

Dear Editor,

We are pleased to send you a modified version of the manuscript entitled "Sun-induced Chlorophyll fluorescence and PRI improve remote sensing GPP estimates under varying nutrient availability in a typical Mediterranean savanna ecosystem" which is being revised for possible publication in Biogeoscience.

We are grateful for the relevant feedbacks and comments received and we have addressed the main comments according to the reviewers' suggestions.

The following pages contain our responses to each of the comments from the Referees with a detailed description of the modifications introduced in the manuscript as a result of remarks from the Referees. As it was suggested, we also included a marked-up manuscript version.

Please note the following distinctions in types:

→ comment from the Reviewer

← response from the authors

We are confident to have fully answered all questions and incorporated all the recommendations in the revised paper, and we hope that the revised manuscript can be accepted for future publication.

Best regards,

Oscar Perez-Priego & co-authors

## Referee 1

→ This paper by Perez-Priego et al. evaluates the performance of sun-induced chlorophyll fluorescence and the PRI to estimate GPP variation in response to nutrient availability in a Mediterranean savanna grassland. In addition to being a very well planned and executed study, the authors conduct a detailed analysis comparing the predictive power of SIF and PRI to that of baseline alternative approaches using greenness and other meteorological data. They show that both SIF and PRI correlated well with GPP in response to fertilization, while greenness indices (i.e. NDVI and MTCI) failed to do so. Despite that some sentences are written in a bit complex style, the work is well presented and the text flows well. Overall, I believe this is a significant and original contribution that adds to the increasing number of studies evidencing the potential of SIF and PRI to improve our capacity to estimate GPP dynamics, in this case, in response to plant nutrient status. I think this study certainly deserves to be published in Biogeosciences after a few issues are addressed.

← We greatly appreciate the Referees' in-depth review and constructive comments.

1) The use of the term GPP is a bit confusing. The authors use the terms GPP<sub>2000</sub>, maximum daily GPP, daily average GPP, and then "GPP" alone. Accordingly, it is not clear what exactly it is denoted when "GPP" is used alone (instantaneous?, mean?, max?, noon?...). The authors should clarify the terms throughout the paper.

← We thank the Referee for this comment and apologize for the confusion. We agree that GPP is used in a confusing way throughout the manuscript without specifying neither its temporal resolution or the timing on which it was measured (or modeled like in the case of the GPP<sub>2000</sub>). We have considered the Referee's comment, and have in the abbreviations list the different terms and their description:

- GPP: gross primary productivity or instantaneous gross photosynthetic rate.

-GPP<sub>noon</sub>: instantaneous gross photosynthetic rate taken at solar noon (between 11:00 and 15:00 pm solar time).

-GPP<sub>2000</sub>: gross photosynthetic rate estimated at 2000 of PAR derived from the fitting of the light response curve on GPP and PAR (Ruimy et al., 1995)

-GPP<sub>daily</sub>: mean value of the diurnal time course of gross photosynthetic rate.

These abbreviations have been referred throughout the manuscript as suggested.

2) From the M&M it is understood that chamber measurements were conducted with three rotating chambers and that each measurement lasted for approximately 3 minutes. But there is no information on what was the temporal range of these measurements: Where they conducted from

sunrise until sunset? This seems to be the case otherwise they could not have constructed their light response data in Figure 2. Once this is clarified they could also mention briefly how was maximum daily GPP and daily average GPP calculated. In the same lines, it would be good to mention explicitly how the PLRC curve was obtained (e.g. by pooling together all diurnal measurements for each treatment and sampling date).

← We have better clarified the temporal range of these measurements and how PLRC curves were obtained in lines 327-331 as suggested:

“To assess how GPP is modulated by light among treatments and over the phenological cycle of the herbaceous stratum, we computed the parameters of photosynthetic light response curve (PLRC). Specifically, the Michaelis–Menten function was fitted to GPP and PAR data taken throughout course of the day (from sunrise until sunset) for each field campaign as follows...”

As we mentioned above, a better description regarding the use of GPP has been adopted throughout the manuscript.

3) Apparently, the authors use measured VPD and soil moisture as inputs in the MM model. Although it is stated that soil moisture was measured with a Theta Probe, there is no information as to how VPD was estimated. Perhaps they could add a clarification in Page 11899, lines 16-18: e.g. “Chamber humidity data was used to estimate VPD”.

← We have clarified this as suggested (L246-248).

“Vapor pressure deficit (VPD) was computed using Tc and relative humidity, which was derived from water vapor molar fraction measured with the IRGA.”

4) It is stated that chamber measurements lasted for 3 minutes, but could the authors provide a bit more of information as to how long it took to reach equilibration before NEE was measured, and similarly, how long it took to reach the steady state for Reco after placing the dark cloth?

← Following both Referee’s recommendations, we have further described this in the manuscript (L257-276).

The chamber is open and ventilated during ~1 min after NEE measurement and the opaque blanket covers the chamber just after we place the chamber back on the collar. In addition of this 1 min, we must consider the starting time (~15:25 s) – the period that defines the initial slope of the fit after chamber deployment on which the “undisturbed” flux is estimated. Obviously, this “starting time” time is not fixed since we must also consider the need of both to stabilize the chamber atmosphere following deployment and for transport of sample air from chamber to gas analyzer. This starting time is automatically estimated using a change point detection algorithm

(Killick and Eckley, 2010), and implemented in the bootstrap resampling-based algorithm (Perez-Priego et al., 2015).

5) Page 11911, Lines 22-29. I think the analysis presented in Figure 9 and its implications are very interesting and the authors could expand a bit on it in the discussion. Their analysis in Figure 9 nicely shows the complementarity between NDVI and PRI/SIF. At low GPP levels, NDVI and not Fy760 or PRI respond to GPP, whereas at high GPP levels it is Fy760 and PRI but less NDVI that respond to GPP. Could we build on this complementarity to better track GPP dynamics?

← We have considered the Reviewer's comment and have further discussed the implications of this analysis (L564-569).

“Figure 9 suggests that the relationship between NDVI and sPRI or Fy760 is not unique and NDVI may play an important role in driving GPP in ecosystem characterized by marked seasonal variations. Our results highlight the complementarity between NDVI and Fy760 or sPRI. Particularly, NDVI assisted Fy760 or sPRI in predicting GPP under conditions with low biomass (i.e. low LAI), when confounding factors may affect Fy760 or sPRI.”

6) As far as I understood the authors were feeding the MM models with field data (both VPD and soil water content). But, what would happen to MM performance if they would have used modelled/estimated VPD and SWC? Could it be that RSM would have been then far superior than MM? The authors might wish to briefly discuss (or even assess) how uncertainties in VPD and SWC estimates would propagate and affect the performance of MM in a real case scenario where no field data is available. As it stands, the comparison between MM and RSM might favor MM.

← We agree with the Reviewer that this is a relevant and interesting point that requires a further evaluation of both MM and RSM approaches for up-scaling purposes. Such would involve explicitly addressing uncertainties in the different forcing fields of the MM and RSM models, which goes beyond the objectives of this current work and would justify a unique study per se. Therefore, we have acknowledged this point in the discussion section of the manuscript (L601-606):

“From a practical point of view, the forcing variables of RSM approaches may show a better observational coverage. In effect, the satellite-based retrievals of RSM forcing variables could additionally overcome representativeness limitations and potential regional or seasonal biases in meteorological fields (Dee et al., 2011). The uncertainties in forcing variables of MM (i.e. temperature, VPD and soil moisture) could propagate and affects the GPP estimates.”

7) Page 11907. Line 5. There is no mention or data on GPP2000 in Fig. 2. (see also Page 11907, Line 16). The authors seem to refer to the differences in GPP2000 and GPP between treatments several times in the results and discussion but that analysis is not explicitly shown. How about

adding GPP (daily mean, or max, or noon) and GPP2000 into the analysis presented in Fig 3 with two additional panels?

← The methods describes widely how GPP2000 was estimated and results from the analysis were explicitly mentioned (L440-452; “GPP2000 was higher in +N and +NP treatments (18.6 and 20.1  $\mu\text{molCO}_2\text{m}^{-2}\text{s}^{-1}$ , respectively) compared to C and +P treatments (14.9 and 15.4  $\mu\text{molCO}_2\text{m}^{-2}\text{s}^{-1}$ , respectively)...”. We again agree with the Referee that the use of the terminology and acronyms used to describe the different metrics for the photosynthesis (e.g. GPP, GPP2000 etc) was confusing. Improvements have been made to address this issue. Regarding adding GPP in Figure 3, the results were structured over the manuscript in separated sections, where we try to walk the reader through nutrient-induced changes in separate variables i) photosynthesis (Fig 2) and ii) vegetation optical properties (Fig 3). For this reason, we would rather prefer to keep this structure.

Minor corrections: - Page 11906. Lines 20-22. Is this correct? If I checked it right I am getting 79.7 +-16.5 and 75.9 +-10.5. Are these significantly different?

← The Referee is right and this error has been corrected in the manuscript. Now the sentence states (L430-431): “Regarding variations in the fraction of plant forms, no significant differences were found between treatments.”

-Page 11907, Line 15, is it Table 1 instead?

← This typo has been corrected.

-Page 11907, Line 27. I am not sure do I understand what the authors mean with “As for chamber measurements,: : :” Did you measure optical properties both outside and inside the chamber? I could not see mention to that in M&M.

← We apologize for the confusion and we have explicitly added “As for GPP” instead (L461).

-Page 11908. Line 21. Is there a typo in the “ $p < 0.1$ ”? The significance threshold is usually set to p values equal or below 0.05.

← There is not typo here. We obtained p values of 0.0513 and 0.0878 for +NP and +N treatments, respectively, which barely would fail to reach statistical significance. However, if we consider sample size effects and the scatter in Fy760 owing to typical noise in the SIF measurements, we could either set the threshold of  $< 0.1$  for significance or to say barely significant. We have added these considerations in the improved version of the manuscript (L480-483).

“However, barely significant differences were found in the relationship between GPPnoon and Fy760 ( $p < 0.1$ , Fig 4b) and significant between GPPnoon and MTCI ( $p < 0.01$ , Fig 4d) between N addition treatments (+N and +NP) and C treatments (C and +P).”

-Page 11909, Line22. Add “under high light” after photosynthetic capacity. A reference to the results where this is shown could be also added.

← We have added the term and included the reference as suggested (L509-510).

-Page 11910, Lines 3-5. Point (2) should be rephrased. Increased photosynthetic capacity does not increase F per se, actually it should decrease it because photosynthesis and fluorescence compete for excitation. I believe the feedback the authors mean from the Cendrero-Mateo et al. paper refers to the simultaneous increase in fluorescence and photosynthesis because of decreased NPQ. Rough suggestion: : : : “and on (2) the increased photosynthetic capacity that results in reduced NPQ activity and consequently increases the fluorescence signal (Cendrero-Mateo et al. 2015).”

← We very much appreciate the expertise that the Reviewer brings to this paper and the sentence has been rewritten as suggested (L515-517).

-Page 11911, Line 25. How was this 37.5% obtained?

← This is a result from the relative variation of modeled GPP (from 25 to 40 mmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) at highest NDVI values (see Fig. 9). Nevertheless, consistent with other comments from the Referee regarding Fig. 9, the paragraph has been rewritten, and no numbers are now given (L564-570).

-Page 11913. Line 12 and Page 11903, Line 5. “Meteo-driven models” vs “Meteorology-driven methods”. Better to use only one.

← Corrected.

## Referee 2

→ Perez-Priego et al. report on an experiment in a Spanish oak savanna where the herbaceous understory has been to a N and P fertilizer application in a full factorial design. The authors measured the CO<sub>2</sub> gas exchange using ecosystem chambers and determined, notably from the same plots, hyperspectral reflectance and several canopy structural attributes (LAI, C/N contents). The objective of the paper is to assess how fertilizer application effects CO<sub>2</sub> gas exchange and hyperspectral reflectance and how to best model GPP using spectral vegetation indices with or without additional modifiers driven by meteorological parameters.

I think this is a unique paper as it combines the 'classical' ecological approach of field manipulation with the question of how to improve remote sensing of GPP. The key point here is that by this experimental design the authors are able to produce GPP and spectral vegetation indices which are scale-consistent, in contrast to other attempts of this kind where coarse-scale satellite remote sensing is combined with eddy covariance flux estimates from time-varying flux footprints.

The structure of the paper is OK and it is generally well written, although at times the style could be improved (it is however always clear what the authors intend to say). Methods appear sound and the graphical presentation is flawless.

According to my opinion, the paper can thus be accepted after minor revisions.

← The authors thank the Referee for this positive assessment. Our replies to specific comments are found below.

### Detailed comments:

→ (1) p. 11893, l. 3: while I am not a specialist for savanna ecosystems, but would not be 'understory' a suitable and more accessible term for what the authors refer to as 'herbaceous stratum'; if so, please replace throughout the paper

← The authors agree that "understory" is a suited term for savanna ecosystems, however, in this case it can be confusing due to 1) the experiment was restricted to an open grassland area (out of the tree influence, and 2) "understory" is a general term that may include other plant forms (i.e. shrubs), which are absent in this experiment. For these reasons, we would rather prefer to keep the use of "herbaceous stratum".

(2) p. 11894: l. 14-16: in my view LUE models operate solely on the assumption that LUE<sub>max</sub> is correct for the respective application; for example, you would not use the LUE<sub>max</sub> of a tropical forest for a desert ecosystem; neither should one use the same LUE<sub>max</sub> for the same ecosystem if nutrient availability, which is known to affect LUE, is different

← We fully agree with the Referee's comment and we have pointed out this in the paragraph (L105-106).

“ii) potential LUE (or maximum,  $LUE_m$ ), normally taken from look-up tables and associated with plant functional types (Heinsch et al., 2006)”

(3) p. 11896, l. 12: another suitable reference would be Porcar-Castell et al. (2015) from the EuroSpec SI.

← This reference has been included as suggested.

(4) p. 11896, l. 23: I am a strong believer in hypothesis-driven research; given the 'classical' ecological experimental design, this paper lends itself to formulate a few hypothesis, which would further strengthen the paper.

← We thank the Referee for the suggestion and we have considered to reformulate the last part of the Introduction clarifying the main working objectives (L166-170):

“The main objective of this study was to evaluate whether traditional LUE models driven by meteorological and phenological data (MM) entail a limited assessment of the environmental controls on GPP. More particularly, we evaluated if the effects of varying nutrient availability on GPP estimates as tracked by chlorophyll fluorescence and PRI can be equally explained by meteorology-driven models.”

(5) p. 11897, l. 19-24: the abbreviations for the treatments are not used consistently throughout the paper, e.g. sometimes +N or only N is used; make sure that the same abbreviations are used throughout the text, tables and figures.

← In the manuscript the following convention was adopted: “+N” refers to Nitrogen addition treatment (see L195), while only “N” is used to refer to Nitrogen (N) content in plants. Like N, similar distinction for both “+P” and “P” abbreviations were taken. Following this convention, we have been carefully revised the manuscript.

(6) p. 11900, l. 9: does 3min apply to the combined NEE and RECO measurement or individually to both (i.e. a total of 6min for NEE & RECO)? If so, I suppose that the temperature for the RECO measurement will be higher compared to the NEE measurement, which will bias estimated GPP. Is this an issue and can the authors quantify the effect? In this section it may also be worth stating that apparently a quadratic fit was applied to the dry mole fractions and the flux inferred from the first derivative at  $t=0$  (even though this is detailed in Perez-Priego et al. 2015, this is fundamental information required here).

← We thank the Referee for these questions and comments. We clarified and improved the description of the chamber method and flux calculation approaches.



As it has been explained in the manuscript (L256-259) the chamber was open and ventilated during 1 min prior to measurement, so that initial air composition and temperature in the confined environment of the chamber represented natural atmospheric conditions (as much NEE as Reco). Considering ~4 min of delay between NEE and Reco measurements, comparable environments were shared for both measurements and hence no biases in GPP by temperature are expected.

Regarding, flux calculations we have added the following paragraph in the methods section (L266-277):

“Shortly, the flux calculation algorithm reduces flux uncertainties by including the change-point detection method to determine the stabilization time, which defines the initial slope of the regressions, and a bootstrap resampling-based method to improve confidence in regression parameters and to optimize the number of data points used for flux calculation. In addition, a statistical analysis of residuals was performed to automatically detect the best fit among alternative regressions (i.e. quadratic, hyperbolic tangent saturating function, exponential, linear). These analyses were implemented in a self-developed R Package (available upon authors request or at the following link <http://r-forge.r-project.org/projects/respchamberproc/>). GPP measurements were taken over the course of the day (from sunrise to sunset) for each field campaign. Chamber disturbance effects and correction for systematic and random errors (i.e. leakage, water dilution and gas density correction, and light attenuation by the chamber wall) were applied according to Perez-Priego et al., (2015).”

(7) p. 11902, l. 21: if I understood the methods section correctly, gas exchange and hyperspectral measurements were done sequentially, but not simultaneously (even if the time difference may be small).

← Yes the consideration is correct and we have clarified this point. L339-340 now read: “We evaluated direct relationships between midday GPP values (measurements taken around noon with the chamber) and sequentially measurements of Fy760....”

(8) p. 11907, l. 20: I think with two months of data the authors should not attempt to assess any long-term effects (years to decades); probably the term 'season should be used here.

← We agree that “long-term” is a poor choice of word; this is now referred to “season” (L453).

(9) Fig. 1: the abbreviation SMANIE appears for the first time here and has not be explained before.

← SMANIE it's the acronym of the project, we agree and so the abbreviation has been explained in the Methods Section at the beginning of the “Experimental site and description” section (L178 “A Small scale MANIpulation Experiment (SMANIE) was set up in a Mediterranean savannah...”

(10) Fig. 2: is it possible to re-scale the figs and move the title of sub-panel (b) into the panel for consistency with the other sub-panels?

← We thank the suggestion but data visualization becomes worse when re-scaling the figures. For this reason we would prefer to keep the figure as it is.

1                   **Sun-induced Chlorophyll fluorescence and PRI improve remote sensing GPP**  
2                   **estimates under varying nutrient availability in a typical Mediterranean savanna**  
3                   **ecosystem**

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45

46 **Abstract**

47 This study investigates the performances of different optical indices to estimate gross primary  
48 production (GPP) of herbaceous stratum in a Mediterranean savanna with different Nitrogen  
49 (N) and Phosphorous (P) availability. Sun-induced chlorophyll Fluorescence yield computed  
50 at 760 nm (Fy760), scaled-photochemical reflectance index (sPRI), MERIS terrestrial-  
51 chlorophyll index (MTCI) and Normalized difference vegetation index (NDVI) were  
52 computed from near-surface field spectroscopy measurements collected using high spectral  
53 resolution spectrometers covering the visible near-infrared regions. GPP was measured using  
54 canopy-chambers on the same locations sampled by the spectrometers. We tested whether  
55 light-use efficiency (LUE) models driven by remote sensing quantities (RSM) can better track  
56 changes in GPP caused by nutrient supplies compared to those driven exclusively by  
57 meteorological data (MM). Particularly, we compared the performances of different RSM  
58 formulations -relying on the use of Fy760 or sPRI as proxy for LUE and NDVI or MTCI as  
59 fraction of absorbed photosynthetically active radiation (*f*APAR) - with those of classical  
60 MM.

61 Results showed higher GPP in the N fertilized experimental plots during the growing period.  
62 These differences in GPP disappeared in the drying period when senescence effects masked  
63 out potential differences due to plant N content. Consequently, although MTCI was tightly  
64 related to the mean of plant N content across treatment ( $r^2=0.86$ ,  $p<0.01$ ), it was poorly  
65 related to GPP ( $r^2=0.45$ ,  $p<0.05$ ). On the contrary sPRI and Fy760 correlated well with GPP  
66 during the whole measurement period. Results revealed that the relationship between GPP  
67 and Fy760 is not unique across treatments but it is affected by N availability. Results from a  
68 cross-validation analysis showed that MM ( $AIC_{cv}=127$ ,  $ME_{cv}= 0.879$ ) outperformed RSM  
69 ( $AIC_{cv}=140$ ,  $ME_{cv}= 0.8737$ ) when soil moisture was used to constrain the seasonal dynamic  
70 of LUE. However, residual analyses demonstrated that GPP predictions with MM are

71 inaccurate whenever no climatic variable explicitly reveals nutrient-related changes in the  
72 LUE parameter. These results put forward that RSM is a valuable means to diagnose nutrient-  
73 induced effects on the photosynthetic activity.

#### 74 **Abbreviations:**

75 **a**, **a<sub>0</sub>**, and **a<sub>1</sub>** are model parameters; **b<sub>0</sub>**, **b<sub>1</sub>**, **b<sub>2</sub>**, and **b<sub>3</sub>** are fitting parameters of RSM; **EFPs**,  
76 ecosystem functional properties; **f(meteo)**, limiting functions relying on meteorologically-  
77 driven data; **fAPAR**, fraction of absorbed photosynthetically active radiation; **fPAIg**, fraction  
78 of *PAIg* in different plant forms; **Fy760**, sun-induced chlorophyll Fluorescence yield at 760  
79 nm; **GPP**, gross primary productivity; **GPP<sub>noon</sub>**: instantaneous gross photosynthetic rate taken  
80 at solar noon (between 11:00 and 15:00 pm solar time); **GPP<sub>daily</sub>**: mean value of the diurnal  
81 time course of gross photosynthetic rate; **GPP<sub>2000</sub>**, gross primary productivity estimated at  
82 2000 of PAR; **LUE**, light use-efficiency; **LUE<sub>m</sub>** potential or maximum LUE; **MM**,  
83 meteorologically driven model; **MM-VPD**, simplifier model of the original MOD17 that  
84 account for VPD in *f(meteo)*; **MM(SWC-VPD)** meteorologically-driven model that account  
85 for VPD and soil moisture in *f(meteo)*; **MTCI**, MERIS terrestrial-chlorophyll index; **NDVI**,  
86 Normalized difference vegetation index; **NEE**, net ecosystem CO<sub>2</sub> exchange; **PAIg**, Green  
87 Plant Area Index; **PAR**, Photosynthetically active radiation; **ph**, physiologically-related  
88 parameter of RSM referring to either sPRI or Fy760 as a proxy for LUE; **PLRC**,  
89 photosynthetic light response curve; **PRI**, photochemical reflectance index; **R<sub>eco</sub>**, daytime  
90 ecosystem respiration; **RSM**, remote sensing based models; **SIF**, sun-induced chlorophyll  
91 fluorescence; **sPRI**, scaled-photochemical reflectance index; **st**, structurally-related parameter  
92 of RSM referring to either NDVI or MTCI as a proxy for *fAPAR*; **SWC**, soil water content;  
93 **SWC<sub>max</sub>** parameter of the *f(meteo)* term; **VPD**, vapor pressure deficit; **VPD<sub>max</sub>** and **VPD<sub>min</sub>**  
94 are fitting parameters of the *f(meteo)* term; **α** is a parameter describing the photosynthetic  
95 quantum yield; **β** is the parameter that extrapolates to GPP at saturating light condition.  
96

## 97 **1. Introduction**

98 Human-induced nutrient imbalances are affecting essential processes that lead to  
99 important changes in ecosystem structure and functioning (Peñuelas et al., 2013). In spite of  
100 the crucial role of nutrients in regulating plant processes, efforts to describe and predict the  
101 response of photosynthesis to such changes with remote sensing information have been  
102 limited. In the framework of the classical Monteith Light Use Efficiency (LUE) model  
103 (Monteith, 1972), estimates of photosynthesis (hereafter gross primary productivity, GPP) are  
104 based on three key quantities: i) the fraction of photosynthetically active radiation (*fAPAR*)  
105 absorbed by the vegetation, ii) potential LUE (or maximum, LUE<sub>m</sub>), normally taken from  
106 look-up tables and associated with plant functional types (Heinsch et al., 2006) and iii)

107 correction factors related to meteorological conditions that limit  $LUE_m$ . Although Nitrogen  
108 (N) deficiencies have been recognized one of the main correction factors of  $LUE_m$  (Madani et  
109 al., 2014), the predictive capability of LUE models is usually circumspect as they operate  
110 based on the general assumption that plants are under non-limiting nutrient conditions.

111 Very little attention has been given to nutrient-induced effects on  $fAPAR$  and LUE in  
112 common formulations of LUE models. Light absorption by plant is given by chlorophyll  
113 pigments that enable photosynthetic processes. Assuming a correlation between leaf  
114 chlorophyll pigments and leaf N content, note that N atoms are basic components of the  
115 chlorophylls molecular structure, several studies have demonstrated that leaf N content can be  
116 estimated through chlorophyll-related hyperspectral vegetation indices (Baret et al., 2007;  
117 Schlemmer et al., 2013). Among these indices, the MERIS Terrestrial Chlorophyll Index  
118 (MTCI, Dash and Curran, 2004) has been used as a proxy for  $fAPAR$  (Rossini et al., 2010;  
119 Wang et al., 2012). However, leaf N content is functional trait that controls GPP not only  
120 because it scales with chlorophylls but also regulates enzyme kinetic processes driving  
121 photosynthesis and hence the physiological status of the plant (Huang et al., 2004; Walker et  
122 al., 2014). Then, prescribing biome-specific LUE parameters and correcting  $LUE_m$  only for  
123 climatic and environmental conditions may hamper the accurate prediction of GPP (Yuan et  
124 al., 2014). For these reasons, recent literature has called for better physiological descriptors of  
125 the dynamic behavior of LUE (Guanter et al., 2014).

126 The sun-induced chlorophyll fluorescence (SIF) or physiological-related reflectance  
127 indices such as the photochemical reflectance index (PRI) provide a new optical means to  
128 spatially infer LUE (Damm et al., 2010; Guanter et al., 2014; Rossini et al., 2015) and can  
129 provide diagnostic information regarding plant nutrient and water status (Lee et al., 2013;  
130 Pérez-Priego et al., 2005; Suárez et al., 2008; Tremblay et al., 2012). From a physiological  
131 perspective, the efficiency of green plants to transform absorbed light into chemical energy

132 during photosynthesis can be characterized by two main photo-protective mechanisms: i) non-  
133 photochemical quenching that can be detected using the Photochemical Reflectance Index  
134 (PRI), originally proposed by (Gamon et al., 1992) to track changes in the de-epoxidation  
135 state of the xanthophyll cycle pigments, and ii) Chlorophyll fluorescence, the dissipation of  
136 energy that exceeds photosynthetic demand (Krause and Weis, 1984). The PRI has been  
137 directly correlated with LUE (Drolet et al., 2008; Gamon et al., 1997; Nichol et al., 2000;  
138 Peñuelas et al., 2011; Rahman et al., 2004). However, such relation may vary because of the  
139 sensitivity of the PRI to confounding factors like those associated with temporal changes in  
140 the relative fraction of chlorophyll:carotenoids pigment composition (Filella et al., 2009;  
141 Porcar-Castell et al., 2012), viewing angles and vegetation structure (Garbulsky et al., 2011;  
142 Grace et al., 2007; Hall et al., 2008; Hilker et al., 2008).

143         Alternatively, the estimation of SIF by passive remote sensing systems has been  
144 proven feasible in recent years from satellite (Frankenberg et al., 2014; Lee et al., 2013;  
145 Parazoo et al., 2014) to the field (Damm et al., 2010; Guanter et al., 2013; Meroni et al.,  
146 2011), and opens further possibilities to directly track the dynamics of LUE (Damm et al.,  
147 2010; Guanter et al., 2014). Although SIF correlates with LUE, such relations might not be  
148 conservative since chlorophyll fluorescence emission varies among species types (Campbell  
149 et al., 2008) or with stress conditions such as nutrient deficiencies (Huang et al., 2004;  
150 McMurtrey et al., 2003) or drought (Flexas et al., 2002; Pérez-Priego et al., 2005). Likewise  
151 with the PRI, the retrieval of SIF from the apparent reflectance signal is not trivial as long as  
152 it is affected by the vegetation structure or canopy background components (Zarco-Tejada et  
153 al., 2013).

154         Comparable spatial and temporal resolutions of radiometric and ground-based GPP  
155 measurements are essential to accurately optimize LUE model parameters, particularly in  
156 heterogeneous ecosystems. Previous studies have related ecosystem-scale eddy covariance



157 fluxes to radiometric measurements taken in single points to constraint LUE models.  
158 However, the explanatory power of LUE models might be greatly reduced by the spatial  
159 mismatch between radiometric and eddy covariance flux footprints (Gelybó et al., 2013;  
160 Porcar-Castell et al., 2015). Similar issues occur in small-scale factorial experiments where  
161 comparable measurements on an intermediate scale between leaf-scale cuvette measurements  
162 and ecosystem-scale eddy covariance measurements are required. Here, we tried to overcome  
163 such limitations by combining ground-based radiometric and CO<sub>2</sub> fluxes measurements with  
164 similar extension of the measurement footprint using portable spectrometers and canopy  
165 chambers in a nutrient-manipulation experiment.

166 **The main objective of this study was to evaluate whether traditional LUE models driven by**  
167 **meteorological and phenological data (MM) entail a limited assessment of the environmental**  
168 **controls on GPP. More particularly, we evaluated if the effects of varying nutrient availability**  
169 **on GPP estimates as tracked by chlorophyll fluorescence and PRI can be equally explained by**  
170 **meteorology-driven models. To address the main objective we:**

171 a) assess the effect of different nutrient supplies on grassland photosynthesis and optical  
172 properties and their relationships during a phenological cycle, including both growing and  
173 drying periods,

174 b) evaluate the performance of different LUE modeling approaches with varying nutrient  
175 availability and environmental conditions.

## 176 **2. Material and Methods**

### 177 **2.1. Site description and experimental design**

178 A Small scale nutrient Manipulation Experiment (SMANIE) was set up in a  
179 Mediterranean savannah in Spain (39°56'24.68"N, 5°45'50.27"W; Majadas de Tietar, Caceres,

180 Fig. 1). The site is characterized by a mean annual temperature of 16°C, mean annual  
181 precipitation of ca. 700 mm, falling mostly from November until May, and by a very dry  
182 summer. Similar to most Mediterranean grassland, grazing (<0.7 cows ha<sup>-1</sup>) is the main land  
183 use in the site. The site is defined as a typical Mediterranean savanna ecosystem, low density  
184 of oak trees (mostly *Quercus Ilex* (L.), ~20 trees ha<sup>-1</sup>) dominated by a herbaceous stratum.  
185 The experiment itself was restricted to an open grassland area which was not influenced by  
186 tree canopy. The herbaceous stratum is dominated by species of the three main functional  
187 plant forms (grasses, forbs and legumes). The fraction of the three plant forms varied  
188 seasonally according to their phenological status (Table 1). Overall, leaf area measurements  
189 of the herbaceous stratum characterized the growing season phenology as peaking early in  
190 April and achieving senescence by the end of May (Table 1).

191 The experiment consisted of four randomized blocks of about 20 m x 20 m. Each block  
192 was separated into four plots of 9 m x 9 m with a buffer of 2 m in between to avoid boundary  
193 effects. In each block, four treatments were applied (see Fig. 1):

- 194 (a) control treatment (C) with no fertilization;
- 195 (b) Nitrogen addition treatment (+N) with an application of 100 kg N ha<sup>-1</sup> as potassium  
196 nitrate (KNO<sub>3</sub>) and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>);
- 197 (c) Phosphorous addition treatment (+P) with an application of 50 kg P ha<sup>-1</sup> as  
198 monopotassium phosphate (KH<sub>2</sub>PO<sub>4</sub>); and
- 199 (d) N and P addition treatment (+NP), juxtaposing treatments (b) and (c).

200 Each fertilizer was dissolved in water and sprayed on foliage early in the growing season  
201 (March 21<sup>st</sup>, 2014). The same amount of water used in the fertilizer solutions (~ 2 L m<sup>-2</sup>) was  
202 sprayed on the C treatment to avoid water imbalances among treatments.

203            Within each plot, two permanent, non-disturbed parcels (32 in total, see black squares in  
204 Fig 1) were dedicated to monitor CO<sub>2</sub> fluxes (net ecosystem CO<sub>2</sub> exchange, NEE; and  
205 daytime ecosystem respiration, R<sub>eco</sub>). While NEE measurements were performed over the  
206 course of the day (from early in the morning to late afternoon), spectral measurements were  
207 conducted simultaneously with flux measurements only around noon on half of the parcels  
208 (16 in total).

209            Flux and spectral measurements were carried out in four field campaigns:

- 210            • Campaign #1: before fertilization (March 20<sup>th</sup>, 2014),
- 211            • Campaign #2: three weeks after fertilization (April 15<sup>th</sup>, 2014) during the peak  
212            of the growing period,
- 213            • Campaigns #3 and #4: on May 7<sup>th</sup> and 27<sup>th</sup>, 2014, respectively, concurring with  
214            the drying period were performed to evaluate joint effects related to  
215            physiological senescence processes.

216            Ancillary measurements were taken in every field campaign as follows: green plant area index  
217 (PAI<sub>g</sub>) and aboveground biomass were directly measured by harvest in four parcels (0.25m x  
218 0.25m) within each plot in the area surrounding that where spectral and flux measurements  
219 were taken. All samples were refrigerated just after collection, and transported for laboratory  
220 analyses. Fresh samples were separated into functional groups, the sample was scanned and  
221 green plant area was measured using image analysis (WinRHIZO, Regent Instruments Inc.,  
222 Canada). Afterwards, fresh samples were dried in an oven at 65 °C for 48 hours and weighed  
223 to determine dry biomass. To analyze the nutrient content in leaf mass, biomass subsamples  
224 were ground in a ball mill (RETSCH MM200, Retsch, Haan, Germany) and total C and N  
225 concentrations were determined with an elemental analyzer (Vario EL, Elementar, Hanau,  
226 Germany). P concentrations were also measured: 100-mg biomass subsamples were diluted in  
227 3 ml of HNO<sub>3</sub> 65%, (Merck, Darmstadt, Germany) and microwave digested at high pressure

228 (Multiwave, Anton Paar, Graz, Austria; Raessler et al. (2005). Afterwards, elemental analysis  
229 was conducted using inductively coupled plasma - optical emission spectrometry (ICP-OES,  
230 Optima 3300 DV, Perkin Elmer, Norwalk, USA).

231

## 232 **2.2 Flux measurements and Meteorological data**

233 Net CO<sub>2</sub> fluxes were measured with three transparent chambers of a closed dynamic system.  
234 The chambers consisted of a cubic (0.6m x0.6m x0.6 m) transparent low-density polyethylene  
235 structure connected to an infrared gas analyzer (IRGA LI-840, Lincoln, NE, USA), which  
236 measures CO<sub>2</sub> and water vapor mole fractions (W) at 1 Hz. The chambers were equipped with  
237 different sensors to acquire environmental and soil variables, all installed at the chamber  
238 ceiling: Photosynthetically Active Radiation (*PAR*) was measured with a quantum sensor (Li-  
239 190, Li-Cor, Lincoln, NE, USA) placed outside of the chamber to be handled and leveled; air  
240 and vegetation temperatures were measured with a thermistor probe (*T<sub>a</sub>*, type 107, Campbell  
241 Scientific, Logan, Utah, USA) and an infrared thermometer (*T<sub>c</sub>*, IRTS-P, Apogee, UT, USA);  
242 atmospheric pressure (*P*) was measured inside the chamber using a barometric pressure sensor  
243 (CS100, Campbell Scientific, Logan, Utah, USA). The chambers were also equipped with soil  
244 temperature and humidity sensors; soil water content was determined with an impedance soil  
245 moisture probe (Theta Probe ML2x, Delta-T Devices, Cambridge, UK) at 5 cm depth and soil  
246 temperature (type 107, Campbell Scientific, Logan, Utah, USA) at 10 cm depth. **Vapor  
247 pressure deficit (VPD) was computed using *T<sub>c</sub>* and relative humidity, which was derived from  
248 water vapor molar fraction measured with the IRGA.**

249 The chamber operated as a closed dynamic system. A small pump circulates an air flow of 1 L  
250 min<sup>-1</sup> through the sample circuit: air is drawn from inside the chamber - through three porous-  
251 hanging tubes spatially distributed through the chamber headspace - to the infrared gas  
252 analyzer; this air flow is then returned to the chamber. The hanging tubes allowed spatially

253 distributed sampling, obviating the need to homogenize air during chamber deployment.  
254 Nevertheless, one small fan (12V, 0.14A) was fixed at 0.3 m on a floor corner of the chamber  
255 and angled 45° upward.

256 A 0.6x0.6m metal collar was installed in each permanent parcel of each plot. The collar  
257 provided a flat surface onto which the bottom of the chamber was placed. The chamber was  
258 open and ventilated during 1 min prior to measurement, so that initial air composition and  
259 temperature in the confined environment of the chamber represented natural atmospheric  
260 conditions (as much NEE as  $R_{eco}$ ). For the NEE measurement, the transparent chamber was  
261 placed on the collar (closed position, lasted 3 minutes as a general rule), and fluxes were  
262 calculated from the rate of change of the CO<sub>2</sub> molar fraction (referenced to dry air) within the  
263 chamber. Similar procedure was carried out for  $R_{eco}$  but using an opaque blanket that covered  
264 the entire chamber and kept it dark during the measurements (PAR values around 0). Fluxes  
265 were calculated according to Pérez-Priego et al. (2015).

266 Shortly, the flux calculation algorithm reduces flux uncertainties (i.e. NEE and  $R_{eco}$ ) by  
267 including the change-point detection method to determine the stabilization time, which  
268 defines the initial slope of the regressions, and a bootstrap resampling-based method to  
269 improve confidence in regression parameters and to optimize the number of data points used  
270 for flux calculation. In addition, a statistical analysis of residuals was performed to  
271 automatically detect the best fit among alternative regressions (i.e. quadratic, hyperbolic  
272 tangent saturating function, exponential, linear). These analyses were implemented in a self-  
273 developed R Package (available upon authors request or at the following link [http://r-forge.r-](http://r-forge.r-project.org/projects/respchamberproc/)  
274 [project.org/projects/respchamberproc/](http://r-forge.r-project.org/projects/respchamberproc/)). NEE and  $R_{eco}$  measurements were taken over the  
275 course of the day (from sunrise to sunset) for each field campaign. Chamber disturbance  
276 effects and correction for systematic and random errors (i.e. leakage, water dilution and gas

277 density correction, and light attenuation by the chamber wall) were applied according to  
278 Perez-Priego et al., (2015).

279

### 280 **2.3 Field spectral measurements**

281 Midday spectral measurements at canopy level were carried out under clear sky conditions  
282 using two portable spectrometers (HR4000, OceanOptics, USA) characterized by different  
283 spectral resolutions. Spectrometer 1, characterized by a Full Width at Half Maximum  
284 (FWHM) of 0.1 nm and a 700-800 nm spectral range was specifically designed for the  
285 estimation of sun-induced chlorophyll fluorescence at the O<sub>2</sub>-A band (760 nm). Spectrometer  
286 2 (FWHM = 1 nm, 400 - 1000 nm spectral range) was used for the computation of reflectance  
287 and vegetation indices. Spectrometers were housed in a thermally regulated Peltier box,  
288 keeping the internal temperature at 25°C in order to reduce dark current drift. The  
289 spectrometers were spectrally calibrated with a source of known characteristics (CAL-2000  
290 mercury argon lamp, OceanOptics, USA) while the radiometric calibration was inferred from  
291 cross-calibration measurements performed with a calibrated FieldSpec FR Pro spectrometer  
292 (ASD, USA). This spectrometer was calibrated by the manufacturer with yearly frequency.

293 Incident solar irradiance was measured by nadir observations of a leveled calibrated standard  
294 reflectance panel (Spectralon; LabSphere, USA). Measurements were acquired using bare  
295 fiber optics with an angular field of view of 25°. The average canopy plane was observed  
296 from nadir at a distance of 110 cm (43 cm diameter field of view) allowing for collecting  
297 measurements of 50% of the surface area covered by the chamber measurements. The manual  
298 rotation of a mast mounted horizontally on the tripod allowed sequential observation of the  
299 vegetated target and the white reference calibrated panel. More in detail, every acquisition  
300 session consisted in the consecutive collection of the following spectra: instrument dark

301 current, radiance of the white reference panel, canopy radiance and radiance of the white  
302 reference panel. The radiance of the reference panel at the time of the canopy measurement  
303 was then estimated by linear interpolation.

304 For every acquisition, 3 and 10 scans (for Spectrometers 1 and 2, respectively) were averaged  
305 and stored as a single file. Five measurements were collected for each plot. Spectral data were  
306 acquired with dedicated software (Meroni and Colombo, 2009) and processed with a  
307 specifically developed IDL (ITTVIS IDL 7.1.1) application. This application allowed the  
308 basic processing steps of raw data necessary for the computation of the hemispherical conical  
309 reflectance factor described by Meroni et al. (2011).

310 The following indices were selected as suitable to investigate long term nutrient-mediated  
311 effects on photosynthesis. The NDVI (Rouse et al., 1974) was selected because it correlates  
312 well with plant area and among traditional spectral vegetation indices is used worldwide by  
313 classical LUE models as a surrogate for  $fAPAR$  (Di Bella et al., 2004). The MTCI (Dash and  
314 Curran, 2004) was selected because it was specifically designed for canopy chlorophyll  
315 content estimation, and recently used as proxy for  $fAPAR$  as well as NDVI. In this study we  
316 used the PRI and SIF as surrogates for LUE. A scaled PRI (sPRI) calculated as  $(PRI+1)/2$  was  
317 used. SIF was estimated by exploiting the spectral fitting method described in Meroni et al.  
318 (2010), assuming linear variation of the reflectance and fluorescence in the O<sub>2</sub>-A absorption  
319 band region. The spectral interval used for SIF estimation was set to 759.00 - 767.76 nm for a  
320 total of 439 spectral channels used. For methodological distinction among existing  
321 approaches, hereafter SIF is referred to as F760. Because F760 is affected by PAR we use the  
322 apparent chlorophyll fluorescence yield ( $Fy760$ ; Rossini et al., 2010) computed as the ratio  
323 between F760 and the incident radiance in a nearby spectral region. A summary of the

324 formulation to compute the vegetation indices and their corresponding target and proxy in the  
325 LUE model approach are presented in Table 2.

## 326 **2.4 Relationship between GPP and remote sensing data**

327 Ecosystem-level GPP was computed as the difference between NEE and daytime  $R_{\text{eco}}$  taken  
328 consecutively with the chambers. To assess how GPP is modulated by light among treatments  
329 and over the phenological cycle of the herbaceous stratum, we computed the parameters of  
330 photosynthetic light response curve (PLRC). Specifically, the Michaelis–Menten function was  
331 fitted to GPP and PAR data taken throughout the course of the day (from sunrise until sunset)  
332 for each field campaign and treatment as follows:

$$333 \quad GPP_i = \frac{\alpha \times \beta \times PAR_i}{\beta + PAR_i \times \alpha}, \quad [1]$$

334 where  $\alpha$  is a parameter describing the photosynthetic quantum yield ( $\mu\text{mol CO}_2 \mu\text{mol photons}^{-1}$ )  
335  $^1$ ), and  $\beta$  is the parameter that extrapolates to GPP at saturating light condition ( $\mu\text{mol CO}_2 \text{m}^{-2}$   
336  $\text{s}^{-1}$ ). According to Ruimy et al. (1994), we used the optimized parameters of the PLRC as  
337 defined in Eq. (1) to estimate the GPP at 2000  $\mu\text{mol quantum m}^{-2} \text{s}^{-1}$  of PAR (hereafter  
338 referred to  $GPP_{2000}$ ).

339 We evaluated direct relationships between those GPP measurements taken around noon  
340 (between 11:00 and 15:00 pm solar time) with the chamber ( $GPP_{\text{noon}}$ ) and sequentially  
341 measurements of Fy760 and spectral indices (NDVI, sPRI, MTCI). In addition, to avoid  
342 confounding factors in the relationship between Fy760 and sPRI and photosynthesis, we also  
343 used  $GPP_{2000}$  as a maximum photosynthetic capacity descriptor.

## 344 **2.5 Monteith's light-use efficiency modelling approaches**

345 Following Monteith's LUE framework (Eq. 2) two alternative modeling approaches were  
346 used:



347 
$$GPP = LUE \times fAPAR \times PAR, \quad [2]$$

348 i. **Meteo-driven methods (MM)**; based on the MOD17 formulation,  $fAPAR$  is  
 349 approached through the relationship with NDVI and includes limiting functions  
 350  $f(meteo)$ , which are based on climatic driving parameters to limit maximum LUE  
 351 ( $LUE_{max}$ ). Alternatively, Eq. (2) was reformulated as follows:

352 
$$GPP = LUE_{max} \times f(meteo) \times (a_0 \times NDVI + a_1) \times PAR, \quad [3]$$

353 where  $LUE_{max}$ ,  $a_0$ , and  $a_1$  are model parameters. Three different  $f(meteo)$  functions  
 354 were tried;

355 a) **MM-VPD**, this method is a simplification of the original MOD17, in which  
 356  $f(meteo)$  includes two linear ramp functions of both maximum and minimum vapour  
 357 pressure deficit (VPD) and minimum temperature (T). Since minimum temperature  
 358 was not limiting at the site, we fixed the  $f(meteo)$  parameters as suggested by Heinsch  
 359 et al. (2006) but constraining only a function based on VPD as follows:

360 
$$f(meteo) = \left[ 1 - \left( \frac{VPD - VPD_{min}}{VPD_{max} - VPD_{min}} \right) \right], \quad [4]$$

361 then,  $VPD_{max}$  and  $VPD_{min}$  are defined as the three parameters of the  $f(meteo)$  term.

362 b) **MM-SWC**, where  $f(meteo)$  includes a soil water content (SWC) function  
 363 (Migliavacca et al., 2011) as the limiting factor of  $LUE_{max}$ :

364 
$$f(meteo) = \frac{1}{1 + \exp(SWC_{max} - a \times SWC)}, \quad [5]$$

365 here,  $SWC_{max}$  and  $a$  are defined as the parameters of the  $f(meteo)$  term.

366 c) **MM (SWC-VPD)**, where  $f(meteo)$  includes both soil water content and VPD  
 367 functions as limiting factors:

368 
$$f(meteo) = \left[ 1 - \left( \frac{VPD - VPD_{min}}{VPD_{max} - VPD_{min}} \right) \right] \times \left[ \frac{1}{1 + \exp(SWC_{max} - a \times SWC)} \right], \quad [6]$$

369 here,  $VPD_{max}$ ,  $VPD_{min}$ ,  $SWC_{max}$  and  $a$  are defined as the parameters of the  $f(meteo)$   
370 term.

371 ii. **RS-based method (RSM)**; based on a solution of Eq.(1) as follows:

$$GPP = LUE \times fPAR \times PAR = (a_0 \times Ph + a_1) \times (a_2 \times St + a_3) \times PAR$$

$$372 = (b_0 \times Ph + b_1 \times St + b_2 \times Ph \times St + b_3) \times PAR , \quad [7]$$

373 where four alternative model formulations were obtained from the combination of the sPRI or  
374 Fy760 as the physiological related proxy ( $Ph$ ) for LUE, and NDVI or MTCI as structural-  
375 related ( $St$ ) proxy for  $fAPAR$ . In Eq. 7,  $b_0$ ,  $b_1$ ,  $b_2$ , and  $b_3$  are fitting parameters (Rossini et al.,  
376 2010).

## 377 **2.5 Statistical analysis and model performance**

378 All model formulations were optimized using  $GPP_{noon}$  and spectral measurements  
379 taken at midday. Since the means of spectral measurements per treatment could have unequal  
380 variance, a Welch's t-test was performed to evaluate significant differences between the mean  
381 values of the different vegetation indices for each treatment and over the four field campaigns.  
382 In addition, an analysis of covariance (ANCOVA) was used to test whether or not there was a  
383 significant interaction by the treatment effect between  $GPP_{noon}$  and Fy760 and different  
384 spectral indices. Like vegetation indices, a t-test was performed to the daily average of GPP  
385 taken over the course of the day ( $GPP_{daily}$ ).

386

### 387 **2.5.1 Cross-validation analyses and model evaluation**

388 Different model formulations were evaluated in leave-one-out (loo) cross-validation: from the  
389 whole dataset composed by  $n$  observations, one data point at a time was removed. The model  
390 was fitted against the  $n-1$  remaining data points (training set) while the excluded data

391 (validation set) were used for model evaluation. The cross-validation process was then  
392 repeated  $n$  times, with each of the  $n$  observations used exactly once as the validation set. For  
393 each validation set of the cross-validated model, statistics were calculated.

394 Model accuracy was evaluated by means of different statistics according to Janssen and  
395 Heuberger (1995): root mean square error (RMSE), relative root mean square error (rRMSE)  
396 determination coefficient ( $r^2$ ) and model efficiency (ME). The model performances in loo  
397 cross-validation were also calculated and reported as  $RMSE_{cv}$ ,  $rRMSE_{cv}$ ,  $r^2_{cv}$  and  $ME_{cv}$ .

398 The Akaike Information Criterion ( $AIC_{cv}$ ) was used to evaluate the trade-off between model  
399 complexity (i.e. number of parameters) and explanatory power (i.e. goodness-of-fit) of the  
400 different model formulations proposed. The  $AIC_{cv}$  is a method based on information theory  
401 that is useful for statistical and empirical model selection purposes (Akaike, 1998). Following  
402 Anderson et al. (2000), in this analysis we used the following definition of  $AIC_{cv}$ :

$$403 \quad AIC_{cv} = 2(\rho + 1) + n \left[ \ln \left( \frac{RSS_{cv}}{n} \right) \right] \quad [8]$$

404

405 where  $n$  is the number of samples (i.e. observations),  $p$  is the number of model parameters and  
406  $RSS_{cv}$  is the residual sum of squares divided by  $n$ .

407 The LUE model formulations proposed in Section 2.4 can be ranked according to  $AIC_{cv}$ ,  
408 where the model with lowest  $AIC_{cv}$  is considered the best among the different model  
409 formulations.

410 All model parameters (MM, and RSM) were estimated by using a Gauss-Newton nonlinear  
411 least square optimization method (Bates and Watts, 2008), and standard errors of parameters  
412 were estimated by bootstrapping (number of sampling,  $n = 500$ ; Efron and Tibshirani (1994)),  
413 both implemented in the R standard package (R version 3.0.2, R Development Core Team,  
414 2011).

415

### 416 3. Results

#### 417 3.1 Effects of fertilization on plant nutrient contents and GPP

418 Fertilization caused strong variations in leaf N and P content among treatments, plant  
419 forms and across field campaigns (Table 2); while total N content in plants ranged slightly  
420 between  $13.8 \pm 1.2$  and  $15.4 \pm 1.7$   $\text{mg g}^{-1}$  for the C and +P treatments over the whole  
421 experiment, the largest increases in total N content were found in the peak of the growing  
422 season (#2, March 20<sup>th</sup>, 2014), when +NP and +N treatments reached values of up to  $23.7 \pm 2.0$   
423 and  $23.5 \pm 4.1$   $\text{mg g}^{-1}$ , respectively. Although slightly lower, the differences in total N content  
424 between C and +P, and +NP and +N remained high over the drying period. Total P content  
425 was higher in +NP and +P treatments after fertilization, as compared to +N and C treatments.  
426 Consequently, the N:P ratio at the first campaign after fertilization (#2) achieved values of up  
427 to 14.2, 6.6, 6, and 3.7, in +N, C, +NP, and +P treatments, respectively. Similar differences in  
428 N:P between treatments were also observed during the drying period (#3 and #4, Table 2). On  
429 the other hand,  $\text{PAI}_g$  ranged from  $0.4 \text{ m}^2 \text{ m}^{-2}$  in campaign #4 to up to  $2.5 \text{ m}^2 \text{ m}^{-2}$  in campaign  
430 #2. No differences were found in  $\text{PAI}_g$  among treatments since grazing apparently offset any  
431 potential difference in the green aboveground production. **Regarding variations in the fraction  
432 of plant forms, no significant differences were found between treatments.**

433 Fertilization caused significant differences in the  $\text{GPP}_{\text{daily}}$  ( $p < 0.05$ ) between N-addition  
434 treatments (mean values of  $19.62 \pm 4.15$  and  $18.19 \pm 5.67$   $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for +N and +NP,  
435 respectively) and C and +P treatments ( $14.31 \pm 5.39$  and  $14.40 \pm 4.09$   $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
436 respectively) in the peak of the growing season (campaign #2); a relative difference of 37% in  
437  $\text{GPP}_{\text{daily}}$  values was found between +N and +NP and C treatments. During the drying period,  
438 however, GPP was substantially down regulated (campaigns #3 and #4) and no significant  
439 differences were found in  $\text{GPP}_{\text{daily}}$ , regardless of differences in plant N content observed

440 among treatments. The potential photosynthetic capacity  $GPP_{2000}$  (Fig 2) derived from PLRC  
441 was similar in the four treatments in the pretreatment period (campaign #1, Fig 2a).  $GPP_{2000}$   
442 varied throughout the season and peaked in the campaign #2 (April 15<sup>th</sup>) in all treatments. At  
443 this time PLRC of the +N and +NP treatments diverged clearly from no N addition treatments  
444 (C and +P, Fig 2b).  $GPP_{2000}$  was higher in +N and +NP treatments ( $18.6$  and  $20.1 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
445 respectively) compared to C and +P treatments ( $14.9$  and  $15.4 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
446 respectively). After campaign #2, when the soil layer at 5 cm depth dried out appreciably  
447 (volumetric water content achieved values of 3% vol., data not shown), vegetation  
448 progressively senesced and  $GPP_{2000}$  in turn was down-regulated and converged to similar  
449 values in all treatments, regardless the higher N content observed in +N and +NP treatments  
450 as compared with C and +P treatments (Table 1). During the drying season,  $GPP_{2000}$  decreased  
451 in all treatments ranging between  $5.6$  and  $8 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and no differences among  
452 treatments was observed (Fig 2 c and d). These results indicate that the senescence of the  
453 herbaceous stratum, which is regulated by water availability, strongly modulated the  
454 photosynthetic capacity of the vegetation over the season.

455

### 456 3.2 – Effects of fertilization on remote sensing data

457 Optical properties of the analyzed plots were similar during campaign #1, before the  
458 nutrient application. A pronounced seasonal time course was observed for both *Ph* (sPRI and  
459 *Fy760*) and structural indices (*St*; NDVI and MTCI) with maximum values during the second  
460 campaign. It is interesting to note that while for *St* indices the maximum values were reached  
461 in +N plots, +NP plots showed maximum *Ph* values. Vegetation indices and *Fy760* then  
462 decreased in the drying period (Figure 3). As for GPP, differences between treatments were  
463 more evident during campaign #2 when C plots showed statistically lower values for all the  
464 indices considered, while only MTCI was able to detect significant differences between N

465 fertilized plots (+N and +NP). Furthermore significant differences in Fy760 and MTCI  
466 between C and the other three treatments were found ( $p<0.05$ ) in the drying period (campaign  
467 #4). NDVI varied significantly with changes in PAI<sub>g</sub> with values of 0.4 in the campaign #4  
468 up to 0.8 in the campaign #2 ( $p<0.001$ ,  $r^2=0.79$ ).

469

### 470 3.3 Relationship between remote sensing data and GPP

471 While *Ph* indices (Fy760 and sPRI) varied linearly with GPP<sub>noon</sub> in all treatments  
472 ( $p<0.001$ ,  $r^2=0.66$  for Fy760 and  $p<0.001$ ,  $r^2=0.79$  for sPRI, respectively, Fig 4 a and b),  
473 different patterns were observed for *St*: NDVI and GPP were best fitted by an exponential  
474 regression ( $p<0.001$ ,  $r^2=0.77$  Fig 4 c), while a weak linear relationship between MTCI and  
475 GPP<sub>noon</sub> ( $p<0.05$ ,  $r^2=0.45$ , Fig 4 d) was found. Although a weak relation between MTCI and  
476 GPP<sub>noon</sub> was found, MTCI was strongly correlated with plant N content ( $y=14.17x-2.49$ ,  
477  $p<0.001$ ,  $r^2=0.86$ ). Note that these results are computed excluding data taken in the pre-  
478 treatment campaign (#1) and differences in the relationship between remote sensing data and  
479 GPP<sub>noon</sub> among treatments can be only attributed to nutrient-induced effects. The ANCOVA  
480 test did not show significant differences neither in slope nor intercept of the relationship  
481 between GPP<sub>noon</sub> and sPRI, and NDVI across treatments. **However, barely significant**  
482 **differences were found in the relationship between GPP<sub>noon</sub> and Fy760 ( $p<0.1$ , Fig 4b) and**  
483 **significant between GPP<sub>noon</sub> and MTCI ( $p<0.01$ , Fig 4d) between N addition treatments (+N**  
484 **and +NP) and C treatments (C and +P).**

485 Similar to GPP<sub>noon</sub>, GPP<sub>2000</sub> was also significantly related to mean midday sPRI  
486 ( $r^2=0.76$ ,  $p<0.001$ , Fig. 5a) and Fy760 ( $r^2=0.76$ ,  $p<0.001$ , Fig. 5b). As expected, an  
487 exponential regression fitted best for NDVI, while a poor relationship with MTCI was found  
488 (data not shown).

489

### 490 **3.4 Modeling GPP**

491 Based on the  $AIC_{cv}$  criterion, MM (VPD- SWC) outperformed MM-VPD, MM-SWC  
492 and RSM models. Although MM (VPD-SWC) showed high accuracy in the predictions  
493 ( $ME_{cv}=0.879$ ,  $r^2_{cv}=0.881$ ), this model had a tendency to underestimate observation at high  
494  $GPP_{noon}$  values (see comparison between model predictions and observations, Figures 6a-6c).  
495 Note that the highest biases in modeled  $GPP_{noon}$  values among MM models belong to +N and  
496 +NP treatments in field campaign #2. Since the four treatments experienced the same  
497 environmental conditions (i.e. comparable values of SWC, VPD, air temperature), this bias  
498 can be attributed to the higher N content (+N and +NP treatments) as compared to C and +P  
499 treatments. Remarkably, residuals of the MM (VPD-SWC) taken from periods with moist soil  
500 ( $SWC>15$ ) were significantly correlated with sPRI and Fy760 ( $p<0.05$ , Fig. 7 a and b,  
501 respectively). However, no biases between residuals and predictions were observed in RSM  
502 over the span of values and treatments (Fig. 8). Results from the evaluation of model  
503 performance indicated that RSM performs best when NDVI rather than MTCI, is used as  $St$  in  
504 the Eq.7 and, hence, as a proxy for  $fAPAR$  (Table 3). Our results indicated that RSM  
505 performs best when either  $Ph$  (sPRI or Fy760) is combined with NDVI as  $St$ .

506

## 507 **4. Discussion**

### 508 **4.1 Effects of nutrients on GPP and remote sensing data and their relationships**

509 Nutrient fertilization, particularly N inputs, induced physiological changes manifested as an  
510 increase in photosynthetic capacity **under high light conditions** (Fig. 2; Hirose and Werger  
511 (1994). As we expected, plant N content showed to be a trait of photosynthesis that influences  
512 a variety of aspects of photosynthetic physiology (Ciompi et al., 1996; Sugiharto et al., 1990).

513 These physiological changes were reflected on the optical properties, particularly on  
514 fluorescence and sPRI. The increase in fluorescence with N fertilization inputs was recently  
515 explained as the combined effect that a higher N content has on 1) chlorophyll content, which  
516 magnifies APAR and enhances fluorescence signal, and on 2) **the increased photosynthetic**  
517 **capacity that results in reduced NPQ activity and consequently increases the fluorescence**  
518 **signal** (Cendrero-Mateo et al., 2015). The relationships between  $GPP_{noon}$  and Fy760 is not  
519 unique and may vary from optimal to non-optimal environmental conditions (i.e. nutrient  
520 deficiencies, water stress), when other regulatory mechanisms might reduce the degree of  
521 coupling between fluorescence and photosynthesis (Cendrero-Mateo et al., 2015; Porcar-  
522 Castell et al., 2012). Although Fy760 was positively correlated with  $GPP_{noon}$ , barely  
523 significant differences in the slope of this relationship were observed between treatments (Fig.  
524 4 b). Further studies are needed to fully explore the relationship between Fy760 and  $GPP_{noon}$   
525 under different stress conditions and over different ecosystems. However, if confirmed, the  
526 effect of nutrient availability on the relationship between Fy760 and  $GPP_{noon}$  could have  
527 important implications in GPP modeling. This result suggests that the inclusion of a  
528 correction factor related to leaves N:P stoichiometry should be considered when modeling  
529 GPP assuming a linear relationship with fluorescence at plant functional type level (Guanter  
530 et al., 2014; Joiner et al., 2013).

531 In this study we also explored the capability of remote sensing to describe ecosystem  
532 functional properties defined as those quantities that summarize and integrate ecosystem  
533 processes and responses to environmental conditions and can be retrieved from ecosystem  
534 level fluxes (e.g.  $GPP_{2000}$ ) and structural measurements (Reichstein et al., 2014). GPP at light  
535 saturation (i.e.  $GPP_{2000}$ ) is one example of an ecosystem functional property, shown here to be  
536 quite correlated to sPRI and Fy760 (Fig. 5). This result suggests that sPRI and Fy760 open  
537 also new opportunities for remote sensing products to describe the spatiotemporal variability



538 of essential descriptors of ecosystem functioning (Musavi et al., 2015). Inferring  $GPP_{2000}$   
539 using remote-sensing has important implication both for monitoring global carbon cycle and  
540 for benchmarking terrestrial biosphere models.

541 MTCI was tightly related with N content ( $r^2=0.86$ ,  $p<0.001$ ), independent of other structural  
542 variables (i.e.  $PAI_g$ ), and can be used as a good indicator of N availability. Although MTCI  
543 has been proven to be very sensitive to variations in chlorophyll contents (Dash and Curran,  
544 2004) and hence linkable with light absorption processes, it was weakly correlated with GPP,  
545 particularly in plots added with N (+N and +NP;  $r^2=0.27$ ,  $p<0.01$ , Fig 4 d). A quite wide range  
546 of  $GPP_{noon}$  values were found at high values of MTCI – high  $GPP_{noon}$  values corresponding to  
547 the growing season and low ones to the drying period – which can be explained by two  
548 simultaneous mechanisms.

549 First, despite the high plant N content, physiological mechanisms including stomatal control  
550 or reduced carboxylation efficiency down-regulate GPP (Huang et al., 2004) and ultimately  
551 might break the relationship between  $GPP_{noon}$  and MTCI. Second, MTCI tracks changes in N  
552 content regardless changes in canopy structure occurring during the dry season when grass  
553 achieved senescence (i.e. green to dry biomass ratio,  $PAI_g$ ). More studies aimed at the  
554 separation of the combined effects of N and changes in green/dry biomass fractions on  
555  $fAPAR$  are essential. On the other hand, although NDVI followed the seasonal dynamic of  
556  $PAI_g$ , it saturated at high  $GPP_{noon}$  values indicating the low ability of this index to detect  
557 spatial variations induced by N fertilization.

558 Although optical measurements were taken at high spatial resolution ( $<0.36\text{ m}^2$ ), the  
559 separation of confounding factors affecting sPRI or Fy760 is essential to elucidate the  
560 mechanistic association between sPRI or Fy760 and GPP. Like sPRI, the retrieval of Fy760  
561 from the apparent reflectance signal can be also affected by vegetation structure or canopy

562 background components (Zarco-Tejada et al., 2013). After optimization and selection of the  
563 best model parameters using NDVI and sPRI (or Fy760) as driver, we analyzed the response  
564 of simulated GPP to variations in NDVI and sPRI (or Fy760, Fig 9). Results indicate that at  
565 high GPP levels, Fy760 and sPRI but less NDVI shaped GPP. However, at low GPP levels,  
566 either Fy760 or sPRI responded to GPP on a small scale (Fig 9b). Figure 9 suggests that the  
567 relationship between NDVI and sPRI or Fy760 is not unique and NDVI may play an  
568 important role in driving GPP in ecosystem characterized by marked seasonal variations. Our  
569 results highlight the complementarity between NDVI and Fy760 or sPRI. Particularly, NDVI  
570 assisted Fy760 or sPRI in predicting GPP under conditions with low biomass (i.e. low LAI),  
571 when confounding factors may affect Fy760 or sPRI. In semi-arid ecosystems, the lack of  
572 sensitivity of sPRI or Fy760 to changes in GPP during dry conditions have been explained by  
573 the soil background effect on the reflectance signal (Barton and North, 2001; Mänd et al.,  
574 2010; Zarco-Tejada et al., 2013). Accordingly, Rahman et al., (2004) pointed out that  
575 conditions where sPRI performs best are in dense canopies with low portion of bare soil.

576

#### 577 **4.2 Performances of different LUE modeling approaches.**

578 Here we aim at answering the question how can we better simulate GPP using LUE modeling  
579 with varying nutrient availability and environmental conditions by drawing comparisons  
580 between the two model philosophies; RSM against MM approaches. There are an increasing  
581 number of studies focused on the development of LUE models driven by remotely sensed  
582 information to better explain spatio-temporal variations of GPP (Gitelson et al., 2014; Rossini  
583 et al., 2012; Rossini et al., 2014). However, nutrient availability (and in particular N) greatly  
584 influence the spatial variability of LUE even within the same plant-functional type (e.g.  
585 grasslands) and further studies are essential. The slightly better performance in cross  
586 validation of the MM (VPD-SWC) against all model configurations, including RSM, supports

587 the importance of a joint use of SWC and VPD as key parameters to constraint LUE in arid  
588 and semi-arid ecosystems (Prince and Goward, 1995). However, residual analyses  
589 demonstrated that MM (VPD-SWC) was unable to track N-induced differences in GPP during  
590 the growing period, when both parameters are not limiting (Fig. 7). By contrast, accurate  
591 estimates of GPP were obtained with RSM both over the drying and the growing periods.  
592 These results also indicate the importance of physiological descriptors to constrain LUE,  
593 which prevails over structural factors controlling  $fAPAR$  (i.e. green biomass) under given  
594 environmental conditions and encourage the use of hyperspectral remote sensing for  
595 diagnostic upscaling of GPP.

596 With sPRI or Fy760 as a proxy for LUE, RSM is presented as a valuable means to diagnose  
597 N-induced effects on physiology. Our results show the limits of MM in predicting the spatial  
598 and temporal variability of GPP when LUE is not controlled by meteorological drivers alone  
599 (VPD, temperature, soil moisture). Accordingly, GPP is eventually biased whenever neither  
600 climatic nor structural state variables explicitly reveal spatial changes in the LUE parameter  
601 associated with plant nutrient availability; residuals showed a clear tendency to underestimate  
602 the highest modeled GPP values, significantly correlated to Fy760 and sPRI (Fig.7). **From a  
603 practical point of view, the forcing variables of RSM approaches may show a better  
604 observational coverage. In effect, the satellite-based retrievals of RSM forcing variables could  
605 additionally overcome representativeness limitations and potential regional or seasonal biases  
606 in meteorological fields (Dee et al., 2011). The uncertainties in forcing variables of MM (i.e.  
607 temperature, VPD and soil moisture) could propagate and affects the GPP estimates.**

608

609 **5. Concluding remarks**

- 610 1. Fy760 and sPRI correlated well with GPP: both increased with N content and  
611 decreased with senescence.
- 612 2. MTCI can be used as a good descriptor of N content in plants but the  
613 relationship with GPP breaks down under drought conditions.
- 614 3. Meteo-driven models were able to describe temporal variations in GPP, and  
615 soil moisture can be a key parameter to better track the seasonal dynamics of  
616 LUE in arid environments. However, meteo-driven models were unable to  
617 describe N-induced effects on GPP. Important implication can be derived from  
618 these results and uncertainties in the prediction of global GPP still remain  
619 when meteo-driven models do not account for plant nutrient availability.
- 620 4. sPRI or Fy760 provide valuable means to diagnose nutrient-induced effects on  
621 the photosynthetic activity and, therefore, should be included in diagnostic  
622 GPP models.

623

624 **Author contribution**

625 OPP, MM, and MRo conceived the analyses, wrote the introduction, results and discussion,  
626 and led the preparation and revision of the manuscript; FF, TJ made hyperspectral  
627 measurements, computed spectral indices and fluorescence, and wrote part of the methods  
628 section; JH, MS and OPP made chamber measurements, soil and vegetation lab analysis and  
629 wrote part of the methods section; JH organized the dataset; OK provided technical assistance  
630 in the design and construction of the chambers and data acquisition system and wrote part of  
631 the methods section; GM and AC designed the fertilization protocol, organized sampling,  
632 provided technical assistance for the managing of the experiment and contributed to data  
633 interpretation; TW and OPP developed the R package for flux calculations, computed GPP  
634 and flux uncertainties and contributed to statistical analyses and interpretation. NC and MRe  
635 contributed to analyses and interpretation and to draft the manuscript. All authors discussed  
636 the results and contributed to the manuscript.

637

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648 **Figure Captions**

649

650 **Fig 1.** Overview of the experimental site (SMANIE): the experimental blocks are drawn on an  
651 image acquired with the hyperspectral AHS (Sensytech Inc., Beverly, MA, USA) sensor  
652 during April 2014.

653

654 **Fig 2.** Photosynthetic light response curves derived for each growing period: (a) pretreatment  
655 and (b) post-treatment and drying periods (c and d). Treatments are presented in different  
656 colors. Lines represent the Michaelis–Menten function fitting gross photosynthesis  
657 ( $GPP, \mu\text{molCO}_2\text{m}^{-2}\text{s}^{-1}$ ) and photosynthetic active radiation ( $PAR, \mu\text{molm}^{-2}\text{s}^{-1}$ ).  
658

659 **Fig 3.** Seasonal time course of mean midday physiologically-driven vegetation indices; (a)  
660 scale photochemical reflectance index, sPRI (b) apparent fluorescence yield (Fy760), and  
661 structure-driven vegetation indices, (c) NDVI, and (d) MTCI among C, +N, +NP and +P  
662 treatments in a Mediterranean grassland in Spain. Bars indicate standard deviation,  $N = 4$ .  
663 Different letters denote significant difference between treatments (Weilch t test,  $P < 0.05$ ).

664

665 **Fig 4.** Relationship between  $GPP_{\text{noon}}$  and remote sensing data: (a) scaled photochemical  
666 reflectance index (sPRI), (b) apparent fluorescence yield, (c) normalized difference vegetation  
667 index (NDVI), and (d) MTCI. Square symbols represent measurements taken in the pre-  
668 treatment (#1) and circles after fertilization (#2–#4). Data were obtained at midday and lines  
669 represent results from the regressions for each treatment excluding measurements in the pre-  
670 treatment.

671

672 **Fig 5.** Relationship between  $GPP_{2000}$  and average values of sPRI and (b) apparent  
673 fluorescence yield (Fy760). Lines represent results the best linear regressions fitting the data.

674

675 **Fig 6.** Comparison between measured GPP and GPP modeled with the best performing LUE  
676 model for each kind of formulation: MM (VPD, panel a), MM (SWC, panel b), MM  
677 (including VPD and SWC, panel c), RSM (sPRI-NDVI panel d), and RSM (Fy760-NDVI,  
678 panel e). Results from the cross-validation analysis are presented in Table 3.

679

680 **Fig 7.** Correlation between residuals of the MM (VPD-SWC) model and (a) scaled  
681 photochemical reflectance index (sPRI) and (b) chlorophyll fluorescence yield (Fy760) taken  
682 from periods with high soil water content ( $SWC > 15\%$ , red circles). No correlation was  
683 observed when  $SWC < 15\%$  ( $p > 0.5$ , black circles).

684

685 **Fig 8.** Plot between residuals of both the Meteo-driven model (MM-VPD) and Remote  
686 Sensing-based method (RSM) and modeled GPP values. Both lines represent the local  
687 polynomial regression fitting of the residuals against predicted values.

688

689 **Fig 9.** Contour plot indicating how variation in photosynthesis ( $GPP, \mu\text{mol CO}_2 \text{m}^{-2} \text{s}^{-1}$ ) are  
690 explained by variations in the LUE and fPAR parameters of the RSM. While (a) sPRI and (b)  
691 Fy760 are indistinctly used as a proxy of LUE, the NDVI is taken as fPAR.

692

693

694 **Table Captions**

695

696 **Table 1.** Ancillary data resulting from the analysis. Green Plant Area Index (PAI<sub>g</sub>), fraction  
697 of PAI in different plant forms (fPAI), and C, N, and P plant content. The N:P ratio also is  
698 shown. Data correspond to the mean value and standard deviation (SD) of the subsamples  
699 taken in each plot and treatment.

700  
701 **Table 2.** Spectral vegetation indices computed in this study. Vegetation indices are classified  
702 into two major classes based on their suitability in inferring fAPAR (structural related  
703 indices) and LUE (physiologically-related indices) parameters. R denotes the reflectance at  
704 the specified wavelength (nm). NDVI: normalized difference vegetation index; MTCI:  
705 MERIS terrestrial chlorophyll index; NDI: normalized difference index; sPRI: scaled  
706 Photochemical Reflectance Index; Fy760: apparent fluorescence yield at 760 nm.

707  
708 **Table 3.** Results from the model evaluation one leave out cross-validation analysis across  
709 LUE model configurations and vegetation indices. Based on AIC<sub>cv</sub>, the best performance  
710 among formulation test for each method is highlighted text bold.

711  
712 **Table 4. Abbreviations.**

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