Dear Dr. Emilio Marañón,

We would like to thank you and the three reviewers for careful examination of our manuscript (bg-2014-554) entitled "Effects of CO<sub>2</sub> and iron availability on *rbcL* gene expression in Bering Sea diatoms". Attached is the track changes version of revised manuscript combined with the supplement and author's response. In the revised manuscript and supplement, the modified places were shown in blue.

We hope that our manuscript will be acceptable for publication in Biogeosciences.

Yours sincerely,

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### Abstract

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2 Iron (Fe) can limit phytoplankton productivity in approximately 40% of the global 3 ocean, including high-nutrient, low-chlorophyll (HNLC) waters. However, there is little information available on the impact of CO2-induced seawater acidification on natural 4 phytoplankton assemblages in HNLC regions. We therefore conducted an on-deck 5 6 experiment manipulating CO<sub>2</sub> and Fe using Fe-deficient Bering Sea waters during the 7 summer of 2009. The concentrations of CO<sub>2</sub> in the incubation bottles were set at 380 8 and 600 ppm in the non-Fe-added (control) bottles and 180, 380, 600, and 1000 ppm in 9 the Fe-added bottles. The phytoplankton assemblages were primarily composed of 10 diatoms followed by haptophytes in all incubation bottles as estimated by pigment 11 signatures throughout the 5 (controls) or 6 (Fe-added treatments) days incubation period. 12 At the end of incubation, the relative contribution of diatoms to chlorophyll *a* biomass 13 was significantly higher in the 380 ppm CO<sub>2</sub> treatment than in the 600 ppm treatment in 14 the controls, whereas minimal changes were found in the Fe-added treatments. These 15 results indicate that, under Fe-deficient conditions, the growth of diatoms could be 16 negatively affected by the increase in CO<sub>2</sub> availability. To further support this finding, 17 we estimated the expression and phylogeny of rbcL (which encodes the large subunit of 18 RubisCO) mRNA in diatoms by quantitative reverse transcription PCR and clone 19 library techniques, respectively. Interestingly, regardless of Fe availability, the 20 expression of rbcL decreased in the high CO<sub>2</sub> treatments (600 and 1000 ppm). The 21present study suggests that the projected future increase in seawater  $pCO_2$  could reduce 22 the RubisCO transcription of diatoms, resulting in a decrease in primary productivity 23 and a shift in the food web structure of the Bering Sea. 24

### 1. Introduction

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The atmospheric CO<sub>2</sub> concentration has risen from a pre-industrial level of approximately 280 ppm to the present level of approximately 400 ppm (WMO, 2013). Since the industrial revolution, the ocean has absorbed about one-third of CO<sub>2</sub> emitted by human activity (Sabine et al., 2004). It is predicted that the atmospheric CO<sub>2</sub> concentration could reach more than 700 ppm by the end of the 21st century (Meehl et al., 2007), driving a surface seawater pH decrease of 0.3–0.4, the so-called "ocean"

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acidification" (Caldeira and Wickett, 2003). Such a rapid decrease in seawater pH has most likely not occurred for at least millions of years in the earth's history (Pearson and Palmer, 2000). Therefore, it has been suggested that these predicted changes in seawater carbonate chemistry would have enormous impacts on the health and function of marine organisms (Raven et al., 2005).

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In the last decade, numerous studies have been performed to evaluate the impacts of ocean acidification on marine phytoplankton. In laboratory incubation experiments using individual species (a single strain), the response of phytoplankton to increased CO<sub>2</sub> levels differed among phytoplankton species, possibly depending on their ability to assimilate carbon (Riebesell and Tortell, 2011; Collins et al., 2014). In the natural environment, these taxon-specific differences in CO<sub>2</sub> response can cause a shift in the phytoplankton community composition (Engel et al., 2008; Meakin and Wyman, 2011; Endo et al., 2013) and subsequent changes in ocean trophic structures and biogeochemical cycles (Riebesell et al., 2007; Yoshimura et al., 2013). However, the current understanding of the effects of elevated CO<sub>2</sub> on marine phytoplankton is still insufficient at the community level.

In terms of physiology,  $CO_2$  is fixed by the carboxylation enzyme ribulose bisphosphate carboxylase/oxygenase (RubisCO) in the Calvin-Benson-Bassham (CBB) cycle. In general, the half-saturation constant of the enzyme ranges between 20 and 70  $\mu$ mol kg<sup>-1</sup>  $CO_2$  (Badger et al., 1998), whereas the ambient seawater  $CO_2$  levels are between 10 and 25  $\mu$ mol kg<sup>-1</sup>. Therefore, the present  $CO_2$  concentration could be insufficient to ensure effective RubisCO carboxylation. The progression of ocean acidification could enhance photosynthetic carbon fixation in marine phytoplankton by increasing  $CO_2$  availability.

Recent advances in molecular biology techniques have enabled us to examine the taxon-specific responses to environmental changes by quantifying functional gene expression in natural phytoplankton assemblages. For example, John et al. (2007a) developed a suite of quantitative reverse transcription PCR (qRT-PCR) assays to quantify *rbcL* (gene encoding the large subunit of RubisCO) mRNA in *Synechococcus*, haptophytes, and heterokonts including diatoms. John et al. (2007b) demonstrated a strong negative correlation between diatom-specific *rbcL* mRNA abundance and

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削除: Consequently, algae have mechanisms to actively increase the CO2 concentration at the site of carboxylation (i.e. carbon-concentrating mechanisms. CCMs) to overcome the low RibisCO CO2 affinity (e.g., Raven, 2010; Reinfelder, 2011). Because the operation of CCMs requires nutrients and energy (Beardall and Giordano, 2002), additional materials would be needed for photosynthetic carbon assimilation under CO<sub>2</sub>-limited conditions. Therefore, the progression of ocean acidification could enhance photosynthetic carbon fixation in marine phytoplankton by reducing the investment in CCMs. Until now, most physiological work has been performed in laboratory incubation experiments using particular organisms, but there are few reports on natural phytoplankton assemblages.

seawater  $p\text{CO}_2$  in the Mississippi River plume, suggesting that diatoms were responsible for the greatest drawdown in seawater  $p\text{CO}_2$ . In addition, positive correlations between diatom-specific rbcL transcripts and light-saturated photosynthetic rates ( $P_{\text{max}}$ ) in seawater were reported (Corredor et al., 2004; John et al., 2007b). These results suggest that rbcL expression in diatoms could be used to estimate the photosynthetic carbon-fixation capacity of natural phytoplankton assemblages. Therefore, quantification of clade-specific rbcL transcripts can be used to assess the physiological photosynthetic responses of individual phytoplankton taxa to environmental changes.

The oceanic Bering Sea investigated in this study is an HNLC region (Banse and English, 1999), where low iron (Fe) availability limits phytoplankton growth and nitrate utilization, so surface chlorophyll *a* (Chl-*a*) concentrations usually remain low in the summer (Suzuki et al., 2002). Despite the low phytoplankton biomass, the oceanic domain has the greatest amount of total primary and secondary production in the Bering Sea (Springer et al., 1996). Suzuki et al. (2002) reported that diatoms were the dominant phytoplankton group in the oceanic regions of the Bering Sea in the summer. In addition, Takahashi et al. (2002) showed that diatoms had the greatest contribution in the sinking particles in the area. However, less is known about the combined effects of ocean acidification and Fe enrichment on diatoms in such HNLC regions. In addition, there are no reports on the effects of CO<sub>2</sub> and Fe availability on *rbcL* transcription of natural diatom community in HNLC regions.

The purpose of this study is to clarify the responses of phytoplankton, especially diatoms, to CO<sub>2</sub> enrichment under Fe-depleted and Fe-replete conditions in the Bering Sea basin using on-deck bottle incubation. Recently, Sugie et al. (2013) reported changes in phytoplankton biomass and nutrient stoichiometry in this experiment. They showed that Chl-*a* biomass decreased with increased CO<sub>2</sub> levels only in Fe-depleted treatments, suggesting that Fe deficiency and increased CO<sub>2</sub> synergistically reduced the growth of phytoplankton in the study area. In addition, Yoshimura et al. (2014) demonstrated that the net production of particulate organic carbon (POC) and total organic carbon (TOC) decreased under high CO<sub>2</sub> levels only in the Fe-limited treatments, whereas those in the Fe-replete treatments were insignificantly different.

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These studies suggest that the increase in  $CO_2$  could have negative impacts on phytoplankton growth and/or organic-matter production especially under Fe-depleted conditions. However, the molecular mechanisms of photosynthetic carbon assimilation in phytoplankton assemblages were not mentioned in the previous studies. Therefore, in the present paper, we primarily focused on changes in rbcL transcription in diatoms with different  $CO_2$  and/or Fe availability.

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## 2. Materials and Methods

#### 2.1 Experimental setup

The study was carried out aboard the R/V Hakuho Maru (JAMSTEC) during the KH-09-4 cruise in September 2009. The water samples for incubation were collected from 10 m depth at a station (53° 05' N, 177° 00' W) in the Bering Sea on 9 September with acid-cleaned Niskin-X bottles attached to a CTD-CMS system. A total of 300 L of seawater was poured into six 50 L polypropylene carboys through acid-clean silicon tubing with a 197 µm mesh Teflon net to remove large particles. Subsamples were taken from each carboy and poured into triplicate acid-cleaned 12 L polycarbonate bottles (total 18 bottles) for incubation. Initial samples were collected from each carboy. All sampling was carried out using a trace-metal clean technique to avoid any trace metal contamination. Prior to incubation, FeCl<sub>3</sub> solutions (5 nmol L<sup>-1</sup> in final concentration) were added to 12 bottles in order to reduce Fe limitation for the phytoplankton communities. The CO<sub>2</sub> levels in the incubation bottles were manipulated by injecting CO<sub>2</sub> controlled dry air purchased from a commercial gas supply company (Nissan-Tanaka Co., Japan). The air mixtures were passed through 47 mm PTFE filters (0.2 µm pore size, Millipore) before being added to the incubation bottles. The detailed procedures for trace metal clean techniques were described in Yoshimura et al. (2013). The CO<sub>2</sub> concentrations were set at 380 and 600 ppm for the non-Fe-added (control) bottles (hereafter referred to as 'C-380' and 'C-600', respectively), and 180, 380, 600, and 1000 ppm for the Fe-added bottles (hereafter referred to as 'Fe-180', 'Fe-380', 'Fe-600', and 'Fe-1000', respectively). Incubation was performed on deck in temperature-controlled water-circulating tanks for 5 (controls) or 6 (Fe-added treatments) days at the in situ temperature (8.2°C) and 50% surface irradiance adjusted

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by natural density screens. <u>The sampling opportunities for each parameter are shown in Table S1.</u>

### 2.2 Carbonate chemistry, nutrients, and Chl-a

The detailed methodology and basic chemical and biological parameters were reported in Sugie et al. (2013). In brief, during the incubation experiment, samples were collected from the incubation bottles for dissolved inorganic carbon (DIC), total alkalinity (TA), nutrients, and Chl-*a* determination. DIC and TA concentrations were measured with a total alkalinity analyzer using the potentiometric Gran plot method (Kimoto Electric) following Edmond (1970). The levels of *p*CO<sub>2</sub> and pH were calculated from the DIC and TA using the CO2SYS program (Lewis and Wallance, 1998). Concentrations of nitrate plus nitrite, nitrite, phosphate, and silicic acid were measured using a QuAATro-2 continuous-flow analyzer (Bran+Luebbe). The concentration of total dissolved Fe (TD-Fe) was determined by a flow-injection method with chemiluminescence detection (Obata et al., 1993). Chl-*a* concentrations were determined with a Turner Design fluorometer (model 10-AU) with the non-acidification method (Welschmeyer, 1994).

## 2.3 HPLC and CHEMTAX analyses

Samples for high-performance liquid chromatography (HPLC) pigment analysis were collected on days 3 and 5 for the control treatments and on days 2, 4, and 6 for the Fe-added treatments. Water samples (400–1000 mL) were filtered onto GF/F filters under gentle vacuum (< 0.013 MPa) and stored in liquid nitrogen or a deep freezer ( $-80^{\circ}$ C) until analysis. HPLC pigment analysis was performed following the method of Endo et al. (2013).

To estimate the temporal changes in phytoplankton community structure during incubation, the CHEMTAX program (MacKey et al., 1996) was used following Endo et al. (2013). Briefly, optimal initial ratios were obtained following the method of Latasa (2007). Matrix A was obtained from Suzuki et al. (2002) (Table S1), who examined phytoplankton community compositions in the Bering Sea. Matrices B, C, and D were also prepared to determine the optimal pigment/Chl-*a* ratios (Table S1). The pigment

ratios of Matrices B and C were double and half the Matrix A ratio, respectively. For Matrix D, values of 0.75, 0.5, and 0.25 for dominant (rank in high pigment/Chl-a ratio: 1-5), secondary (rank: 6-10), and minor (rank: 11-15) pigments, respectively, were multiplied by each pigment ratio of Matrix A. We averaged the successive convergent ratios after the 10 runs among the 4 matrices to identify the most promising initial pigment ratios. The calculated final pigment/Chl-a ratios in both the control and Fe-added treatments (Table S2) were within the range of values reported in Mackey et al. (1996), Wright and van den Enden (2000), and Suzuki et al. (2002).

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### 2.4 qPCR and qRT-PCR

Water samples for DNA and RNA analyses were collected on days 3 and 5 for the control treatments and on days 2, 4, and 6 for the Fe-added treatments. DNA samples (400–500 mL) were collected onto 25 mm, 0.2  $\mu$ m pore size polycarbonate Nuclepore filters (Whatman) with gentle vacuum (< 0.013 MPa) and stored in liquid nitrogen or a deep freezer at  $-80^{\circ}$ C until analysis. DNA extraction was performed following the method of Endo et al. (2013). Extracted DNA pellets were resuspended in 100  $\mu$ L of 10 mM Tris-HCl buffer (pH 8.5).

For RNA analysis, seawater samples (400–500 mL) were filtered onto 25 mm, 0.2  $\mu$ m pore size polycarbonate Nuclepore filters (Whatman) with gentle vacuum (< 0.013 MPa) and stored in 1.5 mL cryotubes previously filled with 0.2 g of muffled 0.1 mm glass beads and 600  $\mu$ L RLT buffer (Qiagen) with 10  $\mu$ L mL<sup>-1</sup>  $\beta$ -mercaptoethanol (Sigma, St Louis, USA). RNA samples were stored in liquid nitrogen or a deep freezer at –80°C until analysis. Extraction and purification of RNA samples were performed using the RNeasy extraction kit (Qiagen) on a vacuum manifold with on-column DNA digestion using RNase-free DNase (Qiagen) according to the manufacturer's protocol. RNA was eluted using 50  $\mu$ L of RNase-free H<sub>2</sub>O. Total RNA was then reverse transcribed into complementary DNA (cDNA) using the PrimeScript<sup>TM</sup> RT regent Kit with gDNA Eraser (TaKaRa) following the manufacturer's specifications.

Following Smith et al. (2006), we used double-stranded DNA and single-stranded cDNA standards for DNA and cDNA quantification, respectively. Standard curves for *rbcL* DNA were generated from plasmid DNA (pUC18, TaKaRa) containing an artificial gene fragment (113 bp in size) of *rbcL* from the diatom *Thalassiosira* 

222	weissflogii (CCMP1336). The plasmid DNA was linearized with HindIII (TaKaRa) and
223	quantified using a Thermo NanoDrop spectrophotometer (ND-1000). On the other hand,
224	to produce a cDNA standard, a PCR-amplified rbcL gene fragment of T. weissflogii
225	(CCMP1336) was inserted into a plasmid DNA (pCR2.1, Invitrogen). The plasmid
226	DNA was purified using the Plasmid maxi kit (Qiagen) and linearized with BamHI
227	(TaKaRa), and in vitro transcription was performed using T7 RNA polymerase
228	(Invitrogen) for 2 hours at 37°C with Recombinant RNase Inhibitor (TaKaRa). To
229	eliminate DNA contamination, RNA was digested for 2 min at 42°C using gDNA
230	Eraser (TaKaRa). RNA was purified using an RNeasy column (Qiagen) following the
231	manufacturer's instructions and quantified with a Ribogreen RNA quantification kit
232	(Molecular Probes) using the manufacturer's standard. RNA was reverse transcribed
233	into cDNA using the PrimeScript <sup>TM</sup> RT regent Kit with gDNA Eraser (TaKaRa).
234	Copy numbers of DNA and cDNA standards were calculated using the equation of
235	Smith et al. (2006), where the molecular mass of each nucleotide (or nucleotide pair) in
236	double- and single-stranded DNA is assumed to be 660 and 330 Da, respectively. Serial
237	dilutions of DNA and cDNA standards were prepared using sterilized Milli-Q water.
238	To amplify the <i>rbcL</i> gene and cDNA fragments from diatoms, the following specific
239	primer set designed by John et al. (2007a) was used: forward primer:
240	5'-GATGATGARAAYATTAACTC-3', reverse primer:
241	5'-TAWGAACCTTTWACTTCWCC-3'. Real-time PCR amplification was performed
242	using SYBR Premix Ex Taq II (Perfect Real Time, TaKaRa) with primer concentrations
243	of 0.4 $\mu M$ each and a Thermal Cycler Dice Real Time System (TP800, TaKaRa).
244	Diluted nucleic acid standards were then added to the PCR mixture. The thermal
245	cycling conditions were 95°C for 60 s, then 40 cycles of 95°C 5 s and 52°C 60 s. The
246	fluorescence intensity of the complex formed by SYBR green and the double-stranded
247	PCR product was continuously monitored from cycle 1 to 40. Quantification was
248	achieved by the second-derivative maximum method (Luu-The et al., 2005), and the
249	copy number for each sample was determined by the standard curves generated by serial
250	dilutions of the standards.

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2.5 Clone libraries

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Clone libraries of rbcL cDNA were constructed for the C-380 and C-600 samples on day 3, and Fe-380 and Fe-600 samples on day 2. The cDNA samples were PCR amplified with the diatom-specific primer set and thermal cycling condition described above using the TaKaRa Ex Taq Hot Start Version (TaKaRa). Triplicate PCR products were mixed and then purified with agarose gel electrophoresis and the PureLink Quick Gel Extraction Kit (Invitrogen). Purified amplicons from cDNA samples were then cloned into the pCR2.1 vector using the TOPO TA cloning kit (Invitrogen) following the manufacturer's instructions. Thirty-five to 50 colonies were randomly picked from each clone library. Correct cDNA insertions were identified by PCR amplification using the M13 forward (5'-GTAAAACGACGGCCAG-3') and reverse (5'-CAGGAAACAGCTATGA-3') primers flanking the cloning site. Plasmid DNA containing the inserts was cycle-sequenced using the Big Dye Terminator v3.1 Kit (Applied Biosystems) with the M13 forward primer. The cycle sequencing products were cleaned by isopropanol precipitation. Sequencing was performed with a 3130 Genetic Analyzer (Life Technologies). The obtained sequences were compared with rbcL sequences deposited in GenBank database (http://www.ncbi.nlm.nih.gov) using the BLAST query engine. Our rbcL cDNA sequences were deposited in the DDBJ database with the following accession numbers: AB985799-AB986033.

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### 2.6 Phylogenetic and diversity analyses

The rbcL sequences obtained were assembled into operational taxonomic units (OTUs) with > 95% sequence identity, and rarefaction curves were plotted for each clone library with the software mothur v. 1.27 (Schloss et al., 2009). To estimate OTU richness, chao1 index (Chao, 1984) values were calculated using the number of singleton sequences obtained in this study. Genetic diversity was assessed based on the Shannon-Wiener index (H, Shannon, 1948) and Simpson's index (1-D, Simpson, 1949). The statistical significance of differences in the compositions of pairs of rbcL sequences in the libraries was tested using LIBSHUFF (Singleton et al., 2001). The LIBSHUFF program determined the integral form of the Cramer-von Mises statistic for each pair of communities using 10,000 randomizations. Any two libraries were considered to be significantly different from each other if the lower of the significance values generated

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by the software was < 0.025 (p < 0.05).

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## 2.7 Statistical analysis

Statistical analyses were performed with the program R (http://www.r-project.org). To assess the statistically significant differences between  $pCO_2$  levels in the control treatments or between control and Fe treatments, Welch's t-test was used. Differences among  $pCO_2$  levels in the Fe-added treatments were evaluated with Kruskal-Wallis one-way analysis of variance (ANOVA). Holm's test for multiple comparisons was used to identify the source of the variance. For all of the analyses, the confidence level was set at 95% (p < 0.05).

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## 3 Results

#### 3.1 Experimental conditions

The bubbling of CO<sub>2</sub>-controlled air succeeded in creating significant gradients in pCO<sub>2</sub>, pH, and DIC in the different CO<sub>2</sub> treatments except on day 4 in the Fe-added treatments, when those values did not significantly differ between Fe-380 and Fe-600 (Table 1; Fig. S1). The initial concentrations of nitrate, phosphate, and silicic acid were  $18.06 \pm 0.10$ ,  $1.47 \pm 0.01$ , and  $16.90 \pm 0.12 \mu mol L^{-1}$ , respectively (Table 1). In the control bottles, these macronutrients remained until the end of the incubation in both CO<sub>2</sub> treatments except for silicic acid, which was almost depleted on day 5 in the C-380 treatment (Fig. S2). In the Fe-added bottles, macronutrients were depleted on days 4 or 6 in all CO<sub>2</sub> treatments (Fig. S2). The TD-Fe concentration was 1.35 nmol L<sup>-1</sup> in the initial seawater, and it remained low throughout the experiment in the control treatments (Table 1). In the Fe-added treatments, the TD-Fe concentrations were  $5.50 \pm 0.10$  nmol L<sup>-1</sup> in the initial bottles and remained above 4 nmol L<sup>-1</sup> until the end of incubation (Table 1). The initial Chl-a concentration was  $1.96 \pm 0.14 \,\mu g \, L^{-1}$  (Table 1). In the control bottles, the Chl-a concentration increased until the end of the incubation and reached  $10.22 \pm 0.89 \text{ µg L}^{-1}$  in the C-380 and  $6.28 \pm 0.64 \text{ µg L}^{-1}$  in the C-600 treatments (Fig. S3). In the Fe-added bottles, the Chl-a concentration increased rapidly and reached the maximum on day 4 in the Fe-180 and Fe-380 treatments (27.51  $\pm$  0.71  $\mu g L^{-1}$  and  $28.45 \pm 3.40 \ \mu g L^{-1}$ , respectively) and on day 5 in the Fe-600 and Fe-1000

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削除: Carbonate chemistry, macronutrients, and Fe parameters (value  $\pm$  1 standard deviation, n = 3) during the incubation experiment are shown in Table 1, and the complete data set was reported in Sugie et al. (2013).

treatments (27.68  $\pm$  0.44  $\mu g$  L<sup>-1</sup> and 27.32  $\pm$  3.05  $\mu g$  L<sup>-1</sup>, respectively), then declined toward the end of the incubation (Fig. S3).

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## 3.2 Phytoplankton pigments

Throughout the experiment, the concentrations of fucoxanthin (Fucox), mainly a biomarker for diatoms (Ondrusek et al., 1991; Suzuki et al., 2011), and 19'-hexanoyloxyfucoxanthin (19'-Hex), an indicator of haptophytes (Jeffrey and Wright, 1994), were relatively high among the phytoplankton pigments. In the control bottles, the concentrations of Fuco and 19'-Hex increased over time and reached the maximum values on day 5 in both the C-380 and C-600 treatments (Figs. 1a and c). After day 3, the concentrations of Fuco and 19'-Hex were higher in the C-380 treatment than in the C-600 treatment (day 5: Welch's t-test C-380 > C-600, p < 0.05), although no statistical significance was assessed on day 3 because samples were collected from each single bottle. In the Fe-added bottles, Fuco concentrations increased throughout the incubation and reached the maximum values on day 6, whereas 19'-Hex concentrations decreased after day 4 (Figs. 1b and d). The concentrations of Fuco were significantly different among  $CO_2$  treatments on day 6 (Kruskal-Wallis ANOVA, p < 0.05), although these differences were not supported by multiple comparisons (Holm's test, p > 0.05). Significant differences among CO<sub>2</sub> treatments were also found for the 19'-Hex concentration on day 6 (Kruskal-Wallis ANOVA, p < 0.05), and the values in the Fe-180 treatment was significantly higher than those in the Fe-1000 treatment (Holm's test, p < 0.05).

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# 3.3 CHEMTAX outputs

In the initial phytoplankton community, diatoms and haptophytes were the predominant numbers of the phytoplankton groups (i.e., they contributed 45% and 17% of the Chl-*a* concentration, respectively). The initial contributions of chlorophytes, cryptophytes, peridinin-containing dinoflagellates, pelagophytes, prasinophytes, and cyanobacteria to the Chl-*a* biomass were 10%, 9%, 8%, 5%, 4%, and 2%, respectively. In the control bottles, the contributions of diatoms to the Chl-*a* biomass increased with time, and their contributions reached the maximum (70% at the C-380 and 60% at the

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388	C-600 treatments) on day 5 (Fig. 2a). On day 5, the contribution of diatoms in the
389	C-380 treatment was significantly higher than that in the C-600 treatment (Welch's
390	<i>t</i> -test, $p < 0.05$ ). However, the contribution of haptophytes to the Chl- $a$ biomass was
391	higher in the C-600 treatment (21%) than in the C-380 treatment (14%) on day 5
392	(Welch's <i>t</i> -test, $p < 0.05$ ). Increases in the contributions of diatoms were also observed
393	in the Fe-added treatment, and the contributions reached the maximum (82-85%) on
394	day 4 in all CO <sub>2</sub> treatments (Fig. 2b). In terms of diatom contribution, a significant
395	difference among $CO_2$ treatments was not detected with Kruskal-Wallis ANOVA ( $p >$
396	0.05) in the Fe-added bottles. The contributions of haptophytes to Chl- $a$ biomass did not
397	differ significantly among CO2 levels in the Fe-added bottles (Kruskal-Wallis ANOVA,
398	p > 0.05).
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400 3.4 Expression of diatom *rbcL* gene

A significant linear relationship between the Fuco concentration and the diatom-specific rbcL gene copy number was found (regression analysis:  $r^2 = 0.677$ , p < 0.001, n = 28) in our experiment (Fig. 3). In the control bottles, the transcript abundance normalized to gene abundance (i.e., cDNA/DNA) of the diatom-specific rbcL gene fragment for the C-380 treatment was significantly higher than that of the C-600 treatment on day 3 (Fig. 4; Welch's t-test, p < 0.05). In the Fe-added bottles, the cDNA/DNA ratio of the diatom rbcL fragment in the lower CO<sub>2</sub> treatments (Fe-180 and Fe-380) was higher than that in the Fe-600 treatment on day 2 (Fig. 4; Holm's test, p < 0.05).

## 3.5 Clone libraries of diatom rbcL cDNA

Rarefaction curves were plotted for the rbcL cDNA libraries (Fig. 5). In terms of unique taxa, the highest number of OTUs was found in the C-380 treatment (Table 2). The highest chao1value was found in the C-600 treatment, whereas the lowest value was found in the Fe-600 treatment. Shannon-Wiener and Simpson diversity indices revealed that the cDNA libraries in the 380 ppm  $CO_2$  bottles were more diverse than those in the 600 ppm  $CO_2$  bottles in both the control and Fe-added treatments, although the values were not statistically significant between  $CO_2$  treatments (t-test, p > 0.05)

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削除: Fucox

## 420 (Table 2),

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All sequences obtained from the cDNA libraries were more than 95% similar to 421 422 sequences deposited in the GenBank. These sequences could be classified into the 423 following 11 phylogenetic groups: Chaetocerotaceae, Coscinodiscaceae, 424 Cymatosiraceae, Stephanodiscaceae, Thalassiosiraceae, unidentified centrics, 425 Bacillariaceae, Naviculaceae, Fragillariaceae, unidentified pennates, and other 426 eukaryotes by comparison with known rbcL sequences from GenBank. Sequences that could not classified into a specific diatom family (e.g., closely related to two or more 427 428 diatom families with same similarity score) were assigned as unidentified centrics or 429 unidentified pennates. Other eukaryotes consisted of haptophytes, pelagophytes, 430 dictyochophytes, dinoflagellates, and diatoms which could be assigned to centrics and 431 pennates For all of the cDNA libraries, more than 88% of rbcL sequences were most 432 closely affiliated with those of cultured diatoms. In the initial cDNA library, the most 433 abundant sequences were closely affiliated with the diatom family Bacillariaceae (46%), 434 followed by other eukaryotes and Cymatosiraceae (17% and 14%, respectively) (Fig. 6). The contributions of other diatom groups were less than 6% in the initial clone library. 435 436 In the control bottles, the contributions of Coscinodiscaseae increased to 12–14%, 437 whereas those of Cymatosiraceae decreased to 4%. In the Fe-added bottles, the contributions of Chaetocerotaceae and unidentified centrics to the total increased to 438 439 more than 8% and 20%, respectively. In contrast, the contributions of Bacillariaceae decreased below 24% in both the Fe-380 and Fe-600 treatments. 440 441 Statistic analysis using LIBSHUFF revealed that the cDNA libraries in the control treatments were not significantly different from the initial sample regardless of the CO<sub>2</sub> 442 443 level, whereas those in the Fe-added bottles differed significantly from the initial assemblage (LIBSHUFF, p < 0.05) (Table 3). No significant difference in the cDNA 444 library was found between C-380 and C-600 treatments in the control bottles 445 (LIBSHUFF, p > 0.05). However, a significant difference between the Fe-380 and 446 Fe-600 treatments was detected in the Fe-added bottles (LIBSHUFF, p < 0.05). In 447 addition, cDNA libraries in the Fe-added bottles differed significantly from those of the 448 control bottles in both the Fe-380 and Fe-600 treatments (LIBSHUFF, p < 0.05). 449

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**削除:** In addition, in both the control and Fe-added bottles, the numbers of OTUs in the 380 ppm CO<sub>2</sub> treatments was higher than that in the 600 ppm. The Shannon-Wiener and Simpson diversity indices also showed that the cDNA libraries in the 380 ppm CO<sub>2</sub> bottles were more diverse than those in the 600 ppm CO<sub>2</sub> bottles in both the control and Fe-added treatments (Table 2).

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削除: that were neither centrics nor pennates.

#### 4 Discussion

### 4.1 Changes in phytoplankton community structure during incubation

Our CHEMTAX analysis suggested that the diatoms were the principal contributors to the Chl-a biomass in the initial phytoplankton community, followed by haptophytes (Fig. 2). The results were consistent with those reported by Suzuki et al. (2002), who examined the community structure in the Bering Sea during early summer of 1999. These results suggest that diatoms and haptophytes are ecologically important phytoplankton groups in the study area during the summer. Compared with previous reports in the area (Suzuki et al., 2002; Yoshimura et al., 2013), a relatively high initial Chl-a concentration was observed in our experiment, possibly due to an intrusion of the coastal seawater mass from the Aleutian trenches (Sugie et al., 2013). However, the Fe infusion induced significant increases in Chl-a biomass and concomitant rapid drawdowns of macronutrients in our incubation bottles (Fig. S2). This indicates that the seawater used for the incubation was Fe-limited for phytoplankton assemblages. Our HPLC and CHEMTAX results suggested that the increase in phytoplankton biomass was mainly due to an increase in diatoms (Figs. 1a and 2b).

We found that the growth of Fuco was less in the high CO<sub>2</sub> bottles in the control treatments (Fig. 1a), suggesting that the elevated CO<sub>2</sub> levels could have a negative impact on the diatom biomass in the study area. Negative effects on diatoms induced by an increase in CO<sub>2</sub> availability were also reported in field incubation experiments conducted in the Bering Sea and the Okhotsk Sea (Hare et al., 2007 and Yoshimura et al., 2010, respectively). However, such trends have rarely been observed in other regions of the world's oceans (e.g., Tortell et al., 2002; Kim et al., 2006; Feng et al., 2009; Hoppe et al., 2013; Endo et al., 2013). Therefore, the responses of phytoplankton assemblages to ocean acidification can differ among geographic locations due to the differences in the biogeography of phytoplankton and/or environmental conditions.

One possible cause of the geographic specificity in the open Bering Sea is the differences in the species composition of diatoms. Our microscope data showed that centric diatoms such as Chaetocerataceae and Rhizosoreniaceae were predominant at the beginning of the incubation in terms of carbon biomass, and the coastal diatom species *Chaetoceros* spp. became predominant in all incubation bottles after day 2 (Sugie et al., 2013). Therefore, the <u>relative</u> decrease in <u>Fuco</u> biomass with increased

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削除: We found that the ratios of Fucox concentration between initial and the final days decreased with increased CO<sub>2</sub> levels in both the control and Fe-added treatments (Fig. 1a), suggesting that the elevated CO<sub>2</sub> levels had a negative impact on the diatom biomass in the study area.

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削除: Our study indicates that the decrease in diatom biomass given elevated CO<sub>2</sub> levels was unique to the Bering Sea basin.

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削除: Fucox

CO<sub>2</sub> levels might be partially explained by the decrease in *Chaetoceros* spp. A previous field incubation experiment conducted in the Bering Sea also showed that the carbon biomass of the *Chaetoceros* spp. decreased at higher CO<sub>2</sub> levels (600–960 μatm CO<sub>2</sub>), although it increased at 1190 μatm CO<sub>2</sub> (Yoshimura et al., 2013). However, Tortell et al. (2008) demonstrated that relative abundance of *Chaetoceros* spp. increased under elevated CO<sub>2</sub> levels in the Ross Sea. In the previous laboratory culture experiments, the effects of increased CO<sub>2</sub> on the growth and/or photosynthesis of *Chaetoceros* spp. were also inconsistent. For example, Ihnken et al. (2011) demonstrated that the growth of diatom *Chaetoceros muelleri* decreased with elevated CO<sub>2</sub> and decreased pH levels although their photosynthetic capacity increased. In contrast, Trimborn et al. (2013) showed a significant increase in the growth rate of *Chaetoceros debilis* under high CO<sub>2</sub> condition. In addition, no CO<sub>2</sub>-related change in the growth and photosynthetic physiology of *Chaetoceros brevis* was found (Boelen et al., 2011). These results suggest that the responses to elevated CO<sub>2</sub> differ among *Chaetoceros* species.

The concentrations of 19'-Hex were significantly lower in the C-600 treatment than those in the C-380 treatment (Fig. 1c), suggesting that the ocean acidification could induce negative effects not only on the biomass of diatoms, but also on that of haptophytes in the study area. Similar results were obtained from the previous field studies in other regions (e.g., Feng et al., 2010; Endo et al., 2013). One possible factor underlying these decreases is that the reduced carbonate-saturation states under high CO<sub>2</sub> conditions. The energetic cost of calcification in coccolithophores will increase with a decrease in pH (Mackinder et al., 2010). Therefore, additional energy might be needed for cell growth in seawater with high CO<sub>2</sub> levels. In addition, non-calcifying haptophytes such as *Phaeocystis* spp. often dominate among haptophytes in the natural phytoplankton community (Schoemann et al., 2005), although the effects of ocean acidification on them are still not well understood. Therefore, additional study using a wide range of haptophyte species would be required for a detailed understanding of the responses of the haptophyte community to CO<sub>2</sub>-induced ocean acidification.

Our CHEMTAX outputs showed that the relative contributions of diatoms decreased with increased CO<sub>2</sub> levels, whereas the contributions of haptophytes increased in both the control and Fe-added bottles (Fig. 2). This indicates that the negative impacts of increased CO<sub>2</sub> on diatoms were greater than those on haptophytes and other

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**削除:** The decrease in diatom biomass at elevated  $CO_2$  levels may have been induced by decreased CCM activity of diatom cells. A recent study demonstrated that elevated  $CO_2$  levels could decrease the growth of diatoms under high-light conditions, likely due to an increase in photoinhibition (Gao et al., 2012). The authors suggested that CCMs act as a diffusive process for photoinhibition in high-light conditions, and therefore, diatoms tend to be more vulnerable to light stress due to the downregulation of CCM activities at higher  $CO_2$  levels.

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削除: The ratios of 19'-Hex concentration between initial and the final days decreased in response to increased CO<sub>2</sub> levels regardless of Fe availability (Fig. 1b), suggesting that ocean acidification can induce negative effects on not only the biomass of diatoms but also that of haptophytes in the study area.

phytoplankton groups. Another possibility is that the competitions between diatoms and other phytoplankton taxa could occur. For example, diatoms could become less competitive when silicic acid is exhausted, because Si-depletion significantly depressed the growth and could induce their cell death (Harrison et al., 1977; Jiang et al. 2014). However, concentrations of silicic acid were not significantly different among CO<sub>2</sub> levels in the Fe-added treatments (Fig. S2f). Moreover, in the control treatments, silicic acid was almost depleted in the low CO<sub>2</sub> treatment after day 5 but not in the high CO<sub>2</sub> treatment (Fig. S2e). These results suggest that availability of silicic acid little affected the decreases in relative diatom contribution to Chl a biomass. Larger diatoms can contribute to efficient transfer of energy and organic compounds to higher trophic levels because they would create a shorter food chain compared with nano- and pico-sized phytoplankton (Michaels and Silver, 1988). Because diatoms form a large part of phytoplankton biomass in the Bering Sea basin (Suzuki et al., 2002; Takahashi et al., 2002), the decrease in the relative contribution of diatoms with increasing CO<sub>2</sub> could reduce the energy transferred from the primary producers to the higher trophic levels. The decreases in Fuco growth ratio and relative contribution of diatoms were larger in the control bottles than those in the Fe-added treatments (Figs. 1a and 2), suggesting that the negative effect of CO<sub>2</sub> enrichment was greater in the Fe-limited conditions. These results are consistent with Sugie et al. (2013) and Yoshimura et al. (2014), who observed significant decreases in diatom carbon biomass and particulate organic carbon (POC) production under high CO<sub>2</sub> levels in the control treatments, whereas those were insignificantly changed in the Fe-added treatments. Sugie et al. (2013) indicated that the Fe limitations for phytoplankton in the control bottles were enhanced at high CO<sub>2</sub> levels, likely due to the reduction of Fe bioavailability as reported in Shi et al. (2010). The combined effects of CO<sub>2</sub> and Fe availability were also tested in a diatom-dominated phytoplankton community in the Southern Ocean (Hoppe et al., 2013). In their study, net primary productivity in seawater decreased with increased pCO<sub>2</sub> levels in the Fe-depleted treatments but not in the Fe-enriched treatments. These studies indicate that

an interactive effect of CO2 enrichment and Fe limitation could occur in the

diatom-dominated natural phytoplankton assemblages in the HNLC region.

4.2 rbcL expression in diatom

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A significant correlation between diatom *rbcL* copies per liter and Fuco concentration was found in this study (Fig. 3), suggesting the usefulness of the *rbcL* gene fragment as a proxy for diatoms. In addition, the cDNA sequences obtained from cloning were dominated by the diatom-derived *rbcL* gene (Fig. 6). These results indicate that the *rbcL* primers used successfully and selectively amplified the *rbcL* gene of diatoms. Suzuki et al. (2011) showed that Fuco concentration significantly correlated with diatom carbon biomass in the subarctic Pacific. Furthermore, Matsuda et al. (2011) showed that the number of *rbcL* gene per cell varies among diatom species, and it was positively correlated with cell size. Therefore, we concluded that the *rbcL* gene could serve as a potential molecular marker for diatom biomass.

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The transcription of the diatom-specific *rbcL* gene decreased with elevated CO<sub>2</sub> levels in both the control and Fe-added treatments (Fig. 4). Because RubisCO expression is primarily controlled at the transcriptional level in the natural phytoplankton community (Xu and Tabita, 1996; Wawrik et al., 2002), our results suggest that increased CO<sub>2</sub> levels could reduce the amount of RubisCO in diatoms. It should be noted that significant decreases in rbcL expression with increased CO<sub>2</sub> levels were observed on days 2 or 3, when macronutrients still remained (Fig. S2). This indicates that the downregulation of rbcL expression in diatoms was probably caused by the increase in CO<sub>2</sub> availability. It has been shown that some land plants can increase their nitrogen utilization efficiency under elevated CO2 levels by reducing the investment of nitrogen in RubisCO (Curtis et al., 1989; Makino et al., 2003). Losh et al. (2012; 2013) also demonstrated a decreased RubisCO contribution to the total protein in the California Current phytoplankton community with an increase in CO<sub>2</sub> level. Because a decrease in the expression of RubisCO can result in a reduction of the potential capacity for carbon fixation in the natural environment (John et al., 2007b), our results indicate that an increase in CO<sub>2</sub> levels could have a negative impact on photosynthetic carbon fixation for diatoms in the study area. Recently, Gontero and Salvucci (2014) pointed out that RubisCO activase plays a key role in the modification of RubisCO activity, and consequently in the capacity of carbon fixation, although the occurrence of RubisCO activase in diatoms is not well understood. Further studies must be needed for better understanding of the impacts of elevated CO<sub>2</sub> on photosynthetic

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削除: A significant correlation between *rbcL* copy number in diatoms and Fucox concentration was found in this study (Fig. 3), suggesting the usefulness of the *rbcL* gene fragment as a proxy for diatoms as well as Fucox.

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## physiology in diatoms.

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The negative effects of increasing  $CO_2$  on diatom biomass were not severe in the Fe-added bottles relative to Fe-limited control bottles (Figs. 1a and b.), whereas rbcL transcription decreased with increased  $CO_2$  regardless of Fe availability (Fig. 4). This suggests that the diatoms could overcome the decrease in RubisCO activity in the Fe-added treatments. According to our cloning data (Fig. 6), a shift in phylogenetic composition of the diatoms actively transcribed rbcL was observed in the Fe-added bottles. In addition,  $F_v/F_m$  values increased significantly with Fe enrichment in our incubation experiments (Sugie et al., 2013), indicating an increase in the photochemical quantum efficiency of photosystem II for the diatoms. Therefore, the photosystem II activity might compensate for the decrease in RubisCO expression under Fe-replete conditions.

It is generally recognized that phytoplankton autonomously regulate the transcription of the rbcL gene in response to environmental conditions such as light and nutrient availability (Pichard et al., 1996; Granum et al., 2009; John et al., 2010). However, the mechanisms controlling the transcription of RubisCO operon in diatoms are largely unknown. Recently, Minoda et al. (2010) showed that the red alga Cyanidioschyzon merolae increased rbcL transcription at high levels of NADPH, 3-phoshoglyceric acid (3-PGA), or ribulose-1,5-bisphosphate (RuBP) under the influence of the transcription factor Ycf30. In addition, it has been reported that regeneration of RuBP could be a limiting factor for the CBB cycle in high CO<sub>2</sub> conditions (von Caemmerer and Farquhar, 1981; Stitt, 1991; Onoda et al., 2005). Thus, one possible mechanism underlying the reduction of diatom rbcL transcription observed in our study is related to a decrease in RuBP concentration in the chloroplasts due to the increase in CO<sub>2</sub> availability for diatoms. Because diatoms possess the same type of RubisCO (Form ID) and gene homologs encoding the Ycf30 protein (i.e., ycf30) (Kowallik et al., 1995), they could control rbcL gene expression using the same mechanisms as C. merolae. Further studies using marine diatom cultures are required to obtain a better understanding of the physiological mechanisms controlling the expression of RubisCO.

In our experiment, the rarefaction curves plateaued to some extent in all treatments (Fig. 5), indicating that the clone numbers screened from each library were statistically

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削除: potentially by induction of CCMs given that diatoms can upregulate CCM activity at elevated CO2 levels (e.g., Trimborn et al., 2009). In the Fe-added treatments. photosynthetic carbon fixation in diatoms could not be limited by CO2 availability as a consequence of the CCMs. This speculation is supported by the values of the maximum photochemical quantum yield  $(F_v/F_m)$  of photosystem II (PSII). In our incubation experiments,  $F_{\rm v}/F_{\rm m}$  values increased significantly with Fe enrichment (Sugie et al., 2013), suggesting that phytoplankton could produce sufficient energy for CCMs in the Fe-added bottles. However, we speculate that CCMs in the diatoms might not be active in the control treatments because Fe deficiency could reduce the functionality of algal CCMs due to a reduction in their light energy-harvesting ability (Giordano et al., 2005).

sufficient for further diversity analysis. Taxonomic compositions in the cDNA library were considerably different from those in the diatom carbon biomass revealed by microscopic analysis by Sugie et al. (2013), which were composed primarily by Chaetocerataceae. This implies that the predominant diatoms did not necessarily become transcriptionally active *rbcL* phylotypes in our experiment. In addition, because 16–42% of the sequences were classified as unidentified diatoms or other eukaryotes, the primer set used in this study might be insufficient to estimate diatom composition at the family level.

The rbcL cDNA libraries in the Fe-added treatments differed significantly from the initial library, whereas those in the control treatments were not significantly different (Table 3), suggesting that the diatom blooms induced by Fe infusion were associated with the change in the relative contribution of rbcL expression in diatoms. For example, compared to the initial seawater, the relative contributions of Chaetocerataceae and unidentified centrics to the rbcL cDNA library increased markedly in the Fe-added bottles whereas they remained minor components in the control bottles (Fig. 6). This indicates that the relative significance of the RubisCO activity of these phylotypes could be increased by Fe enrichment. In addition, cDNA libraries were significantly different from each other at different CO<sub>2</sub> levels in the Fe-added bottles (Table 3). This indicates that the transcriptionally active phylotypes in diatoms could shift in response to an increase in the CO<sub>2</sub> level. On the other hand, the diversity indices for the diatom-specific *rbcL* cDNA sequences were not affected by CO<sub>2</sub> availability (Table 2). In addition, the highest chao1 (richness) value was observed in C-600 treatment. These results suggest that the richness and/or diversity of diatom phylotypes actively transcribing *rbcL* gene could remain under elevated CO<sub>2</sub> levels.

5 Conclusion

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The present study showed that an increase in CO<sub>2</sub> levels could have negative impacts on diatom biomass in the Bering Sea, especially under Fe-limited conditions. Because diatoms play pivotal roles in carbon sequestration and food webs in the Bering Sea (Springer et al., 1996; Takahashi et al., 2002), our results indicate that ocean acidification might alter the biogeochemical processes and ecological dynamics in the

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削除: The diversity of the diatom-specific rbcL cDNA sequences decreased with increased CO2 levels in terms of number of OTUs and the Shannon-Wiener and Simpson's indices (Table 2). These results suggest that the number of diatom phylotypes actively transcribing the rbcL gene decreased with increased CO2 level. Because the different types of diatoms could have different functions in relation to sinking flux and trophic position in the ecosystem (e.g., Michaels and Silver, 1988), a decrease in diatom biodiversity could cause a decrease in the functional diversity of marine ecosystems. Furthermore, a decrease in biodiversity may weaken the ecological stability of phytoplankton ecosystems and make them more vulnerable to other environmental changes such as an increase in sea surface temperature (Overland and Stabeno 2004).

733	study area. Although the present results cannot be extrapolated to other HNLC
734	ecosystems due to differences in other environmental conditions, our findings suggest
735	that the combined effects of CO <sub>2</sub> and other environmental factors such as Fe availability
736	need to be examined for a better understanding of the potential impacts of ocean
737	acidification on marine ecosystems.
738	We examined, for the first time, the relationships between CO2 levels or Fe
739	availability and RubisCO expression of diatoms in the Bering Sea. Significant decreases
740	in the rbcL expression of diatoms were observed at elevated CO2 levels in both the
741	Fe-limited and Fe-enriched treatments, suggesting that ocean acidification could reduce
742	the primary productivity in the study area. Our results indicate that the amount of $rbcL$
743	transcripts could be an important indicator to assess the physiological responses of
744	RubisCO activity in diatoms to environmental drivers. <u>However, photosynthetic carbon</u>
745	fixation in diatoms can be controlled not only by RubisCO activity, but also other
746	processes such as carbon concentrating mechanisms (CCMs) and/or RuBP regeneration
747	(Rost et al., 2003; Onoda et al., 2005). More detailed studies on molecular mechanisms
748	are required to clarify the physiological responses of the diatom community to CO <sub>2</sub> and
749	Fe availability,

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## 6 Acknowledgements

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削除: However, because carbon fixation in diatoms is controlled not only by RubisCO activity but also by CCMs (Rost et al., 2003), more detailed studies of molecular mechanisms are required to clarify the physiological responses of the diatom community to CO<sub>2</sub> and Fe enrichments.

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1040	

**Table 1.** Carbonate chemistry, nutrients, and Fe parameters (value  $\pm$  1 standard deviation, n = 3) during the incubation experiment. Carbonate parameters are the initial and mean values throughout the incubation. Macronutrients and Fe parameters are the values at the initial or final sampling days (i.e., day 5 for the control and day 6 for the Fe-added treatments). Standard deviation was not assessed for initial TD-Fe concentration because samples were collected from single source. See figures S1 and S2 for the complete data set

	DIC	TA	$p\mathrm{CO}_2$	$CO_2$	рН
	(µmol kg <sup>-1</sup> )	$(\mu mol \ kg^{-1})$	(µatm)	(µmol kg <sup>-1</sup> )	(Total scale)
C-Initial	$2086.4 \pm 2.8$	$2249.1 \pm 5.0$	$388.4 \pm 18.1$	$18.4 \pm 0.9$	$8.05 \pm 0.02$
C-380	$2075.5 \pm 8.1$	$2252.9 \pm 10.8$	$355.7 \pm 34.7$	$16.8 \pm 1.6$	$8.09 \pm 0.04$
C-600	$2151.6 \pm 7.8$	$2250.9 \pm 4.7$	$604.1 \pm 36.2$	$28.5 \pm 1.7$	$7.88 \pm 0.02$
Fe-Initial	$2085.3 \pm 0.8$	$2250.0 \pm 4.9$	$383.4 \pm 12.6$	$18.1 \pm 0.6$	$8.06\pm0.01$
Fe-180	$1959.9 \pm 62.0$	$2244.1 \pm 16.0$	$202.0 \pm 50.9$	$9.5 \pm 2.4$	$8.21 \pm 0.10$
Fe-380	$2068.5 \pm 27.7$	$2235.7 \pm 14.9$	$375.9 \pm 47.9$	$17.8\pm2.3$	$8.01\pm0.05$
Fe-600	$2120.6 \pm 33.5$	$2248.5 \pm 12.0$	$512.6 \pm 135.5$	$24.2 \pm 6.4$	$7.96 \pm 0.11$
Fe-1000	$2200.2 \pm 12.6$	$2248.4 \pm 9.8$	$913.8 \pm 159.8$	$43.2 \pm 7.6$	$7.72 \pm 0.07$

 $1049 \\ 1050 \\ 1051$ 

Table 1. (Continued)

	Nitrate	Phosphate	Silicic acid	TD-Fe
	$(\mu mol L^{-1})$	$(\mu mol L^{-1})$	$(\mu mol L^{-1})$	(nmol L <sup>-1</sup> )
C-Initial	$18.06 \pm 0.10$	$1.47\pm0.01$	$16.95 \pm 0.12$	1.35
C-380	$7.09 \pm 0.27$	$0.65 \pm 0.02$	$0.28 \pm 0.05$	$0.27 \pm 0.03$
C-600	$12.01\pm0.27$	$0.98 \pm 0.02$	$3.04\pm0.32$	$0.29 \pm 0.04$
Fe-Initial	$18.09 \pm 0.11$	$1.47\pm0.01$	$16.90 \pm 0.12$	$5.50 \pm 0.10$
Fe-180	$0.13\pm0.04$	$0.10\pm0.01$	$0.66 \pm 0.09$	$4.60\pm0.19$
Fe-380	$0.09 \pm 0.00$	$0.12\pm0.04$	$0.50 \pm 0.01$	$4.48\pm0.12$
Fe-600	$0.08 \pm 0.00$	$0.10\pm0.00$	$0.50\pm0.01$	$4.34 \pm 0.08$
Fe-1000	$0.08 \pm 0.00$	$0.08\pm0.02$	$0.47 \pm 0.02$	$4.18 \pm 0.24$

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削除: Macronutrients and Fe parameters are the values at the initial or final sampling days. See Sugie et al. (2013) for the complete data set.

 $1057 \\ 1058 \\ 1059 \\ 1060$ 

**Table 2.** Number of OTUs, richness index, and diversity indices (value  $\pm$  95% confidence interval) for *rbcL* cDNA libraries obtained from the initial seawater and the incubation bottles on day 2 (Fe-380 and Fe-600) and day 3 (C-380 and C-600).

<u>Library</u>	No. of sequences	No. of OTUs	Chao1	<u>H'</u>	<u>1-D</u>
Initial	<u>35</u>	<u>10</u>	25.0	$1.81 \pm 0.32$	$0.197 \pm 0.086$
<u>C-380</u>	<u>50</u>	<u>15</u>	20.0	$1.98 \pm 0.36$	$\underline{0.232 \pm 0.110}$
<u>C-600</u>	<u>50</u>	<u>14</u>	29.0	$1.60 \pm 0.41$	$0.369 \pm 0.148$
<u>Fe-380</u>	<u>50</u>	<u>13</u>	23.0	$2.24 \pm 0.23$	$0.116 \pm 0.042$
<u>Fe-600</u>	<u>50</u>	<u>12</u>	19.5	$2.01 \pm 0.26$	$\underline{0.158 \pm 0.053}$

1062

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削除: Table 2... Number of OTUs and diversity indices for *rbcL* cDNA libraries obtained from the initial seawater and the incubation bottles on day 2 (Fe-380 and Fe-600) and day 3 (C-380 and C-600).

No. of sequences

··· [4]

**Table 3.** Significance levels for differences among rbcL cDNA libraries as calculated with LIBSHUFF. p values < 0.05 are bolded.

	Library (Y)				
	Initial	C-380	C-600	Fe-380	Fe-600
Library (X)					
Initial	_	0.434	0.573	0.383	0.587
C-380	0.153	_	0.086	0.101	0.898
C-600	0.523	0.500	_	0.004	0.033
Fe-380	<0.001	< 0.001	< 0.001	_	0.002
Fe-600	0.009	0.004	< 0.001	0.030	_

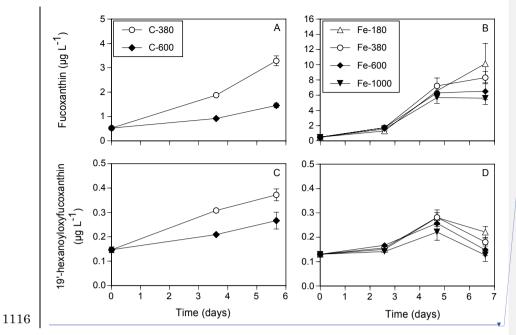
 $1069 \\ 1070$ 

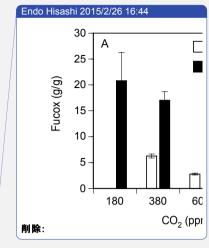
1071

#### 1073 Figure captions 1074 1075 Figure 1. Temporal changes in fucoxanthin (a and b) and 19'-hexanoyloxyfucoxanthin 1076 (c and d) concentrations. Left (a and c) and right graphs indicate data from 1077 the control and Fe-added treatments, respectively. Error bars denote $\pm 1$ 1078 standard deviation (SD, n = 3). Standard deviations were not assessed on 1079 days 2 (Fe-added treatmets) and 3 (control treatments) because samples were 1080 collected from each single bottle. 1081 1082 **Figure 2.** Mean contributions of each phytoplankton group to total Chl-a biomass 1083 estimated by CHEMTAX in the (A) control bottles at 380 and 600 ppm CO<sub>2</sub>, 1084 and (B) Fe-added bottles at 180, 380, 600 and 1000 ppm $CO_2$ (n = 2 or 3). 1085 1086 Figure 3. Relationship between fucoxanthin (Fucox) concentration and diatom-specific *rbcL* copy number (y = $7.62 \times 10^8 \text{x} + 1.90 \times 10^8$ , $r^2 = 0.677$ , p < 0.001, n = 28). 1087 1088 1089 Figure 4. Abundances of rbcL mRNA (cDNA) normalized to rbcL gene copy number 1090 (rbcL cDNA/DNA) in the control bottles on day 3 and the Fe-added bottles 1091 on day 2. Open bars and closed bars denote control and Fe-added treatments, 1092 respectively. Error bars indicate $\pm 1$ SD (n = 3). 1093 1094 Figure 5. Rarefaction analysis of the diatom-specific *rbcL* clone libraries. The 1095 rarefaction curves, plotting the number of operational taxonomic units 1096 (OTUs) as a function of the number of sequences, were computed by the 1097 software mothur. C and Fe indicate the control and Fe-added treatments, 1098 respectively. 1099 1100 Figure 6. Relative phylotype contributions in the *rbcL* cDNA libraries obtained from the initial seawater and the incubation bottles at day 2 (Fe-380 and Fe-600) 1101 1102 and day 3 (C-380 and C-600). 1103 1104 1105 1106

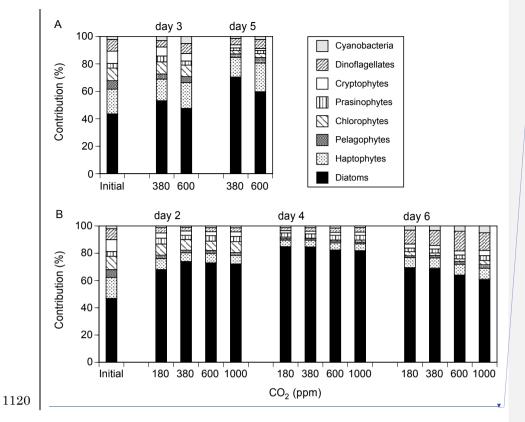
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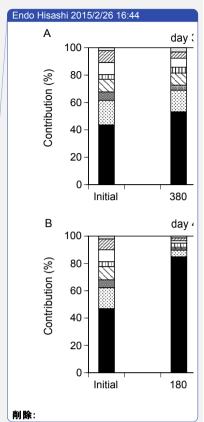
制除: Figure 1. Ratios of (A) fucoxanthin (Fucox) or (B) 19'-hexanoyloxyfucoxanthin (19'-Hex) concentrations at the initial and final sampling day (final day/initial). Open bars and closed bars denote control and Fe-added treatments, respectively. Error bars denote ± 1 standard deviation (SD, n = 3).



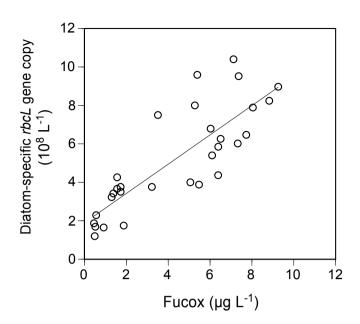


1117 Figure 1

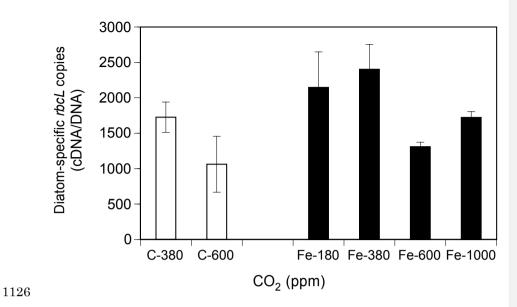




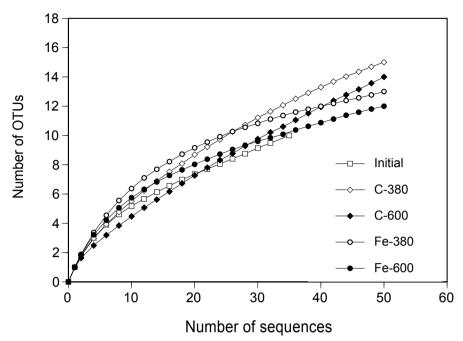
1121 Figure 2



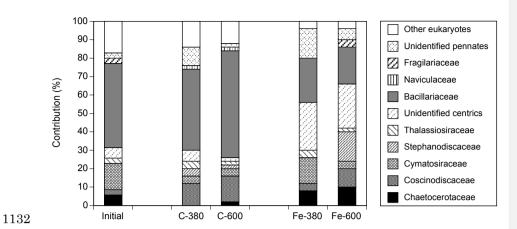
1124 Figure 3



1127 Figure 4



11291130 Figure 51131



**Figure 6** 

1136 1137 Effects of CO<sub>2</sub> and iron availability on rbcL gene expression in 1138 1139 **Bering Sea diatoms** 1140 H. Endo<sup>1, 2</sup>, K. Sugie<sup>1, 3, 4</sup>, T. Yoshimura<sup>3</sup>, and K. Suzuki<sup>1, 2</sup> 1141 1142 1143 [1] {Faculty of Environmental Earth Science/Graduate School of Environmental Science, 1144Hokkaido University, North 10 West 5, Kita-ku, Sapporo, Hokkaido 060-0810, Japan} 1145 [2]{CREST, Japan Science and Technology, North 10 West 5, Kita-ku, Sapporo, 1146 Hokkaido 060-0810, Japan} 1147 [3]{Central Research Institute of Electric Power Industry, 1646 Abiko, Abiko, Chiba 1148 270-1194, Japan} 1149 [4] {Research Institute for Global Change, Japan Agency for Marine Earth-Science and 1150 Technology (JAMSTEC), 3173-25 Showa-machi, Kawasaki-ku, Yokohama, Kanagawa 236-0001, Japan} 1151 1152Correspondence to: H. Endo (endo@ees.hokudai.ac.jp) 1153

**Supplementary Material** 

**Table S1.** Sampling opportunities for each parameter during the incubation period.

 $\begin{array}{c} 1154 \\ 1155 \end{array}$ 

		Incubation	on time (d	ay)				
<u> </u>		0	<u>1</u>	2	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>
Control	<u>TA</u>	<u>O</u>	<u>O</u>	_	<u>O</u>		<u>O</u>	_
	DIC	<u>O</u>	<u>O</u>		<u>O</u>		<u>O</u>	
	Nutrients	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>
	TD-Fe	<u>O</u>						<u>O</u>
	Chl a	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>
	<u>HPLC</u>	<u>O</u>			<u>O</u>		<u>O</u>	
	DNA	<u>O</u>			<u>O</u>		<u>O</u>	
	<u>RNA</u>	<u> </u>			<u>O</u>		<u>O</u>	
Fe-added	<u>TA</u>	<u> </u>	<u>O</u>	<u>O</u>	_	<u>O</u>	_	<u>O</u>
	DIC	<u>O</u>	<u>O</u>	<u>O</u>		<u>O</u>		<u>O</u>
	Nutrients	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>
	TD-Fe	<u>O</u>						<u>O</u>
	Chl a	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>	<u>O</u>
	<u>HPLC</u>	<u>O</u>		<u>O</u>		<u>O</u>		<u>O</u>
	<u>DNA</u>	<u>O</u>		<u>O</u>		<u>O</u>		<u>O</u>
	<u>RNA</u>	<u> </u>		<u>O</u>		<u>O</u>		<u>O</u>

 $\begin{array}{c} 1161 \\ 1162 \end{array}$ 

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**Table S1.** Initial pigment: Chl-*a* ratios for CHEMTAX analysis. (A) True ratio matrix of Suzuki et al. (2002); (B) double and (C) half the ratios of (A); (D) assigned ratios of 0.75, 0.50 and 0.25 to each element following the method of Latasa (2007).

	Fuco	19'-But	19'-Hex	Peri	Diadinox	Allox	Violax	Prasinox	Chl-b	Zeax	Chl-a
(A)	-					-					
Diatoms	0.75	0	0	0	0.24	0	0	0	0	0	1
Hapto	0	0	1.4	0	0.16	0	0	0	0	0	1
Pelago	0.62	0.93	0	0	0.44	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.03	0	0.28	0.06	1
Prasino	0	0	0	0	0	0	0.11	0.36	0.89	0	1
Crypto	0	0	0	0	0	0.14	0	0	0	0	1
Dino	0	0	0	0.53	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.33	1
(B)											
Diatoms	1.5	0	0	0	0.48	0	0	0	0	0	1
Hapto	0	0	2.8	0	0.32	0	0	0	0	0	1
Pelago	1.24	1.86	0	0	0.88	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.06	0	0.56	0.12	1
Prasino	0	0	0	0	0	0	0.22	0.72	1.78	0	1
Crypto	0	0	0	0	0	0.28	0	0	0	0	1
Dino	0	0	0	1.06	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.66	1
(C)											
Diatoms	0.375	0	0	0	0.12	0	0	0	0	0	1
Hapto	0	0	0.7	0	0.08	0	0	0	0	0	1
Pelago	0.31	0.465	0	0	0.22	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.015	0	0.14	0.03	1
Prasino	0	0	0	0	0	0	0.055	0.18	0.445	0	1
Crypto	0	0	0	0	0	0.07	0	0	0	0	1
Dino	0	0	0	0.265	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.165	1
(D)											
Diatoms	0.75	0	0	0	0.25	0	0	0	0	0	1
Hapto	0	0	0.75	0	0.25	0	0	0	0	0	1
Pelago	0.75	0.75	0	0	0.5	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.25	0	0.5	0.25	1
Prasino	0	0	0	0	0	0	0.25	0.5	0.75	0	1
Crypto	0	0	0	0	0	0.25	0	0	0	0	1
Dino	0	0	0	0.5	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.5	1

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Abbreviations: Hapto, Haptophytes; Pelago, Pelagophytes; Chloro, Chlorophytes; Crypto, Cryptophytes; Dino, Dinoflagellates; Cyano, Cyanobacteria; Fuco, Fucoxanthin; 19'-But, 19'-Butanoyloxyfucoxanthin; 19'-Hex, 19'-Hexanoyloxyfucoxanthin; Peri, Peridinin; Diadinox, Diadinoxanthin; Allox, Alloxanthin; Violax, Violaxanthin; Prasinox, Prasinoxanthin; Chl-b, Chlorophyll b; Zeax, Zeaxanthin; Chl-a, Chlorophyll a.

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削除: Fucox

**Table S2.** Final pigment: Chl-*a* ratio matrices obtained by the CHEMTAX program. (A) Control and (B) Fe-added treatments.

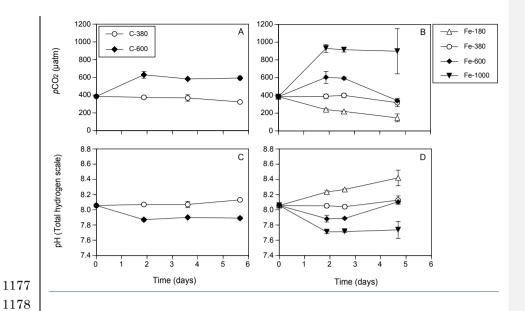
	Fuco	19'-But	19'-Hex	Peri	Diadinox	Allox	Violax	Prasinox	Chl-b	Zeax	Chl-a
(A)											
Diatoms	1.6	0	0	0	0.27	0	0	0	0	0	1
Hapto	0	0	1.1	0	0.16	0	0	0	0	0	1
Pelago	0.56	0.72	0	0	0.37	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.06	0	0.17	0.08	1
Prasino	0	0	0	0	0	0	0.03	0.28	1.2	0	1
Crypto	0	0	0	0	0	0.11	0	0	0	0	1
Dino	0	0	0	0.42	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.35	1
(B)											
Diatoms	1.9	0	0	0	0.29	0	0	0	0	0	1
Hapto	0	0	0.86	0	0.18	0	0	0	0	0	1
Pelago	0.69	0.84	0	0	0.44	0	0	0	0	0	1
Chloro	0	0	0	0	0	0	0.01	0	0.24	0.03	1
Prasino	0	0	0	0	0	0	0.16	0.42	1.1	0	1
Crypto	0	0	0	0	0	0.14	0	0	0	0	1
Dino	0	0	0	0.64	0	0	0	0	0	0	1
Cyano	0	0	0	0	0	0	0	0	0	0.50	1

Abbreviations: as in Table S1.

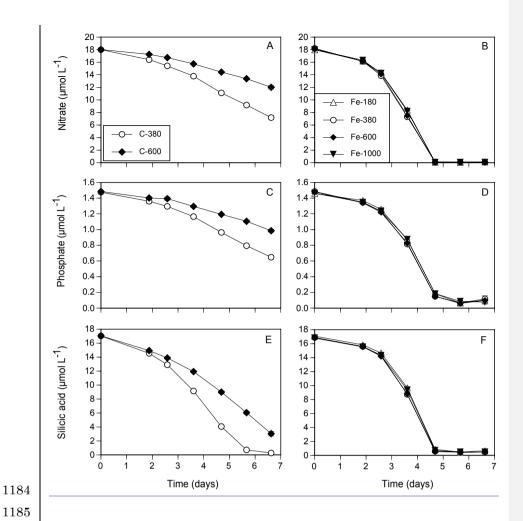
1175

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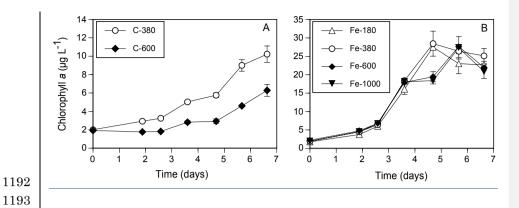
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**Figure S1.** Time course of  $pCO_2$  (a and b) and pH (c and d) calculated from TA and DIC. Left (a and c) and right (b and d) graphs indicate data from the control and Fe-added treatments, respectively (redrawn from Sugie et al., 2013). Error bars denote  $\pm$  1 SD (n = 3).



**Figure S2.** Time course of nitrate (a and b), phosphate (c and d), and silicic acid (e and f). Left (a, c, and e) and right (b, d, and f) graphs indicate data from the control and Fe-added treatments, respectively (redrawn from Sugie et al., 2013). Error bars denote  $\pm$  1 SD (n = 3).



**Figure S3.** Temporal changes in chlorophyll *a* concentration. Left and right graphs indicate data from the control and Fe-added treatments, respectively (redrawn from Sugie et al., 2013). Error bars denote  $\pm$  1 SD (n = 3).

1199 Reply to Referee #1 Dr. D. Campbell,

Thank you very much for your positive and constructive comments on our discussion paper.

Below are our point-by-point responses to your comments.

- 1. Materials & Methods: Given the importance to the findings, I think the authors should include a diagramatic figure of the standards, the amplification primers, and the amplicons used for the DNA and cDNA quantitations. From the text, I infer that the standard is only 113 bp long, for the DNA quantitations, but that a different standard was used for cDNA (length?). The primers 5'- GATGATGARAAYATTAACTC-3', reverse primer: 5'-TAWGAACCTTTWACTTCWCC-3'. are 19-20 bases long, leaving an amplified region of only 60 bp between the primers. It appears (but I am not sure) that the same primers are used for both DNA and cDNA quantitation. If so, why would you use two different quantitation standards?
  - We used the same region (same length and same sequence) for both DNA and cDNA quantifications. However, double stranded DNA and single stranded cDNA standards were used for DNA and cDNA samples, respectively, because these samples should be quantified as copy numbers. According to Smith et al. (2006), standard curves must be constructed from single stranded cDNA for the accurate determination of RNA transcript numbers, because cDNA exists as a single stranded form in the samples. We consider that the diagrammatic figure is not necessarily to explain our qPCR method, since we followed the general procedures described in Smith et al. (2006) and John et al. (2007). Alternatively, we have added the following sentence to the revised manuscript (see Lines 218–219 in the track changes version):
- "Following Smith et al. (2006), we used double-stranded DNA and single-stranded cDNA
   standards for DNA and cDNA quantification, respectively."

- Discussion: "Our study indicates that the decrease in diatom biomass given elevated CO2
   levels was unique to the Bering Sea basin." No. Unique would mean that this response is
   only present in the Bering Sea, and we do not know that yet. In fact a preceding sentence
   mentions similar responses in the Okhotsk Sea.
- This sentence has been deleted (see Line 486 in the track changes version).

1232 3. "However, we speculate that CCMs in the diatoms might not be active in the control treatments because Fe deficiency could reduce the functionality of algal CCMs due to a

reduction in their light energy-harvesting ability (Giordano et al., 2005)." This needs to be better explained. It is unlikely that Fe deficiency would limit CCM simply through a limitation on light energy harvesting.

According to the valuable comments from you and other reviewers, we have excluded the discussion on CCMs and reconstructed the corresponding paragraph as follows (see Lines 631–641 in the track changes version):

"The negative effects of increasing  $CO_2$  on diatom biomass were not severe in the Fe-added bottles relative to Fe-limited control bottles (Figs. 1a and b), whereas rbcL transcription decreased with increased  $CO_2$  regardless of Fe availability (Fig. 4). This suggests that the diatoms could overcome the decrease in RubisCO activity in the Fe-added treatments. According to our cloning data (Fig. 6), a shift in phylogenetic composition of the diatoms actively transcribed rbcL was observed in the Fe-added bottles. In addition,  $F_v/F_m$  values increased significantly with Fe enrichment in our incubation experiments (Sugie et al., 2013), indicating an increase in photochemical quantum efficiency of photosystem II for the diatoms. Therefore, the photosystem II activity might compensate for the decrease in RubisCO expression under Fe-replete conditions."

1250 1251

- 4. "However, because carbon fixation in diatoms is controlled not only by RubisCO activity but also by CCMs (Rost 20 et al., 2003)," Actually, in the discussion you raised the issue of RuBP regeneration as a limiting factor under elevated CO2 as well.
- We have amended the sentence as follows (see Lines 744–749 in the track changes version):
- "However, photosynthetic carbon fixation in diatoms can be controlled not only by RubisCO activity, but also other processes such as carbon concentration mechanisms (CCMs) and/or RuBP regeneration (Rost et al., 2003; Onoda et al., 2005). More detailed studies on molecular mechanisms are required to clarify the physiological responses of the diatom community to CO<sub>2</sub> and Fe enrichments."

- Technical corrections: Table 1: "Macronutrients and Fe parameters are the values at the initial or final sampling days." Is the final sampling day 4? or day 6? Or either depending upon the particular treatment? I think this needs to be defined.
- We have modified the caption of Table 1 as follows (see Lines 1049–1051 in the track changes version):
- "Macronutrients and Fe parameters are the values at the initial or final sampling days (i.e.,day 5 for the control and day 6 for the Fe-added treatments)."

4000		
1269		In addition, we have added a supplemental table (Table S1) showing the sampling times
1270		for each parameter (see Lines 1161–1163 in the track changes version).
1271		
1272	6.	Given the large drops in NO3-, PO43- and silicic acid, what is the time course? By the
1273		final sampling points the cells were likely limited by macronutrients.
1274		Macronutrients were depleted after days 4 or 5 in the Fe-added treatments (see Lines $310-$
1275		311 in the track changes version), suggesting that the phytoplankton cells were limited by
1276		nutrient availability at the final sampling day. We have added the time course of
1277		macronutrients in the supplementary (Fig. S2; see Lines 1191-1198 in the track changes
1278		version).
1279		
1280	<i>7</i> .	Figure 1 legend: define the basis of the normalization (g pigment/g chlorophyll a, I think).
1281		In the previous manuscript, the pigment concentration on the final sampling days was
1282		divided by initial concentration of the same pigment. However, in the revised manuscript,
1283		figure 1 has been replaced by the graphs showing temporal changes in the concentrations
1284		of fucoxanthin and 19'-hexanoyloxyfucoxanthin, following the suggestions from the other
1285		reviewers.
1286		
1287	8.	Figure 3 & Results: Fucox This is not a standard abbreviation. Why use it? Why not just
1288		write Fucoxanthin? Fucox also has an unfortunate pronounciation in English. Discussing
1289		the 'Fucox' graph is going to make people think of rude behaviour with neutered male
1290		cattle ;).
1291		Following the kind suggestions from you and the reviewer #2, we have amended the
1292		abbreviation "Fucox" to "Fuco".
1293		
1294	9.	Dinoflagellates, not dinoflagillates
1295		Corrected (see Line 354 in the track changes version).
1296		
1297	10.	"and diatoms that were neither centrics nor pennates" Do you mean diatom sequences
1298		that could be assigned to centrics or pennates? Or diatoms that are actually something
1299		other than centric or pennate? I did not know about any.
1300		We intended that "diatoms which could be assigned to centrics and pennates". We have

amended the sentence (see Lines 430-431 in the track changes version).

- 1303 11. "A significant correlation between rbcL copy number in diatoms and Fucox concentration
  1304 was found in this study (Fig. 3), suggesting the usefulness of the rbcL gene fragment as a
  1305 proxy for diatoms as well as Fucox." I think, rather: "A significant correlation between
  1306 diatom rbcL copies per litre and Fucox concentration was found in this study (Fig. 3),
  1307 suggesting the usefulness of the rbcL gene fragment as a proxy for diatom biomass."
- 1308 Corrected (see Lines 591–593 in the track changes version). Thank you for your kind suggestion.

## 1311 References:

1310

- 1312 1. Smith, C. J., Nedwell, D. B., Dong, L. F., and Osborn, A. M.: Evaluation of quantitative polymerase chain reaction-based approaches for determining gene copy and gene transcript number in environmental samples, Environ. Microbiol, 8, 804–815, 2006.
- John, D. E., Patterson, S. S., and Paul, J. H.: Phytoplankton group specific quantitative
   polymerase chain reaction assays for RuBisCO mRNA transcripts in seawater, Mar.
   Biotechnol., 9, 747–759, 2007.

- 1320 Reply to Referee #2
- Thank you very much for your helpful suggestions and constructive comments. Below are our point-by-point responses to your comments.
- 1325 1. The abstract states: "At the end of the incubation, the relative contributions of diatoms to chl a biomass decreased significantly with increased CO2 levels in the controls". This is misleading as the contribution of diatoms to chl a biomass increased over the course of the incubation in all bottles; it is the extent of this increase that is less at high CO2.
- Thank you for pointing it out. We have amended the sentence as follows (see Lines 12–14 in the track changes version):
- 1331 "At the end of incubation, the relative contribution of diatoms to chlorophyll *a* biomass 1332 was significantly higher in the 380 ppm CO<sub>2</sub> treatment than in the 600 ppm treatment in the controls, whereas minimal changes were found in the Fe-added treatments."

- 2. In addition, the sentence starts with "At the end of the incubation. . . .". This would be after 7 days when the bottles were clearly depleted of nutrients. Table 2 gives insufficient information to know when nutrient limitation occurred and I would also like information on how long it took the bottles to equilibrate with CO2 (this information is given in Sugie et al, 2013 but is not sufficiently discussed in this manuscript). In addition, it is confusing to know when the data points were collected. Table 2 and Figure 1 show data from the final day (7?) whereas Figure 2 shows data from days 3 6. This lack of clarification makes it difficult to draw conclusions to what is happening and raises question to whether the results are purely due to CO2 manipulation and not due to nutrient limitation.
  - Thank you for pointing them out. We have added the Figs. 1S and 2S showing the time courses of carbonate chemistry and macronutrients, respectively. In addition, temporal changes in fucoxanthin and 19'-hexanoyloxyfucoxanthin concentrations have been shown in Fig. 1 instead of the growth ratios of these pigments. We have also reconstructed the results and discussion in the revised manuscript in accordance with the new figures (see Lines 334–348, 477–479, and 519–522 in the track changes version).

3. The abstract further states "These results indicate that under Fe-deficient conditions, the growth of diatoms was negatively affected by the increase in CO2 availability". I would be careful with this statement. I would say their ability to compete is better at high CO2. I am interested in what is happening with the haptophytes. Like diatoms they also increase in

abundance over the course of the incubation but this increase is less under high CO2. However, in Fig 2 it looks like they increase their contribution to total chl a at high CO2 in control bottles. Perhaps the story is more about the competition between diatoms and haptophytes under different CO2 rather than just interpreting everything in terms of diatom growth.

Following your kind suggestion, we have amended the sentence as follows (see Lines 14–16 in the track changes version):

"These results indicate that, under Fe-deficient conditions, the growth of diatoms could be negatively affected by the increase in CO<sub>2</sub> availability."

Furthermore, we have added the following sentences to the discussion (see Lines 558–566 in the track changes version):

"Another possibility is that the competitions between diatoms and other phytoplankton taxa could occur. For example, diatoms could become less competitive when silicic acid is exhausted, because Si-depletion significantly depressed the growth and could induce their cell death (Harrison et al., 1977; Jiang et al. 2014). However, concentrations of silicic acid were not significantly different among CO<sub>2</sub> levels in the Fe-added treatments (Fig. S2f). Moreover, in the control treatments, silicic acid was almost depleted in the low CO<sub>2</sub> treatment after day 5 but not in the high CO<sub>2</sub> treatment (Fig. S2e). These results suggest that availability of silicic acid little affected the decreases in relative diatom contribution to Chl *a* biomass."

4. A lower expression of diatom rbcL normalized to rbcL gene number certainly implies the diatoms are less active. This finding supports other studies that show Rubisco is regulated at the expression level in diatoms. However, this has not been absolutely proven yet, and given the tight regulation of Rubisco protein activation in plants, it is hard to accept that rbcL expression equals photosynthetic rates in this paper without more study.

We have added the following sentences to the end of the paragraph (see Lines 617–630 in the track changes version):

"Recently, Gontero and Salvucci (2014) pointed out that RubisCO activase plays a key role in the modification of RubisCO activity, and consequently in the capacity of carbon fixation, although the occurrence of RubisCO activase in diatoms is not well understood. Further studies must be needed for better understanding of the impacts of elevated CO<sub>2</sub> on photosynthetic physiology in diatoms."

- 1389 5. How do the authors reconcile that rbcL expression is lower in both Fe-added and Fe
  1390 limited incubations whereas fucoxanthin concentrations are only lower in Fe-limited
  1391 cultures?
- We have explained this in the chapter of discussion as follows (see Lines 635–641 in the track changes version):
- "According to our cloning data (Fig. 6), a shift in phylogenetic composition of the diatoms actively transcribed rbcL was observed in the Fe-added bottles. In addition,  $F_{\nu}/F_{\rm m}$  values increased significantly with Fe enrichment in our incubation experiments (Sugie et al., 2013), indicating an increase in the photochemical quantum efficiency of photosystem II for the diatoms. Therefore, the photosystem II activity might compensate for the decrease in RubisCO expression under Fe-replete conditions."
- 1401 6. It is difficult to tell from the rarefraction curves whether they are approaching saturation.

  1402 As such, it is difficult to say whether the number of OTUs are different between the

  1403 treatments. I do not have a good understanding on whether the differences found in the

  1404 Shannon Index and Simpson diversity are significant. More details would be appreciated.

- 1405 The difference between samples cannot be determined from the number of OTUs, because 1406 the rarefaction curves were not completely saturated. Alternatively, we have added the 1407 chao1 index as an indicator of OTU richness (Chao, 1984) (Table 2). This index was 1408 calculated based on the number of singleton OTUs (OTUs with only one sequence 1409 obtained) in the clone library. In addition, we showed the 95% confidence intervals (CI) 1410 for the Shannon and Simpson indices (Table 2) to clarify the statistical significance among 1411 treatments. According to these results, we have reconstructed the results and discussion on 1412 the manuscript (Lines 414–420 and 701–705 in the track changes version).
- 7. Significant differences were found in the cDNA libraries under different CO2 within the Fe-treated incubations. Are the authors certain that this is due to a change in diatom rbcL sequences rather than a change in the non-diatom rbcL sequences that were detected? (in the initial treatment it seems that \_ 17 % of the rbcL cDNA library comes from other eukaryotes).
- As mentioned in the results on the revised manuscript, other eukaryotes contain diatoms that could be assigned to centrics and pennates. Actually, initial treatment contains only 11% of the sequence derived from eukaryotes other than diatoms. In addition, the other libraries consist of ≥92% sequences from diatoms. Therefore, we considered that the

1423 differences between CO2 treatments were primarily due to the changes in diatom rbcL 1424 sequences. 1425 1426 The authors discuss the influence of Fe and CO2 on the CCM. However, I feel that their 1427 link between Fe and the CCM is tenuous. Fe is important for PSII, and Sugie et al (2013) 1428 found increased Fv/Fm with increased Fe, which is to be expected. However, speculating 1429 that the Fe limitation down-regulates the CCM through lack of energy provided by PSII 1430 seems tenuous. Without any further measurements it is difficult to draw any conclusions 1431 about the role of the CCM in this paper. 1432 In the revised manuscript, the discussion on CCMs has been excluded. 1433 1434 In the discussion about Chaetoceros, it should be noted that Trimborn et al, 2013 Limnol. 1435 Oceanogr., 58(3), 2013, 997-1007 | DOI: 10.4319/lo.2013.58.3.0997, found that 1436 Chaetoceros debilis increased growth rates under high CO2 and that Tortell et al 2008 1437 GRL, 35 (4) DOI: 10.1029/2007GL032583 found Chaetoceros spp. to dominate shipboard 1438 incubations from the Ross Sea under high CO2. 1439 According to your kind suggestion, the following two sentences have been added to the 1440 chapter of discussion (see Lines 508-510 and 514-516 in the track changes version): 1441 "However, Tortell et al. (2008) demonstrated that relative abundance of Chaetoceros spp. increased under elevated CO2 levels in the Ross Sea."......"In contrast, Trimborn et al. 1442 1443 (2013) showed a significant increase in the growth rate of Chaetoceros debilis under high 1444 CO2 condition." 1445 1446 10. I presume figure 1 is the concentration of fucoxanthin (µg/L) in the final sampling day 1447 (day 7?) divided by the fucoxanthin concentration (µg/L) in the initial bottle? This should 1448 be clearer. 1449 We have revised figure 1 as temporal changes in phytoplankton pigment concentrations. 1450 145111. Fucox is a strange abbreviation and I would keep the full word fucoxanthin. 1452 We have changed the abbreviation "Fucox" to "Fuco". 1453 1454 12. In abstract "To confirm this. . . " (that diatom growth is negatively affected by high CO2) 1455 seems to be too strong a statement as mRNA of rbcL is not a direct measurement of growth.

"To further support this finding. . . " would be better.

1457		Following your kind suggestion, we have corrected this sentence in the revised manuscript
1458		(see Line 16 in the track changes version).
1459		
1460	<i>13</i> .	In the supplementary I would appreciate more information about how the conditions
1461		changed in the bottles over time. Perhaps a Table showing the measured values from
1462		every day so the readers can see when nutrient limitation occurs, how long it takes CO2 to
1463		equilibrate, the increase of total phytoplankton biomass (POC or Chla) over time and
1464		when different samples were collected.
1465		Following your kind suggestion, we have added the time courses of carbonate chemistry,
1466		macronutrients, and chl $a$ in the supplementary materials (Figs. S1-S3). In this manuscript,
1467		we have dismissed POC, because Yoshimura et al. (2014) discussed this matter.
1468		
1469		
1470	Refe	erences
1471		Yoshimura, T., Sugie, K., Endo, H., Suzuki, K., Nishioka, J., and Ono, T.: Organic matter
1472		production response to CO <sub>2</sub> increase in open subarctic plankton communities: Comparison
1473		of six microcosm experiments under iron-limited and-enriched bloom conditions,
1474		Deep-Sea Res. I, 94, 1–14, 2014.
1475		Chao, A.: Nonparametric estimation of the number of classes in a population. Scand. J.
1476		Stat., 265–270, 1984.
1477		
1478		

1479	Rep	ly to Referee #3						
1480								
1481		We are very grateful for your constructive comments to our manuscript. Following the helpful						
1482		gestions from you and the other reviewers, we believe that our manuscript has been						
1483 1484	mod	lified significantly. Below are our point-by-point replies to your comments given in italics.						
1485	1.	Page 18106, lines 11-15. This is misleading! 1) "At the END of incubation, the relative						
1486		contributions of diatoms to ". According to Materials and Methods, the incubation last						
1487		for 7 days and therefore day 7 should be the END; however, Fig. 2A. only shows data						
1488		collected on days 3 and 5.						
1489		In the revised manuscript, we have amended the incubation periods as 5 day for the control						
1490		and 6 day for the Fe-added treatments (see Lines 156-157 in the track changes version).						
1491								
1492	2.	2) The contribution of diatom to total Chla biomass actually increased over the course of						
1493		the experiment regardless of CO2 or Fe treatments (Fig. 2). It is the extent of this increase						
1494		that was less at high CO2.						
1495		We have amended the sentence as follows (see Lines 12–14 in the track changes version):						
1496		"At the end of incubation, the relative contribution of diatoms to chlorophyll $\boldsymbol{a}$ biomass						
1497		was significantly higher in the $380\ ppm\ CO_2$ treatment than in the $600\ ppm$ treatment in						
1498		the controls, whereas minimal changes were found in the Fe-added treatments."						
1499								
1500	<i>3</i> .	Page 18106, line 21. No, it is not the "activity" - there is no RubisCO activity						
1501		measurement in this study – it should be RubisCO transcription.						
1502		According to your kind suggestion, we have corrected the word (see Line 22 in the track						
1503		changes version).						
1504								
1505	4.	Page 18109, lines 2-4. Is the effect of CO2 and/or Fe availability on rbcL transcription in						
1506		diatoms really COMPLETELY unknown? Here I just give two examples: Granum et al.						
1507		2009 J Phycol; Shi et al. 2013 Appl Environ Microb.						
1508		Thank you for your valuable comments. As you kindly suggested, the effects of iron						
1509		and/or CO <sub>2</sub> (including low CO <sub>2</sub> ) on the diatom Thalassiosira pseudonana CCMP1335						
1510		were investigated. However, the diatom strain was isolated from Moriches Bay (New York,						
1511		USA) and the cell size is rather small (4–6 µm; http://ncma.bigelow.org/ccmp1335) as						

compared with those observed in our experiments (see Sugie et al., 2013). We consider

that it is difficult to apply the results from laboratory experiments using the diatom strain

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- 1514 to our study conducted in the oceanic Bering Sea. In the former manuscript, we intended 1515 that the effects of increased CO2 and Fe levels were little known on natural diatom 1516 assemblages in HNLC waters. Therefore, we have amended the sentence as follows (see 1517 Lines 111–113 in the track changes version): 1518 "In addition, there are no reports on the effects of CO2 and Fe availability on rbcL 1519 transcription of natural diatom community in HNLC regions." 1520 1521 Pages 18109-18110, "Experimental setup". More details on how trace metal clean 1522techniques were applied should be provided. For instance, under what conditions and how 1523 was the seawater poured into 50 L carboys? Did the CO2 gas pass through 0.22 filters 1524 before being introduced into the incubation bottles?
- We have added the detailed procedures for trace metal clean technique to the revised manuscript (see Lines 140 and 149–151 in the track changes version). We followed the procedures of Yoshimura et al. (2013).

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- 1529 The authors discuss the roles of the CCM in the response of diatoms to CO2 and Fe. They 1530 first (page 18121, lines 4-5) suggest that CCM may have been down-regulated at high 1531 CO2, resulting in the decrease in biomass in both Fe-deficient and Fe-added bottles; 1532 however, later on (page 18123, line 26 to page 18124, line 1) they suggest that "diatoms 1533 can upregulate CCM activity at elevated CO2. . ., photosynthetic carbon fixation in 1534 diatoms could not be limited by CO2 availability as a consequence of the CCMs". These 1535 two statements are contradictory to each other. Please clarify! Without any direct 1536 experimental evidence it would be impossible to evaluate the roles the CCM may play in 1537 this paper.
  - We have deleted the paragraphs in pages 18121, 18123, and 18124, because we have no experimental evidence on it. In the revised manuscript, we have minimized the description on CCMs (see Lines 744–749 in the track changes version)
- 7. Page 18124, lines 2-8. Fv/Fm indicates the maximum photochemical quantum yield of
   PSII. An increase in Fv/Fm doesn't necessarily mean more energy for CCMs.
   In the revised manuscript, this sentence has been deleted.
- 1546 8. Figs. 1, 2, and 4. The time points at which the data presented in these figures were collected are inconsistent. Fig. 1 shows pigment data from the first and the last day (day 7, 1548 I presume), Fig. 2 shows data from days 3 and 5 for the Fe-deficient and days 4 and 6 for

the Fe-added treatments, and Fig. 4 shows data from day 3 for the controls and day 2 for the Fe-added bottles. The authors need to clarify why the samplings/measurements were performed in such a way, which makes it difficult to compare the results among the treatments to arrive at conclusions.

We have added a supplemental table (Table S1) for clarifying the sampling points. In addition, figures 1 and 2 have been replaced by new graphs showing the temporal changes in fucoxanthin, 19'-hexanoyloxyfucoxanthin, and relative phytoplankton composition to Chl a biomass in order to exhibit the data from all sampling days in our experiment. We have also revised the chapters of results and discussion in accordance with the new data

set (see Lines 334–348, 477–479, and 519–522 in the track changes version).

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## Reference

1561 Yoshimura, T., Suzuki, K., Kiyosawa, H., Ono, T., Hattori, H., Kuma, K., and Nishioka, 1562 J.: Impacts of elevated CO<sub>2</sub> on particulate and dissolved organic matter production: 1563 Microcosm experiments using iron deficient plankton communities in open subarctic 1564 waters, J. Oceanogr., 69, 601-618, 2013.