

1 **Do land surface models need to include differential plant**
2 **species responses to drought? Examining model**
3 **predictions across a mesic-xeric gradient in Europe.**

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27 **Abstract**

28 Future climate change has the potential to increase drought in many regions of the globe,
29 making it essential that land surface models (LSMs) used in coupled climate models,
30 realistically capture the drought responses of vegetation. Recent data syntheses show that
31 drought sensitivity varies considerably among plants from different climate zones, but state-
32 of-the-art LSMs currently assume the same drought sensitivity for all vegetation. We tested
33 whether variable drought sensitivities are needed to explain the observed large-scale patterns
34 of drought impact on the carbon, water and energy fluxes. We implemented data-driven
35 drought sensitivities in the Community Atmosphere Biosphere Land Exchange (CABLE)
36 LSM and evaluated alternative sensitivities across a latitudinal gradient in Europe during the
37 2003 heatwave. The model predicted an overly abrupt onset of drought unless average soil
38 water potential was calculated with dynamic weighting across soil layers. We found that high
39 drought sensitivity at the most mesic sites, and low drought sensitivity at the most xeric sites,
40 was necessary to accurately model responses during drought. Our results indicate that LSMs
41 will over-estimate drought impacts in drier climates unless different sensitivity of vegetation
42 to drought is taken into account.

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55 1 Introduction

56 Changes in regional precipitation patterns with climate change are highly uncertain (Sillmann
57 et al. 2014), but are widely expected to result in a change in the frequency, duration and
58 severity of drought events (Allen et al. 2010). Drought is broadly defined, but for plants is a
59 marked deficit of moisture in the root zone which results from a period of low rainfall and/or
60 increased atmospheric demand for evapotranspiration. Recently, a series of high-profile
61 drought events (Ciais et al. 2005; Fensham et al. 2009; Phillips et al. 2009; Lewis et al. 2011)
62 and associated tree mortality (Breshears et al. 2005; van Mantgem et al. 2009; Peng et al.
63 2011; Anderegg et al. 2013), have occurred across the globe and these events have led to
64 debate as to whether incidence of drought are increasing (Allen et al. 2010; Dai et al. 2013,
65 but see Sheffield et al. 2012). Drought and any ensuing vegetation mortality events have the
66 potential to change land ecosystems from a sink to source (Lewis et al. 2011), and the
67 dominant mechanisms governing the ecosystem responses to drought can vary from reducing
68 stomatal conductance (Xu and Baldocchi, 2003) to increasing tree mortality (Lewis et al.
69 2011) and changing community species composition (Nepstad et al. 2007).

70

71 Our ability to model drought effect on vegetation function (carbon and water fluxes) is
72 currently limited (Galbraith et al. 2010; Egea et al. 2011; Powell et al. 2013). Remarkably,
73 given the importance of correctly capturing drought impacts on carbon and water fluxes, land
74 surface models (LSMs) designed for use in climate models have rarely been benchmarked
75 against extreme drought events. Mahfouf et al. (1996) compared summertime crop
76 transpiration from 14 land surface schemes, finding that only half of the models fell within the
77 uncertainty range of the observations. They attributed differences among models to the
78 various schemes used by models to represent transpiration processes (e.g. soil water stress
79 function, different number of soil layers) and variability in the initial soil water content at the
80 start of the growing season which relates to variability in the way bare soil evaporation and
81 drainage are represented among different models. Galbraith et al. (2010) showed that a set of
82 dynamic global vegetation models (DGVMs) were unable to capture the 20–30% reduction in
83 biomass due to drought during a set of throughfall exclusion experiments in the Amazon.
84 Galbraith et al. (2010) attributed model variability during drought to: changes in autotrophic
85 respiration (which was not supported by the data), model insensitivity to observed leaf area
86 reductions, and the use of different empirical functions to down-regulate productivity during

87 water stress. The models differed both in terms of time-scale of the application of this
88 function (sub-diurnal vs. daily) and whether it was used to down-regulate net photosynthesis
89 or the maximum rate of Rubisco activity, V_{cmax} . Similarly, Powell et al. (2013) demonstrated
90 that a group of five models were unable to predict drought-induced reductions in aboveground
91 biomass (~20%) in two large-scale Amazon experiments. Gerten et al. (2008) compared the
92 effect of adjusting precipitation regimes on simulated net primary productivity (NPP) by four
93 ecosystem models across a range of hydroclimates. They found a consistent direction of
94 change (in terms of NPP) with different scenarios across models but found that the seasonal
95 evolution of soil moisture differed among the models.

96

97 In order for models to better capture realistic responses during drought, they need to draw
98 more closely on experimental data (see Chaves et al. 1993 for a review). One key observation
99 is that there is a continuum of species responses to soil moisture deficit, ranging from
100 isohydric (stomata close rapidly during drought, maintaining a minimum leaf water potential,
101 Ψ_l) to anisohydric (stomata remain open during drought, which allows Ψ_l to decrease)
102 hydraulic strategies (Tardieu and Simonneau, 1998; Klein, 2014). These differences are
103 widely observed and are thought to be important in determining resilience to drought
104 (McDowell et al. 2008; Mitchell et al. 2013; Garcia-Forner et al. 2015). Many traits, including
105 hydraulic conductivity, resistance to cavitation, turgor loss point, stomatal regulation and
106 rooting depth, contribute to these differences. Systematic differences in the response of leaf
107 gas exchange to soil moisture potential have been observed among species originating from
108 different hydroclimates (Zhou et al. 2013), with species from mesic environments showing
109 stronger stomatal sensitivity to drought than species from xeric environments. Currently,
110 these environmental gradients in species behaviour are not captured in LSMs, which typically
111 assume static plant functional type (PFT) parameterisations. This is in part because
112 historically the data required to describe these attributes have not been available at the global
113 scale, but also due to the necessity of simplification required to run global climate model
114 simulations. Species with a PFT are assumed to have similar or identical sensitivities to
115 drought. Such an approach ignores experimental evidence of the range of sensitivities to
116 drought among species (Choat et al. 2012; Limousin et al. 2013; Zhou et al. 2014; Mitchell et
117 al., 2014; Mencuccini et al. 2015). For example, Turner et al. (1984) found contrasting
118 responses in leaf water potential to increasing vapour pressure deficit, ranging from isohydric

119 to anisohydric, among a group of woody and herbaceous species. Similarly, Zhou et al. (2014)
120 found that in a dry-down experiment, European sapling species originating from more mesic
121 environments were more sensitive to water stress (more rapid reduction of photosynthesis and
122 stomatal conductance) than species from more xeric regions. However, it is not known
123 whether observed differences in the response to soil moisture deficit among species are
124 important in determining fluxes at large scales.

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126 In this study we test whether differences in species' responses to drought are needed to
127 capture drought responses on a continental scale. We built on recent changes to the stomatal
128 conductance (g_s) scheme (De Kauwe et al. 2015) within the Community Atmosphere
129 Biosphere Land Exchange (CABLE) LSM (Wang et al. 2011), by implementing a new
130 formulation for drought impacts based on plant ecophysiological studies for 31 species (Zhou
131 et al. 2013; 2014). We obtained three parameterisations for drought response from these
132 studies, characterising low, medium and high sensitivities to drought. We then applied
133 CABLE to simulate responses to an extreme meteorological event, the European 2003
134 heatwave, at five eddy covariance sites covering a latitudinal gradient, transitioning from
135 mesic sites at the northern extreme to xeric at the southern sites. Observations show that there
136 was a significant impact of drought on ecosystem fluxes at these sites (Ciais et al. 2005; Schär
137 et al. 2005). We note that models have been applied to simulate drought effects on
138 productivity (net primary production) and leaf area at individual sites (Ciais et al. 2005;
139 Fischer et al. 2007; Granier et al. 2007; Reichstein et al. 2007) but have not been used to
140 examine whether alternative parameterisations are needed to capture drought responses across
141 sites. We therefore tested how well CABLE was able to simulate the impact of drought on
142 carbon and water fluxes at these sites using alternative parameterisations for drought
143 sensitivity. We hypothesised that drought sensitivity would increase as sites transitioned from
144 xeric to mesic. We hypothesised that trees at more mesic sites, with a greater abundance of
145 available water than at xeric sites, would be more vulnerable to shorter duration droughts, and
146 thus have higher drought sensitivity (or lower resistance to drought). Therefore, accounting
147 for this latitudinal gradient in drought sensitivity would improve the performance of CABLE.

148 2 Methods

149 2.1 Model description

150 CABLE represents the vegetation using a single layer, two-leaf canopy model separated into
151 sunlit and shaded leaves (Wang and Leuning, 1998), with a detailed treatment of within
152 canopy turbulence (Raupach 1994; Raupach et al. 1997). Soil water and heat conduction is
153 numerically integrated over six discrete soil layers following the Richards equation and up to
154 three layers of snow can accumulate on the soil surface. A complete description can be found
155 in Kowalczyk et al. (2006) and Wang et al. (2011). CABLE has been used extensively for
156 both offline (Abramowitz et al. 2008; Wang et al. 2011; De Kauwe et al. 2015) and coupled
157 simulations (Cruz et al. 2010; Pitman et al. 2011; Mao et al. 2011; Lorenz et al. 2014) within
158 the Australian Community Climate Earth System Simulator (ACCESS, see
159 <http://www.accessimulator.org.au>; Kowalczyk et al. 2013); a fully coupled earth system
160 model. The source code can be accessed after registration at <https://trac.nci.org.au/trac/cable>.

161

162 2.2 Representing drought stress within CABLE.

163 We build on the work by De Kauwe et al. (2015), who introduced a new g_s scheme into
164 CABLE. In this scheme, stomata are assumed to behave optimally; that is, stomata are
165 regulated to maximise carbon gain whilst simultaneously minimising water loss, over short
166 time periods (i.e. a day) (Cowan and Farquhar, 1977) leading to the following formulation of
167 g_s (Medlyn et al. 2011)

$$g_s = g_0 + 1.6 \left(1 + \frac{g_1}{\sqrt{D}} \right) \frac{A}{C_s} \quad (1)$$

168 where A is the net assimilation rate ($\mu\text{mol m}^{-2} \text{s}^{-1}$), C_s ($\mu\text{mol mol}^{-1}$) and D (kPa) are the CO_2
169 concentration and the vapour pressure deficit at the leaf surface, respectively, and g_0 (mol m^{-2}
170 s^{-1}), and g_1 are fitted constants representing the residual stomatal conductance when A reaches
171 zero, and the slope of the sensitivity of g_s to A , respectively. The model was parameterised for
172 different PFTs using data from Lin et al. (2015) (see De Kauwe et al. 2015).

173

174 In the standard version of CABLE, drought stress is implemented as an empirical scalar (β)
 175 that depends on soil moisture content, weighted by the fraction of roots in each of CABLE's
 176 six soil layers:

$$\beta = \sum_{i=1}^n f_{root,i} \frac{\theta_i - \theta_w}{\theta_{fc} - \theta_w}; \beta \in [0,1] \quad (2)$$

177 where θ_i is the volumetric soil moisture content ($\text{m}^3 \text{m}^{-3}$) in soil layer i , θ_w is the wilting point
 178 ($\text{m}^3 \text{m}^{-3}$), θ_{fc} is the field capacity ($\text{m}^3 \text{m}^{-3}$) and $f_{root,i}$ is the fraction of root mass in soil layer
 179 i . The six soil layers in CABLE have depths 0.022 m, 0.058 m, 0.154 m, 0.409 m, 1.085 m
 180 and 2.872 m. The factor β is assumed to limit the slope of the relationship between stomatal
 181 conductance (g_s , $\text{mol m}^{-2} \text{s}^{-1}$; Leuning 1995) by acting as a modifier on the parameter g_1 .

182 In this study, we introduced a new expression for drought sensitivity of gas exchange, based
 183 on the work of Zhou et al. (2013, 2014). In this model, both g_1 and the photosynthetic
 184 parameters V_{cmax} and J_{max} are assumed to be sensitive to pre-dawn leaf water potential, but
 185 this sensitivity varies across species. There is considerable evidence that both g_1 and V_{cmax} are
 186 sensitive to soil moisture (Keenan et al. 2009; Egea et al. 2011; Flexas et al. 2012; Zhou et al.
 187 2013). There is also widespread evidence that plants are more directly respond to water
 188 potential rather than water content (Comstock and Mencuccini 1998; Verhoef and Egea,
 189 2014).

190

191 Zhou et al. (2013) extended the optimal stomatal model of Medlyn et al. (2011) by fitting an
 192 exponential function to relate g_1 to pre-dawn leaf water potential (Ψ_{pd}):

$$g_1 = g_{1wet} \times \exp(b\Psi_{pd}) \quad (3)$$

193 where g_{1wet} is fitted parameter representing plant water use under well watered conditions (i.e.
 194 when $\Psi_{pd} = 0$) and b is a fitted parameter representing the sensitivity of g_1 to Ψ_{pd} . Species
 195 with different water use strategies can be hypothesised to differ in not only their g_1 parameter
 196 under well-watered conditions, g_{1wet} (see Lin et al. 2015), but also with the sensitivity to Ψ_{pd} ,
 197 b . Zhou et al. (2013) also advanced a non-stomatal limitation to the photosynthetic
 198 biochemistry, which describes the apparent effect of water stress on V_{cmax} :

$$V_{cmax} = V_{cmax,wet} \frac{1 + \exp(S_f \Psi_f)}{1 + \exp(S_f (\Psi_f - \Psi_{pd}))} \quad (4)$$

199 where $V_{cmax,wet}$ is the V_{cmax} value in well watered conditions, S_f is a sensitivity parameter
 200 describing the steepness of the decline with water stress, Ψ_f is the water potential at which
 201 Ψ_{pd} decreases to half of its maximum value. As with g_1 , it is hypothesised that in the same
 202 way species vary in their V_{cmax} values in well-watered conditions ($V_{cmax,wet}$), they would also
 203 differ in their sensitivity of down-regulated V_{cmax} with water stress (Zhou et al. 2014). In
 204 CABLE, as there is a constant ratio between the parameters J_{max} and V_{cmax} , the parameter J_{max}
 205 is similarly reduced by drought.

206

207 To implement Eq. (6) in CABLE we first had to convert soil moisture content (θ) to pre-dawn
 208 leaf water potential (Ψ_{pd}). We did so by assuming that overnight Ψ_{pd} and Ψ_s equilibrate
 209 before sunrise, thus ignoring any night-time transpiration (Dawson et al. 2007). Following
 210 Campbell (1974), we related θ to Ψ_s in each soil layer by:

$$\Psi_{s,i} = \Psi_e \left(\frac{\theta_i}{\theta_{sat}} \right)^{-k} \quad (5)$$

211 where Ψ_e is the air entry water potential (MPa) and k (unitless) is an empirical coefficient
 212 which is related to the soil texture. Values for Ψ_e and b are taken from CABLE's standard
 213 lookup table following Clapp and Hornberger (1978). We then needed to obtain a
 214 representative weighted estimate of Ψ_s across CABLE's soil layers. We tested three potential
 215 approaches for weighting in this paper:

- 216 (i) Using the root-biomass weighted θ and converting this to Ψ_s using Eq. (8),
 217 hereafter denoted M1. Such an approach is often favoured by models, following
 218 experimental evidence that plants preferentially access regions in the root zone
 219 where water is most freely available (Green and Clothier 1995; Huang et al. 1997).
- 220 (ii) Taking the integrated θ over the top 5 soil layers (1.7 m depth) and converting this
 221 to Ψ_s using Eq. (8), hereafter denoted M2. This method assumes the plant
 222 effectively has access to an entire "bucket" of soil water. This approach is often
 223 favoured by "simpler" forest productivity models (e.g. Landsberg and Waring,
 224 1997).

225 (iii) Weighting the average Ψ_s for each of the six soil layers by the weighted soil-to-
 226 root conductance to water uptake of each layer, following Williams et al. (1996;
 227 2001), hereafter denoted M3. The total conductance term depends the combination
 228 of a soil component (R_s) and a root component (R_r). R_s is defined as (Gardner,
 229 1960):

$$R_s = \frac{\ln\left(\frac{r_s}{r_r}\right)}{2\pi l_r D G_{soil}} \quad (6)$$

230 where r_s is the mean distance between roots (m), r_r is the fine root radius (m), D
 231 is the depth of the soil layer, G_{soil} is the soil conductivity ($\text{mmol m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$)
 232 which depends on soil texture and soil water content, l_r is the fine root density
 233 (mm^{-3}). R_r is defined as:

$$R_r = \frac{R_r^*}{FD} \quad (7)$$

234 where R_r^* is the root resistivity (MPa s g mmol^{-1}), F is the root biomass per unit
 235 volume (g m^{-3}). This method weights Ψ_s to the upper soil layers when the soil is
 236 wet, but shifts towards layer lowers as the soil dries, due to the lower soil
 237 hydraulic conductance (e.g. Duursma et al. 2011).

238

239 **2.3 Model simulations**

240 During 2003, Europe experienced an anomalously dry summer, amplified by a combination of
 241 a preceding dry spring and high summer temperatures (Ciais et al. 2005; Schär et al. 2005).
 242 Summer temperatures were recorded to have exceeded the 30-year June-July-August (JJA)
 243 average by 3°C (Schär et al. 2005). Consequently we choose to focus our model comparisons
 244 on this period, in particular the period between June and September 2003.

245

246 At each of the five Fluxnet sites we ran three sets of simulations:

- 247 - A control simulation (“CTRL”), representing CABLE version 2.0.1.
- 248 - Three simulations to explore the new drought model using a “high” (*Quercus robur*),
 249 “medium” (*Quercus ilex*) and “low” (*Cedrus atlantica*) sensitivity to soil moisture.

250 Parameter values were obtained from the meta-analysis by Zhou et al. (2013; 2014)
251 and are given in Table 1. For each of these simulations we also tested the three
252 different methods of obtaining Ψ_s as described above.

253 - A “no drought” simulation in which any transpired water was returned to the soil. By
254 comparing this simulation with either the control or any of the new drought model
255 simulations (high, medium, low), a guide to the magnitude of the drought should be
256 apparent.

257

258 Model parameters were not calibrated to match site characteristics; instead default PFT
259 parameters were used for each site. Although CABLE has the ability to simulate full carbon,
260 nitrogen and phosphorus biogeochemical cycling, this feature was not activated for this study,
261 instead only the carbon and water cycle were simulated. For all simulations, leaf area index
262 (LAI) was prescribed using CABLE’s gridded monthly LAI climatology derived from
263 Moderate-resolution Imaging Spectroradiometer (MODIS) LAI data (Knyazikhin et al. 1998;
264 1999) and the g_s scheme following Medlyn et al. (2011; see De Kauwe et al. 2015) was used
265 throughout. All model simulations were spun-up by repeating the meteorological forcing site
266 data until soil moisture and soil temperatures reached equilibrium (as we were ignoring the
267 full biogeochemical cycling in these simulations).

268

269 **2.4 Datasets used**

270 To assess the performance of the CABLE model both with and without the new drought
271 scheme, we selected a gradient of five forested Fluxnet (<http://www.fluxdata.org/>) sites across
272 Europe (Table 2) from those available through the Protocol for the Analysis of Land Surface
273 models (PALS; pals.unsw.edu.au; Abramowitz, 2012). These data have previously been pre-
274 processed and quality controlled for use within the LSM community. Consequently, all site-
275 years had near complete observations of key meteorological drivers (as opposed to significant
276 gap-filled periods).

277

278 Model simulations were compared to measured latent heat and flux-derived gross primary
279 productivity (GPP) at each of the FLUXNET sites. Flux-derived GPP estimates are calculated

280 from the measured net ecosystem exchange (NEE) of carbon between the atmosphere and the
281 vegetation/soil, and the modelled ecosystem respiration (R_{eco}), where GPP is calculated as
282 $NEE + R_{\text{eco}}$.

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294 **3 Results**

295 *Severity of the 2003 drought*

296 Table 3 summarises summer differences in rainfall, air temperature, GPP and LE between
297 2002 and 2003 across the five sites covering the latitudinal gradient from mesic to xeric sites
298 across Europe. Whilst the impact of the 2003 heatwave varied between sites, every site was
299 warmer and drier in 2003. Similarly, GPP was lower at every site except Espirra, and LE was
300 lower at three of the sites (Hesse, Roccarespampani and Castelporziano) in 2003 than in 2002.

301

302 *Simulated fluxes during drought from the standard model*

303 Figure 1 shows a site-scale comparison between standard CABLE (CTRL) transpiration (E),
304 flux derived GPP, and the observed LE at the five sites. Table 4 and 5 shows a series of
305 summary statistics (Root Mean Squared Error (RMSE), Nash-Sutcliffe efficiency (NSE),
306 Pearson's correlation coefficient (r) between modelled and observed GPP and LE. An
307 indication of the severity of the drought can be obtained by comparing the difference between
308 the "No drought" and the CTRL simulation.

309

310 For the two more mesic sites (Tharandt and Hesse), the CTRL simulation generally matched
311 the trajectory of the observed LE, but displayed systematic periods of over-estimation (i.e.
312 under-estimated the drought effect). By contrast, in the three more xeric sites
313 (Roccarespampani, Castelporziano and Espirra), the reverse was true: the CTRL simulations
314 descended into drought stress much more quickly than the observed fluxes. This rapid drought
315 progression was particularly evident around day of year 155 at the Roccarespampani site.
316 Across all sites, agreement with observed LE fluxes was generally poor (RMSE = 21.25 W m⁻²
317 to 38 W m⁻²; NSE = -8.95 to 0.15). This outcome is partly a result of the high soil
318 evaporation around mid-spring, which results in CABLE simulating very large LE fluxes
319 during this period.

320

321 At Tharandt, Hesse and Roccarespampani, simulated GPP systematically underestimated the
322 flux-derived peak GPP, particularly evident before day of year 180. Transitioning to the more
323 xeric sites (Roccarespampani, Castelporziano and Espirra), simulated GPP was apparently too

324 sensitive to water stress, contributing to a poor agreement with flux-derived data (RMSE =
325 2.22 g C m⁻² to 3.03 g C m⁻²; NSE = -2.67 to 0.42).

326

327 *Theoretical behaviour of new drought scheme*

328 We now consider the implementation of the new drought model and the three sensitivity
329 parameterisations. Figure 2a shows how leaf-level photosynthesis is predicted to decline
330 (using Eqs. 3 and 4) in the new drought model with increasing water stress (more negative
331 Ψ_S). The different sensitivities to drought are clearly visible, with the three parameterisations
332 representing a spectrum of behaviour ranging from high to low drought sensitivity. Figures 2b
333 and c show how the new drought model compares to the standard CABLE (CTRL; using Eq.
334 2) model on a sandy and clay soil type. The CTRL model is seen to most closely match the
335 high sensitivity simulation on a sandy soil, but it predicts an earlier descent into drought
336 stress. By contrast on the clay soil, the new medium and high sensitivity simulations
337 encompass the predictions from the CTRL model. The new drought model and
338 parameterisations afford a more flexible sensitivity to the down-regulation of photosynthesis
339 with drought, which is particularly evident in the low sensitivity simulation.

340

341 *Impact of new drought scheme on modelled LE*

342 Figures 3–7 show the same site comparisons as Fig. 1, but with the addition of the new
343 drought model and the three different ways (M1-3) in which Ψ_S can be averaged over the soil
344 profile. Across all sites it is clear that using M1, the new drought model behaves in much the
345 same way as the CTRL simulation. The explanation is that weighting Ψ_S by the fraction of
346 roots in each layer, results in water being principally extracted from the top three shallow
347 layers (Supplementary figures S1–S5). Consequently, small changes in θ result in a rapid
348 decline in Ψ_S (owing to the non-linear relationship between θ and Ψ_S , Fig. 1), which causes
349 an unrealistically abrupt shutdown of transpiration. M2 showed a greater separation between
350 the three sensitivity parameterisations than method one. The greater separation is most
351 evident at the xeric sites; the model performs particularly well at Espirra (LE RMSE < 16 W
352 m⁻² vs. CTRL RMSE = 35.31 W m⁻²) and to a lesser extent at Castelporziano (LE low
353 sensitivity RMSE = 19.72 W m⁻² vs. CTRL RMSE = 31.76 W m⁻²) (Table 4). Nevertheless, at
354 the two mesic sites, the model completely underestimates the size of the drought, as a result of

355 using a large soil water bucket (1.7 m) to calculate Ψ_s . M3 in combination with the new
356 drought model generally performed the best across all the sites, as it allows CABLE to
357 simulate a more gradual reduction of fluxes during drought. At Roccaespanpani a medium
358 drought sensitivity performed best at reproducing the observed LE (CTRL RMSE = 38.0 W
359 m^{-2} vs. 18.27 W m^{-2}), whilst at Espirra (CTRL RMSE = 35.31 W m^{-2} vs. 15.40 W m^{-2}) the
360 low sensitivity performed best (Table 4). At Castelporziano, both low (CTRL RMSE = 31.76
361 W m^{-2} vs. 20.41 W m^{-2}) and medium sensitivity (LE RMSE = 20.47 W m^{-2}) performed well
362 (Table 4). In contrast, at the two mesic sites, a high drought sensitivity performed best,
363 although at both Hesse (LE CTRL RMSE = 21.25 W m^{-2} vs. 25.90 W m^{-2}) and Tharandt (LE
364 CTRL RMSE = 28.5 W m^{-2} vs. 28.82 W m^{-2}), the new drought model performed marginally
365 worse than the CTRL (Table 4).

366

367 *Impact of new drought scheme on modelled GPP*

368 At the more xeric sites, there were noticeable improvements in simulated GPP during the
369 drought period (Figures 3–7). Similar to the LE result, across all sites M3 worked best (Table
370 5): using a medium drought sensitivity at both Roccaespanpani (CTRL RMSE = 2.49 g C m^{-2}
371 d^{-1} vs. 1.73 g C m^{-2} d^{-1}) and Castelporziano (CTRL RMSE = 2.22 g C m^{-2} d^{-1} vs. 0.95 g C m^{-2}
372 d^{-1}), and a low sensitivity at Espirra (CTRL RMSE = 3.03 g C m^{-2} d^{-1} vs. 1.43 g C m^{-2} d^{-1}).
373 At the mesic end of the gradient, a medium sensitivity at Hesse (CTRL RMSE = 2.85 g C m^{-2}
374 d^{-1} vs. 2.71 g C m^{-2} d^{-1}) and a medium or high sensitivity at Tharandt worked best; although
375 using either sensitivity performed slightly worse than the CTRL (CTRL RMSE = 2.06 g C m^{-2}
376 d^{-1} vs. ≥ 2.23 g C m^{-2} d^{-1}) (Table 5).

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384 **4 Discussion**

385 Experimental data suggest that plants exhibit a continuum of drought sensitivities, with
386 species originating in more mesic environments showing higher sensitivity than species from
387 more xeric environments (Bahari et al. 1985; Reich and Hinckley, 1989; Ni and Pallardy,
388 1991; Zhou et al. 2014). We investigated whether variable drought sensitivity improves the
389 ability of the CABLE LSM to reproduce observed drought impacts across a latitudinal
390 gradient. We found that, at the mesic sites, a high drought sensitivity was required; moving
391 southwards towards more xeric sites, the sensitivity parameterisation transitioned to a medium
392 and finally to a low drought sensitivity. Whilst this characterisation of the transition of
393 drought sensitivities was largely consistent for both water and carbon fluxes, it is notable for
394 the two most mesic sites, a medium rather than a high drought sensitivity performed best for
395 carbon fluxes. There are a number of possible explanations; however, as the relationships
396 tested are not site-specific it is hard to be conclusive as to the exact cause. Nevertheless, it
397 does suggest that the parameterisation of the high drought sensitivity may be too sensitive at
398 mesic sites, which will need further investigation. This work demonstrates the importance of
399 understanding how plant traits vary with climate across the landscape. However, our analysis
400 also highlighted the importance of identifying which soil layers matter most to the plant: our
401 results depended strongly on how we weighted soil moisture availability through the profile.

402

403 *Weighting soil moisture availability*

404 Commonly, empirical dependences of gas exchange on soil moisture content or potential
405 (Eqns 3, 4) are estimated from pot experiments (e.g. Zhou et al. 2013; 2014), in which it is
406 fair to assume that the soil moisture content is relatively uniform and fully explored by roots.
407 In contrast, soil moisture content and rooting depth in the field typically have strong vertical
408 profiles. Thus, to implement such equations in a land surface model requires that we specify
409 how to weight the soil layers to obtain a representative value of whole-profile θ or Ψ_s . In this
410 study we tested three potential implementations. Our first approach was to weight each layer
411 by root biomass. Evidence suggests that plants preferentially access regions in the root zone
412 where water is most freely available (Green and Clothier 1995; Huang et al. 1997). Hence,
413 many models follow this approach: for example, the original version of CABLE weighted soil
414 moisture content by root biomass (Eqn 2) while the Community Land Model (CLM)

415 estimates a water stress factor based on a root-weighted Ψ_S , using a PFT-defined minimum
416 and maximum water potential (Oleson et al. 2013). However, we found that this approach
417 performed poorly. We observed an ‘on-off’ behaviour in response to drought, which occurs
418 because the behaviour of the model is driven by the top soil layers, whose total soil moisture
419 content is relatively small and root biomass is relatively high, and can be depleted rapidly,
420 leading to a sudden onset of severe drought. Many other LSMs show this abrupt effect of
421 drought (Egea et al., 2011; Powell et al., 2013). Powell et al. (2013) found that four models
422 (CLM version 3.5, Integrated Biosphere Simulator version 2.6.4 (IBIS), Joint UK Land
423 Environment Simulator version 2.1 (JULES), and Simple Biosphere model version 3 (SiB3))
424 implement abrupt transitions of this kind. We also found that with this weighting of soil
425 layers, there was little effect of variable drought sensitivity: the depletion of soil moisture
426 content of the top layers is so rapid that there is little difference between low and high
427 sensitivities to drought. Such an outcome suggests that there is little adaptive significance of
428 drought sensitivity, which seems unlikely. A further implication of using a root-weighted
429 function to calculate Ψ_S is that two distinctly different scenarios, a soil that has been very wet
430 but experienced a short dry period, allowing the topsoil to dry, and a soil that has had a
431 prolonged period of drought but experienced a recent rainfall event, would have similar
432 impacts on gas exchange. Again, this outcome seems unlikely.

433

434 We tested a second implementation in which soil moisture potential was calculated from the
435 moisture content of the entire rooting zone (top five soil layers = 1.7 m). Such an approach is
436 commonly used in forest productivity models (e.g. Landsberg and Waring, 1997). However,
437 this approach severely underestimates drought impacts because the moisture content of the
438 total soil profile is so large, meaning that it is rarely depleted enough to impact on gas
439 exchange.

440

441 In reality, plant water uptake shifts lower in the profile as soil dries out (e.g. Duursma et al.
442 2011). Thus, in our third implementation, we tested an approach in which the weighting of
443 soil layers moves downwards as drought progresses. This approach is effectively similar to
444 that used by the soil–plant–atmosphere (SPA) model (Williams et al. 1996; 2001), in which
445 soil layers are weighted by their soil-to-root conductance, which declines as the moisture

446 content declines. Of the three approaches we tested, this method performed best, allowing
447 CABLE to replicate the observations across the latitudinal mesic to xeric gradient. This
448 dynamic weighting of Ψ_s may partially explain previous good performance by SPA in other
449 model inter-comparisons focussed on drought (e.g. Powell et al. 2013). Recently, Bonan et al.
450 (2014) tested the suitability of using a model that considers optimal stomatal behaviour and
451 plant hydraulics (SPA; Williams et al. 1996) for earth system modelling, and demonstrated
452 marked improvement over the standard model during periods of drought stress. We thus
453 suggest that models using a soil moisture stress function to simulate drought effects on gas
454 exchange should consider a dynamic approach to weighting the contribution of different soil
455 layers.

456

457 We note that this issue is related to another long-standing problem for LSMs: that of
458 determining the vertical distribution of root water uptake (e.g. Feddes et al., 2001; Federer et
459 al., 2003; Kleidon and Heimann, 1998, 2000). In the standard version of CABLE, water
460 uptake from each soil layer initially depends on the fraction of root biomass in each layer, but
461 moves downwards during drought as the upper layers are depleted. It is possible that changes
462 to the weighting of soil moisture in determining drought sensitivity should also be
463 accompanied by changes to the distribution of root water uptake, but we did not explore this
464 option here. Li et al. (2012) previously tested an alternative dynamic root water uptake
465 function (Lai and Katul, 2000) in CABLE, but found little improvement in predicted LE
466 during seasonal droughts without also considering a mechanism for hydraulic redistribution.
467 Further work should evaluate models not only against LE fluxes, but also against
468 measurements of soil moisture profiles. Many experimental sites now routinely install
469 multiple soil moisture sensors (e.g. direct gravimetric sampling, neutron probes, time domain
470 reflectometry), which provide accurate insight into root water extraction and hydraulic
471 redistribution, even down to considerable depths (>4 m). These data have thus far been
472 underutilised for model improvement, but should be a priority for reducing the uncertainty in
473 soil moisture dynamics.

474

475 *Incorporating different sensitivities to drought*

476 Using the third and best method to calculate overall Ψ_s , we found that varying drought
477 sensitivity across sites enabled the model to better capture drought effects across the
478 mesic/xeric gradient, with a high drought sensitivity implied in mesic sites and a low drought
479 sensitivity implied in xeric sites. These results should not be surprising, given the increasing
480 amount of experimental evidence suggesting that drought sensitivity varies among species
481 and across climates (e.g. Engelbrecht and Kursar, 2003; Engelbrecht et al. 2007; Skelton et al.
482 2015). In contrast to these data, most LSMs assume a single parameterisation for drought
483 sensitivity, which is typically based on mesic vegetation. Our results suggest that such a
484 parameterisation is very likely to overstate the impacts of drought on both carbon and water
485 fluxes in drier regions.

486

487 Our work thus underlines a need to move beyond models that implement drought sensitivity
488 through a single PFT parameterisation. Although we only compared vegetation at five sites, it
489 has been widely shown that species originating from different hydroclimates vary in their
490 drought sensitivities (Choat et al. 2012; Limousin et al. 2013; Zhou et al. 2014; Mitchell et al.,
491 2014; Mencuccini et al. 2015) and our results indicate that these differing sensitivities at the
492 plant level are also important at the ecosystem scale. It is, of course, challenging to implement
493 such a continuum of sensitivities in a global vegetation model. In this study, we used a simple
494 site-specific approach in which we selected three sets of model parameters from a meta-
495 analysis by Zhou et al. (2013; 2014), allowing us to characterise a range of plant responses to
496 drought. The approach we tested in this paper could not be directly implemented in global
497 vegetation models: these models would require a more sophisticated approach that relates
498 drought sensitivity to the climate of each pixel. One potential solution would be to develop an
499 empirical correlation between drought sensitivity and a long-term moisture index (e.g. the
500 ratio of mean precipitation to the equilibrium evapotranspiration; Cramer and Prentice, 1988;
501 Gallego-Sala et al. 2010). Previous studies have demonstrated the feasibility of linking model
502 parameters that determine plant water use strategy to such a moisture index in global
503 simulations (Wang et al. 2014; De Kauwe et al. 2015). Such an approach would require a
504 concerted effort to collate appropriate data, as there are few compilations to date of traits
505 related to drought sensitivity (but see Manzoni et al. 2011; Zhou et al. 2013). Another, more
506 challenging, alternative, would be to develop optimization hypotheses that can predict
507 vegetation drought sensitivity from climate (e.g. Manzoni et al. 2014).

508

509 *Further model uncertainties*

510 Whilst this work advances the ability of LSMs to simulate drought, it does not address all
511 processes needed to correctly capture drought impacts. Other issues to consider include: (i)
512 rooting depth; (ii) leaf shedding; (iii) soil evaporation; and (iv) soil heterogeneity, among
513 others.

514

515 Here we have assumed that all sites had the soil depth (4.6 m), with rooting depth distributed
516 exponential through the profile, as is commonly used in LSMs. However, this assumption
517 may be incorrect. Access to water by deep roots could be a potential alternative explanation
518 for the low drought sensitivity that we inferred at the southernmost (xeric) site, Espirra. Here
519 the dominant species is not native to the region, but rather a plantation of blue gum
520 (*Eucalyptus globulus*), a species that is generally found to have high, not low, drought
521 sensitivity (White 1996; Mitchell et al. 2014). Many eucalypts have a deep rooting strategy
522 (Fabiao et al. 1987), suggesting a possible alternative explanation for drought tolerance at this
523 site. More in-depth study of fluxes and soil moisture patterns at this site would be needed to
524 determine the role of rooting depth.

525

526 During droughts, plants are often observed to shed their leaves. This is a self-regulatory
527 mechanism to reduce water losses (Tyree et al. 1993; Jonasson et al. 1997; Bréda et al. 2006).
528 During the 2003 heatwave at Hesse, an early reduction of approximately $1.7 \text{ m}^2 \text{ m}^{-2}$ was
529 observed. Similarly, at Brasschaat there was a observed reduction of $0.8 \text{ m}^2 \text{ m}^{-2}$ and at
530 Tharandt needle-litter was increased during September until November, with LAI estimated to
531 be $0.9 \text{ m}^2 \text{ m}^{-2}$ lower (Bréda et al. 2006; Granier et al. 2007). In contrast, models typically fix
532 turnover rates for leaves and as such this feedback is largely absent from models. During
533 periods of water stress, models do simulate an indirect reduction in LAI via down-regulated
534 net primary productivity, but this feedback is much slower than is commonly observed. Not
535 accounting for this canopy-scale feedback will result in models over-estimating carbon and
536 water fluxes and thus losses in θ during drought.

537

538 Existing models also disagree as to the mechanism by which to down-regulate productivity
539 during periods of water stress (De Kauwe et al. 2013). In the standard version of CABLE,
540 only the slope of the relationship between g_s and A is reduced by water stress. The SPA model
541 behaves similarly. In contrast, JULES (Clark et al. 2011) and the Sheffield Dynamic Global
542 Vegetation Model (SDGVM; Woodward and Lomas, 2004), down-regulate the
543 photosynthetic capacity via the biochemical parameters V_{cmax} and J_{max} (maximum electron
544 transport rate). Here, we assumed that water stress affects both the slope of g_s - A and the
545 biochemical parameters V_{cmax} and J_{max} , supported by results from Zhou et al. (2013, 2014).
546 We did not evaluate this assumption against the eddy flux data. However, previous studies
547 have also suggested that both effects are needed to explain responses of fluxes during drought
548 (Keenan et al. 2010).

549

550 Finally, although models do have the capacity to simulate vertical variations in θ , they do not
551 always represent horizontal sub-grid scale variability. This assumption is likely to contribute
552 to the abruptness of modelled transitions from well-watered to completely down-regulated
553 carbon and water fluxes. Earlier work by Entekhabi and Eagleson (1989), and models such as
554 the variable infiltration capacity (VIC) model (Liang et al. 1994), and most recently Decker
555 2015 (submitted) have attempted to address this issue by employing statistical distributions to
556 approximate horizontal spatial heterogeneity in soil moisture (see also Crow and Wood,
557 2002). These parsimonious approaches typically require few parameters, making them
558 attractive in the LSM context and potentially suitable for modelling ecosystem and
559 hydrological responses to drought (Luo et al. 2013).

560

561 *Testing models against extreme events*

562 In conclusion, we have used a model evaluation against flux measurements during a large-
563 scale heatwave event to make significant progress in modelling of drought impacts. While
564 model evaluation against data is now commonplace (Prentice et al. 2015) and has recently
565 been extended to formal benchmarking, particularly in the land surface community
566 (Abramowitz, 2005; Best et al. 2015), many of these benchmarking indicators are based on
567 seasonal or annual outputs and thus miss the opportunity to examine model performance
568 during extreme events. Model projections under future climate change require good

569 mechanistic representations of the impacts of extreme events. However, responses to extreme
570 events are rarely evaluated and there is therefore an urgent need to orient model testing to
571 periods of extremes. To that end, precipitation manipulation experiments (e.g. Nepstad et al.
572 2002; Hanson et al. 2003; Pangle et al. 2012) represent a good example of a currently under-
573 exploited avenue (but see Fisher et al. 2007; Powell et al. 2013) that could be used for model
574 evaluation and/or benchmarking (Smith et al. 2014). However, we urge that these exercises do
575 not focus solely on overall model performance, but also test the realism of individual model
576 assumptions (Medlyn et al. 2015).

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1106 **Figure Captions**

1107 Figure 1: A comparison of the observed (OBS) and modelled (CTRL) Latent Heat (LE) and
1108 transpiration (E) at five Fluxnet sites during 2003. The data have been smoothed with a 5-day
1109 moving window to aid visualisation.

1110
1111 Figure 2: Modelled impact of drought on the assimilation rate (A), shown as (a) a function of
1112 volumetric soil moisture content (θ) and (b) soil water potential (Ψ_s) for a sand and clay soil.

1113
1114 Figure 3: A comparison of the observed (OBS) and modelled latent Heat (LE), transpiration
1115 (E) and gross primary productivity (GPP) at the Tharandt site during 2003. Simulations show
1116 the control (CTRL) and the three parameterisations that represent a spectrum of behaviour
1117 ranging from a high to low drought sensitivity, and the tested methods to obtain a weighted
1118 estimate of soil water potential (Ψ_s) across CABLE's soil layers (M1-M3). M1 uses a root-
1119 biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated soil
1120 water content over the top 1.7m of the soil, and M3 is calculated using a dynamic weight
1121 across soil layers. The data have been smoothed with a 5-day moving window to aid
1122 visualisation and the grey bars show daily rainfall.

1123
1124 Figure 4: A comparison of the observed (OBS) and modelled latent Heat (LE), transpiration
1125 (E) and gross primary productivity (GPP) at the Hesse site during 2003. Simulations show the
1126 control (CTRL) and the three parameterisations that represent a spectrum of behaviour
1127 ranging from a high to low drought sensitivity, and the tested methods to obtain a weighted
1128 estimate of soil water potential (Ψ_s) across CABLE's soil layers (M1-M3). M1 uses a root-
1129 biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated soil
1130 water content over the top 1.7m of the soil, and M3 is calculated using a dynamic weight
1131 across soil layers. The data have been smoothed with a 5-day moving window to aid
1132 visualisation and the grey bars show daily rainfall.

1133

1134 Figure 5: A comparison of the observed (OBS) and modelled latent Heat (LE), transpiration
1135 (E) and gross primary productivity (GPP) at the Roccarespampani site during 2003.
1136 Simulations show the control (CTRL) and the three parameterisations that represent a
1137 spectrum of behaviour ranging from a high to low drought sensitivity, and the tested methods
1138 to obtain a weighted estimate of soil water potential (Ψ_s) across CABLE's soil layers (M1-
1139 M3). M1 uses a root-biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s
1140 by integrated soil water content over the top 1.7m of the soil, and M3 is calculated using a
1141 dynamic weight across soil layers. The data have been smoothed with a 5-day moving
1142 window to aid visualisation and the grey bars show daily rainfall.

1143

1144 Figure 6: A comparison of the observed (OBS) and modelled latent Heat (LE), transpiration
1145 (E) and gross primary productivity (GPP) at the Castelporziano site during 2003. Simulations
1146 show the control (CTRL) and the three parameterisations that represent a spectrum of
1147 behaviour ranging from a high to low drought sensitivity, and the tested methods to obtain a
1148 weighted estimate of soil water potential (Ψ_s) across CABLE's soil layers (M1-M3). M1 uses
1149 a root-biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated
1150 soil water content over the top 1.7m of the soil, and M3 is calculated using a dynamic weight
1151 across soil layers. The data have been smoothed with a 5-day moving window to aid
1152 visualisation and the grey bars show daily rainfall.

1153

1154 Figure 7: A comparison of the observed (OBS) and modelled latent Heat (LE), transpiration
1155 (E) and gross primary productivity (GPP) at the Espirra site during 2003. Simulations show
1156 the control (CTRL) and the three parameterisations that represent a spectrum of behaviour
1157 ranging from a high to low drought sensitivity, and the tested methods to obtain a weighted
1158 estimate of soil water potential (Ψ_s) across CABLE's soil layers (M1-M3). M1 uses a root-
1159 biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated soil
1160 water content over the top 1.7m of the soil, and M3 is calculated using a dynamic weight
1161 across soil layers. The data have been smoothed with a 5-day moving window to aid
1162 visualisation and the grey bars show daily rainfall.

1163

1164 Supplementary Figure 1: Simulated soil water content of each of CABLE's six layers for the
1165 control (CTRL), and three drought sensitivities (high, medium, low) based on Zhou et al.
1166 (2013; 2014) at the Tharandt site. The grey shading highlights the heatwave period between
1167 the 1st of June and the 31st of August. The data have been smoothed with a 5-day moving
1168 window to aid visualisation.

1169 Supplementary Figure 2: Simulated soil water content of each of CABLE's six layers for the
1170 control (CTRL), and three drought sensitivities (high, medium, low) based on Zhou et al.
1171 (2013; 2014) at the Hesse site. The grey shading highlights the heatwave period between the
1172 1st of June and the 31st of August. The data have been smoothed with a 5-day moving
1173 window to aid visualisation.

1174

1175 Supplementary Figure 3: Simulated soil water content of each of CABLE's six layers for the
1176 control (CTRL), and three drought sensitivities (high, medium, low) based on Zhou et al.
1177 (2013; 2014) at the Roccarespampani site. The grey shading highlights the heatwave period
1178 between the 1st of June and the 31st of August. The data have been smoothed with a 5-day
1179 moving window to aid visualisation.

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1181 Supplementary Figure 4: Simulated soil water content of each of CABLE's six layers for the
1182 control (CTRL), and three drought sensitivities (high, medium, low) based on Zhou et al.
1183 (2013; 2014) at the Castelporziano site. The grey shading highlights the heatwave period
1184 between the 1st of June and the 31st of August. The data have been smoothed with a 5-day
1185 moving window to aid visualisation.

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1187 Supplementary Figure 5: Simulated soil water content of each of CABLE's six layers for the
1188 control (CTRL), and three drought sensitivities (high, medium, low) based on Zhou et al.
1189 (2013; 2014) at the Espirra site. The grey shading highlights the heatwave period between the
1190 1st of June and the 31st of August. The data have been smoothed with a 5-day moving
1191 window to aid visualisation.

1192 Table 1. Baseline parameter values used to represent the three sensitivities: “high” (*Quercus*
 1193 *robur*), “medium” (*Quercus ilex*) and “low” (*Cedrus atlantica*) to drought stress. Parameter
 1194 values are taken from Zhou et al. (2013; 2014).

Sensitivity	b	S_f	Ψ_f
High	1.55	6.0	-0.53
Medium	0.82	1.9	-1.85
Low	0.46	5.28	-2.31

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1196 Table 2: Summary of flux tower sites.

Site	PFT	Dominant species	Latitude	Longitude	Country	Sand/Silt/Clay Fraction
Tharandt	ENF	<i>Picea abies</i>	50°58' N	13°34' E	Germany	0.37/0.33/0.3
Hesse	DBF	<i>Fagus sylvatica</i>	48°40' N	7°05' E	France	0.37/0.33/0.3
Roccarespampani	DBF	<i>Quercus cerris</i>	42°24' N	11°55' E	Italy	0.6/0.2/0.2
Castelporziano	EBF	<i>Quercus ilex</i>	41°42' N	12°22' E	Italy	0.6/0.2/0.2
Espirra	EBF	<i>Eucalyptus globulus</i>	38°38' N	8°36' W	Portugal	0.37/0.33/0.3

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1205 Table 3: Mean change in climate and fluxes between 2002 and 2003 covering the period
1206 between June and September.

Site	Precipitation (mm month ⁻¹)	Air temperature (° C)	GPP (g C m ⁻² month ⁻¹)	LE (W m ⁻²)
Tharandt	-115.57	1.45	-38.45	0.52
Hesse	-49.20	2.98	-123.38	-11.90
Roccarespampani	-87.36	2.18	-71.94	-6.17
Castelporziano	-20.31	4.57	-49.73	-6.47
Espirra	-14.45	1.77	28.46	22.83

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1212 Table 4: Summary statistics of modelled and observed latent heat (LE) at the five FLUXNET sites during the main drought period (1st of
1213 June – 31st August, 2003). The results of the three parameterisations, which represent a spectrum of behaviour, ranging from high to low
1214 drought sensitivity, are shown for the three tested approaches (M1-M3) to obtain a weighted estimate of soil water potential (Ψ_s) across
1215 CABLE's soil layers. M1 uses a root-biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated soil water content
1216 over the top 1.7m of the soil, and M3 is calculated using a dynamic weighting across soil layers. Sites have been ordered to show a mesic-
1217 xeric transition between sites (Tharandt to Espirra). For each site the best performing model simulation has been highlighted in bold.

Site	Ψ_s Method	Root Mean Squared Error (RMSE; W m ⁻²)				Nash-Sutcliffe efficiency (NSE)				Pearsons's correlation coefficient (r)			
		CTRL	High	Medium	Low	CTRL	High	Medium	Low	CTRL	High	Medium	Low
Tharandt	M1	21.25	24.64;	26.57	29.55	-0.70	-1.28	-1.65	-2.28	0.69	0.73	0.73	0.70
	M2		34.59	36.20	36.97		-3.50	-3.93	-4.14		0.58	0.56	0.55
	M3		25.90	29.39	32.26		-1.52	-2.25	-2.94		0.72	0.67	0.63
Hesse	M1	28.50	36.22	41.59	51.49	0.15	-0.37	-0.81	-1.77	0.68	0.66	0.74	0.79
	M2		52.60	59.87	63.46		-1.89	-2.75	-3.21		0.80	0.75	0.71
	M3		28.82	45.32	56.46		0.13	-1.15	-2.33		0.79	0.84	0.77
Roccarespampani	M1	38.00	48.41	40.98	34.27	-0.34	-1.17	-0.55	-0.09	0.67	0.52	0.67;	0.81
	M2		31.62	22.81	26.81		0.08	0.52	0.34		0.83	0.84;	0.79
	M3		45.12	18.27	29.50		-0.88	0.69	0.20		0.67	0.85	0.81
Castelporziano	M1	31.76	38.77	40.54	40.40	-8.95	-13.82	-15.21	-15.10	0.18	-0.08	0.01	0.06

Espirra	M1	35.31	41.52	40.97	33.87	-3.35	-5.02;	-4.86	-3.01;	0.42	0.32	0.59	0.70
	M2		15.58	13.82	13.84		0.15;	0.33	0.33;		0.77	0.74	0.73
	M3		41.01	20.41	15.40		-4.81	-0.45	0.17		0.57	0.53	0.55

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1226 Table 5: Summary statistics of modelled and flux derived gross primary productivity (GPP) at the five FLUXNET sites during the main
1227 drought period (1st of June – 31st August, 2003). The results of the three parameterisations, which represent a spectrum of behaviour, ranging
1228 from high to low drought sensitivity, are shown for the three tested approaches (M1-M3) to obtain a weighted estimate of soil water potential
1229 (Ψ_s) across CABLE's soil layers. M1 uses a root-biomass weighted soil water content converted to Ψ_s , M2 calculates Ψ_s by integrated soil
1230 water content over the top 1.7m of the soil, and M3 is calculated using a dynamic weighting across soil layers. Sites have been ordered to
1231 show a mesic-xeric transition between sites (Tharandt to Espirra). For each site the best performing model simulation has been highlighted in
1232 bold.

Site	Ψ_s Method	Root Mean Squared Error (RMSE; g C m ⁻² d ⁻¹)				Nash-Sutcliffe efficiency (NSE)				Pearsons's correlation coefficient (r)			
		CTRL	High	Medium	Low	CTRL	High	Medium	Low	CTRL	High	Medium	Low
Tharandt	M1	2.06	2.27	2.07	2.10	0.33	0.19	0.33	0.31	0.80	0.71	0.66	0.61
	M2		2.25	2.29	2.30		0.20	0.18	0.17		0.52	0.51	0.50
	M3		2.23	2.12	2.20		0.22	0.30	0.25		0.66	0.59	0.55
Hesse	M1	2.85	3.57	2.48	2.94	0.48	0.18	0.60	0.44	0.79	0.78	0.78	0.71
	M2		2.65	3.22	3.47		0.55	0.33	0.22		0.75	0.67	0.62
	M3		3.51	2.71	3.24		0.21	0.53	0.32		0.83	0.75	0.66
Roccarespampani	M1	2.49	3.70	2.69	2.38	0.42	-0.28	0.32	0.47	0.85	0.64	0.82	0.87
	M2		2,12	1.47	2.84		0.58	0.80	0.24		0.92	0.91	0.87
	M3		3.74	1.73	3.08		-0.31	0.72	0.11		0.84	0.91	0.85
Castelporziano	M1	2.22	3.46	3.64	3.76	-2.16	-6.71	-7.51	-8.08	0.55	-0.18	0.07	0.13
	M2		2.65;	1.84	1.22		-3.52	-1.17	0.04		0.63	0.63	0.81
	M3		3.71	0.95	1.46		-7.82	0.42	-0.37		0.05	0.81	0.84
Espirra	M1	3.03	4.39	4.33	3.72	-2.67	-6.72	-6.51	-4.55	0.74	0.58	0.53	0.67

M2	1.92	1.46	1.34	-0.48	0.14	0.28	0.80	0.81	0.81
M3	4.70	2.01	1.43	-7.84	-0.62	0.18	0.34	0.74	0.78

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Figure 1

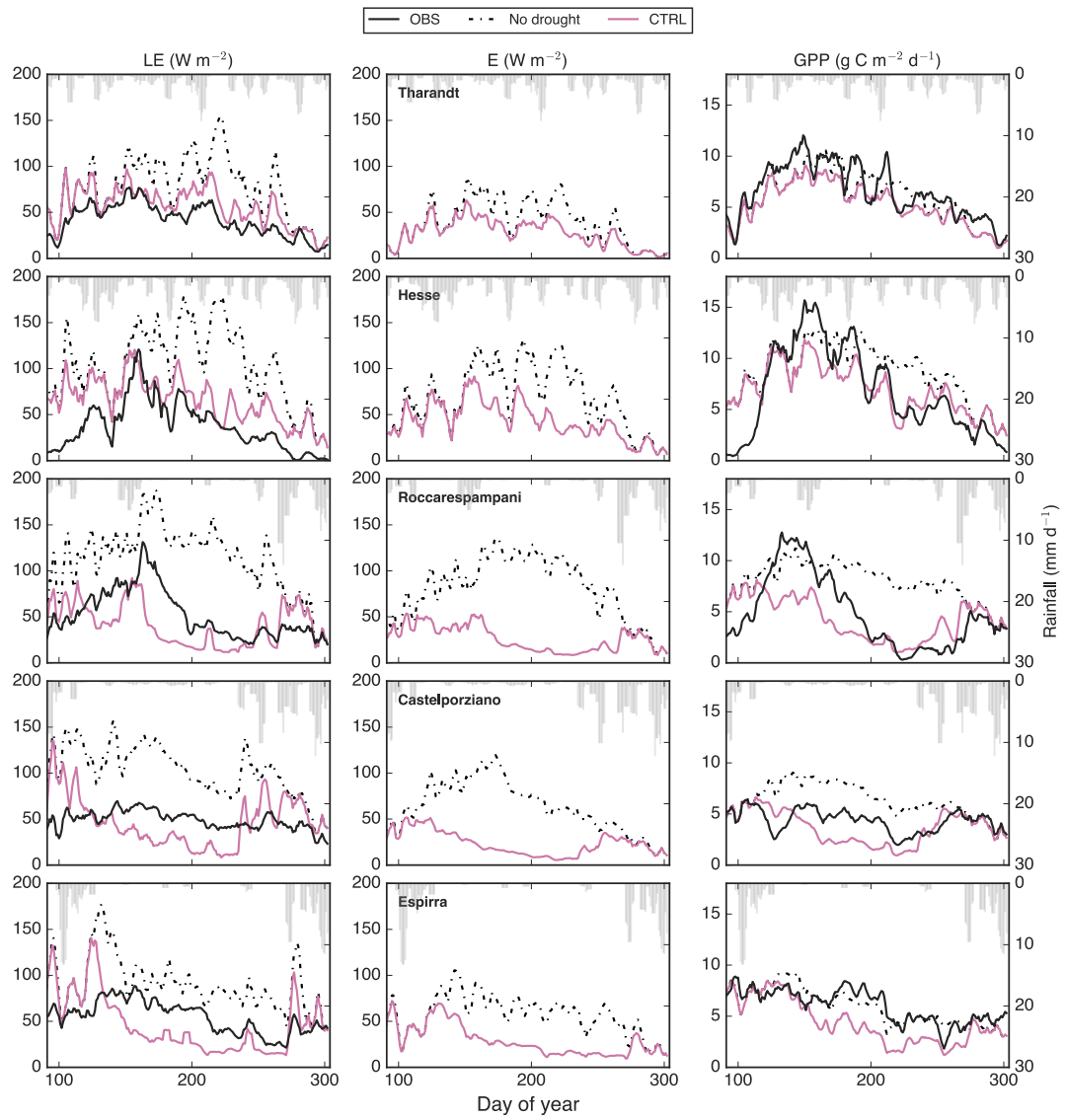


Figure 2

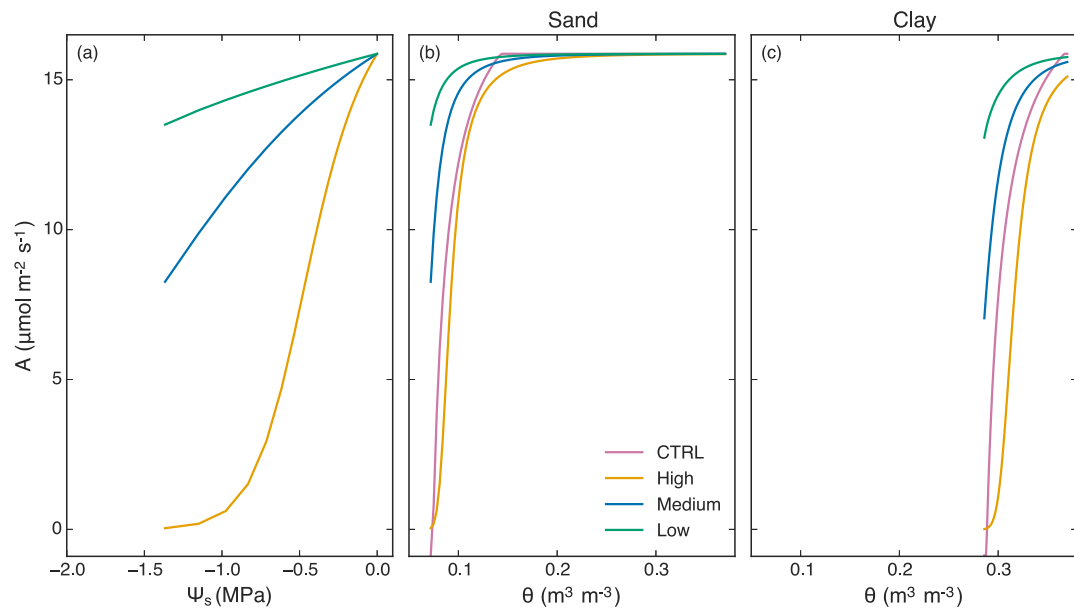


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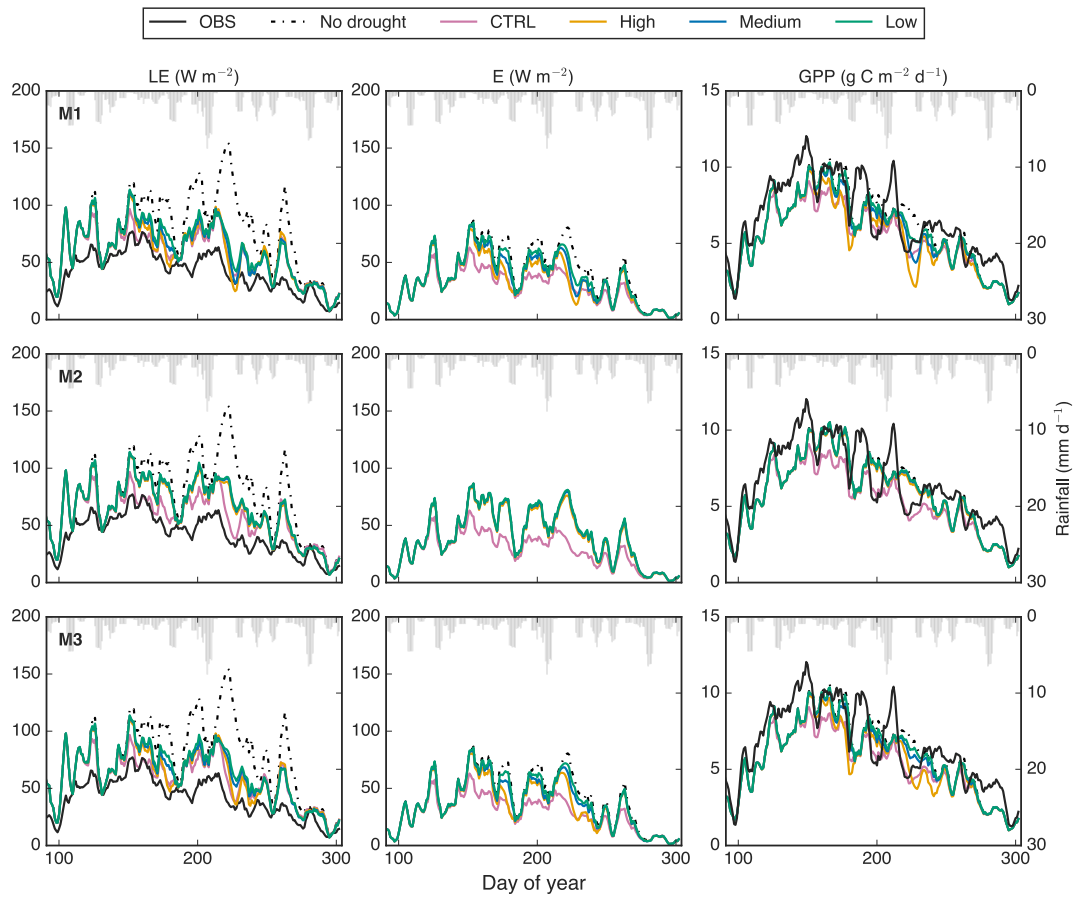


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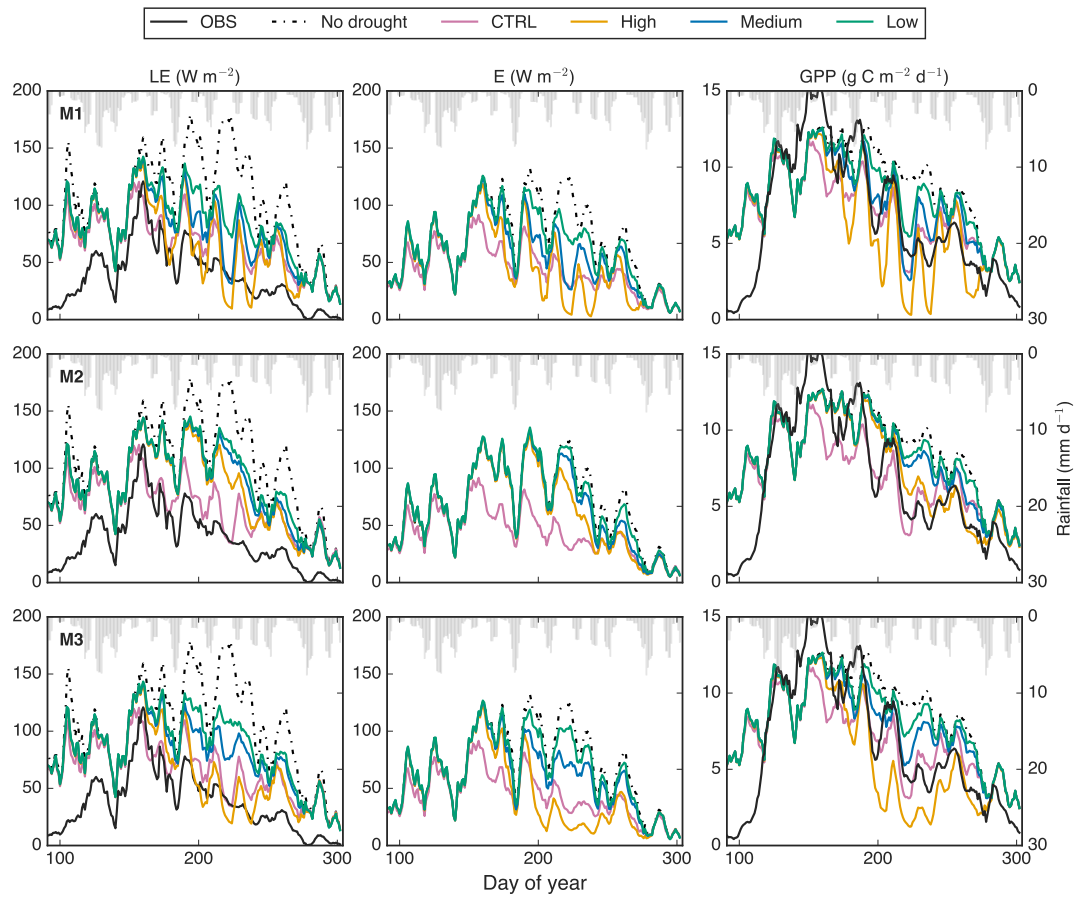


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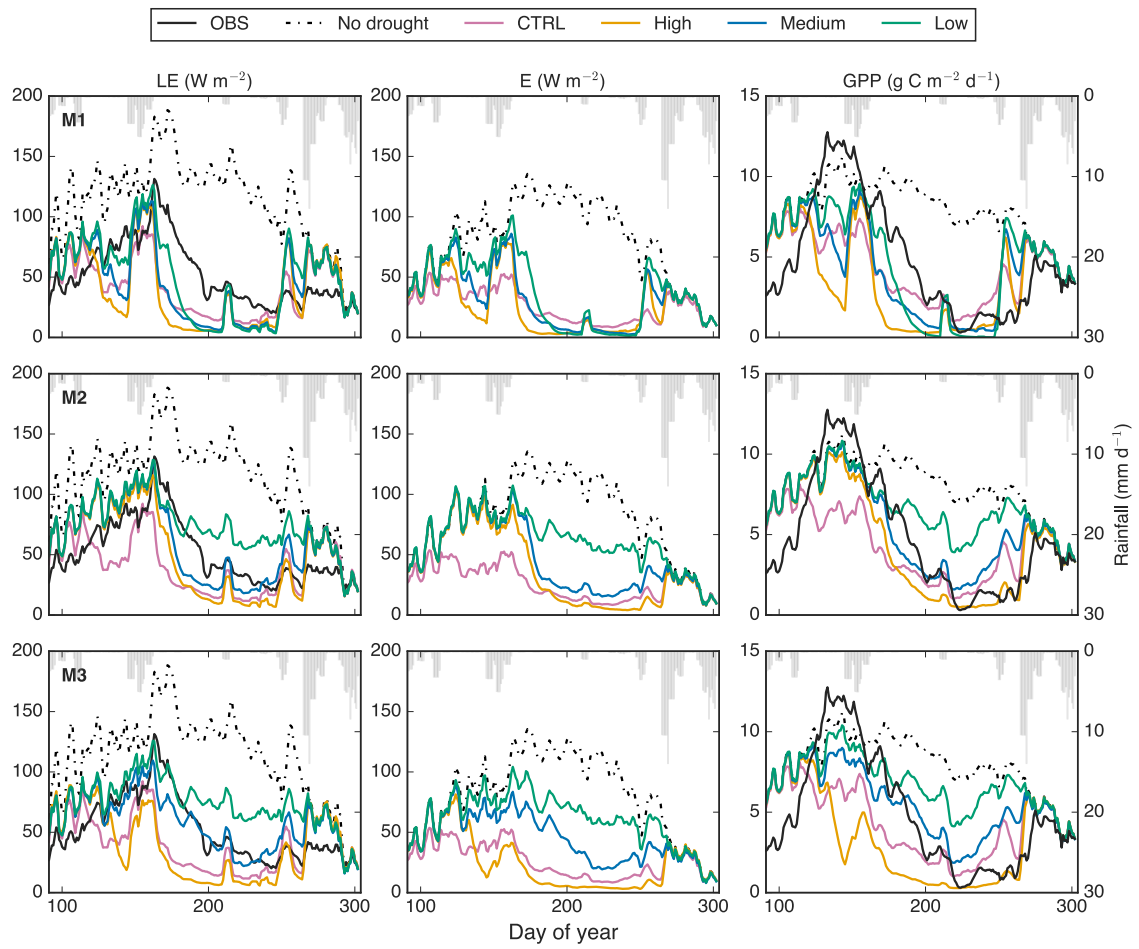


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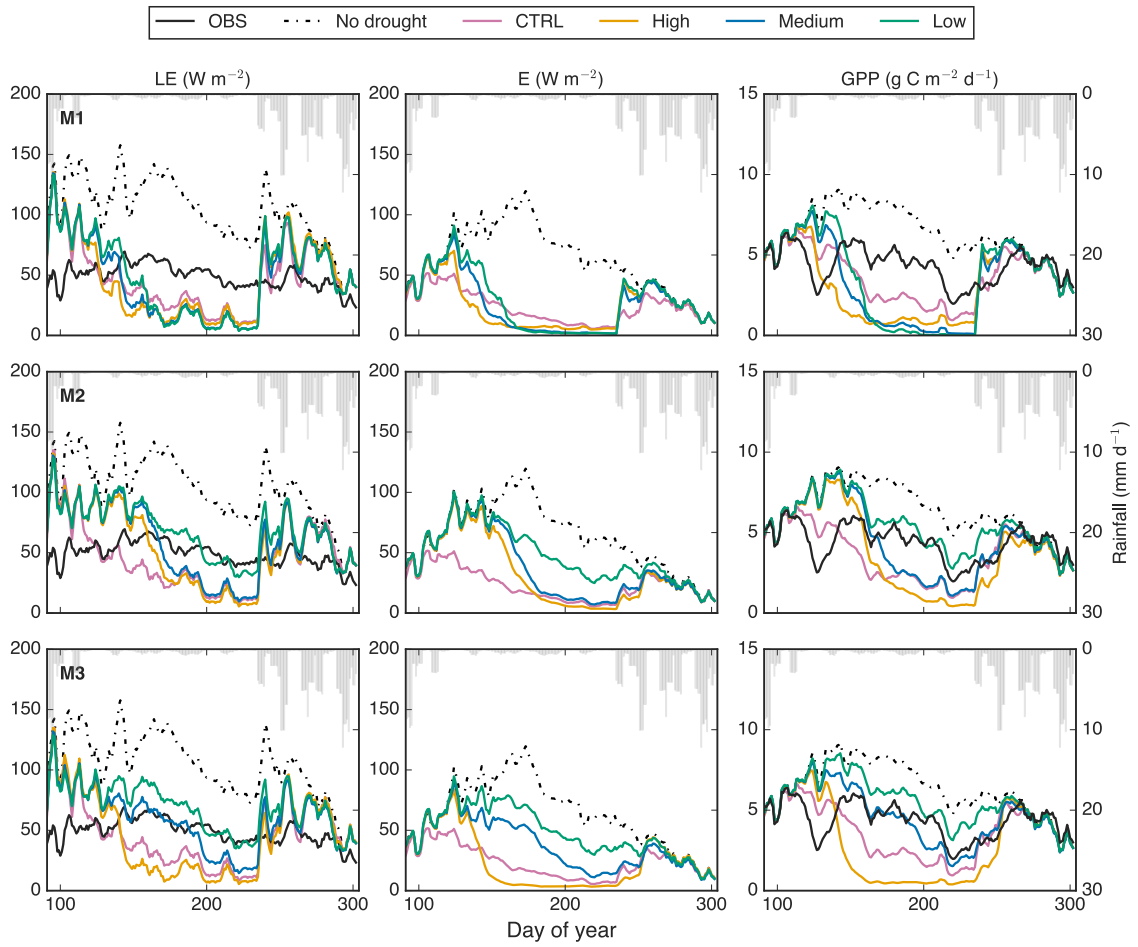


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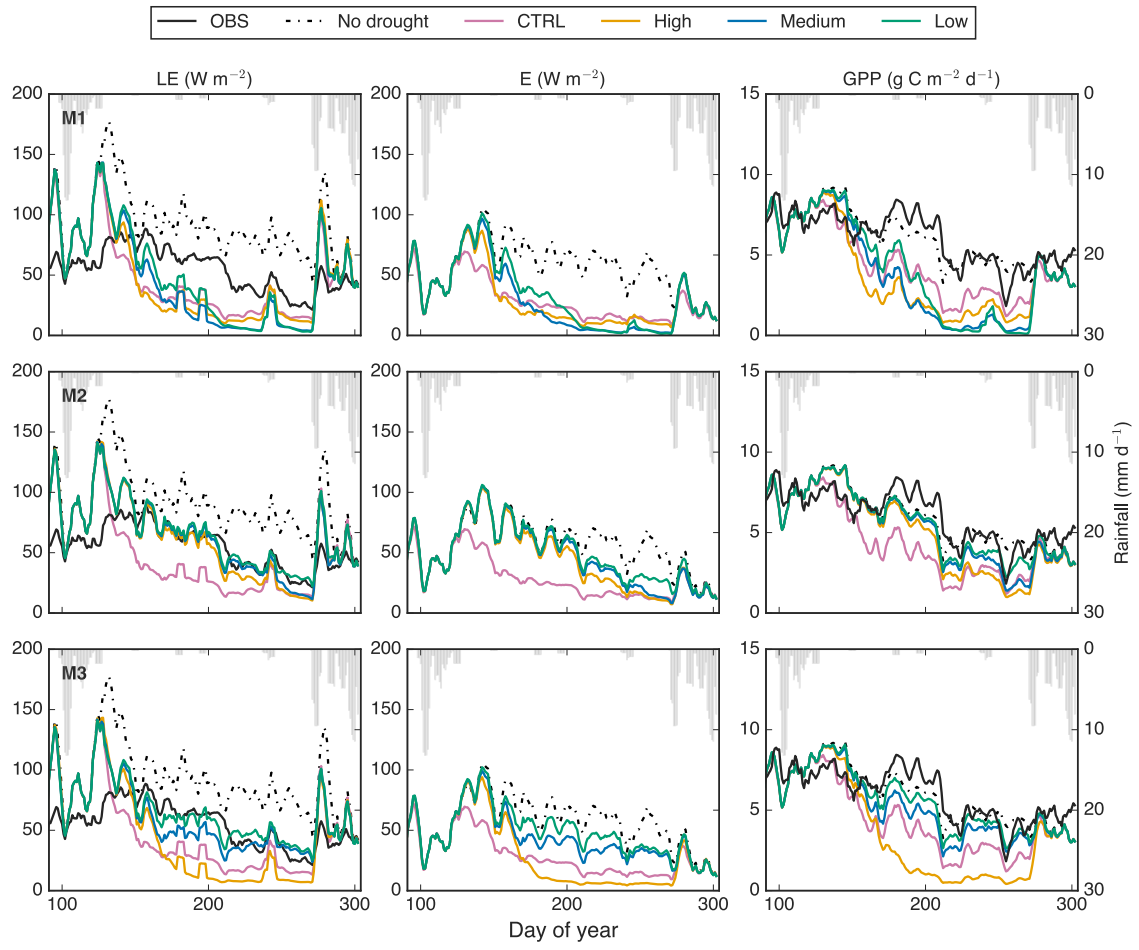


Figure S1

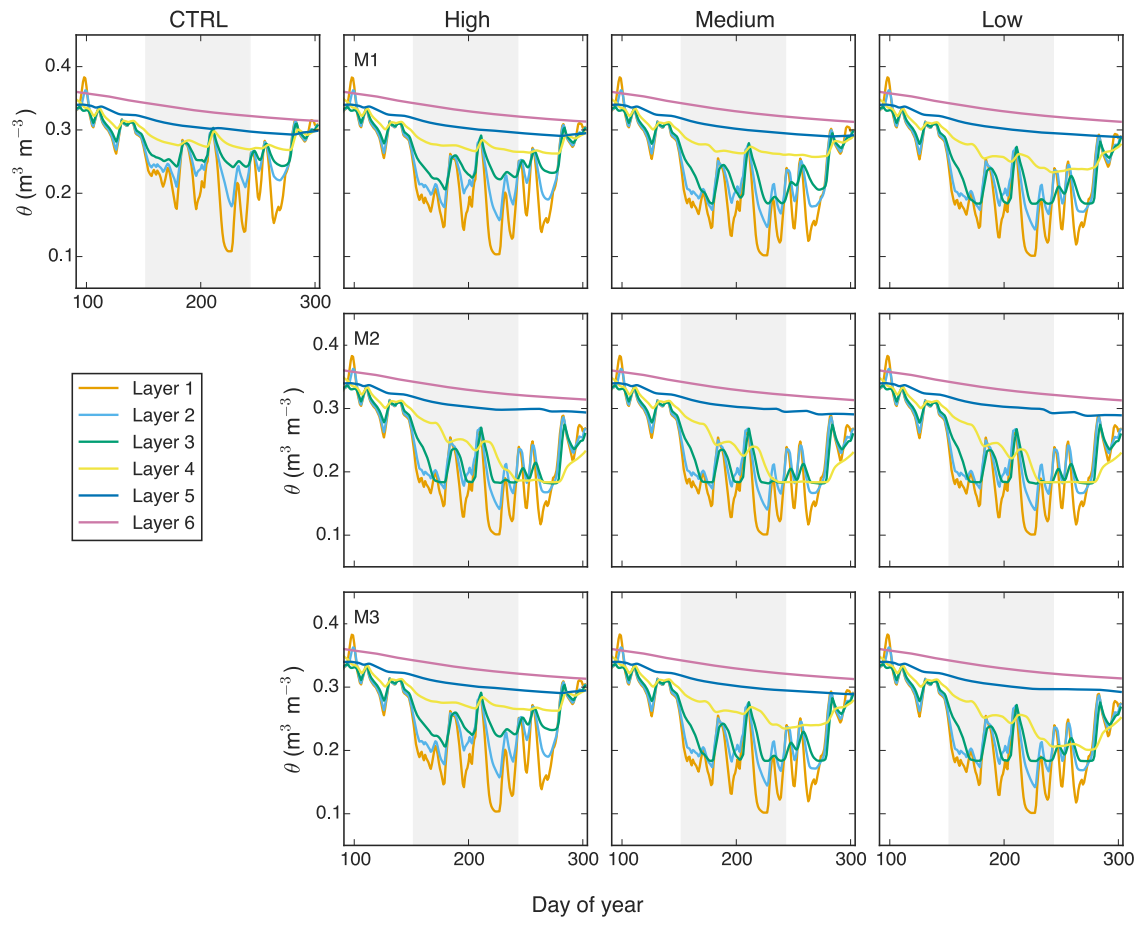


Figure S2

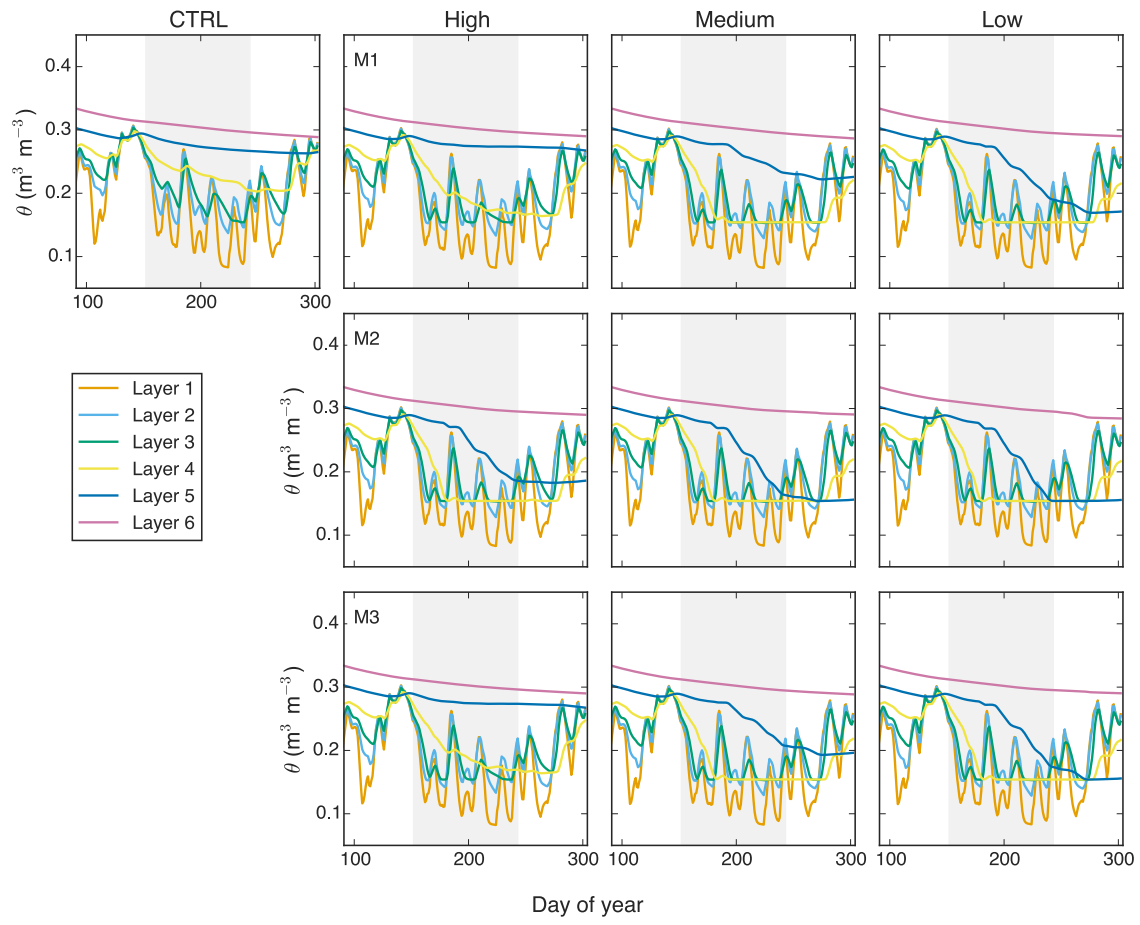


Figure S3

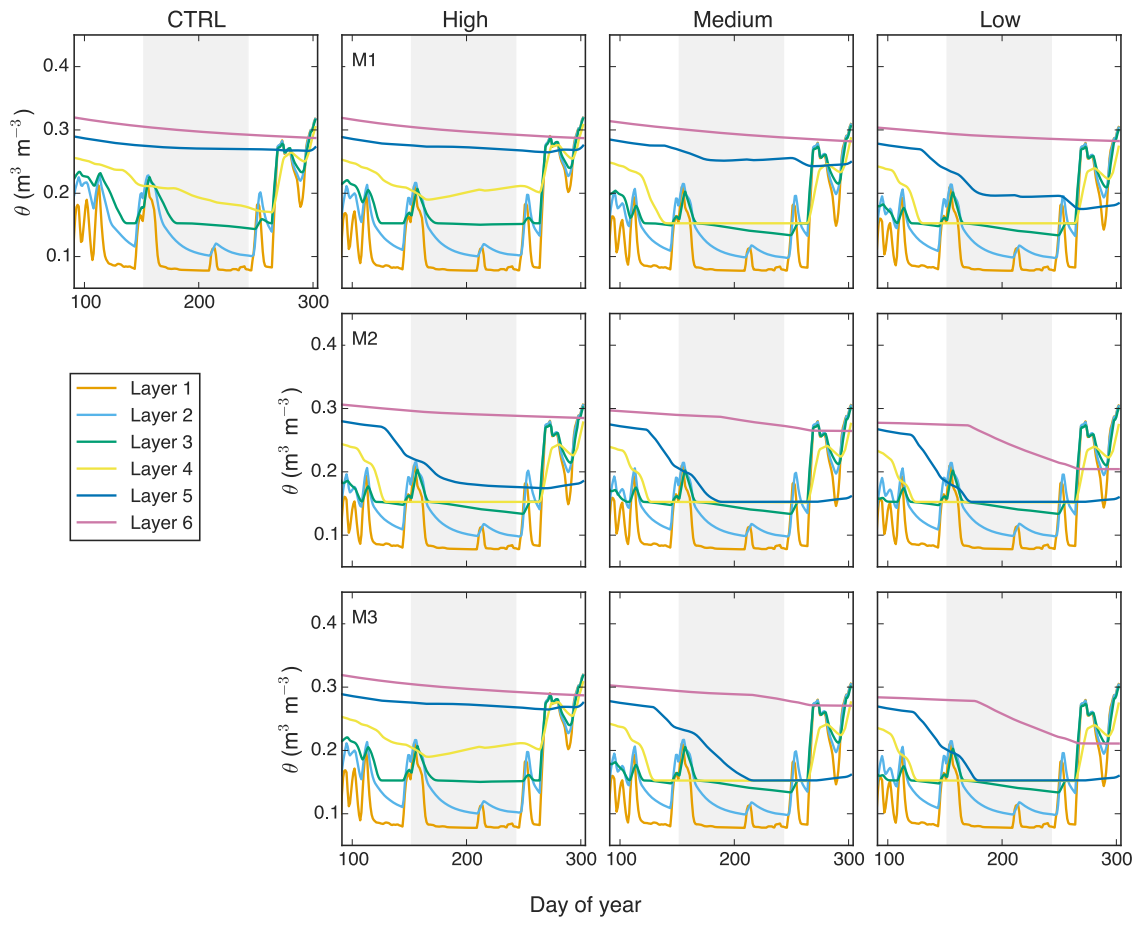


Figure S4

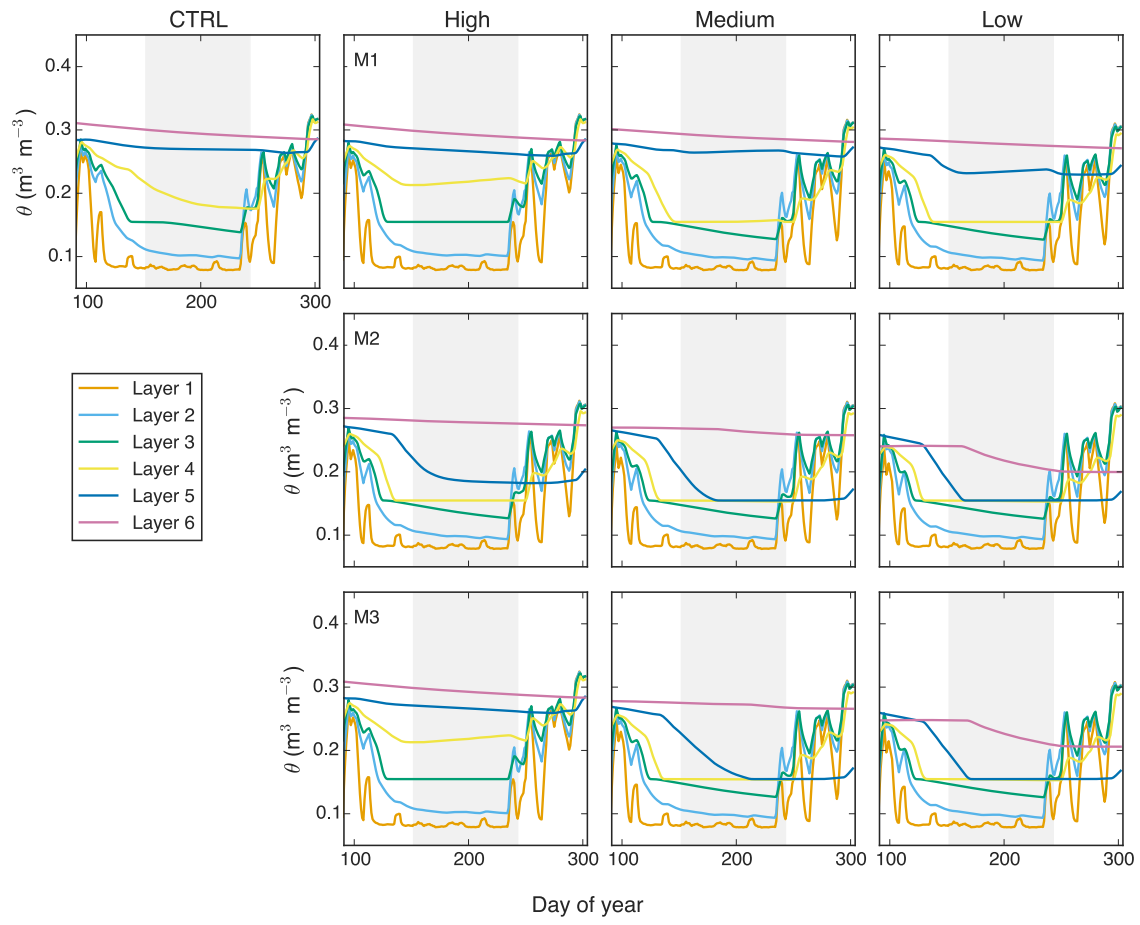


Figure S5

