

1 *Molecular insights into the microbial formation of marine dissolved organic*
2 *matter: Recalcitrant or labile?*

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15

16 **Abstract**

17 The degradation of marine dissolved organic matter (DOM) is an important control variable in the
18 global carbon cycle. For our understanding of the kinetics of organic matter cycling in the ocean, it is
19 crucial to achieve a mechanistic and molecular understanding of its transformation processes. A long-
20 term microbial experiment was performed to follow the production of non-labile DOM by marine
21 bacteria. Two different glucose concentrations and dissolved algal exudates were used as substrates.
22 We monitored the bacterial abundance, concentrations of dissolved and particulate organic carbon
23 (DOC, POC), nutrients, amino acids, and transparent exopolymer particles (TEP) for two years. The
24 molecular characterization of extracted DOM was performed by ultrahigh resolution Fourier Transform
25 Ion Cyclotron Resonance Mass Spectrometry (FT-ICR MS) after 70 days and after ~2 years of incubation.
26 Although glucose was quickly degraded, a non-labile DOC background (5-9% of the initial DOC) was
27 generated in the glucose incubations. Only 20% of the organic carbon from the algal exudate was
28 degraded within the 2 years of incubation. The degradation rates for the non-labile DOC background
29 in the different treatments varied between 1 and 11 $\mu\text{mol DOC L}^{-1} \text{yr}^{-1}$. TEP, which are released by
30 microorganisms, were produced during glucose degradation but decreased back to half of the
31 maximum concentration within less than three weeks (degradation rate: 25 $\mu\text{g xanthan gum}$
32 $\text{equivalents L}^{-1} \text{d}^{-1}$) and were below detection in all treatments after 2 years. Additional glucose was
33 added after two years to test whether labile substrate can promote the degradation of background
34 DOC (co-metabolism; priming effect). A priming effect was not observed but the glucose addition led
35 to a slight increase of background DOC. The molecular analysis demonstrated that DOM generated
36 during glucose degradation differed appreciably from DOM transformed during the degradation of the
37 algal exudates. Our results led to several conclusions: (i) Based on our experimental setup, higher
38 substrate concentration resulted in a higher concentration of non-labile DOC; (ii) TEP, generated by
39 bacteria, are degraded rapidly, thus limiting their potential contribution to carbon sequestration; (iii)
40 The molecular signatures of DOM derived from algal exudates or glucose after 70 days of incubation
41 differed strongly from refractory DOM. After 2 years, however, the molecular patterns of DOM in
42 glucose incubations were more similar to deep ocean DOM whereas the degraded exudate was still
43 different.

44

45 **1 Introduction**

46 Refractory dissolved organic matter (DOM) in the oceans represents a large reservoir of organic carbon
47 in the global carbon cycle (642 Pg C, Hansell, 2013). The ultimate sources of marine DOM are primary
48 production in the sun-lit surface layer of the ocean, and continental runoff. If this DOM resists
49 degradation long enough (weeks to months) to be removed from the surface ocean by physical
50 processes, its transport to depths can be an important sink for atmospheric carbon (Carlson et al.,
51 1994; Carlson et al., 2010). The formation of refractory DOM is a prerequisite for an efficient
52 sequestration of dissolved organic carbon (DOC; 86 Tg C yr⁻¹; Hansell et al., 2009) as it occurs in areas
53 of deep-water formation, particularly in the polar oceans.

54 The average DOC atom in the ocean has a radiocarbon age of 4000-6000 years (Bauer et al., 1992).
55 However, for specific DOM fractions or molecular formulas the residence time can be substantially
56 longer (Loh et al., 2004; Lechtenfeld et al., 2014). It is not clear how this carbon buffer will evolve in
57 the future global biogeochemical cycle and how it affects the climate system (Denman et al., 2007).
58 The efficacy of forming refractory organic matter from labile substrates, which depends on the
59 environmental conditions, is critical for the magnitude of the sequestration flux.

60 Microbial utilisation and modification is probably the most important process for the formation of
61 refractory DOM (Jiao et al., 2010 and references therein). It increases the average marine DOC
62 turnover time and efficiently contributes to carbon sequestration. In experimental incubations marine
63 bacteria form non-labile organic matter from simple substrates such as glucose. These non-labile
64 substances persist for up to more than a year (e.g. Skoog et al., 1999; Ogawa, 2001; Gruber et al.,
65 2006), suggesting that microbial activity may indeed be responsible for converting labile
66 photosynthates into refractory organic matter. It is unknown, however, whether the organic material
67 produced in such experiments is chemically similar to refractory DOM and therefore could be
68 preserved on time scales beyond those achievable in lab incubations.

69 The persistence of refractory DOC in the ocean is attributed to its intrinsic chemical stability (e.g. Koch
70 et al., 2005; Hertkorn et al., 2006) and its low concentration, especially in the deep ocean (Kattner et
71 al., 2011). The ability or inability of the in situ microbial community to express membrane transporters
72 for DOM uptake may also control DOM degradation (e.g. Arnosti, 2004). The lack of essential inorganic
73 nutrients and trace elements and labile (bioavailable) organic substrates (called co-metabolism or
74 priming effect) can also impede microbial degradation of organic matter (e.g. Horvath, 1972;
75 Alderkamp et al., 2007; Bianchi, 2011). Presumably, a combination of all of these factors leads to the
76 long average turnover time of marine DOM.

77 Many marine microorganisms release ubiquitous amounts of exopolymeric substances (Myklestad,
78 1995). A surface active fraction of marine exopolymers, which are rich in acidic polysaccharides,
79 abiotically forms a class of particles called Transparent Exopolymer Particles (TEP; Mopper et al., 1995;
80 Zhou et al., 1998; Passow, 2000). Exudates from phytoplankton and bacteria are often rich in TEP and
81 their dissolved precursors (Myklestad, 1995; Passow, 2002b; Ortega-Retuerta et al., 2009), which exist
82 in a size continuum, from fibrillar macromolecules (Leppard, 1995) to TEP 100's of micrometres long

83 (Verdugo et al., 2004). Micro- and nano-gels are thought to be identical to TEP precursors, although
84 this is still open to discussion (Verdugo and Santschi 2010). As particulates, TEP play an essential role
85 for aggregation and vertical flux of particulate organic matter (POM) (Alldredge et al., 1993; Passow et
86 al., 1994; Logan et al., 1995). It has even been suggested that TEP concentration determines
87 aggregation (Passow et al., 1994; Arrigo, 2007; Gardes et al., 2011). TEP also provide surfaces and
88 substrate for bacteria and archaea, creating hot spots of microbial activity (Smith et al., 1992; Passow
89 and Alldredge, 1994; Azam and Long, 2001). Despite their dominant role in marine carbon cycling, very
90 little is known about the lability of TEP, especially on timescales longer than one or two weeks (Passow,
91 2002a).

92 In the past, *in vitro* degradation experiments mainly provided bulk chemical characteristics and
93 molecular information for a small fraction of refractory DOM (e.g. Lara and Thomas, 1995; Ogawa et
94 al., 2001). The application of ultrahigh resolution Fourier transform ion cyclotron resonance mass
95 spectrometry (FT-ICR MS) resulted in major advances in the molecular characterization of complex
96 organic matter samples (e.g. Kujawinski et al., 2002; Stenson et al., 2002). The technique is suitable to
97 identify molecular formula fingerprints of different sources (e.g. Hughey et al., 2007; Gonsior et al.,
98 2011; Schmidt et al., 2011) and transformation processes (Rodgers et al., 2000; Kujawinski et al., 2004)
99 of organic matter. Based on FT-ICR MS analyses it has been previously hypothesized that the molecular
100 composition of all refractory organic matter is similar and independent of its ultimate source (Koch et
101 al., 2005; Rossel et al., 2013). Conceptually, this contradicts the findings that sources and
102 transformation processes are molecularly imprinted in organic matter. A better mechanistic
103 understanding of the processes which convert labile material (which contains the original biochemical
104 signal) into refractory organic matter (which represents the sequestration potential) is required to
105 resolve this contradiction. So far, such kinetics are not well constrained, although crucial for the
106 conservation of molecular biomarker signals.

107 In our experiments we investigated changes in DOM and TEP concentrations and shifts in the molecular
108 composition of DOM during the microbial utilization of glucose or an algae exudate during a period of
109 2 years. The main goal of the study was to test the hypothesis that the degradation of different
110 substrates leads to refractory DOM with similar molecular characteristics. Specifically, we tested if
111 DOM with refractory molecular characteristics can be generated on time scales of less than three
112 months. Since the relative contribution of nitrogen (and sulfur) heteroatoms in organic matter can
113 determine bioavailability we investigated their incorporation into persistent DOM. We also examined
114 if the addition of labile substrates results in an increased mineralization of refractory DOM (co-
115 metabolism; priming effect) and verified the hypothesis that the majority of TEP are labile and
116 removed within weeks.

117

118

119 2 Material and Methods

120 2.1 Experimental setup

121 Eleven 50-liter glass bottles containing seven treatments and four controls were incubated for 70, 695
122 or 734 days in the dark at 0°C (Table 1). The general design of the experiment followed that of Ogawa
123 et al. (2001). Briefly, substrate and bacterial inoculum were added to sterile artificial seawater and
124 changes in DOM were monitored.

125 The incubation bottles (Table 1) consisted of (i) three replicate treatments that contained glucose
126 ([Glc]), (ii) two that contained dissolved algae exudates ([exud]) and (iii) two that contained ¹³C-labeled
127 glucose ([¹³Glc]; D-Glucose-1-¹³C, Sigma). These treatments were inoculated with bacteria.
128 Additionally, four different controls were prepared: The two background controls received inoculum
129 or sterilely filtered inoculum, respectively, but no substrate (^c[none]) and (^{sc}[none]). The two other
130 sterile controls received sterilely filtered inoculum and either exudates (^{sc}[exud]) or glucose (^{sc}[Glc]). A
131 natural microbial community collected from Antarctic surface water was used as the bacterial
132 inoculum. After 699 days of incubation, glucose was added to one [exud] and one [Glc] treatment to
133 evaluate the potential influence of co-metabolism on DOC degradation (Table 1). Samples for bulk
134 parameters were collected at 11 to 15 time steps during the incubations and samples for the DOM
135 extraction and molecular formula characterization by ultrahigh resolution mass spectrometry were
136 collected after 70 and 695 days.

137 Results are primarily presented as averages of replicates with identical substrates (n=3 for glucose, n=2
138 for exudates, n=2 for ¹³C labeled glucose). In the following, the treatments are labeled with the type
139 of substrate in square brackets ([Glc]_x; [exud]_x; or [¹³Glc]_x). The subscript index (x) indicates the day or
140 period of sampling if applicable.

141 2.2 Preparation of experiment

142 The 50-L glass bottles were acid (HCl, 2M, Merck, p.a.) and base washed (NaOH, 2M, Merck, p.a.) and
143 then rinsed with ultrapure water (Millipore). Each bottle was filled with ~45 L of sterile filtered (0.2
144 µm, Polycap, Whatman) artificial seawater, containing NaCl (24.99 g L⁻¹), MgCl₂*6H₂O (11.13 g L⁻¹),
145 Na₂SO₄ (4.16 g L⁻¹), CaCl₂*2H₂O (1.58 g L⁻¹), KCl (0.79 g L⁻¹), and NaHCO₃ (0.17 g L⁻¹) dissolved in ultrapure
146 water. All salts except CaCl₂ (sterile filtered) were pre-combusted (500°C, 5h) before use. Sterile
147 filtered nutrient solutions were added as NaNO₃, NH₄Cl and KH₂PO₄, each at a final concentration of
148 ~52 µmol L⁻¹.

149 Sterile filtered (0.2 µm, precleaned, Minisart 16534, Sartorius) solutions were added as substrates: (i)
150 glucose (final concentration: ~320 µmol C L⁻¹), (ii) algae-DOM derived from a culture of the haptophyte
151 *Isochrysis galbana* (final concentration: ~140 µmol DOC L⁻¹) and (iii) ¹³C-labelled glucose (final
152 concentration: ~45 µmol C L⁻¹). The second addition of glucose (at day 699) was to a final concentration
153 of ~170 µmol C L⁻¹. *I. galbana* was grown axenically in a commercial facility in f/2 medium (Guillard and
154 Ryther, 1962; Guillard, 1975; <https://ncma.bigelow.org/node/79>) to high density and cells were
155 removed by sequential filtration (Sartobran 300: 0.45 µm followed by Minisart, Sartorius: 0.2 µm). It

156 would have been unfeasible to generate > 10 L of exudates from an axenic Antarctic diatom under
157 standard laboratory conditions. After filtration, the exudates were stored at 0°C in the dark until the
158 experiment started. Finally, 3 L of this filtrate were added to the respective treatments (Table 1).

159 All samples, except the two control samples ^c[Glc] and ^c[exud] were incubated with 1 L inoculum (3 µm
160 filtrate, PC, Nuclepore), collected in the Weddell Sea (Antarctica) at a water depth of 100 m (Dec 12th
161 2004; 67° 49' S, 55° 33' W; RV Polarstern, PS67/006-118) and stored dark at 0°C until the beginning of
162 the experiment five months later. Inoculum used for the sterile controls ^{sc}[none], ^{sc}[Glc], and ^{sc}[exud]
163 was sterile-filtered using Teflon filters (0.2 µm, Polycap, Whatman). All bottles were topped off to a
164 total volume of 49 L with sterile filtered artificial seawater and sealed with a rubber plug (Fig. 1). All
165 bottles were dark-incubated at 0°C in a cold room.

166 2.3 Sampling

167 During the first phase of the experiment (starting at April 20th 2005) each bottle was sub-sampled for
168 bacteria, TEP, DOC, POC, glucose, and amino acid determination at eleven time points (days 2, 4, 6, 9,
169 13, 16, 21, 27, 34, 41, 55). Prior to sampling, each bottle was mixed for 2 min to ensure sample
170 homogenization. Sampling was performed using a glass tube which was installed through the cork of
171 the bottle and sealed with a valve (Fig. 1). The water was sucked up using a peristaltic pump and Teflon
172 tubing. The first ~100 mL of sample were discarded. Sterile air exchange in the headspace of each
173 bottle was enabled by a filter (0.2 µm, Minisart 16534, Sartorius) which was also inserted into the cork.

174 After 70 days, the first phase of the experiment was terminated (Table 1). The remaining volume of all
175 controls and one treatment of each type, i.e. [exud], [Glc], and [¹³Glc], was filtered (0.2 µm, Polycap,
176 Whatman) and solid-phase extracted (PPL, Varian). The four remaining bottles were incubated another
177 625 days (subsampling at 303/323 days). One [Glc] and the second [¹³Glc] treatment as well as half of
178 the third [Glc] and the second [exud] sample volumes were harvested at day 695 ending phase 2. The
179 remaining treatments [Glc] and [exud] received a second addition of glucose on day 699 and were
180 incubated for another 35 days (phase 3).

181 At every sampling day, unfiltered and filtered (0.2 µm; precleaned, Minisart 16534, Sartorius) samples
182 (15 mL each) were collected for instantaneous organic carbon analyses. Three additional unfiltered
183 samples (15 mL each) were filled into precombusted (500°C, 5 h) glass ampoules (Wheaton) and frozen
184 for later analysis of total organic carbon (TOC) and total dissolved nitrogen (TDN). Filtered samples for
185 amino acid analyses (0.2 µm, 10 mL) were filled into precombusted ampoules, 10 M HCL (1:1, v:v,
186 suprapur, Merck) was directly added and samples were stored frozen (-28°C) in the dark. 40 mL of
187 samples were filtered (0.45 µm, GMF, Whatman), filled into PE bottles and stored frozen (-28°C) for
188 later nutrient measurements. Approximately 1 L of sample water was filled into PE bottles, filtered (0.4
189 µm PC; Poretics) and stained immediately for TEP analysis. For bacterial counts 60 mL of sample were
190 filled into PE bottles and fixed with formaldehyde (10% final concentration); replicate filters were
191 prepared and counted within 1-4 weeks.

192 **2.4 DOM extraction**

193 Samples for DOM extraction were collected after 70 or 695 days (Table 1) by sequential filtration (1
194 μm , 0.2 μm , Whatman Polycap 75 TF) of 16 L to 34 L, followed by acidification to pH2-3 using HCl
195 (Merck, Suprapur) and extraction (Dittmar et al., 2008) using pre-cleaned solid phase extraction (SPE)
196 cartridges (PPL, BondElut, 5g). The extraction was performed by gravity at a speed of $<12 \text{ mL min}^{-1}$.
197 The cartridges were eluted with 20 mL or 40 mL MeOH (Merck, LiChrossolv), depending on sample
198 volume, equivalent to an average enrichment factor of 750. Extracts were frozen (-28°C) in
199 precombusted (500°C , 5h) glass ampoules.

200 **2.5 Bacterial and flagellate abundance**

201 Bacteria were filtered onto 2 replicate black filters (0.2 μm Polycarbonate, Poretics), stained with 4',6-
202 diamidino-2-phenylindole (DAPI) or N',N'-dimethyl-N-[4-[(E)-(3-methyl-1,3-benzothiazol-2-
203 ylidene)methyl]-1-phenylquinolin-1-ium-2-yl]-N-propylpropane-1,3-diamine (SYBR Green), stored
204 frozen and counted within 1-4 weeks using a Zeiss fluorescence microscope (for details see Porter and
205 Feig, 1980; Noble and Fuhrman, 1998). At least 300 bacteria per replicate filter were counted in at least
206 10 to 20 fields of vision. Bacteria were enumerated in 2 size classes ($<2 \mu\text{m}$ and $>2\mu\text{m}$) in samples from
207 day 34 and 323.

208 The presence of flagellates was investigated on day 28 in 2 sub-samples ([exud] and [Glc]). Samples
209 (60 mL) were filtered onto black filters (0.8 μm Polycarbonate, Poretics), stained immediately with
210 DAPI, and counted via fluorescence microscopy (Kemp et al., 1993). Both test samples were negative,
211 suggesting that protozoa did not play a prominent role for bacterial dynamics.

212 **2.6 Transparent exopolymer particles**

213 TEP were filtered directly after sampling ($15 \text{ to } 250 \text{ mL filter}^{-1}$) in quadruplicates onto 0.4 μm filters
214 (Polycarbonate, Poretics), stained with Alcian Blue and stored frozen until colorimetric analysis 1-10
215 days later. The Alcian Blue staining capacity was calibrated with xanthan gum and quantified based on
216 the absorptivity at 787 nm. Concentrations are given in μg xanthan equivalents per liter (Passow and
217 Alldredge, 1995).

218 **2.7 Dissolved and particulate organic carbon and nitrogen**

219 DOC, total dissolved nitrogen (TDN), and total organic carbon (TOC, unfiltered sample) were
220 determined by high temperature catalytic oxidation and subsequent non-dispersive infrared
221 spectroscopy and chemiluminescence detection (TOC-VCPN, Shimadzu). Final TOC, DOC and TDN
222 concentrations are average values of triplicate measurements. If the standard variation or the
223 coefficient of variation exceeded 0.1 μM or 1%, respectively, up to 2 additional analyses were
224 performed and outliers were eliminated. After each batch of five samples one reference standard
225 (DOC-DSR, Hansell Research Lab, University of Miami, US), one ultrapure water blank and one
226 potassium hydrogen phthalate standard were measured. The limit of detection (3σ of the blank) and
227 quantitation (9σ of the blank) was 7 and 21 $\mu\text{mol C L}^{-1}$, respectively. The accuracy was $\pm 5 \%$. POC was
228 determined by the difference between TOC and DOC measurements.

229 **2.8 Inorganic nutrients, free glucose, and total hydrolysable amino acids**

230 Nutrients were measured using an Autoanalyzer (Evolution III, Alliance Instruments) according to
231 seawater standard methods (Kattner and Becker, 1991; Grasshoff et al., 1999). Free glucose was
232 analysed using high pressure anion exchange chromatography with pulsed amperometric detection
233 based on previous methods (Johnson and LaCourse, 1990; Mopper et al., 1992; Engbrodt and Kattner,
234 2005). Samples were injected using an autosampler (AS-4000, Merck-Hitachi) and an ion
235 chromatography system (DX-500; PA-1 guard column, 4 x 250 mm anion-exchange PA-1 column, ED-
236 40 electrochemical detector, all Dionex). The detection limit was 20 nM C. Total hydrolysable amino
237 acids were determined on days 4, 16, and 41, based on the method by Fitznar et al. (1999).

238 **2.9 Ultrahigh resolution mass spectrometry (FT-ICR MS)**

239 FT-ICR MS analyses were carried out as described previously (e.g. Lechtenfeld et al., 2013). In summary,
240 prior to analysis, DOM extracts were diluted with methanol: water (1:1, v/v). Samples were ionized by
241 electrospray ionization (ESI, Apollo II electrospray ionization source, Bruker Daltonik, Bremen,
242 Germany) in negative mode at an infusion flow rate of 120 $\mu\text{L h}^{-1}$ on a Fourier transform ion cyclotron
243 resonance mass spectrometer (FT-ICR MS; SolariX, Bruker Daltonik, Bremen, Germany) equipped with
244 a 12 T refrigerated actively shielded superconducting magnet (Bruker Biospin, Wissembourg, France).
245 300 scans were added to one mass spectrum. The magnitude threshold for the peak detection was set
246 to a signal to noise ratio of ≥ 4 . Mass spectra were recalibrated internally with compounds, which were
247 repeatedly identified in marine DOM samples (Koch et al., 2008; Flerus et al., 2011; m/z: 247.06120,
248 297.13436, 327.14493, 369.15549, 397.15041, 439.16097, 483.18719, 551.24979, 595.23962). The
249 average mass accuracy was below 100 ppb.

250 **2.10 FT-ICR MS data evaluation**

251 All ions were singly charged as confirmed by the spacing of the related $^{12}\text{C}_n$ and $^{13}\text{C}^{12}\text{C}_{n-1}$ mass peaks.
252 The spectra were evaluated in the mass range of 200–600 m/z. The base peak in this mass range was
253 defined as 100%, and relative intensities for all other peaks were calculated accordingly. For the
254 process of formula assignment only peaks with a relative intensity between 2-100% were considered.
255 Molecular formulas were calculated from m/z values allowing for elemental combinations
256 $^{12}\text{C}_{0-\infty}^{13}\text{C}_{0-1}^1\text{H}_{0-\infty}^{14}\text{N}_{0-4}^{16}\text{O}_{0-\infty}^{32}\text{S}_{0-2}^{34}\text{S}_{0-1}$ and a mass accuracy threshold of $|\Delta m| \leq 0.5$ ppm. The double
257 bond equivalent (DBE = $1 + \frac{1}{2}(2\text{C} - \text{H} + \text{N})$) of a valid neutral formula had to be an integer value ≥ 0 and
258 the “nitrogen-rule” was applied (Koch et al., 2007). Formulas which were detected in a process blank
259 (PPL extraction of ultrapure water) and in the list of potential surfactants (Lechtenfeld et al., 2013)
260 were removed from the entire dataset. Formulas containing a ^{13}C or ^{34}S isotope and did not correspond
261 to a parent formula (^{12}C , ^{32}S) were also removed from the dataset.

262 As an additional level of formula validation, all formulas were sorted according to DBE and ppm (Figs.
263 2a, b). A small proportion of formulas corresponded to very high DBE values, many of which were false
264 assignments of sulfur containing compounds (as identified by the peak ratio of the parent and daughter
265 ions). We therefore used DBE <20 as an additional cut-off which resulted in an unambiguous
266 assignment for the complete dataset. The distribution of mass accuracy also showed that the majority
267 of the assigned formulas are well within the 0.5 ppm threshold. After these validation steps, we

268 excluded the stable isotopes ^{13}C and ^{34}S because they only represented duplicates of the parent
269 formulas for subsequent sample comparisons. Intensity weighted average (wa) molecular masses and
270 element ratios were calculated from the normalized peak magnitudes. For formulas with a very high
271 relative intensity, the isotope ratio provided an additional level of formula validation (Fig. 2c, Koch et
272 al., 2007).

273 The degradation state was assessed using the degradation index (I_{DEG}), as suggested in a recent study
274 (Flerus et al., 2012). I_{deg} can only be applied for PPL-extracted marine SPE-DOM analyzed with FT-ICR
275 MS and electrospray ionization in negative mode. I_{deg} can be calculated from raw peak magnitudes of
276 ten compounds which were found to correlate either positively ($\text{POS}_{I_{\text{deg}}}$: $\text{C}_{13}\text{H}_{18}\text{O}_7$, $\text{C}_{14}\text{H}_{20}\text{O}_7$, $\text{C}_{15}\text{H}_{22}\text{O}_7$,
277 $\text{C}_{15}\text{H}_{22}\text{O}_8$, $\text{C}_{16}\text{H}_{24}\text{O}_8$) or negatively ($\text{NEG}_{I_{\text{deg}}}$: $\text{C}_{17}\text{H}_{20}\text{O}_9$, $\text{C}_{19}\text{H}_{22}\text{O}_{10}$, $\text{C}_{20}\text{H}_{22}\text{O}_{10}$, $\text{C}_{20}\text{H}_{24}\text{O}_{11}$, $\text{C}_{21}\text{H}_{26}\text{O}_{11}$) with $\Delta^{14}\text{C}$
278 (Flerus et al., 2012; Eq. (1)):

$$I_{\text{DEG}} = \frac{\sum \text{magnitudes NEG}_{I_{\text{DEG}}}}{\sum (\text{magnitudes NEG}_{I_{\text{DEG}}} + \text{magnitudes POS}_{I_{\text{DEG}}})} \quad (1)$$

280 Higher I_{DEG} values correspond to a higher degree of degradation.

281 **2.11 Statistical analysis**

282 In the following, duplicates will be presented as mean values and their respective range and triplicates
283 as means and standard deviation of the mean. For the FT-ICR MS dataset, we assessed the molecular
284 similarity between samples by applying cluster analyses and multi-dimensional scaling (MDS) based on
285 Bray Curtis similarity (Bray and Curtis, 1957) and untransformed normalized peak magnitudes
286 (Software: "R" and Primer, Version 6).

287

288

289

290 **3 Results**

291 **3.1 Substrate degradation and transformation**

292 Glucose was completely metabolized after 21 days in [Glc] and [¹³Glc] (Fig. 3a,c). Simultaneously, non-
293 glucose DOC was generated with concentrations reaching 45 ± 3 ([Glc]₂₇) and 16 ± 2 $\mu\text{mol C L}^{-1}$ ([¹³Glc]₂₇;
294 Table 2). This microbially produced DOC will be termed “non-labile” in the following. The proportion
295 of non-labile DOC formed in the [Glc] treatments relative to the original substrate-C can be calculated
296 from the difference of the DOC concentration between day 21 and 27 (5-9% of the initial glucose-C;
297 Table 2) or by subtracting the average DOC blank in the controls ($16 \mu\text{mol DOC L}^{-1}$) from the DOC
298 concentration in a treatment ([Glc]₂₇: 9% of the initial glucose-C). During the following 23 months, the
299 non-labile DOC decreased at a rate of $4 \mu\text{mol}$ and $1 \mu\text{mol C L}^{-1} \text{ yr}^{-1}$ for [Glc]₂₇₋₆₉₅ and [¹³Glc]₂₇₋₆₉₅,
300 respectively (Table 2). After 695 days, the remaining proportion of non-labile DOC in the [Glc]₆₉₅
301 treatments was 6% of the original substrate-C. The modification of DOM in the [exud] treatments could
302 not be followed to the same detail, because the added exudate consisted already of a complex mixture
303 of substances preventing a quantification of substrate changes. The changes in DOC concentration in
304 the [exud] treatments were small during the exponential growth of bacteria. After the exponential
305 phase, the DOC in [exud]₂₇₋₆₉₅ decreased at a rate of $11 \mu\text{mol C L}^{-1} \text{ yr}^{-1}$ being faster than in the [Glc]
306 treatments (Fig. 3b). Particulate organic carbon (POC) concentration in the [Glc] treatments reached
307 its maximum at 21 days ($30 \pm 20 \mu\text{mol C L}^{-1}$). Since the values reached the limits of precision (~5%
308 precision) the errors were relatively large. For the [exud] and [¹³Glc] treatments POC was much lower.
309 Except for the maximum concentration in [exud]₄₁ ($12 \pm 4 \mu\text{mol C L}^{-1}$), POC could not be quantified in
310 these treatments.

311 After 699 days glucose was added to one [Glc]₆₉₉ and one [exud]₆₉₉ treatment to track potential priming
312 effects (Fig. 3). Twenty-five days later, the DOC concentration was similar or slightly above the value
313 before the glucose addition in both bottles and TEP had increased slightly to 100 and 109 $\mu\text{g X}_{\text{eq}} \text{ L}^{-1}$ in
314 [Glc] and [exud], respectively.

315 For selected samples total hydrolysable amino acids were determined. The proportion of organic
316 carbon derived from amino acids (AA-C) increased for [Glc] and [¹³Glc] and decreased in [exud]
317 treatments at day 16 and increased again towards the end of the first phase of the experiment (Table
318 3). The relative increase of AA-C with incubation time coincided with the average increase of organic
319 nitrogen in the mass spectrometry data set (see chapter 3.6). The amino acid composition was
320 dominated by glycine, glutamic acid/glutamine, and leucine. Most other amino acids were near or
321 below the limit of detection.

322

323 **3.2 Controls**

324 Four controls provided experimental validation (Table 1). The background control ^c[none] had an
325 average DOC concentration of $15 \pm 3 \mu\text{mol DOC L}^{-1}$ during the entire incubation period (Fig. 4). The
326 DOC concentration of the sterile background control ^{sc}[none] was slightly higher ($23 \pm 12 \mu\text{mol DOC L}^{-1}$)

327 ¹). Both values were near the limit of quantitation. The DOC concentration of the inoculum was 43 ± 1
328 $\mu\text{mol L}^{-1}$, but after the 1:49 dilution with the medium it contributed only marginally to DOC.

329 No temporal change in DOC (Fig. 4), nutrient, or TEP concentration was observed during the first 55
330 days in the two background controls ^{sc}[none] and ^c[none] or in the two sterile substrate controls, ^{sc}[Glc]
331 and ^{sc}[exud]. The bacterial abundance increased slightly with time, but remained 2-3 orders of
332 magnitude below the abundance of the substrate treatments (compare Fig. 3).

333 3.3 Inorganic nutrients

334 During the entire experiment, nutrient concentrations were sufficiently high to prevent limitation of
335 bacterial growth (Fig. 5). Due to the addition of the algal-derived DOM solution, the initial
336 concentrations of nitrate, nitrite and phosphate were higher ($\sim 150 \mu\text{mol L}^{-1}$, $\sim 9 \mu\text{mol L}^{-1}$ and $\sim 10 \mu\text{mol}$
337 L^{-1} , respectively) in the [exud] compared to the [Glc] and [¹³Glc] treatments. The bacterial inoculum did
338 not add detectable amounts of nutrients to the incubations.

339 Ammonium decreased from about 55 to $28 \mu\text{mol L}^{-1}$ in the [Glc] and [¹³Glc] samples, slightly increased
340 at 41 days and decreased again at 55 days whereas it remained almost constant at $60 \mu\text{mol L}^{-1}$ in the
341 [exud] treatments. Nitrate, nitrite and phosphate remained almost constant in all treatments.

342 3.4 Bacterial growth dynamics

343 Bacterial cell growth started slowly with a long lag phase (16 days) which may be attributed to the low
344 incubation temperature (0°C). Exponential growth and pronounced changes in substrate
345 concentration and in TEP formation occurred between day 16 and day 21 in the [Glc] and [¹³Glc]
346 treatments and slightly later, between day 21 and day 26, in the [exud] treatments (Fig. 3). Despite the
347 fact that the initial DOC concentration in [Glc] was 6.5 times higher than in [¹³Glc], the maximum
348 bacterial cell number was only slightly lower in [¹³Glc]₃₄ compared to [Glc]₃₄ (Table 2). In contrast, the
349 maximum bacterial cell number in [exud]₂₇ was 3.3 times lower than in [Glc]₃₄.

350 Not only were maximal bacterial cell concentrations in [exud] lower than in [Glc] but the cells were
351 also slightly smaller. In [Glc]₃₄ 97% of bacteria were $> 2 \mu\text{m}$, whereas only 92% were that large in
352 [exud]₃₄. After 323 days, 83% and 63% of bacteria were considered large in [Glc]₃₂₃ and [exud]₃₂₃,
353 respectively. A rough estimate of the carbon content of a microbial cell was derived by dividing the
354 POC concentration for [Glc]₂₁₋₄₁ and [exud]₂₇₋₄₁ (30 and $12 \mu\text{mol POC L}^{-1}$, respectively) by the maximum
355 bacterial abundance (2.8×10^{10} and 0.85×10^{10} cells L^{-1} , respectively). This resulted in an average cell
356 carbon content of $13 \text{ fg C cell}^{-1}$ for [Glc] and $17 \text{ fg C cell}^{-1}$ for [exud].

357 The bacterial growth efficiency (BGE) can be calculated from the ratio of bacterial production (BP) and
358 respiration (BR) using equation (2) (del Giorgio and Cole, 1998) :

$$359 \quad \text{BGE} = \text{BP} / (\text{BP} + \text{BR}) \quad (2)$$

360 We estimated BGE ($\text{BGE}_{\text{estim}}$) by using the maximum POC concentration (POC_{max}) and the total amount
361 of DOC consumed (DOC_{cons} ; Eq. 3, Table 2). The estimate is based on the assumption that all of the POC
362 produced is derived from bacterial biomass or colloids formed by bacteria.

363
$$\text{BGE}_{\text{estim}} = \text{POC}_{\text{max}} / (\text{POC}_{\text{max}} + \text{DOC}_{\text{cons}}) \quad (3)$$

364 The estimated BGE was comparable in the treatments which contained glucose (0.1) and substantially
365 higher in the [exud] treatments (0.6).

366 Concentrations of flagellates were below detection in all treatments, suggesting that grazing did not
367 significantly impact microbial dynamics.

368 **3.5 Transparent exopolymer particles (TEP)**

369 The concentration of TEP was highest during the exponential growth in [Glc]₂₁ and [¹³Glc]₂₁ (1683 ± 189
370 and 1667 ± 518 μg X_{eq} L⁻¹, respectively; Fig. 3). TEP production in [exud] treatments increased steadily
371 till day 55 (193 ± 31 μg X_{eq} L⁻¹), but remained an order of magnitude lower than in [Glc]. Although the
372 bacterial abundance (BA) and TEP concentration were not correlated, the TEP/BA ratio at the
373 maximum of the bacterial abundance was similar for [Glc]₂₇ and [¹³Glc]₂₇ (61 and 90 fg X_{eq} cell⁻¹,
374 respectively). In contrast, this ratio was lower at 8 fg X_{eq} cell⁻¹ in the [exud]₂₇ treatments. Although TEP
375 and DOC were not significantly correlated within each treatment, the TEP/DOC ratio was appreciably
376 higher in [Glc]₂₇ and [¹³Glc]₂₇ (35 and 93 μg X_{eq} μmol⁻¹ C⁻¹, respectively) compared to [exud]₂₇ (1 μg X_{eq}
377 μmol C⁻¹).

378 After the maximum TEP concentration was reached in the glucose incubations [Glc]₂₁ and [¹³Glc]₂₁, TEP
379 quickly decreased by about 25 μg X_{eq} L⁻¹ d⁻¹ towards day 55. In the [exud] treatments, TEP increased
380 until day 55 (~4 μg X_{eq} L⁻¹ d⁻¹). At the end of the experiment, on day 695, TEP was below detection in all
381 treatments.

382 **3.6 Molecular formulas determined by ultrahigh resolution MS**

383 The average molecular characteristics derived from ultrahigh resolution mass spectra of the solid-
384 phase extracted DOM are presented in Table 4. The spectrum of [¹³Glc]₇₀ was different from all other
385 spectra: It generally showed fewer peaks but several additional peak clusters in the mass range above
386 600 m/z. The total peak magnitude was 2-3 times lower compared to all other samples. We attributed
387 this to analytical reasons (salt residues) and therefore excluded this spectrum from the subsequent
388 molecular comparisons.

389 To evaluate the data quality and reproducibility we compared ^{sc}[none], ^c[none], and ^{sc}[Glc], which only
390 differed by the presence/absence of glucose. These controls were highly similar based on the number
391 of assigned peaks, the *I*_{deg}, and the peak magnitude weighted average element ratios (Table 4). The
392 average coefficient of variation for their relative peak magnitude (as an indicator for reproducibility)
393 was 7.9%. The reproducibility for larger peaks (> 40% relative peak magnitude) was better and resulted
394 in a coefficient of variation of only 2.6%. The average peak magnitude weighted ratios for the three
395 replicates were for O/C_{wa} = 0.440 ± 0.003, H/C_{wa} = 1.255 ± 0.005, C/N_{wa} = 48.7 ± 1.6, and C/S_{wa} = 234.8
396 ± 7.5. They were thus considered to be process replicates and their average peak magnitudes were
397 used as a reference for the comparison with [Glc] treatments. ^{sc}[exud] was used as the control for the
398 [exud] treatments.

399 The mass spectra of the different treatments revealed characteristic molecular differences particularly
400 between the [Glc] and [exud] samples (Table 4). The [exud] spectra were characterized by an almost
401 Gaussian peak distribution typical for natural organic matter (Fig. 6). In contrast, all [Glc] and [¹³Glc]
402 treatments showed spectra with several additional peaks which did not match with the typical DOM
403 peak magnitude distribution. The number of peaks and assigned molecular formulas in the [exud]
404 spectra was higher compared to [Glc] treatments. The average molecule in the [exud] samples was
405 larger and contained, compared to the number of C atoms, more oxygen and nitrogen. In addition, the
406 [exud] treatments showed a lower I_{deg} value (less degraded) than [Glc] treatments. I_{deg} in the sterile
407 control ^{sc}[exud] indicated that the original algae derived DOM was more labile than the background
408 DOM introduced by the inoculum in ^{sc}[none], ^c[none] and ^{sc}[Glc].

409 Incubation time also had an influence on the molecular composition. For [exud] treatments, the
410 number of peaks assigned with a molecular formula increased with time whereas the total number of
411 peaks in the spectrum slightly decreased. In [Glc] samples, the number of peaks and molecular
412 formulas increased at 70 days and decreased at 695 days. For all treatments, I_{deg} was higher after 70
413 days and decreased again at 695 days. All treatments showed, compared to the number of C atoms,
414 an increase in organic nitrogen and organic sulfur after microbial incubation. The total relative peak
415 magnitude of the most stable compounds (island of stability, IOS, Lechtenfeld et al., 2014) compared
416 to the total peak magnitude of all CHO compounds was calculated (Table 4). All treatments showed a
417 lower relative contribution of IOS compounds after 70 days and a higher contribution towards 695
418 days.

419 The inoculum, which was added to each treatment, introduced a small proportion of refractory
420 compounds (Antarctic surface water, 1:49 dilution), which needs to be considered for the molecular
421 level comparison. Also, algae-derived DOM in the [exud] treatments contained a background of organic
422 compounds derived from the culture medium. To avoid artifacts in data processing, molecular
423 differences between treatments and controls were explored based on relative peak magnitude ratios:
424 Each mass peak in a substrate treatment was compared to the respective peak in the control
425 treatment. Therefore, we used the relative peak magnitudes for each mass peak (=molecular formula)
426 to calculate the peak magnitude ratio $\text{Peak}_{\text{sample}}/(\text{Peak}_{\text{sample}} + \text{Peak}_{\text{control}})$. A peak magnitude ratio of 1
427 represents peaks which were predominant in the sample treatment (red colors, Fig. 6), a value near
428 zero represents peaks which were conspicuous in the control (blue colors), and a relative peak
429 magnitude of 0.5 denotes unchanged relative peak magnitudes (white color). In addition, we selected
430 all peaks which were unique in the substrate treatments compared to their respective control (circles
431 and numbers, Fig. 6). Only few formulas (<30) uniquely occurred in the controls compared to the
432 substrate incubations. The only exception was the ^{sc}[exud] control, in which we detected 173 unique
433 formulas which were absent in the [exud]₇₀ treatment.

434 For data representation, we used the van Krevelen diagram (van Krevelen, 1950; Kim et al., 2003; Fig.
435 6). All formulas which consisted of C, H, and O were displayed with respect to their molecular
436 hydrogen/carbon and oxygen/carbon ratio. Saturated and reduced compounds appear in the upper
437 left whereas unsaturated and oxidized substances plot in the lower right of the van Krevelen diagram.

438 Most molecular changes occurred in the boundary area of the patch in the diagram (indicated by the
439 red color and circles in Fig. 6). For the [Glc] incubations, in particular, the strongest peak magnitude
440 increase occurred outside of the center region in which the most persistent marine molecular formulas
441 would be displayed (as identified in Lechtenfeld et al. 2014, Fig. 6, black ellipse). However, after 695
442 days, these changes were less pronounced indicating that the material became more similar compared
443 to the control samples. In the [exud] treatments, a relative loss of peak magnitude outside the center
444 of the patch was particularly detected after 70 days (blue colors in Fig. 6) but relative peak magnitude
445 gains also occurred in the center where compounds with longer ocean residence times are expected.

446 A hierarchical cluster analysis and multi-dimensional scaling (MDS) was applied to summarize the
447 molecular differences between the treatments (Fig. 7). The analysis was based on untransformed
448 relative peak magnitudes and did not include formulas containing ^{13}C . Although we expected that the
449 ^{13}C -label in the [^{13}Glc] treatments would be detectable in the non-labile DOM pool, enrichment of ^{13}C
450 compared to the unlabeled controls and treatments $^{\text{c}}[\text{Glc}]$, $^{\text{sc}}[\text{Glc}]$ and [$^{\text{c}}\text{Glc}$] was not found. This result
451 was verified by an additional cluster analysis which included the ^{13}C -isotopes: the analysis yielded
452 identical results as the approach in absence of the stable carbon isotopes (data not shown).

453 The degree of similarity between samples is indicated by the similarity scale in the cluster analyses
454 (Fig. 7, left panel). A similarity value of 100 would be derived from two samples with identical relative
455 intensities for all mass peaks in the spectrum. In the MDS analysis (Fig. 7, right panel), the similarity is
456 expressed by the distance between samples in the MDS plot. A stress value of <0.03 indicates an
457 excellent representation of sample similarities in a two-dimensional representation. In addition,
458 samples are grouped according to three levels of similarity derived from the cluster analysis.

459 An additional confirmation of good data reproducibility of the measurements was provided by the high
460 degree of similarity between the duplicates of the [$^{\text{c}}\text{Glc}$]₆₉₅ treatments (Fig. 7). In agreement with the
461 results of the average parameters (Table 4), the three controls $^{\text{sc}}[\text{none}]$, $^{\text{sc}}[\text{Glc}]$ and $^{\text{c}}[\text{none}]$ showed the
462 most similar molecular patterns (Fig. 7). These controls were most similar to the [$^{\text{c}}\text{Glc}$]₆₉₅ and [^{13}Glc]₆₉₅
463 treatments whereas [$^{\text{c}}\text{Glc}$]₇₀ was dissimilar. The [exud] treatments formed a cluster most dissimilar from
464 all other samples and were similar to the respective control $^{\text{sc}}[\text{exud}]_{70}$.

465

466 **4 Discussion**

467 According to a recent definition, marine DOC may be classified as “labile” if it is removed on time scales
468 of hours to days, or as “semi-labile”, if the lifetime reaches ~1.5 years and as “refractory” if it persists
469 for 16,000 years and longer (Hansell, 2013). In our study, the concentration of background DOC
470 persisted until the end of the 2-year experiment, and could therefore be termed “semi-labile”.
471 However, using only bulk DOC concentration, we cannot decide whether the material produced in our
472 experiment could persist on even longer time scales (refractory DOM). In fact, DOM can also be
473 regarded as a dynamic continuum of compounds of variable persistence and degradability (Flerus et
474 al., 2012). Therefore the DOC that was microbially formed in the experiment will be called “non-labile”
475 in this context.

476 DOM dynamics in [Glc] treatments could be followed in greater detail than in [exud] samples: In the
477 [Glc] treatments the changes of the original substrate (glucose) could be directly monitored and, by
478 that, labile and non-labile DOC could be distinguished. In the [exud] treatments we only observed the
479 gross DOC change without the option to differentiate between substrate and non-labile DOC.

480 **4.1 Bulk DOM changes: Microbial consumption and transformation of organic carbon**

481 In the [Glc] and [¹³Glc] treatments microbial growth was most pronounced between day 16 and 27 and
482 glucose was completely consumed by day 21, when DOC showed a minimum. This suggests that the
483 glucose was utilized rapidly once bacteria responded, and non-labile DOM was generated, evident in
484 our experiment as an increase of DOC after the consumption of glucose. A similar shift from a mixture
485 of labile DOM to non-labile DOM is assumed to have occurred between day 16 and 21 in the [exud]
486 treatments, but it is less well resolved. The fraction of labile DOM was small in the original [exud] as
487 DOC decreased by only 6%. This suggests that the added algal exudates were already degraded when
488 the experiment started. Obviously the most labile fraction of the exudation products was utilized
489 immediately upon their release or during storage, before our experiment. Inorganic nutrients were
490 present in sufficient amount throughout our experiment and therefore did not limit microbial growth
491 and substrate degradation. Apart from the changes we observed for the ammonium concentration in
492 the [Glc] and [¹³Glc] treatments, we assume that changes in nutrient concentrations were below
493 detection. The DOC net consumption of 9 μmol DOC L⁻¹ in the [exud] treatments in the exponential
494 growth phase (Table 3) would require less than ~2 μmol L⁻¹ inorganic nitrogen and far less phosphate-
495 P.

496 The rapid initial uptake of glucose and the production of non-labile DOC is consistent with findings of
497 earlier studies (e.g. Brophy and Carlson, 1989; Ogawa et al., 2001; Gruber et al., 2006; Kawasaki and
498 Benner, 2006) and the concept of the microbial carbon pump (Jiao et al., 2010; Benner and Herndl,
499 2011). About 5-9% of the initial glucose carbon persisted as non-labile DOC in our experiment. This
500 was comparable to a proportion of 5% (incubation at 22° to 28°C) found by Ogawa et al. (2001). Gruber
501 et al. (2006) incubated much higher glucose concentrations resulting in a higher absolute non-labile
502 DOC concentration, but a similar proportion of remaining DOC (4.4%; incubation at 20°C). The slightly
503 larger fraction of non-labile DOC in our experiment may be explained by the much lower incubation

504 temperature of 0°C. A positive correlation between the amount of the labile DOM and temperature
505 was previously found (Lonborg et al., 2010).

506 The dynamics of substrate consumption differed substantially between [Glc] and [exud] treatments:
507 In [Glc] treatments, bacteria quickly metabolized 100% of the glucose, at a decay rate of ~50 μmol
508 $\text{glucose-C L}^{-1} \text{ d}^{-1}$ (day 16 to 21) and produced TEP and non-labile DOC. A comparison between [¹³Glc]
509 and [Glc] suggests that the bacterial cell abundance and the amount of TEP produced were
510 independent of the available glucose concentration. In the [exud] treatments, the production of TEP
511 and the microbial biomass was smaller than in the [Glc] treatments and as much as 80% of the initial
512 DOC was still present even after 2 years of incubation, indicating that only 20% of the algae exudate
513 was labile. The decay rate of the remaining non-labile [exud] DOM was, however, faster compared to
514 the decay of the non-labile DOM generated from glucose. This suggests that the treatments still
515 differed in their composition and biodegradability of the non-labile DOM fraction. On a molecular
516 formula level, this is supported by the lower I_{deg} value in [exud] than [Glc] before and after incubation.

517 It is conspicuous that, although the amount of initial carbon consumed differed strongly between the
518 three treatments, the bacterial cell abundance in all treatments was in the same order of magnitude.
519 Since we can rule out additional carbon fixation by other autotrophic organisms, three explanations
520 appear possible: (i) additional carbon was fixed by chemoautotrophic bacteria, (ii) the size and carbon
521 content of the bacterial cells and (iii) the bacterial growth efficiency differed between treatments.

522 A substantial uptake of ammonium was observed in the [Glc] and [¹³Glc] treatments but not in the
523 controls, indicating that ammonium was the preferred source of nitrogen for microbial growth.
524 However, neither a parallel increase in nitrate, which would be indicative for chemoautotrophy, nor a
525 decrease in ammonium in the [exud] treatments was observed, where cell production was high
526 compared to carbon utilization. Therefore chemoautotrophy is unlikely to have contributed to organic
527 carbon production.

528 Although we did not include microbial community analyses, it is to be expected that the composition
529 of the bacterial communities developed differently due to the different substrates and also changed
530 over time. The microscopic observations indicated that 8% of the bacterial cells in the [exud]₃₄
531 treatments were smaller in size compared to the [Glc] samples. The average carbon content of bacteria
532 were similar in [exud] and [Glc] treatments (17 vs 13 fg C cell⁻¹) and both were in the range of marine
533 bacteria (e.g. 12.4 ± 6.3 fg C cell⁻¹, Fukuda et al., 1998). The estimated BGE was much higher in the
534 [exud] compared to the [Glc] treatments: BGE in the [exud] samples resembled the high values
535 measured in situ in the Weddell Sea (Bjornsen and Kuparinen, 1991; del Giorgio and Cole, 1998) and
536 in incubations using algal exudates (del Giorgio and Cole, 1998; Cavalli et al., 2004). BGE for the glucose
537 treatments was much lower and in agreement with low values for highly oxidized and nitrogen-poor
538 labile substrates (Vallino et al., 1996; del Giorgio and Cole, 1998). For our experiment, the differences
539 in BGE of the microbial population accurately reflected the mismatch between the carbon utilization
540 and the associated bacterial growth.

541 We hypothesized that the bioavailability of the produced non-labile DOM could be increased by the
542 addition of labile substrates (co-metabolism, priming effect; Horvath, 1972; Bianchi, 2011). However,
543 the addition of glucose after 699 days in our experiment did not enhance degradation of the non-labile
544 material. Instead, the added glucose was quickly consumed and the concentration of non-labile DOC
545 remained constant or slightly increased. Thus, for our setup, we can reject the hypothesis that addition
546 of glucose enhances degradation of non-labile material. The slight increase of non-labile DOM after
547 glucose addition rather supports the idea that the formation of non-labile DOM is dependent on the
548 substrate concentration. Alternatively, the increase might also be explained by a degradation of non-
549 labile DOC and a subsequent overcompensation of newly formed non-labile DOC.

550 It must be considered that the artificial seawater medium did not contain trace elements because the
551 standard trace element (and vitamin) solutions would have introduced additional organic compounds
552 (such as ethylenediamine-tetraacetic acid; EDTA). However, the priming experiment suggested that
553 the microbial community was not generally trace element limited because microbial growth and
554 degradation after glucose addition was still possible. Nevertheless, trace element limitation might have
555 prevented or slowed down degradation of the non-labile DOM.

556 TEP were formed during the experiment, particularly from bacteria growing on glucose and less from
557 those growing on the algae-DOM. Bacteria are known to form TEP or their precursors (Stoderegger
558 and Herndl, 1999; Sugimoto et al., 2007). Due to their affinity to other particles (stickiness), TEP are
559 important for the biological pump (Alldredge et al., 1993; Passow et al., 1994; Logan et al., 1995). The
560 formation of aggregates increases sinking velocities of particles, allowing a larger fraction of carbon to
561 reach the deep ocean before degradation. Our experimental results suggest, however, that the role of
562 TEP for the biological pump could be limited by its residence time. The average TEP degradation rate
563 in the [Glc] treatments was $25 \mu\text{g X}_{\text{eq}} \text{L}^{-1} \text{d}^{-1}$. The contribution of organic carbon in xanthan gum
564 monomers ($\text{C}_{35}\text{H}_{49}\text{O}_{29}$) is 45% (w/w). For a rough estimate of the carbon balance, we can assume that
565 xanthan gum monomers are representative for TEP produced in the treatment. Hence, the respective
566 TEP degradation rate would be $11 \mu\text{g TEP-C L}^{-1} \text{d}^{-1}$ or $\sim 1 \mu\text{mol TEP-C L}^{-1} \text{d}^{-1}$. In comparison to typical POC
567 concentrations in the surface ocean of roughly $1\text{-}10 \mu\text{mol POC L}^{-1}$ (e.g. Stramski et al., 2008; Neogi et
568 al., 2012) this suggests short residence times in the order of hours to days. However, TEP composition
569 and, by extension, their bioavailability vary depending on their formation history.

570 **4.3 Molecular imprints of substrates and incubation time**

571 The central objective of this study was to explore the molecular formula composition of DOM produced
572 by marine bacteria. The results obtained by FT-ICR MS, glucose and amino acid analyses are in
573 agreement with studies demonstrating that microbial degradation leads to molecular transformation
574 of DOM (e.g. Tranvik, 1993; Ogawa et al., 2001; Kujawinski et al., 2004; Gruber et al., 2006; Rossel et
575 al., 2013). Based on the molecular formulas and their respective peak magnitudes, all treatments
576 differed from their substrate controls and were distinguishable with respect to substrate type and
577 incubation time (Fig. 6). In a previous study, we hypothesized that “the chemical characteristics which
578 lead to refractory properties of DOM are similar, largely independent from the source material and
579 mediated by microbial or photodegradation” (Koch et al., 2005). Here, we specifically wanted to verify

580 if the material which was produced by microbes and persisted for two years resembled refractory
581 organic compounds. The results demonstrated that the molecular signatures, even after 2 years of
582 incubation, strongly differed between substrates. Therefore our previous very general hypothesis must
583 be rejected and refined: In fact, the molecular formulas which dominated in [Glc] treatments after 70
584 days were primarily compounds known for their short ocean residence times (Flerus et al., 2012;
585 Lechtenfeld et al., 2014) and therefore do not belong to the pool of refractory compounds. However,
586 the molecular signatures observed in the [Glc] treatments after 695 days became more similar to the
587 controls (Fig. 7). This indicates at least two steps of DOM degradation: Within days the very labile
588 glucose was utilized and new DOM was generated that lasted for at least 70 days. Continued microbial
589 activity then transformed this DOM into the refractory DOM observed after 2 years. This implies that
590 the DOM generated in previous, shorter-term bacterial glucose experiments might have not reached
591 a molecular composition which resembles refractory DOM. The major chemical differences between
592 [Glc]₇₀ and [Glc]₆₉₅ were: (i) a lower average relative contribution of hydrogen, (ii) and a higher
593 contribution of nitrogen and sulfur per molecule.

594 The [exud] incubation, in contrast, resulted in a molecular composition which strongly differed from
595 the refractory patterns. The majority of compounds which were unique or increased relative to the
596 control were not part of typical refractory compounds, even after two years of incubation (Fig. 7). In
597 contrast to the chemical changes observed in the [Glc] treatments, the [exud] treatments did not show
598 an increase of nitrogen and sulfur between day 70 and day 695. This might be explained by a more
599 efficient incorporation of heteroatoms into the microbial biomass and would be consistent with higher
600 BGE_{estim} values for the exudate treatments. The DOM formed in the [exud]₆₉₅ should therefore more
601 appropriately be termed “semi-labile”.

602 In comparison to the treatments, all control samples, except ^{sc}[exud], showed relatively high I_{deg} values
603 indicating an advanced state of degradation. The inoculum was the exclusive source of natural organic
604 matter in the background or ^{sc}[Glc] controls and was derived from Antarctic surface water. The DOM
605 from surface water in the Southern Ocean has been shown to be old (Druffel and Bauer, 2000),
606 resulting in high I_{deg} values (Lechtenfeld et al., 2014). In comparison, the [exud] incubations had much
607 lower I_{deg} values (more labile) which increased with microbial degradation. Apart from these general
608 patterns, however, I_{deg} did not show a clear trend. One possible explanation for the [Glc] treatments is
609 that most molecular changes occurred in compounds being in the boundary area of the patch in the
610 van Krevelen diagram whereas the I_{deg} formulas are located more in the center (Fig. 6). An alternative
611 way to assess the DOM degradation state was to compare the total relative peak magnitude of the
612 most stable compounds (IOS, Lechtenfeld et al., 2014) to the total peak magnitude of all compounds.
613 This comparison showed a more coherent trend in which both substrates had a smaller percentage of
614 IOS compounds after 70 days (= more labile) and a higher contribution after 695 days (= more
615 degraded).

616 Apart from variations in compounds containing carbon, hydrogen, and oxygen, changes in the
617 contribution of heteroatoms other than oxygen were also found: The contribution of molecules
618 containing nitrogen and sulfur atoms increased in all treatments (Table 4). Accordingly the amino acid

619 carbon yield in the samples was increased after 41 days of incubation (Table 3). For the [Glc] and [¹³Glc]
620 treatments this was expected: the DOM was directly derived from bacteria or reworked microbial
621 biomass which is characterized by low C/N ratios (Fukuda et al., 1998). The organic substrate in the
622 [exud] treatments was also derived from fresh biomass (algae) and relatively low C/N_{wa} were expected.
623 However, the initial C/N_{wa} ratio in the ^{sc}[exud]₇₀ was comparable to the ^{sc}[Glc]₇₀ control, which was an
624 additional indication that the exudates were already partly degraded before they were added to the
625 samples. Therefore it was reasonable that C/N_{wa} (and C/S_{wa}) decreased similarly to the glucose
626 incubations. Although we found, based on a principal component analysis (PCA, data not shown), that
627 nitrogen and sulfur containing molecular formulas contributed only little to the sample heterogeneity,
628 their occurrence might be a good indicator for microbial alteration in future studies. The increase of
629 the heteroatom contribution by microbial activity (as determined with FT-ICR MS) was also observed
630 in other experiments and environments (Schmidt et al., 2009; Liao et al., 2012; Rossel et al., 2013).

631 Unexpectedly the ¹³C-isotope label (D-glucose-1-¹³C) was not detectable in the DOM of the [¹³Glc]
632 treatments. There are several potential explanations: (i) the C₁-carbon was preferentially mineralized,
633 (ii) the labeled compounds were not extracted or not ionized and detected, (iii) the labeled compounds
634 were below the detection limit, or (iv) were preferentially embedded in the microbial biomass. A
635 similar loss of the stable carbon isotope label was reported by Longnecker and Kujawinski (2011).

636 Since FT-ICR MS only yields molecular formulas and no chemical structures, it is not possible to
637 unambiguously designate a specific compound as being refractory solely based on elemental
638 composition and its similarity to deep ocean DOM. It should also be noted that the evaluation of
639 sample similarity based on molecular formulas (and their respective peak magnitudes) is generally also
640 a function of molecular complexity. If the number of molecular formulas increases in sample sets, the
641 similarity between samples must also increase, particularly if the compositional space (i.e. the possible
642 combinations of elements in a molecule) converges the maximum of all chemically feasible
643 combinations (Hertkorn et al. 2008). For our sample set, definite conclusions can be drawn if the
644 elemental composition of a substance differs from the defined subset of refractory compounds (such
645 as in the [Glc]₇₀ treatment). In theory, the chemically relevant constitutional isomers of a molecular
646 formula with a molecular size of ~400 Da can be immense (Hertkorn et al., 2008). However, recent
647 studies indicate that the structural diversity in refractory material is probably limited to specific
648 substructures and functional groups (Hertkorn et al., 2006; Witt et al., 2009; Hertkorn et al., 2013).

649 **4.4 Implications for the marine organic carbon flux**

650 The molecular view derived from FT-ICR MS allowed a comparison of the organic fingerprints of DOM
651 from the three treatments, controls and previous data on refractory marine DOM (Koch et al., 2005;
652 Hertkorn et al., 2006). In agreement with several other studies (Nebbioso and Piccolo, 2013 and
653 references therein), this demonstrates the potential of FT-ICR MS to unravel transformation, source
654 and fate of organic matter in the ocean.

655 The cooling of water masses in the polar oceans is the most important mechanism for the advection
656 of DOC into the deep ocean (~86 Tg C yr⁻¹; Hansell et al., 2009). The formation of refractory compounds

657 within the microbial carbon pump (Jiao et al., 2010) intensifies the process of efficient sequestration
658 of carbon during this process. Only chemically stable compounds can be exported into the deeper
659 ocean and stored for millennia. Generally, the experimental results supported the concept of DOM as
660 being a consortium of organic compounds with continuous age (Flerus et al., 2012) and vast differences
661 in ocean residence times which can be substantially longer than the bulk age of DOC (Lechtenfeld et
662 al., 2014). If we only focus on bulk changes, the non-labile DOC removal in our study varied between 1
663 and 11 $\mu\text{mol C L}^{-1} \text{ yr}^{-1}$. Compared to the lowest in situ net DOC removal rates of 0.003-0.15 $\mu\text{mol C kg}^{-1}$
664 yr^{-1} in the bathypelagic (Hansell et al., 2009 and references therein), this was still 2-3 orders of
665 magnitude faster. With respect to the formation of refractory DOM our study led to ambiguous results:
666 DOM derived from algal exudates showed molecular patterns which did not match with refractory
667 DOM whereas the molecular signatures in the incubated [Glc] samples closely resembled refractory
668 material after two years. An incubation time of two years is, of course, much shorter than the average
669 residence time of refractory DOC in the deep ocean. Therefore, non-labile DOC in the environment can
670 be further degraded and transformed by prolonged incubation, changes in the microbial community
671 (e.g. McCarren et al., 2010; Herlemann et al., 2014), or photo-degradation (Gonsior et al., 2009; Rossel
672 et al., 2013).

673 If the non-labile DOC produced in the experiment (5-9% of the substrate DOC) would be identical to
674 refractory substances in the ocean this would create an unreasonably high flux to the refractory DOC
675 pool. It is therefore likely, and has been shown in previous studies that other mechanisms than
676 molecular composition contribute to the DOC preservation and degradation. A pulse of additional
677 carbon could facilitate degradation by exceeding a chemoreceptive threshold for prokaryotes (Kattner
678 et al., 2011). On the other hand, if the finding that a higher substrate concentration leads to a higher
679 concentration of refractory DOM in the ocean is true, this would have a strong impact on marine
680 carbon fluxes. The reservoir of DOC in the ocean would depend on primary production. A scenario of
681 lower marine primary production in a more stratified future ocean would then also result in a smaller
682 pool of carbon fixed in the refractory marine DOC.

683

684 *Acknowledgements.* Two anonymous reviewers and Ronald Benner are acknowledged for their helpful
685 and constructive suggestions. Also, many thanks to Helmuth Thomas for handling the manuscript as
686 the guest editor of this special issue. We thank Kai-Uwe Ludwichowski for amino acid and glucose
687 analyses, Nadine Bitter, Sonja Wiegmann und Tina Brenneis for cell counting and Anika Schröer for
688 assistance during the sampling. We also acknowledge Elisabeth Helmke, Oliver Lechtenfeld, and Paul
689 del Giorgio for the helpful discussion. This work was supported by the Deutsche
690 Forschungsgemeinschaft (DFG) in the framework of the priority program "Antarctic Research with
691 comparative investigations in Arctic ice areas" (grant DFG KO 2164/3-1).

692

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950 **Figure captions**

951 **Figure 1.** Experimental setup: 11 glass bottles (1, total volume 49L) each of which contained sterile artificial
952 seawater medium (including additional nutrients), 1 L seawater inoculum and three different substrates. The
953 bottles were closed with a rubber lid (2) and air exchange was enabled by a sterile 0.2 μm filter (3). Samples were
954 taken through a valve (4) using a peristaltic pump and glass (5) and Teflon tubing.

955 **Figure 2.** Validation of molecular formulas: (a) double bond equivalent (DBE) and (b) mass accuracy (in ppm) are
956 displayed in increasing order for molecular formulas assigned in the complete dataset. The quality of the peak
957 magnitude ratio of a ^{12}C -parent formula versus the corresponding ^{13}C daughter formula ($^{13}\text{C}_1^{12}\text{C}_{n-1}$) was calculated
958 as (c) the difference between the number of carbon atoms in the assigned formula and the number of carbon
959 atoms estimated from the stable carbon isotope ratio (C_{dev} , Koch et al., 2007). C_{dev} is displayed versus the relative
960 intensity of the parent ion.

961 **Figure 3.** Concentration changes with time for the microbial degradation of (a) glucose [Glc], (b) algal-derived
962 exudates [exud] and (c) ^{13}C labeled glucose [^{13}Glc] treatments: Dissolved organic carbon (DOC), particulate
963 organic carbon (POC, only available for [Glc] treatments), glucose, transparent exopolymer particles (TEP), and
964 bacterial abundance (bac, right y-axis). Error bars represent the range of values based on triplicates for [Glc] and
965 duplicates for [exud] and [^{13}Glc]. On day 699, $\sim 170 \mu\text{M}$ glucose-C was added to one [Glc] and one [exud]-bottle
966 (singular samples).

967 **Figure 4.** Control samples: DOC concentration and bacterial cell abundance during the incubation period.

968 **Figure 5.** Inorganic nutrients: Concentration of nitrite, ammonium, phosphate and nitrate during the incubation
969 period. Changes are displayed as averages for (a) [Glc] treatments ($n=3$) and (b) [exud] treatments ($n=2$). Error
970 bars represent the range of values. Changes in [^{13}Glc] treatments (not shown) were almost identical to [Glc]
971 samples.

972 **Figure 6.** FT-ICR mass spectra of the solid-phase extracts of microbially degraded (a) glucose [Glc] and (b) algal-
973 exudate [exud] after 70 and 695 days of incubation. Left panel: Measured spectra (in blue) and reconstructed
974 spectra based on all identified molecular formulas containing C, H, and O (in black). Right panel: Van Krevelen
975 plots represent all CHO molecular formulas. Formulas which occurred uniquely in the samples and not in the
976 controls (average of ($^{sc}[\text{none}]$, $^{sc}[\text{Glc}]$, $^c[\text{none}]$) for [Glc] and $^{sc}[\text{exud}]$ for [exud]) are marked with a black circle. All
977 other formulas are represented by color which reflects the ratio of the peak magnitude in the sample versus the
978 respective magnitude in the controls (Sample / (Sample + Ref)). The black circle represents the area in which
979 molecular formulas with the highest residence times in the ocean would be displayed (according to Lechtenfeld
980 et al., 2014; island of stability). The crosses represent those 10 peaks which are used to calculate the degradation
981 state of marine DOM (Flerus et al., 2012; I_{deg}): Grey crosses represent molecular formulas which are labile; black
982 crosses represent refractory molecular formulas.

983 **Figure 7.** Sample comparison based on hierarchical cluster analysis (left panel) and multi-dimensional scaling
984 (right panel). Relative peak magnitudes of all identified molecular formulas (except stable isotopes) were
985 compared based on Bray Curtis similarity and group average clustering.

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987 **Tables**

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989 **Table 1.** Experimental setup: Three different substrates were incubated in 50 L glass bottles for 70, 695 or 734
 990 days, respectively.

Bottle	Medium (45L)	Inoculum (1L)	Glucose (320 μ M C)	Algal exudate (140 μ M C)	13 C glucose (45 μ M C)	DOM extraction after (days)	Treatment/ control
^{sc} [none]	x	>0.2 μ m	-	-	-	70	Sterile background control
^{sc} [Glc]	x	>0.2 μ m	x	-	-	70	Sterile glucose control
^{sc} [exud]	x	>0.2 μ m	-	x	-	70	Sterile exudate control
^c [none]	x	>3 μ m	-	-	-	70	Background control
[Glc]	x	>3 μ m	x	-	-	70	[Glc]
[exud]	x	>3 μ m	-	x	-	70	[exud]
[13 Glc]	x	>3 μ m	-	-	x	70	[13 Glc]
[Glc]	x	>3 μ m	x	-	-	695	[Glc]
[Glc]	x	>3 μ m	x	-	-	695	[Glc]; co-metabolism
[exud]	x	>3 μ m	-	x	-	695	[exud]; co-metabolism
[13 Glc]	x	>3 μ m	-	-	x	695	[13 Glc]

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993 **Table 2.** DOC concentrations for selected days, DOC consumed (DOC_{cons}), minimum of non-labile DOC produced
 994 (calculated from the DOC concentration at day 27 minus day 21; DOC_{prod}), maximum POC concentration (POC_{max}),
 995 maximum abundance of bacterial cells (BA_{max}), cell carbon content ($=POC_{max}/BA_{max}$), decay rate of non-labile DOC
 996 (period from day 27 until day 695) and the estimated bacterial growth efficiency (BGE_{estim}). n.d.: not determined.

	[Glc]	[13 Glc]	[exud]
Average DOC concentrations (μ mol C L ⁻¹):			
Day 2: Initial substrate	326 \pm 9	53 \pm 2	144 \pm 0
Day 21: Local minimum	28 \pm 8	11 \pm 1	135 \pm 0
Day 27: Local maximum	45 \pm 3	16 \pm 2	141 \pm 1
Day 695: Final concentration	34 \pm 3	15	115
DOC_{cons} until day 21 (μ mol C L ⁻¹)	326*	53*	9
Minimum non-labile DOC_{prod} (μ mol C L ⁻¹)	17 \pm 6	5 \pm 1	6 \pm 0
POC_{max} (μ mol C L ⁻¹)	30 \pm 20	n.d.	12 \pm 4
BA_{max} (cells L ⁻¹)	2.8 x 10 ¹⁰	2.4 x 10 ¹⁰	8.5 x 10 ⁹
Calculated cell carbon content (fg C cell ⁻¹)	13	n.d.	17
Decay rate for non-labile DOC (μ mol C L ⁻¹ yr ⁻¹)	4	1	11
BGE_{estim} ($DOC_{cons} / (DOC_{cons} + POC_{max})$; unitless)	0.1	n.d.	0.6

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998 **Glucose was completely consumed after 21 days.*

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1003 **Table 3.** Total hydrolysable dissolved amino acids and their contribution to organic carbon (AA-C) in $\mu\text{mol L}^{-1}$ and
 1004 percent of total DOC (%) in the [Glc], [^{13}Glc], and [exud] treatments on day 4, 16, and 41.

t(d)	[Glc] (n=3)		[^{13}Glc] (n=2)		[exud] (n=2)	
	AA-C	%	AA-C	%	AA-C	%
4	0.7	0.0	1.9	0.5	0.7	0.3
16	6.1	0.4	4.1	4.3	0.2	3.7
41	4.3	2.3	3.4	3.1	0.7	5.6

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1008 **Table 4.** Mean data of the molecular characterization via FT-ICR MS: number of identified peaks in the spectrum
 1009 (signal to noise ratio ≥ 4), number of formulas assigned (n), peak magnitude weighted averages (wa) of the
 1010 molecular mass (Mass_{wa}), double bond equivalent (DBE_{wa}), oxygen to carbon ratio ($\text{O}/\text{C}_{\text{wa}}$), hydrogen to carbon
 1011 ratio ($\text{H}/\text{C}_{\text{wa}}$), carbon to nitrogen ratio ($\text{C}/\text{N}_{\text{wa}}$), and carbon to sulfur ratio ($\text{C}/\text{S}_{\text{wa}}$). The degradation index (I_{deg})
 1012 indicates the relative state of degradation of marine SPE-DOM using electrospray ionization in negative mode
 1013 (Flerus et al. 2012). IOS (%; island of stability, Lechtenfeld et al., 2014) is the total relative peak magnitude of the
 1014 most stable molecular formulas compared to the total peak magnitude of all CHO containing molecular formulas.

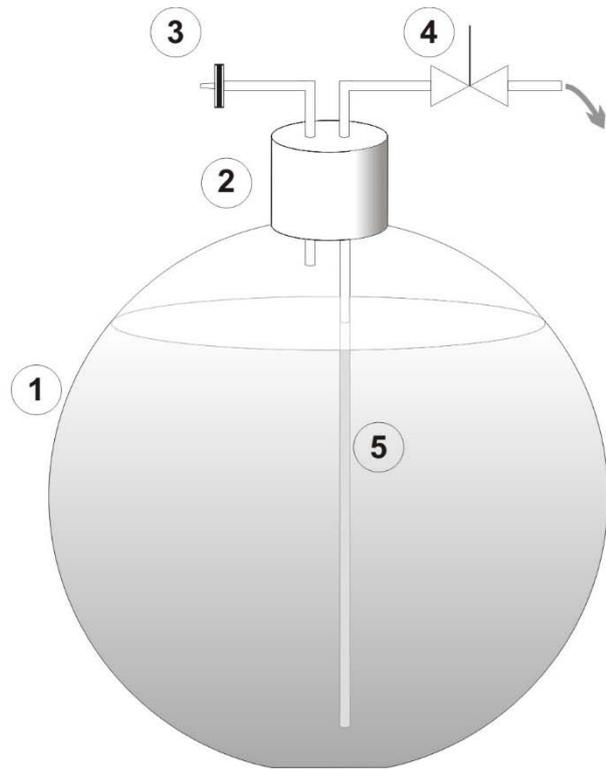
Sample	Peaks		Mass_{wa}	DBE_{wa}	$\text{O}/\text{C}_{\text{wa}}$	$\text{H}/\text{C}_{\text{wa}}$	$\text{C}/\text{N}_{\text{wa}}$	$\text{C}/\text{S}_{\text{wa}}$	I_{deg}	IOS (%)
	(n)	n								
$^{sc}[\text{none}]_{70}$	11941	2183	415	8.7	0.437	1.260	50.5	239	0.81	50
$^c[\text{none}]_{70}$	13618	2289	426	8.9	0.443	1.254	47.7	226	0.81	52
$^{sc}[\text{Glc}]_{70}$	12480	2232	422	8.9	0.440	1.250	47.9	239	0.81	51
[Glc] $_{70}$	14027	3404	449	8.9	0.433	1.296	39.2	175	0.85	43
[Glc] $_{695}$	13591	2930	416	8.8	0.438	1.240	32.6	103	0.80	50
[Glc] $_{695}$	13643	2565	420	8.7	0.439	1.260	37.9	118	0.82	50
[^{13}Glc] $_{695}$	13357	2350	428	8.9	0.455	1.250	41.4	192	0.83	53
$^{sc}[\text{exud}]_{70}$	15668	3082	412	8.2	0.454	1.291	45.7	182	0.52	40
[exud] $_{70}$	13962	4424	494	9.9	0.462	1.269	33.8	153	0.70	36
[exud] $_{695}$	14040	4677	442	9.0	0.459	1.261	35.5	155	0.61	39

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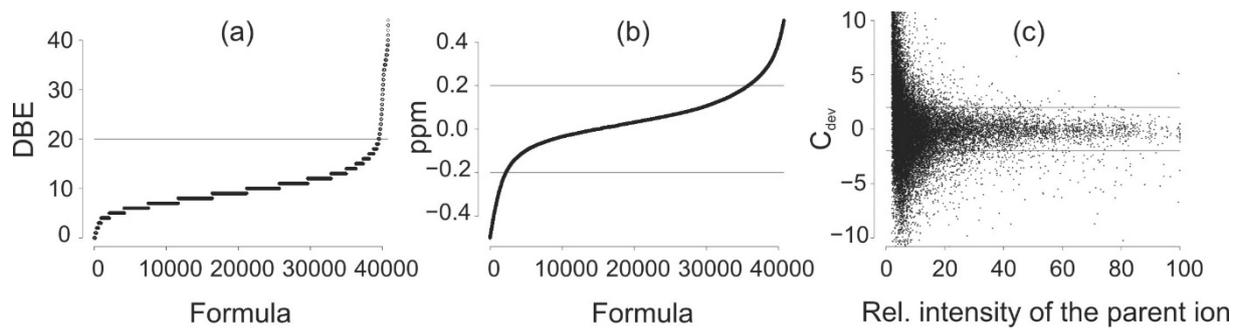
1018 **Figure 1**



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1021 **Figure 2**

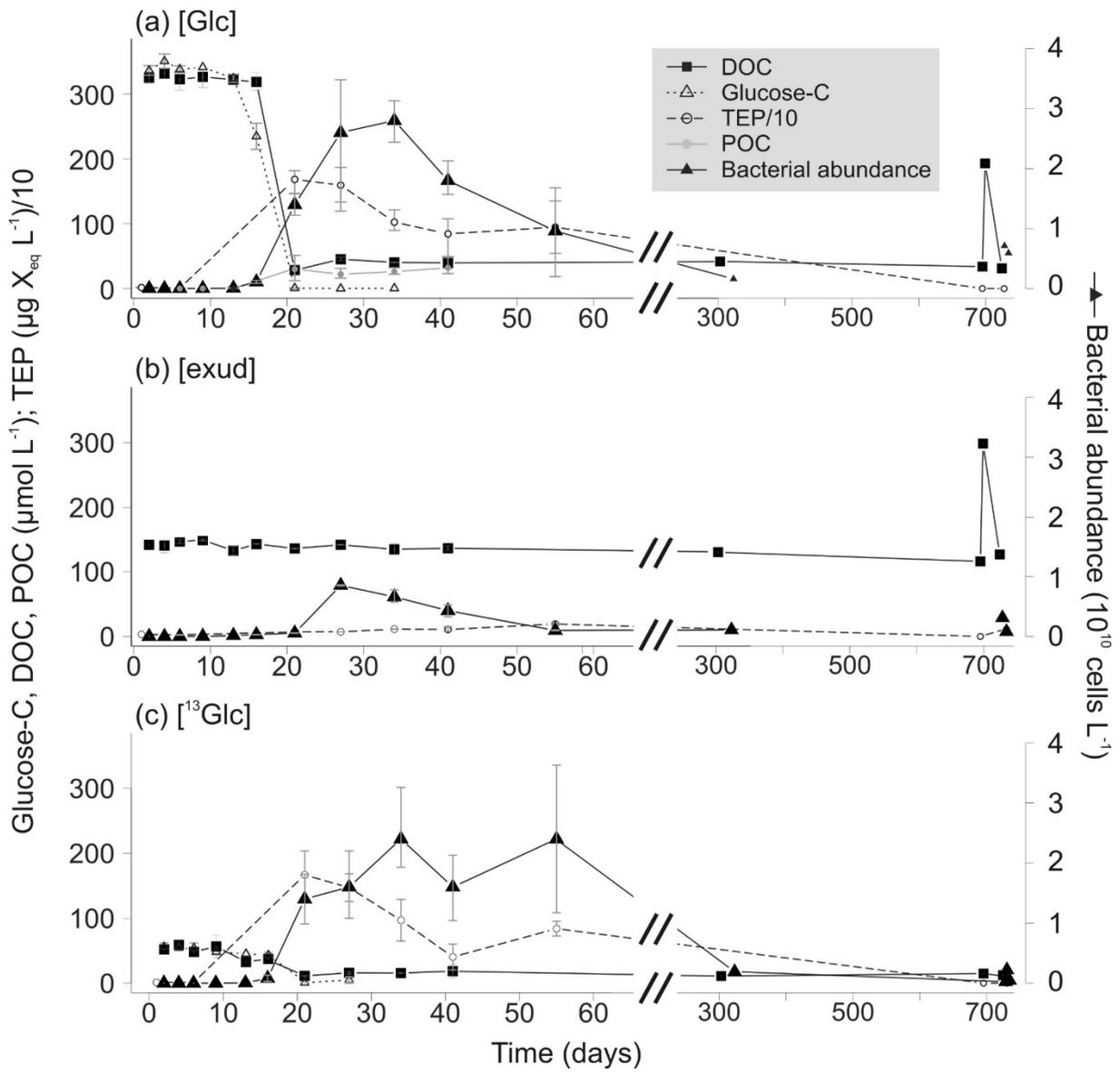


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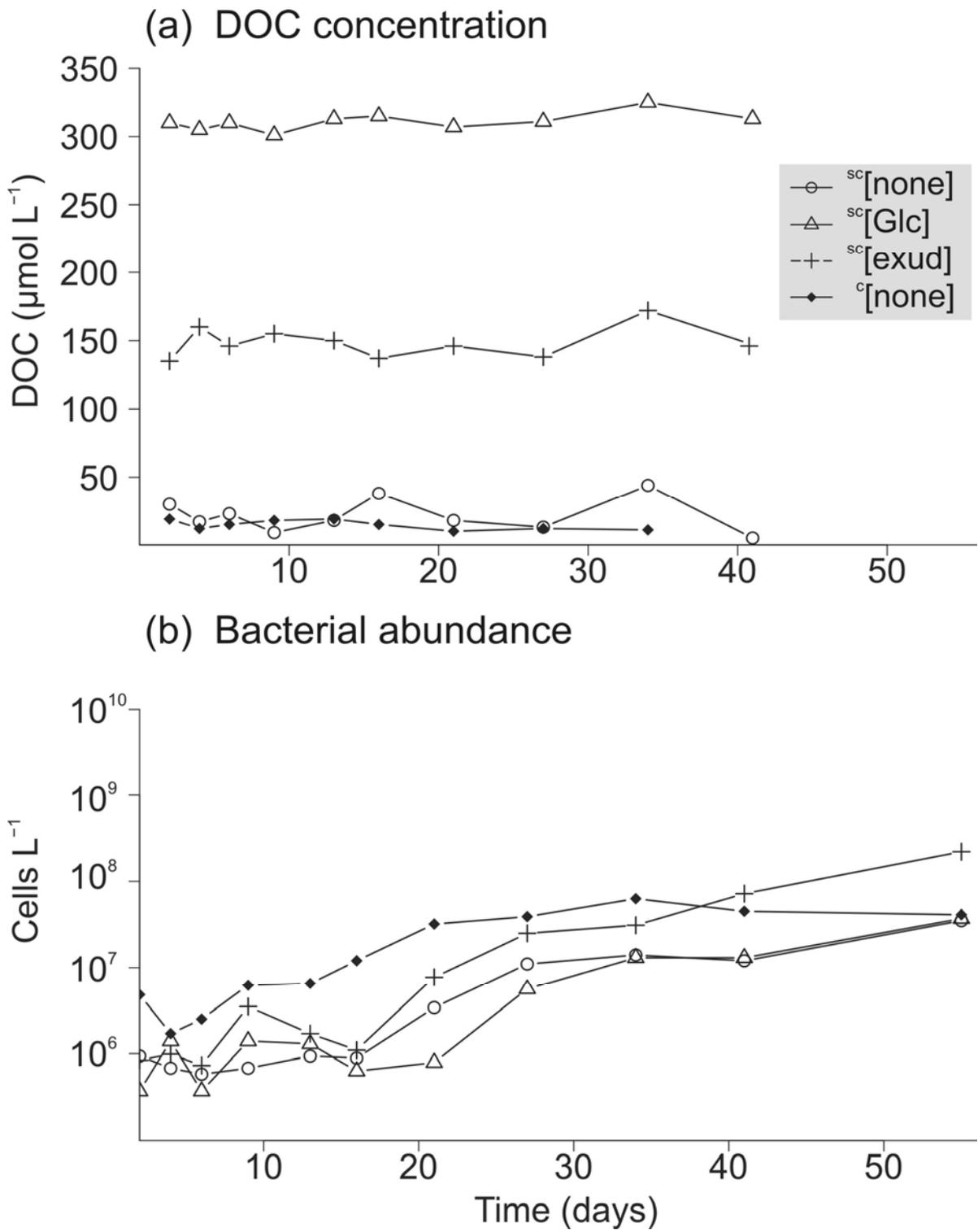
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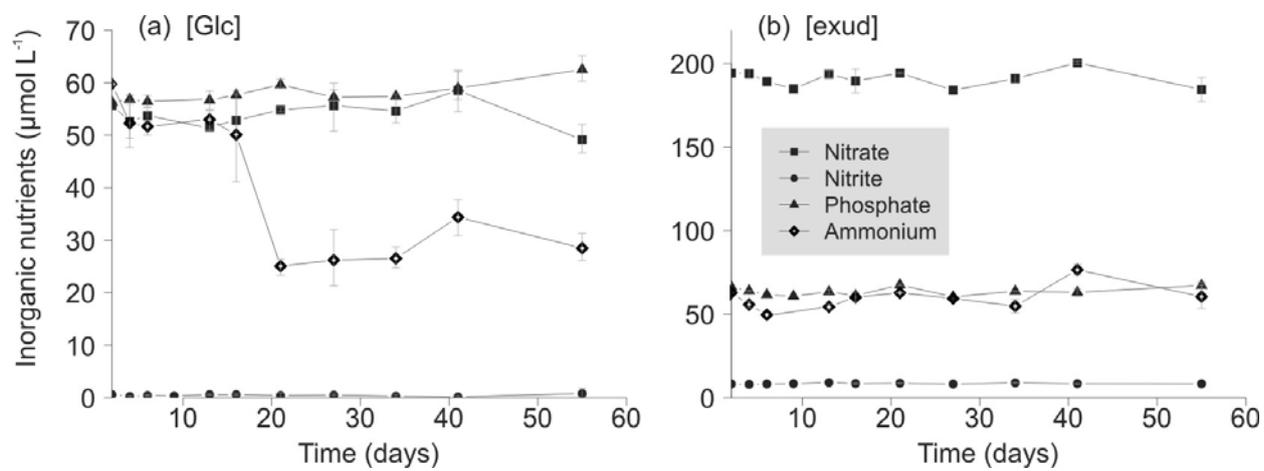
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1033 **Figure 5**

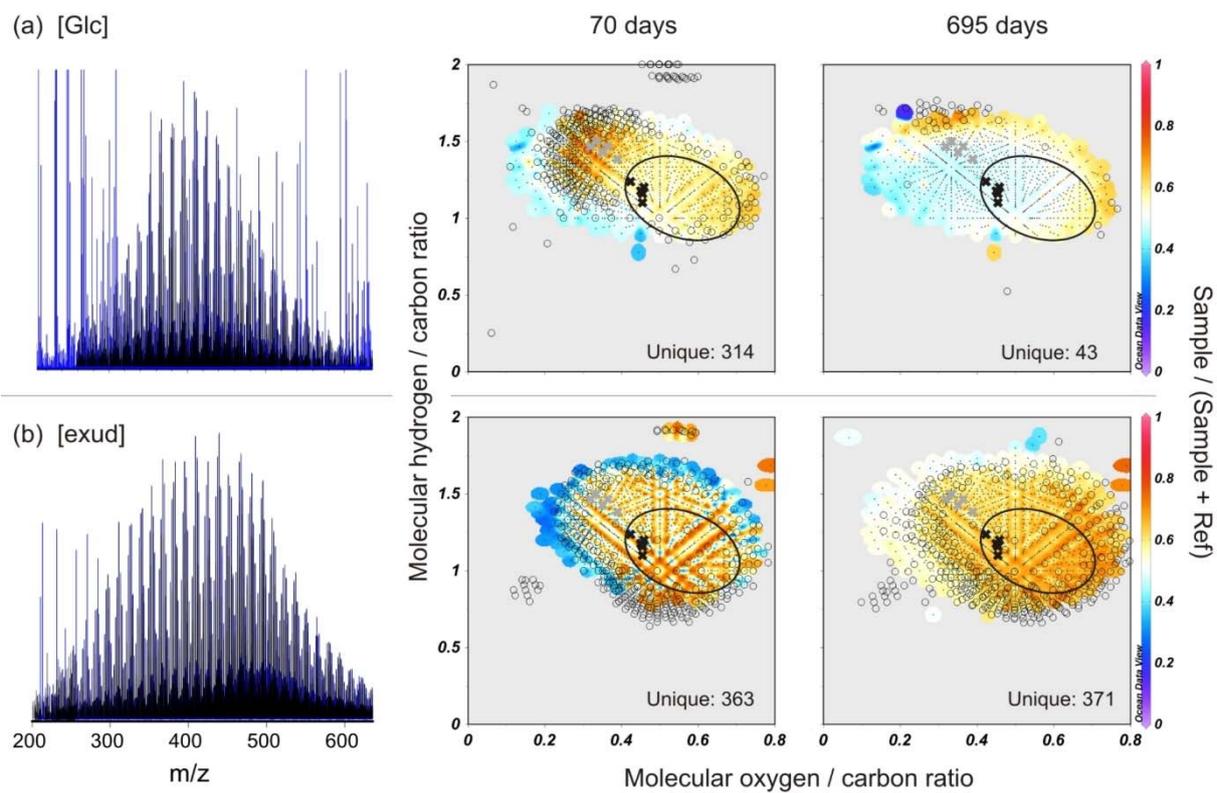
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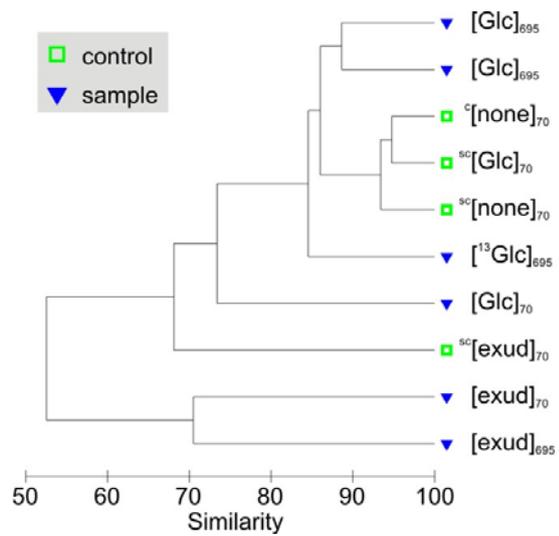
1037 **Figure 6**



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1040 **Figure 7**



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