

1 **The effects of river inflow and retention time on the spatial**
2 **heterogeneity of chlorophyll and water-air CO₂ fluxes in a**
3 **tropical hydropower reservoir**

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1 Abstract

2 Abundant research has been devoted to understanding the complexity of the biogeochemical and
3 physical processes that are responsible for greenhouse gas (GHG) emissions from hydropower
4 reservoirs. These systems may have spatially complex and heterogeneous GHG emissions due to
5 flooded biomass, river inflows, primary production and dam operation. In this study, we
6 investigated the relationships between the water-air CO₂ fluxes and the phytoplanktonic biomass
7 in the Funil Reservoir, which is an old, stratified tropical reservoir that exhibits intense
8 phytoplankton blooms and a low partial pressure of CO₂ (pCO₂). Our results indicated that the
9 seasonal and spatial variability of chlorophyll concentrations (Chl) and pCO₂ in the Funil
10 Reservoir are related more to changes in the river inflow over the year than to environmental
11 factors such as the air temperature and solar radiation. Field data and hydrodynamic simulations
12 revealed that river inflow contributes to increased heterogeneity during the dry season due to
13 variations in the reservoir retention time and river temperature. Contradictory conclusions could
14 be drawn if only temporal data collected near the dam were considered without spatial data to
15 represent CO₂ fluxes throughout the reservoir. During periods of high retention, the average CO₂
16 fluxes were 10.3 mmol m⁻² d⁻¹ based on temporal data near the dam versus -7.2 mmol m⁻² d⁻¹
17 with spatial data from along the reservoir surface. In this case, the use of solely temporal data to
18 calculate CO₂ fluxes results in the reservoir acting as a CO₂ source rather than a sink. This
19 finding suggests that the lack of spatial data in reservoir C budget calculations can affect regional
20 and global estimates. Our results support the idea that the Funil Reservoir is a dynamic system
21 where the hydrodynamics represented by changes in the river inflow and retention time are
22 potentially a more important force driving both the Chl and pCO₂ spatial variability than the in-
23 system ecological factors.

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1 **1 Introduction**

2 Over the last two decades, hydropower reservoirs have been identified as potentially important
3 sources of greenhouse gas (GHG) emissions (St Louis et al., 2000; Rosa et al., 2004; Demarty et
4 al., 2011). In tropical regions, high temperatures and forest flooding has intensified GHG
5 emissions (Abril et al., 2005; Fearnside and Pueyo, 2012). However, emissions are larger in
6 tropical Amazonian (Abril et al., 2013) than in tropical non-Amazonian reservoirs (Ometto et al.,
7 2011) and are larger in younger than in older reservoirs (Barros et al., 2011). Large hydroelectric
8 reservoirs, particularly those created by impounded rivers, are morphometrically complex and
9 spatially heterogeneous (Roland et al., 2010; Teodoru et al., 2011; Zhao et al., 2013). Different
10 regions of the reservoir may have different CO₂ dynamics because of flooded biomass, river
11 input of organic matter, primary production and dam operations. Furthermore, both heterotrophic
12 and autotrophic activities influence the CO₂ concentrations along reservoirs located in
13 subtropical (Di Siervi et al., 1995), tropical (Roland et al., 2010; Kemenes et al., 2011) and
14 temperate areas (Richardot et al., 2000; Lauster et al., 2006; Finlay et al., 2009; Halbedel and
15 Koschorreck, 2013).

16 As sedimentation and light availability increase along a reservoir, the biomass of the primary
17 producers may increase. Phytoplankton is distributed in patches along the reservoir due to
18 differences in the nutrient distribution, light availability and stratification (Serra et al., 2007).
19 Additionally, hydrodynamics factors, such as retention time and river inflow, may influence the
20 phytoplankton communities and their growth (Vidal et al., 2012; Soares et al., 2008). Intense
21 phytoplankton primary production has been identified as the main regulator of carbon (C)
22 budgets in temperate eutrophic lakes (Finlay et al., 2010; Pacheco et al., 2014); however, the
23 impact of these communities on tropical hydropower reservoirs is still unclear.

24 River inflows may affect the biogeochemical patterns in river valley reservoirs (Kennedy, 1999).
25 Density differences of incoming stream and lake water, the stream and lake hydraulics, the
26 strength of stratification and mixing patterns are all features that control how river water will flow
27 when it reaches the reservoir (Fischer and Smith, 1983; Fischer et al., 1979). As a result of
28 density differences between river and lake water, a river enters a lake and can flow large
29 distances as a gravity-driven density current (Ford, 1990; Martin and McCutcheon, 1998). The
30 interactions of large nutrient loads injected by rivers and the dynamics of river inflow can
31 determine the spatial heterogeneity of phytoplankton distribution (Vidal et al., 2012).

1 Consequently, the river inflow may affect primary production along a river/dam axis in
2 hydropower reservoirs that are strongly influenced by rivers with high nutrient levels.

3 In this study, we investigated the relationships between phytoplanktonic biomass and water-air
4 CO₂ fluxes in an old, stratified tropical reservoir (Funil, state of RJ, Brazil) where intense
5 phytoplankton blooms and low pCO₂ are observed in the water. We combined fieldwork and
6 modeling to analyze the impact of meteorological and hydrological factors on the spatial and
7 temporal dynamics of phytoplankton and the intensity of CO₂ fluxes. We demonstrate the effect
8 of river inflow on the heterogeneity of pCO₂ and Chl in the Funil Reservoir. We also compare
9 temporal data of the pCO₂ collected near the dam with a high density of spatial data. Our
10 hypothesis is that the seasonal and spatial variability of pCO₂ and Chl in the Funil Reservoir is
11 related more to the river inflow and retention time than to external environmental factors such as
12 air temperature and solar radiation. We highlight that very different conclusions can be drawn
13 about carbon cycling in reservoirs if the spatial heterogeneity is not adequately considered.

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15 **2 Materials and Methods**

16 **2.1. Study Site**

17 The Funil Reservoir is an old impoundment constructed at the end of the 1960s that is located on
18 the Paraíba do Sul River, in the southern part of Rio de Janeiro State, Brazil (22°30'S, 44°45'W,
19 Fig. 1). The site is 440 m above sea level, with wet-warm summers and dry-cold winters. The
20 Funil Reservoir mainly functions for energy production, but the reservoir is also used for
21 irrigation and recreation. It has a surface area of 40 km², a mean and maximum depth of 22 and
22 74 m, respectively, and a total volume of 890 x 10⁶ m³. The maximum and minimum reservoir
23 water level occurs at the end of the rainy season (April) and dry season (October), respectively.
24 From October 2011 to September 2012, the difference between the minimum and maximum
25 water level was 15.6 m and the average retention time was 32 days.

26 The Funil Reservoir has a catchment area of 12,800 km² that is one of the most highly
27 industrialized regions in Brazil. There are approximately 2 million people living inside the
28 catchment area and 39 cities that depend on the Paraíba do Sul River for their water supply.
29 These cities represent 2% of Brazil's gross domestic product (GDP) (IBGE, 2010). In this area,

1 46% of the sewage is untreated (AGEVAP, 2011), and the Paraíba do Sul River receives a large
2 portion of the sewage from one of the most populated regions in Brazil (20-50 hab km⁻², IBGE,
3 2010). Consequently, the river exerts a large influence on the reservoir's water quality, and the
4 reservoir has experienced tragic eutrophication in recent decades, resulting in frequent and
5 intense cyanobacterial blooms (Klapper, 1998; Branco et al., 2002; Rocha et al., 2002). The river
6 inflow is affected by the water supply-demand and the operation of upstream dams. In general,
7 the Funil Reservoir is a turbid, eutrophic system, with high levels of phytoplankton
8 (cyanobacteria) biomass (Soares et al., 2012; Rangel et al., 2012).

9 **2.2. Field Sampling**

10 *Spatial data* – We considered 42 stations in the Funil Reservoir (28 were located along the main
11 body of the reservoir, Fig. 1) for the spatial analyses. Water samples to determine Chl and pCO₂
12 were obtained between 9:00 and 12:00 Local Time (LT; UTC/GMT -3 hours) on March 1, 2012
13 (at the end of the rainy season, at high water levels) and on September 20, 2012 (at the end of the
14 dry season, at low water levels). Samples were taken from the surface (0.3 m) on the same day to
15 limit the effect of diurnal variations on the results. We measured Chl using a compact version of
16 PHYTO-PAM (Heinz Walz GmbH, PHYTO-ED, Effelrich, Germany). The pCO₂ data were
17 determined using a water-air equilibration method. In a marble-type equilibrator (Abril et al.,
18 2014; Abril et al., 2006), the water was pumped directly from the lake and flowed from the top to
19 the bottom (0.8 liters per min), whereas a constant volume of air (0.4 liters per min) flowed from
20 the bottom to the top. The large gas exchange surface area promoted by contact with the marbles
21 accelerated the pCO₂ water-air equilibrium. The air pump conducted the air from the top of the
22 equilibrator through a drying tube containing a desiccant (Drierite), then to an infrared gas
23 analyzer (IRGA, LI-840, LICOR, Lincoln, NE, USA) and back to the bottom of the equilibrator
24 (closed air circuit, Abril et al., 2006). For each station, the lake water and air were pumped
25 through the system for two minutes before the pCO₂ from the IRGA stabilized to a constant
26 value.

27 Color maps were created to represent the spatial distribution of Chl and pCO₂ (Fig. 2). We used
28 a variogram analysis to describe the spatial correlation among the samples and to spatially
29 interpolate with Kriging (Bailey and Gatrell, 1995). The empirical variograms were fitted to
30 different mathematical models using the Akaike's information criterion (AIC, Akaike, 1974) to

1 evaluate the best fit. The best variogram model was used for interpolation with ordinary kriging.
2 We used the Spring software (National Institute for Space Research, São José dos Campos, SP,
3 Brazil, Câmara et al., 1996) version 5.1.8 to conduct a spatial analysis and to produce in-situ
4 pCO₂ and Chl maps.

5 In this study, we used Chl as a parameter to separate the reservoir into three zones. The riverine
6 zone is characterized by low Chl (<5 µg L⁻¹). The transition zone begins where the Chl starts to
7 increase (>5 µg L⁻¹) and ends when the Chl decreases to levels close to the Chl in the Lacustrine
8 zone (<60 µg L⁻¹). Finally, the Lacustrine zone is characterized by intermediate Chl levels (>5
9 and <60 µg L⁻¹); however, peaks of Chl were observed in some parts of the Lacustrine zone. We
10 estimated the size of each zone (riverine, transition, lacustrine) of the reservoir during the dry
11 and rainy seasons using the results from the spatial interpolation of the Chl data. After the
12 interpolation, we used a pixel classification method to determine the boundaries of each zone
13 (class). We checked the boundary locations with observed data. Finally, we determined the area
14 by multiplying the number of pixels of each class by the area of each pixel. The boundary of
15 each zone is represented by dashed lines on the maps (Fig. 2).

16 *Time series data* – The temporal data were collected at a single station (S28) near the dam (Fig.
17 1). Wind speed and direction, solar radiation, pH, dissolved oxygen (DO), air temperature and
18 temperature profiles (2 m, 5 m, 20 m and 40 m depth) were collected hourly and transmitted by
19 satellite in quasi-real time by the Integrated System for Environmental Monitoring (SIMA).
20 SIMA is a set of hardware and software developed for data acquisition and real-time monitoring
21 of hydrological systems (Alcantara et al., 2013; Stevenson et al., 1993). SIMA consists of an
22 independent system formed by an anchored buoy containing data storage systems, sensors (air
23 temperature, wind direction and intensity, pressure, incoming and reflected radiation and a
24 thermistor chain), a solar panel, a battery and a transmission antenna. A sonde probe (YSI model
25 6600, Yellow Spring, OH, USA) was attached to the SIMA buoy to collect hourly surface data
26 on temperature, conductivity, pH, and oxygen. The sonde was calibrated every 15 days based on
27 the YSI Environmental Operations Manual (<http://www.ysi.com/ysi/support>). We used data
28 collected between October 25, 2011 and October 25, 2012 for our analyses.

29 Samples for alkalinity (ALK), total phosphorous (TP) and nitrogen (TN) analyses were taken
30 monthly. The ALK was determined by the titration method (APHA, 2005). For the TP, the

1 samples were oxidized by persulfate and were then analyzed as soluble reactive phosphorus. The
 2 TN was determined as the sum of the organic fraction measured with the Kjeldahl method and the
 3 dissolved inorganic nutrients. A laboratory analysis of the TP and NP was performed based on
 4 standard spectrophotometric techniques (Wetzel and Likens, 2010).

5 We calculated the pCO₂ from the surface water over one year near the dam using the measured
 6 pH and alkalinity. The calculations included the dependence on temperature for the dissociation
 7 constants of carbonic acid (Millero et al., 2002) and the solubility of CO₂. We used the hourly
 8 data of pH and temperature and the monthly data of alkalinity collected at station S28 (Fig. 1).

9 **2.3. CO₂ flux calculation**

10 The air-water flux of CO₂ (mmol m⁻² d⁻¹) was calculated according to Eq. (1). Positive values of
 11 CO₂ fluxes denote the net gas flux from the lake to the atmosphere

$$12 \quad F(CO_2) = k\alpha\Delta pCO_2 \quad (1)$$

13 where k is the gas transfer velocity of CO₂ (in m h⁻¹), α is the solubility coefficient of CO₂ (in
 14 mmol m⁻³ μatm⁻¹) as a function of water temperature (Weiss, 1974), and ΔpCO_2 is the air-water
 15 gradient of pCO₂ (in μatm). The atmospheric pCO₂ measured during the rainy and dry season
 16 were 375 μatm, and this atmospheric value was used for all of the flux calculations. The gas
 17 transfer velocity k was calculated from the gas transfer velocity normalized to a Schmidt number
 18 of 600 (k_{600}) that corresponds to the CO₂ at 20 °C (Eq. 2) (Jahne et al., 1987), as follows:

$$19 \quad k = k_{600} \left(\frac{Sc}{600} \right)^{-0,5} \quad (2)$$

20 where Sc is the Schmidt number of a given gas at a given temperature (Wanninkhof, 1992). k_{600}
 21 is the normalized gas transfer velocity calculated from the wind speed (MacIntyre et al. 2010)
 22 using different equations under cooling and heating conditions (Eq. 3, 4). We also evaluated a
 23 wind-speed formulation by Cole and Caraco (1998) to investigate the importance of different
 24 formulations of k_{600} (Eq. 5). A more detailed description for these equations is in Staehr et al.
 25 (2012). The k_{600} was calculated in cm h⁻¹ and were converted to m d⁻¹.

$$26 \quad k_{600} = 2.04U_{10} + 2.0 \quad (\text{under cooling, MacIntyre et al. 2010}) \quad (3)$$

$$1 \quad k_{600} = 1.74U_{10} - 0.15 \quad (\text{under heating, MacIntyre et al. 2010}) \quad (4)$$

$$2 \quad k_{600} = 2.07 + 0.21 U_{10}^{1.7} \quad (\text{Cole and Caraco 1998}) \quad (5)$$

3 where U_{10} is the wind speed at 10 m height. The wind speed was obtained from SIMA data at 3
4 m height and was calculated at 10 m height (Smith, 1985).

5 In the riverine zone, we considered the k_{600} as a function of wind and water currents. The
6 contribution of the water current to the gas transfer velocity was estimated using the water
7 current (w , cm s^{-1}), depth (h , meters) and the equations in Borges et al. (2004) (Eq. 6)

$$8 \quad k_{600} = 1.719w^{0.5}h^{-0.5} \quad (6)$$

9 **2.4. Temperature profile**

10 Temperature profiles were collected using a thermistor chain deployed at station S09 during the
11 rainy season and station S14 during the dry season to determine the thermal structure in the
12 transition zone. Eleven thermistors (Hobo, U22 Water Temp Pro v2, Bourne, MA, USA) were
13 placed every 0.5 m up to 4 m and every 1 m from 5 to 7 m. We also deployed a thermistor chain
14 at the riverine zone at station S05 with thermistors placed every 2 m. The thermistors were
15 programed to record the temperature every 10 minutes. During the rainy season, the thermistor
16 chain was deployed on February 29, 2012 at 18:30 LT and was recovered after 40 hours. In the
17 dry season, the thermistor chain was deployed on September 20, 2012, at 11:30 LT and was
18 recovered after 25 hours.

19 In our analysis, the temperature was considered to be the factor controlling water density. The
20 use of temperature is justified by the low conductivity and turbidity in the river. The turbidity
21 values of 29 and 11 NTU that were measured during the rainy and dry seasons, respectively,
22 would have affected density <5% relative to temperature (Gippel, 1989).

23 **2.5. Numerical Model description and setup**

24 Numerical simulations of lake hydrodynamics were conducted with the Estuary and Lake
25 Computer Model (ELCOM, Hodges et al., 2000). This model solves the 3D hydrostatic,
26 Boussinesq, Reynolds-averaged Navier–Stokes and scalar transport equations, separating mixing
27 of scalars and momentum from advection. The hydrodynamic algorithms that are implemented in

1 the ELCOM use a Euler-Lagrange approach for the advection of momentum adapted from the
2 work of Casulli and Cheng (1992), whereas the advection of scalars (i.e., tracers, conductivity
3 and temperature) is based on the ULTIMATE QUICKEST method proposed by Leonard (1991).
4 The thermodynamics model considers the penetrative (i.e., shortwave radiation) and non-
5 penetrative components (i.e., longwave radiation, sensible and latent heat fluxes) (Hodges et al.,
6 2000). The vertical mixing model uses the transport equations of turbulent kinetic energy (TKE)
7 to compute the energy available from wind stirring and shear production for the mixing process
8 (Spigel and Imberger, 1980). A complete description of the formulae and numerical methods
9 used in the ELCOM was given by Hodges et al. (2000).

10 Hydrodynamic simulations of the Funil Reservoir were conducted under realistic forcing
11 conditions (e.g., inflow, outflow, atmospheric temperature and radiation). These simulations
12 were aimed at testing hypotheses about river inflows in transition zones during the rainy and dry
13 seasons in the Funil Reservoir. The simulations started 4 days before our study period. This was
14 necessary to let the model equilibrate beyond the initial physical conditions. The digital
15 representation of the reservoir bathymetry (numerical domain) was defined based on the
16 bathymetric data collected from February 27 to 29, 2012. The numerical domain was discretized
17 in a uniform horizontal grid containing 100 m x 100 m cells. The vertical grid resolution was set
18 to a uniform 1 m of thickness, resulting in 72 vertical layers. The water albedo was set to 0.03
19 (Slater, 1980), and the bottom drag coefficient was set to 0.001 (Wüest and Lorke, 2003). The
20 attenuation coefficient for PAR was set to 0.6 m^{-1} based on Secchi disc measurements. Based on
21 a previous study conducted in another tropical reservoir (Pacheco et al., 2011), a value of 5.25
22 $\text{m}^2 \text{ s}^{-1}$ was chosen for the horizontal diffusivity of temperature and for the horizontal momentum.

23 Because of the presence of persistent unstable atmospheric conditions over tropical reservoirs
24 (Verburg and Antenucci, 2010), an atmospheric stability sub-model was activated during the
25 simulation; this procedure was adequate because the meteorological sensors were placed within
26 the atmospheric boundary layer (ABL) over the surface of the lake and the data were collected at
27 sub-daily intervals (Imberger and Patterson, 1990). In this manner, at each model time step, the
28 heat and momentum transfer coefficients were adjusted based on the stability of the ABL. The
29 stability of the ABL is evaluated through the stability parameter, which was derived from the
30 Monin-Obukhov length scale. ELCOM uses similarity functions presented in Imberger and
31 Patterson (1990) in both stable (negative values stability parameter) and unstable conditions

1 (positive values). The Coriolis sub-model was also activated during the simulation and the
2 Coriolis force was then considered in the Navier-Stokes equation. This force causes the
3 deflection of moving objects (in this case, the water currents) when they are viewed in a rotating
4 reference frame (e.g., the Earth).

5 We defined two sets of boundary cells to force the inflow (Paraíba do Sul River) and outflow
6 (the water intake at the dam). The meteorological driving forces over the free surface of the
7 reservoir were considered uniform. The model was forced using hourly meteorological data
8 acquired by SIMA, the daily inflow and outflow provided by Eletrobrás-Furnas and the river
9 temperatures extracted from thermistor chain data. To complement river inflow temperature data
10 collected in situ, we used the Moderate Resolution Imaging Spectroradiometer (MODIS, Justice
11 et al. 2002) level 3 Land Surface Temperature (LST) product (named M*D11A1, see Wan 2008
12 for more details) to estimate the temporal variation of temperature at the reservoir's inflow. The
13 M*D11A1 is a standard remote sensing-based product, generated using a split-window algorithm
14 and seven spectral MODIS bands located in the regions of the shortwave infrared and thermal
15 infrared bands. This algorithm is based on the differential absorption of adjacent bands in the
16 infrared region (Wan and Dozier, 1996). The M*D11A1 product have been validated at Stage 2
17 by a series of field campaigns conducted between 2000-2007 and over more locations and time
18 periods during radiance-based validation studies. Accuracy is better than 1 °C (0.5 °C in most
19 cases). This product is generated up to four times each day (i.e., 10:30 h, 13:30 h, 23:30 h and
20 2:30 h) and is delivered in a georeferenced grid with 1 km of spatial resolution in a sinusoidal
21 projection by the National Aeronautics and Space Administration Land Processes Distributed
22 Active Archive Center (NASA/LPDAAC).

23 The cloud cover fraction over the Funil Reservoir was estimated using a MODIS Level 2 Cloud
24 Mask product (named M*D35L2, see Ackerman et al., 1998 for more details). The algorithm
25 used to generate the M*D35L2 product employs a series of visible and infrared threshold and
26 consistency tests to specify confidence that an unobstructed view of the Earth's surface is
27 observed. This product is generated up to four times each day (i.e., 10:30 h, 13:30 h, 23:30 h and
28 2:30 h) and is delivered in a georeferenced grid with 1 km of spatial resolution in a sinusoidal
29 projection.

1 The MODIS products were acquired online (<http://reverb.echo.nasa.gov/reverb/>) and were
2 preprocessed using the MODIS Reprojection Tool (available at <https://lpdaac.usgs.gov>). The
3 data were first resampled to a 100 m spatial resolution (compatible with the bathymetric grid).
4 Next, they were re-projected into the Universal Transverse Mercator (UTM) coordinate system
5 (zone 22 South) with the World Geodetic System (WGS-84) datum as reference; then, they were
6 converted into a raster image. Finally, MATLAB® routines were used to calculate the river
7 inflow temperature and cloud cover fraction time series. The river inflow temperature (°C) time
8 series was computed using the preprocessed M*D11A1 data by extracting the temperature values
9 from the pixel located within the Paraíba do Sul River channel near the Funil Reservoir entrance.
10 The cloud cover fraction (dimensionless) time series was obtained using the preprocessed
11 M*D35L2 data by computing the ratio between the cloudy pixels and the total pixels covering
12 the reservoir surface. Two periods were simulated: one to represent the rainy season (25
13 February 2012 to 4 March 2012) and one to represent the dry season (15 to 23 September 2012).

14

15 **3 Results**

16 **3.1. Spatial variability**

17 Based on the spatial data of Chl and pCO₂, a typical zonation pattern that is usually found in
18 reservoirs was observed in the main sections of the Funil Reservoir (riverine, transition and
19 lacustrine zones) (Fig 2). Although the boundaries are influenced by many factors and are not
20 easily determined, these regions have distinct physical, chemical and biological features. The
21 riverine zone (RZ) has a high input of nutrients coming from terrestrial systems and human
22 activities, but primary production is limited by high turbidity and turbulence. As the
23 sedimentation and light availability increase along the reservoir, the biomass of the primary
24 producers increases in the transition zone (TZ). The lacustrine zone (LZ) is characterized by
25 nutrient limitations and reduced phytoplankton biomass (Thornton 1990).

26 The Funil Reservoir was spatially heterogeneous with seasonal differences in the Chl and pCO₂
27 (Fig. 2). There was only high spatial variation in the main body of the reservoir, whereas the
28 southern part was undersaturated in CO₂ during the rainy and dry seasons (Fig 2a, b). The
29 spatial average of pCO₂ during the rainy and dry season were 259 ± 221 and 881 ± 900 μatm ,

1 respectively. The $p\text{CO}_2$ varied from 140 to 1376 μatm during the rainy season and from 43 to
2 2290 μatm during the dry season. Higher values of $p\text{CO}_2$ in the riverine zone of the reservoir and
3 a drastic decrease in the transition zone were observed in both sample periods (Fig. 3a,b). In the
4 lacustrine zone, undersaturation of CO_2 was prevalent at all sample sites in the rainy and dry
5 seasons. Considering all of the sample sites, there were significant differences between the rainy
6 and dry seasons ($t = 1.99$, $p < 0.05$). The Chl values were similar in the transition and lacustrine
7 zone in the rainy season ($t = 2.01$, $p > 0.05$) and were higher in the transition zone during the dry
8 season ($t = 2.01$, $p < 0.05$, Fig. 3a,b; Table 1). Furthermore, the average concentration in the
9 transition zone was 2.5 times higher than the reservoir average (129.2 and 52.0 $\mu\text{g L}^{-1}$,
10 respectively). Unlike the $p\text{CO}_2$, the Chl data showed no significant difference between the rainy
11 and dry season considering all of the spatial data ($t = 1.99$, $p > 0.05$).

12 The calculated CO_2 fluxes from the spatial data varied from -46.5 to 52.2 $\text{mmol m}^{-2} \text{d}^{-1}$ and -61.9
13 to 103.16 $\text{mmol m}^{-2} \text{d}^{-1}$ during the rainy and dry season, respectively. In both the rainy and dry
14 seasons, the maximum emissions were observed in the riverine zone and the minimum was
15 observed in the transition zone. The spatial average was -10.1 and 24.6 $\text{mmol m}^{-2} \text{d}^{-1}$ during the
16 rainy and dry season, respectively (Table 1).

17 **3.2. Temporal variability**

18 The $p\text{CO}_2$ calculated from the multi-parameter sonde data (temperature and pH) and the
19 alkalinity showed a large seasonal variability over the year at the station near the dam (Table 2).
20 The $p\text{CO}_2$ varied from 35 to 4058 μatm , with an average of 624 ± 829 μatm and median of 165
21 μatm . The $p\text{CO}_2$ supersaturation was prevalent between April and June, whereas $p\text{CO}_2$
22 undersaturation was prevalent during all other periods (Fig 4a). The lowest median of $p\text{CO}_2$ was
23 observed between October and December (43 μatm). Considering all of the temporal data
24 throughout the year, 59.8% of the data measured below atmospheric equilibrium and 1.1% were
25 within 5% of the atmospheric equilibrium.

26 In the Funil Reservoir, the seasonal $p\text{CO}_2$ variation over the year at the station near the dam
27 agreed with the variation in the retention time (Fig. 4). The yearly average of the reservoir
28 retention time was 32.6 days over the research year. The lower retention time occurred between

1 October and December when the water level was low and the reservoir was ready to stock water
2 coming from the watershed and rain during the rainy season (October to March).

3 Because we sampled temperature on a sub-daily scale over the year, we used the equations
4 proposed by MacIntyre et al. (2010) to calculate k_{600} , which also incorporates the turbulence
5 from heat loss. The turbulence from heat loss, especially overnight, often exceeds that from wind
6 mixing in tropical lakes that tend to have low wind. However, the estimates using Cole and
7 Caraco (1998) formulations to calculate k_{600} did not significantly change our results (Table 1).
8 Due to the large sample size of the temporal data (hourly data), significant differences were
9 observed between the estimates, primarily in the dry-autumn when the surface temperature
10 decreased after the warm-summer ($t = 1.96$, $p < 0.05$). The CO_2 flux over the year at the station
11 near the dam varied from -104.7 to $175.88 \text{ mmol m}^{-2} \text{ d}^{-1}$. The average flux was $-0.1 \pm 39.8 \text{ mmol}$
12 $\text{m}^{-2} \text{ d}^{-1}$ and median was $-7.4 \text{ mmol m}^{-2} \text{ d}^{-1}$. We observed a substantial uptake of CO_2 between
13 October and December (rainy-spring) (Table 1). From January to July, the lake lost substantial
14 CO_2 via degassing (Table 1). The uptake of CO_2 from the atmosphere was also prevalent
15 between July and September (dry-winter). A summary of all other data collected over the study
16 period is shown in Table 2.

17 **3.3. Thermal structure of the transition and riverine zone**

18 We observed significant differences between the thermal structures during the rainy and dry
19 season (Fig. 5). During the rainy season, thermal stratification only occurred in the transition
20 zone during the daytime, at approximately 16:30 LT, when a maximum of $33.1 \text{ }^\circ\text{C}$ and a
21 minimum of $27.8 \text{ }^\circ\text{C}$ was observed at the surface and bottom, respectively (Fig. 5a). In contrast,
22 the temperature was vertically homogeneous at nighttime. The daily temperature oscillation
23 during the rainy season at the surface reached up to $5 \text{ }^\circ\text{C}$. During the dry season, the water
24 temperature was lower compared to the rainy season in the transition zone. Stratification
25 occurred at approximately 14:00 LT during the dry season when we observed a maximum of
26 $25.7 \text{ }^\circ\text{C}$ and a minimum of $23.1 \text{ }^\circ\text{C}$ at the bottom. The daily temperature oscillation reached up to
27 $3 \text{ }^\circ\text{C}$ at the surface and stratus layers with different temperatures were observed every 2.5 m (Fig.
28 5b). The river temperature varied from 27.7 to $28.7 \text{ }^\circ\text{C}$ and 23.6 to $24.1 \text{ }^\circ\text{C}$ in the rainy and dry
29 season, respectively (Table 3). The average temperature difference between the river and
30 reservoir surface waters was 2.1 and $0.3 \text{ }^\circ\text{C}$ during the rainy and dry season, respectively.

1 **3.4. Simulations**

2 We first compared the simulated and real temperatures at stations S09 and S14 from the rainy
3 and dry season, respectively. The RMSE, calculated by comparing the data every 20 minutes,
4 was 1.4 °C for the rainy season and 1.1°C for the dry season. These results, obtained for both
5 seasons, were comparable with previous modeling exercises found in the literature (Jin et al.,
6 2000, Vidal et al., 2012). We also analyzed the ability of the model to reproduce inflow, using
7 data from drifters released in the riverine and transition zones of the reservoir from March 1 and
8 September 20 (data not shown). Although the vertical thermal structures observed during the dry
9 season (Fig. 5b) were not well represented, the model reproduced the behavior of the inflow as
10 underflow during the rainy season (Fig. 6a) and as interflow and overflow during the dry season
11 (Fig. 6b) as anticipated by the schematic representation (Fig. 5c,d). The river flowed mainly at 6
12 m depth near the bottom of the Funil Reservoir after the river plunge point during the rainy
13 season. During the dry season, the river flowed mainly at 3 m depth at night and 4 m during the
14 daytime.

15 The daily oscillation of the neutral buoyancy observed occurs because of the variation of the
16 reservoir surface and river temperatures (Vidal et al. 2012, Curtarelli et al. 2013). The level of
17 neutral buoyancy, where the densities of the flowing current and the ambient fluid are equal,
18 represents the depth at which the river water spreads laterally in the reservoir. During the rainy
19 season, the river flowed as underflow (Fig. 6a); however, when the river reached its maximum
20 temperature, at approximately 21:00 LT (Table 3), the temperature difference between the river
21 and surface water decreased, the level of river neutral buoyancy moved upward and the
22 maximum flow was observed between 4 and 6 m (Fig. 6a). During the dry season, the river
23 overflowed, but plunged down to 4 to 6 m depth when high surface temperatures during the day
24 coincided with a period of the lowest river temperatures (Table 3) and neutral buoyancy moved
25 downward (Fig. 6b). The change in river flow patterns between September 20 and 21 occurred
26 due to a decrease in the river temperature during a rainfall that occurred at approximately 16:00
27 LT on September 20, 2012 (Fig. 6b).

28

1 4 Discussion

2 4.1. pCO₂ driven by phytoplankton

3 Primary production associated with high Chl levels was the main regulator of the CO₂
4 concentration at the surface of the Funil Reservoir (Fig. 7). Spatially, the pCO₂ levels were
5 negatively correlated with the Chl ($r^2 = 0.71$). In old hydropower reservoirs, where the C source
6 from flooded soil after impounding has become negligible, primary production may become a
7 significant element of the C budget. Intense primary production fuelled by high levels of
8 nutrients reduces the CO₂ concentrations to levels below the atmospheric equilibrium in
9 transition and lacustrine zones of the Funil Reservoir (Fig. 3). The high pCO₂ in the riverine
10 zone may be explained by the terrestrial ecosystem respiration entering the river as dissolved soil
11 CO₂, the oxidation of allochthonous and emergent autochthonous organic carbon, the
12 acidification of buffered waters, the precipitation of carbonate minerals, and the direct pumping
13 of root respiration CO₂ from riparian vegetation (Butman & Raymond, 2011).

14 Low pCO₂ levels observed at the station near the dam over the year was associated with the
15 following: (1) high primary production due to higher temperatures and solar radiation that
16 promoted water column stability and stratification; and (2) constant high nutrient availability.
17 Because nutrient availability in the Funil Reservoir was high during the entire year (Table 2), the
18 phytoplankton growth was not limited by nutrients in the lacustrine zone. However, seasonal
19 variation in factors that control stability and stratification, such as temperature, wind and mixing
20 zone depth, may inhibit algal growth near the dam, especially between April and June.

21 Due to phytoplankton productivity, we observed a net uptake of CO₂ over the year at the station
22 near the dam, especially between October and December (Table 1). However, the fate of carbon
23 fixed by phytoplankton in the Funil Reservoir is still unclear. The higher flux of methane (CH₄)
24 from sediment to water that was observed in the Funil Reservoir compared with other tropical
25 reservoir (Ometto et al., 2013) suggests that a substantial fraction of the carbon fixed by the
26 phytoplankton reached the sediment and was further mineralized as CH₄. However, in the
27 lacustrine zone, the higher depth and high temperatures may promote the decomposition of dead
28 phytoplankton generating CO₂ or CH₄ in the water column before it reaches the sediment.

1 It is important to note that the CO₂ production in the sediments can leave an imprint on the pCO₂
2 of the surface water, especially in the dry season when the reservoir is not stratified. During
3 periods of water stratification, the carbon coming from organic carbon mineralization in the
4 sediment may be trapped in the hypolimnion and may not contribute to the CO₂ flux from the
5 water to the atmosphere (Cardoso et al., 2013). Furthermore, it is important to highlight that the
6 contribution of carbon mineralization in the sediment to the pCO₂ at the surface can also be
7 regulated by other factors such as CO₂ saturation in the water and the depth of the reservoir
8 (Guérin et al., 2006). Moreover, when the river plunges and flows at the bottom of the reservoir,
9 the water flow can disturb the sediment and enhance the carbon flux from the sediment to the
10 hypolimnion, which can affect the contribution of organic carbon mineralized on the sediment to
11 the amount of carbon emitted by the reservoir.

12 By considering that the outflow exported the same amount of carbon that from the watershed
13 (Table 2), we suggest that a high sedimentation rate offsets the uptake of CO₂ from the
14 atmosphere to close the carbon budget. Although there is no data to support this statement, we
15 hypothesize that (i) the burial of organic carbon composed by phytoplankton and (ii)
16 methanogenesis are important carbon pathways for the carbon fixed by the phytoplankton in the
17 Funil Reservoir, as reported in natural eutrophic lakes (Downing et al., 2008).

18 **4.2. Physical features and spatial distribution**

19 The Funil Reservoir's retention time is strongly driven by the operation of the dam. The volume
20 of water that flows through the turbine depends on the energy demands and inflow from the
21 Paraíba do Sul River. Periods of low retention time and water levels do not necessarily
22 correspond to periods of low precipitation. In fact, the highest retention time and water level is
23 often observed in the middle of the dry season when the reservoir is full to ensure enough water
24 to produce energy during entire dry season. This suggests that not only natural factors are driving
25 these processes but they may also be regulated by dam operation in the Funil Reservoir.

26 The position of the transition zone of the reservoir moves as a result of the season (Fig. 3). At the
27 end of the rainy season, the retention time and water level was high and the influence of the river
28 in the surface water of the reservoir was restricted to a small area (Fig. 2a, c). However, when the
29 water level and retention time was low, the transition zone moved toward the dam and the river

1 inflow influenced the surface Chl and pCO₂ over more than 40% of the total reservoir surface
2 area (Fig. 2b, d). As previously reported, when the retention time is short, a reservoir can become
3 a fluvial-dominated system (Straškraba, 1990).

4 The size of the river-influenced area over the reservoir surface water also depends on the water
5 density. Differences in the river and reservoir temperatures, the total dissolved solids, and the
6 suspended solids can cause a density gradient in the water column. Depending on the water
7 density differences between the inflow and the reservoir, the river can flow into the downstream
8 area as overflow, underflow, or interflow (Martin and McCutcheon, 1998). During the rainy
9 season in the Funil Reservoir, due to the substantial difference between the river and reservoir
10 surface temperatures (~4 °C), the river water progressively sinks down (underflow) and
11 contributes to the thermal stability of the water column (Fig. 5a, Assireu et al., 2011). The denser
12 river water flows under the lighter reservoir water and waves and billows develop along the
13 interface due to the shear velocity. This behavior is indicative of Kelvin-Helmholtz instability, in
14 which waves made up of fluid from the current (river) promote mixing with the reservoir water
15 (Thorpe and Jiang, 1998; Corcos and Sherman, 2005) (Fig. 5c). This mixing, and the high
16 nutrient concentration coming from Paraíba do Sul River (Table 2), may explain the high Chl
17 levels observed in the transition zone (Fig. 3).

18 Many cold fronts pass through the Brazilian midwest and southeast during the dry seasons.
19 (Lorenzetti et al., 2005, Alcântara et al., 2010). As a result, the decrease in the reservoir surface
20 temperature (Table 2) and consequent decrease in the density difference between the river and
21 reservoir surfaces leads to river inflow that is characterized by inter-overflow (Fig. 5b,d). In an
22 inter-overflow, the riverine characteristics of high turbulence, pCO₂ and low Chl are observed in
23 the reservoir surface 5 km toward the dam (Fig. 3a,b). Although there are high nutrient
24 concentrations in the transition zone (Table 1) between S19 and the river, the surface water is
25 dominated by river flow with low Chl concentrations (Fig. 3). Favorable conditions for
26 phytoplankton blooming will only exist down-reservoir in the transition zone where the inflow
27 mixes with the reservoir and loses velocity (Vidal et al., 2012).

28 The simulation of the rainy season (Fig. 6) indicated a minimal influence from the river inflow
29 on the surface water, which was suggested by the thermal stability in the transition zone (Fig.
30 5a). The simulation of the dry season represented the overflow, particularly at night (Fig. 6b).

1 However, the simulation did not represent intrusions of the river water on the different depths
2 (every 2.5 m) as suggested by the temperature profile in the transition zone (Fig. 5b). The
3 variation in the river inflow over the day (Fig. 6) occurred in response to the lag change in the
4 temperature of the river and the reservoir. During the rainy season, this oscillation enhanced the
5 intake of nutrients into the euphotic zone when the reservoir surface temperature decreased and
6 the river temperature reached its maximum at the end of the day (Table 3). During the day, when
7 the river temperature dropped, the large peak of Chl in the transition zone (Fig. 3a) could be a
8 result of developing diurnal stratification (Fig. 5). During the dry season, peak Chl occurs five
9 kilometers further downstream (Fig. 3b) because the inflow never plunges due to lower
10 temperature differences between the river and reservoir surfaces.

11 **4.3. Spatial and temporal heterogeneity**

12 As a result of the phytoplankton growth associated with these physical features, there are large
13 spatial and temporal variations in the CO₂ fluxes in the Funil Reservoir. Several studies of
14 hydropower reservoirs have suggested that significant CO₂ emits from these systems into the
15 atmosphere at a global scale (St Louis et al., 2000; Roehm and Tremblay, 2006; Barros et al.,
16 2011; Fearnside and Pueyo, 2012). However, recent studies have shown that the growing nutrient
17 enrichment caused by human activities (eutrophication) can reverse this pattern in some
18 hydropower reservoirs (Roland et al., 2010) and natural lakes (Pacheco et al., 2014). Our study
19 indicates that the Funil Reservoir is spatially heterogeneous with high CO₂ emissions in the
20 riverine zone and high CO₂ uptake in the transition and lacustrine zones. Temporally, the
21 reservoir near the dam is undersaturated in pCO₂ mainly between October and December (wet
22 season) and is supersaturated in pCO₂ between April and June (dry season, Table 1). Similarly,
23 higher values of pCO₂ were previously reported during the dry season in the Funil Reservoir
24 (Roland et al., 2010).

25 We could have different or opposite conclusions if the spatial and temporal pCO₂ data were
26 analyzed separately. Previous studies suggested that in natural small lakes, a single sample site
27 would be adequate to determine whether a lake is above or below equilibrium with the
28 atmosphere and the intensity of the fluxes (Kelly et al., 2001). However, large spatial
29 heterogeneity of pCO₂ and CO₂ emissions into the atmosphere were observed in boreal (Teodoru
30 et al., 2011) and tropical (Roland et al., 2010) reservoirs. Our temporal data at the dam station

1 exhibited lower $p\text{CO}_2$ in October, November and December when the retention time was
2 extremely low (Table 4); however, this observation did not represent the entire reservoir. The
3 spatial data collected at low water levels showed low $p\text{CO}_2$ in the dam as well; however, almost
4 half of the reservoir is supersaturated due to river influences (Fig 2d). The average $p\text{CO}_2$ during
5 low retention time was $881 \mu\text{atm}$ over the whole reservoir area, contrasting with only $69 \mu\text{atm}$
6 near the dam. Furthermore, if we considered only one station near the dam to estimate the CO_2
7 flux between the lake surface and atmosphere, the conclusion would be contradictory. For
8 example, during periods of low retention time, the calculated CO_2 flux indicated that the CO_2
9 flux would be $-17.6 \text{ mmol m}^{-2} \text{ d}^{-1}$ (CO_2 sink) for one-spot temporal data and $22.1 \text{ mmol m}^{-2} \text{ d}^{-1}$
10 (CO_2 source) for the whole reservoir (Table 4).

11 Same contradictory conclusions can be expressed when studies with low sample site numbers are
12 considered for spatial heterogeneity. Previous studies looking at the heterogeneity of the Funil
13 Reservoir indicated no phytoplankton biomass peak in the transition zone (Soares et al., 2012).
14 In our study, the Chl data collected every 1000 m as a proxy were able to show a clear transition
15 zone within the reservoir. Furthermore, data analysis in Soares et al (2012), considering four
16 sampling stations, exhibited high spatial heterogeneity in periods of high retention times (high
17 water level). In contrast, we found high spatial heterogeneity in low retention times,
18 corresponding to periods of high influence from the river on the surface water. Thus, the
19 different conclusions in Soares et al. (2012) may be explained by the variation in the spatial
20 distribution of the transition zone location, once the retention time and inflow are used as key
21 parameters to define its location (Fig. 2c,d).

22

23 **5 Conclusion**

24 In summary, the seasonal and spatial variability of the Chl and CO_2 fluxes in the Funil Reservoir
25 are mainly related to river inflow and retention times. However, the relationship between the
26 $p\text{CO}_2$ and Chl suggests that primary production regulates the surface CO_2 fluxes in the transition
27 and lacustrine zone. The average spatial data showed CO_2 emissions into the atmosphere during
28 periods of low retention time (even with higher Chl) due to the river's influence on the surface
29 water and CO_2 uptake during periods of high retention time when the river plunges and flows
30 under the reservoir. However, the retention time threshold that seals the transition between a

1 source and sink of CO₂ could not be determined. A comparison between the spatial (42 stations)
2 and temporal data (one station) indicated that different conclusions may be drawn if spatial
3 heterogeneity is not adequately considered. Furthermore, transition zone location changes over
4 the year must be considered when a low number of stations are used to represent spatial
5 heterogeneity. The lack of spatial information about CO₂ fluxes could lead to erroneous
6 conclusions about the importance of hydropower reservoirs in the freshwater carbon cycle. The
7 Funil Reservoir is a dynamic system where the hydrodynamics linked to the river inflow and
8 retention time control both pCO₂ and Chl spatial variability and seem to regulate most ecological
9 processes.

10

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18

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- 19

1 Table 1. Average CO₂ fluxes (mmol m⁻² d⁻¹) calculated using spatial and temporal data. Positive
 2 fluxes denote net gas fluxes from the lake into the atmosphere. In the last column, the different
 3 letters represent significant differences (t-test, p < 0.05). Small letters represent differences
 4 between the fluxes in the reservoir zones, and capital letters represent the differences between
 5 the fluxes during the seasons.

	CO ₂ fluxes mmol m ⁻² d ⁻¹						Significant differences
	Area (km ²)	k ₆₀₀ (MacIntyre et al. 2010)		k ₆₀₀ (Cole & Caraco 1998)		Spatial data	
		Average	Std. Dev.	Average	Std. Dev.		
Rainy - Summer							
Entire Reservoir	36.0	-10.1	26.8	-7.2	21.9		
Riverine Zone	5.7	44.5	6.5	37.6	5.5		a
Transition Zone	9.3	-24.8	15.3	-19.1	11.7		b, e
Lacustrine Zone	20.9	-18.3	9.1	-14.1	7.0		b
Dry - Winter							
Entire Reservoir	34.3	24.6	61.5	22.1	50.8		
Riverine Zone	13.7	93.0	13.3	78.7	11.2		c
Transition Zone	7.6	-4.7	51.5	-2.0	42.1		d
Lacustrine Zone	13.1	-29.7	18.1	-22.9	13.9		e
At the Dam							
All data throughout the year		-0.1	39.8	-0.9	33.1		
Rainy - Spring		-28.6	24.6	-27.1	18.5		A
Rainy - Summer		8.1	41.8	7.6	35.6		B
Dry - Autumn		23.7	39.2	19.6	29.9		C
Dry - Winter		-0.4	33.0	-0.6	25.5		D

6

7

8

- 1 Table 2. Average and standard deviations of environmental and chemical variables from station
2 S28 (near the dam) and river. *Cumulative precipitation over three months

3

Months	Oct-Dec		Jan-Mar		Apr-Jun		Jul-Sep	
Season	Rainy - Autumn		Rainy - Summer		Dry - Spring		Dry - Winter	
	Average	Std. Dev.	Average	Std. Dev.	Average	Std. Dev.	Average	Std. Dev.
Air temperature (°C)	22.5	4.0	24.0	3.3	20.7	3.1	19.6	4.0
Alkalinity (mg L ⁻¹ as CaCO ₃)	11.0	0.2	15.5	4.6	11.3	3.7	12.5	3.0
Chlorophyll (mg L ⁻¹)	12.9	12.8	23.8	20.6	3.0	0.2	23.2	35.0
Total Phosphorus (µg L ⁻¹)	42.3	8.5	41.7	12.2	18.4	8.6	33.7	28.0
Total Nitrogen (µg L ⁻¹)	1264.6	357.1	1143.2	305.3	1505.6	454.3	1203.3	299.7
Maximum Depth (m)	65.1	1.8	69.3	1.4	71.6	2.5	69.1	4.4
Mean Reservoir Depth (m)	19.3	0.4	20.3	0.4	20.9	0.7	20.3	1.1
pCO ₂ (µatm)	68.9	118.6	848.9	1027.5	1111.8	907.5	521.9	618.5
Precipitation (mm)*	547.0		420.2		230.2		71.6	
Retention Time (days)	27.9	7.7	33.0	9.0	36.4	6.4	33.2	7.4
Max Daily Solar Radiation (W m ⁻²)	937.7	276.1	958.1	246.8	716.9	227.2	758.0	189.7
Surface Water temperature (°C)	24.7	1.1	27.1	1.0	24.1	1.7	22.0	1.0
Wind Speed (m s ⁻¹)	-	-	1.6	1.2	1.4	1.3	1.6	1.5
River Total Phosphorus (mg L ⁻¹)	80.6	-	77.1	-	42.4	-	88.3	-
River Total Nitrogen (mg L ⁻¹)	1535.5	-	2072.5	-	1524.2	-	1972.6	-
River Total Carbon (mg L ⁻¹)	12.9	2.0	13.3	1.8	13.7	2.5	12.1	2.9
Downstream Total Carbon (mg L ⁻¹)	12.4	2.3	11.8	0.3	13.7	2.6	11.9	1.6
Inflow (m ³ s ⁻¹)	224.2	58.9	236.4	74.1	234.1	36.7	168.9	28.7
Outflow (m ³ s ⁻¹)	223.6	57.2	236.4	74.1	226.0	30.9	219.1	10.7

* Cumulative precipitation over three months

4

5

1 Table 3. Average of the hourly temperature profile collected by thermistor chain located at
 2 station S05 (river) on February 29, 2012 (rainy season) and September 20, 2012 (dry season).

3

Rainy season					
Hour (LT)	River Temp. (°C)		Hour (LT)	River Temp. (°C)	
	Average	Std. Dev.		Average	Std. Dev.
00:00	28.39	0.04	12:00	27.71	0.03
01:00	28.28	0.04	13:00	27.72	0.04
02:00	28.17	0.05	14:00	27.79	0.11
03:00	28.07	0.03	15:00	27.97	0.06
04:00	28.00	0.02	16:00	28.03	0.02
05:00	27.91	0.04	17:00	28.16	0.09
06:00	27.85	0.04	18:00	28.34	0.09
07:00	27.77	0.05	19:00	28.49	0.06
08:00	27.73	0.00	20:00	28.63	0.04
09:00	27.72	0.01	21:00	28.70	0.01
10:00	27.71	0.02	22:00	28.67	0.03
11:00	27.69	0.01	23:00	28.55	0.05
Max	28.70 (21:00 h)				
Min	27.69 (11:00 h)				

Dry season					
Hour (LT)	River Temp. (°C)		Hour (LT)	River Temp. (°C)	
	Average	Std. Dev.		Average	Std. Dev.
00:00	23.90	0.02	12:00	23.80	0.08
01:00	23.88	0.02	13:00	23.82	0.02
02:00	23.80	0.06	14:00	23.87	0.04
03:00	23.74	0.04	15:00	23.89	0.04
04:00	23.71	0.04	16:00	24.00	0.04
05:00	23.66	0.01	17:00	23.97	0.05
06:00	23.64	0.01	18:00	23.99	0.08
07:00	23.60	0.04	19:00	24.08	0.02
08:00	23.57	0.03	20:00	24.03	0.02
09:00	23.59	0.01	21:00	24.00	0.02
10:00	23.62	0.02	22:00	23.96	0.02
11:00	23.65	0.02	23:00	23.95	0.02
Max	24.08 (19:00 h)				
Min	23.57 (08:00 h)				

4

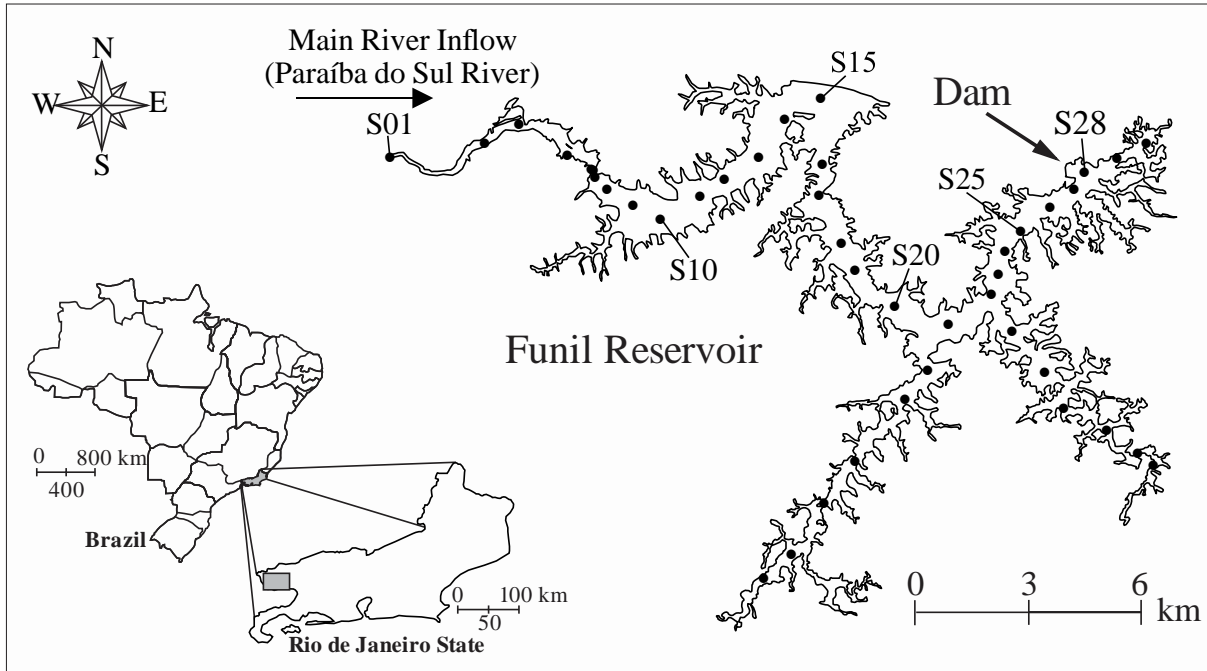
1 Table 4. Comparison between CO₂ fluxes (mmol m⁻² d⁻¹) calculated during periods of low
 2 retention time and high retention time. Positive fluxes denote net gas fluxes from the lake to the
 3 atmosphere. Statistical analyses showed significant differences between temporal and spatial
 4 data and between low and high retention times (t-test, p < 0.05).* We considered data for low
 5 retention and high retention time as values less than 25 days and more than 38 days, respectively.
 6 The average CO₂ fluxes during periods of intermediate retention time were close to 0 (0.5 mmol
 7 m⁻² d⁻¹).

8

	CO ₂ fluxes mmol m ⁻² d ⁻¹			
	Low retention time		High retention time	
	Average	Std. Dev.	Average	Std. Dev.
Temporal data	-18.6	30.3	14.5	33.6
Spatial data	24.6	61.5	-10.1	26.8

9

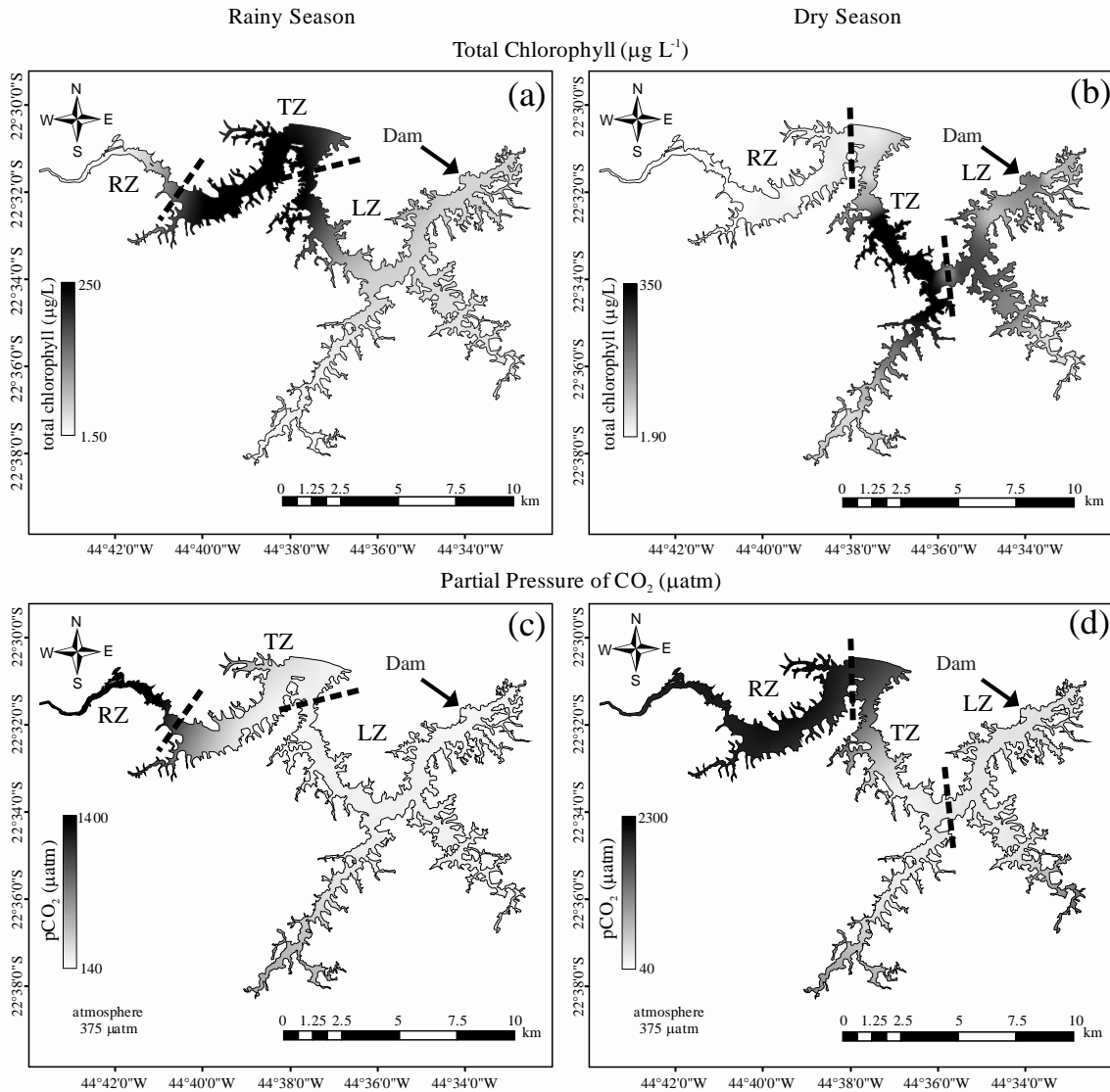
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1

2 Figure 1. Map of the Funil Reservoir showing the geographic location and sampling stations.

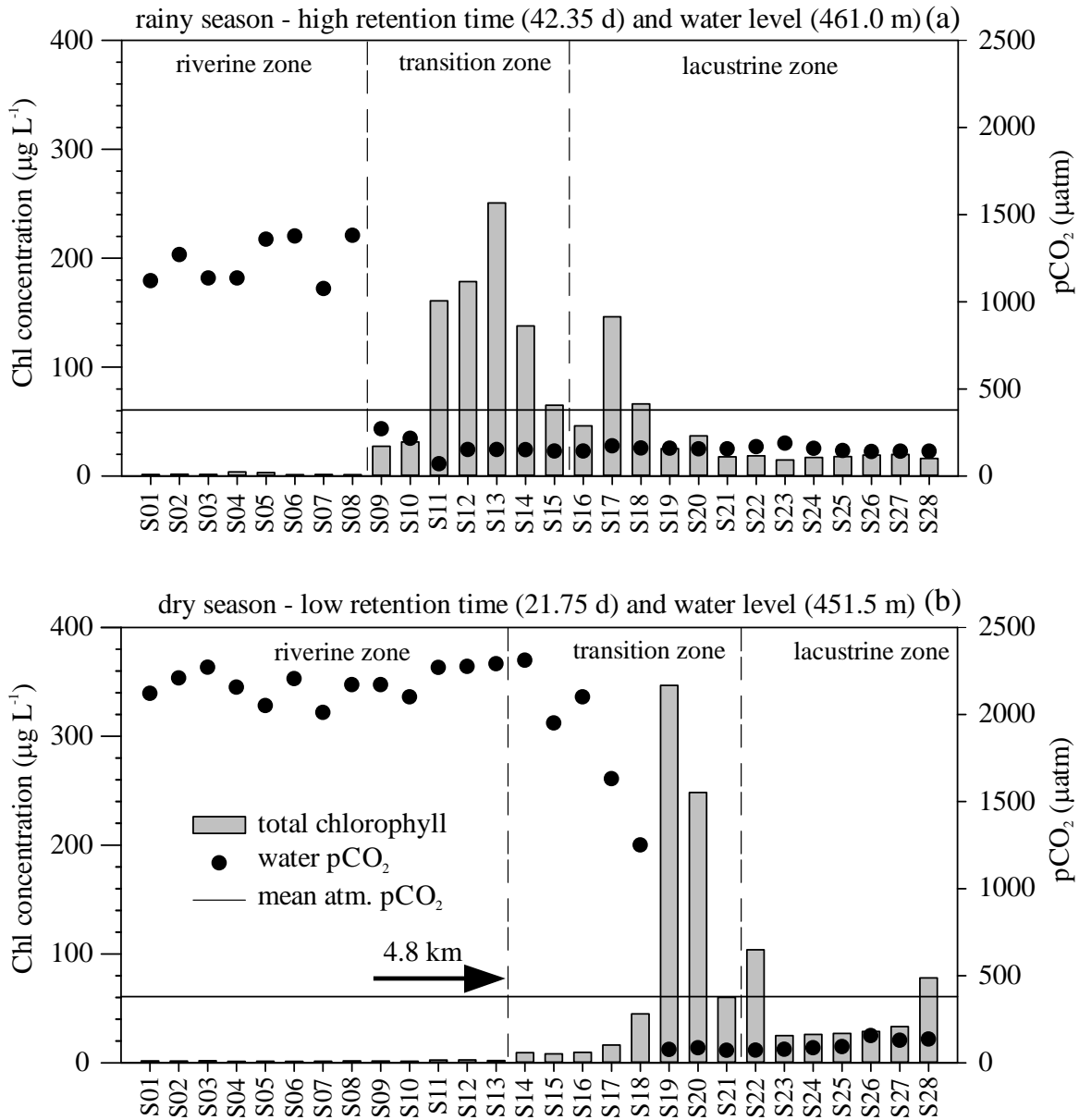
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1

2 Figure 2. Map of pCO_2 and Chl as expressed by a color gradient obtained from interpolation of
 3 measured data using Ordinary Kriging statistics. The root mean-square error (RMSE) of the
 4 Kriging prediction, calculated by comparing observed and calculated values, was $90 \mu\text{atm}$ and 15
 5 $\mu\text{g L}^{-1}$ for pCO_2 and Chl, respectively. Lighter gray represents low Chl (a, b) and low pCO_2 (c,
 6 d). RZ = Riverine Zone, TZ = Transition Zone, LZ = Lacustrine Zone.

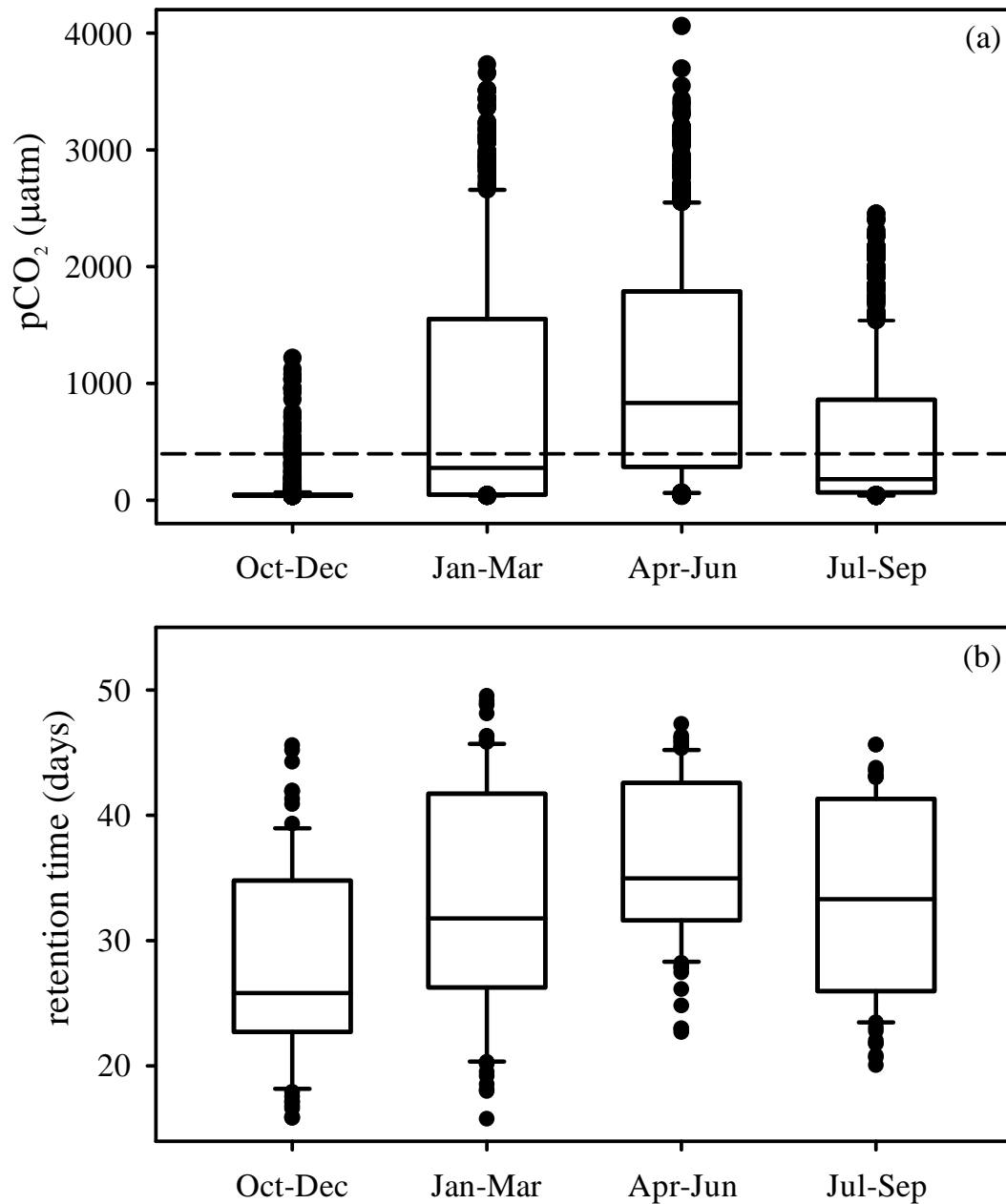
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1

2 Figure 3. Lotic-lentic gradient of pCO₂ and Chl at the 28 sampling stations in the main reservoir
 3 body during the rainy season (a) and dry season (b). The water level was 461.0 and 451.5 during
 4 the rainy season and dry season, respectively. Three zones can be clearly defined (riverine,
 5 transition and lacustrine zones). The arrow shows that the transition zone starts 4.8 kilometers
 6 down-reservoir during a period of low water levels.

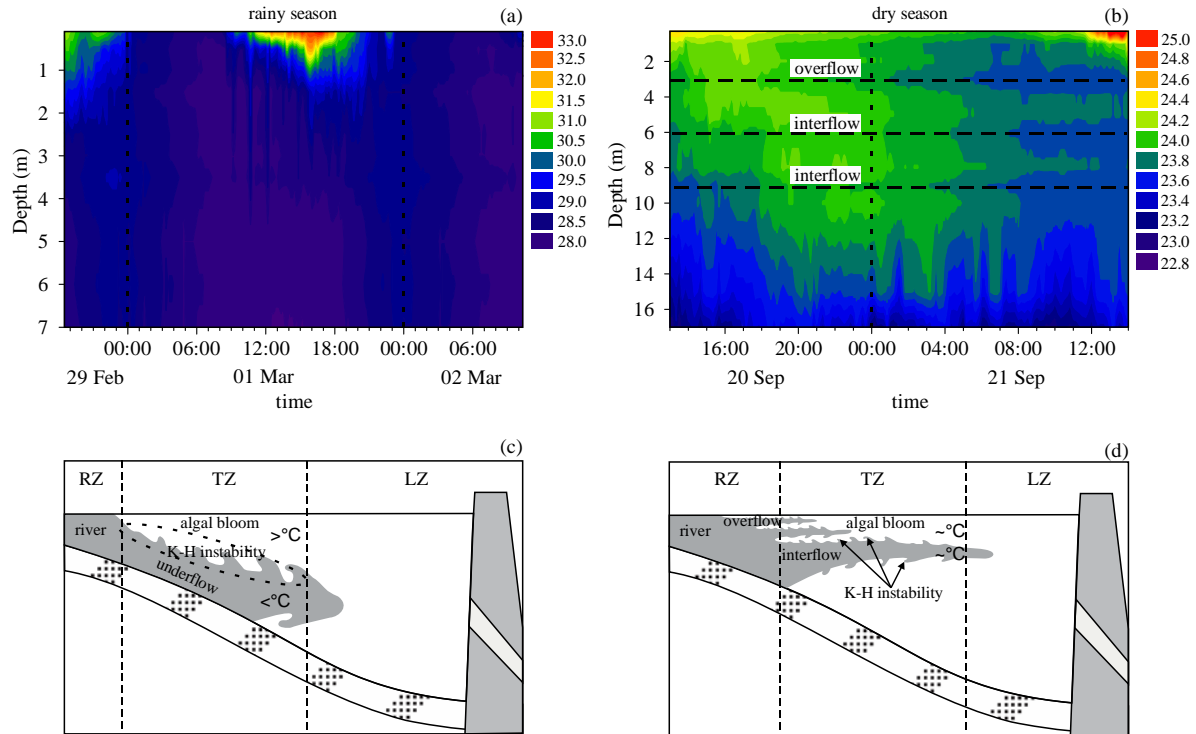
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1

2 Figure 4. Box plot of the pCO₂ at station S28 near the dam (a) and the mean reservoir retention
 3 time (b) over the studied year. The dashed line represents the average pCO₂ in the atmosphere
 4 (375 µatm). The data are subdivided into four seasons: rainy-spring (Oct-Dec), rainy-summer
 5 (Jan-Mar), dry autumn (Apr-Jun) and dry winter (Jul-Sep).

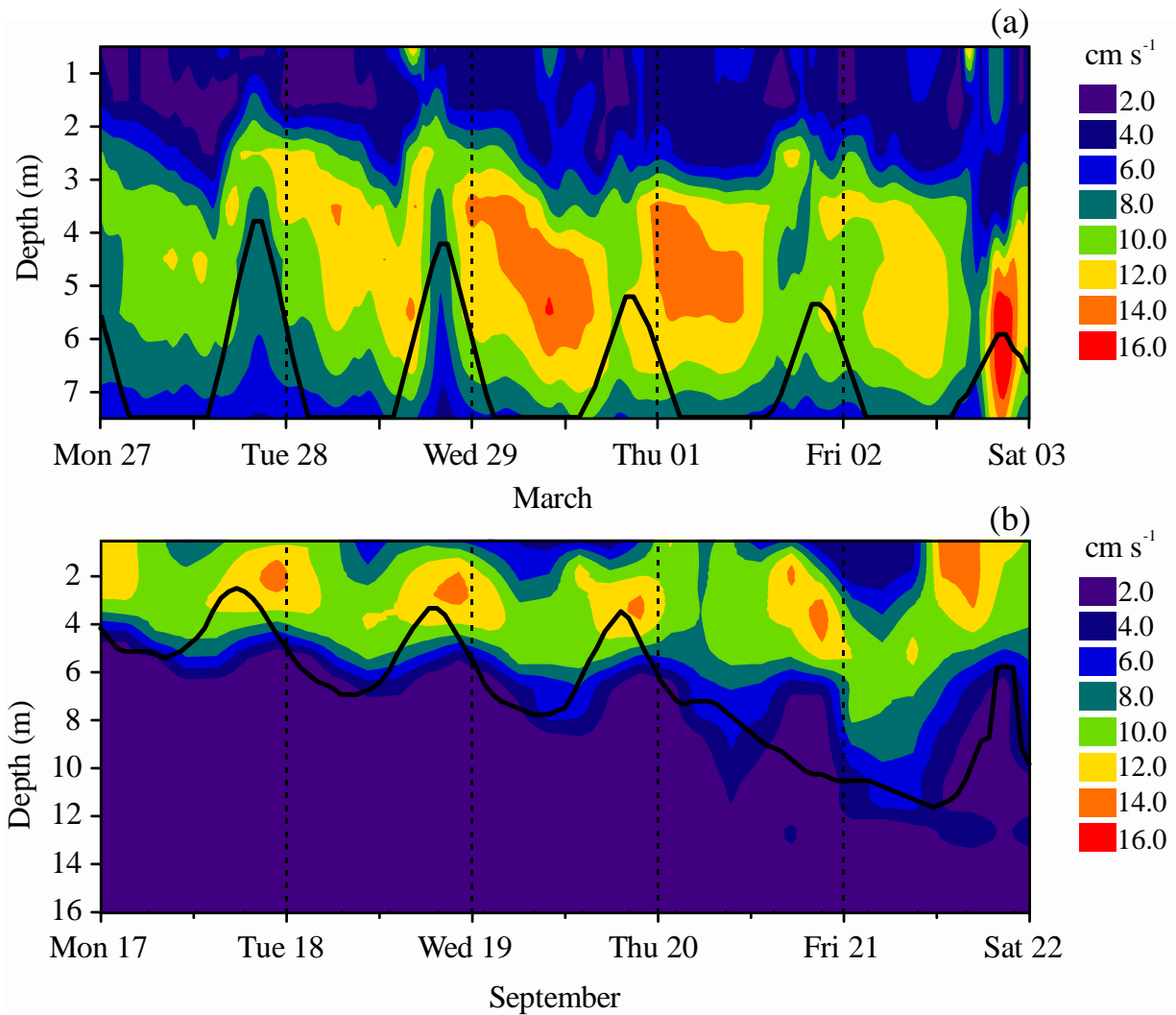
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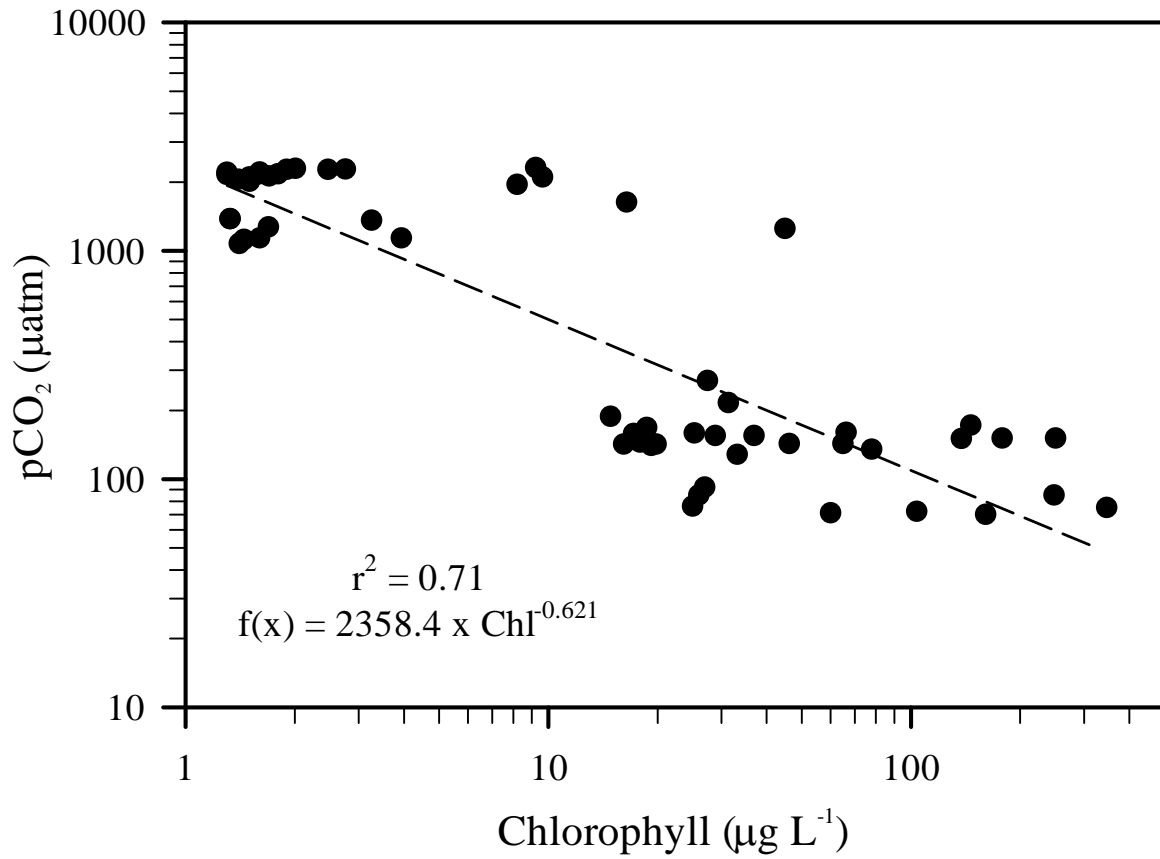
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2 Figure 5. The temperature profile collected at station S09 during the rainy season (a) and at
 3 station S14 during the dry season (b). The dashed line represents the depths where the river flows
 4 as overflow or interflows. During the rainy season, the river plunges and flows under the
 5 reservoir (underflow) due to differences in density (c). The waves and billows develop along the
 6 interface due to the shear velocity (Kelvin-Helmholtz instability) and facilitate vertical mixing
 7 (see text). During the dry season, the river flows as overflow or interflow (d) because the
 8 difference in the density between the river and reservoir is low. In this situation, the river can
 9 influence the reservoir surface water more 5 kilometers toward the dam. RZ = Riverine Zone, TZ
 10 = Transition Zone, LZ = Lacustrine Zone.

11



1
 2 Figure 6. Simulated velocity profile using realistic forcing. Higher velocities represent the depth
 3 where the river flows through the transition zone. The river flows as underflow during the rainy
 4 season when a denser (colder) river plunges beneath the surface and flows downward along the
 5 bottom as a gravity-driven density current (a). The river flows as overflow during the dry season
 6 when the temperature from the river and reservoir are similar (b). As overflow, the river
 7 characteristics can be found many kilometers toward the dam at the water surface. The black line
 8 represents the depth of neutral buoyancy as estimated from temperature records, presuming that
 9 the lake and river water do not mix. The anomaly observed in the river flow and depth of neutral
 10 buoyancy between September 20 and 21, 2012 occurred due to a decrease in the river
 11 temperature during a rainfall that occurred at approximately 16:00 on September 20.



1

2 Figure 7. Relationship between the spatial pCO₂ and Chl data in the Funil Reservoir. The
 3 regression is represented by a dashed line ($r^2 = 0.71$, $p < 0.001$).

4