

# Evaluating Southern Ocean Biological Production in Two Ocean Biogeochemical Models on Daily to Seasonal Time-Scales using Satellite Chlorophyll and O<sub>2</sub>/Ar Observations

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**Abstract.** We assess the ability of ocean biogeochemical models to represent seasonal structures in biomass and net community production (NCP) in the Southern Ocean. Two models are compared to observations on daily to seasonal time scales in four different sections of the region. We use daily satellite fields of Chlorophyll (Chl) as a proxy for biomass, and in-situ observations of O<sub>2</sub> and Ar supersaturation ( $\Delta O_2/Ar$ ) to estimate NCP.  $\Delta O_2/Ar$  is converted to the flux of biologically generated O<sub>2</sub> from sea to air (O<sub>2</sub> bioflux). All data are aggregated to a climatological year with a daily resolution. To account for potential regional differences within the Southern Ocean, we conduct separate analyses of sections south of South Africa, around the Drake Passage, south of Australia, and south of New Zealand.

10 We find that the models simulate the upper range of Chl concentrations well, underestimate spring levels significantly, and show differences in skill between early and late parts of the growing season. While there is a great deal of scatter in the bioflux observations in general, the four sectors each have distinct patterns that the models pick up. Neither model exhibits a significant distinction between the Australian and New Zealand sectors, and between the Drake Passage and African sectors. South  
15 of 60° S, the models fail to predict the observed extent of biological O<sub>2</sub> undersaturation. We suggest that this shortcoming may be due either to problems with the ecosystem dynamics or problems with the vertical transport of oxygen.

## 1 Introduction

Recent years have seen an intense effort to better understand the global biogeochemical cycle. Scientific cruises organized by programs such as CLIVAR, WOCE, and GEOTRACES have generated a wealth of information about physical and chemical tracers in the global oceans, most of which have been aggregated to climatologies (e.g. Garcia et al., 2010). These field data, together with satellite observations of phytoplankton biomass, have helped in assessing the mean state and variability of the global marine ecosystems. Concurrently, a number of ocean global general circulation models (OGCMs) with added functionality to simulate biogeochemical processes have been developed, mainly to study trends and variabilities in earth’s climate and the global carbon cycle.

This type of global climate model is predominately constructed and tuned to simulate decadal large-scale processes such as the global wind-driven and thermohaline circulation or the distribution of major water masses. However, an increased interest in bio-physical interactions on smaller temporal and spatial scales, together with the recent ability to run global eddy-resolving OGCMs, have raised the question of how well coarser global climate models perform in daily to seasonal time domains. A model which has a high skill in estimating the basin-wide annual mean phytoplankton biomass might not correctly simulate process-level details in the seasonal cycle of biological production, such as the onset of spring blooms.

With this study, we aim to do such an evaluation by comparing two state-of-the-art OGCMs with observations of Chl and biological production. Since our main focus is the seasonal cycle and regional variability, we use two properties with high temporal and spatial resolution – satellite derived Chl and in-situ estimations of Net Community Production (NCP) based on the  $\Delta O_2/Ar$  method. Chl and NCP are particularly well suited because they represent the ”end product” of bottom-up driven biogeochemical models and are both of general interest in climate change studies. Satellite derived Net Primary Production (NPP) is not included this study since it is closely correlated to Chl on the time-scales of interest. We focus on the Southern Ocean south of  $40^\circ S$  since a large number of  $\Delta O_2/Ar$  measurements have been collected from this area (Huang et al., 2012; Reuer et al., 2007; Cassar et al., 2011). While satellite derived Chl is a well known and widely used property,  $\Delta O_2/Ar$  based NCP will require a more detailed introduction:

The  $\Delta O_2/Ar$  method was developed to estimate oceanic NCP by measuring the saturation of  $O_2$  and Ar in the mixed layer (e.g. Spitzer and Jenkins, 1989).  $O_2$  supersaturation occurs from both biological  $O_2$  production and three physical processes: warming, changes in air pressure, and bubble entrainment. It is possible to decouple the biological component from the physical component by using the saturation of Ar, since Ar has similar physical properties as  $O_2$  but is biologically inert. Following Craig and Hayward (1987), we define  $O_2$  supersaturation relative to Ar as:

$$\Delta O_2/Ar = \frac{(O_2/Ar)_{\text{sample}}}{(O_2/Ar)_{\text{eq}}} - 1 \quad (1)$$

This term is equivalent to the biological  $O_2$  supersaturation. Knowing  $\Delta O_2/Ar$ , one can approx-  
 55 imate the loss of biological  $O_2$  via gas transfer across the air–sea interface (hereafter denoted  $O_2$   
 bioflux) via the relationship

$$O_2\text{bioflux} \approx \kappa \cdot \Delta O_2/Ar \cdot O_{2eq} \quad (2)$$

where  $\kappa$  is gas transfer velocity based on Wanninkhof (1992) and  $O_{2eq}$  the concentration of  $O_2$  at  
 60 equilibrium. It is possible to use either  $\Delta O_2/Ar$  or  $O_2$  bioflux when comparing observations and  
 models. The relative advantages of the two properties are further discussed in Appendix 1; we will  
 use  $O_2$  bioflux in this study.

One key challenge for OGCMs is to simulate the vertical exchange of water and tracers. Most  
 physical processes that generate vertical mixing or advection act on length-scales several orders of  
 65 magnitude smaller than the model resolution. Instead, the models use different types of parame-  
 terizations to mimic the transport between surface waters and the deep ocean (Large et al., 1997;  
 Doney et al., 2007). The results are evaluated by comparing model simulations with observed global  
 distributions of transient tracers (e.g., radiocarbon or chlorofluorocarbons), especially in the deep  
 sea. The boundary layer parameterizations embedded in OGCMs are also often tested in 1-D against  
 70 high-frequency observations (e.g. Large et al., 1994). It is, however, less clear how well vertical pro-  
 cesses in the mixed layer and thermocline are represented by these parameterizations. One reason is  
 that, until now, there have been few good methods for evaluating vertical transports on these spatial  
 and temporal scales.

In this study we explore the feasibility of using  $O_2$  bioflux to evaluate how well vertical processes  
 75 are resolved on shorter timescales. This is possible due to the fact that the actual  $O_2$  balance of the  
 mixed layer is

$$dO_2/dt = NCP + (-FO_2 + D_{in})/h_{ml} \quad (3)$$

where  $dO_2/dt$  is the time rate of change of dissolved oxygen in units of  $\text{mmol m}^{-3} \text{day}^{-1}$ ,  $FO_2$   
 80 is the sea to air gas exchange flux,  $D_{in}$  is net input (or loss) of  $O_2$  to the mixed layer from ocean  
 physics (i.e., mixing and advection), and  $h_{ml}$  is the mixed layer depth. (Ducklow and Doney, 2013)  
 Assuming steady state, this equation can be rewritten as a negative relationship between  $O_2$  bioflux  
 and the net downward vertical transport of  $O_2$ :

$$85 \quad NCP = O_2\text{bioflux} + O_2\text{vertical flux.} \quad (4)$$

$O_2$  bioflux can hence be used to give a combined evaluation of how well models simulate upper  
 ocean biogeochemical rate processes, sea–air  $O_2$  fluxes, and vertical mixing. Such a combined as-

assessment is valuable since the interaction between physics and biology is a key source for variability on short timescales (days to months).

90 We will first compare regional and seasonal patterns in Chl and O<sub>2</sub> bioflux between observations and models, continue with exploring how O<sub>2</sub> bioflux can show discrepancies in how the models simulate vertical transports, and finally discuss how the results could help to identify mechanisms that contribute to mismatches between observations and models.

## 2 Methods

95 The main focus of the study is to compare model output with in-situ observations and satellite derived properties. The data from each source have different temporal and spatial resolution and span over different ranges in time. To compensate for these discrepancies, we re-grid in-situ observations and satellite fields to a model grid with roughly 1° resolution at equal intervals in time. We also combine observations from different years but the same year day (e.g. 1 January 2003, 1 January 2004,  
100 1 January 2005) to a climatological year with a daily time resolution.

### 2.1 Satellite data

We use remotely observed chlorophyll concentrations from MODIS/Aqua on the Level-3 9 km × 9 km grid. Daily satellite images from 2003 to 2010 were aggregated to the model grids and averaged to a year-day climatology. Satellite data, particularly in the Southern Ocean, suffers from  
105 a high frequency of days where clouds, light conditions, sea-ice, or other problems disqualify the observations. The relative frequency of days with valid information in our dataset is between 5 % and 15 % on the original grid and between 20 % and 50 % on the aggregated model grid.

### 2.2 In-situ observations

We use  $\Delta O_2/Ar$  observations from 19 Southern Ocean cruises between 1999 and 2009, all occurring  
110 during the austral summer. The geographical locations of the respective ship tracks are presented in Fig. 1. The measurements were conducted by two different methods: water was collected in bottles and analyzed in lab (shown as blue in Fig. 1) on 16 cruises, and  $\Delta O_2/Ar$  was measured directly using a ship-borne flow-through system in 3 cruises (shown as red in Fig. 1). The measurements are clustered in space and time reflecting tracks of the ships of opportunity used in this study. We use  
115 these sampling clusters in our analysis as natural areas to compare and contrast different parts of the Southern Ocean. A more detailed description of the sampling strategies, measurement methods, and data sources can be found in Reuer et al. (2007) and Cassar et al. (2009, 2011).

Bioflux is calculated as the product of the biological O<sub>2</sub> supersaturation and the gas transfer velocity. The latter is determined using the Wanninkhof (1992) parameterization expressing gas  
120 transfer velocity in terms of a quadratic function of wind speed and the Schmidt number. We do



the calculation using daily averages of the NESDIS wind product with a  $0.5^\circ$  resolution based on data from the QuikSCAT satellite (Ebuchi et al., 2002). The gas transfer velocity for each  $\Delta O_2/Ar$  measurement is calculated from the daily-mean local wind speeds during the 60 days preceding collection. A time-weighted value for the gas transfer velocity is calculated based on the fraction  
125 of the mixed layer flushed in each subsequent interval until sampling (Reuer et al., 2007; Bender et al., 2011). The resulting gas transfer velocity is then used in Eq. (3) to calculate  $O_2$  bioflux from  $\Delta O_2/Ar$  supersaturation. A detailed analysis of possible uncertainties affiliated with the method can be found in Jonsson et al. (2013).

Finally we use Mixed Layer Depth (MLD) as a baseline diagnostic of how well vertical physical  
130 processes in the surface ocean are resolved. A total of about 75000 vertical profiles from Argo floats between 2001 and 2012 are used to estimate in-situ MLDs for the different regions. The observed MLD is defined as the depth where density is  $0.03 \text{ kg/m}^3$  higher than at the most shallow observation.

### 2.3 Models

135 The observations are compared with output from the ocean components of two IPCC-class ocean biogeochemical models. The TOPAZ ocean model is built upon version 4 of the modular ocean model (MOM4 Griffies et al., 2005) with a vertical z-coordinate and a horizontal B-grid with a tri-polar coordinate system (North America, Siberia, and Antarctica) to resolve the Arctic. The model has a nominally 1-degree horizontal resolution globally with higher meridional resolution near the  
140 equator (to  $1/3^\circ$ ). There are 50 vertical layers; resolution is 10 m in the upper 200 m, and coarser below. MOM4 includes a representation of the k-profile parameterization (KPP) planetary boundary layer scheme (Large et al., 1994), Bryan–Lewis deeper vertical mixing, Gent–McWilliams isopycnal thickness diffusion (Gent and McWilliams, 1990), bottom topography represented with partial cells, isotropic and anisotropic friction, and a multiple-dimensional-flux-limiting tracer advection scheme  
145 using the third order Sweby flux limiter. For these studies, the ocean model is forced by prescribed boundary conditions from the reanalysis effort of the ECMWF and NCAR Common Ocean-ice Reference Experiments (CORE).

BGCCSM is based on the Los Alamos National Laboratory Parallel Ocean Program (POP) (Smith and Gent, 2004). In our application, the grid is symmetric in the Southern Hemisphere with a zonal  
150 resolution of  $3.6^\circ$ . Meridional resolution decreases from  $1.8^\circ$  at mid-latitudes to about  $0.8^\circ$  at high and low latitudes. The surface layer is 12 m thick; there are in total 5 layers to 111 m, and 25 layers to the bottom. This model invokes Gent–McWilliams’ isopycnal mixing and the KPP upper ocean model, and is also forced by prescribed boundary conditions from CORE.

The air–sea fluxes of  $O_2$  and  $CO_2$  in both models are computed using prescribed atmospheric  
155 conditions (surface pressure, mole fraction), model-predicted surface water concentrations, NCEP surface winds, and the quadratic dependence of the gas exchange coefficient on wind-speed (Wan-

ninkhof, 1992). Argon was added as a prognostic tracer to the simulations in both models in an analogous fashion to  $O_2$ ; i.e.,  $O_2$  and Ar solubility are similarly determined using model temperature and salinity, and Ar uses the same gas-exchange parameterization as  $O_2$ .

160 Both models include complex biogeochemistry/ecosystem components with macro- and micronutrients, organic matter, and three phytoplankton functional groups: small phytoplankton, large phytoplankton, and diazotrophs. Both invoke co-limitation by iron, light, and nitrogen, mediated in part by their influence on the Chl:C ratio, and slower rates of photosynthesis at lower temperatures. Diazotrophs have high N:P ratios and low photosynthetic efficiencies (TOPAZ) or high iron requirements (TOPAZ, BGCCSM). NCP is calculated as the difference between production and  
165 consumption of carbon by the different functional groups.

It is possible to use either  $\Delta O_2/Ar$  or  $O_2$  bioflux for comparing observations with models. One could argue that  $\Delta O_2/Ar$  is a more robust property since it is an observed quantity, whereas  $O_2$  bioflux is a derived product that depends on the wind field. On the other hand, model  $\Delta O_2/Ar$   
170 depends on the choice of wind forcing, whereas  $O_2$  bioflux is assumed to be directly linked to NCP. Our tests show that both methods give similar accuracy and we choose to use  $O_2$  bioflux since its units are more appropriate for the current study (see the Appendix for further discussion).

### 3 Results

First we test the feasibility of aggregating data from different years into a single climatological year.  
175 This approach is useful only if the difference between seasons is significantly larger than the inter-annual variability. We test the assumption by comparing how model  $O_2$  bioflux changes from one date to another in a climatological year, against the standard deviation at the same dates between a number of years. As a test case, fields from BGCCSM were used to generate climatologies for the days 15 November and 15 December by averaging data for the entire Southern Ocean from  
180 four consecutive model-years. Our results show that the mean difference is  $19.8 \text{ mmol } O_2 \text{ m}^2 \text{ d}^{-1}$  between the two different dates, whereas the standard deviation over four years on the respective dates is only  $8.7 \text{ mmol } O_2 \text{ m}^{-2} \text{ d}^{-1}$ . It is hardly an unexpected result that spring values of  $O_2$  bioflux differ from summer since the Southern Ocean is a high-latitude region. This result encourages us to aggregate observations and model-data from different years into a climatological year.

185 Next, we compare the model simulations with observations. Chlorophyll simulated by BGCCSM and TOPAZ is related to satellite observations retrieved by the MODIS/Aqua mission from 1 January 2003 to 31 December 2010. Each daily satellite image is reprojected from a native  $9 \text{ km} \times 9 \text{ km}$  resolution to the TOPAZ'  $1^\circ \times 1^\circ$  grid and the fields are aggregated to a climatological year, as mentioned earlier. The resulting datasets are analyzed in four geographical sectors, shown as shaded  
190 areas in Fig. 1. We zonally average the data in each sector to a Hofmøller diagram with latitude on the y-axis, time on the x-axis, and daily zonal Chl averages as colors (Figs. 2–5). It should be noted

that one problem with using satellite-retrieved Chlorophyll is a systematic lack of data during winter due to low light conditions and sea-ice cover in combination with the satellite's track. These periods are shown as gray areas in the figures. Figure 6 show a more detailed representation of the data at 195 60° south.

Figures 7–10 present model NCP and bioflux vs. our observations. Panel a in each figure shows the temporal evolution of NCP vs. latitude in the two models, and panel b the corresponding model O<sub>2</sub> bioflux. The sampling locations are indicated on the model plots by gray circles. Panel c, finally, presents O<sub>2</sub> bioflux from the observations shown in Fig. 1. As mentioned earlier, all measurements 200 that fall on the same year-day and grid cell are combined to one mean value, which is indicated by the color of the dot. Note that the aggregated values of observed O<sub>2</sub> bioflux presented together with TOPAZ are somewhat different from the ones connected to BGCCSM, since the two model grids are different.

A general pattern arises where the models simulate upper ranges of Chl well but underestimate 205 spring levels significantly. Each model also shows differences in skill between early and late parts of the growing season, performing better during the spring and early summer. While there is a great deal of scatter in the bioflux observations, the four sectors each show distinct patterns, whereas the models exhibit little distinction between the Australian and New Zealand sectors, and between the Drake Passage and African sectors. Next, we compare the simulated fields and data for each of the 210 study regions in more detail.

### 3.1 Drake passage

The seasonal change in satellite-derived Chlorophyll for the Drake Passage sector is shown in the top panel of Fig. 2. It is possible to discern a seasonal cycle, even though we lack winter and early spring data in higher latitudes. Towards the South, the earliest retrieved concentrations are 215 between 3 and 5 times or more lower than maximum values at late spring/summer peak. Both the magnitude and timing of maximum summer Chl concentrations vary with latitude, with the strongest and latest blooms occurring in high latitudes. [Chl] reaches 1 mg m<sup>-3</sup> south of 70° S, decreases to 0.5 mg Chl m<sup>-3</sup> between 60° S and 70° S, and increases again north of 50° S. The growing season, as inferred from the period of elevated summer Chl, is about three months south of 70° S and generally 220 lengthens going to the north. The bands of very high Chl north of 45° S and south of 70° S are likely due to transport of sedimentary iron from coastal waters generating elevated biological production.

Panels b and c present Chl climatologies from TOPAZ and BGCCSM. The grey shadings indicate where satellite coverage is missing. It is clear that the seasonal chlorophyll progression in both models diverge from observations, whereas the magnitude of peak Chl concentrations is simulated 225 rather well. TOPAZ underestimates Chl concentrations somewhat early in the season and generates an intense spring bloom extending over the entire sector from 75° S to 40° S. (“Bloom” as used here indicates a transient period of high [Chl] lasting no more than 1 month.) In the observations high

[Chl], once established, continues throughout most of the summer, and concentrations  $> 1 \text{ mg m}^{-3}$  are limited to the region south of  $70^\circ \text{ S}$ . In these southern regions, the onset of the TOPAZ bloom comes 1–2 months earlier than in the observations. The spring bloom in TOPAZ collapses after about a month with too low concentrations of Chl over the austral summer as a result. Finally, the season ends with TOPAZ generating a weaker fall bloom during part of the season without satellite coverage. South of  $50^\circ \text{ S}$ , BGCCSM begins the season with much lower Chl concentrations than both TOPAZ and satellite observations. The model generates a much more intense bloom between  $60^\circ \text{ S}$  and  $70^\circ \text{ S}$  than observations suggest, but has good skill in predicting the timing and magnitudes south of  $70^\circ \text{ S}$ . Both models suggest that during the bloom, biomass is significantly higher in the frontal regions compared to observations.

$\text{O}_2$  bioflux observations in the Drake Passage region originates both from transects crossing the Drake Passage and nearby cruises in the Palmer Long Term Ecological Research program annual survey west of the Antarctic Peninsula. Our results are thus partly influenced by coastal processes outside the models' domain. In the Drake Passage sector, TOPAZ and BGCCSM show similar patterns in NCP (panel a) north of  $65^\circ \text{ S}$ . South of  $65^\circ \text{ S}$ , TOPAZ simulates intensive NCP over the summer, whereas BGCCSM has close to zero net production. Both models have predominantly negative  $\text{O}_2$  biofluxes south of  $50^\circ \text{ S}$  in October (panel b), and a progressive change to positive fluxes from north to south with time. The negative values of  $\text{O}_2$  bioflux in TOPAZ are about twice as large as they are in BGCCSM. In TOPAZ,  $\text{O}_2$  bioflux is positive throughout the domain in January, whereas in BGCCSM there is a southerly region of negative flux throughout the season.

Panel c of Fig. 7 shows observations of  $\text{O}_2$  bioflux in the region. The pattern of negative fluxes south of  $60^\circ \text{ S}$  in November and December corresponds qualitatively with the models. There is a wealth of observations south of  $60^\circ \text{ S}$  in January and February, but they show significant variability. Some observations suggest biological production rates of up to  $50 \text{ mmol m}^{-2} \text{ d}^{-1}$ , which is similar to the levels predicted by TOPAZ. Others correspond to the results from BGCCSM with  $\text{O}_2$  bioflux estimates near or below zero. The mean observed  $\text{O}_2$  bioflux values in January and February south of  $65^\circ \text{ S}$  are  $8 \pm 20 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ . The corresponding values for BGCCSM are  $9 \pm 13$ , and for TOPAZ,  $16 \pm 27$ . The observations in April suggest undersaturated conditions that correspond with BGCCSM but not with TOPAZ.

### 3.2 South Africa

The observations in the South Africa sector (Fig. 3, top panel) show similar patterns to the Drake Passage sector for satellite-derived Chl, with the main exception of a weaker bloom north of  $50^\circ \text{ S}$ . Note the lack of observations south of  $70^\circ \text{ S}$  where of Chl concentrations may be very high. TOPAZ (panel b) begins the season with somewhat higher concentrations of Chl than observed and, with time, generates a significantly stronger bloom. As in the Drake Passage, the TOPAZ bloom crashes mid-summer, after which model Chl concentrations are significantly lower than observations. BGCCSM

starts the season with much lower Chl concentrations than the satellite data and generates an exaggerated bloom as well, especially south of 55° S.

NCP and O<sub>2</sub> bioflux climatologies (Fig. 8) show that TOPAZ has an earlier and more intense band of positive NCP than BGCCSM (panel a). BGCCSM has a slow southward progression of the the positive NCP band with NCP reaching 20 mmol m<sup>-2</sup> d<sup>-1</sup> at 40° S in October and at 65° S in February. TOPAZ, on the other hand, has a more uniform pattern in which most of the region reaches these levels of NCP by mid-November. The two models have O<sub>2</sub> bioflux patterns (shown in panel b) that vary in a fashion similar to that of the Drake Passage region. Both models start with negative O<sub>2</sub> bioflux: < -30 m<sup>2</sup> d<sup>-1</sup> in TOPAZ and ~ -10 mmol m<sup>-2</sup> d<sup>-1</sup> in much of the domain in BGCCSM. This negative bioflux switches to positive values faster in TOPAZ than in BGCCSM, most likely due to the earlier onset of biological production. Finally, BGCCSM has a slightly earlier and stronger switch to negative O<sub>2</sub> bioflux at the end of the growing season than does TOPAZ.

We have O<sub>2</sub> bioflux observations from four crossings in the South Africa sector (Fig. 8) distributed in time from December to late March. The November-December transect has a pattern of weakly positive O<sub>2</sub> bioflux in the north that turns negative below 50° S. Both models show a somewhat different pattern with positive O<sub>2</sub> bioflux south of 50° S as well. While both models show positive values, the observed January transect has predominantly negative biofluxes. Finally, the two transects in March show a pattern with high positive O<sub>2</sub> bioflux in the north and significant negative bioflux at 55° S. BGCCSM has a better skill in recreating these patterns than does TOPAZ.

### 3.3 Australia and New Zealand regions

Chl in the Australian and New Zealand sectors (Figs. 4 and 5) follow the general pattern of Drake Passage and South Africa. The main exception is BGCCSM generating spring blooms further north and in a spottier pattern than in the sectors discussed earlier. In this model, the New Zealand sector has four distinct areas/periods of high Chl concentrations: in January-February south of 70° S, November-December between 60° S and 70° S, January between 45° S and 55° S, and October-November north of 45° S. This peculiar pattern could be due to interactions with hydrology in the frontal regions. The Australian sector show similar patterns for the latter three areas whereas the region south of 70° S lack data. TOPAZ shows indications of being out of phase with both MODIS and BGCCSM after the beginning of December, whereas BGCCSM captures the seasonal cycle in MODIS better.

NCP climatologies from the Australian sector (Fig. 9, top panel) show that TOPAZ generates short intense periods of positive NCP early in the growing season. In the southern reach of the domain, NCP stays high into the fall whereas elsewhere summer and fall NCP values are low (10 mmol m<sup>-2</sup> d<sup>-1</sup>). BGCCSM, on the other hand, has a much longer and more intense period of positive NCP than in other parts of the Southern Ocean. In this model, spring conditions south of 45–50° S are dominated by weaker negative O<sub>2</sub> biofluxes when compared to other regions in both

300 models (panel b). BGCCSM switches to negative bioflux at the end of the summer whereas  $O_2$  bioflux in TOPAZ stays positive throughout March.

The observations in this part of the ocean differ from those simulated by TOPAZ. For example, almost all measurements north of  $55^\circ$  S report higher  $O_2$  biofluxes,  $> 25 \text{ mmol m}^2 \text{ d}^{-1}$ , in February. As well these field data exhibit low or even negative biofluxes at  $65^\circ$  S where TOPAZ predicts strong  
305 biological production and high  $O_2$  bioflux from mid-November to mid-February. BGCCSM is more in line with observed patterns, with the main exception of an early onset of negative  $O_2$  bioflux in the fall at  $40^\circ$  S– $50^\circ$  S in the model where the observations still suggest strong positive biofluxes. In general, between  $50$  and  $60^\circ$  S, TOPAZ and BGCCSM both simulate a strong spring bloom whereas observations show a simpler patters of sustained high production throughout the latter part of spring  
310 and summer. Model NCP and  $O_2$  bioflux in the New Zealand region are similar to values in the Australian sector (Fig. 10). The  $O_2$  bioflux observations in panel C show considerable scatter but in general are marked by high values north of the Polar front at  $60^\circ$  S, with low or negative values of bioflux to the south at most times. Both models capture the highly negative values of bioflux early in the growing season south of  $60$ – $65^\circ$  S, and occasionally negative values of bioflux later in  
315 the growing season. BGCCSM simulates our observations of sustained high NCP north of the Polar Front from November through February.

### 3.4 Cross regional, Southern Ocean analysis

The data-model comparisons of  $O_2$  bioflux for the different regions have certain patterns in common. In both models, the spring period of strong positive flux starts later in high latitudes. In general,  
320 TOPAZ tends to have an earlier, shorter, and more intense period of high Chl concentrations, than does BGCCSM. Observed values of  $O_2$  bioflux are much more variable than simulated values. This is expected given the relatively smooth and coarse fields of the models, and likely reflects the absence of mesoscale processes in the models.

Our next step is to compare the seasonal range in  $O_2$  bioflux values between observations and  
325 models as a function of latitude (Fig. 11). We aggregate the observations to year-days and model grid-cells as described above, but compare the resulting values with corresponding individual data points in the models matched by both location and collection time. Such comparison using individual data points suffers more from small-scale spatial mismatches than the zonal model averages used earlier, but allows us to better compare the seasonal range of  $O_2$  bioflux values between models  
330 and observations. Figure 11 shows  $O_2$  bioflux vs. latitude for the two models and observations in each of the previously defined regions. We find that BGCCSM generally predicts the meridional variability of ranges in  $O_2$  bioflux, suggesting that processes constraining NCP are simulated well. The seasonal maximum of model  $O_2$  bioflux might not occur at the same time in the models as in the real world, but the magnitude of the maximum values seems to be fairly well predicted. Equator-  
335 ward of about  $60^\circ$  S the models also tend to capture range and meridional structure of negative  $O_2$

bioflux, which reflects both low wintertime NCP and physical transport. In contrast, the models do not capture well the observed strong negative O<sub>2</sub> bioflux at high latitudes. TOPAZ also tends to exaggerate high positive O<sub>2</sub> bioflux in some areas, such as 60° S–70° S in the Drake Passage and New Zealand regions, whereas O<sub>2</sub> bioflux is underestimated in TOPAZ between 40° S and 50° S in the Australian and New Zealand regions.

We compare observed and simulated O<sub>2</sub> bioflux values for the same locations and times. The scatter plot in Fig. 12 compares O<sub>2</sub> bioflux simulated by TOPAZ (blue) and BGCCSM (red) with observations binned to the same year-days on the respective model's grid. It is clear from this figure that both models show a low correlation with the observations ( $r^2 = 0.024$  for TOPAZ and  $r^2 = 0.23$  for BGCCSM). This low correlation is expected: lags in time or displacements in space can generate large differences between the models and observations even if the fundamental processes are simulated with high skill (Doney et al., 2009). Further, the field data contain mesoscale variability that cannot be captured in the models (even if the models were eddy-resolving, the details of the simulated turbulent fields would differ from observed). It is also clear that the distributions of model-data residuals (difference from a diagonal 1 : 1 line) are asymmetrical. The upper right quadrant, where both observations (obs) and model data (mod) are positive, has considerable scatter about the 1 : 1 line but no apparent bias. The lower right quadrant (negative model, positive observation) is mainly empty, showing that the model rarely predicts undersaturation when the observations report supersaturation. An exception is the clustering of TOPAZ NCP values about zero, a consequence of low production simulated by that model after an intense bloom. The upper left quadrant is heavily populated, showing that the models frequently simulate positive values of bioflux when the ocean is in fact undersaturated. Consistent with this pattern, the results in the lower left quadrant show that, when data and models agree that bioflux is negative, the observations are more negative than the models. The overall picture is that both models have a positive bias in predicting bioflux, mainly due to fewer negative values compared to the observations (Fig. 12). For all data points the model bias is statistically significant for both a paired *t* test (BGCCSM:  $n = 271$ ,  $t = -4.803$ ,  $p = 0.000$ ; TOPAZ:  $n = 273$ ,  $t = -2.870$ ,  $p = 0.004$ ) and a one-tailed binomial test (BGCCSM: pos = 167, tot = 271,  $p = 0.00005$ ; TOPAZ: pos = 151, tot = 273,  $p = 0.035$ ). Neither model shows a statistically significant bias when only positive values are considered.

### 3.5 Mixed Layer Depths

Finally, we create MLD climatologies for the different regions by integrating Argo and model MLDs using earlier mentioned methods (Figure 13). Both models are able to simulate the general trends rather well, with deep mixed layers in winter, a soaring in proving and shallow mixed layers during the summer. Regional structures are also similar in general, with the main exception of deep winter mixing extending too far south in TOPAZ, even if this is somewhat inconclusive due to lack of observations. The two main differences between models and observations are that the spring shoaling

tend to be later and more gradual in the observations. There is also much more small-scale variability in the Argo climatology, which could be explained by sparse data but also that the models have a too smooth mixed layer dynamics.

#### 375 **4 Discussion**

When comparing model and satellite climatologies of Chl concentrations and O<sub>2</sub> bioflux, we find both similarities and significant differences. The models are able to predict spring and summertime maximum levels of Chl and O<sub>2</sub> bioflux well, but levels are much too low during the winter and early spring. Such underestimations are particularly important in the case of Chl, which by nature has a lognormal distribution (Campbell, 1995) and is hence skewed towards low values. One explanation  
380 for this behavior is a combination of model grazing and/or phytoplankton mortality being too strong in the winter, as reported for the BGCCSM in the sub-polar North Atlantic by Behrenfeld et al. (2013). These patterns could also be explained by the two models simulating too weak vertical export of phytoplankton during summer and too strong export during winter. Johnson et al. (2013)  
385 has shown that MODIS/Aqua generally underestimate the dynamical range of Chl in the Southern Ocean, which would suggest that these differences might be even larger.

Another general pattern is that the models tend to simulate the increases in Chl during spring and early summer rather well, but show highly diverging behavior later in the season. In TOPAZ, the ecosystem tends to crash in early January with much too low biomass as an effect, whereas  
390 BGCCSM has patches where far too much Chl is produced. Work by (Hashioka et al., 2013) suggest that such differences in skill over the season could be explained by changes in processes that control the ecosystem. The spring bloom onset is thought to be controlled mainly by physical factors such as light, temperature, and vertical stratification, whereas the summer peak magnitude to be controlled by nutrient availability, grazing, mortality, and other ecological and biogeochemical factors. Finally,  
395 the decrease of Chl concentrations after a summer peak mainly depends on ecosystem dynamics such as grazing, succession, and other interactions between organisms, as well as vertical export of particulate organic matter. Phytoplankton production is in general better resolved by the models, whereas heterotrophic processes and vertical transports are challenging to implement well. These processes are often stochastic in their behavior and there is a lack of observation to parameterize  
400 them accurately.

With respect to models and observations, the misfit in spring Chl biomass is likely explained by the differences in mixed layer dynamics between models and observations. Too deep consistent winter mixed layers followed by a rapid shoaling without much small-scale variability, as observed in the models, would lead too low winter biomass and exaggerated spring blooms, again as observed.  
405 Differences in the timing of mixed layer shoaling in the spring, how the mixed layer shoals and short time variability, all can strongly affect the onset of the spring bloom since the availability of light



and nutrients in the mixed layer will be impacted. Problems with MLD dynamics has been shown by earlier studies in climate models similar to TOPAZ and BGCCSM (e.g. Fox-Kemper et al., 2011, and references within).

410 Both models show significant skill in simulating how the ranges of O<sub>2</sub> bioflux vary meridionally in the Southern Ocean north of 60° S. The fact that the models provide such reasonable results suggests that the models constrain ecosystem processes in the region rather well. The models show, however, large regional variations that tend to follow the earlier discussed patterns in Chl concentrations, both when compared with each other and with observations. We also find different and varying patterns  
415 in the seasonal cycle of biological net community production with TOPAZ often having a shorter, more intense, growing season than BGCCSM. That ecosystem processes seem to be well simulated, but the models still have regional and timing issues, could suggest problems with the physical model, such as how well lateral currents are represented or how mixed-layer and thermocline dynamics are parameterized.

420 One important difference between models and observations is that models fail to predict observed events of negative O<sub>2</sub> bioflux in waters south of 60° S. We suggest two possible explanations for why the models lack these events: problems with the ecosystem dynamics and problems with the vertical transport of oxygen. It is possible that observed summertime undersaturation is generated by net heterotrophy (negative NCP) temporally decoupled from earlier biological production. O<sub>2</sub>  
425 supersaturation from periods of positive NCP would then be lost via air–sea exchange before the start of net respiration. It is also necessary for particulate organic carbon to remain long enough in the mixed layer to be respired, and hence particle export has to be limited. Such events have been observed during the GasEx III experiment (Hamme et al., 2012) but are not generated by either model. Both TOPAZ and BGCCSM simulate NCP to evolve more smoothly than observations across  
430 time and space, even if significant variability is seen Jonsson et al. (2013).

Our second explanation as to why models fail in capturing summertime mixed layer O<sub>2</sub> undersaturation is that they underestimate rates and characteristics of vertical mixing. Several studies have shown the potential for entrainment and submeso-scale processes to transport waters between the thermocline and the mixed layer on short time scales. Such events would introduce O<sub>2</sub> under-  
435 saturated waters into the mixed lay and generate the type of conditions we find in our observations. OGCMs such as TOPAZ and BGCCSM lack the spatial resolution to resolve these kinds of processes and hence the ability to generate the undersaturated conditions we observe.

We cannot conclusively disprove either explanation for the models' failure to produce negative O<sub>2</sub> bioflux in summer but both the mismatches in MLD dynamics and the specific patterns in O<sub>2</sub>  
440 undersaturation strongly suggests that vertical transports is the dominating factor. This explanation is also supported by other studies such as Lachkar et al. (2007); Sallee and Rintoul (2011). Both find much stronger subduction rates south of 60° S when comparing high resolution models to ones with lower resolution. The areas of increased subduction described by Sallee and Rintoul correspond

very well with the latitude bands where we observe undersaturated conditions not generated by  
445 the models. (Below 60° S for the New Zealand, Australia, and Drake Passage sections; Between  
60° S and 40° S for the South African section.) Other studies furthermore suggest that such physical  
processes captured by higher spatial resolutions tend to be episodic in nature, making them prime  
candidates to generate the undersaturated O<sub>2</sub> conditions seen in our observations. One might argue  
that the lower frequency of negative O<sub>2</sub> bioflux in the models is simply due to model overestimation  
450 of NCP. Such a conclusion is not consistent with the models' accurate simulations of the seasonal  
range of positive O<sub>2</sub> bioflux (cf. fig. 12). The models appear to selectively overestimate O<sub>2</sub> bioflux  
when the observations of O<sub>2</sub> bioflux are negative.

## 5 Conclusions

The fact that both TOPAZ and BGCCSM simulate the ranges of NCP and peak summer Chl con-  
455 centrations well suggest that the models are able to parametrize at least physiological ecosystem  
processes depending on mechanistic relationships rather well. We find three main problems in the  
models: Errors in the timing and initial biomass levels of the spring bloom, regional displacements  
of high biomass and NCP, and failure to generate observed extents of negative O<sub>2</sub> bioflux. The com-  
bined picture of how models and observations compare, together with results from other studies,  
460 suggest that the main reasons for these errors are how vertical physical processes are simulated.

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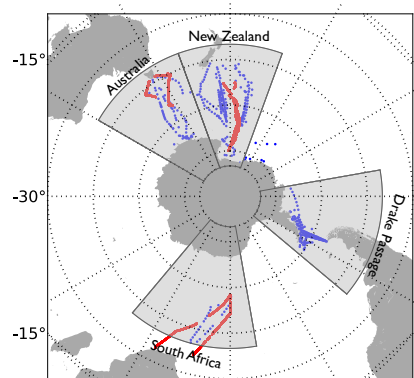
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## Appendix

To evaluate  $\Delta O_2/Ar$  or  $O_2$  bioflux for comparing observations with models, we use a box model 535 that calculates the evolution of  $\Delta O_2/Ar$  and  $O_2$  bioflux from prescribed time-series of NCP and wind. The box is a 50 m deep water column with no vertical or horizontal advection or mixing.  $O_2$  air–sea exchange is simulated using  $O_2$  saturation and the Wanninkhof (1992) gas transfer velocity parameterization; the exact setup is described in Jonsson et al. (2013). We conduct three experiments with the box model using a time series of NCP from TOPAZ at 160° W, 61° S (fig. 14a). In the first 540 experiment, represented by the blue lines in panels b–d, we use CORE-2 atmospheric reanalysis

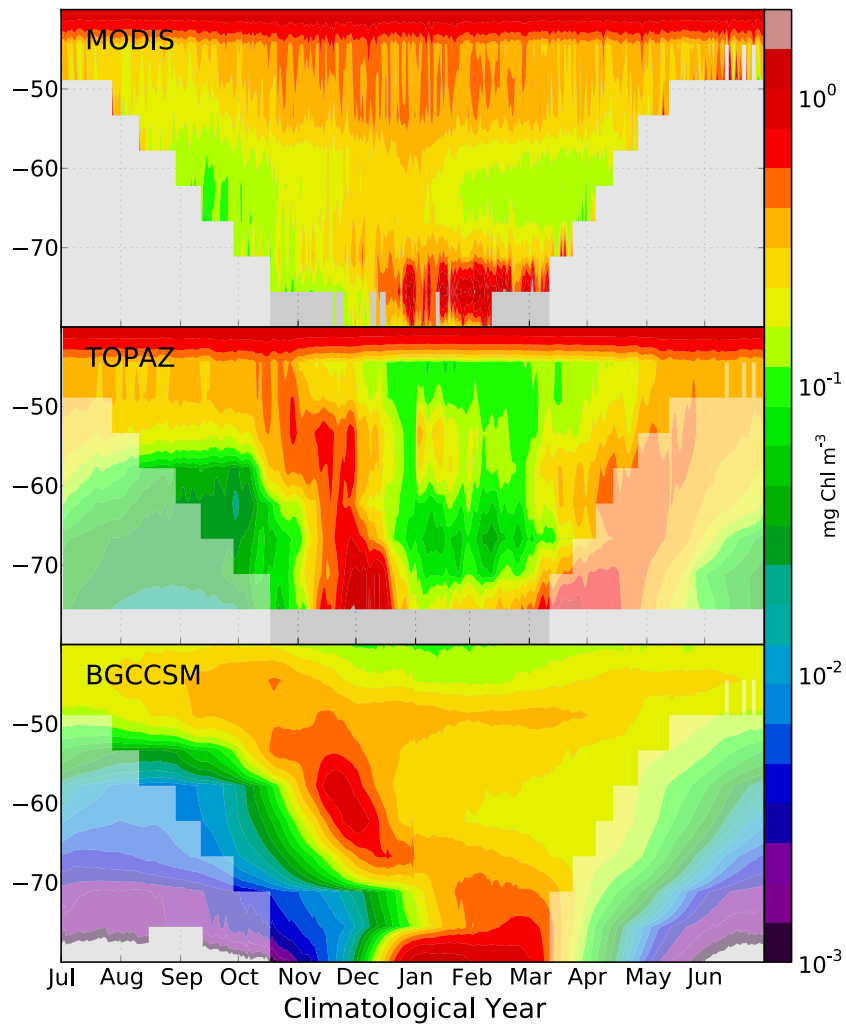
winds with a  $2^\circ \times 2^\circ$  resolution from the same position as the NCP observations (panel b). The resulting  $\Delta O_2/Ar$  supersaturation and  $O_2$  bioflux are presented in panels c and d respectively. In the second experiment, which is presented with red lines in panels b–d, we instead use Quikscat satellite-derived wind data with a  $0.25^\circ \times 0.25^\circ$  resolution from the same location as the NCP, to simulate the effect of a different wind product. When comparing the two cases, we find that  $O_2$  bioflux is a more robust indicator of the underlying ecological behavior (NRMSD = 5.0 %) than  $\Delta O_2/Ar$  (NRMSD = 10.7 %), which we find more sensitive to short-term differences in the wind forcing applied to the model.

This effect, however, is only true if  $O_2$  bioflux is calculated using the same wind history as that used to generate  $\Delta O_2/Ar$  in the box. In the real world, the forcing is the true wind stress. However,  $O_2$  bioflux is calculated with imperfect wind estimates from satellites or atmospheric reanalysis. The observed  $\Delta O_2/Ar$  values are fixed and can not be adjusted to compensate for the errors in the winds used to calculate  $O_2$  bioflux. We test the effect of wind errors by using the reanalysis winds to drive the box model and QuikSCAT winds for the  $O_2$  bioflux calculation. The resulting  $O_2$  bioflux of such an experiment, shown as a green line in panel d, has a similar error (NRMSD = 11.3 %) to that of  $\Delta O_2/Ar$  in panel c. Finally, we compare the relative effect of imperfect wind estimates between  $\Delta O_2/Ar$  and  $O_2$  bioflux. Time series of model NCP and wind from 500 locations in the Southern Ocean is used to force our boxmodel in an analogous fashion to the experiment presented in Fig. 2d in Jonsson et al. (2013). We find that  $O_2$  bioflux shows similar errors to  $\Delta O_2/Ar$ , suggesting that both properties are useful for comparing the models and observations. We choose to use  $O_2$  bioflux since the units of flux are more appropriate for the current study.



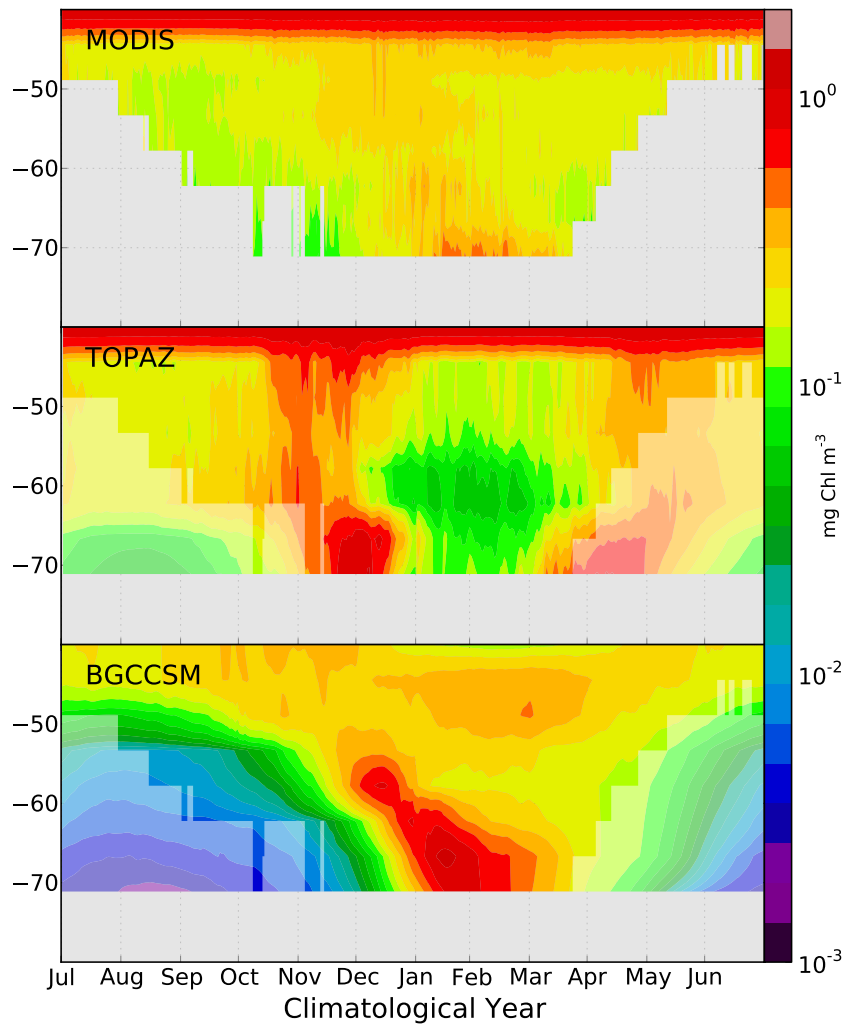
**Fig. 1.** Map of O<sub>2</sub> observations used in the study. Grey shadings signifies the four regions we focus on in this study. Dots indicate discrete sampling locations while red lines indicated continuous sampling.

## Chl climatology, Drake Passage



**Fig. 2.** Hofmøller plots of satellite Chl (top panel), Chl simulated by TOPAZ (middle panel), and Chl simulated by BGCCSM (bottom panel) in Drake Passage. All panels are zonal medians within the box. All data that fall on a specific grid cell on a specific year day are averaged to one value.

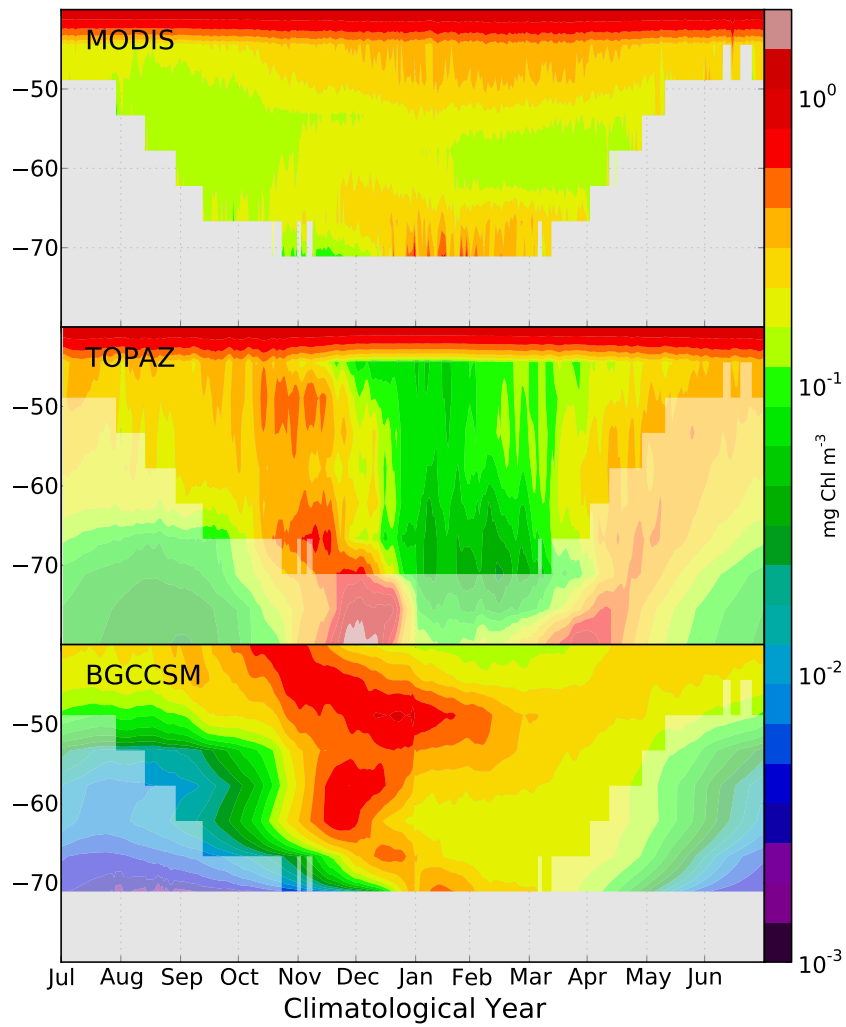
### Chl climatology, South Africa



**Fig. 3.** Hofmøller plots of satellite Chl (top panel), Chl simulated by TOPAZ (middle panel), and Chl simulated by BGCCSM (bottom panel) south of South Africa. All panels are zonal medians within the box. All data that fall on a specific grid cell on a specific year day are averaged to one value.

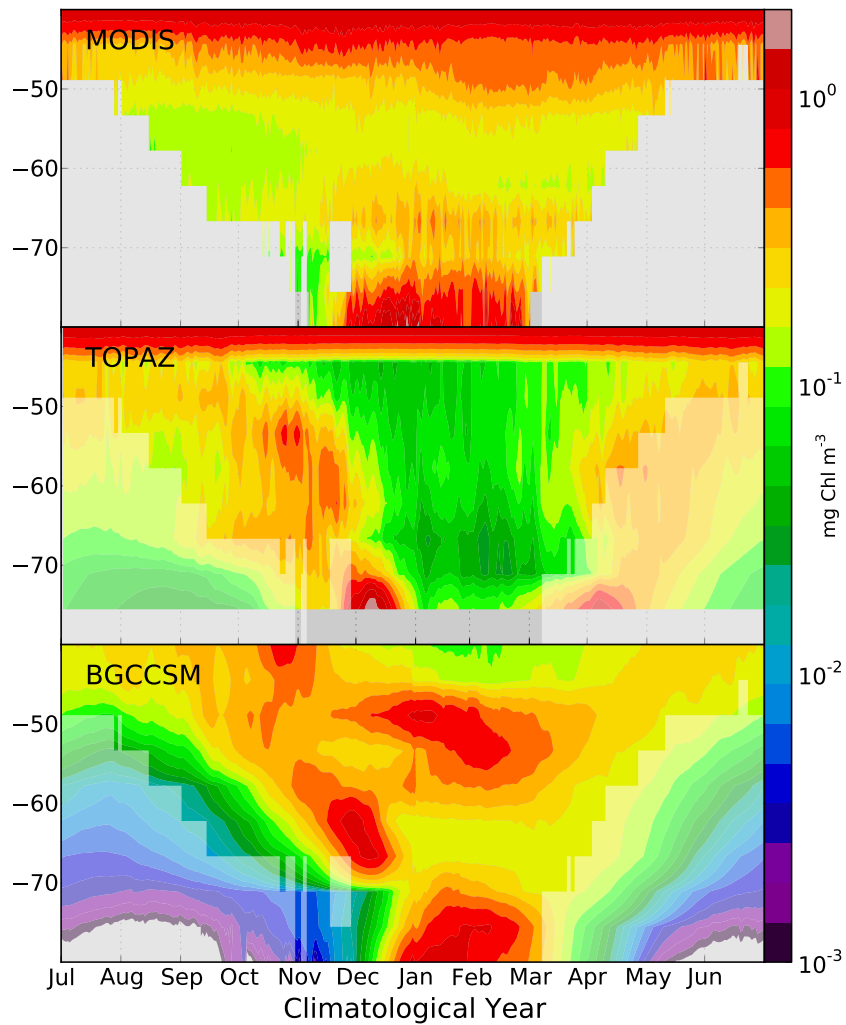


### Chl climatology, Australia

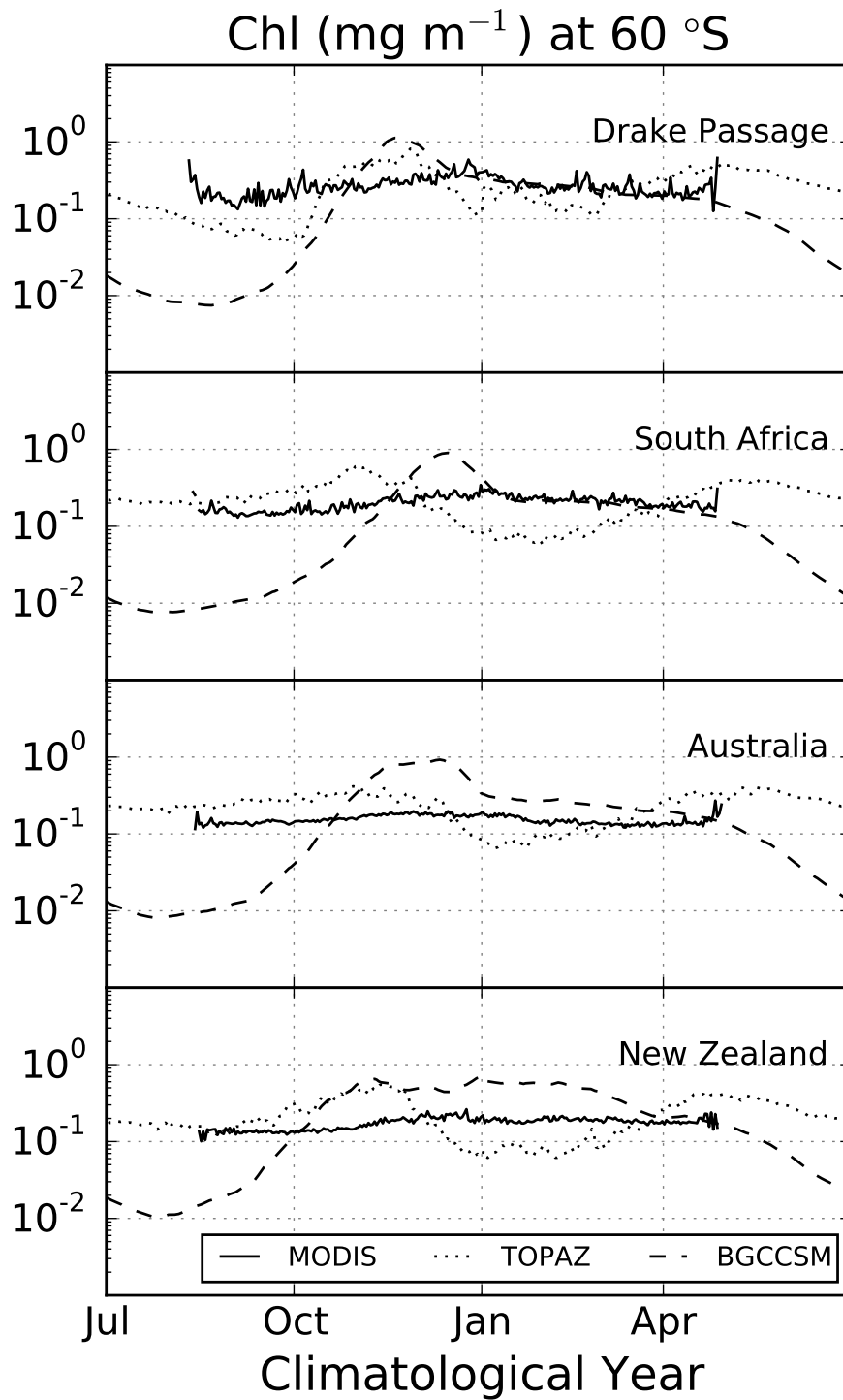


**Fig. 4.** Hofmøller plots of satellite Chl (top panel), Chl simulated by TOPAZ (middle panel), and Chl simulated by BGCCSM (bottom panel) south of Australia. All panels are zonal medians within the box. All data that fall on a specific grid cell on a specific year day are averaged to one value.

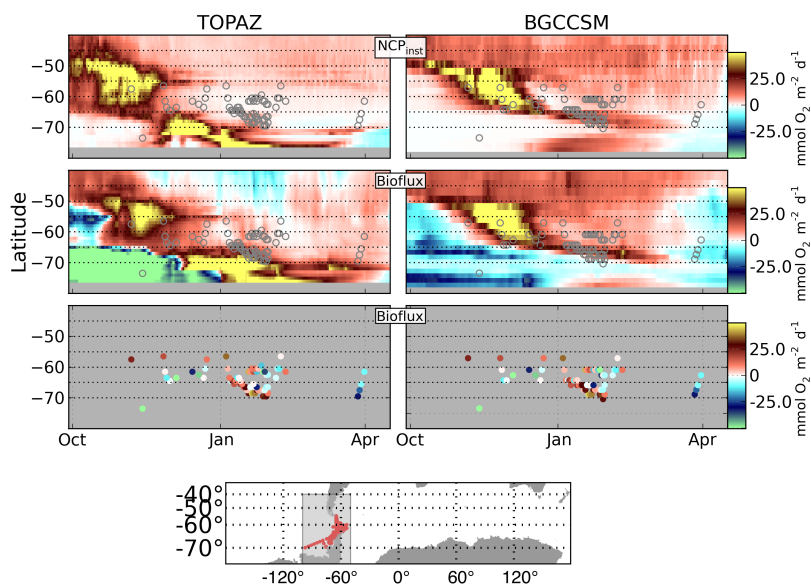
## Chl climatology, New Zealand



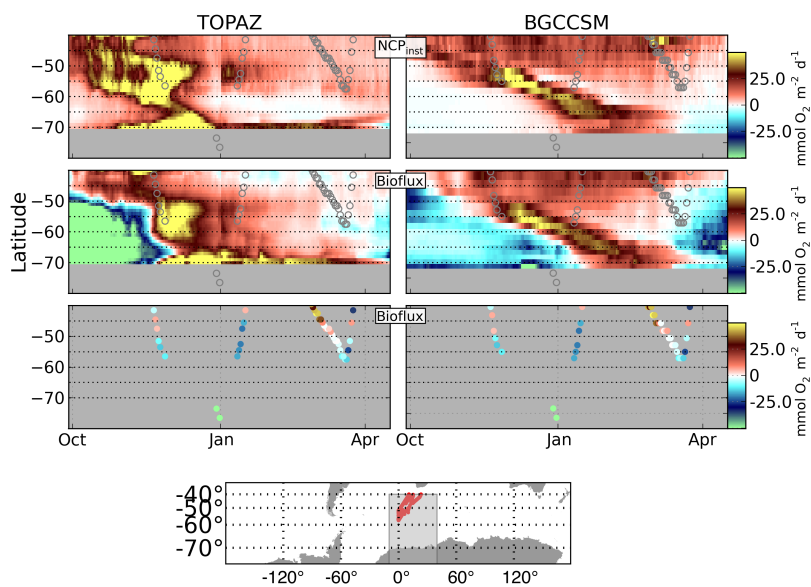
**Fig. 5.** Hofmøller plots of satellite Chl (top panel), Chl simulated by TOPAZ (middle panel), and Chl simulated by BGCCSM (bottom panel) south of New Zealand. All panels are zonal medians within the box. All data that fall on a specific grid cell on a specific year day are averaged to one value.



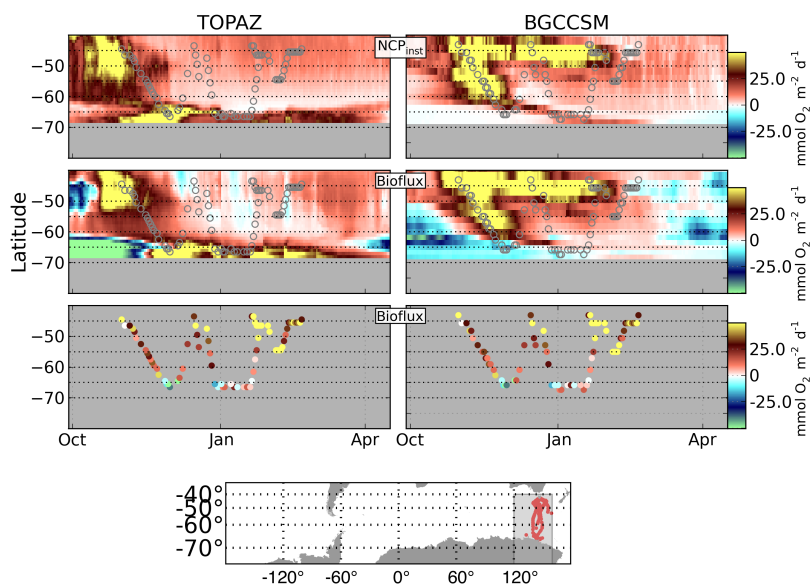
**Fig. 6.** Detail of the Chl concentrations in  $\text{mg m}^{-3}$  from figures 2 - 5. The lines show a slice of each original panel at  $60^\circ$  south. All data that fall on a specific grid cell on a specific year day are averaged to one value.



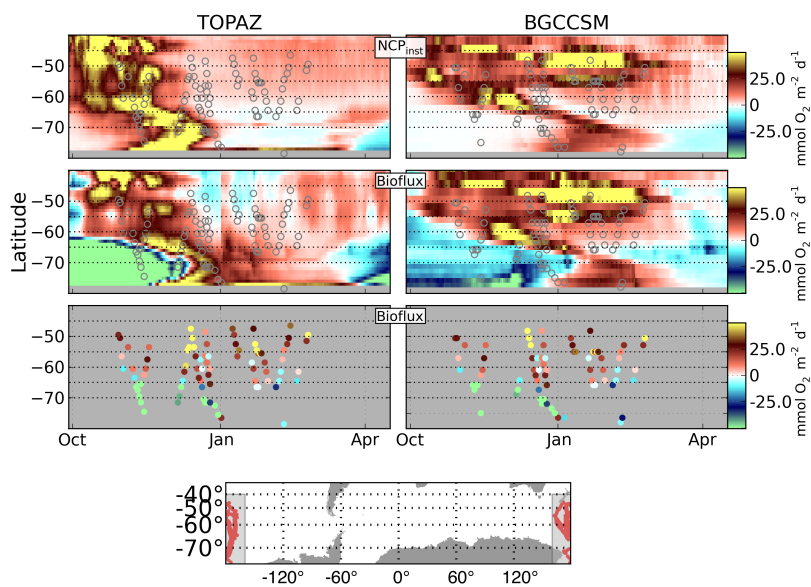
**Fig. 7.** Hofmøller plots of model NCP ( $\text{mmol m}^{-2} \text{d}^{-1}$ , top panel), model O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , middle panel), and observed O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , bottom panel) in Drake Passage (locations of observations are presented as red points in the map). All model values are zonal medians within the box. All observations that fall on a specific grid cell on a specific year day are averaged to one value.



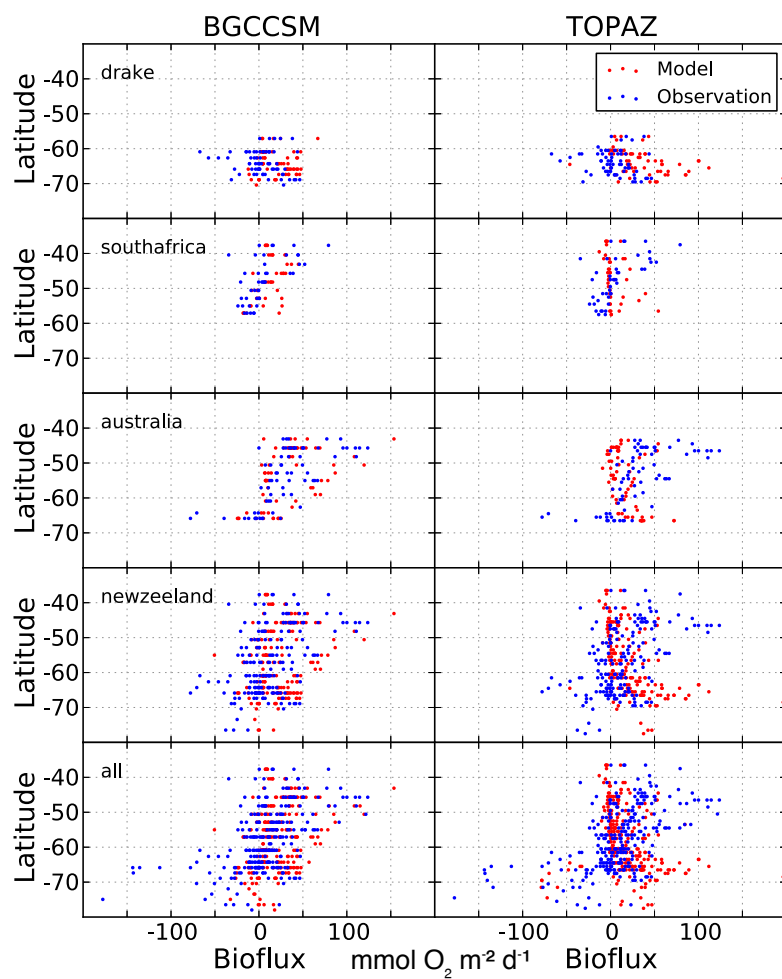
**Fig. 8.** Hofmøller plots of model NCP ( $\text{mmol m}^{-2} \text{d}^{-1}$ , top panel), model O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , middle panel), and observed O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , bottom panel) in the Southern Ocean south of South Africa (locations of observations are presented as red points in the map). All model values are zonal medians within the box. All observations that fall on a specific grid cell on a specific year day are averaged to one value.



**Fig. 9.** Hofmøller plots of model NCP ( $\text{mmol m}^{-2} \text{d}^{-1}$ , top panel), model O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , middle panel), and observed O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , bottom panel) in the Southern Ocean south of Australia (locations of observations are presented as red points in the map). All model values are zonal medians within the box. All observations that fall on a specific grid cell on a specific year day are averaged to one value.

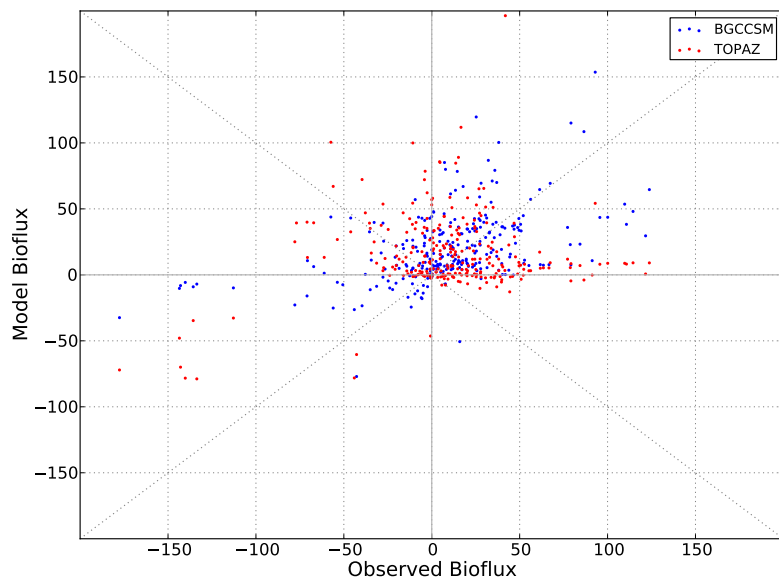


**Fig. 10.** Hofmøller plots of model NCP ( $\text{mmol m}^{-2} \text{d}^{-1}$ , top panel), model O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , middle panel), and observed O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ , bottom panel) in the Southern Ocean south of New Zealand (locations of observations are presented as red points in the map). All model values are zonal medians within the box. All observations that fall on a specific grid cell on a specific year day are averaged to one value.

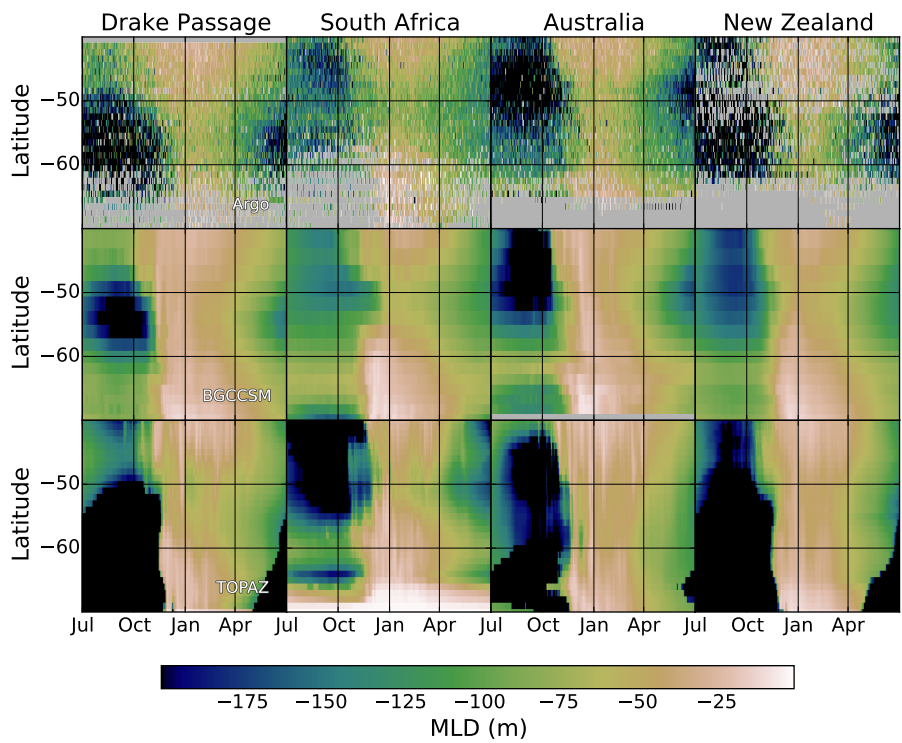


**Fig. 11.** Scatter plots of observed (blue) and model (red) O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{ d}^{-1}$ ) versus latitude in four regions of the Southern Ocean. All observations that fall on a specific grid cell at a specific year day are averaged to one value. Models are subsampled at the location and year day of the observations.

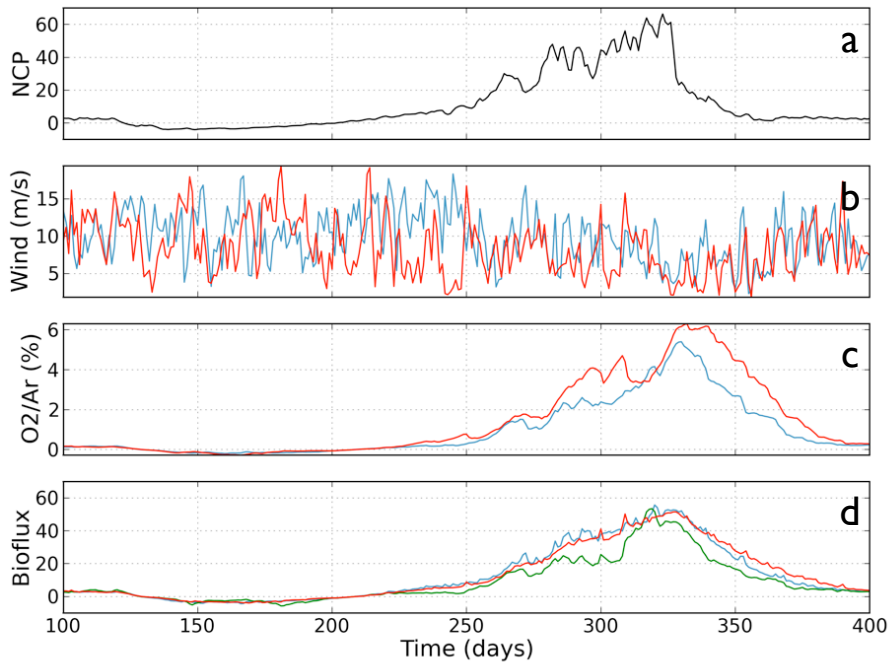




**Fig. 12.** Scatter plots of model versus observed O<sub>2</sub> bioflux ( $\text{mmol m}^{-2} \text{d}^{-1}$ ) for the observational sampling sites shown in Figure B. All observations that fall on a specific grid cell at a specific year day are averaged to one value. Models are subsampled at the location and year day of the observations.



**Fig. 13.** Climatological Hofmøller plots of MLD (m in different regions of the Southern Ocean. All model values are zonal medians within the box. All observations that fall on a specific grid cell on a specific year day are averaged to one value.



**Fig. 14.** Panel A shows mixed-layer integrated NCP from the TOPAZ model at 61.5°S, 159.5°W over a 400 day period. Panel B shows two wind time-series for the same time. The blue line is the NCEP/CORE reanalysis winds from the same location as the model NCP data, and the red line is Quikscat satellite-derived winds from the same location. The two lines in panel C represent the resulting  $\Delta O_2/Ar$  supersaturation from a box model simulation based on the time series in panels A and B. Panel D shows  $O_2$  bioflux calculated from the time series in panels B and C. Red line is based on reanalysis winds and  $\Delta O_2/Ar$ , blue line is based on Quikscat winds and  $\Delta O_2/Ar$ , and green line is based on Quikscat winds and  $\Delta O_2/Ar$  calculated from reanalysis winds.