

1 We thank both reviewers and Yan Li for their time and effort and their helpful and constructive  
2 comments. The original comments of the reviewers and Yan Li are in color. Our reply is in black.  
3

- 4 1. First, let me say that, in general, I like this analysis and think that it's important. The  
5 authors have done a good job incorporating many quality dataset to address a complex  
6 problem, and the spatial nature of the analysis is a major strength. However, I have a  
7 major problem in that the presentation of the study design and methods are incomplete,  
8 such that I cannot determine whether the study design is sound. I am left fully confused  
9 by what was actually done. I therefore cannot determine whether the analysis is actually  
10 fine, but the methodology simply needs to be explained better, or rather the study design  
11 is flawed or could be improved. I will be more specific below. The fundamental problem is  
12 that, despite an emphasis on the spatial nature of this analysis, it is not at all indicated  
13 where the land use transitions you include actually happen, or even how much land area  
14 is converted. Figures 3 and 4 indicate results are "wall to wall", where every pixel has  
15 experienced a radiative forcing. This implies that all pixels were assigned a land use  
16 transition, which seems very unreasonable. You considered five land use transitions;  
17 which pixels received each transition? Figures 3 and 4 (and 5??) are presented for a  
18 particular transition (Intensively Used Open Land (<1000 m) and Extensively Used Open  
19 Land (>1000) to Closed Forest), so was the analysis done five times where all pixels  
20 received the same transition? Where are the figures for the other transitions? Is this  
21 supposed to represent a maximum afforestation case, where all open land is converted to  
22 forest? Is that climatologically reasonable? Could forests grow in all of these pixels? Right  
23 now your relevant study design text spans about five lines (P10129, lines 6-11). Please  
24 expand this and include new figures and tables that illustrate the location and amount of  
25 area where particular land use transitions occurred, and text that addresses whether  
26 these transitions are supposed to represent reality (between 1985 and 1997) or a  
27 hypothetical case? I cannot imagine it is the former, since every pixel seems to have been  
28 altered (and experienced a radiative forcing).  
29  
30

31 Since most of the questions and concerns of reviewer 2 are related to the methodology  
32 concerning the transitions between land use/land cover classes and the study design, we will  
33 first address these issues in general and then answer the individual questions more specifically.  
34

35 The methodology of the assessment of land use/land cover transitions are based on aerial  
36 photograph based surveys of land use/land cover for Switzerland in the years 1985 and 1997  
37 and has been described in more detail in Rutherford et al. (2008). We realize and acknowledge  
38 that the description of this method has been kept very short in this manuscript and that it can  
39 be difficult to understand the methodology without additional information about these data.  
40 Also we acknowledge that it may have been confusing that we used these data slightly  
41 differently for the analysis of (1) spatial pattern in Radiative Forcing in a temperate  
42 mountainous region and (2) what the inclusion of albedo change implies for the greenhouse gas  
43 inventory in Switzerland between 1985 and 1997 (calculating the total amount of albedo forcing  
44 and CO2 forcing of the forest expansion between 1985 and 1997).

45  
46  
47

48 In the revised version of the discussion paper we will explain the methodology of land use/land  
49 cover assessment more clearly and we will in particular separate more clearly between the two  
50 different analysis/results for (1) spatial pattern in Radiative Forcing and (2) and Radiative  
51 Forcing of forest expansion in Switzerland between 1985 and 1997.

52 We added the following paragraph to the introduction:

53 “Our study design is twofold: First, we use the spatially explicit datasets to show the pattern of  
54 RF assuming that each location in Switzerland is facing a transition from agriculturally used open  
55 land to forest. This is not related to any particular or realistic scenario, however, the spatial  
56 pattern of RF can be of high interest for any land-use policies steering forest cover change towards  
57 desired futures. In Switzerland agricultural subsidies directly influence farmers decisions on  
58 whether to keep managing or abandon their land. The latter will usually result in forest expansion.  
59 Second, we include the type and location of 5 different land use transitions to calculate RF in  
60 Switzerland between 1985 and 1997. In summary we estimate i) to which extent albedo RF  
61 offsets CO2 RF in different parts of temperate mountainous regions, ii) how each input parameter  
62 influences RF, and iii) what the inclusion of albedo change implies for the greenhouse gas  
63 inventory in Switzerland.”

64

65 For further changes see point 2 and 3.

66

67 **2. The fundamental problem is that, despite an emphasis on the spatial nature of this**  
68 **analysis, it is not at all indicated where the land use transitions you include actually**  
69 **happen, or even how much land area is converted.**

70 Unfortunately, the figure A1 and the table A4 are at the very end of this discussion paper and  
71 thus not very visible. Figure A1 shows where most transitions occur. We had to use a kernel  
72 density function (showing densities of land-use transitions) because it is difficult to visualize  
73 single pixels in a 3000 x 2000 grid. Table A4 shows forest expansion for every biogeographical  
74 region. We will refer to this figure and this table more clearly in the text. In addition we added  
75 more information to Table 4.

76

77 We added:

78 “... At lower elevations, transitions from Intensively Used Open Land to Forest are frequent,  
79 while in higher elevations transitions from Extensively Used Open Land to Forest are most likely  
80 **(Error! Reference source not found.)**. ...”

81 Table 4 (modified version): Area affected by each type of transition between 1985 and 1997.  
 82 Numbers behind each biogeographical region 1-3 indicate the elevation (1 = below 600m, 2 =  
 83 600 – 1200m, 3 = above 1200m).

84

Biogeographical region	Intensively Used Open Land to Closed Forest [ha]	Extensively Used Open Land to Closed Forest [ha]	Intensively Used Open Land to Open Forest [ha]	Extensively Used Open Land to Open Forest [ha]	Open Forest to Closed Forest [ha]	Forest expansion (sum of all transitions) [ha]
Jura 1	116	31	98	35	106	386
Jura 2	113	238	73	330	522	1276
Jura 3	1	46	1	155	490	693
Plateau 1	613	87	379	52	264	1395
Plateau 2	232	60	110	44	85	531
Plateau 3	NA	NA	NA	NA	1	1
Northern Prealps 1	109	21	78	13	53	274
Northern Prealps 2	321	497	295	401	959	2473
Northern Prealps 3	34	955	77	1180	2476	4722
Alps 1	4	4	6	11	29	54
Alps 2	93	101	267	154	679	1294
Alps 3	102	739	291	1700	3687	6519

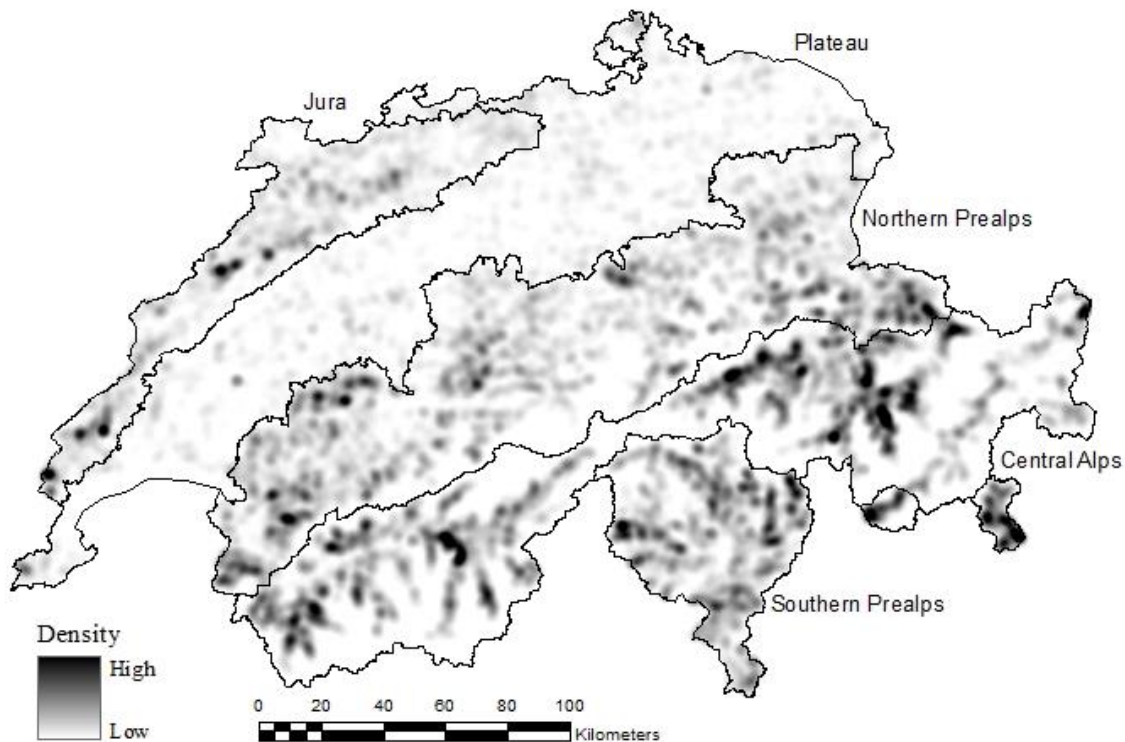
Southern Prealps 1	61	25	145	22	196	449
Southern Prealps 2	76	77	170	135	731	1189
Southern Prealps 3	23	274	71	604	1541	2513

85

86

87 We added:

88 "... Forest expansion mainly took place in elevations above 1200 m in the Prealps and the  
89 Central Alps (Figure 1). ..."



90

91 Figure 1: Spatial pattern of forest expansion. The pattern illustrates the density of forest  
92 expansion in Switzerland. The density was calculated including the area of all five transitions we  
93 used for calculating RF (see chapter "Swiss forest expansion between 1985 and 1997") and a  
94 kernel-density function in ArcGis 10.1 (ESRI).

95

96

97 3. Right now your relevant study design text spans about five lines (P10129, lines 6-11).  
98 Please expand this and include new figures and tables that illustrate the location and  
99 amount of area where particular land use transitions occurred, and text that addresses  
100 whether these transitions are supposed to represent reality (between 1985 and 1997) or  
101 a hypothetical case?

102 We put chapter 2.6. (Spatial variability of RF and RF of Swiss forest expansion) right after the  
103 description of the study area. Now chapter 2.6. and 2.2. (we renamed chapter 2.2 from “Land  
104 use/Land cover (LULC)” to “Swiss forest expansion between 1985 and 1997”) are close together.  
105 Both together are a description of the study design. We included some changes. The two chapters  
106 are now:

### 107 “Spatial variability of RF and RF of Swiss forest expansion

108 We calculated the net RF and the offset of CO2 RF through albedo RF ( $\Delta R_{FCO2}/\Delta R_{albedo}$ ) to  
109 show the pattern of RF in Switzerland and to calculate RF of Swiss forest-cover expansion between  
110 1985 and 1997. To illustrate the pattern of RF in Switzerland we calculated a value of RF for every  
111 location in Switzerland, excluding non-vegetated land, water, settlement and areas that lie above  
112 the tree line (**Error! Reference source not found.**). These are hypothetical values, because we  
113 calculated RF for the change from open land to forest for all vegetated areas, and not only for the  
114 ones where forest expansion was actually observed. At lower elevations, transitions from  
115 Intensively Used Open Land to forest are frequent, while in higher elevations transitions are  
116 usually from Extensively Used Open Land to Forest (**Error! Reference source not found.**). We  
117 considered this by separating our estimation of the hypothetical RF in transitions from Intensively  
118 Used Open Land to forest below 1000 m and transitions from Extensively Used Open Land to  
119 Forest above 1000 m. The results of the spatial pattern of RF are shown in maps of the study area  
120 (**Error! Reference source not found.** c,d).

121 To obtain results for RF of forest-cover expansion between 1985 and 1997 in Switzerland we  
122 calculated net Radiative Forcing as the sum of RF for all pixels where forests expanded. This meant  
123 including information on the type of forest expansion and on the location of forest expansion:  
124

$$\Delta R_{Fges} = \frac{\sum_{x=1}^n R_{F_{x,T}}}{A_E} \quad (1)$$

125  
126 , where  $\Delta R_{Fges}$  is the net Radiative Forcing (net RF), n the number of pixels where forests  
127 expanded and RF the Radiative Forcing, which depends on the location x and the type of transition  
128 T. The sum over RF is divided by the the earth’s surface  $A_E$  to convert local RF into a global  
129 average RF.

130

131 **Swiss forest expansion between 1985 and 1997**

132 We use aerial photographs processed by Swiss Statistics at a spatial resolution of 100 m to derive  
133 changes in land use/land cover (LULC). These aerial photographs are from the Swiss Federal  
134 Office of Topography and are fully available for the two inventory periods 1979-85 and 1992-97  
135 (Humbel et al., 2010). We reclassified the data of the different inventory periods into five  
136 aggregated classes (Rutherford et al., 2008). While 18 classes were aggregated into four classes:  
137 Closed Forest, Open Forest, Extensively and Intensively Used Open Land (**Error! Reference source  
138 not found.**), the remaining 56 were classified as Other, and consisted mainly of settlements, water  
139 and non-vegetated land (**Error! Reference source not found.**). The aggregation of the original  
140 land-use classes is a simplification. It was not possible to derive reliable data on albedo and  
141 carbon stocks for each LULC class. The aggregation of the original land-use classes results in a  
142 sufficiently large sample of reliable albedo values and carbon stocks in each of the five  
143 biogeographical regions and three elevational strata for five relevant and well established land-  
144 use classes.

145 To calculate RF of land use change between 1985 and 1997, we included five transitions: 1.  
146 Intensively Used Open Land -> Closed Forest, 2. Extensively Used Open Land -> Closed Forest, 3.  
147 Intensively Used Open Land -> Open Forest, 4. Extensively Used Open Land -> Open Forest and 5.  
148 Open Forest -> Closed Forest. We focused on transitions where forest cover and carbon stocks  
149 increase, because these transitions highly exceeded transitions with forest decrease in  
150 Switzerland. In fact, the Swiss law strongly protects forests so that there have been only few  
151 changes from forest to agriculturally used land during the last 30 years (Bloetzer, 2004,  
152 Rutherford et al., 2008).”

153  
154

155 **4. Also, crucially, what is the impact of aggregating 19 land classes into five? The authors  
156 need to include figures to clarify the impact of these simplifications in their analysis. Again,  
157 how much area is affected?**

158 We added a table to the discussion paper that shows how we aggregated the LULC classes and  
159 how much area is affected.

160 It was not possible to derive reliable data on albedo and carbon stocks for each LULC class (18  
161 classes are related to forest cover change). Aggregating the land-use classes meant that it was  
162 not possible anymore to differentiate between Radiative Forcings of very particular transitions  
163 (e.g. from “Stony Alpine Pasture” to “Slender Forest”). However, it was possible to define average  
164 albedos and carbon stocks of the aggregated land-use classes in every biogeographical region and  
165 three elevational strata. The broad definitions of closed forest, open forest, intensively and  
166 extensively used open land are given in Table 5. These definitions refer to well established land-  
167 use classes and are very useful to reflect the most relevant categories in terms of land-use change  
168 and radiative forcing.

169

170

171

172 Table 5: Aggregation of land use classes from Swiss Arealstatistik (ASCH85, ASCH97 and  
 173 ASCH04) adapted from (Rutherford et al., 2008).

Aggregated class	Area [ha]	Classes from Swiss land use statistics	Area [ha]	Broad definition
Closed Forest	1121544	Afforestation*, 52	3349	Vegetation height >3m, cover density >60%, composed of tree species
		Forest dieback*, 54	14851	
		Normal forest, 50	962312	
		Slender Forest, 51	44711	
		Bushes, 57	60514	
		Groves and hedges, 58	35807	
Open Forest	150101	On non-agriculturally used land, 56	52825	Vegetation height >3m, cover density 20-60%, composed of tree species
		On agriculturally used land, 55	24108	
		Groups of trees on agriculturally used land, 59	38157	
		Groups of trees on non-agriculturally used land, 60	35011	
Extensively Use Open Land	767842	Pasture in the vicinity of settlements, 43	87303	Used for grazing, use not year-round, not machine-accessible
		Alpine meadows, 45	32316	
		Sheep alps, 49	51124	
		Favourable to pasturing, 46	368691	
		Stony alpine pasture, 48	46024	
		Grass and herb vegetation, 65	182384	
Intensively Used Open Land	837128	Arable land, 41	547754	Year-round use, in the vicinity of settlements, Mown
		Natural meadows, 42	289374	
Other		1-40, 44, 47, 61-64, 66-72		

Numbers in column 2 represent the official ASCH classes of the nomenclature 2004 (Humbel et al., 2010). The aggregation in **Error! Reference source not found.** was adapted to the new nomenclature.

174

175 \*Afforestation and \*Forest dieback are LULC classes and not transitions or processes.

176

177 5. The abstract will also need to be revised so that it is very clear how the land use transitions  
178 were assigned. I think the paper would be greatly improved if it was organized to address  
179 a very clear and specific statement of the research objective.

180

181 We added the following paragraphs to the abstract:

182 “Our study design is twofold: First, we show the spatial pattern of RF assuming that each location in  
183 Switzerland is facing a transition from open land to forest. Second, we include the type and location of 5  
184 different land use transitions to calculate RF in Switzerland between 1985 and 1997. We are able to show  
185 where we expect low climatic benefits of forest expansion in an alpine region and if albedo RF could be  
186 relevant for the greenhouse gas inventory in Switzerland.”

187 “The objective of this study was to improve our understanding of the forest potential for climate mitigation  
188 by quantifying both carbon sequestration and albedo change on appropriately high resolution in  
189 Switzerland”

190

191

192 6. Major comment:

193 Assuming constant upward transmissivity in the radiative forcing calculation is a major  
194 simplification. I would think the upward transmissivity would vary a lot over the elevation  
195 gradient in this region. I appreciate that you have quantified the error associated with 30%  
196 variance in this variable, but why not make an effort to include some real spatial information  
197 here? I suspect this will exacerbate the elevational effects you are seeing. I think you could  
198 use some archived high-resolution climate model data to develop a climatology of upward  
199 transmissivity in the region and use that.

200

201 This is a very important point. The absorption will indeed vary if there is an elevation gradient (differences  
202 in cloud cover etc.). We agree that a spatially explicit quantification would most likely increase the  
203 elevational effect (as we have also stated in the discussion paper P 10143 L 6 – L 9). In high elevations the  
204 “upward transmissivity” will usually be higher and the “upward absorption” will be lower. That means that  
205 the value of 0.23 will be lower in high elevations. A lower value for the atmospheric absorption (see  
206 equation (6)) will cause a higher Radiative Forcing in high elevations.

207 The simple approach of using a constant value for the absorption (or the upward transmissivity) has been  
208 compared to a more complex radiative transfer model by Bright and Kvalevåg (2013). They have shown



209 that the simple model performed well in comparison to the more complex model. Their result shows a  
210 root mean square error of 7.2 % and a correlation of 0.93 between the forcings calculated by the simple  
211 and the complex model. This is in line with our sensitivity analysis, where we assumed a high variation  
212 (30%) in atmospheric absorption. However, in the sensitivity analysis we simplified matters, because we  
213 assumed an independently varying atmospheric absorption. As we discussed, it will probably be linked to  
214 the variation in global radiation.

215 Assuming constant albedo differences and constant global radiation, equation (6) simplifies to  $I * \Delta\alpha * (1$   
216  $- a)$ . We use the following values:

- 217 1.  $\Delta\alpha = 0.15$ ,  $I = 176 \text{ W/m}^2$  and absorption  $a=0.23$  (high elevation scenario);  $\text{RF} = 20.3 \text{ W/m}^2$
- 218 2.  $\Delta\alpha = 0.15$ ,  $I = 176 \text{ W/m}^2$  and absorption  $a=0.16$  (high elevation scenario);  $\text{RF} = 22.2 \text{ W/m}^2$
- 219 3.  $\Delta\alpha = 0.05$ ,  $I = 120 \text{ W/m}^2$  and absorption  $a=0.23$  (low elevation scenario);  $\text{RF} = 4.6 \text{ W/m}^2$
- 220 4.  $\Delta\alpha = 0.05$ ,  $I = 120 \text{ W/m}^2$  and absorption  $a=0.3$  (low elevation scenario);  $\text{RF} = 4.2 \text{ W/m}^2$

221 This is a very simplified example. However, it illustrates that Radiative Forcing changes are small, even  
222 though we used rather unrealistic high and low values for the atmospheric absorption (+/- 30%). If we use  
223 the Fu/Liou online Radiative Transfer Model and calculate values of atmospheric absorption in low  
224 elevation (high cloud cover fraction) and high elevation (low cloud cover fraction), we get values of  
225 absorption of 0.25 and 0.19. Although the way we derive the absorption values from the Fu/Liou model is  
226 a simplification, these values probably give a more realistic range of the absorption than the values 0.3  
227 and 0.16. (Simplifications in the way we calculated the absorption: We used the output of the Fu/Liou  
228 model together with a radiation model (Donohoe and Battisti, 2011) (assuming isotropic solar fluxes) to  
229 calculate atmospheric absorption. The “isotropic assumption” is probably not exactly true and we calculate  
230 the absorption without including multiple reflections.)

231 We thank you for your suggestion “to use some archived high-resolution climate model data to develop a  
232 climatology of upward transmissivity in the region and use that”. This could be an option. However, using  
233 data of a regional climate model for developing a climatology of upward transmissivity will also include  
234 assumptions and simplifications. To our knowledge this has not been done yet (for regional models on a  
235 higher resolution).

236 We acknowledge that a spatially explicit estimation would reduce uncertainty in our study. We extended  
237 our discussion on this point in the manuscript. However, a spatially explicit estimation will not affect our  
238 major results and findings.

239 Adapted paragraph in the discussion:

240 “The average parameter for atmospheric absorption “0.23” could be replaced by a spatially explicit  
241 estimate. Including a spatially explicit parameter for atmospheric absorption would probably increase the  
242 elevation gradient of RF, because atmospheric absorption should be higher in low elevations than in high  
243 elevations. According to our sensitivity analysis and Bright and Kvalevag (2013) improving data on  
244 atmospheric absorption will have a relatively small influence on the results.”

245

## 246 7. Minor comments:

247 p. 10126, line 21: change “biogeophysical (mainly albedo) and biogeophysical” to “biogeophysical  
248 (mainly albedo) and biogeochemical”?

249 We changed it to biogeochemical.

250

251 8. Figure 3 captions are scrambled

252 We corrected the captions.

253

254 9. Equation 6, need to clarify whether the RF is at top of atmosphere (TOA) or at the surface. It should  
255 be at the top of atmosphere.

256 We clarified that the RF is top of the atmosphere.

257

258 10. Also need to clarify whether the incoming global radiation data are for the surface, or TOA. It needs  
259 to be at the surface, so that the incoming beam is already attenuated by clouds, aerosols etc. This  
260 helps reduce the error associated with assuming a constant upwelling transmissivity over the  
261 whole domain (although I hope you will address that problem separately).

262

263 We clarified that global radiation is the surface shortwave irradiance.

264

265

266

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277 [alstatistik\\_noas04.html](http://www.bfs.admin.ch/bfs/portal/de/index/dienstleistungen/geostat/datenbeschreibung/arealstatistik_noas04.html) [Accessed 17.04.2010].

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