# Disentangling residence time and temperature sensitivity of microbial decomposition in a global soil carbon model

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# 12 Abstract

Recent studies have identified the first-order representation of microbial decomposition as a 13 14 major source of uncertainty in simulations and projections of the terrestrial carbon balance. 15 Here, we use a reduced complexity model representative of current state-of-the-art models of 16 soil organic carbon decomposition. We undertake a systematic sensitivity analysis to 17 disentangle the effect of the time-invariant baseline residence time (k) and the sensitivity of 18 microbial decomposition to temperature  $(Q_{I0})$  on soil carbon dynamics at regional and global 19 scales. Our simulations produce a range in total soil carbon at equilibrium of ~592 to 2745 Pg 20 C which is similar to the ~561 to 2938 Pg C range in pre-industrial soil carbon in models 21 used in the fifth phase of the Coupled Model Intercomparison Project. This range depends 22 primarily on the value of k, although the impact of  $Q_{10}$  is not trivial at regional scales. As 23 climate changes through the historical period, and into the future, k is primarily responsible 24 for the magnitude of the response in soil carbon, whereas  $Q_{10}$  determines whether the soil 25 remains a sink, or becomes a source in the future mostly by its effect on mid-latitudes carbon 26 balance. If we restrict our simulations to those simulating total soil carbon stocks consistent 27 with observations of current stocks, the projected range in total soil carbon change is reduced 28 by 42% for the historical simulations and 45% for the future projections. However, while this 29 observation-based selection dismisses outliers it does not increase confidence in the future

sign of the soil carbon feedback. We conclude that despite this result, future estimates of soil
carbon, and how soil carbon responds to climate change should be constrained by available
observational data sets.

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# 34 **1** Introduction

There is a 6-fold range in the amount of carbon stored in the soil in simulations conducted as 35 36 part of the fifth phase of the Coupled Model Intercomparison Project (CMIP5; Taylor et al., 37 2012). This 6-fold range, identified by Todd-Brown et al. (2013), is consistent with results 38 from the recent model intercomparison projects such as the Coupled Climate-Carbon Cycle Model Intercomparison Project (C<sup>4</sup>MIP; Friedlingstein et al., 2006). The analysis of carbon 39 stores in both C<sup>4</sup>MIP and CMIP5 have focused on the prediction of terrestrial and soil carbon 40 41 through time. In addition to demonstrating the large differences in carbon stocks (Todd-42 Brown et al., 2013), they have also highlighted large inter-model differences in global and 43 regional land-atmosphere carbon (C) fluxes (e.g. Friedlingstein et al., 2006, 2014). This lack of agreement between simulations exists in fully coupled models (e.g. C<sup>4</sup>MIP and CMIP-5) 44 45 but can also be found if sources of uncertainty are narrowed by relying on one weather dataset to drive multiple land models (Friend et al., 2013; Nishina et al., 2014), or by using 46 47 one land model driven by multiple climate projections (Ahlström et al., 2013).

48 In these previous studies, critical uncertainties have been identified in the microbial decomposition of soil organic C and the associated release of CO2 via heterotrophic 49 50 respiration (R<sub>h</sub>). This is despite all the current state-of-the-art global soil C models relying on 51 a similar representation of decomposition as a first-order process (see Exbravat et al., 2013b; 52 Nishina et al., 2014; Todd-Brown et al., 2013). This conceptualization describes 53 decomposition and R<sub>h</sub> as proportional to the availability of organic matter. The decay rate (or R<sub>h</sub> per unit of soil C) is modified based on an environmental scalar that intends to mimic the 54 55 dynamical response of microbial biomass to soil moisture and soil temperature.

This simple model structure has recently received some criticism because of its lack of explicit representation of microbial physiology (Allison et al., 2010; Todd-Brown et al., 2012; Wieder et al., 2013; Xenakis and Williams, 2014). However, it can successfully explain some complex dynamic processes including the acclimation of decomposers to warming (Luo et al., 2001) as a result of the quick depletion of labile pools by enhanced microbial biomass
(Kirschbaum, 2004; Knorr et al., 2005).

62 We previously identified (Exbravat et al., 2013b, 2014) some further implications of the first-63 order representation of microbial decomposition. First, in climate change experiments, model 64 pools are usually initialised using a spin-up procedure with fixed pre-industrial atmospheric CO<sub>2</sub> concentrations until C pool trends are removed (Xia et al., 2012). Due to the interaction 65 66 with substrate availability, the decay rate simulated by the model in response to steady 67 boundary conditions determines the size of soil C pools reached at equilibrium. Because spin-68 up is a long computational process, the magnitude of pool sizes is conserved during 69 subsequent shorter simulations of climate change and, as a result, equilibrated stocks strongly 70 explain final stocks (e.g. CMIP5 models as shown in supplementary Figure S1 after Exbravat 71 et al., 2014). Second, the microbial sensitivity to changing environmental conditions affects 72 the response of the system under transient climate simulations (Falloon et al., 2011; Exbrayat 73 et al., 2013a,b). However, because substrate availability also controls the amount of respired 74 carbon, there is a "memory" control imposed by the initial conditions of this transient 75 simulation (Exbravat et al., 2013b and 2014) that also affects the response to perturbation in 76 boundary conditions. The relative contribution of these two factors on soil C projections 77 remains to be explored in detail especially since last generation models disagree on the 78 carbon balance projected in the future (Friedlingstein et al., 2014; Nishina et al., 2014), 79 making it challenging to elaborate any land-based offsetting strategy.

80 Here, we use a reduced complexity model representative of current state-of-the-art models of 81 soil organic C decomposition. A systematic sensitivity analysis is performed to disentangle 82 the effect of the time-invariant baseline residence time and the formulation of the dynamic 83 response of microbial decomposition to climatic change on soil C dynamics at regional and 84 global scale. Using these experiments, we seek to investigate the relative contribution of 85 these two inter-related components that drive the absolute and relative change in soil C 86 through time. This is a step towards understanding the origin of the disagreement between 87 CMIP5 models' simulation of soil C and can help in reducing the uncertainty in future model 88 intercomparisons. We also use available estimates of total soil C to assess the added value of 89 observational data to inform the modelling procedure. We attempt to constrain the system's 90 response to climate change by identifying model versions that simulate amounts of soil C 91 mobilized in the active cycle that are outside the confidence intervals estimated for the

92 observations. We argue that, due to the first-order parameterization, such model versions are 93 unlikely to provide reliable projections of the response of soil C pools as they would do it for 94 the wrong reasons. We believe that our results will be helpful for the community in the frame 95 of designing future intercomparisons studies such as CMIP6.

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## 97 2 Materials and methods

#### 98 2.1 Reduced complexity model

It is not possible to re-run each CMIP5 model or isolate the representation of soil carbon processes from each model. We therefore use a reduced complexity model that simulates the monthly evolution of a single soil organic carbon pool,  $C_s$ , in response to input derived from Net Primary Productivity (*NPP*, g C m<sup>-2</sup> mth<sup>-1</sup>) and output by heterotrophic respiration ( $R_h$ , g C m<sup>-2</sup> mth<sup>-1</sup>). For each monthly time step, the soil carbon balance can be described as:

$$104 \quad \frac{\partial C_s}{\partial} = NPPR_a \tag{1}$$

105 where *NPP* is a prescribed boundary condition in our model and  $R_h$  is simulated as a first-106 order process dependent on the availability of substrate  $C_s$  such as:

$$107 \quad R_{h} = \overline{\mathcal{K}}^{1} \mathscr{I}_{T} \mathscr{I}_{W} \mathscr{I}_{s} \tag{2}$$

108 where *k* is the baseline residence time at 15°C (Xia et al., 2013) adjusted at each time step by 109  $f_T$  which is a function of soil temperature  $T_s$  (°C). The soil moisture ( $\theta_s$ ) modification 110 function,  $f_W$ , is usually expressed as a fraction of soil moisture saturation (Moyano et al., 111 2012). We implement a classical formulation of the soil temperature sensitivity function  $f_T$ :

112 
$$f_T = Q_1 o^{\frac{(T_s - T_{ref})}{10}}$$
 (3)

where  $Q_{10}$  is a constant factor that describes the relative increase in microbial activity for a warming of 10°C, and  $T_{ref}$  is the reference temperature (°C) for which  $f_T(T_s) = 1$  (Lloyd and Taylor, 1994; Bauer et al., 2012). The chosen  $T_{ref}$  is the commonly used 15°C (Todd-Brown et al., 2013) so that the decomposition rate equals  $k^{-1}$  when moisture is non-limiting and temperature is approximately equal to the global average. We use the same formulation of  $f_W$ as in the CASA-CNP model (Wang et al., 2010):



120 which is a bell-shaped function that is equal to 1 for  $\theta_s = 0.55$ .

121 This first-order representation of microbial decomposition with a specified decay rate 122 adjusted by environmental scalars is used in all 11 CMIP5 models that simulate soil carbon 123 (Todd-Brown et al., 2013) and all 7 Dynamic Global Vegetation Models used in the ISI-MIP 124 project (Friend et al., 2013; Nishina et al., 2014). Typically, these models rely on a multi-pool 125 architecture to represent the diversity in organic matter. Each pool has its own residence time 126 that corresponds to a degree of resistance to decomposition (Davidson and Janssen, 2006). 127 Usually, part of the decomposition occurring in one pool is routed to one or several other 128 pools while the rest is emitted via  $R_h$ . At the ecosystem scale, however, the same 129 environmental scalar is applied despite the multi-pool architecture, and the heterotrophic 130 respiration flux is proportional to the amount of substrate available. Therefore, our simplified 131 model is broadly representative of the current paradigm and provides a useful framework to 132 undertake the sensitivity analysis described hereafter.

133 Soil moisture also has an influence on microbial decomposition (Falloon et al., 2011, Moyano 134 et al., 2012, 2013; Exbravat et al., 2013a,b). However, Todd-Brown et al. (2013) recently 135 demonstrated that a one pool reduced complexity model could reproduce both total soil 136 carbon content and its spatial distribution for most of the CMIP5 models without considering decomposition response to variations in soil moisture. We also recently showed that global 137 138 features in the distribution and evolution of  $C_s$  were much more related to uncertainties in  $f_T$ 139 than uncertainties in the formulation of  $f_W$  (Exbravat et al., 2013b). Therefore, in order to 140 keep the analyses as simple as possible and isolate the effect of  $f_T$  but still account for the 141 effect of soil moisture on  $R_h$ , we keep the formulation of  $f_W$  constant in the experiments that 142 follow.

We are aware of that our reduced complexity model relies on questionable assumptions such as the use of a single soil carbon pool and global values of k,  $Q_{10}$  and  $T_{ref}$ . However, while we agree that a multiple pool structure would provide diverging results, single pool soil carbon carbon models similar to our design are used in 3 of the 11 CMIP5 models described by Todd-Brown et al. (2013) and 2 of the 7 ISI-MIP models described by Nishina et al. (2014). Further, using global parameter values of k,  $Q_{10}$  and  $T_{ref}$  is consistent with these state-of-the-

art models (Todd-Brown et al., 2013; Nishina et al., 2014). Of course, this does not allow 149 150 representing processes such as the remobilization of carbon in the active cycle following 151 permafrost thaw (Koven et al., 2011) or the probably different behaviour of biological 152 systems in frozen conditions but these are not routinely implemented in the land component 153 of Earth system models and therefore fall beyond the scope of this paper. In summary, we 154 wish to reiterate that this study investigates the sensitivity of the first-order parameterization 155 of microbial decomposition and  $R_h$  processes used in current ecosystem models to its uncertain parameters (Todd-Brown et al., 2013; Nishina et al., 2014). We do not intend to 156 157 provide improved results of the response of soil carbon to climate change but rather illustrate 158 and better understand the implications of the current ubiquitous approach to parameterization 159 and initial value prescription described in Section 2.2.

#### 160 **2.2 Model setup and experiments**

161 We configure the reduced complexity model in a spatially explicit way to represent global 162 variations, implemented as a surrogate for the CASA-CNP biogeochemical module (Wang et al., 2010) of the CABLE land surface model (Wang et al., 2011). A previous simulation by 163 164 CABLE coupled to the coarse-resolution CSIRO Mk3L climate model  $(3.2^{\circ} \text{ latitude} \times 5.6^{\circ})$ 165 longitude; Phipps et al., 2011) and driven by CMIP5 atmospheric CO<sub>2</sub> data provides monthly NPP,  $T_s$  and  $\theta_s$  to the reduced complexity model. We use both historical simulations 166 (Exbrayat et al., 2013b) and 21<sup>st</sup> century projections using the Representative Concentration 167 Pathway 8.5 (RCP 8.5) atmospheric concentration scenario. 168

169 We perform a sensitivity analysis by running the simple model with various combinations of a  $Q_{10}$  value and a baseline residence time k. We use 11 equally-spaced values of  $Q_{10}$  ranging 170 171 from 1.5 to 2.5 (i.e. intervals of 0.1), and 31 equally-spaced values of k ranging from 120 172 months to 480 months (i.e. intervals of 12 months). These values are based on the range of 173 results previously obtained by Todd-Brown et al. (2013) with their own reduced complexity 174 model. Each value of  $Q_{10}$  is applied with each value of k for a total of 341 simulations. Model versions are initialised via a classical spin-up procedure (Xia et al., 2012) using input data 175 176 from 1850 to 1859 for 10,000 years to ensure all soil carbon pools reach a steady-state. We then continue simulations with NPP,  $T_s$  and  $\theta_s$  data from 1850 to 2005, and continue with 177 178 RCP 8.5 projections to 2100. We note that these drivers do not include the representation of land-use and land cover change and their effect on NPP,  $T_s$  and  $\theta_s$ . Therefore, SOC input are 179

180 likely to be higher than in reality. However, as stated earlier we are using the reduced complexity framework to understand the behaviour of the SOC model in response to 181 182 variations in its parameters and we do not aim to provide improved estimates of global scale 183 terrestrial carbon sinks. In each model version, both k and the sensitivity of  $R_h$  to temperature 184 (represented by  $Q_{10}$ ) are constant globally, in accordance with observations (Mahecha et al., 185 2010) and state-of-the-art models (Todd-Brown et al., 2013; Nishina et al., 2014). However, 186 the actual value of the environmental scalar  $f_T$  will of course vary spatially and temporally as a function of  $T_s$ . As we keep the same formulation of  $f_W$  between model versions, we can 187 attribute differences in results to the values of  $Q_{10}$  or k. 188

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#### 190 2.3 Harmonized World Soil Database

191 The Harmonized World Soil Database (HWSD; FAO, 2012) combines several national 192 inventories and provides a number of chemical and physical soil properties at a 30 arc second 193 resolution globally. However, despite the availability of this dataset, CMIP5 models exhibit a 194 six-fold range in their total soil carbon content (Todd-Brown et al., 2013) including values 195 well outside the uncertainty boundaries of observational data. We showed previously that 196 using this dataset to discriminate between acceptable and unacceptable simulations resulted 197 in a non-negligible reduction of the uncertainty in historical net carbon uptake (Exbrayat et 198 al., 2013b). While we do not aim to provide CMIP5-like projections of the soil carbon 199 balance with our reduced complexity model, we investigate the value of using the HWSD to 200 discriminate between plausible and implausible simulations.

201 We follow the method described by Todd-Brown et al. (2013) to derive an estimate of current 202 total soil carbon from the latest version of the Harmonized World Soil Database (HWSD). First, we re-grid the original 30 arc seconds raster to a  $0.5^{\circ} \times 0.5^{\circ}$  resolution. Within each 203 204 half-degree cell we select the dominant soil type. For each soil type, the database provides bulk density and organic carbon content for a top layer (0 - 30 cm depth) and a bottom layer 205 (30 - 100 cm depth). This allows us to calculate soil C density (in kg C m<sup>-2</sup>) in each cell. We 206 then multiply each grid cell by its area and sum to obtain a global estimate of ~1170 Pg C. 207 208 Similarly to Todd-Brown et al. (2013) we also consider the uncertainty associated to our re-209 gridding process as well as analytical measurements of soil properties. We therefore obtain a

- 210 95% confidence interval (CI<sub>95</sub>) of 29% below the mean to 32% above the mean, or  $\sim$ 830 –
- 211 1550 Pg C. We provide these gridded data as supplementary material.
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#### 213 3 Results

#### 214 3.1 Total soil carbon and global balance

215 Figure 1 presents snapshots of total soil carbon for all 341 model versions for three periods: 216 at equilibrium (in 1850, Figure 1a), at the end of historical transient simulations (in 2005, 217 Figure 1b), and at the end of the projections with forcing corresponding to RCP 8.5 (in 2100, 218 Figure 1c). Figure 1a shows that the spin-up procedure causes different model versions to 219 equilibrate at widely varying levels of total soil carbon despite the use of the same boundary 220 conditions of NPP and  $T_s$ . Differences in residence time k contribute most of the ~592 to 221 2745 Pg C range, with larger values of k resulting in larger pools (Figure 1a). Variations in 222 the  $Q_{10}$  parameter of  $f_T$  have a smaller influence on total soil carbon but lower values do result 223 in lower total soil carbon. For the same value of k, simulations with  $Q_{10} = 1.5$  equilibrate with 224 total soil carbon equal to  $86\% \pm 0.005\%$  (mean  $\pm 1$  standard deviation) of the amount with 225  $Q_{10}$  = 2.5. Figure 1b shows that the distribution of total soil carbon between model versions 226 does not vary much during historical simulations (1850-2005). Models with large total soil 227 carbon pools over this period remain versions with long residence time k and higher values of 228  $Q_{10}$ . Note, however, that the range of total soil carbon in 2005 grows to ~709 to 2943 Pg C. 229 Dashed contours on Figure 1b indicate the limits of the CI<sub>95</sub> of the HWSD for current total 230 soil carbon. Here, 115 simulations with values of k ranging approximately from 150 to 250 231 months all fall within this range for 2005, regardless of the  $Q_{10}$  value used. Finally, Figure 1c 232 continues to indicate a strong control of k on the total soil carbon in 2100. The projected range narrows to ~684 to 2825 Pg C throughout the 21<sup>st</sup> century. However, we note there is 233 234 an inversion in the influence of  $Q_{10}$  on simulated total soil carbon with lower values of  $Q_{10}$ 235 resulting in larger pools especially for longer baseline residence times k. Nevertheless, this is 236 still minor compared to the influence of k on  $C_s$ .

Although the range in simulated soil carbon remains similar through time, non-negligible changes occur. This is highlighted in Figure 2 which shows  $\Delta C_s$ , the change in total soil carbon as a function of model parameters *k* and  $Q_{10}$  for the historical simulations (1850 – 2005, Figure 2a) and RCP 8.5 projections (2006 – 2100, Figure 2b). First, Figure 2a clearly 241 shows that all model versions act as a net carbon sink during historical simulations, 242 accumulating between 81 and 283 Pg C. Model versions with longer residence time k tend to 243 accumulate more carbon through time. However, models with the largest value of  $Q_{10}$  tend to 244 accumulate only  $69\% \pm 0.4\%$  (mean  $\pm 1$  standard deviation) of the amount that the lowest  $Q_{10}$ 245 models do. By analysing Figure 2b, we see that the influence of  $Q_{10}$  on the total soil carbon balance grows during RCP 8.5 projections where  $Q_{10}$  now determines whether the soil 246 247 remains a sink or becomes a source. This change between a source or a sink for different  $Q_{10}$ 248 values follows a near linear relationship with k (solid line on Figure 2b). Interestingly, the -249 179 to 168 Pg C range in the change in total soil carbon during RCP 8.5 is mostly a function 250 of  $Q_{10}$  as both extremes are achieved with the longest residence time used here. In other 251 words, while  $Q_{10}$  decides of the sign of the change, k, and hence the initial stocks of SOC 252 after spin-up, drives the magnitude of the response.

253 If we consider only models that fall within the CI<sub>95</sub> of the HWSD for current total soil carbon 254 (dashed contours on Figure 2a and 2b) the spread in simulated total soil carbon balance is 255 largely reduced. During the historical simulations, the range of this subset of models shrinks 256 by 84 Pg C to between 87 and 205 Pg C. It corresponds to a reduction of about 42% of the 257 initial uncertainty. Similarly, the range in projected soil carbon balance is reduced by 157 Pg C to -129 to 61 Pg C, a reduction of about 45% of the initial uncertainty. We note, however, 258 259 that this restriction does not necessarily increase confidence in sign of the future soil carbon change under RCP8.5. 260

261 Differences in the behaviour between the full set of models and this subset of observationally 262 constrained models can be seen in the time series and probability density functions (PDFs) 263 for the historical period, shown in Figure 3. First, the time series from 1850 shows there is no 264 noticeable difference between the full set of simulations (in grey) and the subset of 265 simulations with acceptable current soil carbon (in green) until 1900. During the first half of the 20<sup>th</sup> century, stronger sinks are excluded as they lie outside the CI<sub>95</sub> range, which 266 267 correspond to the upper tail of the distribution of  $\Delta C_s$  (see PDF inset for 1950). However, the 268 kurtosis of the distribution, or most probable change from our simulations, changes 269 negligibly. After ~1960, we observe a step-change in cumulative  $\Delta C_s$  that follows a strong response in NPP to the rapid increase in atmospheric CO<sub>2</sub> (please refer to Exbrayat et al., 270 271 2013b for a more detailed account of this behaviour). The spread between simulations grows 272 and most of the excluded simulations based on the CI<sub>95</sub> range are the strongest sinks (as in

Figure 2a) while a few of the least accumulating simulations are also excluded. This does have a large impact on the most probable change in storage, reducing it from  $\sim 200$  PgC to  $\sim 140$  PgC.

276 We now examine future simulations and present time series and PDFs of change in total soil carbon during RCP 8.5 projections in Figure 4. All simulations continue to accumulate 277 carbon at the beginning of the 21<sup>st</sup> century and remain net carbon sinks until about 2060. At 278 the end of the century, some model versions have simulated positive  $\Delta C_s$  corresponding to a 279 net carbon sink over the  $21^{st}$  century, while other ends their projections with negative  $\Delta C_s$ , or 280 281 a net carbon loss. However, all simulations show the same overall behaviour with first an increase in  $C_s$  that peaks, and then a decrease in  $C_s$ . The timing of the peak, i.e. when soil 282 carbon starts to deplete, varies between ~2035 and 2075 and is explained by the value of  $Q_{10}$ 283 284  $(R^2 = 0.74, data not shown)$  with higher values leading to an earlier peak. This indicates that, in all simulations, soil has become a net source of carbon by the end of the 21<sup>st</sup> century, 285 regardless how much carbon was accumulated since 2005, and hence since 1850. The PDFs 286 287 in 2050 show that selecting only observationally consistent models results in the most heavily 288 accumulating simulations, i.e. those that would peak later, to be dismissed. However, by 289 2100, both the lower and upper tails of the initial distribution are clipped, reducing the 290 simulated range from -178 to 168 Pg C (all simulations) to -129 to 61 Pg C. In both cases, 291 differences in the kurtosis of both distributions remains very small which indicates that our selection scheme dismisses outliers. We note that the lower bound of  $\Delta C_s$  for both sets of 292 293 models is the same until late in the projections ( $\sim 2085$ ).

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#### 295 3.2 Regional differences

296 Although Figure 1 indicates that the range in k can explain most of the variability in total soil 297 carbon content at equilibrium and hence through transient simulations,  $Q_{10}$  is likely to influence the local response of  $f_T$ . Figure 5 shows the relative value of  $f_T$  for different 298 299 temperatures and values of  $Q_{10}$ . Since the chosen  $T_{ref}=15^{\circ}$ C, all  $Q_{10}$  values lead  $f_T$  to be equal 300 at this particular temperature. However, the more difference there is between the actual 301 temperature and  $T_{ref}$ , the more sensitive  $f_T$  becomes to values of  $Q_{10}$ . As our simulations are 302 spatially-explicit, this may introduce non-negligible regional differences in C pools at 303 equilibrium and their response to transient changes in  $T_s$  and NPP.

304 To investigate this more in detail, we present the zonal averages of soil C density for different 305 values of  $Q_{10}$  with k set to 180 months (Figure 6). We choose this particular residence time as 306 example because all corresponding simulations are within the CI<sub>95</sub> of the HWSD for 2005 307 regardless the value of  $Q_{10}$ . Figure 6a shows that  $Q_{10}$  values do introduce non-negligible 308 differences in local equilibrated soil C density. Steady-state pools at low latitudes (30°S to 309 30°N) are larger with low values of  $Q_{10}$  (blue in Figure 6). Conversely, high latitude pools are 310 larger with high values of  $Q_{10}$  (red in Figure 6). Overall, the range in the value of zonally 311 averaged soil C density at equilibrium is up to three-fold depending on the chosen value of 312  $Q_{10}$ . This is particularly obvious in regions with high NPP including low-latitude tropical 313 rainforests or northern taigas. As was the case with total  $C_s$ , the zonal distribution soil C 314 density and the relative position of simulations with different  $Q_{10}$  do not vary much between 315 1850 and 2005 (Figure 6b) although there is a slight shift towards uniformly higher densities 316 as all model versions are net global carbon sinks (Figure 2a and 3). The pattern of zonal soil 317 carbon remains essentially the same at the end of RCP 8.5 projections. However, models with lower values of  $Q_{10}$  now have more carbon than those with high values of  $Q_{10}$  over a broader 318 zone  $(40^{\circ}S - 50^{\circ}N)$ . 319

320 Figure 7 shows the zonal change in soil C density for the same simulations as in Figure 6. 321 Figure 7a indicates that all simulations simulate a net sink almost everywhere during 322 historical simulations, except at latitudes  $> 70^{\circ}$ N. However, the strength of this sink is 323 strongly dependent upon the value of  $Q_{10}$ , especially in low latitudes. There is an 324 approximately two-fold difference between the high accumulation of low  $Q_{10}$  models, and the 325 low accumulation of high  $Q_{10}$  models. Differences between  $Q_{10}$  values are negligible at 326 higher latitudes. Figure 7b shows the same information for RCP 8.5 projections. Simulations with lower values of  $Q_{10}$  almost always accumulate more C (except between 0° and 10°N). 327 While all model versions with k = 180 months lose carbon at low latitudes  $(20^{\circ}S - 20^{\circ}N)$ , 328 329 and gain carbon at high latitudes in the northern hemisphere (> 50°N), the value of  $Q_{10}$ , and hence the environmental scalar  $f_T$ , decides of the sign of the local soil C balance in the 21<sup>st</sup> 330 331 century at mid-latitudes. Within the mid-latitudes, high values of  $Q_{10}$  are more likely to 332 simulate a net loss of soil carbon. We can therefore narrow down the dependence of the 333 global  $\Delta C_s$  on  $Q_{10}$  to its affect at mid-latitudes.

#### 335 4 Discussion

#### 336 **4.1** Effect of *k* and *Q*<sub>10</sub> on soil carbon

337 In our simulations, the range in total soil carbon at equilibrium (~592 to 2745 Pg C) depends 338 on which value of  $Q_{10}$  and especially k is used (Figure 1a). This range captures the ~561 to 339 2938 Pg C range in soil carbon in CMIP-5 in 1860 (see Supplementary Figure S1). We note 340 of course that CMIP5 models not only vary in their soil C component, but simulate different NPP and  $T_s$  and also integrate a range of soil moisture limitations (Todd-Brown et al., 2013). 341 342 The range achieved here at the end of the historical simulations (~709 to 2943 Pg C) is, for 343 example, larger than the 1090 to 2646 Pg C range in 2000 from 7 DGVMs in the ISI-MIP 344 project (Nishina et al., 2014) which were driven by a harmonised weather dataset.

345 We can attribute this range to the first-order representation of decomposition and its response to the initialisation procedure used in most CMIP-5 simulations. By spinning-up the model, 346 347 the goal is to stabilise pools so that total NPP is exactly compensated by total  $R_h$  over the 348 selected period of time (here 10 years). In Equation (2), a longer residence time k results in a 349 lower decay rate (i.e.  $R_h$  per unit of  $C_s$ ). Therefore, model versions that have a slower 350 turnover will require more substrate to simulate the same  $R_h$  needed to compensate NPP. As 351 the baseline residence time k is applied globally, it drives the global pool size (Figure 1) 352 much more than changing  $Q_{10}$  affects  $f_T$ . However, as seen in Figure 6, when considered 353 regionally,  $Q_{10}$  plays a non-negligible role for the local response of decomposition and the 354 definition of equilibrium soil C density. High values of  $Q_{10}$  lead  $f_T$  to trigger strong decay 355 rates in warm regions (Figure 5) that require less substrate (see low latitudes in Figure 6a) to 356 compensate the same NPP. Conversely, high  $Q_{10}$  lead to low values of  $f_T$  in cold regions. 357 Therefore, more substrate is required to bring the pool to equilibrium as seen in high latitudes 358 in Figure 6a. Low values of  $Q_{10}$  show an opposite regional behaviour. Regional differences 359 compensate each other and therefore  $f_T$  with different  $Q_{10}$  values can only explain a small 360 fraction of the range in equilibrated total soil carbon. Of course, if another  $T_{ref}$  was used, the relative differences between  $f_T$  with different  $Q_{10}$  would be altered and the influence of  $Q_{10}$ 361 362 and its effect on  $f_T$  on total and local  $C_s$  would vary. Furthermore, the difference between  $f_T$ 363 with different  $Q_{10}$  grows with the absolute value of the difference  $T_s$ - $T_{ref}$ . Therefore, using a 364 value of  $T_{ref}$  that is outside the range of actual temperatures would lead  $f_T$  with different  $Q_{I0}$  to

keep the same relative position globally. It would introduce larger relative differencesbetween these functions.

Comparing Figures 1a, 1b and 1c suggests that the range in total  $C_s$  at equilibrium is a good 367 368 predictor of the current and future range in total soil carbon. Despite differences in the magnitude of the change in C<sub>s</sub> through time (Friedlingstein et al., 2014), equilibrium 369 370 conditions achieved under pre-industrial conditions largely define current and future pool 371 sizes. Examining Figure 6 confirms that this global effect can also be seen regionally, 372 especially in low (20°S to 20°N) and high (>50°N) latitudes, where carbon pools are largest. 373 This is of concern as substrate availability also influences  $R_h$  and hence its response to 374 changes.

375 Changes in  $C_s$  through time are nevertheless non-negligible, and it is important to quantify 376 the response of the system to perturbations. Our results show increasing atmospheric CO<sub>2</sub> 377 concentrations enhances NPP more than the simultaneous warming enhances  $R_h$  during 378 historical simulations. This historical net carbon sink that is driven by the response of 379 vegetation to increasing atmospheric CO<sub>2</sub> (and hence SOC<sub>in</sub>) is in accordance with previous 380 studies (Friedlingstein et al., 2006; Sarmiento et al., 2010; Zhang et al., 2011; Wania et al., 381 2012; Anav et al., 2013; Exbrayat et al., 2013b). Therefore, all model versions with longer 382 residence time accumulate more  $C_s$  over the same time period as a result of a slower turnover 383 of carbon in soils, and this mirrors the state of the equilibrium stores. However, despite the 384 dominance of the increased NPP on  $\Delta C_s$ , the historical warming signal is influential. Specifically, those model versions more sensitive to changes in temperature (i.e. with high 385 values of  $Q_{10}$ ) accumulate less soil carbon during the 20<sup>th</sup> century even though they initially 386 equilibrated with larger global pools. This is also true of local soil C density where high  $Q_{10}$ 387 388 values are less accumulating regardless of the initial soil C density. We however note that the 389 value  $T_{ref}$  used in our experiments is well within the range of actual temperatures. Therefore, 390 the historical warming does not induce large changes in the values of  $f_T$  with different  $Q_{10}$ .

Projections under the strong-forcing RCP 8.5 scenario also see an increase in the influence of the value of  $Q_{10}$  on  $\Delta C_s$ . Figure 2b clearly shows that the capacity of soils to become carbon sources or remain sinks depends almost entirely on the  $Q_{10}$  parameter, and both states can be achieved for any value of *k* used while remaining within range of previous studies (Friedlingstein et al., 2014; Nishina et al., 2014). Figure 7b indicates that this is clearly a result of differences in the local response of model versions in the mid-latitudes as a function of  $Q_{10}$ . Such regional discrepancies leading to a change in the sign of global  $\Delta C_s$  models have also been highlighted through a recent inter-comparison project that used a harmonised weather dataset to drive 7 biome models (Nishina et al., 2014). However, contrary to this previous study, none of our model versions accumulates soil carbon in the inter-tropical region during the 21<sup>st</sup> century. This is probably due to the fact that we use the same boundary conditions of *NPP* and  $T_s$  for all our model versions, while models used by Nishina et al. (2013) used a prescribed weather dataset but were left free to simulate their own *NPP*.

404 Overall, the globally applied model parameter k drives the steady-state response of our 405 reduced complexity system. However, the more conditions are changing (i.e. steady-state to 406 historical to RCP 8.5 projections), the more the dynamic transition of the system towards a 407 new equilibrium depends on the environmental scalar  $f_T$  and the specific value of  $Q_{10}$ . Although the same formulation of  $f_T$  is applied globally, differences in its response to local  $T_s$ 408 409 sum up to determine the sign of total soil carbon balance. We also note that model versions 410 that equilibrate as a result of longer baseline residence time k have a tendency to produce a 411 larger absolute response of total soil carbon balance. Therefore, the size of pools to which the 412 change is applied seems to dominate the response even when higher values of k imply a smaller relative change in the decay rate  $k^{-1} \times f_T \times f_W$  used in equation 2. This control of 413 initial conditions obtained by spin-up on the response of the system is a critical aspect that 414 415 needs to be better resolved, especially since recent inter-comparison experiments all exhibit huge discrepancies in equilibrium conditions of participating models (Anav et al., 2013; 416 417 Todd-Brown et al., 2013; Nishina et al., 2014).

418

#### 419 4.2 Discriminating between model versions

Since *k* clearly influences the total soil carbon content at equilibrium in 1850, it is a good predictor of the current total soil carbon content. Therefore, *k* is the key parameter that decides how much carbon is active in the modelled system, and whether model versions fall within the CI<sub>95</sub> of the HWSD. Here, all simulations with baseline residence time between 150 and 250 months fulfil this requirement regardless of which  $Q_{10}$  is used in  $f_T$ .

425 If we isolate these simulations, the range in total soil carbon change shrinks by 42% and 45% 426 for the historical simulations and RCP 8.5 projections, respectively. However, while this 427 selection dismisses outliers it does not increase confidence in the sign of the soil carbon 428 change. This is because regional differences lead to similar values in total soil carbon for 429 different values of  $Q_{10}$ . These regional differences translate into heterogeneous responses 430 under RCP 8.5 forcing, especially in mid-latitudes. They are sufficient to induce a change of 431 sign in the global soil carbon balance.

432

# 433 **5** Conclusion

434 We have used a reduced complexity model, broadly representative of current state-of-the-art 435 models of soil organic C decomposition used in CMIP5 and ISI-MIP experiments, to explore 436 the response of microbial decomposition to climate change on soil C dynamics at regional 437 and global scale. We have shown that key parameters in the first-order representation of 438 decomposition interact in markedly different ways depending on the nature of forcing and 439 antecedent conditions. First, the time and space-invariant baseline residence time decides of 440 the total soil carbon content at equilibrium after spin-up, typically the process used by CMIP5 441 models to initialise C pools. Next, the more boundary conditions imposed on the system 442 move away from the equilibrium forcing, the more the environmental scalar describing the 443 sensitivity of the system gains in importance. However, it is the size of the pool to which the 444 change is applied that mostly controls the magnitude of the response.

Applying a constraint on total soil carbon that discriminates between acceptable simulations of total soil carbon leads to a drastic reduction of the range of simulated change. Meanwhile, most of the remaining uncertainty in 21<sup>st</sup> century projections of total soil carbon can be attributed to zonal differences in the response to change, especially at mid-latitudes. These do not allow us to confidently project soil as either a global source or sink of carbon for the 21<sup>st</sup> century. However, it is clear that under RCP 8.5 tropical soils are not suited for long-term carbon storage while some more potential exists in high latitudes.

Finally, we suggest that future estimates of terrestrial, and especially soil, carbon responses to climate change should be more constrained by available datasets of carbon stocks. This is crticial as model structures describe fluxes as a fraction of the substrate pool size. So far, the process of spin-up has too many degrees of freedom that lead to model-specific amounts of active soil carbon.

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Figure 1. Snapshots of total soil carbon in the reduced complexity model as a function of parameter values. Dashed contours in panel b indicate
the CI<sub>95</sub> of the HWSD in 2005 (830 – 1550 Pg C).



**Figure 2.** Change in total soil carbon in the reduced complexity model as a function of parameter values for each period as indicated. Dashed contours in panel b indicate model versions that produced soil stocks within the  $CI_{95}$  of the HWSD in 2005 (830 – 1550 Pg C). The thick black line represents no change.



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**Figure 3.** Change in total soil carbon through time for historical simulations. Insets represent the probability density function of the change since 1850 for the period indicated. Grey is for all simulations while green is used to distinguish simulations for which total soil carbon is within the CI<sub>95</sub> of the HWSD in 2005.



Figure 4. Change in total soil carbon through time for RCP 8.5 projections. Insets represent
the probability density function of the change since 2005 for the indicated year. Grey is for
all simulations while green is used to distinguish simulations for which total soil carbon is
within the CI<sub>95</sub> of the HWSD in 2005.



**Figure 5.** Values of  $f_T$  as a function of  $T_s$  and  $Q_{10}$ . For each temperature, the value is expressed as the proportion of the maximum value achieved for any value of  $Q_{10}$ . Areas outside of the dashed lines represent where  $f_T$  is less than 50% of the maximum for the same temperature.



**Figure 6.** Zonal average soil carbon density in the reduced complexity model with k=180 months and various values of  $Q_{10}$  as indicated by the colour bar.



609 Figure 7. Zonal change in soil C density during historical simulations (a) and RCP8.5 (b)