

1        **Nitrate limitation and ocean acidification interact with UV-B to reduce**  
2        **photosynthetic performance in the diatom *Phaeodactylum tricornutum***

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4                    **Running Title:** Combined effects of NO<sub>3</sub><sup>-</sup>, OA and UV

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23 **Abstract**

24 It has been proposed that ocean acidification (OA) will interact with other  
25 environmental factors to influence the overall impact of global change on biological  
26 systems. Accordingly we investigated the influence of nitrogen limitation and OA on  
27 the physiology of diatoms by growing the diatom *Phaeodactylum tricornutum* Bohlin  
28 under elevated (1000  $\mu\text{atm}$ , HC) or ambient (390  $\mu\text{atm}$ , LC) levels of  $\text{CO}_2$  with  
29 replete (110  $\mu\text{mol L}^{-1}$ , HN) or reduced (10  $\mu\text{mol L}^{-1}$ , LN) levels of  $\text{NO}_3^-$  and  
30 subjecting the cells to solar radiation with or without UV irradiance to determine their  
31 susceptibility to UV radiation (UVR, 280-400 nm). Our results indicate that OA and  
32 UVB induced significantly higher inhibition of both the photosynthetic rate and  
33 quantum yield under LN than under HN conditions. UVA or/and UVB increased the  
34 cells' non-photochemical quenching (NPQ) regardless of the  $\text{CO}_2$  levels. Under LN  
35 and OA conditions, activity of superoxide dismutase and catalase activities were  
36 enhanced, along with the highest sensitivity to UVB and the lowest ratio of repair to  
37 damage of PSII. HC-grown cells showed a faster recovery rate of yield under HN but  
38 not under LN conditions. We conclude therefore that nutrient limitation makes cells  
39 more prone to the deleterious effects of UV radiation and that HC conditions (ocean  
40 acidification) exacerbate this effect. The finding that nitrate limitation and ocean  
41 acidification interact with UV-B to reduce photosynthetic performance of the diatom *P.*  
42 *tricornutum* implies that ocean primary production and the marine biological C pump  
43 will be affected by OA under multiple stressors.

44

45 **Key words:** CO<sub>2</sub>, diatom, multiple stressors, nutrients, ocean acidification,

46 photosynthesis, UV radiation

47 **Abbreviations:** DIC, dissolved inorganic carbon; NPQ, non-photochemical

48 quenching; SOD, superoxide dismutase; CAT, catalase;  $\text{Inh}_{\text{UVR}}$ , inhibition due to UVR;

49  $r$ , repair rate;  $k$ , damage rate; CCMs, CO<sub>2</sub> concentrating mechanisms.

50

## 51 **1 Introduction**

52       Increasing atmospheric levels of CO<sub>2</sub> and the associated dissolution of CO<sub>2</sub> into  
53 the oceans has resulted in ocean acidification (OA), with increased levels of pCO<sub>2</sub>,  
54 HCO<sub>3</sub><sup>-</sup> and H<sup>+</sup> and decreased CO<sub>3</sub><sup>2-</sup> concentration. The acidity of surface oceans has  
55 increased by 30% (lowered pH by 0.1 unit) since the Industrial Revolution and is  
56 expected to increase by 100-150% (0.3-0.4 pH units) by the year 2100 (Orr et al.,  
57 2005). At the same time, increased sea surface temperatures are predicted to cause a  
58 shoaling of the surface mixed layer, which in turn will lead to enhanced exposure to  
59 sunlight (both as photosynthetically active radiation (PAR) and as UVR). This  
60 enhanced stratification will also decrease upward transport of nutrients from deeper,  
61 nutrient rich layers, leading to more frequent/marked nutrient limitation (Cerreño et  
62 al., 2008). Global change is thus likely to cause changes in a multiplicity of factors  
63 that influence phytoplankton growth and it is thus critical to examine OA in the  
64 context of interactive effects with these other environmental drivers (Boyd, 2011).

65       Increased availability of CO<sub>2</sub> in seawater appears in some cases to bring a low  
66 level of benefit to growth and photosynthesis of natural phytoplankton populations

67 (Riebesell and Tortell, 2011) and references therein), though in most cases laboratory  
68 experiments have shown little effect of OA alone (Doney et al., 2009). However, the  
69 effects can differ according to changes in solar radiation and/or other physical or  
70 chemical factors (Gao et al., 2012a). Increased acidity of seawater may lead to  
71 physiological stress (Pörtner and Farrell, 2008) and affect phytoplankton nutrient  
72 uptake (Beman et al., 2011; Shi et al., 2012). Therefore, OA could most likely result  
73 in differential effects on different photosynthetic organisms or under different  
74 environmental conditions (Gao, 2011).

75         Diatoms account for about 20% of the total global primary production  
76 and about 40% of that in the oceans (Granum et al., 2005). Early reports  
77 suggested that growth of diatom species could be limited by the availability of CO<sub>2</sub>  
78 (Riebesell et al., 1993). However, the growth rate of diatom-dominated natural  
79 phytoplankton populations was not affected by CO<sub>2</sub> enrichment to 800 µatm (Tortell,  
80 2000), and not all diatom species were sensitive to seawater pCO<sub>2</sub> rise under  
81 nutrient-replete conditions in a mesocosm study (Kim et al., 2006). In laboratory  
82 experiments, growth of *Skeletonema costatum* was not stimulated by elevated CO<sub>2</sub>  
83 (800 µatm) (Chen and Gao, 2011). *Phaeodactylum tricornutum* grown under  
84 nitrate-limited conditions also showed no enhancement of growth under high CO<sub>2</sub>  
85 (1000 µatm) (Li et al., 2012a). Nevertheless, in other work, the diatoms  
86 *Phaeodactylum tricornutum* (1000 µatm) (Wu et al., 2010) and *Attheya* sp. (670 µatm)  
87 (King et al., 2011) showed enhanced growth rate in nutrient replete conditions under  
88 elevated CO<sub>2</sub> levels. These variable findings reflect physiologically differential

89 responses among different species or under different experimental or environmental  
90 conditions. Changes in light intensity can lead to enhanced, unaffected or inhibited  
91 growth rates under OA conditions, even for the same diatom species (Gao et al.,  
92 2012b). Recently, microcosm studies have shown that the species abundance and  
93 physiological responses (eg. Chl *a*, DNA damage, ROS, photosynthetic efficiency)  
94 could be regulated by nutrients and light availability under high CO<sub>2</sub> conditions  
95 (Neale et al., 2014; Sobrino et al., 2014). Therefore, the effects of OA should be  
96 considered in the context of the influence of multiple factors, such as temperature,  
97 nutrient status, light and UVR (Boyd, 2011; IPCC, 2011; Gao et al., 2012a).

98         Solar UVB radiation (280-315 nm), which is increasing due to interactions of  
99 global change and ozone depletion (Häder et al., 2011), is known to damage DNA  
100 (Buma et al., 2003; Gao et al., 2008), lower photosynthetic rates (Helbling et al.,  
101 2003), perturb the uptake of nutrients (Hessen et al., 2008) and alter morphological  
102 development (Wu et al., 2005) of phytoplankton. In contrast, under moderate levels of  
103 solar radiation, solar UVA radiation (315-400 nm) is known to stimulate  
104 photosynthesis (Gao et al., 2007), signaling (Cashmore, 1998) and photo-repair of  
105 UVB-induced damage (Buma et al., 2003) in phytoplankton. Previously, it was shown  
106 that UV-induced inhibition of dinoflagellates was lower under nutrient replete  
107 conditions but higher under nutrient limitation, due to less efficient repair resulting  
108 from lowered nutrient availability (Litchman et al., 2002). Similar enhancement of  
109 UVB impacts under nutrient (N, P) limitation were shown for a green microalga,  
110 *Dunaliella tertiolecta* (Shelly et al., 2002; Heraud et al., 2005). Recently, OA was

111 found to enhance UVB-induced damage to a red tide alga, *Phaeocystis globosa*,  
112 leading to a greater decrease in growth rate and photochemical yield under 1000  $\mu\text{atm}$   
113  $\text{CO}_2$  (Chen and Gao, 2011).

114 Marine phytoplankton often experience nutrient limitation in offshore waters;  
115 with progressive ocean warming, such limitation will be intensified due to decreased  
116 depth of the surface mixed layer (enhanced stratification) (Cerreño et al., 2008).  
117 Combined effects of nutrient levels and  $\text{CO}_2$  have been reported in many studies. For  
118 example, photosynthetic carbon fixation of the coccolithophorid *Emiliana huxleyi*  
119 was enhanced under high light and low nitrogen conditions when the seawater  $\text{CO}_2$   
120 concentration was raised to 2000  $\mu\text{atm}$  (Leonardos and Geider, 2005). However,  
121 increased seawater  $\text{CO}_2$  concentration also showed antagonistic effects with iron in  
122 modulating (down- or up-regulating) primary production of marine phytoplankton in  
123 the Gulf of Alaska (a nutrient replete but low chlorophyll area) (Hopkinson et al.,  
124 2010). In some toxin producing species, for example the dinoflagellate *Karlodinium*  
125 *veneficum*, toxicity was enhanced under high  $\text{CO}_2$  and low phosphate conditions (Fu  
126 et al., 2010). However, to the best of our knowledge, there is little information  
127 concerning the combined effects of OA and  $\text{NO}_3^-$  limitation on diatoms and their  
128 susceptibility to damage from solar UVR (280-400 nm).

129 Nutrient availability can influence phytoplankton responses to UV and to  
130  $\text{CO}_2$ -induced seawater acidification. Theoretically, increased seawater acidity can  
131 perturb intracellular acid-base balance and thus lead to differential interactions  
132 between nutrients and solar UVR. In this study, we hypothesize that reduced

133 availability of  $\text{NO}_3^-$  under OA would affect the photosynthetic performance under  
134 solar radiation with or without UVR. We used the diatom *Phaeodactylum tricornerutum*  
135 to test this hypothesis.

136

## 137 **2 Materials and methods**

### 138 **2.1 Growth conditions**

139 The diatom *Phaeodactylum tricornerutum* Bohlin (strain CCMA 106), isolated  
140 from the South China Sea (SCS) and maintained in the Center for Collections of  
141 Marine Bacteria and Phytoplankton (CCMBP) of the State Key Laboratory of Marine  
142 Environmental Sciences (Xiamen University), was grown mono-specifically in  
143 artificial seawater enriched with Aquil medium (Morel et al., 1979). Cells were  
144 cultured in 500 mL vessels containing 250 mL medium under two levels of  $\text{NO}_3^-$  (110  
145  $\mu\text{mol L}^{-1}$ , HN; 10  $\mu\text{mol L}^{-1}$ , LN) and aerated with ambient (outdoor) air (LC, 390  
146  $\mu\text{atm}$ ) or elevated (1000  $\mu\text{atm}$ , HC)  $\text{CO}_2$  levels within a plant  $\text{CO}_2$  chamber  
147 (HP1000G-D, Ruihua instrument & equipment Co. Ltd, China). Gas flow rate was  
148 300 ml  $\text{min}^{-1}$ , and the  $\text{CO}_2$  concentrations varied by less than 3% of the target value.  
149 The low  $\text{NO}_3^-$  level of 10  $\mu\text{mol L}^{-1}$  was based on its concentration range (ca. 0-20  
150  $\mu\text{mol L}^{-1}$ ) in the oligotrophic SCS, from where the diatom strain was isolated.  
151 Dilutions were made every 24 h, so that the seawater carbonate system was  
152 maintained stable under each  $\text{CO}_2$  level within the cell density range of  $6 \times 10^4$  to  $3 \times$   
153  $10^5$  cells  $\text{mL}^{-1}$  (exponential growth phase). According to the pre-experiment, the initial  
154 nitrate concentration of 10  $\mu\text{mol L}^{-1}$  could be totally consumed (0-10  $\mu\text{mol L}^{-1}$ ); and the

155 initial nitrate concentration of 110  $\mu\text{mol L}^{-1}$  treatment, the nitrate ranged from ca. 85-110  
156  $\mu\text{mol L}^{-1}$  during the culture. The cells were grown at 70  $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$  (cool  
157 white fluorescent tubes) under a 12L: 12D photoperiod for at least 10 generations  
158 before being used for the solar radiation treatments described below. Three  
159 independent cultures were grown at each condition.

160

## 161 **2.2 Determination of seawater carbonate system parameters**

162 The pH in the cultures was determined daily during the light period with a pH  
163 potentiometric titrator (DL15, Mettler-Toledo, Schwerzenbach, Switzerland), which  
164 was calibrated with NBS (National Bureau of Standards) buffer solutions (Hanna).  
165 DIC (dissolved inorganic carbon) was estimated with an automatic system (AS-C3,  
166 Apollo Scitech) linked to an infrared gas detector (Li-Cor 7000, Li-Cor). DIC, pH,  
167 nutrient concentrations (phosphate, 10  $\mu\text{mol L}^{-1}$ ; silicate, 100  $\mu\text{mol L}^{-1}$ ), salinity (35)  
168 and temperature (20°C) were used to calculate the parameters of the seawater  
169 carbonate system ( $\text{HCO}_3^-$ ,  $\text{CO}_3^{2-}$ ,  $\text{CO}_2$  and TA) using the  $\text{CO}_2$  system analyzing  
170 software  $\text{CO}_2\text{SYS}$  (Lewis and Wallace, 1998) as described previously (Li et al.,  
171 2012a). The carbonic acid dissociation constants ( $K_1$  and  $K_2$ ) used were those of Roy  
172 et al. (1993), and that for boric acid ( $K_B$ ) was from Dickson (1990).

173

## 174 **2.3 Radiation treatments under the solar simulator**

175 To determine the effects of growth conditions on the sensitivity of carbon fixation  
176 and chlorophyll fluorescence to short-term exposure to UVR, *P. tricornutum* cells,



177 grown under LC-LN (low CO<sub>2</sub> + low nitrate), HC-LN (high CO<sub>2</sub> + low nitrate),  
178 LC-HN (low CO<sub>2</sub> + high nitrate) and HC-HN (high CO<sub>2</sub> + high nitrate) conditions,  
179 were exposed for 1 h to different radiation treatments with or without UVR, as  
180 follows: 1) P treatment, tubes wrapped with Ultraphan film 395 (UV Opak, Digefra),  
181 being exposed to PAR alone; 2) PA treatment, tubes wrapped with Folex 320  
182 (Montagefolie, Folex, Dreieich, Germany), receiving wavelengths above 320 nm  
183 (PAR+UVA); 3) PAB treatment, tubes wrapped with Ultraphan Film 295 (Digefra,  
184 Munich, Germany), so that the cells received wavelengths above 295 nm  
185 (PAR+UVA+UVB). The transmission spectra of the cut-off filters are available  
186 elsewhere (Zheng and Gao, 2009). Samples were placed at a distance of 1.2 m from a  
187 solar simulator (Sol 1200W, Dr. Hönle, Martinsried, Germany), so that the actual PAR  
188 light intensities to which the cells were exposed within the tubes (calculated taking  
189 into account the transmission properties of the quartz tubes and the filters) was 44.11  
190 Wm<sup>-2</sup> (ca. 190.11 μmol photons m<sup>-2</sup> s<sup>-1</sup>) which is close to the daytime mean photon  
191 flux in the middle of the photic zone (22-36 m depth in South China Sea, SEATS  
192 station). The corresponding UVA and UVB irradiances were 14.19 Wm<sup>-2</sup> (ca. 41.99  
193 μmol photons m<sup>-2</sup> s<sup>-1</sup>) and 0.75 Wm<sup>-2</sup> (ca. 1.89 μmol photons m<sup>-2</sup> s<sup>-1</sup>). Irradiances  
194 were measured with a broad-band filter radiometer (ELDONET, Real Time Computer,  
195 Mährendorf, Germany). After the radiation treatments, the cells were replaced under  
196 their growth light level (70 μmol photons m<sup>-2</sup> s<sup>-1</sup>) to examine the recovery of  
197 photosynthetic performance. During the incubations, the tubes were maintained in a  
198 water bath at 20 °C using a circulating cooler (Eyela, CAP-3000, Tokyorikakikai Co.

199 Ltd., Tokyo, Japan).

200

#### 201 **2.4 Measurement of carbon fixation**

202 The  $^{14}\text{C}$  method was applied to measurements of marine photosynthetic carbon  
203 fixation (Nielsen, 1952), and has been detailed with modified protocols in many  
204 publications (Holm-Hansen and Helbling, 1995; Gao et al., 2007). Cells were  
205 harvested in the middle of the light phase, diluted with freshly made medium  
206 equilibrated with the designated concentrations of  $\text{CO}_2$  to a cell concentration of  $2\text{-}3 \times$   
207  $10^4$  cells  $\text{ml}^{-1}$  and transferred to 35 ml quartz tubes. Each tube was injected with 100  
208  $\mu\text{l}$ -5  $\mu\text{Ci}$  (0.185 MBq)  $\text{NaH}^{14}\text{CO}_3$  solution (ICN Radiochemicals). Triplicate  
209 incubations were carried out for each treatment as mentioned above and, additionally,  
210 3 tubes were wrapped in aluminum foil and incubated as a dark control. The cells  
211 were collected on Whatman GF/F glass filters either immediately after 1 h exposure  
212 to the solar simulator or after a period of recovery under their growth light for another  
213 hr. The filters were put into 20 ml scintillation vials, fumed with HCl for 12 h and  
214 then dried for 6 h at  $45^\circ\text{C}$  to expel the non-fixed inorganic carbon as  $\text{CO}_2$ .  
215 Scintillation cocktail (3 mL of Tri-Carb 2800TR, Perkin Elmer®) was added to the  
216 vials, and radioactivity in the vials counted with a liquid scintillation counter (LS  
217 6500, Beckman Coulter, USA). Carbon fixation rates were calculated from these  
218 counts and are presented on a per cell basis or per chl *a*.

219

#### 220 **2.5 Measurement of Chlorophyll fluorescence**

221 For chlorophyll fluorescence measurements, cell collection and radiation  
222 treatments were carried out as described above. The effective quantum yield (yield)  
223 was measured every 20 min either during the solar simulator exposure or during  
224 recovery under the growth light level.

225 The effective quantum yield (yield) and non-photochemical quenching (NPQ)  
226 parameters were calculated according to Genty et al. (1990) as  $\text{yield} = (F'_m - F_i) / F'_m$   
227 and  $\text{NPQ} = (F_m - F'_m) / F'_m$ , respectively, where  $F_m$  is the maximum fluorescence yield  
228 after 15 min dark adaptation,  $F'_m$  is the light-adapted maximal chlorophyll  
229 fluorescence yield measured during the exposures, and  $F_i$  is the steady fluorescence  
230 level during the exposures. The actinic light was set at the growth light level, and the  
231 saturating pulse ( $5000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ) lasted for 0.8 s.

232 Repair (r) and damage (k) rates during the 60 min exposure period in the presence  
233 of UV were calculated using the Kok model (Heraud and Beardall, 2000):  $P/P_{\text{initial}} =$   
234  $r/(k+r) + [k/(k+r)]e^{-(k+r)t}$ , where  $P_{\text{initial}}$  and P were the yield values at beginning and at  
235 exposure time t.

236 During the recovery period, the exponential rate constant for recovery (R) was  
237 calculated from the following equation:  $y = y_0 + b \times [1 - \exp(-R \times t)]$ , where y represents  
238 the yield value at time t,  $y_0$  is the starting value before recovery and b is a constant.

239 The relative inhibitions of carbon fixation or yield caused by UVA or UVB were  
240 calculated as follows:

$$241 \quad \text{Inh}_{\text{UVR}} = (P_{\text{PAR}} - P_{\text{PAB}}) / P_{\text{PAR}} \times 100\%;$$

$$242 \quad \text{Inh}_{\text{UVA}} = (P_{\text{PAR}} - P_{\text{PA}}) / P_{\text{PAR}} \times 100\%;$$

243  $\text{Inh}_{\text{UVB}} = \text{Inh}_{\text{UVR}} - \text{Inh}_{\text{UVA}}$ ;

244 where  $P_{\text{PAR}}$ ,  $P_{\text{PA}}$  and  $P_{\text{PAB}}$  represent carbon fixation or yield values under PAR,  
245 PAR + UVA, PAR + UVA + UVB treatments, respectively.

246

## 247 **2.6 Cells counts and chlorophyll *a* measurements**

248 The cells were counted using a Z2<sup>TM</sup> Coulter Counter (Beckman, USA). Where  
249 needed, we used the values for chlorophyll *a* (chl *a*) contents of the cells grown under  
250 the same CO<sub>2</sub> and nitrate levels reported previously (Li et al., 2012a).

251

## 252 **2.7 Total protein content, superoxide dismutase (SOD) and catalase (CAT)**

### 253 **measurements**

254 To determine the total protein content and activities of SOD and CAT, cells were  
255 collected, in the middle of the light phase, onto a polycarbonate membrane (0.22 μm,  
256 Whatman) under vacuum at a pressure of less than 0.1 Pa and washed into a 1 ml  
257 centrifuge tube with phosphate buffer (pH 7.6). The enzyme extractions were carried  
258 out in 0.6 ml phosphate buffer (pH 7.6) that contained 50 mM KH<sub>2</sub>PO<sub>4</sub>, 1 mM  
259 Ethylene Diamine Tetraacetic Acid (EDTA), 0.1% Triton X-100 and 1% (w/v)  
260 polyvinyl pyrrolidone. The cells were broken by sonication in an ice-water bath  
261 (4 °C), and the homogenized extract was centrifuged at 12000 g (4 °C) for 10 min  
262 before the activities of SOD and CAT were tested with SOD and CAT Assay Kits  
263 (Nanjing Jiancheng Biological Engineering Company, China). One unit of SOD was  
264 defined as the amount causing a 50% inhibition of nitroblue tetrazolium (NBT)

265 reduction (Wang and Wang, 2010). One unit of CAT activity was defined as the  
266 amount required to decompose 1  $\mu\text{mol H}_2\text{O}_2$  per second. The SOD and CAT activities  
267 were expressed as  $\text{U mg}^{-1}$  protein and per  $10^6$  cells (Fig. S1). The total protein content  
268 was determined according to Bradford (1976) using bovine serum albumin as the  
269 standard.

270

## 271 **2.8 Statistical analyses and calculations**

272 One-way analysis of variance (ANOVA) was used, followed by a multiple  
273 comparison using a Tukey-test to establish differences among the treatments.

274 Interactive effects among  $\text{CO}_2$ ,  $\text{NO}_3^-$  and UVR on carbon fixation and yield were  
275 determined using a two- or three-way ANOVA to establish significant differences  
276 among the variables.

277

## 278 **3 Results**

### 279 **3.1 Carbon fixation**

280 Carbon fixation was significantly inhibited by UVR in both HN and LN-grown  
281 cells either based on per cell or chl *a* (Fig.1). Under the HN conditions, the carbon  
282 fixation rates of LC and HC cultures, compared to that of PAR alone treatment, were  
283 inhibited by 29.4% ( $P = 0.0002$ ) and 36.7% ( $P < 0.0001$ ) in the presence of UVA (PA  
284 treatment: PAR+UVA), and by 47.7% ( $P < 0.0001$ ) and 46.1% ( $P = 0.0029$ ) with both  
285 UVA and UVB (PAB, PAR+UVA+B) (Fig. 1a and c). However, the carbon fixation  
286 per cell in the LC grown cells was 10.0% ( $P = 0.0058$ ) higher in those exposed to PA,

287 and that based on chl *a* was higher under the PAR alone or PA treatments, by about  
288 8.4% ( $P = 0.0253$ ) and 17.9% ( $P = 0.005$ ) compared to that of the HC-grown cells.  
289 For PAB treatments, there were no significant differences between the HC and  
290 LC-grown cells (Fig. 1a and c).

291 Under LN conditions, carbon fixation rates of LC and HC grown cells were  
292 decreased by 14.7 % ( $P = 0.0039$ ) and 1.1% ( $P = 0.8658$ ) in the presence of UVA (PA)  
293 and by 23.3% ( $P = 0.0019$ ) and 27.3% ( $P = 0.0123$ ) with UVA and UVB (PAB)  
294 treatments, respectively (Fig. 1b and d), compared with that of PAR alone treatment.  
295 That is, both UVA and UVB resulted in significant impacts in the LN-grown cells  
296 under LC, but only UVB brought about significant reduction of the rate under HC. In  
297 the PA treatment, the HC-LN cells fixed carbon at a rate 21.7% ( $P = 0.0071$ ) higher  
298 than in the LC-LN cells (Fig. 1b), however, there were no significant differences  
299 between HC and LC cells in the PAR and the PAB treatments under N-limitation.  
300 Under the LN level, the carbon fixation rate per chl *a* was about 30.8% ( $P = 0.01$ ),  
301 51.6% ( $P = 0.0013$ ) and 24.0% ( $P = 0.03$ ) higher in HC than in LC-grown cells (Fig.  
302 1d).

303

### 304 **3.2 Photochemical quantum yield**

305 When exposed to different irradiation treatments, photochemical quantum yields  
306 ('yield') in the cells grown under either HC or LN conditions showed similar patterns  
307 with those grown at LC and HN conditions (Fig. 2), decreasing rapidly during the  
308 initial 20 min and leveling off after 40 to 60 min. Under HN conditions, the yield in

309 the HC-grown cells decreased to a similar level among the treatments ( $P$ ,  $P = 0.1568$ ;  
310 PA,  $P = 0.0879$ ; PAB,  $P = 0.1341$ ) as that in the LC-treatments (Fig. 2a and b). Under  
311 the LN condition, the yield decreased to much lower levels compared to those under  
312 HN treatments (Fig. 2c and d). Cells exposed to all treatments showed recovery of the  
313 yield, under their growth light ( $70 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ ), to approximately their initial  
314 levels in about 80 min (Fig 3).

315

### 316 **3.3 UVA and UVB induced inhibition of photosynthetic performance**

317 While UVA induced significantly higher ( $P = 0.0114$ ) inhibition of photosynthetic  
318 carbon fixation in the HC-HN but lower ( $P = 0.0038$ ) in the HC-LN grown cells (Fig.  
319 3a and b), it did not cause significant changes in the yield between the HC- and LC-  
320 grown cells (HN,  $P = 0.1375$ ; LN,  $P = 0.0500$ ) (Fig. 3c). While the contribution of  
321 UVB did not induce significant inhibition of either carbon fixation ( $P = 0.2308$ ) or  
322 yield ( $P = 0.5319$ ) in the HN-grown cells, under both the HC and LC conditions (Fig.  
323 3a and c), it caused significantly higher inhibition of the photosynthetic rate (by  
324 203.3%,  $P = 0.0006$ ) and the yield (by 76.8%,  $P = 0.0451$ ) in the HC- than the LC-  
325 grown cells under  $\text{NO}_3^-$  limited conditions (Fig. 3b and d). Interactive effects among  
326  $\text{CO}_2$ ,  $\text{NO}_3^-$  and radiation treatments on yield were significant (Table 1).

327

### 328 **3.4 Repair, damage rates and constant for recovery rate**

329 The HC-grown cells had higher rates of damage,  $k$ , than the LC-grown cells  
330 under nitrogen limitation but not under N replete conditions (HN,  $P = 0.2109$ ; LN,  $P$

331 = 0.0092). No effect was observed for repair rates  $r$  (HN,  $P = 0.1655$ ; LN,  $P =$   
332 0.5276). The repair:damage ( $r/k$ ) ratios in the HC-grown cells showed a 21.0% (but  
333 statistically insignificant) increase under HN ( $P = 0.3450$ ) but decreased significantly  
334 by 31.1% under LN ( $P = 0.0320$ ) conditions, compared to the LC-grown cells,  
335 respectively (Table 2). Under the low PAR, the exponential rate constant for recovery  
336 ( $R$ ) showed dependency on previous light treatments with lowered rate in the cells  
337 exposed to UVR, while HC stimulated the rate under the HN but not LN condition  
338 (Table 3). Obviously, the cells exposed to the radiation treatments with UVB took  
339 longer ( $P < 0.05$ ) to recover their photochemical yield, and pre-exposure to UVA had  
340 little ( $P > 0.05$ ) effect on the recovery; HC-HN-grown cells had faster ( $P < 0.05$ )  
341 photochemical recovery (Table 4).

342

### 343 **3.5 Non-photochemical quenching (NPQ)**

344 Non-photochemical quenching (NPQ) showed an opposite pattern of change to  
345 yield during both the exposure and recovery periods (Fig. 4). Under HN conditions,  
346 HC treatments triggered the highest NPQ within 20 min (Fig. 4a), while NPQ reached  
347 its maximal values at 40 min under the ambient (LC) CO<sub>2</sub> level (Fig. 4b). Similar  
348 trends were found in both the LN and HN grown cells regardless of the radiation  
349 treatments (Fig. 4). Both UVA and UVB caused additional ( $P < 0.05$ ) rises in NPQ in  
350 HN-grown cells regardless of the CO<sub>2</sub> levels (Fig. 4a and b). However, neither UVA  
351 nor UVB induced significant ( $P > 0.05$ ) change in NPQ in LN-grown cells, regardless  
352 of the CO<sub>2</sub> levels (Fig. 4c and d). Lower NPQ values were found in HN-grown cells



353 compared with LN, under either PAR alone or PAR+UVA treatments. Addition of  
354 UVB, however, resulted in an approximately 17.0% higher, but statistically  
355 insignificant (LC,  $P = 0.1150$ ; HC,  $P = 0.1660$ ), increase of NPQ in HN compared to  
356 LN-grown cells. Transfer to the growth light level without UV, to allow recovery, led  
357 to a rapid decline of NPQ with time. For the cells that were pre-exposed to the  
358 PAR+UVA+B treatment, relaxation of NPQ during the recovery period showed no  
359 difference ( $P > 0.05$ ) between HC- and LC-grown cells except that NPQ in the  
360 HC-HN grown cells declined faster ( $P = 0.0242$ ) than in LC-HN cells. Two-way  
361 ANOVA showed that both nitrogen levels and radiation treatments individually, and  
362 also interactively, affected the NPQ (Table 1).

363

### 364 **3.6 Protein content, SOD and CAT activities**

365 Protein contents were enhanced in HN cultures under both LC ( $3.21 \pm 0.98$  pg  
366  $\text{cell}^{-1}$ ) and HC ( $3.38 \pm 1.35$  pg  $\text{cell}^{-1}$ ) conditions, compared with LN grown cells (LC,  
367  $2.58 \pm 0.46$  pg  $\text{cell}^{-1}$ ; HC,  $2.28 \pm 0.68$  pg  $\text{cell}^{-1}$ ), though statistically there were no  
368 significant differences among the treatments ( $P = 0.4296$ ) (Fig. 5a). There was no  
369 significant difference in protein content between LC and HC treatments at a given  
370  $\text{NO}_3^-$  concentration. However,  $\text{NO}_3^-$ -limitation enhanced SOD (LC, by 62.5%,  $P =$   
371  $0.0004$ ; HC, by 72.5%,  $P = 0.0007$ ) and CAT (LC, by 67.5%,  $P = 0.0759$ ; HC, by  
372 67.1%,  $P = 0.0747$ ) activities in both LC and HC-grown cells, when based on protein  
373 content (Fig. 5b and c), though such enhancement was insignificant ( $P > 0.1$ ) when  
374 normalized to per cell (Fig. S1).

375

#### 376 **4 Discussion**

377         This study shows that nitrate limitation interacts with OA to affect the overall  
378 impacts of solar UVR on the diatom *P. tricornutum*. OA and UVB caused  
379 significantly higher inhibition of the photosynthetic rate and the quantum yield under  
380 LN than under HN conditions. Interactive effects of reduced nitrate availability and  
381 OA increased protein-based activity of superoxide dismutase (SOD) and catalase  
382 (CAT) but decreased the rate of repair of PSII from UV-induced damage. OA  
383 appeared to counteract UVB-induced damage under  $\text{NO}_3^-$  replete conditions, but  
384 when combined with decreased availability of nitrate, it increased the diatom's  
385 sensitivity to UVR.

386         Most diatoms have evolved  $\text{CO}_2$  concentrating mechanisms (CCMs) as a  
387 response to low availability of  $\text{CO}_2$  in the present-day oceans (Raven et al., 2011).  
388 Increasing  $\text{pCO}_2$  may, to some extent, benefit marine phytoplankton due to increased  
389 availability of  $\text{CO}_2$  (Burkhardt et al., 2001; Rost et al., 2003). CCMs are known to be  
390 down-regulated under a  $\text{CO}_2$  level doubling that of the current ambient concentration,  
391 saving about 20% of the energy cost for active inorganic carbon acquisition in some  
392 diatoms (including *P. tricornutum*) (Hopkinson et al., 2011). Such a down-regulation  
393 of CCMs was equally obvious in *P. tricornutum* grown under nitrate-limited or replete  
394 conditions (Wu et al., 2010; Li et al., 2012a). However, this down-regulated CCM and  
395 its effects may be mediated by many other factors. A recent study found that different  
396 acclimation times (short term, 15-16 generations and longer term, 33-57 generations)

397 to increased CO<sub>2</sub> and nitrate limitation may have different effects on the DIC and DIN  
398 uptake rate in diatom *Thalassiosira pseudonana*, with short-term acclimated cells  
399 showing a linear correlation with changes in fCO<sub>2</sub> although this was not the case in  
400 long-term acclimated cells (Hennon et al., 2014). On the other hand, the  
401 down-regulation of CCM operation was recently shown to decrease the growth of 3  
402 diatoms (*Phaeodactylum tricornutum*, *Thalassiosira pseudonana* and *Skeletonema*  
403 *costatum*) under high levels of sunlight but to enhance it under low light (Gao et al.,  
404 2012b). The growth rate of *P. tricornutum* under high CO<sub>2</sub> (1000 µatm) decreased at  
405 light levels higher than 180 µmol m<sup>-2</sup> s<sup>-1</sup> to be lower than that of the low CO<sub>2</sub>-grown  
406 cells (Gao et al., 2012b). In the present study, under the near-saturation light level (ca.  
407 190 µmol photons m<sup>-2</sup> s<sup>-1</sup> of PAR), photosynthetic carbon fixation rate per chl *a* under  
408 the nitrate limited condition was higher in the HC-grown cells. Obviously, the nutrient  
409 limitation influenced the effects of OA.

410 UVR is known to damage photosynthetic pigments and proteins (for example D1  
411 and Rubisco proteins) (Zacher et al., 2007) and therefore would reduce the  
412 photosynthetic capacity of algae (Häder et al., 2011). UVA induced significantly  
413 higher inhibition of carbon fixation in HC-HN than in LC-HN grown cells, reflecting  
414 a synergistic effect of UVA and OA; however, for the same cells, UVB induced no  
415 greater inhibition of the photosynthetic carbon fixation in HC compared to LC cells,  
416 which is in contrast to the findings reported in another study (Li et al., 2012b). Many  
417 studies have shown that the sensitivity of cells to high levels of PAR and UV under  
418 OA condition could be stimulated and then induce higher inhibition rate of

419 photosynthesis (Sobrino et al., 2008; Gao et al., 2012b; Xu and Gao, 2012). However,  
420 this phenomenon is not always found in all species especially when the intensity of  
421 PAR or UV is not that high. For example, a recent study reported that the unicellular  
422 chlorophyte (*Dunaliella tertiolecta*) acclimated with high CO<sub>2</sub> under nutrient replete  
423 conditions could alleviate the stress induced by high PAR and UV (García-Gómez et  
424 al., 2014). This could be due to the energy saving as a result of down-regulation of CCM  
425 activity. However, in the present study, we did not find that the synergistic effects of OA  
426 and UVR induced a higher inhibition at the light intensity of PAR+ UVA+UVB (44.11 +  
427 14.19 + 0.75 Wm<sup>-2</sup>) used, than found under LC. This may be due to the light intensity of  
428 PAR or UVR not being high enough to exceed the energy dissipating capacity of the cells.  
429 Furthermore, under high N the nutrient supply would be sufficient to support the repair  
430 processes of UV or high PAR induced damage. In the LN-grown cells, UVB induced  
431 greater inhibition of both carbon fixation and yield, probably due to a decreased  
432 repair/damage ratio (Table 2) and decreased levels of both chl *a* and other light  
433 harvesting pigments (Li et al., 2012a), since the (re)synthesis of both proteins and  
434 UV-screening compounds depends on nitrogen availability (Beardall et al., 2009;  
435 Beardall et al., 2014). Such an inhibition by UVB in LN-grown cells was more  
436 pronounced under OA conditions (Fig. 3b and d), though UVB appeared to counteract  
437 the OA effect under the HN condition. When the cells are exposed to lower external  
438 pH, they would need additional energy to cope with the acid-base perturbation  
439 (Kanazawa and Kramer, 2002). By impairing photosynthesis, nitrogen limitation  
440 could decrease the supply of energy, especially in the presence of UVB (Döhler,

441 1998). Though SOD and CAT normalized per cell showed no change in all treatments  
442 (Fig. S1), the fact that nitrogen limitation led to decreased protein contents per cell  
443 and with higher activity of SOD and CAT (based on protein content) implies that  
444 these enzymes are preferentially retained in the face of decreasing protein per cell and  
445 thus reflects an enhanced defense strategy (Fig. 5), so that reactive oxygen species  
446 (ROS) that were formed under N-limitation could be scavenged. The differential  
447 impacts of UVB on HN and LN-grown cells under the OA treatment could be due to  
448 differences in the repair and damage rates (Table 2) and differential stimulation of  
449 periplasmic proteins (Wu and Gao, 2009), which are important transporters of ions  
450 and play important roles in maintaining intracellular acid-base stability. On the other  
451 hand,  $\text{NO}_3^-$  scarcity usually leads to an impaired PSII reaction center activity due to  
452 decreased synthesis of key proteins, therefore, leading to decreased quantum yields of  
453 PSII (Geider et al., 1993). In this study, *P. tricornutum* showed much lower yield (Fig.  
454 2c and d), as well as NPQ, in the nitrogen limited cells (Fig. 4c and d), indicating  
455 smaller functional PSII reaction centers and a lower heat dissipating capability, when  
456 combined with the OA treatment, consistent with these cells having the highest  
457 damage and the lowest repair (Table 2). In the HN-grown cells, better recovery of  
458 both photosynthetic carbon fixation (data not shown) and photochemical performance  
459 (Table 3, 4) under the OA condition could be attributed to faster repair rate of PSII  
460 and related metabolic up-regulations.

461 The results from the present work suggest that nutrient limitation can alter the  
462 effects of OA or UVR and their interactions. In the oligotrophic oceans, such as the

463 surface mixed layers of the South China Sea (SCS), where averaged total inorganic  
464 nitrogen concentrations range from 0-20  $\mu\text{mol}$ , UVB and OA can act synergistically  
465 to bring about a higher inhibition of photosynthetic carbon fixation. Higher  
466 UVB-induced inhibition of photosynthesis was found in pelagic low-nutrient waters  
467 than in coastal waters in the SCS (Li et al., 2011). With enhanced stratification and  
468 reduced thickness of the upper mixed layer due to ocean warming, fewer nutrients  
469 will be transported from deeper layers to the photic zones, and interactions of  
470 enhanced nutrient limitation, OA and increased solar exposures will become the main  
471 drivers influencing marine primary production (Gao et al., 2012a). For the diatoms,  
472 such as *P. tricornutum*, OA and other ocean changes may result in transitions in their  
473 vertical and horizontal distributions and changes in phytoplankton community  
474 structure.

475

#### 476 **Author contribution**

477 K.G. and W.L. conceived and designed the experiments, W.L. performed the  
478 experiments. W.L., K.G. and J.B. analyzed the data and wrote the paper.

479

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490

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706 **Table 1.** Interactive effects among NO<sub>3</sub><sup>-</sup> concentrations, CO<sub>2</sub> levels and  
707 radiation treatments. Two or three way ANOVA analysis of individual and  
708 interactive effects among NO<sub>3</sub><sup>-</sup> concentrations, CO<sub>2</sub> levels and radiation  
709 treatments. Stars indicate significance at *P* < 0.05. Where “Ni” indicates nitrate,  
710 “OA” CO<sub>2</sub>/pH, “Rad-Treat” radiation treatments, “Inh-C” inhibition of carbon  
711 fixation and “Inh- yield” inhibition of yield.

Parameter				Ni &	Ni &	OA &	Ni, OA &
	Ni	OA	Rad-Treat	OA	Rad-Treat	Rad-Treat	Rad-Treat
Carbon fixation	*	*	*	*	*		*
Inh-C	*		*		*		*
yield	*		*	*	*		
Inh- yield	*		*	*	*		
NPQ	*		*		*		

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721 **Table 2.** The PSII damage (k) and repair (r) rate constants ( $\text{min}^{-1}$ ) in *Phaeoductylum*  
722 *tricornutum* cells grown in LC-HN, LC-LN, HC-HN and HC-LN during the 60 min  
723 exposures to PAR+ UVA+UVB ( $44.11 + 14.19 + 0.75 \text{ Wm}^{-2}$ ). Parameters of repair  
724 and damage rates were calculated based on Fig. 2 according to Heraud and Beardall  
725 (2000). SD was for triplicate cultures. Treatments with the same lowercase superscript  
726 letters, means the difference is not significant. In contrast, treatments with different  
727 lowercase superscript letters indicate the difference is significant ( $P < 0.05$  level).

	$R^2$ for fit	Repair rate(r)	Damage rate(k)	r/k
LC-HN	>0.99	$0.044 \pm 0.007^a$	$0.068 \pm 0.007^a$	$0.666 \pm 0.216^{ab}$
HC-HN	>0.99	$0.064 \pm 0.019^{ab}$	$0.079 \pm 0.010^{ab}$	$0.806 \pm 0.145^{ab}$
LC-LN	>0.99	$0.054 \pm 0.012^{ab}$	$0.062 \pm 0.008^a$	$0.854 \pm 0.138^a$
HC-LN	>0.99	$0.059 \pm 0.005^b$	$0.095 \pm 0.010^b$	$0.588 \pm 0.073^b$

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738 **Table 3.** The exponential rate constant for recovery ( $R$ ,  $\text{min}^{-1}$ ) under growth light after  
 739 80 min exposure to solar radiation with or without UV. Different letters of  
 740 superscripts indicate significant differences between the  $\text{CO}_2$  and  $\text{NO}_3^-$  treatments at  $P$   
 741  $< 0.05$ .

	LC-HN	LC-LN	HC-HN	HC-LN
P	$0.038 \pm 0.006^{\text{ab}}$	$0.029 \pm 0.011^{\text{b}}$	$0.043 \pm 0.009^{\text{a}}$	$0.038 \pm 0.002^{\text{ab}}$
PA	$0.028 \pm 0.002^{\text{a}}$	$0.023 \pm 0.007^{\text{a}}$	$0.037 \pm 0.002^{\text{b}}$	$0.027 \pm 0.008^{\text{ab}}$
PAB	$0.019 \pm 0.002^{\text{a}}$	$0.024 \pm 0.001^{\text{b}}$	$0.029 \pm 0.003^{\text{c}}$	$0.021 \pm 0.003^{\text{d}}$

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756 **Table 4.** The recovery time to half maximal yield values under growth light after 80  
 757 min exposure to solar radiation with or without UV. Different letters of superscripts  
 758 indicate significant differences between the radiation treatments at  $P < 0.05$ .

	LC-HN	LC-LN	HC-HN	HC-LN
	(min)	(min)	(min)	(min)
P	16.78±2.94 <sup>a</sup>	20.81±5.93 <sup>a</sup>	15.41±2.57 <sup>ab</sup>	16.79±0.64 <sup>a</sup>
PA	20.38±1.28 <sup>a</sup>	23.36±4.47 <sup>a</sup>	16.83±0.67 <sup>a</sup>	21.66±4.52 <sup>ab</sup>
PAB	25.82±1.51 <sup>b</sup>	22.73±1.25 <sup>a</sup>	20.05±1.78 <sup>b</sup>	24.64±1.57 <sup>b</sup>

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777 **Figure captions**

778 **Figure 1.** Photosynthetic carbon fixation rates of *P. tricornutum* under different  
779 treatments. Photosynthetic carbon fixation rates of *P. tricornutum* cells represented as  
780 rates (a, b) per cell and (c, d) per chl *a* grown at ambient (390  $\mu\text{atm}$ , LC) or elevated  
781  $\text{CO}_2$  (1000  $\mu\text{atm}$ , HC) under  $\text{NO}_3^-$  replete (110  $\mu\text{mol L}^{-1}$ , HN) (a, c) or limited  
782 condition (10  $\mu\text{mol L}^{-1}$ , LN) (b, d) when exposed to PAR (P), PAR+UVA (PA) and  
783 PAR+UVA+UVB (PAB) for 60 min, respectively. Vertical bars indicate  $\pm\text{SD}$ , the  
784 means and standard deviation were based on 3 replicates. The different lowercase  
785 letters indicate significant differences between different treatments at  $P < 0.05$  level.

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787 **Figure 2.** The effective quantum yield of *P. tricornutum* under different treatments.  
788 Changes of effective quantum yield in *P. tricornutum* cells at ambient (390  $\mu\text{atm}$ , LC)  
789 or elevated  $\text{CO}_2$  (1000  $\mu\text{atm}$ , HC) under (a, b)  $\text{NO}_3^-$  replete (110  $\mu\text{mol L}^{-1}$ , HN) or (c,  
790 d) limited (10  $\mu\text{mol L}^{-1}$ , LN) when exposed to PAR (P), PAR+UVA (PA) and  
791 PAR+UVA+UVB (PAB) for 60 min and another 80 min under the growth light level  
792 (the time of the switch to growth light levels is indicated by the dashed line),  
793 respectively. The irradiance intensities under solar simulator or growth light were the  
794 same as mentioned above. Vertical bars are means  $\pm\text{SD}$ ,  $n=3$ .

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796 **Figure 3.** UV induced inhibition of carbon fixation and PSII activity. UVA and UVB  
797 induced inhibition of (a, b) photosynthetic carbon fixation and (c, d) PSII of *P.*  
798 *tricornutum* cells grown at ambient (390  $\mu\text{atm}$ , LC) or elevated  $\text{CO}_2$  (1000  $\mu\text{atm}$ , HC)

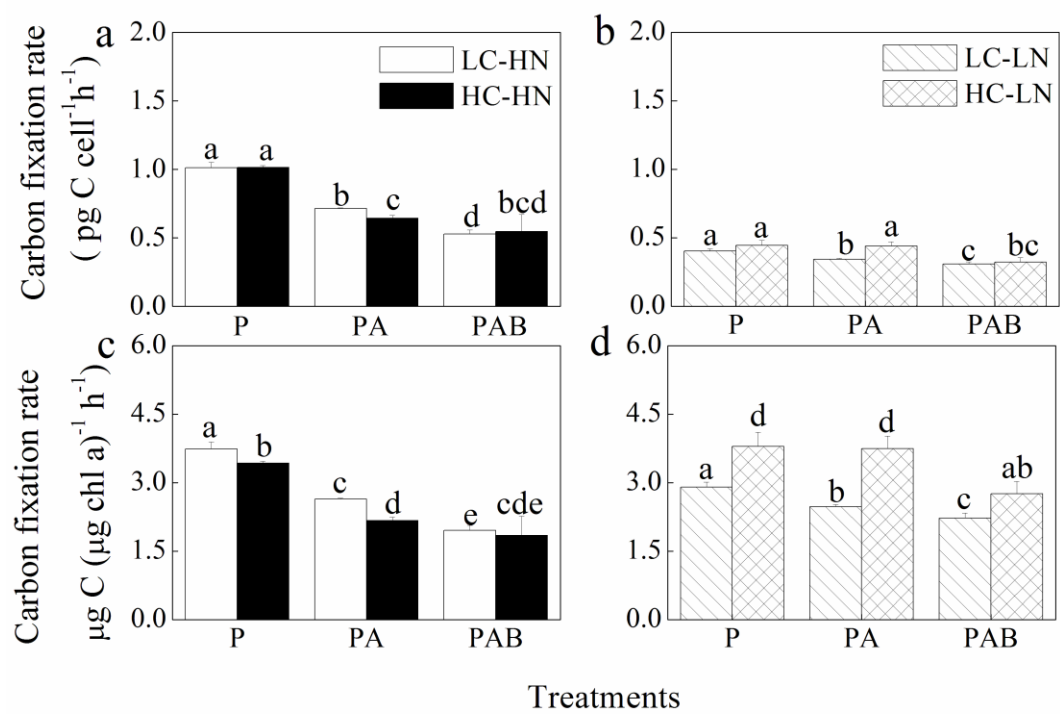
799 under (a, c)  $\text{NO}_3^-$  replete ( $110 \mu\text{mol L}^{-1}$ , HN) or (b, d)  $\text{NO}_3^-$  limited condition ( $10 \mu\text{mol}$   
800  $\text{L}^{-1}$ , LN) when exposed to PAR (P), PAR+UVA (PA) and PAR+UVA+UVB (PAB) for  
801 60 min, respectively. The irradiance intensity under solar simulator was the same as  
802 mentioned above. Vertical bars are means  $\pm\text{SD}$ ,  $n=3$ , the different letters indicate  
803 significant differences between different treatments at  $P < 0.05$  level.

804

805 **Figure 4.** Non-photochemical quenching (NPQ) of *P. tricornutum* under different  
806 treatments. NPQ of *P. tricornutum* grown at ambient ( $390 \mu\text{atm}$ , LC) or elevated  $\text{CO}_2$   
807 ( $1000 \mu\text{atm}$ , HC) under (a, b)  $\text{NO}_3^-$  replete ( $110 \mu\text{mol L}^{-1}$ , HN) or (c, d) limited  
808 condition ( $10 \mu\text{mol L}^{-1}$ , LN) when exposed to PAR (P), PAR+UVA (PA) and  
809 PAR+UVA+UVB (PAB) for 60 min and another 80 min under the growth light level,  
810 respectively. The irradiance intensities under solar simulator or growth light were the  
811 same as mentioned above. Vertical bars means  $\pm\text{SD}$ ,  $n=3$ .

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813 **Figure 5.** Protein contents, SOD and CAT activities of *P. tricornutum* under different  
814 treatments. (a) Protein contents, (b) SOD and (c) CAT activities (represented as per  
815 milligram protein ) of *P. tricornutum* grown at ambient ( $390 \mu\text{atm}$ , LC) or elevated  
816  $\text{CO}_2$  ( $1000 \mu\text{atm}$ , HC) under  $\text{NO}_3^-$  replete ( $110 \mu\text{mol L}^{-1}$ , HN) or limited ( $10 \mu\text{mol L}^{-1}$ ,  
817 LN). The different letters above each column indicate significant differences between  
818 different treatments at  $P < 0.05$  level. Vertical bars means  $\pm\text{SD}$ , except the CAT value  
819 in HC-LN for which there were only 2 replicates, other treatments used at least 3  
820 replicates ( $n=3-7$ ).



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Fig. 1

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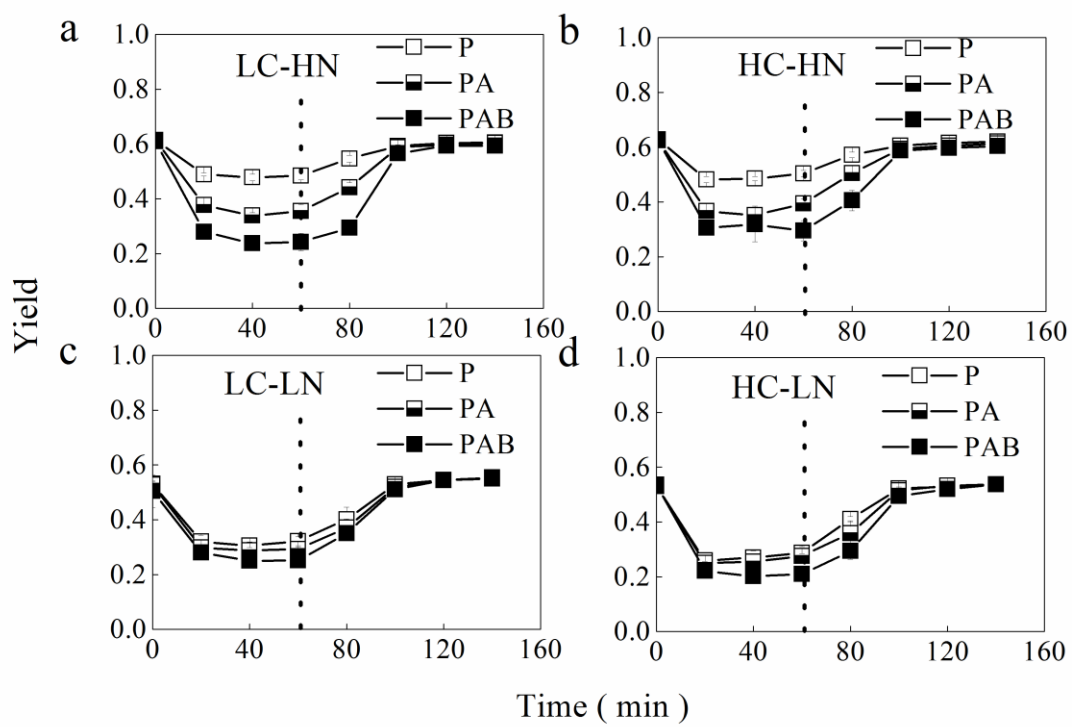


Fig. 2

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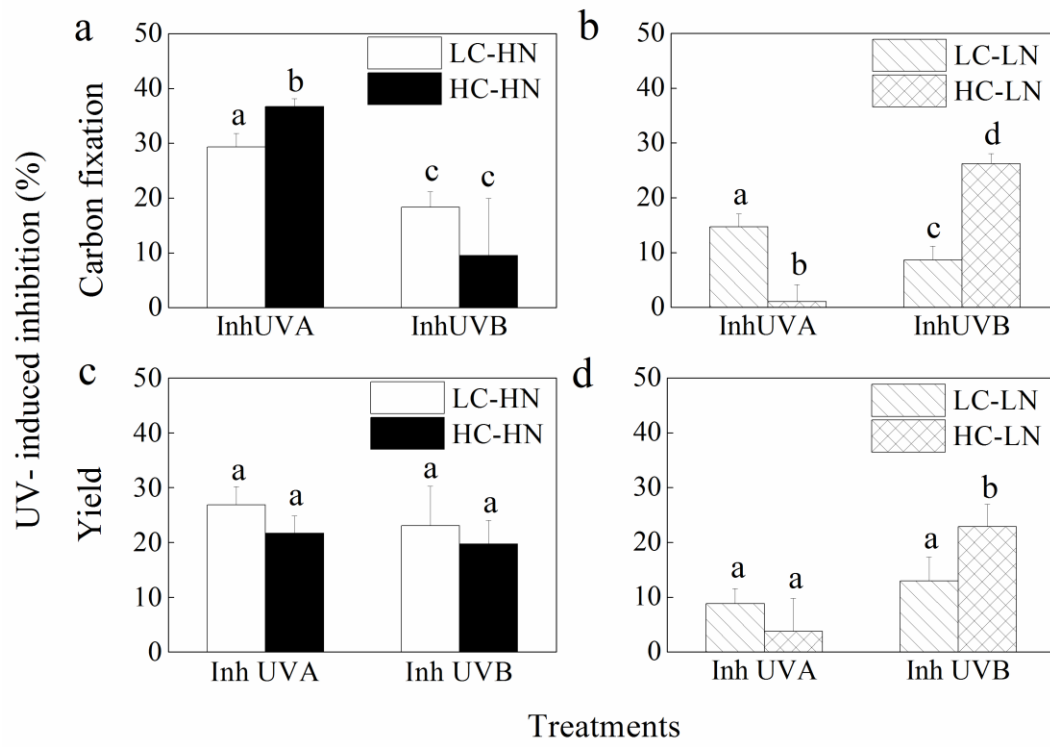


Fig. 3

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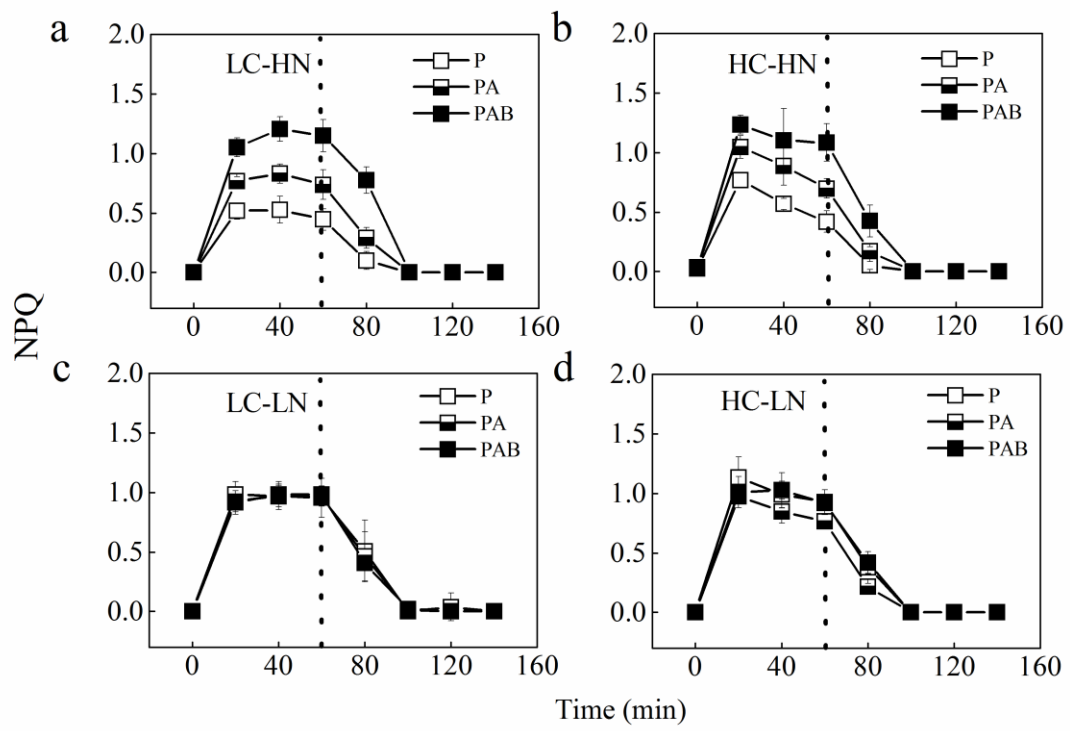


Fig. 4

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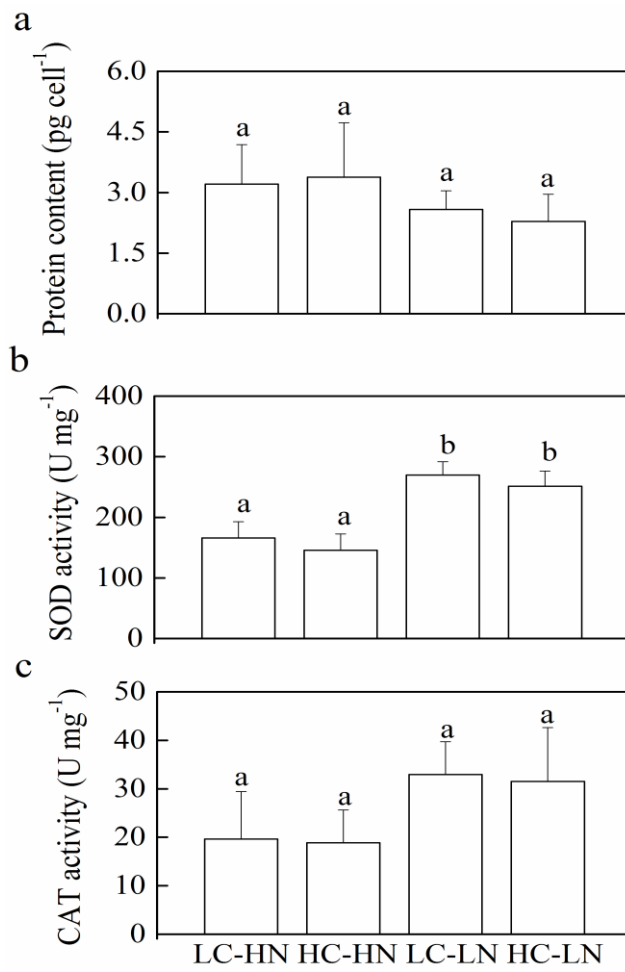
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Fig. 5