# Referee #1

We would like to thank the Referee #1 for his/her thorough remarks and comments. We address them below and we will upload the revised manuscript as soon as we receive further instruction from the Editorial Support.

Regarding the general comments:

We agree that the sediment is an important driver for the carbon cycling in reservoir. In the reviewed version of the manuscript, we emphasize the role of the sediment in the carbon cycling in Funil reservoir. Further, we analyzed the available data presented within the Ometto et al. 2013 reference as suggested by Referee #2 to show that sediment can be important to carbon emission especially as source of methane.

We also clarified the discussion about the data of CO<sub>2</sub> fluxes. We remade the statistical analyses; we found significant differences between the spatial data of the rainy and dry seasons; between spatial and temporal data; and between seasons over the year. The significant difference is mainly explained by the large sample size (hourly data). More details and results of statistical analysis were included in the manuscript.

The error in Equation 2 is a typo and we used the correct equation to calculate the fluxes. We clarified the units of the components in the *Methods* section to match with the flux unit.

Regarding the specific comments:

Following the suggestion made by the Referee #1, the manuscript were carefully revised and all the grammar mistakes were fixed. Some abbreviations suggested by the Copernicus Production Office such as 'meter above sea level (m a.s.l.)' and 'Local Time (LT)' were clarified or introduced at the first appearance.

(answers are quoted by "AR")

8533, line 17 – ' considering data..' re-cast, sentence is awkward. The average calculated CO2 fluxes were x based on temporal data near the dam versus x using the spatial data collected throughout the reservoir.

AR: We rewrote the sentence as suggested.

8533, line 20 - ... change completely the role. . .' perhaps re-cast. Be more specific – the take home message is that using temporal vs spatial data to calculate CO2 fluxes results in the reservoir acting as a sink or a source of CO2 (which can have implications towards regional and global C budgets).

AR: We rewrote some sentences in the abstract. "During periods of high retention time, the average CO<sub>2</sub> fluxes were 10.3 mmol m<sup>-2</sup> d<sup>-1</sup> based on temporal data near the dam versus - 7.2 mmol m<sup>-2</sup> d<sup>-1</sup> using spatial data collected along the reservoir surface. In this case, the use of temporal data alone to calculate the CO<sub>2</sub> fluxes results in the reservoir acting as a source instead of a sink of CO<sub>2</sub>. This suggest that the lack of spatial data to calculate the C budgets in reservoirs can affect regional and global estimates."

# 8535, line 21 – Cwa? Koppen system? Please clarify.

AR: We considered Köppen Climate Classification System to classify the climate in the region. We removed this classification as suggested by Referee #2.

8539, equation 2 - This equation is not correct. The correct equation to calculate kco2 from k600 is:  $kco2 = k600(Sc/600)^{-0.5}$ .

AR: The error in Equation 2 was a typo; we used the correct equation to calculate the fluxes.

*k*<sub>600</sub> is the *k* for a Schmidt number (Sc) of 600 at a given temperature (not necessarily at 20C, as incorrectly stated in line 2 on the same page – please correct/clarify).

AR: We rewrote the sentence in order to clarify the context.  $k_{600}$  is the k for CO<sub>2</sub> at 20°C. We used the equation in Wanninkhof (1992) to determine the Sc at a given temperature. Once k and Sc is known for CO<sub>2</sub> at 20° ( $k_{600}$ ), k can be calculated for CO<sub>2</sub> at a given temperature by the ratio of the Schmidt numbers (Jahne et al., 1987).

What k was used? K at 20C or k at temperature? Given the description of equation 3, I am assuming at temperature and not at 20C. Please clarify. K at temperature should have been used to calculate CO2 fluxes.

AR: We clarified the Methods section. We used k at temperature to calculate CO<sub>2</sub> fluxes.

Units? Line 1, same page – k units are described for equation 1. However, to be consistent, please clarify all units of each component of the all equations throughout the manuscript (especially in regards to k – since k can be described as a velocity (units of distance time-1) or a coefficient (units of time-1)).

AR: We fixed the units to match with the flux unit: CO<sub>2</sub> Flux (mmol m<sup>-2</sup> d<sup>-1</sup>); gas transfer velocity – k (m d<sup>-1</sup>); solubility coefficient of CO<sub>2</sub> –  $\alpha$  (mmol m<sup>-3</sup>  $\mu$ atm<sup>-1</sup>); pCO<sub>2</sub> ( $\mu$ atm). We calculated K<sub>600</sub> in cm h<sup>-1</sup> and converted to m d<sup>-1</sup>.

Also, regarding the calculation of k600 from Cole & Caraco 1998, did the authors consider using other equations for k600 which may account for the stratification of the reservoir? The reservoir was stratified at the time of sampling. Why was that not taken into consideration for calculating reaeration? Given previous literature on reservoirs & impoundments on CO<sub>2</sub> outgassing, sedimentation is often a high source of CO<sub>2</sub> (and other green house gases). I wonder if not taking into account the stratification of the lake, a component is missing in regards to scaling up CO<sub>2</sub> fluxes. Such equations are described in Staehr et al. 2012 Limnology & Oceanography (57(2), pages 1317-1330)). AR: We considered using other equation as suggested by the Referee. We compered k<sub>600</sub> calculated using the Cole & Caraco (1998) equations and the equations described in Saehr et al. (2012) and MacIntyre et al. (2010). The equations to calculate k<sub>600</sub> described in MacIntyre et al. (2010) and used by Staehr et al. (2012) indicates that k<sub>600</sub> is negatively and significantly correlated to buoyancy flux when the lake is cooling. Applying the proposed equations to calculate k<sub>600</sub>, we observed an increase in the fluxes mainly between Abril and June (Dry – Autumn) when the surface temperature decreased. The fluxes calculated with this method were -28.58, 8.08, 23.70 and -0.41 mmol m<sup>-2</sup> d<sup>-1</sup> for the periods of Oct-Dez, Jan-Mar, Abr-Jun and Jul-Sep, respectively. The equations proposed by MacIntyre et al. may improve the fluxes estimates; however, the differences did not change significantly our results. The comparison between k<sub>600</sub> calculated by these methods was added to the manuscript.

8539, line 16 - please include the equation and units used to calculate  $k_{600}$  for the riverine zone.

AR: We added the equation from Borges et al. (2004)

$$k_{600} = 1.719 w^{0.5} h^{-0.5}$$

Where  $k_{600}$  current is the gas transfer velocity of CO<sub>2</sub> (cm h<sup>-1</sup>), *w* is the water current (cm s<sup>-1</sup>), and *h* is the depth (m).

8540, line 6 – Re-cast sentence into two separate sentences.

# **AR: Done**

8540, line 26 - I don't quite follow what is meant by 'numerical domain'. I follow that some measure of continuous data or transect was converted to discrete subsets, but what exactly - I don't follow. Please clarify.

AR: We assume 'numerical domain' referring to the digital representation of reservoir bathymetry and was defined based on the bathymetric data available for this study. The depth samples (latitude, longitude and depth) collected during the field campaigns were interpolated to a regular grid with 100 X 100 m and then we used as the 'numerical domain' during the simulations with ELCOM model. It was clarified in the text by the insertion of "We assume 'numerical domain' referring to the digital representation of reservoir bathymetry and was defined based on the bathymetric data available for this study."

8541, Paragraph starting on line 7 – Within this paragraph, the authors describe 2 sub-models that were 'activated'. Re-cast this section to clarify the role of these sub-models.

AR: We clarified the role of the sub-models. The atmospheric stability sub-mode was active during the simulation due to the presence of persistent unstable atmospheric conditions over tropical reservoirs (Verburg and Antenucci, 2010); this procedure is appropriate in the cases in which the meteorological sensors are located within the internal boundary layer over the surface of the lake and data is collected at sub-daily intervals (Imberger and Patterson, 1990). In this manner, at each model time step the heat and momentum transfer coefficients were adjusted based on the stability of the ABL. The stability of ABL is evaluated through the stability parameter, derived from the Monin-Obukhov length scale. ELCOM uses the similarity functions presented in Imberger and Patterson (1990) for both cases, stable (negative values stability parameter) and unstable conditions (positive values).

The Coriolis sub-model was also activated during the simulation and then Coriolis force was considered in the Navier-Stokes equation. This force causes the deflection of moving objects (in this case the water currents) when they are viewed in a rotating reference frame (e.g. the Earth).

8542, line 22 – re-cast to present the results in chronological order. January to July first, then July to September – it perhaps would be easier to follow.

AR: We rewrote the results in chronological order as suggested.

8546, line 4 – re-cast sentence, awkward, not concise. I would break this point into more than one sentence.

AR: We rewrote the sentence to clarify: 'Since nutrient availability in Funil Reservoir is high during the entire year (Table 2), phytoplankton growth is not limited by nutrients in the lacustrine zone. However, seasonal variation of factors that controls stability and stratification, such as temperature, wind and mixing zone depth may inhibit algal growth near the dam especially between April and June.'

8546, line 9 – probably 'measured' or 'observed' would be more appropriate than 'we found net uptake. . ..'

AR: We changed the words as suggested.

8546, line 15 – mineralization – of what to what? Transformation? Please clarify. Also include a 'the' before carbon.

AR: We clarified that. 'However, in the lacustrine zone, the higher depth and high temperature may promote the decomposition of dead phytoplankton generating CO<sub>2</sub> or CH<sub>4</sub> in the water column before it reaches the sediment.'

8547, line 5 – insert a 'the' before transition zone and this not a full sentence – re-cast (I think the authors meant 'The position of the transition zone of the reservoir moves as a result of the season).

AR: The sentence was rewrote as suggested.

8647, line 26 – here Chlorophyll a is specifically mentioned. Throughout the manuscript, Chl was used, which I understand was a combination of several chlorophyll pigments. Please be consistent throughout.

AR: The value of Chl is a combination of chlorophyll pigments and we corrected this specific mention in the manuscript.

8548, line 7 – perhaps recast. The conditions are not right when the surface water is dominated by riverine water. It isn't until the conditions are more 'lake' – like that the conditions are optimal for phytoplankton to bloom.

AR: We rewrote the sentence. 'Favorable conditions for phytoplankton blooming will only exist down-reservoir in transition zone where the inflow mixes with the reservoir and loses velocity (Vidal et al., 2012).'

8548, line 10 – sentence beginning with . . . 'The results. . .' Please re-cast sentence. Awkward and difficult to discern what the authors are attempting to convey. Also, the sentence following this particular sentence needs to be clarified. I am unsure what is meant by 'The daily scale variation. . . "?

AR: We rewrote the sentences. 'The simulation of the rainy season (Fig. 6) showed low influence of the river inflow in the surface water, suggested by the thermal stability at transition zone (Fig. 5a). The simulation of the dry season represented the overflow, especially at night (Fig. 6b). However, the simulation did not represent the intrusions of the river water on different depths (every 2.5 m) suggested by temperature profile at transition zone (Fig. 5b). The variation of the river inflow over the day (Fig. 6) occurs as response of the lagged change in temperature of the river and reservoir. In the rainy season, this oscillation enhanced the intake of nutrients in the euphotic zone when the reservoir surface temperature decreases and the river temperature reaches its maximum in the end of the day (Table 3).'

8549, line 27+ – spatial heterogeneity discussion? Re-cast/clarify. There are quite a few areas within this entire paragraph that should be re-written. The writing is unclear and too colloquial.

AR: We rewrote the entire paragraph as suggested.

# References

Borges, A. V., Vanderborght, J.-P., Schiettecatte, L. S., Gazeau, F., Ferrón-Smith, S., Delille, B., and Frankignoulle, M.: Variability of the gas transfer velocity of CO2 in a macrotidal estuary (the Scheldt), Estuaries, 27, 593-603, doi: 10.1007/BF02907647, 2004.

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Imberger, J., and Patterson, J. C.: Physical Limnology, Adv Appl Mech, 27, 303-475, 1990.

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Wanninkhof, R.: Relationship between wind-speed and gas-exchange over the ocean, J Geophys Res-Oceans, 97, 7373-7382, doi: 10.1029/92jc00188, 1992.

# Referee #2

We thank the Referee #2 for the helpful review. Our detailed responses to each of the comments follow below.

Regarding the General Comments:

We agree with the Referee #2 that the sediment carbon fluxes are important for the carbon cycling and must be addressed. As suggested, we extended the discussion and added more information about sediment in the manuscript. We analyzed the data from Ometto et al. (2013) to show that sediment can be important to carbon emission especially as source of methane.

We also believe that the physical effects of the underflow on the sediment may influence the carbon deposition and the carbon fluxes. We are conducting studies to answer a similar question made by Referee #2. This important question must be answered specially in river valley reservoir where the river inflows represent one of the major forcing. At this point, we added a discussion about this topic in the manuscript as suggested by Referee #2 and we proposed to focus on answer this question on future works.

We remade all statistical analysis and all comparison and differentiation made in the manuscript is statistically significant. We added more information about the statistical analysis in the manuscript. We think that the median values should not be used since median do not represents the net carbon fluxes over the considered time or space.

Regarding the specific comments:

All grammar issues were carefully fixed. Below, we pointed out answer (quoted as "AR") for all referred items.

8533: 11 - s on factors 14 - s on conclusion 21 - potentially 'a' more important source. . .

# AR: Done.

8534: 24 – differences 'in'. . . 29 – large nutrient loads. . .

# AR: The corrections have been made.

8535: 11- high density of spatial data 11 - our hypothesis is... 14 - the second hypothesis in not really a hypothesis, but more of a comment related to the investigation outlined in the first hypothesis. 20 - you can simply say elevation of 440m as this is a standard against mean sea level. 21 - what is the coppan system? Do you need to even reference wet-warm and dry cold?

AR: We changed the text as suggested and removed the reference. We also rewrote the first hypostasis and removed the second.

8536: 3 - I do not know what demographic density means.

AR: We rewrote the paragraph.

*4* – *restricted by rainfall?* 

# AR: We rewrote the paragraph.

*Generally* – *do you need the description of how the PHYTO-ED works? This seems extraneous to the manuscript.* 

AR: We removed part of the description of how the PHYTO-ED works as suggested.

8537: 16-20, This section seems to have result more than methods presented. The RMSE of the spatial fit seems to be more appropriate for the results. 26 – state water temperature.

# AR: We moved part or this section to the results as suggested.

8539: eq 2 appears to be incorrect. Please check the algebraic rearrangement from k600 - k. This should require all calculation for the (k) and hence the fluxes calculations be double checked.

AR: The error in Equation 2 is a typo; we used the correct equation to calculate the fluxes.

8541: 20 – you mention MODIS data but you need to be more specific. Was this 1km MODIS Aqua, Terra, what is the product and reference the dataset.

AR: We added additional detail about the data. The WST of Paraíba do Sul river inflow was retrieved using the M\*D11A1 L3 product (Wan, 2008). The M\*D11A1 is a standard products, generated using a split-window algorithm and seven spectral MODIS bands located in the regions of the shortwave infrared and thermal infrared. This algorithm is based on the differential absorption of adjacent bands in the infrared region (Wan and Dozier, 1996). The M\*D11A1 products have been validated at Stage 2 by a series of field campaigns conducted between 2000-2007, and over more locations and time periods through radiance-based validation studies. Accuracy is better than 1 K (0.5 K in most cases), as expected pre-launch. This product is generated up to four times each day (i.e., 10:30 h, 13:30 h, 23:30 h and 2:30 h) and is delivered in a georeferenced grid with 1 km of spatial resolution in a sinusoidal projection.

The cloud cover fraction over Funil reservoir was retrieved using MODIS Level 2 Cloud Mask product (named M\*D35L2) (Ackerman et al., 1998).The algorithm used to generate this product employ a series of visible and infrared threshold and consistency tests to specify confidence that an unobstructed view of the Earth's surface is observed. This product is generated up to four times each day (i.e., 10:30 h, 13:30 h, 23:30 h and 2:30 h) and is delivered in a georeferenced grid with 1 km of spatial resolution in a sinusoidal projection. The MODIS products were acquired online (http://reverb.echo.nasa.gov/reverb/) and preprocessed using the MODIS Reprojection Tool (available at https://lpdaac.usgs.gov). The data were first resampled to a 100 m spatial resolution (compatible with the bathymetric grid). They were then re-projected to the Universal Transverse Mercator (UTM) coordinate system (zone 22 South) with the World Geodetic System (WGS-84) datum as reference; they were then converted to a raster image. Finally, a MATLAB® program was then used to retrieve the WST at the rivers inflows and to compute the cloud cover fraction over the reservoir.

8542: In general, if Chlorophyll is used to determine the transition zone locations, then presumably this zone changes in size throughout the year, as do all of the other zones. How do the authors handle this in the analysis specifically? Perhaps just additional detail on this in the methods since it is included in the discussion.

AR: We added additional information in the methods section as suggested. We also added the area of each zone in the results. We determined the size of each zone (riverine, transition, lacustrine) of the reservoir in the dry and rainy seasons using the results from the spatial interpolation of the Chl data. After the interpolation, we used a pixel classification method to determine the boundaries of each zone (class). We checked the boundaries location with the observed data. Finally, we determine the area multiplying the number of pixels of each class by the area of each pixel. The boundary of each zone is represented in the Figure 2 by the dashed lines.

8545: 24-25 high pCO<sub>2</sub> from riverine sources may not only come from reduced phytoplankton due to turbidity. High CO<sub>2</sub> is prevalent in almost all river water, and may come from many difference sources, including terrestrial respiration. This should be made more clear.

AR: We rewrote this sentence. 'The high pCO<sub>2</sub> observed in the riverine may be explained by the terrestrial ecosystem respiration entering the river as dissolved soil CO<sub>2</sub>, the oxidation of allochthonous and emergent autochthonous organic carbon, the acidification of buffered waters, the precipitation of carbonate minerals, and the direct pumping of root respiration CO<sub>2</sub> from riparian vegetation (Butman & Raymond, 2011).'

8546: 17-19 this discussion would benefit from more detail that might have been presented within the Ometto et al 2013 reference. It appears that additional carbon data is available and would be useful to the reader within this manuscript. Specifically, if there are complementary data on the outflow CO2 and CH4 concentrations.

AR: We added more details about the sediment and we extended this section in the manuscript as suggested. We used data from Ometto et al. 2013 to show that sediment can be important to carbon emission especially as source of methane.

20-22 - especially because there is no data – the authors should use terms like 'could' instead of seems to.

AR: We changed as suggested.

References

Ackerman, S. A., Strabala, K. I., Menzel, W. P., Frey, R. A., Moeller, C. C. and Gumley, L. E.: Discriminating clear-sky from clouds with MODIS, J. Geophys. Res., 103D24, 32141-4 32173, 1998.

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# Marked-up manuscript version

1	River inflow and retention time affecting spatial
2	heterogeneity of chlorophyll and water-air CO <sub>2</sub> fluxes in a
3	tropical hydropower reservoir.
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5 6	F. S. Pacheco <sup>1</sup> , M. C. S. Soares <sup>2</sup> , A. T. Assireu <sup>3</sup> , M. P. Curtarelli <sup>4</sup> , F. Roland <sup>2</sup> , G. Abril <sup>5</sup> , J. L. Stech <sup>4</sup> , P. C. Alvalá <sup>1</sup> , J. P. Ometto <sup>1</sup>
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# 1 Abstract

Much research has been devoted to understanding the complexity of biogeochemical and physical 2 processes responsible for the greenhouse gas (GHG) emissions from hydropower reservoirs. 3 Spatial complexity and heterogeneity of GHG emission may be observed in these systems because 4 it is dependent on flooded biomass, river inflow, primary production and dam operation. In this 5 study, we investigated the relationships the between water-air CO<sub>2</sub> fluxes and the phytoplanktonic 6 biomass in Funil Reservoir, an old, stratified tropical reservoir, where intense phytoplankton 7 8 blooms and low partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) are observed. Our results showed that the Funil Reservoir seasonal and spatial variability of chlorophyll concentration (Chl) and  $pCO_2$  is more 9 related to changes in the river inflow over the year than to environmental factors such as air 10 temperature and solar radiation. Field data and hydrodynamic simulations reveal that the river 11 inflow contributes to the increased heterogeneity in the dry season due to the variation of reservoir 12 retention time and river temperature. Contradictory conclusions can be drawn if temporal data 13 collected only near the dam is considered instead of spatial data to represent CO<sub>2</sub> fluxes in whole 14 reservoir. During periods of high retention time, the average  $CO_2$  fluxes were 10.3 mmol m<sup>-2</sup> d<sup>-1</sup> 15 based on temporal data near the dam versus -7.2 mmol m<sup>-2</sup> d<sup>-1</sup> using spatial data collected along 16 the reservoir surface. In this case, the use of temporal data alone to calculate the  $CO_2$  fluxes results 17 in the reservoir acting as a source instead of a sink of CO2. This suggest that the lack of spatial 18 data to calculate the C budgets in reservoirs can affect regional and global estimates. Our results 19 20 support the idea that Funil Reservoir is a dynamic system where the hydrodynamics represented by changes in the river inflow and retention time is potentially a more important force driving both 21 Chl and pCO<sub>2</sub> spatial variability than in-system ecological factors. 22

**Commented [F1]:** 8533, line 17 – ' considering data..' recast, sentence is awkward. The average calculated CO2 fluxes were x based on temporal data near the dam versus x using the spatial data collected throughout the reservoir.

AR: We rewrote the sentence as suggested

Commented [F2]: AR: We rewrote some sentences in the abstract.

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### 1 1 Introduction

2 Over the last two decades, hydropower reservoirs have been identified as potentially important sources of greenhouse gas (GHG) emissions (St Louis et al., 2000; Rosa et al., 2004; Demarty et 3 4 al., 2011). In tropical region, high temperatures and the flooding of large amounts of biomass, including primary forest, result to intense GHG emission (Abril et al., 2005; Fearnside and Pueyo, 5 2012). However, emissions are larger in tropical Amazonian (Abril et al., 2013) than in tropical 6 7 Cerrado reservoirs (Ometto et al., 2011) and in younger than in older reservoirs (Barros et al., 2011). Large hydroelectric reservoirs, especially those created by impounding rivers, are 8 morphometrically complex and spatially heterogeneous (Roland et al., 2010; Teodoru et al., 2011; 9 Zhao et al., 2013). Different regions in terms of CO<sub>2</sub> may be observed in these systems because it 10 is dependent on flooded biomass, river input of organic matter, primary production and dam 11 12 operation regime. Furthermore, both heterotrophic and autotrophic activity influences CO<sub>2</sub> concentration along reservoirs and the role of these activities has been reported in subtropical (Di 13 Siervi et al., 1995), tropical (Roland et al., 2010; Kemenes et al., 2011) and temperate areas 14 (Richardot et al., 2000; Lauster et al., 2006; Finlay et al., 2009; Halbedel and Koschorreck, 2013). 15 As the sedimentation and light availability increase along the reservoir, the biomass of primary 16 producers may increase. The phytoplankton is distributed in patches along the reservoir due to 17 18 differences in habitat conditions linked to nutrient distribution, light availability and stratification (Serra et al., 2007). Also, hydrodynamics factors as retention time and river inflow showed to 19 20 influence the phytoplankton communities and growth (Vidal et al., 2012; Soares et al., 2008). Intense phytoplankton primary production has been identified as the main regulator of carbon (C) 21 budget in temperate eutrophic lakes (Finlay et al., 2010; Pacheco et al., 2014), however the impact 22 23 on tropical hydropower reservoir is still unclear.

River inflows may affect biogeochemical patterns in river valley reservoirs (Kennedy, 1999).
Density differences of the incoming stream and lake water, stream and lake hydraulics, strength of
stratification and mixing are features that control how the river water will flow when it reaches the
reservoir (Fischer and Smith, 1983; Fischer et al., 1979). As result of density differences between
river and lake water, the river enters in the lake and can flow large distances as a gravity-driven
density current (Ford, 1990; Martin and McCutcheon, 1998). The interaction of large nutrient loads
injected by river and the dynamic of river inflow can determine the spatial heterogeneity in

1	phytoplankton distribution (Vidal et al., 2012). Consequently, river inflow may affect primary
2	production along river/dam axis in hydropower reservoirs strongly influenced by river.
3	In this study, we investigated the relationships between phytoplanktonic biomass and water-air
4	CO <sub>2</sub> fluxes in an old, stratified tropical reservoir (Funil, state of RJ, Brazil), where intense
5	phytoplankton blooms and low $p\mathrm{CO}_2$ are observed in the water. We combine fieldwork and
6	modeling to analyze the respective impact of meteorological and hydrological factors on the spatial
7	and temporal dynamics of phytoplankton and the intensity of CO <sub>2</sub> fluxes. We show the effect of
8	the river inflow in the heterogeneity of $pCO_2$ and Chl in Funil Reservoir. We also compare
9	temporal data of pCO <sub>2</sub> collected near the dam with a high density of spatial data. Our hypothesis
10	is that the seasonal and spatial variability of pCO2 and Chl in Funil Reservoir is more related to
11	river inflow and retention time than external environmental factors such as air temperature and
12	solar radiation. We highlight that very different conclusions can be drawn regarding carbon cycle
13	in reservoirs if spatial heterogeneity is not adequately considered.

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### 15 2 Materials and Methods

### 16 2.1. Study Site

17 Funil Reservoir is an old impoundment constructed at the end of the 1960s and is located on Paraíba do Sul River, in a southern city (Resende) of the Rio de Janeiro State, Brazil (22°30'S, 18 44°45'W, Fig. 1). It is 440 m above sea level, with wet-warm summers and dry-cold winters. The 19 main purpose of Funil Reservoir is energy production, but the reservoir is also used for irrigation 20 21 and recreation. It has a surface area of 40 km<sup>2</sup>, mean and maximum depth of 22 and 74 m, respectively, and total volume of 890 x 10<sup>6</sup> m<sup>3</sup>. The maximum and minimum reservoir water level 22 occurs in the end of the rainy season (April) and dry season (October), respectively. From October 23 2011 to September 2012, the difference between minimum and maximum water level was 15.6 24 25 meters.

Funil Reservoir has a catchment area of 12,800 km<sup>2</sup> hosting one of the highest industrialized regions in Brazil. There are around 2 million people living inside the catchment area and 39 cities

depending on the Paraíba do Sul River for water supply. These cities comprises 2% of Brazil's

29 gross domestic product (GDP) (IBGE, 2010). In this area, 46% of sewage is untreated (AGEVAP,

**Commented [F3]:** 8535: 11- high density of spatial data 11 – our hypothesis is. . . 14 – the second hypothesis in not really a hypothesis, but more of a comment related to the investigation outlined in the first hypothesis. 20 – you can simply say elevation of 440m as this is a standard against mean sea level. 21 – what is the coppan system? Do you need to even reference wet-warm and dry cold?

AR: We changed the text as suggested and removed the reference. We also rewrote the first hypostasis and removed the second.

**Commented [F4]:** *8535, line 21 – Cwa? Koppen system? Please clarify.* 

AR: We considered Köppen Climate Classification System to classify the climate in the region. We removed this classification as suggested by Referee #2.

1	2011) and the Paraiba do Sul River receives a large portion of the sewage from one of the most
2	populated regions in Brazil (20-50 hab km <sup>-2</sup> , IBGE, 2010). Consequently, the river has a large
3	influence on the reservoir's water quality that has experienced tragic eutrophication in recent
4	decades, resulting in frequent and intense cyanobacterial blooms (Klapper, 1998; Branco et al.,
5	2002; Rocha et al., 2002). The river inflow is affected by the water supply-demand and operation
6	of dams constructed upstream. In general, Funil Reservoir is a turbid, eutrophic system, with high
7	phytoplankton (cyanobacteria) biomass (Soares et al., 2012; Rangel et al., 2012).

### 8 2.2. Field Sampling

*Spatial data* – the water samples for the determinations of the Chl and pCO<sub>2</sub> were taken between
9:00 to 12:00 Local Time (LT; UTC/GMT -3 hours) on 1 March 2012 (end of the rainy season,
high water lever) and 20 September 2012 (end of the dry season, low water level). Samples were
taken at the surface (0.3 m) at 42 stations in Funil Reservoir (28 located along the main body of
the reservoir, Fig. 1) in the same day to limit the effect of diurnal variation on the results.
We measured the Chl using a compact version of PHYTO-PAM (Heinz Walz GmbH, PHYTO-

ED, Effelrich, Germany). Functionally the PHYTO-ED displays the same features as the standard 15 but has distinct advantages for fieldwork. In the PHYTO PAM Phytoplankton Analyzer usec 16 measuring light pulses are generated by an array of light emitting diodes (LED) featuring 4 17 different colors: blue (470 nm), green (520 nm), light red (645 nm) and dark red (665 nm). The 18 19 differently colored measuring light pulses are applied alternatingly at a high frequency, such that 20 guasi-simultaneous information on Chl fluorescence excited at the 4 different wavelengths is 21 obtained. Following proper calibration, this feature allows to differentiate between the contributions of the main types of phytoplankton (green, blue, brown algae) with different pigment 22 systems. In addition, Chl content of the various types can be estimated. The pCO<sub>2</sub> data were 23 24 determine using water-air equilibration method. In a marble-type equilibrator (Abril et al., 2014; Abril et al., 2006), the water pumped directly from the lake flows from the top to the bottom (0.8 25 liters per min), while a constant volume of air (0.4 liters per min) flows from the bottom to the top. 26 The large gas exchange surface area promoted by the contact with the marbles accelerates the 27 28 pCO<sub>2</sub> water-air equilibrium. The air pump conduct the air from the top of the equilibrator through 29 a drying tube containing a desiccant (Drierite), then to an infrared gas analyzer (IRGA, LI-840, LICOR, Lincoln, Nebraska, USA), and then back to the bottom of the equilibrator (closed air 30

**Commented [F5]:** 8536: 3 – I do not know what demographic density means. **AR: We rewrote the paragraph.** 

4 – restricted by rainfall? AR: We rewrote the paragraph.

**Commented [F6]:** *8535, line 17 – LT? Time zone designation?* 

AR: Clarified.

**Commented [F7]:** Generally – do you need the description of how the PHYTO-ED works? This seems extraneous to the manuscript.

AR: We removed part of the description of how the PHYTO-ED works as suggested.

1	circuit, Abril et al., 2006). For each station, the lake water and air were pumped through this system	
2	for two minutes before the pCO <sub>2</sub> from the IRGA stabilized to a constant value.	
3	Color maps were created to represent the spatial distribution of Chl and $pCO_2$ (Fig. 2). We used	
4	the variogram analysis to describe the spatial correlation among samples and to spatially	
5	interpolate using Kriging methods (Bailey and Gatrell, 1995). The empirical variograms were	
6	fitted to different mathematical models using the Akaike's information criterion (AIC, Akaike,	
7	1974) to evaluate the best fit. The best model variogram were used for interpolation by ordinary	
8	kriging. The root mean square error (RMSE), calculated comparing observed and calculated	
9	values, was 90 $\mu$ atm and 15 $\mu$ g L <sup>-1</sup> for pCO <sub>2</sub> and Chl, respectively. We used the software Spring	
10	(Câmara et al., 1996) version 5.1.8 to conduct the spatial analysis and to produce the in situ $pCO_2$	
11	and Chl maps.	
12	In this study, we used the Chl as a parameter to separate the reservoir in three zones. Riverine zone	
13	was characterized by low Chl (<5 µg L <sup>-1</sup> ). Transition zone begins where the Chl starts to increase	
14	(>5 $\mu$ g L <sup>-1</sup> ) and ends when the Chl decrease to levels closely to the Chl in Lacustrine zone (<60	
15	$\mu$ g L <sup>-1</sup> ). Finally, the Lacustrine zone is characterized by intermediate Chl (>5 and <60 $\mu$ g L <sup>-1</sup> ):	
16	however picks of Chl were observed in some regions of the Lacustrine zone. We estimated the size	
17	of each zone (riverine, transition, lacustrine) of the reservoir in the dry and rainy seasons using the	
18	results from the spatial interpolation of the Chl data. After the interpolation, we used a pixel	
19	classification method to determine the boundaries of each zone (class). We checked the boundary	
20	locations with the observed data. Finally, we determined the area multiplying the number of pixels	
21	of each class by the area of each pixel. The boundary of each zone is represented in the maps (Fig.	
22	2) by the dashed lines.	
23	Time series data - Wind speed and direction, solar radiation, pH, dissolved oxygen (DO), air	
24	temperature and temperature profiles (2 m, 5 m, 20 m and 40 m depth) were collected hourly at	

temperature and temperature profiles (2 m, 5 m, 20 m and 40 m depth) were collected hourly at station S28 near the dam (Fig. 1) and transmitted by satellite in quasi-real time by the Integrated System for Environmental Monitoring (SIMA). The SIMA is a set of hardware and software developed for data acquisition and real-time monitoring of hydrological systems (Alcantara et al., 2013;Stevenson et al., 1993). The SIMA consists of an independent system formed by an anchored buoy containing data storage systems, sensors (air temperature, wind direction and intensity, pressure, incoming and reflected radiation and a thermistor chain), a solar panel, a battery and a **Commented [F8]:** 8537: 16-20, This section seems to have result more than methods presented. The RMSE of the spatial fit seems to be more appropriate for the results. 26 – state water temperature.

AR: We moved part or this section to the results as suggested.

**Commented [F9]:** 8542: In general, if Chlorophyll is used to determine the transition zone locations, then presumably this zone changes in size throughout the year, as do all of the other zones. How do the authors handle this in the analysis specifically? Perhaps just additional detail on this in the methods since it is included in the discussion.

AR: We added additional information in the methods section as suggested.

transmission antenna. A sonde (YSI model 6600, Yellow Spring, Ohio, USA) was attached to the
SIMA buoy to collect hourly surface data on temperature, conductivity, pH, and oxygen. This
sonde was calibrated every 15 days according to the YSI Environmental Operations Manual
(http://www.ysi.com/ysi/support).

We calculated the pCO<sub>2</sub> in the surface water over one year near the dam from measured pH and 5 alkalinity. The calculations include dependence on temperature for dissociation constants of 6 carbonic acid (Millero et al., 2002) and solubility of CO2. We used pH and temperature collected 7 by SIMA between 25 October 2011 and 25 October 2012 and monthly data of alkalinity 8 determined by the titration method (APHA, 2005) at station S28 (Fig. 1). Samples for total 9 10 phosphorous (TP) and nitrogen (TN) were taken monthly. For TP, the samples were oxidized by persulfate and then analyzed as soluble reactive phosphorus. TN was determined as the sum of 11 organic fraction measured by Kjedahl method and the dissolved inorganic nutrients. Laboratory 12 analysis for TP and NP was performed according to standard spectrophotometric techniques 13 (Wetzel and Likens, 2010). 14

#### 15 2.3. CO<sub>2</sub> flux calculation

The air-water flux of CO<sub>2</sub> (mmol m<sup>-2</sup> d<sup>-1</sup>) was calculated according to Eq. (1). Positive values of  $\int CO_2$  fluxes denotes net gas flux from the lake to the atmosphere

18  $F(CO_2) = k\alpha \Delta pCO_2$ 

(1)

Where *k* is the gas transfer velocity of CO<sub>2</sub> (in -em h<sup>-1</sup> m h<sup>-1</sup>),  $\alpha$  is the solubility coefficient of CO<sub>2</sub> (in mol kg<sup>-1</sup>-atm<sup>-1</sup> mmol m<sup>-3</sup> µatm<sup>-1</sup>) as a function of water temperature (Weiss, 1974), and  $\Delta pCO_2$ is the air-water gradient of pCO<sub>2</sub> (in µatm). The atmospheric pCO<sub>2</sub> measured in the rainy and dry season was 375 µatm and this atmospheric value was used for all flux calculation. The gas transfer velocity *k* was calculated from the gas transfer velocity normalized to a Schmidt number of 600 (k<sub>600</sub>) that corresponds to CO<sub>2</sub> at 20 °C (Eq. 2) (Jahne et al., 1987).

 $k = k_{600} \left(\frac{sc}{600}\right)^{-0.5}$ 25

(2)

Where *Sc* is the Schmidt number of a given gas at a given temperature (Wanninkhof, 1992).  $k_{600}$ is the normalized gas transfer velocity calculated from wind speed (MacIntyre et al. 2010) using **Commented [F10]:** Units? Line 1, same page – k units are described for equation 1. However, to be consistent, please clarify all units of each component of the all equations throughout the manuscript (especially in regards to k – since k can be described as a velocity (units of distance time-1) or a coefficient (units of time-1)).

AR: We fixed the units to match with the flux unit:  $CO_2$ Flux (mmol m<sup>-2</sup> d<sup>-1</sup>); gas transfer velocity – k (m d<sup>-1</sup>); solubility coefficient of  $CO_2 - \alpha$  (mmol m<sup>-3</sup> µatm<sup>-1</sup>); pCO<sub>2</sub> (µatm). We calculated K<sub>600</sub> in cm h<sup>-1</sup> and converted to m d<sup>-1</sup>.

**Commented [F11]:** What k was used? K at 20C or k at temperature? Given the description of equation 3, I am assuming at temperature and not at 20C. Please clarify. K at temperature should have been used to calculate CO2 fluxes.

AR: We clarified the Methods section. We used k at temperature to calculate CO<sub>2</sub> fluxes.

**Commented [F12]:** *k*<sub>600</sub> is the *k* for a Schmidt number (Sc) of 600 at a given temperature (not necessarily at 20C, as incorrectly stated in line 2 on the same page – please correct/clarify).

AR: We rewrote the sentence in order to clarify the context.  $k_{600}$  is the k for CO<sub>2</sub> at 20°C. We used the equation in Wanninkhof (1992) to determine the Sc at a given temperature. Once k and Sc is known for CO<sub>2</sub> at 20° ( $k_{600}$ ), k can be calculated for CO<sub>2</sub> at a given temperature by the ratio of the Schmidt numbers (Jahne et al., 1987).

**Commented [F13]:** 8539, equation 2 - This equation is not correct. The correct equation to calculate kco2 from k600 is:  $kco2 = k600(Sc/600)^{-0.5}$ .

AR: The error in Equation 2 was a typo; we used the correct equation to calculate the fluxes.

(6)

2 speed formulation by Cole and Caraco (1998) to investigate the importance of different formulation of  $k_{600}$  (Eq. 5). A more detailed description for these equations is in Staehr et al. (2012). 3 The k<sub>600</sub> was calculated in cm h<sup>-1</sup> and converted to m d<sup>-1</sup>. 4 (under cooling, MacIntyre et al. 2010) (4) $k_{600} = 2.04U_{10} + 2.0$ 5 6  $k_{600} = 1.74U_{10} - 0.15$ (under heating, MacIntyre et al. 2010) (5) $k_{600} = 2.07 + 0.21 U_{10}^{1.7}$ (Cole and Caraco 1998) (6) 7

different equations under cooling and heating conditions (Eq. 3, 4). We also evaluated a wind-

from Cole & Caraco 1998, did the authors consider using other equations for k600 which may account for the stratification of the reservoir?...

**Commented [F14]:** Also, regarding the calculation of  $k_{600}$ 

**AR:** We considered using other equation as suggested by the Referee.

8 Where U<sub>10</sub> is wind speed at 10 meters height. The wind speed was obtained from the SIMA da at
9 3 meters height and was calculated for 10 meters height (Smith, 1985).

10 In riverine zone, we considered the  $k_{600}$  as a function of wind and water current. The contribution

of the water current to the gas transfer velocity was estimated using the water current (w, cm  $s^{-1}$ ),

depth (h, meters) and the equations in Borges et al. (2004) (Eq. 6)

13  $k_{600} = 1.719 w^{0.5} h^{-0.5}$ 

1

# 14 2.4. Temperature profile

Temperature profiles were collected using thermistor chain deployed at the station S09 in the rainy 15 season and station S14 in the dry season to determine the thermal structure at the transition zone. 16 17 Eleven thermistors (Hobo, U22 Water Temp Pro v2, Bourne, Massachusetts, USA) were placed 18 every 0.5 m up to 4 meters and every 1 m from 5 to 7 meters. We also deployed a thermistor chain at the riverine zone at the station S05 with thermistors placed every 2 meter. The thermistors were 19 programed to record temperature every 10 minutes. In the rainy season, the thermistor chain was 20 deployed on 29 February 2012 at 18:30 LT and recovered after 40 hours. In the dry season, the 21 thermistor chain was deployed on 20 September 2012 at 11:30 LT and recovered after 25 hours. 22

In our analysis, temperature is considered as the factor controlling water density. The use of temperature is justified by the low conductivity and turbidity in the river. The values of turbidity measured in the field of 29 and 11 NTU in the rainy and dry seasons, respectively, would have

affected density <5% relative to that of temperature (Gippel, 1989).

**Commented [F15]:** 8539, line 16 - please include the equation and units used to calculate  $k_{600}$  for the riverine zone.

AR: We added the equation from Borges et al. (2004)  $k_{600} = 1.719 w^{0.5} h^{-0.5}$ Where  $k_{600}$  current is the gas transfer velocity of CO<sub>2</sub> (cm h<sup>-1</sup>), w is the water current (cm s<sup>-1</sup>), and h is the depth (m).

#### 2.5. Numerical Model description and setup 1

2 Numerical simulations of the lake hydrodynamics were conducted with the Estuary and Lake

Computer Model (ELCOM, Hodges et al., 2000). This model solves the 3D hydrostatic, 3

Boussinesq, Reynolds-averaged Navier-Stokes and scalar transport equations, separating mixing 4

of scalars and momentum from advection. The hydrodynamic algorithms that are implemented in 5

the ELCOM use an Euler-Lagrange approach for the advection of momentum adapted from the 6

work of Casulli and Cheng (1992), whereas the advection of scalars (i.e., tracers, conductivity and 7 temperature) is based on the ULTIMATE QUICKEST method proposed by Leonard (1991). The 8

thermodynamics model considers the penetrative (i.e., shortwave radiation) and non-penetrative 9

components (i.e., longwave radiation, sensible and latent heat fluxes) (Hodges et al., 2000). The

10

vertical mixing model uses the transport equations of turbulent kinetic energy (TKE) to compute 11

the energy available from wind stirring and shear production for the mixing process (Spigel and 12

Imberger, 1980). A complete description of the formulae and numerical methods used in ELCOM 13

was given by Hodges et al. (2000). 14

Hydrodynamic simulations of Funil Reservoir were conducted with realistic forcing condition (e.g. 15 inflow, outflow, atmospheric temperature, radiation). These simulations were aimed in order to 16 test the hypothesis regarding the river inflows at transition zone in the rainy and dry seasons in 17 Funil Reservoir. Simulations started 4 days before the date of the considered data. This is necessary 18 to let the model equilibrate beyond the initial physical conditions. The digital representation of the 19 reservoir bathymetry (numerical domain) was defined based on the bathymetric data collected 20 from 27 to 29 February 2012. The numerical domain was discretized in a uniform horizontal grid 21 containing 100 m x 100 m cells. The vertical grid resolution was set to a uniform 1 m thickness, 22 resulting in 72 vertical layers. The water albedo was set to 0.03 (Slater, 1980), and the bottom drag 23 coefficient was set to 0.001 (Wüest and Lorke, 2003). The attenuation coefficient for PAR was set 24 to 0.6 m<sup>-1</sup> based on Secchi disc measurements. Based on a previous study conducted in another 25 tropical reservoir (Pacheco et al., 2011), a value of 5.25 m<sup>2</sup> s<sup>-1</sup> was chosen for the horizontal 26

27 diffusivity for temperature and for the horizontal momentum.

Because of the presence of persistent unstable atmospheric conditions over tropical reservoirs 28

(Verburg and Antenucci, 2010), the atmospheric stability sub-model was activated during the 29

simulation; this procedure is adequate since the meteorological sensors are placed within the 30

Commented [F16]: 8540, line 6 - Re-cast sentence into two separate sentences

AR: Done

Commented [F17]: 8540, line 26 - I don't quite follow what is meant by 'numerical domain'. I follow that some measure of continuous data or transect was converted to discrete subsets, but what exactly - I don't follow. Please clarify.

AR: It was clarified in the text by the insertion of "The digital representation of the reservoir bathymetry (numerical domain) was defined based on the bathymetric data collected from 27 to 29 February 2012."

1	atmospheric boundary layer (ABL) over the surface of the lake and data are collected at sub-daily
2	intervals (Imberger and Patterson, 1990). In this manner, at each model time step the heat and
3	momentum transfer coefficients were adjusted based on the stability of the ABL. The stability of
4	ABL is evaluated through the stability parameter, derived from the Monin-Obukhov length scale.
5	ELCOM uses the similarity functions presented in Imberger and Patterson (1990) for both cases,
6	stable (negative values stability parameter) and unstable conditions (positive values). The Coriolis
7	sub-model was also activated during the simulation and then Coriolis force was considered in the
8	Navier-Stokes equation. This force causes the deflection of moving objects (in this case the water
9	currents) when they are viewed in a rotating reference frame (e.g. the Earth).
10	We defined two sets of boundary cells to force the inflow (Paraíba do Sul River) and outflow: (the
11	water intake at the dam). The meteorological driving forces over the free surface of the reservoir
12	were considered uniform. The model was forced using hourly meteorological data acquired by
13	SIMA, the daily inflow and outflow provided by Eletrobrás-Furnas and river temperatures
14	extracted from thermistor chain data. In order to complement the data of river temperature, we
15	used the M*D11A1 L3 product (Wan, 2008), obtained from the National Aeronautics and Space
16	Administration Land Processes Distributed Active Archive Center. The M*D11A1 is a standard
17	products, generated using a split-window algorithm and seven spectral MODIS bands located in
18	the regions of the shortwave infrared and thermal infrared. This algorithm is based on the
19	differential absorption of adjacent bands in the infrared region (Wan and Dozier, 1996). The
20	M*D11A1 products have been validated at Stage 2 by a series of field campaigns conducted
21	between 2000-2007, and over more locations and time periods through radiance-based validation
22	studies. Accuracy is better than 1 °C (0.5 °C in most cases). This product is generated up to four
23	times each day (i.e., 10:30 h, 13:30 h, 23:30 h and 2:30 h) and is delivered in a georeferenced grid
24	with 1 km of spatial resolution in a sinusoidal projection.

The cloud cover fraction over Funil Reservoir was retrieved using MODIS Level 2 Cloud Mask product (named M\*D35L2) (Ackerman et al., 1998).The algorithm used to generate this product employ a series of visible and infrared threshold and consistency tests to specify confidence that an unobstructed view of the Earth's surface is observed. This product is generated up to four times each day (i.e., 10:30 h, 13:30 h, 23:30 h and 2:30 h) and is delivered in a georeferenced grid with 1 km of spatial resolution in a sinusoidal projection. **Commented [F18]:** 8541, Paragraph starting on line 7 – Within this paragraph, the authors describe 2 sub-models that were 'activated'. Re-cast this section to clarify the role of these sub-models.

AR: We clarified the role of the sub-models.

11	3 Results
10	
9	and one to represent the dry season (15 to 23 September 2012).
8	Two periods were simulated: one to represent the rainy season (25 February 2012 to 4 March 2012)
7	inflows and to compute the cloud cover fraction over the reservoir.
6	image. Finally, a MATLAB® program was then used to retrieve the temperature at the rivers
5	the World Geodetic System (WGS-84) datum as reference; they were then converted to a raster
4	re-projected to the Universal Transverse Mercator (UTM) coordinate system (zone 22 South) with
3	resampled to a 100 m spatial resolution (compatible with the bathymetric grid). They were then
2	using the MODIS Reprojection Tool (available at https://lpdaac.usgs.gov). The data were first
1	The MODIS products were acquired online (http://reverb.echo.nasa.gov/reverb/) and preprocessed

# 11 3 Results

### 12 3.1. Spatial variability

Based on the spatial data of Chl and pCO<sub>2</sub>, a typical zonation pattern usually found in reservoirs 13 was observed in Funil main body (riverine, transition and lacustrine zones) (Fig 2). Although the 14 boundaries are influenced by many factors and are not easily determined, these regions have 15 distinct physical, chemical and biological features. The riverine zone (RZ) has a high input of 16 17 nutrients coming from terrestrial systems and human activities, but the primary production is limited by high turbidity and turbulence. As the sedimentation and light availability increase along 18 the reservoir, biomass of primary producers increases in the transition zone (TZ). The lacustrine 19 zone (LZ) is characterized by nutrient limitation and reduced phytoplankton biomass (Thornton 20 21 1990). In this study, we considered the Chl to separate the reservoir in three zones. Riverine zone is characterized by low Chl (<5 µg L<sup>+1</sup>). Transition zone begins where the Chl starts to increase 22 23 and ends when Chl decrease to levels closely to Chl in Lacustrine zone. Finally, Lacustrine zone is characterized by intermediate Chl. 24 Funil Reservoir showed to be spatially heterogeneous with seasonal differences in Chl and pCO<sub>2</sub> 25

(Fig. 2). The spatial data showed high spatial variation only in the main body of the reservoir, while the southern part was undersaturated in CO<sub>2</sub> in the rainy and dry seasons (Fig 2a, b). Spatially average of pCO<sub>2</sub> for the rainy and dry season were  $259 \pm 221$  and  $881 \pm 900$  µatm, respectively. The pCO<sub>2</sub> varied from 140 to 1376 µatm in the rainy season and from 43 to 2290 **Commented [F19]:** 8541: 20 – you mention MODIS data but you need to be more specific. Was this 1km MODIS Aqua, Terra, what is the product and reference the dataset.

AR: We added additional detail about the data.

**Commented [F20]:** 8542: In general, if Chlorophyll is used to determine the transition zone locations, then presumably this zone changes in size throughout the year, as do all of the other zones. How do the authors handle this in the analysis specifically? Perhaps just additional detail on this in the methods since it is included in the discussion.

AR: We added additional information in the methods section as suggested. We also added the area of each zone in the results. This sentence was moved to the methods section.

1	$\mu atm$ in the dry season. Higher values of $pCO_2$ in the riverine zone of the reservoir and a drastically
2	decrease in the transition zone were observed in both sample periods (Fig. 3a,b). In the lacustrine
3	zone, undersaturation on $\mathrm{CO}_2$ was prevalent at all sample sites in the rainy and dry season.
4	Considering all sample sites, there was significant differences between the rainy and dry seasons
5	(t = 1.99, p < 0.05) and higher values of $p\mathrm{CO}_2$ during the dry season in Funil Reservoir were
6	previously reported (Roland et al., 2010). The Chl were similar in the transition and lacustrine
7	zone in the rainy season (t = 2.01, $p > 0.05$ ) and higher in the transition zone in the dry season (t =
8	2.01, $p < 0.05$ , Fig. 3a,b; Table 1). Further, average concentration in transition zone in the dry
9	season was 2.5 times higher than the reservoir average (129.2 and 52.0 $\mu g \ L^{\text{-1}},$ respectively).
10	Unlike pCO <sub>2</sub> , Chl data showed no significant difference between the rainy and dry season
11	considering all spatial data (t = $1.99$ , p > $0.05$ ).

12 The calculated CO<sub>2</sub> fluxes from spatial data varied from -46.5 to 52.2 mmol  $m^{-2} d^{-1}$  and -61.9 to

13 103.16 mmol  $m^{-2} d^{-1}$  in the rainy and dry season, respectively. In both the rainy and dry seasons,

14 the maximum emission was observed in riverine zone and the minimum in the transition zone.

The spatial average was -10.1 and 24.6 mmol  $m^{-2} d^{-1}$  in the rainy and dry season, respectively (Table 1).

### 17 3.2. Temporal variability

The pCO<sub>2</sub> calculated by multi-parameter sonde data (temperature and pH) and alkalinity showed a large seasonal variability over the year at the station near the dam (Table 2). The pCO<sub>2</sub> varied from 35 to 4058 µatm with average of  $624 \pm 829$  µatm and median of 165 µatm. The pCO<sub>2</sub> supersaturation was prevalent between April and June, while pCO<sub>2</sub> undersaturation was prevalent in all other periods (Fig 4a). Lowest median of pCO<sub>2</sub> was observed between October and December (43 µatm). Considering all temporal data over the year, 59.8% of the data were below atmospheric equilibrium and 1.1% were within 5% of atmospheric equilibrium.

In Funil Reservoir, the seasonal pCO<sub>2</sub> variation over the year at the station near the dam agreed
with variation of retention time (Fig. 4). The yearly average of the reservoir retention time was
32.6 days over the considered year. Lower retention time occurs between October and December

when the water level is low and the reservoir is ready to stock water coming from the watershed

and rain during the rainy season (October to March).

Since we sampled temperature in a sub-daily scale over the year, we assumed the equations 1 2 proposed by MacIntyre et al. (2010) that also consider the turbulence from heat loss. The 3 turbulence from heat loss especially overnight often exceeds that from wind mixing in tropical 4 lakes that tends to have low winds. However, the differences between estimates did not significantly changed our results (Table 1). The CO<sub>2</sub> flux over the year at the station near the dam 5 varied from -104.7 to 175.88 mmol m<sup>-2</sup> d<sup>-1</sup>. The average of flux was  $-0.1 \pm 39.8$  mmol m<sup>-2</sup> d<sup>-1</sup> and 6 median was -7.4 mmol m<sup>-2</sup> d<sup>-1</sup>. We observed substantial uptake of CO<sub>2</sub> between October and 7 December (rainy-spring) (Table 1). From January to July, the lake lost substantial CO<sub>2</sub> via 8 degassing (Table 1). Uptake of  $CO_2$  from the atmosphere was also prevalent between July and 9 September (dry-winter). Summary of all other data collected over the studied period is shown in 10 Table 2. 11

12  $CO_2$  fluxes estimated from two different equation of  $k_{600}$  (see Methods) were not significantly

different for the spatialized data (t = 1.99, p > 0.05, Table 1). Due to the large sample size of the

14 temporal data (hourly data), significant difference was observed between the estimates mainly in

the dry-autumn when the surface temperature decreased after the warm-summer (t = 1.96, p < 0.05).

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# 17 3.3. Thermal structure of transition zone and river

During the rainy season, thermal stratification occurred in the transition zone only during the 18 daytime around 16:30 LT, when a maximum of 33.1 °C was observed at the surface for a minimum 19 of 27.8 °C at the bottom (Fig. 5a); to the contrary, temperature was vertically homogeneous at 20 21 nighttime. The daily range of temperature oscillation during the rainy season at surface was up to 5 °C. In the dry season, water temperature was lower compared to the rainy season at transition 22 zone. Stratification occurred around 14:00 LT in dry season, when we observed a maximum of 23 25.7 °C and a minimum of 23.1 °C at the bottom. The daily range of temperature oscillation was 24 up to 3°C at surface and stratus layers with different temperatures were observed every 2.5 meters 25 (Fig. 5b). The river temperature varied from 27.7 to 28.7 °C and 23.6 to 24.1 °C in the rainy and 26 dry season, respectively (Table 3). The average temperature difference between river and reservoir 27 surface water was 2.1 and 0.3 °C in the rainy and dry season, respectively. 28

**Commented [F21]:** 8543, line 22 – re-cast to present the results in chronological order. January to July first, then July to September – it perhaps would be easier to follow.

AR: We rewrote the results in chronological order as suggested.

# 1 3.4. Simulations

2 We first compared the simulated and real temperature at station S09 and S14 for the rainy and dry season, respectively. The RMSE calculated by comparing the data every 20 minutes were 1.4 °C 3 for the rainy season and 1.1°C for the dry season. These results obtained for both seasons were 4 comparable with previous modelling exercises found in literature (Jin et al., 2000, Vidal et al., 5 2012). We also analyzed the ability of the model to reproduce the inflow using data from drifters 6 released in the river and transition zone of the reservoir on 1 March and 20 September (data not 7 shown). Although the vertical thermal structures observed in the dry season (Fig. 5b) were not well 8 represented, the model reproduced the behavior of the inflow as underflow in the rainy season 9 (Fig. 6a) and interflow and overflow in the dry season (Fig. 6b) as anticipated by the schematic 10 11 representation (Fig. 5c,d). The river flowed mainly at 6 meters depth near the bottom of Funil Reservoir after the river plunging point in the rainy season. In the dry season, the river flowed 12 mainly at 3 meters depth at night and 4 meters at daytime. 13

The daily oscillation of the neutral buoyance observed occurs because of the variation of reservoir 14 surface and river temperatures (Vidal et al. 2012, Curtarelli et al. 2013). The level of neutral 15 buoyancy, where the densities of the flowing current and the ambient fluid are equal, represents 16 the depth where the river water spreads laterally in the reservoir. In the rainy season, the river 17 flowed as underflow (Fig. 6a), however, when the river reached its maximum temperature around 18 21:00 LT (Table 3) the temperature difference between river and surface water decreased, the level 19 of river neutral buoyance moved upward and the maximum flow was observed between 4 and 6 20 meters (Fig. 6a). In the dry season, the river flowed as overflow, but it plunged down to 4 to 6 21 22 meters depth when the high surface temperature during the day coincided with the period of lowest river temperature (Table 3) and neutral buoyance moved downward (Fig. 6b). The change in 23 patterns observed in the river flow between 20 and 21 September occurred due to a decrease of the 24 25 river temperature during a rainfall that occurred around 16:00 LT on 20 September 2012 (Fig. 6b).

26

### 1 4 Discussion

# 2 4.1. pCO<sub>2</sub> driven by Phytoplankton

Primary production associated with high Chl showed to be the main regulator of CO<sub>2</sub> concentration 3 at the surface of Funil Reservoir (Fig. 7). Spatially, the  $pCO_2$  were negatively correlated with the 4 Chl ( $r_2 = 0.71$ ). In old hydropower reservoirs where C source from the flooded soil after 5 impounding has become negligible, primary production may become a significant term in the C 6 7 budget. Intense primary production fuelled by high levels of nutrients reduces CO<sub>2</sub> concentrations to levels below atmospheric equilibrium in transition and lacustrine zone of Funil Reservoir (Fig. 8 3). To the contrary, high values of  $pCO_2$  in riverine zone may be associated with suspended solids 9 and turbulence and vertical mixing that inhibit primary production. The high pCO<sub>2</sub> in the riverine 10 zone may be explained by the terrestrial ecosystem respiration entering the river as dissolved soil 11 CO2, the oxidation of allochthonous and emergent autochthonous organic carbon, the acidification 12 of buffered waters, the precipitation of carbonate minerals, and the direct pumping of root 13 respiration CO<sub>2</sub> from riparian vegetation (Butman & Raymond, 2011). 14 Low pCO<sub>2</sub> levels observed at the station near the dam over the year is associated with (1) high 15 primary production due to higher temperature and solar radiation that promote water column 16 stability and stratification, and (2) constant high nutrient availability. Since nutrient availability in 17 Funil Reservoir is high during the entire year (Table 2), nutrients are never limited in the lacustrine 18 zone and other factors that controls stability and stratification, such as temperature, wind and 19 20 changes in mixing depth related to the seasonal variation are the main inhibitors of algal growth 21 near the dam especially between April and June. Since nutrient availability in Funil Reservoir is high during the entire year (Table 2), phytoplankton growth is not limited by nutrients in the 22 lacustrine zone. However, seasonal variation of factors that controls stability and stratification, 23

- such as temperature, wind and mixing zone depth may inhibit algal growth near the dam especially
- 25 between April and June.
- 26 Due to phytoplankton productivity, we observed net uptake of  $CO_2$  over the year at the station near
- the dam, especially between October and December (Table 1). However, the fate of carbon fixed
- by the phytoplankton in Funil Reservoir is still unclear. The higher flux of methane (CH<sub>4</sub>) from
- 29 sediment to water found in Funil Reservoir compared to other tropical reservoir (Ometto et al.,

**Commented [F22]:** 8545: 24-25 high pCO<sub>2</sub> from riverine sources may not only come from reduced phytoplankton due to turbidity. High CO<sub>2</sub> is prevalent in almost all river water, and may come from many difference sources, including terrestrial respiration. This should be made more clear.

AR: We rewrote this sentence.

**Commented [F23]:** 8546, line 4 – re-cast sentence, awkward, not concise. I would break this point into more than one sentence.

#### AR: We rewrote the sentence to clarify

**Commented [F24]:** 8546, line 9 – probably 'measured' or 'observed' would be more appropriate than 'we found net uptake....'

AR: We changed the words as suggested.

1	2013) suggests that a substantial fraction of the carbon fixed by the phytoplankton reaches the
2	sediment and is further mineralized in CH4. However, in lacustrine zone, the higher depth and high
3	temperature may promote mineralization of part of carbon fixed by phytoplankton in the water
4	column before it reaches the sediment. However, in the lacustrine zone, the higher depth and high
5	temperature may promote the decomposition of dead phytoplankton generating CO2 or CH4 in the
6	water column before it reaches the sediment.
7	It is important to point out that the CO <sub>2</sub> production in the sediments can leave an imprint in the
8	pCO <sub>2</sub> of the surface water especially in the dry season when the reservoir is not stratified. During
9	periods of water stratification, the carbon coming from the organic carbon mineralization in the
10	sediment may be trapped in the hypolimnion and may not contribute to the CO2 flux from the water
11	to the atmosphere (Cardoso et al., 2013). In addition, it is important to highlight that the
12	contribution of the carbon mineralization in the sediment to the $pCO_2$ in the surface can also be
13	regulated by other factors such as the CO <sub>2</sub> saturation in the water and depth of the reservoir (Guérin
14	et al.,2006). Moreover, when the river plunges and flows at the bottom of the reservoir, the water
15	flow can disturb the sediment and enhance the carbon flux from the sediment to the hypolimnion,
16	which can affect the contribution of the organic carbon mineralized on the sediment to the amount
17	of carbon emitted by the reservoir.
18	By considering that the outflow exported the same amount of carbon that came from the watershed
19	(Table 2), we suggest that a high sedimentation rate offset the uptake of CO <sub>2</sub> from the atmosphere
20	to close the carbon budget. Although there is no data to support this statement, we hypothesize that
21	the burial of organic carbon composed by phytoplankton and methanogenesis could be two
22	important carbon pathways for the carbon fixed by the phytoplankton in Funil Reservoir, as

reported in natural eutrophic lakes (Downing et al., 2008).

### 24 4.2. Physical feature and spatial distribution

Funil Reservoir retention time is strongly driven by the operation of the dam. The volume of water that flows through the turbine depends on the energy demands and inflow from Paraiba do Sul River. Periods of low retention time and water levels do not necessarily correspond to periods of low precipitation. In fact, the highest retention time and water level is often observed in the middle of the dry season when the reservoir is full to ensure enough water to produce energy during entire **Commented [F25]:** 8546, line 15 – mineralization – of what to what? Transformation? Please clarify. Also include a 'the' before carbon.

AR: We clarified.

**Commented [F26]:** 8546: . 17-19 this discussion would benefit from more detail that might have been presented within the Ometto et al 2013 reference. It appears that additional carbon data is available and would be useful to the reader within this manuscript. Specifically, if there are complementary data on the outflow CO2 and CH4 concentrations.

AR: We added more details about the sediment and we extended this section in the manuscript as suggested.

**Commented [F27]:** 20-22 - especially because there is no data – the authors should use terms like 'could' instead of seems to.

AR: We changed as suggested.

dry season. This suggest that not only natural factors are driving processes, but also it may be 1 2 regulated by the dam operation in Funil Reservoir. The position of the transition zone of the reservoir moves as a result of the season (Fig.3). In the 3 end of the rainy season, the retention time and water level was high, and the influence of the river 4 in the surface water of the reservoir was restricted to a small area (Fig. 2a, c). Contrarily, when the 5 water level and retention time was low, the transition zone moved toward the dam and the river 6 7 inflow influenced the surface Chl and pCO2 in more than 40% of the total reservoir surface area 8 (Fig. 2b, d). As previously reported, when retention time is short, a reservoir can become a fluvialdominated system (Straškraba, 1990). 9 Size of the river-influenced area in the reservoir surface water also depends on the water density. 10 Differences on river and reservoir temperature, total dissolved solids, and suspended solids can 11 cause a density gradient in the water column. Depending on the water density differences between 12 the inflow and reservoir, the river can flow into the downstream area as overflow, underflow, or 13 interflow (Martin and McCutcheon, 1998). During the rainy season in Funil Reservoir, due to the 14 high difference between river and reservoir surface temperature (~4 °C), the river water 15 progressively sinks down (underflow), and contributes to the thermal stability of the water column 16 (Fig. 5a, Assireu et al., 2011). The denser river water flows under the lighter reservoir water and 17 waves and billows develops along the interface due to shear velocity. This behavior is indicative 18 19 of the Kelvin-Helmholtz instability, in which waves made up of fluid from the current (river) promote mixing with the reservoir water (Thorpe and Jiang, 1998; Corcos and Sherman, 2005) 20 (Fig. 5c). This mixing and the high nutrient concentration coming from Paraíba do Sul River (Table 21 2) may explain the high Chl observed in the transition zone (Fig. 3). 22 23 Many cold fronts pass through Brazilian middle-west and southeast in the dry seasons. (Lorenzzetti et al., 2005, Alcântara et al., 2010). Thus, the decrease of reservoir surface temperature (Table 2)

et al., 2005, Alcântara et al., 2010). Thus, the decrease of reservoir surface temperature (Table 2) and consequent decrease in density difference between river and reservoir surface leads to river inflow characterized by inter-overflow (Fig. 5b,d). In an inter-overflow, the riverine characteristic of high turbulence,  $pCO_2$  and low Chl is observed in the reservoir surface 5 kilometers toward the dam (Fig. 3a,b). Although there are high nutrient concentrations in the transition zone (Table 1) between S19 and the river, the surface water is dominated by river flow with low Chl concentrations (Fig. 3). Phytoplankton will not bloom until they get a certain distance down**Commented [F28]:** 8547, line 1 – sentence beginning with 'Therefore' – recast, I am unsure what the authors are trying to convey.

#### AR: Rewrote.

**Commented [F29]:** 8547, line 5 – insert a 'the' before transition zone and this not a full sentence – re-cast (I think the authors meant 'The position of the transition zone of the reservoir moves as a result of the season).

AR: The sentence was rewrote as suggested.

**Commented [F30]:** 8647, line 26 – here Chlorophyll a is specifically mentioned. Throughout the manuscript, Chl was used, which I understand was a combination of several chlorophyll pigments. Please be consistent throughout.

AR: The value of Chl is a combination of chlorophyll pigments and we corrected this specific mention in the manuscript.

1	reservoir and the inflow mixes with the reservoir and loses velocity (Vidal et al., 2012). Favorable
2	conditions for phytoplankton blooming will only exist down-reservoir in the transition zone where
3	the inflow mixes with the reservoir and loses velocity (Vidal et al., 2012).
4	The simulation of the rainy season (Fig. 6) showed low influence of the river inflow in the surface
5	water, suggested by the thermal stability at transition zone (Fig. 5a). The simulation of the dry
6	season represented the overflow, especially at night (Fig. 6b). However, the simulation did not
7	represent the intrusions of the river water on different depths (every 2.5 m) suggested by
8	temperature profile at transition zone (Fig. 5b). The variation of the river inflow over the day (Fig.
9	6) occurs as response of the lagged change in temperature of the river and reservoir. In the rainy
10	season, this oscillation enhanced the intake of nutrients in the euphotic zone when the reservoir
11	surface temperature decreases and the river temperature reaches its maximum in the end of the day
12	(Table 3). During the day, when the river temperature drops, the large peak of Chl in transition
13	zone (Fig. 3a) could be result of diurnal stratification developing (Fig. 5). In the dry season, the
14	peak of Chl occurs five kilometers further downstream (Fig. 3b), since inflow never plunges due
15	to lower temperature differences between river and reservoir surface.

### 16 4.3. Spatial and temporal heterogeneity

As a result of the phytoplankton growth associated with these physical features, there are large 17 spatial and temporal variation of CO2 fluxes in the Funil Reservoir. Several studies of hydropower 18 reservoir have suggested that significant CO<sub>2</sub> evade from these systems to the atmosphere at a 19 global scale (St Louis et al., 2000; Roehm and Tremblay, 2006; Barros et al., 2011; Fearnside and 20 21 Pueyo, 2012). However, recent studies have shown that the growing nutrient enrichment caused by human activities (eutrophication) can reverse this pattern in some hydropower reservoirs 22 (Roland et al., 2010) and natural lakes (Pacheco et al., 2014). Our study shows that Funil Reservoir 23 is spatially heterogeneous with high CO<sub>2</sub> emission in riverine zone and high CO<sub>2</sub> uptake in 24 transition and lacustrine zone. Temporally, the reservoir near the dam is undersaturated in pCO<sub>2</sub> 25 mainly between October and December, and supersaturated in pCO<sub>2</sub> between April and June 26 (Table 1). 27

We might have different or opposite conclusions if the spatial and temporal  $pCO_2$  data are analyzed separately. Previous studies suggested that in natural small lakes, a single sample site should be **Commented [F31]:** 8548, line 7 – perhaps recast. The conditions are not right when the surface water is dominated by riverine water. It isn't until the conditions are more 'lake' – like that the conditions are optimal for phytoplankton to bloom.

AR: We rewrote the sentence.

**Commented [F32]:** 8548, line 10 – sentence beginning with . . . . The results. . . ' Please re-cast sentence. Awkward and difficult to discern what the authors are attempting to convey. Also, the sentence following this particular sentence needs to be clarified. I am unsure what is meant by 'The daily scale variation. . . ''?

AR: We rewrote the sentences.

adequate to determine if a lake is above or below equilibrium with the atmosphere and the intensity 1 2 of the fluxes (Kelly et al., 2001). However, large spatial heterogeneity, regarding pCO<sub>2</sub> and CO<sub>2</sub> emission to atmosphere, was observed in boreal (Teodoru et al., 2011) and tropical (Roland et al., 3 4 2010) reservoir. Our temporal data at the dam station showed lower pCO<sub>2</sub> over October, November and December when the retention time is extremely low (Table 4), but this observation does not 5 represent the entire reservoir. The spatial data collected at low water level showed low pCO<sub>2</sub> in 6 the dam as well, however almost half reservoir is supersaturated due to the river influence (Fig 7 8 2d). The average  $pCO_2$  during low retention time was 881 µatm considering whole reservoir area, 9 contrasting with only 69 µatm near the dam. Furthermore, if we considered only one station near the dam to estimate  $CO_2$  flux between the lake surface and atmosphere, the conclusion would be 10 contradictory. For example, in periods of low retention time, calculated CO<sub>2</sub> flux showed that CO<sub>2</sub> 11 flux would be -17.6 mmol m<sup>-2</sup> d<sup>-1</sup> (CO<sub>2</sub> sink) considering one spot temporal data, and 22.1 mmol 12 m<sup>-2</sup> d<sup>-1</sup> (CO<sub>2</sub> source) considering whole reservoir (Table 4). 13 14 Same contradictory conclusion can be found when studies with low number of sample sites are considered in the spatial heterogeneity discussion. Previous studies looking at the heterogeneity in 15 Funil Reservoir showed no peak of phytoplankton biomass in the transition zone (Soares et al., 16 2012). In our study, the Chl data collected every 1000 meters as proxy were able to show a clear 17 transition zone within the reservoir. Additionally, data analysis in Soares et al (2012), considering 18 four sampling stations, showed that high spatial heterogeneity occurs in periods of high retention 19 time (high water level). Contrastingly, we showed high spatial heterogeneity in low retention time, 20 corresponding to periods with high influence of the river in the surface water. Thus, different 21 22 conclusions found by Soares et al. (2012) may be explained by the variation in the spatial distribution of transition zone location, once retention time and inflow are key parameters defining 23 its location (Fig. 2c,d). 24

25

### 26 5 Conclusion

In summary, the seasonal and spatial variability of Chl and CO<sub>2</sub> fluxes in Funil Reservoir is mainly
related to river inflow and retention time. However, the relationship between pCO<sub>2</sub> and Chl

suggests that primary production regulates surface  $CO_2$  fluxes in transition and lacustrine zone.

30 Average of spatial data showed  $CO_2$  evasion to the atmosphere in periods of low retention time

**Commented [F33]:** 8549, line 27+ – spatial heterogeneity discussion? Re-cast/clarify. There are quite a few areas within this entire paragraph that should be re-written. The writing is unclear and too colloquial.

AR: We rewrote the entire paragraph as suggested.

(even with higher Chl) due to river influence on water surface, and CO2 uptake in periods of high 1 2 retention time when the river plunges and flows under the reservoir. However, the threshold of 3 retention time that seal the transition between source and sink of CO<sub>2</sub> could not be determined. 4 Comparison between spatial (42 stations) and temporal data (one station) showed that different conclusions can be drawn if spatial heterogeneity is not adequately considered. Moreover, the 5 change of the transition zone location over the year must be considered when low number of 6 7 stations is used to represent the spatial heterogeneity. The lack of spatial information of CO2 flux could lead to erroneous conclusion of the importance of hydropower reservoirs to freshwater 8 carbon cycle. Funil Reservoir is a dynamic system where the hydrodynamics linked to the river 9 inflow and retention time controls both pCO<sub>2</sub> and Chl spatial variability and seems to be the key 10 that regulate most of ecological process. 11

12

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1	Table 1. Average CO <sub>2</sub> fluxes (mmol m <sup>-2</sup> d <sup>-1</sup> ) calculated using spatial and temporal data. Positive
2	fluxes denotes net gas fluxes from the lake to the atmosphere. In the last column different letters
3	represent significant differences (t-test, $p \le 0.05$ ). Small letters represent differences between the
4	fluxes in the reservoir zones and capital letters represent the differences between the fluxes in the

5 <u>seasons.</u>

	1	CO <sub>2</sub> fluxes mmol m <sup>-2</sup> d <sup>-1</sup>				1
		k600 k6		<b>C</b> 600		
		(MacIntyr	e et al. 2010)	(Cole & C	Caraco 1998)	
		Average	Std. Dev.	Average	Std. Dev.	Significant
	Area (km <sup>2</sup> )		Spatializ	ed data		differences
Rainy - Summer						
Entire Reservoir	36.0	-10.1	26.8	-7.2	21.9	
<b>Riverine</b> Zone	5.7	44.5	6.5	37.6	5.5	a
Transition Zone	9.3	-24.8	15.3	-19.1	11.7	b, e
Lacustrine Zone	20.9	-18.3	9.1	-14.1	7.0	b
Dry - Winter						
Entire Reservoir	34.3	24.6	61.5	22.1	50.8	
Riverine Zone	13.7	93.0	13.3	78.7	11.2	c
Transition Zone	7.6	-4.7	<mark>51.5</mark>	-2.0	42.1	d
Lacustrine Zone	13.1	-29.7	18.1	-22.9	13.9	e
				At the Dan	n	
All data over the year		-0.1	39.8	-0.9	33.1	
Rainy - Spring		-28.6	24.6	-27.1	18.5	A
Rainy - Summer		8.1	41.8	7.6	35.6	В
Dry - Autumn		23.7	39.2	19.6	29.9	С
Dry - Winter		-0.4	33.0	-0.6	25.5	D
6						

Commented [F34]: AR: Rebuilt table.

- 1 Table 2. Average and standard deviation of environmental and chemical variable from the station
- 2 S28 (near the dam) and river. \*Cumulative precipitation over three months
- 3

Months	Oct-	Dec	Jan-	Mar	Apr-	Jun	Jul-	Sep	
Season	Rainy -	Autumn	Rainy - Summer		Dry - Spring		Dry - Winter		
	Average	Std. Dev.	Average	Std. Dev.	Average	Std. Dev.	Average	Std. Dev.	
Air temperature (°C)	22.5	4.0	24.0	3.3	20.7	3.1	19.6	4.0	
Alkalinity (mg L <sup>-1</sup> as CaCO <sub>3</sub> )	11.0	0.2	15.5	4.6	11.3	3.7	12.5	3.0	
Chlorophyll (mg L <sup>-1</sup> )	12.9	12.8	23.8	20.6	3.0	0.2	23.2	35.0	
Total Phosphorus (µg L <sup>-1</sup> )	42.3	8.5	41.7	12.2	18.4	8.6	33.7	28.0	
Total Nitrogen (µg L <sup>-1</sup> )	1264.6	357.1	1143.2	305.3	1505.6	454.3	1203.3	299.7	
Maximum Depth (m)	65.1	1.8	69.3	1.4	71.6	2.5	69.1	4.4	
Mean Reservoir Depth (m)	19.3	0.4	20.3	0.4	20.9	0.7	20.3	1.1	
pCO <sub>2</sub> (µatm)	68.9	118.6	848.9	1027.5	1111.8	907.5	521.9	618.5	
Precipitation (mm)*	547.0		42	420.2		230.2		71.6	
Retention Time (days)	27.9	7.7	33.0	9.0	36.4	6.4	33.2	7.4	
Max Daily Solar Radiation (W m <sup>-2</sup> )	937.7	276.1	958.1	246.8	716.9	227.2	758.0	189.7	
Surface Water temperature (°C)	24.7	1.1	27.1	1.0	24.1	1.7	22.0	1.0	
Wind Speed (m s <sup>-1</sup> )	-	-	1.6	1.2	1.4	1.3	1.6	1.5	
River Total Phosphorus (mg L <sup>-1</sup> )	80.6	-	77.1	-	42.4	-	88.3	-	
River Total Nitrogen (mg L <sup>-1</sup> )	1535.5	-	2072.5	-	1524.2	-	1972.6	-	
River Total Carbon (mg L <sup>-1</sup> )	<u>12.9</u>	<u>2.0</u>	<u>13.3</u>	<u>1.8</u>	<u>13.7</u>	<u>2.5</u>	<u>12.1</u>	<u>2.9</u>	
Downstream Total Carbon (mg L <sup>-1</sup> )	<u>12.4</u>	<u>2.3</u>	<u>11.8</u>	<u>0.3</u>	<u>13.7</u>	<u>2.6</u>	<u>11.9</u>	<u>1.6</u>	
Inflow $(m^3 s^{-1})$	224.2	58.9	236.4	74.1	234.1	36.7	168.9	28.7	
$\frac{\text{Outflow}(\text{m}^3\text{s}^{-1})}{\text{t}\text{O}(\text{m}^3\text{s}^{-1})}$	223.6	57.2	236.4	74.1	226.0	30.9	219.1	10.7	

\* Cumulative precipitation over three months

4

- 1 Table 3. Profile's average of the hourly river temperature collected by thermistor chain located at
- 2 station S05 on 29 February 2012 (rainy season) and 20 September 2012 (dry season).

3

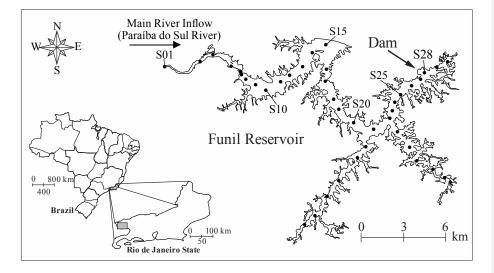
rainy season							
Hour	River Te	emp. (°C)	Hour	River Temp. (°C)			
(LT)	Average	Std. Dev.	(LT)	Average	Std. Dev.		
00:00	28.39	0.04	12:00	27.71	0.03		
01:00	28.28	0.04	13:00	27.72	0.04		
02:00	28.17	0.05	14:00	27.79	0.11		
03:00	28.07	0.03	15:00	27.97	0.06		
04:00	28.00	0.02	16:00	28.03	0.02		
05:00	27.91	0.04	17:00	28.16	0.09		
06:00	27.85	0.04	18:00	28.34	0.09		
07:00	27.77	0.05	19:00	28.49	0.06		
08:00	27.73	0.00	20:00	28.63	0.04		
09:00	27.72	0.01	21:00	28.70	0.01		
10:00	27.71	0.02	22:00	28.67	0.03		
11:00	27.69	0.01	23:00	28.55	0.05		
Max	28.70 (21:00 h)						
Min	27.69 (11:00 h)						

dry season							
Hour	River Te	emp. (°C)	Hour	River Te	emp. (°C)		
(LT)	Average	Std. Dev.	(LT)	Average	Std. Dev.		
00:00	23.90	0.02	12:00	23.80	0.08		
01:00	23.88	0.02	13:00	23.82	0.02		
02:00	23.80	0.06	14:00	23.87	0.04		
03:00	23.74	0.04	15:00	23.89	0.04		
04:00	23.71	0.04	16:00	24.00	0.04		
05:00	23.66	0.01	17:00	23.97	0.05		
06:00	23.64	0.01	18:00	23.99	0.08		
07:00	23.60	0.04	19:00	24.08	0.02		
08:00	23.57	0.03	20:00	24.03	0.02		
09:00	23.59	0.01	21:00	24.00	0.02		
10:00	23.62	0.02	22:00	23.96	0.02		
11:00	23.65	0.02	23:00	23.95	0.02		
Max	24.08 (19:00 h)						
Min	23.57 (08:00 h)						

1	Table 4. Comparison between $CO_2$ fluxes (mmol m <sup>-2</sup> d <sup>-1</sup> ) calculated in periods of low retention
2	time and high retention time. Positive fluxes denotes net gas fluxes from the lake to the atmosphere.
3	The statistical analyses showed significant differences between temporal and spatial data and
4	<u>between low and high retention time (t-test, <math>p \le 0.05</math>).</u> * We considered data for low retention and
5	high retention time when values was less than 25 days and more than 38 days, respectively. The
6	average of the CO <sub>2</sub> fluxes in periods of intermediate retention time was closely to 0 (0.5 mmol $m^{-}$
7	$^{2}$ d <sup>-1</sup> ).

CO2 fluxes mmol m <sup>-2</sup> d <sup>-1</sup>					
Low rete	ntion time	High retention Time			
Average	Std. Dev.	Average	Std. Dev.		
-18.6	30.3	14.5	33.6		
24.6	61.5	-10.1	26.8		
	Low rete Average -18.6	Low retention timeAverageStd. Dev18.630.3	Low retention timeHigh reteAverageStd. Dev.Average-18.630.314.5		

Pacheco et al., p. 33



2 Figure 1. Map of Funil reservoir showing geographic location and sampling stations.

Pacheco et al., p. 34

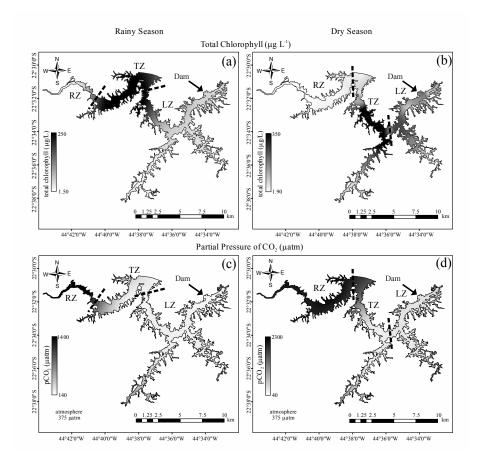


Figure 2. Map of  $pCO_2$  and Chl expressed by a color gradient obtained from interpolation of measured data using the Ordinary Kriging statistical procedures. The root mean-square error (RMSE) of the Kriging prediction calculated comparing observed and calculated values was 90 µatm and 15 µg L<sup>-1</sup> for pCO<sub>2</sub> and Chl, respectively. Lighter gray represent low Chl (a, b) and low pCO<sub>2</sub> (c, d). RZ = Riverine Zone, TZ = Transition Zone, LZ = Lacustrine Zone.

Pacheco et al., p. 35

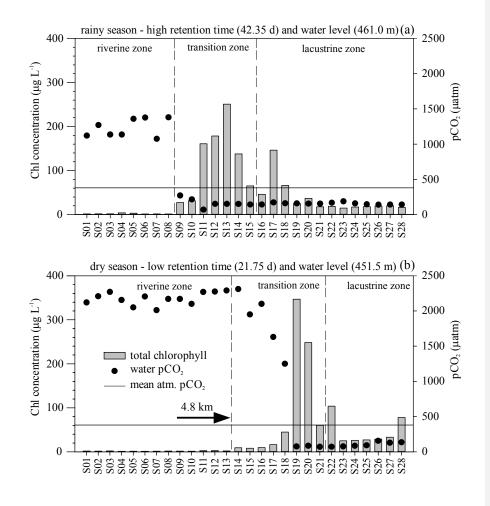


Figure 3. Lotic-lentic gradient of pCO<sub>2</sub> and Chl along the 28 sampling station in the main reservoir
body in rainy season (a) and dry season (b). The water level was 461.0 and 451.5 in rainy season
and dry season. Three zones can clearly be defined (riverine, transition and lacustrine zone). The
arrow shows that the transition zone starts 4.8 kilometers down-reservoir in the period of low water
level.

Pacheco et al., p. 36

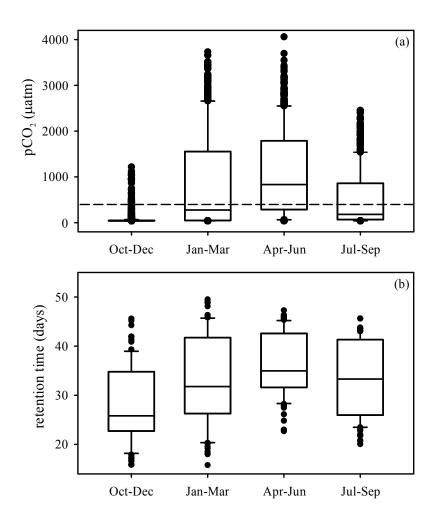
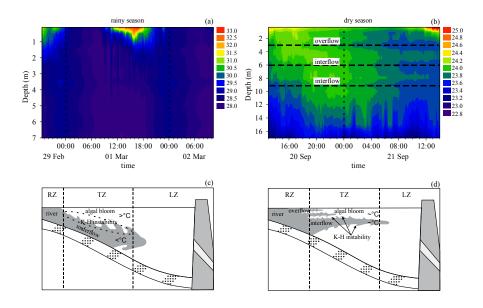




Figure 4. Box plot of pCO<sub>2</sub> at station S28 near the dam (a) and mean reservoir retention time (b)
over the studied year. The dashed line represents the average of pCO<sub>2</sub> in the atmosphere (375
µatm). The data are subdivided in four seasons: rainy-spring (Oct-Dec), rainy-summer (Jan-Mar),
dry autumn (Apr-Jun) and dry winter (Jul-Sep).



1

Figure 5. Temperature profile collected at station S09 in rainy season (a) and at station S14 in dry 2 season (b). Dashed line represent the depths where river flows as overflow or interflows. In rainy 3 4 season the river plunges and flows under the reservoir (underflow) due to difference of density (c). Waves and billows develops along the interface due to shear velocity (Kelvin-Helmholtz 5 instability) and facilitate vertical mixing (see text). In dry season the river flows as overflow or 6 7 interflow (d) since the difference of density between river and reservoir is low. At this situation, the river can influence the reservoir surface water more 5 kilometers toward the dam. RZ = 8 9 Riverine Zone, TZ = Transition Zone, LZ = Lacustrine Zone.

Pacheco et al., p. 38

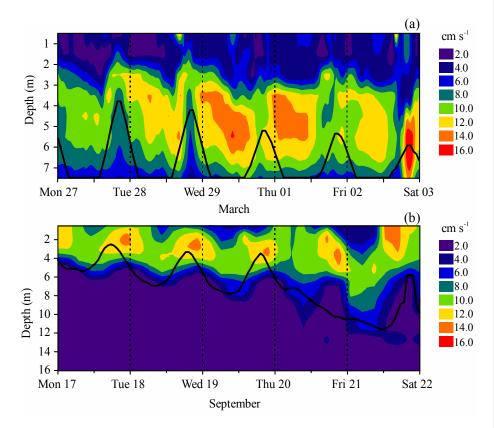
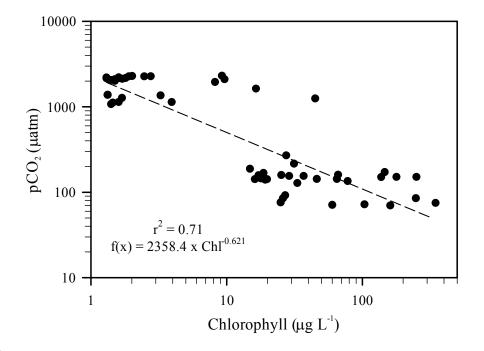


Figure 6. Simulated velocity profile using realistic forcing. Higher velocities represent the depth 2 where the river flows though the transition zone. The river flows as underflow in rainy season 3 when a denser (colder) river plunges beneath the surface and it will flow downward along the 4 bottom as a gravity-driven density current (a). The river flows as overflow in dry season when 5 temperature from river and reservoir are similar (b). As overflow, the river characteristics can be 6 found many kilometers toward the dam at surface water. The black line represents the depth of 7 neutral buoyancy estimated from temperature records, presuming that lake and river water do not 8 mix. The anomaly observed in the river flow and depth of neutral buoyancy between 20 and 21 9 September 2012 occurred due to a decrease of the river temperature during a rainfall that occurred 10 11 around 16:00 on 20 September.

Pacheco et al., p. 39





2 Figure 7. Relationship between spatial data of pCO<sub>2</sub> and Chl in Funil Reservoir. The regression is

3 represented by dashed line ( $r^2 = 0.71$ , p < 0.001).