1	Continental-scale impacts of intra-seasonal rainfall variability
2	on simulated ecosystem responses in Africa
3	
4	Kaiyu Guan ^{1,2*} , Stephen P. Good ³ , Kelly K. Caylor ¹ , Hisashi Sato ⁴ , Eric F. Wood ¹ , and
5	Haibin Li ⁵
6	
7	¹ Department of Civil and Environmental Engineering, Princeton University, Princeton,
8	NJ, USA
9	² Department of Environmental & Earth System Science, Stanford University, Stanford,
10	CA 94025, USA
11	³ Department of Geology and Geophysics, University of Utah, Salt Lake City, UT
12	84112, USA
13	⁴ Graduate School of Environmental Studies, Nagoya University, D2-1(510) Furo-cho,
14	Chikusa-ku, Nagoya-city, Aichi 464-8601, Japan
15	⁵ Department of Earth and Planetary Sciences, Rutgers University, Piscataway, NJ
16	08854, USA
17	
18	*Corresponding author:
19	Kaiyu Guan
20	Department of Environmental & Earth System Science,
21	Stanford University, Stanford, CA 94025, USA
22	Phone: 609-647-1368, Fax: 650-498-5099
23	Email: kaiyug@stanford.edu
24	
25	Running title: Ecological Impacts of Intra-Seasonal Rainfall Variability
26	
27	Submitted to Biogeosciences
28	

29 Abstract:

Climate change is expected to change intra-seasonal rainfall variability, arising from 30 shifts in rainfall frequency, intensity and seasonality. These intra-seasonal changes are 31 likely to have important ecological impacts on terrestrial ecosystems. Yet, quantifying 32 these impacts across biomes and large climate gradients is largely missing. This gap 33 hinders our ability to better predict ecosystem services and their responses to climate 34 change, esp. for arid and semi-arid ecosystems. Here we use a synthetic weather 35 36 generator and an independently validated vegetation dynamic model (SEIB-DGVM) to virtually conduct a series of "rainfall manipulation experiments" to study how 37 changes in the intra-seasonal rainfall variability affect continent-scale ecosystem 38 responses across Africa. We generated different rainfall scenarios with fixed total 39 40 annual rainfall but shifts in: i) frequency vs. intensity, ii) rainy season length vs. frequency, iii) intensity vs. rainy season length. These scenarios were fed into 41 SEIB-DGVM to investigate changes in biome distributions and ecosystem 42 productivity. We find a loss of ecosystem productivity with increased rainfall 43 44 frequency and decreased intensity at very low rainfall regimes (<400 mm/year) and low frequency (<0.3 event/day); beyond these very dry regimes, most ecosystems 45 benefit from increasing frequency and decreasing intensity, except in the wet tropics 46 (>1800 mm/year) where radiation limitation prevents further productivity gains. This 47 result reconciles seemingly contradictory findings in previous field studies on rainfall 48 frequency/intensity impacts on ecosystem productivity. We also find that changes in 49 rainy season length can yield more dramatic ecosystem responses compared with 50 similar percentage changes in rainfall frequency or intensity, with the largest impacts 51 52 in semi-arid woodlands. This study demonstrates that not all rainfall regimes are ecologically equivalent, and that intra-seasonal rainfall characteristics play a 53 significant role in influencing ecosystem function and structure through controls on 54 ecohydrological processes. Our results also suggest that shifts in rainfall seasonality 55 have potentially large impacts on terrestrial ecosystems, and these understudied 56 57 impacts should be explicitly examined in future studies of climate impacts.

58 Keywords: rainfall frequency, rainfall intensity, rainfall seasonality, biome

59 distribution, Gross Primary Production (GPP), Africa

60

61 **1. Introduction**

Due to increased water holding capacity in the atmosphere as a consequence of global 62 warming (O'Gorman and Schneider, 2009), rainfall is projected to change in intensity 63 and frequency across much of the world (Easterling et al., 2000; Trenberth et al., 2003; 64 Chou et al., 2013), in conjunction with complex shifts in rainfall seasonality (Feng et 65 66 al., 2013; Seth et al., 2013). These changes possibly indicate a large increase in the frequency of extreme events and variability in rainfall (Easterling et al., 2000; Allan 67 and Soden, 2008), and many of these changes may be accompanied with little changes 68 in total annual rainfall (Knapp et al., 2002; Franz et al., 2010). Meanwhile, regions 69 70 sharing similar mean climate state may have very different intra-seasonal variabilities, and the ecological significance of intra-seasonal climate variabilities has been largely 71 overlooked previously in terrestrial biogeography (Good and Caylor, 2011). For 72 example, ecosystems in West Africa and Southwest Africa (Figure 1) share similar 73 74 total annual rainfall, but West Africa has much more intense rainfall events within a much shorter rainy season, while Southwest Africa has a longer and less intense rainy 75 season. The same amount of total rainfall can come in very different ways, which may 76 cause distinctive ecosystem responses and structure. Understanding the impacts of 77 these regional differences in intra-seasonal rainfall variability and their possible future 78 79 changes on terrestrial ecosystems is critical for maintaining ecosystem services and planning adaptation and mitigation strategies for ecological and social benefits 80 81 (Anderegg et al., 2013).

82

83 [insert Figure 1]

84

The changes in intra-seasonal rainfall characteristics, specifically frequency, intensity and seasonality, have critical significance to ecosystem productivity and structure (Porporato et al., 2001; Weltzin et al., 2003; Williams and Albertson, 2006; Good and Caylor, 2011; Guan et al., 2014), but previous studies on this topic

89 (summarized in Table 1) have their limitations in the following aspects. First, existing 90 relevant field studies mostly focus on a single ecosystem, *i.e.* grasslands, and subsequently only low rainfall regimes have been examined to date (mostly below 91 92 800mm/year, see Table 1). Grasslands have the largest sensitivity to hydrological 93 variabilities among all natural ecosystems (Scanlon et al., 2005; Guan et al., 2012), however inferences drawn from a single ecosystem are limited in scope and difficult 94 to apply to other ecosystems. Second, even within grasslands, different studies have 95 96 seemingly contradictory findings (see Table 1), and there is a lack of a comprehensive 97 framework to resolve these inconsistencies. Specifically, whether increased rainfall intensity with decreased rainfall frequency has positive (Knapp et al., 2002; Fay et al., 98 2003; Robertson et al., 2009; Heisler-White et al., 2009) or negative impacts 99 100 (Heisler-White et al., 2009; Thomey et al., 2011) on grassland productivity is still under debate. Third, previous relevant studies mostly focus on the impacts of rainfall 101 frequency and intensity (Table 1 and Rodr guez-Iturbe and Porporato, 2004), and 102 largely overlook the possible changes in rainfall seasonality. Rainfall frequency and 103 104 intensity mostly describe rainfall characteristics within the rainy season, but do not account for the impacts of interplay between rainy season length and dry season 105 length (Guan et al., 2014). For ecosystems predominately controlled by water 106 availability, rainy season length constrains the temporal niche for active plant 107 108 physiological activities (van Schaik et al., 1993; Scholes and Archer, 1997), and large 109 variations in rainfall seasonality can lead to significant shifts in biome distribution found from paleoclimate pollen records (e.g. Vincens et al., 2007). Given changes in 110 rainfall seasonality have been found in various tropical regions (Feng et al., 2013) and 111 112 have been projected in future climate (Biasutti and Sobel, 2009; Shongwe et al., 2009; 113 Seth et al., 2013), studies investigating their impacts on terrestrial ecosystems are relatively rare, and very few field studies are designed to address this aspect (Table 1, 114 Bates et al., 2006; Svejcar et al., 2003; Chou et al., 2008). Finally, there is an 115 increasing trend of large-scale studies addressing rainfall variability and ecological 116 117 responses using satellite remote sensing (Fang et al., 2005; Zhang et al., 2005; Good and Caylor, 2011; Zhang et al., 2013; Holmgren et al., 2013) and flux network data 118

(Ross et al., 2012). These large-scale studies are able to expand analyses to more types of ecosystems and different climate conditions, and provide valuable observation-based insights. However there are very few theoretical modeling works to corroborate this effort. All these above issues call for a comprehensive modeling study to investigate different aspects of intra-seasonal rainfall variability on terrestrial ecosystems spanning large environmental gradients and various biomes.

In this paper, we aim to study ecological impacts of intra-seasonal rainfall 125 126 variability on terrestrial ecosystems. In particular, we design virtual "rainfall manipulation experiments" to concurrently shift intra-seasonal rainfall characteristics 127 without changing total annual rainfall. We focus on the impacts of these different 128 rainfall scenarios on ecosystem productivity (e.g. Gross Primary Production, GPP) 129 and biome distributions in the African continent, simulated by an independently 130 validated dynamic vegetation model SEIB-DGVM (Sato and Ise, 2012). Previous 131 modeling approaches in this topic (Gerten et al., 2008; H dy et al., 2006) designed 132 various rainfall scenarios by rearranging (halving, doubling or shifting) the rainfall 133 134 amount based on the existing rainfall observations. In contrast to these approaches, we design a weather generator based on a stochastic rainfall model (Rodr guez-Iturbe et 135 al., 1999), which allows us to implement a series of experiments by synthetically 136 varying two of the three rainfall characteristics (rainfall intensity, rainfall frequency, 137 and rainy season length) while fixing total annual rainfall at the current climatology. 138 We choose Africa as our test-bed mostly because the following two reasons: (1) the 139 rainfall regimes and biomes have large gradients varying from extremely dry 140 grasslands to highly humid tropical evergreen forests; (2) Africa is a continent usually 141 142 assumed to have few temperature constrains (Nemani et al., 2003), which will help to 143 isolate the impacts of precipitation from temperature, as one challenge in attributing climatic controls on temperate ecosystems or Mediterranean ecosystems is the 144 superimposed influences from both temperature and precipitation. The overarching 145 science question we will address is: How do African ecosystems respond to possible 146 147 changes in intra-seasonal rainfall variability (i.e. rainfall frequency, intensity and 148 rainy season length)?

150 [insert Table 1]

151

152 **2. Materials and Methods**

153 **2.1 Methodology overview**

Table 1 summarizes previous field-based rainfall manipulation experiments, such as 154 the one that Knapp et al. (2002) did in a grassland that concurrently increasing rainfall 155 156 frequency and decreasing rainfall intensity while fixing total rainfall. The central idea of our study is to design similar rainfall manipulation experiments but test them 157 virtually in the model domain across large environment gradients. We manipulate 158 rainfall changes through a weather generator based on a parsimonious stochastic 159 160 rainfall model (Rodriguez-Iturbe et al., 1984). We model the total amount of rainfall 161 during rainy season as a product of the three intra-seasonal rainfall characteristics for the rainy season, rainfall frequency (λ , event/day), rainfall intensity (α , mm/event), 162 and rainy season length (T_w, days) (More details in section 2.3). Thus it is possible to 163 164 simultaneously perturb two of the rainfall characteristics away from their climatological values while preserving the mean annual precipitation (MAP) 165 unchanged. We then feed these different rainfall scenarios into a well-validated 166 dynamic vegetation model (SEIB-DGVM, section 2.2) to study simulated ecosystem 167 responses. Detailed experiments design is described in section 2.5. 168

169

170 **2.2 SEIB-DGVM model and its performances in Africa**

171 We use a well-validated vegetation dynamic model SEIB-DGVM (Sato et al., 2007) 172 as the tool to study ecosystem responses to different rainfall variabilities. This model follows the traditional "gap model" concept (Shugart, 1998) to explicitly simulate the 173 dynamics of ecosystem structure and function for individual plants at a set of virtual 174 175 vegetation patches, and uses results at these virtual patches as a surrogate to represent 176 large-scale ecosystem states. Thus individual trees are simulated from establishment, 177 competition with other plants, to death, which creates "gaps" for other plants to occupy and develop. SEIB-DGVM includes mechanical-based and empirical-based 178

algorithms for land physical processes, plant physiological processes, and plant 179 dynamic processes. SEIB-DGVM contains algorithms that explicitly involve the 180 mechanisms of plant-related water stress (Figure 2; Sato and Ise, 2012). With similar 181 concepts to previous studies (e.g. Milly, 1992; Porporato et al., 2001), the current 182 SEIB-DGVM implements a continuous "water stress factor" (Equation 2) based on 183 the soil moisture status (Equation 1), scaling from 0 (most stressful) to 1 (with no 184 stress), which then acts to scale the stomatal conductance for plant transpiration and 185 186 carbon assimilation.

187

 $stat_{water} = (S - S_w) / (S_f - S_w)$ (Equation 1)

188 *Water stress factor* = $2*stat_{water} - stat_{water}^2$ (Equation 2)

where S, S_w and S_f refer to the fraction of volumetric soil water content within the 189 190 rooting depth, at the wilting point, and at field capacity, respectively. Figure 2 provides a schematic diagram of "water stress factor" from the SEIB-DGVM, and we 191 also include an approximated linear model that has been widely adopted elsewhere 192 (e.g. Milly, 1992; Porporato et al., 2001). The linear model uses an extra variable S*, 193 194 so called "critical point" of soil moisture: when S>S*, there is no water stress (water stress factor =1); and when $S < S^*$, water stress factor linearly decreases with the 195 decrease of S. Though SEIB-DGVM adopts a quadratic form for "water stress factor", 196 it essentially functions similarly as the linear model, such that S* distinguishes two 197 198 soil moisture regimes that below which there is a large sensitivity of water stress to 199 soil moisture status, and above which there is little water stress. Understanding how this "water stress factor" functions is the key to explain the following results. 200

201

202 [insert Figure 2]

203

SEIB-DGVM allows development of annual and perennial grasses as well as multiple life cycles of grass at one year based on environmental conditions. Multiple life cycles of tree growth per year are possible in theory but rarely happen in simulations (Sato and Ise, 2012). Soil moisture status is the predominant factor to determine LAI of the vegetation layer, which influences maximum daily productivity and leaf phenology.

When LAI exceeds 0 for 7 continuous days, dormant phase of perennial vegetation layer changes into growth phase. While when LAI falls below 0 for 7 continuous days, growth phase switches to dormant phase (Sato et al, 2007). SEIB-DGVM also explicitly simulates light conditions and light competition among different PFTs in the landscape based on its simulated 3D canopy structure and radiative transfer scheme (Sato et al, 2007).

SEIB-DGVM has been tested both globally (Sato et al., 2007) and regionally for 215 216 various ecosystems (Sato et al., 2010; Sato, 2009; Sato and Ise, 2012), whose simulated results compare favorably with ground observations and satellite remote 217 sensing measures for ecosystem composition, structure and function. In particular, 218 SEIB-DGVM has been successfully validated and demonstrated its ability in 219 220 simulating ecosystem structure and function in the African continent (Sato and Ise, 2012). Two plant function types (PFTs) of tropical woody species are simulated by 221 SEIB-DGVM in Africa: tropical evergreen trees and tropical deciduous trees. The 222 distribution of these two woody types in the simulation is largely determined by 223 224 hydro-climatic environments. Tropical evergreen trees only develop in regions where water resources are sufficient all year around, so they can maintain leaves for all 225 226 seasons; otherwise, tropical deciduous trees could survive and dominate the landscape as they can shed leaves if there is no sufficient water supply in its root zone during the 227 dry season (Sato and Ise, 2012). Trees and grasses coexist in a cell, with the floor of a 228 229 virtual forest monopolized by one of the two grass PFTs, C₃ or C₄ grass. The dominating grass type is determined at the end of each year by air temperature, 230 231 precipitation, and CO₂ partial pressure (Sato and Ise, 2012).

SEIB-DGVM was run at 1 ° spatial resolution and at the daily step. It was spun-up for 2000 years driven by the observed climate (1970-2000) repeatedly for the soil carbon pool to reach steady state, followed by 200 years simulation driven by the forcings based on the experiment design in Section 2.4. Because our purpose is to understand the direct impacts of intra-seasonal rainfall variability, we turned off the fire component of SEIB-DGVM to exclude fire-mediated feedbacks in the results. Though we are fully aware of the important role of fire in interacting with rainfall seasonality and their influence on African ecosystems (Bond et al., 2005; Lehmann et
al., 2011; Staver et al., 2012), studying these interactions is beyond the scope of this
work. For the similar reason, we fixed the atmospheric CO₂ concentration at 380
ppmv to exclude possible impacts of CO₂ fertilization effects.

243

244 **2.3 Synthetic weather generator**

The synthetic weather generator used here has two major components: i) to stochastically generate daily rainfall based on a stochastic rainfall model, and ii) to conditionally sample all other environmental variables from historical records to preserve the covariance among climate forcing variables.

The stochastic rainfall model can be expressed as MAP= $\alpha \lambda T_w / f_w$, and we set f_w 249 250 to be 0.9, i.e. the period including 90% of total annual rainfall is defined as "rainy season" (exchangeable with "wet season" hereafter). In particular, we first use 251 Markham (1970)'s approach to find the center of the rainy season, and then extend the 252 same length to both sides of the center until the total rainfall amount in this temporal 253 254 window (i.e. "rainy season") is equal to 90% of the total annual rainfall. Rainy season and dry season have their own rainfall frequency and intensity. Two seasons are 255 separately modeled based on the Market Poisson Process. Here we only focus on and 256 manipulate rainy-season rainfall characteristics in our study, as rainy-season rainfall 257 accounts for almost all the meaningful rainfall inputs for plant use. Thus in the 258 259 following paper, whenever we mention α or λ , we refer to those during the rainy 260 season.

In this rainfall model, any day can be either rainy or not, and a rainy day is 261 262 counted as one rainy event; rainfall events occur as a Poisson Process, with the 263 parameter $1/\lambda$ (unit: days/event) being the mean intervals between rainfall events, and rainfall intensity α for each rainfall event following an exponential distribution, with α 264 being the mean rainfall intensity per event (Rodr guez-Iturbe et al., 1999). The wet 265 266 season length is modeled as a beta distribution bounded from 0 to 1, scaled by 365 267 days. All the necessary parameters to fit for the stochastic rainfall model (including the mean and variance of rainfall frequency, intensity and length of wet and dry 268

269 seasons) were derived from the satellite-gauge-merged rainfall measurement from 270 TRMM 3b42V7 (Huffman et al., 2007) for the period of 1998 to 2012, based on the above assumptions for the rainfall process. Specifically, we applied our definition of 271 "rainy season" to each year of the TRMM rainfall data for per pixel, and calculated 272 the mean and variance of the "rainy season length", using which we fitted the beta 273 distribution for T_w. For rainfall frequency and intensity, we lumped all the wet or dry 274 season rainfall record together to derive their parameters. The two steps of the 275 276 synthetic weather generator are described below:

277 <u>Step 1</u>: Model the daily rainfall following the Marked Poisson process described
278 above. In particular, for a specific year, we first stochastically generate the wet season
279 length by sampling from the beta distribution, and the dry season length is determined
280 accordingly. Then we generate the daily rainfall for wet and dry season respectively.

Step 2: Based on the simulated daily rainfall time series in Step 1, we conditionally 281 sample temperature, wind, and humidity from the Global Meteorological Forcing 282 Dataset (GMFD, Sheffield et al., 2006), as well as cloud fraction and soil temperature 283 284 from the Climate Forecast System Reanalysis (CFSR) from National Centers for Environmental Prediction (NCEP) (Saha et al., 2010). To sample for a specific day, all 285 the historical record within a 21-day time window centered at that specific day makes 286 up a sampling pool. From the sampling pool, we choose the day such that the 287 historical rainfall amount of the chosen day is within (100-30)% to (100+30)% of the 288 simulated daily rainfall amount. We then draw all the environmental variables (except 289 rainfall) on that sampled day to the new climate forcing. If we can find a sample from 290 the pool based on the above rule, this sampling is called "successful". When there is 291 292 more than one suitable sample, we randomly select one. When there is no suitable sample, we randomly select one day within the pool. The mean "successful" rate for 293 294 all the experiments and ensembles across Africa is 83%.

To test the validity of the synthetic weather generator, we ran SEIB-DGVM using the historical climate record ($S_{climatology}$) and the synthetic forcing ($S_{control}$), with the latter generated using the weather generator based on the rainfall characteristics derived from the former. Figure S1 shows that the SEIB-DGVM simulations driven by these two different forcings generate similar biome distributions with a Cohen's Kappa coefficient of 0.78 (Cohen, 1960), and similar GPP patterns in Africa, with the linear fit of annual GPP as: $GPP(S_{control})= 1.03 \times GPP(S_{climatology})+0.215$ (R²=0.89, P<0.0001). Both biome and GPP patterns are consistent with observations (Sato and Ise, 2012). These results provide confidence in using the synthetic weather generator and SEIB-DGVM to conduct the further study.

305

306 2.4 Experiment design

307 Three experiments are designed as follows:

308 **Exp 1** (Perturbation of rainfall frequency and intensity, termed as $S_{\lambda-\alpha}$ hereafter) 309 Simulations forced by the synthetic forcings with varying λ and α simultaneously for 310 wet season (20% increases of λ and corresponding decreases of α to make MAP 311 unchanged; 20% decreases of λ and corresponding increases of α to make MAP 312 unchanged; no change for dry season rainfall characteristics), while fixing T_w at the 313 current climatology;

314 **Exp 2** (Perturbation of rainfall frequency and rainy season length, termed as $S_{Tw-\lambda}$) 315 Simulations forced by the synthetic forcing with varying T_w and λ simultaneously for 316 wet season (20% increases of T_w and corresponding decreases of λ to make MAP 317 unchanged; 20% decreases of T_w and corresponding increases of λ to make MAP 318 unchanged; no change for dry season characteristics), while fixing α at the current 319 climatology;

320 **Exp 3** (Perturbation of rainy season length and intensity, termed as $S_{Tw-\alpha}$) Simulations 321 forced by the synthetic forcing with varying T_w and α simultaneously for wet season 322 (20% increases of T_w and corresponding decreases of α to make MAP unchanged; 323 20% decreases of T_w and corresponding increases of α to make MAP unchanged; no 324 change for dry season characteristics), while fixing λ at the current climatology.

Because λ and T_w have bounded ranges ($\lambda \sim [0, 1]$ and T_w $\sim [0, 365]$), if these two variables after perturbation exceeds the range, we would force their value to be the lower or upper bound, and rearrange the other corresponding rainfall characteristic to ensure MAP unchanged. Each rainfall scenario has six ensemble realizations of 329 synthetic climate forcings to account for the stochasticity of our synthetic weather330 generator.

331

332 **3. Results**

We present the differences in simulated biome distributions of the three experiments 333 (i.e. $S_{\lambda-\alpha}$, $S_{Tw-\lambda}$, $S_{Tw-\alpha}$) in Figure 3, and their spatial patterns are shown in Figure S2 334 and S3. Differences in simulated annually averaged soil moisture and GPP for each 335 336 experiment are shown in Figure 4 and 6. These differences represent the simulated ecosystem sensitivity to the slight perturbation of intra-seasonal rainfall characteristics 337 deviating from the current climatology. To further explore how MAP and these 338 rainfall characteristics affect the simulated GPP, Figure 5 shows the difference of 339 340 simulated GPP as a function of MAP and a perturbed rainfall characteristic in the corresponding experiment. We term Figure 5 as "GPP sensitivity space", and "positive 341 GPP sensitivity" means that GPP changes at the same direction with MAP or rainfall 342 characteristics, and vise versa for "negative GPP response". These "GPP sensitivity 343 spaces" are generated based on the aggregated mean GPP in each bin of the rainfall 344 properties. The bin size for MAP, rainfall frequency, rainfall intensity and rainy 345 season length are 100 mm/year, 0.05 event/day, 1 mm/event and 15 days respectively. 346 We also provide the standard error (SE) of the "GPP sensitivity spaces" in each bin to 347 assess their uncertainties, with higher SE meaning larger uncertainties. $SE = \frac{\sigma}{\sqrt{n}}$, 348 where σ and n refer to the standard deviation of GPP values and the sample size in 349 each bin respectively. A series of illustrations in Figure 6 were generalized from the 350 simulated time series, and are used to explain the underlying mechanisms. 351

352

353 [insert Figure 3; Figure 4; Figure 5]

354

355 **3.1 Ecosystem sensitivity to rainfall frequency and intensity (Experiment** $S_{\lambda-\alpha}$)

356 Experiment $S_{\lambda-\alpha}$ assesses ecosystem responses after increasing rainfall frequency λ 357 and decreasing rainfall intensity α ($\lambda\uparrow$, $\alpha\downarrow$) under a fixed total annual rainfall. The 358 simulated biome distributions show that a small portion of regions are converted from woodland to grassland at low rainfall regime (~500 mm/year), corresponding to a 359 decrease of GPP in these regions. In the high rainfall regime (around 1500 mm/year, 360 Figure 3a), increasing rainfall frequency significantly converts tropical evergreen 361 forests into woodlands. In the intermediate rainfall regime (600-1000 mm/year), there 362 is little change in biome distributions. We further check the spatial patterns of 363 differences in annual mean soil moisture and annual total GPP (Figure 4a and 5b). We 364 365 find that GPP increases with increasing rainfall frequency across most of the Africa continent, except in the very dry end (in the southern and eastern Africa) and the very 366 wet regions (in central Africa and northeastern Madagascar). This GPP pattern mostly 367 mirrors the soil moisture change in woodlands and grasslands (Figure 4b), except the 368 369 wet tropics, where the changes of soil moisture and GPP are reversed.

Figure 5a shows the GPP sensitivity as a function of MAP and the climatological rainfall frequency, and we find three major patterns:

372 **Pattern 1.1**: Negative GPP sensitivity shows up in the very dry end of MAP regime

373 (MAP<400 mm/year) and with relatively low rainfall frequency (λ <0.3 event/day), i.e.

GPP decreases with more frequent but less intense rainfall in this low rainfall range.

375 **Pattern 1.2**: Across most rainfall ranges (MAP from 400 mm/year to 1600 mm/year),

increasing frequency of rainfall (and simultaneously decreasing rainfall intensity) lead

to positive GPP sensitivity. This positive GPP sensitivity peaks at the low range of
rainfall frequency (~0.35 event/day) and around the MAP of 1000 mm/year.

379 Pattern 1.3: At the high range of MAP (>1800 mm/year) with low rainfall frequency
380 (~0.4 event/day), GPP decreases with increased rainfall frequency.

The relationship of GPP sensitivity to MAP and rainfall intensity (Fig. 6c) has no clear patterns as previous ones, mostly because the GPP sensitivity space (Fig. A4c) contains large uncertainties (Fig. A4d, shown as large variance in the data). Thus we will not over-interpret the pattern in Fig. 6c.

Pattern 1.1 and Pattern 1.2 can be explained by the illustrative time series in Figure 6a and 6b, respectively. Figure 6a shows that when rainfall events are small and very infrequent, increasing rainfall frequency while decreasing intensity would 388 cause more frequent downcrossings of soil moisture at the wilting point S_{w} , which subsequently would reduce the effective time of carbon assimilation and plant growth 389 390 (i.e. when soil moisture is below S_w, plants would be in the extreme water stress and slow down or stop physiological activity). This case only happens where MAP is very 391 low with low frequency and the biome is predominantly grasslands, which explains 392 why negative changes in soil moisture and GPP in Figure 4a and 4b are distributed in 393 those regions. This result also corroborates the field findings of the negative impacts 394 395 from increasing rainfall frequency in Heisler-White et al.(2009) and Thomey et al. (2011) at low rainfall regimes. 396

Figure 6b provides the hydrological mechanism for the positive sensitivity of soil 397 moisture and GPP with increasing rainfall frequency over the most African continent 398 399 (Pattern 1.2). Once individual rainfall event has enough intensity and rainfall frequency is enough, downcrossings of S_w would not easily happen. Instead, the 400 accumulative rainy-season soil moisture becomes the dominant control of plant 401 growth, and increasing rainfall frequency has led to a significant increase of soil 402 403 moisture for plant water use (Figure 4a and 4b). This conclusion drawn from our numerical modeling is consistent with previous findings in Rodr guez-Iturbe and 404 Porporato (2004) based on stochastic modeling. We also find that this positive GPP 405 sensitivity reaches to its maximum in the intermediate total rainfall (~1000 mm/year) 406 407 and relatively low rainfall frequency (~0.35 event/day), indicating that in these regimes increasing rainfall frequency could most effectively increase soil moisture for 408 plant water use and create marginal benefits of GPP to the increased rainfall frequency. 409 Further increase in large total annual rainfall or rainfall frequency would reduce the 410 411 sensitivity to water stress with fewer downcrossings of soil moisture critical point S*; 412 and once the soil moisture is always ample (i.e. above S*), the changes in either MAP 413 or rainfall frequency would not alter plant water stress.

414 Pattern 1.3 also shows a negative GPP sensitivity, but its mechanism is different 415 from the previous case of Pattern 1.1. In regions with total rainfall usually more than 416 1800 mm/year, SEIB-simulated tropical forests exhibit radiation-limitation rather than 417 water-limitation during wet season. Increase of rainfall frequency at daily scale would enhance cloud fraction and suppress plant productivity in these regions (Graham et al.,
2003). Thus even though soil moisture still increases (Figure 4a), GPP decreases with
increased rainfall frequency. This mechanism also explains why tropical evergreen
forests shrink its area with increased rainfall frequency (Figure 3a).

It is worth noting that the magnitude of GPP changes due to rainfall frequency and intensity is relatively small in most of the woodlands, but can be relatively large for drylands with MAP below 600 mm/year (up to 10-20% of annual GPP). This pattern also explains why only modest changes in biome distribution happen between woodlands and grasslands in $S_{\lambda-\alpha}$ (Figure 3a).

427

428 [insert Figure 6]

429

3.2 Ecosystem sensitivity to rainfall seasonality and frequency (Experiment $S_{Tw-\lambda}$) 430 Experiment $S_{Tw-\lambda}$ assesses ecosystem responses after increasing rainy season length 431 and decreasing rainfall frequency (i.e. $T_w\uparrow$, $\lambda\downarrow$) under a fixed total annual rainfall. The 432 433 simulated biome distribution shows a gain of area in tropical evergreen forests converted from woodlands. The northern Africa has an area increase of woodlands 434 converted from grasslands, and African Horn region has a small expansion of 435 grasslands into woodlands (Figure 3b). Figure 4c and 4d show that increasing rainy 436 437 season length T_w and decreasing frequency λ would significantly increase annual mean soil moisture and GPP (up to 30%) in most woodland area. Meanwhile 438 decreased soil moisture and GPP are found in the southern and eastern Africa. 439 Tropical evergreen forests show little response. We further explore the GPP sensitivity 440 441 space in Figure 5e and 5g, and find the following robust patterns (based on small 442 standard errors shown in Figure 5f and 5h):

443 **Pattern 2.1**: The negative GPP sensitivity tends to happen where MAP is mostly 444 below 1000 mm/year with long rainy season length (T_w >150 days) and low rainfall 445 frequency (λ <0.35 event/day).

446 **Pattern 2.2**: When MAP and rainfall frequency are large enough (MAP>1000 447 mm/year and λ >0.4 event/day), decreasing λ while increasing T_w would significantly

increase GPP. The maximum positive GPP sensitivity happens at the intermediate MAP range (1100-1500 mm/year) and the high rainfall frequency (λ ~0.7 event/day).

450 **Pattern 2.3**: There exists an "optimal rainy season length" for relative changes in 451 ecosystem productivity across large MAP ranges (the white area between the red and 452 blue space in Figure 5e). For the same MAP, any deviation of T_w from the "optimal 453 rainy season length" would reduce GPP. This "optimal rainy season length" follows 454 an increasing trend with MAP until 1400 mm/year.

455 Figure 6c explains the hydrological mechanism for the negative GPP sensitivity in Pattern 2.1. In the situation with low MAP and infrequent rainfall events, 456 decreasing rainfall frequency and expanding rainy season length (i.e. $T_w\uparrow$, $\lambda\downarrow$) would 457 lead to longer intervals between rainfall events and possibly longer excursions below 458 459 S_w, which would disrupt continuous plant growth and have detrimental effects on 460 ecosystem productivity. It is worth noting that long rainy season in dryland (Figure 5e) is usually accompanied with low rainfall frequency (Figure 5g). The southern African 461 drylands (south of 15 S) typically fall in this category, and these regions thus have 462 463 negative GPP sensitivity (Figure 4c and 4d), accompanied by a small biome conversion from woodlands to grasslands (Figure 3b). 464

Figure 6d explains the hydrological mechanisms for the positive GPP sensitivity 465 in Pattern 2.2. When rainfall is ample enough to maintain little or no water stress 466 during rainy season, increasing the interval of rainfall events may introduce little 467 additional water stress but can significantly extend the growing season. This situation 468 mostly happens in woodlands, where limited water stress exists during rainy season, 469 and dry season length is the major constraint for plant growth. Thus the increase of 470 471 rainy season length extends the temporal niche for plant growth, and leads to a 472 significant woodland expansion to grasslands as well as an expansion of tropical 473 evergreen forests to woodlands (Figure 3b).

The little GPP sensitivity in tropical evergreen forest regions is mostly attributed to the long rainy season length in this ecosystem. Thus further increasing T_w may reach to its saturation (365 days) and has little impact to ecosystem productivity. This also explains why the magnitude of GPP sensitivity is much smaller at high MAP 478 range than at the intermediate MAP range.

The finding of "optimal rainy season length" across different rainfall regimes (Figure 5e) is consistent with our previous empirical finding about the similar pattern of "optimal rainy season length" for tree fractional cover in Africa derived based on a satellite remote sensing product (Guan et al., 2014). The existence of "optimal rainy season length" fully demonstrates the importance to explicitly consider the non-linear impacts of rainy season length on ecosystem productivity under climate change, which has been largely overlooked before.

486

487 **3.3 Ecosystem sensitivity to rainfall seasonality and intensity** $(S_{Tw-\alpha})$

Results of Experiment $S_{Tw-\alpha}$ have many similarities with those of $S_{Tw-\lambda}$, including the similar changes in biome distributions (Figure 3), soil moisture and GPP patterns (Figure 4e and 4f). We further find that the GPP sensitivity space with MAP and rainy season length for $S_{Tw-\alpha}$ (Figure 5i) is also similar with that for $S_{Tw-\lambda}$ (Figure 5e). One new finding is that rainfall intensity has little impact on GPP, as the contour lines in Figure 5k are mostly parallel with y-axis (i.e. rainfall intensity).

Figure 6e and 6f explain the governing hydrological mechanisms for the patterns 494 of $S_{Tw-\alpha}$, which also have many similarities with $S_{Tw-\lambda}$. For the negative case (Figure 495 6e), decreasing rainfall intensity and increasing rainy season length in the very low 496 MAP regime may lead to more downcrossings of S_w and interrupt continuous plant 497 growth. The positive case (Figure 6e) is similar as that in Figure 6d, i.e. the 498 repartitioning of excessive wet-season rainfall to the dry season for an extended 499 growing period would significantly benefit plant growth and possible increase tree 500 501 fraction cover.

502

503 4. Discussion

In this paper we provide a new modeling approach to systematically interpret the ecological impacts from changes in intra-seasonal rainfall characteristics (i.e. rainfall frequency, rainfall intensity and rainy season length) across biomes and climate gradients in the African continent.

509 **4.1 Limitation of the methodology**

Though our modeling framework is able to characterize the diverse ecosystem 510 responses to the shifts in different rainfall characteristics, it nevertheless has its 511 limitations. The current rainfall model only deals with the case of single rainy season 512 per year, and approximates the case of double rainy seasons per year to be the single 513 514 rainy season case. This assumption may induce unrealistic synthetic rainfall patterns 515 in the equatorial dryland regions, in particular the Horn of Africa. Thus the simulated sensitivity of these regions may be less reliable. We also assume that rainfall 516 frequency and intensity are homogenous throughout wet seasons (or dry seasons), but 517 in reality they have seasonal variations. We only consider rainy season length for 518 519 rainfall seasonality, and neglect the possible temporal phase change; in reality, rainfall seasonality change usually has length and phase shifts in concert. These 520 rainfall-model-related limitations can be possibly overcame by simulating smaller 521 intervals of rainfall processes (e.g. each month has their own α and λ) rather than 522 523 simulating the whole wet or dry season using one fixed set of α and λ . Besides, only using one ecosystem model also means that the simulated ecosystem sensitivity can 524 be model-specific. Though magnitudes or thresholds for the corresponding patterns 525 may vary depending on different models, we argue that the qualitative results for the 526 527 GPP sensitivity patterns (e.g. Figure 4 and Figure 5) should hold as the necessary ecohydrological processes have been incorporated in SEIB-DGVM. We also 528 recognize that to exclude fire impacts in the current simulation may bring some 529 limitation for this study, as evidence shows that many savanna regions can be bistable 530 531 due to fire effects (Staver et al 2011; Hirota et al 2011; Higgins and Scheiter 2012; 532 also see for a possible rebuttal in Hanan et al, 2013). Changes in rainfall regimes not only have direct effects on vegetation productivity, but can also indirectly affect 533 ecosystems through its interactions with fire, with rapid biome shifts being a possible 534 consequence. These feedbacks can be important in situations when the changes in 535 536 growing season length are related to fuel loads, fuel moisture dynamics and hence fire intensity (Lehmann et al., 2011). Quantifying these fire-rainfall feedbacks will be the 537

538 important future direction to pursue.

539

540 4.2 Clarifying the impacts of rainfall frequency and intensity on ecosystem 541 productivity

542 In this modeling study, we provide a plausible answer to possibly resolve the previous debate about whether increasing rainfall intensity (or equivalently decreasing rainfall 543 frequency, i.e. $\lambda \downarrow$, $\alpha \uparrow$) has positive or negative impacts on above-ground primary 544 545 productivity under a fixed annual rainfall total. We identify that negative GPP sensitivity with increased rainfall frequency is possible at very low MAP range (~ 400 546 mm/year) with relatively low rainfall frequency (<0.35 event/day) (Figure 5a), due to 547 the increased downcrossings of soil moisture wilting point, which restricts plant 548 growth (Figure 6a). This derived MAP threshold (~400 mm/year) is consistent with 549 our meta-analysis based on the previous field studies (Table 1), which shows a 550 threshold of MAP at 340 mm/year separates positive and negative impacts of more 551 intense rainfall on aboveground net primary production (ANPP). Our findings are also 552 553 consistent with another study about increased tree encroachments with increased rainfall intensity in low rainfall regime (<544mm/year, Kulmatiski and Beard, 2013), 554 which essentially follows the same mechanism as identified in Figure 6a. 555

In addition, we thoroughly investigated the ecosystem responses across a wide 556 range of annual rainfall in Africa. We find that beyond the very low rainfall range 557 (below 400 mm/year), most grasslands and woodlands would benefit from increasing 558 rainfall frequency, which also corroborate the previous large-scale findings about the 559 positive effects of increased rainfall frequency (and decreased rainfall intensity) for 560 561 tree fractions across the African continent (Good and Caylor, 2011). The only exception happens at the very wet end of MAP (~1800mm/year) where cloud-induced 562 radiation-limitation may suppress ecosystem productivity with increased rainfall 563 frequency. We also find that changes in rainfall frequency and intensity mostly affect 564 grassland-dominated savannas (changes of GPP up to 20%), and the corresponding 565 566 effects are much smaller in woodlands and have little impact on woodland distribution. Though this work is only based on a single model, it provides a primary assessment 567

for understanding of interactive changes between λ and α in ecosystem functioning, and expands the analysis to a wide range of annual rainfall conditions compared with previous studies (e.g. Porporato et al., 2004).

571

572 **4.3 Ecological importance of rainy season length**

573 The results involving rainy season length (i.e. $S_{Tw-\lambda}$ and $S_{Tw-\alpha}$) provide evidence for the ecological importance of rainfall seasonality. The magnitudes of changes in soil 574 moisture, GPP and biome distribution in $S_{Tw\text{-}\lambda}$ and $S_{Tw\text{-}\alpha}$ are much larger than those of 575 $S_{\lambda-\alpha}$, with almost one order of magnitude difference. These disproportional impacts of 576 T_w indicate that slight changes in rainy season length could modify biome distribution 577 578 and ecosystem function more dramatically compared with the same percentage 579 changes in rainfall frequency and intensity. We also notice that $S_{Tw-\lambda}$ and $S_{Tw-\alpha}$ have similar results. This is because that both λ and α describe rainfall characteristics 580 within wet season, while Tw describes rainfall characteristics of both dry season and 581 582 wet season. Cautions are required that our simplified treatment rainy season length 583 may overestimate its importance, and we did not consider the rainfall phase information here. 584

Given the importance of rainy season length, its ecological impacts under climate 585 change are largely understudied, though substantial shifts in rainfall seasonality have 586 been projected in both Sahel and South Africa (Biasutti and Sobel, 2009; Shongwe et 587 al., 2009; Seth et al., 2013). Here we only address the rainfall seasonality in terms of 588 its length, and future changes in rainfall seasonality may modify their phase and 589 magnitude in concert. The climate community has focused on the increase of extreme 590 rainfall events (Field et al., 2012), which could be captured by the changes in λ or α 591 towards heavier tails in their distribution. However, explicit and systematic 592 assessments and projection on rainfall seasonality changes (including both phase and 593 magnitude) are still limited even in the latest Intergovernmental Panel on Climate 594 Change (IPCC) synthesis reports (Field et al., 2012; Stocker et al., 2013). More 595 596 detailed studies related to these changes and their ecological implications are required for future hydroclimate-ecosystem research. 597

599 **4.4 Not all rainfall regimes are ecologically equivalent**

As Figure 1 gives a convincing example that the same total annual rainfall may arrive 600 in a very different way, our results further demonstrate that ecosystems respond 601 602 differently to the changes in these intra-seasonal rainfall variability. For example, with similar MAP, drylands in West Africa and Southwest Africa show reversed responses 603 to the same changes in intra-seasonal rainfall variability. As shown in the experiments 604 605 of $S_{Tw-\lambda}$ and $S_{Tw-\alpha}$, increasing T_w while decreasing λ or α generates slightly positive soil moisture and GPP sensitivity in West Africa (Figure 4c and 4d), but would cause 606 relatively large GPP decrease in Southwest Africa. The prior hydroclimate conditions 607 of these two regions can explain these differences: West Africa has much shorter rainy 608 609 season with more intense rainfall events; in contrast, Southwest Africa has a long rainy season but many small and sporadic rainfall events. As a result, under a fixed 610 annual rainfall total, slightly increasing rainy season and meanwhile decreasing 611 rainfall intensity would benefit plant growth in West Africa, but the same change 612 613 would lengthen dry spells in Southwest Africa and bring negative effects to the ecosystem productivity. We further deduce that the rainfall use efficiency (RUE, 614 defined as the ratio of plant net primary production to total rainfall amount) in these 615 two drylands could be different: West Africa may have lower RUE, and the intense 616 rainfall could lead to more infiltration-excess runoff, and thus less water would be 617 used by plants; while Southwest Africa can have higher RUE, because its sporadic 618 and feeble rainfall events would favor grass to fully take the advantage of the 619 ephemerally existed water resources. This conclusion is partly supported by Martiny 620 et al. (2007) based on satellite remote sensing. We further hypothesize that landscape 621 622 geomorphology in these two drylands may be different and therefore reflect distinctive rainfall characteristics. More bare soil may exist in West Africa grasslands 623 due to intense-rainfall-induced erosion, while Southwest Africa may have more grass 624 fraction and less bare soil fraction. Testing these interesting hypotheses is beyond the 625 626 scope of this paper, but is worthy the further exploration.

629 Acknowledgements:

- 630 K. Guan and E. F. Wood acknowledge the financial supports from the NASA NESSF
- 631 fellowship. S.P. Good and K. K. Caylor acknowledge the financial supports from the
- 632 National Science Foundation through the Grant EAR-0847368. The authors thank
- 633 Ignacio Rodr guez-Iturbe for his valuable inputs and discussion.

634

636	References:
637	Anderegg, L. D. L.; Anderegg, W. R. L. & Berry, J. A. (2013), 'Not all droughts are
638	created equal: translating meteorological drought into woody plant mortality', Tree
639	<i>Physiology</i> 33 , 701-712.
640	
641	Bates, J.; Svejcar, T.; Miller, R. & Angell, R. (2006), 'The effects of precipitation
642	timing on sagebrush steppe vegetation', Journal of Arid Environments 64, 670-697.
643	
644	Biasutti, M. & Sobel, A. H. (2009), 'Delayed Sahel rainfall and global seasonal cycle in
645	a warmer climate', Geophysical Research Letters 36, L23707.
646	
647	Bond, W. J.; Woodward, F. I. & Midgley, G. F. (2005), 'The Global Distribution of
648	Ecosystems in a World without Fire', New Phytologist 165(2), 525-537.
649	
650	Easterling, D. R.; Meehl, G. A.; Parmesan, C.; Changnon, S. A.; Karl, T. R. & Mearns,
651	L. O. (2000), 'Climate Extremes: Observations, Modeling, and Impacts', Science 289,
652	2068-2074.
653	
654	Fang, J.; Piao, S.; Zhou, L.; He, J.; Wei, F.; Myneni, R. B.; Tucker, C. J. & Tan, K.
655	(2005), 'Precipitation patterns alter growth of temperate vegetation', <i>Geophysical</i>
656	Research Letters 32, L21411.
657	
658	Fay, P. A.; Carlisle, J. D.; Knapp, A. K.; Blair, J. M. & Collins, S. L. (2003),
659	'Productivity responses to altered rainfall patterns in a C4-dominated grassland',
660	<i>Oecologia</i> 137 , 245-251.
661	
662	Feng, X.; Porporato, A. & Rodriguez-Iturbe, I. (2013), 'Changes in rainfall seasonality
663	in the tropics', <i>Nature Climate Change</i> .
664	
665	Field, C.; Barros, V.; Stocker, T.; Qin, D.; Dokken, D.; Ebi, K.; Mastrandrea, M.; Mach,
666	K.; Plattner, GK.; Allen, S.; Tignor, M. & Midgley, P., ed. (2012), IPCC, 2012:
667	Managing the Risks of Extreme Events and Disasters to Advance Climate Change
668	Adaptation. A Special Report of Working Groups I and II of the Intergovernmental
669	Panel on Climate Change, Cambridge University Press, Cambridge, UK, and New
670	York, NY, USA.
671	
672	Franz, T. E.; Caylor, K. K.; Nordbotten, J. M.; Rodr guez-Iturbe, I. & Celia, M. A.
673	(2010), 'An ecohydrological approach to predicting regional woody species distribution
674	patterns in dryland ecosystems', Advances in Water Resources 33(2), 215-230.
675	
676	Gerten, D.; Luo, Y.; Maire, G. L.; Parton, W. J.; Keougn, C.; Weng, E.; Beier, C.; Ciais,
677	P.; Cramer, W.; Dukes, J. S.; Hanson, P. J.; Knapp, A. A. K.; Linder, S.; Nepstad, D.;
678	Rustad, L. & Sowerby, A. (2008), 'Modelled effects of precipitation on ecosystem
679	carbon and water dynamics in different climatic zones', Global Change Biology 14,

- Good, S. P. & Caylor, K. K. (2011), 'Climatological determinants of woody cover in
 Africa', *Proceedings of the National Academy of Sciences of United States of America* **108(12)**, 4902-4907.
- 685

- Graham, E. A.; Mulkey, S. S.; Kitajima, K.; Phillips, N. G. & Wright, S. J. (2003),
- 'Cloud cover limits net CO2 uptake and growth of a rainforest tree during tropical
 rainy seasons', *Proceedings of the National Academy of Sciences of the United States*of America 100(2), 572-576.
- 690
- Guan, K.; Wood, E. F. & Caylor, K. K. (2012), 'Multi-sensor derivation of regional
 vegetation fractional cover in Africa', *Remote Sensing of Environment* 124, 653-665.
- Guan, K.; Wood, E. F.; Medvigy, D.; Pan, M.; Caylor, K. K.; Sheffield, J.; Kimball, J.;
- Ku, X. & Jones, M. O. (2014), 'Terrestrial hydrological controls on vegetation
 phenology of African savannas and woodlands', *Journal of Geophysical Research*.
- 697 phenology of African savannas and woodrands, *Journal of Geophysical Research*.
- Hanan, N. P.; Tredennick, A. T.; Prihodko, L.; Bucini, G. & Dohn, J. (2013), 'Analysis
 of stable states in global savannas: is the CART pulling the horse?', *Global Ecology and Biogeography* 23(3), 259-263.
- 701
- Harper, C. W.; Blair, J. M.; Fay, P. A.; Knapp, A. K. & Carlisle, J. D. (2005), 'Increased
 rainfall variability and reduced rainfall amount decreases soil CO2 flux in a grassland
 ecosystem', *Global Change Biology* 11, 322-334.
- 705
- Heisler-White, J. L.; Blair, J. M.; Kelly, E. F.; Harmoney, K. & Knapp, A. K. (2009),
 'Contingent productivity responses to more extreme rainfall regimes across a grassland
 biome', *Global Change Biology* 15(12), 2894-2904.
- 709
- 710 H dy, C.; Bremond, L.; Alleaume, S.; Smith, B.; Sykes, M. T. & Guiot, J. (2006),
- 'Sensitivity of African biomes to changes in the precipitation regime', *Global Ecology and Biogeography* 15, 258-270.
- 713
- Hirota, M.; Holmgren, M.; Nes, E. H. V. & Scheffer, M. (2011), 'Global Resilience of
 Tropical Forest and Savanna to Critical Transitions', *Science* 334, 232-235.
- 716
- Higgins, S. I. & Scheiter, S. (2012), 'Atmospheric CO2 forces abrupt vegetation shifts
 locally, but not globally', *Nature* 488, 209-212.
- 719
- Holmgren, M.; Hirota, M.; van Nes, E. H. & Scheffer, M. (2013), 'Effects of
- 721 interannual climate variability on tropical tree cover', *Nature Climate Change*.
- 722
- Huffman, G. J.; Bolvin, D. T.; Nelkin, E. J.; Wolff, D. B.; Adler, R. F.; Bowman, K. P.

724 725	& Stocker, E. F. (2007), 'The TRMM Multisatellite Precipitation Analysis (TMPA):
725	Low al of Hudrow stoonoloov 9, 29, 55
726	Journal of Hydrometeorology 6 , 38-35.
728	Knapp, A. K.; Fay, P. A.; Blair, J. M.; Collins, S. L.; Smith, M. D.; Carlisle, J. D.;
729	Harper, C. W.; Danner, B. T.; Lett, M. S. & McCarron, J. K. (2002), 'Rainfall
730	Variability, Carbon Cycling, and Plant Species Diversity in a Mesic Grassland', Science
731	298 , 2202-2205.
732	
733	Kulmatiski, A. & Beard, K. H. (2013), 'Woody plant encroachment facilitated by
734	increased precipitation intensity', <i>Nature Climate Change</i> .
735	
736	Lehmann, C. E. R.: Archibald, S. A.: Hoffmann, W. A. & Bond, W. J. (2011).
737	'Deciphering the distribution of the sayanna biome'. <i>New Phytologist</i> 191 , 197-209.
738	
739	Markham, C. (1970), 'Seasonality of precipitation in the United States', Annals of the
740	Association of American Geographers 60(3), 593-597.
741	
742	Martiny, N.; Camberlin, P.; Richard, Y. & Philippon, N. (2006), 'Compared regimes of
743	NDVI and rainfall in semi-arid regions of Africa', International Journal of Remote
744	Sensing 27(23) , 5201-5223.
745	
746	Miranda, J.; Armas, C.; Padilla, F. & Pugnaire, F. (2011), 'Climatic change and rainfall
747	patterns: Effects on semi-arid plant communities of the Iberian Southeast', Journal of
748	Arid Environments 75, 1302-1309.
749	
750	Nemani, R. R.; Keeling, C. D.; Hashimoto, H.; Jolly, W. M.; Piper, S. C.; Tucker, C. J.;
751	Myneni, R. B. & Running, S. W. (2003), 'Climate-Driven Increases in Global
752	Terrestrial Net Primary Production from 1982 to 1999', Science 300, 1560-1563.
753	·
754	O'Gorman, P. A. & Schneider, T. (2009), 'The physical basis for increases in
755	precipitation extremes in simulations of 21st-century climate change', Proceedings of
756	the National Academy of Sciences of the United States of America 106(35),
757	14773-14777.
758	
759	Porporato, A.; Daly, E. & Rodr guez-Iturbe, I. (2004), 'Soil Water Balance and
760	Ecosystem Response to Climate Change', American Naturalist 164(5), 625-632.
761	
762	Porporato, A.; Laio, F.; Ridolfi, L. & Rodr guez-Iturbe, I. (2001), 'Plants in
763	water-controlled ecosystems: active role in hydrologic processes and response to water
764	stress - III. Vegetation water stress', Advances in Water Resources 24(7), 725-744.
765	
766	Robertson, T. R.; Bell, C. W.; Zak, J. C. & Tissue, D. T. (2009), 'Precipitation timing
767	and magnitude differentially affect aboveground annual net primary productivity in

768 769	three perennial species in a Chihuahuan Desert grassland', <i>New Phytologist</i> 181 , 230-242.
770	
771	Rodr guez-Iturbe, I.; Gupta, V. K. & Waymire, E. (1984), 'Scale Considerations in the
772 773	Modeling of Temporal Rainfall', <i>Water Resource Research</i> 20(11) , 1611-1619.
774	Rodr guez-Iturbe, I. & Porporato, A. (2004), Ecohydrology of Water-Controlled
775	Ecosystems: Soil Moisture And Plant Dynamics, Cambridge University Press.
776	
777	Rodr guez-Iturbe, I.; Porporato, A.; Ridolfi, L.; Isham, V. & Cox, D. R. (1999),
778	Probabilistic Modelling of Water Balance at a Point: The Role of Climate, Soil and
779	Vegetation', Proceedings: Mathematical, Physical and Engineering Sciences 455,
780	3789-3805.
781	
782	Ross, I.; Misson, L.; Rambal, S.; Arneth, A.; Scott, R. L.; Carrara, A.; Cescatti, A. &
783	Genesio, L. (2012), 'How do variations in the temporal distribution of rainfall events
784	affect ecosystem fuxes in seasonally water-limited Northern Hemisphere shrublands
785	and forests?'. <i>Biogeosciences</i> 9, 1007-1024.
786	
787	Saha, S.: Moorthi, S.: Pan, HL.: Wu, X.: Wang, J.: Nadiga, S.: Tripp, P.: Kistler, R.:
788	Woollen, J.: Behringer, D.: Liu, H.: Stokes, D.: Grumbine, R.: Gavno, G.: Wang, J.:
789	Hou, YT.: Chuang, HY.: Juang, HM. H.: Sela, J.: Iredell, M.: Treadon, R.: Kleist,
790	D.: Delst, P. V.: Kevser, D.: Derber, J.: Ek, M.: Meng, J.: Wei, H.: Yang, R.: Lord, S.:
791	Dool, H. V. D.; Kumar, A.; Wang, W.; Long, C.; Chelliah, M.; Feng, Y.; Huang, B.;
792	Schemm, JK.; Ebisuzaki, W.; Lin, R.; Xie, P.; Chen, M.; Zhou, S.; Higgins, W.; Zou,
793	CZ.; Liu, O.; Chen, Y.; Han, Y.; Cucurull, L.; Reynolds, R. W.; Rutledge, G. &
794	Goldberg, M. (2010), 'The NCEP Climate Forecast System Reanalysis', Bulletin of the
795	American Meteorological Society 91 , 1015-1057.
796	
797	Sato, H. (2009), 'Simulation of the vegetation structure and function in a Malaysian
798	tropical rain forest using the individual-based dynamic vegetation model SEIB-DGVM',
799	Forest Ecology and Management 257, 2277-2286.
800	
801	Sato, H. & Ise, T. (2012), 'Effect of plant dynamic processes on African vegetation
802	responses to climate change: analysis using the spatially explicit individual-based
803	dynamic global vegetation model (SEIB-DGVM)', Journal of Geophysical Research
804	117, G03017.
805	
806	Sato, H.; Itoh, A. & Kohyama, T. (2007), 'SEIB-DGVM: A new Dynamic Global
807	Vegetation Model using a spatially explicit individual-based approach', <i>Ecological</i>
808	Modelling 200(3-4) , 279-307.
809	
810	Sato, H.; Kobayashi, H. & Delbart, N. (2010), 'Simulation study of the vegetation
811	structure and function in eastern Siberian larch forests using the individual-based

812 813	vegetation model SEIB-DGVM', Forest Ecology and Management 259, 301-311.						
814	Scanlon, T. M.; Caylor, K. K.; Manfreda, S.; Levin, S. A. & Rodriguez-Iturbe, I. (2005),						
815	'Dynamic response of grass cover to rainfall variability: implications for the function						
816	and persistence of savanna ecosystems', Advances in Water Resources 28, 291-302.						
817							
818	Shugart, H. H. (1998), 'Terrestrial ecosystems in changing environments', Cambridge						
819	University Press, United Kingdom.						
820							
821	van Schaik, C. P.: Terborgh, J. W. & Wright, S. J. (1993), 'The Phenology of Tropical						
822	Forests: Adaptive Significance and Consequences for PrimaryConsumers' Annual						
823	Review of Ecology and Systematics 24, 353-377.						
824							
825	Scholes, R. J. & Archer, S. R. (1997), 'Tree-Grass Interactions in Savannas', Annual						
826	Review of Ecology and Systematics 28, 517-544.						
827							
828	Seth, A.; Rauscher, S. A.; Biasutti, M.; Giannini, A.; Camargo, S. J. & Rojas, M. (2013).						
829	'CMIP5 Projected Changes in the Annual Cycle of Precipitation in Monsoon Regions'.						
830	<i>Journal of Climate</i> 26 , 7328-7351.						
831							
832	Sheffield, J.: Goteti, G. & Wood, E. F. (2006), 'Development of a 50-Year						
833	High-Resolution Global Dataset of Meteorological Forcings for Land Surface						
834	Modeling', Journal of Climate 19, 3088-3111.						
835							
836	Shongwe, M. E.; van Oldenborgh, G. J.; van den Hurk, B. J. J. M.; de Boer, B.; Coelho,						
837	C. A. S. & van Aalst, M. K. (2009), 'Projected Changes in Mean and Extreme						
838	Precipitation in Africa under Global Warming. Part I: Southern Africa', Journal of						
839	<i>Climate</i> 22 , 3819-3837.						
840							
841	Staver, A. C.; Archibald, S. & Levin, S. A. (2011), 'The Global Extent and						
842	Determinants of Savanna and Forest as Alternative Biome States', Science 334,						
843	230-232.						
844							
845	Stocker, T. F.; Qin, D.; Plattner, GK.; Tignor, M.; Allen, S. K.; Boschung, J.; Nauels,						
846	A.; Xia, Y.; Bex, V. & Midgley, P. M., ed. (2013), <i>IPCC</i> , 2013: Climate Change 2013:						
847	The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment						
848	Report of the Intergovernmental Panel on Climate Change, Cambridge University						
849	Press, Cambridge, United Kingdom and New York, NY, USA						
850							
851	Svejcar, T.; Bates, J.; Angell, R. & Miller, R. (2003), 'The influence of precipitation						
852	timing on the sagebrush steppe ecosystem. In: Guy, McPherson, Jake, Weltzin (Eds.),						
853	Changing Precipitation Regimes & Terrestrial Ecosystems. University of Arizona Press,						
854	Tucson, AZ 237pp.', .						

856	Thomey, M. L.; Collins, S. L.; Vargas, R.; Johnson, J. E.; Brown, R. F.; Natvig, D. O. &
857	Friggens, M. T. (2011), 'Effect of precipitation variability on net primary production
858	and soil respiration in a Chihuahuan Desert grassland', Global Change Biology 17,
859	1505-1515.
860	
861	Trenberth, K. E.; Dai, A.; Rasmussen, R. M. & Parsons, D. B. (2003), 'The Changing
862	Character of Precipitation', Bulletin of American Meterological Society 84, 1205-1217.
863	
864	Vincens, A.; Garcin, Y. & Buchet, G. (2007), 'Influence of rainfall seasonality on
865	African lowland vegetation during the Late Quaternary: pollen evidence from Lake
866	Masoko, Tanzania', Journal of Biogeography 34, 1274-1288.
867	
868	Weltzin, J. F.; Loik, M. E.; Schwinning, S.; Williams, D. G.; Fay, P. A.; Haddad, B. M.;
869	Harte, J.; Huxman, T. E.; Knapp, A. K.; Lin, G.; Pockman, W. T.; Shaw, M. R.; Small,
870	E. E.; Smith, M. D.; Smith, S. D.; Tissue, D. T. & Zak, J. C. (2003), 'Assessing the
871	Response of Terrestrial Ecosystems to Potential Changes in Precipitation', <i>BioScience</i>
872	53(10) , 941-952.
873	
874	Williams, C. A. & Albertson, J. D. (2006), 'Dynamical effects of the statistical
875	structure of annual rainfall on dryland vegetation', Global Change Biology 12,
876	777-792.
877	
878	Zhang, X.; Friedl, M. A.; Schaaf, C. B.; Strahler, A. H. & Liu, Z. (2005), 'Monitoring
879	the response of vegetation phenology to precipitation in Africa by coupling MODIS
880	and TRMM instruments', Journal of Geophysical Research 110, D12103.
881	
882	Zhang, Y.; Moran, M. S.; Nearing, M. A.; Campos, G. E. P.; Huete, A. R.; Buda, A. R.;
883	Bosch, D. D.; Gunter, S. A.; Kitchen, S. G.; McNab, W. H.; Morgan, J. A.; McClaran,
884	M. P.; Montoya, D. S.; Peters, D. P. & Starks, P. J. (2013), 'Extreme precipitation
885	patterns and reductions of terrestrial ecosystem production across biomes', Journal of
886	Geophysical Research: Biogeosciences 118, 148-157.
887	

Table 1. Summary of previous representative studies on assessing the impacts of rainfall characteristics (i.e. rainfall frequency, intensity and seasonality) on the structure and function of terrestrial ecosystem.

Focus: frequency (freq); intensity (int); seasonality (sea); variation (CV).

Methods: Field Experiments (Field); Remote Sensing (RS); Flux Tower (Flux).

Major Conclusion: increasing rainfall intensity (or decreasing frequency) has positive impacts (int+); increasing intensity (or decreasing frequency) has negative impacts (int-); increasing rainfall CV has positive impacts (CV+); increasing rainfall CV has negative impacts (CV-).

Focus	Methods	Spatial Scale	Time scale	MAP (mm/year)	Ecosystem type	Major Conclusion	Reference
freq; int	RS	Africa continent	intra-annual	[0,3000]	Africa all	(int-) woody cover	Good and Caylor,
			climatology				2011
freq; int	RS	US		[163,1227]	US	(int-) ANPP greatest in arid grassland (16%)and	Zhang et al., 2013
						Mediterranean forest (20%) and less for mesic grassland	
						and temperate forest (3%)	
freq; int	RS	Pan-tropics (35 N to	inter-annual	[0,3000]	Tropical	(CV+) wood cover in dry tropics; (CV-) wood cover in	Holmgren et al.,
		15 °S)			ecosystems	wet tropics	2013
freq; int	RS	Northern China	intra-annual	[100,850]	temperate	(int-) NDVI for temprate grassland and broadleaf	Fang et al., 2005
					grassland and	forests, not for coniferous forest	
					forests		
freq; int	Flux	Northern Hemisphere	intra-annual	[393±155,906±243	shrubland and	(int-) GPP, RE and NEP	Ross et al., 2012
]	forest		
seas	RS	Africa continent	climatology	[0,3000]	Africa all	rainy season onset and offset controls vegetation	Zhang et al., 2005
						growing season	
freq; int	Field	plot (Kansas, USA)	intra-annual	615	grassland	(int-) ANPP	Knapp et al., 2002

(fix MAP)							
freq; int	Field	plot (Kansas, USA)	intra-annual	835	grassland	(int-) ANPP	Fay et al., 2003
(fix MAP)							
increase	Field	plot(Taxes, USA)	intra-annual	365	grassland	(int-) ANPP	Robertson et al.,
seasonal							2009
rainfall							
freq; int	Field	plot (Kansas, USA)	intra-annual	[320,830]	grassland	(int-)ANPP for MAP=830mm/yr; (int+)ANPP for	Heisler-White et
						MAP=320mm/yr	al., 2009
freq; int	Field	plot(New Mexico,	intra-annual	250	grassland	(int+) ANPP	Thomey et al.,
		USA)					2011
freq; int	Field	Plot(Kansas, USA)	intra-annual	834	grassland	(int-) soil CO2 flux	Harper et al., 2005
(fix MAP)							
freq; int	Field	plot(Kruger National	intra-annual	544	sub-tropical	(int+) wood growth; (int-) grass growth	Kulmatiski and
(fix MAP)		Park, South Africa)			savanna		Beard, 2013
sea	Field	plot(Oregon, USA)	intra-annual	[140,530]	grassland	impact biomass and bare soil fraction	Bates et al., 2006;
(fix MAP)							Svejcar et al., 2003
sea	Field						
	E' 11		· . 1	[520 500]			
freq; int;	Field	plot(South Africa)	intra-annual	[538,798]	grassland	(int-) ANPP	Swemmer et al.,
MAP							2007
MAP; sea	Field	plot(Spain)	intra-/inter-an	242	grassland	Mediterranean dryland ecosystem has more resilience	Miranda et al.,
			nual			for intra- and inter-annual changes in rainfall	2008



Figure 1. a-b: Spatial pattern of the rainfall characteristics in Africa: a-MAP; b-rainfall intensity; c-rainfall frequency; d-rainy season length. The black-line identified areas refer to two savanna regions in West and Southwest Africa. e-f: Normalized histograms of the rainfall characteristics in two savanna regions of West and Southwest Africa. e-MAP (bin width for the x-axis: 100 mm/year); f-rainfall intensity (bin width for the x-axis: 1 mm/event); g-rainfall frequency (bin width for the x-axis: 0.1 event/day); h-rainy season length (bin width for the x-axis: 20 days).



Figure 2. Schematic diagram of water stress factor ranging from 0 (most stressful) to 1 (no stress), which acts to reduce transpiration and carbon assimilation. The red dotted line is based on Porporato et al. (2001) with a reversed sign, and SEIB-DGVM has a nonlinear implementation (blue solid line, Sato and Ise, 2012).



Figure 3. Differences in simulated dominated biomes in the three experiments (i.e. $S_{\lambda-\alpha}$, $S_{Tw-\lambda}$, $S_{Tw-\alpha}$).



Figure 4. Simulated changes in annual mean soil moisture (0-500mm, first column) and annual mean GPP (second column) for different experiments. Please note that the scales of $S_{\lambda-\alpha}$ is much smaller than those of $S_{Tw-\lambda}$ and $S_{Tw-\alpha}$. The two areas with black boundaries in each panel are West African grassland and Southwest African grassland associated with Figure 1. The spatial patterns shown here are smoothed by 3*3 smoothing window from the raw data.



Figure 5. Differences in simulated annual GPP as a function of mean annual precipitation and one of the perturbed rainfall characteristics in all the three experiments (i.e. $S_{\lambda-\alpha}$, $S_{Tw-\lambda}$, $S_{Tw-\alpha}$) in the left column. The right column shows the correspondent standard errors (SE, calculated as $SE = \sigma/\sqrt{n}$, where σ refers to the standard deviation within each bin, n is the sample size in each bin, and n and σ are shown in Figure S4), with larger values associated with more uncertainties and requires more caution in interpretation. The contours are based on the binned values, with for each 100 mm/year in MAP, each 0.05 event/day in rainfall frequency, each 1 mm/event in rainfall intensity and each 15 day in rainy season length.



Figure 6. Illustrative time series for hydrological controls on plant root-zone soil moisture dynamics for all the experiments, and these illustrations are generalized based on the simulated time series from the experiments. Both negative and positive cases are shown, and cases with directly hydrological controls are shown (i.e. cloud-induced negative impacts in tropical forests are not shown). The cumulative shaded areas refer to "plant water stress" defined by Porporato et al. (2001).



Figure S1. Comparison of biomes and annual GPP between $S_{climatology}$ and $S_{control}$ to test the validity of the synthetic weather generator. The biome definition follows Sato and Ise (2012).



Figure S2. Simulated biomes for different experiments.



Figure S3. Normalized histograms of three simulated dominating biomes in the three experiments.



Figure S4. The sample size (n) in each bin (left column) and standard deviation (σ) in each bin (right column), corresponding to Figure 5. In Figure 5 right column, standard deviation (SE) is calculated as $SE = \sigma / \sqrt{n}$.