

18 **Abstract**

19 Northern peatlands in permafrost regions contain a large amount of organic carbon (C)
20 in the soil. Climate warming and associated permafrost degradation are expected to
21 have significant impacts on the C balance of these ecosystems, but the magnitude is
22 uncertain. We incorporated a permafrost model, Northern Ecosystem Soil
23 Temperature (NEST), into a biogeochemical model, DeNitrification-DeComposition
24 (DNDC), to model C dynamics in high-latitude peatland ecosystems. The enhanced
25 model was applied to assess effects of permafrost thaw on C fluxes of a sub-arctic
26 peatland at Stordalen, Sweden. DNDC simulated soil freeze/thaw dynamics, net
27 ecosystem exchange of CO₂ (NEE), and CH₄ fluxes across three typical land cover
28 types, which represent a gradient in the process of ongoing permafrost thaw at
29 Stordalen. Model results were compared with multi-year field measurements and the
30 validation indicates that DNDC was able to simulate observed differences in seasonal
31 soil thaw, NEE, and CH₄ fluxes across the three land cover types. Consistent with the
32 results from field studies, the modeled C fluxes across the permafrost thaw gradient
33 demonstrate that permafrost thaw and the associated changes in soil hydrology and
34 vegetation increase net uptake of C from the atmosphere, but also increase the annual
35 to decadal radiative forcing impacts on climate due to increased CH₄ emissions. This
36 study indicates the potential of utilizing biogeochemical models, such as DNDC, to
37 predict soil thermal regime in permafrost areas and to investigate impacts of
38 permafrost thaw on ecosystem C fluxes after incorporating a permafrost component
39 into the model framework.

40

41 **1 Introduction**

42 Northern peatlands are characterized by cold and wet conditions that promote the
43 accumulation of soil organic carbon (SOC) (e.g., Johansson T. et al., 2006; Schuur et
44 al., 2008). These ecosystems have accumulated 473-621 Pg (10^{15} g) carbon (C) since
45 the Last Glacial Maximum (Yu et al., 2010), with more than 277 Pg C stored in
46 permafrost areas (Schuur et al., 2008; Tarnocai et al., 2009). Although northern
47 peatlands generally acted as sinks of carbon dioxide (CO_2) in the past and under
48 current climate (e.g., Lund et al., 2010; McGuire et al., 2009); peat C stocks may be
49 released to the atmosphere with climate warming, due to mobilization of previously
50 frozen C in permafrost soils and accelerated decomposition of SOC (e.g., Frolking et
51 al., 2011; McGuire et al., 2009; Schuur et al., 2009, 2011). In addition, because of
52 prevailing anaerobic soil conditions, northern peatlands are an important source of
53 atmospheric methane (CH_4), releasing 31-65 Tg $\text{CH}_4 \text{ yr}^{-1}$ (McGuire et al., 2009) and
54 methane emissions can change with permafrost thaw (Christensen et al., 2004).

55

56 Pronounced warming has been observed in northern high latitudes, with surface air
57 temperature increased by approximately $0.09^\circ\text{C decade}^{-1}$ during the 20th century
58 (ACIA, 2005). More pronounced warming has been projected in this region for the
59 21st century (IPCC, 2007). As a result of climate warming, degradation of permafrost
60 has been documented in northern peatlands (e.g., James et al., 2013; Payette et al.,
61 2004; Quinton et al., 2011; Åkerman and Johansson, 2008). Permafrost thaw can
62 result in increases in active layer thickness (ALT; the thickness of surface soil layer
63 that freezes and thaws seasonally above a year-round frozen layer) and cause land
64 surface subsidence, which in turn may cause changes in topography, soil hydrology,
65 and vegetation (e.g., Avis et al., 2011; Johansson M. et al., 2006; Schuur et al., 2008).

66 These changes associated with permafrost degradation can significantly affect the C
67 cycle in northern ecosystems (e.g., Dorrepaal et al., 2009; Johansson T. et al., 2006;
68 McGuire et al., 2009; Schneider von Diemling et al., 2012).

69

70 Although much concern has been placed on the C balance in permafrost ecosystems,
71 large uncertainty still exists (e.g., Koven et al., 2011; McGuire et al., 2009; Schuur et
72 al., 2011). Northern peatlands are highly heterogeneous, usually with varying
73 characteristics of permafrost, topography, hydrology, soil, and vegetation within close
74 proximity (Nungesser, 2003), which results in considerable variations of C fluxes at
75 local and landscape scales (e.g., Bäckstrand et al., 2010; Lund et al., 2010; Sachs et
76 al., 2010). Responses of the C balance to permafrost degradation have been shown to
77 vary across different peatlands as well (Bäckstrand et al., 2010). Therefore, it is an
78 ongoing challenge to extrapolate site-specific measurements to large regions.

79

80 Process-based models are effective tools to assess the impacts of climate change on
81 boreal ecosystems. Several large-scale models have been enhanced by incorporating
82 thermal, hydrologic, vegetation, and biogeochemical processes in relation to
83 permafrost conditions and these models have been applied to quantify the impacts of
84 climate change on C fluxes at regional and global scales (e.g., Schneider von
85 Diemling et al., 2012; Wania et al., 2009a, 2009b; Zhuang et al., 2001, 2004, 2006).

86 Predictions by large-scale models are generally done at coarse spatial resolutions,
87 therefore disregarding the effects of local spatial heterogeneity. By ignoring fine-scale
88 spatial heterogeneity in vegetation and environmental conditions, systematic biases
89 may occur in simulations of permafrost degradation and C fluxes (Bohn and
90 Lettenmaier, 2010; Zhang et al., 2013). In addition, the results based on coarse-scale

91 modeling are difficult to validate by comparing with field observations and
92 uncertainty may arise in regional and global simulations due to limited validation
93 (Kirschke et al., 2013).

94

95 A process-based biogeochemical model, DeNitrification-DeComposition (DNDC),
96 was recently enhanced by incorporating a permafrost model, Northern Ecosystem
97 Soil Temperature (NEST), for predicting biogeochemistry in high latitudes from plant
98 communities to ecosystem scale. The model was initially tested against one growing
99 season of CH₄ flux data measured at a permafrost site in the Lena River Delta, Russia
100 (Zhang et al., 2012). In this study, we applied the enhanced model to assess effects of
101 permafrost thaw on C fluxes of a well-studied sub-arctic peatland at Stordalen,
102 Sweden. The study peatland is located in a discontinuous permafrost zone and
103 consists of palsas – small, relatively dry plateaus elevated one to a few meters due to
104 subsurface ice lenses (Williams and Smith, 1989) – and intervening low, wetter areas.
105 These palsas can expand and shrink in extent with relatively small variations in
106 environmental conditions such as temperatures or winter snow packs (Payette et al.
107 2004), and represent one class of permafrost (Davis, 2001). Stordalen’s palsas are
108 extremely vulnerable to changing climate and widespread degradation of permafrost
109 is expected to occur (Åkerman and Johansson, 2008). DNDC simulated multi-year
110 soil freeze/thaw dynamics, net ecosystem exchange of CO₂ (NEE), and CH₄ fluxes
111 across three typical land cover types, which represent a gradient of permafrost
112 degradation in the study region. During simulations, different soil hydrologic
113 conditions and vegetation characteristics of these land cover types were used as
114 model inputs, therefore we focused on predicting the changes in soil thermal
115 dynamics and C cycling along with thawing. The model was tested against long-term

116 field measurements to verify its applicability for simulating the differences in soil
117 thermal regime and C fluxes across a gradient of permafrost thaw. Then we assessed
118 the possible impacts of permafrost thaw on C fluxes for the Stordalen peatland based
119 on the multi-year simulations. A validated simulation model provides a mechanism
120 for not only interpreting observations but also predicting the impacts of future climate
121 change on greenhouse gas emissions.

122

123 **2 Methods and data**

124 **2.1 The study area and field observations**

125 The study area is the Stordalen mire (68°20'N, 19°03'E, 351 ma.s.l.) located 10 km
126 southeast of Abisko Scientific Research Station (ANS) in northern Sweden. It is a
127 sub-arctic peatland with discontinuous permafrost. This area has a continental climate,
128 with an annual mean air temperature of 0.07 °C and an average annual precipitation of
129 308 mm during 1986-2006 according to the observations at ANS (Callaghan et al.,
130 2010). Long-term climate records at ANS indicate that the annual mean air
131 temperature in this region has increased by 2.5 °C from 1913 to 2006, significantly
132 exceeding the 0 °C threshold for the first time during the last few decades
133 (Bäckstrand et al., 2008; Callaghan et al., 2010). This warming has led to a thicker
134 active layer and permafrost disappearance in this area (Åkerman and Johansson,
135 2008). The degradation of permafrost has significantly affected surface topography,
136 hydrology, and vegetation, and thereby exerted a strong influence on the fluxes of
137 CO₂ and CH₄ (Christensen et al., 2004; Johansson T. et al., 2006; Malmer et al., 2005;
138 Åkerman and Johansson, 2008).

139

140 As in most peatlands in permafrost regions, Stordalen mire has high spatial

141 heterogeneity in topography (1-2 m relative differences in elevation). The topographic
142 variability creates small-scale (meters) environments with different soil moisture and
143 nutrient conditions that support different plant communities (Rosswall et al., 1975;
144 Bäckstrand et al., 2008). The area can be broadly classified into three typical land
145 cover types (i.e., dry Palsa, semi-wet Sphagnum, and wet Eriophorum; note that in
146 this study the terms Sphagnum and Eriophorum indicate land cover types instead of
147 vegetation species). The Palsa sites of Stordalen are dry features underlain by
148 permafrost, with an ALT usually < 0.7 m in late summer; the Sphagnum sites are also
149 underlain by permafrost, representing intermediate thaw features, with an ALT
150 generally thicker than 1.0 m in late summer, and are wetter than the Palsa with water
151 table levels fluctuate close to the ground surface; the Eriophorum sites have no
152 permafrost and are generally wetter than Sphagnum, with water table levels
153 constantly near or above the ground surface (Bäckstrand et al., 2008, 2010; Olefeldt
154 and Roulet, 2012). Therefore these three land cover types have different permafrost
155 regimes and soil water conditions, which support different vegetation compositions
156 (Bäckstrand et al., 2008, 2010). During the last three decades, there have been
157 pronounced shifts in the extent of these three land cover types, with Palsa being
158 converted into Sphagnum or Eriophorum dominated land cover (Christensen et al.,
159 2004; Malmer et al., 2005). These three land cover types can be regarded as
160 representing a gradient of permafrost degradation (e.g., Malmer et al., 2005;
161 Johansson T. et al., 2006; Bäckstrand et al., 2010).

162

163 CO₂ and CH₄ fluxes were measured using automated chambers at Stordalen during
164 2003 to 2009. NEE was measured at three sites (i.e., the Palsa, Sphagnum, and
165 Eriophorum sites) to represent three typical land cover types, and CH₄ emissions were

166 consistently observed at the Sphagnum and Eriophorum sites, where water table
167 levels were above or near the peat surface (Bäckstrand et al., 2008, 2010). The Palsa
168 site is relatively dry and its CH₄ flux is near zero (Bäckstrand et al., 2008). For each
169 plot, an auto-chamber system measured CO₂ and total hydrocarbon (THC) fluxes
170 every three hours and there were eight measurements per day. CH₄ fluxes were
171 manually observed approximately three times per week by taking samples from every
172 chamber and these measurements were used to quantify the proportion of CH₄ in the
173 measured THC (Bäckstrand et al., 2008, 2010). Daily NEE and CH₄ fluxes were
174 calculated as average values of eight measurements. During 2003 to 2009, valid rates
175 of daily NEE were calculated for 85-213 days in a year based on the field
176 measurements. Daily CH₄ fluxes were available for 79-116 days in a year, with an
177 exception in 2006 when the instrument was down (Bäckstrand et al., 2008, 2010). In
178 addition, soil thaw depth (measured to 90 cm) and water table depth (WTD) were
179 measured 3-5 times per week from early May to mid October each year (Bäckstrand
180 et al., 2008). Daily meteorological data, including air temperature, precipitation, solar
181 radiation, wind speed, as well as relative humidity, were recorded at the ANS (Figure
182 1). The technical details regarding the measurements of NEE and CH₄ fluxes, and the
183 relevant auxiliary variables were described by Bäckstrand et al. (2008, 2010).

184

185 **2.2 Modification of DNDC**

186 **2.2.1 Overview of the DNDC model**

187 DNDC is a process-based model developed for quantifying C sequestration as well as
188 the emissions of carbon and nitrogen (N) gases from terrestrial ecosystems (Li et al.,
189 1992a, 1992b, 2000; Stange et al., 2000; Zhang et al., 2002). The model has
190 incorporated a relatively complete suite of biophysical and biogeochemical processes,

191 which enables it to compute the complex transport and transformations of C and N in
192 terrestrial ecosystems under both aerobic and anaerobic conditions.

193

194 DNDC is comprised of six interacting sub-models: soil climate, plant growth,
195 decomposition, nitrification, denitrification, and fermentation. The soil climate, plant
196 growth, and decomposition sub-models convert the primary drivers, such as climate,
197 soil properties, vegetation, and anthropogenic activity, into soil environmental factors,
198 such as soil temperature and moisture, pH, redox potential (Eh), and substrate
199 concentrations. The nitrification, denitrification, and fermentation sub-models
200 simulate C and N transformations that are mediated by soil microbes and controlled
201 by soil environmental factors (Li, 2000; Li et al., 2012). In DNDC, NEE is calculated
202 as the difference between net primary production (NPP) and soil microbial
203 heterotrophic respiration (HR). NPP is simulated at daily time step by considering the
204 effects of several environmental factors on plant growth, including radiation, air
205 temperature, soil moisture, and N availability. The model simulates the production of
206 plant litter and incorporates the plant litter into pools of soil organic matter (SOM).
207 HR is calculated by simulating decomposition of SOM. SOM is divided into four
208 pools in DNDC, namely litter, microbes, humads, and passive humus. Each pool is
209 further divided into two or three sub-pools with specific C to N (C/N) ratios and
210 decomposition rates. As a microbially-mediated process, decomposition of each SOM
211 fraction depends on its specific decomposition rate as well as soil thermal and
212 moisture conditions (Li et al., 2012). Methane flux is predicted by modeling CH₄
213 production, oxidation, and transport processes. CH₄ production is simulated by
214 calculating substrate concentrations (i.e., electron donors and acceptors) resulting
215 from decomposition of SOC as well as plant root activities including exudation and

216 respiration, and then by tracking a series of reductive reactions between electron
217 donors (i.e., H₂ and dissolved organic carbon) and acceptors (i.e., NO₃⁻, Mn⁴⁺, Fe³⁺,
218 SO₄²⁻, and CO₂). In DNDC, CH₄ production and oxidation can occur simultaneously
219 within a soil layer but within relatively aerobic and anaerobic micro-sites, whose
220 volumetric fractions are defined by an Eh calculator, a so-called “anaerobic balloon”,
221 embedded in the model framework (Li, 2007). Redox potential, temperature, pH,
222 along with the concentrations of electron donors and acceptors are the major factors
223 controlling the rates of CH₄ production and oxidation. CH₄ is transported from soil
224 into atmosphere via plant-mediated transport, ebullition, and diffusion (Fumoto et al.,
225 2008; Zhang et al., 2002).

226

227 **2.2.2 Soil freeze/thaw and permafrost dynamics**

228 Traditionally, DNDC simulated soil thermal dynamics by a relatively simple module
229 without detailed processes describing the soil thermal regime in the presence of
230 permafrost. It did not explicitly simulate energy exchange within
231 soil-vegetation-atmosphere system, snowpack thermal dynamics, the presence of
232 permafrost, or active layer dynamics (Zhang et al., 2002). However, these processes
233 or environmental factors are important for characterizing the permafrost regime, soil
234 thermal dynamics, soil hydrology, or C and N cycles in high latitudes (e.g.,
235 Riseborough et al., 2008; Waelbroeck, 1993). In order to make DNDC more suitable
236 for northern ecosystems, especially frozen soil conditions, we incorporated a
237 permafrost model, NEST, into the model framework (Zhang et al., 2012). NEST is a
238 process-based model which simulates ground thermal dynamics, soil freeze/thaw
239 dynamics, and permafrost conditions (Zhang et al., 2003). In NEST, soil temperature
240 and permafrost thermal regime are calculated by solving the heat conduction equation,

241 with the upper boundary condition determined by surface energy balance and the
242 lower boundary condition being defined as the geothermal heat flux. The effects of
243 climate, vegetation, snow pack, ground features, and hydrological conditions on the
244 soil thermal regime are incorporated into the model on the basis of energy and water
245 exchanges within soil-vegetation-atmosphere system (Zhang et al., 2003, 2005). To
246 ensure that DNDC simulates permafrost environmental factors and biogeochemistry
247 in synchrony, NEST's functions, which describe soil thermal and hydrologic regimes,
248 were embedded into the framework of DNDC at the model code level. After coupling
249 to NEST, DNDC was able to simulate both the seasonal dynamics of active layer and
250 the long-term variations of permafrost as well as their impacts on biogeochemical
251 processes (Zhang et al., 2012). Therefore, the model should better serve
252 investigations of impacts of climate change on C fluxes in high-latitude ecosystems.

253

254 **2.3 Model application**

255 We performed DNDC simulations for the three typical Stordalen land cover types
256 (Palsa, Sphagnum, and Eriophorum) from 2002 to 2009. Daily meteorological data
257 (i.e., maximum, mean, and minimum air temperature, precipitation, solar radiation,
258 wind speed, and humidity) from 2002 to 2009 recorded at the ANS were collected to
259 support the simulations. All sites had a surface soil layer of peat (0.5 m) overlying a
260 silt soil layer (Rosswallet al., 1975; Rydeń et al., 1980; Olefeldtet al., 2012). The peat
261 had a bulk density of 0.15 g cm^{-3} , SOC content of 0.5 kg C kg^{-1} SDW (soil dry
262 weight), total porosity of 0.9, field capacity of 0.4 (water-filled pore space), wilting
263 point of 0.15 (water-filled pore space), and pH (H_2O) of 5.0, according to
264 observations from Malmer and Walleń (1996), Rydeń et al. (1980), and Öquist and
265 Svensson (2002). The local bedrock is granite (Rosswallet al., 1975) and a thermal

266 conductivity of $2.9 \text{ W m}^{-1} \text{ }^{\circ}\text{C}^{-1}$ was used (Clauser and Huenges, 1995). The
267 geothermal heat flux in the study region was estimated as 0.06 W m^{-2} (Majorowiczet
268 al., 2011).

269

270 While the three land cover types share common conditions regarding weather,
271 geology, and soil during the simulations, they differ in soil hydrologic conditions and
272 vegetation characteristics. In order to predict the dynamics of water table at the
273 Sphagnum and Eriophorum sites, DNDC used several parameters to estimate lateral
274 flows, including surface inflow rate, maximum water table depths for surface and
275 ground outflows, as well as surface and ground outflow rates (Zhang et al., 2002). We
276 estimated these parameters by comparing the modeled and observed WTD (Table 1).
277 To reduce the influence of WTD prediction-error on soil thermal and biogeochemical
278 processes, the observed WTDs were used during the simulations if the measurements
279 were available, and the simulated WTDs from this calibrated model were used to
280 interpolate daily values between observations. WTD observations at the Sphagnum
281 and Eriophorum sites were made on about one-third of the days across seven growing
282 seasons from 2003 to 2009. For the Palsa site, we assumed that there is no surface
283 lateral inflow and water will flow away each day when the water table is above the
284 land surface or water infiltrates into frost table, based on local studies (Rydeń et al.,
285 1980). DNDC also requires phenological and physiological parameters to simulate
286 plant growth, including maximum biomass production and its partitioning to shoot
287 and root, vegetation C/N ratio, required thermal degree days for vegetation growth,
288 plant water requirement, and an index of biological N fixation. These parameters for
289 the three land cover types were determined either based on literature or as model
290 defaults (Table 2).

291

292 To initialize the soil climate conditions, the soil thermal and hydrological modules of
293 DNDC were iteratively run by using the climate data in 2002 until the simulated
294 annual mean soil temperature was stable. Then the vegetation and soil
295 biogeochemical modules were activated and the model was run continuously from
296 2002 to 2009. (Note that soil initial conditions have only a small influence on DNDC
297 output as compared to other factors, therefore, although we did not turn on the
298 vegetation and soil biogeochemical modules during the initialization of soil climate
299 conditions, potential errors in soil initial conditions due to this were small.) We
300 validated the model by using the measured soil thaw depth, NEE, and CH₄ fluxes;
301 using the sign convention that positive values represent net CO₂ or CH₄ emissions
302 into the atmosphere and negative fluxes represent net CO₂ or CH₄ uptake. Two
303 statistical indexes, the relative root mean squared error (RRMSE, equation 1) and the
304 coefficient of correlation (R, equation 2), were used to quantify the accordance and
305 correlation between model predictions and field observations (Moriassi et al., 2007).

306
$$RRMSE = \frac{100}{|\bar{o}|} \sqrt{\frac{\sum_{i=1}^n (p_i - o_i)^2}{n}} \quad (1)$$

307
$$R = \frac{\sum_{i=1}^n (o_i - \bar{o})(p_i - \bar{p})}{\sqrt{\sum_{i=1}^n (o_i - \bar{o})^2 \sum_{i=1}^n (p_i - \bar{p})^2}} \quad (2)$$

308 In both equations, o_i and p_i are the observed and simulated values, respectively; \bar{o}
309 and \bar{p} are their averages; and n is the number of values. In addition, we decomposed
310 the root mean squared error into systematic and unsystematic components by using
311 the ordinary least square (OLS) method (Willmott, 1982; Willmott et al., 1985). The
312 systematic and unsystematic root mean squared errors (RMSE_S and RMSE_U) were

313 calculated with equations 3 and 4, respectively:

$$314 \quad RMSE_S = \sqrt{\frac{\sum_{i=1}^n (\hat{p}_i - o_i)^2}{n}} \quad (3)$$

$$315 \quad RMSE_U = \sqrt{\frac{\sum_{i=1}^n (p_i - \hat{p}_i)^2}{n}} \quad (4)$$

316 In both equations, \hat{p}_i is an OLS estimate of p_i and is derived from the regression of p_i
317 on o_i by using the ordinary least square method (Willmott, 1982; Willmott et al.,
318 1985).

319

320 To quantify the differences of C fluxes for the three land cover types across the
321 permafrost thaw gradient, we analyzed the simulated annual NEE and CH₄ fluxes
322 from 2003 to 2009. The CH₄ fluxes from dry Palsa were assumed to be zero
323 (Bäckstrand et al., 2008). We calculated net emissions of greenhouse gases (GHG)
324 for the three land cover types as CO₂-equivalents by using a 100-year global
325 warming potential (GWP) of 25 kg CO₂-equivalents kg⁻¹ CH₄ (IPCC, 2007). In
326 addition, we estimated the possible impacts of permafrost thaw on C fluxes and GHG
327 emissions for the Stordalen mire based on the model results and changes in the
328 fractions of the three land cover types from 1970 to 2000.

329

330 **3 Results and analyses**

331 **3.1 Model validation**

332 **3.1.1 Thaw depth**

333 Figure 2 shows the seasonal dynamics of the observed and simulated thaw depth
334 during 2003 to 2009. As field observations demonstrate, thaw rates varied across the

335 three land cover types. At the Palsa site, the maximum thaw depth usually ranged
336 from 45 to 60 cm during the summer seasons from 2003 to 2009, while the soil was
337 often thawed to greater than 90 cm (i.e., below the maximum depth of observations)
338 by August or September at the Sphagnum site and by June or July at the Eriophorum
339 sites. Therefore, the thaw rates were relatively slow, moderate, and rapid at the Palsa,
340 Sphagnum, and Eriophorum sites, respectively. In comparison with the observations,
341 the DNDC model generally captured the differences of thaw depth across the three
342 land cover types as well as their seasonal dynamics (Figure 2). The simulations
343 showed that the dry Palsa site had an active layer thickness of around 55 cm. The
344 thaw depth reached deeper than 100 cm by the end of July to September at the
345 semi-wet Sphagnum site and by June or July at the wet Eriophorum site.

346

347 The model results demonstrated that rate of summer thaw accelerated along the
348 gradient of soil moisture. Because water-filled pores have higher thermal conductivity
349 than air-filled pores, DNDC simulated the low, moderate, and high values of thermal
350 conductivity at the dry Palsa, semi-wet Sphagnum, and wet Eriophorum sites,
351 respectively, which consequently resulted in the slow, moderate, and fast rates of
352 summer thaw at these three sites. This explanation is consistent with the conclusion
353 based on the local field study (Rydén and Kostov, 1980). However, a few
354 discrepancies remained between the modeled and observed results, primarily in the
355 soil thaw dynamics at the Sphagnum site, where DNDC overestimated the thaw rate
356 during the late periods of soil thaw in most years (Figure 2h to n). Nevertheless, the
357 comparisons between the simulations and observations indicated that DNDC can
358 reliably predict differences in the dynamics of soil thaw at the three land cover types
359 at Stordalen, which is crucial for correctly simulating the impacts of permafrost thaw

360 on soil hydrology, plant growth, and biogeochemical processes.

361

362 **3.1.2 NEE**

363 Figure 3a-g illustrates the observed and simulated daily NEE at the Palsa site. The
364 daily observations were highly variable and showed a clear seasonal cycle across
365 2003 to 2009, with net CO₂ uptake increasing in early summer, CO₂ uptake most days
366 during mid-summer and net CO₂ emissions in late summer and autumn. In
367 comparison with the measurements, DNDC generally captured the magnitude and
368 seasonal characteristics of daily NEE, although discrepancies existed. The R values
369 were calculated for each year and ranged from 0.40 to 0.69 (Figure 3a-g), indicating
370 that there were significant correlations between the simulated and observed daily
371 NEE in each year ($P < 0.0001$). Table 3 lists the observations and simulations on the
372 cumulative NEE for the seven growing periods from 2003 to 2009. The observed
373 cumulative NEE ranged from -435 to -241 kg CO₂-C ha⁻¹ and the modeled values
374 ranged from -414 to -265 kg CO₂-C ha⁻¹. The calculated RRMSE values varied
375 between 3% and 25% (mean: 13%) across the seven growing seasons and the
376 discrepancies between the simulations and observations were less than the standard
377 deviations of the observed cumulative NEE in each year (Table 3). These results
378 indicate that DNDC successfully simulated the cumulative NEE during growing
379 seasons.

380 At the Sphagnum site, the simulated and observed seasonal variations of daily NEE
381 were similar across 2004 to 2009. Both the simulations and observations showed that
382 net CO₂ uptake increased in early summer, prevailed most days during mid-summer,
383 and decreased to net CO₂ emissions in late summer and autumn (Figure 3i-n). The
384 similar patterns suggest that the DNDC model generally captured the seasonal

385 fluctuations of daily NEE over 2004 to 2009, although discrepancies existed in each
386 year. However, it seems systematic biases appeared in 2003. For example, the field
387 observations showed high net uptake rates of CO₂ during 25 May to 22 June in 2003;
388 while the model predicted lower rates (Figure 3h), primarily because of limitations of
389 low solar radiation, air temperature (the mean was 6.0 °C during 25 May to 22 June),
390 and soil temperature on plant productivity. Nonetheless, the modeled and observed
391 daily NEE were significantly correlated in all years (P < 0.001 in 2003 and P < 0.0001
392 in other years), and R values ranged from 0.32 to 0.78 (Figure 3h-n). The predicted
393 cumulative NEE ranged from -521 to -203 kg CO₂-C ha⁻¹ over seven growing seasons.
394 The results are consistent with the corresponding observations, which ranged from
395 -525 to -212 kg CO₂-C ha⁻¹ (Table 3), with the discrepancies between the simulations
396 and observations close to or less than the standard deviations of the observed
397 cumulative NEE in each year. The values of RRMSE ranged from 1% to 17% with a
398 mean of 6% over 2003 to 2009 (Table 3).

399

400 At the Eriophorum site, both the simulated and observed daily NEE showed similar
401 seasonal patterns across the studied years, excepting 2004 (Figure 3o-u), with net CO₂
402 uptake increasing in early summer, CO₂ uptake most days during mid-summer and
403 net CO₂ emissions in late summer and autumn. The R values ranged from 0.39 to 0.74,
404 which indicates significant (P < 0.0001) correlations between the modeled and
405 measured daily NEE in each year during 2003 to 2009. However, we also note
406 systematic deviation between the simulations and measurements in 2004. In this year,
407 the field observations showed persistent low net uptake rates of CO₂ during late May
408 to the end of June; while the model predicted an increasing trend of net CO₂ uptake
409 (Figure 3p), because of increasing solar radiation, air temperature, soil temperature,

410 and soil thaw depth. At the Eriophorum site, the observed daily uptake rates of CO₂
411 were usually higher than that at the Palsa and Sphagnum sites during summer (Figure
412 3). The DNDC model captured the differences across these three sites and the
413 magnitudes of the simulated NEE were comparable with the corresponding
414 observations. The simulations of growing season cumulative NEE ranged from -1078
415 to -365 kg CO₂-C ha⁻¹ during 2003 to 2009, which were close to the observations
416 (ranged from -1118 to -270 kg CO₂-C ha⁻¹). The RRMSE values ranged from 1% to
417 35% (mean: 15%) over 2003 to 2009 (Table 3). The discrepancies between the
418 simulated and observed cumulative NEE were less than the standard deviations of the
419 observations in each year from 2003 to 2007, which indicates DNDC reliably
420 simulated the growing season cumulative NEE over these years. However, the
421 discrepancies were larger than the standard deviations of the observed cumulative
422 NEE in 2008 and 2009 (-571 vs. -471 ± 76 kg C ha⁻¹ in 2008, and -365 vs. -270 ± 59
423 kg C ha⁻¹ in 2009), suggesting that the model may have overestimated the CO₂ uptake
424 during growing season in these two years.

425

426 **3.1.3 Water table and CH₄ fluxes**

427 As shown in Figure 4a-g, WTDs (with positive values for above-ground and negative
428 values for below-ground) fluctuated between -30 to 0 cm at the Sphagnum site, while
429 were generally near or above the ground surface at the Eriophorum site.

430

431 Figure 4h-n compares the observed and simulated daily CH₄ fluxes at the Sphagnum
432 site. As illustrated by Figure 4h-n, the simulated seasonal patterns of daily CH₄ fluxes
433 were close to the observations during the six studied years from 2003 to 2009
434 (excluding 2006, which had no data), with the highest peak appeared in August or

435 September in both the simulations and field measurements. In addition, DNDC
436 simulated small spikes of CH₄ emission a few days after snowmelt and during
437 post-growing season, which also agreed with the observations (Figure 4l-n). The
438 simulated early CH₄ flux spikes were induced by snowmelt and thaw of surface soil
439 layer, which created water saturation in surface peat and thereby supported CH₄
440 production and emission. The high fluxes predicted during post-growing season
441 occurred during occasional thaw of the surface soil layer during the early freezing
442 stage, which provided pathways of releasing for both newly produced methane and
443 methane accumulated in the soil profile. The R values ranged between 0.63 and 0.89
444 over the six years (Figure 4h-n), which indicates the simulated seasonal variation of
445 daily CH₄ fluxes was significantly correlated with the observed seasonal variation in
446 each year ($P < 0.0001$). The similar patterns and significant correlations between the
447 simulated and observed daily CH₄ fluxes suggest that DNDC generally captured the
448 observed seasonal characteristics of CH₄ fluxes, despite a few remaining
449 inconsistencies. The modeled results indicated that the temporal patterns of CH₄
450 fluxes were primarily controlled by soil temperature and the changes of WTD at the
451 Sphagnum site. Simulated daily CH₄ fluxes were positively correlated with soil
452 temperature ($P < 0.0001$) when WTDs were closer to the peat surface than -10 cm
453 (Figure 5a). Simulated daily CH₄ fluxes were also positively correlated with the
454 WTDs ($P < 0.0001$) if the mean of peat layer (0-50 cm) temperature was higher than
455 2.0 °C (Figure 5b). Of the six tested sampling periods, the simulated cumulative CH₄
456 fluxes varied from 12.7 to 35.7 kg CH₄-C ha⁻¹, comparable with the observations,
457 which varied from 9.7 to 30.6 kg CH₄-C ha⁻¹ (Table 4). The values of RRMSE ranged
458 from 4% to 35% with a mean of 21% (Table 4). The comparison demonstrates that the
459 discrepancies between the simulated and observed cumulative CH₄ fluxes were close

460 to or less than the standard deviations of the observations in each year.

461

462 At the Eriophorum site, the observed CH₄ fluxes usually started to increase early in
463 the growing season, with high peaks appeared during July to September. Then the
464 CH₄ fluxes decreased during the rest of growing season (Figure 4o-u). The simulated
465 seasonal patterns of daily CH₄ fluxes were comparable with the observations, with a
466 generally increasing trend from the early growing season until mid-summer in each
467 year, when the fluxes reached relatively high levels. Then the simulated CH₄ fluxes
468 started to decrease (Figure 4o-u). The correlations between the modeled and
469 measured daily CH₄ fluxes were statistically significant ($P < 0.0001$) in each year,
470 with R values ranging from 0.47 to 0.89 over the six years. These results suggest that
471 DNDC approximately matched the observed daily CH₄ fluxes over the six studied
472 years from 2003 to 2009 (excluding 2006), although discrepancies existed in each
473 year. However, it seems systematic biases existed in 2008. DNDC underestimated the
474 magnitudes of CH₄ fluxes in 2008 and had a relatively later onset of emissions than
475 observations (Figure 4t). The modeled results demonstrated that the temporal patterns
476 of CH₄ fluxes at the Eriophorum site were mainly related to the changes in soil
477 temperature and the associated variations of plant growth and soil decomposition,
478 because of the inundated conditions at this site, which generated constantly wet
479 anaerobic conditions suitable for CH₄ production. Simulated daily CH₄ fluxes were
480 positively correlated with soil temperature (Figure 5c, $P < 0.0001$), and we did not
481 find any correlation between the simulations of daily CH₄ fluxes and WTD (Figure
482 5d). This conclusion is consistent with the field results (e.g., Bäckstrand et al., 2008;
483 Jackowicz-Korczyński et al., 2010). As illustrated by Figure 4h-u, the observed daily
484 CH₄ fluxes at the Eriophorum site were generally higher than that at the Sphagnum

485 site. DNDC captured the differences between these two sites. Of the six tested
486 sampling periods, the observed cumulative CH₄ fluxes ranged from 57.9 to 121 kg
487 CH₄-C ha⁻¹, while the modeled results varied from 45.5 to 113 kg CH₄-C ha⁻¹. The
488 RRMSE values ranged from 3% to 22% with a mean of 12% across these six periods
489 (Table 4). The discrepancies between the simulations and observations were close to
490 or less than the standard deviations of the observed cumulative CH₄ fluxes over the
491 studied years excepting 2003 and 2008, which indicates a good accordance between
492 the simulations and observations of CH₄ fluxes over these years. However, the
493 discrepancy was larger than the standard deviation of the observed cumulative CH₄
494 fluxes in 2003 and 2008 (76.4 vs. 91.8 ± 10.5 kg C ha⁻¹ in 2003, and 45.3 vs. 57.9 ±
495 4.42 kg C ha⁻¹ in 2008), suggesting that the model may have underestimated the
496 cumulative CH₄ fluxes in these two years.

497

498 **3.2 Annual C fluxes and net greenhouse gas emissions**

499 In this section, we review simulated annual (not growing season) NEE and CH₄
500 fluxes at the Palsa, Sphagnum, and Eriophorum sites from 2003 to 2009. The
501 simulated annual total NEE varied from -132 to +56.5 (Palsa; mean: -50.9), -492 to
502 -191 (Sphagnum; mean: -342), and -1021 to -399 (Eriophorum; mean: -793) kg
503 CO₂-C ha⁻¹ yr⁻¹, and inter-annual variability of NEE increased with increasing
504 magnitude (Figure 6a). The predictions of annual total NEE were different across the
505 Palsa, Sphagnum, and Eriophorum sites and primarily resulted from differences in
506 environment conditions, including soil temperature, thaw regime (Figure 2), soil
507 moisture content (Figure 4a-g), and vegetation characteristics (as indicated by the
508 different physiological parameters used for simulating plant growth, Table 2). DNDC
509 predicted the highest uptake rates of CO₂ at the Eriophorum site, primarily due to (1)

510 the highest value of the maximum productivity under optimum growing conditions
511 (Table 2); (2) the fastest soil thaw rate (Figure 2), which was favorable for water and
512 nitrogen uptake; and (3) a permanently high water table (Figure 4a-g) which restricted
513 soil heterotrophic respiration and provided abundant water for plant transpiration. The
514 lowest rates of annual total NEE were simulated at the Palsa site, primarily because of
515 (1) the lowest value of the maximum productivity under optimum growing conditions
516 (Table 2), (2) the slowest soil thaw rate and limited summer thaw depths (Figure 2),
517 and (3) a relatively dry soil which restricted plant transpiration and was
518 comparatively favorable for soil decomposition.

519

520 During 2003 to 2009, the simulations of annual total CH₄ fluxes ranged from 17.9 to
521 42.2 (Sphagnum; mean: 32.8) and 72.2 to 125 (Eriophorum; mean: 104) kg CH₄-C
522 ha⁻¹ yr⁻¹. As with NEE simulations, inter-annual variability of CH₄ fluxes increased
523 with increasing annual means (Figure 6a). The annual total CH₄ fluxes were different
524 across the Sphagnum and Eriophorum sites (Figure 6a). Simulated CH₄ fluxes were
525 higher at the Eriophorum site than the Sphagnum site due to: (1) increased rates of
526 CH₄ production due to higher soil temperature and faster thaw rate, (2) a higher water
527 table that supported CH₄ production while restricting CH₄ oxidation, (3) higher plant
528 growth rates and consequently more substrates (e.g., CO₂ and dissolved organic
529 carbon) used for CH₄ production, and (4) accelerated rates of CH₄ transport due to
530 increased plant vascularity.

531

532 Annual net C fluxes were calculated as the sum of annual total NEE and CH₄ fluxes
533 in this study (i.e., horizontal loss of dissolved organic carbon was not considered).
534 Because the CH₄ component was assumed to be zero at the Palsa site, the simulated

535 annual net C fluxes were equal to annual NEE (range: -132 to 56.5 kg C ha⁻¹, mean:
536 -50.9 kg C ha⁻¹) at this site. Simulations of annual net C fluxes ranged between -462
537 to -163 (mean: -309) and -934 to -488 (mean: -689) kg C ha⁻¹ at the Sphagnum and
538 Eriophorum sites, respectively, during 2003 to 2009. These results illustrated that C
539 uptake rates increased along the permafrost thaw gradient at Stordalen (Figure 6b).
540 Net GHG emissions, expressed as CO₂-equivalents, were calculated by considering
541 more powerful radiative forcing potential of CH₄ than CO₂ (25 times over a 100-year
542 horizon). The simulated annual GHG at the Palsa site varied from -485 to 207 kg
543 CO₂-eq. ha⁻¹ yr⁻¹, with a mean of -186 kg CO₂-eq. ha⁻¹ yr⁻¹ from 2003 to 2009. At the
544 Sphagnum and Eriophorum sites, the annual GHG ranged from -806 to 377 and -849
545 to 1905 kg CO₂-eq. ha⁻¹ yr⁻¹, respectively, and the corresponding means were -162
546 and 562 kg CO₂-eq. ha⁻¹ yr⁻¹, respectively. Therefore, the modeled results
547 demonstrated that for the wetter Eriophorum site, higher CH₄ emissions offset its
548 larger net C sink, and the Palsa site was a larger net sink of CO₂-equivalents than the
549 Eriophorum site (Figure 6b).

550

551 **3.3 Possible changes of C fluxes due to permafrost thaw at Stordalen**

552 Interpretation of aerial images of Stordalen showed that the area of ‘hummock’ (Palsa)
553 cover declined from 9.2 to 8.3 ha, while the area of ‘semiwet’ and ‘wet’ (Sphagnum)
554 cover increased from 6.0 to 6.2 ha, and ‘tall graminoid’ (Eriophorum) cover increased
555 from 1.3 to 2.0 ha from 1970 to 2000 (Malmer et al., 2005; Johansson T. et al., 2006).
556 These changes in vegetation cover indicate a trend toward a wetter ecosystem
557 probably as a direct consequence of permafrost thaw at Stordalen. Given that soil
558 thaw rate accelerated under wet conditions (Figure 2), this trend toward a wetter
559 ecosystem may further accelerate permafrost degradation. By applying the modeled

560 annual CO₂ and CH₄ fluxes to these changes in vegetation cover areas, we estimated
561 an increase of 578 kg C yr⁻¹ (or 35 kg C ha⁻¹ yr⁻¹ for the study area of 16.5 ha) in CO₂
562 uptake and an increase of 79 kg C yr⁻¹ (or 4.8 kg C ha⁻¹ yr⁻¹) in CH₄ emission from
563 1970 to 2000 at Stordalen. Using a 100-year GWP value for methane, the net impact
564 due to the vegetation change is a net CO₂ equivalent emission of 527 kg CO₂-eq. yr⁻¹;
565 i.e., the warming impact of increased CH₄ emission more than offsets the cooling
566 impact of increased CO₂ uptake at the mire. If these fluxes from vegetation cover
567 areas (1970 vs. 2000) were to persist for one to two centuries, an analysis with a
568 simple model of atmospheric perturbation radiative forcing (Frolking et al. 2006)
569 shows that the different atmospheric lifetimes of CO₂ and CH₄ are such that the CO₂
570 sink would overcome the CH₄ emissions in terms of instantaneous radiative forcing
571 and the climate impact of this vegetation change would eventually switch to a net
572 cooling after about 120 years. Note that the simulated C fluxes over winter are not
573 well-constrained by field data at this time.

574

575 **4. Discussion**

576 **4.1 Validation of DNDC**

577 In this study, we applied the new version of DNDC to simulate soil freeze/thaw
578 dynamics and C fluxes across three typical land cover types (i.e., Palsa, Sphagnum,
579 and Eriophorum) at Stordalen, Sweden, which are considered to represent a gradient
580 of permafrost thaw (Johansson T. et al., 2006; Bäckstrand et al., 2010). Both field
581 observations and DNDC simulations showed significant differences in C fluxes
582 across these three land cover types and the simulated rates of seasonal cumulative C
583 fluxes were comparable with the corresponding measurements for most cases (Tables
584 3 and 4). These results indicate that the model successfully captured the differences in

585 C fluxes among these land cover types. In addition, the model generally captured the
586 magnitudes and temporal dynamics of soil thaw, NEE, and CH₄ fluxes (Figures 2, 3,
587 and 4). The model validation suggests that the enhanced DNDC potentially can be
588 used to predict impacts of permafrost thaw, but cannot yet independently simulate
589 subsequent changes in soil hydrology and vegetation, which influence C dynamics in
590 northern peatlands. We also note some discrepancies between the modeled results and
591 the field measurements.

592

593 Compared to daily observations of NEE, DNDC overestimated CO₂ uptake rates (i.e.,
594 predicted more negative NEE) on a few days during the growing seasons (Figure 3),
595 which may have resulted from over-prediction of photosynthesis, causing DNDC to
596 predict higher NPP. Because meteorological data at the ANS (10 km northwest of
597 Stordalen) were used to support the simulations and photosynthesis is closely related
598 to climate factors, deviations in predicting daily variability in photosynthesis may be
599 caused by lacking site-specific data. Local observations also demonstrated that
600 meteorological conditions were different between Stordalen and ANS (Olefeldt and
601 Roulet, 2012; Rydén, 1980). These differences inevitably affected model simulations
602 of C fluxes. We further calculated the RMSE_S and RMSE_U for daily NEE (Table 5).
603 The results demonstrate that systematic errors accounted for 11%, 25%, and 23% of
604 the mean-square errors in daily NEE at the Palsa, Sphagnum, and Eriophorum sites,
605 respectively. Therefore the discrepancies between the modeled and measured NEE
606 could be primarily attributed to random components, including absence of
607 site-specific data. However, we also note systematic discrepancies between the
608 modeled and observed NEE at both the Sphagnum and Eriophorum sites. Inconsistent
609 with field data in other years, high net uptake rates of CO₂ occurred at the Sphagnum

610 site during 25 May to 22 June in 2003 (Figure 3h-n), even though solar radiation, air
611 temperature, and soil temperature were low (Figure 1) and soil thaw depth was
612 shallow (Figure 2h), causing DNDC to predict lower uptake rates. At the Eriophorum
613 site, the model predicted an increasing trend of net CO₂ uptake (Figure 3p) from late
614 May to the end of June in 2004 because of the increases in solar radiation, air
615 temperature, and soil thaw depth, while the field observations showed persistent low
616 net CO₂ uptake rates. Further studies are needed to clarify the differences in seasonal
617 characteristics of NEE between 2003 and other years at the Sphagnum site, as well as
618 the inconsistencies between the predictions and observations.

619

620 DNDC approximately matched the observed daily CH₄ fluxes at both the Sphagnum
621 and Eriophorum sites (Figure 4). However, we also note a few inconsistencies
622 between the simulations and observations (e.g., in 2003 at the Sphagnum site and in
623 2008 at the Eriophorum site). Model parameters for soil and vegetation characteristics
624 were derived from a number of studies done at Stordalen since the International
625 Biosphere Program in the early 1970s (Sonesson et al., 1980). Because these
626 parameters have strong influences on soil climate, plant growth, and soil
627 biogeochemistry in DNDC, potential biases in inputs could affect model results,
628 including CH₄ fluxes. The calculations of RMSE_S and RMSE_U (Table 5) also
629 demonstrate that most of the mean-square errors in daily CH₄ fluxes were attributable
630 to random errors, including deviations resulted from biases in model inputs, at both
631 the Sphagnum (76%) and Eriophorum (89%) sites.

632

633 In addition, it should be noted that the modeled C fluxes over winter periods remain
634 uncertain because observations utilized for model validation were primarily available

635 during growing seasons. DNDC simulations demonstrated that C fluxes during
636 non-growing season substantially contributed to annual C fluxes at Stordalen. During
637 2003 to 2009, the means of accumulated CO₂ emissions over non-growing seasons
638 were 342, 32.8, and 101 kg CO₂-C ha⁻¹, respectively, at the Palsa, Sphagnum, and
639 Eriophorum sites. Local field studies also indicated that net CO₂ emissions over
640 winter periods significantly contributed to annual NEE at both dry and wet areas
641 (Bäckstrand et al., 2010; Christensen et al., 2012) and accumulation of net CO₂
642 emissions during winter may have made the dry Palsa site a net annual CO₂ source
643 (Bäckstrand et al., 2010). The simulations of average accumulated CH₄ fluxes over
644 non-growing seasons were 9.8 and 13.8 kg CH₄-C ha⁻¹ at the Sphagnum and
645 Eriophorum sites; representing 30% and 13% of mean annual emissions. At the wet
646 area dominated by tall graminoid vegetation, field measurements demonstrated that
647 CH₄ emissions over winter accounted for approximately 19% of the annually emitted
648 CH₄ (Jackowicz-Korczyński et al., 2010). These results indicate that further tests are
649 necessary to verify the model's predictions of C fluxes during winter periods.

650

651 Although the modeled C fluxes were tested against field measurements with
652 encouraging results, we note that uncertainty may exist in simulating individual
653 processes in C transformations. For example, methane flux is predicted by DNDC as
654 the net result of CH₄ production, oxidation, and transport processes. Validating
655 simulations of CH₄ emission against field measurements did not evaluate the
656 DNDC's simulation of these three processes individually. One approach for
657 testing/constraining simulation of the individual processes is to include stable
658 isotopes and isotope fractionation during the processes of methanogenesis (acetate
659 fermentation and CO₂ reduction), methane oxidation, and methane transport (e.g.,

660 Chanton et al., 2005; Corbett et al., 2013). This is planned for future model
661 development.

662

663 **4.2 Permafrost thaw and C fluxes**

664 Our modeled results provide some indications on how C fluxes will change with
665 ongoing permafrost thaw at Stordalen. If the Palsa evolves into Sphagnum or
666 Eriophorum during permafrost thaw, the mire may be able to sequester more
667 atmospheric C, considering the higher rates of net C uptake shown at the Sphagnum
668 or Eriophorum sites (Figure 6b). However, increases of net C uptake were positively
669 correlated with increases of CH₄ emissions across the thaw gradient at Stordalen
670 (Figure 6), indicating that permafrost thaw will generate a tradeoff of GHG. If the net
671 impact is calculated using the GWP methodology (e.g., Shine et al., 1990), the
672 balance depends on the relative rate of changes in CO₂ uptake and CH₄ emissions and
673 the time horizon chosen for the GWP calculation (e.g., Frohking and Roulet, 2007;
674 Whiting and Chanton, 2001).

675

676 By applying the modeled C fluxes to the areal changes of land cover types at
677 Stordalen, we estimated that the net impact due to the vegetation change is a net CO₂
678 equivalent emission of 527 kg CO₂-eq. yr⁻¹ from 1970 to 2000 at Stordalen. However,
679 it should be noted that this result was calculated by assuming constant annual
680 emissions (equal to the means simulated by DNDC from 2003 to 2009) between 1970
681 and 2000 and the modeled results showed obvious inter-annual variability in both
682 NEE and CH₄ fluxes (Figure 6), and it is not known when during 1970-2000 the
683 land-cover change occurred. If the net impact is calculated by considering the
684 inter-annual variability of C fluxes, the estimation of a net CO₂ equivalent emission

685 from 1970 to 2000 is not significant ($P = 0.07$) higher than zero. Johansson T. et al.
686 (2006) also used a 100-year GWP value for methane, but treated their ‘wet’ cover
687 somewhat differently – equivalent to ‘semi-wet’ (Sphagnum) for NEE due to
688 similarity in vegetation composition, but with a higher value for CH_4 emission as it
689 was an inundated area. Because the ‘wet’ area was nearly 30% of the study region and
690 expanded from 1970 to 2000, Johansson T. et al. (2006) estimated that the mire was a
691 GHG source in terms of CO_2 equivalents to the atmosphere, and they reported an
692 increase of 47% in net radiative forcing from 1970 to 2000 by considering the fluxes
693 during growing season. Our analysis estimated that the mire was a GHG sink due to a
694 lower value for CH_4 emission in ‘wet’ areas, and yielded an overall decrease of 27%
695 in net radiative cooling from 1970 to 2000. The differences and uncertainties in these
696 interpretations illustrate an important scaling challenge – how many land cover
697 classes are needed and what are the most important distinctions to consider? This can
698 be evaluated in future analyses by comparison of up-scaling flux by aerial fractions of
699 land cover with multi-year eddy covariance tower fluxes. Flux towers are now
700 operating at Stordalen under the European Integrated Carbon Observation System
701 (ICOS) program (Paris et al., 2012).

702

703 **4.3 Modeling impacts of permafrost thaw on C fluxes**

704 Modeling impacts of permafrost thaw on C fluxes is in a very early stage, and much
705 additional work is required for a more complete treatment of all of the processes
706 involved. As shown in this and other studies (e.g., Olefeldt et al., 2013), NEE and
707 CH_4 fluxes are strongly controlled by soil water regime and vegetation characteristics,
708 which stresses the importance of considering changes in soil hydrology and
709 vegetation when predicting responses of C turnover to climate change in permafrost

710 ecosystems. Although changes in wetland cover and vegetation have been observed
711 along with permafrost degradation in northern peatlands (e.g., Goetz et al., 2011;
712 Smith et al., 2005), most modeling work that predicts impacts of climate change on C
713 turnover are based on static distribution of wetlands and vegetation (Bohn and
714 Lettenmaier, 2010). Therefore biases may result from neglecting changes in water
715 table regime and vegetation transitions along with permafrost thaw. In this study, we
716 determined different soil water conditions for the three land cover types at Stordalen
717 by combining the observed WTD and the hydrological module of DNDC. The
718 required hydrological parameters were estimated by calibrating against WTD datasets
719 (Table 1). While these parameters were empirically determined, they are consistent
720 with the general topography of Stordalen, with the Palsa surface elevated 0.5-2.0 m
721 above the Eriophorum surface, and the Sphagnum surface at intermediate elevation
722 (Olefeldt and Roulet, 2012). However, it should be noted that sufficient WTD data
723 are required for calibrating these hydrological parameters if the model is to be applied
724 to other peatlands. Although different WTD and vegetation characteristics were used
725 as inputs for different land cover types to represent changes in soil water regime and
726 vegetation along with permafrost thaw at Stordalen, it would be ideal to incorporate
727 these changes dynamically into the model's framework for better understanding how
728 permafrost thaw affect landscape wetness and how this in turn affect vegetation and C
729 fluxes. Our efforts of incorporating a permafrost model should have set a sound basis
730 for the model to incorporate the processes related to changes in soil water regime and
731 vegetation along with permafrost thaw, although important additional processes
732 needed in a comprehensive biogeochemical model fully functional for northern
733 ecosystems.

734

735 **5. Conclusions**

736 Climate warming and associated permafrost degradation are expected to have
737 significant impacts on the C balance of permafrost ecosystems but the magnitude is
738 uncertain. We incorporated a permafrost model, NEST, into a biogeochemical model,
739 DNDC, to model C dynamics in high-latitude ecosystems. The enhanced DNDC
740 model was applied to assess effects of permafrost thaw on C fluxes of a sub-arctic
741 peatland at Stordalen, Sweden. DNDC simulated soil freeze/thaw dynamics and C
742 fluxes across three typical land cover types (i.e., Palsa, Sphagnum, and Eriophorum)
743 at Stordalen, which span a gradient in the processes of permafrost thaw. Model results
744 were tested against multi-year field measurements. The model validation indicates
745 that DNDC was able to capture differences in seasonal soil thaw, NEE, and CH₄
746 fluxes across the Palsa, Sphagnum, and Eriophorum sites at Stordalen. In addition, the
747 simulated magnitudes and temporal dynamics of soil thaw, NEE, and CH₄ fluxes were
748 in general agreement with field measurements. Consistent with the results from field
749 studies, the modeled C fluxes across the permafrost thaw gradient demonstrate that
750 permafrost thaw and the associated changes in soil hydrology and vegetation increase
751 net uptake of C from atmosphere, but also increase the radiative forcing impacts on
752 climate due to increased CH₄ emission. By using the modeled annual C fluxes and
753 reported areas of vegetation cover in 1970 and 2000, we estimated that the Stordalen
754 mire was a net GHG sink (using a 100-year GWP value for methane) and yielded an
755 overall decrease of 27% in net radiative cooling from 1970 to 2000.

756

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763

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1030

1031 **Tables**

1032 **Table 1** The hydrological parameters used for modeling lateral flows^a.

Sites	SIR	SOD (m)	SOR	GOD (m)	GOR
Sphagnum	1.0	0	1.0	0.25	0.01
Eriophorum	2.0	-0.05	0.3	0.05	0.01

1033 ^a SIR, surface inflow rate, the fraction (m m^{-1}) of rainfall (or water from snow melt)
1034 flowing into the site from its surroundings; SOD, surface outflow depth, the water
1035 table (WT) depth (positive for below-ground and negative for above-ground) above
1036 which surface lateral outflow occurs; SOR, surface outflow rate, the fraction (m m^{-1})
1037 of water above the SOD which will be lost as surface outflow per day; GOD, ground
1038 outflow depth, the deepest WT depth above which ground outflow occurs; GOR,
1039 ground outflow rate, the fraction (m m^{-1}) of water above the GOD which will be lost
1040 as ground outflow per day. These hydrological parameters were determined by
1041 calibrating against datasets of water table depth.

1042

1043 **Table 2** The physiological parameters used for simulating plant growth.

Sites	MP ^a	SRF ^b	C/N ^c	TDD ^d	WR ^e	Vascularity	NFI ^f
Palsa	1000	0.35/0.65	90	1500	100	0	1.0
Sphagnum	1200	0.7/0.3	90	1500	100	0	1.1
Eriophorum	2500	0.5/0.5	90	1500	100	1	1.5

1044 ^a MP, the maximum productivity under optimum growing conditions (kg C ha⁻¹). The
1045 values were estimated from Rosswall et al. (1975), Malmer and Walleñ (1996), and
1046 Malmer et al. (2005).

1047 ^b SRF, the shoot and root fractions. The values were estimated from Ström and
1048 Christensen (2007), Olsurd and Christensen (2011). Note that the vegetation at the
1049 Sphagnum site is not 100% moss.

1050 ^c C/N, carbon to nitrogen ratio of the plant biomass. The values were estimated from
1051 Aerts et al. (1992, 2001).

1052 ^d TDD, the required accumulated air temperature heat sum above a 0 °C threshold
1053 during the growing season (unit: °C • day) for full vegetation growth.

1054 ^e WR, amount of water required by the plant (g water g⁻¹ dry matter).

1055 ^f NFI, index of biological nitrogen fixation.

1056

1057 **Table 3** Comparison of the modeled (M) and observed (O) net ecosystem exchanges
 1058 (NEE, in kg C ha⁻¹) of CO₂ during growing periods at the Palsa, Sphagnum, and
 1059 Eriophorum sites^a.

Year	Palsa			Sphagnum			Eriophorum		
	O ^b	M	RRMSE ^c	O	M	RRMSE	O	M	RRMSE
2003	-330[264]	-414	25	-394[59]	-326	17	-1118[219]	-1078	4
2004	-241[269]	-265	10	-441[73]	-452	2	-815[449]	-870	7
2005	-338[369]	-347	3	-525[69]	-521	1	-741[450]	-980	32
2006	-386[283]	-319	17	-356[27]	-330	8	-1034[94]	-1019	1
2007	-338[187]	-353	4	-436[114]	-424	3	-930[208]	-980	5
2008	-399[263]	-328	18	-264[79]	-288	9	-471[76]	-571	21
2009	-435[129]	-380	13	-212[75]	-203	4	-270[59]	-365	35

1060 ^a The growing period in this study is defined as the periods during which
 1061 measurements of continuous net CO₂ uptake were available. To calculate the total
 1062 NEE over the growing period in each year, fluxes for the days lacking measurements
 1063 were determined using the arithmetic mean fluxes of the two closest days when
 1064 observations were performed. Daily fluxes from either direct measurements or
 1065 gap-filling were then summed up to calculate the growing period cumulative NEE.

1066 ^b Each figure number within the bracket is the standard deviation of three (Palsa and
 1067 Sphagnum) or two (Eriophorum) replicate auto-chamber plots.

1068 ^c RRMSE, relative root mean squared error, %.

1069

1070 **Table 4** Comparison of the modeled (M) and observed (O) CH₄ fluxes (in kg C ha⁻¹)
 1071 during six study periods at the Sphagnum and Eriophorum sites^a.

Year	Sphagnum			Eriophorum		
	O ^b	M	RRMSE ^c	O	M	RRMSE
2003	17.2[5.2]	12.2	29	91.8[10.5]	76.4	17
2004	30.6[8.0]	24.3	21	121[14.7]	105	13
2005	25.1[4.7]	24.1	4	108[60.6]	101	7
2007	30.4[7.5]	35.7	18	116[22.2]	113	3
2008	9.7[4.2]	13.1	35	57.9[4.42]	45.3	22
2009	23.2[7.5]	27.5	18	111[21.7]	101	9

1072 ^a The study period is the span during which continuous measurements of daily CH₄
 1073 fluxes were available. To calculate the total CH₄ emissions over the sampling period
 1074 in each year, fluxes for the days lacking measurements were determined using the
 1075 arithmetic mean fluxes of the two closest days when observations were performed.
 1076 Daily fluxes from either direct measurements or gap-filling were then summed up to
 1077 calculate the growing period cumulative CH₄ emissions.

1078 ^b Each figure number within the bracket is the standard deviation of three (Sphagnum)
 1079 or two (Eriophorum) replicate auto-chamber plots.

1080 ^c RRMSE, relative root mean squared error, %.

1081

1082 **Table 5** The systematic and unsystematic root mean squared errors (RMSE_S and
 1083 RMSE_U) between the modeled and observed daily net ecosystem exchanges (NEE) of
 1084 CO₂ and CH₄ fluxes at the Palsa, Sphagnum, and Eriophorum sites.

Sites	NEE (mg C m ⁻² day ⁻¹)		CH ₄ fluxes (mg C m ⁻² day ⁻¹)	
	RMSE _S	RMSE _U	RMSE _S	RMSE _U
Palsa	140	405		
Sphagnum	119	206	4.7	8.4
Eriophorum	298	545	16.7	46.6

1085

1086 **Figure captions**

1087 **Figure 1** Daily average air temperature, wind speed, precipitation, and solar radiation
1088 during 2002 to 2009. Data were recorded at the Abisko Scientific Research Station
1089 (ANS).

1090 **Figure 2** Simulated and observed seasonal dynamics of thaw depth at the Palsa (a to
1091 g), Sphagnum (h to n), and Eriophorum (o to u) sites during 2003 to 2009. The entire
1092 soil layer was thawed at the beginning of field observations (in mid June) at the
1093 Eriophorum site in 2007 (panel s).

1094 **Figure 3** Simulated and observed daily net ecosystem exchange (NEE) of CO₂ (mg C
1095 m⁻² day⁻¹) at the Palsa (a to g), Sphagnum (h to n), and Eriophorum (o to u) sites
1096 during 2003 to 2009. The correlations between the simulated and observed daily NEE
1097 were significant for all cases (P < 0.0001, except for panel i, where P < 0.001). The
1098 observed data are the means of three (Palsa and Sphagnum) or two (Eriophorum)
1099 chamber replicates and standard deviations are not shown for reasons of clarity. Note
1100 that the vertical axis scales for NEE are different across the three sites.

1101 **Figure 4** Simulated (lines) and observed (dots) water table dynamics (a to g), daily
1102 CH₄ fluxes (mg C m⁻² day⁻¹) at the Sphagnum (h to n) and Eriophorum (o to u) sites
1103 during 2003 to 2009. The correlations between the simulated and observed daily CH₄
1104 fluxes were significant for all cases (P < 0.0001). The observed CH₄ fluxes are the
1105 means of three (Sphagnum) or two (Eriophorum) chamber replicates and standard
1106 deviations are not shown for reasons of clarity. Because of instrument problems
1107 (Bäckstrand et al., 2008), observed data were not used for model evaluation in 2006.
1108 Note that the vertical axis scales for CH₄ fluxes are different between the two sites.

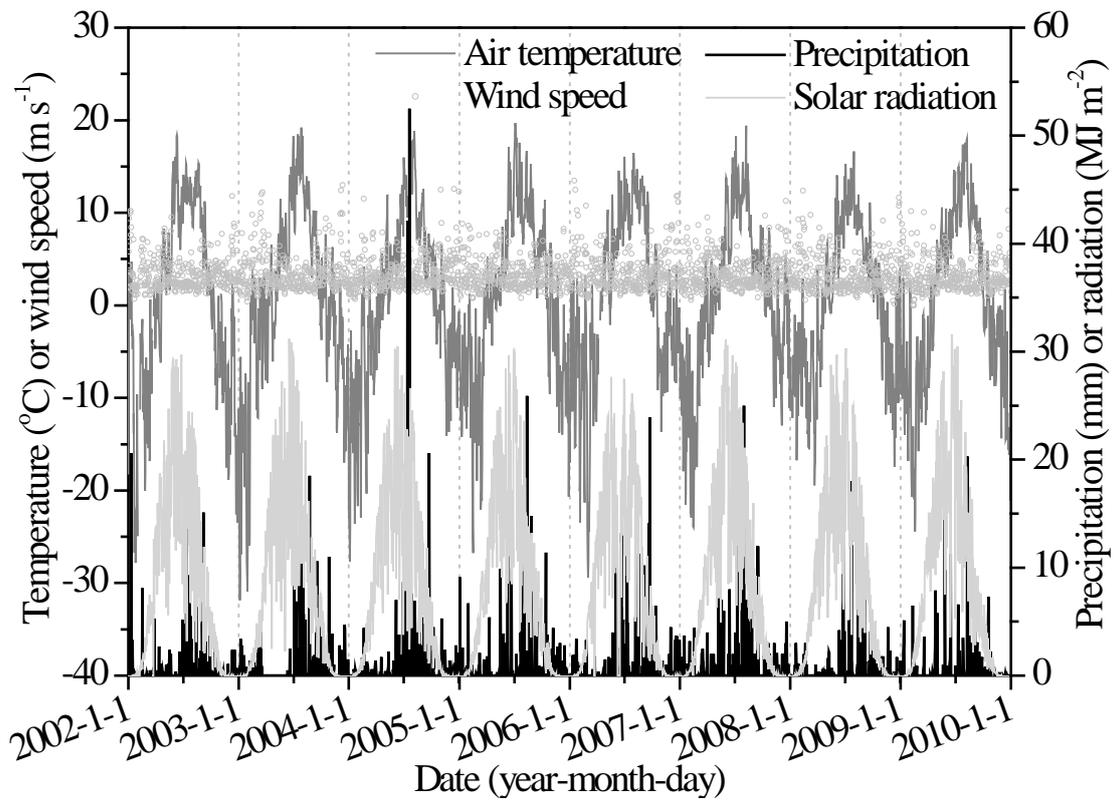
1109 **Figure 5** Relationships between simulated CH₄ fluxes and average soil (0-50 cm)

1110 temperatures as well as water table depths at the Sphagnum (a and b) and Eriophorum
1111 (c and d) sites. The results shown in the panels (a) and (c) are for periods with water
1112 table depth above -10 cm; the results shown in the panels (b) and (d) are for periods
1113 with average soil (0-50 cm) temperature > 2 °C. The relationships shown in panels (a),
1114 (b), and (c) were significant ($P < 0.0001$).

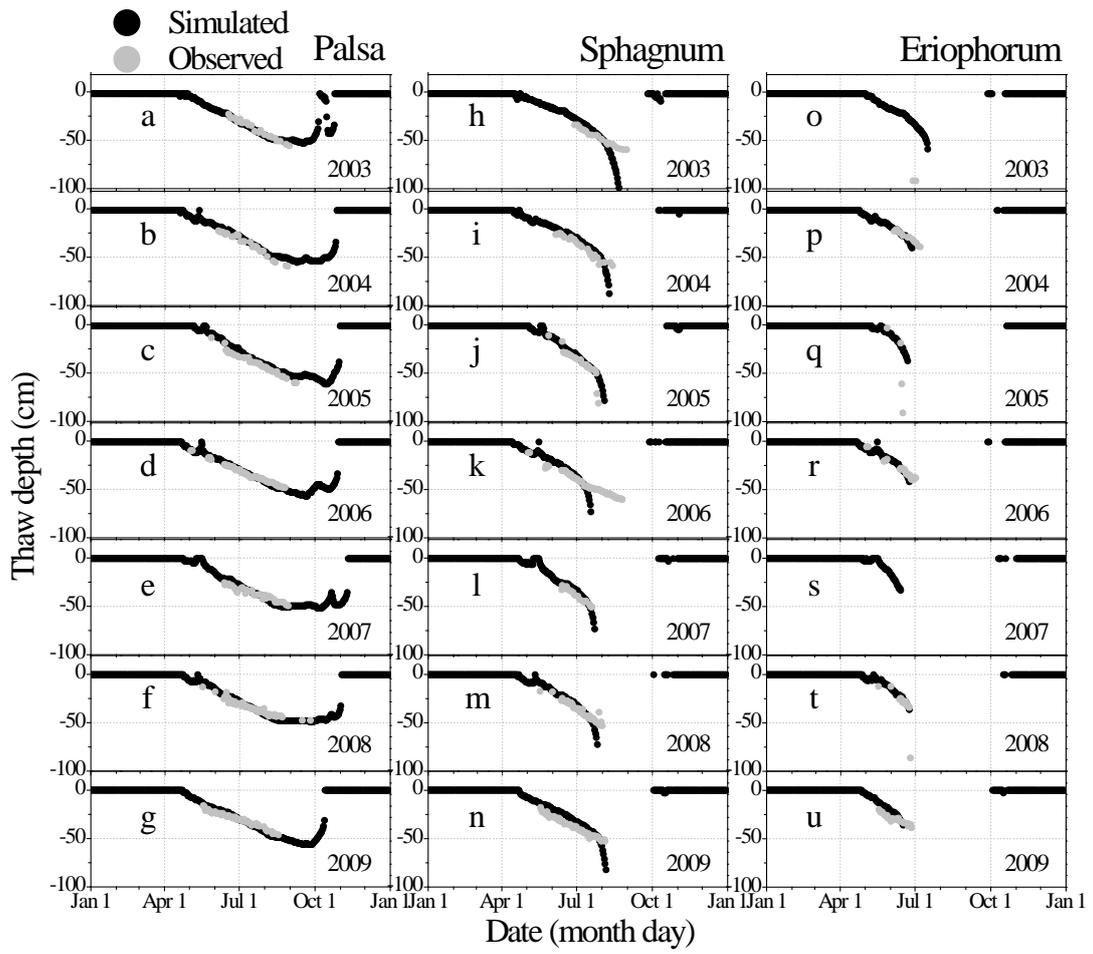
1115 **Figure 6** Simulated net ecosystem exchange (NEE) of CO₂, CH₄ fluxes, net carbon
1116 fluxes, and net emissions of greenhouse gases (GHG) at the Palsa, Sphagnum, and
1117 Eriophorum sites. The CH₄ fluxes from the dry Palsa site were assumed negligible
1118 (here 0), based on field observations. Data are means of annual total fluxes from 2003
1119 to 2009. Vertical bars are standard deviations of annual total fluxes from 2003 to 2009
1120 and indicate inter-annual variations of C gas fluxes.

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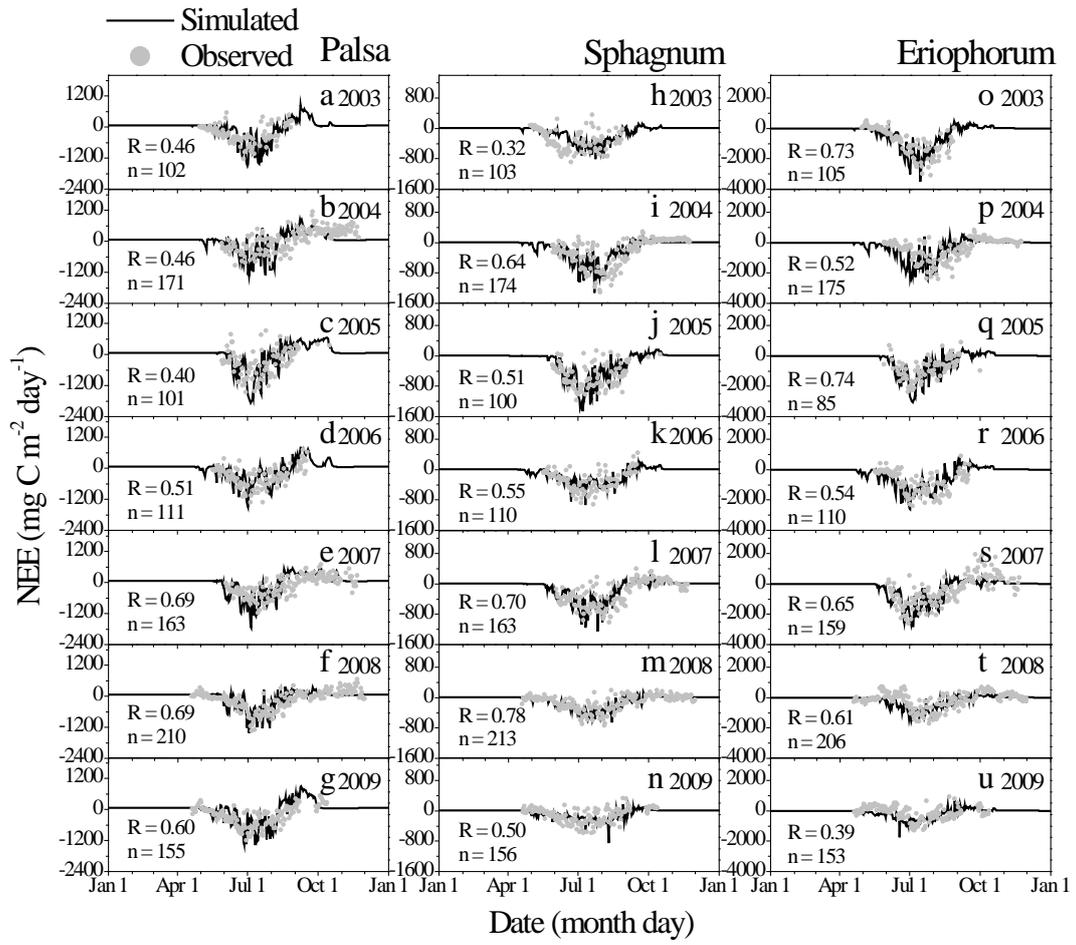
1122 **Figure 1**



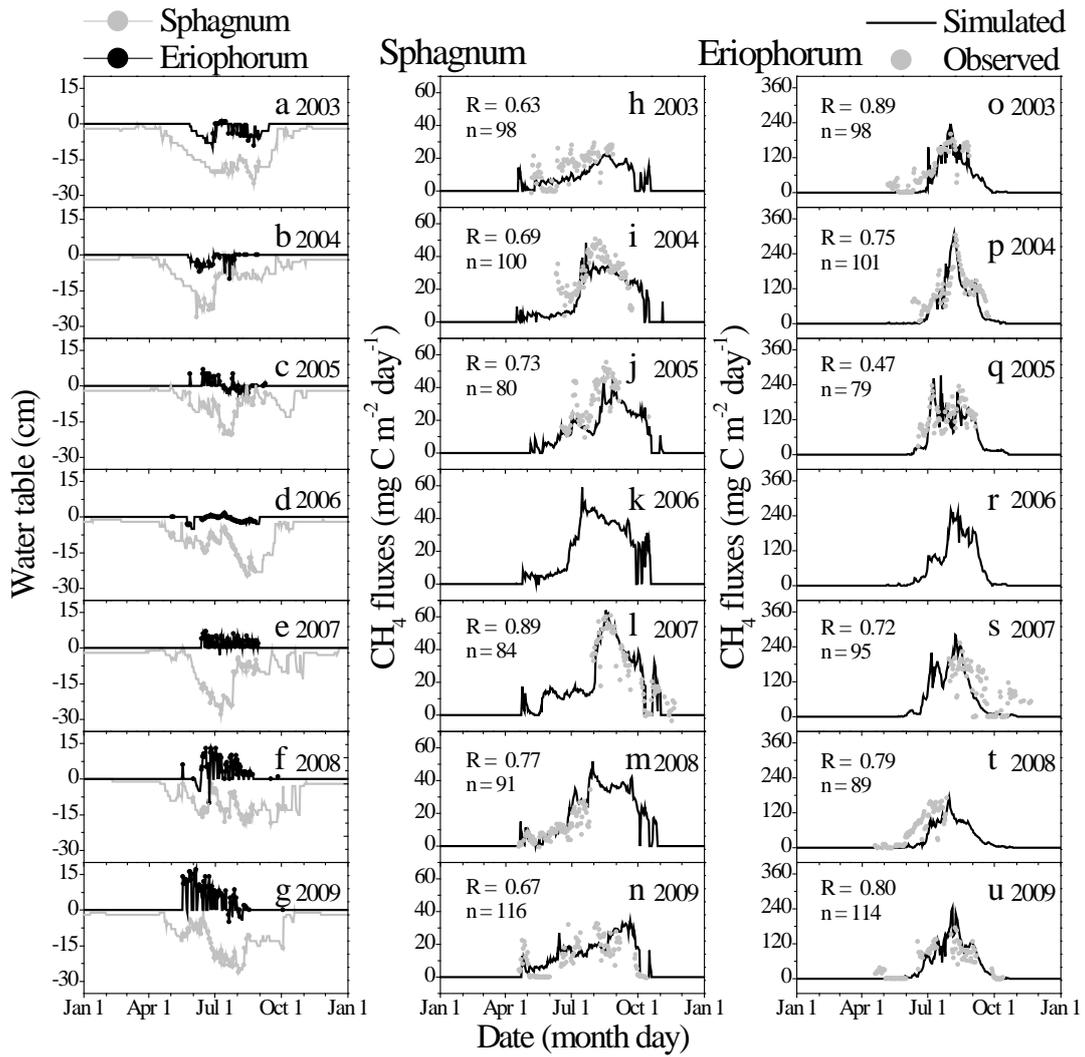
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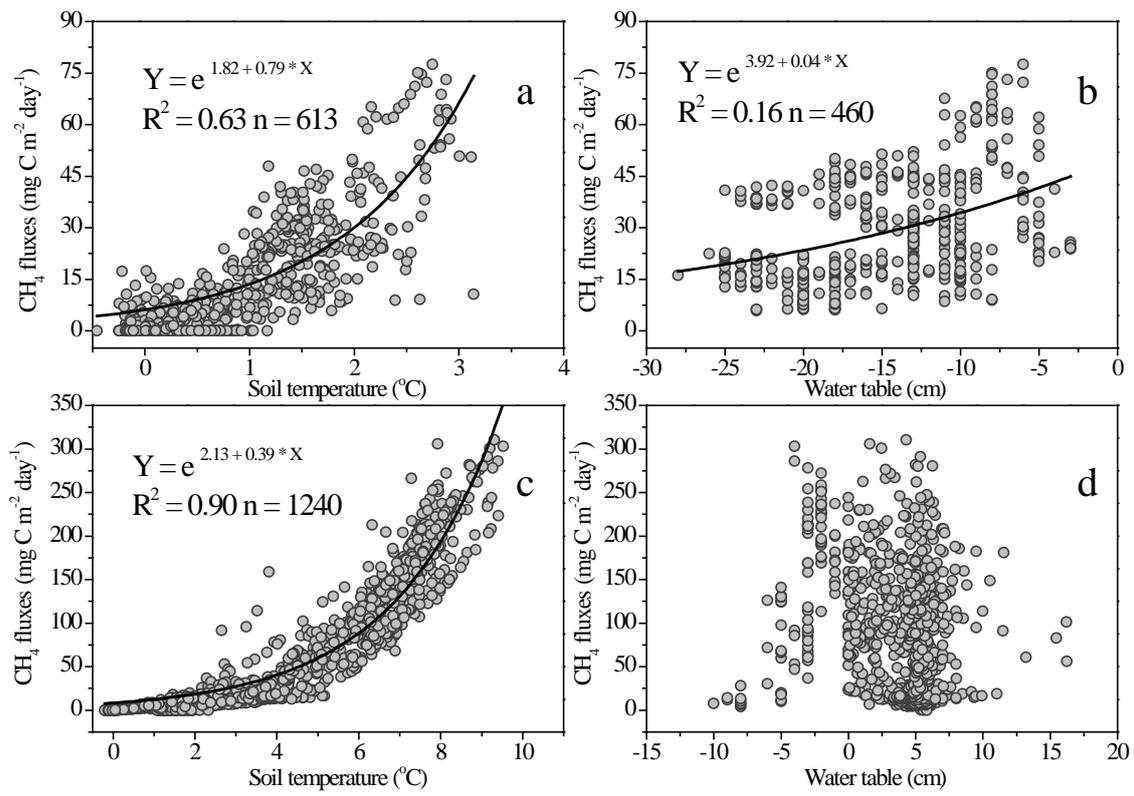


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1134 **Figure 5**



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