

1                   **Sun-induced Chlorophyll fluorescence and PRI improve remote sensing GPP**  
2                   **estimates under varying nutrient availability in a typical Mediterranean savanna**  
3                   **ecosystem**

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33 Running title: Remote sensing-based model of photosynthesis

34 Received: May 2015

35 Keywords: Photochemical reflectance index, sun-induced fluorescence, nutrient availability,  
36 photosynthesis, LUE model, dehesa.

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45

46 **Abstract**

47 This study investigates the performances of different optical indices to estimate gross primary  
48 production (GPP) of herbaceous stratum in a Mediterranean savanna with different Nitrogen  
49 (N) and Phosphorous (P) availability. Sun-induced chlorophyll Fluorescence yield computed  
50 at 760 nm (Fy760), scaled-photochemical reflectance index (sPRI), MERIS terrestrial-  
51 chlorophyll index (MTCI) and Normalized difference vegetation index (NDVI) were  
52 computed from near-surface field spectroscopy measurements collected using high spectral  
53 resolution spectrometers covering the visible near-infrared regions. GPP was measured using  
54 canopy-chambers on the same locations sampled by the spectrometers. We tested whether  
55 light-use efficiency (LUE) models driven by remote sensing quantities (RSM) can better track  
56 changes in GPP caused by nutrient supplies compared to those driven exclusively by  
57 meteorological data (MM). Particularly, we compared the performances of different RSM  
58 formulations -relying on the use of Fy760 or sPRI as proxy for LUE and NDVI or MTCI as  
59 fraction of absorbed photosynthetically active radiation (*f*APAR) - with those of classical  
60 MM.

61 Results showed higher GPP in the N fertilized experimental plots during the growing period.  
62 These differences in GPP disappeared in the drying period when senescence effects masked  
63 out potential differences due to plant N content. Consequently, although MTCI was tightly  
64 related to the mean of plant N content across treatment ( $r^2=0.86$ ,  $p<0.01$ ), it was poorly  
65 related to GPP ( $r^2=0.45$ ,  $p<0.05$ ). On the contrary sPRI and Fy760 correlated well with GPP  
66 during the whole measurement period. Results revealed that the relationship between GPP  
67 and Fy760 is not unique across treatments but it is affected by N availability. Results from a  
68 cross-validation analysis showed that MM ( $AIC_{cv}=127$ ,  $ME_{cv}= 0.879$ ) outperformed RSM  
69 ( $AIC_{cv}=140$ ,  $ME_{cv}= 0.8737$ ) when soil moisture was used to constrain the seasonal dynamic  
70 of LUE. However, residual analyses demonstrated that GPP predictions with MM are

71 inaccurate whenever no climatic variable explicitly reveals nutrient-related changes in the  
72 LUE parameter. These results put forward that RSM is a valuable means to diagnose nutrient-  
73 induced effects on the photosynthetic activity.

#### 74 **Abbreviations:**

75 **a**, **a<sub>0</sub>**, and **a<sub>1</sub>** are model parameters; **b<sub>0</sub>**, **b<sub>1</sub>**, **b<sub>2</sub>**, and **b<sub>3</sub>** are fitting parameters of RSM; **EFPs**,  
76 ecosystem functional properties; **f(meteo)**, limiting functions relying on meteorologically-  
77 driven data; **fAPAR**, fraction of absorbed photosynthetically active radiation; **fPAIg**, fraction  
78 of *PAIg* in different plant forms; **Fy760**, sun-induced chlorophyll Fluorescence yield at 760  
79 nm; **GPP**, gross primary productivity; **GPP<sub>noon</sub>**: instantaneous gross photosynthetic rate taken  
80 at solar noon (between 11:00 and 15:00 pm solar time); **GPP<sub>daily</sub>**: mean value of the diurnal  
81 time course of gross photosynthetic rate; **GPP<sub>2000</sub>**, gross primary productivity estimated at  
82 2000 of PAR; **LUE**, light use-efficiency; **LUE<sub>m</sub>** potential or maximum LUE; **MM**,  
83 meteorologically driven model; **MM-VPD**, simplifier model of the original MOD17 that  
84 account for VPD in *f(meteo)*; **MM(SWC-VPD)** meteorologically-driven model that account  
85 for VPD and soil moisture in *f(meteo)*; **MTCI**, MERIS terrestrial-chlorophyll index; **NDVI**,  
86 Normalized difference vegetation index; **NEE**, net ecosystem CO<sub>2</sub> exchange; **PAIg**, Green  
87 Plant Area Index; **PAR**, Photosynthetically active radiation; **ph**, physiologically-related  
88 parameter of RSM referring to either sPRI or Fy760 as a proxy for LUE; **PLRC**,  
89 photosynthetic light response curve; **PRI**, photochemical reflectance index; **R<sub>eco</sub>**, daytime  
90 ecosystem respiration; **RSM**, remote sensing based models; **SIF**, sun-induced chlorophyll  
91 fluorescence; **sPRI**, scaled-photochemical reflectance index; **st**, structurally-related parameter  
92 of RSM referring to either NDVI or MTCI as a proxy for *fAPAR*; **SWC**, soil water content;  
93 **SWC<sub>max</sub>** parameter of the *f(meteo)* term; **ALVPD**, air-to-leaf vapor pressure deficit; **VPD**,  
94 vapor pressure deficit; **VPD<sub>max</sub>** and **VPD<sub>min</sub>** are fitting parameters of the *f(meteo)* term; **α** is a  
95 parameter describing the photosynthetic quantum yield; **β** is the parameter that extrapolates to  
96 GPP at saturating light condition.

97

## 98 **1. Introduction**

99 Human-induced nutrient imbalances are affecting essential processes that lead to  
100 important changes in ecosystem structure and functioning (Peñuelas et al., 2013). In spite of  
101 the crucial role of nutrients in regulating plant processes, efforts to describe and predict the  
102 response of photosynthesis to such changes with remote sensing information have been  
103 limited. In the framework of the classical Monteith Light Use Efficiency (LUE) model  
104 (Monteith, 1972), estimates of photosynthesis (hereafter gross primary productivity, GPP) are  
105 based on three key quantities: i) the fraction of photosynthetically active radiation (*fAPAR*)  
106 absorbed by the vegetation, ii) potential LUE (or maximum, LUE<sub>m</sub>), normally taken from

107 look-up tables and associated with plant functional types (Heinsch et al., 2006) and iii)  
108 correction factors related to meteorological conditions that limit  $LUE_m$ . Although Nitrogen  
109 (N) deficiencies have been recognized one of the main correction factors of  $LUE_m$  (Madani et  
110 al., 2014), the predictive capability of LUE models is usually circumspect as they operate  
111 based on the general assumption that plants are under non-limiting nutrient conditions.

112       Very little attention has been given to nutrient-induced effects on  $fAPAR$  and LUE in  
113 common formulations of LUE models. Light absorption by plant is given by chlorophyll  
114 pigments that enable photosynthetic processes. Assuming a correlation between leaf  
115 chlorophyll pigments and leaf N content, note that N atoms are basic components of the  
116 chlorophylls molecular structure, several studies have demonstrated that leaf N content can be  
117 estimated through chlorophyll-related hyperspectral vegetation indices (Baret et al., 2007;  
118 Schlemmer et al., 2013). Among these indices, the MERIS Terrestrial Chlorophyll Index  
119 (MTCI, Dash and Curran, 2004) has been used as a proxy for  $fAPAR$  (Rossini et al., 2010;  
120 Wang et al., 2012). However, leaf N content is functional trait that controls GPP not only  
121 because it scales with chlorophylls but also regulates enzyme kinetic processes driving  
122 photosynthesis and hence the physiological status of the plant (Huang et al., 2004; Walker et  
123 al., 2014). Then, prescribing biome-specific LUE parameters and correcting  $LUE_m$  only for  
124 climatic and environmental conditions may hamper the accurate prediction of GPP (Yuan et  
125 al., 2014). For these reasons, recent literature has called for better physiological descriptors of  
126 the dynamic behavior of LUE (Guanter et al., 2014).

127       The sun-induced chlorophyll fluorescence (SIF) or physiological-related reflectance  
128 indices such as the photochemical reflectance index (PRI) provide a new optical means to  
129 spatially infer LUE (Damm et al., 2010; Guanter et al., 2014; Rossini et al., 2015) and can  
130 provide diagnostic information regarding plant nutrient and water status (Lee et al., 2013;  
131 Pérez-Priego et al., 2005; Suárez et al., 2008; Tremblay et al., 2012). From a physiological

132 perspective, the efficiency of green plants to transform absorbed light into chemical energy  
133 during photosynthesis can be characterized by two main photo-protective mechanisms: i) non-  
134 photochemical quenching that can be detected using the Photochemical Reflectance Index  
135 (PRI), originally proposed by (Gamon et al., 1992) to track changes in the de-epoxidation  
136 state of the xanthophyll cycle pigments, and ii) Chlorophyll fluorescence, the dissipation of  
137 energy that exceeds photosynthetic demand (Krause and Weis, 1984). The PRI has been  
138 directly correlated with LUE (Drolet et al., 2008; Gamon et al., 1997; Nichol et al., 2000;  
139 Peñuelas et al., 2011; Rahman et al., 2004). However, such relation may vary because of the  
140 sensitivity of the PRI to confounding factors like those associated with temporal changes in  
141 the relative fraction of chlorophyll:carotenoids pigment composition (Filella et al., 2009;  
142 Porcar-Castell et al., 2012), viewing angles and vegetation structure (Garbulsky et al., 2011;  
143 Grace et al., 2007; Hall et al., 2008; Hilker et al., 2008).

144         Alternatively, the estimation of SIF by passive remote sensing systems has been  
145 proven feasible in recent years from satellite (Frankenberg et al., 2014; Lee et al., 2013;  
146 Parazoo et al., 2014) to the field (Damm et al., 2010; Guanter et al., 2013; Meroni et al.,  
147 2011), and opens further possibilities to directly track the dynamics of LUE (Damm et al.,  
148 2010; Guanter et al., 2014). Although SIF correlates with LUE, such relations might not be  
149 conservative since chlorophyll fluorescence emission varies among species types (Campbell  
150 et al., 2008) or with stress conditions such as nutrient deficiencies (Huang et al., 2004;  
151 McMurtrey et al., 2003) or drought (Flexas et al., 2002; Pérez-Priego et al., 2005). Likewise  
152 with the PRI, the retrieval of SIF from the apparent reflectance signal is not trivial as long as  
153 it is affected by the vegetation structure or canopy background components (Zarco-Tejada et  
154 al., 2013).

155         Comparable spatial and temporal resolutions of radiometric and ground-based GPP  
156 measurements are essential to accurately optimize LUE model parameters, particularly in

157 heterogeneous ecosystems. Previous studies have related ecosystem-scale eddy covariance  
158 fluxes to radiometric measurements taken in single points to constraint LUE models.  
159 However, the explanatory power of LUE models might be greatly reduced by the spatial  
160 mismatch between radiometric and eddy covariance flux footprints (Gelybó et al., 2013;  
161 Porcar-Castell et al., 2015). Similar issues occur in small-scale factorial experiments where  
162 comparable measurements on an intermediate scale between leaf-scale cuvette measurements  
163 and ecosystem-scale eddy covariance measurements are required. Here, we tried to overcome  
164 such limitations by combining ground-based radiometric and CO<sub>2</sub> fluxes measurements with  
165 similar extension of the measurement footprint using portable spectrometers and canopy  
166 chambers in a nutrient-manipulation experiment.

167 The main objective of this study was to evaluate whether traditional LUE models driven by  
168 meteorological and phenological data (MM) entail a limited assessment of the environmental  
169 controls on GPP. More particularly, we evaluated if the effects of varying nutrient availability  
170 on GPP estimates as tracked by chlorophyll fluorescence and PRI can be equally explained by  
171 meteorology-driven models. To address the main objective we:

172 a) assess the effect of different nutrient supplies on grassland photosynthesis and optical  
173 properties and their relationships during a phenological cycle, including both growing and  
174 drying periods,

175 b) evaluate the performance of different LUE modeling approaches with varying nutrient  
176 availability and environmental conditions.

## 177 **2. Material and Methods**

### 178 **2.1. Site description and experimental design**

179 A Small scale nutrient Manipulation Experiment (SMANIE) was set up in a  
180 Mediterranean savannah in Spain (39°56'24.68"N, 5°45'50.27"W; Majadas de Tietar, Caceres,  
181 Fig. 1). The site is characterized by a mean annual temperature of 16°C, mean annual  
182 precipitation of ca. 700 mm, falling mostly from November until May, and by a very dry  
183 summer. Similar to most Mediterranean grassland, grazing (<0.7 cows ha<sup>-1</sup>) is the main land  
184 use in the site. The site is defined as a typical Mediterranean savanna ecosystem, low density  
185 of oak trees (mostly *Quercus Ilex* (L.), ~20 trees ha<sup>-1</sup>) dominated by a herbaceous stratum.  
186 The experiment itself was restricted to an open grassland area which was not influenced by  
187 tree canopy. The herbaceous stratum is dominated by species of the three main functional  
188 plant forms (grasses, forbs and legumes). The fraction of the three plant forms varied  
189 seasonally according to their phenological status (Table 1). Overall, leaf area measurements  
190 of the herbaceous stratum characterized the growing season phenology as peaking early in  
191 April and achieving senescence by the end of May (Table 1).

192 The experiment consisted of four randomized blocks of about 20 m x 20 m. Each block  
193 was separated into four plots of 9 m x 9 m with a buffer of 2 m in between to avoid boundary  
194 effects. In each block, four treatments were applied (see Fig. 1):

- 195 (a) control treatment (C) with no fertilization;
- 196 (b) Nitrogen addition treatment (+N) with an application of 100 kg N ha<sup>-1</sup> as potassium  
197 nitrate (KNO<sub>3</sub>) and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>);
- 198 (c) Phosphorous addition treatment (+P) with an application of 50 kg P ha<sup>-1</sup> as  
199 monopotassium phosphate (KH<sub>2</sub>PO<sub>4</sub>); and
- 200 (d) N and P addition treatment (+NP), juxtaposing treatments (b) and (c).

201 Each fertilizer was dissolved in water and sprayed on foliage early in the growing season  
202 (March 21<sup>st</sup>, 2014). The same amount of water used in the fertilizer solutions (~ 2 L m<sup>-2</sup>) was  
203 sprayed on the C treatment to avoid water imbalances among treatments.



204           Within each plot, two permanent, non-disturbed parcels (32 in total, see black squares in  
205 Fig 1) were dedicated to monitor CO<sub>2</sub> fluxes (net ecosystem CO<sub>2</sub> exchange, NEE; and  
206 daytime ecosystem respiration, R<sub>eco</sub>). While NEE measurements were performed over the  
207 course of the day (from early in the morning to late afternoon), spectral measurements were  
208 conducted simultaneously with flux measurements only around noon on half of the parcels  
209 (16 in total).

210           Flux and spectral measurements were carried out in four field campaigns:

- 211           • Campaign #1: before fertilization (March 20<sup>th</sup>, 2014),
- 212           • Campaign #2: three weeks after fertilization (April 15<sup>th</sup>, 2014) during the peak  
213           of the growing period,
- 214           • Campaigns #3 and #4: on May 7<sup>th</sup> and 27<sup>th</sup>, 2014, respectively, concurring with  
215           the drying period were performed to evaluate joint effects related to  
216           physiological senescence processes.

217           Ancillary measurements were taken in every field campaign as follows: green plant area index  
218 (PAI<sub>g</sub>) and aboveground biomass were directly measured by harvest in four parcels (0.25m x  
219 0.25m) within each plot in the area surrounding that where spectral and flux measurements  
220 were taken. All samples were refrigerated just after collection, and transported for laboratory  
221 analyses. Fresh samples were separated into functional groups, the sample was scanned and  
222 green plant area was measured using image analysis (WinRHIZO, Regent Instruments Inc.,  
223 Canada). Afterwards, fresh samples were dried in an oven at 65 °C for 48 hours and weighed  
224 to determine dry biomass. To analyze the nutrient content in leaf mass, biomass subsamples  
225 were ground in a ball mill (RETSCH MM200, Retsch, Haan, Germany) and total C and N  
226 concentrations were determined with an elemental analyzer (Vario EL, Elementar, Hanau,  
227 Germany). P concentrations were also measured: 100-mg biomass subsamples were diluted in  
228 3 ml of HNO<sub>3</sub> 65%, (Merck, Darmstadt, Germany) and microwave digested at high pressure

229 (Multiwave, Anton Paar, Graz, Austria; Raessler et al. (2005). Afterwards, elemental analysis  
230 was conducted using inductively coupled plasma - optical emission spectrometry (ICP-OES,  
231 Optima 3300 DV, Perkin Elmer, Norwalk, USA).

232

## 233 **2.2 Flux measurements and Meteorological data**

234 Net CO<sub>2</sub> fluxes were measured with three transparent chambers of a closed dynamic system.  
235 The chambers consisted of a cubic (0.6m x0.6m x0.6 m) transparent low-density polyethylene  
236 structure connected to an infrared gas analyzer (IRGA LI-840, Lincoln, NE, USA), which  
237 measures CO<sub>2</sub> and water vapor mole fractions (W) at 1 Hz. The chambers were equipped with  
238 different sensors to acquire environmental and soil variables, all installed at the chamber  
239 ceiling: Photosynthetically Active Radiation (*PAR*) was measured with a quantum sensor (Li-  
240 190, Li-Cor, Lincoln, NE, USA) placed outside of the chamber to be handled and leveled; air  
241 and vegetation temperatures were measured with a thermistor probe (*T<sub>a</sub>*, type 107, Campbell  
242 Scientific, Logan, Utah, USA) and an infrared thermometer (*T<sub>c</sub>*, IRTS-P, Apogee, UT, USA);  
243 atmospheric pressure (*P*) was measured inside the chamber using a barometric pressure sensor  
244 (CS100, Campbell Scientific, Logan, Utah, USA). The chambers were also equipped with soil  
245 temperature and humidity sensors; soil water content was determined with an impedance soil  
246 moisture probe (Theta Probe ML2x, Delta-T Devices, Cambridge, UK) at 5 cm depth and soil  
247 temperature (type 107, Campbell Scientific, Logan, Utah, USA) at 10 cm depth. Saturation  
248 vapour at surface temperature (i.e. Air-to-leaf vapour pressure deficit, VPD) was computed  
249 using *T<sub>c</sub>* and relative humidity, which was derived from water vapor molar fraction measured  
250 with the IRGA (Perez-Priego et al., 2015).

251 The chamber operated as a closed dynamic system. A small pump circulates an air flow of 1 L  
252 min<sup>-1</sup> through the sample circuit: air is drawn from inside the chamber - through three porous-  
253 hanging tubes spatially distributed through the chamber headspace - to the infrared gas

254 analyzer; this air flow is then returned to the chamber. The hanging tubes allowed spatially  
255 distributed sampling, obviating the need to homogenize air during chamber deployment.  
256 Nevertheless, one small fan (12V, 0.14A) was fixed at 0.3 m on a floor corner of the chamber  
257 and angled 45° upward.

258 A 0.6x0.6m metal collar was installed in each permanent parcel of each plot. The collar  
259 provided a flat surface onto which the bottom of the chamber was placed. The chamber was  
260 open and ventilated during 1 min prior to measurement, so that initial air composition and  
261 temperature in the confined environment of the chamber represented natural atmospheric  
262 conditions (as much NEE as Reco). For the NEE measurement, the transparent chamber was  
263 placed on the collar (closed position, lasted 3 minutes as a general rule), and fluxes were  
264 calculated from the rate of change of the CO<sub>2</sub> molar fraction (referenced to dry air) within the  
265 chamber. Similar procedure was carried out for R<sub>eco</sub> but using an opaque blanket that covered  
266 the entire chamber and kept it dark during the measurements (PAR values around 0). Fluxes  
267 were calculated according to Pérez-Priego et al. (2015).

268 Shortly, the flux calculation algorithm reduces flux uncertainties (i.e. NEE and R<sub>eco</sub>) by  
269 including the change-point detection method to determine the stabilization time, which  
270 defines the initial slope of the regressions, and a bootstrap resampling-based method to  
271 improve confidence in regression parameters and to optimize the number of data points used  
272 for flux calculation. In addition, a statistical analysis of residuals was performed to  
273 automatically detect the best fit among alternative regressions (i.e. quadratic, hyperbolic  
274 tangent saturating function, exponential, linear). These analyses were implemented in a self-  
275 developed R Package (available upon authors request or at the following link [http://r-forge.r-](http://r-forge.r-project.org/projects/respchamberproc/)  
276 [project.org/projects/respchamberproc/](http://r-forge.r-project.org/projects/respchamberproc/)). NEE and R<sub>eco</sub> measurements were taken over the  
277 course of the day (from sunrise to sunset) for each field campaign. Chamber disturbance  
278 effects and correction for systematic and random errors (i.e. leakage, water dilution and gas

279 density correction, and light attenuation by the chamber wall) were applied according to  
280 Perez-Priego et al., (2015).

281

### 282 **2.3 Field spectral measurements**

283 Midday spectral measurements at canopy level were carried out under clear sky conditions  
284 using two portable spectrometers (HR4000, OceanOptics, USA) characterized by different  
285 spectral resolutions. Spectrometer 1, characterized by a Full Width at Half Maximum  
286 (FWHM) of 0.1 nm and a 700-800 nm spectral range was specifically designed for the  
287 estimation of sun-induced chlorophyll fluorescence at the O<sub>2</sub>-A band (760 nm). Spectrometer  
288 2 (FWHM = 1 nm, 400 - 1000 nm spectral range) was used for the computation of reflectance  
289 and vegetation indices. Spectrometers were housed in a thermally regulated Peltier box,  
290 keeping the internal temperature at 25°C in order to reduce dark current drift. The  
291 spectrometers were spectrally calibrated with a source of known characteristics (CAL-2000  
292 mercury argon lamp, OceanOptics, USA) while the radiometric calibration was inferred from  
293 cross-calibration measurements performed with a calibrated FieldSpec FR Pro spectrometer  
294 (ASD, USA). This spectrometer was calibrated by the manufacturer with yearly frequency.

295 Incident solar irradiance was measured by nadir observations of a leveled calibrated standard  
296 reflectance panel (Spectralon; LabSphere, USA). Measurements were acquired using bare  
297 fiber optics with an angular field of view of 25°. The average canopy plane was observed  
298 from nadir at a distance of 110 cm (43 cm diameter field of view) allowing for collecting  
299 measurements of 50% of the surface area covered by the chamber measurements. The manual  
300 rotation of a mast mounted horizontally on the tripod allowed sequential observation of the  
301 vegetated target and the white reference calibrated panel. More in detail, every acquisition  
302 session consisted in the consecutive collection of the following spectra: instrument dark

303 current, radiance of the white reference panel, canopy radiance and radiance of the white  
304 reference panel. The radiance of the reference panel at the time of the canopy measurement  
305 was then estimated by linear interpolation.

306 For every acquisition, 3 and 10 scans (for Spectrometers 1 and 2, respectively) were averaged  
307 and stored as a single file. Five measurements were collected for each plot. Spectral data were  
308 acquired with dedicated software (Meroni and Colombo, 2009) and processed with a  
309 specifically developed IDL (ITTVIS IDL 7.1.1) application. This application allowed the  
310 basic processing steps of raw data necessary for the computation of the hemispherical conical  
311 reflectance factor described by Meroni et al. (2011).

312 The following indices were selected as suitable to investigate long term nutrient-mediated  
313 effects on photosynthesis. The NDVI (Rouse et al., 1974) was selected because it correlates  
314 well with plant area and among traditional spectral vegetation indices is used worldwide by  
315 classical LUE models as a surrogate for  $fAPAR$  (Di Bella et al., 2004). The MTCI (Dash and  
316 Curran, 2004) was selected because it was specifically designed for canopy chlorophyll  
317 content estimation, and recently used as proxy for  $fAPAR$  as well as NDVI. In this study we  
318 used the PRI and SIF as surrogates for LUE. A scaled PRI (sPRI) calculated as  $(PRI+1)/2$  was  
319 used. SIF was estimated by exploiting the spectral fitting method described in Meroni et al.  
320 (2010), assuming linear variation of the reflectance and fluorescence in the  $O_2-A$  absorption  
321 band region. The spectral interval used for SIF estimation was set to 759.00 - 767.76 nm for a  
322 total of 439 spectral channels used. For methodological distinction among existing  
323 approaches, hereafter SIF is referred to as F760. Because F760 is affected by PAR we use the  
324 apparent chlorophyll fluorescence yield ( $Fy760$ ; Rossini et al., 2010) computed as the ratio  
325 between F760 and the incident radiance in a nearby spectral region. A summary of the

326 formulation to compute the vegetation indices and their corresponding target and proxy in the  
327 LUE model approach are presented in Table 2.

## 328 **2.4 Relationship between GPP and remote sensing data**

329 Ecosystem-level GPP was computed as the difference between NEE and daytime  $R_{\text{eco}}$  taken  
330 consecutively with the chambers. To assess how GPP is modulated by light among treatments  
331 and over the phenological cycle of the herbaceous stratum, we computed the parameters of  
332 photosynthetic light response curve (PLRC). Specifically, the Michaelis–Menten function was  
333 fitted to GPP and PAR data taken throughout the course of the day (from sunrise until sunset)  
334 for each field campaign and treatment as follows:

$$335 \quad GPP_i = \frac{\alpha \times \beta \times PAR_i}{\beta + PAR_i \times \alpha}, \quad [1]$$

336 where  $\alpha$  is a parameter describing the photosynthetic quantum yield ( $\mu\text{mol CO}_2 \mu\text{mol photons}^{-1}$ )  
337  $^1$ ), and  $\beta$  is the parameter that extrapolates to GPP at saturating light condition ( $\mu\text{mol CO}_2 \text{m}^{-2}$   
338  $\text{s}^{-1}$ ). According to Ruimy et al. (1994), we used the optimized parameters of the PLRC as  
339 defined in Eq. (1) to estimate the GPP at 2000  $\mu\text{mol quantum m}^{-2} \text{s}^{-1}$  of PAR (hereafter  
340 referred to  $GPP_{2000}$ ).

341 We evaluated direct relationships between those GPP measurements taken around noon  
342 (between 11:00 and 15:00 pm solar time) with the chamber ( $GPP_{\text{noon}}$ ) and sequentially  
343 measurements of Fy760 and spectral indices (NDVI, sPRI, MTCI). In addition, to avoid  
344 confounding factors in the relationship between Fy760 and sPRI and photosynthesis, we also  
345 used  $GPP_{2000}$  as a maximum photosynthetic capacity descriptor.

## 346 **2.5 Monteith's light-use efficiency modelling approaches**

347 Following Monteith's LUE framework (Eq. 2) two alternative modeling approaches were  
348 used:

349 
$$GPP = LUE \times fAPAR \times PAR, \quad [2]$$

350 i. **Meteo-driven methods (MM)**; based on the MOD17 formulation,  $fAPAR$  is  
 351 approached through the relationship with NDVI and includes limiting functions  
 352  $f(meteo)$ , which are based on climatic driving parameters to limit maximum LUE  
 353 ( $LUE_{max}$ ). Alternatively, Eq. (2) was reformulated as follows:

354 
$$GPP = LUE_{max} \times f(meteo) \times (a_0 \times NDVI + a_1) \times PAR, \quad [3]$$

355 where  $LUE_{max}$ ,  $a_0$ , and  $a_1$  are model parameters. Three different  $f(meteo)$  functions  
 356 were tried;

357 a) **MM-VPD**, this method is a simplification of the original MOD17, in which  
 358  $f(meteo)$  includes two linear ramp functions of both maximum and minimum vapour  
 359 pressure deficit (VPD) and minimum temperature (T). Please note that in this study,  
 360 vapor pressure deficit of the ambient air used in MOD17 is replaced by leaf-to-air  
 361 vapour pressure deficit, which is defined by plant temperature and used as a better  
 362 descriptor of plant physiology. Since minimum temperature was not limiting at the  
 363 site, we fixed the  $f(meteo)$  parameters as suggested by Heinsch et al. (2006) but  
 364 constraining only a function based on VPD as follows:

365 
$$f(meteo) = \left[ 1 - \left( \frac{VPD - VPD_{min}}{VPD_{max} - VPD_{min}} \right) \right], \quad [4]$$

366 then,  $VPD_{max}$  and  $VPD_{min}$  are defined as the three parameters of the  $f(meteo)$  term.

367 b) **MM-SWC**, where  $f(meteo)$  includes a soil water content (SWC) function  
 368 (Migliavacca et al., 2011) as the limiting factor of  $LUE_{max}$ :

369 
$$f(meteo) = \frac{1}{1 + \exp(SWC_{max} - a \times SWC)}, \quad [5]$$

370 here,  $SWC_{max}$  and  $a$  are defined as the parameters of the  $f(meteo)$  term.

371 c) **MM (SWC-VPD)**, where  $f(\text{meteo})$  includes both soil water content and VPD  
 372 functions as limiting factors:

$$373 \quad f(\text{meteo}) = \left[ 1 - \left( \frac{\text{VPD} - \text{VPD}_{\min}}{\text{VPD}_{\max} - \text{VPD}_{\min}} \right) \right] \times \left[ \frac{1}{1 + \exp(\text{SWC}_{\max} - a \times \text{SWC})} \right], \quad [6]$$

374 here,  $\text{VPD}_{\max}$ ,  $\text{VPD}_{\min}$ ,  $\text{SWC}_{\max}$  and  $a$  are defined as the parameters of the  $f(\text{meteo})$   
 375 term.

376 ii. **RS-based method (RSM)**; based on a solution of Eq.(1) as follows:

$$377 \quad \begin{aligned} GPP &= LUE \times fPAR \times PAR = (a_0 \times Ph + a_1) \times (a_2 \times St + a_3) \times PAR \\ &= (b_0 \times Ph + b_1 \times St + b_2 \times Ph \times St + b_3) \times PAR, \end{aligned} \quad [7]$$

378 where four alternative model formulations were obtained from the combination of the sPRI or  
 379 Fy760 as the physiological related proxy ( $Ph$ ) for LUE, and NDVI or MTCI as structural-  
 380 related ( $St$ ) proxy for  $fAPAR$ . In Eq. 7,  $b_0$ ,  $b_1$ ,  $b_2$ , and  $b_3$  are fitting parameters (Rossini et al.,  
 381 2010).

## 382 **2.5 Statistical analysis and model performance**

383 All model formulations were optimized using  $GPP_{\text{noon}}$  and spectral measurements  
 384 taken at midday. Since the means of spectral measurements per treatment could have unequal  
 385 variance, a Welch's t-test was performed to evaluate significant differences between the mean  
 386 values of the different vegetation indices for each treatment and over the four field campaigns.  
 387 In addition, an analysis of covariance (ANCOVA) was used to test whether or not there was a  
 388 significant interaction by the treatment effect between  $GPP_{\text{noon}}$  and Fy760 and different  
 389 spectral indices. Like vegetation indices, a t-test was performed to the daily average of GPP  
 390 taken over the course of the day ( $GPP_{\text{daily}}$ ).

391

### 392 **2.5.1 Cross-validation analyses and model evaluation**



393 Different model formulations were evaluated in leave-one-out (loo) cross-validation: from the  
394 whole dataset composed by  $n$  observations, one data point at a time was removed. The model  
395 was fitted against the  $n-1$  remaining data points (training set) while the excluded data  
396 (validation set) were used for model evaluation. The cross-validation process was then  
397 repeated  $n$  times, with each of the  $n$  observations used exactly once as the validation set. For  
398 each validation set of the cross-validated model, statistics were calculated.

399 Model accuracy was evaluated by means of different statistics according to Janssen and  
400 Heuberger (1995): root mean square error (RMSE), relative root mean square error (rRMSE)  
401 determination coefficient ( $r^2$ ) and model efficiency (ME). The model performances in loo  
402 cross-validation were also calculated and reported as  $RMSE_{cv}$ ,  $rRMSE_{cv}$ ,  $r^2_{cv}$  and  $ME_{cv}$ .

403 The Akaike Information Criterion ( $AIC_{cv}$ ) was used to evaluate the trade-off between model  
404 complexity (i.e. number of parameters) and explanatory power (i.e. goodness-of-fit) of the  
405 different model formulations proposed. The  $AIC_{cv}$  is a method based on information theory  
406 that is useful for statistical and empirical model selection purposes (Akaike, 1998). Following  
407 Anderson et al. (2000), in this analysis we used the following definition of  $AIC_{cv}$ :

$$408 \quad AIC_{cv} = 2(p + 1) + n \left[ \ln \left( \frac{RSS_{cv}}{n} \right) \right] \quad [8]$$

409  
410 where  $n$  is the number of samples (i.e. observations),  $p$  is the number of model parameters and  
411  $RSS_{cv}$  is the residual sum of squares divided by  $n$ .

412 The LUE model formulations proposed in Section 2.4 can be ranked according to  $AIC_{cv}$ ,  
413 where the model with lowest  $AIC_{cv}$  is considered the best among the different model  
414 formulations.

415 All model parameters (MM, and RSM) were estimated by using a Gauss-Newton nonlinear  
416 least square optimization method (Bates and Watts, 2008), and standard errors of parameters

417 were estimated by bootstrapping (number of sampling,  $n = 500$ ; Efron and Tibshirani (1994)),  
418 both implemented in the R standard package (R version 3.0.2, R Development Core Team,  
419 2011).

420

### 421 **3. Results**

#### 422 **3.1 Effects of fertilization on plant nutrient contents and GPP**

423 Fertilization caused strong variations in leaf N and P content among treatments, plant  
424 forms and across field campaigns (Table 2); while total N content in plants ranged slightly  
425 between  $13.8 \pm 1.2$  and  $15.4 \pm 1.7$   $\text{mg g}^{-1}$  for the C and +P treatments over the whole  
426 experiment, the largest increases in total N content were found in the peak of the growing  
427 season (#2, March 20<sup>th</sup>, 2014), when +NP and +N treatments reached values of up to  $23.7 \pm 2.0$   
428 and  $23.5 \pm 4.1$   $\text{mg g}^{-1}$ , respectively. Although slightly lower, the differences in total N content  
429 between C and +P, and +NP and +N remained high over the drying period. Total P content  
430 was higher in +NP and +P treatments after fertilization, as compared to +N and C treatments.  
431 Consequently, the N:P ratio at the first campaign after fertilization (#2) achieved values of up  
432 to 14.2, 6.6, 6, and 3.7, in +N, C, +NP, and +P treatments, respectively. Similar differences in  
433 N:P between treatments were also observed during the drying period (#3 and #4, Table 2). On  
434 the other hand,  $\text{PAI}_g$  ranged from  $0.4 \text{ m}^2 \text{ m}^{-2}$  in campaign #4 to up to  $2.5 \text{ m}^2 \text{ m}^{-2}$  in campaign  
435 #2. No differences were found in  $\text{PAI}_g$  among treatments since grazing apparently offset any  
436 potential difference in the green aboveground production. Regarding variations in the fraction  
437 of plant forms, no significant differences were found between treatments.

438 Fertilization caused significant differences in the  $\text{GPP}_{\text{daily}}$  ( $p < 0.05$ ) between N-addition  
439 treatments (mean values of  $19.62 \pm 4.15$  and  $18.19 \pm 5.67$   $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for +N and +NP,  
440 respectively) and C and +P treatments ( $14.31 \pm 5.39$  and  $14.40 \pm 4.09$   $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
441 respectively) in the peak of the growing season (campaign #2); a relative difference of 37% in

442  $GPP_{\text{daily}}$  values was found between +N and +NP and C treatments. During the drying period,  
443 however, GPP was substantially down regulated (campaigns #3 and #4) and no significant  
444 differences were found in  $GPP_{\text{daily}}$ , regardless of differences in plant N content observed  
445 among treatments. The potential photosynthetic capacity  $GPP_{2000}$  (Fig 2) derived from PLRC  
446 was similar in the four treatments in the pretreatment period (campaign #1, Fig 2a).  $GPP_{2000}$   
447 varied throughout the season and peaked in the campaign #2 (April 15<sup>th</sup>) in all treatments. At  
448 this time PLRC of the +N and +NP treatments diverged clearly from no N addition treatments  
449 (C and +P, Fig 2b).  $GPP_{2000}$  was higher in +N and +NP treatments (18.6 and 20.1  $\mu\text{mol CO}_2 \text{ m}^{-2}$   
450  $\text{s}^{-1}$ , respectively) compared to C and +P treatments (14.9 and 15.4  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
451 respectively). After campaign #2, when the soil layer at 5 cm depth dried out appreciably  
452 (volumetric water content achieved values of 3% vol., data not shown), vegetation  
453 progressively senesced and  $GPP_{2000}$  in turn was down-regulated and converged to similar  
454 values in all treatments, regardless the higher N content observed in +N and +NP treatments  
455 as compared with C and +P treatments (Table 1). During the drying season,  $GPP_{2000}$  decreased  
456 in all treatments ranging between 5.6 and 8  $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and no differences among  
457 treatments was observed (Fig 2 c and d). These results indicate that the senescence of the  
458 herbaceous stratum, which is regulated by water availability, strongly modulated the  
459 photosynthetic capacity of the vegetation over the season.

460

### 461 **3.2 – Effects of fertilization on remote sensing data**

462 Optical properties of the analyzed plots were similar during campaign #1, before the  
463 nutrient application. A pronounced seasonal time course was observed for both  $Ph$  (sPRI and  
464 Fy760) and structural indices ( $St$ ; NDVI and MTCI) with maximum values during the second  
465 campaign. It is interesting to note that while for  $St$  indices the maximum values were reached  
466 in +N plots, +NP plots showed maximum  $Ph$  values. Vegetation indices and Fy760 then

467 decreased in the drying period (Figure 3). As for GPP, differences between treatments were  
468 more evident during campaign #2 when C plots showed statistically lower values for all the  
469 indices considered, while only MTCI was able to detect significant differences between N  
470 fertilized plots (+N and +NP). Furthermore significant differences in Fy760 and MTCI  
471 between C and the other three treatments were found ( $p<0.05$ ) in the drying period (campaign  
472 #4.). NDVI varied significantly with changes in PAI<sub>g</sub> with values of 0.4 in the campaign #4  
473 up to 0.8 in the campaign #2 ( $p<0.001$ ,  $r^2=0.79$ ).

474

### 475 **3.3 Relationship between remote sensing data and GPP**

476 While *Ph* indices (Fy760 and sPRI) varied linearly with  $GPP_{noon}$  in all treatments  
477 ( $p<0.001$ ,  $r^2=0.66$  for Fy760 and  $p<0.001$ ,  $r^2=0.79$  for sPRI, respectively, Fig 4 a and b,),  
478 different patterns were observed for *St*: NDVI and GPP were best fitted by an exponential  
479 regression ( $p<0.001$ ,  $r^2=0.77$  Fig 4 c), while a weak linear relationship between MTCI and  
480  $GPP_{noon}$  ( $p<0.05$ ,  $r^2=0.45$ , Fig 4 d) was found. Although a weak relation between MTCI and  
481  $GPP_{noon}$  was found, MTCI was strongly correlated with plant N content ( $y=14.17x-2.49$ ,  
482  $p<0.001$ ,  $r^2=0.86$ ). Note that these results are computed excluding data taken in the pre-  
483 treatment campaign (#1) and differences in the relationship between remote sensing data and  
484  $GPP_{noon}$  among treatments can be only attributed to nutrient-induced effects. The ANCOVA  
485 test did not show significant differences neither in slope nor intercept of the relationship  
486 between  $GPP_{noon}$  and sPRI, and NDVI across treatments. However, barely significant  
487 differences were found in the relationship between  $GPP_{noon}$  and Fy760 ( $p<0.1$ , Fig 4b) and  
488 significant between  $GPP_{noon}$  and MTCI ( $p<0.01$ , Fig 4d) between N addition treatments (+N  
489 and +NP) and C treatments (C and +P).

490 Similar to  $GPP_{noon}$ ,  $GPP_{2000}$  was also significantly related to mean midday sPRI  
491 ( $r^2=0.76$ ,  $p<0.001$ , Fig. 5a) and Fy760 ( $r^2=0.76$ ,  $p<0.001$ , Fig. 5b). As expected, an  
492 exponential regression fitted best for NDVI, while a poor relationship with MTCI was found  
493 (data not shown).

494

### 495 **3.4 Modeling GPP**

496 Based on the  $AIC_{cv}$  criterion, MM (VPD- SWC) outperformed MM-VPD, MM-SWC  
497 and RSM models. Although MM (VPD-SWC) showed high accuracy in the predictions  
498 ( $ME_{cv}=0.879$ ,  $r^2_{cv}=0.881$ ), this model had a tendency to underestimate observation at high  
499  $GPP_{noon}$  values (see comparison between model predictions and observations, Figures 6a-6c).  
500 Note that the highest biases in modeled  $GPP_{noon}$  values among MM models belong to +N and  
501 +NP treatments in field campaign #2. Since the four treatments experienced the same  
502 environmental conditions (i.e. comparable values of SWC, VPD, air temperature), this bias  
503 can be attributed to the higher N content (+N and +NP treatments) as compared to C and +P  
504 treatments. Remarkably, residuals of the MM (VPD-SWC) taken from periods with moist soil  
505 ( $SWC>15$ ) were significantly correlated with sPRI and Fy760 ( $p<0.05$ , Fig. 7 a and b,  
506 respectively). However, no biases between residuals and predictions were observed in RSM  
507 over the span of values and treatments (Fig. 8). Results from the evaluation of model  
508 performance indicated that RSM performs best when NDVI rather than MTCI, is used as  $St$  in  
509 the Eq.7 and, hence, as a proxy for  $fAPAR$  (Table 3). Our results indicated that RSM  
510 performs best when either  $Ph$  (sPRI or Fy760) is combined with NDVI as  $St$ .

511

## 512 **4. Discussion**

### 513 **4.1 Effects of nutrients on GPP and remote sensing data and their relationships**

514 Nutrient fertilization, particularly N inputs, induced physiological changes manifested as an  
515 increase in photosynthetic capacity under high light conditions (Fig. 2; Hirose and Werger  
516 (1994). As we expected, plant N content showed to be a trait of photosynthesis that influences  
517 a variety of aspects of photosynthetic physiology (Ciompi et al., 1996; Sugiharto et al., 1990).  
518 These physiological changes were reflected on the optical properties, particularly on  
519 fluorescence and sPRI. The increase in fluorescence with N fertilization inputs was recently  
520 explained as the combined effect that a higher N content has on 1) chlorophyll content, which  
521 magnifies APAR and enhances fluorescence signal, and on 2) the increased photosynthetic  
522 capacity that results in reduced NPQ activity and consequently increases the fluorescence  
523 signal (Cendrero-Mateo et al., 2015). The relationships between  $GPP_{noon}$  and Fy760 is not  
524 unique and may vary from optimal to non-optimal environmental conditions (i.e. nutrient  
525 deficiencies, water stress), when other regulatory mechanisms might reduce the degree of  
526 coupling between fluorescence and photosynthesis (Cendrero-Mateo et al., 2015; Porcar-  
527 Castell et al., 2012). Although Fy760 was positively correlated with  $GPP_{noon}$ , barely  
528 significant differences in the slope of this relationship were observed between treatments (Fig.  
529 4 b). Further studies are needed to fully explore the relationship between Fy760 and  $GPP_{noon}$   
530 under different stress conditions and over different ecosystems. However, if confirmed, the  
531 effect of nutrient availability on the relationship between Fy760 and  $GPP_{noon}$  could have  
532 important implications in GPP modeling. This result suggests that the inclusion of a  
533 correction factor related to leaves N:P stoichiometry should be considered when modeling  
534 GPP assuming a linear relationship with fluorescence at plant functional type level (Guanter  
535 et al., 2014; Joiner et al., 2013).

536 In this study we also explored the capability of remote sensing to describe ecosystem  
537 functional properties defined as those quantities that summarize and integrate ecosystem  
538 processes and responses to environmental conditions and can be retrieved from ecosystem

539 level fluxes (e.g.  $GPP_{2000}$ ) and structural measurements (Reichstein et al., 2014). GPP at light  
540 saturation (i.e.  $GPP_{2000}$ ) is one example of an ecosystem functional property, shown here to be  
541 quite correlated to sPRI and Fy760 (Fig. 5). This result suggests that sPRI and Fy760 open  
542 also new opportunities for remote sensing products to describe the spatiotemporal variability  
543 of essential descriptors of ecosystem functioning (Musavi et al., 2015). Inferring  $GPP_{2000}$   
544 using remote-sensing has important implication both for monitoring global carbon cycle and  
545 for benchmarking terrestrial biosphere models.

546 MTCI was tightly related with N content ( $r^2=0.86$ ,  $p<0.001$ ), independent of other structural  
547 variables (i.e.  $PAI_g$ ), and can be used as a good indicator of N availability. Although MTCI  
548 has been proven to be very sensitive to variations in chlorophyll contents (Dash and Curran,  
549 2004) and hence linkable with light absorption processes, it was weakly correlated with GPP,  
550 particularly in plots added with N (+N and +NP;  $r^2=0.27$ ,  $p<0.01$ , Fig 4 d). A quite wide range  
551 of  $GPP_{noon}$  values were found at high values of MTCI – high  $GPP_{noon}$  values corresponding to  
552 the growing season and low ones to the drying period – which can be explained by two  
553 simultaneous mechanisms.

554 First, despite the high plant N content, physiological mechanisms including stomatal control  
555 or reduced carboxylation efficiency down-regulate GPP (Huang et al., 2004) and ultimately  
556 might break the relationship between  $GPP_{noon}$  and MTCI. Second, MTCI tracks changes in N  
557 content regardless changes in canopy structure occurring during the dry season when grass  
558 achieved senescence (i.e. green to dry biomass ratio,  $PAI_g$ ). More studies aimed at the  
559 separation of the combined effects of N and changes in green/dry biomass fractions on  
560  $fAPAR$  are essential. On the other hand, although NDVI followed the seasonal dynamic of  
561  $PAI_g$ , it saturated at high  $GPP_{noon}$  values indicating the low ability of this index to detect  
562 spatial variations induced by N fertilization.

563 Although optical measurements were taken at high spatial resolution ( $<0.36 \text{ m}^2$ ), the  
564 separation of confounding factors affecting sPRI or Fy760 is essential to elucidate the  
565 mechanistic association between sPRI or Fy760 and GPP. Like sPRI, the retrieval of Fy760  
566 from the apparent reflectance signal can be also affected by vegetation structure or canopy  
567 background components (Zarco-Tejada et al., 2013). After optimization and selection of the  
568 best model parameters using NDVI and sPRI (or Fy760) as driver, we analyzed the response  
569 of simulated GPP to variations in NDVI and sPRI (or Fy760, Fig 9). Results indicate that at  
570 high GPP levels, Fy760 and sPRI but less NDVI shaped GPP. However, at low GPP levels,  
571 either Fy760 or sPRI responded to GPP on a small scale (Fig 9b). Figure 9 suggests that the  
572 relationship between NDVI and sPRI or Fy760 is not unique and NDVI may play an  
573 important role in driving GPP in ecosystem characterized by marked seasonal variations. Our  
574 results highlight the complementarity between NDVI and Fy760 or sPRI. Particularly, NDVI  
575 assisted Fy760 or sPRI in predicting GPP under conditions with low biomass (i.e. low LAI),  
576 when confounding factors may affect Fy760 or sPRI. In semi-arid ecosystems, the lack of  
577 sensitivity of sPRI or Fy760 to changes in GPP during dry conditions have been explained by  
578 the soil background effect on the reflectance signal (Barton and North, 2001; Mänd et al.,  
579 2010; Zarco-Tejada et al., 2013). Accordingly, Rahman et al., (2004) pointed out that  
580 conditions where sPRI performs best are in dense canopies with low portion of bare soil.

581

#### 582 **4.2 Performances of different LUE modeling approaches.**

583 Here we aim at answering the question how can we better simulate GPP using LUE modeling  
584 with varying nutrient availability and environmental conditions by drawing comparisons  
585 between the two model philosophies; RSM against MM approaches. There are an increasing  
586 number of studies focused on the development of LUE models driven by remotely sensed  
587 information to better explain spatio-temporal variations of GPP (Gitelson et al., 2014; Rossini



588 et al., 2012; Rossini et al., 2014). However, nutrient availability (and in particular N) greatly  
589 influence the spatial variability of LUE even within the same plant-functional type (e.g.  
590 grasslands) and further studies are essential. The slightly better performance in cross  
591 validation of the MM (VPD-SWC) against all model configurations, including RSM, supports  
592 the importance of a joint use of SWC and VPD as key parameters to constraint LUE in arid  
593 and semi-arid ecosystems (Prince and Goward, 1995). However, residual analyses  
594 demonstrated that MM (VPD-SWC) was unable to track N-induced differences in GPP during  
595 the growing period, when both parameters are not limiting (Fig. 7). By contrast, accurate  
596 estimates of GPP were obtained with RSM both over the drying and the growing periods.  
597 These results also indicate the importance of physiological descriptors to constrain LUE,  
598 which prevails over structural factors controlling  $fAPAR$  (i.e. green biomass) under given  
599 environmental conditions and encourage the use of hyperspectral remote sensing for  
600 diagnostic upscaling of GPP.

601 With sPRI or Fy760 as a proxy for LUE, RSM is presented as a valuable means to diagnose  
602 N-induced effects on physiology. Our results show the limits of MM in predicting the spatial  
603 and temporal variability of GPP when LUE is not controlled by meteorological drivers alone  
604 (VPD, temperature, soil moisture). Accordingly, GPP is eventually biased whenever neither  
605 climatic nor structural state variables explicitly reveal spatial changes in the LUE parameter  
606 associated with plant nutrient availability; residuals showed a clear tendency to underestimate  
607 the highest modeled GPP values, significantly correlated to Fy760 and sPRI (Fig.7). From a  
608 practical point of view, the forcing variables of RSM approaches may show a better  
609 observational coverage. In effect, the satellite-based retrievals of RSM forcing variables could  
610 additionally overcome representativeness limitations and potential regional or seasonal biases  
611 in meteorological fields (Dee et al., 2011). The uncertainties in forcing variables of MM (i.e.  
612 temperature, VPD and soil moisture) could propagate and affects the GPP estimates.

613

614

## **5. Concluding remarks**

615

1. Fy760 and sPRI correlated well with GPP: both increased with N content and decreased with senescence.

616

617

2. MTCI can be used as a good descriptor of N content in plants but the relationship with GPP breaks down under drought conditions.

618

619

3. Meteo-driven models were able to describe temporal variations in GPP, and soil moisture can be a key parameter to better track the seasonal dynamics of LUE in arid environments. However, meteo-driven models were unable to describe N-induced effects on GPP. Important implication can be derived from these results and uncertainties in the prediction of global GPP still remain when meteo-driven models do not account for plant nutrient availability.

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4. sPRI or Fy760 provide valuable means to diagnose nutrient-induced effects on the photosynthetic activity and, therefore, should be included in diagnostic GPP models.

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628

629 **Author contribution**

630 OPP, MM, and MRo conceived the analyses, wrote the introduction, results and discussion,  
631 and led the preparation and revision of the manuscript; FF, TJ made hyperspectral  
632 measurements, computed spectral indices and fluorescence, and wrote part of the methods  
633 section; JH, MS and OPP made chamber measurements, soil and vegetation lab analysis and  
634 wrote part of the methods section; JH organized the dataset; OK provided technical assistance  
635 in the design and construction of the chambers and data acquisition system and wrote part of  
636 the methods section; GM and AC designed the fertilization protocol, organized sampling,  
637 provided technical assistance for the managing of the experiment and contributed to data  
638 interpretation; TW and OPP developed the R package for flux calculations, computed GPP  
639 and flux uncertainties and contributed to statistical analyses and interpretation. NC and MRe  
640 contributed to analyses and interpretation and to draft the manuscript. All authors discussed  
641 the results and contributed to the manuscript.

642

643 **Acknowledgements**

644 The authors acknowledge the Alexander von Humboldt Foundation and the Max Planck  
645 Research Award that is funding the research activity. We acknowledge City council of  
646 Majadas de Tietar for its support. The authors acknowledge Andrea Perez-Bargueno, and  
647 Enrique Juarez-Alcalde from (University of Extreamadura), Ramon Lopez-Jimenez (CEAM),  
648 Kathrin Henkel, and Martin Hertel from (MPI-Jena) and Marco Celesti (UNIMIB) for the  
649 support in the field, lab analysis and the development of the transparent chambers; Javier  
650 Pacheco Labrador and Maria Pilar Isabel Martin (CSIC) for help calibrating the radiometric  
651 system. We thank Professor Andrew S. Kowalski (University of Granada, Spain) for his  
652 review of the manuscript and constructive comments.

653 **Figure Captions**

654

655 **Fig 1.** Overview of the experimental site (SMANIE): the experimental blocks are drawn on an  
656 image acquired with the hyperspectral AHS (Sensytech Inc., Beverly, MA, USA) sensor  
657 during April 2014.

658

659 **Fig 2.** Photosynthetic light response curves derived for each growing period: (a) pretreatment  
660 and (b) post-treatment and drying periods (c and d). Treatments are presented in different  
661 colors. Lines represent the Michaelis–Menten function fitting gross photosynthesis  
662 (GPP,  $\mu\text{molCO}_2\text{m}^{-2}\text{s}^{-1}$ ) and photosynthetic active radiation (PAR,  $\mu\text{molm}^{-2}\text{s}^{-1}$ ).  
663

664 **Fig 3.** Seasonal time course of mean midday physiologically-driven vegetation indices; (a)  
665 scale photochemical reflectance index, sPRI (b) apparent fluorescence yield (Fy760), and  
666 structure-driven vegetation indices, (c) NDVI, and (d) MTCI among C, +N, +NP and +P  
667 treatments in a Mediterranean grassland in Spain. Bars indicate standard deviation, N = 4.  
668 Different letters denote significant difference between treatments (Weilch t test, P < 0.05).

669

670 **Fig 4.** Relationship between  $\text{GPP}_{\text{noon}}$  and remote sensing data: (a) scaled photochemical  
671 reflectance index (sPRI), (b) apparent fluorescence yield, (c) normalized difference vegetation  
672 index (NDVI), and (d) MTCI. Square symbols represent measurements taken in the pre-  
673 treatment (#1) and circles after fertilization (#2–#4). Data were obtained at midday and lines  
674 represent results from the regressions for each treatment excluding measurements in the pre-  
675 treatment.  
676

677 **Fig 5.** Relationship between  $\text{GPP}_{2000}$  and average values of sPRI and (b) apparent  
678 fluorescence yield (Fy760). Lines represent results the best linear regressions fitting the data.  
679

680 **Fig 6.** Comparison between measured GPP and GPP modeled with the best performing LUE  
681 model for each kind of formulation: MM (VPD, panel a), MM (SWC, panel b), MM  
682 (including VPD and SWC, panel c), RSM (sPRI-NDVI panel d), and RSM (Fy760-NDVI,  
683 panel e). Results from the cross-validation analysis are presented in Table 3.  
684

685 **Fig 7.** Correlation between residuals of the MM (VPD-SWC) model and (a) scaled  
686 photochemical reflectance index (sPRI) and (b) chlorophyll fluorescence yield (Fy760) taken  
687 from periods with high soil water content (SWC>15%, red circles). No correlation was  
688 observed when SWC<15% (p>0.5, black circles).  
689

690 **Fig 8.** Plot between residuals of both the Meteo-driven model (MM-VPD) and Remote  
691 Sensing-based method (RSM) and modeled GPP values. Both lines represent the local  
692 polynomial regression fitting of the residuals against predicted values.  
693

694 **Fig 9.** Contour plot indicating how variation in photosynthesis (GPP,  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) are  
695 explained by variations in the LUE and fPAR parameters of the RSM. While (a) sPRI and (b)  
696 Fy760 are indistinctly used as a proxy of LUE, the NDVI is taken as fPAR.  
697

698

699 **Table Captions**

700

701 **Table 1.** Ancillary data resulting from the analysis. Green Plant Area Index (PAI<sub>g</sub>), fraction  
702 of PAI in different plant forms (fPAI), and C, N, and P plant content. The N:P ratio also is  
703 shown. Data correspond to the mean value and standard deviation (SD) of the subsamples  
704 taken in each plot and treatment.

705  
706 **Table 2.** Spectral vegetation indices computed in this study. Vegetation indices are classified  
707 into two major classes based on their suitability in inferring fAPAR (structural related  
708 indices) and LUE (physiologically-related indices) parameters. R denotes the reflectance at  
709 the specified wavelength (nm). NDVI: normalized difference vegetation index; MTCI:  
710 MERIS terrestrial chlorophyll index; NDI: normalized difference index; sPRI: scaled  
711 Photochemical Reflectance Index; Fy760: apparent fluorescence yield at 760 nm.

712  
713 **Table 3.** Results from the model evaluation one leave out cross-validation analysis across  
714 LUE model configurations and vegetation indices. Based on AIC<sub>cv</sub>, the best performance  
715 among formulation test for each method is highlighted text bold.

716  
717 **Table 4. Abbreviations.**

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Campaign	Treatment	Total PAI <sub>g</sub> (m <sup>2</sup> m <sup>-2</sup> )	Total PAI <sub>g</sub> (m <sup>2</sup> m <sup>-2</sup> )	Forbs f <sub>PAI</sub>	Grass f <sub>PAI</sub>	legumes f <sub>PAI</sub>	Total C content (mg g <sup>-1</sup> )	Total C conten t (mg g <sup>-1</sup> )	Total N content (mg g <sup>-1</sup> )	Total N content (mg g <sup>-1</sup> )	Total P content (mg g <sup>-1</sup> )	Total P conten t (mg g <sup>-1</sup> )	N/P (mg g <sup>-1</sup> )
Date	--	mean	SD	%	%	%	mean	SD	mean	SD	mean	SD	--
<b>#1</b> <b>March 20 th, 2014</b> Growing period Pre-treatment	C	0.85	0.18	35.5	56.8	7.7	425	--	17.7	--	2.08	--	8.5
	N	0.76	0.21	39.2	45.1	15.0	463	--	18.6	--	1.99	--	9.34
	NP	1.03	0.30	29.1	54.3	12.9	421	--	18.1	--	1.90	--	9.52
	P	0.95	0.21	26.6	66.6	6.9	369	--	16.9	--	1.94	--	8.71
<b>#2</b> <b>April 15 th, 2014</b> Growing period Post-treatment	C	2.02	0.43	14.5	85.2	0.3	413	152	14.6	0.8	2.23	0.02	6.6
	N	2.17	0.91	11.9	87.6	0.4	384	121	23.7	2.0	1.68	0.03	14.2
	NP	2.46	0.45	4.1	95.6	0.3	377	330	23.5	4.1	3.95	0.04	6.0
	P	1.66	0.58	14.2	85.7	0.1	394	212	15.4	1.7	4.22	0.06	3.7
<b>#3</b> <b>May 7 th, 2014</b> Dry period	C	1.08	0.27	43.0	55.1	1.9	447	52	14.2	1.3	2.41	0.02	5.9
	N	1.29	0.58	28.3	70.7	1.0	449	114	20.1	3.1	1.86	0.03	10.8
	NP	0.84	0.21	27.2	71.8	1.0	438	64	20.6	1.2	3.50	0.04	5.9
	P	1.37	0.57	39.5	58.5	2.0	444	206	14.7	0.8	3.83	0.03	3.8
<b>#4</b> <b>May 27 th, 2014</b> Dry period	C	0.44	0.10	66.7	33.3	0.0	442	2	13.8	1.2	2.12	0.01	6.5
	N	0.48	0.28	36.4	63.6	0.0	448	3	19.0	2.8	1.93	0.02	9.8
	NP	0.53	0.26	40.6	59.4	0.0	442	1	18.5	3.4	2.63	0.02	7.1
	P	0.71	0.31	56.1	43.9	0.0	441	72	13.2	0.7	2.62	0.02	5.0

**Table 1.** Ancillary data resulting from the analysis. Green Plant Area Index (PAI<sub>g</sub>), fraction of PAI in different plant forms (f<sub>PAI</sub>), and C, N, and P plant content. The N:P ratio also is shown. Data correspond to the mean value and standard deviation (SD) of the subsamples taken in each plot and treatment.

Index	Target	Model proxy	Formulation	References
NDVI	<i>Green biomass &amp; Leaf area</i>	$f_{APAR}$	$(R_{800} - R_{680}) / (R_{800} + R_{680})$	Rouse et al., 1974
MTCI	<i>Chlorophyll &amp; Nitrogen content</i>	$f_{APAR}$	$(R_{754} - R_{709}) / (R_{709} - R_{681})$	Dash and Curran, 2004
sPRI	Physiology	LUE	$(R_{531} - R_{570}) / (R_{531} + R_{570})$	Gamon et al., 1992
Fy <sub>760</sub>	Physiology	LUE	Chlorophyll Fluorescence In-Filling of the O <sub>2</sub> -A Band	Meroni and Colombo, 2006

**Table 2.** Spectral vegetation indices computed in this study. Vegetation indices are classified into two major classes based on their suitability in inferring fAPAR (structural related indices) and LUE (physiologically-related indices) parameters. R denotes the reflectance at the specified wavelength (nm). NDVI: normalized difference vegetation index; MTCI: MERIS terrestrial chlorophyll index; NDI: normalized difference index; sPRI: scaled Photochemical Reflectance Index; Fy760: apparent fluorescence yield at 760 nm.

LUE Model	Variable	RMSE	rRMSE	r2	ME	RMSEcv	rRMSEcv	r2cv	MEcv	AICcv
MM-VPD	NDVI	3.041	23.439	0.894	0.802	3.143	24.671	0.877	0.788	160.887
MM-SWC	NDVI	2.663	32.909	0.849	0.848	2.769	34.840	0.835	0.829	148.417
<b>MM (VPD-SWC)</b>	<b>NDVI</b>	<b>2.230</b>	<b>21.727</b>	<b>0.894</b>	<b>0.893</b>	<b>2.357</b>	<b>23.266</b>	<b>0.881</b>	<b>0.879</b>	<b>127.478</b>
<b>RSM</b>	<b>PRI-NDVI</b>	<b>2.390</b>	<b>24.112</b>	<b>0.879</b>	<b>0.877</b>	<b>2.760</b>	<b>30.832</b>	<b>0.844</b>	<b>0.837</b>	<b>140.627</b>
RSM	PRI-MTCI	3.113	35.793	0.794	0.792	3.489	42.123	0.751	0.739	171.125
<b>RSM</b>	<b>Fy760-NDVI</b>	<b>2.490</b>	<b>27.743</b>	<b>0.868</b>	<b>0.867</b>	<b>2.835</b>	<b>34.242</b>	<b>0.834</b>	<b>0.828</b>	<b>144.116</b>
RSM	Fy760-MTCI	3.676	46.770	0.710	0.710	4.074	52.224	0.654	0.644	191.275

**Table 3.** Results from the model evaluation one leave out cross validation analysis across LUE model configurations and vegetation indices. Based on AICcv, the best performance among formulation test for each method is highlighted text bold.

**Table 4.** List of abbreviations

**a**, **a<sub>0</sub>**, and **a<sub>1</sub>** are model parameters; **b<sub>0</sub>**, **b<sub>1</sub>**, **b<sub>2</sub>**, and **b<sub>3</sub>** are fitting parameters of RSM; **EFPs**, ecosystem functional properties; **f(meteo)**, limiting functions relying on meteorologically-driven data; **fAPAR**, fraction of absorbed photosynthetically active radiation; **fPAIg**, fraction of *PAIg* in different plant forms; **Fy760**, sun-induced chlorophyll Fluorescence yield at 760 nm; **GPP**, gross primary productivity; **GPP<sub>noon</sub>**: instantaneous gross photosynthetic rate taken at solar noon (between 11:00 and 15:00 pm solar time); **GPP<sub>daily</sub>**: mean value of the diurnal time course of gross photosynthetic rate; **GPP<sub>2000</sub>**, gross primary productivity estimated at 2000 of PAR; **LUE**, light use-efficiency; **LUE<sub>m</sub>** potential or maximum LUE; **MM**, meteorologically driven model; **MM-VPD**, simplifier model of the original MOD17 that account for VPD in *f(meteo)*; **MM(SWC-VPD)** meteorologically-driven model that account for VPD and soil moisture in *f(meteo)*; **MTCI**, MERIS terrestrial-chlorophyll index; **NDVI**, Normalized difference vegetation index; **NEE**, net ecosystem CO<sub>2</sub> exchange; **PAIg**, Green Plant Area Index; **PAR**, Photosynthetically active radiation; **ph**, physiologically-related parameter of RSM referring to either sPRI or Fy760 as a proxy for LUE; **PLRC**, photosynthetic light response curve; **PRI**, photochemical reflectance index; **R<sub>eco</sub>**, daytime ecosystem respiration; **RSM**, remote sensing based models; **SIF**, sun-induced chlorophyll fluorescence; **sPRI**, scaled-photochemical reflectance index; **st**, structurally-related parameter of RSM referring to either NDVI or MTCI as a proxy for *fAPAR*; **SWC**, soil water content; **SWC<sub>max</sub>** parameter of the *f(meteo)* term; **VPD**, air-to-leaf vapor pressure deficit; **VPD<sub>max</sub>** and **VPD<sub>min</sub>** are fitting parameters of the *f(meteo)* term; **α** is a parameter describing the photosynthetic quantum yield; **β** is the parameter that extrapolates to GPP at saturating light condition.

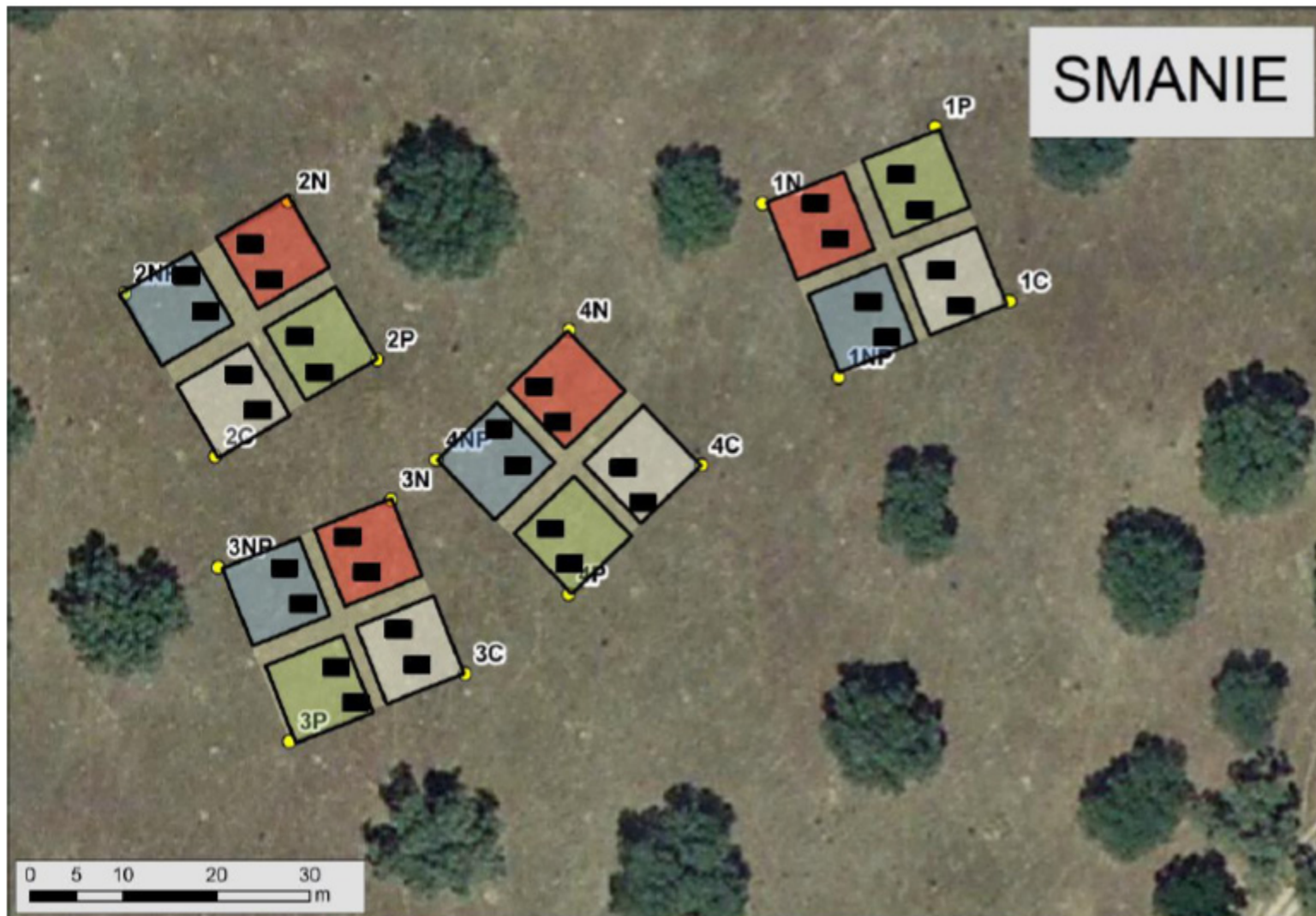


Fig. 1

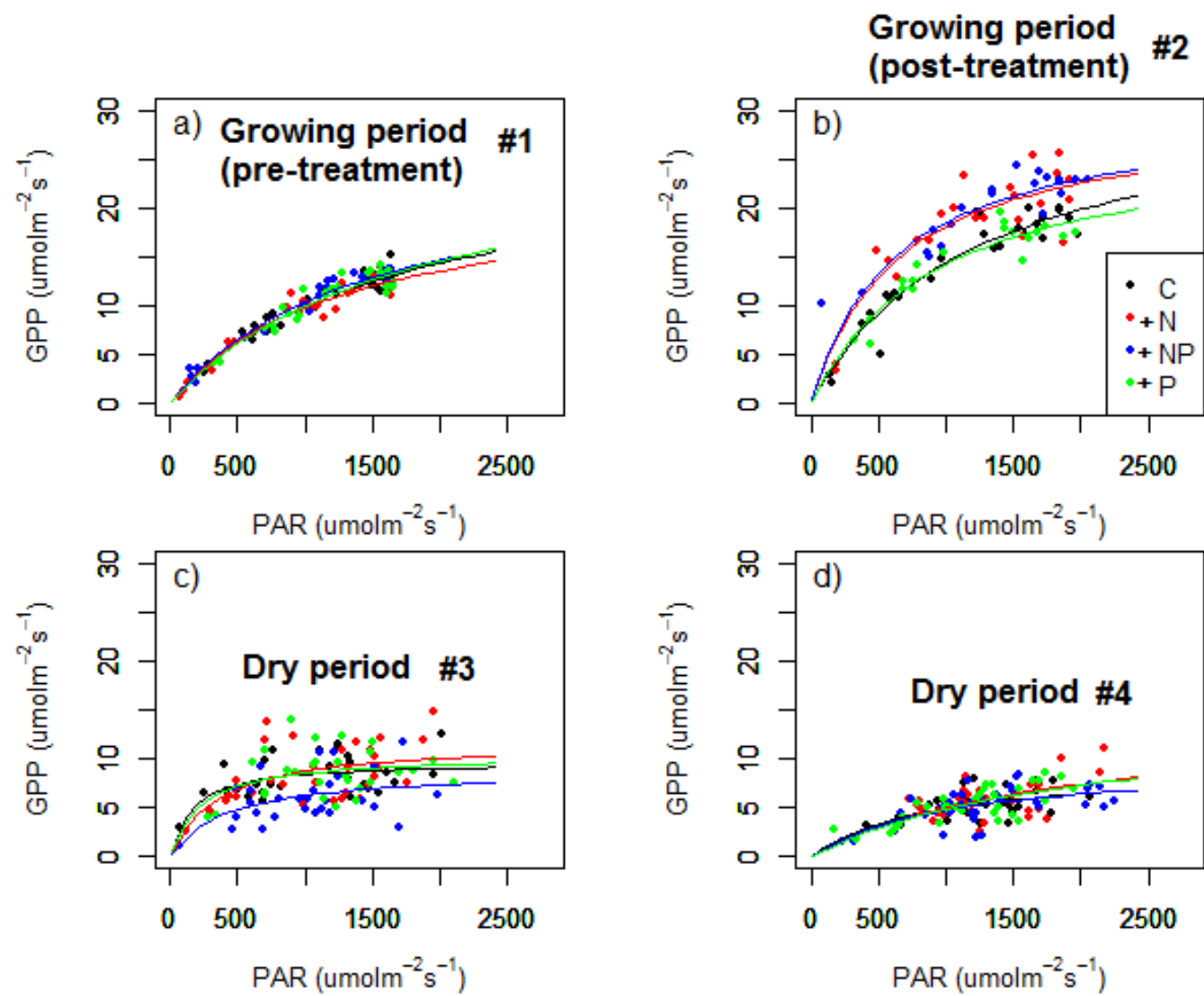


Fig. 2



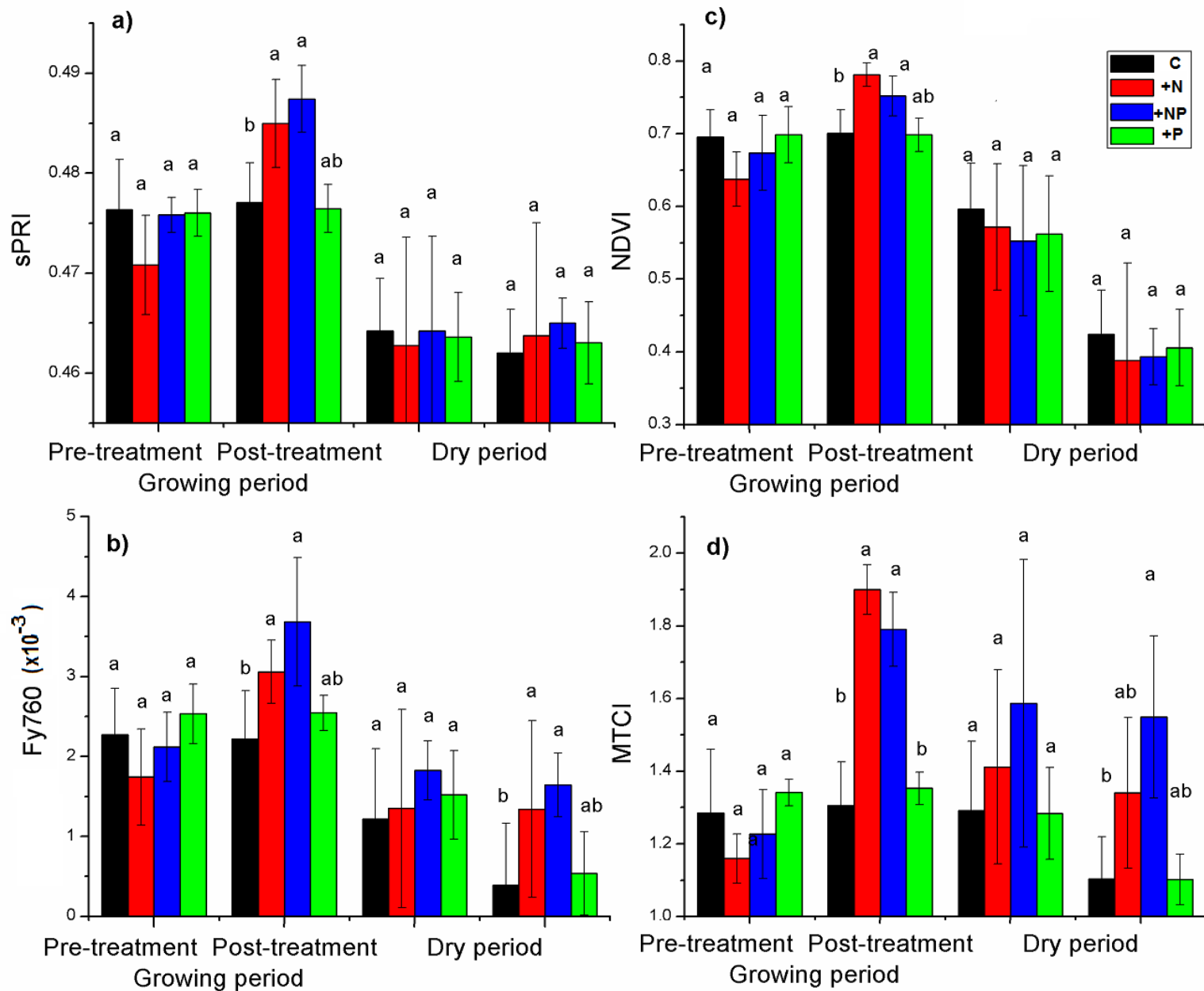


Fig. 3

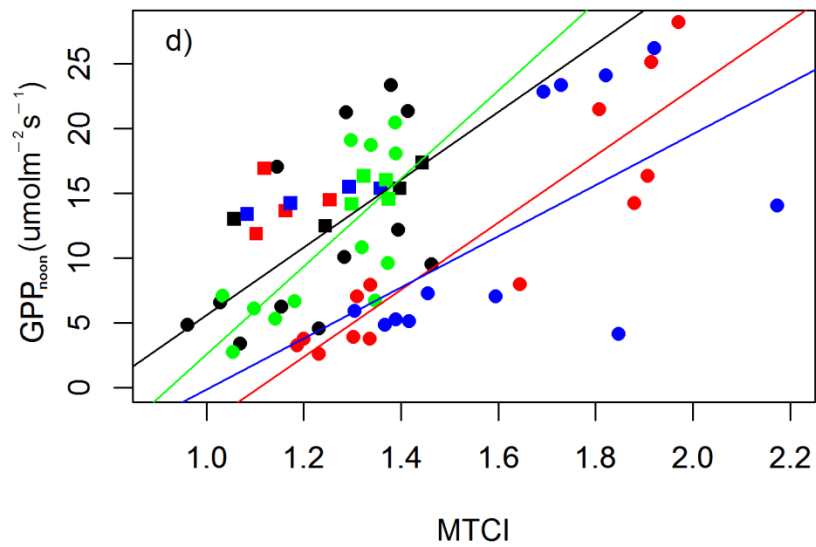
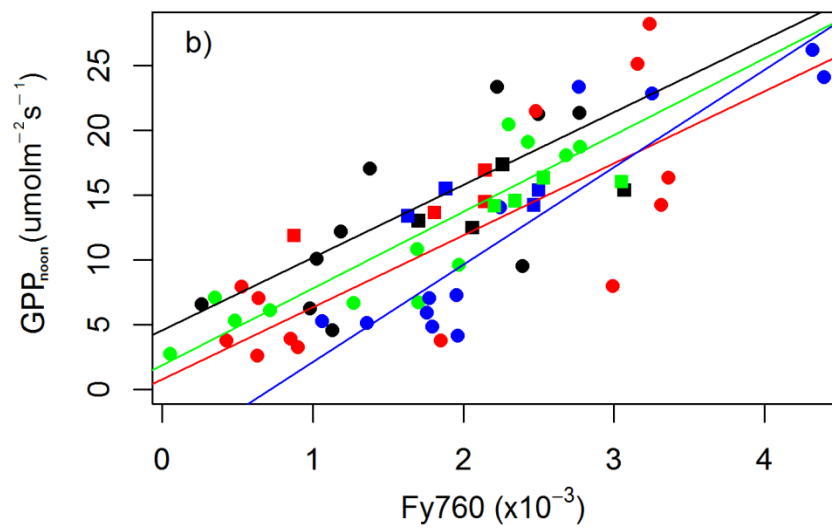
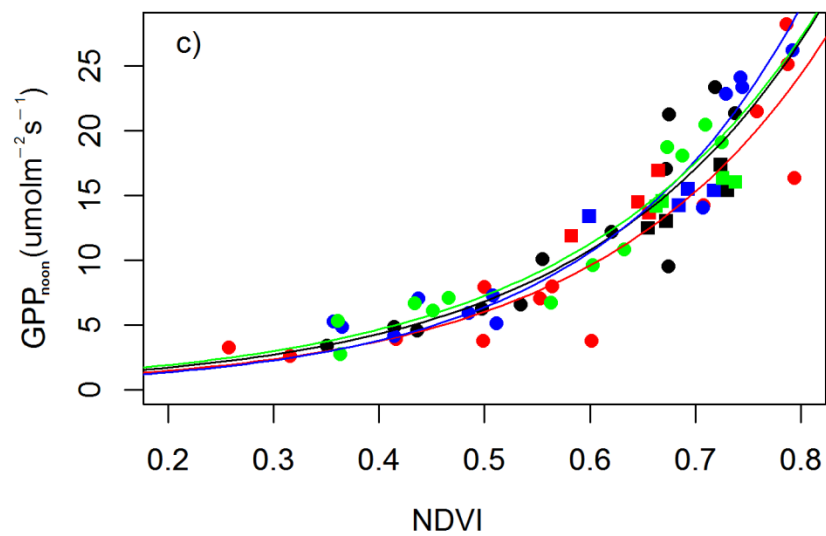
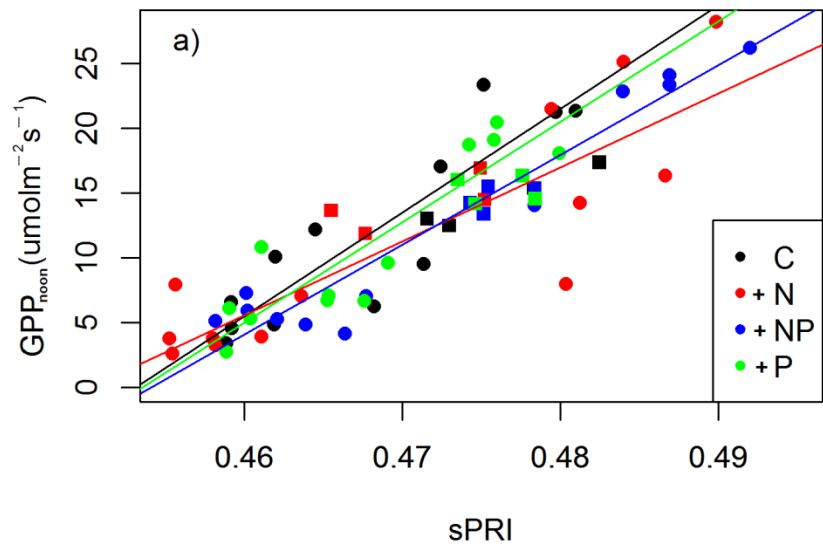


Fig. 4

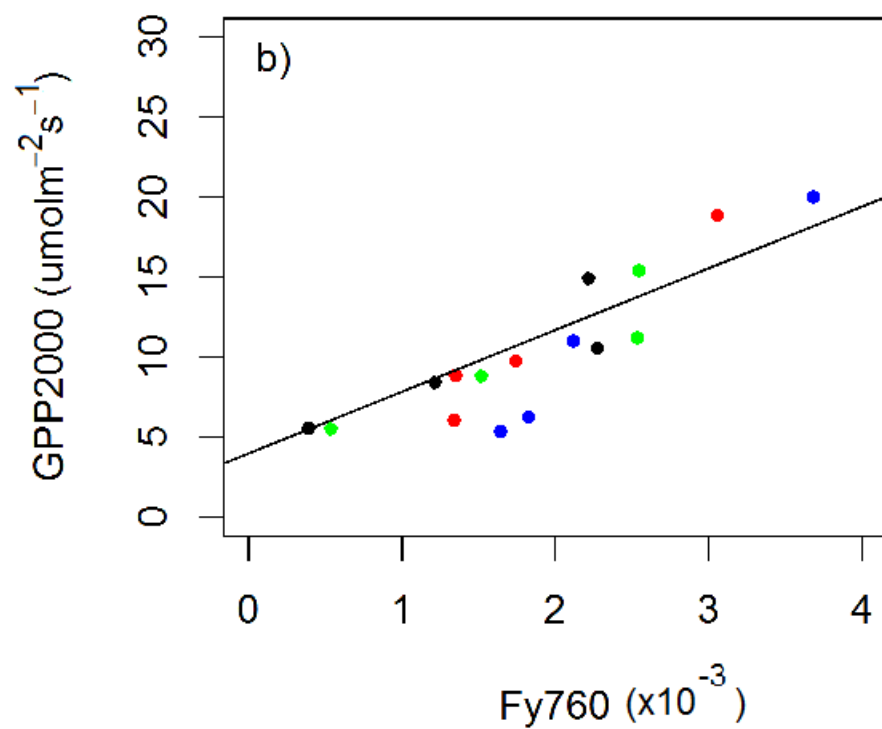
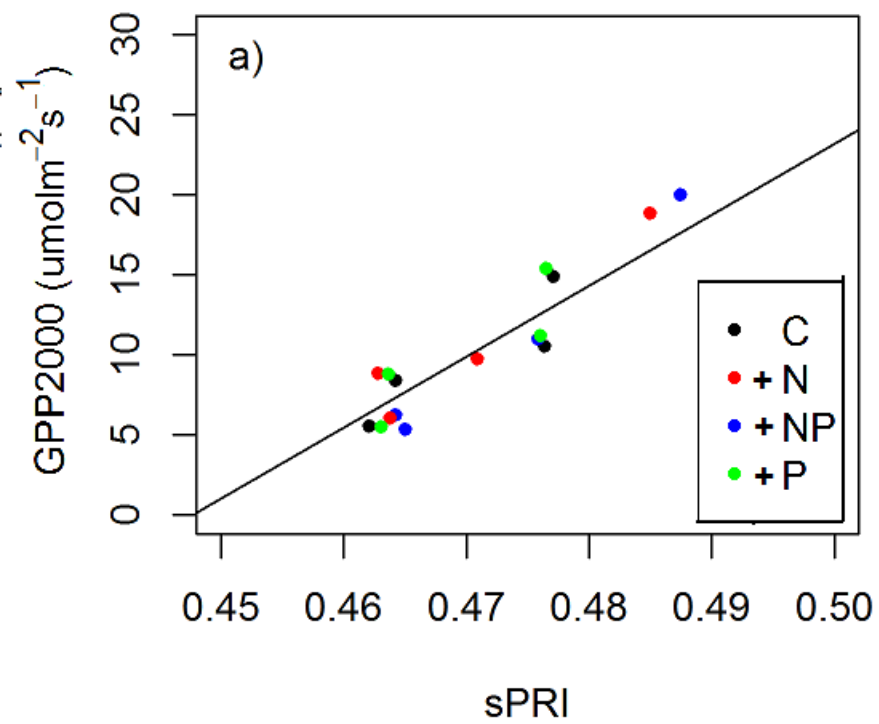


Fig. 5

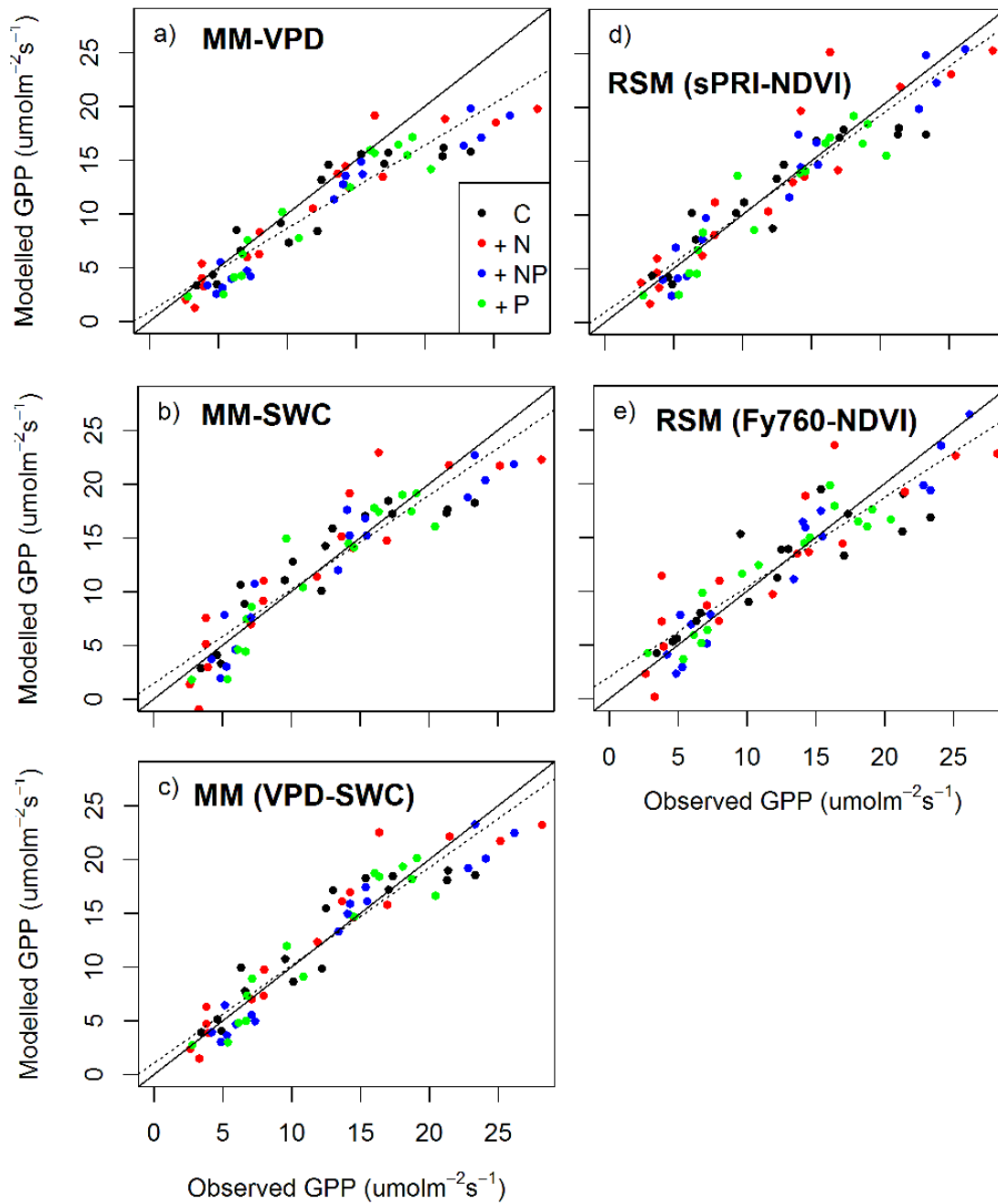


Fig. 6

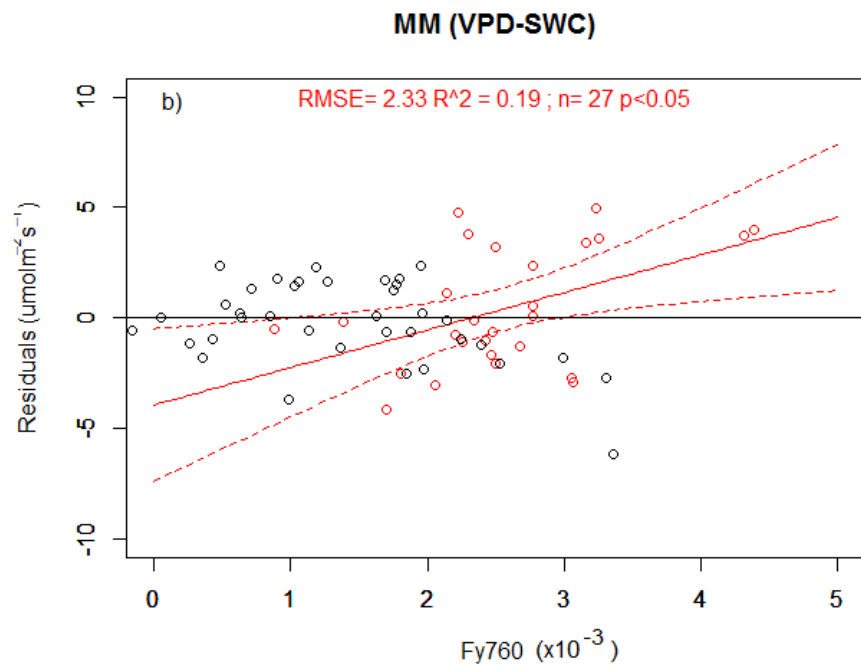
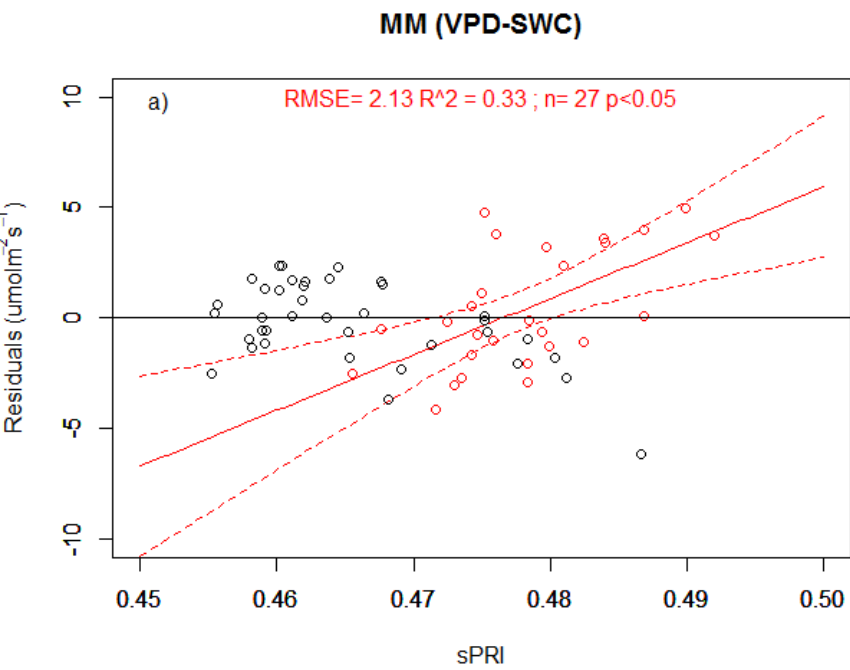


Fig. 7

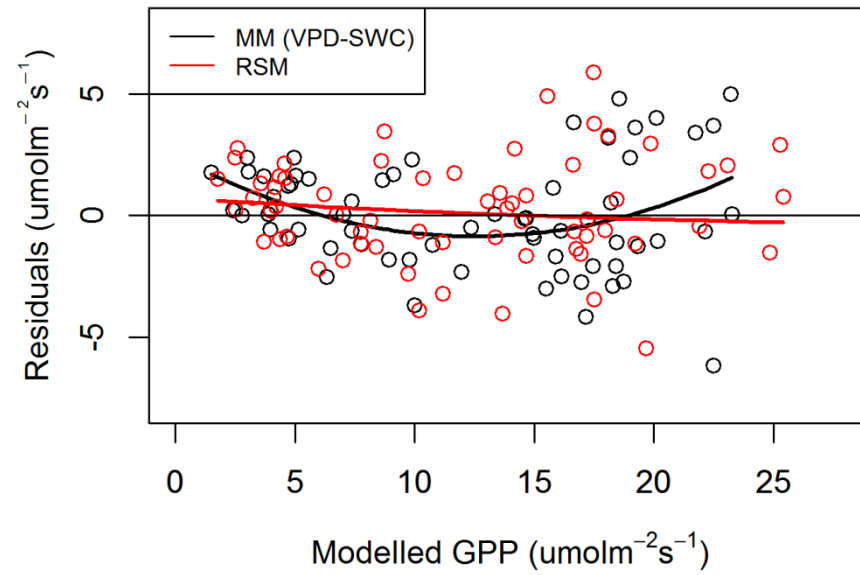


Fig. 8

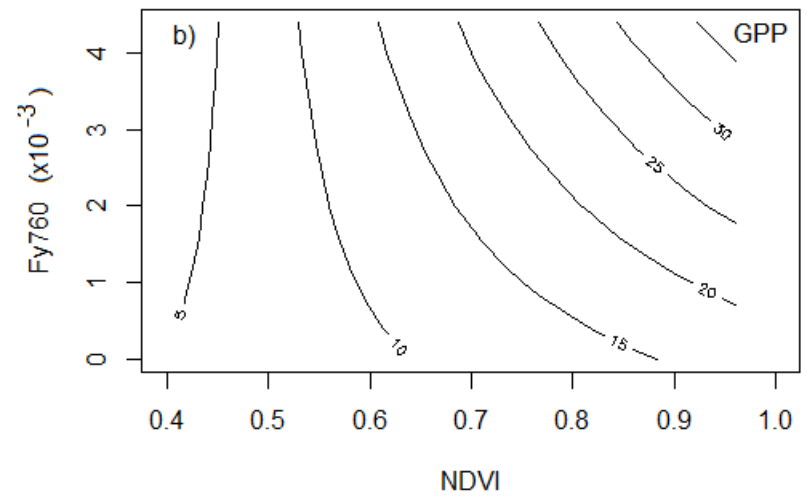
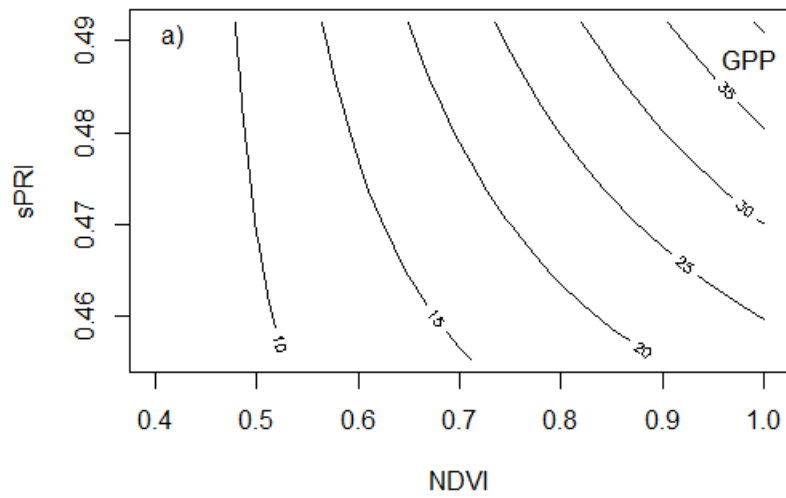


Fig. 9