1	Phototrophic pigment diversity and picophytoplankton in permafrost thaw lakes
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# 19 Abstract

20 Permafrost thaw lakes (thermokarst lakes) are widely distributed across the northern landscape, 21 and are known to be biogeochemically active sites that emit large amounts of carbon to the 22 atmosphere as CH<sub>4</sub> and CO<sub>2</sub>. However, the abundance and composition of the photosynthetic 23 communities that consume CO2 have been little explored in this ecosystem type. In order 24 to identify the major groups of phototrophic organisms and their controlling variables, we 25 sampled 12 permafrost thaw lakes along a permafrost degradation gradient in northern Québec, 26 Canada. Additional samples were taken from 5 rock-basin reference lakes in the region to 27 determine if the thaw lakes differed in limnological properties and phototrophs. Phytoplankton 28 community structure was determined by high performance liquid chromatography analysis of 29 their photoprotective and photosynthetic pigments, and autotrophic picoplankton concentrations 30 were assessed by flow cytometry. One of the black colored lakes located in a landscape of 31 rapidly degrading palsas (permafrost mounds) was selected for high-throughput 18S rRNA 32 sequencing to complement conclusions based on the pigment and cytometry analyses. The 33 results showed that the limnological properties of the thaw lakes differed significantly from the 34 reference lakes, and were more highly stratified. However, both waterbody types contained 35 similarly diverse phytoplankton groups, with dominance of the pigment assemblages by 36 fucoxanthin-containing taxa, as well as chlorophytes, cryptophytes and cyanobacteria. 37 Chlorophyll a concentrations (Chl a) were correlated with total phosphorus (TP), and both were significantly higher in the thaw lakes (overall means of 3.3  $\mu$ g Chl *a* L<sup>-1</sup> and 34  $\mu$ g TP L<sup>-1</sup>) 38 relative to the reference lakes (2.0 µg Chl a L<sup>-1</sup> and 8.2 µg TP L<sup>-1</sup>). Stepwise multiple regression 39 40 of Chl a against the other algal pigments showed that it was largely a function of alloxanthin, fucoxanthin and Chl b ( $R^2 = 0.85$ ). The bottom waters of two of the thaw lakes also contained 41 42 high concentrations of bacteriochlorophyll d, showing the presence of green photosynthetic

43 sulphur bacteria. The molecular analyses indicated a relatively minor contribution of diatoms, 44 while chrysophytes, dinoflagellates and chlorophytes were well represented; the heterotrophic 45 eukaryote fraction was dominated by numerous ciliate taxa, and also included Heliozoa, 46 Rhizaria, chytrids and flagellates. Autotrophic picoplankton occurred in biovolume concentrations up to  $3.1 \times 10^5 \ \mu\text{m}^3 \ \text{mL}^{-1}$  (picocyanobacteria) and  $1.9 \times 10^6 \ \mu\text{m}^3 \ \text{mL}^{-1}$ 47 48 (picoeukaryotes), and varied greatly among lakes. Both groups of picophytoplankton were 49 positively correlated with total phytoplankton abundance, as measured by Chl a; 50 picocyanobacteria were inversely correlated with dissolved organic carbon, while picoeukaryotes 51 were inversely correlated with conductivity. Despite their net heterotrophic character, subarctic 52 thaw lakes are rich habitats for diverse phototrophic communities.

### 54 **1 Introduction**

55 Degradation of ice-rich permafrost leads to the formation of thaw lakes, which are among the 56 most abundant aquatic habitats in high latitude regions (Pienitz et al., 2008; Jones et al., 2012). 57 These environments have attracted increasing scientific interest because of their biogeochemical 58 reactivity. However, although there is rapidly increasing knowledge about their role in 59 greenhouse gas (GHG) emissions (Laurion et al., 2010; Walter et al., 2006), little is known about 60 their photosynthetic communities. Phototrophic organisms consume CO<sub>2</sub> and thereby reduce the 61 net emission to the atmosphere; however, few studies have examined phytoplankton or other 62 phototrophs in these abundant waters. Early studies in the U.S. Tundra Biome Program 63 at Barrow, Alaska, recorded 105 species of algae in tundra lakes and ponds, with dominance of 64 cryptophytes and chrysophytes (Alexander et al., 1980). More recent studies have focused 65 on thaw lake diatoms as paleolimnological indicators, but the dominants in these records are 66 often benthic taxa such as *Pinnularia* and *Fragilaria* (Bouchard et al., 2013). A lake survey in 67 the western Hudson Bay lowlands, including in permafrost catchments, showed that the 68 phytoplankton had diverse communities, primarily composed of cyanobacteria, chrysophytes, 69 chlorophytes, cryptophytes, dinoflagellates and diatoms (Paterson et al., 2014).

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Picophytoplankton (PP), consisting of picocyanobacteria and picoeukaryotes (nominally defined as cells 1 to 3 µm in diameter), contribute a major fraction of the total phototrophic biomass across a wide range of aquatic ecosystems (Richardson and Jackson, 2007), including northern lakes and rivers (Waleron et al., 2007; Vallières et al., 2008). In subarctic (Bergeron and Vincent, 1997) and high arctic (van Hove et al., 2008) lakes, picocyanobacteria may dominate the phytoplankton community in terms of biomass as well as cell abundance. For example, in 177 large oligotrophic Clear Water Lake (Lac à l'Eau Claire, Nunavik, Canada), small cell 178 phytoplankton (cell fraction that passed through a 2  $\mu$ m filter) accounted for 75% of the total 179 phytoplankton Chl *a* (Bergeron and Vincent, 1997). However, the suitability of permafrost thaw 180 lakes as a habitat for picophytoplankton has not been explored.

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Our overall aim in the present study was to evaluate the major groups of phytoplankton in subarctic thaw lakes, and to relate this abundance and community structure to environmental variables. For this we employed phototrophic pigment analysis by high performance liquid chromatography (HPLC), an approach that has been applied with success to describe phytoplankton community structure at the phylum level in a wide range of freshwater (e.g., Fietz and Nicklisch 2004) and marine (e.g., Ansotegui et al., 2001) studies.

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89 A secondary objective was to determine the abundance and distribution of picocyanobacteria and 90 picoeukaryotes. As a further guide to the composition of the eukaryotic plankton, and in support 91 of the pigment and picoeukaryote observations, we also applied high throughput 18S rRNA 92 sequencing to surface and bottom waters from one selected lake that was strongly influenced by 93 permafrost degradation. Our study included a wide range of small lakes across the gradient of 94 permafrost degradation in Subarctic Quebec, Canada, from sporadic permafrost landscapes in the 95 south (less than 10% of the area containing permafrost) to discontinuous permafrost in the north 96 (10-90% permafrost). We also took comparative samples from a set of shallow rock-basin lakes 97 that are unaffected by thermokarst processes. Given their limnological variability, as indicated by 98 the variety of water colors among thaw lakes, we hypothesized that there would be large 99 variations in total phytoplankton pigment concentration, pigment diversity and

100 picophytoplankton biovolume. Degrading permafrost soils release dissolved organic carbon 101 (DOC) and fine inorganic particles into the thaw lakes, and these constituents determine the 102 attenuation of light down the water column and the variability in color (Watanabe et al., 2011). 103 DOC also influences the near surface thermal and stratification regime (Caplanne and Laurion, 104 2008), and temperature is known to exert a direct effect on phytoplankton community structure, 105 particularly favouring cyanobacterial dominance (Paerl and Huisman, 2008). We therefore 106 hypothesised that DOC and temperature would be the primary drivers of variations in 107 phytoplankton pigmentation and picophytoplankton biovolume.

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# 109 2 Materials and Methods

### *110* **2.1 Study Sites**

111 Twelve thaw lakes (small perennial waterbodies created by thermokarst erosion of the 112 permafrost) were sampled in subarctic Québec during the period of warm open-water conditions, 113 in August 2011 and 2012 (Table S1). The lakes were distributed along a north-south permafrost 114 degradation gradient and across four geographically distinct locations: the Sasapimakwananisikw 115 River valley (SAS) and the Kwakwatanikapistikw River valley (KWK) near Whapmagoostui-116 Kuujjuarapik; and the Sheldrake River valley (BGR) and the Nastapoka River valley (NAS) near 117 Umiujaq. The KWK and SAS valleys occur within the sporadic permafrost landscape, while the 118 BGR and NAS valleys are located in the discontinuous permafrost landscape (Fig. 1). Each 119 valley is characterised by distinct vegetation cover and soil structure. Lakes located within the 120 KWK valley are situated on impermeable clay-silt beds where the drainage basin is covered with 121 dense shrub vegetation (Breton et al., 2009), whereas lakes in the SAS valley are located in 122 peatlands in which permafrost mounds (palsas) are thawing and degrading rapidly (Bhiry et al.,

123 2011). The lakes located in the northern valleys (BGR, NAS) are situated on marine clay-silt 124 beds and are surrounded by forest and shrub tundra. In addition to twelve permafrost thaw lakes, 125 a set of five shallow rock-basin lakes (SRB) located on basalt bedrock was sampled in the 126 vicinity of Whapmagoostui-Kuujjuarapik. These provided a set of reference lakes that are 127 located at the same latitude and climatic setting, but without the direct influence of degrading 128 permafrost that is experienced by the thaw lakes. The dates of sampling are given in Table S1.

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#### 130 2.2 Physicochemical analyses

131 Profiles of temperature, dissolved oxygen, conductivity, and pH of the 17 lakes were recorded 132 with a 600R multiparametric probe (Yellow Springs Instrument Co.). Additionally temperature 133 and conductivity were recorded with RBR XR620 conductivity-temperature-depth profiler 134 (Richard Brancker Research Ltd). Near surface water samples (0.2 m depth) were collected into 135 dark polyethylene bottles, previously washed with 10% hydrochloric acid and rinsed in MQ 136 water. The samples were stored in coolers and transported to laboratory within 4 h of collection. 137 The total nitrogen (TN) and total phosphorus (TP) measurements were performed on unfiltered 138 water samples collected in 125ml bottles, acidified with sulfuric acid (0.2% final concentration), 139 and stored at 4°C until persulfate digestion. TN concentrations were then measured with a Lachat 140 flow injection analyzer and TP concentrations were measured using a Genesys 10UV 141 spectrophotometer (Thermo Spectronic) and standard techniques (Stainton et al., 1977). Total 142 suspended solids (TSS) were collected onto pre-combusted and pre-weighed glass fiber filters 143 (Advantec MFS) that were dried for 2 h at 60°C and weighed on a Sartorius high precision 144 balance. Dissolved organic carbon (DOC), colored dissolved organic matter (CDOM), soluble 145 reactive phosphorus (SRP) and nitrate  $(NO_3)$  measurements were performed on water filtered

146 through 0.2 µm cellulose acetate filters (Advantec MFS). Samples for DOC analyses were stored 147 in 45 mL dark glass bottles that had been previously burned at 450°C for 4 h and rinsed with MQ 148 water to remove any traces of organic substances. The DOC analysis was with a Shimadzu TOC-149 5000A carbon analyzer calibrated with potassium biphthalate. CDOM was determined by 150 spectrophotometric absorbance of the filtrates at 320 nm, blanked against filtered MO water and 151 converted to absorption values. SRP and NO3<sup>-</sup> were measured in the filtrates using standard 152 colorimetric methods (Stainton et al., 1977), and major ions were measured using Dionex ICS 153 2000 ion chromatograph.

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# 155 **2.3 Pigment analysis**

156 Near surface (0.2 m depth) and near-bottom water samples (0.2 m above sediments; 50-500 mL) 157 from each lake were filtered onto 25-mm diameter GF/F glass-fibre filters, and immediately 158 frozen and stored at -80°C until pigment extraction in methanol. Pigments were analyzed by high 159 performance liquid chromatography (HPLC) following the protocols and standards described in 160 Bonilla et al. (2005). For some of the statistical analyses, two groups of algal accessory pigments 161 were separated as in Bonilla (2005): photoprotective pigments (canthaxanthin, diadinoxanthin, 162 echinenone, lutein, violaxanthin and zeaxanthin) and light harvesting, photosynthetic pigments 163 (alloxanthin, Chl b, fucoxanthin and peridinin). Standards for identification and quantification of 164 Chl c2, alloxanthin,  $\beta$ , $\beta$ -carotene, canthaxanthin, crocoxanthin, pigments (Chl a, Chl b, 165 diadinoxanthin, echinenone, fucoxanthin, lutein, peridinin, violaxanthin, and zeaxanthin) were 166 obtained from Sigma Inc. (St. Louis, MO, USA) and DHI Water & Environment (Hørsholm, 167 Denmark) to calibrate the HPLC. The photodiode array spectrum of each peak was checked 168 against the reference spectra in Roy et al. (2011). No standards were available for

bacteriochlorophyll *d* and the primary peaks for this pigment at 428 nm were expressed as Chl *a*equivalent concentrations.

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# **172 2.4 Picophytoplankton enumeration**

173 Near surface (0.2 m depth), unfiltered water samples from each lake were transferred to 5mL 174 Cryovials, fixed with glutaraldehyde (10% final concentration) and stored at -80°C until analysis 175 for picophytoplankton abundance. The cells were enumerated using a Becton Dickinson flow 176 cytometer (BD FacsCalibur), equipped with an argon laser. Analyses were done at the lowest 177 flow rate (12 µL min<sup>-1</sup>), using a solution of 1-µm diameter, yellow-green microspheres 178 (Polysciences, Inc) as an internal standard. Bead concentrations in the calibration solution were 179 controlled using TrueCountAbsolute counting tubes (BD biosciences). Picocyanobacteria and 180 picoeukarvotes were distinguished based on their chlorophyll and phycoerythrin fluorescence. 181 Detection of the two groups was performed by the comparison of flow cytograms where cells 182 were discriminated based on their side scatter signals (SSC) and both red (FL3) and orange 183 fluorescence (FL2) as well as FL3 versus FL2. Given the low oxygen conditions observed in the 184 bottom layers of the thaw lakes, samples were also analysed for green sulfur bacteria (FL3 vs 185 SCC). The cytograms were analyzed using the Cell Quest Pro software, with manual gating to 186 discriminate the different populations. For the picophytoplankton biovolume estimates, the 187 diameters of 20 cells of each group in a sample from thaw lake KWK12 were measured under 188 epifluorescence microscopy at 1000x magnification, and were then converted to spherical 189 biovolumes. The measured cell diameters ( $\pm$ SD) were 1.0  $\pm$  0.2 µm for picocyanobacteria and 190  $2.0 \pm 0.5 \,\mu\text{m}$  for picoeukaryotes, giving biovolumes per cell of 0.52 and 4.19  $\mu\text{m}^3$ , respectively.

#### 192 2.5 RNA sampling and analysis

193 Water samples from the near surface (0.2 m depth) and near-bottom (0.2 m above sediments) of 194 the black palsa lake SAS2A were first prefiltered through a 20 µm mesh to remove larger 195 organisms and then filtered sequentially through a 3 µm pore size, 47 mm diameter 196 polycarbonate filter (DHI) and a 0.2 µm Sterivex unit (Millipore) with a peristaltic pump. From 197 100 to 300 mL of water were filtered and the filtration was stopped after 2 hours to minimize 198 RNA degradation. The 3 µm filter for larger cells (L fraction) and the 0.2 µm filter for the 199 smaller fraction (S fraction) were both preserved in RNAlater (Life Technologies) and then 200 stored at -80°C until extraction.

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202 Samples were extracted with the AllPrep DNA/RNA Mini Kit (Qiagen). This protocol was 203 modified by the addition of cross-linked polyvinylpyrrolidone (PVP, Alfa Aesar) (UV light 204 sterilized) to a final concentration of 10% before loading the samples onto the lysate 205 homogenization column. For all samples, the extracted RNA was converted to cDNA 206 immediately with the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems-207 Ambion) and stored at -80°C until analysis. The V4 region of the eukaryotic 18S rRNA that had 208 been converted to cDNA was amplified using the 454 primers as described in Comeau et al. 209 (2011). PCR was carried out in a total volume of 50  $\mu$ L, the mixture contained HF buffer 1X 210 (NEB), 0.25 µM of each primer, 200 µM of each dNTPs (Life Technology), 0.4 mg mL<sup>-1</sup> BSA 211 (NEB), 1 U of Phusion High-Fidelity DNA polymerase (NEB) and 1  $\mu$ L of template cDNA. Two 212 more reactions with 5X and 10X diluted template were also carried out for each sample, to 213 minimize potential primer bias. Thermal cycling began with an initial denaturation at 98°C for 214 30 s, followed by 30 cycles of denaturation at 98°C for 10 s, annealing at 55°C for 30 s,

extension at 72°C for 30 s and a final extension at 72°C for 270 s. The three dilution reactions
were pooled and purified with a magnetic bead kit Agencourt AMPure XP (Beckman Coulter)
and then quantified spectrophotometerically with the Nanodrop 1000 (Thermo Fisher Scientific).
The amplicons were sequenced on 1/8 plates of the Roche 454 GS-FLX using the "PLUS"
chemistry at the IBIS/Laval University, plate-forme d'analyses Génomiques (Québec City, QC).
The raw 454 sequences have been deposited in the NCBI database under the bioproject name
PRJNA286764.

222

223 Sequences were analysed using the UPARSE pipeline (Edgar, 2013). For quality filtering, the 224 sequences were truncated at 245 bp to keep 50% of the reads at the 0.5 expected error rate. 225 Singletons as well as chimeras were then removed and operational taxonomic units (OTUs) were 226 determined at the  $\geq$  98% similarity level. These OTUs were classified using the mothur classifier 227 (Schloss et al., 2009) with a 0.8 confidence threshold based on the SILVA reference database 228 (Pruesse et al., 2007) modified to include sequences from our in-house, curated northern 18S 229 rRNA gene sequence database. In order to compare samples, the OTU tables were each 230 subsampled 100 times at 2200 reads, which corresponded to the lowest number of reads per 231 sample minus 10%; this subsampling used the command multiple rarefaction even depth.py in 232 Qiime (Caporaso et al., 2010). The most abundant and unclassified OTUs were subsequently 233 submitted **BLASTn** GenBank to а search to the nr database in NCBI 234 (http://blast.ncbi.nlm.nih.gov/Blast.cgi) to identify the nearest match.

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236

### 238 2.6 Statistical analysis

239 The normal distribution of environmental variables was tested using the Kolmogorov-Smirnov 240 test, and right-skewed variables were normalized by natural logarithm transformation. Given the 241 order of magnitude differences in picophytoplankton abundances and pigment concentrations 242 among samples, the HPLC and flow cytometry data were also normalized by logarithmic 243 transformation. Correlations within and among the phytoplankton, pigment and environmental 244 variables were tested by Pearson correlation analysis, with correction for multi-testing using the 245 false discovery rate procedure as in Benjamini and Hochberg (1995). To investigate the extent to 246 which environmental variables drove the distribution of pigment diversity among the different 247 water bodies, a redundancy analysis (RDA, Legendre and Legendre, 2012) was run. This was 248 based on Bray-Curtis distances for the pigment matrix (db-RDA) and the data were log-249 transformed prior to analysis. The significance of the model was assessed via 1000 permutations, 250 and the analysis was performed in RStudio (version 0.98.501) using the Vegan package 251 (Oksanen et al., 2015). Stepwise multiple linear regression models were performed using Past 252 3.04, with secondary cross-correlated variables removed prior to these analysis.

253

#### 254 **3 Results**

## 255 **3.1 Environmental heterogeneity**

The thaw lakes spanned a wide range of environmental conditions, including water color and CDOM, with the latter strongly correlated with DOC (R = 0.67, p < 0.0001). The highest DOC concentrations (up to 17 mg L<sup>-1</sup>) and CDOM (up to 117 m<sup>-1</sup>) were recorded in the SAS lakes (Table 1). These waters were black in color and also had the lowest pH values (6.0 – 6.6). The highest total nutrient concentrations (up to 125 µg TP L<sup>-1</sup> and 4 mg TN L<sup>-1</sup>) were recorded 261 in lakes located within the KWK and NAS valleys, and the values were lowest in the shallow 262 rock-basin waters (minima of 1.6 µg TP L<sup>-1</sup> and 0.1 mg TN L<sup>-1</sup>). Nitrogen to phosphorus ratios varied greatly among the 17 lakes, from 4 to 131 (g g<sup>-1</sup>), and total suspended solids were 263 264 similarly variable, from 1 to 320 mg L<sup>-1</sup> (Table 1). The NAS valley waters contained especially 265 high concentrations of suspended clay particles, producing an opaque milky appearance. Despite 266 their shallowness and small size, the thaw lakes were highly stratified in terms of temperature 267 and oxygen (Fig. 2), with anoxic bottom waters in the SAS and KWK lakes. Some had 268 pronounced thermal gradients, with temperature differences up to 10°C between the surface and 269 bottom waters. In contrast, the reference lakes showed more homogenous conditions, indicative 270 of mixing (Fig. 2).

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### 272 **3.2 Planktonic pigments**

273 Phytoplankton abundance, as measured by Chl *a* concentrations, varied greatly among the 274 waterbodies (Table 1), from 0.4 (SRB1) to 6.8 (KWK6)  $\mu$ g L<sup>-1</sup> in 2011 and from 0.2 (SRB1) to 275 9.1 (KWK1)  $\mu$ g L<sup>-1</sup> in 2012. There was also a small but significant difference in Chl *a* 276 concentrations between years, with means of 3.7 and 2.6  $\mu$ g L<sup>-1</sup>, respectively (paired t-test, 277 t = 2.5, p = 0.02). On average, Chl *a* was significantly higher in the thaw lakes than the reference 278 rock-basin waters: the overall means were 3.3 and 2.0  $\mu$ g Chl *a* L<sup>-1</sup>, respectively.

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The pigment analyses of the phytoplankton (Table 2) showed that there were diverse communities including fucoxanthin-containing groups (potentially diatoms, chrysophytes and certain dinoflagellates), chlorophytes (Chl *b*, lutein and violaxanthin), cryptophytes (alloxanthin), dinoflagellates (peridinin) and cyanobacteria (zeaxanthin, canthaxanthin, 284 echinenone). The pigments Chl  $c_1$ ,  $c_2$ ,  $c_3$  and crocoxanthin were also present, but generally at 285 trace concentrations, and only in certain lakes. The abundance of cyanobacterial populations in 286 KWK, BGR and NAS lakes was indicated by high concentrations of zeaxanthin (e.g., NASH) 287 and echinenone (SRB3). The KWK lakes had high concentrations of zeaxanthin (up to 288 0.7 nmol L<sup>-1</sup> in KWK23 lake), accompanied by high concentrations of fucoxanthin and green 289 algal pigments (lutein and violaxanthin), as well as high concentrations of diadinoxanthin (e.g., 290 KWK1). In the SAS lakes, a dominance of dinoflagellates was indicated by high concentrations 291 of peridinin. Echinenone was present in KWK and SRB lakes and high concentrations of 292 violaxanthin were also recorded in BGR lakes. Fucoxanthin-groups were abundant in SRB and 293 SAS as well as in NASH and BGR2. The turbid thaw lakes within the NAS valley had high 294 concentrations of  $\beta$ , $\beta$ -carotene. Relatively high levels of ancillary photosynthetic pigments were 295 present in NASA and SAS lakes as well as in some waters of shallow rock-basin lakes (Table 2). 296 Photoprotective pigments were relatively more abundant in KWK lakes (notably KWK1 and 297 KWK6) as well as in the SRB waters (violaxanthin), and less abundant in the DOC-rich SAS 298 lakes (Table 2). The bottom waters of the thaw lakes also contained diverse planktonic pigments, 299 including high levels of diadinoxanthin and alloxanthin in KWK lakes, fucoxanthin in BGR2 and 300 Chl b in SRB lakes. High levels of bacteriochlorophyll d indicating abundant populations of 301 green photosynthetic sulfur bacteria were recorded in the deeper, anoxic waters of KWK lakes 302 (Table 3, Fig. 4).

For the overall data set, Chl *a* concentrations were significantly correlated with TP (R = 0.47; p = 0.05), and with TSS (R = 0.55; P = 0.03), which were themselves strongly correlated  $(R^2 = 0.76; p < 0.0001)$ . A forward stepwise linear regression showed that Chl *a* was best

307 described by a combination of the accessory pigments alloxanthin (p < 0.014), fucoxanthin 308 (p < 0.001) and Chl *b* (p < 0.001): ln Chl *a* = 1.774 + 0.161 ln Allo + 0.380 ln Chl *b* + 0.341 ln 309 Fuco (R<sup>2</sup> = 0.85; p < 0.001).

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311 Several pigments were highly cross-correlated. These included alloxanthin and lutein (R = 0.81, 312 p < 0.001) and both pigments with Chl b (R = 0.71; 0.92, p < 0.001). The chlorophyte pigment 313 violoxanthin was also correlated with Chl b (R = 0.60, p < 0.001) and fucoxanthin (R = 0.58, p < 0.001) 314 0.001). The fucoxanthin itself was most strongly correlated with diadinoxanthin (R = 0.77, p < 315 0.001). The cyanobacterial pigments echinenone and canthaxanthin were significantly correlated 316 (R = 0.57, p < 0.001), but not with zeaxanthin (p > 0.05). The summations within the two 317 categories of pigments, photoprotective and photosynthetic, were also positively correlated (R = 318 0.63; p < 0.001). Consistent with the multivariate analyses, the accessory pigments were 319 uncorrelated with individual environmental variables (all corrected p values were > 0.05), with 320 the exception of lutein. This chlorophyte pigment was significantly correlated with TP (R = 0.53; 321 p = 0.01), but this may simply reflect the strong correlation between lutein and Chl *a* (R = 0.79; 322 p < 0.0001), which itself correlated with TP.

323

The db-RDA model showed a clear separation of the different valleys in terms of phytoplankton pigment composition. These distinct patterns in pigment composition was partially explained by the influence of valley specific environmental variables. In addition, the results from dbRDA reaffirmed the large lake-to-lake heterogeneity in each of the valleys, even among nearby lakes. The first two canonical components; related to TP, DOC and pH; explained 11% of the total variances in pigment composition (Fig. 3). The dbRDA model as a whole explained 16.4% of the variance ( $R^2 = 0.16$ , F = 1.71, df = 8, p = 0.012).

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# 332 **3.3 Picophytoplankton abundance and biovolume**

333 Picophytoplankton concentrations varied greatly among the lakes (Fig. 5). The picocyanobacterial abundances ranged from 1.8 x 10<sup>3</sup> cells mL<sup>-1</sup> (SAS1B) to 5.9 x 10<sup>5</sup> cells mL<sup>-1</sup> 334 (KWK23), equivalent to biovolume concentrations of  $9.5 \times 10^2$  (SAS1B) to  $3.1 \times 10^5 \ \mu\text{m}^3 \ \text{mL}^{-1}$ 335 336 (KWK23), while the picoeukaryote abundances ranged from  $1.35 \times 10^2$  cells mL<sup>-1</sup> (SAS2B) to  $4.6 \times 10^5$  cells mL<sup>-1</sup> (KWK1), equivalent to biovolume concentrations of  $5.6 \times 10^2$  (SAS2B) to 337  $1.9 \times 10^6 \,\mu\text{m}^3 \,\text{mL}^{-1}$  (KWK1). In general, the lakes located on marine clays (KWK and BGR) 338 339 contained the highest cell concentrations and biovolume of total picophytoplankton. The shallow 340 rock-basin (SRB) and peatland lakes (SAS) were apparently less favourable, with picocyanobacterial and picoeukaryote biovolume concentrations below 10<sup>4</sup> µm<sup>3</sup> mL<sup>-1</sup>. 341 342 Picoeukaryotes were generally less numerically abundant than picocyanobacteria, but because of 343 their larger cell size, they dominated total picophytoplankton biomass. Picoeukaryotes accounted 344 on average ( $\pm$ SD) for 65 ( $\pm$ 28) % of total picophytoplankton biovolume, however there was a 345 wide range among lakes in this contribution, from 8% (SAS2B) to 99% (SAS1A).

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Total picophytoplankton biovolume increased with Chl *a* concentration (R = 0.52; p = 0.03), but this relationship was only significant for the eukaryotic component (R = 0.53; p = 0.02). Picocyanobacteria correlated negatively with DOC (R = -0.47; p = 0.05), while picoeukaryotes correlated negatively with conductivity (R = -0.48; p = 0.05). Picocyanobacteria were highly correlated with zeaxanthin (R = 0.72; p = 0.0002), and there was also a significant, albeit less strong, correlation between picoeukaryotes and zeaxanthin (R = 0.54; p = 0.02). Stepwise multiple linear regression models showed that picophytoplankton (picoeukaryotes, PEuk; picocyanobacteria, PCyan) biovolumes were statistically related to certain limnological variables according to the relationships: PEuk =  $14.9 + 2.9 \times \text{Chl } a - 1.7 \times \text{TN}$  (R<sup>2</sup> = 0.56, p = 0.001), and PCyan = -2.9 + 4.3 Temp +  $1.1 \times \text{Chl } a - 1.1 \times \text{TSS} + 1.5 \times \text{TP} - 1.2 \times \text{DOC}$  (R<sup>2</sup> = 0.67, p = 0.001).

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### 359 3.4 Molecular analyses

360 The 18S rRNA data set from the palsa thaw lake (SAS2A) contained large numbers of rotifer 361 sequences (400 to 1350 reads per sample, all with closest matches to the genus Ascomorpha) and 362 these were removed prior to further analysis. This left a total of 3857 and 3128 reads for the 363 surface L ( $> 3.0 \mu$ m) and S ( $< 3.0 \mu$ m) fractions, and 3522 and 2457 reads for the bottom L and S 364 fractions; 84 to 93% of these eukaryotic sequences could be assigned ( $\geq$  98% identity) to phylum 365 in the modified SILVA database. The largest fraction of total reads was attributable to ciliates 366 (up to 33% in the surface waters and 74% in the bottom waters; Table 4), including the genus 367 Stokesia, especially in the surface waters, and the genera Cryptocaryon, Halteria, Peniculida and 368 *Cyclidium*, especially in the bottom waters (Table 5). Among the groups nominally considered as 369 phytoplankton were dinoflagellates, chrysophytes and chlorophytes, with lesser proportions of 370 reads associated with katablepharids, bacillariophytes (diatoms) and cryptophytes (Table 4). 371 Analysis of the dissimilarity distances (Bray-Curtis distance on the sub-sampled dataset) showed 372 that community structure greatly differed with depth (Bray-Curtis dissimilarity index of 0.795 373 for the large fraction and 0.820 for the small fraction), and to a much lesser extent between large 374 and small fractions (Bray-Curtis dissimilarity index of 0.423 for the surface samples and 0.312

for the bottom samples). Chlorophytes, dinoflagellates, katablepharids and diatoms were morerepresented in the large, surface water fraction.

377

## 378 4 Discussion

379 Each of the subarctic thaw lakes contained pigments from several phytoplankton phyla, revealing 380 that these abundant waters provide habitats for diverse phototrophic groups., The most abundant 381 accessory pigment (apart from  $\beta$ ,  $\beta$ -carotene present in all algal groups) was fucoxanthin, 382 indicating the possible presence of diatoms, chrysophytes or certain dinoflagellates. Peridinin 383 and alloxanthin were also present in many of the samples, indicating the presence of 384 dinoflagellates and cryptophytes, respectively (Jeffrey et al., 2011). Diatoms would be less 385 favoured in these stratified waters given their relatively high sinking rates, while flagellated taxa 386 including chrysophytes, dinoflagellates and cryptophytes would be able to maintain their position 387 in the euphotic zone. Mixotrophic chrysophytes and dinoflagellates have been observed in many 388 high latitude lakes (Charvet et al., 2012; and references therein), and may be additionally favored 389 by the high biomass concentrations of heterotrophic bacteria that occur in some of these waters 390 (Breton et al., 2009; Roiha et al., 2015). Green algae were also well represented at most sites, 391 indicating that despite the strong light attenuation by CDOM and TSS (Watanabe et al., 2011), 392 there is adequate light availability for obligate phototrophs. Another conspicuous feature of the 393 pigment data was the large variation in pigment characteristics among lakes within the same 394 valley, even between adjacent waterbodies. This large within-valley variation has also been 395 observed in bacterial studies in the region (Crevecoeur et al., 2015; Comte et al., 2015).

397 The concentrations of photoprotective pigments were conspicuously high in NASH relative to 398 photosynthetic pigments (Table 2). This was unexpected given that it contained elevated 399 concentrations of suspended solids, which indicate a low light availability for photosynthesis, 400 and a lack of need for protection against bright light. It is possible, however, that in this lake, 401 cells suspended in the mixed layer are adapted to intermittent exposure to bright light rather than 402 the average water column irradiance. Such conditions have been observed in a turbid estuarine 403 environment, where the phytoplankton were photosynthetically adapted to high near-surface 404 irradiances rather than the overall shade or dark conditions experienced on average by the cells 405 as they were circulated by turbulent mixing through the water column (Vincent et al., 1994). In 406 contrast, the ratio of photosynthetic to photoprotective pigments was high in NASA and the SAS 407 lakes, indicating acclimation to low irradiances in their strongly light-attenuating water columns.

408

409 The pigment analyses also indicated the abundant presence of cyanobacteria. Echinenone and 410 canthaxanthin are well known photoprotective pigments in cyanobacteria, with the latter 411 especially prevalent in Nostocales, which may suggest the presence of nitrogen-fixing taxa. 412 These results are consistent with bacterial 16S rRNA analyses, which showed the presence of 413 cyanobacterial taxa in some of these lakes that had strong affinities (> 99% sequence similarity) 414 to the Nostocalean taxon Dolichospermum curvum (Crevecoeur et al., 2015). Zeaxanthin can 415 potentially occur in high cellular concentrations in cyanobacteria, but it also is found in 416 eukaryotic algal groups. This pigment is a component of photoprotective xanthophyll cycles, and 417 may co-occur with other components of these cycles. For example, studies on the diatom 418 Phaedactylum tricornutum have shown the co-occurrence of the diadinoxanthin cycle and the 419 violaxanthin cycle (Lohr and Wilhelm 1999). Consistent with this co-occurrence, we found a

420 strong correlation between diadinoxathin and violaxanthin in the studied lakes (R = 0.72, 421 p < 0.001). We also observed high concentrations of zeaxanthin, which is often associated with 422 cyanobacteria but also chlorophytes (Jeffrey et al., 2011). Given the molecular analyses results of 423 thaw lake bacterial communities (Crevecoeur et al., 2015) and our flow cytometry data, 424 zeaxanthin was likely to at least in part be associated with the abundant picocyanobacteria in the 425 order Synechococcales. The strong correlation between picocyanobacteria and zeaxanthin further 426 supports this relationship.

427

428 HPLC analysis has been used with success in a variety of aquatic ecosystems to not only identify 429 major algal groups, but also to quantify their proportional representation using the software 430 program CHEMTAX (Mackay et al., 1996). However, given the large known variation in 431 pigment ratios in algal cells, this method requires extensive calibration on each class of waters. 432 For example, in shallow a eutrophic lake, CHEMTAX gave a reliable estimation of 433 cyanobacterial and chlorophyte biomass, but not chrysophytes and dinoflagellates (Tamm et al., 434 2015). The latter were two of the dominant groups in the permafrost thaw lakes, and although 435 CHEMTAX offers a potentially useful approach for future analyses of these waters, further work 436 will be required before it can be calibrated and reliably applied.

437

The presence of bacteriochlorophyll *d* in high concentrations in KWK lakes containing anoxic bottom waters indicate that these environments are favourable habitats for photosynthetic sulfur bacteria. These results were unexpected given the strong attenuation of light by the CDOM and suspended particles in these waters, and the low photosynthetically available radiation at depth. However, the results are consistent with molecular analyses of the bacterial assemblages. 16S

rRNA gene clone library analysis of KWK lakes detected the presence of green sulfur bacteria (Rossi et al., 2013), and high throughput 16S rRNA sequencing revealed that the green sulfur bacterium *Pelodictyon* (*Chlorobi*) was one of the most abundant sequences in KWK waters (Crevecoeur et al., 2015). The high concentrations of bacteriochlorophyll *d* suggest that these populations could play an important role in the overall primary production of certain thaw lakes, although restricted to deeper water, anoxic conditions, and our observations extend the range of environments in which this pigment has been detected.

450

451 Picophytoplankton occurred in all of the sampled lakes, but with large differences among waters 452 in terms of the relative abundance of prokaryotes versus eukaryotes, and probably also in terms 453 of their contribution to the total phytoplankton community biomass. As a first estimate of the 454 relative contribution of picocyanobacteria, their cell concentrations may be converted to 455 equivalent Chl a by an appropriate cell conversion factor. Analysis of Synechococcus in culture 456 under different irradiance regimes gave a median value around 7.5 fg Chl *a* per cell (Moore et al. 457 1995), and applying this value as a first order estimate, picocyanobacteria would contribute 0.3%458 (SAS1B) to 80% (KWK23) of the measured total community Chl a. Such estimates are highly 459 approximate given the known variation in the cellular content of this pigment among strains and 460 with growth conditions; for example, by a factor of 4 as a function of irradiance (Moore et al. 461 1995). However these calculations imply large lake-to-lake variations in the percentage 462 contribution of picophytoplankton to the total community, ranging from negligible to major.

463

In general, the concentrations of both picocyanobacteria and picoeukaryotes increased withincreasing total phytoplankton biomass, as measured by Chl *a* concentrations. However, the two

466 groups differed in their correlative relationships with other limnological variables. In partial 467 support of our initial hypothesis that DOC would be a controlling variable, picocyanobacteria, 468 but not eukaryotes, were negatively correlated with DOC. An inverse relationship with DOC was 469 also found for picophytoplankton in Swedish lakes (Drakare et al., 2003). Similarly in Lake 470 Valkea-Kotinen, in the boreal zone of Finland, variations in autotrophic picoplankton were most 471 closely correlated with water column stability, which in turn was strongly regulated by DOC 472 concentration (Pelromaa and Ojala, 2012). High DOC waters are often characterized by low pH, 473 which may be a constraint on certain cyanobacteria, however acid-tolerant picocyanobacteria are 474 known (Jasser et al., 2013). Even in the low pH SAS waters picocyanobacteria were always present, although in low concentrations (e.g., the minimum of  $1.8 \times 10^3$  mL<sup>-1</sup> in SAS2B in 2011). 475 476 Other factors such as zooplankton grazing may also have played a role in controlling 477 picocyanobacteria (Rautio and Vincent, 2006), although this seems less likely for 478 picocyanobacteria given that they are a nutritionally deficient food source for zooplankton in 479 thaw lakes (Przytulska et al., 2015).

480

481 Picocyanobacteria did not show the expected relationship with temperature in the correlation 482 analyses, although temperature was one of the variables retained in the multiple linear regression 483 analysis. Temperature has often been identified as a key variable for cyanobacterial growth and 484 dominance in lakes elsewhere. For example, in reservoirs in the southeastern USA, there was a 485 strong, positive correlation between picocyanobacterial cell concentrations and temperature, 486 while picoeukaryotes showed an inverse correlation, and dominance of the picophytoplankton 487 community shifted from picoeukaryotes in winter to picocyanobacteria in summer (Ochs and 488 Rhew, 1997). Similarly, increasing temperature favoured picocyanobacteria over picoeukaryotes

in German lakes (Hepperle and Krienitz, 2001). In experiments with subarctic lake and river
water at 10 and 20°C, the concentration of Chl *a* in the picoplankton fraction increased
substantially at the warmer temperature (Rae and Vincent, 1998). The temperature range in the
present study may have been too restricted to observe such effects.

493

494 The molecular data provided insight into the large diversity of microbial eukaryotes that occur 495 within the plankton of thaw lake ecosystems, including heterotrophic components such as ciliates 496 and flagellates that may exert grazing pressure on some of the phototrophs. When rotifers were 497 excluded from the analyses, ciliates were dominant in the RNA sequences of SAS2A. This likely 498 reflects not only their cellular abundance but also their large cell sizes with a concomitantly large 499 number of ribosomes; for example, Stokesia vernalis, the most abundant sequence identified in 500 the surface waters (Table 5), can be >100 µm in length. Ciliates are also known to be fragile cells 501 that are easily broken up during manipulation like pre-filtration through a 20 µm mesh, which 502 could account for their highly abundant sequences in the S as well as L fractions.

503

504 Chrysophytes and chlorophytes were well represented in the RNA sequences, particularly in the 505 surface water L fraction, consistent with their abundance as indicated by the pigment data. 506 Dinoflagellates constituted the dominant fraction of the phytoplankton sequences, yet were not 507 detected as peridinin in SAS2A, although this pigment was present in two other SAS lakes. This 508 may indicate the presence of large dinoflagellate cells, for example rigid *Ceratium* cells that can 509 extend up to 100  $\mu$ m in length, but may also be due to the presence of dinoflagellates that lack 510 the accessory pigment peridinin. It should also be noted that the relative abundance of taxa in 511 these analyses may additionally reflect PCR biases in amplification. Diatoms can also include

512 large cell types, but their representation in the sequences was small, suggesting that most of the 513 fucoxanthin that we measured was associated with chrysophytes such as Uroglena (Table 5) 514 rather than diatoms. It is of interest that diatoms from the genus Urosolenia were the closest 515 match following a BLAST search. This diatom is known to be lightly silicified and may be less 516 susceptible to sedimentation in these well stratified waters. The cryptophyte pigment alloxanthin 517 was in high concentration in SAS2A, as in the other thaw lakes, yet cryptophyte sequences 518 accounted for < 1.5% of the total RNA reads. This might reflect the small cell-size of certain 519 cryptophyte taxa, for example Chroomonas.

520

521 The molecular data also provided insight into the nature of the picoeukaryotic communities in 522 the SAS lakes. The taxonomic identities (Table 5) indicated the presence of several chlorophyte 523 genera that are known to produce small cells, notably Choricystis, Lemmermannia 524 Monoraphidium and Chlorella. For example, in subalpine Lake Tahoe (USA), Choricystis 525 coccoides produces cells that are only 0.5 µm<sup>3</sup> in volume, too small to be grazed by calanoid 526 copepods in that lake (Vincent, 1982). Among the chrysophytes, Spumella and related genera are 527 known to produce small cells. For all of these analyses, the many unidentified eukaryotic reads 528 add an extra element of uncertainty to the interpretation, but collectively these data underscore 529 the eukaryotic diversity of the thaw lake ecosystem. This parallels the large prokaryotic diversity 530 that has been observed in these lakes, including bacterial phototrophs (Crevecoeur et al. 2015; 531 Comte et al. 2015).

532

533 Permafrost thaw lakes receive large quantities of allochthonous organic carbon from their534 surrounding catchments and this is reflected in their high DOC and CDOM concentrations, as

535 observed in the present study. These waters have high respiratory oxygen demands and are net 536 heterotrophic, resulting in prolonged hypoxia or anoxia in the bottom waters during summer, and 537 anoxia throughout the water column once ice covers the lake in winter (Deshpande et al., 2015). 538 The abundant ciliate and nanoflagellate sequences in our molecular analyses also point to high 539 productivity by bacterial heterotrophs, their likely prey in these waters. However, despite these 540 multiple signs of intense heterotrophy, the pigment, cytometry and molecular results in the 541 present study show that these ecosystems are also the habitats for abundant phototrophs from 542 diverse taxonomic groups.

543

## 544 Conclusions

545 The wide range of thaw lakes sampled in the present study significantly differed from the 546 reference rock basin lakes in their limnological properties. On average, they contained higher 547 phytoplankton (Chl a) and TP concentrations than the reference lakes, but had a comparable 548 diversity of pigments, dominated by chlorophyte, chrysophyte and dinoflagellate pigments. 549 Cyanobacteria and cryptophytes were also well represented, but the thaw waters appeared to be 550 less favorable for diatoms, at least during the highly stratified late-summer period. 551 Picophytoplankton occurred in all of the thaw lakes, in some of the waters at high biovolume up 552 to  $10^6 \,\mu\text{m}^3 \,\text{mL}^{-1}$ , but the proportion of eukaryotes and prokaryotes and their contribution to total 553 phytoplankton biomass varied greatly among the lakes. Molecular analysis of samples from one 554 of a thaw lake originated from a highly degraded permafrost valley indicated that small cell 555 chlorophytes may be among the dominants in the picoeukaryotic fraction. Despite the 556 heterotrophic nature of these organic-rich ecosystems, with respiration likely exceeding 557 photosynthesis throughout the year, permafrost thaw lakes contain abundant, diverse phototrophs

that potentially support higher trophic levels, and that will lessen the net CO<sub>2</sub> release from thesewaters to the atmosphere.

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#### 563 Author contributions

A. P., W. F. V. and I. L. designed the study; A. P. led the field sampling; S. C. with input from J.
C. undertook the molecular analyses under the supervision of C. L.; laboratory analyses were
overseen by A. P., W. F. V. and I. L.; flow cytometry analyses were by J. C. and A. P., under the
supervision of I. L.; and data analysis was by A. P. with input from J. C., S. C. and W. F. V. A.
P. prepared the manuscript with contributions from all co-authors.

569

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Table 1. Limnological characteristics including surface values for temperature (T), pH, dissolved organic carbon concentration (DOC), colored dissolved organic matter (CDOM), total suspended solids (TSS), soluble reactive phosphorus (SRP), total phosphorus (TP), total nitrogen (TN), nitrate (NO<sub>3</sub>) and Chlorophyll *a* (Chl *a*) in studied subarctic lakes. Mean values from 2011 and 2012 (+/-range in brackets; nd = no data from 2011).

Sites	Т	pН	DOC	CDOM	TSS	SRP	ТР	TN	NO <sub>3</sub>	Chl a
	(°C)	-	(mg L <sup>-1</sup> )	$(m^{-1})$	$(mg L^{-1})$	$(\mu g L^{-1})$	$(\mu g L^{-1})$	$(mg L^{-1})$	(mg N L <sup>-1</sup> )	(µg L <sup>-1</sup> )
Thaw lake	es on marine	e clays								
BGR1	15.1 (0.7)	7.5 (0.2)	3.9 (0.4)	6.1 (1.7)	2.9 (0.5)	1.8 (0.6)	17.3 (3.5)	0.1 (0.0)	0.05 (0.0)	1.8 (1.0)
BGR2	14.5 (0.4)	7.0 (0.3)	9.0 (0.3)	39.1 (5.7)	19.2 (6.1)	2.4 (1.0)	45.7 (0.2)	0.3 (0.0)	0.05 (0.0)	3.4 (1.4)
NASA	15.6 (nd)	7.0 (nd)	3.0 (nd)	12.3 (nd)	319.3 (nd)	2.9 (nd)	124.5 (nd)	3.7 (nd)	0.25 (nd)	4.1 (nd)
NASH	18.3 (nd)	7.6 (nd)	4.1 (nd)	22.6 (nd)	18.2 (nd)	6.2 (nd)	28.5 (nd)	0.4 (nd)	0.04 (nd)	1.7 (nd)
Thaw lake	es on minera	al clays								
KWK1	17.1 (4.4)	6.1 (0.8)	9.2 (2.8)	39.0 (16.4)	14.1 (12.0)	2.2 (1.5)	36.8 (26.7)	0.3 (0.1)	0.05 (0.0)	8.0 (1.2)
KWK6	14.9 (0.8)	6.8 (0.4)	5.2 (0.0)	10.7 (0.7)	9.3 (1.1)	1.0 (0.4)	30.9 (3.0)	0.2 (0.0)	0.06 (0.0)	4.4 (1.6)
KWK12	16.8 (0.8)	8.0 (1.1)	8.6 (0.7)	39.6 (3.7)	13.8 (2.6)	1.6 (0.0)	27.7 (2.1)	0.2 (0.0)	0.08 (0.0)	2.5 (0.1)
KWK23	14.9 (0.2)	6.7 (0.2)	7.2 (0.6)	35.8 (1.9)	10.2 (1.9)	4.9 (0.6)	47.7 (5.6)	0.2 (0.0)	0.04 (0.0)	3.5 (1.9)
Thaw lake	es on peatlai	nds								
SAS1A	14.3 (0.2)	6.6 (0.3)	10.7 (0.8)	68.7 (2.7)	7.6 (2.6)	1.6 (0.3)	14.3 (0.9)	0.5 (0.1)	0.17 (0.0)	3.6 (1.2)
SAS1B	13.6 (0.1)	6.3 (0.3)	15.9 (0.4)	109.5 (3.9)	21.8 (5.4)	1.9 (0.4)	12.7 (2.2)	0.6 (0.1)	0.07 (0.0)	4.3 (0.1)
SAS2A	19.9 (nd)	6.2 (nd)	14.9 (nd)	98.4 (nd)	2.6 (nd)	3.1 (nd)	9.6 (nd)	0.7 (nd)	0.04 (nd)	1.2 (nd)
SAS2B	16.0 (nd)	6.0 (nd)	17.1 (nd)	116.9 (nd)	5.2 (nd)	1.3 (nd)	10.3 (nd)	0.5 (nd)	0.11 (nd)	0.9 (nd)
Shallow r	ocky basins									
SRB1	15.8 (2.2)	7.6 (0.6)	9.9 (0.0)	46.0 (6.5)	2.6 (1.2)	2.1 (0.6)	7.9 (2.8)	0.2 (0.1)	0.06 (0.0)	0.3 (0.1)
SRB2	13.8 (0.5)	7.6 (1.1)	13.2 (2.7)	68.8 (21.5)	1.3 (0.3)	1.4 (0.5)	11.2 (2.6)	0.2 (0.1)	0.14 (0.1)	1.4 (0.4)
SRB3	15.6 (0.2)	6.6 (0.2)	7.8 (1.4)	34.5 (9.5)	5.4 (2.0)	1.1 (0.1)	13.4 (2.8)	0.3 (0.1)	0.05 (0.0)	5.2 (0.6)
SRB4	15.0 (0.3)	7.9 (0.5)	10.4 (1.6)	20.0 (0.5)	5.0 (1.9)	0.8 (0.1)	5.7 (2.6)	0.8 (0.4)	0.44 (0.4)	2.4 (1.0)
SRB5	18.7 (1.8)	7.1 (0.9)	3.7 (0.1)	9.4 (2.7)	0.7 (0.1)	0.5 (0.2)	2.9 (1.3)	0.1 (0.0)	0.32 (0.2)	0.8 (0.0)

Sites	Photosynthetic Photoprotective								Ratio				
	Allo	Chl b	Fuco	Perid	Σ	Cantha	Diadino	Echin	Lut	Viola	Zea	Σ	
Thaw lakes on marine clays													
BGR1	0.282	0.108	0.174	0.034	0.598	0.000	0.113	0.045	0.126	0.132	0.080	0.497	1.2
BGR2	0.053	0.159	0.611	0.343	1.167	0.073	0.483	0.088	0.372	0.442	0.677	2.134	0.5
NASA	2.078	0.401	0.101	0.000	2.580	0.000	0.000	0.000	0.745	0.308	0.000	1.053	2.4
NASH	0.161	0.204	0.740	0.000	1.106	0.184	0.464	0.208	0.208	0.568	1.692	3.324	0.3
Thaw lakes	on miner	al clays											
KWK1	0.641	1.681	0.702	0.458	3.481	0.147	3.836	0.202	1.435	0.847	0.375	6.843	0.5
KWK6	0.248	0.760	0.429	0.075	1.513	0.093	0.398	0.000	1.335	0.771	0.561	3.158	0.5
KWK12	0.290	0.202	0.569	0.151	1.213	0.046	0.358	0.089	0.337	0.354	0.111	1.295	0.9
KWK23	0.281	0.236	0.499	0.057	1.073	0.046	0.443	0.026	0.644	0.450	0.688	2.296	0.5
Thaw lakes	on peatla	nds											
SAS1A	0.498	0.130	1.080	0.110	1.817	0.083	0.291	0.000	0.161	0.366	0.092	0.993	1.8
SAS1B	1.066	0.271	1.484	0.363	3.184	0.044	0.435	0.000	0.294	0.420	0.083	1.275	2.5
SAS2A	0.342	0.054	0.199	0.000	0.594	0.000	0.047	0.000	0.047	0.000	0.000	0.093	6.4
SAS2B	0.606	0.163	0.502	0.000	1.271	0.036	0.049	0.045	0.220	0.171	0.000	0.521	2.4
Shallow roo	ck-basin la	akes											
SRB1	0.018	0.038	0.051	0.017	0.124	0.000	0.026	0.008	0.045	0.069	0.026	0.175	0.7
SRB2	0.196	0.220	0.360	0.040	0.816	0.037	0.078	0.057	0.229	0.183	0.062	0.646	1.3
SRB3	1.026	0.352	2.011	0.261	3.650	0.103	0.460	0.219	0.557	0.924	0.530	2.792	1.3
SRB4	0.094	0.203	0.557	0.050	0.905	0.016	0.159	0.080	0.333	0.340	0.101	1.028	0.9
SRB5	0.066	0.048	0.420	0.004	0.537	0.010	0.085	0.031	0.064	0.199	0.087	0.477	1.1

Table 2. The dominant photosynthetic and photoprotective accessory pigments (nmol L<sup>-1</sup>), their sum ( $\Sigma$ ) and ratio in the subarctic water bodies sampled in 2012.

Key: Allo, alloxanthin; Chl *b*, chlorophyll *b*; Fuco, fucoxathin; Perid, peridinin; Cantha, canthaxanthin; Diadino, diadinoxanthin; Echin, echinenone; Lut, lutein; Viola, violaxanthin; Zea, zeaxanthin.

Table 3. The relative concentration of bacteriochlorophyll d (BChl d,  $\mu$ g L<sup>-1</sup>) based on the maximum peak area at 430 nm. The lakes have been arranged from lowest to highest concentrations.

Site	Date	Depth	BChl d
		(m)	$(\mu g L^{-1})$
KWK6	4 Aug 2012	3.1	1.2
KWK6	21 Aug 2011	3.1	1.3
KWK23	4 Aug 2012	2.0	1.9
KWK12	3 Aug 2012	2.0	8.2
KWK12	19 Aug 2011	2.5	24.6
KWK1	19 Aug 2011	2.0	28.9
KWK23	4 Aug 2012	3.3	36.2
KWK23	21 Aug 2011	3.3	44.3
KWK1	3 Aug 2012	2.0	44.7
KWK12	3 Aug 2012	2.5	47.3

Table 4. RNA sequence analysis of eukaryotes in samples from permafrost thaw lake SAS2A, sampled in 2012. Each value is the % number of reads of the total for each sample (total number of reads minus rotifer sequences). The large fraction was retained on a 3  $\mu$ m filter and the small fraction was on a 0.2  $\mu$ m filter after filtration through the 3  $\mu$ m pre-filter.

	Percentage of reads						
Taxonomic group	Sur	face	Bottom				
	Large	Small	Large	Small			
Phytoplankton groups							
Dinophyta	17.11	4.02	8.71	1.90			
Chrysophyta <sup>a</sup>	14.47	14.81	9.07	3.60			
Chlorophyta <sup>b</sup>	9.97	2.03	2.11	0.40			
Cryptophyta	3.10	1.71	2.39	0.65			
Katablepharidophyta	2.71	1.00	0.11	0.20			
Bacillariophyta	2.47	0.44	0.96	0.45			
Raphidophyceae	0.51	0.33	0.00	0.04			
Pavlovales	0.44	0.19	0.18	0.04			
Prymnesiales	0.15	0.03	0.06	0.00			
Other groups							
Ciliophora	22.94	43.55	62.95	83.90			
Cercozoa	6.17	12.37	2.50	1.22			
Fungi <sup>c</sup>	1.47	0.61	0.32	0.04			
Centroheliozoa	1.44	0.80	0.11	0.12			
Choanoflagellida	1.32	3.12	0.23	0.20			
Perkinsea	0.00	0.00	0.03	0.12			
Unknown affinities	15.75	15.00	10.28	7.11			

<sup>a</sup>includes Chrysophyceae, Synurophyceae and Bicosoecida <sup>b</sup>includes Chlorophyceae and Trebouxiophyceae <sup>c</sup>includes Chytridiomycota, Oomycota and Ascomycota

ConPont Toyonomy	Accession	Isolation	%	Percentage of reads	
	number	source	ID	Surface	Bottom
Phytoplankton groups					
Chrysophyta					
Uroglena sp.	EU024983	FU44-26	99	6.03	0.03
Paraphysomonas sp.	JQ967316	Freshwater	99	0.67	3.78
Dinobryon divergens	KJ579346	WO33_4	99	0.40	0.00
Spumella-like flagellate	AY651098	Lake Mondsee	99	0.03	0.76
Cryptophyta					
Cryptomonas tetrapyrenoidosa	KF907407	Deokam032610	99	1.71	0.87
Cryptomonas pyrenoidifera	KF907397	CNUCRY 166	99	0.33	0.00
Cryptomonas curvata	KF907377	CNUCRY 90	99	0.06	0.28
Dinophyta					
Dinophyceae sp.	GQ423577	Lake Baikal	99	1.31	0.58
Peridinium wierzejskii	KF446619	Baikal region	99	1.03	2.07
Gyrodiniellum shiwhaense	FR720082	Shiwha Bay	98	0.49	0.01
Bacillariophyta					
Urosolenia eriensis	HQ912577	Y98-8	98	1.22	0.58
Chlorophyta					
Lemmermannia punctata	JQ356704	SAG 25.81	99	1.07	0.08
Chlorella sp.	Y12816	OvS/Ger1	99	1.00	0.00
Choricystis sp.	AY195972	AS-29	99	0.89	0.11
Koliella longiseta	HE610126	SAG 470-1	99	0.72	0.04
Monoraphidium sp.	KP017571	LB59	99	0.39	0.00
Raphidophyta					
Gonyostomum semen	KP200894	Freshwater	100	0.23	0.02
Prymnesiales					
Chrysochromulina parva	EU024987	FU44-40	100	0.09	0.03
Other groups					
Ciliophora					
Stokesia vernalis	HM030738	Freshwater	99	8.65	0.04
Cryptocaryon sp.	JF317699	Drinking water	99	0.89	5.61
Peniculida sp.	GQ330632	Peat bog water	98	0.83	1.85
Halteria sp.	GU067995	Lake water	99	0.49	6.38
Cyclidium marinum	JQ956553	Marine coast	99	0.00	34.42
Rhizaria					
Cercozoa	AB771834	Lake Kusaki	99	3.83	0.28
Fungi					
Saprolegnia sp.	FJ794911	Lake (parasite)	99	0.11	0.00
Penicillium brevicompactum	KP981369	ATCC 16024	99	0.00	0.10

Table 5. Closest identity (ID) of eukaryotic RNA sequences from permafrost thaw lake SAS2A to GenBank sequences (following a BLASTn search), at the lowest taxonomic level identified.



Figure 1. The location of the study area in Subarctic Quebec.



Figure 2. Temperature (white squares) and oxygen (black squares) stratification in permafrost thaw lakes (BGR, KWK, NAS, SAS) and shallow rock-basin lakes (SRB) during the summer 2012.



Figure 3. dbRDA of the pigment composition in the thaw and SRB lakes. Each circle represents individual lakes. The labelled lines represent the significant environmental vectors resulting from correlation analysis.



Figure 4. High-performance liquid chromatograms of lake KWK12 sampled on 3 August 2012: absorbance for the surface (A) or bottom (B) water layers and fluorescence for the surface (C) or bottom (D) water layers. Pigments from left to right: 1. Perid, 2. Fuco, 3. Viola, 4. Diadino, 5. Allo, 6. Zea, 7. Lut, 8. Cantha, 9. Chl *b*, 10. Echin, 11. Chl *a*, 12.  $\beta$ , $\beta$ -Carotene, 13. Croco and 14. BChl *d*. Insert in panel D: Bacteriochlorophyll Chl *d* (BCHl *d*, black line) and Chl *a* (red line) absorption spectra (mAU = measured absorption units).



Figure 5. Picophytoplankton biovolume in the surface water of shallow rock-basin (SRB) and permafrost thaw lakes located on marine clays (KWK, BGR, NAS) and peatlands (SAS).

# **Supplementary material**

Table S1. Location (latitude and longitude), maximum depth (Z) of the subarctic lakes and sampling dates. Water was sampled ca. 20 cm below the surface and ca. 20 cm above the maximum depth. The shallow rock basin lakes have been referred elsewhere as follows: WP1 (SRB1), WP2 (SRB2), Olsha (SRB3), 4 KM (SRB4), Iqalusiuvik (SRB5), – no sampling.

Sites	Latitude	Longitude	Ζ	Sampli	ing dates		
		-	(m)	2011	2012		
Thaw lakes on marine clays							
BGR1	56°36.650'N	76°12.900'W	3.5	20 Aug	9 Aug		
BGR2	56°36.632'N	76°12.937'W	1.0	20 Aug	9 Aug		
NASA	56°55.434'N	76°22.708'W	3.2	7 Aug	_		
NASH	56°55.452'N	76°22.636'W	3.6	7 Aug	_		
Thaw lak	es on mineral c	lays					
KWK1	55°19.890'N	77°30.241'W	2.1	19 Aug	3 Aug		
KWK6	55°19.937'N	77°30.117'W	3.2	21 Aug	4 Aug		
KWK12	55°19.808'N	77°30.239'W	2.6	19 Aug	3 Aug		
KWK23	55°19.947'N	77°30.131'W	3.4	21 Aug	4 Aug		
Thaw lak							
SAS1A	55°13.128'N	77°42.477'W	1.9	23 Aug	5 Aug		
SAS1B	55°13.143'N	77°42.475'W	1.7	23 Aug	5 Aug		
SAS2A	55°13.591'N	77°41.815'W	2.6	_	13 Aug		
SAS2B	55°13.600'N	77°41.806'W	2.0	_	13 Aug		
Shallow 1	ock-basin lake	s					
SRB1	55°16.982'N	77°44.187'W	0.4	24 Aug	11 Aug		
SRB2	55°16.970'N	77°44.122'W	0.8	24 Aug	11 Aug		
SRB3	55°16.958'N	77°44.387'W	1.6	24 Aug	14 Aug		
SRB4	55°19.907'N	77°41.959'W	0.7	16 Aug	8 Aug		
SRB5	55°22.262'N	77°37.072'W	1.8	12 Aug	8 Aug		