## Authors' Response to Referees' Comments for BG-2014-263

## Contrasting Effects of Invasive Insects and Fire on Ecosystem Water Use Efficiency K. L. Clark, N. S. Skowronski, M. R. Gallagher, H. Renninger, and K. V. R. Schäfer

In our response to the Referees' comments, we first summarize the major concerns that the two Referees have with the original version of the manuscript. We then address each concern point by point, and detail how we have changed the revision of our manuscript.

### Summary of major concerns:

Reviewer #1 was concerned about our ambiguous use of the term "hydrologic fluxes", and how we concluded that hydrologic fluxes were unaffected during disturbance and recovery. Reviewer #1 asked why we thought that nighttime NEE was reduced at the oak stand relative to the mixed and pine stands during defoliation, and about why LAI was so low at the pine stand in the winter.

Both reviewers wanted us to support our gap-filling strategy; Reviewer #1 was concerned about the use of soil vs. air temperature to gap-fill nighttime NEE values and calculate  $R_{eco}$ , and Reviewer #2 was concerned about the use of PPFD data to gap-fill daytime values of NEE.

Reviewer #2 was concerned about the apparent overlap between the current manuscript submitted to Biogeosciences and an earlier publication in Agricultural and Forest Meteorology (Clark et al. 2012) by our group. Reviewer #2 suggested that the presentation of when disturbances occurred was difficult to determine. Most importantly, Reviewer #2 was concerned about the experimental design, and whether we could detect differences in  $WUE_e$  using three stands with different forest composition and disturbances through time. An additional concern was the apparent overlap in data presented in the text, tables and figures.

## Major comments Reviewer #1

1. One of the main conclusions (p. 9584) is that the carbon dynamics are much more sensitive to these disturbances than the "hydrologic fluxes". Do the hydrologic fluxes include run-off? Or only Et and groundwater recharge? A clearer definition of what is meant by "hydrologic fluxes" would be useful. Looking at Fig. 3 the trends in GEP and Et seem very similar...it's unclear how the conclusion that hydrologic fluxes were unaffected was reached.

Author response: Reviewer #1 is correct in pointing out that our use of the term "hydrologic fluxes" is ambiguous in the manuscript. We intended the term to include transpiration and evaporation which were measured using eddy covariance, but we now realize that this could also be interpreted as including groundwater recharge. It likely does not include run-off or overland flow because the topography is flat, and soil (0-20 cm depth) is approximately 94% sand and characterized by very high percolation rates at our three flux sites. Thus, run-off and overland flow are likely minimal at our three sites, and our primary hydrologic fluxes are Et and groundwater recharge. We have documented these at the oak-pine stand, using a combination of eddy flux, sap flux, and USGS weir and groundwater depth data (Schäffer et al. 2013).

Changes in the revised manuscript: We have changed the text in the revised manuscript to reflect the fact that we are referring to Et in the Introduction, and have defined "hydrologic fluxes" to include ground water recharge where appropriate in the revised version of this manuscript.

Authors' response: We certainly agree with Reviewer #1 in concluding that Et during the summer is reduced during and immediately following disturbance, although typically not to the extent that NEE or GEP are reduced. However, we based our conclusions regarding the effects of disturbance on Et vs. carbon dynamics on a number of longer-term observations, because we attempted to integrate the disturbance and recovery phases in our analyses. Much of the Introduction and Discussion sections do emphasize the recovery period following disturbance, and we have highlighted a number of specific examples throughout the manuscript, including;

1) Annual Et had recovered to pre-disturbance levels by 2009 at the oak-dominated stand, while annual NEE had not recovered by 2013 (we have added the 2013 value to the Discussion in the revised manuscript).

2) At the pine stand, we focused on Et and GEP pre- and post-disturbance. However, carbon dynamics should also include consumption losses during prescribed fires, and we did include consumption losses when estimating long-term Et vs. carbon dynamics in the Discussion section.

Changes in the revised manuscript: We have highlighted differences between Et, and NEE and GEP throughout the manuscript, and especially in the Discussion section.

# 2. In the nighttime NEE data shown in Fig. 2 the oak forest is largely affected by the disturbance whereas the other forests show a much smaller effect. Why are these forests acting so differently at night?

Authors' response: We believe that the difference observed in nighttime NEE among the three stands was a result of the extent of defoliation by gypsy moth among stands. The oak-pine stand was completely defoliated in 2007, so that from approximately June 1 to July 15<sup>th</sup>, 2007, foliar biomass in the canopy and understory, and thus respiration from these tissues, was very low. Minimal C assimilation occurred for an approximately six-week period, and this likely limited allocation of photosynthates to the roots and rhizosphere. We observed a progressive reduction in nighttime NEE as this occurred, despite the fact that soil temperature was approximately 1.5 to 2 °C greater than pre-defoliation periods, while air temperature was similar pre- and during defoliation. Overall, complete defoliation by gypsy moth in 2007 and partial defoliation in 2008 resulted in annual R<sub>eco</sub> values of 73% and 80% of predisturbance values. We note that a similar, although not as dramatic, reduction in Reco occurred at the flux site in the Fraser Experimental Forest in Colorado, USA, impacted by mountain pine beetle reported in Moore et al. (2013), likely because of reduced GEP. Defoliation by gypsy moth was less severe at the other two sites. At the mixed pine-oak stand, overstory oaks and understory vegetation were defoliated in 2007 but pines were not. At the pine-scrub oak stand, only understory oaks and shrubs were defoliated in 2007. At the latter two stands, LAI and thus C assimilation and autotrophic respiration during defoliation by gypsy moth were much higher than at the oak-dominated stand.

## 3. p.9572, I5-10, I think Falge 2001 used T.soil to determine Reco. Why did you choose to use air temperature and how much does that choice affect the results/conclusions?

Authors' response: We used either soil temperature or air temperature to calculate continuous  $R_{eco}$  data for each stand, depending on the season. During the dormant season, much of the CO<sub>2</sub> flux is a

result of forest floor, soil and root respiration, and we used continuous soil temperature data to gap-fill missing nighttime NEE data, and to calculate  $R_{eco}$ . During the growing season, foliage and other aboveground tissues are much more abundant, and contribute to nighttime NEE and  $R_{eco}$ . We used continuous air temperature data to gap-fill missing nighttime NEE and to calculate  $R_{eco}$  during these times.

When summed over the year, this "hybrid" approach typically results in intermediate  $R_{eco}$  values that are between those calculated using only soil or air temperature, and all values were within 10 % of each other. For example, in 2006 at the Oak stand annual  $R_{eco}$  calculated using only air temperature or soil temperature differed from  $R_{eco}$  calculated using the "hybrid" approach by +5% and -8%, respectively. In 2008 at the Oak stand,  $R_{eco}$  calculated using only air temperature or soil temperature differed by +4% and -7%, respectively. Other stands and years had similar relationships between  $R_{eco}$  values. For example,  $R_{eco}$  calculated using only air temperature or soil temperature at the mixed pine-oak stand in 2006 differed from the value calculated using the hybrid approach by +2% and -4%, respectively.

# *4. p.9567, I20-24, If Reco is relatively invariant to disturbances why does that produce large variations in NEE?*

Authors' response: NEE is the balance between photosynthesis and ecosystem respiration. Thus, the large differences in annual NEE that we and other authors have observed during and following disturbances are a result of the relatively large differences in photosynthesis (here calculated as GEP) pre- and post-disturbance, and relatively smaller changes in R<sub>eco</sub>.

Changes in the revised manuscript: We agree that lines 20-24 are not as clear as they could be, and have rewriten this sentence to make the link between variation in NEE and GEP clearer.

5. There are a few other studies related to the effect of beetle mortality on forests and how this affects ecosystem fluxes that you might consider to include in the references (these are listed at the end of this review). These studies typically involve more dramatic disturbances, but perhaps add some insight.

Authors' response: Thank you, we will incorporate these into the revised version of this manuscript.

Changes in the revised manuscript: We have added a number of these suggested references to the revised manuscript.

*Minor Comments:* ————— \* why does NEE have the subscript "c"? It seems like this is not necessary.

Authors' response: We have used the "c" in  $NEE_c$  as an abbreviation for net ecosystem exchange of  $CO_2$  in this and previous publications.

Changes in the revised manuscript: We have removed the "c" from NEE throughout the text of the revised manuscript.

\* p. 9568, I.25, define "SD" first time it's used. Also, sometimes "SE" is used which should also be defined.

Authors' response and changes to the revised manuscript: We now define SD (standard deviation) and SE (standard error) at their first use in the text, tables and figure legends.

\* sect 2.1, some description of how far apart the sites are would be useful—do the tower footprints have any overlap?

Authors' response: The three flux tower sites are separated by approximately 15 to 20 km, so that footprints do not overlap.

Changes to the revised manuscript: We have added the following sentence to the Methods section in the revised manuscript: "Stands were located  $17.2 \pm 2.8$  km apart (mean  $\pm 1$  SD) in an approximate triangle formation."

\* p.5970, l.13 (and other places), for some reason people started to call this company "Li-Cor". It should be LI-COR.

Authors' response and changes to the revised manuscript: We have edited the Methods section in the revised version of the manuscript to include "LI-COR"

\* p.9571, l.5-8 (also, p.9572, l.25), what percentage of data were gap-filled? Was it similar for all three stands?

Authors' response: The percentage of gap filled data ranged from 44 to 52% at the oak stand, 55 to 65% at the mixed stand, and 40 to 62% at the pine scrub oak stand.

Changes to the revised manuscript: We have added these details to Table 6, where we present annual NEE, GEP and Et data. We now report the % of filtered NEE data used to calculate annual NEE, R<sub>eco</sub> and GEP for each year and stand.

\* p.9573, l.1, how big was the fetch?

Authors' response: The average fetch at all three stands was greater than 900 m, with the lowest minimum value at the mixed stand, where a managed stand with significantly lower tree density due to thinning was located approximately 530 meters north of the tower. A low-density housing development with a partially intact forest canopy was located approximately 1260 m to the southeast of the tower in the oak-dominated stand, although wind rose analyses indicated that this was not a predominant wind direction during our study.

Changes to the revised manuscript: We measured minimum fetch distance, defined as the distance to the nearest change in land use (oak stand), forest type (mixed stand), a small clearing (pine stand), and included these distances in the revised manuscript. We added the following sentence to the Methods section: "Minimum fetch was approximately 1260, 530, and 690 m at the oak, mixed, and pine stands, respectively."

\* p.9573, l.28, why was 10mm of precip chosen for the cut-off (this seems like a fair amount of rain).

Authors' response: Because we wanted to produce and analyze large datasets for daily WUE<sub>e</sub>, we retained as many daily values as possible. When we analyzed daily precipitation data to exclude days where we assumed the canopy was not dry, 10 mm day<sup>-1</sup> represented an obvious gap between dry days and those with light precipitation, and days with heavy convective precipitation, which were excluded from further analyses. Most events during the summertime were convective precipitation, and were typically brief in duration and then followed by a drying period characterized by clear sky conditions. Long-term events, such as those associated with tropical storm systems towards the end of the summer, were typically excluded from further analyses.

# \* p.9574, l.17-20, seems surprising that the LAI for the pine forest changed so much going from summer to winter...any explanation for this?

Authors' response: Pitch pine retains needle cohorts for approximately 18 to 20 months. Needles from the current year cohort expand relatively late, and are not completely expanded until July 1 on most years. Needle senescence in the following year starts in late October, and by December and January, many needles from the "older" cohort have already abscised. Thus, during the winter months, only one cohort of needles is present. Nearly all of the hardwood tree species in the three upland forests are deciduous, as are the dominant shrubs and scrub oaks in the understory.

At the oak-dominated stand, scattered Shortleaf and Pitch pines account for some leaf area within the footprint of the flux tower, and occur in the tree census plots, thus LAI is  $> 0 \text{ m}^2 \text{ m}^{-2}$  even during the winter months.

## \* p.9576, I.7 (and elsewhere)...there are references to Fig 3a, 3b, and 3c, but in Fig 3 there is no "a", "b", or "c".

Authors' response and changes to the revised manuscript: We apologize for the omission. We have added "a", "b", and "c" to the appropriate panels on Figure 3.

## \* p.9584, I.3, how do you know this all goes into groundwater?

Authors' response: We believe that run-off or overland flow at our three flux sites is minimal, because the topography is flat and soil (0-20 cm depth) is approximately 94% sand. Percolation rates are very high in these coarse-grained soils, thus our primary hydrologic fluxes are Et and groundwater recharge. We have recently documented these at the oak-pine stand, using a combination of eddy flux, sap flux, and USGS weir and groundwater depth data (Schaffer et al. 2013).

## \* p.9584, l.13: Does recent data from 2013 show how the recovery has progressed?

Authors' response and changes to the revised manuscript: NEE at the oak stand in 2013 was only -59 g C m<sup>-2</sup>. We have added this value to the Discussion section in the revised manuscript, where we report data from years following 2009. The Pine stand was burned in a second prescribed fire conducted on March 15, 2013, thus 2012 was the last "undisturbed" year at this stand. Annual NEE at this stand was -94 g C m<sup>-2</sup> in 2013.

\* p.9584, l.14, why do you call this "actual" Reco?

Authors' response and changes to the revised manuscript: We intended this to mean measured  $R_{eco}$ , although this is really an estimated term. We have omitted the term "actual" in the revised manuscript.

\* p.9585, I.7, change "probability" to "likelihood"

Authors' response and changes to the revised manuscript: We have corrected this in the revised manuscript.

\* Table 3, define the columns "df" and "F"

Authors' response and changes to the revised manuscript: We have now defined these abbreviations in the revised manuscript. We also note that "degrees of freedom" is confusing in the online version of the manuscript, because commas were omitted between values. We have corrected these in the revised manuscript.

A few other papers which may be relevant: ————————————

*Biederman, J. A, et al 2014: Increased evaporation following widespread tree mortality limits streamflow response. Water Resources Research, DOI: 10.1002/2013WR014994* 

*Levy-Varon, J. H, et al. 2014: Rapid rebound of soil respiration following partial stand disturbance by tree girdling in a temperate deciduous forest, Oecologia, v174.* 

Moore, D.J.P, Trahan, N.A., et al 2013: Persistent reduced ecosystem respiration after insect disturbance in high elevation forests. Ecology Letters, doi:10.1111/ele.12097. (and references therein).

## Major and minor comments Reviewer #2

Major comment #1: To maintain three flux tower sites requires great amount of work and provides valuable data for the scientific community to use. I have many respects on this type of effort.

However, I see a previous paper by the same list of authors in Agriculture and Forest meteorology in 2012, where they essentially used the same data and address a similar question related to the disturbance impacts due to fire and insects for GPP and ET. For the current manuscript, it seems to me that the authors, instead of focusing on GPP and ET previously, focus more on the ratio between the two (WUE=GPP/ET). I am thus worried about the added value of the current manuscript compared with the pervious AFM paper. It is a very important issue that the authors need to consider and address in general in the revised version.

Authors' response: We appreciate the complement for maintaining the flux tower sites. This was the primarily the responsibility of the lead author. However, we believe that Reviewer #2 is mistaken in his or her assertion that the paper published in Agricultural and Forest Meteorology in 2012 contains NEE, GPP and GEP data. This paper only contains energy balance and Et data for the three stands, thus the NEE and GEP data, and the analyses of the interactions between carbon dynamics and Et are unique to the current manuscript under consideration for publication in Biogeosciences.

One major thing that the authors need to clarify for the current manuscript is a figure similar as Fig 1 in their AFM paper, which clearly inform the readers what disturbance types have happened for the three different sites. In the current manuscript, it is hard to find this information in the methods section. At least for me, I have to rely on the Fig 1 in AFM paper to clearly know the disturbance history of the three sites. What's strikes me is that two sites have two different disturbances within two continuous years from Fig 1 in AFM, which I don't find any such information in the current manuscript. Please add the necessary information to clarify the natural history and disturbance of the three sites during the study period.

Authors' response: We believe that Figure 1 in the current paper documenting changes in LAI and canopy and understory Nitrogen mass in foliage is actually not unlike Figure 1 in the Agricultural and Forest Meteorology paper, with the exception that the earlier pre-disturbance years are averaged together. Pre-disturbance periods, each disturbance, and post-disturbance periods are designated in the Figures as Pre, D, B and Post, and defined in the legend as Pre = pre-disturbance, D = insect defoliation, B = prescribed burn, and Post = post-disturbance.

Changes in the revised manuscript. We have further clarified the disturbance histories of each stand by adding the following information to the legend of Figure 1 in the revised version of the manuscript: "Pre-disturbance at the oak stand was 2004-2006, complete defoliation by gypsy moth occurred in 2007, partialy defoliation by gypsy moth occurred in 2008, and post-disturbance was 2009. Pre-disturbance at the mixed stand was 2005, a prescribed fire was conducted on Feb 9, 2006, and defoliation by Gypsy moth occurred in 2007. Pre-disturbance at the pine stand was 2005-2006, defoliation by Gypsy moth occurred in 2007, a prescribed fire was conducted on March 23, 2008, and post-disturbance was 2009."

Another major comment that I have is related to the hypothesis testing. Ideally control experiments and treatment experiments should go on parallel, and their difference provides the possibility to test the hypothesis. Here the confounding factors related to WUE change under disturbance at least include: (1) different species or types of forest; (2) different disturbances; (3) recovery length. Only using the three sites data, it is almost impossible to fix two conditions while testing WUE variations caused by the third condition. I totally understand that it is almost impossible to do this type of control/ treatment experiments using flux towers (only one or two examples that I know have done this). That is being said, the authors need to reconsider their science question, as the current data may not possibly tease out different factors in the current hypothesis.

Authors' response: We fully acknowledge that our experimental design does not incorporate spatial "controls" for each stand within years, where, for example, one oak-dominated stand would be defoliated and a second oak-dominated stand would serve as an undefoliated "control" stand. However, we were careful to pose our research objectives as questions, which we believe can be addressed unambiguously using the current experimental design and appropriate time series analyses. Our first question, "how do GEP and WUE<sub>e</sub> vary among oak and pine-dominated stands growing in the same climate and soil type before disturbance?" can be addressed with the current experimental design. We were cognizant of the differences in photosynthetic capacity among the dominant overstory species when we posed this question (e.g., Renninger et al. 2013, 2014a). We also controlled for a number of important factors; stand age as reflected in the mean age of dominant overstory trees was similar

among stands, understory vegetation consisted of similar species among stands (although in different proportions), and soil factors and climate were also quite similar among stands.

Our second question seems to be the major issue that Reviewer #2 is concerned about. We asked "How are LAI and canopy N content linked to GEP and WUE<sub>e</sub> during recovery from insect defoliation and prescribed fire in these stands?" To understand how GEP and WUE<sub>e</sub> varied with disturbance, we have used multi-year datasets collected at each stand, which included at least one full year of data pre-disturbance. We used the appropriate statistical test employing time series analyses with adjusted error structures, where appropriate. We were cognizant of the fact that half-hourly data violated the assumption of independence, and developed a program to randomly sub-sample daytime or nighttime NEE data for ANOVA analyses. Daily data was tested using ANOVA analyses with the appropriate error structure to account for the lack of independence among variables. Correlation analyses were between independent and normally distributed values, although sample sizes were low. We feel that the observed patterns of NEE, GEP, Et and WUE<sub>e</sub> with disturbance were clear, and that the experimental design did not preclude the drawing of interesting conclusions, especially with regard to the linkages between the eddy covariance data and LAI and foliar N content.

While we do acknowledge Reviewer #2's concerns about the experimental design, we would also like to take the opportunity to point out that some benefits exist to the use of multi-year data at the same sites that would be difficult to achieve using other flux sites (assuming that they were in other areas). For example, climate and meteorological variables were nearly identical across stands, including precipitation amounts and timing. Cloudiness and thus integrated incident radiation was also similar among stands. As discussed above, soil factors are nearly identical among stands, down to 1 meter depth. Instrumentation and data processing were also nearly identical, and operated by the same personnel throughout the study at all three sites.

The manuscript has quite a big redundancy of showing the similar information in three places: (1) the results section by directly citing the numbers, (2) in the tables, and (3) in the figures. I strongly recommend the authors to simplify their presentation by reducing this redundancy.

Authors' response: We were careful not to report any values in the tables that were reported in the figures, with the exception of Table 6 where we provide annual values for NEE,  $R_{eco}$ , GEP and Et. Rather, we reserved tables for general stand descriptions (Table 1), energy balance statistics (Table 2), and tests of statistical significance and model parameters (Tables 3-5). We do report some selected mean values in the text of the Results section that are also presented in the Figures. We do this to emphasize some important points only.

# Finally, a conclusion section is strongly recommended, as the discussion is very long and a better summary of this study is needed in a concise manner.

Authors' response: This is a good point and we will include a Conclusions section in the revised manuscript.

Changes in the revised manuscript: We have included a Conclusions section in the revised manuscript to synthesize the research presented.

## Specific comments:

## 1) I suggest to use "insect-induced defoliation" instead of "defoliation" whenever possible. "Defoliation" could happen as an internal phenology rhythm of plants themselves, or be caused by disturbance. Only using "defoliation" alone causes confusions.

Authors' response: It is true that defoliation does occur due to phenological changes, although this would be better referred to as leaf or needle abscission.

Changes in the revised manuscript: In a number of location in the manuscript, we have included the phrase "gypsy moth" with "defoliation" to highlight the fact that summer defoliation was insect induced.

# 2) Page 9574, Line 5-9: using PAR and NEE to gap fill needs some references to support. I am not quite convinced about this gap-filling approach.

Authors' response and changes in the revised manuscript: We have added two references to the revised manuscript to support our use of half-hourly PPFD from the continuous meteorological data to gap-fill missing half-hourly NEE data during the daytime. We note that models developed to predict NEE during the daytime from PPFD data were highly significant for all three sites pre-disturbance, and  $r^2$  values ranged from 0.67 to 0.82 for the relationship between NEE and PPFD during the daytime in the summer (from Clark et al. 2010).

## **Contrasting Effects of Invasive Insects and Fire on Ecosystem** 1 Water Use Efficiency 2 3 K. L. Clark<sup>1a</sup>, N. S. Skowronski<sup>2</sup>, M. R. Gallagher<sup>1</sup>, H. Renninger<sup>3</sup>, and K. V. R. 4 Schäfer<sup>3</sup>. 5 6 [1]{Silas Little Experimental Forest, USDA Forest Service, 501 Four Mile Road, New Lisbon, NJ 7 8 08064, USA} 9 [2] {Northern Research Station, USDA Forest Service, 180 Canfield St., Morgantown, WV 26505, 10 USA} [3] { Rutgers University, Dept. of Biological Sciences, 195 University Ave., Newark, NJ 07102, 11 USA} 12 Correspondence to: K. L. Clark (kennethclark@fs.fed.us) 13

14

## 15 Abstract

We used eddy covariance and meteorological measurements to estimate net ecosystem exchange of 16 17 CO<sub>2</sub> (NEE), gross ecosystem production (GEP), evapotranspiration (Et), and ecosystem water use efficiency (WUE<sub>e</sub>; calculated as GEP/Et during dry canopy conditions) in three upland forests in the 18 New Jersey Pinelands, USA, that were defoliated by gypsy moth (Lymantria dispar L.) or burned 19 using prescribed fire. Before disturbance, half-hourly daytime NEE during full sunlight conditions, 20 daily GEP, and daily WUE<sub>e</sub> during the summer months were greater at the oak-dominated stand 21 compared to the mixed or pine-dominated stands. Both defoliation by gypsy moth and prescribed 22 burning reduced stand leaf area and nitrogen mass in foliage. During complete defolation in 2007 at 23 the oak stand, NEE during full sunlight conditions and daily GEP during the summer averaged only 24 14% and 35% of pre-disturbance values. Midday NEE and daily GEP then averaged 58% and 85%, 25 26 and 71% and 78 % of pre-defoliation values one and two years following complete defoliation, respectively. Prescribed fires conducted in the dormant season at the mixed and pine-dominated 27

stands reduced NEE during full sunlight conditions and daily GEP during the following summer to 28 57% and 68%, and 79% and 82% of pre-disturbance values, respectively. Daily GEP during the 29 summer was a strong function of N mass in foliage at the oak and mixed stands, but a weaker 30 function N in foliage at the pine-dominated stand. Ecosystem WUE<sub>e</sub> during the summer at the oak 31 and mixed stands during defoliation by gypsy moth averaged 1.6 and 1.1 g C kg  $H_2O^{-1}$ , representing 32 60% and 46% of pre-disturbance values. In contrast, prescribed fires at the mixed and pine-33 dominated stands had little effect on WUE<sub>e</sub>. Two years following complete defoliation by gypsy 34 moth,  $WUE_e$  during the summer averaged 2.1 g C kg H<sub>2</sub>O<sup>-1</sup>, 80% of pre-disturbance values.  $WUE_e$ 35 was correlated with canopy N content only at the oak-dominated stand. Overall, our results indicate 36 that WUE<sub>e</sub> during and following non-stand replacing disturbance is dependent on both the type and 37 time since disturbance. 38

39

#### 40 **1** Introduction

41 Understanding the effects of disturbance and recovery on stand productivity and evapotranspiration (Et) is essential for accurate estimates of carbon storage and water yield in 42 forest ecosystems. Successful forest management decisions in the future will need to consider 43 the impacts of invasive insects, fire, windstorms and other perturbations when evaluating trade-44 offs between maximizing carbon sequestration to mitigate the effects of climate change, while 45 simultaneously providing water for agriculture and municipal needs. A useful metric for 46 characterizing the interactions between CO<sub>2</sub> assimilation and water use by plants is water use 47 efficiency (WUE), defined as the amount of C assimilated per unit of water transpired (Farquhar 48 and Sharkey 1982). At the ecosystem scale, a related metric is ecosystem water use efficiency 49 50 (WUE<sub>e</sub>), which can be calculated from eddy covariance data as gross ecosystem productivity (GEP) per unit Et during dry canopy conditions (Law et al. 2002, Kuglitsch et al. 2008, Jassal et al. 2009). 51

GEP and Et are reduced immediately following major disturbances in forests, and remain below pre-disturbance levels for some period of time during recovery (Thornton et al. 2002, Clark et al. 2004, Mkhabela et al. 2009, Amiro et al. 2010, Dore et al. 2010, Hicke et al. 2012). Recovery of GEP <u>following disturbance</u> is strongly linked to increases in leaf area and foliar nutrient capital, as well as climatic variation (Amiro et al. 2010, Thornton et al. 2002). In comparison, Et rates typically recover more rapidly following disturbance, in part because of the increased importance of

evaporation from litter and soil in disturbed stands (Gholz and Clark 2002, Mkabela et al. 2009, 58 Bierderman et al. 2014). As a consequence, WUE<sub>e</sub> may require a number of years to recover to 59 pre-disturbance values following severe disturbances such as clearcut harvesting or severe wildfires 60 (Clark et al. 2004, Makhebela et al. 2009, Dore et al. 2010). Ecosystem respiration (R<sub>eco</sub>) has been 61 shown to be relatively invariant through time following a wide range of disturbances and intensities 62 (Amiro et al. 2010, Moore et al. 2013, Reed et al. 2014). Thus, , resulting in large variations in net 63 CO<sub>2</sub> exchange (NEE<sub>e</sub>) can occur during and immediately following disturbance during the recovery 64 process (Amiro et al. 2010). Overall, an important result of these research efforts is that GEP and 65 NEE<sub>e</sub> are typically more sensitive to severe disturbances than Ethydrologic fluxes during the 66 recovery phase in forest ecosystems. 67

Fewer studies have estimated changes in GEP and Et following non-stand replacing disturbances such as insect defoliation or low intensity fires, limiting our understanding of patterns of forest productivity and water use during recovery. These events can reduce leaf area, alter forest floor mass, and affect the distribution of nutrients, but typically do not significantly reduce overall stand biomass (Lovett et al. 2006, Clark et al., 2010, 2012, 2014). An important question becomes how closely are the recovery of GEP and WUE<sub>e</sub> related to leaf area and canopy nutrient status following non-stand replacing disturbances?

75 In this study, we quantified the effects of insect defoliation and prescribed fire on NEE, Reco, GEP and Et in three upland forests in the Pinelands National Reserve in southern New Jersey, USA, from 76 77 2005 to 2009. We used biometric measurements to quantify leaf area index (LAI), biomass 78 accumulation, and canopy and understory N pools in foliage. Eddy covariance and meteorological measurements were used to estimate NEE<sub>e</sub>, R<sub>eco</sub>, GEP and Et at half-hourly, daily and annual time 79 steps. We then used flux data collected during dry canopy conditions in the summer to calculate 80 WUE<sub>e</sub> for pre- and post-disturbance periods. Finally, we evaluated factors contributing to 81 temporal variability in GEP, Et and WUE<sub>e</sub> in each stand as they recovered from disturbance. We 82 asked; 1) how do GEP and WUE<sub>e</sub> vary among oak and pine-dominated stands growing in the 83 same climate and soil type before disturbance, and 2) how are LAI and canopy N content linked 84 to GEP and WUE<sub>e</sub> during recovery from non-stand replacing disturbances (gypsy moth insect 85 defoliation and prescribed fire) in these stands? 86

### 88 2 Methods

## 89 2.1 Research sites

Research sites were located in Burlington and Ocean Counties in the Pinelands National Reserve 90 (PNR) in southern New Jersey, USA. The PNR comprises 445,000 ha of upland and wetland forest, 91 and is the largest continuous forested landscape on the Northeastern Coastal Plain. The climate is 92 93 cool temperate, with mean monthly temperatures averaging 0.3 and 24.3 °C in January and July, respectively (1980-2009; State Climatologist of New Jersey). Average annual precipitation is 94 95  $1159 \pm 156$  mm (mean  $\pm 1$  sStandard deviation; SD), approximately half of which is estimated to return to the atmosphere as evapotranspiration (Et; Rhodehamel 1979, Dow 2007, Clark et al. 96 97 2012). Soils of the Kirkwood and Cohansey formations are sandy, coarse-grained, and have extremely low nutrient status and cation exchange capacity (Tedrow 1986). Although commercial 98 forestry is limited in the PNR, upland forests are characterized by frequent disturbances such as 99 wildfires and prescribed burns (Little and Moore 1949, Forman and Boerner 1981), wind events 100 101 (Matlack et al. 1993), and insect defoliation events (Clark et al. 2010), all of which can significantly reduce LAI and affect the distribution of nutrients within stands. 102

Upland forests comprise 62 % of the forested area in the PNR, and are composed of three major 103 communities; 1) oak-dominated stands, consisting of chestnut oak (Q. prinus L.), black oak 104 (Quercus velutina Lam.), white oak (Q. alba L.), scarlet oak (Q. coccinea Muenchh.), and 105 scattered pitch pine (*Pinus rigida* Mill.) and shortleaf pine (*P. echinata* Mill.), 2) mixed pine-oak 106 107 stands, with pitch pine and mixed oaks in the overstory, and 3) pitch pine-dominated stands, with few overstory oaks but abundant scrub oaks (Q. marlandica Münchh., Q. ilicifolia Wangenh.) in 108 the understory (McCormick and Jones 1973, Lathrop and Kaplan 2004, Skowronski et al. 2007). 109 Ericaceous shrubs occur in the understory in all stands, primarily huckleberry (Gaylussacia 110 baccata (Wangenh.) K. Koch) and blueberry (Vaccinium spp.). Sedges, mosses and lichens also 111 occur in the understory. 112

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### 114 **2.2 Biometric measurements**

Three intermediate age stands were selected for intensive study; an oak-dominated stand at the Silas Little Experimental Forest in Brendan Byrne State Forest, a mixed pine-oak stand on the

Department of Defense McGuire-Dix-Lakehurst Base, and a pine-dominated stand in the New 117 Jersey Division of Fish and Wildlife's Greenwood Wildlife Management Area (Table 1; 118 Skowronski et al. 2007, Clark et al. 2010, 2012), referred to below as the oak, mixed, and pine 119 stands, respectively. Stands were located  $17.2 \pm 2.8$  km apart (mean  $\pm 1$  SD) in an approximate 120 triangle formation. Stands were selected to represent the dominant age class (75 - 95 years) of the 121 three major upland forest types in the PNR, based on USFS Forest Inventory and Analysis data 122 We randomly located five circular 201 m<sup>2</sup> forest census plots within 100 m of (www.FIA.gov). 123 the eddy covariance tower in each stand (Table 1). Annual measurements of tree diameter at breast 124 height (1.37 m) and tree height were conducted for all stems  $\geq 5.0$  cm dbh in each plot, and tree 125 biomass was estimated from published allometric relationships (Whittaker & Woodwell 1968, 126 Skowronski et al. 2007). Fine litterfall was collected approx. monthly when present from two 0.42 127  $m^2$  wire mesh traps adjacent to each tree census plot, for a total of n = 10 traps in each stand. 128 Litterfall was separated into needles, leaves, stems, reproductive material and frass from trees and 129 shrubs, dried at 70 °C and then weighed. Ten to 20 clip plots  $(1.0 \text{ m}^2)$  located randomly within 200 130 m of each tower were harvested during the time of peak biomass in mid-summer every year to 131 estimate the above ground biomass of understory shrubs and oaks < 2 m tall. Understory vegetation 132 samples were separated into leaves, needles, stems and reproductive material, dried at 70 °C and 133 then weighed. Specific leaf area (SLA;  $m^2$  g dry weight<sup>-1</sup>) for each major species was measured 134 with a leaf area meter (LI-3000a, LIi-CORor Inc., Lincoln, Nebraska, USA) and a conveyer belt 135 136 (LI-3050c, Lli-CORor Inc.) using fresh leaf, needle or litterfall samples, which were then dried at 70 °C and weighed. Maximum annual canopy leaf area index (LAI; m<sup>2</sup> m<sup>-2</sup> ground area) was 137 138 estimated for each species by multiplying litterfall mass by the appropriate SLA value and then summing results for all species. Projected leaf area of pine needle fascicles was multiplied by  $\pi$  to 139 140 calculate an all-sided LAI (e.g., Gholz et al. 1994). Understory LAI was estimated by multiplying foliage mass obtained from each clip plot by the corresponding SLA values. 141

Canopy and understory foliage were sampled for N content at the time of peak leaf area during the summer at each stand throughout the study. The oak stand was completely defoliated by gypsy <u>moth</u> prior to maximum leaf area during the growing season in 2007, therefore foliage was sampled in mid-July following the second leaf flush. Oven-dry samples of live foliage were ground using a Wiley mill (Thomas Scientific, Swedesboro, NJ, USA) and digested along with appropriate standards using a modified Kjeldahl method (Allen 1989). An Astoria 2 Analyzer (Astoria-Pacific International, Clackamas, OR, USA) was used to measure the ammonium concentration of each
sample, and results were converted to N concentration in foliage. Nitrogen mass (g N m<sup>-2</sup> ground
area) in canopy and understory foliage was calculated for dominant species by multiplying species-

- specific N concentrations by corresponding estimates of foliar biomass (e.g., Hoover 2008).
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## 153 **2.3** NEE<sub>e</sub>, GEP, Et, and water use efficiency

Net ecosystem exchange of  $CO_2$  (NEE<sub>e</sub>) and latent heat flux ( $\lambda E$ ) were measured using eddy covariance systems mounted on towers above the canopy at each stand, and then gap-filled to estimate daily to annual NEE<sub>e</sub> and Et (Falge et al. 2001, Clark et al. 2010, 2012). Ecosystem respiration (R<sub>eco</sub>) was calculated for each site using continuous half-hourly air (growing season) or soil (dormant season) temperature data and an exponential equation to predict the temperature dependence of respiration developed from nighttime NEE<sub>e</sub> measurements. We summed NEE<sub>e</sub> and R<sub>eco</sub> at daily and annual time scales to estimate gross ecosystem production, GEP.

$$161 \quad GEP = NEE_e + R_{eco} \tag{1}$$

Ecosystem water use efficiency (WUE<sub>e</sub>; g C kg  $H_2O^{-1}$ ) was defined as the ratio of daily gross ecosystem productivity (GEP) to evapotranspiration (Et) during dry canopy conditions.

164 
$$WUE_e = GEP/Et$$
 (2)

Meteorological and eddy flux measurements were made from pairs of overstory (16 or 18.5 m) 165 166 and understory (3 m) towers in each stand. Shortwave radiation (R<sub>g</sub>; LI-200, L<u>I</u>i-C<u>OR</u>or, Inc.), photosynthetically active radiation (PAR; LI-190, Lli-CORor, Inc.), net radiation (R<sub>net</sub>; NRLite, 167 Kipp and Zonen, Inc., Delft, the Netherlands), air temperature and relative humidity (HMP45, 168 Vaisala, Inc., Woburn, MA, USA), windspeed and direction (05013-5, R. M. Young Co., 169 170 Traverse City, MI, USA), and precipitation (TE525, Texas Electronics, Inc., Dallas, TX, USA) were measured at the top of each overstory tower and at 2 m height on each understory tower. 171 Soil heat flux was measured using three heat flux transducers (HFT-3.1, Radiation and Energy 172 Balance Systems, Inc., Seattle, WA, USA) buried at 10 cm depth within 10 m of the towers. Soil 173 temperature (CS-107 or CS-109, Campbell Scientific, Inc., Logan, UT, USA) was measured at 5 174 cm depth in at least three locations at each stand. Meteorological data were recorded at half-175

hourly intervals with automated data loggers (CR10x, CR23x and CR1000, Campbell Scientific,

177 Inc.). A complete description of sensor type and location appears in Clark et al. (2012).

Eddy covariance systems were composed of a 3-dimensional sonic anemometer (Windmaster 178 179 Pro, Gill Instruments Ltd., Lymington, UK, or RM 80001V, R. M. Young, Inc.), a closed-path infrared gas analyzer (LI-7000, LI-CORor Inc.), a 5 m long, 0.4 cm ID teflon coated tube and an 180 air pump (UN726-FTP, KNF-Neuberger, Trenton, NJ, USA). 10-hz data were recorded on lap-181 top computers at each stand. The sonic anemometer was mounted 4 m above the canopy at each 182 stand. The inlet of the air sampling tube was located between the upper and lower sensors of the 183 sonic anemometer, and air was drawn through the LI-7000 at a rate of approx. 8.0 L min<sup>-1</sup> so that 184 the mean lag time was  $\leq 2.5$  sec. The LI-7000's were calibrated every 2-10 days using CO<sub>2</sub> 185 traceable to primary standards and a sling psychrometer or a LI-610 dew point generator. Net 186  $CO_2$ , H, and  $\lambda E$  fluxes were calculated at half-hour intervals using the EdiRe program 187 188 (Edinburgh, UK). Barometric pressure data (PTB 110, Vaisala, Inc.) was then used to calculate fluxes at ambient atmospheric pressure. The flux associated with the change in storage of CO<sub>2</sub> in 189 190 the air column beneath the sonic anemometer was estimated using top of tower and 2-m height 191 measurements (LI-840, LIi-CORor Inc.) or a profile system with inlets at 0.2, 2, 5, 10, 15, and 18.5 m height (oak stand only). Half-hourly NEE<sub>C</sub> was then calculated as the sum of net  $CO_2$ 192 flux  $(f_{CO2})$  and the storage flux for each half hour period. Data were filtered for low turbulence 193 conditions when friction velocity (u<sup>\*</sup>; m s<sup>-1</sup>) was < 0.2 m s<sup>-1</sup> (Falge et al. 2001), when 194 precipitation occurred, and for instrument malfunction. All meteorological and eddy flux data 195 are available from the AmeriFlux web site (http://public.ornl.gov/ameriflux; US-slt, US-dix, US-196 197 ced).

The three extensive, relatively flat stands had near ideal fetch for above-canopy eddy covariance 198 measurements (Skowronski et al. 2007). Minimum fetch was approximately 1260, 530, and 690 199 m at the oak, mixed, and pine stands, respectively. We evaluated energy balance closure using 200 the relationship between the sum of H +  $\lambda E$  and available energy (R<sub>net</sub> - G -  $\Delta S_{air}$  -  $\Delta S_{bio}$ ) for all 201 half-hourly data collected at each stand using linear regression in SigmaPlot 10 (SYSTAT 202 Software, Inc.) (Clark et al. 2012, Table 2). To estimate NEE<sub>e</sub> for daytime periods when we did 203 not have measurements (due to low windspeed conditions, precipitation, instrument failure, etc.), 204 we fit a parabolic function (growing season) or a linear function (dormant season) to the 205 relationship between PAR and NEE<sub>e</sub> at bi-weekly to monthly intervals (Clark et al. 2004, 2010). 206

For nighttime periods, we fit an exponential function to the relationship between air temperature 207 (growing season) or soil temperature (dormant season) and NEE<sub>e</sub>. Coefficients for gap filling 208 were calculated from data collected during the appropriate time periods using SigmaPlot 209 regression software. We used  $\pm 1$  standard error (SE) of the value of each parameter in the 210 parabolic function for daytime data during the summer, and in the exponential function for all 211 nighttime data to evaluate the sensitivity of annual NEE<sub>e</sub> estimates to modeled values. To 212 estimate  $\lambda E$  for periods when we did not have measurements, we fit a linear function to the 213 214 relationship between available energy and  $\lambda E$  at bi-weekly (e.g., May 1- May 14) to bi-monthly (e.g., July 1– August 31) intervals (Clark et al. 2012). We then used modeled half-hourly data to 215 fill in periods when we did not have measured fluxes to calculate daily to annual NEE and Et for 216 each stand. 217

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## 219 **2.4 Statistical analyses**

We focused our analyses of NEE, Et and GEP on the summer months (June 1 to August 31), 220 corresponding to the period when deciduous species were at their peak photosynthetic activity 221 (Renninger et al., 2013). We evaluated patterns of WUE<sub>e</sub> during the summers before, during and 222 after each disturbance event. In order to maximize the contribution of transpiration to Et in these 223 calculations, we used data collected when we assumed the canopy was dry, and days with 224 recorded precipitation and the day following each rain event when precipitation  $\geq 10$  mm were 225 excluded from further analyses. We used ANOVA analyses to test significance levels of the 226 227 differences in daytime and nighttime NEE<sub>e</sub> among stands before disturbance, and within stands preand post-disturbance. Half-hourly NEE<sub>e</sub> values were not independent or normally distributed, thus 228 we randomly sampled n = 50 NEE<sub>e</sub> values and then calculated a mean value 100 times for each 229 period (day or night), stand (oak, mixed, pine), and year for ANOVA analyses (SYSTAT 12, 230 SYSTAT Software, Inc.). Daily values of GEP, Et and WUE<sub>e</sub> among stands and within stands 231 among years during the summer were compared using repeated-measures ANOVA analyses that 232 permit correlated error structure to account for the lack of independence among variables. 233 Comparisons among stands or years within each stand were made with Tukey's Honestly 234 Significant Difference (HSD) tests that adjusted significance levels P values for multiple 235 236 comparisons. We used non-linear regression analyses to determine the relationship between daily

Et and GEP. Differences in the values of regressions between daily Et and GEP were detectedusing T-tests and ANCOVA analyses.

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## 240 **3. Results**

## **3.1 Leaf area and nitrogen content of foliage**

Maximum LAI during the summer averaged 4.8 to 6.0 at the three stands before disturbance, with overstory species accounting for 89 %, 73 %, and 77 % of total LAI during the summer at the oak, mixed and pine stands, respectively (Fig. 1a). LAI during the winter averaged  $0.5 \pm 0.5$ ,  $0.7 \pm 0.4$ and  $1.4 \pm 0.4$  at the oak, mixed and pine stands, respectively (data not shown). Nitrogen mass in foliage during the summer before disturbance was greatest at the oak stand and least at the pine stand (Fig. 1b).

At the oak stand, herbivory by gGypsy moth during the early summer of 2007 reduced LAI to < 0.5248 (see Schäfer et al. 2010). Following the peak of herbivory in June, a second partial leaf-out resulted 249 in a total LAI of only 2.3 (Fig. 1a). Nitrogen mass of canopy and understory foliage following the 250 251 second leaf out was only ca. 42 % of pre-disturbance levels (Fig. 1b). In 2008, partial defoliation 252 reduced LAI again, although a second leaf out did not occur. Nitrogen mass in foliage was lower in 2008 compared to pre-defoliation periods, because species-weighted foliar N concentration of 253 the canopy was slightly lower (1.7 % N vs. 1.9 % N pre-defoliation), and understory foliage, 254 which composed 1.6 times greater LAI post-defoliation, had an average N concentration of only 255 256 1.3 % N (Fig. 1b). By summer 2009, total LAI had nearly recovered to pre-defoliation levels, but the understory comprised 23 % of total LAI, compared to 11 % pre-defoliation. Nitrogen mass of 257 canopy and understory foliage in 2009 was 77 % and 192 % of pre-disturbance values, respectively. 258

At the mixed stand, the prescribed fire conducted in February 2006 and herbivory by gGypsy moth during the summers of 2006 and 2007 reduced LAI of deciduous species during the growing season, but had relatively little effect on pine foliage in the canopy (Fig. 1a). Nitrogen mass in canopy and understory foliage was reduced in 2006, but by 2007 understory N mass had nearly recovered to pre-disturbance levels, while canopy N mass remained relatively low (Fig. 1b).

At the pine stand, partial defoliation of ericaceous shrubs and understory oaks by gGypsy moth in 265 2007 reduced understory LAI and N mass compared to pre-disturbance periods (Fig. 1a,b). The prescribed fire conducted in March 2008 was hot enough to scorch some pine foliage, which reduced overstory LAI during the summer to 74% of pre-disturbance values, and reduced canopy N. The prescribed fire had little effect on understory LAI later in growing season of 2008, because of rapid resprouting of scrub oaks and shrubs. By 2009, leaf area and N mass in foliage at the pine stand had recovered to pre-disturbance levels.

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## 3.2 NEE<sub>c</sub>, GEP, Et and water use efficiency

Daytime NEE<sub>e</sub> during midday, clear sky conditions ( $\geq 1500 \ \mu mol \ PAR \ m^{-2} \ s^{-1}$ ) and nighttime 273 NEE<sub>e</sub> in the summer were greater at the oak stand than at the mixed and pine stands before 274 275 disturbance (Fig. 2, Table 3). Mean daily GEP during the summer also was greater at the oak stand than at the mixed and pine stands, while mean daily Et rates during the summer were 276 greater at the oak and pine stands than at the mixed stand (Fig. 3, Table 3). Daily GEP and Et 277 were highly correlated during the summer months at each stand before disturbance, and when 278 279 data from the mixed and pine stands were pooled, the slope of the relationship between Et and GEP was greater at the oak stand than at the mixed and pine stands (Fig. 4, Table 4; ANCOVA, 280  $F_{1,393} = 157$ , P < 0.001). Pre-disturbance WUE<sub>e</sub> in the summer also was greater at the oak stand 281 than at the mixed and pine stands (Fig. 3c, Table 3). 282

During complete defoliation by gypsy moth and second leaf-out of the oak stand during the 283 summer in 2007, half-hourly NEE<sub>e</sub>, averaged -2.5  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, which was only 14% of pre-284 defoliation rates during midday, and 57 % of pre-defoliation NEE<sub>e</sub> at night (Fig. 2). Mean daily 285 GEP and Et during the summer at the oak stand averaged  $3.7 \pm 1.7$  g C m<sup>-2</sup> day<sup>-1</sup> and  $2.4 \pm 0.9$ 286 mm day<sup>-1</sup> (mean  $\pm$  1 SD), which represented 35% and 57% of pre-defoliation values, 287 respectively. The slope of the relationship between Et and GEP was lower during summer 2007 288 compared to pre-defoliation periods (Fig. 5a, Table 4). Similarly, WUE<sub>e</sub> was significantly lower 289 in 2007 compared to pre-defoliation periods, averaging only 1.6 g C kg H<sub>2</sub>O day<sup>-1</sup> (Fig. 3c, Table 290 3). Partial defoliation of the oak stand occurred in the summer of 2008, and NEE<sub>e</sub> during mid-291 day periods averaged 58% of pre-defoliation rates. By the next growing season in 2009, mid-day 292 293 NEE<sub>e</sub> had reached 85% of pre-defoliation rates (Fig. 2). Nighttime NEE<sub>e</sub> during the second year following complete defoliation was greater than pre-defoliation periods, and corresponded with 294 mortality of mature oaks and wet conditions in 2009. It is notable that many of the oaks that died 295

had basdiocarps of honey fungus (*Armillaria* sp.) around their bases in fall 2009. Daily GEP during the summer was 71% and 78% of pre-defoliation levels, and Et had increased to 79% and 92% of pre-defoliation levels in 2008 and 2009, respectively (Fig. 3, Table 3). WUE<sub>e</sub> averaged 2.3 g C kg H<sub>2</sub>O day<sup>-1</sup> during the summers of 2008 and 2009, which was 86% of pre-defoliation values.

301 Following the prescribed burn in early spring of 2006 at the mixed stand, mid-day NEE<sub>e</sub> during the summer during near clear sky conditions was 59 % of pre-disturbance values, and during 302 complete defoliation of deciduous species by gypsy moth in 2007, midday NEE<sub>e</sub> average 6.7 303  $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, which was only 43% of pre-disturbance values (Fig. 2, Table 3). Nighttime 304 NEE<sub>e</sub> during the summer was nearly unaffected by either disturbance. Daily GEP was 79% of 305 pre-disturbance values during the summer following the prescribed fire in 2006, and only 28% of 306 pre-disturbance values during and following defoliation of deciduous species by gGypsy moth in 307 2007. Summer daily Et was 73% and 69% of pre-disturbance values in 2006 and 2007, 308 respectively (Fig. 3b, Table 3). Slopes for the relationship between GEP and Et were similar pre-309 310 and post-prescribed burn, but the intercept for this relationship was lower during defoliation by gGypsy moth in 2007 compared to pre-defoliation periods (Fig. 5). Similarly, WUE<sub>e</sub> at the mixed 311 stand was similar pre- and post-prescribed burn, but significantly lower during defoliation in 2007, 312 averaging only 1.1 g C kg H<sub>2</sub>O day<sup>-1</sup> (Fig. 3c, Table 3). 313

At the pine stand, midday NEE<sub>e</sub> during clear sky conditions in the summer was 79% of pre-314 315 disturbance values during defoliation of the understory by gGypsy moth in 2007. During the first growing season following the prescribed burn conducted in March 2008, midday NEE<sub>e</sub> 316 averaged -9.5 $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, which was 69 % of pre-disturbance values (Fig. 2). By the next 317 growing season following the prescribed burn, mid-day NEE<sub>e</sub> had recovered to pre-disturbance 318 319 values (Fig. 2, Table 3). Nighttime NEE<sub>e</sub> at the pine stand was apparently unaffected by either disturbance. Summer daily GEP averaged 84% of pre-disturbance values during defoliation of 320 deciduous species by gGypsy moth in 2007, and 82% following the prescribed burn in 2008 (Fig. 321 3a, Table 3). Post-disturbance, daily GEP in 2009 averaged  $9.6 \pm 2.6$  g C m<sup>-2</sup> day<sup>-1</sup>, representing 322 109% of pre-disturbance values. Summer daily Et averaged 85%, 83% and 99% of pre-323 disturbance levels in 2007, 2008 and 2009, respectively (Fig. 3b, Table 3). The relationship 324 between daily Et and GEP was similar pre- and post-disturbance (Fig. 5c, Table 4), and WUE<sub>e</sub> 325

was unaffected by defoliation of deciduous species in the understory or the prescribed burn<u>when</u>
 compared to pre-disturbance values (Fig. 3, Table 3).

The relationship between annual maximum N mass in foliage and mean daily GEP during the 328 summer months was significant at the oak stand, accounting for 84% of the variability in GEP 329 during the summer (Table 5). When data for the oak and mixed stands were pooled, maximum 330 N mass in foliage accounted for 79% of the variability in mean daily GEP during the summer. In 331 contrast, only 46% of the variability in mean daily GEP during the summer was accounted for by 332 333 annual maximum N in foliage at the pine stand (Table 5). Daily Et during the summer was 334 significantly correlated with maximum annual LAI at the oak stand, and at the mixed and pine stands when data were pooled (see also Clark et al. 2012). The relationship between maximum 335 N mass in foliage and mean daily WUE<sub>e</sub> was nearly significant at the oak stand, and at the oak 336 and mixed stand when data were pooled (Table 5). 337

Annual estimates of NEE<sub>e</sub>, R<sub>eco</sub>, GEP and Et for the three upland forest stands are shown in 338 Table 6. Over all years measured, the oak and mixed stands were only weak sinks for CO<sub>2</sub>. 339 Variation in NEE<sub>e</sub> was greatest at the oak stand, ranging from a sink averaging approx. -170 g C 340  $m^{-2} yr^{-1}$  before defoliation to a source of 248 g C  $m^{-2} yr^{-1}$  during the year of complete defoliation 341 by gypsy moth in 2007. The pine-dominated stand was a moderate sink for  $CO_2$ , but when 342 consumption estimated from pre- and post-burn samples of the understory and forest floor 343 (approx. 441 g C m<sup>-2</sup>) was incorporated into the longer term C balance, the estimated average C 344 sink strength was only -30 g C m<sup>-2</sup> yr<sup>-1</sup>. Variation in annual  $R_{eco}$  was relatively low at the mixed 345 and pine stands, but the range in annual values was 550 g  $m^{-2}$  yr<sup>-1</sup> at the oak dominated stand, 346 representing a coefficient of variation of 44% of mean annual R<sub>eco</sub>. The greatest reduction in 347 GEP occurred during the year of complete defoliation at the oak stand, and both defoliation and 348 prescribed burns reduced annual GEP and Et at the mixed and pine stands (Table 6). The 349 greatest reduction in annual Et occurred at the mixed stand, where both disturbances had 350 occurred sequentially. 351

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#### 353 4 DISCUSSION

Gypsy moths are now ubiquitous in forests of the Mid-Atlantic region. Approximately 24% of forests in the region are classified as highly susceptible to gGypsy moth, and 7% are classified as

extremely susceptible (Leibhold et al. 2003, www.fia.gov). In New Jersey, 36% and 15% of 356 forests are classified as highly and extremely susceptible to gGypsy moth defoliation, 357 <u>respectively</u>. Although recent surveys indicate that gGypsy moth populations have largely 358 crashed since 2009 in the Mid-Atlantic region, populations can exhibit cyclical dynamics, with 359 4-5 year and 8-10 year cycles co-occurring (Allstadt et al. 2013). During the peak of the last 360 outbreak, approximately 20% of upland forests were defoliated in the PNR in 2007 361 (http://www.state.nj.us/agriculture /divisions/pi /pdf/07defoliationtable.pdf ). In many oak-362 dominated stands, LAI and N in foliage during the early summer were reduced to levels 363 characterizing the dormant season. In pine-dominated stands, defoliation of pines by gypsy moth 364 was typically minor, but foliage of sub-canopy oaks and shrubs in the understory was susceptible 365 to defoliation. When defoliation is severe and occurs over multiple years, such as in oak-dominated 366 367 and mixed stands in the PNR from 2006-2008, invasive insects can have major, and likely long term, impacts on canopy N pools. In addition to the immediate reduction in leaf area and canopy 368 369 N in defoliated stands, a second mechanism leading to the reduction of N in foliage in oak stands was selective herbivory and subsequent mortality of black oak, which initially had the highest 370 371 mean foliar N content (approximately- 2.1 % N) in our study. By 2009, many of the mature black oaks had either died or had moderate to severe crown damage, which reduced their leaf 372 373 area. In contrast, chestnut oak, which had a lower N content in foliage (approximately, 1.8 % N), had relatively low mortality and less canopy damage, and accounted for a greater amount of 374 375 canopy leaf area following defoliation. A third factor contributing to the overall reduction of the foliar N pool is the response of the understory to gap formation caused by overstory defoliation 376 377 and subsequent mortality. Understory LAI had increased two-fold over pre-defoliation periods by 2008, and this pattern has persisted through 2013, six years following complete defoliation of 378 379 the oak stand. This has led to a much larger contribution of understory foliage to stand LAI, however, shrub foliage had consistently lower N content than canopy oaks and therefore did not 380 completely replace the N lost from the canopy. Overall, changes in canopy composition and 381 increased LAI in the understory resulted in lower N content in foliage in severely defoliated 382 stands. 383

Lovett et al. (2002, 2006) have shown that defoliation by invasive insects can cause large N transfers within the forest, but indicated that overall leaching losses are relatively minor. Our results suggest that recovery from internal transfers of N attributed to defoliation by gypsy moth

may require a number of years, because of the time required to restore canopy foliar nutrient 387 pools. As the defoliation in our oak study area has caused mortality somewhat selectively by 388 species, we expect long-term shifts in species composition, and resultant changes to N mass in 389 This finding is consistent with results published by Medvigy et al. (2012), who canopy foliage. 390 used the ED2 model to explore the interactive effects of herbivory and drought on long term 391 carbon dynamics and found reduced GEP and forest productivity over time following intensive, 392 repeated defoliation events (Medvigy et al. 2012). Lack of recovery of foliar N pools in the 393 canopy may also predispose stands to be more sensitive to other stresses. For example, daytime 394 NEE<sub>e</sub> at the oak stand was apparently more sensitive to summer drought that occurred in 2010 395 compared to pre-disturbance periods, and further mortality of overstory oaks occurred 396 (Renninger et al. 2014b, in press). 397

The effects of prescribed burning on LAI and canopy N content at the mixed and pine stands 398 399 were relatively less intense than defoliation at the oak and mixed stands. Pitch and shortleaf pines have epicormic meristems buds that can sprout rapidly following disturbance, thus 400 overstory needle recovery can occur was rapidly. Although many aboveground stems of shrubs 401 and understory oaks were killed during the burns, they can readily resprout from belowground 402 stems following fire and their leaf area recovered quickly (Clark et al. 2014, in press). 403 Prescribed burning also apparently had little effect on WUE<sub>e</sub>. A potential explanation for this 404 observation is also related to stand nutrient dynamics, because it is likely that the burn pyro-405 mineralized stored nutrients such as phosphorus and calcium in the forest floor, and these 406 became available to canopy and understory vegetation following the prescribed fire (Gray and 407 Dighton 2006, 2009). 408

Variation in foliar N mass and LAI were major biotic factors affecting GEP and Et during our 409 study. N mass in foliage was significantly correlated with summer daily GEP at the oak and 410 mixed stands, both of which had a significant component of deciduous species (Skowronski et al. 411 2007, Clark et al. 2010). On an annual basis, however, GEP was greatest at the pine stand, 412 which had the longest leaf area display when integrated throughout the year and the highest GEP 413 during spring and summer; the relationship between canopy N content and daily GEP during the 414 summer was weaker at this stand. Clark et al. (2012) reported that LAI was strongly related to 415 daily Et during the summer at all three stands. Interestingly, mean daily WUE<sub>e</sub> during the 416

summer was only weakly correlated with foliar N content or LAI at the oak or mixed stands,although this relationship may become significant using a longer term data set.

Before each disturbance, daily NEE<sub>e</sub>, GEP and WUE<sub>e</sub> during the summer were greater at the oak 419 stand than at the mixed or pine-dominated stands. Previously reported summer NEE<sub>e</sub> light 420 response curves support this result (Clark et al. 2010), as do leaf-level measurements of oak vs. 421 pine foliage (Schäfer 2011, Renninger et al. 2013, 2014a). Pre-disturbance daily GEP rates 422 during the summer at the three stands in the PNR were intermediate between published rates of 423 undisturbed forest in more southerly sites on the Atlantic coastal plain (ca. 8 - 13 g C m<sup>-2</sup> day<sup>-1</sup>; 424 Clark et al. 1999, 2004, Stoy et al. 2006, Normets et al. 2010) and stands further to the north (ca. 425 4 - 10 g C-2 day<sup>-1</sup>; Mkhebela et al. 2009, Brümmer et al. 2012). Pre-disturbance mean daily Et at 426 the oak and pine-dominated stands stand during the summer  $(4.2 \pm 1.5 \text{ mm and } 3.9 \pm 1.3 \text{ day}^{-1})$ 427 were within the range of values reported from other temperate broad-leaved and conifer-dominated 428 429 forests (reviewed in Clark et al. 2012).

Highly significant relationships between GEP and Et have been noted at a wide range of time 430 scales (e.g., daily to annual) in many forests. For example, Law et al. (2002) reported a 431 significant relationship between monthly Et (expressed as Et/precipitation) and GEP for a wide 432 433 range of Ameriflux sites, and Brümmer et al. (2012) reported significant relationships between Et and GEP across a range of forests in Canada. Pre-disturbance WUE<sub>e</sub> values for stands in the 434 Pinelands were at the low end of values reported from temperate hardwood forests, rather they 435 were more similar to closed-canopy conifer dominated and boreal forests (Law et al. 2002, 436 Kuglitsch et al. 2008, Brümmer et al. 2012). For example, Law et al. (2002) reported values of 437 up to 6 g C kg<sup>-1</sup> H<sub>2</sub>O for monthly WUE<sub>e</sub> in temperate hardwood forests, while closed canopy 438 stands in Boreal forest and conifer-dominated stands had WUE<sub>e</sub> values ranging from 2.0 to 3.6 g 439 C kg<sup>-1</sup> H<sub>2</sub>O (Mkhebela et al. 2009, Brümmer et al. 2012, Vickers et al. 2012). On the Atlantic 440 coastal plain, WUE<sub>e</sub> of a rotation age slash pine (*Pinus elliottii* Engelm.) plantation on sandy soils 441 in N. Florida averaged 2.7 g C kg $^{-1}$  H<sub>2</sub>O (reanalyzed data from Clark et al. 2004). 442

443 Defoliation <u>by Gypsy moth</u> reduced both daytime and nighttime NEE<sub>e</sub> at the oak and mixed 444 stands compared to pre-disturbance periods. Clark et al. (2010) showed that the relationship 445 between air or soil temperature and half-hourly nighttime NEE<sub>e</sub> during defoliation in the summer 446 during 2007 was significantly different; and that mean nighttime NEE<sub>e</sub> was lower when

compared to undisturbed periods, despite the fact that soil temperatures were ca. 2 °C higher, 447 while air temperature was similar to pre-disturbance periods. As a result, annual R<sub>eco</sub> was lower 448 in 2007 and 2008 compared to pre-disturbance years. Following this period of reduced nighttime 449 NEE<sub>e</sub>, higher rates at nighttime half-hourly and annual time scales corresponded with tree 450 mortality and wet conditions in 2009 (Renninger et al. 2014bin press). Annual GEP at the oak 451 stand had approached recovered to pre-disturbance values by 2009, but relatively high R<sub>eco</sub> 452 lagged complete defoliation by two years, and resulted in very low annual NEE<sub>e</sub> in 2009. When 453 integrated over 2007-201<u>3</u><sup>1</sup>, however, annual  $R_{eco}$  averaged 13<u>94 ± 274 (mean ± 1 SD)</u> g C m<sup>-2</sup> 454 yr<sup>-1</sup> at the oak stand, thus the long-term average following defoliation was more similar to pre-455 disturbance values, which averaged 1340 g C  $m^{-2}$  yr<sup>-1</sup>. The relatively high variability in 456 nighttime NEE<sub>e</sub> and annual R<sub>eco</sub> contrasts somewhat with results reported from other disturbed 457 forests on the Atlantic coastal plain (e.g., Amiro et al 2010). For example, following clearcutting 458 of a slash pine plantation in N. Florida, variation in R<sub>eco</sub> was only 304 g C m<sup>-2</sup> yr<sup>-1</sup> pre- and post-459 harvest, representing a coefficient of variation of 14 % of mean annual values, despite major 460 changes in biomass and detrital pools on the forest floor and soil disturbance associated with site 461 462 preparation (Clark et al. 2004, Binford et al. 2006).

Defoliation by Gypsy moth reduced GEP and  $WUE_e$  at the oak and mixed stands, but  $WUE_e$ 463 values were not as low as those reported following clearcutting or severe wildfires in other forest 464 ecosystems (Clark et al. 2004, Mkhebela et al. 2009, Dore et al. 2010). For example, following 465 clearcutting of the slash pine plantation noted above, GEP was initially minimal and recovered 466 relatively slowly, while Et was similar to pre-harvest rates because of partial flooding of the stand 467 (Gholz and Clark 2002, Clark et al. 2004). WUE<sub>e</sub> averaged 0.7 g C kg  $H_2O^{-1}$  during the first year 468 following harvest, and had increased to 1.7 g C kg H<sub>2</sub>O<sup>-1</sup> during the second year, compared to a 469 pre-harvest value of 2.7 g C kg  $H_2O^{-1}$ . In a ponderosa pine (*P. ponderosa* P. & C. Lawson) 470 stand that had burned 10 years previously in a severe wildfire, GEP was only 43% of values at an 471 undisturbed ponderosa pine stand, while Et had recovered to a greater extent, averaging 2.0 mm 472  $day^{-1}$  compared to 2.4 mm  $day^{-1}$  at the undisturbed stand during the summer (Dore et al. 2010). 473 Monthly WUE<sub>e</sub> during the summer averaged ca. 1.2 g C kg  $H_2O^{-1}$  at the stand that had been 474 burned severely, and 1.7 g C kg  $H_2O^{-1}$  at the undisturbed stand over the two years measured. 475 Mkhabela et al. (2009) summarized the effects of harvesting and wildfires in boreal forest in 476 Canada using a chronosequence approach, and reported that recovery of GEP was slower than 477

Et. Two to three years following harvest of a jack pine (Pinus banksiana Lamb.) stand, WUE<sub>e</sub> 478 averaged only 0.6 g C kg  $H_2O^{-1}$ , and they estimated that recovery to pre-disturbance values 479 would not occur until ca. 15 years following harvest. Similarly, WUE<sub>e</sub> averaged 1.4 g C kg H<sub>2</sub>O<sup>-</sup> 480 <sup>1</sup> six to seven years following a severe wildfire, compared to 2.2 g C kg  $H_2O^{-1}$  in an undisturbed 481 stand. Overall, our results suggest that WUEe in forests following non-stand replacing disturbance 482 is dependent on the type of disturbance and the impact on N status of canopy and understory 483 foliage, in addition to time since disturbance. Defoliation by gypsy moth had a stronger effect on 484 WUE<sub>e</sub>, with consistently lower daily values occurring during the summer of the year when of 485 defoliation occurred at the oak and mixed stands, while WUE<sub>e</sub> was largely unaffected by 486 prescribed burning at the mixed and pine stands. 487

Using the relationships between  $\lambda E$  and available energy (R<sub>net</sub> – G – storage terms) for non-488 defoliated periods in Clark et al. (2012) and continuous meteorological data for 2005-2009, we 489 estimated that annual Et in the absence of gGypsy moth or fire would have averaged  $661 \pm 32$ 490 and 757  $\pm$  6 mm yr<sup>-1</sup> at the oak and pine stands, respectively. When compared to actual Et 491 measured at each site, 5-year averages differed by only 47 and 59 mm at the oak and pine stands, 492 respectively, representing a 9% decrease in Etrespectively. Assuming an average precipitation 493 depth of 1159 mm yr<sup>-1</sup> across all upland forests, we estimated that ground water recharge was 494 approximately *ca.* 9% and 15% higher during and following disturbance at each stand (Schäfer et 495 al. 2013). Similarly, using relationships between PAR and daytime NEE<sub>e</sub>, and between air or 496 soil temperature and nighttime NEE<sub>e</sub> of undisturbed years, we estimated that annual NEE<sub>e</sub> at the 497 oak stand in the absence of gGypsy moth defoliation potentially averaged -191  $\pm$  40 g C m<sup>-2</sup> yr<sup>-1</sup> 498 from 2005-2009, and that potential  $R_{eco}$  and GEP averaged 1276  $\pm$  76 and 1467  $\pm$  67 g C m  $^{-2}$  yr  $^{-1}$ 499 over the same period, respectively. In contrast, our measured average annual NEE<sub>e</sub> was only 500 17% of the potential value that would have occurred in the absence of gGypsy moth at the oak 501 stand for 2005-2009. Annual NEE<sub>e</sub> measured at the oak stand in 2010, 2011, and 2012, and 502 2013 was -15, -49, and -84, and -59 g C m<sup>-2</sup> yr<sup>-1</sup>, indicating that recovery from complete 503 defoliation takes at least six five years. Potential and estimated annual GEP differed by an 504 average of 186 g C m<sup>-2</sup> yr<sup>-1</sup> at the oak stand. Actual  $R_{eco}$  estimated for the oak stand measured 505 over 2005-2009 was only 28 g C m<sup>-2</sup> yr<sup>-1</sup> less than potential values, supporting the observation 506 that R<sub>eco</sub> is largely invariant with disturbance over longer time scales (e.g., Amiro et al. 2010). 507 At the pine stand, we estimated that annual NEE<sub>e</sub> in the absence of Gypsy moth defoliation and 508

prescribed burning potentially averaged  $-142 \pm 40$  g C m<sup>-2</sup> yr<sup>-1</sup> from 2005-2009, and that 509 potential  $R_{eco}$  and GEP were 1437 ± 39 and 1579 ± 65 g C m<sup>-2</sup> yr<sup>-1</sup>, respectively. Measured 510 Actual average annual NEE<sub>e</sub>-was 76 % of the potential value that would have occurred in the 511 absence of disturbance, but when consumption losses due to the prescribed burn are included, 512 annual NEE<sub>e</sub> was only 14 % of the potential value at the pine stand for 2005-2009. Similarly, 513 potential and estimated annual GEP differed by an average of only 19 g C m<sup>-2</sup> yr<sup>-1</sup> at the pine 514 stand. Although these calculations assume that  $\lambda E$ , -and NEE<sub>e</sub> and GEP measured at our sites 515 during pre-disturbance periods characterize potential rates during later years in the absence of 516 disturbance, they illustrate the magnitude of the impact that gGypsy moth defoliation and 517 prescribed burning can have on stand carbon dynamics, while having relatively little effect on Et 518 and ground water recharge (Schäfer et al. 2013)stand hydrology and ground water recharge. 519

Our results illustrate two important points; forest C dynamics and especially NEE<sub>e</sub> are apparently 520 much more sensitive to non-stand replacing disturbances than Ethydrologic fluxes, and 521 disturbances that result in large N transfers within stands may have long-term impacts on rates of 522 GEP and NEE<sub>e</sub> at half-hourly to annual time scales. When evaluating tradeoffs between 523 hydrologic resources and forest carbon dynamics, forest managers may incorrectly assume that 524 disturbance that results in minimal impact on hydrological cycling (such as estimated from 525 USGS weir data) would also result in minimal impact on carbon sequestration rates, when in fact 526 527 the size of the carbon sink may actually be quite small. It is also clear that if climate change results in a greater likelihood probability of insect invasions, fire or other perturbations, and we 528 consider temporal variation in canopy N status and WUE<sub>e</sub> with disturbance, our ability to predict 529 interactions between carbon and hydrologic cycles in the future will improve. 530

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## 532 <u>5 CONCLUSIONS</u>

533 Eddy covariance and biometric measurements made in three stands in the Pinelands National

534 <u>Reserve in southern New Jersey, USA, were used to estimate the effects of defoliation by gypsy</u>

- 535 moth and prescribed burning on net ecosystem exchange of CO<sub>2</sub> (NEE), gross ecosystem
- 536 production (GEP), evapotranspiration (Et) and ecosystem water use efficiency (WUE<sub>e</sub>). Pre-
- 537 <u>disturbance half-hourly NEE at full sunlight conditions (> 1500  $\mu$ mol PPFD m<sup>-2</sup> s<sup>-1</sup>) and during</u>
- the nighttime in the summer months, and GEP and WUE<sub>e</sub> during the summer were greater at the

oak-dominated stand compared to the mixed and pine-dominated stands. Defoliation by gypsy 539 moth reduced leaf area (LAI) and nitrogen content in foliage, resulting in decreased NEE, GEP 540 and Et at the oak-dominated and mixed stands during the summer months. WUE<sub>e</sub> was reduced 541 to 60% and 46% of pre-disturbance values at the oak-dominated and mixed stands during 542 defoliation, Two years following complete defoliation at the oak stand,  $WUE_e$  during the 543 summer was 80% of pre-defoliation values. LAI and foliar N mass were also reduced by 544 dormant season prescribed burning at the mixed and pine-dominated stands during the next 545 growing season. Midday NEE and daily GEP during the summer months following prescribed 546 burning at the mixed and pine stands averaged 57% and 68%, and 79% and 82% of pre-547 disturbance values, respectively. In contrast to gypsy moth defoliation at the oak and mixed 548 stands, prescribed burning at the mixed and pine-dominated stands had no significant effect on 549 WUE<sub>e</sub>. Long-term NEE was reduced at the oak-dominated stand, likely due to reduced N mass 550 in canopy foliage, as well as slightly increased R<sub>eco</sub> following mortality of approximately 20 % 551 of mature oak trees. LAI, N in foliage, NEE, GEP and Et had all recovered to pre-disturbance 552 levels during the next growing season following the prescribed burn at the pine-dominated stand. 553 554 Overall, our results suggest that  $WUE_e$  in forests during and following non-stand replacing disturbance is dependent on the type of disturbance and the impact on N status of canopy and 555 understory foliage, in addition to time since disturbance. 556

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Table 1. Forest structure at the oak, mixed, and pine stands at the beginning of the study in 2005.

- 689 Overstory data are from five 201  $m^2$  plots measured in 2005, understory biomass is from 10 to 20
- $1.0 \text{ m}^2$  clip plots, and forest floor mass (Oi layer) is from ten  $1.0 \text{ m}^2$  plots in the vicinity of the
- 691 tower at each site. Values are means  $\pm 1 \frac{\text{sStandard Eerror}}{\text{Eerror}}$ .

Variable	Oak	Mixed	Pine
Stem density (s	tems ha <sup>-1</sup> )		
Pine	$90 \pm 19$	$269 \pm 162$	$1035 \pm 87$
Oak	$1233 \pm 293$	$676 \pm 114$	$418 \pm 145$
Total	$1323 \pm 300$	945 ± 123	$1452 \pm 158$
Basal area (m <sup>2</sup> l	ha <sup>-1</sup> )		
Pine	$4.4 \pm 2.4$	$5.6 \pm 1.8$	$14.3 \pm 2.1$
Oak	$11.5 \pm 1.4$	$6.3 \pm 4.2$	$0.3 \pm 0.1$
Total	$15.9\pm2.5$	$11.8\pm3.0$	$14.7\pm2.1$
Overstory biom	ass $(g m^{-2})$		
Pine	$2134 \pm 1179$	$1957 \pm 612$	$4956\pm1018$
Oak	$6360 \pm 736$	$3227\pm2294$	$54 \pm 21$
Total	$8494 \pm 1220$	$5184 \pm 1859$	$5010\pm1023$
Understory bion	mass (g m <sup>-2</sup> )		
Oaks	$20\pm15$	$217 \pm 71$	$70 \pm 23$
Ericaceae	$168\pm38$	$112 \pm 32$	$322\pm27$
Total	$189\pm35$	$529 \pm 150$	$397\pm44$
Forest floor ma	ss (g m <sup>-2</sup> )		
Fine litter	$845\pm45$	$842\pm~71$	$1131\pm35$
Wood	$223\pm47$	$319\pm63$	$447 \pm 110$
Total	$1068\pm75$	$1160\pm115$	$1578 \pm 119$

Table 2. Energy balance closure for the oak, mixed and pine stands for all half-hourly data collected from 2005 to 2009. Half-hourly flux data were fit to the equation  $R_{net} - G$  – storage terms  $= \alpha (H + \lambda E) + \beta$ . Data were filtered for u\* values < 0.2 m<sup>-2</sup>, precipitation, and instrument malfunction. Values are means  $\pm 1$  Standard Eerror, and all correlations are significant at P < 0.001. Energy balance closure for each stand by year is in Clark et al. (2012).

722						<u> </u>
723	Stand	α	β	$r^2$	n	
724						
725	Oak	$0.96\pm0.01$	$14.53\pm0.27$	0.86	44,941	
726	Mixed	$0.99\pm0.01$	$8.88\pm0.26$	0.92	21,682	
727	Pine	$0.96\pm0.01$	$8.39\pm0.26$	0.90	44,912	
728						

729 Table 3. Statistics for ANOVA and contrasts for half-hourly daytime and nighttime net CO<sub>2</sub> exchange during the summer (June 1- August 31; Fig. 2), and daily evapotranspiration, gross 730 ecosystem production, and ecosystem water use efficiency during the summer among stands before 731 disturbance, and within stands among years (Fig. 3). df = degrees of freedom, F = the value of the F732 statistic, and P is the significance level for ANOVA analyses. Contrasts for all stands before 733 disturbance are; a. oak vs. mixed and pine, b. mixed vs. pine, c. oak and pine vs. mixed, d. oak vs. 734 pine. Oak stand contrasts are; e. complete defoliation vs. pre- and post-defoliation, f. pre-defoliation 735 vs. post-defoliation. Mixed stand contrasts are; g. pre-disturbance vs. disturbance, h. prescribed 736 burn vs. defoliation. Pine stand contrasts are; i. pre- and post-disturbance vs. disturbance, j. 737 defoliation vs. prescribed burn. Tukey's Honestly Significant Difference (HSD) tests were used to 738 determine significance levels of each contrast, and P is the significance level for each contrast. 739

740

741	Variable/stand	df	F	Р	Contrast	HSD	Р		
742	Daytime NEE <sub>c</sub> (µmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup> at $\geq$ 1500 µmol PAR m <sup>-2</sup> s <sup>-1</sup> ); Fig. 2.								
744	All stands	2; 297	977	< 0.001	a, b	0.25	< 0.01, < 0.01		
745	Oak	3; 396	10,957	< 0.001	e, f	0.28	< 0.01, < 0.01		
746	Mixed	2; 297	6,520	< 0.001	g, h	0.24	< 0.01, < 0.01		
747	Pine	3; 396	4,793	< 0.001	i, j	0.19	< 0.01, < 0.01		
748	Nighttime NEI	E <sub>c</sub> (µmol C	$CO_2 \text{ m}^{-2} \text{ s}^{-1}$ ; F	ïig. 2.					
749	All stands	2; 297	324	< 0.001	a, b	0.15	< 0.01, <0.01		
750	Oak	3; 396	1,330	< 0.001	e, f	0.22	< 0.01, < 0.01		
751	Mixed	2; 297	128	< 0.001	g, h	0.10	< 0.01, < 0.01		
752	Pine	3; 396	519	< 0.001	i, j	0.15	< 0.01, < 0.01		
753	Gross ecosyste	m product	tion (g C m <sup>-2</sup> $c$	day <sup>-1</sup> ); Fig. 3	3a				
754	All stands	2; 427	53	< 0.001	a, b	0.86	< 0.01, < 0.01		
755	Oak	3; 456	212	< 0.001	e, f	0.94	< 0.01, < 0.01		
756	Mixed	2; 273	233	< 0.001	g, h	0.79	< 0.01, < 0.01		
757	Pine	3; 426	29	< 0.001	i, j	0.91, 0.75	< 0.01, < 0.05		
758	Evapotranspira	tion (mm	day <sup>-1</sup> ); Fig. 31	э.					
759	All stands	2; 427	14	< 0.001	c, d	0.43	< 0.05, ns		

760	Oak	3; 456	43	< 0.001	e, f	0.56	< 0.01, ns		
761	Mixed	2; 273	30	< 0.001	g, h	0.42	< 0.01, < 0.01		
762	Pine	3; 367	6	< 0.01	i, j	0.56	< 0.01, < 0.01		
763	Ecosystem water use efficiency (g C kg $H_2O^{-1}$ day <sup>-1</sup> ); Fig. 3c.								
764	All stands	2; 285	14	< 0.001	a, b	0.23	< 0.01, ns		
765	Oak	3; 291	52	< 0.001	e, f	0.31	< 0.01, < 0.01		
766	Mixed	2;156	103	< 0.001	g, h	0.31	ns, < 0.01		
767	Pine	3; 281	3	< 0.05	i, j	0.24	ns, < 0.05		
768									

770	Table 4. Parameter v	alues and statistic	s for the relation	ship betwo	een daily e	evapotranspiration			
771	and gross ecosystem productivity from June 1 to August 31 for the oak vs. mixed and pine stands								
772	in 2005 before disturbance (Figure 4), and the oak stand from 2005-2009 (Figure 5a), the mixed								
773	stand from 2005-2007 (Figure 5b), and the pine stand from 2005-2009 (Figure 5c). Data were fit								
774	to GEP = $\alpha$ (1 – exp (– $\beta$ (Et))). Parameter values are means ± 1 standard error, $r^2$ is the value of								
775	the Pearson's product moment coefficient, F is the value of the F statistic, and P is the								
776	significance level of the ANOVA analyses for each model.								
777	·								
778	Stand/period	α	β	$r^2$	F	Р			
779									
780	Oak vs. mixed and pin	e stands (shown in	Fig. 4)						
781	Oak	$15.54\pm0.70$	$0.34\pm0.03$	0.79	335	< 0.0001			
782	Mixed, pine	$14.29\pm0.74$	$0.25\pm0.02$	0.83	722	< 0.0001			
783	Oak (shown in Fig. 5a)	)							
784	Pre-defoliation	$15.97\pm0.69$	$0.29\pm0.03$	0.72	476	< 0.0001			
785	Defoliation 2007	$12.93\pm6.30$	$0.15\pm0.09$	0.51	96	< 0.0001			
786	Defoliation 2008	$10.33\pm0.56$	$0.43\pm0.05$	0.47	81	< 0.0001			
787	Post-defoliation	$11.44\pm0.53$	$0.37\pm0.04$	0.74	264	< 0.0001			
788	Mixed (shown in Fig.	5b)							
789	Pre-disturbance	$11.75\pm0.60$	$0.36\pm0.04$	0.81	378	< 0.0001			
790	Prescribed fire	$9.64\pm0.12$	$2.40\pm0.31$	0.63	158	< 0.0001			
791	Defoliation <sup>1</sup>	$\textbf{-0.32} \pm 0.46$	$1.07\pm0.19$	0.25	32	< 0.001			
792	Pine (shown in Fig. 5c	)							
793	Pre-disturbance	$13.42\pm0.53$	$0.28\pm0.02$	0.81	671	< 0.0001			
794	Partial defoliation	$9.70\pm0.53$	$0.50\pm0.05$	0.83	436	< 0.0001			
795	Prescribed fire	$9.59\pm0.36$	$0.49\pm0.05$	0.70	208	< 0.0001			
796	Post-disturbance	$13.57\pm0.50$	$0.37\pm0.06$	0.85	530	< 0.0001			
797									

799	Table 5. Parameters and statistics for the relationship between maximum canopy and understory							
800	N content and mean daily gross ecosystem productivity, and between maximum LAI and mean							
801	daily Et during the summer from June 1 to August 31. Data were fit to GEP = $\alpha$ (canopy N) + $\beta$ .							
802	Parameter values are means $\pm 1$ standard error, r <sup>2</sup> is the value of the Pearson's product moment							
803	coefficient, F is the value of the F statistic, and P is the significance level of the ANOVA							
804	analyses for eac	<u>h model.</u> Values	are for the oak star	nd from 2005.	-2009, the	mixed stand	from	
805	2005-2007, and	the pine stand fror	n 2005-2009.					
806								
807	Stand	α	β	$r^2$	F	Р		
808								
809	Nitrogen in folia	$(g N m^{-2})$ and $c$	laily gross ecosysten	n production (	$g C m^{-2} day$	y <sup>-1</sup> )		
810	Oak	$1.50\pm0.32$	$0.58 \pm 1.71$	0.84	22.6	< 0.05		
811	Oak, mixed	$1.64\pm0.32$	$-0.49 \pm 1.58$	0.79	27.0	< 0.01		
812	Pine	$1.22\pm0.58$	$3.49 \pm 2.38$	0.46	4.4	ns		
813	Leaf area index	$(m^2 m^{-2})$ and evap	otranspiration (mm c	lay <sup>-1</sup> )				
814	Oak	$0.72\pm0.15$	$0.84\pm0.62$	0.81	18.6	< 0.05		
815	Mixed, pine	$0.62\pm0.12$	$0.20\pm0.58$	0.80	29.8	< 0.01		
816	Pine	$0.43\pm0.20$	$1.31 \pm 1.06$	0.46	4.4	ns		
817	Nitrogen in folia	$(g N m^{-2})$ and $e$	cosystem water use	efficiency (g	$C m^{-2} kg H_{2}$	$_2$ O day <sup>-1</sup> )		
818	Oak	$0.26\pm0.09$	$0.93 \pm 0.50$	0.63	7.8	< 0.07		
819	Oak, mixed	$0.26 \pm 0.11$	$0.92\pm0.58$	0.33	4.5	< 0.08		
820								

Table 6. Annual net CO<sub>2</sub> exchange (NEE<sub>e</sub>), ecosystem respiration ( $R_{eco}$ ), gross ecosystem production (GEP, g C m<sup>-2</sup> yr<sup>-1</sup>), evapotranspiration (Et, mm year<sup>-1</sup>), and the ratio of GEP to ET for the oak, mixed, and pine stands. Percent filtered half hourly NEE data for each year used to calculate annual NEE,  $R_{eco}$  and GEP are shown in the first column. Values in parentheses for NEE are maximum deviations from annual values as a result of gap filling using ± 1 standard error SE-of daytime or nighttime parameters.

828	Stand, Period	Percent	NEE	R <sub>eco</sub>	GEP	Et (	GEP/Et
829		NEE data	(	$(g C m^{-2} yr^{-1})$		$(mm yr^{-1})$	
830	l 						
831	Oak						
832	2005	<u>49</u>	-185 (21)	1285	1470	616	2.39
833	2006	<u>52</u>	-140 (23)	1395	1535	677	2.27
834	2007, defoliated	<u>54</u>	246 (11)	972	726	442	1.64
835	2008, defoliated	<u>56</u>	-77 (18)	1066	1143	637	1.79
836	2009	<u>55</u>	-9 (25)	1523	1532	699	2.19
837	Mean ± 1 SD		-33±169	1248±228	1281±350	614±102	, ,
838	Mixed						
839	2005	<u>35</u>	-99 (17)	1068	1167	607	1.92
840	2006, burned	<u>42</u>	37 (14)	1111	1073	452	2.37
841	2007, defoliated	<u>45</u>	20 (20)	1012	992	419	2.37
842	Mean $\pm 1$ SD		-14±74	$1064\pm50$	$1077{\pm}~88$	493±100	
843	Pine						
844	2005	<u>38</u>	-178 (24)	1445	1623	761	2.13
845	2006	<u>47</u>	-165 (17)	1477	1642	757	2.17
846	2007, defoliated	<u>58</u>	-40 (7)	1362	1402	593	2.36
847	2008, burned	<u>60</u>	-48 (26)	1329	1377	617	2.23
848	2009	<u>55</u>	-158 (18)	1597	1755	764	2.30
849	Mean ± 1 SD		-118±68	1442±105	1560±164	699±86	
850							

- NEE<sub>c</sub> for 2005 to 2007 have been previously reported in Clark et al. 2010, and Et values have
- been previously reported in Clark et al. 2012.



Figure 1. a) Maximum leaf area index (LAI;  $m^2 m^{-2}$  ground area  $\pm 1$  standard deviationSD) and b) maximum nitrogen content in foliage (g N m<sup>-2</sup> ground area  $\pm 1$  standard deviationSD) during the summer at the oak, mixed, and pine stands from 2004 to 2009. Data are shown for understory, overstory and total LAI and N content. Pre = pre-disturbance, D = defoliation by Gypsy moth, B = burned in prescribed fire, Post = post-disturbance. <u>Pre-disturbance at the oak</u> stand was 2004-2006, complete defoliation by gypsy moth occurred in 2007, partial defoliation

- 861 by gypsy moth occurred in 2008, and post-disturbance was 2009. Pre-disturbance at the mixed
- 862 <u>stand was 2005, a prescribed fire was conducted on Feb 9, 2006, and defoliation of deciduous</u>
- species by gypsy moth occurred in 2007. Pre-disturbance at the pine stand was 2005-2006,
- 864 <u>defoliation of primarily understory vegetation by gypsy moth occurred in 2007, a prescribed fire</u>
- 865 was conducted on March 23, 2008, and post-disturbance was 2009.



Figure 2. Daytime net CO<sub>2</sub> exchange ( $\mu$ mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) at  $\geq$  1500  $\mu$ mol PAR m<sup>-2</sup> s<sup>-1</sup> and mean nighttime net CO<sub>2</sub> exchange during the summer (June 1 – August 31) from 2005 to 2009 at the oak, mixed and pine stands. Pre = pre-disturbance, D = defoliation by gGypsy moth, B = burned in prescribed fire, Post = post-disturbance. Statistics are in Table 3.



873

Figure 3. (a) Gross ecosystem productivity (GEP, g C m<sup>-2</sup> day<sup>-1</sup>), (b) daily evapotranspiration (Et, mm day<sup>-1</sup>), and (c) ecosystem water use efficiency (WUE<sub>e</sub>, g C mm Et day<sup>-1</sup>) calculated for the oak stand from 2005-2009, the mixed stand from 2005-2007, and the pine stand from 2005-

- during the summer. WUE<sub>e</sub> was calculated from the ratio of GEP to Et for dry canopy
- conditions. Pre = pre-disturbance, D = defoliation by Gypsy moth, B = burned in prescribed fire,
- 879 Post = post-disturbance. Statistics are in Table 3.



Figure 4. The relationship between daily evapotranspiration (Et, mm day<sup>-1</sup>) and daily gross ecosystem production (GEP, g C m<sup>-2</sup> day<sup>-1</sup>) for the oak, mixed and pine stands from June 1 to August 31, 2005, before disturbance. Statistics are in Table 4.



Figure 5. The relationship between daily evapotranspiration (Et, mm day<sup>-1</sup>) and daily gross ecosystem production (GEP, g C m<sup>-2</sup> day<sup>-1</sup>) for the (a) oak stand from June 1 to August 31 for

- 889 005-2009, the (b) mixed stand from June 1 to August 31 for 2005-2007, and the (c) pine stand
- from June 1 to August 31 for 2005-2009. Statistics are in Table 4.