

Taxon-specific responses of Southern Ocean diatoms to Fe enrichment revealed by synchrotron radiation FTIR microspectroscopy

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Abstract

Photosynthesis by marine diatoms contributes substantially to global biogeochemical cycling and ecosystem productivity. It is widely accepted that diatoms are extremely sensitive to changes in Fe availability, with numerous *in situ* experiments demonstrating rapid growth and increased export of elements (e.g. C, Si and Fe) from surface waters as a result of Fe addition. Less is known about the effects of Fe enrichment on the phenotypes of diatoms, such as associated changes in nutritional value, furthermore data on taxon-specific responses is almost non-existent. Enhanced supply of nutrient-rich waters along the coast of the subantarctic Kerguelen Island provide a valuable opportunity to examine the responses of phytoplankton to natural Fe enrichment. Here we demonstrate the use of synchrotron radiation Fourier Transform Infrared (SR-FTIR) microspectroscopy to analyse changes in the macromolecular

1 composition of diatoms collected along the coast and plateau of Kerguelen Island, Southern
2 Ocean. SR-FTIR microspectroscopy enabled the analysis of individual diatom cells from
3 mixed communities of field-collected samples, thereby providing insight into *in-situ* taxon-
4 specific responses in relation to changes in Fe availability. Phenotypic responses were taxon-
5 specific in terms of intraspecific variability and changes in proteins, amino acids,
6 phosphorylated molecules, silicate/silicic acid and carbohydrates. In contrast to some previous
7 studies, silicate/silicic acid levels increased under Fe enrichment, in conjunction with
8 increases in carbohydrate stores. The highly abundant taxon *Fragilariopsis kerguelensis*
9 displayed a higher level of phenotypic plasticity than *Pseudo-nitzschia* spp., while analysis of
10 the data pooled across all measured taxa showed different patterns in macromolecular
11 composition compared to those for individual taxon. This study demonstrates that taxon-
12 specific responses to Fe enrichment may not always be accurately reflected by bulk
13 community measurements, highlighting the need for further research into taxon-specific
14 phenotypic responses of phytoplankton to environmental change.

15

16 **1 Introduction**

17 Growth of diatoms in the global ocean is estimated to contribute ~ 20% of the total primary
18 productivity on Earth, thereby supporting substantial marine and terrestrial ecosystems,
19 including fisheries which supply 15% of the world's animal protein for human consumption
20 (Armbrust, 2009; Mora et al., 2009; Nelson et al., 1995). As their frustules rain down to the
21 deep ocean, diatoms export substantial quantities of C, Fe and Si from surface waters and
22 determine the nutrient budget of the global ocean (Arrigo, 2005; Ingall et al., 2013; Le Quere
23 et al., 2005). Diatoms are very sensitive to changes in Fe availability and rapidly form
24 expansive blooms when the trace metal becomes available (Marchetti and Cassar, 2009). Fe
25 limitation is known to cause changes in diatom morphology (such as reduced cell size),
26 reduced photosynthetic efficiency, pigment levels and growth rates (Marchetti and Cassar,
27 2009). The critical role of diatoms in ocean biogeochemistry and ecosystem functioning
28 merits further investigation of their phenotypic responses to environmental change.

29 Naturally Fe-enriched water near the Kerguelen Island supports the largest annual
30 phytoplankton bloom (45,000 km²) in the high nutrient, low chlorophyll Southern Ocean
31 (Quéguiner et al., 2007). The natural phenomenon of Fe enrichment in this region presents a
32 valuable opportunity to study the effects of Fe perturbations on diatom growth, productivity,

1 community composition, biogeochemistry and carbon export (Blain et al., 2007). Little is
2 currently known about the effects of Fe on the macromolecular composition (i.e.
3 carbohydrates, lipids, proteins and nucleic acids) of diatoms. The macromolecular
4 composition is an important component of the phenotype, determining energy and nutrient
5 fluxes available for higher trophic levels and influencing cellular carbon productivity
6 (Andersen et al., 2004; Kroon and Thoms, 2006; Sackett et al., 2013). Given the importance
7 of diatoms to elemental cycling and marine ecosystem productivity, this study aimed to
8 investigate the macromolecular responses of major groups of diatoms in waters near
9 Kerguelen Island in relation to differences in Fe availability. Further, we demonstrate a novel,
10 microspectroscopy-based approach to *phenomics*, a discipline that has been flagged as critical
11 to enhancing our ability to untangle the complex interaction of genotype and environment,
12 and allow us to predict phenotypic traits such as species fitness (Houle et al., 2010).

13 Although it is generally accepted that the responses of phytoplankton communities to
14 environmental factors vary between species, to date phenotypic (e.g. macromolecular,
15 elemental and physiological) data from natural populations has been largely limited to bulk
16 community or size fractionated measurements. The lack of taxon-specific macromolecular
17 data relates to the large quantities of biomass generally required for biochemical analyses,
18 difficulty sorting algal cells into taxonomic groupings, and to the time and financial costs
19 associated with available measurement techniques. Recently however, elemental analysis of
20 individual Southern Ocean phytoplankton cells using X-ray Fluorescence Microprobe
21 Analysis has revealed species-specific changes in elemental composition with Fe availability
22 (Twining et al., 2004a, 2004b). Here we used synchrotron radiation Fourier Transform
23 Infrared (SR-FTIR) microspectroscopy to analyse taxon-specific changes in macromolecular
24 composition with Fe availability in individual diatom cells. SR-FTIR microspectroscopy is a
25 powerful, non-invasive technique generating multivariate data on the total macromolecular
26 composition of cells. The approach is growing in popularity among microbial ecologists and
27 biomedical researchers (Murdock and Wetzel, 2009). The technique provides information on
28 the macromolecular composition and physiological status of biological samples, without the
29 need for lengthy extraction protocols because cells can be analysed non-invasively. The
30 power of the technique relates not only to its high precision, but its ability to simultaneously
31 provide information about the macromolecular composition and phenotypic parameters such
32 as growth rate and phenotypic plasticity (Giordano et al., 2001; Heraud et al., 2007; Jebson et
33 al., 2012; Marchetti et al., 2010; Sackett et al., 2013). In addition, FTIR microspectroscopy

1 has shown great promise as a tool for semi-automated taxonomic classification of
2 microorganisms down to sub-species level (Domenighini and Giordano, 2009; Giordano et
3 al., 2009; Naumann et al., 1991).

4 Here we present the first published macromolecular data collected from individual
5 phytoplankton cells from the Southern Ocean using SR-FTIR spectroscopy. Cells were
6 analysed in hydrated form directly from sea water plankton haul samples, thereby minimizing
7 artefacts related to sample preparation. The study aimed to measure phytoplankton
8 macromolecular composition of cells of different taxa collected from stations with contrasting
9 Fe levels. The macromolecular composition of individual cells from four dominant groups of
10 phytoplankton (*Fragilariopsis kerguelensis*, *Pseudo-nitzschia* spp., *Chaetoceros* spp. and
11 *Eucampia antarctica v. antarctica*) at four different sampling stations is compared (Table 1).
12 The first two stations (E-1 and E-5) were sited within a complex recirculation system located
13 in a stationary meander of the Polar Front, characterised by moderate phytoplankton biomass,
14 moderate Fe levels and relatively low productivity and growth rates (S. Blain, I. Obernosterer,
15 B. Quéguiner, T. Trull, this issue). The second two stations (E-4W and TEW-8) received Fe-
16 rich waters from the Kerguelen Island and Plateau, resulting in high phytoplankton biomass,
17 high productivity and growth rates (S. Blain, I. Obernosterer, B. Quéguiner, T. Trull, this
18 issue). Additionally, the spectroscopic data was used to investigate the accuracy of the
19 method for simultaneously classifying cells by taxa.

20 **2 Materials and Methods**

21 **2.1 Sampling**

22 Twenty phytoplankton net stations (Phytonet stations 7 to 27, excluding station 23) were
23 sampled for the purpose of SR-FTIR microspectroscopy analysis from Oct-Dec 2011 (S.
24 Blain, I. Obernosterer, B. Quéguiner, T. Trull, this issue). A 35 µm meshed phytoplankton net
25 was deployed from the RV *Marion Dufresne II* to sample the top 100 m of the surface waters.
26 Hauling speed was minimised to around 5 m.mn⁻¹. 100 mL samples were taken from each
27 station immediately after the haul and fixed with formaldehyde (1-2% final conc.). Fixed
28 samples were kept at room temperature in the dark until analysis by SR-FTIR
29 microspectroscopy. Comparison of FTIR spectra from fixed samples with those observed
30 before from fresh microalgal samples did not show any pronounced differences. Additional
31 100 mL samples were preserved with Lugol's iodine solution and analysed for diatom

1 composition (Armand unpublished). Taxonomic identification followed modern authority
2 descriptions summarised in Hasle and Syvertsen (1997).

3 **2.2 Microspectroscopy**

4 Four taxa were selected for analysis based on their abundance within the samples at each site.
5 These were *Fragilariopsis kerguelensis*, *Eucampia antarctica*, *Chaetoceros* spp. (at stations
6 E-1 and E-4W these were mostly *C. decipiens*, at TEW-8 these were mostly *C. criophilus*)
7 and *Pseudo-nitzschia* spp. Cells were analysed in hydrated form within 1 μL of sea water
8 pipetted directly onto the measurement substrate. A compression chamber with IR transparent
9 calcium fluoride windows (0.5 mm thick) was used to hold each sample and prevent the sea
10 water from evaporating prior to measurements being taken (Tobin et al., 2010). The use of the
11 wet chamber meant that differences in the refractive index of the hydrated cells and the
12 measurement medium (seawater) were less than would have been the case for dried cells in air
13 resulting in much less light scattering effects on spectra (Bassan et al., 2009). Spectral data
14 were collected on the Infrared Microspectroscopy Beamline (2BM1B) at the Australian
15 Synchrotron, Melbourne, Australia in July 2013. Spectra were acquired over the measurement
16 range 4000-800 cm^{-1} with a Vertex 80v FTIR spectrometer (Bruker Optics, Ettlingen,
17 Germany) coupled to an IR microscope (Hyperion 2000, Bruker) fitted with a mercury
18 cadmium telluride detector cooled with liquid nitrogen. The microscope was connected to a
19 computer-controlled microscope stage and placed in a specially designed box purged with
20 dehumidified air. The measurements were performed in transmission via the mapping mode,
21 using an aperture size of 5 $\mu\text{m} \times 5 \mu\text{m}$ with a spectral resolution of 8 cm^{-1} , with 64 scans co-
22 added. This aperture size, employed for all species, provided spectra that were unambiguously
23 representative of individual cells (not clumps of cells; Figure 1). The selected aperture size
24 enabled targeting of the cell interior and avoidance of the cell edge which could cause
25 pronounced light scattering. Additionally, maintaining a consistent aperture size avoided
26 variations in signal to noise ratio throughout the experiment. Although the small aperture size
27 did not cover the entire interior of the cell, we attempted to overcome heterogeneity across the
28 cell by capturing large numbers of cells (20-50) and varying the measurement position across
29 the cell in a random fashion. Further, a consistent aperture size helps to avoid variation in the
30 signal to noise ratio. The number of co-added scans was chosen as a good compromise
31 between achieving spectra with good signal to noise ratios and the rapid acquisition of data.

1 Spectra were processed using Happ-Genzel apodization and 2 levels of zero-filling. Spectral
2 acquisition and instrument control was performed using Opus 6.5 software (Bruker).

3 **2.3 Multivariate Modeling**

4 A multivariate modeling approach was selected for data analysis for two reasons. Firstly
5 spectral data is inherently highly multivariate (containing thousands of variables) and
6 therefore well suited to multivariate analysis. Secondly, the multivariate approach is more
7 robust than univariate methods (such as comparison of peak-height/area ratios) because it
8 detects co-variation across large numbers of variables and is less susceptible to experimental
9 artefacts including baseline effects. SR-FTIR microspectroscopy is a semi-quantitative
10 technique whereby changes in macromolecular absorbance are proportional to changes in the
11 concentrations of those macromolecules. According to the Beer-Lambert Law, absorbance
12 is proportional to the path length through the sample and the concentration of light absorbing
13 molecules, as has been validated for diatoms and other microalgae (Heraud et al., 2007;
14 Jungandreas et al., 2012; Wagner et al., 2010). Given that no independent data was available
15 for the calibration of the SR-FTIR spectral data, we do not report changes in macromolecules
16 in absolute units, however a doubling of absorbance at a particular wavenumber, for example,
17 is indicative of a doubling in concentration of the macromolecules which absorb at those
18 wavenumbers, given adherence to the Beer-Lambert Law. The multivariate modeling
19 approach (including pre-processing methods) described below allows for normalisation of
20 differences in sample thickness, hence changes in the absorbance bands at particular
21 wavenumbers indicate proportional changes in the concentration of the macromolecules
22 associated with those wavenumbers (Heraud et al., 2005).

23 SR-FTIR spectral data were exported from the OPUS 6.5 for multivariate analysis using The
24 Unscrambler X v 10.3 (Camo Inc., Oslo, Norway). An initial quality control procedure was
25 performed over the range 3000-950 cm^{-1} . Spectra with maximum absorbance greater than
26 0.85 (resulting from relatively thick regions of the sample) were excluded from further
27 analyses. The regions 3050-2800, 1770-1730 and 1560-950 cm^{-1} were selected for analysis
28 since they contain all the major biological bands, but avoided possible issues related to
29 spurious absorbance values for the amide I band region (1730-1560 cm^{-1}) due to the intense
30 absorbance by water in this region (Vaccari et al., 2012). Firstly, data was smoothed (8 points
31 either side) and second derivative transformed (3rd order polynomial) using the Savitzky-
32 Golay function (to account for differences in samples thickness, minimise baseline differences

1 and aid visual interpretation of spectra) (Heraud et al., 2005). Secondly, the Multiplicative
2 Scattering Correction (MSC) function was applied to the dataset for the purposes of reducing
3 any remaining light scattering and to normalize the data.

4 Data were initially screened for quality using Principal Component Analysis (PCA) to remove
5 obvious outliers, prior to the calculation of average spectra and analysis by Partial Least
6 Squares Discriminant Analysis (PLSDA) (Heraud et al., 2008; Sackett et al., 2013; Wold et
7 al., 2001). Outliers were identified using the Leverage versus Residual X-variance plots, with
8 a threshold of 5% set nominally. Models were validated using a set of samples not used to
9 build the PLSDA model (i.e. a test set). The calibration and test sets were chosen by randomly
10 assigning two thirds and one third of the samples to each, respectively. The classification
11 accuracy of each model was compared using sensitivity and specificity metrics (Bylesjö et al.,
12 2006).

13 **3 Results**

14 **3.1 Stations E-1 and E-5**

15 Spectra were interpreted based on published literature for band assignments (Table 2). Visual
16 inspection of the average second derivative spectra showed bands characteristic of microalgal
17 samples, with those in the lower region (1250-1000 cm^{-1}) dominating the spectra (Figure 2).
18 Differences in the height and position of the peaks indicated that the macromolecular
19 composition varied between taxa. Cell spectra from sites E-1 and E-5 had the strongest
20 absorbance by bands associated with proteins (1540 cm^{-1}), lipids (3050-2800 cm^{-1} , 1745 cm^{-1} ,
21 and 1450 cm^{-1}), phosphorylated molecules ($\sim 1240 \text{ cm}^{-1}$) and carbohydrates ($\sim 1150 \text{ cm}^{-1}$)
22 compared to stations EW-4 and TEW-8 (Figure 2a&b). At station E-1 (Figure 2a), *Pseudo-*
23 *nitzschia* spp. showed the highest absorbance by proteins and lipids and *E. antarctica* the
24 lowest. Also at station E-1, *F. kerguelensis* cells had the highest absorbance by
25 phosphorylated molecules, followed by *E. antarctica*, *Pseudo-nitzschia* spp. then *Chaetoceros*
26 spp.. At station E-5 (Figure 2b), *Pseudo-nitzschia* spp. and *F. kerguelensis* cells showed the
27 highest absorbance by proteins and lipids, whereas *E. antarctica* had the lowest. Another
28 notable feature of the cell spectra from station E-5 (Figure 2c) was the relatively low
29 variability in the region 3050-2800 cm^{-1} , as indicated by small error bars compared to cell
30 spectra from the other stations.

1 **3.2 Stations E-4W and TEW-8**

2 Cells from stations E-4W and TEW-8 showed spectra with weaker absorbance from bands
3 above 1250 cm^{-1} compared to those from stations E-1 and E-5 (Figure 2c&d). As with the
4 other stations, *F. kerguelensis* cell spectra showed the strongest absorbance by
5 phosphorylated molecules ($\sim 1240 \text{ cm}^{-1}$) and the weakest by silicate/silicic acid and
6 carbohydrates (1160-1040 cm^{-1}) relative to the other taxa.

7 **3.3 Multivariate modeling and taxonomic classification**

8 Partial Least Squares Discriminant Analysis (PLSDA) is a multivariate modeling process
9 enabling the modeling and classification of spectral datasets. In this study, PLSDA served to
10 both validate the visual observations made from the average second derivative spectra and to
11 assess the power of SR-FTIR microspectroscopy to taxonomically classify cell spectra from
12 the mixed, natural diatom communities. PLSDA scores plots showed distinct clustering of cell
13 spectra by taxonomic grouping at all four sites (Figure 3). PLSDA results supported
14 observations made from average second derivative spectra. For example, interpretation of the
15 scores and corresponding loading weights plots showed strong peaks at $\sim 1240 \text{ cm}^{-1}$,
16 indicating that *F. kerguelensis* cell spectra had the highest levels of phosphorylated molecules
17 at all four sites. In addition, the PLSDA loading weights plots revealed a consistent anti-
18 correlation between phosphorylated molecules and peaks corresponding to silicate/silicic acid
19 and carbohydrates (bands at ~ 1240 and 1080 cm^{-1} had opposite correlation indicated in all
20 four loading weights plots). Classification of cell spectra by taxon using PLSDA
21 demonstrated a high level of accuracy (Table 3), performing at $>90\%$ specificity and
22 sensitivity for all species at the single cell level. Moreover, cell spectra clustered well by
23 taxonomic group even after pooling the data across the four stations (Figure 4), indicating that
24 inter-species spectral variability was greater than the environmentally-induced spectral
25 variability.

26 **3.4 Community averages compared to individual taxon**

27 Changes in macromolecular composition for the four taxa pooled were compared to those for
28 *F. kerguelensis* alone to see how well community average measurements reflected those of
29 the dominant taxa (Figure 5). Inspection of the average second derivative spectra (Figure
30 5a&d) showed that some trends observed for *F. kerguelensis* were reflected in the bulk

1 averages; however, there were other notable differences in both peak position and intensity.
2 Protein (1540 cm^{-1}) and phosphorylated molecule ($\sim 1240\text{ cm}^{-1}$) levels were lowest and
3 silicate/silicic acid levels ($\sim 1080\text{ cm}^{-1}$) were highest at site E-4W for both the pooled
4 taxonomic group and for *F. kerguelensis*. However, stronger clustering occurred in the scores
5 plots for stations E-4W and TEW-8 compared to E-1 and E-5 for *F. kerguelensis* alone than
6 for the pooled taxa (Figure 5b&e). The loading weights plots (Figure 5c&f) showed similar
7 trends for both the pooled taxon and *F. kerguelensis* with regard to trends in protein,
8 phosphorylated molecules, silicate/silicic acid and carbohydrate levels between sites. In spite
9 of this, the contribution of phosphorylated molecules to variation between cell spectra was
10 stronger for *F. kerguelensis* alone.

11 The PLSDA by station for *F. kerguelensis* also served to examine the phenotypic plasticity of
12 the species between stations (Figure 5d-f). The degree to which models can discriminate
13 between cell spectra from different stations is indicative of the magnitude of change in
14 macromolecular composition of those cells (Sackett et al., 2013). Factors 1 and 3 of the scores
15 plots from PLSDA models explained 49% of the variation in the spectral dataset, with good
16 clustering by station. *Pseudo-nitzschia* spp. did not show a similar pattern of clustering and
17 model discrimination accuracy was poor (Figure 6). This indicated that *F. kerguelensis*
18 demonstrated a higher level of phenotypic plasticity than did *Pseudo-nitzschia* spp.

19 **4 Discussion**

20 The taxon-specific analysis of *F. kerguelensis* cell spectra revealed that stations E-1 and E-5
21 demonstrated higher levels of intraspecific variability than stations E-4W and TEW-8 (Figure
22 5e). The sources of variation between cell spectra were multivariate, including most of the
23 major macromolecular bands (proteins, phosphorylated molecules, amino acids, silicate/silicic
24 acid and carbohydrates). Differences in variability were not observed when data was pooled
25 across the four taxa, suggesting that community averages may not necessarily reflect the
26 macromolecular composition of *F. kerguelensis*, despite its relatively high abundance within
27 the assemblage. Although studies of intraspecific variability in microalgae are rare, higher
28 levels of population variability have been previously reported for laboratory cultured
29 microalgae under nutrient stress using SR-FTIR microspectroscopy (Heraud et al., 2008).
30 Also, in a previous study of the Southern Ocean diatoms *Fragilariopsis cylindrus*,
31 *Chaetoceros simplex* and *Pseudo-nitzschia subcurvata*, higher intraspecific variability was
32 shown, particularly for *F. cylindrus*, under extreme salinity and temperature conditions

1 (Sackett et al., 2013). Given that dissolved and particulate Fe levels appeared to be decreasing
2 during the time series stations (indicated by a decrease from E-3 to E-5; Bowie et al., this
3 issue), high intraspecific variability in *F. kerguelensis* could be indicative of a population in
4 transition towards Fe-limitation. Thus, the increased intraspecific variability may have been a
5 sign that the population was in transition, relative to the Fe-enriched stations; however,
6 further studies are required with controlled laboratory trials to confirm that the observed
7 macromolecular changes were due solely to the influence of Fe supply.

8 Based on the SR-FTIR spectra, levels of silicate/silicic acid were higher in *F. kerguelensis*
9 cells from the Fe-enriched station (E-4W) compared to the moderate Fe station (E-5). This
10 result contradicts some previous studies which have shown an opposite correlation between
11 Fe availability and silicification (Marchetti and Cassar, 2009), but is not unprecedented.
12 During the SOFeX experiment, Twining et al. (2004a) observed a 16% increase in cellular Si
13 after Fe addition for individual *Fragilariopsis* spp. cells, and Hoffmann et al. (2007)
14 measured an increased ratio of biogenic silica (BSi) to particulate organic phosphate with
15 bulk measurements of Fe-enriched Southern Ocean diatoms during the EIFEX project.

16 At the Fe-enriched station (E-4W), the growth rate of the bulk population was substantially
17 elevated compared to the moderate Fe station (E-5; Table 1). In their review of silicon
18 metabolism in diatoms, Martin-Jézéquel et al. (2000) reported that the widely accepted
19 inverse relationship between silicification and growth rate does not always hold, particularly
20 when extracellular silicon is not limiting (Martin-Jézéquel et al., 2000). Thus, it is possible
21 that Fe enrichment, in the presence of adequate ambient Si levels (Table 1), stimulated both
22 high growth rate and high levels of biogenic silica. In conjunction with silicate/silicic acid,
23 absorbance from phosphorylated molecules was also higher in cell spectra from the moderate-
24 Fe station (E-5; Figure 2a & b). This is interesting because a previous spectroscopic study
25 found that absorbance from phosphorylated molecules was positively correlated with growth
26 rate (Jebsen et al., 2012). Recently however, a proteomics study of acclimation to Fe-limited
27 conditions in the diatom *Thalassiosira pseudonana*, measured substantial up-regulation of
28 proteins related to the pentose phosphate pathway and to amino acid biosynthesis/degradation
29 pathways, including some specifically related to the amino acid tyrosine (Nunn et al., 2013).
30 That study found proteins related to sucrose metabolism (including photosynthesis, oxidative
31 phosphorylation and carbon fixation) that were unique to Fe-limited cultures and can
32 therefore be considered indicative of Fe-limitation. The up-regulation of these proteins would

1 be consistent with observations from cell spectra at the moderate Fe station (E-5) of increased
2 absorbance from proteins, tyrosine, and phosphorylated molecules relative to the Fe-enriched
3 station (E-4W).

4 BSi to particulate organic carbon (POC) ratios for the 20 μm size fraction of the
5 phytoplankton community (which includes the diatoms *F. kerguelensis*, *Chaetoceros* spp. and
6 *E. antarctica*, plus other species of microalgae) were found to be lower at stations E-4W and
7 TEW-8 (0.57 and 0.23, respectively) and higher at stations E-1 and E-5 (0.63 at stations E-5)
8 (Trull et al., this issue). It may seem counter-intuitive that an increase in cellular Si levels
9 could result in a decrease in the BSi/POC ratio; however, looking at the magnitude of
10 difference in BSi and POC between stations shows that BSi increased by a factor of ~ 4.5 ,
11 whereas POC increased ~ 12 fold at TEW-8 compared to E-1 (Table 1). Thus, the drop in
12 BSi/POC at the Fe enriched station could be explained by increases in both the POC and BSi
13 pools, with the increase in POC being ~ 3 times that of BSi. Although diatoms were the
14 dominant group within the 20 μm size fraction, it should be noted that a decrease in BSi from
15 bulk measurements could have been contributed to by a shift from siliceous diatoms to other,
16 non-siliceous groups. Additionally, samples from the Fe enriched stations were observed to
17 have substantially higher carbon productivity, which could have led to increased
18 concentrations of cellular carbon (Jacquet et al., this issue). If the decrease in BSi/POC was
19 caused primarily by a large increase in cellular C concentrations, calculations of cellular C
20 from cell volume may provide underestimates under Fe enrichment, because cell size is
21 constrained by the frustule (Twining et al., 2004a).

22 Such changes in cellular carbon and Si content are consistent with changes in macromolecular
23 composition observed by SR-FTIR microspectroscopy. The PLSDA loading weights plot for
24 *F. kerguelensis* shows that cell spectra from station TEW-8 had the highest absorbance from
25 silicate/silicic acid ($\sim 1080\text{ cm}^{-1}$) and carbohydrates ($\sim 1040\text{ cm}^{-1}$) among the four stations
26 (Figure 5f). Southern Ocean diatoms have been observed to accumulate carbohydrates to a
27 maximum in the evening, providing a source of carbon skeletons for protein production over
28 night (van Oijen et al., 2004). Given that station TEW-8 was sampled at 10 PM (whereas the
29 other three stations were sampled between 12:00 and 17:30), it is possible that cellular
30 carbohydrate levels were at a maximum at this time. Although carbohydrates contain a
31 relatively lower proportion of carbon (gC/gDW) compared with lipids and proteins (~ 0.4 ,
32 ~ 0.76 and ~ 0.53 respectively), it is plausible that a substantial increase in carbohydrate

1 storage levels could cause an increase in cellular carbon (Geider and La Roche, 2011). The
2 pattern of changes in silicate/silicic acid and carbohydrate levels between stations was more
3 complex for the pooled data set than for *F. kerguelensis* alone, indicating that community
4 average measurements may not always reflect the composition of the dominant taxa, which
5 can differ widely, and should therefore be interpreted cautiously.

6 This study adds to the growing evidence that SR-FTIR microspectroscopy has great value as a
7 tool for quantifying various traits (e.g. growth rate, nutrient status, nutritional value,
8 taxonomic identification) in phytoplankton and other microorganisms (Heraud et al., 2005,
9 2007; Jebsen et al., 2012; Ngo-Thi et al., 2003; Sackett et al., 2013). Trait-based approaches
10 are a powerful, relatively untapped resource for improving predictions of phytoplankton
11 community composition and dynamics in response to environmental change (Litchman and
12 Klausmeier, 2008). For example, phenotypic plasticity provides great potential for a species
13 to cope with rapid and prolonged environmental changes (Charmantier et al., 2008). Accurate,
14 taxon-specific models that describe a broad range of phenotypic parameters, referred to here
15 as *phenomic models*, will enhance our ability to predict the response of the phytoplankton
16 community to future climate scenarios. The power of these multivariate phenomic models
17 depends largely on our ability to collect phenotypic data using high-throughput, robust and
18 inexpensive techniques. Multivariate modeling approaches are recognised as integral tools for
19 the analysis of ecophysiological traits (Litchman and Klausmeier, 2008), however less
20 attention has been paid to the power of multivariate *measurement* techniques, such as SR-
21 FTIR microspectroscopy and X-ray Fluorescence Microprobe Analysis, for data collection.
22 Encouraging the use of such multivariate measurement techniques, which can be used to
23 predict multiple traits simultaneously, will dramatically increase the speed of trait data
24 collection for microorganisms. Moreover, the advent of commercially available portable
25 infrared and Raman spectrometers means that spectroscopic measurements can now be made
26 in real time in the field.

27 **5 Conclusions**

28 This study demonstrated the taxon-specific responses of four dominant diatom taxa in
29 association with natural Fe enrichment. These responses were not entirely reflected by the
30 pooled data which was viewed as analogous to bulk community averages. *Fragilariopsis*
31 *kerguelensis* showed distinct phenotypic differences (i.e. plasticity) at stations E-1 and E-5
32 compared to stations E-4W and TEW-8, whereas *Pseudo-nitzschia* spp. did not (Figure 5 and

1 Figure 6). Understanding differences between taxa is important for improving our ability to
2 predict phytoplankton community composition and dynamics in response to environmental
3 change (Arrigo, 2005). The current lack of taxon-specific data is related to methodological
4 challenges that limit *in situ* studies to bulk-community measurements. Methods which permit
5 the analysis of individual cells, such as SR-FTIR microspectroscopy, present a pathway for
6 the collection of taxon-specific data to fill this gap. It is likely that the broad use of the
7 approach outlined in this paper would be limited by access to synchrotron facilities. However,
8 burgeoning new technology in laboratory-grade instruments will soon make it possible to
9 conduct measurements in single microalgal cells without the need for a synchrotron light
10 source. For example, the newest focal plane array detectors have a higher magnification
11 which allows infrared images to approach the spatial resolution possible using single-point
12 mapping with an infrared microscope. Further, the advent of new extremely brilliant
13 laboratory sources of IR light such as the quantum cascade laser are likely to further reduce
14 the need for synchrotron sources in the future (Brandstetter et al., 2010). Taxon-specific data
15 is in great demand from carbon cycle and ecosystem modelers, particularly for the Southern
16 Ocean (Carr et al., 2006), and is necessary for advancing our ability to predict the effect of
17 environmental changes, including climate change, on Earth's marine and terrestrial
18 ecosystems.

19

20

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11

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7

1 Tables

2 Table 1 Description of sampling stations and associated biogeochemical characteristics.

Station	Dissolved Fe	Dissolved Si (μmolL^{-1})	POC (μM)	PBSi (μM)	BSi/POC (mol)	^{a,b} ^{13}C -POC (‰VPDB)	^b Growth rate (%)	Productivity _{Ez} ($\text{mgC}/\text{m}^2/\text{d}$)	Notes
E-1	Not determined	~15	0.57	0.36	0.63	+1.63	25	578	Complex recirculation system located in a stationary meander of the Polar Front. Time series data.
E-5	Moderate	~10	0.44	0.28	0.63	+1.46	25	1064	
E-4W	Higher	~17	1.18	0.67	0.57	+2.83	50	3287	Receiving Plateau waters.
TEW-8	Not determined	NA	7.1	1.65	0.23	+4.67	75	No data	Receiving Kerguelen Island waters.
Ref.	(Trull et al., 2014)	(Closset et al., 2014)	(Trull et al., 2014)	(Trull et al., 2014)	(Trull et al., 2014)	(Trull et al., 2014)	(Trull et al., 2014)	(Jacquet et al., 2014)	(Blain et al., 2014b; Trull et al., 2014)

3 NB: values reported from Trull et al. (2014) are for size fraction 20 μm , which is composed of diatoms and
4 other microalgae.

5 ^a isotopic indices of growth rate

6 ^b increase relative to reference station

7 ^{ez} euphotic zone

8 ^{VPDB} Vienna Pee Dee Belemnite standard

9 Abbreviations: Particulate Organic Carbon (POC), Particulate Biogenic Silicate (PBSi), Not Available (NA)

1 Table 2 Band assignments

Wavenumber (cm ⁻¹)	^a Assignment	Reference (& refs. therein)
1200-900	$\nu(\text{C-O-C})$ of polysaccharides, dominated by ring vibrations of carbohydrates	(Giordano et al., 2001; Naumann, 2000)
1080	$\nu(\text{Si-O})$ from silicate/silicic acid	(Giordano et al., 2001)
1150	$\nu(\text{C-O})$ from carbohydrate moieties	(Giordano et al., 2001; Heraud et al., 2007)
1230	$\nu_{\text{as}}(\text{P=O})$ from phosphorylated molecules (includes nucleic acids, phosphorylated proteins and phosphorylated lipids)	(Giordano et al., 2001)
1516	Phenol group (ring vibration), possibly from Tyrosine	(Naumann, 2000; Venyaminov SYu and Kalnin, 1990)
1400	$\nu_{\text{s}}(\text{COO}^-)$ carboxylate stretching mode, primarily from free amino acids	(Fraile et al., 2010)
1450	$\delta_{\text{as}}(\text{CH}_3)$ and $\delta_{\text{as}}(\text{CH}_2)$ mainly from lipids and proteins	(Heraud et al., 2005)
1540	$\delta(\text{N-H})$ of amides associated with proteins	(Giordano et al., 2001; Heraud et al., 2007)
1735	$\nu(\text{C=O})$ of ester functional groups, from lipids and fatty acids	(Vongsvivut et al., 2012)
1708	$\nu(\text{C=O})$ of free fatty acids	(Murdock and Wetzel, 2009)
2850	$\nu_{\text{s}}(\text{C-H})$ from methylene ($-\text{CH}_2$) groups, primarily from lipids	(Vongsvivut et al., 2012)
2920	$\nu_{\text{as}}(\text{C-H})$ from methylene (CH_2) groups, primarily from lipids	(Vongsvivut et al., 2012)
2960	$\nu_{\text{as}}(\text{C-H})$ from methyl (CH_3) groups, primarily from proteins	(Vongsvivut et al., 2012)
3015	$\nu(\text{C-H})$ of cis-alkene $-\text{HC}=\text{CH}-$, from unsaturated fatty acids	(Vongsvivut et al., 2012)

2 ^a ν_{as} - asymmetric stretch; ν_{s} - symmetric stretch; δ_{as} - asymmetric deformation (bend); δ_{s} - symmetric
3 deformation (bend)

4

5

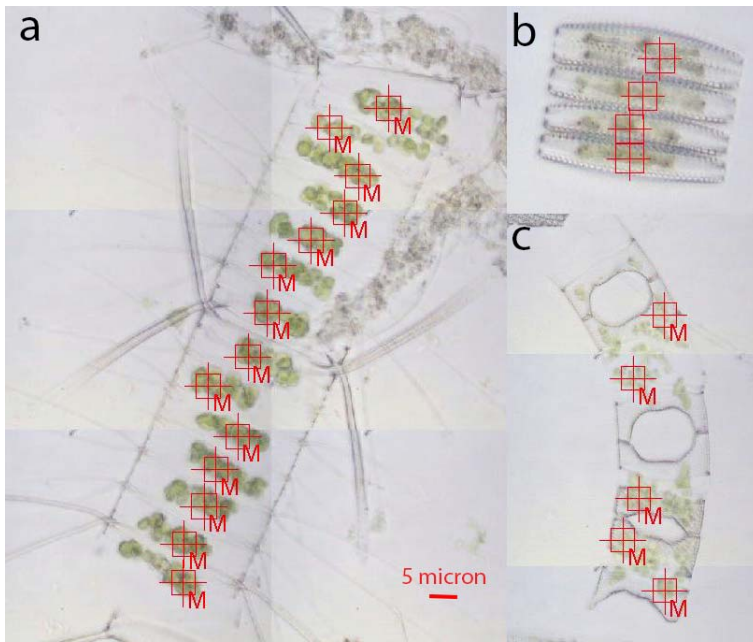
1 Table 3 Taxonomic Classification Summary Statistics for PLSDA models

Station	PLSDA Model	n (cal)	n (val)	Factors	RMSEP	R- square	Sensitivity	Specificity
E-1	<i>Chaetoceros</i>	3	0	3	0.131	0.067	0%	100%
E-1	<i>Eucampia</i>	15	2	3	0.284	0.088	40%	96%
E-1	<i>Fragilariopsis</i>	48	35	3	0.256	0.735	100%	84%
E-1	<i>Pseudo-nitzschia</i>	28	12	3	0.229	0.719	92%	100%
E-1	Total	94	54	3	-	-	91%	97%
TEW-8	<i>Eucampia</i>	3	2	4	0.175	0.674	100%	100%
TEW-8	<i>Fragilariopsis</i>	26	17	4	0.175	0.674	100%	100%
TEW-8	Total	29	19	4	-	-	100%	100%
E-4W	<i>Fragilariopsis</i>	34	15	1	0.264	0.713	93%	100%
E-4W	<i>Pseudo-nitzschia</i>	36	30	1	0.264	0.713	100%	93%
E-4W	Total	71	45	1	-	-	98%	98%
E-5	<i>Eucampia</i>	13	7	5	0.251	0.494	86%	98%
E-5	<i>Fragilariopsis</i>	58	32	5	0.225	0.763	100%	93%
E-5	<i>Pseudo-nitzschia</i>	14	9	5	0.272	0.470	75%	98%
E-5	Total	85	48	5	-	-	94%	97%

2

3

1 Figures



2

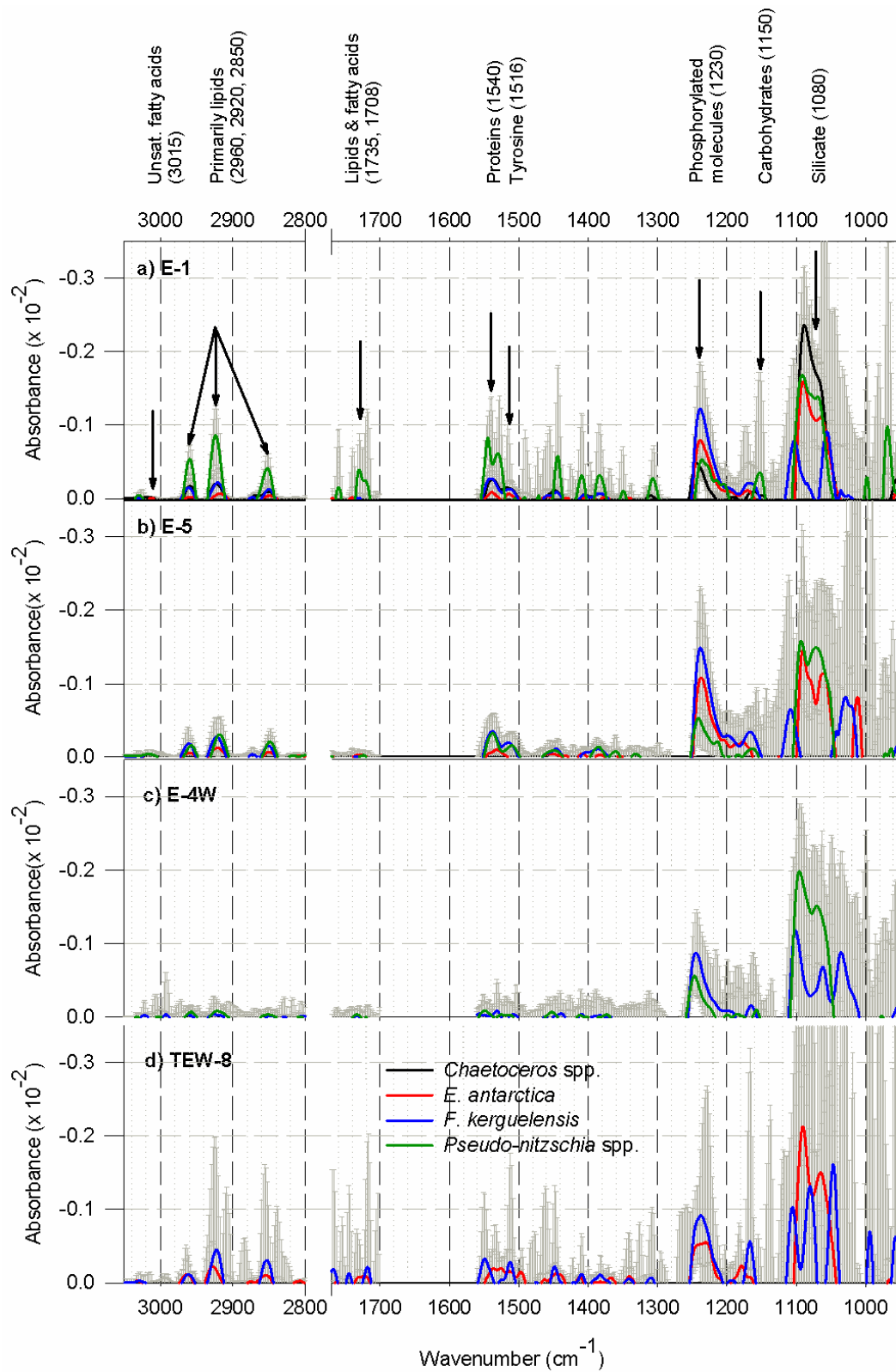
3

4 Figure 1 Visible images of *Chaetoceros* spp. (a), *F. kerguelensis* (b) and *E. antarctica* (c) cells

5 showing the infrared measurement positions (indicated by cross hairs). The red square around the

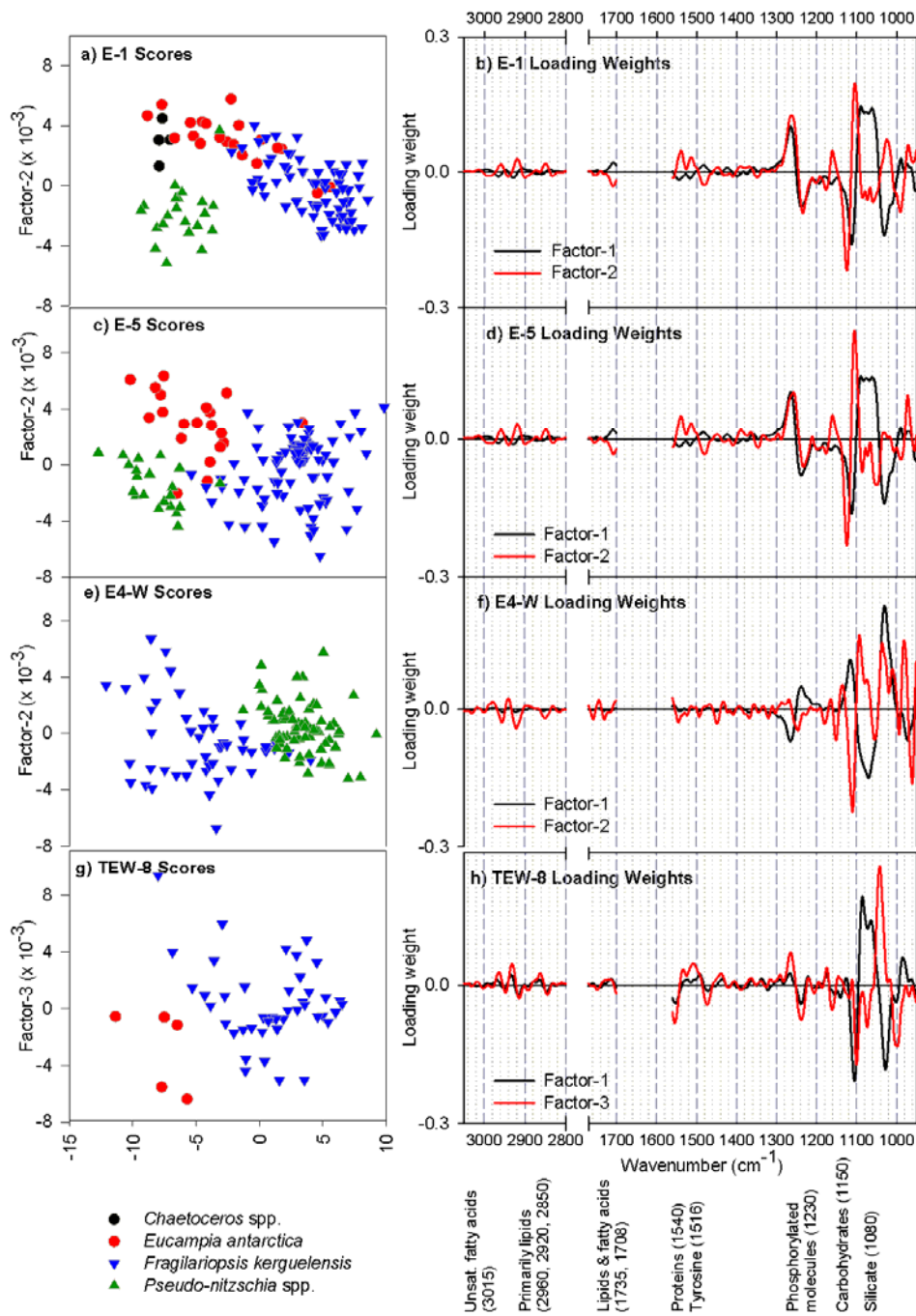
6 crosshairs indicates that the aperture size on the infrared microscope was set to $5 \times 5 \mu\text{m}$.

7



1
 2 Figure 2 Average second derivative cell spectra from four common genera: *Chaetoceros*,
 3 *Eucampia*, *Fragilariopsis* and *Pseudo-nitzschia*. Taxonomic abundance varied between
 4 stations hence there is no data for *Chaetoceros* spp. and *Eucampia antarctica* at station E-4W,

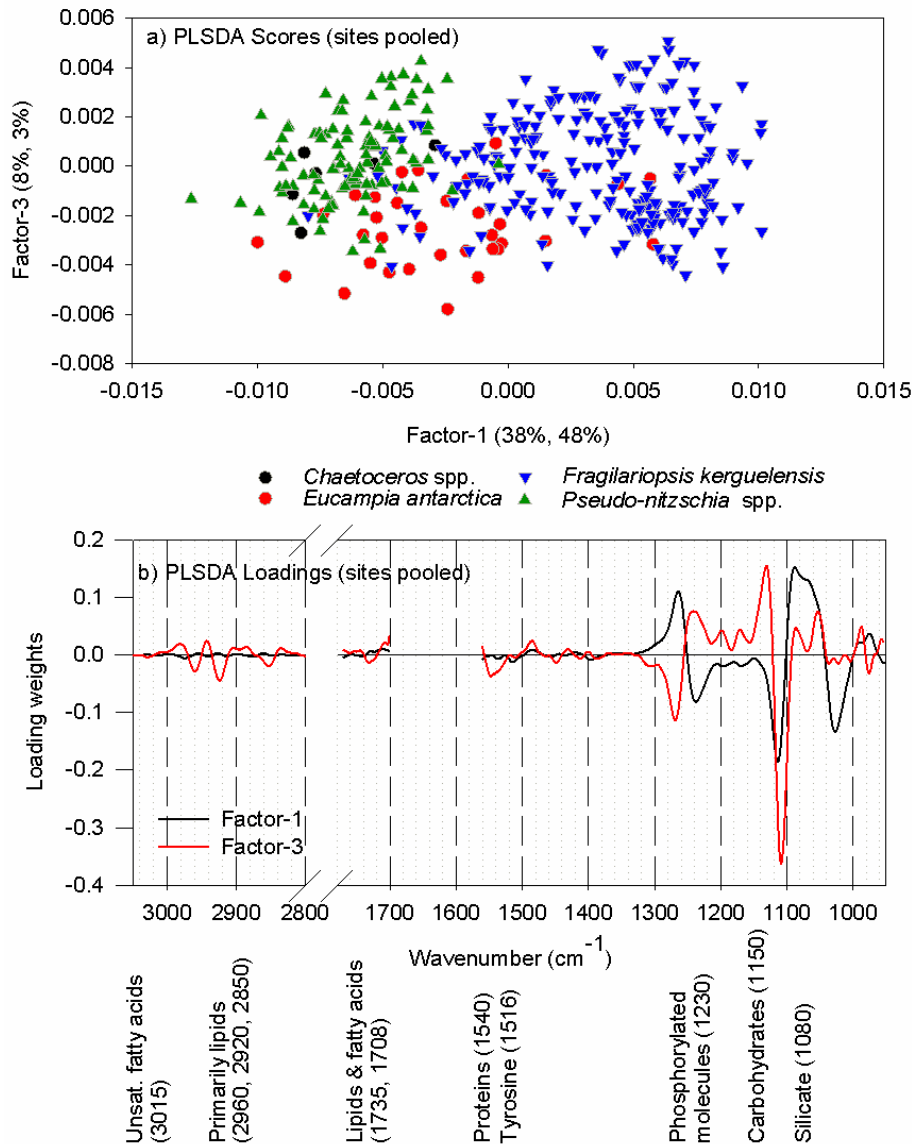
1 or *Chaetoceros* spp. and *Pseudo-nitzschia* spp. at station TEW-8. Panels a-d show four
2 different stations. Peaks show the macromolecular composition of cells which is dominated
3 by phosphorylated molecules (1240 cm^{-1}), carbohydrates ($\sim 1150\text{ cm}^{-1}$) and silicate/silicic acid
4 (1080 cm^{-1}). Peaks found in the spectral region $3050\text{-}2800\text{ cm}^{-1}$, $\sim 1735\text{ cm}^{-1}$ and $\sim 1450\text{ cm}^{-1}$
5 indicate the presence of lipids. Additionally, peaks at 1540 and 1515 cm^{-1} indicate the
6 presence of proteins and the amino acid tyrosine, respectively. Error bars indicate one
7 standard deviation from the mean. Note: the Y-axis has been reversed to ease examination of
8 the negative peaks (which are the relevant peaks after second derivative transformation).
9



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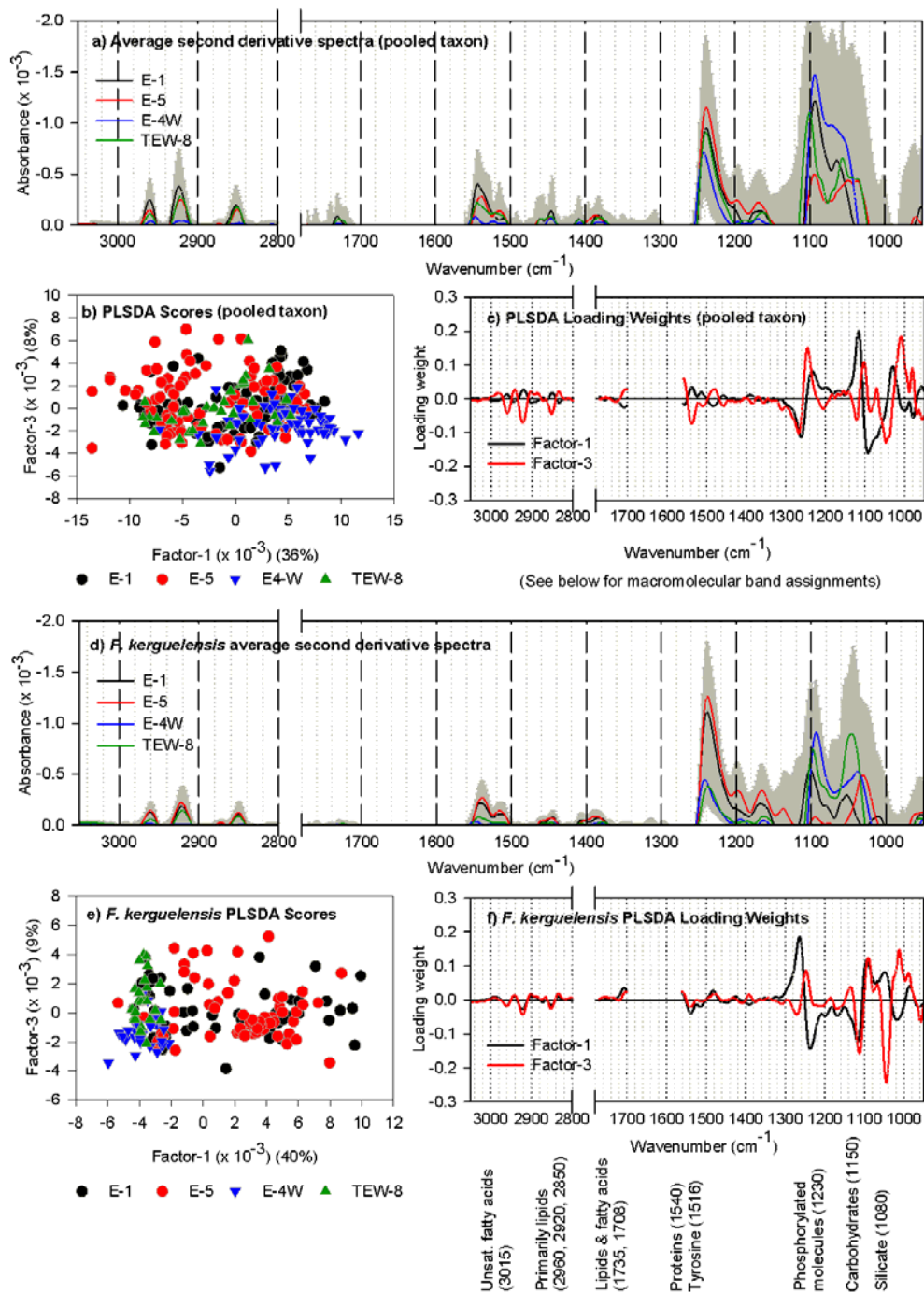
3 Figure 3 Taxonomic Classification by PLSDA results for four common genera: *Chaetoceros*
 4 spp, *Eucampia antarctica*, *Fragilariopsis kerguelensis* and *Pseudo-nitzschia* spp. Panels a-h
 5 show four different stations. Scatter plots on the left hand side shows scores and line plots on
 6 the right hand side show the associated loading weights. The scores plots indicate that the cell
 7 spectra cluster well by taxonomic grouping at each site. The loading weights plots indicate

1 that the source of the variation between cell spectra is largely from the lower wavenumber
2 region ($\sim 1240\text{-}1000\text{ cm}^{-1}$).



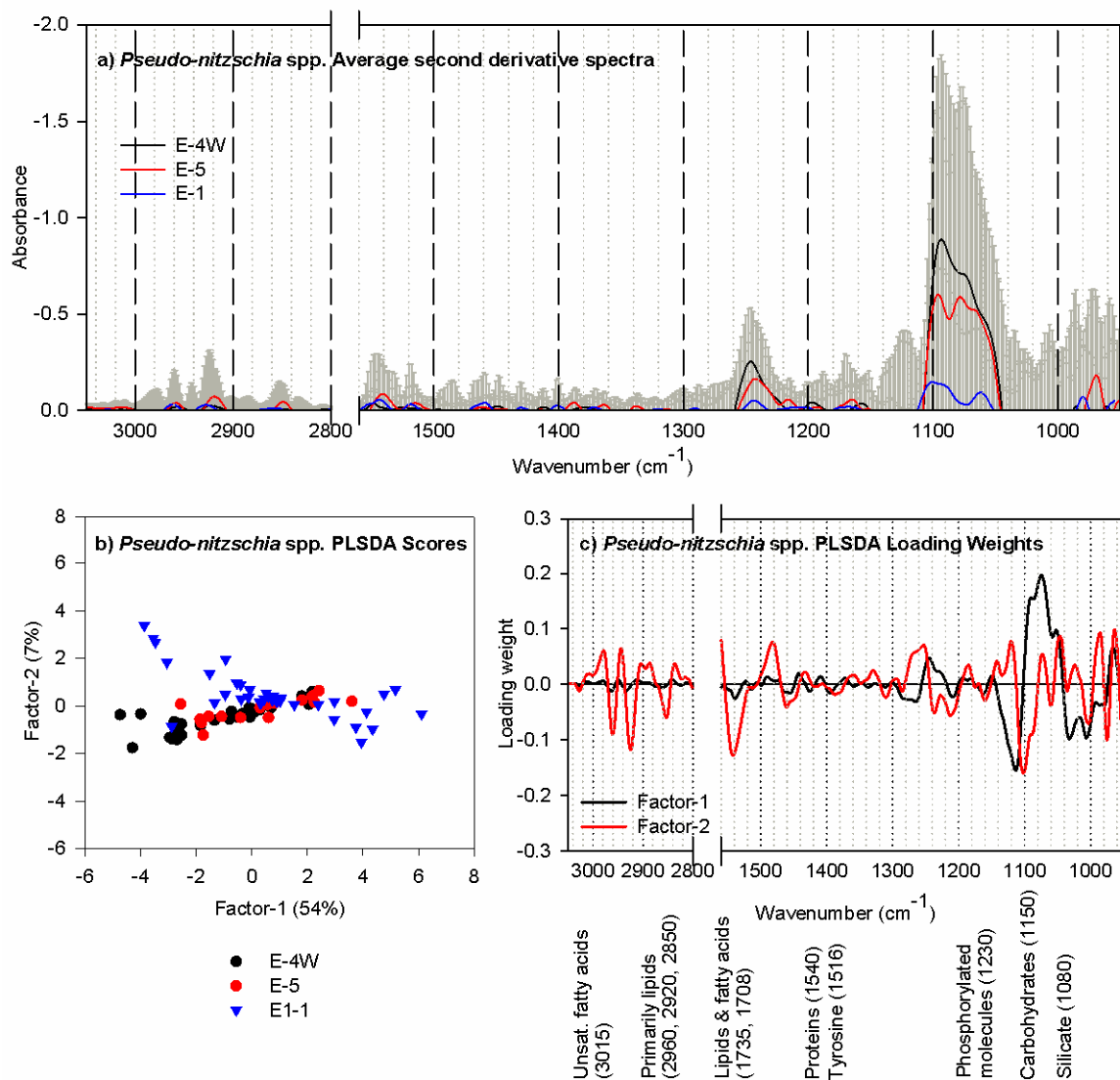
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Figure 4 Classification of cell spectra by PLSDA with data pooled across stations. PLSDA scores plot (a) shows clustering of cell spectra by taxon. PLSDA Loading weights plot (b) shows spectral bands which drive variation between cell spectra - primarily phosphorylated molecules (1230 cm^{-1}), carbohydrates (1150 cm^{-1}) and silicate/silicic acid (1080 cm^{-1}).



1

2 Figure 5 Variations in macromolecular composition for four taxon pooled (a-c) and separately
 3 for *F. kerguelensis* (d-f). Average second derivative spectra (a & d) and loading weights plots
 4 (c & f) indicate the source of the variation between the taxonomic groups, including strong
 5 bands related to phosphorylated molecules, silicate/silicic acid and carbohydrates ($\sim 1240\text{-}$
 6 1000 cm^{-1}). Weaker contributions from lipids and proteins are indicated by the presence of
 7 bands at 1730 cm^{-1} and 1540 cm^{-1} , respectively. Scores plots (b & e) show clustering by
 8 station and differences in intrapopulation variability.



1
 2 Figure 6 Average second derivative spectra (a), PLSDA scores plot (b) and PLSDA loading
 3 weights plot for *Pseudo-nitzschia* spp. at stations E-1, E-5 and E-4W. Although the average
 4 second derivative spectra show differences between treatments, intra and interspecific
 5 variability are both high, resulting in weak clustering by station in the scores plot. The loading
 6 weights plot shows that variability between cell spectra is largely driven by protein levels
 7 ($\sim 1540 \text{ cm}^{-1}$) carbohydrates (1150 cm^{-1}) and silicate/silicic acid (1080 cm^{-1}).