of forest cover  
82 (biogeography) in the climate model. Thus it is difficult to unravel the single contributions to  
83 the overall error, making it challenging to benchmark albedo schemes by this approach. By  
84 contrast, in this study the albedo schemes are not embedded in the climate models but are  
85 isolated and driven directly by observation, making it easier to evaluate their performance.  
86 Predicted albedos for both forest and open areas are compared to daily MODIS retrievals  
87 spanning three snow cover seasons in three case regions of boreal Norway. Radiative  
88 forcings from the conversion of forests to open lands are then computed, providing an  
89 additional metric for benchmarking errors in the simulated albedo. We compare the  
90 performance of the six albedo schemes to that in which albedo is predicted with a purely

91 empirical model developed in parallel, concluding with a discussion about the efforts required  
92 to improve albedo prediction accuracy by climate models.

## 93 **2. Material and Methods**

### 94 **2.1. MODIS albedo**

95 We employed Version 006 (v006) MCD43A 1-day daily Albedo/BRDF product having 500  
96 m by 500 m spatial resolution (Wang and Schaaf, 2013; Wang et al., 2012), taking the direct  
97 beam (“black-sky”)  $\alpha_s$  at local solar noon for visible (VIS; 0.3-0.7  $\mu\text{m}$ ) and near infrared  
98 (NIR; 0.7-5.0  $\mu\text{m}$ ) spectral bands for the time periods spanning Jan. through May 2007 and  
99 Nov. through May 2007-2008. The v006 product uses multiple clear sky views available over  
100 a 16-day period to provide daily  $\alpha_s$  values that represent the best BRDF possible with the day  
101 of interest emphasized. This includes as many overpasses that are available per day (while  
102 earlier versions of the algorithm, including the Direct Broadcast version, were limited to only  
103 4 observations per day (Shuai, 2010)), enabling it to better capture the daily albedo with an  
104 algorithm that more strongly emphasizes all contributions from the single day of interest  
105 (Wright et al., 2014).

### 106 **2.2. Forest structure and meteorology**

107 Structural attributes like leaf area index (LAI), canopy height, and canopy cover fraction were  
108 derived from regional aerial Light Detection and Ranging (LIDAR) campaigns undertaken in  
109 June of 2009 following Solberg *et al.* (2009). The maximum, minimum, and median values  
110 of these attributes connected to each MODIS pixel included in the analysis are presented in  
111 Table 1.

112

113

114 **Table 1.** Minimum, maximum, and median tree height (H80), canopy cover fraction, and  
 115 LAI in the sampled evergreen needleleaf forests of each study region (sampled June, 2009).  
 116 H80 is the 80<sup>th</sup> percentile of laser scanning first echoes, corresponding to canopy surface  
 117 height in meters above ground which is correlated to biomass and used as a proxy for tree  
 118 height.

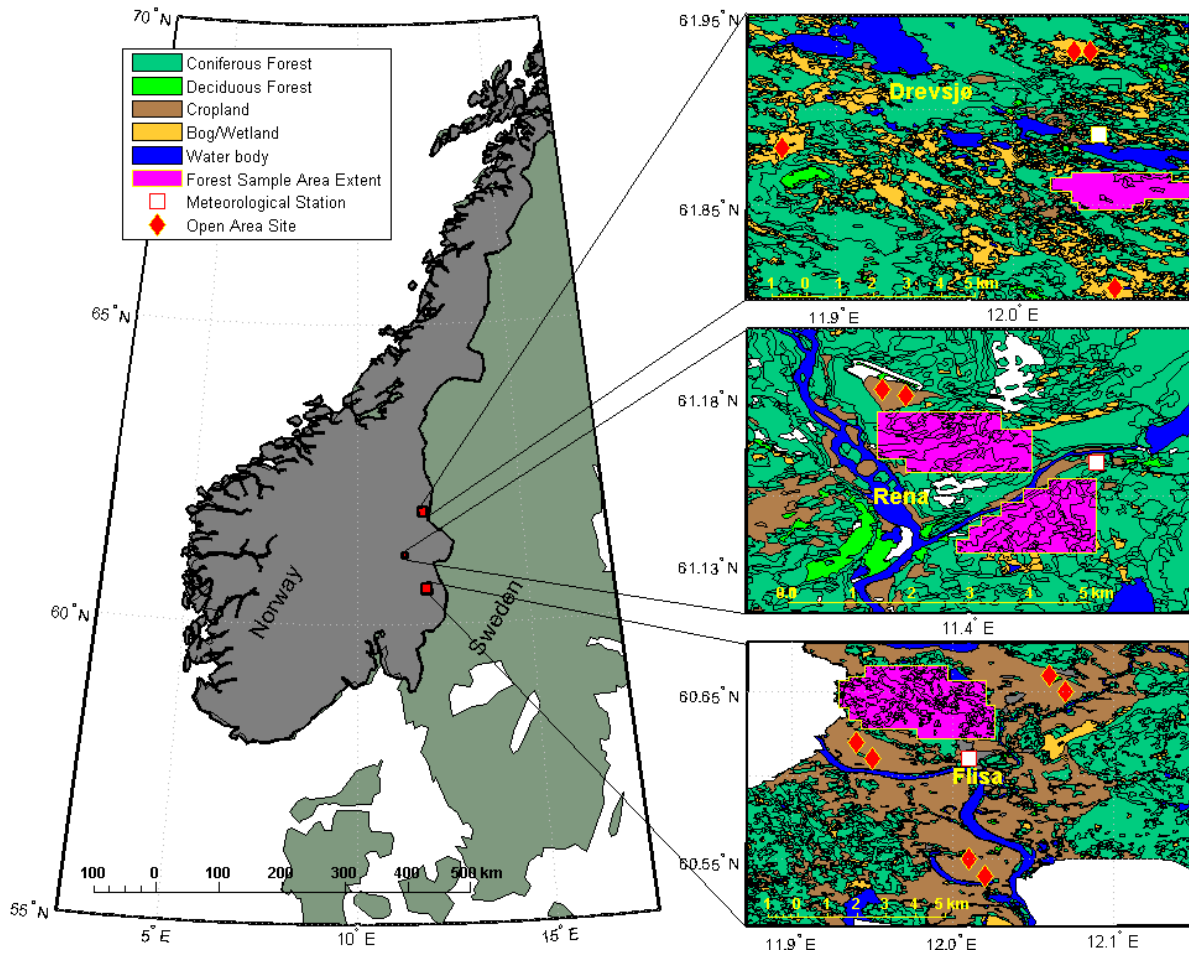
Study Region	Sample Area (km <sup>2</sup> )	Tree height, (H80; m)			Canopy cover fraction			LAI (m <sup>-2</sup> m <sup>-2</sup> )		
		Min	Max	Median	Min	Max	Median	Min	Max	Median
(Number of MCD43A pixels)										
Flisa (n=65)	14.0	3.1	15.8	11.8	25%	77%	63%	0.55	2.35	1.73
Rena (n=34)	7.3	5.7	13.0	9.8	50%	80%	63%	1.31	1.82	1.52
Drevsjø (n=36)	7.7	3.2	10.2	7.5	27%	52%	40%	0.43	1.21	0.81
Regional Mean	29.0 <sup>a</sup>	4.0	13.0	9.7	34%	69.7%	55.3%	0.76	1.79	1.35

119 <sup>a</sup> Value is column sum.

120

121 Daily meteorological observations of mean and maximum wind speed (ms<sup>-1</sup>), mean and  
 122 maximum near-surface air temperatures (°C), snow depth (cm), and precipitation (mm) were  
 123 taken from measuring stations in the municipalities of Drevsjø (675 m), Flisa (200 m), and  
 124 Rena (250 m) located in eastern Norway (Figure 1) in the county of Hedmark (Norwegian  
 125 Meteorological Institute, 2013). Additional meteorological information not available directly,  
 126 such as snow density and snowfall, were computed with empirical models and the available  
 127 observations as inputs. For example, precipitation was partitioned into snow and rain  
 128 following the empirical analysis of Dai (2008) in which rain occurred more frequently than  
 129 snow over land when air temperatures exceeded 1.2 °C. Snow density was computed with  
 130 snow depth, air temperature, and wind speed based on the empirical work of Meløysund *et al.*  
 131 (2007).

132 Site-specific air temperatures were adjusted using the station-measured observations and an  
 133 environmental lapse rate of  $-6.5\text{ }^{\circ}\text{C}/\text{km}$ . All three sub-regions lie in Köppen-Geiger climate  
 134 zone "Dsc" (boreal) but experience variations in snow fall amount and frequency and the  
 135 temporal extent of the snow cover season (additional meteorological information may be  
 136 found in Supporting Information).



137  
 138 **Figure 1.** Study regions showing the location of the open ("Cropland" or "Bog/Wetland")  
 139 and coniferous forested sites included in the analysis. Meteorological station locations are  
 140 also indicated.

141 Local forest management plans were used to identify forest stands of pure ( $>95\%$  volume,  $\text{m}^3$   
 142  $\text{ha}^{-1}$ ) evergreen needleleaf forest cover within a  $\sim 5\text{ km}$  radius and  $\sim 50\text{ m}$  altitude range of a  
 143 weather monitoring station. Evergreen needleleaf species in the region included Scots Pine



144 (*Pinus sylvestris* L.) and Norway Spruce (*Picea abies* (L.) H. Karst.). Twelve open area sites  
145 within the same 5 km proximity to a weather station were selected in order to simulate  
146 forcings associated with regional LULCC (forest to open), shown in Figure 1. In total, 135  
147 forested MODIS pixels (approximately 2,900 hectares) and 12 open area pixels (8 cropland, 4  
148 wetland/peatland) were included in the sample.

### 149 **2.3. Albedo parameterizations in climate models**

150 The albedo parameterizations chosen for the analysis (Table 2) were selected because they are  
151 widely employed in climate/earth system models and because they are diverse with respect to  
152 the parameterization of ground masking by vegetation, which can be classified according to  
153 three prevailing methods introduced in Qu & Hall (2007) (and later described in Essery  
154 (2013)). Briefly, the first method estimates radiative transfer between the vegetation canopy  
155 and the ground surface; the second method combines the vegetation and ground albedos with  
156 weights determined by vegetation cover; and the third method combines the snow-free and  
157 snow albedo with weights determined by snow cover. Varying degrees of complexity in  
158 albedo parameterizations stem from the way snow albedo metamorphosis effects are treated  
159 and the way vegetation structure is utilized.

160 We note that we do not run the entire land models offline; rather, we extract only the  
161 equations (parameterizations) required to calculate the surface albedos of both open terrain  
162 and forests. In some (albeit limited) cases, certain parts of the albedo parameterizations have  
163 been slightly modified for technical reasons, rendering them not fully identical to those  
164 implemented in the full model (see section S3).

165 Direct beam (“black-sky”) albedos are calculated at local solar noon to be compatible with the  
166 MODIS retrievals. The albedo parameterizations of JSBACH and GISS II do not differentiate  
167 between direct and diffuse beam components and are assumed to represent the total- or “blue-

168 sky” albedo. The direct beam component, however, typically dominates the total albedo  
169 under clear-sky conditions (Ni and Woodcock, 2000; Wang, 2005; Wang and Zeng, 2009)  
170 and were thus deemed reasonable for purpose of comparison.

## 171 **2.4. Regression modeling**

172 Non-linear multiple regressions are performed using the forest structure and meteorological  
173 observations as predictor variables. The functional form of the models are adapted from  
174 several important physically-based parameterizations found in many current albedo schemes.  
175 Eq. (1) is the best performing model:

$$176 \quad \alpha_s = k_1 + k_2(1 - e^{-LAI}) + k_3 \tanh(d / k_4) \left( e^{-k_5(LAI)} + \left[ 1 - \frac{1}{1 + e^{-k_6 T^{MAX}}} \right] \right) \quad (1)$$

177 where  $LAI$ ,  $d$ , and  $T^{Max}$  are leaf area index, snow depth, and maximum daily (24-hr.)  
178 temperature, respectively.  $k_1$  is the ground albedo (directional hemispherical) without the  
179 forest canopy scaled by a canopy radiative fraction term  $(1 - e^{-LAI})$  and the parameter  $k_2$ , with  
180  $k_2$  representing the maximum albedo difference at the highest observed LAI values. See  
181 Supporting Information (section S4) for a detailed overview and description of the regression  
182 model and its theoretical underpinnings, its parameters (Table S5), and its performance  
183 statistics (Table S5).

## 184 **2.5. Radiative forcing**

185 Top-of-atmosphere (TOA) radiative forcing simulations for the conversion of forest  
186 (evergreen needleleaf only) to open land ( $\Delta\alpha_s$ , Open – Forest) is computed using a 3-D four  
187 spectral band, eight-stream radiative transfer model (Myhre et al., 2007) based on the discrete  
188 ordinate method (Stamnes et al., 1988). The four spectral bands are divided into the spectral  
189 regions 300-500 nm, 501-850 nm, 851-1500 nm, and 1501-4000 nm where MODIS VIS

190 albedos are included in the two first bands and MODIS NIR albedos are included into the  
191 latter two bands. The reported RF is the integrated over the four spectral bands. The  
192 radiative transfer code has been compared to detailed line-by-line calculations for various  
193 applications with agreement of the order of 10% (Myhre et al., 2009; Randles et al., 2013).

194 The model is run with a 3-hr. time step with a horizontal resolution of  $1^\circ \times 1^\circ$  and a vertical  
195 resolution of 40 layers. Meteorological data from the ECMWF is used in the radiative  
196 transfer simulations and several atmospheric aerosol types are included in the model (Myhre  
197 et al., 2007). LULCC RF is estimated by taking the difference in the net shortwave radiative  
198 flux at TOA after setting the monthly mean  $\alpha_s$  of the entire  $1^\circ \times 1^\circ$  grid cell (centered over  
199 the domains of case study region) first to that of open lands then to that of forests.

200

201 **Table 2.** Albedo parameterizations included in the analysis and their associated land and climate models.

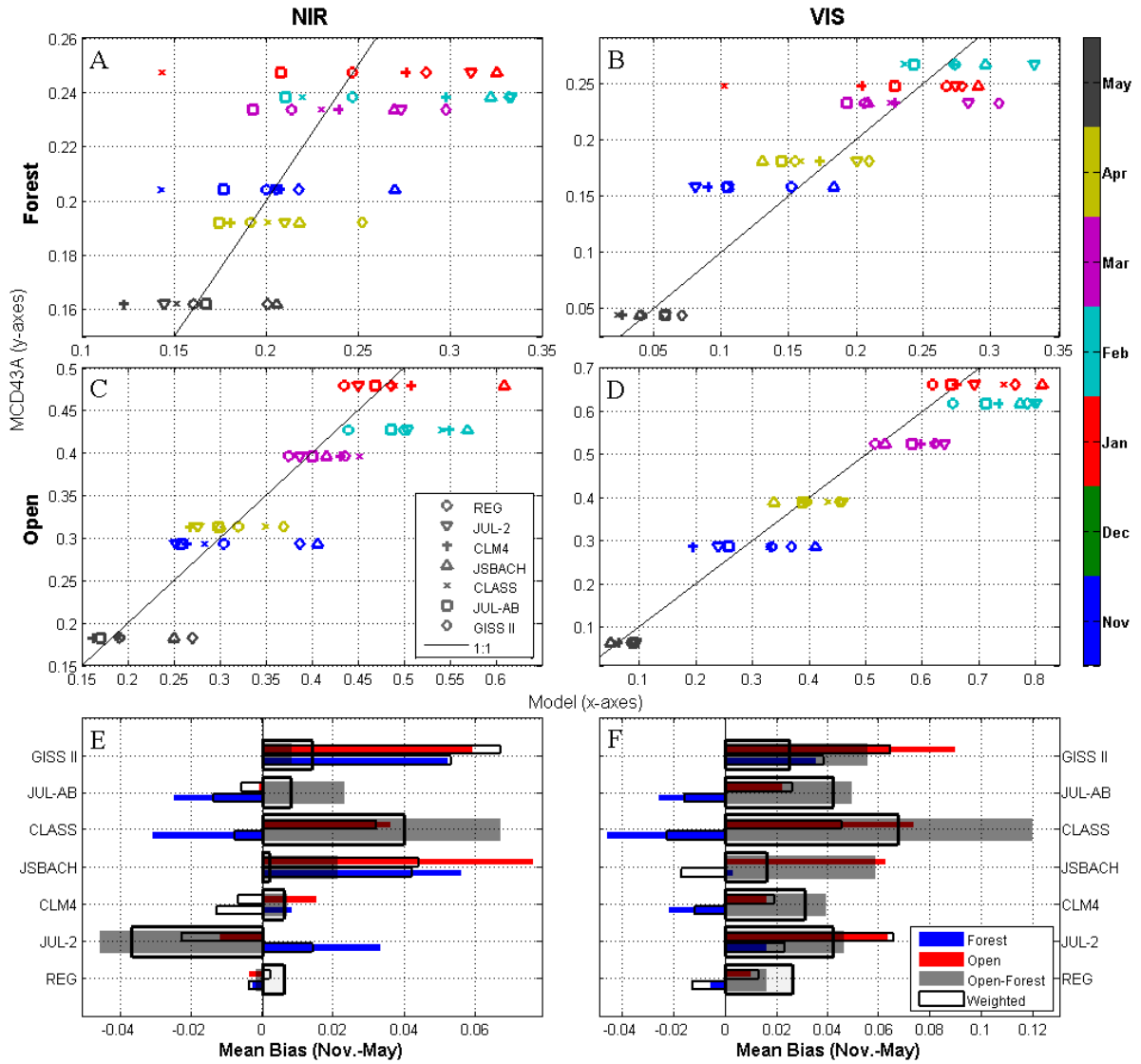
<b>Land model origin of <math>\alpha_s</math> parameterizations</b>	<b>Climate Model</b>	<b>Snow albedo</b>	<b>Vegetation masking effect<sup>b</sup></b>	<b>Forest structure</b>	<b>Technical documentation</b>	<b>Other supporting references</b>
CLASS	CGCM4; CanCM4	prognostic procedure	type 2	yes	(Verseghy, 2009)	(Verseghy et al., 1993)
CLM4.0	NCAR CCSM4; NCAR CESM; Nor- ESM	prognostic procedure	type 1	yes	(Oleson et al., 2010)	(Dickinson, 1983; Flanner and Zender, 2006; Sellers, 1985)
GISS II	GISS GCM II; GISS GCM ModelE	prognostic procedure	type 3	no	(Hansen et al., 1983)	(Matthews, 1984)
JULES <sup>a</sup> (2-stream)	UKMO HadGEM2	prognostic procedure	type 3	yes	(Best, 2009)	(Marshall, 1989; Sellers, 1985; Wiscombe and Warren, 1980)
JULES <sup>a</sup> (all-band)	UKMO HadCM3	diagnostic procedure	type 3	yes	(Best, 2009)	(Essery et al., 2001)
JSBACH	MPI-ESM	diagnostic procedure	type 2	yes	(Reick et al., 2012)	(Otto et al., 2011)

202 <sup>a</sup> Formerly MOSES203 <sup>b</sup> Classification based on Qu & Hall (2007)

## 204 **3. Results**

### 205 **3.1 Albedo**

206 When looking at regional averages in predicted  $\alpha_s$  presented in Figure 2, no single model  
207 apart from the regression model (“REG”) performed consistently well across all months at  
208 both Forest and Open sites and for both spectral bands. Starting with the NIR band (Fig. 2,  
209 left column), JSBACH showed clear positive biases at both Open and Forest sites for most  
210 months. Positive biases in GISS II were more prevalent for Forest although positive biases  
211 were also found at Open sites for months with partial snow cover (Nov., Apr., May). Large  
212 positive biases for the JULES 2-stream (“JUL-2”) scheme were limited to Forest and to  
213 winter months of Jan., Feb., and March. With the exception of February, slight negative  
214 biases by JUL-2 at the Open sites were found in all months except Feb.; this was true also for  
215 the JULES All-band scheme (“JUL-AB”) with the exception of Mar. The largest difference  
216 between the two JULES schemes occurred for Forest, where JUL-AB consistently  
217 underpredicted  $\alpha_s$  in all months except May. Large negative biases in Forest by CLASS  
218 were found in Nov. and Jan., with smaller negative biases in Feb.



219

220 **Figure 2.** A-D): Observed (MCD43A, y-axes) and modeled (x-axes) direct-beam albedos  
 221 (monthly means, 2007-2009) in evergreen needleleaf forests (A & B) and adjacent open  
 222 areas (C & D) for both near-infrared and visible bands averaged across all three study regions;  
 223 E) & F): Nov.-May mean bias (regional and monthly means, 2007-2009 ) and insolation-  
 224 weighted mean bias. A), C), and E) = VIS band; B), D), and F) = NIR band. High solar  
 225 zenith angles inhibited the number of sufficient MODIS retrievals in December, thus

226 December mean biases were excluded from the Nov.-May mean;  $MB = \frac{1}{N} \sum_{i=1}^N (\alpha_{Model} - \alpha_{Obs.})$

227 Moving on to the VIS band (Fig. 2, right column), most schemes overpredicted  $\alpha_s$  during  
228 months Jan. – Mar. at the Open sites. The largest spread (i.e., standard deviation (SD)) at the  
229 Open sites occurred during Nov. (SD = 0.08), where the largest negative bias was found for  
230 CLM4 and positive bias for JSBACH. Like in the NIR band, results varied more at the Forest  
231 sites where biases across months were more evenly distributed around zero (“1:1 line”).  
232 Again, here we found positive biases by JUL-2 yet negative biases by JUL-AB during Jan.-  
233 April. Positive biases by JSBACH were mostly confined to Nov., Jan., and Feb. at both Open  
234 and Forest sites. Unlike the NIR band in which positive biases at Open sites by GISS II were  
235 limited to Nov., Apr., and May – positive biases occurred for the VIS band in all months;  
236 however, the positive biases in Forests seen for the NIR band during Nov., Feb., and Apr.  
237 were reduced. Like the NIR band, large negative biases were found for CLASS for Nov.,  
238 Jan., and Feb.

239 In general, Figure 2 shows that the inter-model spread was smaller for the VIS band  
240 predictions relative to NIR, and at Open sites relative to Forest sites. Figure 2 also indicates  
241 that the inter-model spread in  $\alpha_s$  predictions for both bands and land cover types was larger  
242 during Nov. – Feb. and smaller during Mar. - May. With the exception of JUL-2 in the NIR  
243 band, all models overpredicted Nov. – May mean  $\Delta\alpha_s$  (Fig. 2 E & F, “Open – Forest”) in  
244 both spectral bands. Models with negative  $\alpha_s$  biases at Forest sites and positive  $\alpha_s$  biases at  
245 Open sites – such as CLASS and JUL-AB – led to some of the largest positive  $\Delta\alpha_s$  biases.  
246 For some schemes like GISS II and JSBACH, positive  $\alpha_s$  biases at both Open and Forest sites  
247 offset each other resulting in low  $\Delta\alpha_s$  biases, particularly in the NIR band. Only for the NIR  
248 band (Fig. 2 E) did any model underpredict  $\Delta\alpha_s$ . Here, JUL-2 under- and overpredicted  $\alpha_s$   
249 at Forest and Open sites, respectively.

250 Monthly  $\alpha_s$  biases were often reduced when weighted by the relative share of monthly  
251 insolation during Nov.-May, as seen in Figure 2 particularly for the JSBACH and CLASS  
252 schemes, which suggests that a large share of the bias occurred during winter months.

253

254

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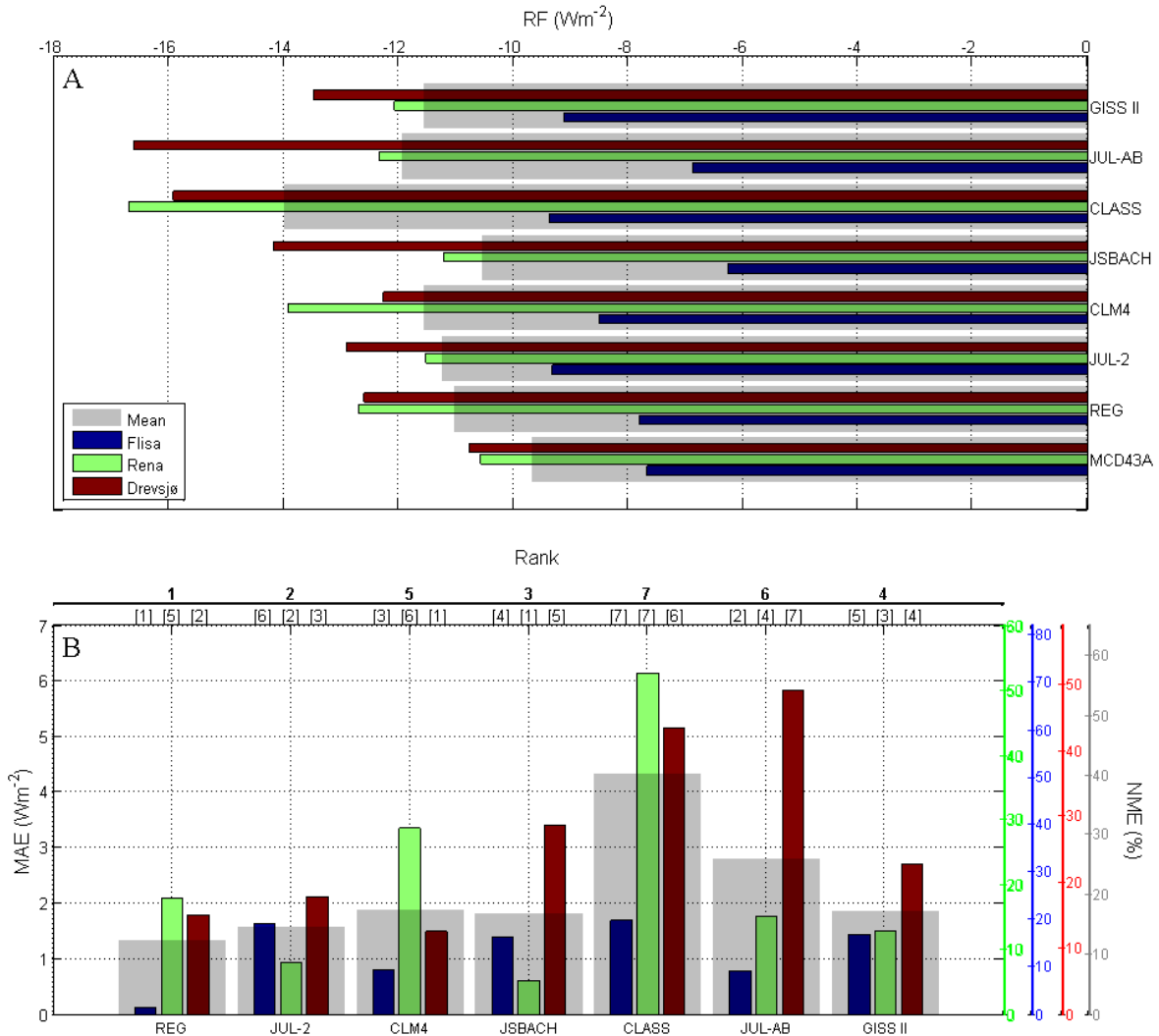


## 256 **3.2 Radiative Forcing**

257 Nov. – May mean (2007-2009) TOA RF from simulated LULCC ( $\Delta\alpha_s$ , Open – Forest) are  
258 presented in Figure 3A for each of the three case study regions. In Rena and Drevsjø, all  
259 models overpredicted  $\Delta\alpha_s$  and thus simulated LULCC RF. No clear patterns emerged  
260 regarding relationships between RF error, model, and study region; RF errors by REG,  
261 CLM4, and CLASS were larger in Rena (green bars) relative to Drevsjø (red bars) – while RF  
262 errors were larger for the JULES models, JSBACH, and GISS II for Drevsjø relative to Rena.  
263 One would expect a larger spread in the modeled RF for Drevsjø given the larger inherent  
264 variability in vegetation structure in the forest sample (Table 1) and given the fundamental  
265 differences in the way each albedo scheme handles vegetation structure (SI section S3), yet  
266 we found the largest inter-model spread occurring in Rena (RF SD = 0.075), where the  
267 normalized mean errors (NME) ranged from 6% - 58% for JSBACH and CLASS,  
268 respectively (Fig. 3B, green right-hand y-axis). For Drevsjø, the inter-model spread was  
269 smaller (RF SD = 0.067), with RF NME ranging from 14% - 54% for CLM4 and JUL-AB  
270 respectively. One possible explanation is that Rena experienced more frequent precipitation  
271 events, more fluctuating maximum daily temperature (above and below freezing), and a  
272 snowpack that tended to melt more rapidly in early spring than in Drevsjø (Figure S1) – all of  
273 which complicated the prediction of ground and forest canopy  $\alpha_s$  in the presence of snow.

274 The inter-model spread was lowest in Flisa (RF SD = 0.05), with RF NME ranging from 2%  
275 for the Regression model and 22% for CLASS, respectively. In Flisa, JSBACH and JUL-AB  
276 underestimated the strength of the vegetation masking effect ( $\Delta\alpha_s$  bias) and thus the  
277 simulated LULCC RF. Together with CLASS, these two schemes also led to some of the  
278 largest RF spreads across sub-regions by any single model, where RF NME for JUL-AB

279 ranged from 10% - 54% for Flisa and Drevsjø, respectively; for CLASS 22% - 58% for Flisa  
 280 and Rena, respectively; and for JSBACH from 6%-32% for Flisa and Drevsjø, respectively.



281

282 **Figure 3.** A) Radiative forcing (RF) from simulated vs. observed (MCD43A) albedo  
 283 differences (Open - Forest), 2007-2009 Nov. – May mean (excluding December). B) Mean  
 284 Absolute Error (MAE), Normalized Mean absolute Error (NME), and rank, 2007-2009 Nov.-  
 285 May mean. Rank values in bold correspond to the regional mean, whereas individual case  
 286 region ranks are listed over each bar (colors defined in A) legend). Right-hand y-axis (NME)

287 colors correspond to individual bar colors.  $MAE = \frac{1}{N} \sum_{i=1}^N |RF_{Model} - RF_{Obs.}|$  ;

288 
$$NME = \frac{\sum_{i=1}^N |RF_{Model} - RF_{Obs.}|}{(\sum_{i=1}^N RF_{Obs.})^{-1}}$$

289 For JSBACH, the result of having a positive  $\Delta\alpha_s$  bias in Drevsjø (Table S6; Figures S25 &  
 290 S28) and a negative  $\Delta\alpha_s$  bias in Flisa (Table S6; Figures S23 & S26) is a regional mean RF  
 291 (Fig. 3A, grey bar) that most closely resembled the MODIS based RF. With MAE (or NME)  
 292 as a metric, however, JSBACH only ranked 3<sup>rd</sup> of 7 (Fig. 3B, top). Although not ranked 1<sup>st</sup> in  
 293 all sub-regions, REG led to the most accurate regional mean RF prediction (MAE/NME, Fig.  
 294 3B, grey).

295 It is worth reiterating that some schemes such as that of GISS II severely overpredicted  $\alpha_s$  at  
 296 both Open and Forest sites (Fig. 2) which was not reflected in  $\Delta\alpha_s$  or  $\Delta\alpha_s$  RF, thereby giving  
 297 the impression that the scheme ranked relatively high in accuracy.

298 **5. Discussion**

299 A notable finding of our study is that parameterizations of open area  $\alpha_s$  – which is governed  
 300 mostly by the albedo of snow from Jan. through early April – contributed as much to  $\Delta\alpha_s$   
 301 prediction error as that of forests (Fig. 2). The bias was mostly positive although there is  
 302 some evidence that MODIS may underestimate the albedo of cold dry snow (Jin et al., 2002;  
 303 Stroeve et al., 2005; Wang and Zender, 2010) – particularly in VIS bands (Wang and Zender,  
 304 2010). Jin et al. (2002), for example, assert that there may be up to a 10% negative bias in  
 305 the MODIS pure dry snow albedo (Jin et al., 2002), which could partially explain why most  
 306 models in our study tended to overestimate  $\alpha_s$  during the coldest months of Jan. and Feb.  
 307 (Figure 2). An additional source of negative MODIS albedo bias could stem from the spatial

308 heterogeneity of the landscape comprising the actual pixel signature, which could extend up  
309 to 500 m beyond the specified spatial footprint at high latitudes (Cescatti et al., 2012; Wang  
310 et al., 2012) and thus include the spectral signatures of built structures, other vegetation cover  
311 (trees), vegetation shadowing (from trees), etc. We note also that Jan. and most of Feb. are  
312 months with solar zenith angles  $>70^\circ$  for our case study regions; at these angles the  
313 atmospheric correction algorithm degrades and the uncertainty in the MODIS retrievals is  
314 increased (Lucht et al., 2000; Schaaf et al., 2002; Stroeve et al., 2005). Factoring in any  
315 potential negative MODIS snow  $\alpha_s$  bias would reduce some of the positive open area biases  
316 (Figure 2) but not all of it, particularly for CLASS and JSBACH, whose positive open area  
317  $\alpha_s$  biases were particularly large during months with snow cover. Snow  $\alpha_s$  was reset to a  
318 maximum after a fresh snowfall event (Tab. S2 & S3); however, MODIS albedo retrievals  
319 were far below the prescribed maximum snow albedo values of these two schemes after fresh  
320 snowfall events (Fig.'s S23-25 for JSBACH and Fig.'s S29-31 for CLASS), particularly for  
321 the VIS band.

322 The two schemes with regional mean RF NMEs (Fig. 3B) above 20% were the CLASS and  
323 JUL-AB schemes. For CLASS, RF NME  $>20\%$  was realized for all three sub-regions. The  
324  $\Delta\alpha_s$  RF bias of CLASS was due to overpredictions at open area sites and underpredictions at  
325 forested sites. The latter is due to the parameterization of canopy transmittance that is based  
326 on an extinction coefficient that incorporates a correction factor of 0.6 and 0.8 for NIR and  
327 VIS bands, respectively (Eq.'s S10-S11). Lowering the correction factor to 0.5 and 0.6 for  
328 NIR and VIS bands, respectively, lowers the extinction coefficient and increases canopy  
329 transmittance, which serves to reduce the negative albedo biases in forests – particularly at  
330 high solar zenith angles (Nov. – Feb.). The lower extinction coefficient is in line with more  
331 recent observations in boreal evergreen forests (Aubin et al., 2000; Balster and Marshall,

332 2000). As aforementioned, at the open sites the VIS albedo constant of 0.95 for fresh snow  
333 was too high; the maximum observed VIS albedo after a fresh snowfall event was 0.88 (all  
334 study regions), and adjusting to 0.90 would alleviate some of this bias (disregarding potential  
335 MODIS biases).

336 Although JUL-AB (formerly MOSES v. 2.2) ranked 6 of 7 overall when considering only the  
337 regional mean RF MAE and NME, in two of the three study regions (Flisa and Rena) it  
338 performed quite well, with RF NMEs of <11% and <16% for Flisa and Rena, respectively.  
339 The large RF NME for Drevsjø was a result of a severe negative bias in the predicted  $\alpha_s$  of  
340 forests (Fig. S10), which resulted in large positive  $\Delta\alpha_s$  biases (Tab. S7). The explanation is  
341 due to the use of vegetation-specific snow albedo parameters that were too low for forests in  
342 this region – forests that were characterized as having the lowest median tree heights, LAIs,  
343 and canopy cover fractions out of the three forested sub-regions (Table 1).

344 Of the existing land model schemes included in this study, the albedo parameterizations of  
345 JUL-2 performed best in the LULCC RF simulations (Fig. 3), although we note that it  
346 underestimated the strength of the vegetation masking effect ( $\Delta\alpha_s$ ) in the NIR band while  
347 overestimating it in the VIS band (Fig. 2) (consistent across all three individual study regions  
348 (Tab. S6)) which may have had offsetting effects in the RF simulations. A closer inspection  
349 of the daily  $\alpha_s$  time series (Section S.5.2) hints that forest albedo (S14-16) may be too  
350 sensitive to snow depth (Fig. S1) – an important variable in the parameterization of snow  
351 cover fraction (Eq. S2). For example,  $\alpha_s$  predictions were biased positive at snow depths  
352 above 0.6 m (typical in Rena and Drevsjø during the winter-spring of 2008 and 2009) while  
353 biased negative at Flisa during 2007 and 2008 for which snow depths never exceeded 0.4 m.  
354 This same sensitivity of forest  $\alpha_s$  on snow depth was also found for the GISS II scheme –

355 another Type 3 scheme – resulting in positive  $\alpha_s$  biases in forests. This sensitivity to snow  
356 depth was not evident for JUL-AB – the third Type 3 scheme. This is because, unlike GISS II  
357 and JUL-2, snow albedo is vegetation-dependent and constrained by satellite observation  
358 (MODIS).

359 In agreement with findings in Essery (2013), we generally find that no single type of scheme  
360 (as described in section 2.1 and in Qu & Hall (2007)) stood out as performing better or worse  
361 relative to the others. In their latest CMIP5 simulations, Qu and Hall (2014) assert that type 2  
362 schemes – or those which parameterize albedo as a function of vegetation cover rather than  
363 snow cover – generally tended to overestimate the strength of the snow albedo masking effect  
364 ( $\Delta\alpha_s$ ) due to negative biases in forest  $\alpha_s$  predictions. For JSBACH – a Type 2 scheme – we  
365 did not detect this bias; rather, we found positive biases in Forest in both bands, particularly  
366 during the snow season which is consistent with findings of Brovkin *et al.* (2013) and  
367 Hagemann *et al.* (2013). NIR albedo predictions in Flisa and Rena during snow-free periods  
368 were also biased high (figures in SI section S.5.4) resulting in underestimations of NIR  $\Delta\alpha_s$ ,  
369 which we attributed to a snow-free vegetation albedo constant that was too high (Table S3).  
370 The positive RF bias seen at Drevsjø (Fig. 3) stemmed from negative biases in the springtime  
371 (Mar. – May) VIS  $\alpha_s$  in forests (Fig. S29). This may be attributed to the default use of 1 as  
372 the stem area index (SAI) used in the masking parameterization (Reick *et al.*, 2012);  
373 observational evidence suggests this may be too high in boreal regions in spring (Lawrence  
374 and Chase, 2007).

375 While the simulated  $\Delta\alpha_s$  RF by GISS II appeared relatively robust (Fig. 3),  $\alpha_s$  predictions in  
376 Forest and Open were strongly positively biased in both spectral bands. In forests, this could  
377 be attributed to two main factors: i) a dependence on snow-free albedo constants that were  
378 too high, particularly when applied at the denser (i.e., high canopy cover fraction, Tab. 1)

379 sites of Flisa and Rena; ii) a strong dependency on snow depth and/or lack of explicit  
380 representation of forest structure in the masking expression which led to overpredictions in  
381 Rena and Drevsjø (Figs. S39 & S40) – regions that experienced snow depths greater than 60  
382 cm for much of the winter and early spring in 2008 and 2009 (Mar. – late Apr.). NIR biases  
383 at the open sites (Figures S35-37) were attributed to the use of snow-free vegetation constants  
384 that were too high (Tab. S4).

385 Sources of RF biases in CLM4 were harder to discern, as the sign of the predicted  $\Delta\alpha_s$  bias  
386 was not consistent across study sites and months.  $\Delta\alpha_s$  bias was negative and mostly limited  
387 to March and April at Flisa and Rena (Tab. S6).  $\Delta\alpha_s$  bias was positive at Drevsjø and  
388 occurred mostly in April and May due to overpredictions in both NIR and VIS  $\alpha_s$  in Forest  
389 and underpredictions in both NIR and VIS  $\alpha_s$  at Open sites (Fig.'s S17-22).

390 Not surprisingly, the purely empirical  $\alpha_s$  model presented here (Eq. 1) calibrated with local  
391 forest structure and meteorological observations performed best on average throughout the  
392 region (i.e., Fig. 3; MAE, NME, and Rank). However, to our surprise, it did not rank first in  
393 all study regions; it ranked 5<sup>th</sup> in Rena which was the region having the fewest forest  
394 structure, meteorological, and MODIS albedo retrievals. This highlights the high  
395 performance dependencies of purely empirically-based models on the underlying datasets to  
396 which they are calibrated. Although it is tempting to recommend its application over existing  
397 modeling schemes in boreal regions, rigorous evaluation efforts would be needed to assess the  
398 degree of transportability and reliability when applied in other regions having different forest  
399 structures and climate regimes (Bright et al., 2015).

## 400 **5.1 Conclusions**

401 LULCC radiative forcings (RF) from changes in simulated land surface albedo ( $\Delta\alpha_s$ ) as  
402 predicted by the albedo parameterizations employed by six leading climate models were  
403 evaluated using observed meteorology and forest structure for a case region in Norway and by  
404 comparing to MODIS daily albedo retrievals. Compared to RF estimations based on MODIS  
405 albedo, most of the albedo schemes overestimated the magnitude of the simulated regional  
406 mean RF (Fig. 3) by overestimating  $\Delta\alpha_s$  (Fig. 2), although results varied between three sub-  
407 regions within the broader case study region. For instance, in a sub-region characterized as  
408 having the highest forest productivity and lowest seasonal snow cover of the three (Flisa),  
409 albedo schemes of two land models (JSBACH and JULES All-band) underestimated  $\Delta\alpha_s$   
410 RF.

411 Efforts to uncover sources of systematic albedo biases proved challenging as no clear  
412 discernible patterns could be detected across study regions or between the different types of  
413 schemes (section 2.3), although some systematic sources of bias in forest  $\alpha_s$  were identified  
414 for the albedo schemes of CLASS, JULES All-band, JSBACH, and GISS II. Severe negative  
415 albedo bias in winter months by CLASS -- evident across all three study regions -- was  
416 attributed to the parameterization of canopy transmittance. For GISS II, persistent positive  
417  $\alpha_s$  biases were linked to snow-free vegetation albedos (both VIS and NIR bands) that were  
418 too high and to a snow cover masking parameterization that did not explicitly account for  
419 differences in forest structure. Biases in forests in the JULES All-band scheme can be easily  
420 alleviated by adjusting (in our case increasing) the vegetation-dependent snow albedo values  
421 for “Evergreen Needleleaf” forest, which, in our study, were based on MODIS latitude band  
422 averages (Gao et al., 2005). Similarly for JSBACH, forest biases can be easily reduced by  
423 lowering the snow-free vegetation albedo value in the NIR band.



424 Despite the albedo biases identified here in both forests and open areas, the normalized mean  
425 absolute error (NME) of the three-year regional mean RF from the LULCC simulations was  
426 below 20% for four of the six albedo schemes, which is a remarkably high accuracy for  
427 climate models considering that they must depend on reduced complexity land surface  
428 schemes (relative to 3D radiative transfer models or sophisticated snow-ice physics models).  
429 Although we have only evaluated evergreen needleleaf forests, extending this or similar  
430 empirical analyses to other forest types or climate regimes would give additional insight into  
431 the albedo predictive capacities of the parameterizations employed in the current generation  
432 of climate models.

433

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440

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