

1 **Contrasting Effects of Invasive Insects and Fire on Ecosystem** 2 **Water Use Efficiency**

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15 **Abstract**

16 We used eddy covariance and meteorological measurements to estimate net ecosystem exchange of
17 CO₂ (NEE), gross ecosystem production (GEP), evapotranspiration (Et), and ecosystem water use
18 efficiency (WUE_e; calculated as GEP/Et during dry canopy conditions) in three upland forests in the
19 New Jersey Pinelands, USA, that were defoliated by gypsy moth (*Lymantria dispar* L.) or burned
20 using prescribed fire. Before disturbance, half-hourly daytime NEE during full sunlight conditions,
21 daily GEP, and daily WUE_e during the summer months were greater at the oak-dominated stand
22 compared to the mixed or pine-dominated stands. Both defoliation by gypsy moth and prescribed
23 burning reduced stand leaf area and nitrogen mass in foliage. During complete defoliation in 2007 at
24 the oak stand, NEE during full sunlight conditions and daily GEP during the summer averaged only
25 14% and 35% of pre-disturbance values. Midday NEE and daily GEP then averaged 58% and 85%,
26 and 71% and 78 % of pre-defoliation values one and two years following complete defoliation,
27 respectively. Prescribed fires conducted in the dormant season at the mixed and pine-dominated

28 stands reduced NEE during full sunlight conditions and daily GEP during the following summer to
29 57% and 68%, and 79% and 82% of pre-disturbance values, respectively. Daily GEP during the
30 summer was a strong function of N mass in foliage at the oak and mixed stands, but a weaker
31 function N in foliage at the pine-dominated stand. Ecosystem WUE_e during the summer at the oak
32 and mixed stands during defoliation by gypsy moth averaged 1.6 and 1.1 g C kg H_2O^{-1} , representing
33 60% and 46% of pre-disturbance values. In contrast, prescribed fires at the mixed and pine-
34 dominated stands had little effect on WUE_e . Two years following complete defoliation by gypsy
35 moth, WUE_e during the summer averaged 2.1 g C kg H_2O^{-1} , 80% of pre-disturbance values. WUE_e
36 was correlated with canopy N content only at the oak-dominated stand. Overall, our results indicate
37 that WUE_e during and following non-stand replacing disturbance is dependent on both the type and
38 time since disturbance.

39

40 **1 Introduction**

41 Understanding the effects of disturbance and recovery on stand productivity and
42 evapotranspiration (Et) is essential for accurate estimates of carbon storage and water yield in
43 forest ecosystems. Successful forest management decisions in the future will need to consider
44 the impacts of invasive insects, fire, windstorms and other perturbations when evaluating trade-
45 offs between maximizing carbon sequestration to mitigate the effects of climate change, while
46 simultaneously providing water for agriculture and municipal needs. A useful metric for
47 characterizing the interactions between CO_2 assimilation and water use by plants is water use
48 efficiency (WUE), defined as the amount of C assimilated per unit of water transpired (Farquhar
49 and Sharkey 1982). At the ecosystem scale, a related metric is ecosystem water use efficiency
50 (WUE_e), which can be calculated from eddy covariance data as gross ecosystem productivity (GEP)
51 per unit Et during dry canopy conditions (Law et al. 2002, Kuglitsch et al. 2008, Jassal et al. 2009).

52 GEP and Et are reduced immediately following major disturbances in forests, and remain below
53 pre-disturbance levels for some period of time during recovery (Thornton et al. 2002, Clark et al.
54 2004, Mkhabela et al. 2009, Amiro et al. 2010, Dore et al. 2010, Hicke et al. 2012). Recovery of
55 GEP following disturbance is strongly linked to increases in leaf area and foliar nutrient capital, as
56 well as climatic variation (Amiro et al. 2010, Thornton et al. 2002). In comparison, Et rates
57 typically recover more rapidly following disturbance, in part because of the increased importance of

58 evaporation from litter and soil in disturbed stands (Gholz and Clark 2002, Mkabela et al. 2009,
59 Bierderman et al. 2014). As a consequence, WUE_e may require a number of years to recover to
60 pre-disturbance values following severe disturbances such as clearcut harvesting or severe wildfires
61 (Clark et al. 2004, Makhebela et al. 2009, Dore et al. 2010). Ecosystem respiration (R_{eco}) has been
62 shown to be relatively invariant through time following a wide range of disturbances and intensities
63 (Amiro et al. 2010, Moore et al. 2013, Reed et al. 2014). Thus, large variations in net CO_2
64 exchange (NEE) can occur during and immediately following disturbance during the recovery
65 process (Amiro et al. 2010). Overall, an important result of these research efforts is that GEP and
66 NEE are typically more sensitive to severe disturbances than Et during the recovery phase in forest
67 ecosystems.

68 Fewer studies have estimated changes in GEP and Et following non-stand replacing disturbances
69 such as insect defoliation or low intensity fires, limiting our understanding of patterns of forest
70 productivity and water use during recovery. These events can reduce leaf area, alter forest floor
71 mass, and affect the distribution of nutrients, but typically do not significantly reduce overall
72 stand biomass (Lovett et al. 2006, Clark et al., 2010, 2012, 2014). An important question
73 becomes how closely are the recovery of GEP and WUE_e related to leaf area and canopy nutrient
74 status following non-stand replacing disturbances?

75 In this study, we quantified the effects of insect defoliation and prescribed fire on NEE, R_{eco} , GEP
76 and Et in three upland forests in the Pinelands National Reserve in southern New Jersey, USA, from
77 2005 to 2009. We used biometric measurements to quantify leaf area index (LAI), biomass
78 accumulation, and canopy and understory N pools in foliage. Eddy covariance and meteorological
79 measurements were used to estimate NEE, R_{eco} , GEP and Et at half-hourly, daily and annual time
80 steps. We then used flux data collected during dry canopy conditions in the summer to calculate
81 WUE_e for pre- and post-disturbance periods. Finally, we evaluated factors contributing to
82 temporal variability in GEP, Et and WUE_e in each stand as they recovered from disturbance. We
83 asked; 1) how do GEP and WUE_e vary among oak and pine-dominated stands growing in the
84 same climate and soil type before disturbance, and 2) how are LAI and canopy N content linked
85 to GEP and WUE_e during recovery from non-stand replacing disturbances (gypsy moth
86 defoliation and prescribed fire) in these stands?

87

88 **2 Methods**

89 **2.1 Research sites**

90 Research sites were located in Burlington and Ocean Counties in the Pinelands National Reserve
91 (PNR) in southern New Jersey, USA. The PNR comprises 445,000 ha of upland and wetland forest,
92 and is the largest continuous forested landscape on the Northeastern Coastal Plain. The climate is
93 cool temperate, with mean monthly temperatures averaging 0.3 and 24.3 °C in January and July,
94 respectively (1980-2009; State Climatologist of New Jersey). Average annual precipitation is
95 1159 ± 156 mm (mean \pm 1 standard deviation; SD), approximately half of which is estimated to
96 return to the atmosphere as evapotranspiration (Et; Rhodehamel 1979, Dow 2007, Clark et al.
97 2012). Soils of the Kirkwood and Cohansey formations are sandy, coarse-grained, and have
98 extremely low nutrient status and cation exchange capacity (Tedrow 1986). Although commercial
99 forestry is limited in the PNR, upland forests are characterized by frequent disturbances such as
100 wildfires and prescribed burns (Little and Moore 1949, Forman and Boerner 1981), wind events
101 (Matlack et al. 1993), and insect defoliation events (Clark et al. 2010), all of which can significantly
102 reduce LAI and affect the distribution of nutrients within stands.

103 Upland forests comprise 62 % of the forested area in the PNR, and are composed of three major
104 communities; 1) oak-dominated stands, consisting of chestnut oak (*Q. prinus* L.), black oak
105 (*Quercus velutina* Lam.), white oak (*Q. alba* L.), scarlet oak (*Q. coccinea* Muenchh.), and
106 scattered pitch pine (*Pinus rigida* Mill.) and shortleaf pine (*P. echinata* Mill.), 2) mixed pine-oak
107 stands, with pitch pine and mixed oaks in the overstory, and 3) pitch pine-dominated stands, with
108 few overstory oaks but abundant scrub oaks (*Q. marilandica* Münchh., *Q. ilicifolia* Wangerh.) in
109 the understory (McCormick and Jones 1973, Lathrop and Kaplan 2004, Skowronski et al. 2007).
110 Ericaceous shrubs occur in the understory in all stands, primarily huckleberry (*Gaylussacia*
111 *baccata* (Wangerh.) K. Koch) and blueberry (*Vaccinium* spp.). Sedges, mosses and lichens also
112 occur in the understory.

113

114 **2.2 Biometric measurements**

115 Three intermediate age stands were selected for intensive study; an oak-dominated stand at the Silas
116 Little Experimental Forest in Brendan Byrne State Forest, a mixed pine-oak stand on the

117 Department of Defense McGuire-Dix-Lakehurst Base, and a pine-dominated stand in the New
118 Jersey Division of Fish and Wildlife's Greenwood Wildlife Management Area (Table 1;
119 Skowronski et al. 2007, Clark et al. 2010, 2012), referred to below as the oak, mixed, and pine
120 stands, respectively. Stands were located 17.2 ± 2.8 km apart (mean ± 1 SD) in an approximate
121 triangle formation. Stands were selected to represent the dominant age class (75 – 95 years) of the
122 three major upland forest types in the PNR, based on USFS Forest Inventory and Analysis data
123 (www.FIA.gov). We randomly located five circular 201 m² forest census plots within 100 m of
124 the eddy covariance tower in each stand (Table 1). Annual measurements of tree diameter at breast
125 height (1.37 m) and tree height were conducted for all stems ≥ 5.0 cm dbh in each plot, and tree
126 biomass was estimated from published allometric relationships (Whittaker & Woodwell 1968,
127 Skowronski et al. 2007). Fine litterfall was collected approx. monthly when present from two 0.42
128 m² wire mesh traps adjacent to each tree census plot, for a total of n = 10 traps in each stand.
129 Litterfall was separated into needles, leaves, stems, reproductive material and frass from trees and
130 shrubs, dried at 70 °C and then weighed. Ten to 20 clip plots (1.0 m²) located randomly within 200
131 m of each tower were harvested during the time of peak biomass in mid-summer every year to
132 estimate the aboveground biomass of understory shrubs and oaks < 2 m tall. Understory vegetation
133 samples were separated into leaves, needles, stems and reproductive material, dried at 70 °C and
134 then weighed. Specific leaf area (SLA; m² g dry weight⁻¹) for each major species was measured
135 with a leaf area meter (LI-3000a, LI-COR Inc., Lincoln, Nebraska, USA) and a conveyer belt (LI-
136 3050c, LI-COR Inc.) using fresh leaf, needle or litterfall samples, which were then dried at 70 °C
137 and weighed. Maximum annual canopy leaf area index (LAI; m² m⁻² ground area) was estimated
138 for each species by multiplying litterfall mass by the appropriate SLA value and then summing
139 results for all species. Projected leaf area of pine needle fascicles was multiplied by π to calculate
140 an all-sided LAI (e.g., Gholz et al. 1994). Understory LAI was estimated by multiplying foliage
141 mass obtained from each clip plot by the corresponding SLA values.

142 Canopy and understory foliage were sampled for N content at the time of peak leaf area during the
143 summer at each stand throughout the study. The oak stand was completely defoliated by gypsy
144 moth prior to maximum leaf area during the growing season in 2007, therefore foliage was sampled
145 in mid-July following the second leaf flush. Oven-dry samples of live foliage were ground using a
146 Wiley mill (Thomas Scientific, Swedesboro, NJ, USA) and digested along with appropriate
147 standards using a modified Kjeldahl method (Allen 1989). An Astoria 2 Analyzer (Astoria-Pacific

148 International, Clackamas, OR, USA) was used to measure the ammonium concentration of each
149 sample, and results were converted to N concentration in foliage. Nitrogen mass (g N m^{-2} ground
150 area) in canopy and understory foliage was calculated for dominant species by multiplying species-
151 specific N concentrations by corresponding estimates of foliar biomass (e.g., Hoover 2008).

152

153 **2.3 NEE, GEP, Et, and water use efficiency**

154 Net ecosystem exchange of CO_2 (NEE) and latent heat flux (λE) were measured using eddy
155 covariance systems mounted on towers above the canopy at each stand, and then gap-filled to
156 estimate daily to annual NEE and Et (Falge et al. 2001, Clark et al. 2010, 2012). Ecosystem
157 respiration (R_{eco}) was calculated for each site using continuous half-hourly air (growing season)
158 or soil (dormant season) temperature data and an exponential equation to predict the temperature
159 dependence of respiration developed from nighttime NEE measurements. We summed NEE and
160 R_{eco} at daily and annual time scales to estimate gross ecosystem production, GEP.

$$161 \text{GEP} = \text{NEE} + R_{\text{eco}} \quad (1)$$

162 Ecosystem water use efficiency (WUE_e ; $\text{g C kg H}_2\text{O}^{-1}$) was defined as the ratio of daily gross
163 ecosystem productivity (GEP) to evapotranspiration (Et) during dry canopy conditions.

$$164 \text{WUE}_e = \text{GEP}/\text{Et} \quad (2)$$

165 Meteorological and eddy flux measurements were made from pairs of overstory (16 or 18.5 m)
166 and understory (3 m) towers in each stand. Shortwave radiation (R_g ; LI-200, LI-COR, Inc.),
167 photosynthetically active radiation (PAR; LI-190, LI-COR, Inc.), net radiation (R_{net} ; NRLite,
168 Kipp and Zonen, Inc., Delft, the Netherlands), air temperature and relative humidity (HMP45,
169 Vaisala, Inc., Woburn, MA, USA), windspeed and direction (05013-5, R. M. Young Co.,
170 Traverse City, MI, USA), and precipitation (TE525, Texas Electronics, Inc., Dallas, TX, USA)
171 were measured at the top of each overstory tower and at 2 m height on each understory tower.
172 Soil heat flux was measured using three heat flux transducers (HFT-3.1, Radiation and Energy
173 Balance Systems, Inc., Seattle, WA, USA) buried at 10 cm depth within 10 m of the towers. Soil
174 temperature (CS-107 or CS-109, Campbell Scientific, Inc., Logan, UT, USA) was measured at 5
175 cm depth in at least three locations at each stand. Meteorological data were recorded at half-

176 hourly intervals with automated data loggers (CR10x, CR23x and CR1000, Campbell Scientific,
177 Inc.). A complete description of sensor type and location appears in Clark et al. (2012).

178 Eddy covariance systems were composed of a 3-dimensional sonic anemometer (Windmaster
179 Pro, Gill Instruments Ltd., Lymington, UK, or RM 80001V, R. M. Young, Inc.), a closed-path
180 infrared gas analyzer (LI-7000, LI-COR Inc.), a 5 m long, 0.4 cm ID teflon coated tube and an
181 air pump (UN726-FTP, KNF-Neuberger, Trenton, NJ, USA). 10-hz data were recorded on lap-
182 top computers at each stand. The sonic anemometer was mounted 4 m above the canopy at each
183 stand. The inlet of the air sampling tube was located between the upper and lower sensors of the
184 sonic anemometer, and air was drawn through the LI-7000 at a rate of approx. 8.0 L min^{-1} so that
185 the mean lag time was $\leq 2.5 \text{ sec}$. The LI-7000's were calibrated every 2-10 days using CO_2
186 traceable to primary standards and a sling psychrometer or a LI-610 dew point generator. Net
187 CO_2 , H, and λE fluxes were calculated at half-hour intervals using the EdiRe program
188 (Edinburgh, UK). Barometric pressure data (PTB 110, Vaisala, Inc.) was then used to calculate
189 fluxes at ambient atmospheric pressure. The flux associated with the change in storage of CO_2 in
190 the air column beneath the sonic anemometer was estimated using top of tower and 2-m height
191 measurements (LI-840, LI-COR Inc.) or a profile system with inlets at 0.2, 2, 5, 10, 15, and 18.5
192 m height (oak stand only). Half-hourly NEE was then calculated as the sum of net CO_2 flux
193 (f_{CO_2}) and the storage flux for each half hour period. Data were filtered for low turbulence
194 conditions when friction velocity (u^* ; m s^{-1}) was $< 0.2 \text{ m s}^{-1}$ (Falge et al. 2001), when
195 precipitation occurred, and for instrument malfunction. All meteorological and eddy flux data
196 are available from the AmeriFlux web site (<http://public.ornl.gov/ameriflux>; [US-slt](#), [US-dix](#), [US-](#)
197 [ced](#)).

198 The three extensive, relatively flat stands had near ideal fetch for above-canopy eddy covariance
199 measurements (Skowronski et al. 2007). Minimum fetch was approximately 1260, 530, and 690
200 m at the oak, mixed, and pine stands, respectively. We evaluated energy balance closure using
201 the relationship between the sum of H + λE and available energy ($R_{\text{net}} - G - \Delta S_{\text{air}} - \Delta S_{\text{bio}}$) for all
202 half-hourly data collected at each stand using linear regression in SigmaPlot 10 (SYSTAT
203 Software, Inc.) (Clark et al. 2012, Table 2). To estimate NEE for daytime periods when we did
204 not have measurements (due to low windspeed conditions, precipitation, instrument failure, etc.),
205 we fit a parabolic function (growing season) or a linear function (dormant season) to the
206 relationship between PAR and NEE at bi-weekly to monthly intervals (Clark et al. 2004, 2010).

207 For nighttime periods, we fit an exponential function to the relationship between air temperature
208 (growing season) or soil temperature (dormant season) and NEE. Coefficients for gap filling
209 were calculated from data collected during the appropriate time periods using SigmaPlot
210 regression software. We used ± 1 standard error (SE) of the value of each parameter in the
211 parabolic function for daytime data during the summer, and in the exponential function for all
212 nighttime data to evaluate the sensitivity of annual NEE estimates to modeled values. To
213 estimate λE for periods when we did not have measurements, we fit a linear function to the
214 relationship between available energy and λE at bi-weekly (e.g., May 1- May 14) to bi-monthly
215 (e.g., July 1– August 31) intervals (Clark et al. 2012). We then used modeled half-hourly data to
216 fill in periods when we did not have measured fluxes to calculate daily to annual NEE and Et for
217 each stand.

218

219 **2.4 Statistical analyses**

220 We focused our analyses of NEE, Et and GEP on the summer months (June 1 to August 31),
221 corresponding to the period when deciduous species were at their peak photosynthetic activity
222 (Renninger et al., 2013). We evaluated patterns of WUE_e during the summers before, during and
223 after each disturbance event. In order to maximize the contribution of transpiration to Et in these
224 calculations, we used data collected when we assumed the canopy was dry, and days with
225 recorded precipitation and the day following each rain event when precipitation ≥ 10 mm were
226 excluded from further analyses. We used ANOVA analyses to test significance levels of the
227 differences in daytime and nighttime NEE among stands before disturbance, and within stands pre-
228 and post-disturbance. Half-hourly NEE values were not independent or normally distributed, thus
229 we randomly sampled $n = 50$ NEE values and then calculated a mean value 100 times for each
230 period (day or night), stand (oak, mixed, pine), and year for ANOVA analyses (SYSTAT 12,
231 SYSTAT Software, Inc.). Daily values of GEP, Et and WUE_e among stands and within stands
232 among years during the summer were compared using repeated-measures ANOVA analyses that
233 permit correlated error structure to account for the lack of independence among variables.
234 Comparisons among stands or years within each stand were made with Tukey's Honestly
235 Significant Difference (HSD) tests that adjusted significance levels for multiple comparisons. We
236 used non-linear regression analyses to determine the relationship between daily Et and GEP.

237 Differences in the values of regressions between daily Et and GEP were detected using T-tests and
238 ANCOVA analyses.

239

240 **3. Results**

241 **3.1 Leaf area and nitrogen content of foliage**

242 Maximum LAI during the summer averaged 4.8 to 6.0 at the three stands before disturbance, with
243 overstory species accounting for 89 %, 73 %, and 77 % of total LAI during the summer at the oak,
244 mixed and pine stands, respectively (Fig. 1a). LAI during the winter averaged 0.5 ± 0.5 , 0.7 ± 0.4
245 and 1.4 ± 0.4 at the oak, mixed and pine stands, respectively (data not shown). Nitrogen mass in
246 foliage during the summer before disturbance was greatest at the oak stand and least at the pine
247 stand (Fig. 1b).

248 At the oak stand, herbivory by gypsy moth during the early summer of 2007 reduced LAI to < 0.5
249 (see Schäfer et al. 2010). Following the peak of herbivory in June, a second partial leaf-out resulted
250 in a total LAI of only 2.3 (Fig. 1a). Nitrogen mass of canopy and understory foliage following the
251 second leaf out was only ca. 42 % of pre-disturbance levels (Fig. 1b). In 2008, partial defoliation
252 reduced LAI again, although a second leaf out did not occur. Nitrogen mass in foliage was lower
253 in 2008 compared to pre-defoliation periods, because species-weighted foliar N concentration of
254 the canopy was slightly lower (1.7 % N vs. 1.9 % N pre-defoliation), and understory foliage,
255 which composed 1.6 times greater LAI post-defoliation, had an average N concentration of only
256 1.3 % N (Fig. 1b). By summer 2009, total LAI had nearly recovered to pre-defoliation levels, but
257 the understory comprised 23 % of total LAI, compared to 11 % pre-defoliation. Nitrogen mass of
258 canopy and understory foliage in 2009 was 77 % and 192 % of pre-disturbance values, respectively.

259 At the mixed stand, the prescribed fire conducted in February 2006 and herbivory by gypsy moth
260 during the summers of 2006 and 2007 reduced LAI of deciduous species during the growing season,
261 but had relatively little effect on pine foliage in the canopy (Fig. 1a). Nitrogen mass in canopy and
262 understory foliage was reduced in 2006, but by 2007 understory N mass had nearly recovered to
263 pre-disturbance levels, while canopy N mass remained relatively low (Fig. 1b).

264 At the pine stand, partial defoliation of ericaceous shrubs and understory oaks by gypsy moth in
265 2007 reduced understory LAI and N mass compared to pre-disturbance periods (Fig. 1a,b). The

266 prescribed fire conducted in March 2008 was hot enough to scorch some pine foliage, which
267 reduced overstory LAI during the summer to 74% of pre-disturbance values, and reduced canopy N.
268 The prescribed fire had little effect on understory LAI later in growing season of 2008, because of
269 rapid resprouting of scrub oaks and shrubs. By 2009, leaf area and N mass in foliage at the pine
270 stand had recovered to pre-disturbance levels.

271

272 **3.2 NEE, GEP, Et and water use efficiency**

273 Daytime NEE during midday, clear sky conditions ($\geq 1500 \mu\text{mol PAR m}^{-2} \text{s}^{-1}$) and nighttime
274 NEE in the summer were greater at the oak stand than at the mixed and pine stands before
275 disturbance (Fig. 2, Table 3). Mean daily GEP during the summer also was greater at the oak
276 stand than at the mixed and pine stands, while mean daily Et rates during the summer were
277 greater at the oak and pine stands than at the mixed stand (Fig. 3, Table 3). Daily GEP and Et
278 were highly correlated during the summer months at each stand before disturbance, and when
279 data from the mixed and pine stands were pooled, the slope of the relationship between Et and
280 GEP was greater at the oak stand than at the mixed and pine stands (Fig. 4, Table 4; ANCOVA,
281 $F_{1,393} = 157, P < 0.001$). Pre-disturbance WUE_e in the summer also was greater at the oak stand
282 than at the mixed and pine stands (Fig. 3c, Table 3).

283 During complete defoliation by gypsy moth and second leaf-out of the oak stand during the
284 summer in 2007, half-hourly NEE, averaged $-2.5 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, which was only 14% of pre-
285 defoliation rates during midday, and 57 % of pre-defoliation NEE at night (Fig. 2). Mean daily
286 GEP and Et during the summer at the oak stand averaged $3.7 \pm 1.7 \text{ g C m}^{-2} \text{ day}^{-1}$ and 2.4 ± 0.9
287 mm day^{-1} (mean ± 1 SD) which represented 35% and 57% of pre-defoliation values, respectively.
288 The slope of the relationship between Et and GEP was lower during summer 2007 compared to
289 pre-defoliation periods (Fig. 5a, Table 4). Similarly, WUE_e was significantly lower in 2007
290 compared to pre-defoliation periods, averaging only $1.6 \text{ g C kg H}_2\text{O day}^{-1}$ (Fig. 3c, Table 3).
291 Partial defoliation of the oak stand occurred in the summer of 2008, and NEE during mid-day
292 periods averaged 58% of pre-defoliation rates. By the next growing season in 2009, mid-day
293 NEE had reached 85% of pre-defoliation rates (Fig. 2). Nighttime NEE during the second year
294 following complete defoliation was greater than pre-defoliation periods, and corresponded with
295 mortality of mature oaks and wet conditions in 2009. It is notable that many of the oaks that died

296 had basidiocarps of honey fungus (*Armillaria* sp.) around their bases in fall 2009. Daily GEP
297 during the summer was 71% and 78% of pre-defoliation levels, and Et had increased to 79% and
298 92% of pre-defoliation levels in 2008 and 2009, respectively (Fig. 3, Table 3). WUE_e averaged 2.3
299 g C kg H₂O day⁻¹ during the summers of 2008 and 2009, which was 86% of pre-defoliation
300 values.

301 Following the prescribed burn in early spring of 2006 at the mixed stand, mid-day NEE during
302 the summer during near clear sky conditions was 59 % of pre-disturbance values, and during
303 complete defoliation of deciduous species by gypsy moth in 2007, midday NEE average 6.7
304 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, which was only 43% of pre-disturbance values (Fig. 2, Table 3). Nighttime
305 NEE during the summer was nearly unaffected by either disturbance. Daily GEP was 79% of
306 pre-disturbance values during the summer following the prescribed fire in 2006, and only 28% of
307 pre-disturbance values during and following defoliation of deciduous species by gypsy moth in
308 2007. Summer daily Et was 73% and 69% of pre-disturbance values in 2006 and 2007,
309 respectively (Fig. 3b, Table 3). Slopes for the relationship between GEP and Et were similar pre-
310 and post-prescribed burn, but the intercept for this relationship was lower during defoliation by
311 gypsy moth in 2007 compared to pre-defoliation periods (Fig. 5). Similarly, WUE_e at the mixed
312 stand was similar pre- and post-prescribed burn, but significantly lower during defoliation in 2007,
313 averaging only 1.1 g C kg H₂O day⁻¹ (Fig. 3c, Table 3).

314 At the pine stand, midday NEE during clear sky conditions in the summer was 79% of pre-
315 disturbance values during defoliation of the understory by gypsy moth in 2007. During the first
316 growing season following the prescribed burn conducted in March 2008, midday NEE averaged -
317 $9.5 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$, which was 69 % of pre-disturbance values (Fig. 2). By the next growing
318 season following the prescribed burn, mid-day NEE had recovered to pre-disturbance values
319 (Fig. 2, Table 3). Nighttime NEE at the pine stand was apparently unaffected by either
320 disturbance. Summer daily GEP averaged 84% of pre-disturbance values during defoliation of
321 deciduous species by gypsy moth in 2007, and 82% following the prescribed burn in 2008 (Fig.
322 3a, Table 3). Post-disturbance, daily GEP in 2009 averaged $9.6 \pm 2.6 \text{ g C m}^{-2} \text{ day}^{-1}$, representing
323 109% of pre-disturbance values. Summer daily Et averaged 85%, 83% and 99% of pre-
324 disturbance levels in 2007, 2008 and 2009, respectively (Fig. 3b, Table 3). The relationship
325 between daily Et and GEP was similar pre- and post-disturbance (Fig. 5c, Table 4), and WUE_e

326 was unaffected by defoliation of deciduous species in the understory or the prescribed burn when
327 compared to pre-disturbance values (Fig. 3, Table 3).

328 The relationship between annual maximum N mass in foliage and mean daily GEP during the
329 summer months was significant at the oak stand, accounting for 84% of the variability in GEP
330 during the summer (Table 5). When data for the oak and mixed stands were pooled, maximum
331 N mass in foliage accounted for 79% of the variability in mean daily GEP during the summer. In
332 contrast, only 46% of the variability in mean daily GEP during the summer was accounted for by
333 annual maximum N in foliage at the pine stand (Table 5). Daily Et during the summer was
334 significantly correlated with maximum annual LAI at the oak stand, and at the mixed and pine
335 stands when data were pooled (see also Clark et al. 2012). The relationship between maximum
336 N mass in foliage and mean daily WUE_e was nearly significant at the oak stand, and at the oak
337 and mixed stand when data were pooled (Table 5).

338 Annual estimates of NEE, R_{eco} , GEP and Et for the three upland forest stands are shown in Table
339 6. Over all years measured, the oak and mixed stands were only weak sinks for CO_2 . Variation
340 in NEE was greatest at the oak stand, ranging from a sink averaging approx. $-170 \text{ g C m}^{-2} \text{ yr}^{-1}$
341 before defoliation to a source of $248 \text{ g C m}^{-2} \text{ yr}^{-1}$ during the year of complete defoliation by
342 gypsy moth in 2007. The pine-dominated stand was a moderate sink for CO_2 , but when
343 consumption estimated from pre- and post-burn samples of the understory and forest floor
344 (approx. 441 g C m^{-2}) was incorporated into the longer term C balance, the estimated average C
345 sink strength was only $-30 \text{ g C m}^{-2} \text{ yr}^{-1}$. Variation in annual R_{eco} was relatively low at the mixed
346 and pine stands, but the range in annual values was $550 \text{ g m}^{-2} \text{ yr}^{-1}$ at the oak dominated stand,
347 representing a coefficient of variation of 44% of mean annual R_{eco} . The greatest reduction in
348 GEP occurred during the year of complete defoliation at the oak stand, and both defoliation and
349 prescribed burns reduced annual GEP and Et at the mixed and pine stands (Table 6). The
350 greatest reduction in annual Et occurred at the mixed stand, where both disturbances had
351 occurred sequentially.

352

353 **4 DISCUSSION**

354 Gypsy moth are now ubiquitous in forests of the Mid-Atlantic region. Approximately 24% of
355 forests in the region are classified as highly susceptible to gypsy moth, and 7% are classified as

356 extremely susceptible (Leibhold et al. 2003, www.fia.gov). In New Jersey, 36% and 15% of
357 forests are classified as highly and extremely susceptible to gypsy moth defoliation, respectively.
358 Although recent surveys indicate that gypsy moth populations have largely crashed since 2009 in
359 the Mid-Atlantic region, populations can exhibit cyclical dynamics, with 4-5 year and 8-10 year
360 cycles co-occurring (Allstadt et al. 2013). During the peak of the last outbreak, approximately
361 20% of upland forests were defoliated in the PNR in 2007 ([http://www.state.nj.us/agriculture](http://www.state.nj.us/agriculture/divisions/pi/pdf/07defoliationtable.pdf)
362 [/divisions/pi/pdf/07defoliationtable.pdf](http://www.state.nj.us/agriculture/divisions/pi/pdf/07defoliationtable.pdf)). In many oak-dominated stands, LAI and N in foliage
363 during the early summer were reduced to levels characterizing the dormant season. In pine-
364 dominated stands, defoliation of pines by gypsy moth was typically minor, but foliage of sub-
365 canopy oaks and shrubs in the understory was susceptible to defoliation. When defoliation is
366 severe and occurs over multiple years, such as in oak-dominated and mixed stands in the PNR from
367 2006-2008, invasive insects can have major, and likely long term, impacts on canopy N pools. In
368 addition to the immediate reduction in leaf area and canopy N in defoliated stands, a second
369 mechanism leading to the reduction of N in foliage in oak stands was selective herbivory and
370 subsequent mortality of black oak, which initially had the highest mean foliar N content
371 (approximately 2.1 % N) in our study. By 2009, many of the mature black oaks had either died
372 or had moderate to severe crown damage, which reduced their leaf area. In contrast, chestnut
373 oak, which had a lower N content in foliage (approximately 1.8 % N), had relatively low
374 mortality and less canopy damage, and accounted for a greater amount of canopy leaf area
375 following defoliation. A third factor contributing to the overall reduction of the foliar N pool is
376 the response of the understory to gap formation caused by overstory defoliation and subsequent
377 mortality. Understory LAI had increased two-fold over pre-defoliation periods by 2008, and this
378 pattern has persisted through 2013, six years following complete defoliation of the oak stand.
379 This has led to a much larger contribution of understory foliage to stand LAI, however, shrub
380 foliage had consistently lower N content than canopy oaks and therefore did not completely
381 replace the N lost from the canopy. Overall, changes in canopy composition and increased LAI
382 in the understory resulted in lower N content in foliage in severely defoliated stands.

383 Lovett et al. (2002, 2006) have shown that defoliation by invasive insects can cause large N
384 transfers within the forest, but indicated that overall leaching losses are relatively minor. Our
385 results suggest that recovery from internal transfers of N attributed to defoliation by gypsy moth
386 may require a number of years, because of the time required to restore canopy foliar nutrient

387 pools. As the defoliation in our oak study area has caused mortality somewhat selectively by
388 species, we expect long-term shifts in species composition, and resultant changes to N mass in
389 canopy foliage. This finding is consistent with results published by Medvigy et al. (2012), who
390 used the ED2 model to explore the interactive effects of herbivory and drought on long term
391 carbon dynamics and found reduced GEP and forest productivity over time following intensive,
392 repeated defoliation events (Medvigy et al. 2012). Lack of recovery of foliar N pools in the
393 canopy may also predispose stands to be more sensitive to other stresses. For example, daytime
394 NEE at the oak stand was apparently more sensitive to summer drought that occurred in 2010
395 compared to pre-disturbance periods, and further mortality of overstory oaks occurred
396 (Renninger et al. 2014b).

397 The effects of prescribed burning on LAI and canopy N content at the mixed and pine stands
398 were relatively less intense than defoliation at the oak and mixed stands. Pitch and shortleaf
399 pines have epicormic meristems that can sprout rapidly following disturbance, thus overstory
400 needle recovery can occur rapidly. Although many aboveground stems of shrubs and understory
401 oaks were killed during the burns, they can readily resprout from belowground stems following
402 fire and their leaf area recovered quickly (Clark et al. 2014). Prescribed burning also apparently
403 had little effect on WUE_e . A potential explanation for this observation is also related to stand
404 nutrient dynamics, because it is likely that the burn pyro-mineralized stored nutrients such as
405 phosphorus and calcium in the forest floor, and these became available to canopy and understory
406 vegetation following the prescribed fire (Gray and Dighton 2006, 2009).

407 Variation in foliar N mass and LAI were major biotic factors affecting GEP and Et during our
408 study. N mass in foliage was significantly correlated with summer daily GEP at the oak and
409 mixed stands, both of which had a significant component of deciduous species (Skowronski et al.
410 2007, Clark et al. 2010). On an annual basis, however, GEP was greatest at the pine stand,
411 which had the longest leaf area display when integrated throughout the year and the highest GEP
412 during spring and summer; the relationship between canopy N content and daily GEP during the
413 summer was weaker at this stand. Clark et al. (2012) reported that LAI was strongly related to
414 daily Et during the summer at all three stands. Interestingly, mean daily WUE_e during the
415 summer was only weakly correlated with foliar N content or LAI at the oak or mixed stands,
416 although this relationship may become significant using a longer term data set.

417 Before each disturbance, daily NEE, GEP and WUE_e during the summer were greater at the oak
418 stand than at the mixed or pine-dominated stands. Previously reported summer NEE light
419 response curves support this result (Clark et al. 2010), as do leaf-level measurements of oak vs.
420 pine foliage (Schäfer 2011, Renninger et al. 2013, 2014a). Pre-disturbance daily GEP rates
421 during the summer at the three stands in the PNR were intermediate between published rates of
422 undisturbed forest in more southerly sites on the Atlantic coastal plain (ca. 8 – 13 g C m⁻² day⁻¹;
423 Clark et al. 1999, 2004, Stoy et al. 2006, Normets et al. 2010) and stands further to the north (ca.
424 4 - 10 g C-2 day⁻¹; Mkhebel et al. 2009, Brümmer et al. 2012). Pre-disturbance mean daily Et at
425 the oak and pine-dominated stands stand during the summer (4.2 ± 1.5 mm and 3.9 ± 1.3 day⁻¹)
426 were within the range of values reported from other temperate broad-leaved and conifer-dominated
427 forests (reviewed in Clark et al. 2012).

428 Highly significant relationships between GEP and Et have been noted at a wide range of time
429 scales (e.g., daily to annual) in many forests. For example, Law et al. (2002) reported a
430 significant relationship between monthly Et (expressed as Et/precipitation) and GEP for a wide
431 range of Ameriflux sites, and Brümmer et al. (2012) reported significant relationships between
432 Et and GEP across a range of forests in Canada. Pre-disturbance WUE_e values for stands in the
433 Pinelands were at the low end of values reported from temperate hardwood forests, rather they
434 were more similar to closed-canopy conifer dominated and boreal forests (Law et al. 2002,
435 Kuglitsch et al. 2008, Brümmer et al. 2012). For example, Law et al. (2002) reported values of
436 up to 6 g C kg⁻¹ H₂O for monthly WUE_e in temperate hardwood forests, while closed canopy
437 stands in Boreal forest and conifer-dominated stands had WUE_e values ranging from 2.0 to 3.6 g
438 C kg⁻¹ H₂O (Mkhebel et al. 2009, Brümmer et al. 2012, Vickers et al. 2012). On the Atlantic
439 coastal plain, WUE_e of a rotation age slash pine (*Pinus elliottii* Engelm.) plantation on sandy soils
440 in N. Florida averaged 2.7 g C kg⁻¹ H₂O (reanalyzed data from Clark et al. 2004).

441 Defoliation by Gypsy moth reduced both daytime and nighttime NEE at the oak and mixed
442 stands compared to pre-disturbance periods. Clark et al. (2010) showed that the relationship
443 between air or soil temperature and half-hourly nighttime NEE during defoliation in the summer
444 during 2007 was significantly different and that mean nighttime NEE was lower when compared
445 to undisturbed periods, despite the fact that soil temperatures were ca. 2 °C higher, while air
446 temperature was similar to pre-disturbance periods. As a result, annual R_{eco} was lower in 2007
447 and 2008 compared to pre-disturbance years. Following this period of reduced nighttime NEE,

448 higher rates at nighttime half-hourly and annual time scales corresponded with tree mortality and
449 wet conditions in 2009 (Renninger et al. 2014b). Annual GEP at the oak stand had approached
450 pre-disturbance values by 2009, but relatively high R_{eco} lagged complete defoliation by two
451 years, and resulted in very low annual NEE in 2009. When integrated over 2007-2013, however,
452 annual R_{eco} averaged 1394 ± 274 (mean ± 1 SD) $\text{g C m}^{-2} \text{ yr}^{-1}$ at the oak stand, thus the long-term
453 average following defoliation was more similar to pre-disturbance values, which averaged 1340
454 $\text{g C m}^{-2} \text{ yr}^{-1}$. The relatively high variability in nighttime NEE and annual R_{eco} contrasts
455 somewhat with results reported from other disturbed forests on the Atlantic coastal plain (e.g.,
456 Amiro et al 2010). For example, following clearcutting of a slash pine plantation in N. Florida,
457 variation in R_{eco} was only $304 \text{ g C m}^{-2} \text{ yr}^{-1}$ pre- and post-harvest, representing a coefficient of
458 variation of 14 % of mean annual values, despite major changes in biomass and detrital pools on
459 the forest floor and soil disturbance associated with site preparation (Clark et al. 2004, Binford et
460 al. 2006).

461 Defoliation by Gypsy moth reduced GEP and WUE_e at the oak and mixed stands, but WUE_e
462 values were not as low as those reported following clearcutting or severe wildfires in other forest
463 ecosystems (Clark et al. 2004, Mkhabela et al. 2009, Dore et al. 2010). For example, following
464 clearcutting of the slash pine plantation noted above, GEP was initially minimal and recovered
465 relatively slowly, while E_t was similar to pre-harvest rates because of partial flooding of the stand
466 (Gholz and Clark 2002, Clark et al. 2004). WUE_e averaged $0.7 \text{ g C kg H}_2\text{O}^{-1}$ during the first year
467 following harvest, and had increased to $1.7 \text{ g C kg H}_2\text{O}^{-1}$ during the second year, compared to a
468 pre-harvest value of $2.7 \text{ g C kg H}_2\text{O}^{-1}$. In a ponderosa pine (*P. ponderosa* P. & C. Lawson)
469 stand that had burned 10 years previously in a severe wildfire, GEP was only 43% of values at an
470 undisturbed ponderosa pine stand, while E_t had recovered to a greater extent, averaging 2.0 mm
471 day^{-1} compared to 2.4 mm day^{-1} at the undisturbed stand during the summer (Dore et al. 2010).
472 Monthly WUE_e during the summer averaged ca. $1.2 \text{ g C kg H}_2\text{O}^{-1}$ at the stand that had been
473 burned severely, and $1.7 \text{ g C kg H}_2\text{O}^{-1}$ at the undisturbed stand over the two years measured.
474 Mkhabela et al. (2009) summarized the effects of harvesting and wildfires in boreal forest in
475 Canada using a chronosequence approach, and reported that recovery of GEP was slower than
476 E_t . Two to three years following harvest of a jack pine (*Pinus banksiana* Lamb.) stand, WUE_e
477 averaged only $0.6 \text{ g C kg H}_2\text{O}^{-1}$, and they estimated that recovery to pre-disturbance values
478 would not occur until ca. 15 years following harvest. Similarly, WUE_e averaged $1.4 \text{ g C kg H}_2\text{O}^{-1}$

479 ¹ six to seven years following a severe wildfire, compared to $2.2 \text{ g C kg H}_2\text{O}^{-1}$ in an undisturbed
480 stand. Overall, our results suggest that WUE_e in forests following non-stand replacing disturbance
481 is dependent on the type of disturbance and the impact on N status of canopy and understory
482 foliage, in addition to time since disturbance. Defoliation by gypsy moth had a stronger effect on
483 WUE_e , with consistently lower daily values occurring during the summer of the year when
484 defoliation occurred at the oak and mixed stands, while WUE_e was largely unaffected by
485 prescribed burning at the mixed and pine stands.

486 Using the relationships between λE and available energy ($R_{\text{net}} - G - \text{storage terms}$) for non-
487 defoliated periods in Clark et al. (2012) and continuous meteorological data for 2005-2009, we
488 estimated that annual E_t in the absence of gypsy moth or fire would have averaged 661 ± 32 and
489 $757 \pm 6 \text{ mm yr}^{-1}$ at the oak and pine stands, respectively. When compared to E_t measured at
490 each site, 5-year averages differed by only 47 and 59 mm at the oak and pine stands,
491 respectively, representing a 9% decrease in E_t . Assuming an average precipitation depth of 1159
492 mm yr^{-1} across all upland forests, we estimated that ground water recharge was approximately
493 9% and 15% higher during and following disturbance at each stand (Schäfer et al. 2013).
494 Similarly, using relationships between PAR and daytime NEE, and between air or soil
495 temperature and nighttime NEE of undisturbed years, we estimated that annual NEE at the oak
496 stand in the absence of gypsy moth defoliation potentially averaged $-191 \pm 40 \text{ g C m}^{-2} \text{ yr}^{-1}$ from
497 2005-2009, and that potential R_{eco} and GEP averaged 1276 ± 76 and $1467 \pm 67 \text{ g C m}^{-2} \text{ yr}^{-1}$ over
498 the same period, respectively. In contrast, our measured average annual NEE was only 17% of
499 the potential value that would have occurred in the absence of gypsy moth at the oak stand for
500 2005-2009. Annual NEE measured at the oak stand in 2010, 2011, 2012, and 2013 was -15, -49,
501 -84, and $-59 \text{ g C m}^{-2} \text{ yr}^{-1}$, indicating that recovery from complete defoliation takes at least six
502 years. Potential and estimated annual GEP differed by an average of $186 \text{ g C m}^{-2} \text{ yr}^{-1}$ at the oak
503 stand. R_{eco} estimated for the oak stand over 2005-2009 was only $28 \text{ g C m}^{-2} \text{ yr}^{-1}$ less than
504 potential values, supporting the observation that R_{eco} is largely invariant with disturbance over
505 longer time scales (e.g., Amiro et al. 2010). At the pine stand, we estimated that annual NEE in
506 the absence of Gypsy moth defoliation and prescribed burning potentially averaged $-142 \pm 40 \text{ g}$
507 $\text{C m}^{-2} \text{ yr}^{-1}$ from 2005-2009, and that potential R_{eco} and GEP were 1437 ± 39 and $1579 \pm 65 \text{ g C}$
508 $\text{m}^{-2} \text{ yr}^{-1}$, respectively. Measured average annual NEE was 76 % of the potential value that would
509 have occurred in the absence of disturbance, but when consumption losses due to the prescribed

510 burn are included, annual NEE was only 14 % of the potential value at the pine stand for 2005-
511 2009. Similarly, potential and estimated annual GEP differed by an average of only 19 g C m⁻²
512 yr⁻¹ at the pine stand. Although these calculations assume that λE, NEE and GEP measured at
513 our sites during pre-disturbance periods characterize potential rates during later years in the
514 absence of disturbance, they illustrate the magnitude of the impact that gypsy moth defoliation
515 and prescribed burning can have on stand carbon dynamics, while having relatively little effect
516 on Et and ground water recharge (Schäfer et al. 2013).

517 Our results illustrate two important points; forest C dynamics and especially NEE are apparently
518 much more sensitive to non-stand replacing disturbances than Et, and disturbances that result in
519 large N transfers within stands may have long-term impacts on rates of GEP and NEE at half-
520 hourly to annual time scales. When evaluating tradeoffs between hydrologic resources and forest
521 carbon dynamics, forest managers may incorrectly assume that disturbance that results in
522 minimal impact on hydrological cycling (such as estimated from USGS weir data) would also
523 result in minimal impact on carbon sequestration rates, when in fact the size of the carbon sink
524 may actually be quite small. It is also clear that if climate change results in a greater likelihood
525 of insect invasions, fire or other perturbations, and we consider temporal variation in canopy N
526 status and WUE_e with disturbance, our ability to predict interactions between carbon and
527 hydrologic cycles in the future will improve.

528

529 **5 CONCLUSIONS**

530 Eddy covariance and biometric measurements made in three stands in the Pinelands National
531 Reserve in southern New Jersey, USA, were used to estimate the effects of defoliation by gypsy
532 moth and prescribed burning on net ecosystem exchange of CO₂ (NEE), gross ecosystem
533 production (GEP), evapotranspiration (Et) and ecosystem water use efficiency (WUE_e). Pre-
534 disturbance half-hourly NEE at full sunlight conditions (> 1500 μmol PPFD m⁻² s⁻¹) and during
535 the nighttime in the summer months, and GEP and WUE_e during the summer were greater at the
536 oak-dominated stand compared to the mixed and pine-dominated stands. Defoliation by gypsy
537 moth reduced leaf area (LAI) and nitrogen content in foliage, resulting in decreased NEE, GEP
538 and Et at the oak-dominated and mixed stands during the summer months. WUE_e was reduced
539 to 60% and 46% of pre-disturbance values at the oak-dominated and mixed stands during

540 defoliation, Two years following complete defoliation at the oak stand, WUE_e during the
541 summer was 80% of pre-defoliation values. LAI and foliar N mass were also reduced by
542 dormant season prescribed burning at the mixed and pine-dominated stands during the next
543 growing season. Midday NEE and daily GEP during the summer months following prescribed
544 burning at the mixed and pine stands averaged 57% and 68%, and 79% and 82% of pre-
545 disturbance values, respectively. In contrast to gypsy moth defoliation at the oak and mixed
546 stands, prescribed burning at the mixed and pine-dominated stands had no significant effect on
547 WUE_e . Long-term NEE was reduced at the oak-dominated stand, likely due to reduced N mass
548 in canopy foliage, as well as slightly increased R_{eco} following mortality of approximately 20 %
549 of mature oak trees. LAI, N in foliage, NEE, GEP and Et had all recovered to pre-disturbance
550 levels during the next growing season following the prescribed burn at the pine-dominated stand.
551 Overall, our results suggest that WUE_e in forests during and following non-stand replacing
552 disturbance is dependent on the type of disturbance and the impact on N status of canopy and
553 understory foliage, in addition to time since disturbance.

554

555

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681 Whittaker, R. H., and Woodwell, G. M.: Dimension and production relations of trees and shrubs
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683

684 Table 1. Forest structure at the oak, mixed, and pine stands at the beginning of the study in 2005.
 685 Overstory data are from five 201 m² plots measured in 2005, understory biomass is from 10 to 20
 686 1.0 m² clip plots, and forest floor mass (Oi layer) is from ten 1.0 m² plots in the vicinity of the
 687 tower at each site. Values are means \pm 1 standard error.

689 Variable	Oak	Mixed	Pine
691 Stem density (stems ha ⁻¹)			
692 Pine	90 \pm 19	269 \pm 162	1035 \pm 87
693 Oak	1233 \pm 293	676 \pm 114	418 \pm 145
694 Total	1323 \pm 300	945 \pm 123	1452 \pm 158
695 Basal area (m ² ha ⁻¹)			
696 Pine	4.4 \pm 2.4	5.6 \pm 1.8	14.3 \pm 2.1
697 Oak	11.5 \pm 1.4	6.3 \pm 4.2	0.3 \pm 0.1
698 Total	15.9 \pm 2.5	11.8 \pm 3.0	14.7 \pm 2.1
699 Overstory biomass (g m ⁻²)			
700 Pine	2134 \pm 1179	1957 \pm 612	4956 \pm 1018
701 Oak	6360 \pm 736	3227 \pm 2294	54 \pm 21
702 Total	8494 \pm 1220	5184 \pm 1859	5010 \pm 1023
703 Understory biomass (g m ⁻²)			
704 Oaks	20 \pm 15	217 \pm 71	70 \pm 23
705 Ericaceae	168 \pm 38	112 \pm 32	322 \pm 27
706 Total	189 \pm 35	529 \pm 150	397 \pm 44
707 Forest floor mass (g m ⁻²)			
708 Fine litter	845 \pm 45	842 \pm 71	1131 \pm 35
709 Wood	223 \pm 47	319 \pm 63	447 \pm 110
710 Total	1068 \pm 75	1160 \pm 115	1578 \pm 119

712

713 Table 2. Energy balance closure for the oak, mixed and pine stands for all half-hourly data
 714 collected from 2005 to 2009. Half-hourly flux data were fit to the equation $R_{\text{net}} - G - \text{storage terms}$
 715 $= \alpha (H + \lambda E) + \beta$. Data were filtered for u^* values $< 0.2 \text{ m}^{-2}$, precipitation, and instrument
 716 malfunction. Values are means ± 1 Standard error, and all correlations are significant at $P < 0.001$.
 717 Energy balance closure for each stand by year is in Clark et al. (2012).

719	Stand	α	β	r^2	n
721	Oak	0.96 ± 0.01	14.53 ± 0.27	0.86	44,941
722	Mixed	0.99 ± 0.01	8.88 ± 0.26	0.92	21,682
723	Pine	0.96 ± 0.01	8.39 ± 0.26	0.90	44,912

725 Table 3. Statistics for ANOVA and contrasts for half-hourly daytime and nighttime net CO₂
 726 exchange during the summer (June 1- August 31; Fig. 2), and daily evapotranspiration, gross
 727 ecosystem production, and ecosystem water use efficiency during the summer among stands before
 728 disturbance, and within stands among years (Fig. 3). df = degrees of freedom, F = the value of the F
 729 statistic, and P is the significance level for ANOVA analyses. Contrasts for all stands before
 730 disturbance are; a. oak vs. mixed and pine, b. mixed vs. pine, c. oak and pine vs. mixed, d. oak vs.
 731 pine. Oak stand contrasts are; e. complete defoliation vs. pre- and post-defoliation, f. pre-defoliation
 732 vs. post-defoliation. Mixed stand contrasts are; g. pre-disturbance vs. disturbance, h. prescribed
 733 burn vs. defoliation. Pine stand contrasts are; i. pre- and post-disturbance vs. disturbance, j.
 734 defoliation vs. prescribed burn. Tukey's Honestly Significant Difference (HSD) tests were used to
 735 determine significance levels of each contrast, and P is the significance level for each contrast.

736	Variable/stand	df	F	P	Contrast	HSD	P
739	Daytime NEE _c (μmol CO ₂ m ⁻² s ⁻¹ at ≥ 1500 μmol PAR m ⁻² s ⁻¹); Fig. 2.						
740	All stands	2; 297	977	< 0.001	a, b	0.25	< 0.01, < 0.01
741	Oak	3; 396	10,957	< 0.001	e, f	0.28	< 0.01, < 0.01
742	Mixed	2; 297	6,520	< 0.001	g, h	0.24	< 0.01, < 0.01
743	Pine	3; 396	4,793	< 0.001	i, j	0.19	< 0.01, < 0.01
744	Nighttime NEE _c (μmol CO ₂ m ⁻² s ⁻¹); Fig. 2.						
745	All stands	2; 297	324	< 0.001	a, b	0.15	< 0.01, < 0.01
746	Oak	3; 396	1,330	< 0.001	e, f	0.22	< 0.01, < 0.01
747	Mixed	2; 297	128	< 0.001	g, h	0.10	< 0.01, < 0.01
748	Pine	3; 396	519	< 0.001	i, j	0.15	< 0.01, < 0.01
749	Gross ecosystem production (g C m ⁻² day ⁻¹); Fig. 3a						
750	All stands	2; 427	53	< 0.001	a, b	0.86	< 0.01, < 0.01
751	Oak	3; 456	212	< 0.001	e, f	0.94	< 0.01, < 0.01
752	Mixed	2; 273	233	< 0.001	g, h	0.79	< 0.01, < 0.01
753	Pine	3; 426	29	< 0.001	i, j	0.91, 0.75	< 0.01, < 0.05
754	Evapotranspiration (mm day ⁻¹); Fig. 3b.						
755	All stands	2; 427	14	< 0.001	c, d	0.43	< 0.05, ns

756	Oak	3; 456	43	< 0.001	e, f	0.56	< 0.01, ns
757	Mixed	2; 273	30	< 0.001	g, h	0.42	< 0.01, < 0.01
758	Pine	3; 367	6	< 0.01	i, j	0.56	< 0.01, < 0.01
759	Ecosystem water use efficiency (g C kg H ₂ O ⁻¹ day ⁻¹); Fig. 3c.						
760	All stands	2; 285	14	< 0.001	a, b	0.23	< 0.01, ns
761	Oak	3; 291	52	< 0.001	e, f	0.31	< 0.01, < 0.01
762	Mixed	2;156	103	< 0.001	g, h	0.31	ns, < 0.01
763	Pine	3; 281	3	< 0.05	i, j	0.24	ns, < 0.05
764	<hr/>						
765							

766 Table 4. Parameter values and statistics for the relationship between daily evapotranspiration
 767 and gross ecosystem productivity from June 1 to August 31 for the oak vs. mixed and pine stands
 768 in 2005 before disturbance (Figure 4), the oak stand from 2005-2009 (Figure 5a), the mixed
 769 stand from 2005-2007 (Figure 5b), and the pine stand from 2005-2009 (Figure 5c). Data were fit
 770 to $GEP = \alpha (1 - \exp(-\beta (Et)))$. Parameter values are means \pm 1 standard error, r^2 is the value of
 771 the Pearson's product moment coefficient, F is the value of the F statistic, and P is the
 772 significance level of the ANOVA analyses for each model.

774 Stand/period	α	β	r^2	F	P
775					
776 Oak vs. mixed and pine stands (shown in Fig. 4)					
777 Oak	15.54 \pm 0.70	0.34 \pm 0.03	0.79	335	<0.0001
778 Mixed, pine	14.29 \pm 0.74	0.25 \pm 0.02	0.83	722	<0.0001
779 Oak (shown in Fig. 5a)					
780 Pre-defoliation	15.97 \pm 0.69	0.29 \pm 0.03	0.72	476	<0.0001
781 Defoliation 2007	12.93 \pm 6.30	0.15 \pm 0.09	0.51	96	<0.0001
782 Defoliation 2008	10.33 \pm 0.56	0.43 \pm 0.05	0.47	81	<0.0001
783 Post-defoliation	11.44 \pm 0.53	0.37 \pm 0.04	0.74	264	<0.0001
784 Mixed (shown in Fig. 5b)					
785 Pre-disturbance	11.75 \pm 0.60	0.36 \pm 0.04	0.81	378	<0.0001
786 Prescribed fire	9.64 \pm 0.12	2.40 \pm 0.31	0.63	158	<0.0001
787 Defoliation	-0.32 \pm 0.46	1.07 \pm 0.19	0.25	32	<0.001
788 Pine (shown in Fig. 5c)					
789 Pre-disturbance	13.42 \pm 0.53	0.28 \pm 0.02	0.81	671	<0.0001
790 Partial defoliation	9.70 \pm 0.53	0.50 \pm 0.05	0.83	436	<0.0001
791 Prescribed fire	9.59 \pm 0.36	0.49 \pm 0.05	0.70	208	<0.0001
792 Post-disturbance	13.57 \pm 0.50	0.37 \pm 0.06	0.85	530	<0.0001

794

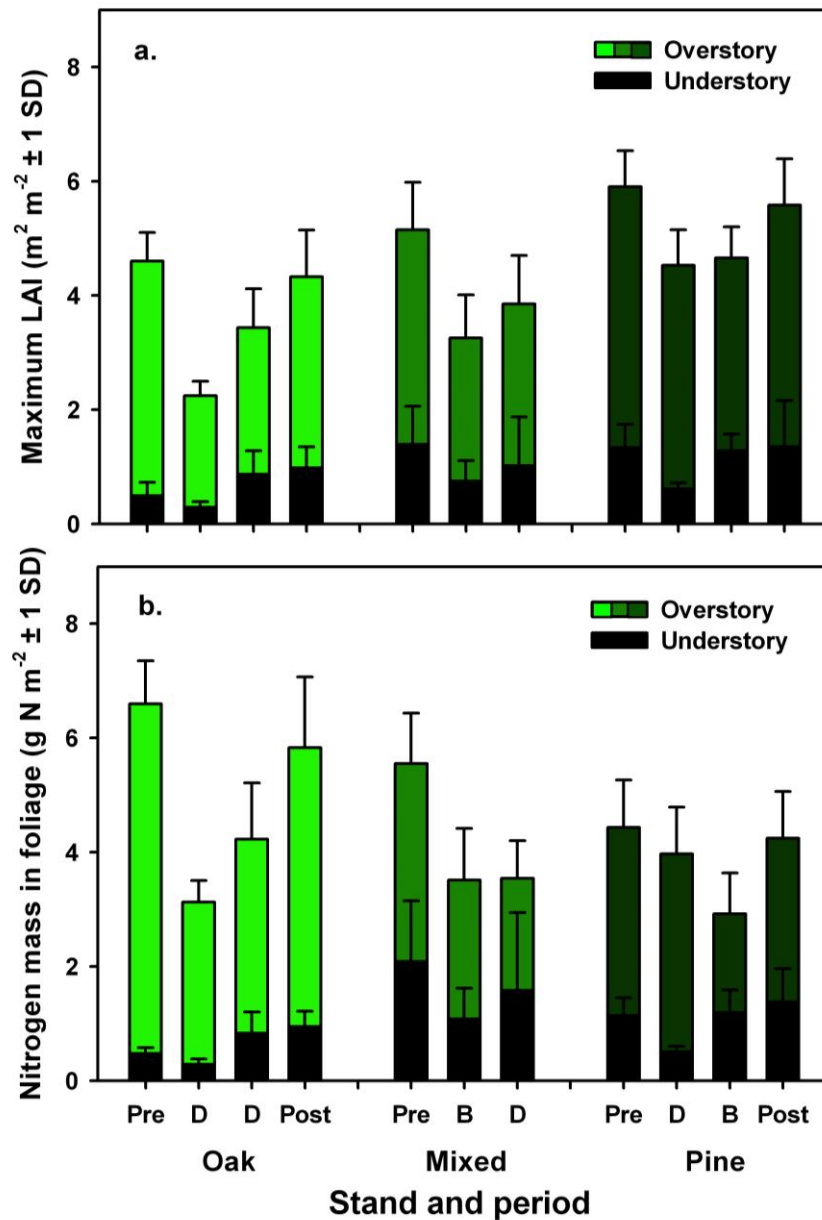
795 Table 5. Parameters and statistics for the relationship between maximum canopy and understory
 796 N content and mean daily gross ecosystem productivity, and between maximum LAI and mean
 797 daily Et during the summer from June 1 to August 31. Data were fit to $GEP = \alpha (\text{canopy N}) + \beta$.
 798 Parameter values are means \pm 1 standard error, r^2 is the value of the Pearson's product moment
 799 coefficient, F is the value of the F statistic, and P is the significance level of the ANOVA
 800 analyses for each model. Values are for the oak stand from 2005-2009, the mixed stand from
 801 2005-2007, and the pine stand from 2005-2009.

803 Stand	α	β	r^2	F	P
805 Nitrogen in foliage (g N m^{-2}) and daily gross ecosystem production ($\text{g C m}^{-2} \text{ day}^{-1}$)					
806 Oak	1.50 ± 0.32	0.58 ± 1.71	0.84	22.6	< 0.05
807 Oak, mixed	1.64 ± 0.32	-0.49 ± 1.58	0.79	27.0	< 0.01
808 Pine	1.22 ± 0.58	3.49 ± 2.38	0.46	4.4	ns
809 Leaf area index ($\text{m}^2 \text{ m}^{-2}$) and evapotranspiration (mm day^{-1})					
810 Oak	0.72 ± 0.15	0.84 ± 0.62	0.81	18.6	< 0.05
811 Mixed, pine	0.62 ± 0.12	0.20 ± 0.58	0.80	29.8	< 0.01
812 Pine	0.43 ± 0.20	1.31 ± 1.06	0.46	4.4	ns
813 Nitrogen in foliage (g N m^{-2}) and ecosystem water use efficiency ($\text{g C m}^{-2} \text{ kg H}_2\text{O day}^{-1}$)					
814 Oak	0.26 ± 0.09	0.93 ± 0.50	0.63	7.8	< 0.07
815 Oak, mixed	0.26 ± 0.11	0.92 ± 0.58	0.33	4.5	< 0.08

817 Table 6. Annual net CO₂ exchange (NEE), ecosystem respiration (R_{eco}), gross ecosystem
 818 production (GEP, g C m⁻² yr⁻¹), evapotranspiration (Et, mm year⁻¹), and the ratio of GEP to ET
 819 for the oak, mixed, and pine stands. Percent filtered half hourly NEE data for each year used to
 820 calculate annual NEE, R_{eco} and GEP are shown in the first column. Values in parentheses for
 821 NEE are maximum deviations from annual values as a result of gap filling using ± 1 standard
 822 error of daytime or nighttime parameters.

824	Stand, Period	Percent	NEE	R _{eco}	GEP	Et	GEP/Et
825		NEE data		(g C m ⁻² yr ⁻¹)		(mm yr ⁻¹)	
826	<hr/>						
827	Oak						
828	2005	49	-185 (21)	1285	1470	616	2.39
829	2006	52	-140 (23)	1395	1535	677	2.27
830	2007, defoliated	54	246 (11)	972	726	442	1.64
831	2008, defoliated	56	-77 (18)	1066	1143	637	1.79
832	2009	55	-9 (25)	1523	1532	699	2.19
833	Mean ± 1 SD		-33±169	1248±228	1281±350	614±102	
834	Mixed						
835	2005	35	-99 (17)	1068	1167	607	1.92
836	2006, burned	42	37 (14)	1111	1073	452	2.37
837	2007, defoliated	45	20 (20)	1012	992	419	2.37
838	Mean ± 1 SD		-14±74	1064± 50	1077± 88	493±100	
839	Pine						
840	2005	38	-178 (24)	1445	1623	761	2.13
841	2006	47	-165 (17)	1477	1642	757	2.17
842	2007, defoliated	58	-40 (7)	1362	1402	593	2.36
843	2008, burned	60	-48 (26)	1329	1377	617	2.23
844	2009	55	-158 (18)	1597	1755	764	2.30
845	Mean ± 1 SD		-118±68	1442±105	1560±164	699±86	
846	<hr/>						

847 NEE_c for 2005 to 2007 have been previously reported in Clark et al. 2010, and Et values have
848 been previously reported in Clark et al. 2012.

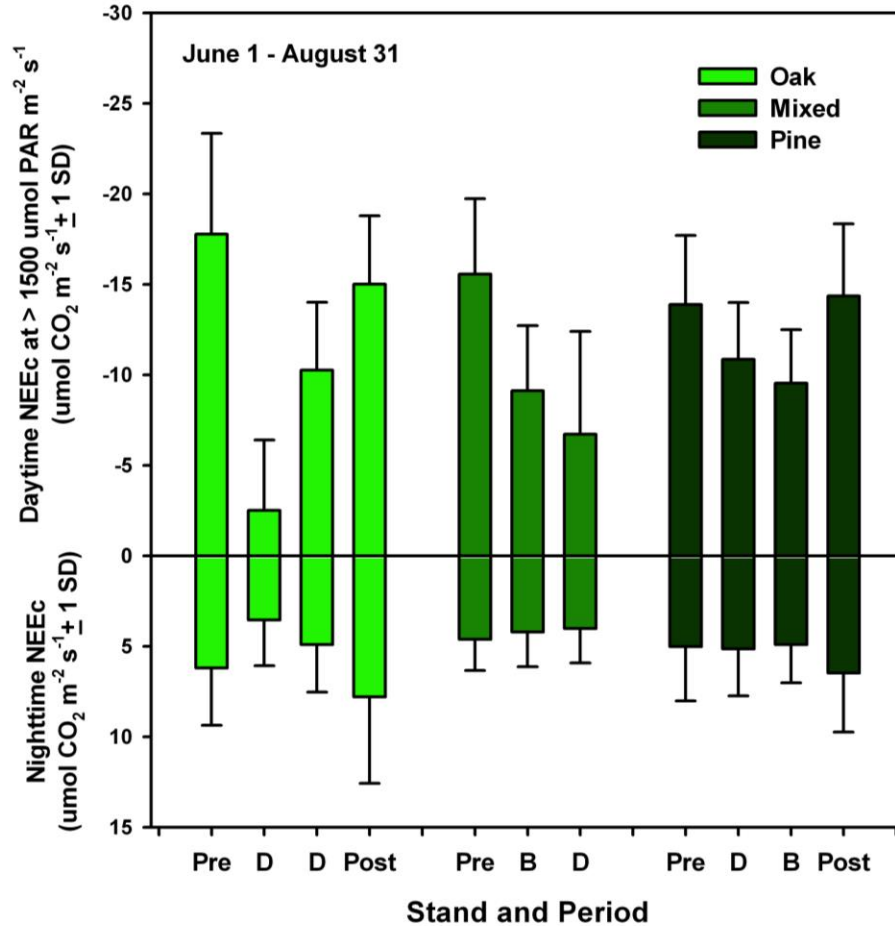


850

851 Figure 1. a) Maximum leaf area index (LAI; m² m⁻² ground area ± 1 standard deviation) and b)
 852 maximum nitrogen content in foliage (g N m⁻² ground area ± 1 standard deviation) during the
 853 summer at the oak, mixed, and pine stands from 2004 to 2009. Data are shown for understory,
 854 overstory and total LAI and N content. Pre = pre-disturbance, D = defoliation by Gypsy moth, B
 855 = burned in prescribed fire, Post = post-disturbance. Pre-disturbance at the oak stand was 2004-
 856 2006, complete defoliation by gypsy moth occurred in 2007, partial defoliation by gypsy moth

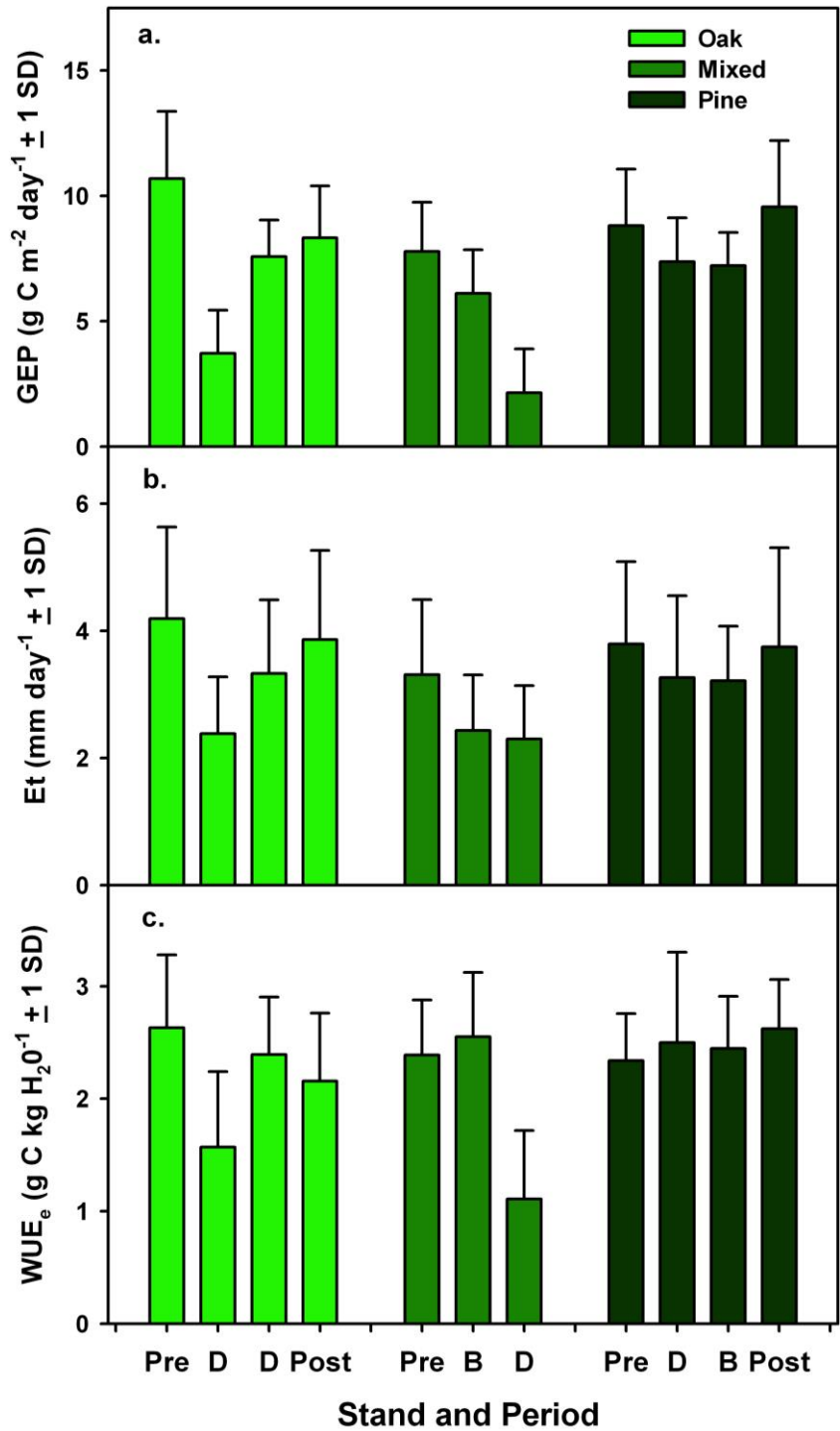
857 occurred in 2008, and post-disturbance was 2009. Pre-disturbance at the mixed stand was 2005,
858 a prescribed fire was conducted on Feb 9, 2006, and defoliation of deciduous species by gypsy
859 moth occurred in 2007. Pre-disturbance at the pine stand was 2005-2006, defoliation of
860 primarily understory vegetation by gypsy moth occurred in 2007, a prescribed fire was
861 conducted on March 23, 2008, and post-disturbance was 2009.

862



864

865 Figure 2. Daytime net CO₂ exchange ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) at $\geq 1500 \mu\text{mol PAR m}^{-2} \text{ s}^{-1}$ and mean
 866 nighttime net CO₂ exchange during the summer (June 1 – August 31) from 2005 to 2009 at the oak,
 867 mixed and pine stands. Pre = pre-disturbance, D = defoliation by gypsy moth, B = burned in
 868 prescribed fire, Post = post-disturbance. Statistics are in Table 3.

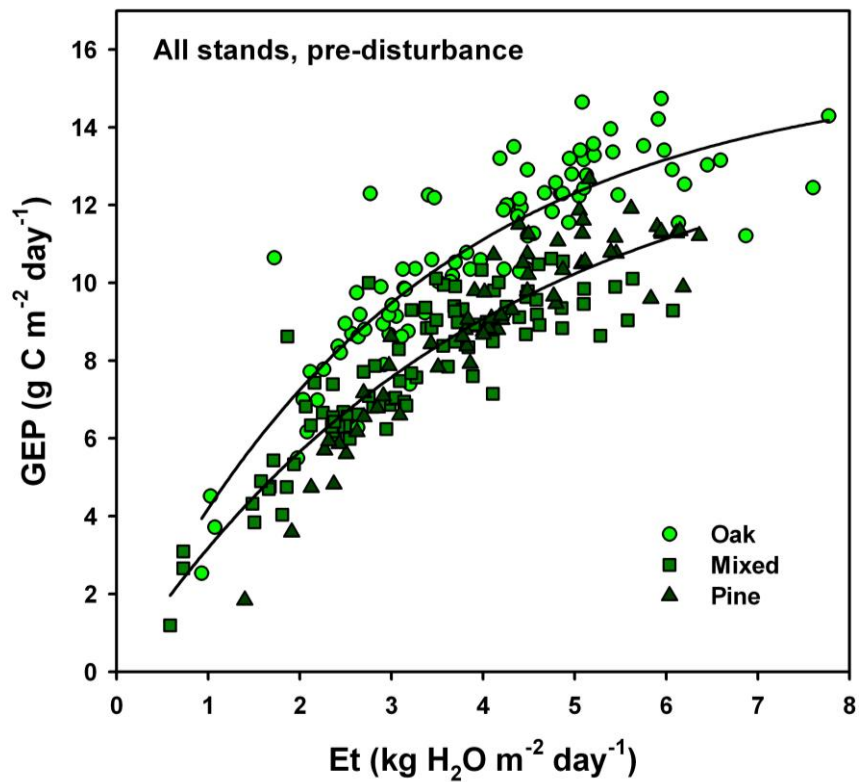


869
 870 Figure 3. (a) Gross ecosystem productivity (GEP, g C m⁻² day⁻¹), (b) daily evapotranspiration
 871 (Et, mm day⁻¹), and (c) ecosystem water use efficiency (WUE_e, g C mm Et day⁻¹) calculated for
 872 the oak stand from 2005-2009, the mixed stand from 2005-2007, and the pine stand from 2005-

873 2009 during the summer. WUE_e was calculated from the ratio of GEP to Et for dry canopy
874 conditions. Pre = pre-disturbance, D = defoliation by Gypsy moth, B = burned in prescribed fire,
875 Post = post-disturbance. Statistics are in Table 3.

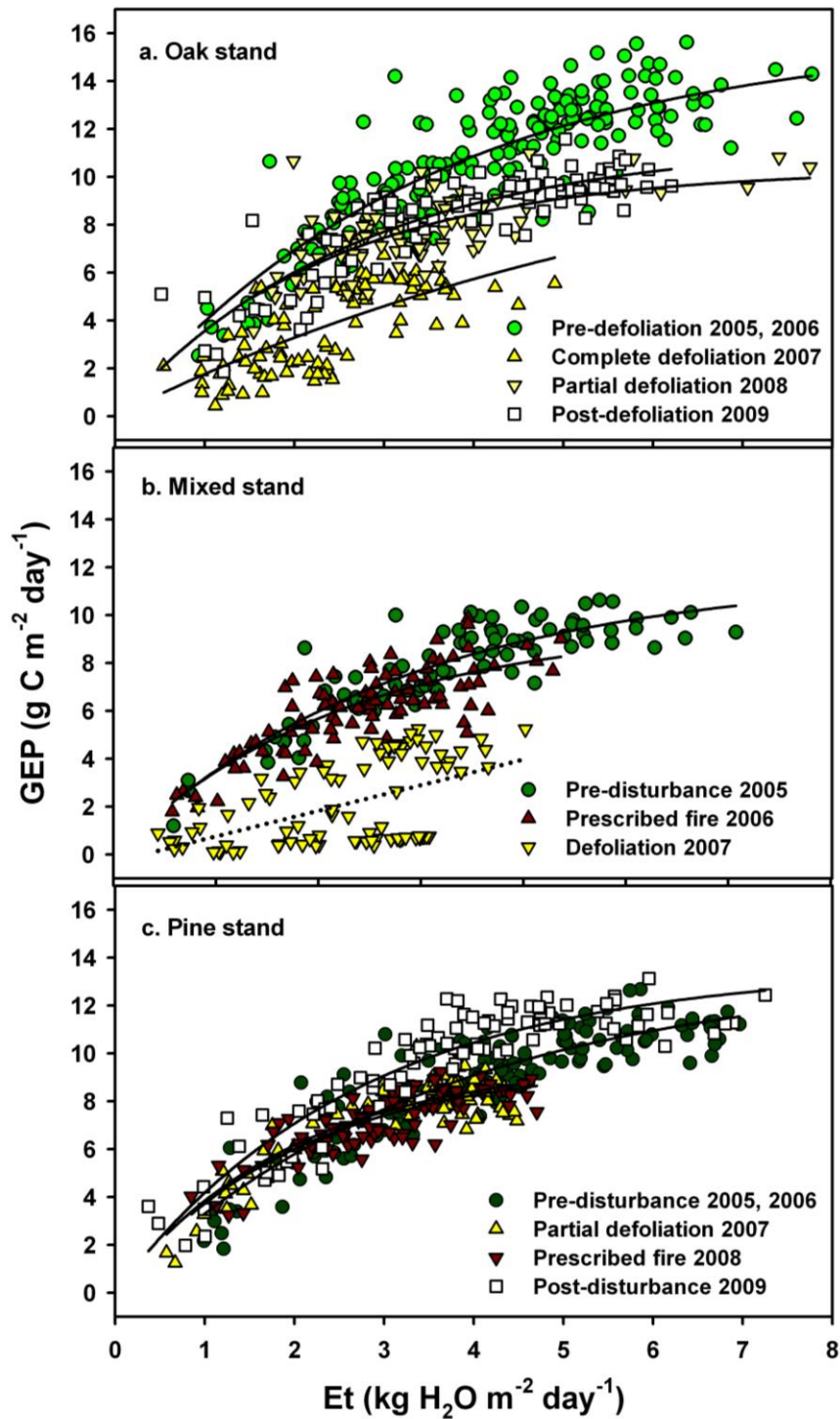
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878

879 Figure 4. The relationship between daily evapotranspiration (Et, mm day⁻¹) and daily gross
 880 ecosystem production (GEP, g C m⁻² day⁻¹) for the oak, mixed and pine stands from June 1 to
 881 August 31, 2005, before disturbance. Statistics are in Table 4.



882

883 Figure 5. The relationship between daily evapotranspiration (Et , mm day^{-1}) and daily gross
 884 ecosystem production (GEP , $\text{g C m}^{-2} \text{ day}^{-1}$) for the (a) oak stand from June 1 to August 31 for

885 005-2009, the (b) mixed stand from June 1 to August 31 for 2005-2007, and the (c) pine stand
886 from June 1 to August 31 for 2005-2009. Statistics are in Table 4.