

1                   **Sun-induced Chlorophyll fluorescence and PRI improve remote sensing GPP**  
2                   **estimates under varying nutrient availability in a typical Mediterranean savanna**  
3                   **ecosystem**

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46 **Abstract**

47 This study investigates the performances of different optical indices to estimate gross primary  
48 production (GPP) of herbaceous stratum in a Mediterranean savanna with different Nitrogen  
49 (N) and Phosphorous (P) availability. Sun-induced chlorophyll Fluorescence yield computed  
50 at 760 nm (Fy760), scaled-photochemical reflectance index (sPRI), MERIS terrestrial-  
51 chlorophyll index (MTCI) and Normalized difference vegetation index (NDVI) were  
52 computed from near-surface field spectroscopy measurements collected using high spectral  
53 resolution spectrometers covering the visible near-infrared regions. GPP was measured using  
54 canopy-chambers on the same locations sampled by the spectrometers. We tested whether  
55 light-use efficiency (LUE) models driven by remote sensing quantities (RSM) can better track  
56 changes in GPP caused by nutrient supplies compared to those driven exclusively by  
57 meteorological data (MM). Particularly, we compared the performances of different RSM  
58 formulations -relying on the use of Fy760 or sPRI as proxy for LUE and NDVI or MTCI as  
59 fraction of absorbed photosynthetically active radiation (*f*APAR) - with those of classical  
60 MM.

61 Results showed higher GPP in the N fertilized experimental plots during the growing period.  
62 These differences in GPP disappeared in the drying period when senescence effects masked  
63 out potential differences due to plant N content. Consequently, although MTCI was tightly  
64 related to the mean of plant N content across treatment ( $r^2=0.86$ ,  $p<0.01$ ), it was poorly  
65 related to GPP ( $r^2=0.45$ ,  $p<0.05$ ). On the contrary sPRI and Fy760 correlated well with GPP  
66 during the whole measurement period. Results revealed that the relationship between GPP  
67 and Fy760 is not unique across treatments but it is affected by N availability. Results from a  
68 cross-validation analysis showed that MM ( $AIC_{cv}=127$ ,  $ME_{cv}= 0.879$ ) outperformed RSM  
69 ( $AIC_{cv}=140$ ,  $ME_{cv}= 0.8737$ ) when soil moisture was used to constrain the seasonal dynamic  
70 of LUE. However, residual analyses demonstrated that GPP predictions with MM are

71 inaccurate whenever no climatic variable explicitly reveals nutrient-related changes in the  
72 LUE parameter. These results put forward that RSM is a valuable means to diagnose nutrient-  
73 induced effects on the photosynthetic activity.

74

## 75 **1. Introduction**

76 Human-induced nutrient imbalances are affecting essential processes that lead to  
77 important changes in ecosystem structure and functioning (Peñuelas et al., 2013). In spite of  
78 the crucial role of nutrients in regulating plant processes, efforts to describe and predict the  
79 response of photosynthesis to such changes with remote sensing information have been  
80 limited. In the framework of the classical Monteith Light Use Efficiency (LUE) model  
81 (Monteith, 1972), estimates of photosynthesis (hereafter gross primary productivity, GPP) are  
82 based on three key quantities: i) the fraction of photosynthetically active radiation (*f*APAR)  
83 absorbed by the vegetation, ii) potential LUE (or maximum, LUE<sub>m</sub>), normally taken from  
84 look-up tables and associated with plant functional types (Heinsch et al., 2006) and iii)  
85 correction factors related to meteorological conditions that limit LUE<sub>m</sub>. Although Nitrogen  
86 (N) deficiencies have been recognized one of the main correction factors of LUE<sub>m</sub> (Madani et  
87 al., 2014), the predictive capability of LUE models is usually circumspect as they operate  
88 based on the general assumption that plants are under non-limiting nutrient conditions.

89 Very little attention has been given to nutrient-induced effects on *f*APAR and LUE in  
90 common formulations of LUE models. Light absorption by plant is given by chlorophyll  
91 pigments that enable photosynthetic processes. Assuming a correlation between leaf  
92 chlorophyll pigments and leaf N content, note that N atoms are basic components of the  
93 chlorophylls molecular structure, several studies have demonstrated that leaf N content can be  
94 estimated through chlorophyll-related hyperspectral vegetation indices (Baret et al., 2007;  
95 Schlemmer et al., 2013). Among these indices, the MERIS Terrestrial Chlorophyll Index  
96 (MTCI, Dash and Curran, 2004) has been used as a proxy for *f*APAR (Rossini et al., 2010;  
97 Wang et al., 2012). However, leaf N content is functional trait that controls GPP not only  
98 because it scales with chlorophylls but also regulates enzyme kinetic processes driving  
99 photosynthesis and hence the physiological status of the plant (Huang et al., 2004; Walker et

100 al., 2014). Then, prescribing biome-specific LUE parameters and correcting  $LUE_m$  only for  
101 climatic and environmental conditions may hamper the accurate prediction of GPP (Yuan et  
102 al., 2014). For these reasons, recent literature has called for better physiological descriptors of  
103 the dynamic behavior of LUE (Guanter et al., 2014).

104 The sun-induced chlorophyll fluorescence (SIF) or physiological-related reflectance  
105 indices such as the photochemical reflectance index (PRI) provide a new optical means to  
106 spatially infer LUE (Damm et al., 2010; Guanter et al., 2014; Rossini et al., 2015) and can  
107 provide diagnostic information regarding plant nutrient and water status (Lee et al., 2013;  
108 Pérez-Priego et al., 2005; Suárez et al., 2008; Tremblay et al., 2012). From a physiological  
109 perspective, the efficiency of green plants to transform absorbed light into chemical energy  
110 during photosynthesis can be characterized by two main photo-protective mechanisms: i) non-  
111 photochemical quenching that can be detected using the Photochemical Reflectance Index  
112 (PRI), originally proposed by (Gamon et al., 1992) to track changes in the de-epoxidation  
113 state of the xanthophyll cycle pigments, and ii) Chlorophyll fluorescence, the dissipation of  
114 energy that exceeds photosynthetic demand (Krause and Weis, 1984). The PRI has been  
115 directly correlated with LUE (Drolet et al., 2008; Gamon et al., 1997; Nichol et al., 2000;  
116 Peñuelas et al., 2011; Rahman et al., 2004). However, such relation may vary because of the  
117 sensitivity of the PRI to confounding factors like those associated with temporal changes in  
118 the relative fraction of chlorophyll:carotenoids pigment composition (Filella et al., 2009;  
119 Porcar-Castell et al., 2012), viewing angles and vegetation structure (Garbulsky et al., 2011;  
120 Grace et al., 2007; Hall et al., 2008; Hilker et al., 2008).

121 Alternatively, the estimation of SIF by passive remote sensing systems has been  
122 proven feasible in recent years from satellite (Frankenberg et al., 2014; Lee et al., 2013;  
123 Parazoo et al., 2014) to the field (Damm et al., 2010; Guanter et al., 2013; Meroni et al.,  
124 2011), and opens further possibilities to directly track the dynamics of LUE (Damm et al.,

125 2010; Guanter et al., 2014). Although SIF correlates with LUE, such relations might not be  
126 conservative since chlorophyll fluorescence emission varies among species types (Campbell  
127 et al., 2008) or with stress conditions such as nutrient deficiencies (Huang et al., 2004;  
128 McMurtrey et al., 2003) or drought (Flexas et al., 2002; Pérez-Priego et al., 2005). Likewise  
129 with the PRI, the retrieval of SIF from the apparent reflectance signal is not trivial as long as  
130 it is affected by the vegetation structure or canopy background components (Zarco-Tejada et  
131 al., 2013).

132         Comparable spatial and temporal resolutions of radiometric and ground-based GPP  
133 measurements are essential to accurately optimize LUE model parameters, particularly in  
134 heterogeneous ecosystems. Previous studies have related ecosystem-scale eddy covariance  
135 fluxes to radiometric measurements taken in single points to constraint LUE models.  
136 However, the explanatory power of LUE models might be greatly reduced by the spatial  
137 mismatch between radiometric and eddy covariance flux footprints (Gelybó et al., 2013;  
138 Porcar-Castell et al., 2015). Similar issues occur in small-scale factorial experiments where  
139 comparable measurements on an intermediate scale between leaf-scale cuvette measurements  
140 and ecosystem-scale eddy covariance measurements are required. Here, we tried to overcome  
141 such limitations by combining ground-based radiometric and CO<sub>2</sub> fluxes measurements with  
142 similar extension of the measurement footprint using portable spectrometers and canopy  
143 chambers in a nutrient-manipulation experiment.

144 The main objective of this study was to evaluate whether traditional LUE models driven by  
145 meteorological and phenological data (MM) entail a limited assessment of the environmental  
146 controls on GPP. More particularly, we evaluated if the effects of varying nutrient availability  
147 on GPP estimates as tracked by chlorophyll fluorescence and PRI can be equally explained by  
148 meteorology-driven models. To address the main objective we:

149 a) assess the effect of different nutrient supplies on grassland photosynthesis and optical  
150 properties and their relationships during a phenological cycle, including both growing and  
151 drying periods,

152 b) evaluate the performance of different LUE modeling approaches with varying nutrient  
153 availability and environmental conditions.

## 154 **2. Material and Methods**

### 155 **2.1. Site description and experimental design**

156 A Small scale nutrient Manipulation Experiment (SMANIE) was set up in a  
157 Mediterranean savannah in Spain (39°56'24.68"N, 5°45'50.27"W; Majadas de Tietar, Caceres,  
158 Fig. 1). The site is characterized by a mean annual temperature of 16°C, mean annual  
159 precipitation of ca. 700 mm, falling mostly from November until May, and by a very dry  
160 summer. Similar to most Mediterranean grassland, grazing (<0.7 cows ha<sup>-1</sup>) is the main land  
161 use in the site. The site is defined as a typical Mediterranean savanna ecosystem, low density  
162 of oak trees (mostly *Quercus Ilex* (L.), ~20 trees ha<sup>-1</sup>) dominated by a herbaceous stratum.  
163 The experiment itself was restricted to an open grassland area which was not influenced by  
164 tree canopy. The herbaceous stratum is dominated by species of the three main functional  
165 plant forms (grasses, forbs and legumes). The fraction of the three plant forms varied  
166 seasonally according to their phenological status (Table 1). Overall, leaf area measurements  
167 of the herbaceous stratum characterized the growing season phenology as peaking early in  
168 April and achieving senescence by the end of May (Table 1).

169 The experiment consisted of four randomized blocks of about 20 m x 20 m. Each block  
170 was separated into four plots of 9 m x 9 m with a buffer of 2 m in between to avoid boundary  
171 effects. In each block, four treatments were applied (see Fig. 1):



- 172 (a) control treatment (C) with no fertilization;
- 173 (b) Nitrogen addition treatment (+N) with an application of 100 kg N ha<sup>-1</sup> as potassium  
174 nitrate (KNO<sub>3</sub>) and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>);
- 175 (c) Phosphorous addition treatment (+P) with an application of 50 kg P ha<sup>-1</sup> as  
176 monopotassium phosphate (KH<sub>2</sub>PO<sub>4</sub>); and
- 177 (d) N and P addition treatment (+NP), juxtaposing treatments (b) and (c).

178 Each fertilizer was dissolved in water and sprayed on foliage early in the growing season  
179 (March 21<sup>st</sup>, 2014). The same amount of water used in the fertilizer solutions (~ 2 L m<sup>-2</sup>) was  
180 sprayed on the C treatment to avoid water imbalances among treatments.

181 Within each plot, two permanent, non-disturbed parcels (32 in total, see black squares in  
182 Fig 1) were dedicated to monitor CO<sub>2</sub> fluxes (net ecosystem CO<sub>2</sub> exchange, NEE; and  
183 daytime ecosystem respiration, R<sub>eco</sub>). While NEE measurements were performed over the  
184 course of the day (from early in the morning to late afternoon), spectral measurements were  
185 conducted simultaneously with flux measurements only around noon on half of the parcels  
186 (16 in total).

187 Flux and spectral measurements were carried out in four field campaigns:

- 188 • Campaign #1: before fertilization (March 20<sup>th</sup>, 2014),
- 189 • Campaign #2: three weeks after fertilization (April 15<sup>th</sup>, 2014) during the peak  
190 of the growing period,
- 191 • Campaigns #3 and #4: on May 7<sup>th</sup> and 27<sup>th</sup>, 2014, respectively, concurring with  
192 the drying period were performed to evaluate joint effects related to  
193 physiological senescence processes.

194 Ancillary measurements were taken in every field campaign as follows: green plant area index  
195 (PAI<sub>g</sub>) and aboveground biomass were directly measured by harvest in four parcels (0.25m x

196 0.25m) within each plot in the area surrounding that where spectral and flux measurements  
197 were taken. All samples were refrigerated just after collection, and transported for laboratory  
198 analyses. Fresh samples were separated into functional groups, the sample was scanned and  
199 green plant area was measured using image analysis (WinRHIZO, Regent Instruments Inc.,  
200 Canada). Afterwards, fresh samples were dried in an oven at 65 °C for 48 hours and weighed  
201 to determine dry biomass. To analyze the nutrient content in leaf mass, biomass subsamples  
202 were ground in a ball mill (RETSCH MM200, Retsch, Haan, Germany) and total C and N  
203 concentrations were determined with an elemental analyzer (Vario EL, Elementar, Hanau,  
204 Germany). P concentrations were also measured: 100-mg biomass subsamples were diluted in  
205 3 ml of HNO<sub>3</sub> 65%, (Merck, Darmstadt, Germany) and microwave digested at high pressure  
206 (Multiwave, Anton Paar, Graz, Austria; Raessler et al. (2005)). Afterwards, elemental analysis  
207 was conducted using inductively coupled plasma - optical emission spectrometry (ICP-OES,  
208 Optima 3300 DV, Perkin Elmer, Norwalk, USA).

209

## 210 **2.2 Flux measurements and Meteorological data**

211 Net CO<sub>2</sub> fluxes were measured with three transparent chambers of a closed dynamic system.  
212 The chambers consisted of a cubic (0.6m x0.6m x0.6 m) transparent low-density polyethylene  
213 structure connected to an infrared gas analyzer (IRGA LI-840, Lincoln, NE, USA), which  
214 measures CO<sub>2</sub> and water vapor mole fractions (W) at 1 Hz. The chambers were equipped with  
215 different sensors to acquire environmental and soil variables, all installed at the chamber  
216 ceiling: Photosynthetically Active Radiation (*PAR*) was measured with a quantum sensor (Li-  
217 190, Li-Cor, Lincoln, NE, USA) placed outside of the chamber to be handled and leveled; air  
218 and vegetation temperatures were measured with a thermistor probe (*T<sub>a</sub>*, type 107, Campbell  
219 Scientific, Logan, Utah, USA) and an infrared thermometer (*T<sub>c</sub>*, IRTS-P, Apogee, UT, USA);  
220 atmospheric pressure (P) was measured inside the chamber using a barometric pressure sensor

221 (CS100, Campbell Scientific, Logan, Utah, USA). The chambers were also equipped with soil  
222 temperature and humidity sensors; soil water content was determined with an impedance soil  
223 moisture probe (Theta Probe ML2x, Delta-T Devices, Cambridge, UK) at 5 cm depth and soil  
224 temperature (type 107, Campbell Scientific, Logan, Utah, USA) at 10 cm depth. Vapor  
225 pressure deficit (VPD) was computed using  $T_c$  and relative humidity, which was derived from  
226 water vapor molar fraction measured with the IRGA.

227 The chamber operated as a closed dynamic system. A small pump circulates an air flow of 1 L  
228  $\text{min}^{-1}$  through the sample circuit: air is drawn from inside the chamber - through three porous-  
229 hanging tubes spatially distributed through the chamber headspace - to the infrared gas  
230 analyzer; this air flow is then returned to the chamber. The hanging tubes allowed spatially  
231 distributed sampling, obviating the need to homogenize air during chamber deployment.  
232 Nevertheless, one small fan (12V, 0.14A) was fixed at 0.3 m on a floor corner of the chamber  
233 and angled  $45^\circ$  upward.

234 A 0.6x0.6m metal collar was installed in each permanent parcel of each plot. The collar  
235 provided a flat surface onto which the bottom of the chamber was placed. The chamber was  
236 open and ventilated during 1 min prior to measurement, so that initial air composition and  
237 temperature in the confined environment of the chamber represented natural atmospheric  
238 conditions (as much NEE as Reco). For the NEE measurement, the transparent chamber was  
239 placed on the collar (closed position, lasted 3 minutes as a general rule), and fluxes were  
240 calculated from the rate of change of the  $\text{CO}_2$  molar fraction (referenced to dry air) within the  
241 chamber. Similar procedure was carried out for  $R_{\text{eco}}$  but using an opaque blanket that covered  
242 the entire chamber and kept it dark during the measurements (PAR values around 0). Fluxes  
243 were calculated according to Pérez-Priego et al. (2015).

244 Shortly, the flux calculation algorithm reduces flux uncertainties by including the change-  
245 point detection method to determine the stabilization time, which defines the initial slope of

246 the regressions, and a bootstrap resampling-based method to improve confidence in regression  
247 parameters and to optimize the number of data points used for flux calculation. In addition, a  
248 statistical analysis of residuals was performed to automatically detect the best fit among  
249 alternative regressions (i.e. quadratic, hyperbolic tangent saturating function, exponential,  
250 linear). These analyses were implemented in a self-developed R Package (available upon  
251 authors request or at the following link <http://r-forge.r-project.org/projects/respchamberproc/>).  
252 NEE and  $R_{\text{cco}}$  measurements were taken over the course of the day (from sunrise to sunset)  
253 for each field campaign. Chamber disturbance effects and correction for systematic and  
254 random errors (i.e. leakage, water dilution and gas density correction, and light attenuation by  
255 the chamber wall) were applied according to Perez-Priego et al., (2015).

256

### 257 **2.3 Field spectral measurements**

258 Midday spectral measurements at canopy level were carried out under clear sky conditions  
259 using two portable spectrometers (HR4000, OceanOptics, USA) characterized by different  
260 spectral resolutions. Spectrometer 1, characterized by a Full Width at Half Maximum  
261 (FWHM) of 0.1 nm and a 700-800 nm spectral range was specifically designed for the  
262 estimation of sun-induced chlorophyll fluorescence at the  $O_2$ -A band (760 nm). Spectrometer  
263 2 (FWHM = 1 nm, 400 - 1000 nm spectral range) was used for the computation of reflectance  
264 and vegetation indices. Spectrometers were housed in a thermally regulated Peltier box,  
265 keeping the internal temperature at 25°C in order to reduce dark current drift. The  
266 spectrometers were spectrally calibrated with a source of known characteristics (CAL-2000  
267 mercury argon lamp, OceanOptics, USA) while the radiometric calibration was inferred from  
268 cross-calibration measurements performed with a calibrated FieldSpec FR Pro spectrometer  
269 (ASD, USA). This spectrometer was calibrated by the manufacturer with yearly frequency.

270 Incident solar irradiance was measured by nadir observations of a leveled calibrated standard  
271 reflectance panel (Spectralon; LabSphere, USA). Measurements were acquired using bare  
272 fiber optics with an angular field of view of 25°. The average canopy plane was observed  
273 from nadir at a distance of 110 cm (43 cm diameter field of view) allowing for collecting  
274 measurements of 50% of the surface area covered by the chamber measurements. The manual  
275 rotation of a mast mounted horizontally on the tripod allowed sequential observation of the  
276 vegetated target and the white reference calibrated panel. More in detail, every acquisition  
277 session consisted in the consecutive collection of the following spectra: instrument dark  
278 current, radiance of the white reference panel, canopy radiance and radiance of the white  
279 reference panel. The radiance of the reference panel at the time of the canopy measurement  
280 was then estimated by linear interpolation.

281 For every acquisition, 3 and 10 scans (for Spectrometers 1 and 2, respectively) were averaged  
282 and stored as a single file. Five measurements were collected for each plot. Spectral data were  
283 acquired with dedicated software (Meroni and Colombo, 2009) and processed with a  
284 specifically developed IDL (ITTVIS IDL 7.1.1) application. This application allowed the  
285 basic processing steps of raw data necessary for the computation of the hemispherical conical  
286 reflectance factor described by Meroni et al. (2011).

287 The following indices were selected as suitable to investigate long term nutrient-mediated  
288 effects on photosynthesis. The NDVI (Rouse et al., 1974) was selected because it correlates  
289 well with plant area and among traditional spectral vegetation indices is used worldwide by  
290 classical LUE models as a surrogate for  $fAPAR$  (Di Bella et al., 2004). The MTCI (Dash and  
291 Curran, 2004) was selected because it was specifically designed for canopy chlorophyll  
292 content estimation, and recently used as proxy for  $fAPAR$  as well as NDVI. In this study we  
293 used the PRI and SIF as surrogates for LUE. A scaled PRI (sPRI) calculated as  $(PRI+1)/2$  was

294 used. SIF was estimated by exploiting the spectral fitting method described in Meroni et al.  
295 (2010), assuming linear variation of the reflectance and fluorescence in the O<sub>2</sub>-A absorption  
296 band region. The spectral interval used for SIF estimation was set to 759.00 - 767.76 nm for a  
297 total of 439 spectral channels used. For methodological distinction among existing  
298 approaches, hereafter SIF is referred to as F760. Because F760 is affected by PAR we use the  
299 apparent chlorophyll fluorescence yield (Fy760; Rossini et al., 2010) computed as the ratio  
300 between F760 and the incident radiance in a nearby spectral region. A summary of the  
301 formulation to compute the vegetation indices and their corresponding target and proxy in the  
302 LUE model approach are presented in Table 2.

#### 303 **2.4 Relationship between GPP and remote sensing data**

304 Ecosystem-level GPP was computed as the difference between NEE and daytime R<sub>eco</sub> taken  
305 consecutively with the chambers. To assess how GPP is modulated by light among treatments  
306 and over the phenological cycle of the herbaceous stratum, we computed the parameters of  
307 photosynthetic light response curve (PLRC). Specifically, the Michaelis–Menten function was  
308 fitted to GPP and PAR data taken throughout the course of the day (from sunrise until sunset)  
309 for each field campaign and treatment as follows:

$$310 \quad GPP_i = \frac{\alpha \times \beta \times PAR_i}{\beta + PAR_i \times \alpha}, \quad [1]$$

311 where  $\alpha$  is a parameter describing the photosynthetic quantum yield ( $\mu\text{mol CO}_2 \mu\text{mol photons}^{-1}$ )  
312 <sup>1</sup>), and  $\beta$  is the parameter that extrapolates to GPP at saturating light condition ( $\mu\text{mol CO}_2 \text{m}^{-2}$   
313  $\text{s}^{-1}$ ). According to Ruimy et al. (1994), we used the optimized parameters of the PLRC as  
314 defined in Eq. (1) to estimate the GPP at 2000  $\mu\text{mol quantum m}^{-2} \text{s}^{-1}$  of PAR (hereafter  
315 referred to GPP<sub>2000</sub>).

316 We evaluated direct relationships between those GPP measurements taken around noon  
 317 (between 11:00 and 15:00 pm solar time) with the chamber ( $GPP_{noon}$ ) and sequentially  
 318 measurements of Fy760 and spectral indices (NDVI, sPRI, MTCI). In addition, to avoid  
 319 confounding factors in the relationship between Fy760 and sPRI and photosynthesis, we also  
 320 used  $GPP_{2000}$  as a maximum photosynthetic capacity descriptor.

## 321 2.5 Monteith's light-use efficiency modelling approaches

322 Following Monteith's LUE framework (Eq. 2) two alternative modeling approaches were  
 323 used:

$$324 \quad GPP = LUE \times fAPAR \times PAR, \quad [2]$$

325 i. **Meteo-driven methods (MM)**; based on the MOD17 formulation,  $fAPAR$  is  
 326 approached through the relationship with NDVI and includes limiting functions  
 327  $f(meteo)$ , which are based on climatic driving parameters to limit maximum LUE  
 328 ( $LUE_{max}$ ). Alternatively, Eq. (2) was reformulated as follows:

$$329 \quad GPP = LUE_{max} \times f(meteo) \times (a_0 \times NDVI + a_1) \times PAR, \quad [3]$$

330 where  $LUE_{max}$ ,  $a_0$ , and  $a_1$  are model parameters. Three different  $f(meteo)$  functions  
 331 were tried;

332 a) **MM-VPD**, this method is a simplification of the original MOD17, in which  
 333  $f(meteo)$  includes two linear ramp functions of both maximum and minimum vapour  
 334 pressure deficit (VPD) and minimum temperature (T). Since minimum temperature  
 335 was not limiting at the site, we fixed the  $f(meteo)$  parameters as suggested by Heinsch  
 336 et al. (2006) but constraining only a function based on VPD as follows:

$$337 \quad f(meteo) = \left[ 1 - \left( \frac{VPD - VPD_{min}}{VPD_{max} - VPD_{min}} \right) \right], \quad [4]$$

338 then,  $VPD_{max}$  and  $VPD_{min}$  are defined as the three parameters of the  $f(meteo)$  term.

339 b) **MM-SWC**, where  $f(\text{meteo})$  includes a soil water content (SWC) function  
 340 (Migliavacca et al., 2011) as the limiting factor of  $LUE_{\text{max}}$ :

$$341 \quad f(\text{meteo}) = \frac{1}{1 + \exp(\text{SWC}_{\text{max}} - a \times \text{SWC})}, \quad [5]$$

342 here,  $\text{SWC}_{\text{max}}$  and  $a$  are defined as the parameters of the  $f(\text{meteo})$  term.

343 c) **MM (SWC-VPD)**, where  $f(\text{meteo})$  includes both soil water content and VPD  
 344 functions as limiting factors:

$$345 \quad f(\text{meteo}) = \left[ 1 - \left( \frac{\text{VPD} - \text{VPD}_{\text{min}}}{\text{VPD}_{\text{max}} - \text{VPD}_{\text{min}}} \right) \right] \times \left[ \frac{1}{1 + \exp(\text{SWC}_{\text{max}} - a \times \text{SWC})} \right], \quad [6]$$

346 here,  $\text{VPD}_{\text{max}}$ ,  $\text{VPD}_{\text{min}}$ ,  $\text{SWC}_{\text{max}}$  and  $a$  are defined as the parameters of the  $f(\text{meteo})$   
 347 term.

348 ii. **RS-based method (RSM)**; based on a solution of Eq.(1) as follows:

$$\begin{aligned} 349 \quad GPP &= LUE \times fPAR \times PAR = (a_0 \times Ph + a_1) \times (a_2 \times St + a_3) \times PAR \\ &= (b_0 \times Ph + b_1 \times St + b_2 \times Ph \times St + b_3) \times PAR, \end{aligned} \quad [7]$$

350 where four alternative model formulations were obtained from the combination of the sPRI or  
 351 Fy760 as the physiological related proxy ( $Ph$ ) for LUE, and NDVI or MTCI as structural-  
 352 related ( $St$ ) proxy for  $fAPAR$ . In Eq. 7,  $b_0$ ,  $b_1$ ,  $b_2$ , and  $b_3$  are fitting parameters (Rossini et al.,  
 353 2010).

## 354 **2.5 Statistical analysis and model performance**

355 All model formulations were optimized using  $GPP_{\text{noon}}$  and spectral measurements  
 356 taken at midday. Since the means of spectral measurements per treatment could have unequal  
 357 variance, a Welch's t-test was performed to evaluate significant differences between the mean  
 358 values of the different vegetation indices for each treatment and over the four field campaigns.  
 359 In addition, an analysis of covariance (ANCOVA) was used to test whether or not there was a



360 significant interaction by the treatment effect between  $GPP_{noon}$  and Fy760 and different  
361 spectral indices. Like vegetation indices, a t-test was performed to the daily average of GPP  
362 taken over the course of the day ( $GPP_{daily}$ ).

363

### 364 **2.5.1 Cross-validation analyses and model evaluation**

365 Different model formulations were evaluated in leave-one-out (loo) cross-validation: from the  
366 whole dataset composed by  $n$  observations, one data point at a time was removed. The model  
367 was fitted against the  $n-1$  remaining data points (training set) while the excluded data  
368 (validation set) were used for model evaluation. The cross-validation process was then  
369 repeated  $n$  times, with each of the  $n$  observations used exactly once as the validation set. For  
370 each validation set of the cross-validated model, statistics were calculated.

371 Model accuracy was evaluated by means of different statistics according to Janssen and  
372 Heuberger (1995): root mean square error (RMSE), relative root mean square error (rRMSE)  
373 determination coefficient ( $r^2$ ) and model efficiency (ME). The model performances in loo  
374 cross-validation were also calculated and reported as  $RMSE_{cv}$ ,  $rRMSE_{cv}$ ,  $r^2_{cv}$  and  $ME_{cv}$ .

375 The Akaike Information Criterion ( $AIC_{cv}$ ) was used to evaluate the trade-off between model  
376 complexity (i.e. number of parameters) and explanatory power (i.e. goodness-of-fit) of the  
377 different model formulations proposed. The  $AIC_{cv}$  is a method based on information theory  
378 that is useful for statistical and empirical model selection purposes (Akaike, 1998). Following  
379 Anderson et al. (2000), in this analysis we used the following definition of  $AIC_{cv}$ :

$$380 \quad AIC_{cv} = 2(\rho + 1) + n \left[ \ln \left( \frac{RSS_{cv}}{n} \right) \right] \quad [8]$$

381

382 where  $n$  is the number of samples (i.e. observations),  $p$  is the number of model parameters and  
383  $RSS_{cv}$  is the residual sum of squares divided by  $n$ .

384 The LUE model formulations proposed in Section 2.4 can be ranked according to  $AIC_{cv}$ ,  
385 where the model with lowest  $AIC_{cv}$  is considered the best among the different model  
386 formulations.

387 All model parameters (MM, and RSM) were estimated by using a Gauss-Newton nonlinear  
388 least square optimization method (Bates and Watts, 2008), and standard errors of parameters  
389 were estimated by bootstrapping (number of sampling,  $n = 500$ ; Efron and Tibshirani (1994)),  
390 both implemented in the R standard package (R version 3.0.2, R Development Core Team,  
391 2011).

392

### 393 **3. Results**

#### 394 **3.1 Effects of fertilization on plant nutrient contents and GPP**

395 Fertilization caused strong variations in leaf N and P content among treatments, plant  
396 forms and across field campaigns (Table 2); while total N content in plants ranged slightly  
397 between  $13.8 \pm 1.2$  and  $15.4 \pm 1.7$   $\text{mg g}^{-1}$  for the C and +P treatments over the whole  
398 experiment, the largest increases in total N content were found in the peak of the growing  
399 season (#2, March 20<sup>th</sup>, 2014), when +NP and +N treatments reached values of up to  $23.7 \pm 2.0$   
400 and  $23.5 \pm 4.1$   $\text{mg g}^{-1}$ , respectively. Although slightly lower, the differences in total N content  
401 between C and +P, and +NP and +N remained high over the drying period. Total P content  
402 was higher in +NP and +P treatments after fertilization, as compared to +N and C treatments.  
403 Consequently, the N:P ratio at the first campaign after fertilization (#2) achieved values of up  
404 to 14.2, 6.6, 6, and 3.7, in +N, C, +NP, and +P treatments, respectively. Similar differences in  
405 N:P between treatments were also observed during the drying period (#3 and #4, Table 2). On  
406 the other hand,  $PAI_g$  ranged from  $0.4 \text{ m}^2 \text{ m}^{-2}$  in campaign #4 to up to  $2.5 \text{ m}^2 \text{ m}^{-2}$  in campaign  
407 #2. No differences were found in  $PAI_g$  among treatments since grazing apparently offset any

408 potential difference in the green aboveground production. Regarding variations in the fraction  
409 of plant forms, no significant differences were found between treatments.

410 Fertilization caused significant differences in the  $GPP_{\text{daily}}$  ( $p < 0.05$ ) between N-addition  
411 treatments (mean values of  $19.62 \pm 4.15$  and  $18.19 \pm 5.67 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for +N and +NP,  
412 respectively) and C and +P treatments ( $14.31 \pm 5.39$  and  $14.40 \pm 4.09 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
413 respectively) in the peak of the growing season (campaign #2); a relative difference of 37% in  
414  $GPP_{\text{daily}}$  values was found between +N and +NP and C treatments. During the drying period,  
415 however, GPP was substantially down regulated (campaigns #3 and #4) and no significant  
416 differences were found in  $GPP_{\text{daily}}$ , regardless of differences in plant N content observed  
417 among treatments. The potential photosynthetic capacity  $GPP_{2000}$  (Fig 2) derived from PLRC  
418 was similar in the four treatments in the pretreatment period (campaign #1, Fig 2a).  $GPP_{2000}$   
419 varied throughout the season and peaked in the campaign #2 (April 15<sup>th</sup>) in all treatments. At  
420 this time PLRC of the +N and +NP treatments diverged clearly from no N addition treatments  
421 (C and +P, Fig 2b).  $GPP_{2000}$  was higher in +N and +NP treatments ( $18.6$  and  $20.1 \mu\text{mol CO}_2 \text{ m}^{-2}$   
422  $\text{s}^{-1}$ , respectively) compared to C and +P treatments ( $14.9$  and  $15.4 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
423 respectively). After campaign #2, when the soil layer at 5 cm depth dried out appreciably  
424 (volumetric water content achieved values of 3% vol., data not shown), vegetation  
425 progressively senesced and  $GPP_{2000}$  in turn was down-regulated and converged to similar  
426 values in all treatments, regardless the higher N content observed in +N and +NP treatments  
427 as compared with C and +P treatments (Table 1). During the drying season,  $GPP_{2000}$  decreased  
428 in all treatments ranging between  $5.6$  and  $8 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and no differences among  
429 treatments was observed (Fig 2 c and d). These results indicate that the senescence of the  
430 herbaceous stratum, which is regulated by water availability, strongly modulated the  
431 photosynthetic capacity of the vegetation over the season.

432

### 433 3.2 – Effects of fertilization on remote sensing data

434 Optical properties of the analyzed plots were similar during campaign #1, before the  
435 nutrient application. A pronounced seasonal time course was observed for both *Ph* (sPRI and  
436 Fy760) and structural indices (*St*; NDVI and MTCI) with maximum values during the second  
437 campaign. It is interesting to note that while for *St* indices the maximum values were reached  
438 in +N plots, +NP plots showed maximum *Ph* values. Vegetation indices and Fy760 then  
439 decreased in the drying period (Figure 3). As for GPP, differences between treatments were  
440 more evident during campaign #2 when C plots showed statistically lower values for all the  
441 indices considered, while only MTCI was able to detect significant differences between N  
442 fertilized plots (+N and +NP). Furthermore significant differences in Fy760 and MTCI  
443 between C and the other three treatments were found ( $p<0.05$ ) in the drying period (campaign  
444 #4.). NDVI varied significantly with changes in  $PAI_g$  with values of 0.4 in the campaign #4  
445 up to 0.8 in the campaign #2 ( $p<0.001$ ,  $r^2=0.79$ ).

446

### 447 3.3 Relationship between remote sensing data and GPP

448 While *Ph* indices (Fy760 and sPRI) varied linearly with  $GPP_{noon}$  in all treatments  
449 ( $p<0.001$ ,  $r^2=0.66$  for Fy760 and  $p<0.001$ ,  $r^2=0.79$  for sPRI, respectively, Fig 4 a and b,),  
450 different patterns were observed for *St*: NDVI and GPP were best fitted by an exponential  
451 regression ( $p<0.001$ ,  $r^2=0.77$  Fig 4 c), while a weak linear relationship between MTCI and  
452  $GPP_{noon}$  ( $p<0.05$ ,  $r^2=0.45$ , Fig 4 d) was found. Although a weak relation between MTCI and  
453  $GPP_{noon}$  was found, MTCI was strongly correlated with plant N content ( $y=14.17x-2.49$ ,  
454  $p<0.001$ ,  $r^2=0.86$ ). Note that these results are computed excluding data taken in the pre-  
455 treatment campaign (#1) and differences in the relationship between remote sensing data and  
456  $GPP_{noon}$  among treatments can be only attributed to nutrient-induced effects. The ANCOVA

457 test did not show significant differences neither in slope nor intercept of the relationship  
458 between  $GPP_{noon}$  and sPRI, and NDVI across treatments. However, barely significant  
459 differences were found in the relationship between  $GPP_{noon}$  and Fy760 ( $p < 0.1$ , Fig 4b) and  
460 significant between  $GPP_{noon}$  and MTCI ( $p < 0.01$ , Fig 4d) between N addition treatments (+N  
461 and +NP) and C treatments (C and +P).

462 Similar to  $GPP_{noon}$ ,  $GPP_{2000}$  was also significantly related to mean midday sPRI  
463 ( $r^2 = 0.76$ ,  $p < 0.001$ , Fig. 5a) and Fy760 ( $r^2 = 0.76$ ,  $p < 0.001$ , Fig. 5b). As expected, an  
464 exponential regression fitted best for NDVI, while a poor relationship with MTCI was found  
465 (data not shown).

466

### 467 **3.4 Modeling GPP**

468 Based on the  $AIC_{cv}$  criterion, MM (VPD- SWC) outperformed MM-VPD, MM-SWC  
469 and RSM models. Although MM (VPD-SWC) showed high accuracy in the predictions  
470 ( $ME_{cv} = 0.879$ ,  $r^2_{cv} = 0.881$ ), this model had a tendency to underestimate observation at high  
471  $GPP_{noon}$  values (see comparison between model predictions and observations, Figures 6a-6c).  
472 Note that the highest biases in modeled  $GPP_{noon}$  values among MM models belong to +N and  
473 +NP treatments in field campaign #2. Since the four treatments experienced the same  
474 environmental conditions (i.e. comparable values of SWC, VPD, air temperature), this bias  
475 can be attributed to the higher N content (+N and +NP treatments) as compared to C and +P  
476 treatments. Remarkably, residuals of the MM (VPD-SWC) taken from periods with moist soil  
477 ( $SWC > 15$ ) were significantly correlated with sPRI and Fy760 ( $p < 0.05$ , Fig. 7 a and b,  
478 respectively). However, no biases between residuals and predictions were observed in RSM  
479 over the span of values and treatments (Fig. 8). Results from the evaluation of model  
480 performance indicated that RSM performs best when NDVI rather than MTCI, is used as  $St$  in

481 the Eq.7 and, hence, as a proxy for  $fAPAR$  (Table 3). Our results indicated that RSM  
482 performs best when either  $Ph$  (sPRI or Fy760) is combined with NDVI as  $St$ .

483

## 484 **4. Discussion**

### 485 **4.1 Effects of nutrients on GPP and remote sensing data and their relationships**

486 Nutrient fertilization, particularly N inputs, induced physiological changes manifested as an  
487 increase in photosynthetic capacity under high light conditions (Fig. 2; Hirose and Werger  
488 (1994). As we expected, plant N content showed to be a trait of photosynthesis that influences  
489 a variety of aspects of photosynthetic physiology (Ciompi et al., 1996; Sugiharto et al., 1990).  
490 These physiological changes were reflected on the optical properties, particularly on  
491 fluorescence and sPRI. The increase in fluorescence with N fertilization inputs was recently  
492 explained as the combined effect that a higher N content has on 1) chlorophyll content, which  
493 magnifies APAR and enhances fluorescence signal, and on 2) the increased photosynthetic  
494 capacity that results in reduced NPQ activity and consequently increases the fluorescence  
495 signal (Cendrero-Mateo et al., 2015). The relationships between  $GPP_{noon}$  and Fy760 is not  
496 unique and may vary from optimal to non-optimal environmental conditions (i.e. nutrient  
497 deficiencies, water stress), when other regulatory mechanisms might reduce the degree of  
498 coupling between fluorescence and photosynthesis (Cendrero-Mateo et al., 2015; Porcar-  
499 Castell et al., 2012). Although Fy760 was positively correlated with  $GPP_{noon}$ , barely  
500 significant differences in the slope of this relationship were observed between treatments (Fig.  
501 4 b). Further studies are needed to fully explore the relationship between Fy760 and  $GPP_{noon}$   
502 under different stress conditions and over different ecosystems. However, if confirmed, the  
503 effect of nutrient availability on the relationship between Fy760 and  $GPP_{noon}$  could have  
504 important implications in GPP modeling. This result suggests that the inclusion of a

505 correction factor related to leaves N:P stoichiometry should be considered when modeling  
506 GPP assuming a linear relationship with fluorescence at plant functional type level (Guanter  
507 et al., 2014; Joiner et al., 2013).

508 In this study we also explored the capability of remote sensing to describe ecosystem  
509 functional properties defined as those quantities that summarize and integrate ecosystem  
510 processes and responses to environmental conditions and can be retrieved from ecosystem  
511 level fluxes (e.g.  $GPP_{2000}$ ) and structural measurements (Reichstein et al., 2014). GPP at light  
512 saturation (i.e.  $GPP_{2000}$ ) is one example of an ecosystem functional property, shown here to be  
513 quite correlated to sPRI and Fy760 (Fig. 5). This result suggests that sPRI and Fy760 open  
514 also new opportunities for remote sensing products to describe the spatiotemporal variability  
515 of essential descriptors of ecosystem functioning (Musavi et al., 2015). Inferring  $GPP_{2000}$   
516 using remote-sensing has important implication both for monitoring global carbon cycle and  
517 for benchmarking terrestrial biosphere models.

518 MTCI was tightly related with N content ( $r^2=0.86$ ,  $p<0.001$ ), independent of other structural  
519 variables (i.e.  $PAI_g$ ), and can be used as a good indicator of N availability. Although MTCI  
520 has been proven to be very sensitive to variations in chlorophyll contents (Dash and Curran,  
521 2004) and hence linkable with light absorption processes, it was weakly correlated with GPP,  
522 particularly in plots added with N (+N and +NP;  $r^2=0.27$ ,  $p<0.01$ , Fig 4 d). A quite wide range  
523 of  $GPP_{noon}$  values were found at high values of MTCI – high  $GPP_{noon}$  values corresponding to  
524 the growing season and low ones to the drying period – which can be explained by two  
525 simultaneous mechanisms.

526 First, despite the high plant N content, physiological mechanisms including stomatal control  
527 or reduced carboxylation efficiency down-regulate GPP (Huang et al., 2004) and ultimately  
528 might break the relationship between  $GPP_{noon}$  and MTCI. Second, MTCI tracks changes in N

529 content regardless changes in canopy structure occurring during the dry season when grass  
530 achieved senescence (i.e. green to dry biomass ratio,  $PAI_g$ ). More studies aimed at the  
531 separation of the combined effects of N and changes in green/dry biomass fractions on  
532  $fAPAR$  are essential. On the other hand, although NDVI followed the seasonal dynamic of  
533  $PAI_g$ , it saturated at high  $GPP_{noon}$  values indicating the low ability of this index to detect  
534 spatial variations induced by N fertilization.

535 Although optical measurements were taken at high spatial resolution ( $<0.36 \text{ m}^2$ ), the  
536 separation of confounding factors affecting sPRI or Fy760 is essential to elucidate the  
537 mechanistic association between sPRI or Fy760 and GPP. Like sPRI, the retrieval of Fy760  
538 from the apparent reflectance signal can be also affected by vegetation structure or canopy  
539 background components (Zarco-Tejada et al., 2013). After optimization and selection of the  
540 best model parameters using NDVI and sPRI (or Fy760) as driver, we analyzed the response  
541 of simulated GPP to variations in NDVI and sPRI (or Fy760, Fig 9). Results indicate that at  
542 high GPP levels, Fy760 and sPRI but less NDVI shaped GPP. However, at low GPP levels,  
543 either Fy760 or sPRI responded to GPP on a small scale (Fig 9b). Figure 9 suggests that the  
544 relationship between NDVI and sPRI or Fy760 is not unique and NDVI may play an  
545 important role in driving GPP in ecosystem characterized by marked seasonal variations. Our  
546 results highlight the complementarity between NDVI and Fy760 or sPRI. Particularly, NDVI  
547 assisted Fy760 or sPRI in predicting GPP under conditions with low biomass (i.e. low LAI),  
548 when confounding factors may affect Fy760 or sPRI. In semi-arid ecosystems, the lack of  
549 sensitivity of sPRI or Fy760 to changes in GPP during dry conditions have been explained by  
550 the soil background effect on the reflectance signal (Barton and North, 2001; Mänd et al.,  
551 2010; Zarco-Tejada et al., 2013). Accordingly, Rahman et al., (2004) pointed out that  
552 conditions where sPRI performs best are in dense canopies with low portion of bare soil.

553



## 554 **4.2 Performances of different LUE modeling approaches.**

555 Here we aim at answering the question how can we better simulate GPP using LUE modeling  
556 with varying nutrient availability and environmental conditions by drawing comparisons  
557 between the two model philosophies; RSM against MM approaches. There are an increasing  
558 number of studies focused on the development of LUE models driven by remotely sensed  
559 information to better explain spatio-temporal variations of GPP (Gitelson et al., 2014; Rossini  
560 et al., 2012; Rossini et al., 2014). However, nutrient availability (and in particular N) greatly  
561 influence the spatial variability of LUE even within the same plant-functional type (e.g.  
562 grasslands) and further studies are essential. The slightly better performance in cross  
563 validation of the MM (VPD-SWC) against all model configurations, including RSM, supports  
564 the importance of a joint use of SWC and VPD as key parameters to constraint LUE in arid  
565 and semi-arid ecosystems (Prince and Goward, 1995). However, residual analyses  
566 demonstrated that MM (VPD-SWC) was unable to track N-induced differences in GPP during  
567 the growing period, when both parameters are not limiting (Fig. 7). By contrast, accurate  
568 estimates of GPP were obtained with RSM both over the drying and the growing periods.  
569 These results also indicate the importance of physiological descriptors to constrain LUE,  
570 which prevails over structural factors controlling  $fAPAR$  (i.e. green biomass) under given  
571 environmental conditions and encourage the use of hyperspectral remote sensing for  
572 diagnostic upscaling of GPP.

573 With sPRI or Fy760 as a proxy for LUE, RSM is presented as a valuable means to diagnose  
574 N-induced effects on physiology. Our results show the limits of MM in predicting the spatial  
575 and temporal variability of GPP when LUE is not controlled by meteorological drivers alone  
576 (VPD, temperature, soil moisture). Accordingly, GPP is eventually biased whenever neither  
577 climatic nor structural state variables explicitly reveal spatial changes in the LUE parameter  
578 associated with plant nutrient availability; residuals showed a clear tendency to underestimate

579 the highest modeled GPP values, significantly correlated to Fy760 and sPRI (Fig.7). From a  
580 practical point of view, the forcing variables of RSM approaches may show a better  
581 observational coverage. In effect, the satellite-based retrievals of RSM forcing variables could  
582 additionally overcome representativeness limitations and potential regional or seasonal biases  
583 in meteorological fields (Dee et al., 2011). The uncertainties in forcing variables of MM (i.e.  
584 temperature, VPD and soil moisture) could propagate and affects the GPP estimates.

585

## 586 **5. Concluding remarks**

- 587 1. Fy760 and sPRI correlated well with GPP: both increased with N content and  
588 decreased with senescence.
- 589 2. MTCI can be used as a good descriptor of N content in plants but the  
590 relationship with GPP breaks down under drought conditions.
- 591 3. Meteo-driven models were able to describe temporal variations in GPP, and  
592 soil moisture can be a key parameter to better track the seasonal dynamics of  
593 LUE in arid environments. However, meteo-driven models were unable to  
594 describe N-induced effects on GPP. Important implication can be derived from  
595 these results and uncertainties in the prediction of global GPP still remain  
596 when meteo-driven models do not account for plant nutrient availability.
- 597 4. sPRI or Fy760 provide valuable means to diagnose nutrient-induced effects on  
598 the photosynthetic activity and, therefore, should be included in diagnostic  
599 GPP models.

600

601 **Author contribution**

602 OPP, MM, and MRo conceived the analyses, wrote the introduction, results and discussion,  
603 and led the preparation and revision of the manuscript; FF, TJ made hyperspectral  
604 measurements, computed spectral indices and fluorescence, and wrote part of the methods  
605 section; JH, MS and OPP made chamber measurements, soil and vegetation lab analysis and  
606 wrote part of the methods section; JH organized the dataset; OK provided technical assistance  
607 in the design and construction of the chambers and data acquisition system and wrote part of  
608 the methods section; GM and AC designed the fertilization protocol, organized sampling,  
609 provided technical assistance for the managing of the experiment and contributed to data  
610 interpretation; TW and OPP developed the R package for flux calculations, computed GPP  
611 and flux uncertainties and contributed to statistical analyses and interpretation. NC and MRe  
612 contributed to analyses and interpretation and to draft the manuscript. All authors discussed  
613 the results and contributed to the manuscript.

614

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625 **Figure Captions**

626

627 **Fig 1.** Overview of the experimental site (SMANIE): the experimental blocks are drawn on an  
628 image acquired with the hyperspectral AHS (Sensytech Inc., Beverly, MA, USA) sensor  
629 during April 2014.

630

631 **Fig 2.** Photosynthetic light response curves derived for each growing period: (a) pretreatment  
632 and (b) post-treatment and drying periods (c and d). Treatments are presented in different  
633 colors. Lines represent the Michaelis–Menten function fitting gross photosynthesis  
634 ( $GPP, \mu\text{molCO}_2\text{m}^{-2}\text{s}^{-1}$ ) and photosynthetic active radiation ( $PAR, \mu\text{molm}^{-2}\text{s}^{-1}$ ).  
635

636 **Fig 3.** Seasonal time course of mean midday physiologically-driven vegetation indices; (a)  
637 scale photochemical reflectance index, sPRI (b) apparent fluorescence yield (Fy760), and  
638 structure-driven vegetation indices, (c) NDVI, and (d) MTCI among C, +N, +NP and +P  
639 treatments in a Mediterranean grassland in Spain. Bars indicate standard deviation,  $N = 4$ .  
640 Different letters denote significant difference between treatments (Weilch t test,  $P < 0.05$ ).

641

642 **Fig 4.** Relationship between GPP and remote sensing data: (a) scaled photochemical  
643 reflectance index (sPRI), (b) apparent fluorescence yield, (c) normalized difference vegetation  
644 index (NDVI), and (d) MTCI. Square symbols represent measurements taken in the pre-  
645 treatment (#1) and circles after fertilization (#2–#4). Data were obtained at midday and lines  
646 represent results from the regressions for each treatment excluding measurements in the pre-  
647 treatment.

648

649 **Fig 5.** Relationship between GPP2000 and average values of sPRI and (b) apparent  
650 fluorescence yield (Fy760). Lines represent results the best linear regressions fitting the data.

651

652 **Fig 6.** Comparison between measured GPP and GPP modeled with the best performing LUE  
653 model for each kind of formulation: MM (VPD, panel a), MM (SWC, panel b), MM  
654 (including VPD and SWC, panel c), RSM (sPRI-NDVI panel d), and RSM (Fy760-NDVI,  
655 panel e). Results from the cross-validation analysis are presented in Table 3.

656

657 **Fig 7.** Correlation between residuals of the MM (VPD-SWC) model and (a) scaled  
658 photochemical reflectance index (sPRI) and (b) chlorophyll fluorescence yield (Fy760) taken  
659 from periods with high soil water content ( $SWC > 15\%$ , red circles). No correlation was  
660 observed when  $SWC < 15\%$  ( $p > 0.5$ , black circles).

661

662 **Fig 8.** Plot between residuals of both the Meteo-driven model (MM-VPD) and Remote  
663 Sensing-based method (RSM) and modeled GPP values. Both lines represent the local  
664 polynomial regression fitting of the residuals against predicted values.

665

666 **Fig 9.** Contour plot indicating how variation in photosynthesis ( $GPP, \mu\text{mol CO}_2 \text{m}^{-2} \text{s}^{-1}$ ) are  
667 explained by variations in the LUE and fPAR parameters of the RSM. While (a) sPRI and (b)  
668 Fy760 are indistinctly used as a proxy of LUE, the NDVI is taken as fPAR.

669

670

671 **Table Captions**

672

673 **Table 1.** Ancillary data resulting from the analysis. Green Plant Area Index (PAI<sub>g</sub>), fraction  
674 of PAI in different plant forms (fPAI), and C, N, and P plant content. The N:P ratio also is  
675 shown. Data correspond to the mean value and standard deviation (SD) of the subsamples  
676 taken in each plot and treatment.

677  
678 **Table 2.** Spectral vegetation indices computed in this study. Vegetation indices are classified  
679 into two major classes based on their suitability in inferring fAPAR (structural related  
680 indices) and LUE (physiologically-related indices) parameters. R denotes the reflectance at  
681 the specified wavelength (nm). NDVI: normalized difference vegetation index; MTCI:  
682 MERIS terrestrial chlorophyll index; NDI: normalized difference index; sPRI: scaled  
683 Photochemical Reflectance Index; Fy760: apparent fluorescence yield at 760 nm.

684  
685 **Table 3.** Results from the model evaluation one leave out cross-validation analysis across  
686 LUE model configurations and vegetation indices. Based on AIC<sub>cv</sub>, the best performance  
687 among formulation test for each method is highlighted text bold.

688  
689 **Table 4. Abbreviations.**

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Campaign	Treatment	Total PAI <sub>g</sub> (m <sup>2</sup> m <sup>-2</sup> )	Total PAI <sub>g</sub> (m <sup>2</sup> m <sup>-2</sup> )	Forbs f <sub>PAI</sub>	Grass f <sub>PAI</sub>	legumes f <sub>PAI</sub>	Total C content (mg g <sup>-1</sup> )	Total C conten t (mg g <sup>-1</sup> )	Total N content (mg g <sup>-1</sup> )	Total N content (mg g <sup>-1</sup> )	Total P content (mg g <sup>-1</sup> )	Total P conten t (mg g <sup>-1</sup> )	N/P (mg g <sup>-1</sup> )
Date	--	mean	SD	%	%	%	mean	SD	mean	SD	mean	SD	--
<b>#1</b> <b>March 20 th, 2014</b> Growing period Pre-treatment	C	0.85	0.18	35.5	56.8	7.7	425	--	17.7	--	2.08	--	8.5
	N	0.76	0.21	39.2	45.1	15.0	463	--	18.6	--	1.99	--	9.34
	NP	1.03	0.30	29.1	54.3	12.9	421	--	18.1	--	1.90	--	9.52
	P	0.95	0.21	26.6	66.6	6.9	369	--	16.9	--	1.94	--	8.71
<b>#2</b> <b>April 15 th, 2014</b> Growing period Post-treatment	C	2.02	0.43	14.5	85.2	0.3	413	152	14.6	0.8	2.23	0.02	6.6
	N	2.17	0.91	11.9	87.6	0.4	384	121	23.7	2.0	1.68	0.03	14.2
	NP	2.46	0.45	4.1	95.6	0.3	377	330	23.5	4.1	3.95	0.04	6.0
	P	1.66	0.58	14.2	85.7	0.1	394	212	15.4	1.7	4.22	0.06	3.7
<b>#3</b> <b>May 7 th, 2014</b> Dry period	C	1.08	0.27	43.0	55.1	1.9	447	52	14.2	1.3	2.41	0.02	5.9
	N	1.29	0.58	28.3	70.7	1.0	449	114	20.1	3.1	1.86	0.03	10.8
	NP	0.84	0.21	27.2	71.8	1.0	438	64	20.6	1.2	3.50	0.04	5.9
	P	1.37	0.57	39.5	58.5	2.0	444	206	14.7	0.8	3.83	0.03	3.8
<b>#4</b> <b>May 27 th, 2014</b> Dry period	C	0.44	0.10	66.7	33.3	0.0	442	2	13.8	1.2	2.12	0.01	6.5
	N	0.48	0.28	36.4	63.6	0.0	448	3	19.0	2.8	1.93	0.02	9.8
	NP	0.53	0.26	40.6	59.4	0.0	442	1	18.5	3.4	2.63	0.02	7.1
	P	0.71	0.31	56.1	43.9	0.0	441	72	13.2	0.7	2.62	0.02	5.0

**Table 1.** Ancillary data resulting from the analysis. Green Plant Area Index (PAI<sub>g</sub>), fraction of PAI in different plant forms (fPAI), and C, N, and P plant content. The N:P ratio also is shown. Data correspond to the mean value and standard deviation (SD) of the subsamples taken in each plot and treatment.

Index	Target	Model proxy	Formulation	References
NDVI	<i>Green biomass &amp; Leaf area</i>	$f_{APAR}$	$(R_{800} - R_{680}) / (R_{800} + R_{680})$	Rouse et al., 1974
MTCI	<i>Chlorophyll &amp; Nitrogen content</i>	$f_{APAR}$	$(R_{754} - R_{709}) / (R_{709} - R_{681})$	Dash and Curran, 2004
sPRI	Physiology	LUE	$(R_{531} - R_{570}) / (R_{531} + R_{570})$	Gamon et al., 1992
Fy <sub>760</sub>	Physiology	LUE	Chlorophyll Fluorescence In-Filling of the O <sub>2</sub> -A Band	Meroni and Colombo, 2006

**Table 2.** Spectral vegetation indices computed in this study. Vegetation indices are classified into two major classes based on their suitability in inferring fAPAR (structural related indices) and LUE (physiologically-related indices) parameters. R denotes the reflectance at the specified wavelength (nm). NDVI: normalized difference vegetation index; MTCI: MERIS terrestrial chlorophyll index; NDI: normalized difference index; sPRI: scaled Photochemical Reflectance Index; Fy760: apparent fluorescence yield at 760 nm.

LUE Model	Variable	RMSE	rRMSE	r2	ME	RMSEcv	rRMSEcv	r2cv	MEcv	AICcv
MM-VPD	NDVI	3.041	23.439	0.894	0.802	3.143	24.671	0.877	0.788	160.887
MM-SWC	NDVI	2.663	32.909	0.849	0.848	2.769	34.840	0.835	0.829	148.417
<b>MM (VPD-SWC)</b>	<b>NDVI</b>	<b>2.230</b>	<b>21.727</b>	<b>0.894</b>	<b>0.893</b>	<b>2.357</b>	<b>23.266</b>	<b>0.881</b>	<b>0.879</b>	<b>127.478</b>
<b>RSM</b>	<b>PRI-NDVI</b>	<b>2.390</b>	<b>24.112</b>	<b>0.879</b>	<b>0.877</b>	<b>2.760</b>	<b>30.832</b>	<b>0.844</b>	<b>0.837</b>	<b>140.627</b>
RSM	PRI-MTCI	3.113	35.793	0.794	0.792	3.489	42.123	0.751	0.739	171.125
<b>RSM</b>	<b>Fy760-NDVI</b>	<b>2.490</b>	<b>27.743</b>	<b>0.868</b>	<b>0.867</b>	<b>2.835</b>	<b>34.242</b>	<b>0.834</b>	<b>0.828</b>	<b>144.116</b>
RSM	Fy760-MTCI	3.676	46.770	0.710	0.710	4.074	52.224	0.654	0.644	191.275

**Table 3.** Results from the model evaluation one leave out cross validation analysis across LUE model configurations and vegetation indices. Based on AICcv, the best performance among formulation test for each method is highlighted text bold.

**Table 4.** List of abbreviations

**a**, **a<sub>0</sub>**, and **a<sub>1</sub>** are model parameters; **b<sub>0</sub>**, **b<sub>1</sub>**, **b<sub>2</sub>**, and **b<sub>3</sub>** are fitting parameters of RSM; **EFPs**, ecosystem functional properties; **f(meteo)**, limiting functions relying on meteorologically-driven data; **fAPAR**, fraction of absorbed photosynthetically active radiation; **fPAI<sub>g</sub>**, fraction of *PAI<sub>g</sub>* in different plant forms; **Fy760**, sun-induced chlorophyll Fluorescence yield at 760 nm; **GPP**, gross primary productivity; **GPP<sub>noon</sub>**: instantaneous gross photosynthetic rate taken at solar noon (between 11:00 and 15:00 pm solar time); **GPP<sub>daily</sub>**: mean value of the diurnal time course of gross photosynthetic rate; **GPP<sub>2000</sub>**, gross primary productivity estimated at 2000 of PAR; **LUE**, light use-efficiency; **LUE<sub>m</sub>** potential or maximum LUE; **MM**, meteorologically driven model; **MM-VPD**, simplifier model of the original MOD17 that account for VPD in *f(meteo)*; **MM(SWC-VPD)** meteorologically-driven model that account for VPD and soil moisture in *f(meteo)*; **MTCI**, MERIS terrestrial-chlorophyll index; **NDVI**, Normalized difference vegetation index; **NEE**, net ecosystem CO<sub>2</sub> exchange; **PAI<sub>g</sub>**, Green Plant Area Index; **PAR**, Photosynthetically active radiation; **ph**, physiologically-related parameter of RSM referring to either sPRI or Fy760 as a proxy for LUE; **PLRC**, photosynthetic light response curve; **PRI**, photochemical reflectance index; **R<sub>eco</sub>**, daytime ecosystem respiration; **RSM**, remote sensing based models; **SIF**, sun-induced chlorophyll fluorescence; **sPRI**, scaled-photochemical reflectance index; **st**, structurally-related parameter of RSM referring to either NDVI or MTCI as a proxy for *fAPAR*; **SWC**, soil water content; **SWC<sub>max</sub>** parameter of the *f(meteo)* term; **VPD**, vapor pressure deficit; **VPD<sub>max</sub>** and **VPD<sub>min</sub>** are fitting parameters of the *f(meteo)* term; **α** is a parameter describing the photosynthetic quantum yield; **β** is the parameter that extrapolates to GPP at saturating light condition.

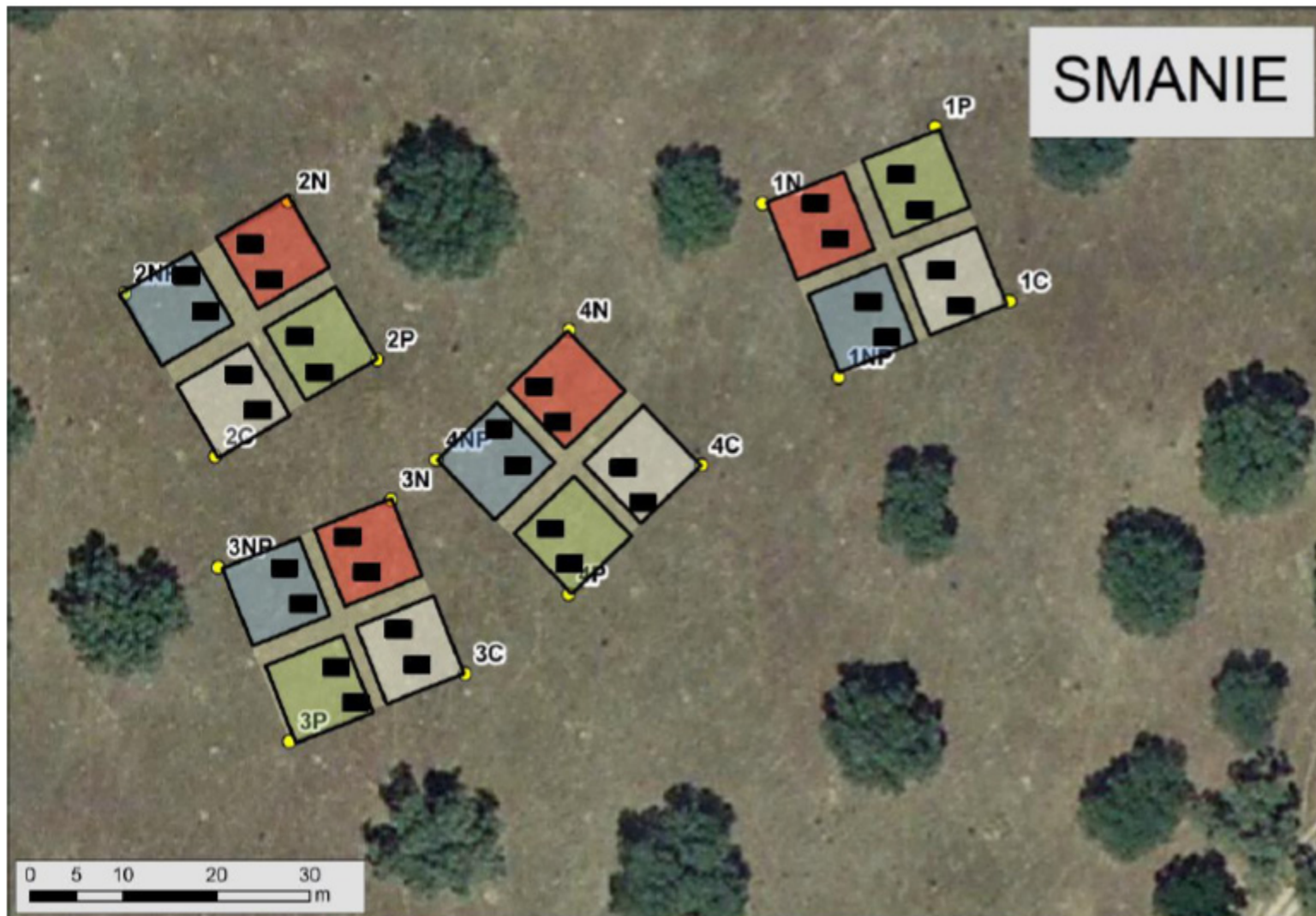


Fig. 1

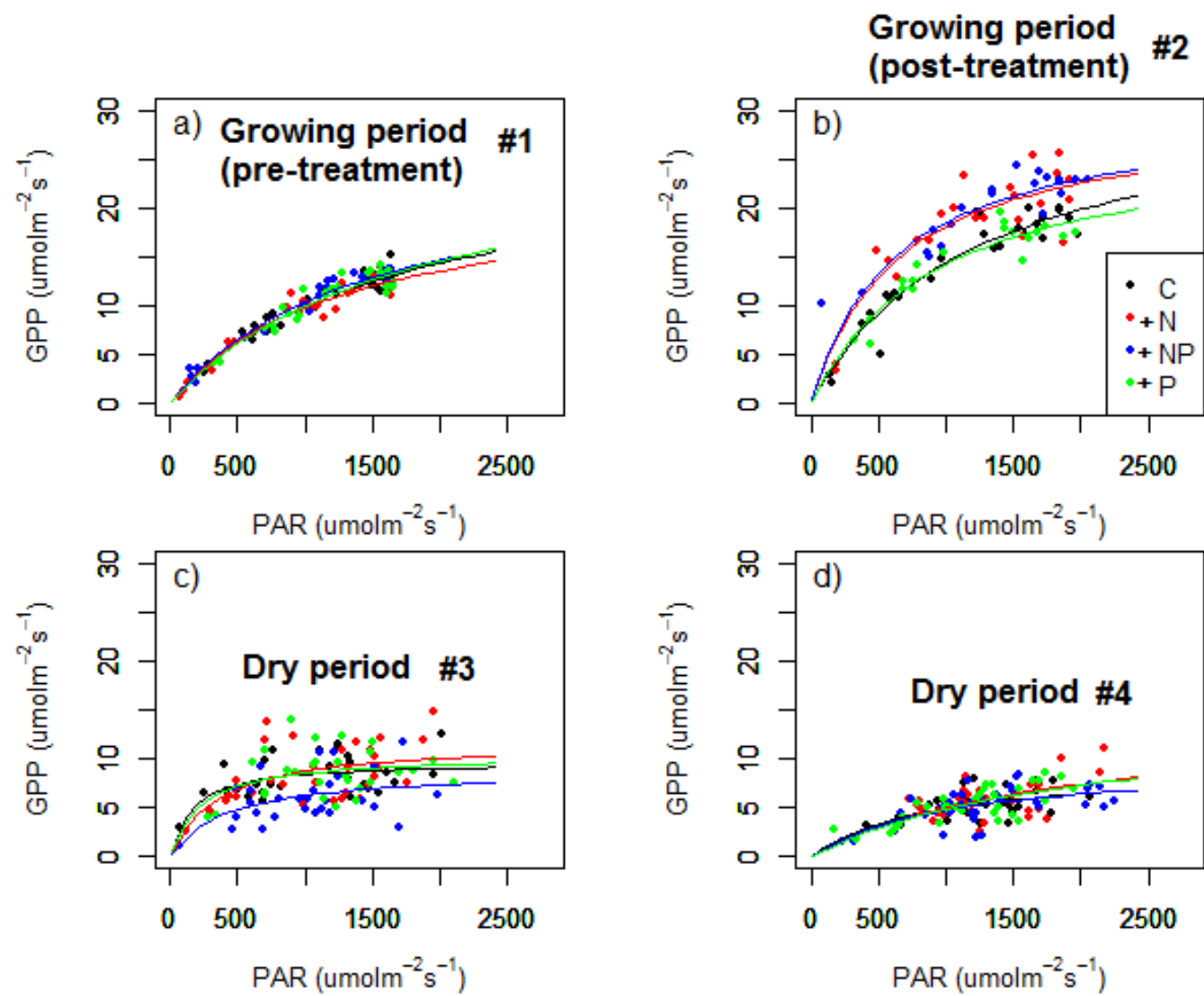


Fig. 2



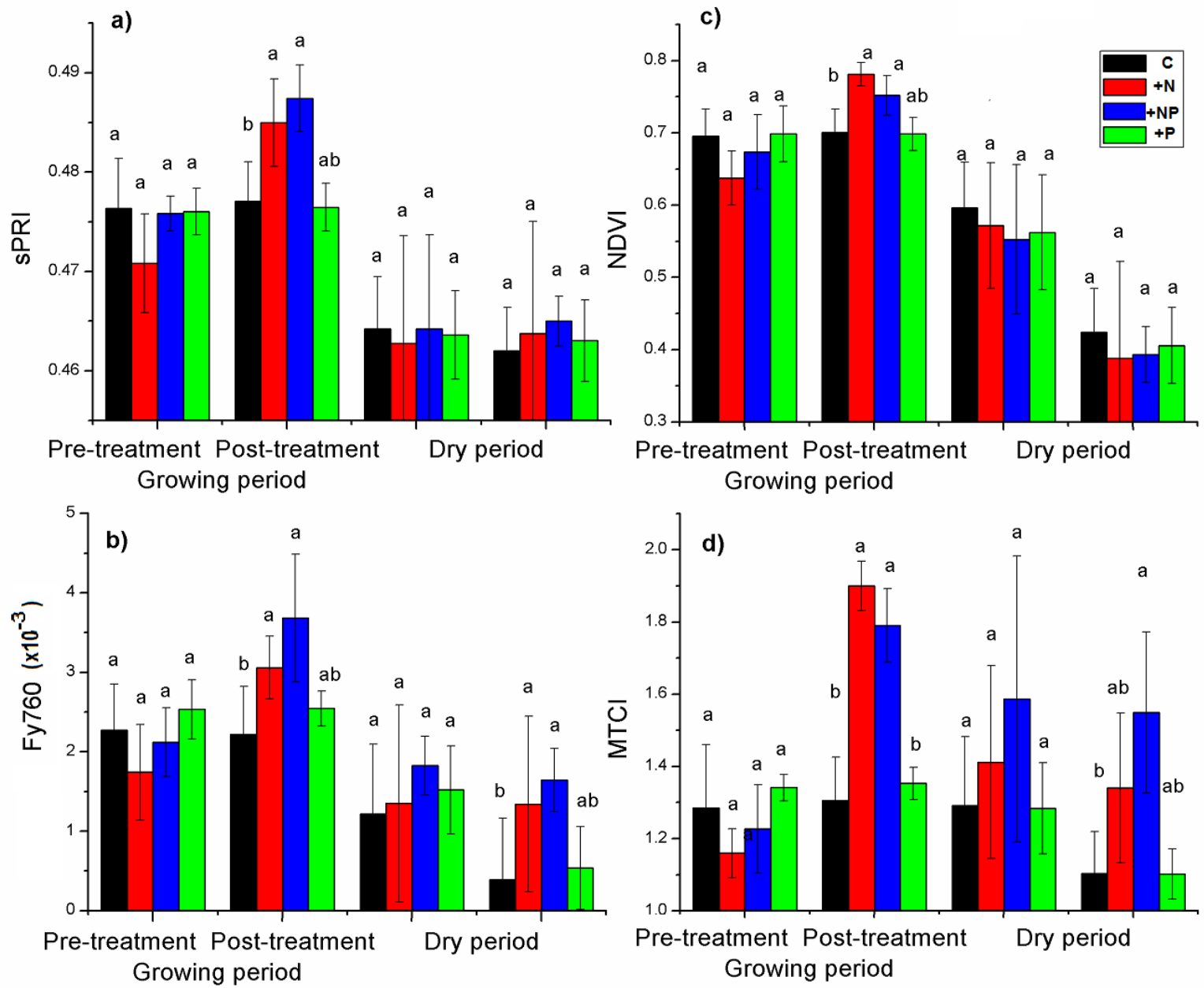


Fig. 3

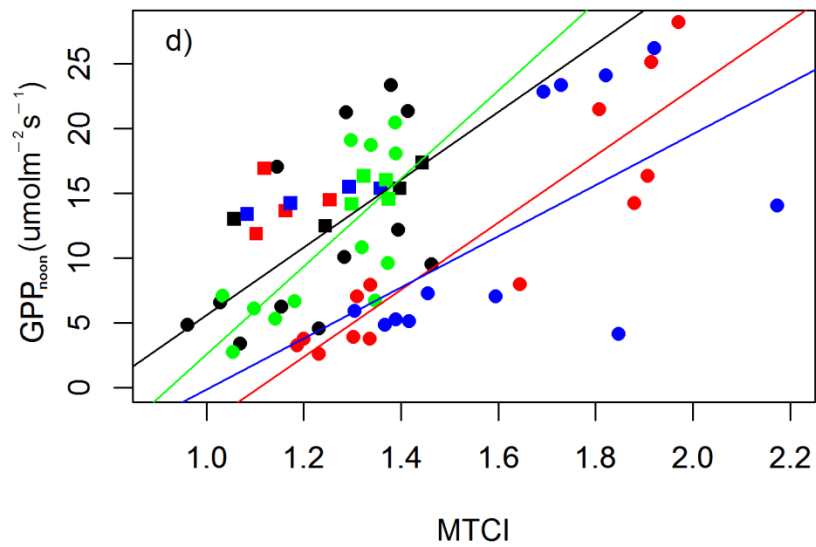
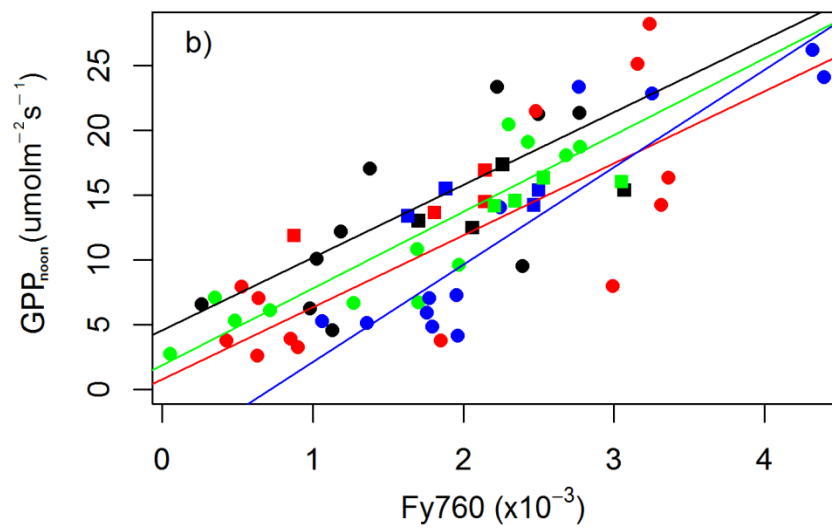
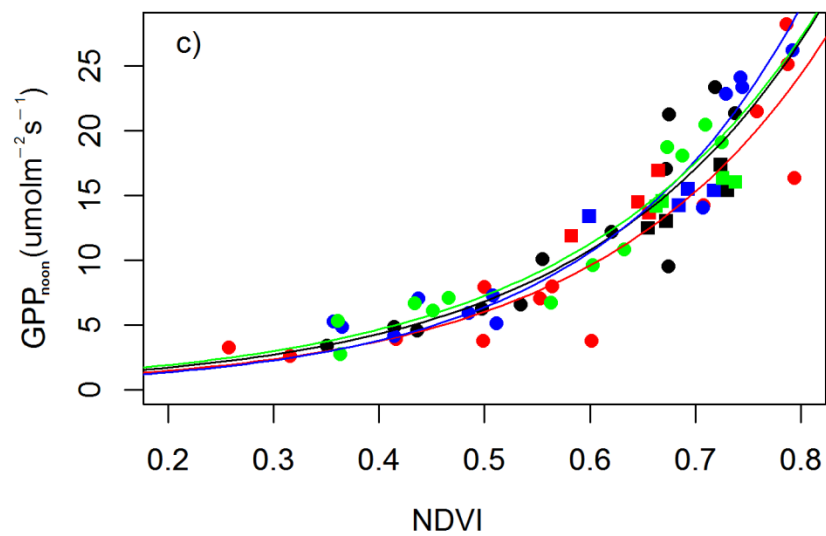
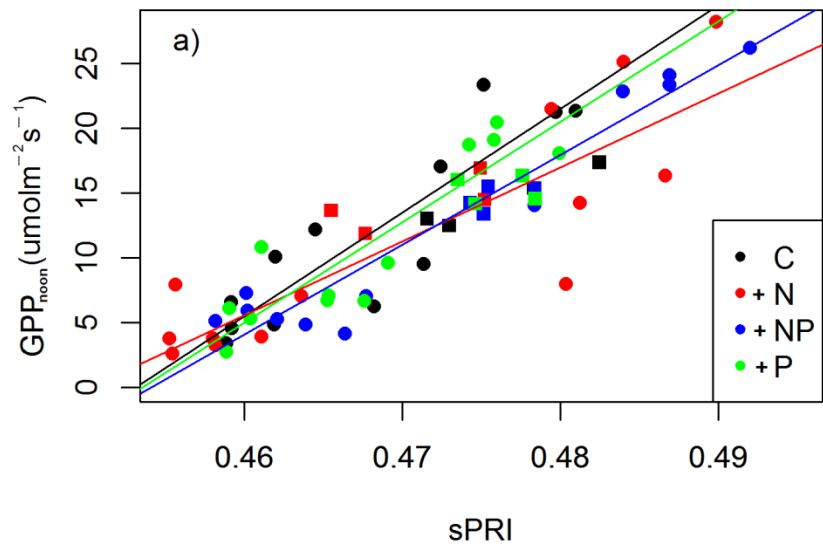


Fig. 4

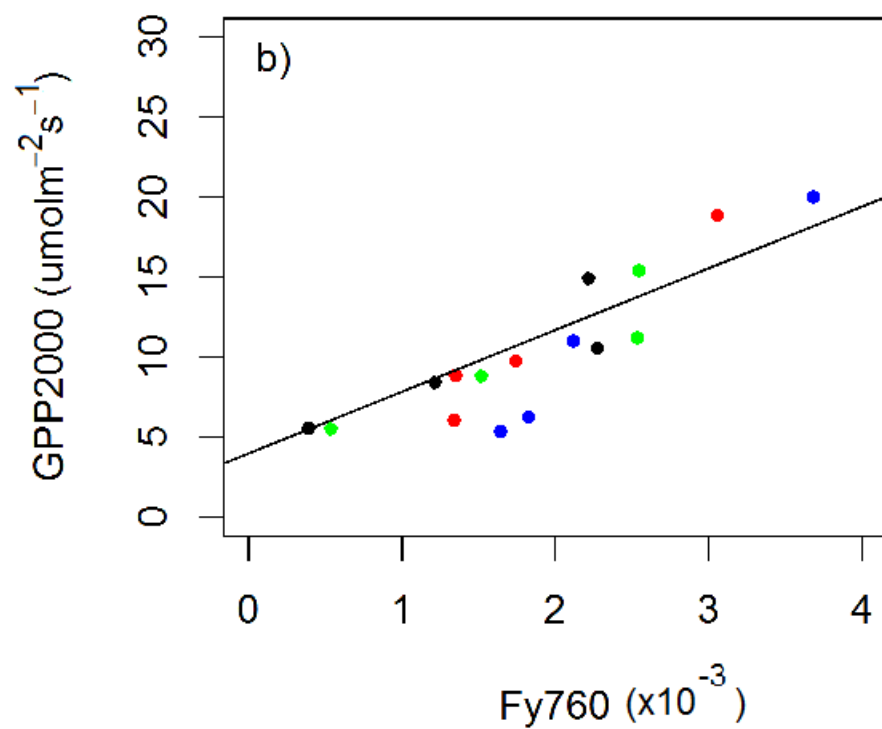
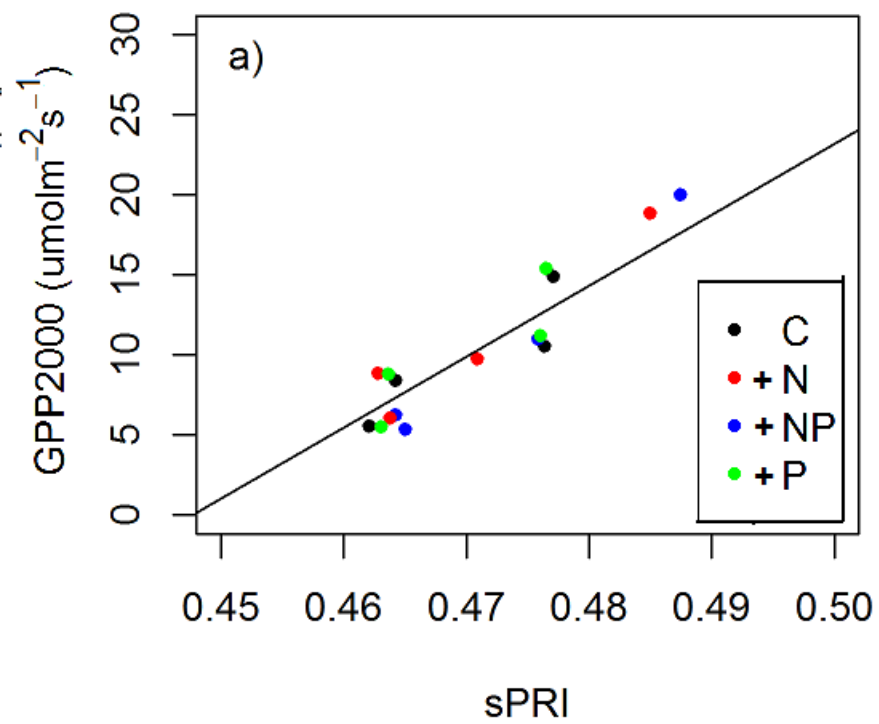


Fig. 5

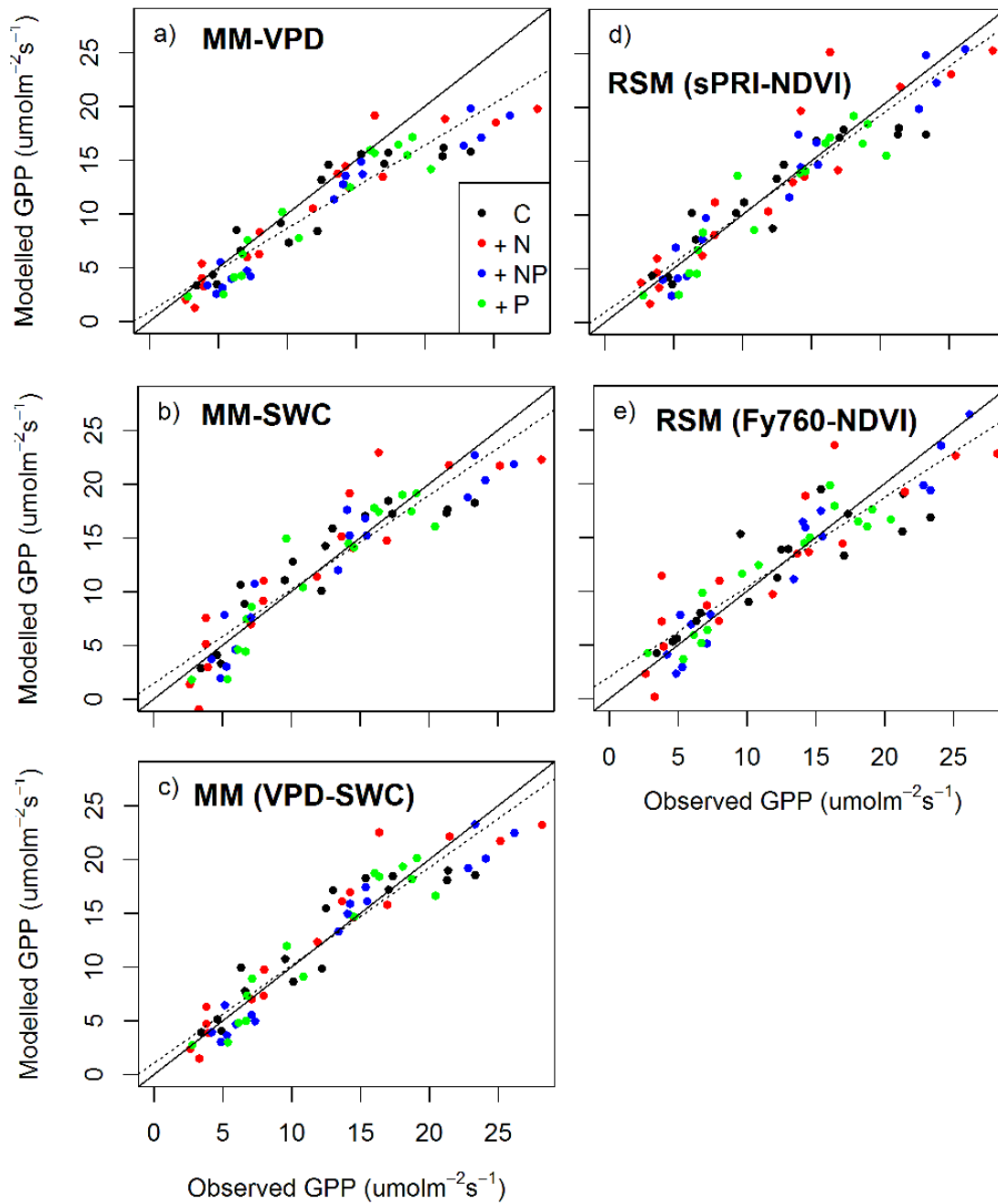


Fig. 6

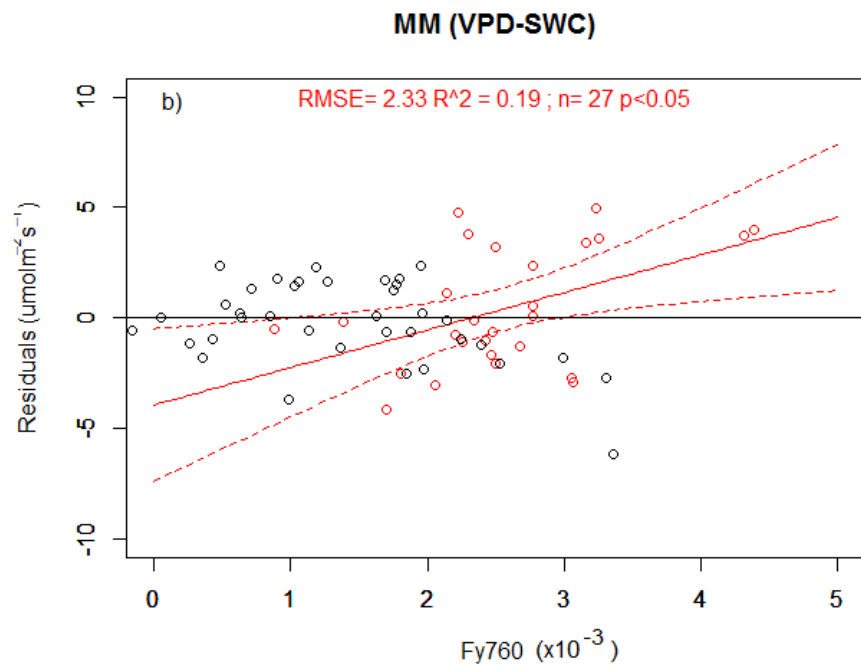
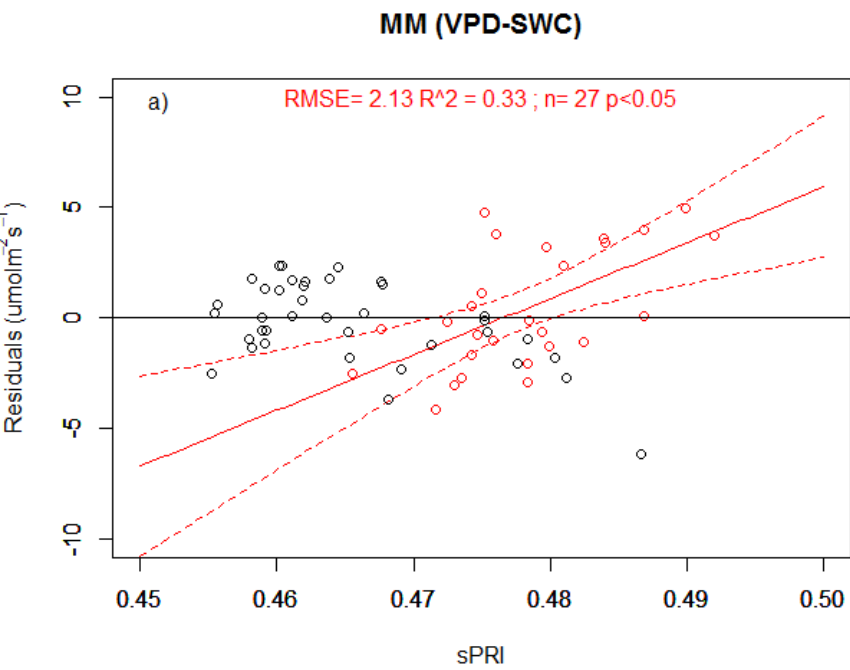


Fig. 7

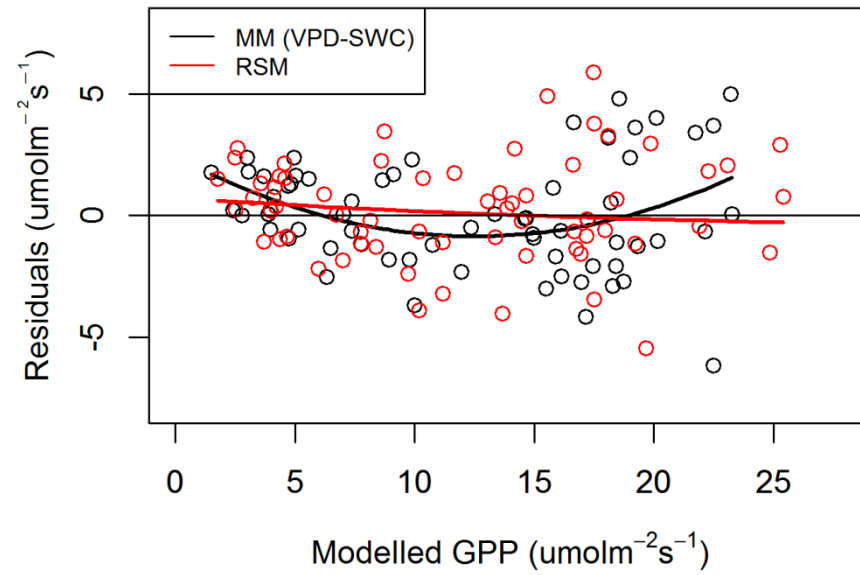


Fig. 8

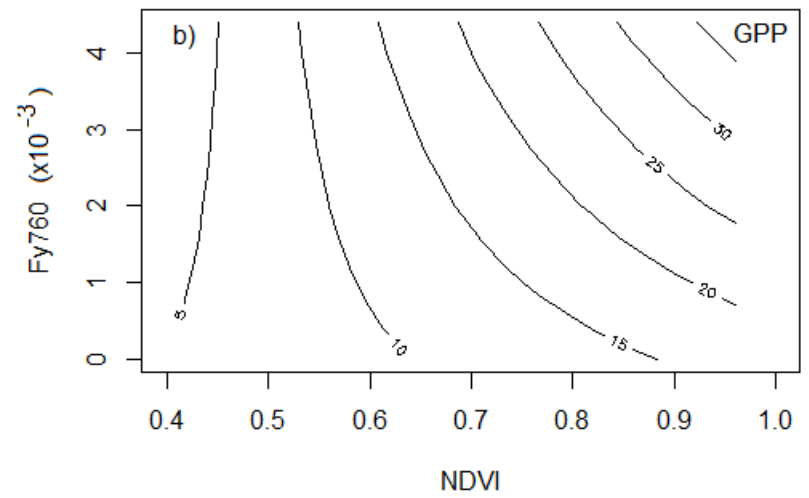
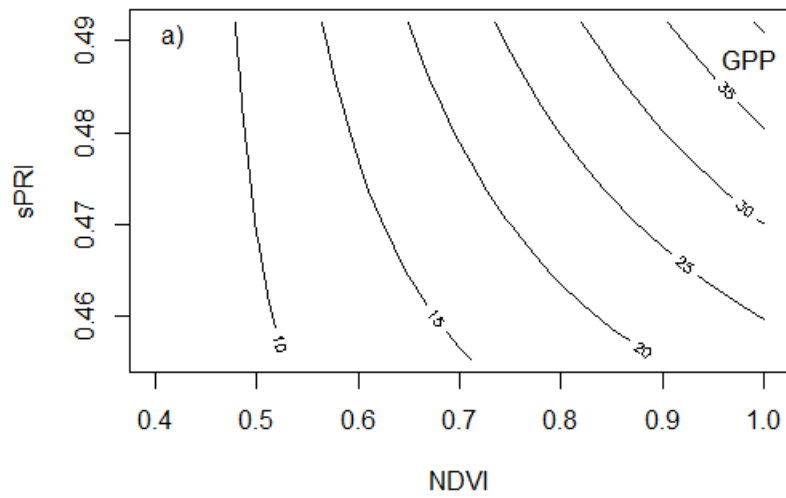


Fig. 9