

1 **Increase in soil organic carbon by agricultural intensification in Northern China**

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13
14 **Abstract.** Agricultural intensification has contributed greatly to the sustained food
15 supply of China's 1.3 billion population over the 30 year period during 1982-2011.
16 Intensification has several and widely recognized negative environmental impacts
17 including depletion of water resources, pollution of water bodies, greenhouse gas
18 emissions and soil acidification. However, there have been few studies over this
19 period on the impacts of intensification on soil organic carbon (SOC) at the regional
20 level. The present study was conducted in Huantai county, a typical intensive farming
21 region in Northern China, to analyze the temporal dynamics of SOC influenced by
22 climate and farming practices. The results indicate that from 1982 to 2011, SOC
23 content and stock in the 0-20 cm layer of the cropland increased from 7.8 ± 1.6 to
24 $11.0 \pm 2.3 \text{ g}\cdot\text{kg}^{-1}$ (41%) and 21 ± 4.3 to $33.0 \pm 7.0 \text{ Mg}\cdot\text{ha}^{-1}$ (54%), respectively. The
25 SOC stock (0-20 cm) of the farmland for the entire county increased from 0.75 to 1.2
26 Tg (59%). Correlation analysis revealed that incorporation of crop residues
27 significantly increased SOC, while increase in the mean annual temperature decreased
28 the SOC level. Therefore, agricultural intensification has increased crop productivity
29 and contributed to SOC sequestration in Northern China. In the near future, more
30 appropriate technologies and practices must be developed and implemented for a
31 maintenance or enhancement of SOC in this region and elsewhere in Northern China,

32 that also reduce non-CO₂ greenhouse gas emissions, since the climate benefit from the
33 additional SOC storage is estimated to be smaller than the negative climate impacts of
34 N₂O from N fertilizer additions.

35

36 **Keywords:** Soil organic carbon, agricultural production intensification, crop residue
37 incorporation, nitrogen fertilizer, temperature, Northern China

38

39 **1 Introduction**

40 Increasing soil organic matter (SOM) storage in arable lands can ensure the
41 sustained supply of nitrogen (N) and other nutrients to crop growth and maintain
42 appropriate soil quality such as aeration, permeability, water-holding capacity and
43 nutrient preserving capacity (Smith et al., 2012). Globally, accumulation of SOM or
44 soil organic carbon (SOC) stock in arable lands, which contributes to the mitigation of
45 greenhouse effect and a concomitant improvement in soil fertility (Matson et al., 1997;
46 Sainju et al., 2009), may be achieved by a range of improved farming practices. These
47 practices include adoption of high-yielding crop varieties, balanced fertilization, crop
48 residue incorporation, no-till (NT) or reduced tillage, optimal irrigation, high cropping
49 intensity (Matson et al., 1997; Kucharik, et al., 2001). For instance, agricultural soils
50 in US had a carbon sink capacity of 1.3-21.2 Tg C annually from 1982 to 1997, due to
51 land use, NT, higher cropping intensity etc. (Eve et al., 2002; Ogle et al., 2003). The
52 rate of increase in SOC stock in Canada was 5.7 Tg C yr⁻¹ during 1991-2001 (Vanden
53 Bygaart et al., 2004). In The Netherlands, the SOC content of arable land increased by
54 about 0.08 g kg⁻¹ yr⁻¹ between 1984 and 2004 (Reijneveld et al., 2009). Benbi and
55 Brar (2009) reported that SOC in the Punjab state of India increased from 2.9 to 4.0 g
56 kg⁻¹ (38%) between 1981 and 2006, largely resulting from irrigation, optimal
57 fertilization, and an increase in crop productivity.

58 Northern China is one of the most important agricultural regions, producing 60-80%
59 and 35-40% of nation's wheat (*Triticum aestivum* L.) and maize (*Zea mays* L.),
60 respectively (NBSC, 2014). Similar to other regions in Northern China, Huantai
61 county has experienced the agricultural intensification process including a high

62 cropping intensity (200%, winter wheat–summer maize), high fertilizer rate (500-600
63 kg N ha⁻¹ yr⁻¹), frequent irrigation and tillage, and an increasing ratio of crop residues
64 incorporation since the 1980s (Shi et al., 2013; Kong et al., 2014). Agricultural
65 intensification increased crop yield within a short period. For example, Huantai
66 county achieved production of >15 Mg grains (wheat + maize) ha⁻¹ yr⁻¹ for all of its
67 farmland since 1990. The effect of agricultural intensification on increasing crop
68 yields has been well documented, however, most of the research done on SOC
69 sequestration in agricultural soils is confined to long-term plot-scale experiments.
70 Studies available at national or region levels were within short period, especially in
71 Northern China (<20 years) where is characterized by low levels of SOM.

72 We collected three-decades of data of climate, farm management and crop yield
73 from Huantai county, to: 1) analyze the evolution of SOC at the regional level from
74 1982 to 2011, and 2) establish the cause-effect relationship between the driving forces
75 and SOC change. The results derived from this study may contribute to improved
76 farm management for the long-term sustainable agricultural development in the
77 intensive farming of Northern China and elsewhere.

78

79 **2 Materials and methods**

80 2.1 Study area

81 Huantai county, with an area of 509 km², is located in Northern China
82 (36°51'50"-37°06'00" N latitude and 117°50'00"-118°10'40" E longitude). It is
83 characterized by a warm temperate continental monsoon climate, with annual average
84 temperature of 13.4 °C and annual precipitation of 604 mm. The rainfall occurs
85 mainly in June, July and August; with the annual frost-free season of about 198 days.
86 Slope gradient of the landscape is low in northern and high in southern regions, with
87 an average altitude of 6.5-29.5 m, falling gently from southwest to northeast. The
88 main soil types, according to the US soil classification system, include Haplustalfs,
89 Aquents and Vertisols. The household contract responsibility system was
90 implemented in Huantai county in 1980, and land productivity has increased
91 significantly with an increase in fertilizer input, frequent irrigation and adoption of

92 high-yielding varieties. In 1990, Huantai county became the first *Dun Liang County*
93 which achieved high productivity of one Mg of grain per mu for the whole county (1
94 mu=1/15 ha) in China. Vegetable production has also been intensified in the county
95 since 1990s.

96

97 2.2 Data Collection

98 The soil, climate and farming data were collected between 2011 to 2013 from the
99 sources as described below:

100 - *The 2nd National Soil Survey*: The 2nd National Soil Survey in China was
101 conducted during 1981-1983, and was undertaken in 1982 for Huantai county. The
102 survey collected and analyzed soil samples for genesis, physical, chemical and
103 biological properties. The SOM content was tested using the potassium dichromate
104 titrimetric method (Jankauskas et al., 2006). The SOM data in this study was obtained
105 for 258 soil samples (0-20 cm), together with the corresponding GPS location
106 coordinates.

107 - *Annual Soil Fertility Survey*: The annual SOM data of farmland soil (0-20 cm)
108 were collected from the Soil Fertility Survey, a program undertaken by the county
109 agricultural extension since 1987. Every year, samples from the topsoil layer were
110 collected after the autumn harvest and analyzed for pH, SOM and nutrient contents.
111 Soil sampling in the survey was stratified according to the division of administrative
112 villages. Each village had at least one composite soil sample, representing 6.7-33.3 ha
113 of farmland. The number of soil samples was from 199 (in 2003) to 3637 (in 2007)
114 and the average was about 800 each year. The SOM content was determined by the
115 potassium dichromate titrimetric method (Jankauskas et al., 2006). The data for 1988,
116 1991, 2000, 2001, 2004, 2005 and 2010 were for each town as the survey was only
117 done at the township level. As there were no data for the period 1983 to 1986, it was
118 assumed that a steady change in SOC occurred during this period. Thus, the average
119 SOC content for the whole county was obtained by interpolation based on the data
120 from 1982 and 1987.

121 - *Soil Sampling and Measurement Program*: In September 2011, a soil survey

122 was implemented for the whole county. Soil samples were obtained from 0-20 cm
123 depth of the farmland (including cropland and vegetable land), in an evenly
124 distributed 2×2 km grid. Each sample was composited from three collection points.
125 The GPS location was recorded for each sampling point. Soil was ground and passed
126 through a 0.15 mm sieve. For the SOC analysis, 2-3 g of the soil sample was weighed
127 into the beaker, and then 20 mL HCl of $0.5 \text{ mol}\cdot\text{L}^{-1}$ was added for acidification and
128 removal of carbonates. Samples were then transferred into a rotary oscillator
129 (Ronghua, HY-B) to shake for 30 min. After standing for 12 h, deionized water was
130 used to remove the acid from soil samples until neutral pH was obtained. Soil samples
131 were dried in the oven at $60 \text{ }^\circ\text{C}$ and weighed. The SOC content was determined by a
132 C and N Elemental Analyzer (Thermo EA flash 1112). As acidification led to soil
133 mass loss, the SOC data were corrected using Eq. (1):

134

$$135 \quad W_{soil2} = \frac{m_{soil1} \times W_{soil1}}{m_{soil2}} \quad (1)$$

136

137 Where, W_{soil2} is the corrected SOC content, m_{soil1} is the soil mass after
138 acidification, W_{soil1} is the SOC content after acidification and m_{soil2} is the soil mass
139 before acidification. The comparative study of SOC content determined by Elemental
140 Analyzer and potassium dichromate titrimetric method indicated that these two
141 methods are comparable and the differences in between are not significant (Wang et
142 al., 2014).

143 - *Climate and farm management*: Data were obtained for land use (e.g. area under
144 crops, vegetables and urban use), grain and straw yields of wheat and maize, nitrogen
145 fertilizer rate, and the rate of straw incorporation from the Huantai agricultural
146 yearbook of 1982 - 2011. Climate data for temperature and precipitation were
147 obtained from the China Climatic Data Center, National Meteorological Information
148 Center, CMA (<http://cdc.cma.gov.cn>). The temperature and precipitation data was
149 daily value for the county level.

150 - *Calculation of SOC density and stock*: The Van Bemmelen conversion factor,

151 namely that SOM contains 58% carbon (Page et al., 1982), was used to compute SOC
152 content in (Eq. (2)). The SOC density and stock were computed by Eq. (3) and Eq. (4),
153 respectively:

154

$$155 \quad SOC = SOM \times 0.58 \times 10 \quad (2)$$

$$156 \quad SOCD = SOC \times \gamma \times H \times 10^4 \quad (3)$$

$$157 \quad SOCS = SOCD \times S \times 10^{-9} \quad (4)$$

158

159 where, SOC is the soil organic carbon content (g kg^{-1}), SOM is the soil organic
160 matter content (%), *SOCD* is the SOC density (kg ha^{-1}), γ is the soil bulk density (BD,
161 g cm^{-3}), H is the thickness (m) of soil layer (0-20 cm), *SOCS* is the SOC stock (Tg)
162 for the whole county, and S is the farmland area (ha). Soil BD values were
163 interpolated over years from measured values taken in 1982 and 2011. Average
164 number of cropland and vegetable land soil samples was 800 and 79, respectively. For
165 the calculation of SOCS, we assumed that the SOC content of land for industrial or
166 residential use was maintained at the same level after the farmland was converted.

167 2.3 Data analysis

168 The Kolmogorov-Smirnov test was used in the SPSS Statistics 17.0 package to
169 determine if SOC content followed a normal distribution. Central tendency, dispersion
170 degree and distribution characteristics of SOC data were calculated. Pearson
171 correlation analysis and/or partial correlation analysis were conducted between SOC
172 content and driving factors including mean annual temperature, mean annual
173 precipitation, grain yield, nitrogen fertilizer rate and straw C incorporation. A
174 multivariate regression model was developed to account for the impact of these
175 factors on temporal SOC change in Huantai county.

176

177 3 Results

178 3.1 Evolution of SOC content and density between 1982 and 2011

179 Figure 1 presents the dynamic changes of topsoil SOC content and density for the

180 cropland (winter wheat-summer maize) from 1982 to 2011. The mean increase in
181 cropland from 1982 to 2011 was $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.3 \text{ g kg}^{-1}$ for SOC content
182 and, $21.4 \pm 4.3 \text{ Mg ha}^{-1}$ to $33.0 \pm 7.0 \text{ Mg ha}^{-1}$ for SOC density, with rates of increase of
183 41% and 54%, respectively. The mean SOC content of vegetable land increased
184 similarly as cropland, i.e., from $7.8 \pm 1.6 \text{ g kg}^{-1}$ to $11.0 \pm 2.8 \text{ g kg}^{-1}$ (data not shown).
185 This trend indicates that the rate of increase in SOC content and density of cropland
186 (0-20 cm) in Huantai county since the early 1980s was $0.11 \text{ g kg}^{-1} \text{ yr}^{-1}$ and 0.40 Mg
187 $\text{ha}^{-1} \text{ yr}^{-1}$, respectively. The growth of SOC density was significantly related to
188 increasing SOC content, but also supported by the increase in soil BD in the 0-20 cm
189 layer of the farmland (1.4 g cm^{-3} in 1982 to 1.5 g cm^{-3} in 2011).

190

191 3.2 Change of SOC stock in Huantai county from 1982 to 2011

192 An adjustment in the local agricultural sector altered the land use between 1982
193 and 2011 in Huantai county. The farmland area in 1982 was 35204 ha, of which more
194 than 99% was under winter wheat - summer maize cropping (cropland). Due to the
195 expansion of vegetable production since 1990s, land used for vegetable production
196 increased to about 20% by the early 2000s, and has remained constant until 2011 at
197 about 6000 ha. The average SOC content of vegetable land is not significant different
198 from that of cropland ($11.0 \text{ vs. } 11.0 \text{ g kg}^{-1}$ in 2011 for whole county). Some farmland
199 was converted to construction use with the expansion of industry and urban land uses.
200 Of the 31% reduction of farmland area between 1982 and 2011, 16% was used for
201 vegetable farming and 15% converted to construction land.

202 Although area under cropland decreased from 35,204 ha in 1982 to 24,343 ha in
203 2011, the SOC stock of cropland (0-20 cm) increased from 0.75 ± 0.15 to 0.80 ± 0.17
204 Tg C (an increase by 6.7%, Fig. 2). When the SOC stock in vegetable land and
205 construction land (converted from cropland and for industrial and residential use. The
206 area increased every year as urbanization took place) was also included, total SOC
207 stock of the farmland in the Huantai county was estimated to be 1.2 Tg C in 2011,
208 with a total increase of 59% compared to the $0.75 \pm 0.15 \text{ Tg C}$ in 1982 (Fig. 2).

209

210 3.3 The cause-effect relationship governing change in SOC level

211 Among the natural/climate forces which can influence SOC level, mean air
212 temperature in Huantai county increased within the period of 1982 to 2011, with a
213 relationship represented by the regression equation $y = 0.073x + 12.2$ ($R^2 = 0.67$,
214 $P < 0.0001$, Fig. 3a). However, there was no significant change in precipitation over
215 this period. Nitrogen fertilizer input for farmland was $400 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in the 1980s
216 and peaked at about $600 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ in 1994, followed by a decline to 500 kg N ha^{-1}
217 yr^{-1} in 2011. There were also significant increases in grain yield over this period ($R^2 =$
218 0.63 , $P < 0.0001$, Fig. 3b). The carbon input between 1982 and 1987 was estimated at
219 about 800 to $1000 \text{ kg C ha}^{-1} \text{ yr}^{-1}$, mainly through roots. However, crop production
220 experienced a rapid growth after 1988, and crop residues (mainly wheat straw) were
221 returned to the soil, leading to a significant increase in the input of biomass-C,
222 especially the aboveground C. As much as 70% of maize straw was also incorporated
223 to the farmland from 2007 onward, leading to a total C input of $> 8000 \text{ kg ha}^{-1} \text{ yr}^{-1}$ (R^2
224 $= 0.90$, $P < 0.0001$, Fig. 3c).

225 Correlation and regression analyses were performed between SOC of cropland
226 and driving factors, i.e., temperature, precipitation, crop (wheat and maize) yield, N
227 fertilizer rate and C input from crop residues (Table 1). There was a highly significant
228 correlation ($P < 0.01$) between SOC content and temperature, crop yield, C input from
229 crop residues, with correlation coefficients (r) of 0.55, 0.79 and 0.91, respectively.
230 The correlation between SOC and N fertilizer rate was also significant ($r = 0.38$,
231 $P < 0.05$). However, there was no significant correlation between SOC content and the
232 mean annual precipitation.

233 A partial correlation analysis was conducted to determine the relationship
234 between SOC content of cropland with any one major driving factor, as the effect of a
235 set of controlling random variables removed (Table 2). The data indicated a highly
236 significant and positive correlation between SOC content and the C input from crop
237 residues ($r = 0.80$, $P < 0.0001$), but a negative correlation between SOC content and
238 annual mean temperature ($r = -0.42$, $P = 0.027$). The weak positive correlation between

239 SOC content and N fertilizer rate ($r=0.03$, $P=0.86$), and weak negative correlation
240 between SOC and crop yield ($r=-0.08$, $P=0.70$), indicated that N fertilizer and
241 increasing crop yield did not contributed to the augment of SOC in Huantai from 1982
242 to 2011. As the effect of C input from crop residues was removed during partial
243 correlation, the rising temperature during the past 3 decades significantly decreased
244 the cropland SOC content in Huantai, or promoted the SOC decomposition. In
245 addition to the partial correlation analysis, a multivariate regression model was also
246 developed as follows:

247
$$Y=12.0 - 0.31 \text{ Temperature} - 0.0003 \text{ Precipitation} - 0.0006 \text{ Nitrogen} + 0.0005 \text{ C}$$

248
$$\text{input} - 0.0001 \text{ Yield} \quad (r^2=0.88)$$

249 where, Y is the SOC content in g kg^{-1} . The multi-variate regression analysis also
250 confirmed the positive impact of the input of crop residue carbon and negative impact
251 of the increase in temperature.

252

253 **4 Discussion**

254 4.1 Driving factors for SOC accumulation

255 The SOC level of farmland was influenced by climate (mainly temperature and
256 precipitation) and farming practices, including crop residue incorporation, N fertilizer
257 use, crop yield etc. (Khan et al. 2007; Ladha et al. 2011).

258 *Climate factors:* Climate warming may increase the rate of SOM decomposition,
259 while the effect of precipitation on SOC is mostly known to be positive because in
260 general SOC increases with increase in precipitation (Post et al., 1982). Whereas air
261 temperature in the Huantai region has significantly increased since the 1980s, the
262 precipitation has not. There is a significant positive correlation between the
263 temperature and SOC content (Table 1), however the partial correlation analysis
264 showed that the correlation was negative ($r=-0.42$, $p=0.03$, Table 2), indicating that
265 SOC in Huantai county was enhanced by factors other than temperature and
266 precipitation. Indeed, it may take much longer (~ 50 yrs) to observe the effect of
267 climate change on SOC level (De Bruijn et al., 2012), indicating a strong need for
268 long-term research.

269 *Nitrogen fertilizer:* In general, N is the most limiting nutrient in crop production
270 systems (Robertson and Vitousek, 2009). It promotes the production of crop dry
271 matter (and therefore C input to the soil) while chemically stabilizing C in the soil,
272 thereby potentially increasing soil C storage (Paustian et al., 1997). A high input of N
273 fertilizer was a prominent feature of farming in Huantai county, where average N
274 fertilizer rate increased from 400 kg N ha⁻¹ yr⁻¹ in the 1980s to 600 kg N ha⁻¹ yr⁻¹ in
275 the 1990s. However, the rate of N fertilizer gradually declined and stabilized at 500
276 kg N ha⁻¹ yr⁻¹ in the 2010s. Such a trend is attributed to the increased use of machinery
277 in agriculture and the extension of formula fertilization techniques adopted in the
278 region. The strategy was to balance the N fertilization rate in consideration of the high
279 grain output (>15 Mg grain ha⁻¹ yr⁻¹). However, the rate of SOC increase was still
280 high during this period, as indicated by a weak positive correlation between N
281 fertilizer rate and SOC level over the 30-year period (Table 1). The vegetable
282 production in Huantai county is open-field and there is less organic fertilizer input
283 (3-5 t ha⁻¹ yr⁻¹) compared with other regions like Shouguang (>15 t ha⁻¹ yr⁻¹) in China,
284 SOC increase due to organic fertilizers is not significant and at the same level as
285 cropland (11.0 vs. 10.9 g kg⁻¹ in 2011).

286 A few studies (Khan et al., 2007; Mulvaney et al., 2009) have reported that even
287 the long-term input of a massive amount of residue-C and synthetic N fertilizer do not
288 sequester SOC; this was not the case with intensification of cropland in Northern
289 China. A major factor lies in the concentration of the principal parameter (i.e., SOM)
290 which was significantly lower in cropland soils of Northern China than those of the
291 U.S. Corn Belt (9 vs. 25 g kg⁻¹, Ludwig et al., 2011) or countries of the western
292 Europe, because large areas of farmlands in Northern China were affected by
293 saline-alkaline processes prior to 1970s. The initial low crop productivity in the
294 temperate region where N fertilization rate was low resulted in higher SOC level in
295 Northern China with increasing biomass input achieved by higher input of N. Similar
296 trends have been reported by other studies from around the world (Song et al., 2005;
297 Alvarez, 2005). Nonetheless, it is important to point out that any excessive application
298 of mineral N not only increases the production cost, but also exacerbates the negative

299 environment effects, including nitrate contamination of ground and surface waters and
300 N₂O emission to the atmosphere (Triberti et al., 2008). Carbon sequestration effects
301 should be valued in a systematic approach (Wang and Cao, 2011). Indeed, the climate
302 benefit from the additional SOC storage is smaller than the climate damage caused by
303 N₂O from N fertilizer additions (N₂O emissions are estimated (conservatively) to be
304 ~57 kt CO₂-eq. yr⁻¹, assuming the smallest farmland area of 24343 ha and current
305 stable N application rate of 500 kg N ha⁻¹ yr⁻¹ using the IPCC Tier 1 default Emission
306 Factor of 0.01; soil C storage over the period is equivalent to 54 kt CO₂-eq. yr⁻¹). If
307 the indirect emissions (ammonia volatilization, leaching and runoff) are considered,
308 the environmental effect of nitrogen fertilizer application will be more serious.

309 Balanced fertilization should be widely promoted, for optimization of the
310 integrated economic benefits and ecosystem services. It is important to understand
311 that increasing input of N fertilizer increased SOC only when crop residues were
312 returned to the soil. There may have been either no, or only a slight increase in SOC
313 level, if the aboveground crop residues were removed or burnt (Alvarez, 2005) so N
314 fertilization in itself is not a suitable strategy to increase SOC, particularly considering
315 the over-riding effects of N₂O emissions from the N fertilizer.

316 *Grain yield:* Grain yield in Huantai county increased from 7200 kg ha⁻¹ yr⁻¹ in
317 1982 to 16117 kg ha⁻¹ yr⁻¹ in 2011 (an increase of 124%). The highly significant
318 correlation ($r=0.79$, $P<0.01$) between SOC content and grain yield indicates the
319 importance of SOM to achieving high crop productivity, and *vice versa* (Pan et al.,
320 2009). Indeed, the interdependence between crop yield and SOM content is widely
321 recognized (Lal, 2002; 2013). Increase in the SOC pool of 1 Mg C ha⁻¹ in the root
322 zone can increase annual food production by 30-50 million Mg in developing
323 countries (Lal, 2013). Meta-analysis indicated that crop yield greatly increased by
324 crop residue retention ($P<0.001$), particularly in upland China (Liu et al., 2014). Qiu
325 et al. (2009) estimated that for every increase of 1 g C kg⁻¹ of SOC in Huantai county,
326 grain yield could increase by 454 kg ha⁻¹. In Northern China, beneficial effects of crop
327 yield increase will be enhanced as the strategy of returning crop residues is extended

328 to other regions of Northern China.

329 *Carbon input from crop residues:* Carbon input is one of the most efficient factors
330 for the accretion of SOC, which is also confirmed by the highly significant correlation
331 between SOC content and C input from crop residue incorporation ($r=0.80$, $P<0.0001$,
332 Table 2). Similar results have also been reported by other scientists (Freibauer et al.,
333 2004). Smith et al. (2005; 2012) reported that input of crop residues could attain the
334 highest rate of C sequestration ($0.7 \text{ Mg C ha yr}^{-1}$) in comparison with that of merely
335 $0.2 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ with the input of mineral N fertilizer. In our study, there are two
336 SOC increase stages, one early (1987-1992) and one late (2007-2012), which the early
337 one coincides nicely with increased wheat residue incorporation and the late one with
338 the increased maize residue incorporation. Again, it highlights the significance of crop
339 straw input for the building of soil organic matter. In comparison with data from other
340 countries like India ($\sim 1 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Srinivasarao et al., 2014) or US ($\sim 4.2 \text{ Mg C}$
341 $\text{ha}^{-1} \text{ yr}^{-1}$, Johnson et al., 2006), the input of residue-C in Northern China is much
342 higher ($\sim 8 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$, Fig. 3c), for maintaining a rapid rate of increase of SOM.
343 Thus, rate of input of residue-C is the principal determinant of the rate of increase of
344 SOM: the annual rate of SOM increase being lower in India, intermediate in the U.S.
345 and high in the North China Plains. Since retention of the entire amount of residues of
346 maize in North China started from 2007/2008, the accretion of SOC is projected to
347 continue for another two to three decades until the mid-2040s. It should also be noted
348 that in our study, soil bulk density from 1983 to 2011 was interpolated and this could
349 give rise to errors in the SOC storage estimation.

350 Miao et al. (2011) reported that the significance of N fertilizer and crop residues
351 incorporation to the maintenance and increase of the SOM. Retention of residues
352 (wheat and maize) in conjunction with appropriate rate of N fertilization have been
353 properly implemented in Northern China where the antecedent levels SOM are much
354 lower than those in northern America and western Europe. Therefore, a judicious
355 continuation of these practices will continue to accumulate SOM for a long time to
356 come. However, similar trends may not occur under all situations. For example, Khan
357 et al. (2007) explained that after attaining a steady state, it is unlikely that SOC will

358 continue to increase, and may even decline with continuous use of synthetic N,
359 because of the enhanced activities of heterotrophic soil microorganisms in using
360 crop-derived residues or SOM. In the context of Northern China, therefore, it is likely
361 that the increase in SOC level will continue because of the improved crop productivity
362 and retention of crop residues, but it will eventually attain a new equilibrium. Some
363 other SOC-enhancing practices including application of residue-based animal manure
364 derived from the same land unit, contribute further to SOC sequestration. In addition,
365 higher level of mechanization during the agricultural intensification process may
366 increase the soil BD (about 7% in our study), and this also contributed to the increase
367 of SOC stock and should not be ignored when quantifying of farmland carbon
368 sequestration.

369

370 4.2 Comparison of SOC accretion through agricultural intensification in different 371 regions of China

372 Agriculture in China has grown rapidly over the three decades since 1982,
373 primarily because of the Household Contract Responsibility System and adoption of
374 the open policy. Further, the intensification process achieved some economic and
375 environmental benefits (Firbank et al., 2013). In the case of Northern China, however,
376 there were also problems with increases in soil compaction and water pollution over
377 the period of three decades.

378 Among all agronomic regions, Northern China registered the highest rate of SOC
379 sequestration. In contrast, however, the SOC level has declined in Northeast China
380 since the 1980s (Table 3). Northeast China, one of the few world regions
381 characterized by the black soil (Phaeozems) and cold climate, has high antecedent
382 SOC content ($\sim 20 \text{ g kg}^{-1}$ in uncultivated soils). Thereafter, cultivation and intensive
383 farming after the 1980s have increased the rate of decomposition of SOM along with
384 a low input of organic materials and biomass-C. The SOC level in Northern China
385 was extremely low (5 to 10 g kg^{-1}) in comparison with the soils of Northeast China
386 and other regions. This trend can be explained by the fact that Northern China has a
387 long history of low crop productivity, long dry season (Stockmann et al., 2013), sandy

388 soil texture and low input of organic amendments. Application of N fertilizer and
389 retention of crop residues has increased the SOC level more in dry lands of Northern
390 China than in those of paddy soils of the lowlands (Liu et al., 2014). Huantai county
391 and other agronomic regions (except Northeast China) in China also witnessed
392 increase in SOC level, mainly attributed to the practices of fertilization (synthetic and
393 organic), increase in crop yield, retention of crop residues, adoption of conservation
394 tillage and use of organic amendments (Table 3). The 0-30 cm layer of soils of
395 cropland in China have gained SOC at the rate of 17-28 Tg C yr⁻¹ between 1980 and
396 2000 (Huang et al., 2010), which is similar to the estimates of 25-37 Tg C yr⁻¹
397 reported by Lal (2002).

398 Agricultural intensification in China is an ongoing process, and is progressively
399 evolving over time. For instance, since 2012, maize residues from some cropland have
400 been harvested by Huantai farmers for use as cattle feed and the eventual return of the
401 animal manure to cropland. It is possible that the efficiency of SOM accretion through
402 animal manure is higher than that of returning maize straw (Wilhelm et al., 2007),
403 which may result in yet another period of SOM accretion at the regional level with
404 proper dissemination of this technology (Ladha et al., 2011). Similar to northern
405 China, other important grain production region like Midwest US also experienced the
406 stage of SOC accumulation, although the practices (residue management, non- or
407 reduced tillage and crop rotation) are different (Ogle et al., 2003; Jelinski and
408 Kucharik, 2009). Adoption of conservation agriculture (NT or minimum tillage) may
409 be another option for SOC sequestration. However, its applicability and efficiency
410 need to be validated through long-term research.

411

412 5 Conclusions

413 The study of the impact of agricultural intensification on SOC content and stock
414 was conducted in the Huantai county, which is a representative region in Northern
415 China. The farmland SOC stock of the whole county increased by more than 50%
416 over three decades from 1982-2011. Among several improved farming practices,
417 retention of crop residues strongly contributed to the restoration of SOC, but there

418 was no synergistic effect between N fertilization rate and crop yield on increase in
419 SOC. The SOC content decreased with increase in mean annual temperature. The
420 temporal change in SOC was significantly influenced by the evolution of the practice
421 of retention of crop residues through implementation of some local farming policies.
422 The data support the conclusion that agricultural intensification may both increase
423 crop productivity and enhance some ecosystem services, such as SOC sequestration in
424 croplands of Northern China. However, current farming practice (e.g., retention of
425 crop residues) may not always linearly increase SOC over time, indicating a strong
426 need for a long-term research. Furthermore, there is also a need to explore other
427 options such as the application of manure through integration of crop and animal
428 production. Research on the use of animal manure within the region is a priority,
429 because of its multiple benefits for grain production, the economy and ecosystem
430 services such as SOC sequestration.

431

432 **Author contribution**

433 Fanqiao Meng, Wenliang Wu and Yan Liao designed the experiments and Yan Liao
434 carried them out. Yan Liao and Pete Smith performed the calculations and data
435 analysis. Yan Liao, Fanqiao Meng, Pete Smith and Rattan Lal prepared the
436 manuscript with contributions from all co-authors.

437

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585
586

587 Table 1 Correlation analysis between SOC content of cropland and driving factors in
 588 Huantai county

	SOC	Temperature	Precipitation	Crop yield	N fertilizer rate	C input from crop residues
SOC	1					
Temperature	0.55**	1				
Precipitation	0.30	0.09	1			
Crop yield	0.79**	0.62**	0.30	1		
N fertilizer rate	0.38*	0.01	0.48**	0.55**	1	
C input from crop residues	0.91**	0.73**	0.35	0.89**	0.43*	1

589 ** means a highly significant correlation at the level of $p < 0.01$; * means a significant
 590 correlation at the level of $p < 0.05$

591

592

593 Table 2 Partial correlation analysis between SOC content of cropland and driving
 594 factors in Huantai county

Control variables	Partial correlation coefficient between SOC and the driving factor*	
Crop yield, C input from crop residues	SOC vs. Temperature	-0.42 (p=0.03, df=26)
Crop yield, C input from crop residues	SOC vs. N fertilizer rate	0.03 (p=0.86, df=26)
C input from crop residues, N fertilizer rate, Temperature	SOC vs. Crop yield	-0.08 (p=0.70, df=25)
N fertilizer rate, Temperature, crop yield	SOC vs. C input from crop residues	0.80 (p<0.0001, df=25)

595 *All of the partial correlation coefficients are 2-tailed.

596

597

598 Table 3 Topsoil (0-20 cm) SOC change from 1980s to 2000s in different agronomic
 599 regions of China*

Region	Site	Soil type (FAO)	Climate	Period	SOC			Literature
					%	SOCD Mg ha ⁻¹ yr ⁻¹	SOCS Tg yr ⁻¹	
	Our study				41	0.40	0.15	
North China	Quzhou	Fluvisols	Warm, semi-arid, temperate monsoon	1980-2000	31			Liu, et al., 2005
	Daxing	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2000	33			Hu, et al., 2006
	Hebei	Calcaric Cambisol	Warm, semi-arid, temperate monsoon	1984-2004		0.34	2.2	Xi, et al., 2013
	Henan	Fluvisols	Warm, semi-humid, temperate monsoon	1984-2004		0.32	2.8	Xi, et al., 2013
	Luancheng	Calcaric Cambisol	Warm, semi-arid, temperate monsoon	1979-2000	38			Zhang et al., 2003
Northeast China	Liaoning	Chernozems	Semi-humid, temperate continental monsoon	1984-2004		-0.57	-2.9	Xi, et al., 2010
	Jilin	Albic luvisols	Semi-humid temperate monsoon	1985-2005		-0.81	-7.2	Xi, et al., 2010
	Heilongjiang	Phaeozems	Cold temperate monsoon	1986-2006		-0.70	-5.5	Xi, et al., 2010
	Heilongjiang	Phaeozems	Cold temperate monsoon	1982-2002	-14			Yu et al., 2003
Northwest China	Yining	Calciustoll	Arid temperate continental	1981-2001	-9.3			Hou et al., 2003
	Akesu	Calcaric	Arid, warm	1982-2001	10			Li et al., 2002

		fluvisols	temperate continental monsoon					
	Huangshui	Eutric cambisols	Arid, warm temperate continental monsoon	1981-2001	28			Chen et al., 2003
	Zhangye	Eutric cambisols	Arid, warm temperate continental monsoon	1982-2003		0.2		Zhang et al., 2009
East China	Jiangsu	Fluvisols/cambisols	Northern subtropical humid monsoon	1980-2000	34			Yu et al., 2003
	Jinhua	Haplic alisols	subtropical humid monsoon	1982-2002	2.6			Xie et al., 2003
	Xuzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1981-2001		0.1~0.5		Zhang et al., 2009
Central China	Hunan	Haplic alisols	Humid, mid-subtropical monsoon	1984-2004		0.17	0.6	Xi, et al., 2013
	Hubei	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.31	1.2	Xi, et al., 2013
	Zhengzhou	Fluvisols	Warm, semi-humid, temperate monsoon	1982-2003	35			Fu et al., 2004
	Taoyuan	Haplic alisols	Humid, mid-subtropical monsoon	1979-2003	32			Liu et al., 2006
	Jiangnan	Eutric cambisols	Humid, mid-subtropical monsoon	1984-2004		0.62		Xi et al., 2013
	Hunan	Haplic alisols	Humid, mid-subtropical monsoon	1984-2004		0.35		Xi et al., 2013
Southern China	Hainan	Ferralic cambisols	Tropical monsoon	1984-2004		-0.16	-0.5	Xi, et al., 2013

Binyang	Haplic acrisols	Tropical monsoon	1981-2001	19	Liu et al., 2006
Pixian	Haplic acrisols	Sub-tropical monsoon	1981-2002	9.0	Wei et al., 2004
Yucheng	Haplic acrisols	Sub-tropical monsoon	1981-2002	19	Hu et al., 2004
Meitan	Haplic acrisols	Sub-tropical monsoon	1980-2001	67	Ding et al., 2002

600 *only the literatures with more than 20 years of experimental interval and more than 30
601 observation/sampling points were included.

602

603

604 Figure captions

605

606 Figure 1 Evolution of SOC content and density for cropland from 1982 to 2011 in
607 Huantai County. Error bars are highlighted only for the years which soil survey is
608 undertaken.

609

610 Figure 2 Change of SOC stock for farmland (cropland, vegetable land, farmland
611 converted to construction land) from 1982 to 2011 in Huantai County.

612

613 Figure 3 Regression analysis of driving factors with the years in Huantai. a)
614 temperature vs. year, b) yield vs. year, and c) carbon input vs. year.





