

## 1 **General response**

2 First of all we would like to thank the two reviewers for their helpful and inspiring  
3 comments. We added the publications of Wohlfahrt et al. (2010) and Charuchittipan et al.  
4 (2014) to the Discussion. The latter one was particularly interesting with regard to the  
5 proposed buoyancy flux ratio method. Moreover, we added in the Discussion a paragraph in  
6 which we discuss the possibility to use the PUB method in model inversion, Generalized  
7 Likelihood Uncertainty Estimation (GLUE) and Bayesian parameter estimation. In this context  
8 we also discuss in more detail the cons of the PUB method. In the following, the reviewer  
9 comments are given in Courier font and our reply is written in Calibri.

## 10 11 **Reviewer #1**

12 For the sake of completeness, it would be good to include the  
13 findings of the following two studies in the discussion section:

14  
15 Wohlfahrt, Georg, Irschick, Christoph, Thalinger, Bettina, Hortnagl,  
16 Lukas, Obojes, Nikolaus, and Hammerle, Albin. Insights from  
17 Independent Evapotranspiration Estimates for Closing the Energy  
18 Balance: A Grassland Case Study. Vadose Zone Journal 9(4), 1025-  
19 1033. 2010.

20  
21 Charuchittipan, Doojdao, Babel, Wolfgang, Mauder, Matthias, Leps,  
22 Jens Peter, and Foken, Thomas. Extension of the averaging time in  
23 eddy-covariance measurements and its effect on the energy balance  
24 closure. Boundary-Layer Meteorology 152, 303-327. 2014.

25 Indeed, these two publications are very interesting and relevant for our study. The following  
26 paragraph was added to the Introduction (blue letters indicate changed or added text  
27 passages):

28  
29 „... Mauder and Foken (2006) evaluated EC flux data of the LITFASS-2003 experiment. The authors  
30 observed that the energy residual vanished almost completely if the flux averaging time was  
31 extended from 30 minutes (shortwave eddies) over 24 hours to 5 days (longwave eddies). The  
32 averaging time had a minor effect on the latent heat flux, but the sensible heat flux nearly doubled.  
33 Hence, in that data set, the energy gap could be mainly assigned to sensible heat. The approach to  
34 increase the averaging time for computing the covariance to 24 hours is questionable, because it  
35 appears that this procedure violates the fundamental assumption of stationarity. The authors argue  
36 that stationarity can be still assumed, because for the investigated 16-day time series the diurnal  
37 cycle was similar each day, and the trend of adjacent averages, which is the crucial stationarity  
38 criterion for the EC method, was smaller for 24-hour values than for 30-minute values. The finding  
39 that at some sites the energy residual may consist to a large extent of sensible heat was recently  
40 supported by an in-depth evaluation of additional EC flux data of the LITFASS-2003 experiment  
41 acquired over six different land use types (Charuchittipan et al., 2014)... “

42  
43 To the Discussion we added these two paragraphs:

44  
45 “...In the literature, a few studies compared Bowen ratio adjusted EC fluxes against a second  
46 independent method for measuring the latent heat flux. This provides some experimental hints on  
47 the robustness of the Bowen ratio method. Wohlfahrt et al. (2010) tested EC ET rates against  
48 independent estimates from micro-lysimeters at a temperate mountain grassland over two  
49 measurement campaigns. The authors come up with the recommendation to force the energy  
50 balance closure by adjusting for the average Bowen ratio, meaning that the energy balance is closed  
51 on a daily basis by dividing the measured half-hourly H and LE by the daily Bowen ratio. This implies  
52 that the Bowen ratio is conserved on a daily basis, but not necessarily the energy balance on half-

53 hourly basis. Scott et al. (2010) compared ET rates obtained with the EC method against the  
54 watershed balance over a period of five years in semi-desert grassland and desert scrubland  
55 catchments in the USA...”

56  
57 “...Recently, Charuchittipan et al. (2014) proposed a further post-closure method. They suggest  
58 closing the energy balance based on the buoyancy flux ratio. In this approach, the fraction of the  
59 residual attributed to the sensible heat flux depends on the relative contribution of the sensible heat  
60 flux to the buoyancy flux. In general, this approach assigns larger fractions of the residual to the  
61 sensible heat flux than the Bowen ratio method does. In the context of the PUB, H fluxes calculated  
62 with the buoyancy flux ratio method would be in-between the Bowen ratio and H adjusted fluxes.  
63 The difference between Bowen ratio and buoyancy flux ratio adjusted fluxes depends strongly on the  
64 Bowen ratio. At very high Bowen ratios (>10) both methods result in very similar adjustments. At  
65 lower Bowen ratios, however, the difference between both methods increases. At a measured  
66 Bowen ratio of 0.2 and an EBC of 80%, for example, the Bowen ratio method would assign 17% of the  
67 residual to H, while based on the buoyancy flux ratio method this fraction increases to 86% (at 20°C),  
68 and the Bowen ratio shifts to 0.44. It remains to be seen whether this novel approach will prove its  
69 worth in future...”

70 P16914, l10: it should probably read “measurement errors” instead of  
71 “measuring errors”

72 Right. Corrected as suggested.

73

74 Foken (2008) is missing in the reference list.

75 The paper was added to the reference list.

76

77 P16928: In his short comment, Albrecht Neftel questions the validity  
78 of the data presented by Wolf and Laca (2007), and I agree with him  
79 that the paper shows “some surprising and counterintuitive results”.  
80 The authors did not comment on the questions by Neftel and a final  
81 version of the paper has never been published in ACP. Moreover,  
82 scalar similarity works normally quite well in the high-frequency  
83 range. So, I would suggest to drop this reference as it is also not  
84 necessary for any further conclusions.

85 Thanks for this advise. We removed this part from the Discussion.

86

## 87 **Reviewer #2**

88 But this is also one of the greatest problems I have with the  
89 current manuscript that it does not provide formal uncertainties but  
90 rather a qualitative tool. Models that are outside the constructed  
91 uncertainty band are supposedly not so good. This gives me a right  
92 or wrong decision tool that is very coarse because I can only filter  
93 out the most obvious wrong model formulations. Can I use the  
94 uncertainty band in a model inversion? Are the proposed metrics,  
95 bound coverage and bound preference, suitable for that? Is a  
96 classical error measure such as chi-square possible with the method?  
97 Thank you very much for this inspiring comment. Yes, we think that BC (band coverage) can  
98 be used in model inversion as objective function. Furthermore, BC could be used in the GLUE  
99 uncertainty analysis to distinguish between behavioral and un-behavioral model runs. And it  
100 could be probably used in Bayesian parameter estimation. We added the following  
101 paragraph:

102

103 “...In the present paper, PUB was not used to provide formal uncertainties, but as a qualitative tool to  
104 identify periods during which the model showed definitely structural deficiencies. This right-or-wrong

105 decision tool is quite coarse because it filters out only the most obvious failure periods. Beyond, it  
106 should be possible to use PUB, for example, in model inversion. Here, the BC could directly be used  
107 as objective function. One could either search in the parameter space for the set of parameters with  
108 the highest BC or search for sets of parameters above a prescribed BC threshold. In the latter case  
109 one would get a distribution of parameters. In the GLUE (Generalized Likelihood Uncertainty  
110 Estimation; Beven and Binley, 2014) approach, which is well established in hydrology, the PUB could  
111 be used as a criterion to distinguish between behavioral and un-behavioral model runs. Model  
112 parameterizations below a prescribed BC may be regarded as non-behavioral and are excluded from  
113 the further uncertainty analysis. In the frame of a Bayesian approach for parameter estimation (see  
114 e.g., Braakhekke et al., 2013) PUB could be used to constrain the likelihood function needed to  
115 compute the joined probability density...”

116 We do not advise to compute a chi-square statistics because for that one has to make the  
117 decision which post-closure method is the right one. This is not in line with the concept of  
118 the PUB approach.

119  
120 I also found it confusing that the last 3.5 pages discuss the Bowen-  
121 ratio method and all other attempts in the literature to close the  
122 energy balance. It discusses basically the problems with all the  
123 other methods. But it does not discuss the new method. Where is the  
124 relation with the new method? Where is the discussion about the pros  
125 and cons of the new method compared to all the faulty old ones? It  
126 seems that the discussion about the former attempts might be more  
127 suitable for the introduction.

128 As a response on a comment of Reviewer #1, we removed the paragraph discussing the issue  
129 of scalar similarity (line 77-86 of the response letter). Moreover, we moved the paragraph  
130 about studies that are related to the H post closure method from the Discussion to the  
131 Introduction. We would like to keep the paragraph giving an overview of studies that  
132 investigated the robustness of the Bowen ratio method in the Discussion, because at the  
133 very end we relate the outcome of this review to our findings. We discuss cons of the PUB  
134 method now in more detail within the discussion about the possibility to use the method in  
135 model inversion etc. (see line 103-115 of the response letter).

136  
137 tau of Eq. 5 is a strange measure. EBR will definitely not be  
138 normally distributed. So a histogram-based method is probably more  
139 appropriate.

140 We fully agree that the EBR is usually not normally distributed but this is not a prerequisite  
141 for the application of the approach that we propose here. Our intention to center the  
142 window on unity is to treat energy gaps and energy excesses in the same way. If one, for  
143 example, centered the window on the mean (in our case EBR=0.74) and took the window  
144 width that we used in our study then one would include datasets with an EBR larger than  
145 0.44 and smaller than 1.04 in the analysis. This means, that one would accept energy gaps of  
146 up to 56% while in case of energy excess one would reject data with an excess of more than  
147 4%. In our approach the center of the window is defined beforehand but the final setting of  
148 the width of the window depends on the distribution (histogram) of the EBR data. In that  
149 sense, this approach is histogram-based. Therefore, we would like to retain the use of tau as  
150 proposed.

151  
152 I do not think that the term "post-closure method uncertainty band"  
153 is well chosen. If closure means the closing of the energy balance  
154 than this is no post-closing but rather a closing method. But the

155 word method is not fitting either; it is a validity band based on  
156 energy balance.

157 The term post-closure refers to the circumstance that the energy balance is closed after the  
158 measurement was performed, this is, ex post. Alternatively, one could try to close the  
159 energy balance ex ante on-site, for example, by measuring additionally flux and storage  
160 terms (see e.g. Jacobs et al., 2008 or Oncley et al., 2007). The reviewer is right, the  
161 uncertainty band itself is not a method, but with the wording “post-closure methods  
162 uncertainty band” we want to express that this band indicates the uncertainty related to the  
163 open question which post-closure method fits best at my site? To make the meaning of the  
164 term PUB more clear we modified the following sentence of the Material and Methods as  
165 follows:

166  
167 “... The post-closure **methods** uncertainty band (PUB) is a proxy for the possible systematic error of  
168 EC flux data due to the unknown nature of the energy balance gap **and the therefore open question**  
169 **which post-closure method fits best at the site under study**. We define here that a PUB must fulfill  
170 basically two criteria:...”

171  
172 Moreover, we use now “methods” instead of “method” to underline that the uncertainty  
173 band is related to the set of the three post-closure methods.

174  
175 I could not figure out the origin of the error bars in the figures.  
176 So I could also not understand why some symbols had error bars and  
177 others not.

178 The measurement error is computed by TK3.1 (see p. 16917, line 11-13 of the Discussion  
179 paper). The reason that in some cases the error bars are not visible is that they are smaller  
180 than the size of the symbol. We added a phrase explaining this to the figure captions (Fig. 1  
181 and Fig. 5-10):

182  
183 “... The error bars indicate the random measurement error. In some cases, the error bars are smaller  
184 than the size of the symbol and therefore not visible...”

185  
186 The lines, especially the dotted lines, are unreadable if the paper  
187 is printed in black and white. But they were very hard to  
188 distinguish also in the colour print.

189 We revised Fig. 2 and 4. In Fig. 2 we exchanged short dotted lines against short dashed lines  
190 and increased the line thickness. In Fig. 4 we print the lines in black (reference, tau=0) and in  
191 green (for different tau) (see below).

192

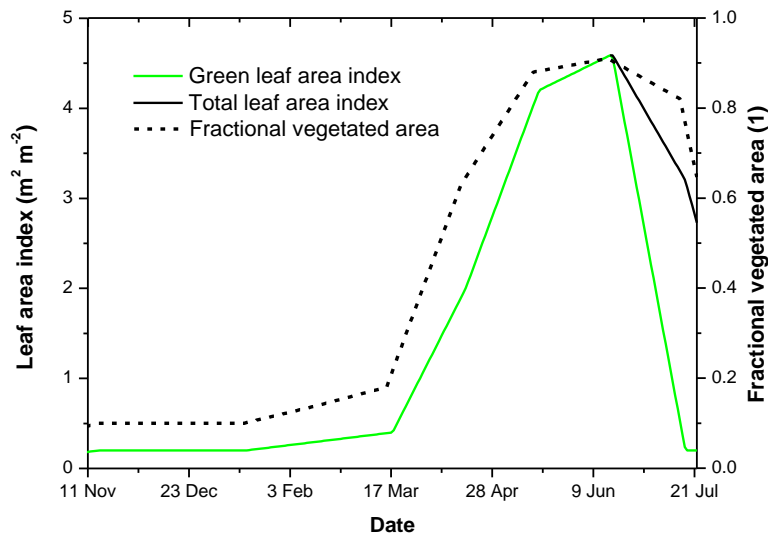


Figure 2

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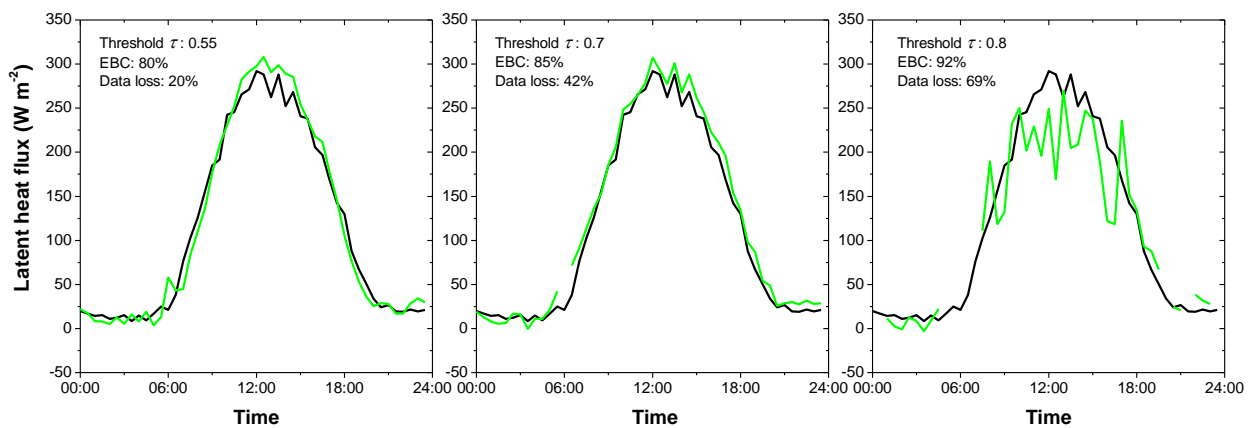


Figure 4

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The new publication of Thomas Foken's group is missing, Charuchittipan et al. Bound-Layer Meteorolo 2014, which is the extension of Mauder and Foken (2006). It is also proposing another correction method.

Thanks for this advise. This is really a highly interesting and relevant publication for this paper. We added the publication of Charuchittipan et al. (2014) to the Introduction and Discussion (see line 38-41 and line 57-69 of this response letter). Among others, we compare for a hypothetical case the proposed buoyancy flux ratio method with the Bowen ratio method.