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## Water-saving ground cover rice production system reduces net greenhouse gas fluxes in an annual rice-based cropping system

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#### Abstract

To safeguard food security and preserve precious water resources, the technology of water-saving ground cover rice production system (GCRPS) is being increasingly adopted for the rice cultivation. However, changes in soil water status and temperature <sup>5</sup> under GCRPS may affect soil biogeochemical processes that control the biosphere-atmosphere exchanges of methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>). The overall goal of this study is to better understand how net ecosystem greenhouse gas exchanges (NEGE) and grain yields are affected by GCRPS in an annual rice-based cropping system. Our evaluation was based on measurements of the CH<sub>4</sub> and N<sub>2</sub>O fluxes and soil heterotrophic respiration (CO<sub>2</sub> emission) over a complete

- year, as well as the estimated soil carbon sequestration intensity for six different fertilizer treatments for conventional paddy and GCRPS. The fertilizer treatments included urea application and no N fertilization for both conventional paddy (CUN and CNN) and GCRPS (GUN and GNN), solely chicken manure (GCM) and combined urea and
- <sup>15</sup> chicken manure applications (GUM) for GCRPS. Averaging across all the fertilizer treatments, GCRPS increased annual N<sub>2</sub>O emission and grain yield by 40 % and 9 %, respectively, and decreased annual CH<sub>4</sub> emission by 69 %, while GCRPS did not affect soil CO<sub>2</sub> emissions relative to the conventional paddy. The annual direct emission factors of N<sub>2</sub>O were 4.01, 0.087 and 0.50 % for GUN, GCM and GUM, respectively, and
- 1.52% for the conventional paddy (CUN). The annual soil carbon sequestration intensity under GCRPS was estimated to be an average of -1.33 Mg C ha<sup>-1</sup> yr<sup>-1</sup>, which is approximately 44% higher than the conventional paddy. The annual NEGE were 10.80–11.02 Mg CO<sub>2</sub>-eq ha<sup>-1</sup> yr<sup>-1</sup> for the conventional paddy and 3.05–9.37 Mg CO<sub>2</sub>-eq ha<sup>-1</sup> yr<sup>-1</sup> for the GCRPS, suggesting the potential feasibility of GCRPS in reducing net greenhouse effect from rice cultivation. Using organic fertilizers for GCRPS considerably reduced annual emissions of CH<sub>4</sub> and N<sub>2</sub>O and increased soil carbon seques-
- tration, resulting in the lowest NEGE  $(3.05-5.00 \text{ Mg CO}_2\text{-}eq \text{ ha}^{-1} \text{ yr}^{-1})$ . Accordingly, water-saving GCRPS with organic fertilizer amendments was considered the most



promising management regime for simultaneously achieving relatively high grain yield and reduced net greenhouse gas emission.

## 1 Introduction

Atmospheric methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>) play an
<sup>5</sup> important role in the biogeochemical carbon and nitrogen cycling, which substantially affects atmospheric chemistry and climate change (IPCC, 2007). Agriculture, without considering land use change, has been estimated to contribute approximately 10–12% to the total global anthropogenic emissions of greenhouse gases (GHGs), which accounts for about 50% and 60% of global CH<sub>4</sub> and N<sub>2</sub>O emissions, respectively (Smith et al., 2007). In agricultural soils, these GHGs are all produced or consumed as a result of soil microbial processes, but the magnitude of the fluxes depends heavily on agricultural systems (Linquist et al., 2012). Aerobic upland agricultural systems primarily release N<sub>2</sub>O and contribute little to the global atmospheric CH<sub>4</sub> budget (e.g., Adviento-Borbe et al., 2007; Wang et al., 2011), while paddy field and irrigated lowland

- rice cultivation systems are known to be significant sources of atmospheric CH<sub>4</sub>, but also N<sub>2</sub>O (e.g., Cai et al., 1997; Shang et al., 2011; Yao et al., 2012). Across 62 study sites and 328 observations worldwide, Linquist et al. (2012) estimated that the aggregate emission of CH<sub>4</sub> and N<sub>2</sub>O in rice production systems was approximately four times higher than that of either upland wheat or maize systems, suggesting greater mitigation opportunities for rice production.
- <sup>20</sup> opportunities for rice systems. Therefore, measurements of GHG fluxes from different rice-based cropping systems are of regional and global significance.

Rice is the major staple food for more than 3 billion people worldwide, accounting for approximately 20% of its overall energy intake (FAO, 2011, *http://faostat.fao.org*). To meet the food demand of a growing population, an annual increase in rice production in the range of 2, 10 million t is needed even the next 20 was (live stal).

<sup>25</sup> in the range of 8–10 million t is needed over the next 20 years (Liu et al., 2013). Meanwhile, because of intensified competition for freshwater resources between agricultural and industrial developments and as a result of rapid urbanization, it is anticipated that



by 2025 approximately 15–20 million ha of irrigated rice will suffer from water scarcity (Bouman, 2007). Overall, food security in the world is challenged by increasing food demand and threatened by declining water availability, and thus, a number of studies on water-saving irrigation managements for rice production systems have been carried

- out (e.g., Bouman and Tuong, 2001; Belder et al., 2004; Qu et al., 2012; Hou et al., 2012). However, the majority of these experiments were only focused on water use efficiency as well as rice productivity and little is known about the influences of management practices on GHG emissions from rice-based cropping systems. Accordingly, it is still unresolved if increased rice yields can be obtained at lower environmental costs, i.e., decreased irrigation water demand and at the same time decreased GHG
- 10

emissions (Bouman et al., 2006). China is the world's largest rice producer, contributing approximately 35 % to the to-

tal global rice production (Qu et al., 2012). Moreover, with regard to the consumption amount of irrigation water, China is the second in the world, with water use in the agri <sup>15</sup> cultural sector accounting for 62 % of total freshwater use (Wang et al., 2012). Furthermore, agriculture in China is thought of as a major source of national GHG emissions, which is responsible for approximately 17–20 % of annual anthropogenic GHG emissions (Wang et al., 2012). It is generally recognized that water management is one of the most important practices that impact CH<sub>4</sub> and N<sub>2</sub>O emissions as well as grain

- yields in rice paddy fields (e.g., Minamikawa and Sakai, 2005; Kreye et al., 2007; Qin et al., 2010; Yang et al., 2012). Keeping rice paddies continuous waterlogged requires the consumption of large amounts of water (approximately 3000–5000 L kg<sup>-1</sup> grain, Bouman et al., 2002), and results in huge CH<sub>4</sub> emissions (approximately 24 g CH<sub>4</sub> kg<sup>-1</sup> grain, Linquist et al., 2012). In contrast, the management of midseason drainage which
- <sup>25</sup> causes anaerobic and aerobic alternation in paddy fields, is considered to be an effective option for reducing  $CH_4$  emissions and exerting a positive impact on rice yields (e.g., Cai et al., 1997; Yan et al., 2003; Zou et al., 2005a). Meanwhile, intermittent irrigation with midseason drainage is the standard procedure of Chinese farmers, and the introduction of this technology from the end of the last century onwards has helped to



significantly reduce CH<sub>4</sub> emissions from paddy fields (e.g., Yan et al., 2009). However, the demand of irrigation water for paddy rice cultivation still remains high and it is estimated that at current levels of water usage in China, the annual total water shortage is approximately 30–40 billion m<sup>3</sup>, and by 2050 the total water deficiency could reach 400 <sup>5</sup> billion m<sup>3</sup>, representing about 80 % of the current annual capacity (Liu et al., 2013).

Considering the decreasing water availability for agriculture and the increasing demand for rice production, water-saving management practices have become one of the Chinese basic policies (Hou et al., 2012), and various water-saving technologies have been proposed and practiced in the paddy fields (e.g., Xu et al., 2004; Kreye et al., 2007; Yang et al., 2010). One of the most premising technologies to express water-

- <sup>10</sup> 2007; Yang et al., 2012). One of the most promising technologies to overcome water scarcity and temperature limitation in rice cultivation, with the latter being specifically a problem in mountainous regions and in the rice production regions in the North of China, is named the ground cover rice production system (GCRPS). For the GCRPS practice, the soil surface is covered with a thin plastic film to reduce evaporation and
- <sup>15</sup> increase soil temperature. The technology allows growing traditional lowland rice cultivars at nearly saturated soil conditions with no standing water. This practice has been proven to reduce irrigation water demand by 40–60 % and increasing rice yields at long-term experimental sites by on average 10 % (Qu et al., 2012) and at regional scale by approximately 18 % (Liu et al., 2013).
- <sup>20</sup> With the GCRPS technology being first tested approximately two decades ago, it is now widely disseminated and practiced on more than 4 million ha in several provinces of China, such as Hubei, Sichuan, Ningxia and Heilongjiang. However, changing soil conditions from permanent flooded to saturated and covering the soil with a thin plastic film has consequences for soil temperature and redox potential (Eh). Both environ-
- <sup>25</sup> mental factors are expected to lead to changes in soil biogeochemical cycling of C and N. For example, the increase in soil Eh under GCRPS (Liu et al., 2013) is anticipated to reduce CH<sub>4</sub> emissions. However, the practice that enhances soil temperature and aeration status may result in increased N<sub>2</sub>O emissions (Wang et al., 2011) and mineralization rates of soil organic carbon (Qu et al., 2012), which may offset or



overshadow the positive effect which can be achieved with regard to reduction in  $CH_4$  emissions. Consequently, a comprehensive assessment of the impact of GCRPS on GHG fluxes needs to take into account  $CH_4$  and  $N_2O$  emissions simultaneously and, in some cases, soil carbon sequestration changes. Failure to include one or more of these aspects may lead to biased views and misleading evaluations for this practice.

- To our knowledge, however, no study is available which has been quantifying the effect of GCRPS on the net greenhouse effect as compared to conventional paddy rice production systems.
- In response to these research needs, we launched a case study in which CH<sub>4</sub> and N<sub>2</sub>O fluxes and soil respiration (CO<sub>2</sub>) emissions as well as rice yields were measured simultaneously in an annual rice-based cropping system under conventional paddy and GCRPS practices. The main objectives of this study were to (a) characterize and quantify the CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> fluxes and the direct emission factors of fertilizer N across the annual rice-fallow systems, (b) better understand the key regulating factors on CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> fluxes from two contrasting practices, and (c) assess the efficacy of water-saving GCRPS technique to minimize the net greenhouse effect while sustaining crop yield.

## 2 Materials and methods

## 2.1 Site description and field experiment

- <sup>20</sup> Our field measurements were performed in paddy fields (32°38′ N, 110°37′ E, approx. 234 m a.s.l.) in Shiyan city, Hubei province, Central China, where GCRPS was introduced in the 1990's and is now widely applied by local farmers because of water and temperature limitations for rice cultivation (Zhou et al., 2008). The field site is on the bottom of a small valley, which is located in a typical hilly agricultural area, where crop-
- ping regime is primarily dominated by annual rice paddy-fallow system. The region is exposed to the northern subtropical monsoon climate, with an annual mean air tem-



perature of 15.3 °C, an annual average rainfall of 834 mm, an annual total sunshine of 1835 h, and a frost-free period of 234 d. The topsoil (0–15 cm) of the experimental farm is of a sandy loam texture with  $5.2 \pm 0.7 \%$  clay (< 0.002 mm),  $33.7 \pm 2.5 \%$  silt (0.002–0.02 mm), and  $61.1 \pm 3.2 \%$  sand (0.02–2 mm); it has a pH value of 6.2, an organic carbon content of  $10.3 \pm 1.3 \text{ g kg}^{-1}$ , total nitrogen content of  $1.18 \pm 0.07 \text{ g kg}^{-1}$ , and a bulk density of  $1.30 \pm 0.04 \text{ g cm}^{-3}$ .

In the present study, we investigated six fertilizer treatments under the two rice production systems (Table 1): two fertilizer treatments for the conventional paddy (i.e., CNN: no nitrogen fertilization as a control, and CUN: urea applied at a common rate)

- and four fertilizer treatments in GCRPS (i.e., GNN: no nitrogen fertilization as a control, GUN: urea applied at a common rate, GCM: chicken manure applied at a common N rate, and GUM: urea plus chicken manure at 1 : 1 nitrogen basis). Since our previous studies in the Jiangsu province showed that the use of organic matter in conventional paddy rice production systems leads to increased net GHG emissions (Yao
- et al., 2013a), only the treatments with urea application and the control were established for the conventional paddy (i.e., CNN and CUN). The treatments were arranged in a completely randomized block design with three replications (each plot with a size of 5m × 8m), giving a total of 18 plots. All plots were completely isolated by levees with plastic covering. The total N content and C : N ratio of the applied chicken manure were
- <sup>20</sup> 1% and 13.6, respectively. Fertilizer, as a form of organic, inorganic or a mix of both, was applied once as basal fertilization at a rate of 150 kg N ha<sup>-1</sup> just before rice transplanting. In addition, all treatments received 45 kg  $P_2O_5$  ha<sup>-1</sup> and 45 kg  $K_2O$  ha<sup>-1</sup> as basal fertilizers in the forms of Ca(H<sub>2</sub>PO<sub>4</sub>)<sub>2</sub> and KCl, respectively. In agreement with the local water regime, the experimental plots of conventional paddy were under a mode
- of flooding-midseason drainage-frequent waterlogging with intermittent irrigation (F-D-F). For GCRPS, to realize the goal of saving water and simultaneously satisfying rice growth, each plot was further separated into three raised beds (width 1.4 m and length 7.6 m) surrounded by 0.2 m wide and 0.15 m deep furrows that were filled with water to maintain soil water content near saturation. Each raised bed in GCRPS was covered



with a 0.005 mm thickness and 1.7 m width transparent polyethylene plastic film, and then holes were punched in plastic film using a punching bear for the transplantation of rice seedlings. After rice harvest, the rice straw was completely harvested and removed and all field plots were kept as fallows over the winter period. During the fallow period, the local farmers usually collected the plastic film from the GCRPS plots, and then plowed all the experimental fields to control weeds and insects.

#### 2.2 Measurements of GHGs fluxes

The CH<sub>4</sub> and N<sub>2</sub>O fluxes along with soil CO<sub>2</sub> emissions were measured in situ using static opaque manual gas sampling chambers (Yao et al., 2009), over the entire rice-fallow rotation under conventional paddy and GCRPS practices from May 2011 to April 2012. During the rice-growing season, to better evaluate the CH<sub>4</sub> and N<sub>2</sub>O fluxes under GCRPS, two sizes of rectangular stainless-steel frames with the width 0.65 m × length 0.90 m × height 0.15 m and width 0.20 m × length 0.30 m × height 0.20 m were inserted into the soils of the raised bed and furrow, respectively, before rice trans-

- planting in each plot. In order to keep conditions inside the frame as close to the actual field status of the raised bed in GCRPS, the soil inside the frame was also covered with plastic film. The top edge of the frames had a groove for filling with water to hermetically close the rim of the top chamber. For the conventional paddy, only one frame with the size of width 0.65 m × length 0.90 m × height 0.15 m was put into the soil for each
- <sup>20</sup> plot. To assess CO<sub>2</sub> emission from the bare soils of conventional paddy and GCRPS plots, a square stainless-steel frame with an area of  $0.25 \text{ m}^2$  was driven into the soil at a depth of 0.40 m so as to inhibit outside root access, and maintained the bare soil inside throughout the rice-growing season. Based on the types of frames, three different sizes of chambers (i.e., with a bottom area of  $0.65 \text{ m} \times 0.90 \text{ m}$  and a height of 0.50
- or 1.0 m depending on crop growth, a width  $0.20 \text{ m} \times \text{length} 0.30 \text{ m} \times \text{height} 0.30 \text{ m}$ , and a bottom area of  $0.25 \text{ m}^2$  and a height of 0.50 m) were used for gas samplings. These chambers were fitted with two mini fans inside the top panel for mixing air and a hole of 2 cm diameter in the top panel for equilibrating the pressure during the placement of



them on the water groove of frames. This hole was kept closed during the air sampling by using a pressure balance tube which was determined in terms of the description of Hutchinson and Mosier (1981). During the fallow period, the frames both in the furrows and in the bare soils were removed because all field plots were drained and kept

<sup>5</sup> bare. That is, only one size of frame with width 0.65m × length 0.90m × height 0.15m was maintained in place throughout the fallow season, except when it was removed for tillage on 4 January 2012, and thus, the fluxes of CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> were measured from the same chambers during the fallow period.

On each sampling day, chambers were temporarily mounted onto the frames, and gas samples for CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> detection were taken with a 60 mL polypropylene syringe at 0, 10, 20, 30, and 40 min after covering. During the gas collection, the air temperature inside the chamber was monitored using a digital thermometer (JM624, Tianjin Jinming Instrument Co. Ltd., China). Within 6 h after gas sampling, gas samples were simultaneously analyzed for CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O using a gas chromatograph (Agilent 7890A, Agilent Technologies, CA, USA) equipped with an electron capture detector

for  $N_2O$  detection and a flame ionization detector for  $CH_4$  and  $CO_2$  detection (a nickel catalyst applied for converting  $CO_2$  to  $CH_4$ ). The fluxes of  $CH_4$ ,  $N_2O$  and  $CO_2$  were calculated from the rate of change in the gas concentrations in the enclosed chamber with time (Hutchinson and Livingston, 1993), and corrected for the chamber air temperature and ambient air pressure effects.

Generally, gas fluxes were measured between 08:00 and 11:00 LT in the morning, assuming that the fluxes at that time represent the approximate daily mean of GHGs fluxes, since the soil temperature during that period was close to the average daily soil temperature (Yao et al., 2009). Over the rice-growing season, flux measurements were

<sup>25</sup> usually done three to four times per week at intervals of 1 to 2 days, whereas during the fallow period flux measurements were done five times per week.



#### 2.3 Auxiliary measurements

At times of GHGs flux measurements, soil Eh at a depth of 10 cm were monitored using platinum-tipped electrodes with a calomel reference electrode connected to a portable millivolt meter (FJA-5, Nanjing Chuan-Di Instrument Co. Ltd., China). Each of the 18

- <sup>5</sup> plots had two replicated electrodes that were permanently installed in the experimental fields during the rice-growing period. Over the rice-growing season, soil water contents in the GCRPS plots were automatically measured in 30 min intervals using Frequency Domain Reflector (FDR, RDS Technology Co., Ltd Jiangsu, Nanjing, China). The buriable FDR probes were embedded in the soil layer of 0–6 cm before rice transplanting.
- <sup>10</sup> For the conventional paddy plots, the field floodwater depth was monitored daily using an embedded vertical ruler. For the fallow period, soil (0–6 cm) moisture in all field plots was measured daily adjacent to the frames by using a portable FDR probe. Over the entire rice-fallow system, the air temperature and daily precipitation were recorded by an automatic meteorological station (HOBO, Onset Company, USA) at the experi-
- <sup>15</sup> mental farm. Soil (5 cm) temperature in the conventional paddy and GCRPS plots was automatically recorded in 15 min intervals using a HOBO temperature sensor (Onset Company, USA). To determine soil mineral N (NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>) and dissolved organic C (DOC) contents, soil samples at a depth of 0–10 cm were randomly collected at two points in each plot using a 3 cm diameter gauge auger at weekly intervals. Following the
- <sup>20</sup> collection, soil samples were bulked for each treatment, and extracted using 1 MKCl solution for  $NH_4^+$  and  $NO_3^-$  determination and using  $0.05 \,MK_2SO_4$  solution for DOC measurement. The soil extracts were frozen at  $-18\,^\circ$ C and later analyzed with a continuous flow analyzer instrument (San++, Skalar Analytical B.V., the Netherlands) for simultaneous measurements of  $NH_4^+$ ,  $NO_3^-$  and DOC.
- <sup>25</sup> The rice plants at physiological maturity were harvested manually from one subplot with a size of 1.4 m × 7.6 m in each experimental plot, and then separated into grain and straw. The yields of grain and straw were determined after oven drying at 70 °C to a constant weight, and then, each part was further processed and analyzed for C



and N contents (Qu et al., 2012). In order to determine the weight of root at maturity, all rice plants (including aboveground biomass and root until 40 cm soil depth) of eight randomly collected hills (approximately  $0.3 \text{ m}^2$ ) were harvested in each plot, washed and separated for above- and below-ground biomass and dried to a constant weight.

#### 5 2.4 Data processing and statistical analysis

The fluxes of  $CH_4$ ,  $N_2O$  and  $CO_2$  for each treatment of a given sampling date were obtained by averaging fluxes of the three spatial replicates. The total cumulative fluxes of an individual gas over the rice season, fallow period and annual rotation cycle were directly calculated from the observed fluxes, using linear interpolation between sampling dates. The  $N_2O$  emission factor of fertilizer N applied to the soil was computed by subtracting the total cumulative emission of  $N_2O$  in the control from the corresponding total emissions in each fertilized treatment and dividing the result by the applied total amount of N fertilization. To assess the combined climatic impact from  $CH_4$  and  $N_2O$ under the agronomic treatments, the aggregate emissions of  $CH_4$  and  $N_2O$  (expressed in  $CO_2$  equivalents) were calculated using the global warming potential indices of 25

<sup>15</sup> In CO<sub>2</sub> equivalents) were calculated using the global warming potential indices of 25 and 298 for CH<sub>4</sub> and N<sub>2</sub>O, respectively, over a 100 year time horizon (IPCC, 2007). As the net ecosystem exchanges (NEE) of CO<sub>2</sub> during the rice-growing season could not be measured with the opaque chamber method, they were estimated from the difference between net primary production (NPP) and heterotrophic respiration ( $R_h$ )

- as suggested by Liu and Greaver (2009), i.e., NEE =  $R_h$  NPP, in which the NPP was determined by summing up the weights of harvested aboveground biomass (including straw and grain) and root, and the  $R_h$  was estimated as the soil respiration from the bare soil (Raich and Tufekcioglu, 2000). The carbon sequestration capacity of the soil was calculated by integrating NEE estimates, the amount of carbon incorpo-
- <sup>25</sup> rated through fertilization and the carbon amount harvested in the straw and grain, i.e., soil carbon sequestration capacity (Mg C ha<sup>-1</sup>) = -NEE+Incorporated C-Harvested C. Here the negative NEE fluxes indicate net CO<sub>2</sub> uptake, and the negative values of carbon sequestration capacity indicate the net carbon losses from soil. It should be



noted that our present estimates of soil carbon sequestration capacity were quite preliminary and existed a certain degree of underestimation, due to not including root exudates. To calculate the complete GHG balance for conventional paddy and GCRPS treatments, the net ecosystem greenhouse gas exchange (NEGE) was estimated by integrating the CH<sub>4</sub> and N<sub>2</sub>O fluxes and soil carbon sequestration capacity, i.e., NEGE (MgCO<sub>2</sub> – *eq* ha<sup>-1</sup>) = 25 × CH<sub>4</sub> flux (kg C ha<sup>-1</sup>) × 16/12/1000 + 298 × N<sub>2</sub>O flux (kg N ha<sup>-1</sup>) × 44/28/1000 – soil C sequestration (Mg C ha<sup>-1</sup>) × 44/12. In this study, the negative value of NEGE indicates the net sink of atmospheric GHGs.

All statistical analyses were performed using SPSS 12.0 (SPSS Inc., Chicago, USA) and Origin 7.0 (Origin Lab Corporation, USA). Differences in cumulative emissions from the rice-fallow system as affected by different agronomic treatments were examined by using a one-way ANOVA with the Tukey's multiple range test. To estimate the relationships between soil environmental variables and GHGs emissions in the rice-growing season, multiple regression analyses were carried out with the stepwise procedure.

#### 15 3 Results

#### 3.1 Environmental drivers and rice production

The total amounts of rainfall were 597.8 and 281.2 mm for the rice and fallow seasons, respectively (Fig. 1a). Within the first two months after soil was covered by plastic film, soil temperature was 25.7 °C on average in GCRPS but 22.8 °C on average in the conventional paddy (Fig. 1b). Within the GCRPS plots, the chicken manure application generally increased soil temperature, with mean values of 24.3 and 25.2 for GUN and GCM, respectively. During the fallow period, both conventional paddy and GCRPS showed comparable results of soil temperature (mean: 10.2 vs. 9.9 °C).

For the conventional paddy, a floodwater layer of on average  $2.6 \pm 0.5$  cm was maintained during the rice-growing season, except for the periods of midseason aeration and final drainage. For GCRPS, soil water content was generally kept at more



than 90% WFPS (water-filled pore space) until the final drainage before rice harvest (Fig. 1c). During the fallow period, there were no significant differences in soil water contents between GCRPS and conventional paddy. Both conventional paddy and GCRPS showed a comparable seasonality in soil Eh (Fig. 1d). However, soil Eh under <sup>5</sup> GCRPS was on average 73 % higher than that of conventional paddy.

Across the rice-growing season, the mean  $NH_4^+$  content in the CNN (5.3 mg N kg<sup>-1</sup> SDW(soil dry weight)) and CUN (7.6 mg N kg  $^{-1}$  SDW) treatments were 39 % and 15 %higher than that of the GNN and GUN, respectively (Fig. 2). In contrast, the seasonal mean  $NO_3^-$  contents under CNN (0.35 mg N kg<sup>-1</sup>SDW) and CUN (0.50 mg N kg<sup>-1</sup>SDW) were lower than their corresponding GNN and GUN treatments. Compared to the GUN, GCM reduced the  $NH_4^+$  and  $NO_3^-$  contents by 20 % and 28 %, respectively. Application of GUM increased soil  $NH_4^+$  and  $NO_3^-$  contents compared to GCM, but to a smaller extent than GUN. In addition, the mean DOC contents under conventional paddy (i.e.,

57.9 mg C kg<sup>-1</sup>SDW for CNN and 52.7 mg C kg<sup>-1</sup>SDW for CUN) were higher than those of the GNN (43.1 mg C kg<sup>-1</sup>SDW) and GUN (36.6 mg C kg<sup>-1</sup>SDW), but they were comparable to those of GUM and GCM. During the fallow period, all the fertilized treatments (CUN, GUN, GCM and GUM) had higher soil mineral N (NH $_4^+$  + NO $_3^-$ ) contents (11.8– 14.1 mg N kg<sup>-1</sup>SDW) as compared to the controls (6.7–9.8 mg N kg<sup>-1</sup>SDW).

The total yields of straw and grain and the uptake of C and N by the plants in the fertilized plots were significantly higher compared to the unfertilized controls regard-20 less of rice production system (P < 0.05, Table 2). Compared to the CNN, the GNN treatment significantly improved grain yields by 10 % (P < 0.05), though there was no significant difference in straw yields. In contrast, the grain yields did not differ between CUN and GUN. The chicken manure amendments under GCRPS (GCM and GUM) did

not influence grain yields, compared to CUN and GUN (Table 2). 25



#### 3.2 Methane fluxes

During the rice-growing season, the  $CH_4$  emissions increased steadily after rice transplanting and peak emissions were observed at the beginning of August for plots fertilized with urea (CUN and GUN) or being unfertilized (CNN and GNN). For plots fer-

- tilized with chicken manure (GCM and GUM), two periods with elevated CH<sub>4</sub> emissions were observed: the first one appeared immediately following fertilization, while the second occurred at the beginning of August (Fig. 3). Seasonal cumulative CH<sub>4</sub> emissions significantly varied with rice production system and fertilizer treatment (Table 3). Compared to the CNN, CUN significantly inhibited seasonal CH<sub>4</sub> emissions by 2000 (CD = 2.05) the the CONS of the CNN.
- <sup>10</sup> 33% (P < 0.05). Under GCRPS, there were similar temporal trends but varying in amplitudes of CH<sub>4</sub> emissions between the furrow and raised bed of each treatment (Figs. 3 and S1). Seasonal CH<sub>4</sub> emissions for the furrows ranged from 2.07 to 3.67 kg C ha<sup>-1</sup>, which was on average 72% lower than those of the raised beds (P < 0.05). The seasonal mean CH<sub>4</sub> emissions under GCRPS, weighted by the areal extent of furrow and
- <sup>15</sup> raised bed, were 5.36, 6.65, 33.4 and 11.8 kg C ha<sup>-1</sup> for the GNN, GUN, GCM and GUM, respectively (Table 3). Averaging across GNN and GUN under GCRPS, the seasonal CH<sub>4</sub> emission was  $6.00 \pm 0.68$  kg C ha<sup>-1</sup>, which is 86% lower than that of the conventional paddy (*P* < 0.05), indicating that the conversion from conventional paddy to GCRPS inhibited CH<sub>4</sub> emissions substantially. Also, the CH<sub>4</sub> emissions from GCM and GUM under GCRPS were lower as compared to the conventional paddy.

Across sampling dates during the rice-growing season,  $CH_4$  emissions were negatively correlated with soil Eh and positively with soil temperature (Table 4). For instance, soil temperature and Eh together explained approximately 46% of the observed temporal variation in  $CH_4$  emission for CNN (Fig. 4).

In the following fallow season, there were no significant production system impacts or seasonal patterns (Fig. S2). Over the fallow period, soils of all treatments acted as weak sinks for atmospheric  $CH_4$ , which ranged from -0.92 to -0.50 kg C ha<sup>-1</sup> (Table 3). Annual  $CH_4$  emissions over the rice-fallow system ranged from 4.43 kg C ha<sup>-1</sup> yr<sup>-1</sup> for



the GNN to 51.7 kg C ha<sup>-1</sup> yr<sup>-1</sup> for the CNN plots. Across the plots with urea application and the control, annual CH<sub>4</sub> emission averaged 5.14 and 43.2 kg C ha<sup>-1</sup> yr<sup>-1</sup> for the GCRPS and conventional paddy, respectively, the former value was significantly lower than the latter one (P < 0.05, Table 3).

#### 5 3.3 Nitrous oxide emissions

During the rice-growing season, the N<sub>2</sub>O emissions from the unfertilized plots (CNN and GNN) were constantly low (<  $8 \mu g N m^{-2} h^{-1}$ , Fig. 5). The N<sub>2</sub>O emissions from the fertilized plots (CUN, GUN, GCM and GUM) were relatively low and steady across the rice-growing season, apart from a pronounced peak following fertilization and a small spike following midseason drainage at the end of June. Among all the fertilizer treatments, the highest peak emission of N<sub>2</sub>O appeared in the GUN plots, and N<sub>2</sub>O emissions remained much higher as compared to the other treatments for almost 6 weeks following fertilization, although the emissions did fluctuate (Fig. 5). Similar to CH<sub>4</sub> emission, seasonal N<sub>2</sub>O emissions were also affected by rice production system and fertilizer treatment. Under conventional paddy, CUN significantly increased N<sub>2</sub>O emissions

- by 138 % relative to the CNN (P < 0.05), and the direct emission factor of N<sub>2</sub>O was estimated to be 0.082 %. For the GCRPS plots, the magnitude of N<sub>2</sub>O emissions from the furrows was significantly lower than from the raised beds (P < 0.05, Table 3), although they showed similar seasonal patterns (Figs. 5 and S1). The area-weighted seasonal
- $^{20}$  N<sub>2</sub>O emissions were 0.12, 4.30, 0.15 and 0.33 kg N ha<sup>-1</sup> for the GNN, GUN, GCM and GUM, respectively. The direct emission factors of N<sub>2</sub>O were estimated to be 2.79%, 0.023% and 0.14% for the GUN, GCM and GUM, respectively. Across GNN and GUN under GCRPS, the seasonal N<sub>2</sub>O emission averaged 2.21 kg N ha<sup>-1</sup>, which is remarkably higher than that of conventional paddy, indicating that the conversion from conventional paddy.
- tional paddy to GCRPS significantly stimulated N<sub>2</sub>O emissions. In contrast, GCM and GUM only slightly increased N<sub>2</sub>O emissions in comparison to the conventional paddy.



Across the rice-growing season, a positive linear correlation was found between N<sub>2</sub>O emissions and soil NH<sub>4</sub><sup>+</sup> contents or soil temperature, or both for all the fertilized treatments (Table 4). For example, Fig. 4b illustrates the relationship between soil temperature, NH<sub>4</sub><sup>+</sup> content and N<sub>2</sub>O emissions for the GUN.

<sup>5</sup> During the fallow period, marked N<sub>2</sub>O emissions were recorded mainly in late March and April after substantial rainfall events (Figs. 1a and 5). Although no fertilizer was applied in the fallow season, the cumulative N<sub>2</sub>O emissions over this period were observed to be higher than those during the rice-growing season, except for the GUN treatment. Total N<sub>2</sub>O emissions in the fallow season (ranging from 0.45 to
 <sup>10</sup> 2.60 kg N ha<sup>-1</sup>) did not differ between the conventional paddy and GCRPS, but varied significantly among fertilizer treatments in each production system (Table 3).

Over the rice-fallow cropping cycle, annual N<sub>2</sub>O emissions ranged from 0.54 kg N ha<sup>-1</sup> yr<sup>-1</sup> for the CNN to 6.64 kg N ha<sup>-1</sup> yr<sup>-1</sup> for the GUN plots (Table 3). Although there was no significant difference in annual N<sub>2</sub>O emissions between CNN and GNN, GUN remarkably increased annual N<sub>2</sub>O emission by 136 % relative to CUN (P < 0.05). In contrast, GUM did not significantly affect annual N<sub>2</sub>O emission, and GCM even reduced annual N<sub>2</sub>O emission by 73 %, compared to the CUN. The annual direct emission factor of N<sub>2</sub>O was estimated to be 1.52 %, 4.01 %, 0.087 % and 0.50 % for the CUN, GUN, GCM and GUM, respectively.

#### $_{20}$ 3.4 Soil $R_{\rm h}$ emissions and estimates of NEE and soil carbon sequestration

Soil  $R_h$  emissions showed a slight peak in late August for the plots with urea application and the control (CNN, GNN, CUN and GUN). Similar results were observed in the GCM and GUM treatments, apart from a short flush following fertilization (Fig. 6). Over the rice-growing season, there were no significant differences in seasonal  $R_h$  emissions (ranging from 0.91 to 1.17 Mg C ha<sup>-1</sup>) between the conventional paddy and GCRPS

<sup>25</sup> (ranging from 0.91 to 1.17 Mg C ha<sup>-1</sup>) between the conventional paddy and GCRPS (Table 5). The soil  $R_h$  emission was significantly correlated with Eh under conventional paddy, while it was negatively correlated with WFPS under GCRPS (Table 4). For the



rice growth period, the NEE fluxes ranged between -6.51 and  $-3.97 \text{ Mg C ha}^{-1}$  for conventional paddy and from -6.68 to  $-4.35 \text{ Mg C ha}^{-1}$  for GCRPS, with no significant difference between them (Table 5).

- Soil CO<sub>2</sub> emissions from the conventional paddy and GCRPS showed similar seasonal patterns during the fallow period (Fig. 6). The total CO<sub>2</sub> emissions over this period ranged from 1.49 to 2.04 Mg C ha<sup>-1</sup>, with no significant effect of rice production system (Table 5). Over the entire rice-fallow system, the annual soil CO<sub>2</sub> emissions and NEE fluxes ranged from 2.47 to 3.16 Mg C ha<sup>-1</sup> yr<sup>-1</sup> and -5.19 to -2.06 Mg C ha<sup>-1</sup> yr<sup>-1</sup>, respectively, among the treatments. There was no significant effect of rice production system on both annual soil CO<sub>2</sub> emissions and NEE fluxes. The estimated annual soil carbon sequestration capacity ranged from -2.47 Mg C ha<sup>-1</sup> yr<sup>-1</sup> for CNN to -0.44 Mg C ha<sup>-1</sup> yr<sup>-1</sup> for GCM (Table 5). Across all treatments under GCRPS, the annual soil carbon sequestration capacity averaged -1.33 Mg C ha<sup>-1</sup> yr<sup>-1</sup>, which is 44 % higher as compared to the conventional paddy, indicating that the conversion from contor ventional paddy to GCRPS had the potential to reduce carbon loss from soil.
  - 3.5 Estimates of net ecosystem greenhouse gas exchange (NEGE)

During the rice-growing season, total emissions of  $CH_4$  and  $N_2O$  from GNN and GUN averaged 1235 kg  $CO_2$ -eq ha<sup>-1</sup>, which is approximately 19% lower than the conventional paddy (Table 5). The chick manure applications under GCRPS, especially GCM <sup>20</sup> significantly reduced aggregate emissions of  $CH_4$  and  $N_2O$  (P < 0.05), compared to the CUN and GUN. During the fallow period, the aggregate emissions of  $CH_4$  and  $N_2O$ did not significantly differ between the conventional paddy and GCRPS. Over the entire annual cycle, the mean total emissions of  $CH_4$  and  $N_2O$  across GNN and GUN tended to be lower as compared to the conventional paddy. Relative to the CUN and GUN, GCM and GUM significantly reduced annual aggregate emissions of  $CH_4$  and  $N_2O$  (P < 0.05).



On the annual time basis, the NEGE ranged from  $3.05 \text{ Mg CO}_2$ -eq ha<sup>-1</sup> for the GCM to  $11.02 \text{ Mg CO}_2$ -eq ha<sup>-1</sup> for the CNN plots (Table 5). There were no significant differences in annual NEGE between the urea application and the control, irrespective of rice production systems. Compared to the conventional paddy, the annual NEGE were reduced by 19% on average under GCRPS across GNN and GUN. The GCM and GUM treatments reduced annual NEGE by 67% and 47% in comparison with GUN, respectively, and by 72% and 54% relative to the CUN, respectively. Accordingly, the lowest NEGE was achieved under the GCM and GUM plots for the fertilized treatment (Table 5).

#### 10 **4 Discussion**

## 4.1 Key regulating factors of temporal variations of $CH_4$ and $N_2O$ fluxes

In the conventional paddy, a relatively low CH<sub>4</sub> emission occurred before midseason drainage and pronounced CH<sub>4</sub> emissions were observed when the field was flooded again after the midseason drainage (Fig. 3a and b). Our findings are inconsistent with the common pattern of CH<sub>4</sub> emissions as reported by previous studies: steadily in-15 creasing CH<sub>4</sub> emission until midseason drainage, followed by a rapid decrease, and no recovery of CH<sub>4</sub> emission levels as compared to the pre-midseason period until harvest (e.g., Cai et al., 1997; Nishimura et al., 2004; Zou et al., 2005a; Dong et al., 2011). This indicated that the CH<sub>4</sub> emissions from hilly paddy fields could also be affected by the site-specific environmental factors such as soil temperature (Shang et al., 2011), apart 20 from soil Eh which is used as an index for the oxidation-reduction conditions regulated by water management (Minamikawa and Sakai, 2005). It has been reported that low temperatures suppress  $CH_4$  fluxes (Castro et al., 1995), and thus, the low  $CH_4$  emission during the continuous flooding period before midseason drainage indeed might be attributed to low soil temperatures at the start of the rice-growing period (on average 25

3°C lower as compared to the paddy fields in Jiangsu province). This interpretation is



further supported by the demonstrated correlations between  $CH_4$  emissions and soil Eh as well as soil temperature for the conventional paddy (Table 4 and Fig. 4a). The  $CH_4$  emissions in GCM and GUM under GCRPS were negatively correlated with soil Eh but not affected by soil temperature, highlighting the warming effect under GCRPS

<sup>5</sup> alleviating the hypothesized temperature limitation of methanogenesis. In contrast, we did not observe that the seasonality of  $CH_4$  emissions in GNN and GUN was affected by soil Eh or soil temperature, which is presumably due to the constantly low magnitude of  $CH_4$  emissions (< 1.0 mg C m<sup>-2</sup> h<sup>-1</sup>) from these two treatments.

Under conventional paddy, periods of high N<sub>2</sub>O emissions were observed following N fertilization and midseason drainage events, respectively (Fig. 5b). This is in agreement with previous studies (Cai et al., 1997; Zou et al., 2005a; Yao et al., 2010) and shows that availability of N substrates and changes in soil water regimes are the major drivers of high N<sub>2</sub>O emissions, which overrides the effect of soil temperature on nitrification and/or denitrification processes. However, the major regulating factors of N<sub>2</sub>O emis-

- <sup>15</sup> sions in the fertilized treatments under GCRPS were soil  $NH_4^+$  content and temperature (Table 4). These two regulating factors interacted positively (e.g., Fig. 4b) such that pronounced N<sub>2</sub>O emissions mostly occurred following fertilization coupled with the obvious warming effect of GCRPS, agreeing with the findings of previous researches for comparable environments (e.g., Wang et al., 2011; Hu et al., 2013). In addition, during the
- <sup>20</sup> fallow period the N<sub>2</sub>O emissions in all the treatments remained low and elevated emissions were only recorded in late March and April (Fig. 5) when heavy rainfall (> 27 mm) increased the soil water content to approximately  $\geq$  80 % WFPS (Fig. 1c). Similar rainfall driven peak emissions of N<sub>2</sub>O during the non-flooded period of rice-based cropping systems have been observed also in previous studies elsewhere (Zheng et al., 2000;
- $_{\rm 25}$  Yao et al., 2010, 2013b) and further confirm that at soil water contents of 70–90 % WFPS pronounced N\_2O emissions are likely to occur (Dobbie et al., 1999; Wang et al., 2011).

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#### 4.2 GCRPS and fertilizer practices affecting CH<sub>4</sub> and R<sub>h</sub> fluxes

Numerous studies report on CH<sub>4</sub> emissions from rice paddies (e.g., Yagi et al., 1996; Sass et al., 1999; Yan et al., 2009), but only few studies measured CH<sub>4</sub> and N<sub>2</sub>O fluxes simultaneously (e.g., Cai et al., 1997; Zou et al., 2005a; Linquist et al., 2012). To our
<sup>5</sup> knowledge, no study is available reporting annual fluxes of N<sub>2</sub>O and CH<sub>4</sub> and CO<sub>2</sub> for GCRPS, even though a decade ago first measurements were done (Dittert et al., 2002; Xu et al., 2004; Kreye et al., 2007). In this study, annual CH<sub>4</sub> emissions under conventional paddy varied between 34.6 and 51.7 kg C ha<sup>-1</sup> yr<sup>-1</sup>, which was within the lower ranges (18–320 kg C ha<sup>-1</sup>) reported by Xie et al. (2010) for Chinese conventional paddy fields. In comparison to the CNN, the urea application (CUN) significantly reduced annual CH<sub>4</sub> emissions (Table 3). It is well accepted that synthetic N fertilizers increase crop growth as well as alter CH<sub>4</sub> generating and oxidizing microbes, and thereby result in complex impacts on CH<sub>4</sub> emissions (Bodelier and Laanbroek, 2004; Cai et al., 2007; Liu and Greaver, 2009). Banger et al. (2012) conducted a comprehen-

- sive meta-analysis on the net effects of N fertilizers on CH<sub>4</sub> emission and found that in the majority of studies (98 of 155 datasets) N fertilization increased CH<sub>4</sub> emissions from rice paddies. The effect of N fertilization will be modified by water management of rice paddies: under the conditions of intermittent irrigation with midseason drainage, N fertilizers seem to stimulate methanotrophs leading to higher CH<sub>4</sub> oxidation in the
- <sup>20</sup> paddy fields (Banger et al., 2012). Also in this study, midseason drainage which generally promotes aerobic soil conditions together with urea application obviously stimulate the CH<sub>4</sub> oxidation activity in our soils and decreased CH<sub>4</sub> emissions.

In comparison to the conventional paddy, on average, GCRPS across GNN and GUN decreased annual CH<sub>4</sub> emissions substantially (Table 3). It is generally recognized that <sup>25</sup> water management has a close relationship with the redox status of soil (e.g., Minamikawa and Sakai, 2005). Average soil Eh under GCRPS were significantly higher than that of the conventional paddy (Fig. 1d) indicating that under GCRPS more oxi-





treatments with their more aerated soil conditions were likely to inhibit  $CH_4$  production by methanogens and favor  $CH_4$  consumption by methanotrophs, which integrally led to decreased  $CH_4$  emissions. Although the  $CH_4$  emissions under GCRPS were not affected by the urea application due to the negligible emissions from the GUN and GNN treatments. GCM significantly increased CH, emissions (Table 3). It has long

- <sup>5</sup> GNN treatments, GCM significantly increased CH<sub>4</sub> emissions (Table 3). It has long been shown that organic matter incorporation increases CH<sub>4</sub> emissions from paddy fields due to the increased supply of C substrates and energy for methanogens (Bhattacharyya et al., 2012), which is further corroborated by the evidence that soil DOC contents were significantly higher in GCM.
- <sup>10</sup> In this study, the annual  $R_h$  emissions from all the treatments ranged from 2.47 to  $3.16 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$  (Table 5). These values were within the range of soil  $R_h$  emissions obtained in the global zone (0.35 to 2190 g C m<sup>-2</sup> yr<sup>-1</sup>), as reported by Bond-Lamberty and Thomson (2010). It is worth noting that soil  $R_h$  emissions during the rice-growing season did not differ between conventional paddy and GCRPS, although GCRPS in-
- <sup>15</sup> creased soil temperature. These results indicated that the nearly saturated soil water conditions under GCRPS might efficiently reduce the impact of soil temperature on CO<sub>2</sub> emissions, since the response of soil CO<sub>2</sub> emission to increased temperature was likely constrained and masked by soil water content (Maestre and Cortina, 2003). Actually, our statistical analysis revealed that soil CO<sub>2</sub> emissions under GCRPS were signifi-
- <sup>20</sup> cantly correlated with WFPS, but were not affected by soil temperature (Table 4), which supports the above mentioned speculations. In addition, nutrients supplied via fertilization would be expected to influence soil CO<sub>2</sub> emission by increasing rhizosphere C input due to enhanced plant productivity and root residue production (Iqbal et al., 2009). In this study, GCM generally increased annual soil CO<sub>2</sub> emissions compared to
- <sup>25</sup> GNN, which is consistent with other studies that organic amendments could enhance the bioavailability of soil C and microbial respiration (Lee et al., 2007; Bhattacharyya et al., 2012). In contrast, urea application did not affect soil microbial respiration (Table 5). In a review of more than 60 studies, Fog (1988) suggested that N fertilization showed no influence or a negative impact on decomposition of organic matter. Thus,



one can reasonably speculate that soil  $R_h$  emissions did not differ between urea fertilization and control, since they are the net results of organic matter decomposition by soil microorganisms. Similarly, a number of previous studies also reported no difference in soil CO<sub>2</sub> emission between control and synthetic N fertilizers (Rochette and Gregorich, 1998; Hu et al., 2004; Lee et al., 2007).

#### 4.3 GCRPS and fertilizer practices affecting N<sub>2</sub>O fluxes and direct emission factors

For the conventional paddy, total  $N_2O$  emissions during the rice-growing season were 0.089-0.21 kg N ha<sup>-1</sup>, which is within the range of previously reported emissions (Akiyama et al., 2005). For the 53 studies considered in the Akiyama et al. (2005) for paddy fields with midseason drainage, N<sub>2</sub>O emissions ranging from 0.026 to 4.42 kg N ha<sup>-1</sup>. Under GCRPS, total N<sub>2</sub>O emissions across the rice-growing period were 0.12-4.30 kg N ha<sup>-1</sup>, which is comparable to previous estimates in water-saving paddy fields (Dittert et al., 2002; Kreye et al., 2007; Yang et al., 2012). It should be noted that although no fertilizer was applied in the following fallow season, cumulative 15  $N_2O$  emissions over this period were up to 2.60 kg N ha<sup>-1</sup> (Table 3). This is likely a result of aerobic soil organic nitrogen mineralization during the upland fallow period, with associated productions of  $N_2O$  from nitrification and denitrification (Liu et al., 2010; Shang et al., 2011). In addition, the  $N_2O$  emissions during the fallow period tended to be higher in the fertilized than in the unfertilized plots (Table 3), showing that the effect 20 of fertilization on N<sub>2</sub>O emissions is carried over into the following fallow period. This is also supported by the evidence that higher soil mineral N (NH<sub>4</sub><sup>+</sup> + NO<sub>3</sub><sup>-</sup>) contents were observed for the fertilized treatments during the fallow period (Fig. 2).

Annual N<sub>2</sub>O emission and the urea-induced direct emission factor in the CUN plots were 58 % and 62 % lower than those of the GUN plots, respectively, which is largely due to increases in N<sub>2</sub>O emissions during the rice-growing season after conversion from conventional paddy to GCRPS (Table 3). Generally, the production of N<sub>2</sub>O in soils



is greatly affected by soil water status and temperature (Williams et al., 1992; Smith et al., 2003; Schindlbacher et al., 2004). Under conventional paddy fields, nitrification of  $NH_4^+$  was suppressed by lack of oxidized soil conditions, and denitrification, which could be potentially produce in prevailing anaerobic conditions, was probably restricted

<sup>5</sup> by the high shortage of NO<sub>3</sub><sup>-</sup> or reacted completely (i.e., the reduction of N<sub>2</sub>O to N<sub>2</sub>), and thus, resulting in decreased N<sub>2</sub>O emissions. This explanation was supported by the higher NH<sub>4</sub><sup>+</sup> contents and at the same time lower NO<sub>3</sub><sup>-</sup> contents for the CUN plots relative to the GUN plots (Fig. 2). In addition, the GUN treatment not only kept soil moisture at approximately 90 % WFPS, but also increased soil temperature (Fig. 1), both favored the production and emission of N<sub>2</sub>O.

Although GUN significantly increased  $N_2O$  emissions, both GCM and GUM under GCRPS reduced annual  $N_2O$  emissions substantially (Table 3). Similar inhibitory effects of organic fertilizer amendments on  $N_2O$  emissions have been observed in other laboratory and field studies (Pathak et al., 2002; Yao et al., 2010; Qin et al., 2010). In

- <sup>15</sup> contrast, some other studies observed that the incorporation of organic fertilizer stimulated N<sub>2</sub>O emissions or that N<sub>2</sub>O emissions were not affected at all (e.g., Gentile et al., 2008; Wang et al., 2011). In general, as was also observed in our study, the N<sub>2</sub>O emissions were highly dependent on soil mineral N (NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>) availability in paddy fields (Liu et al., 2010; Yao et al., 2010). Consistent with expectations, soil mineral N
- <sup>20</sup> contents were lower in the GCM and GUM since mineral N was slowly released from organic manure mineralization, as compared to the rapid release of mineral N with urea application in GUN, and consequently decreasing N<sub>2</sub>O emissions. In addition, the significantly increased CH<sub>4</sub> emissions from GCM indicated the apparently prevailing anaerobic conditions in soils. At the same time, the higher soil temperature and
- DOC contents in GCM would further stimulate soil denitrifier activity and efficiency during the denitrification process, which benefits nitrate finally transformed into N<sub>2</sub> rather than the mid-product of N<sub>2</sub>O (Millar and Baggs, 2005; Qin et al., 2010). Also, Kramer et al. (2006) conducted lab and field studies and found that soils with organic fertiliza-



tion exhibited higher potential denitrification rates, greater dentrification efficiency and higher  $N_2$  emissions than the soils farmed with synthetic N fertilizers.

Over the entire rice-fallow system, the direct emission factors of  $N_2O$  were 1.52 % and 4.01 % due to urea applications under conventional paddy and GCRPS, respec-

- <sup>5</sup> tively, which is obviously higher than the IPCC default value of 0.30% for rice paddy fields and 1% for upland croplands (IPCC, 2006). Compared with the reported annual direct emission factors of 0.34–2.50% for N<sub>2</sub>O in Chinese rice-upland rotation systems (Zheng et al., 2000, 2004; Zou et al., 2005b; Liu et al., 2010; Yao et al., 2010, 2013b), the direct emission factor is comparable for the CUN but relatively high for the GUN.
- <sup>10</sup> However, N<sub>2</sub>O emissions from GUN were substantially reduced by manure application, giving annual direct emission factors of 0.087 % and 0.50 % under GCM and GUM, respectively. This further confirms that the application of organic fertilizers indeed decreases annual direct emission factors of N<sub>2</sub>O as was also reported in previous studies (e.g., Pathak et al., 2002; Yao et al., 2010).

#### 15 4.4 Grain yield, NEGE and implications for assessing GCRPS

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In the present study, the grain yields under conventional paddy and GCRPS ranged from 5.49 to 7.92 Mg ha<sup>-1</sup> and 6.05 to 7.97 Mg ha<sup>-1</sup>, respectively, which is within the range of rice grain yields for the conventional paddy (3.63 to 8.77 Mg ha<sup>-1</sup>) and GCRPS (4.27 to 9.97 Mg ha<sup>-1</sup>) estimated at a large regional scale, central China (Liu et al., 2013). Across the GNN and GUN treatments under GCRPS, the grain yield was estimated to be an average of 6.87 Mg ha<sup>-1</sup>, which is approximately 3% higher than that of the conventional paddy. This result is comparable to previous estimates on the stim-

ulating effect of GCRPS on grain yields (Qu et al., 2012; Liu et al., 2013). Although GCRPS reduced the greenhouse effect of  $CH_4$  emission by 83% when

<sup>25</sup> practiced together with urea application (i.e., GUN), this reduction was fully compensated by increases (approximately 136%) in annual emissions of the even more potent GHG N<sub>2</sub>O (Table 5). Similar results have been observed in other studies where increased N<sub>2</sub>O emissions substantially offset the decreases in CH<sub>4</sub> emissions resulted



from changes in water managements (Xu et al., 2004; Kreye et al., 2007; Hou et al., 2012; Yang et al., 2012). For the GCM and GUM treatments, however, the increased N<sub>2</sub>O emissions did not compensate for the climate benefits from decreased CH<sub>4</sub> emissions, resulting in the lower annual aggregate emissions of CH<sub>4</sub> and N<sub>2</sub>O compared to

- the GUN and CUN. In addition, as estimated in this study, GCRPS tended to reduce the C loss in soils (Table 5). Averaging across GNN and GUN under GCRPS, the annual NEGE was 8.83 Mg CO<sub>2</sub>-eq ha<sup>-1</sup> yr<sup>-1</sup>, which is 19 % lower as compared to the conventional paddy, indicating that water-saving GCRPS management tended to reduce net greenhouse effect from rice-based cropping systems. Within the GCRPS, GUM and
- <sup>10</sup> GCM further decreased the annual NEGE, compared to the GNN and GUN. Based on the results of grain yield and NEGE, therefore, water-saving GCRPS management, particularly when practiced together with organic fertilizer amendments, is beneficial for increasing grain yields while simultaneously reducing total GHG emissions during crop production.

#### 15 5 Conclusions

The introduction of water-saving GCRPS technology has a high potential to increase rice grain yields and to significantly reduce irrigation water demand for rice cultivation. However, it remained unknown if this new rice production technique will in the final end not lead to pollution swapping of GHG emissions, i.e., from CH<sub>4</sub> emissions for conven-<sup>20</sup> tional paddy fields to increased soil N<sub>2</sub>O and CO<sub>2</sub> emissions for ground cover rice production systems. This study provides for the first time an assessment of the net effect of GCRPS on the annual GHG balance. Averaging across all the fertilizer treatments, GCRPS greatly increased annual N<sub>2</sub>O emissions and significantly decreased annual

CH<sub>4</sub> emissions, while GCRPS had no effect on annual soil CO<sub>2</sub> emissions, compared
 to the conventional paddy. By integrating CH<sub>4</sub> and N<sub>2</sub>O emissions and soil carbon sequestration capacities, the estimated annual net greenhouse gas exchanges in all the GCRPS treatments tended to be lower as compared to the conventional paddy,



highlighting the potential feasibility of GCRPS in reducing net greenhouse effect. Overall, from the environmental sustainability point of view for the hilly rice-based cropping system, the implementation of water-saving GCRPS technology, particularly practicing together with organic fertilizer supplement, seems to be a very promising approach to increase grain yields, reducing aggregate emissions of CH<sub>4</sub> and N<sub>2</sub>O and to stimulate soil carbon sequestration.

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**Table 1.** Field management practices for different fertilizer treatments in the conventional paddy and ground cover rice production systems over the rice-growing season of 2011.

		N appl	lication rate (kg N ha	a <sup>-1</sup> )			
Treatment <sup>a</sup>		Urea Chicken manure		Total	Water management <sup>b</sup>	Transplanting and harvest date	
Conventional paddy							
	CNN	0	0	0	F-D-F	29 Apr and 22 Sep 201	
	CUN	150	0	150	F-D-F	29 Apr and 22 Sep 201	
Ground cover rice production system (GCRPS)					)		
	GNN	0	0	0	Moist but no standing water	29 Apr and 22 Sep 201	
	GUN	150	0	150	Moist but no standing water	29 Apr and 22 Sep 201	
	GCM	0	150	150	Moist but no standing water	29 Apr and 22 Sep 201	
	GUM	75	75	150	Moist but no standing water	29 Apr and 22 Sep 201	

<sup>a</sup> CNN, the control that received no N fertilizer under conventional paddy; CUN, urea application at a common rate of 150 kg N ha<sup>-1</sup> under conventional paddy; GNN, the control that received no N fertilizer under GCRPS; GUN, urea application at a common rate of 150 kg N ha<sup>-1</sup> under GCRPS; GCM, solely chicken manure application at a common rate of 150 kg N ha<sup>-1</sup> under GCRPS; GUM, urea + chicken manure (1 : 1 nitrogen basis) (75 kg N ha<sup>-1</sup> + 75 kg N ha<sup>-1</sup>) under GCRPS.

<sup>b</sup> F, flooding; D, midseason drainage.



**Table 2.** Straw (S) and grain (G) yields as well as total (T) yields (straw + grain, in Mg ha<sup>-1</sup>), and plant uptake of C (in Mg ha<sup>-1</sup>) and N (in kg ha<sup>-1</sup>) at physiological maturity for different fertilizer treatments in the conventional paddy and ground cover rice production systems (GCRPS).

	Conventional paddy		GCRPS			
	CNN	CUN	GNN	GUN	GCM	GUM
Straw yield	5.50 ± 0.21 a	$8.35 \pm 0.36$ bc	5.75 ± 0.46 a	$8.69 \pm 0.45$ c	$6.17 \pm 0.52$ ad	$7.32 \pm 0.47$ bd
S_C uptake	2.19 ± 0.07 a	3.33 ± 0.11 bc	2.24 ± 0.17 a	3.52 ± 0.19 c	2.44 ± 0.19 a	2.95 ± 0.18 b
S_N uptake	24.6 ± 2.9 a	64.4 ± 3.6 b	29.9 ± 2.2 a	66.5 ± 7.8 b	50.2 ± 4.1 c	48.1 ± 2.7 c
Grain yield	5.49 ± 0.17 a	7.92 ± 0.21 b	6.05 ± 0.06 c	7.70 ± 0.19 b	7.43 ± 0.15 b	7.97 ± 0.16 b
G_C uptake	2.34 ± 0.07 a	3.41 ± 0.09 b	2.58 ± 0.02 c	3.33 ± 0.08 b	3.22 ± 0.05 b	3.46 ± 0.08 b
G_N uptake	48.2 ± 4.0 a	81.5±4.0 b	61.8±1.9 c	87.7 ± 3.6 b	94.2±4.1 b	93.9 ± 5.7 b
Total yield	10.99 ± 0.34 a	16.27 ± 0.56 b	11.80 ± 0.40 a	16.39 ± 0.43 b	13.60 ± 0.60 c	15.29 ± 0.63 b
T_C uptake	4.52 ± 0.13 a	6.74 ± 0.20 b	4.82 ± 0.15 a	6.84 ± 0.17 b	5.67 ± 0.24 c	6.40 ± 0.26 b
T_N uptake	72.8 ± 6.9 a	145.9 ± 3.3 b	91.6 ± 4.0 a	154.2 ± 8.9 b	144.4 ± 7.9 b	141.9±5.2 b

Data shown are means ± standard errors of 3-spatial replicates. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text. Different letters within the same row indicate statistically significant differences among treatments.



**Table 3.** Seasonal and annual cumulative fluxes of methane  $(CH_4, in kg C ha^{-1})$  and nitrous oxide  $(N_2O, in kg N ha^{-1})$  and direct emission factors of applied nitrogen (in %) for different fertilizer treatments in the conventional paddy and ground cover rice production systems (GCRPS).

	Conventional paddy		GCRPS			
	CNN	CUN	GNN	GUN	GCM	GUM
Rice season						
Raised bed_CH <sub>4</sub>	-	-	$6.19 \pm 0.64$	$7.51 \pm 1.04$	$41.1 \pm 0.98$	$13.8 \pm 0.26$
Furrow_CH <sub>4</sub>	-	-	$2.07 \pm 0.29$	$3.26 \pm 0.14$	$3.09 \pm 0.38$	$3.67 \pm 0.37$
Area weighted CH <sup>a</sup>	52.3 ± 3.8 a	35.2 ± 4.6 b	5.36 ± 0.51 c	6.65 ± 0.86 c	33.4 ± 0.85 b	11.8±0.13 c
Raised bed_N <sub>2</sub> O	-	-	$0.13 \pm 0.01$	$5.12 \pm 1.2$	$0.17 \pm 0.01$	$0.40 \pm 0.07$
Furrow_N <sub>2</sub> O	-	-	$0.070 \pm 0.01$	$1.08 \pm 0.17$	$0.089 \pm 0.01$	$0.065 \pm 0.01$
Area weighted N <sub>2</sub> O <sup>a</sup>	0.089±0.01 a	0.21 ± 0.05 b	0.12 ± 0.01 ab	$4.30 \pm 0.96$ c	0.15 ± 0.01 ab	0.33 ± 0.06 d
Emission factor	_	0.082	-	2.79	0.023	0.14
Fallow season						
CH4	–0.54 ± 0.25 a	–0.51 ± 0.08 a	–0.92 ± 0.13 a	-0.81 ± 0.06 a	-0.84 ± 0.06 a	-0.50 ± 0.10 a
N <sub>2</sub> O	0.45 ± 0.02 a	2.60 ± 0.75 b	0.51 ± 0.14 a	$2.34 \pm 0.64$ bc	0.60 ± 0.04 a	$1.05 \pm 0.32$ ac
Annual rotation						
CH <sub>4</sub>	51.7 ± 3.6 a	34.6 ± 4.5 b	4.43±0.61 c	$5.84 \pm 0.89$ c	32.6 ± 0.89 b	11.3±0.14 c
N <sub>2</sub> O	0.54 ± 0.02 a	2.81 ± 0.80 b	0.62 ± 0.13 a	6.64 ± 1.5 c	0.76 ± 0.05 a	1.38 ± 0.27 b
Emission factor	_	1.52	-	4.01	0.087	0.50

<sup>a</sup> The area weighted emissions of CH<sub>4</sub> and N<sub>2</sub>O for the GCRPS treatments in the rice season were calculated on the basis of the areal extent of furrow and raised bed. Data shown are means ± standard errors of 3-spatial replicates. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text. Different letters within the same row indicate statistically significant differences among treatments.



**Discussion** Paper

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**Table 4.** Stepwise multiple linear regression analysis between soil environmental variables and the emissions of methane ( $CH_4$ ), nitrous oxide ( $N_2O$ ) or heterotrophic respiration ( $CO_2$ ) for different fertilizer treatments during the rice-growing season.

	Regression function <sup>a</sup>	$R^{2 b}$	P <sup>c</sup>	Number of cases
Methar	ne (CH <sub>4</sub> )			
CNN	$F_{CH_4} = -8.59 - 0.003Eh + 0.44ST$	0.46	< 0.01	60
CUN	$F_{CH_4} = -4.18 - 0.003Eh + 0.23ST$	0.35	< 0.01	60
GNN	NS	NS	NS	
GUN	NS	NS	NS	
GCM	F <sub>CH4</sub> = 1.38 – 0.003Eh	0.29	< 0.05	60
GUM	F <sub>CH4</sub> = 0.39 – 0.001Eh	0.26	< 0.05	60
Nitrous	s oxide (N <sub>2</sub> O)			
CNN	NS	NS	NS	
CUN	$F_{N_2O} = 1.33 + 0.96NH_4^+$	0.22	< 0.05	20
GNN	NS	NS	NS	
GUN	$F_{N_2O} = -569.3 + 41.6NH_4^+ + 17.6ST$	0.77	< 0.01	20
GCM	$F_{N_2O} = -9.80 + 0.58ST$	0.10	< 0.05	60
GUM	$F_{N_2O} = -25.3 + 0.84 \text{NH}_4^+ + 1.01 \text{ST}$	0.59	< 0.01	20
Hetero	trophic respiration (CO <sub>2</sub> )			
CNN	$F_{\rm CO_2} = 26.0 + 0.088 {\rm Eh}$	0.46	< 0.01	20
CUN	$F_{\rm CO_2} = 22.7 + 0.11 \rm Eh$	0.51	< 0.01	20
GNN	F <sub>CO2</sub> = 320.6 – 3.27WFPS	0.74	< 0.01	60
GUN	$F_{\rm CO_2} = 328.1 - 3.39 \rm WFPS$	0.91	< 0.01	60
GCM	<i>F</i> <sub>CO2</sub> = 293.3 – 2.94WFPS	0.72	< 0.01	60
GUM	$F_{CO_2} = 251.2 - 2.52 \text{WFPS}$	0.75	< 0.01	60

<sup>a</sup> Eh, soil redox potential; ST, soil temperature; WFPS, water-filled pore space; NS, not significant.

<sup>b</sup> Coefficient of determination.

<sup>c</sup> Values indicate significance level. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text.



**Table 5.** Net primary production (NPP) and soil heterotrophic respiration ( $R_h$ ) and their estimated net ecosystem exchanges (NEE) of CO<sub>2</sub>, and the estimated C sequestration capacity in soil and aggregate emissions of CH<sub>4</sub> and N<sub>2</sub>O and their estimated net ecosystem greenhouse gas exchanges (NEGE) for the conventional paddy and ground cover rice production systems under different fertilizer treatments over the annual rice-fallow cropping rotation.

	NPP	R <sub>h</sub>	NEE	Incorporated C	Harvested C	C sequestration	Aggregate emission	NEGE
	(Mg C ha <sup>-1</sup> )	of $CH_4$ and $N_2O$ (kg $CO_2$ -eq ha <sup>-1</sup> )	$(Mg CO_2 - eq ha^{-1})$					
Rice s	eason							
CNN	4.98 ± 0.14 a	1.00 ± 0.01 ab	–3.97 ± 0.14 a	0	4.52 ± 0.13 a	–0.55±0.01 a	1785 ± 125 ab	3.81 ± 0.07 a
CUN	7.42 ± 0.22 b	0.91 ± 0.05 a	–6.51 ± 0.23 b	0	6.74 ± 0.20 b	–0.23 ± 0.05 b	1271 ± 137 b	2.13 ± 0.13 bc
GNN	5.40 ± 0.17 a	1.05 ± 0.02 ab	–4.35 ± 0.18 a	0	4.82 ± 0.15 a	–0.47 ± 0.03 a	234 ± 19 c	1.97 ± 0.13 c
GUN	7.67 ± 0.19 b	0.98 ± 0.08 a	-6.68 ± 0.25 b	0	6.84 ± 0.17 b	–0.16 ± 0.09 b	2236 ± 475 a	2.83 ± 0.52 b
GCM	6.35 ± 0.27 b	1.17 ± 0.05 b	–5.18±0.24 c	2.04	5.67 ± 0.24 b	1.55 ± 0.03 c	1186 ± 29 b	–4.51 ± 0.14 d
GUM	7.17 ± 0.29 b	0.96 ± 0.07 a	-6.21 ± 0.32 b	1.02	$6.40 \pm 0.26$ b	0.83 ± 0.09 d	546 ± 30 c	–2.51 ± 0.31 d
Fallow	season							
CNN	0	1.91 ± 0.03 a	1.91 ± 0.03 a	0	0	–1.91 ± 0.03 a	193 ± 16 a	7.21 ± 0.10 a
CUN	0	2.04 ± 0.11 a	2.04 ± 0.11 a	0	0	–2.04 ± 0.11 a	1202 ± 355 b	8.67 ± 0.44 b
GNN	0	1.67 ± 0.05 ab	1.67 ± 0.05 ab	0	0	-1.67 ± 0.05 ab	207 ± 62 a	6.33 ± 0.13 a
GUN	0	1.49 ± 0.14 b	1.49 ± 0.14 b	0	0	–1.49±0.14 b	1069 ± 302 bc	6.53 ± 0.49 a
GCM	0	1.99 ± 0.14 a	1.99 ± 0.14 a	0	0	-1.99 ± 0.14 a	254 ± 19 a	7.56 ± 0.53 ab
GUM	0	1.92 ± 0.17 a	1.92 ± 0.17 a	0	0	-1.92 ± 0.17 a	475 ± 150 ac	7.51 ± 0.50 ab
Annual rotation								
CNN	4.98 ± 0.14 a	2.92 ± 0.03 ab	–2.06 ± 0.16 a	0	4.52 ± 0.13 a	–2.47 ± 0.04 a	1978 ± 111 ad	11.02 ± 0.07 a
CUN	7.42 ± 0.22 b	2.94 ± 0.15 ab	-4.47 ± 0.25 bc	0	6.74 ± 0.20 b	–2.27 ± 0.15 a	2473 ± 239 ac	10.80 ± 0.53 a
GNN	5.40 ± 0.17 a	2.72 ± 0.05 ab	-2.67 ± 0.13 ad	0	4.82 ± 0.15 a	–2.14 ± 0.03 ab	440 ± 67 b	8.30 ± 0.11 b
GUN	7.67 ± 0.19 b	2.47 ± 0.20 a	-5.19±0.28 c	0	$6.84 \pm 0.17$ b	-1.65 ± 0.22 b	3305 ± 713 c	9.37 ± 1.01 ab
GCM	6.35 ± 0.27 b	3.16 ± 0.19 b	-3.19 ± 0.22 d	2.04	5.67 ± 0.24 b	–0.44 ± 0.17 c	1440 ± 45 d	3.05 ± 0.67 c
GUM	7.17 ± 0.29 b	2.87 ± 0.23 ab	$-4.30 \pm 0.34$ b	1.02	$6.40 \pm 0.26$ b	-1.09 ± 0.22 d	1022 ± 123 d	5.00 ± 0.72 c

Data shown are means ± standard errors of 3-spatial replicates. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text. Different letters within the same column indicate statistically significant differences among treatments during the periods of rice and fallow seasons as well as annual rotation.



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**Figure 2.** Seasonal dynamics in (**a**–**b**) soil ammonium ( $NH_4^+$ ), (**c**–**d**) nitrate ( $NO_3^-$ ) and (**e**–**f**) dissolved organic carbon (DOC) contents (mean ± standard errors) for different fertilizer treatments in the conventional paddy and ground cover rice production systems during the rice-fallow rotation cycle of 2011–2012. SDW: soil dry weight. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text.

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**Figure 3.** Seasonal dynamics in **(a–f)** methane (CH<sub>4</sub>) fluxes (mean  $\pm$  standard errors) for different fertilizer treatments in the conventional paddy and ground cover rice production systems during the rice-fallow rotation cycle of 2011–2012. The CH<sub>4</sub> fluxes during the rice season as shown in panels **(c–f)** were measured from the raised beds of ground cover rice production systems. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text.





**Figure 4.** Relationships of **(a)** methane (CH<sub>4</sub>) emissions to soil temperature and redox potential (Eh) in the control of conventional paddy rice production system (i.e., CNN) and **(b)** nitrous oxide (N<sub>2</sub>O) emissions to soil temperature and ammonium (NH<sub>4</sub><sup>+</sup>) contents in the urea application treatment of ground cover rice production system (i.e., GUN) during the rice-growing season. The mesh plots are the result of multiple linear regression analysis according to the equations presented in Table 4.





**Figure 5.** Seasonal dynamics in **(a–f)** nitrous oxide (N<sub>2</sub>O) fluxes (mean ± standard errors) for different fertilizer treatments in the conventional paddy and ground cover rice production systems during the rice-fallow rotation cycle of 2011–2012. The N<sub>2</sub>O fluxes during the rice season as shown in panels **(c–f)** were measured from the raised beds of ground cover rice production systems. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text.





**Figure 6.** Seasonal dynamics in **(a–f)** soil heterotrophic respiration  $(R_h)$  emissions (mean ± standard errors) from bare soils of different fertilizer treatments in the conventional paddy and ground cover rice production systems during the rice-fallow rotation cycle of 2011–2012. Definitions of abbreviations for the different fertilizer treatments are shown in the footnotes of Table 1 and in the text.

