

Looking beyond stratification: a model-based analysis of the biological drivers of oxygen deficiency in the North Sea

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Abstract

Low oxygen conditions, often referred to as oxygen deficiency, occur regularly in the North Sea, a temperate European shelf sea. Stratification represents a major process regulating the seasonal dynamics of bottom oxygen, yet, lowest oxygen conditions in the North Sea do not occur in the regions of strongest stratification. This suggests that stratification is an important prerequisite for oxygen deficiency, but that the complex interaction between hydrodynamics and the biological processes drives its evolution.

In this study we use the ecosystem model HAMSOM-ECOHAM to provide a general characterisation of the different zones of the North Sea with respect to oxygen, and to quantify the impact of the different physical and biological factors driving the oxygen dynamics inside the entire sub-thermocline volume and directly above the bottom.

With respect to oxygen dynamics, the North Sea can be subdivided into three different zones: (1) a highly productive, non-stratified coastal zone, (2) a productive, seasonally stratified zone with a small sub-thermocline volume, and (3) a productive, seasonally stratified zone with a large sub-thermocline volume. Type 2 reveals the highest susceptibility to oxygen deficiency due to sufficiently long stratification periods (> 60 days) accompanied by high surface productivity resulting in high biological consumption, and a small sub-thermocline volume implying both a small initial oxygen inventory and a strong influence of the biological consumption on the oxygen concentration.

Year-to-year variations in the oxygen conditions are caused by variations in primary production, while spatial differences can be attributed to differences in stratification and water depth. The large sub-thermocline volume dominates the oxygen dynamics in the northern central and northern North Sea and makes this region insusceptible to oxygen deficiency. In the southern North Sea the strong tidal mixing inhibits the development of seasonal stratification which protects this area from the evolution of low oxygen conditions. In contrast, the southern central North Sea is highly susceptible to low oxygen conditions (type 2).

We furthermore show that benthic diagenetic processes represent the main oxygen consumers in the bottom layer, consistently accounting for more than 50 % of the overall con-

sumption. Thus, primary production followed by remineralisation of organic matter under stratified conditions constitutes the main driver for the evolution of oxygen deficiency in the southern central North Sea. By providing these valuable insights, we show that ecosystem models can be a useful tool for the interpretation of observations and the estimation of the impact of anthropogenic drivers on the North Sea oxygen conditions.

1 Introduction

Low oxygen (O_2) conditions (concentrations $< 6 \text{ mg } O_2 \text{ L}^{-1}$; OSPAR-Commission, 2003), often referred to as O_2 deficiency, occur regularly in the North Sea. A major process regulating the seasonal dynamics of bottom O_2 is the occurrence and duration of thermal stratification (e.g., Greenwood et al., 2010; O'Boyle and Nolan, 2010), which limits the vertical exchange of O_2 between the oxygenated surface layer and the deeper layers. In combination with events of enhanced primary production, and the subsequent degradation of organic matter, this favours the evolution of O_2 deficiency (e.g., Diaz and Rosenberg, 2008). Although the northern North Sea reveals strongest stratification, lowest O_2 concentrations occur in the central North Sea, which is shallower and where the duration of stratification is shorter and shows highest year-to-year variability. Thus, one can argue that stratification is an important prerequisite for O_2 deficiency, but its severity and duration is controlled by the complex interaction between the hydrodynamical condition and the biogeochemical processes involved.

The North Sea is a temperate, semi-enclosed shelf sea adjacent to the northeastern Atlantic ocean. It has an average depth of about 90 m (Ducrotoy et al., 2000) with northward increasing bottom depth. The North Sea circulation is characterised by a cyclonic pattern mainly driven by the southward Atlantic inflow across the shelf edge defining its northern boundary. Lenhart and Pohlmann (1997) showed that about 85 % of the incoming Atlantic water is recirculated north of the Dogger Bank, a shallow area with water depth less than 40 m and 300 km of zonal extent at about 55° N , 2° E (Kröncke and Knust, 1995). The circulation south of the Dogger Bank is governed by the inflow through the English Channel

and follows the continental coast. At the southern tip of Norway it joins the Norwegian coastal current leaving the North Sea at its northern boundary.

60 Stratification in the North Sea reveals some substantial regional differences. While the shallower southern parts are permanently well-mixed due to the strong influence of the M_2 tidal component (Otto et al., 1990), the deeper parts north of 54° N reveal seasonal, mostly thermal stratification (e.g., Burt et al., 2014; Pingree et al., 1978; van Leeuwen et al., 2015). Seasonal haline stratification occurs to a lesser extent along the Norwegian coast. The transition between these permanently mixed and seasonally stratified regions occurs gradually (Pingree et al., 1978). In consequence, even areas relatively near to the coast, 65 which are affected by high riverine nutrient run-off, often reveal stratified conditions at sub-seasonal timescales (e.g., Burt et al., 2014).

In the 1980s, events of O_2 deficiency reaching values below $3 \text{ mg } O_2 \text{ L}^{-1}$ occurred regularly in the stratified southeastern central North Sea and in the German Bight (Brockmann and Eberlein, 1986; Brockmann et al., 1990; Rachor and Albrecht, 1983). During that time, 70 the problem of low O_2 conditions in the North Sea reached public awareness in relation to eutrophication as demersal animals died across a large area due to these low O_2 concentrations (von Westernhagen et al., 1986). Eutrophication, or in other words, high anthropogenic nutrient loads mainly supplied by rivers (Brockmann et al., 1988; Jickells, 1998; Rabalais et al., 2010), may raise the ambient nutrient concentrations followed by an increase in biomass production. Under given physical conditions, eutrophication thus causes 75 an enhanced supply of organic matter sinking into the subsurface layer and reinforces O_2 consumption near the sea floor due to bacterial remineralisation.

Even though the second International Conference on the Protection of the North Sea (INSC-2) prescribed a 50 %-reduction of river nutrient loads (inorganic nitrogen and phosphorus) in order to mitigate the effects of eutrophication (de Jong, 2006), Fig. 1 shows that 80 O_2 deficiency remains a persistent problem in the North Sea up to the present day. According to Kemp et al. (2009) these events can be classified as “persistent seasonal”. Low bottom O_2 concentrations may cause death of benthic organisms or fish eggs as well as avoidance of the affected areas by benthic species. Therefore, low O_2 concentrations con-

85 stitute a major indicator of eutrophication (category 3 indicator, i.e., “evidence of undesirable disturbance”; OSPAR-Commission, 2003) and concentrations lower than $6 \text{ mg O}_2 \text{ L}^{-1}$
result in the classification as “problem area” in terms of eutrophication within the OSPAR
assessment (Claussen et al., 2009). In the present study the term “oxygen deficiency” is
90 used in this OSPAR context rather than “hypoxia”. While O_2 deficiency is clearly defined
within OSPAR by the $6 \text{ mg O}_2 \text{ L}^{-1}$ threshold, hypoxia refers to the negative impact of low O_2
concentrations on organisms. An overview of the impact of hypoxia on marine biodiversity
can be found in Vaquer-Sunyer and Duarte (2008). Further descriptions on the ecological
disturbance of different levels of low O_2 concentrations are summarised by Friedrich et al.
(2014) and Topcu et al. (2009).

95 Despite the relevance of the bottom O_2 concentrations for the assessment of the ecological
status of an ecosystem, O_2 measurements are sparse and either temporally or spatially
limited. In addition, it is difficult to place the measurement at the right time and location to
obtain a comprehensive picture of the duration and spatial extent of summer O_2 deficiency
(Friedrich et al., 2014). One way to address this problem is to analyse the representative-
100 ness of available data with respect to eutrophication assessment (Brockmann and Topcu,
2014).

Only in recent years continuous measurements for the North Sea have become avail-
able by, e.g., the SmartBuoy programme of Cefas (Centre for Environment, Fisheries and
Aquaculture Science, UK; Greenwood et al., 2010) or the MARNET programme (MARine
105 Monitoring NETwork in the North Sea and Baltic Sea) of the BSH (Federal Maritime and
Hydrographic Agency, Germany). These monitoring programmes provide daily time series
of O_2 and related parameters (e.g., temperature, salinity, chlorophyll) in different depths and
allow for the analysis of the temporal evolution of stratification and O_2 concentrations at the
location of observation.

110 Greenwood et al. (2010) published the first data from continuous measurements of bot-
tom O_2 concentrations for two sites (“North Dogger” and “Oyster Grounds”) in a European
shelf sea. Using these measurements, the dynamic interaction between stratification and
the evolution towards low bottom O_2 concentrations can be observed, as well as the rapid

115 recovery to saturated O_2 conditions after the breakdown of stratification due to mixing in
autumn. However, even these continuous measurements did not provide sufficient infor-
mation to fully understand the processes which caused the observed O_2 evolution. Green-
wood et al. (2010) and Queste et al. (2013), who extended the locally confined findings by
Greenwood et al. (2010) to the spatial scale using survey data from August 2010 and ICES
120 historical data, refer to “plausible mechanisms” like vertical mixing or advection when the
measurements could not be explained in detail. In consequence, Greenwood et al. (2010)
stated, that the data provided insight into the processes affecting the O_2 dynamics but mod-
els are required to further elucidate the significance of the seasonal drivers.

Ecosystem models produce a temporally and spatially consistent picture on O_2 and can
125 therefore provide insight into the balance between the physical and biological factors and
processes governing the evolution of the bottom O_2 concentrations. Thus, they can help
understand and interpret measurements of O_2 and related parameters and can further de-
scribe the history of events of low O_2 conditions.

In this study we use the three-dimensional physical-biogeochemical model system
HAMSOM-ECOHAM to provide a detailed description of the current state of the North Sea
130 in terms of its O_2 conditions, and the processes leading to low bottom O_2 concentrations.
The interpretation of the model results will enable the following questions to be answered:
What are the main drivers for the O_2 dynamics in the various subregions of the North Sea?
Why are certain North Sea regions more susceptible to low O_2 conditions than others de-
spite similar stratification patterns?

135 For this purpose, we first validate the simulated bottom O_2 concentrations with respect to
their temporal evolution and spatial distribution in order to show that the model captures the
main features. Subsequently, we present a regional characterisation of the parameters con-
trolling the bottom O_2 dynamics and propose a simple O_2 deficiency index which extends
this characterisation to the entire North Sea. Finally, we attribute the individual contributions
140 of the governing processes to the temporal and spatial variability of the overall O_2 evolu-
tion, under particular consideration of the continuous O_2 measurements at North Dogger
(Greenwood et al., 2010).

2 Material and methods

2.1 The ECOHAM model

145 Our study is based on the coupled physical-biogeochemical model system HAMSOM-
ECOHAM. The physical model HAMSOM (HAMBurg Shelf Ocean Model; Backhaus, 1985)
is a baroclinic primitive equation model using the hydrostatic and Boussinesq approximation
(Pohlmann, 1991). HAMSOM provides the temperature (T) and salinity (S) distribution, in
150 addition to the advective flow fields and the vertical turbulent mixing coefficient, which are
used as forcing for the biogeochemical model ECOHAM (ECOsystem model HAMBurg). For
a detailed description of HAMSOM the reader is referred to Pohlmann (1991). Further infor-
mation on the application of HAMSOM can be found in Backhaus and Hainbucher (1987)
and Pohlmann (1996).

155 The biogeochemical model ECOHAM (Lorkowski et al., 2012; Pätsch and Kühn, 2008)
represents the pelagic and benthic cycles of carbon (C), nitrogen (N), phosphorus (P),
silicon (Si) and O_2 . The O_2 module within the ECOHAM model incorporates physical and
biogeochemical processes determining the pelagic O_2 concentrations (Pätsch and Kühn,
2008).

160 The air-sea exchange of O_2 at the sea surface constitutes an important physical process
besides the effects of advective transport and vertical diffusion in the interior water column.
The air-sea flux of O_2 in the present application is parametrised according to Wanninkhof
(1992). In relation to the biology, the O_2 cycle is linked to the C cycle by photosynthesis,
zooplankton respiration and bacterial remineralisation. While photosynthesis is a source of
 O_2 , the latter ones act as O_2 sinks. A further sink of O_2 is nitrification, the bacterial transfor-
165 mation of ammonium to nitrate. Within ECOHAM, this process is light-dependent and links
the O_2 cycle to the N cycle. Nitrification only occurs under aerobic conditions (i.e., concen-
trations $> 0 \text{ mg } O_2 \text{ L}^{-1}$), which is a realistic constrain for the pelagic North Sea environment.
It is light-dependent, being stronger under low light conditions. Pelagic denitrification is im-
plemented, but is negligible as it only occurs under anaerobic conditions. Pelagic anaerobic
170 ammonium oxidation (anammox) is not implemented, however, it can be neglected for the

same reason. Except for primary production, the biological processes involved in the O₂ cycle are not temperature-dependent in the present model setup.

For the representation of the benthic remineralisation processes a simple sediment module is used. A layer of zero extent is defined below the deepest pelagic layer of each water column. There the deposited organic matter is collected and remineralised (Pätsch and Kühn, 2008). The benthic remineralisation of the organic matter is defined as a first-order process with relatively high remineralisation (C, N, P) and dissolution rates (Si; opal) preventing year-to-year accumulation of deposited matter. The released dissolved inorganic matter is returned directly into the pelagic bottom layer. Different rates are applied to organic C, N, P and Si resulting in different time scales for the release into the pelagic. In ECOHAM, the O₂ cycle is affected by the benthic remineralisation in a direct and indirect way. First, the remineralisation in the sediment is accompanied by the direct reduction of the O₂ concentrations in the pelagic bottom layer above. Second, inorganic nitrogen is released from the sediment in the form of ammonium, which can be nitrified within the water column under O₂ consumption. According to Seitzinger and Giblin (1996), who suggested a tight coupling between benthic nitrification and denitrification, benthic denitrification depends on the benthic O₂ consumption in our model. Direct benthic nitrification and benthic anammox are neglected as the sediment has zero vertical extent (Pätsch and Kühn, 2008).

For a more detailed description of the ECOHAM model, including the full set of the differential equations and parameter settings of ECOHAM, the reader is referred to Lorkowski et al. (2012). A detailed description and analysis of the O₂ module can be found in Müller (2008).

2.1.1 Model setup and forcing data

The model domain extends from 15.250° W to 14.083° E and from 47.583 to 63.983° N and comprises the entire North Sea, large parts of the northwestern European continental shelf and parts of the adjacent northeastern Atlantic. The horizontal resolution is 1/5° with 82 grid points in latitudinal direction and 1/3° with 88 grid points in longitudinal direction. The horizontal grid of the model domain is shown in Fig. 2. The vertical dimension with a max-

imum depth of 4000 m is resolved by 31 z-layers with a surface layer of 10 m. The vertical
200 has a resolution of 5 m between 10 and 50 m depth, which is relevant for the calculation of
the MLD (Sect. 2.2). Below 50 m, the layer thicknesses successively increase with depth.

The model system was run over the period 1977 to 2012. HAMSOM was initialised with
a monthly-averaged climatology based on the World Ocean Atlas (WOA; Conkright et al.,
2002). The meteorological forcing was derived from NCEP/NCAR reanalysis data (Kalnay
205 et al., 1996; Kistler et al., 2001) and provides 6 hourly information for air temperature, cloud
coverage, relative humidity, wind speed and direction. Short wave radiation was calculated
from astronomic insolation and cloud coverage applying a correction factor of 0.9 (Lorkowski
et al., 2012). The data were interpolated to the model grid and time step according to
O'Driscoll et al. (2013) and Chen et al. (2014). Daily freshwater run-off data for 249 rivers
210 were provided by Cefas and represent an updated dataset of that used by Lenhart et al.
(2010) covering the entire simulation period. The same dataset encompassed nutrient loads
used for the ECOHAM.

At open boundaries, surface elevation was prescribed as a fixed (Dirichlet) open bound-
ary condition (OBC) according to the M2 tide, while for horizontal transport velocities radi-
215 ation OBCs were applied. For tracers (T and S) radiation and radiative-nudging OBCs were
used in the case of inflow and outflow, respectively. A detailed description of the OBCs is
provided by Chen et al. (2013). The HAMSOM simulation was carried out with a 10 min time
step.

ECOHAM was run off-line with a time step of 30 min using the 24-hour averages of the
220 hydrographic and hydrodynamic fields generated by HAMSOM. In the model setup used,
short wave radiation is attributed to the first layer (surface) only and the specific effect of
light attenuation due to SPM and planktonic self-shading on the thermal structure is not
taken into account. A sensitivity study allowing for deeper light penetration and feedback on
the thermal structure confirmed this effect to be only of minor importance (not shown).

225 For the biogeochemical state variables a climatology of depth-dependent monthly av-
erages was prescribed at the boundaries and solely for DIC yearly changing data were
provided (Lorkowski et al., 2012). To include the effect of SPM on the light climate, a daily

climatology from Heath et al. (2002) was used. Data for atmospheric N deposition were compiled using a hybrid approach. This was required since the overall simulation period (1977–2012) exceeds the period of data available from the EMEP (Cooperative program for monitoring and evaluation of the long-range transmissions of air pollutants in Europe) model (1995–2012). First, the EMEP results for total deposition of oxidised (NO_x) and reduced nitrogen (NH_3) were interpolated to the model grid. Second, we calculated the average annual deposition rates for the NO_x and NH_3 for each grid cell, based on the 1995–2012 EMEP data. The resulting spatially resolved arrays of average deposition rates were subsequently normalised by the spatial average of the entire domain to yield the spatially resolved anomaly fields. Finally, gridded deposition rates for individual years were obtained using (1) the gridded anomaly fields, (2) EMEP’s spatially averaged (over our model domain) deposition rates for year 2005, and (3) long-term trends (normalised towards year 2005) for the temporal evolution of European emissions of NO_x and NH_3 (Fig. 2 in Schöpp et al., 2003). The output of the biogeochemical simulation was stored as daily values (cumulative fluxes, state variable snapshots) for the entire domain and simulation period.

2.2 Extracting stratification parameters from model results

Stratification constitutes the prerequisite for the potential evolution of low O_2 conditions in the North Sea. In this study (1) its duration and (2) the mixed layer depth (MLD) are used to describe stratification. Seasonal stratification in the North Sea is mainly T -driven (Burt et al., 2014), except for the regions of haline stratification along the Norwegian coast. As observations do not cover the entire model domain and simulation period we determined the duration of stratification and the MLD from the simulation results. For this purpose we developed a simple 2-step algorithm based on T . First, the stratified period is determined using a temperature difference criterion:

$$S_{\text{strat}}(x, y, t) = \begin{cases} 1 & \Delta T|_{-H}^0(x, y, t) \geq 0.05 \text{ K} \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

255 S_{strat} is a switch defining if a water column at location (x, y) and time t is stratified ($S_{\text{strat}} = 1$) or not ($S_{\text{strat}} = 0$) depending on the temperature difference ΔT between the surface and bottom depth H . The critical temperature difference $\Delta T_{\text{crit}} = 0.05$ K was determined by evaluating different ΔT_{crit} against the temporal evolution of simulated bottom O_2 at different locations within the model domain. In addition, periods of stratified conditions are only considered as such, if they last for at least 5 days without any interruption. Otherwise bottom
260 waters are considered to be ventilated again.

In the second step, in the case of stratification the MLD of a model water column is determined using the vertical T gradient $\Delta T/\Delta z$:

$$\text{MLD}(x, y, t) = \begin{cases} D(\max(\Delta T/\Delta z)) & S_{\text{strat}}(x, y, t) = 1 \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

265 $\Delta T/\Delta z$ is calculated for each grid cell interface within the considered water column. ΔT represents the T difference between two vertically adjacent model layers and Δz represents the distance between the centre points of these two grid cells. D is then defined as the depth level of the interface where $\Delta T/\Delta z$ has its maximum. As the described stratification and MLD criterion differ significantly from common MLD criteria (e.g., Table 1 in Kara et al.,
270 2000), an evaluation is provided in Appendix A.

2.3 Validation data

For the validation of the model results we used observation data from different sources. The datasets can be subdivided into two types: (1) temporally resolved, localised data and (2) spatially resolved “snapshots”. The first type was used for the validation of the seasonal
275 evolution of O_2 , whereas the second type was used to validate the general spatial patterns and year-to-year variability of bottom O_2 during late summer.

2.3.1 Localised, temporally resolved data – Cefas-SmartBuoy and MARNET

Cefas operates a network of SmartBuoys to provide autonomous in situ measurements of physical, chemical and biological parameters (Mills et al., 2005). A SmartBuoy was located
280 directly north of the Dogger Bank ('North Dogger') at 55°41' N, 2°16.80' E (see Fig. 2, region 2) between 24 February 2007 to 15 September 2008 in 85 m water depth (Greenwood et al., 2010). O₂ concentrations were continuously recorded with a frequency of 5 Hz at 31 m and 85 m. These autonomous O₂ measurements were corrected for drift using O₂ concentrations determined from discrete water samples to give an accuracy of 0.5 % (Greenwood et al., 2010). For validation purposes the O₂ data derived from the sensor at 85 m depth
285 were used.

The BSH operates a continuous monitoring station at 54°10' N, 6°21' E (see Fig. 2, region 1; hereafter referred to as station "Ems"). The O₂ saturation is measured hourly using opto-chemical sensors (optodes). Sensors are located in 6 and 30 m depth, respectively, and
290 the bottom depth is 33 m. The applied sensors have a resolution of 0.03 mg O₂ L⁻¹ and an accuracy better than 0.26 mg O₂ L⁻¹. Before deploying the sensors a 0–100 % calibration is conducted, and they are re-calibrated after operation to quantify any drift. In addition, a regular on-site validation takes place using a calibrated fast optode (accuracy of ± 2 %) or by applying the Winkler titration (accuracy better than ± 1 %).

2.3.2 Spatially resolved "snapshot" data – the North Sea programme

During the North Sea programme, carried out by the Royal Netherlands Institute for Sea Research (NIOZ) with support from the Dutch Science Foundation (NWO) and the European Union, the North Sea was sampled from 18 August to 13 September 2001, and from
300 17 August to 5 September 2005 and 2008. The North Sea was covered by an approximate 1° × 1° grid, sampling approximately 90 stations in each of the years (Bozec et al., 2005, 2006; Salt et al., 2013). During each cruise, a total of 750 water samples were collected for dissolved O₂. In 2001, the O₂ concentrations were determined by the Winkler titration using a potentiometric end-point determination with an accuracy of ± 2 μmol O₂ kg⁻¹ (less

305 than $\pm 0.07 \text{ mg O}_2 \text{ L}^{-1}$ depending on T and S). In 2005 and 2008, the O_2 concentrations were obtained applying the spectrophotometric Winkler approach with a precision of less than $0.03 \text{ mg O}_2 \text{ L}^{-1}$. A detailed description of the measurement system used is given in Reinthaler et al. (2006).

310 The data available were gridded to the model grid (Fig. 2). In the case of multiple measurements for the same model grid cell and date, the average of these measurements was used for validation. To compare our model results to these data, we calculated the averages and standard deviations of our simulation over the observation period of the corresponding year.

2.4 Deriving a regional O_2 characterisation of the North Sea

2.4.1 Identification of the key parameters

315 For the development of a regional O_2 characteristic, potential controlling factors were analysed in relation to bottom O_2 . Besides stratification, eutrophication is considered as a major driver for developing low O_2 conditions (e.g., Diaz and Rosenberg, 2008; Kemp et al., 2009). Thus, primary production within the mixed layer and the resulting organic matter export into the layers below the MLD must be considered to be the main source for degradable organic matter. In addition, organic matter can be advected from surrounding waters in the form of phyto- or zooplankton and detritus, subsequently sinking out of the mixed layer.

320 Another important criterion is the water volume below the thermocline (Druon et al., 2004). A smaller volume separated from the surface due to stratification holds a lower initial inventory of O_2 than a larger volume even though concentrations can be similar or even
325 higher in the smaller volume. Thus, our set of O_2 -related characteristics consists of: mixed layer primary production (PP_{mld}), horizontal advection of organic matter into and out of the mixed layer ($\text{ADH}_{\text{org,in}}$ and $\text{ADH}_{\text{org,out}}$; including phyto-/zooplankton and detritus), vertical organic matter export below the MLD (EXP_{org} ; only detritus) and mixing of O_2 below the MLD (MIX_{O_2}), and the sub-MLD volume V_{sub} .

330 To detect regional characteristics within the North Sea area, we defined four different sub-
domains encompassing 4×4 model water columns each (see Fig. 2, red boxes): (A) south-
ern North Sea (SNS) under strong tidal influence, (B) southern central North Sea (SCNS)
with high year-to-year variability in stratification, (C) northern central North Sea (NCNS) with
335 a dominant summer stratification each year, and (D) northern North Sea (NNS) with a dom-
inant summer stratification each year and a strong influence of the Atlantic. For all these
regions, the parameters described above were calculated for the years 2000–2012 relative
to a reference depth D_{ref} , which is defined as the bottom depth of the model layer directly
below the annual maximum MLD among all four regions. We decided to use a $D_{\text{ref}} > \text{MLD}$
to ensure that for the different regions all parameters were determined on a comparable
340 level. This implies that the values for PP_{mld} , $\text{ADH}_{\text{org,in}}$ and $\text{ADH}_{\text{org,out}}$ are integrated from
the surface to D_{ref} , whereas EXP_{org} and MIX_{O_2} are the vertical fluxes through D_{ref} . The
same D_{ref} was applied to all regions, but year-to-year variations were allowed.

To determine the annual maximum MLD, we first calculated the stratification period for
the 4×4 -regions B–D using Eq. (1). Region A was excluded from this calculation as no
345 persistent MLD developed due to tidal mixing. In this context, $S_{\text{strat}}(t)$ of a region is only 1 if
 $S_{\text{strat}}(x, y, t) = 1$ for all 16 water columns within a 4×4 -region. The daily MLD for each water
column within a region was calculated by applying $S_{\text{strat}}(t)$ to Eq. (2), and subsequently the
daily MLD of the region is defined as the median of these 16 daily values. The annual MLD
for each region was then determined as the median of this daily time series. Finally, the
350 annual maximum MLD among all 4 regions is used to determine the reference depth D_{ref} ,
which is defined as the bottom depth of the layer directly below this maximum MLD.

The values for these O_2 -related quantities were calculated for individual years relative
to D_{ref} and temporally integrated over the period from 1 April to 30 September (hereafter
'summer'). Consequently, the average values over the entire period 2000–2012 are calcu-
355 lated and presented in Table 1, additionally including the average O_2 concentrations at the
beginning and end of the summer period as well as the average duration of stratification.

2.4.2 Development of a spatially resolved index for North Sea O₂ deficiency

In order to obtain a North Sea wide indicator for O₂ deficiency under stratified conditions, it is necessary to extend the regionally confined characteristic described in the previous section.

360 For this purpose, we extract the key factors affecting O₂ from this regional information and combine them into a single index – the oxygen deficiency index (ODI). The ODI aims to represent the main spatial and temporal patterns of O₂ deficiency in the North Sea under stratified conditions, while being as simple as possible and incorporating only a very limited number of parameters.

365 Stratification period, organic matter export and sub-thermocline volume are considered as the key parameters controlling the bottom O₂ dynamics. Surface primary production can be used as a proxy for organic matter export assuming that most of the exported organic matter is produced locally. Bottom depth can be used as an indicator for the sub-MLD volume assuming only minor fluctuations of the MLD during the summer stratified period.

370 In addition, the bottom depth directly influences the amount of organic matter reaching the bottom layer relative to the amount being produced near the surface, due to the exposure of sinking matter to pelagic remineralisation. Thus, the following key factors are used for the calculation of this index: (longest continuous) stratification period (t_{strat} ; in days), summer surface primary production (PP_{mld} ; in g C m⁻²; 1 April to 30 September), and bottom depth (D_{bot} ; in m).

375 First, individual dimensionless indices are calculated for each of these quantities. The individual indices range between 0 and 1, indicating conditions counteracting and supporting O₂ deficiency, respectively. The calculation of the stratification and production indices, I_{strat} and I_{pp} , is based on the work by Druon et al. (2004) and reads as:

$$380 \quad I_{Q_i}(x, y) = \min \left(1, \max \left(0, \frac{Q_i(x, y) - Q_{i,\min}}{Q_{i,\max} - Q_{i,\min}} \right) \right), \quad \text{with } Q_1 = t_{\text{strat}}, \quad Q_2 = I_{\text{pp}}. \quad (3)$$

$I_{Q_i}(x, y)$ represents the index corresponding to the actual value of the quantity $Q_i(x, y)$ with its defined upper and lower thresholds, $Q_{i,\max}$ and $Q_{i,\min}$. For t_{strat} , $Q_{i,\max}$ and $Q_{i,\min}$ are set to 50 and 150 days, respectively. Stratification periods of less than 50 days are

385 considered to be too short to facilitate the evolution of O_2 deficiency, while periods longer than 150 days are considered as seasonally well-stratified. The lower threshold for PP_{mld} was set to 120 g C m^{-2} as PP_{mld} does not reach lower values in most parts of the North Sea. The upper threshold was set to 200 g C m^{-2} as such high values and even higher are simulated in the southeastern North Sea.

390 For the depth index, I_D , a different definition was chosen as lowest O_2 concentrations occur in areas of intermediate depth, where seasonal stratification can develop and the O_2 inventory is limited due to a small volume below the thermocline. Therefore, we defined I_D as follows:

$$I_D(x, y) = \begin{cases} \max\left(0, \frac{D_{\text{bot}}(x, y) - D_{\text{min}}}{D_{\text{peak}} - D_{\text{min}}}\right) & D_{\text{bot}}(x, y) < D_{\text{peak}} \\ 1 - \min\left(1, \frac{D_{\text{bot}}(x, y) - D_{\text{peak}}}{D_{\text{max}} - D_{\text{peak}}}\right) & \text{otherwise.} \end{cases} \quad (4)$$

395 D_{bot} represents the actual bottom depth at location (x, y) . $D_{\text{peak}} = 40 \text{ m}$ is the bottom depth we found to be most favourable for O_2 deficiency in the North Sea. The lower threshold $D_{\text{min}} = 25 \text{ m}$ corresponds to the maximum MLD we found for the shallower southern North Sea. The upper threshold $D_{\text{max}} = 90 \text{ m}$ was chosen to exclude the areas where the initial O_2 inventory is sufficient to prevent O_2 deficiency due to the large volume below the thermocline.

400 Finally, the ODI combines the three individual indices according to the following equation:

$$\text{ODI}(x, y) = I_D(x, y) \cdot \sum_{i=1}^2 w_{Q_i} I_{Q_i}(x, y), \text{ with } w_{Q_1} = 1/4, w_{Q_2} = 3/4. \quad (5)$$

405 Here, I_{Q_i} and w_{Q_i} represent the index for a quantity and the related weight, respectively. The values for t_{strat} are referred to by Q_1 and those for PP_{mld} by Q_2 . The equation for ODI implies that it is zero in areas where $I_D = 0$. The stronger weighting of PP_{mld} implies that variations in the ODI between different years are more strongly affected by variations in summer surface productivity than by the duration of stratification.

410 The ODI ranges between 0 (low risk of O₂ deficiency) and 1 (high risk) and is calculated for each water column (x, y) within the model domain. By this we obtain a spatially resolved indicator for O₂ deficiency in the North Sea, which helps regionalise the North Sea in terms of O₂ conditions.

2.5 Quantification of driving processes: spatial and temporal variability, and data interpretation

415 In order to quantify the processes driving the O₂ dynamics in different regions, we calculated O₂ mass balances for three different regions encompassing 2×2 grid cells (see Fig. 2, regions 3–5). First, mass balances for the entire volume below the thermocline (hereafter “sub-MLD”) in region 3 are compared with the corresponding bottom layer mass balances to identify differences between the bottom layer dynamics and the dynamics within the entire sub-MLD volume. This is done for two years, 2002 and 2010, to analyse variations between 420 these years. Region 3 was chosen as it shows the lowest bottom O₂ concentrations within the entire model domain, with the overall minimum in 2002 and relatively high concentrations in 2010. The daily resolved MLD defines the upper integration limit for the sub-MLD mass balances, i.e., the integration depth may vary during the stratified period. The daily MLD is defined as the vertical level of the model grid which is closest to the daily average 425 MLD of the 2×2-region according to Eqs. (1) and (2).

Second, we compare the O₂ mass balances of the bottom layer for the regions 4 and 5 in 2002 with that of region 3 to unveil regional differences. In a last step the mass balance analysis is applied to interpret the O₂ evolution observed at North Dogger (see Fig. 2, region 2).

430 The O₂ concentrations and saturation concentrations shown in the different mass balances represent the average values within the analysed volume. Values of O₂ saturation concentrations were calculated according to Benson and Krause (1984) using simulated T and S . The fluxes presented are cumulative changes in the O₂ concentrations of the considered volume, i.e., the values at the end of the stratified period reflect the total net change of the O₂ concentrations due to the corresponding physical or biological process. 435

Positive and negative values at the end of the stratification period indicate net gain and loss, respectively. The slope of each line represents the intensity of the corresponding flux at the specific moment in time, i.e., a steep positive (negative) slope implies a strong gain (loss) effect.

440 **3 Results and discussion**

3.1 Model validation

3.1.1 Temporal evolution of bottom O₂

445 Figure 3 shows the comparison of simulated bottom O₂ against time series data at the Cefas station North Dogger for the years 2007 (a) and 2008 (b) and the MARNET station Ems during 2010 (c) and 2011 (d). The indicated stratification period was derived from the simulated temperature fields using Eq. (1).

At North Dogger, observed and simulated bottom O₂ concentrations show a steady decrease after the onset of stratification. While stratification according to Eq. (1) starts a bit earlier compared to that described by Greenwood et al. (2010), the beginning of the decrease in bottom O₂ concentrations coincides well. The simulated and observed O₂ concentrations at this time are in good agreement.

455 Some small-scale fluctuations in the observations are not fully reproduced by the simulation, however, the general evolution is represented well by the model. The average O₂ reduction in the simulation is slightly less than in the observations, visible in the difference between the concentrations at beginning and end of the stratified period. Stratification ends a bit earlier in the simulation, with the result that simulated bottom O₂ starts to recover while the observed concentrations continue to decline. The observed O₂ concentration at the end of the stratified period is about 6.8 mg O₂ L⁻¹, while the simulation results in about 7.4 mg O₂ L⁻¹.

460 In 2008, we can see a similar slight overestimation of simulated O_2 concentrations, but less than in 2007. Some minor fluctuations in the observations are again not represented by the model, but the general evolution of bottom O_2 is represented well. It should be noted, that the different depths of the time series (76 m for simulation, 85 m for observation) may also affect the difference between simulated and observed O_2 concentrations.

465 At MARNET station Ems the observed bottom O_2 concentrations show significantly larger intra-seasonal fluctuations than at North Dogger. This applies to both years 2010 and 2011, and mainly results from the shallower station depth, i.e., sampling depth (sensor in 30 m). As at North Dogger, differences may also relate to different depths of the time series (32.5 m for observation) and the vertical resolution with only 6 layers.

470 In 2010, the onset of O_2 decline in the observations is in good agreement with that in the simulations. Stratification lasts shorter and is less persistent than at North Dogger. As at North Dogger, intra-seasonal fluctuations in the O_2 evolution are not fully reproduced. The model tends to overestimate bottom O_2 in 2010, revealing a maximum difference of about $1 \text{ mg } O_2 \text{ L}^{-1}$.

475 In 2011, persistent stratified periods derived from the simulation do not exceed 2 months at station Ems. Consequently, the temporal evolution of bottom O_2 represents mainly the temporal evolution of the O_2 saturation concentrations. Again large fluctuations of up to $\pm 2 \text{ mg } O_2 \text{ L}^{-1}$ can be seen in the observations which are not fully reproduced by the model. Besides these short-term changes, the difference between simulated and observed bottom concentrations is less than $0.8 \text{ mg } O_2 \text{ L}^{-1}$ with higher summer values in the simulation.

480 The validation of bottom O_2 at the stations North Dogger and Ems shows that the HAMSOM-ECOHAM model is capable of reproducing the main features of the bottom O_2 dynamics at these two stations. The minor differences in the concentrations ($< \pm 0.4 \text{ mg } O_2 \text{ L}^{-1}$) at the beginning and end of the year, representing mainly the saturation concentrations, show that the general physical setting provided by the model is reasonable. The slightly slower O_2 reduction in the simulation may indicate an underestimation of the biological consumption, e.g., due to benthic remineralisation. Intra-seasonal fluctuations at both stations are not fully reproduced, due to the limited spatial resolution of the model grid.

490 Additionally, the tides may have an effect at station Ems on the short-term. However, they are not resolved due to the daily time step of the simulated current fields.

The generally good agreement between simulation and observation is also shown by the Taylor diagram (Taylor, 2001, see Fig. 5, markers “a” for North Dogger and “b” for Ems), which presents the correlation coefficients (COR), STDs and centred root-mean-square differences (RMSD) of the simulation relative to the observations. STDs and RMSDs are normalised by the STD of the corresponding observations. For analysis, the data of each dataset was merged into a continuous series of data. For both stations, COR is high with values of about 0.95 and the normalised RMSD is less than 0.38. The RMSD values are mainly due to the larger range and higher (seasonal and intra-seasonal) variability in the observed bottom O_2 , which is also indicated by the normalised STDs of about 0.73 and 0.82 for Cefas North Dogger and MARNET Ems, respectively.

3.1.2 Spatial distribution of late summer bottom O_2

Figure 4 shows the spatial distribution of the average simulated and observed O_2 concentrations in the model bottom layer for the years 2001 (a), 2005 (b) and 2008 (c), and the standard deviation (STD) related to the averages in 2005 (d). The averaging period for the simulations corresponds to the complete observation period for each year, listed in the bottom right corner of each panel.

In 2001, the observations show the lowest concentrations of all years with minimum values of $5.9 \text{ mg } O_2 \text{ L}^{-1}$ in the area $54\text{--}57^\circ \text{ N}$, $4.5\text{--}7^\circ \text{ E}$. This minimum is similarly present in the model yielding $6.96 \text{ mg } O_2 \text{ L}^{-1}$. Maximum observed concentrations were found off the southern tip of Norway ($9.3 \text{ mg } O_2 \text{ L}^{-1}$) and in the deepest parts of the Norwegian Trench (8.7 to $8.8 \text{ mg } O_2 \text{ L}^{-1}$). The very high observed value at the northwesternmost sampling site represents an outlier due to the decreasing vertical resolution of the model in greater depth.

In 2005, the observed minimum values are about $0.3 \text{ mg } O_2 \text{ L}^{-1}$ higher than in 2001. This relative increase compared to 2001 is reproduced well by the simulation showing a similar increase by about $0.2 \text{ mg } O_2 \text{ L}^{-1}$. The observations show lowest bottom O_2 a bit north and south of the simulated minimum, but still relatively low values less than $7.2 \text{ mg } O_2 \text{ L}^{-1}$ in

the centre of the simulated minimum. Highest observed concentrations of $9.3 \text{ mg O}_2 \text{ L}^{-1}$ are located in the very deep area at the eastern end of the Norwegian Trench, and in the inner German Bight. In the German Bight, the model indicates only slightly higher values as compared to 2001, while in the northern North Sea, the simulation shows lower values as compared to 2001, which can be seen in the observations as well.

In 2008, the observations reveal significantly higher bottom O_2 concentrations in the area of the 2001 minimum, compared to the previous years. In contrast, observed concentrations in most other parts of the North Sea are lower than in 2001 and 2005. The overall minimum concentration in 2008 of $7.2 \text{ mg O}_2 \text{ L}^{-1}$ is reached close to the Dutch coast. In the southern North Sea, the simulation yields lower bottom O_2 concentrations, and significantly higher values in the 2001 minimum area. For the western central and northern North Sea the picture is different. Here, the observations result in consistently lower values as compared to 2001 and 2005, whereas the simulated O_2 shows higher values in most areas, except for the eastern Norwegian Trench.

The simulated STD in 2005 is mainly representative for the years 2001 and 2008 as well. It shows that in most areas of the North Sea the changes in bottom O_2 during August/September are very low throughout a period of 3 to 4 weeks, indicated by a STD of less than $0.1 \text{ mg O}_2 \text{ L}^{-1}$. The observations also show only small STDs in most areas.

In general, the basin-wide distributions of simulated bottom O_2 represent the observed spatial patterns and their year-to-year variations quite well, even though absolute values are not always reflected. Both observed and simulated bottom O_2 concentrations show that the 50 m-isobath (broadly along 54° N , 0° E to 57° N , 8° E ; Thomas et al., 2005) marks the separation line between the northern regions unaffected by low O_2 conditions and the southeastern parts, which are more vulnerable to low O_2 concentrations. In addition, the model demonstrated it is capable of capturing variations in the bottom O_2 evolution between different years. In combination with the results of the time series validation (Sect. 3.1.1), this confirms that the described model setup provides reliable information on the internal physical and biological processes driving the O_2 dynamics in the North Sea.

545 The small STD of simulated bottom O_2 confirms that using the averages over a period
of up to 4 weeks provides a reasonable measure for most areas. In addition, these small
values imply that measurements taken late August/early September (before the breakdown
of stratification) can be considered as a representative synoptic picture of the late summer
550 bottom O_2 conditions. However, the time series validation showed that in some areas
lowest concentrations of bottom O_2 may occur remarkably later in the year (see Fig. 3a).
Consequently, the picture obtained from observations taken in August/September does not
necessarily reflect the spatial distribution of minimum bottom O_2 concentrations, which un-
derlines the importance of choosing the appropriate point in time for the monitoring of low
 O_2 conditions.

555 The small STD of the observations, which is a result of the data gridding, shows that in
most regions vertical O_2 gradients near the bottom are negligible. The high values of 0.75
and 0.59 $\text{mg } O_2 \text{ L}^{-1}$ southeast of the Dogger Bank and northwest of Denmark, respectively,
result from the fact that values above and below the thermocline were taken into account
for the averaging.

560 As for the time series, Fig. 5 (marker c) shows the statistical measures of the validation
for the spatially resolved data. Here, COR reaches only about 0.64 which is also indicated
in Fig. 4 by the variations between year 2008 and the previous years, when the simulation
revealed a relative change inverse to that in the observations in the northern North Sea.
The normalised RMSD of 0.77 is about twice as high as for the time series, which can
565 be attributed to the greater regional differences in the observed bottom O_2 concentrations
with higher maximum and lower minimum values. The normalised STD equals 0.67 which
indicates the less strong spatial gradients in the simulation. These statistics confirm that
the spatial patterns in the observed bottom O_2 concentrations are basically reproduced by
the model, with only slight shortcomings with respect to the amplitude of the bottom O_2
570 concentrations and year-to-year variations in some regions of the North Sea.

3.2 Simulated stratification periods and minimum bottom O₂

Figure 6a and b show the spatial distribution of the longest persistent stratification periods (after Eq. (1) using simulated T) for the years 2002 and 2010, respectively. Both years show similar stratification patterns with stratification periods of > 180 days in large parts of the central and northern North Sea.

Comparing the corresponding minimum concentrations of bottom O₂ (Fig. 6c and d) shows significant differences. The minimum bottom O₂ concentrations in 2002 in the region from 55–56.5° N, 4.5–7.5° E constitute the lowest O₂ concentrations during the entire period 2000–2012 reaching values of below 5.8 mg O₂ L⁻¹. In contrast, the duration of stratification in this area is similar or even longer in 2010 than in 2002. The O₂ concentrations in 2002 are even below the O₂ threshold applied by OSPAR (6 mg O₂ L⁻¹; OSPAR-Commission, 2005) and persist for about one month (not presented). In contrast, 2010 represents a year with relatively high minimum bottom O₂ concentrations being above 7.3 mg O₂ L⁻¹ in the entire model domain. The areas directly north and south of the Doggerbank also reveal lower bottom O₂ concentrations in both years.

The stratification periods derived from the simulation are in good agreement with the different stratification regimes described by Pingree et al. (1978) and van Leeuwen et al. (2015). The latter applied a density-based stratification criterion on model results to subdivide the North Sea into areas of different stratification characteristics, and showed that most areas of the seasonally stratified central and northern North Sea reveal stratification periods of 170 to 230 days.

The increased potential for low O₂ conditions north and south of the Doggerbank corresponds well to observed bottom O₂ time series in these regions (Greenwood et al., 2010). Queste et al. (2013) also observed lower bottom O₂ concentrations north of the Dogger Bank in August 2010, however, they found the minimum concentrations a bit further north around 57° N.

The discrepancy between minimum O₂ concentrations in the two years and the quite similar stratification patterns demonstrates that stratification is an important prerequisite for

low O_2 conditions, but other physical or biological factors do have a strong effect on the O_2 dynamics in the North Sea.

3.3 An O_2 -related characteristic of the North Sea

3.3.1 Key parameters

Table 1 shows the 2000–2012 summer (1 April to 30 September) averages of the quantities considered potentially relevant for O_2 for the regions A–D (see Fig. 2). The quantities were calculated relative to a D_{ref} of 25 m. In addition, the stratification period (t_{strat}), average MLD (D_{mld}), average bottom depth (D_{bot}) and area of the regions are provided.

The mixed layer net primary production, PP_{mld} , is strongest in the coastal region A and shows decreasing values towards the central North Sea. In the SCNS region B, PP_{mld} accounts for about 87 % of that in the highly productive coastal region A. The corresponding value for the NCNS region C and NNS region D is about 80 % and 88 %, respectively.

Despite the highest PP_{mld} in the coastal region A, the SCNS region B shows the strongest contribution of gross advection of organic matter, $ADH_{org,in}$ and $ADH_{org,out}$. Both regions show positive net advection of organic matter, while the two northern regions C and D are characterised by negative net advection, i.e., advective loss in organic matter. The latter regions are located north of the Dogger Bank, thus, they are affected by the northern Atlantic inflow. In this region, net advection results in a loss in organic matter as the recirculated northward flowing water has higher concentrations of organic matter than the incoming Atlantic water.

The vertical export of organic matter, EXP_{org} , below D_{ref} consistently adds up to about 12–14 % of PP_{mld} , indicating the clear link between these quantities. Region B yields an EXP_{org} of 75 % of that in the coastal region A, which corresponds to a higher net advective import of organic matter of 6.7 g C m^{-2} in region A, compared to only 1.6 g C m^{-2} in Region B. EXP_{org} in region C and D reach about 69 % and 79 % relative to region A, respectively.

The vertical mixing of O_2 , MIX_{O_2} , is highest within the coastal region A and adds up to $116.1 \text{ g O}_2 \text{ m}^{-2}$, which is due to strong tidal mixing. The stratification period, t_{strat} , of

151 days in region B is shorter than in region C (220 days) and does not cover the entire summer period. Thus, MIX_{O_2} in region B is significantly larger than in regions C and D.

The evolution of the O_2 concentrations between the beginning and end of the summer period reveals some interesting aspects in relation to the previously mentioned parameters. The O_2 concentrations at 1 April show significant differences between the regions ranging between 9.5 mg $O_2 L^{-1}$ (region C and D) and 10.1 mg $O_2 L^{-1}$ (region A). The O_2 concentrations at the 30 September yield values between 7.7 mg $O_2 L^{-1}$ (region A) and 8.3 mg $O_2 L^{-1}$ (region D). This implies a consistently decreasing O_2 consumption during summer from region A to D. This spatial gradient in the O_2 consumption is opposite to that in t_{strat} , which shows a steady increase from region A to D.

In order to give an impression of the impact of EXP_{org} on the O_2 dynamics of the water volume below the MLD, V_{sub} , we link the amount of exported organic matter to the amount of O_2 available within V_{sub} assuming the organic matter is remineralised completely in the area of settlement. Based on the O_2 concentration at the beginning of April, the total amount of O_2 available is 1365 kt for region B and 4590 kt for region C. The total amount of exported organic matter is calculated as the product of EXP_{org} and the total area of the considered region. This calculation yields 130 kt C and 115 kt C for the regions B and C, respectively. As O_2 consumption and C release occur with a molar ratio of 1 : 1 during bacterial remineralisation (Neumann, 2000), we obtain the daily O_2 consumption by dividing by the total duration of the considered 6-months-period (=183 days), yielding 0.71 and 0.63 kt $O_2 d^{-1}$ for region B and C, respectively.

The initial O_2 mass is calculated as the product of the initial O_2 concentration and V_{sub} . Assuming the daily O_2 consumption to be constant for each region, division of this mass by the daily consumption rate calculated above provides an estimate of the amount of time required for the consumption of the entire amount of O_2 available in V_{sub} . This calculation yields a period of about 2 years for region B, whereas the corresponding value for region C is significantly higher with almost 12 years. This great difference between the resulting periods (factor 6), compared to the relatively small difference between the daily consumption

rates (factor 1.1), illustrates clearly the large influence of the sub-MLD volume, V_{sub} , on the temporal evolution of the O_2 concentrations below the MLD.

The same calculation based on the threshold of $6 \text{ mg O}_2 \text{ L}^{-1}$ used by OSPAR (OSPAR-Commission, 2005) yields a consumption period of 283 days for region B, which indicates the relatively high potential for O_2 deficiency in this region.

This characteristic based on the four different North Sea regions demonstrated that the duration of stratification alone cannot explain the temporal evolution of sub-MLD O_2 concentrations. It shows the great importance of the organic matter export which drives the biological O_2 consumption. In addition, the volume below the MLD plays a key role as it governs the amount of O_2 which is available throughout the stratified period, and in combination with the organic matter export defines whether O_2 deficiency may occur or not. Thus, these three quantities can be considered as the key parameters governing the O_2 dynamics of the seasonally stratified North Sea.

3.3.2 The oxygen deficiency index (ODI)

The ODI resulting from the simulated stratification duration (t_{strat}), summer surface primary production (PP_{mld}) and model topography for the years 2002 and 2010 is shown in Fig. 7a and b, respectively. It can be seen that the ODI tends to be higher in 2002 than in 2010 in the region where minimum bottom O_2 is lowest in both years (see Fig. 6). North of the Doggerbank, the ODI also shows slightly higher values than in the surrounding waters which corresponds to the lowered bottom O_2 in this region. The variations of the minimum concentrations between the two years in this region are also well-reproduced by the ODI. Especially in 2002, the highest ODI coincides with the lowest concentrations in the entire domain. In 2010, the highest ODI is located a bit south of the minimum O_2 concentrations, which is mainly caused by the high surface production in this region. Along the northern British coast, the ODI also shows high values for both years which is in good agreement with the slightly lower minimum bottom O_2 in this area. However, ODI values tend to be too high and do not represent the slightly lower minimum O_2 concentrations off the eastern Scottish coast around $57\text{--}58^\circ\text{N}$ as the bottom depth in this area exceeds 90 m (i.e., $I_D = 0$). Directly

northwest of Denmark, the ODI also yields high values for both years with higher values in 2002. This corresponds well to the simulated bottom O_2 concentrations in this area, even though ODI values are too high, compared to ODI values in the central North Sea.

685 With respect to the factors selected for the calculation of the ODI, Table 1 shows that stratification alone is not sufficient to explain the North Sea O_2 dynamics. While the reduction in the O_2 concentrations is steadily decreasing from region A to D, stratification duration is characterised by a steady increase from region A (80 days) to D (226 days). Regarding the PP_{mld} , the strongest O_2 reduction occurs in the regions of highest productivity A and B. In
690 the northern regions, the higher PP_{mld} in region D does not correspond to stronger reduction in O_2 . As t_{strat} is also higher in this region, a further factor is needed to describe the basic O_2 dynamics. The reduced effect of surface production in the northernmost area is likely to result from the dilution effect due to the higher V_{sub} . Considering the bottom depth D_{bot} as a proxy for V_{sub} , it is shown that the strongest decrease occurs in the shallower
695 regions A and B with average depths of about 40 m, while the regions deeper than 90 m (C and D) show a weaker decrease.

Net advection of organic matter, which is not taken into account in the ODI, appears to be of minor importance for subsurface O_2 relative to the local surface production as the net advective input of organic matter is significantly less than the local production. The O_2
700 concentrations at the beginning of the stratified period were also not taken into account as they show lower values in regions with higher minimum concentrations and vice versa.

In summary, the ODI represents well the spatial and temporal variations of minimum bottom O_2 concentrations despite the small set of controlling factors. This confirms that a simple combination of only stratification duration, organic matter production and bottom depth
705 is sufficient to reproduce the main spatial and temporal patterns of the minimum bottom O_2 in the seasonally stratified North Sea. Thus, the findings from Table 1 can be applied to most parts of the North Sea. In addition, the similarity of the ODI inside the regions analysed in Sect. 3.3.1 and inside the regions selected for the mass balance analyses (see Fig. 2, regions 2–4) and their surrounding areas shows that these regions can be considered as
710 representative, allowing for a meaningful analysis of the O_2 dynamics in these regions.

3.4 Driving mechanisms and year-to-year variability of sub-thermocline O₂ dynamics

The previous analyses showed that stratification constitutes a necessary condition for O₂ deficiency, but year-to-year variations especially in the biological factors mainly control the O₂ dynamics. For a better understanding of the processes controlling sub-thermocline O₂ a more detailed analysis is provided by the mass balances in Fig. 8. As the bottom O₂ dynamics are also influenced by the processes in the mid-water, Fig. 8a and b show the O₂ mass balances for the sub-MLD volume (V_{sub}) in region 3 (see Fig. 2) for the years 2002 and 2010, respectively.

The stratification characteristics are similar for both years with an average MLD of about 15 m and a stratification period (grey area) of 187 days in both years, only differing by a later onset (and breakdown) of stratification in 2010. The temporal evolution of the MLD (dash-dotted grey) is also similar, with deeper MLDs at the beginning and end of the stratified period and few events of enhanced mixing during the summer months (May to August).

The sub-MLD O₂ concentration (solid magenta) at the beginning of the stratified period in 2002 is about 9.81 mg O₂ L⁻¹, being about 0.33 mg O₂ L⁻¹ lower than in 2010. At the end of stratification, the 2002 value of 6.85 mg O₂ L⁻¹ is about 0.81 mg O₂ L⁻¹ lower than in 2010.

In 2002, the clearly diverging temporal evolution of simulated O₂ and the corresponding saturation concentrations (O_{2,sat}; dash-dotted magenta) reveals that the different O₂ evolution is caused not only by decreasing O₂ solubility. Hence, other factors must play an important role for the O₂ evolution below the MLD.

3.4.1 The influence of advection and mixing

The comparison of advection (ADV_{O₂}; including horizontal and vertical components; dashed light blue) and vertical mixing (MIX_{O₂}; turbulent diffusion; dashed dark blue) for the years 2002 and 2010 shows strong variations between the two years. ADV_{O₂} regularly changes its influence on the sub-MLD O₂ concentrations during stratification in both years. However, considering the temporally integrated effect, ADV_{O₂} causes a net gain of about

25.8 g O₂ m⁻² in 2002, whereas in 2010 it results in a slight net loss in O₂. Advection positively affects the O₂ concentrations during the last 2–3 weeks of the stratified period in both years and even causes a net O₂ increase in 2002.

The vertical mixing of O₂ through the mixed layer (MIX_{O₂}) adds up to 26.9 g O₂ m⁻² in 2002 (1.5-fold of 2010 value). In late April and late June 2002, two events of enhanced mixing cause a rapid gross increase in the O₂ concentrations, in the latter case even resulting in a net increase in O₂. During August 2002, MIX_{O₂} even has a negative effect on O₂, which coincides with a very shallow MLD of 10 m (= bottom of first model layer). In 2010, this negative effect on O₂ is even more persistent. The increased positive net effect of ADV_{O₂} and MIX_{O₂} in 2002 relates to the stronger spatial O₂ gradients due to the local O₂ minimum in region 3.

The daily rates of change in O₂ (averaged over the stratification period) due to these factors for the different years provide a comparable measure of their effect on sub-MLD O₂, independent of the duration of stratification. The averages of these daily rates for the entire period 2000–2012 result in 0.008 ± 0.060 g O₂ m⁻² d⁻¹ for ADV_{O₂} and 0.153 ± 0.042 g O₂ m⁻² d⁻¹ for MIX_{O₂}. The small positive average value and the large STD for ADV_{O₂} show that on average advection is only a minor source of O₂, however, with large year-to-year variability. The average and STD for MIX_{O₂} show that vertical mixing consistently causes a net increase in sub-MLD O₂.

3.4.2 Biological drivers of the sub-thermocline O₂ dynamics

In contrast to the integrated effect of the physical factors, Fig. 8a and b show that the biological processes cause a net loss in O₂. The only source process for O₂ is primary production (PP; dashed light blue) which causes a gross increase of about 61.8 g O₂ m⁻² in 2002 (1.4-fold of 2010 value). Considering the biological sink processes, pelagic remineralisation of organic matter (REM_{pel}; dashed light green) has the strongest effect on the sub-MLD O₂, accounting for 50 % of the overall biological consumption. Benthic remineralisation (REM_{sed}; dashed yellow) accounts for 18.8 %, while zooplankton respiration (RES_{zoo}; dashed dark green) and nitrification (NIT; dashed red) contribute 22 % and 8.8 %, respectively. This or-

der in the relative importance is consistent throughout the entire period 2000–2012 (not shown).

In 2002, REM_{pel} is strongest among all years yielding $-103.1 \text{ g O}_2 \text{ m}^{-2}$, while 2010 represents the year of weakest REM_{pel} . For RES_{zoo} , 2002 yields a value of $-45.1 \text{ g O}_2 \text{ m}^{-2}$ (1.8-fold of 2010 value). The 2002 and 2010 values constitute the highest and lowest among all years, respectively. The same applies to REM_{sed} with a 2002 value of $-28.5 \text{ g O}_2 \text{ m}^{-2}$ (1.2-fold of 2010 value). NIT is also strongest in 2002 resulting in $-18.1 \text{ g O}_2 \text{ m}^{-2}$, while in 2010 it is about 13 % below the average value of $-13.0 \pm 2.5 \text{ g O}_2 \text{ m}^{-2}$.

The integrated effect of all biological sink processes (REM_{pel} , REM_{sed} , RES_{zoo} and NIT) adds up to $-204.8 \text{ g O}_2 \text{ m}^{-2}$ in 2002 and to $-136.4 \text{ g O}_2 \text{ m}^{-2}$ in 2010, i.e., the biological O_2 consumption in 2002 is 1.5 times higher than in 2010 and 1.2 times higher than the 2000–2012 average of $169.9 \pm 21.2 \text{ g O}_2 \text{ m}^{-2}$. The relative contribution of the individual processes to the biological O_2 consumption shows only minor variations during the analysed period. REM_{pel} contributes to $53.6 \pm 1.7 \%$, while REM_{sed} accounts for $17.2 \pm 0.9 \%$. For RES_{zoo} and NIT the average contributions result in $21.6 \pm 1.5 \%$ and $7.6 \pm 0.8 \%$, respectively. The EXP_{org} below 25 m depth (not presented; calculation analogous to Table 1) in 2002 is nearly 1.6 times larger than in 2010, which is in good agreement with the differences in the integrated effect of the biological O_2 sinks.

In late April and late June 2002 (Fig. 8a) two events of enhanced mixing reveal direct and indirect effects on the biological processes. The renewal of the nutrient pool causes short-term increases in PP around the MLD which in turn enhances RES_{zoo} and REM_{pel} . Consequently, only the stronger event in late June causes a net increase in O_2 . It should be noted that the shown strengthening in the different biological effects is also influenced by the change in the MLD (i.e., integration depth), however, it is also visible when considering a constant MLD (not shown).

PP shows the strongest effects on sub-MLD O_2 when the MLD is shallowest which indicates the existence of a deep chlorophyll maximum (DCM). This explains the negative influence of MIX_{O_2} during these periods as O_2 concentrations are highest within the DCM due to high PP. The only minor positive or even negative effect of MIX_{O_2} during most of the

795 stratified period emphasises the importance of stratification for the sub-MLD O_2 dynamics as it efficiently limits the O_2 supply.

The good agreement between the variations in EXP_{org} and the integrated effect of the biological O_2 sinks between the two years confirms that the supply of detrital matter to the deep layers is the driving force of sub-MLD O_2 consumption. The strong influence of pelagic remineralisation demonstrates its crucial role for the bottom O_2 concentrations as it directly affects the potential O_2 supply from the mid-water into the bottom layer.

3.5 Bottom layer dynamics of the North Sea O_2 minimum zone

Even though the dynamics in the mid-water affect the bottom O_2 levels, lowest concentrations occur in the bottom layer. In order to show which processes are the main contributors to the O_2 dynamics in this layer, Fig. 8c and d show the mass balances for the bottom layer in region 3 for 2002 and 2010. The average bottom depth in this region is 47.75 m, and the model bottom layer encompasses a volume of about 14.4 km³.

805 The O_2 concentrations at the beginning of the stratified period, 9.79 and 10.12 mg O_2 L⁻¹ for 2002 and 2010, respectively, are similar to those in V_{sub} . The concentrations at the end of stratification, 6.76 mg O_2 L⁻¹ in 2002 and 7.55 mg O_2 L⁻¹ in 2010, show larger differences to those for V_{sub} .

815 The effect of the physical factors, ADV_{O_2} and MIX_{O_2} , on the bottom O_2 is different to that for V_{sub} . While in 2002 ADV_{O_2} shows a similar effect on O_2 as for the sub-MLD O_2 , its effect in 2010 is opposite to that for V_{sub} , resulting in a minor increase of about 1.1 g O_2 m⁻². During the last 3 weeks of stratification in 2002, the same positive effect of ADV_{O_2} as in the sub-MLD mass balance is shown, initiating the recovery of the bottom O_2 before MIX_{O_2} intensifies. MIX_{O_2} has a consistently positive effect on the bottom O_2 in both years. Its integrated effect is increased relative to V_{sub} by the factor 1.7 and 1.4 in 2002 and 2010, respectively.

820 The relative contribution of the biological O_2 sinks in the bottom layer is also different to the sub-MLD volume. The 2000–2012 averages reveal that in the bottom layer REM_{sed} accounts for $50.1 \pm 1.2\%$ of the total biological O_2 consumption, while REM_{pel} contributes

to $32.2 \pm 1.4\%$. Thus, aerobic remineralisation consistently adds up to more than 80 % of the biological O_2 consumption in the bottom layer. This shift results from the different volumes considered, and the fact that REM_{sed} only has a direct effect on the deepest pelagic layer. Average O_2 consumption due to REM_{sed} results in values between 3.9 and 6.5 $mmol O_2 m^{-2} d^{-1}$.

For RES_{zoo} , the influence on the bottom O_2 concentrations is lower than in V_{sub} ($11.3 \pm 1.2\%$ during 2000–2012). This relates to the fact that zooplankton tends to stay in the upper part of the water column where phytoplankton concentrations are higher. NIT represents the weakest sink for bottom O_2 with an average contribution of $6.4 \pm 0.6\%$ during these years. PP as a potential source for O_2 is negligible in the bottom layer due to light limitation.

The analysis clearly shows vertical mixing is the only efficient gain term for O_2 in the bottom layer. Benthic aerobic remineralisation constitutes the major driver for O_2 deficiency in the North Sea O_2 minimum zone, although pelagic aerobic remineralisation still has a significant effect on bottom O_2 , but accounts for a remarkably lower proportion than in the entire sub-MLD volume. The simulated benthic remineralisation rates are in the same order as those derived from observations giving a range from 7 to 25 $mmol O_2 m^{-2} d^{-1}$ for a nearby station (station 3 in Upton et al., 1993), however, rather at the lower end of this range.

3.6 Spatial variability in the North Sea bottom O_2 dynamics

Figure 9a and b show the bottom O_2 mass balances of regions 4 (southern North Sea) and 5 (northern North Sea) in 2002 (see Fig. 2 for location of regions). Both regions show different stratification periods than in region 3. In region 4, the period between the first and last day of stratification accounts for only 163 days compared to 187 in region 3. Additionally, stratification is temporarily intermittent in late April and early July. In region 5, stratification lasts for 211 days without any interruptions. The water depths and bottom layer volumes also differ between the regions. Region 3 has an average water depth of 47.75 m and a bottom layer volume of about $14.4 km^3$, while region 4 is characterised by an average depth of 45 m

850 and a bottom layer volume of 11.9 km^3 . Region 5 has an average bottom depth of 99.23 m and a bottom layer volume of 16.3 km^3 .

The O_2 concentrations at the beginning of the stratified period in regions 4 ($9.74 \text{ mg O}_2 \text{ L}^{-1}$) and 5 ($9.46 \text{ mg O}_2 \text{ L}^{-1}$) are lower than in region 3. In contrast, both regions show higher concentrations at the end of stratification compared to region 3. These values reach $6.98 \text{ mg O}_2 \text{ L}^{-1}$ in region 4 and $7.61 \text{ mg O}_2 \text{ L}^{-1}$ in region 5, compared to $6.76 \text{ mg O}_2 \text{ L}^{-1}$ in region 3.

In region 4, intense mixing in late June/early July, indicated by the steep increase in MIX_{O_2} , causes the breakdown of stratification and the complete replenishment of bottom O_2 . Integrated over the stratified period the effect of MIX_{O_2} is almost 1.5 times higher than in region 3. In region 5, MIX_{O_2} represents a significantly lower supply of O_2 , which mainly relates to the significantly greater water depth favouring more stable stratification. ADV_{O_2} has an opposite effect in regions 4 and 5 relative to region 3, however, showing only minor negative integrated effects.

The integrated biological O_2 consumption in region 4 is about 7% less than in region 3. Referring to changes in O_2 concentrations, the consumption even exceeds that in region 3 by about 6%, due to the thinner bottom layer, and corresponds to an EXP_{org} below 25 m depth (calculation analogous to Table 1) in region 4 being 19% higher than in region 3. In the deeper region 5, EXP_{org} accounts for 62% of that in region 3, while biological O_2 consumption accounts for only 35% of that in region 3.

870 Despite the differences in the overall biological O_2 consumption, the relative contributions of the different sink processes in region 4 are in the same order as in region 3. REM_{sed} represents the largest contributor with about 54.6% of the total biological consumption, while REM_{pel} accounts for 27.2%. Thus, the combined effect of REM_{sed} and REM_{pel} accounts for 81.8% which is similar to region 3. Average daily benthic remineralisation rates are higher than in region 3 and yield $8.9 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$. The relative contributions for RES_{200} and NIT result in 13.6 and 4.6%, respectively.

875 The comparison of the relative contribution of REM_{pel} and REM_{sed} in region 5 reveals some changes compared to regions 3 and 4. REM_{sed} accounts for about 70% of the total

biological O_2 consumption, while REM_{pel} contributes to only about 20 %. This relates to the generally lower amount of exported organic matter reaching the model bottom layer (63 % of that in region 3). On the one hand, this causes lower O_2 consumption due to REM_{pel} , and on the other hand, enhances REM_{sed} relative to REM_{pel} as more organic matter reaches the bottom.

Considering the combined effect of stratification and biological consumption in region 4 reveals that the shorter stratification period and the strong mixing prohibit the evolution of low O_2 conditions in this region, despite the highest biological consumption. The higher benthic remineralisation rate compared to region 3 underlines the high potential for low O_2 conditions in the Oyster Grounds under persistent seasonal stratification. This is in good agreement with the findings by Greenwood et al. (2010), who observed bottom O_2 concentrations less than $6 \text{ mg } O_2 \text{ L}^{-1}$ in this area. As in region 3, the simulated benthic remineralisation rate lies at the lower end of the range of 5.6 to $30.6 \text{ mmol } O_2 \text{ m}^{-2} \text{ d}^{-1}$ obtained from observational studies near this site (Lohse et al., 1996; Weston et al., 2008). This suggests that the model most likely underestimates benthic remineralisation rates.

In region 5, the amount of exported organic matter reaching the bottom layer (deepest pelagic model layer) is limited due to the great water depth. Thus, biological consumption in the bottom layer is low, preventing the evolution of O_2 deficiency, even though stratification lasts longer and is more stable than in the other regions. This suggests that region 5 is unlikely to be affected by low bottom O_2 concentrations. However, Queste et al. (2013) found bottom O_2 concentrations of about $6 \text{ mg } O_2 \text{ L}^{-1}$ near this area in 2010, which indicates that this area can be affected by O_2 deficiency.

3.7 Interpreting observed bottom O_2 at North Dogger

The O_2 observations at station North Dogger (Greenwood et al., 2010) shown in Fig. 3a and b showed similar O_2 concentrations of about $9.5 \text{ mg } O_2 \text{ L}^{-1}$ at the beginning of the stratified period, but revealed a faster decrease in bottom O_2 during 2007 compared to 2008. As the simulation showed the same tendency with respect to the differences between the two years, the mass balances for station North Dogger for 2007 and 2008 are presented in

Fig. 10a and b, respectively, in order to interpret the observed temporal evolution of bottom O_2 .

910 The simulation yields an average rate of O_2 reduction during the stratified period of about $0.009 \text{ mg } O_2 \text{ L}^{-1} \text{ d}^{-1}$ in 2007, and a rate of about $0.007 \text{ mg } O_2 \text{ L}^{-1} \text{ d}^{-1}$ in 2008. The integrated effect of the physical factors, ADV_{O_2} and MIX_{O_2} , is quite similar for both years providing a gross increase in O_2 of about $16.3 \text{ g } O_2 \text{ m}^{-2}$ integrated over the stratified period. Thus, the main variations between the two years must be related to biological factors.

915 The temporal evolution of the biological consumption processes is also similar in both years, with higher rates in 2007. The integrated effect of all biological sink processes results in $-40.6 \text{ g } O_2 \text{ m}^{-2}$, which corresponds to an average consumption rate of $0.18 \text{ g } O_2 \text{ m}^{-2} \text{ d}^{-1}$. For 2008, the simulation yields a $6.8 \text{ g } O_2 \text{ m}^{-2}$ lower biological consumption due to a $0.02 \text{ g } O_2 \text{ m}^{-2} \text{ d}^{-1}$ lower consumption rate. This constitutes a relative difference of 13 % between the two years. For EXP_{org} below 25 m depth during summer
920 (calculation analogous to Table 1), the same relative difference is found.

The relative contribution of the different processes to the overall bottom O_2 consumption shows only minor changes between the two years. In both years REM_{sed} accounts for about 58 %, while REM_{pel} accounts for about 31 %. RES_{zoo} and NIT contribute to about 3 and 8 % in both years, respectively. This shows that the variations in the O_2 dynamics between 2007
925 and 2008 at North Dogger are mainly driven by differences in the organic matter export. The steeper decrease in bottom O_2 in 2007 results from the enhanced supply of organic matter and the subsequent increased degradation by bacteria. The enhanced release of ammonium due to pelagic and benthic remineralisation consequently triggers an increase in nitrification.

930 In contrast to the findings by Greenwood et al. (2010), who argued that the relatively strong advection at North Dogger may ventilate the bottom layers in terms of O_2 , our results suggest that advection only has a minor positive effect due to the only slightly higher O_2 concentrations in the surrounding waters. The large contribution of bacterial remineralisation (REM_{sed} and REM_{pel}) accounting for almost 90 % of the overall biological consumption
935 at station North Dogger confirms that the estimates for C remineralisation rates made

by Greenwood et al. (2010) provide reasonable results. However, as NIT also accounts for about 8 % of the total biological O₂ consumption, this process should be considered to obtain more precise estimates for C remineralisation rates.

4 Conclusions and perspectives

940 The North Sea is one of the shelf regions regularly experiencing seasonal O₂ deficiency in the bottom water (Diaz and Rosenberg, 2008; Emeis et al., 2015; Rabalais et al., 2010). However, not all areas of the North Sea are similarly affected by low O₂ conditions (e.g., Queste et al., 2013) due to different characteristics with respect to stratification and O₂ consumption. Observations and model results suggest that the area between 54–57° N
945 and 4.5–7° E shows the highest potential for low O₂ conditions, but also areas around the Doggerbank experience lowered bottom O₂ concentrations.

The model-based analysis of different factors affecting O₂ showed that besides sufficiently long stratification (> 60 days), surface layer primary production (driving organic matter export) and sub-thermocline volume are the key parameters influencing the bottom O₂ evolution. Based on this, the North Sea can be subdivided into three different zones in
950 terms of O₂ dynamics: (1) a highly productive, non-stratified coastal zone (region A), (2) a productive, seasonally stratified zone with a small sub-thermocline volume (region B), and (3) a productive, seasonally stratified zone with a large sub-thermocline volume (regions C and D). While the zones of type 1 and 3 are unlikely to be affected by low O₂ conditions
955 due to either continuously ongoing ventilation (type 1) or the large sub-thermocline volume diluting the effect of O₂ consumption (type 3), type 2 is highly susceptible to low O₂ conditions. This results from the specific combination of high upper layer productivity and small sub-thermocline volume, which causes a strong impact of the consumption processes on the decrease in the bottom O₂ concentrations.

960 The ODI demonstrates that this regional characterisation, based on only three controlling parameters, can be applied to most parts of the North Sea. The ODI is rather simple compared to the eutrophication risk index (EUTRISK; Druon et al., 2004) as it is designed

to indicate regions with higher risk for O₂ deficiency. Therefore, it may also allow for an operational use as the information on stratification can be derived from operational hydro-

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dynamical models and information on net primary production from satellite data. The model-based mass balances showed that pelagic bacterial remineralisation constitutes the largest O₂ consuming process within the sub-thermocline volume. In the bottom layer, benthic remineralisation constitutes the major O₂ sink, which consistently contributes more than 50 % to the overall bottom O₂ consumption. Pelagic remineralisation consistently

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contributes to more than 20 % of the overall bottom O₂ consumption. Zooplankton respiration and nitrification are less important, however, can contribute to up to 14 % and 8 %, respectively. In addition, the results suggest that the relative contribution of the different O₂ consuming processes in the bottom layer at a certain location depends on the water column depth and is independent of the overall consumption. The mass balances also showed that differences in the surface layer primary production drive variations in the sub-thermocline and bottom O₂ evolution between different years. Increased primary production directly enhances the export of dead phytoplankton into the deeper layers. Furthermore, it enhances zooplankton growth which causes an additional increase in organic matter production and export. This enhanced zooplankton growth further increases O₂ consumption due to respiration. The overall increase in organic matter export results in stronger bacterial remineralisation which in turn triggers nitrification due to the stronger release of ammonium.

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Our analysis suggests that advection usually only has a minor effect on the bottom O₂ dynamics in most North Sea regions which contradicts the interpretation by Queste et al. (2013). However, during years of especially low bottom O₂ concentrations it may play an important role for the recovery of the O₂ levels before the breakdown of stratification in autumn. In addition, we showed that during the summer period only very strong mixing results in a net increase in O₂ as the enhanced nutrient supply triggers primary production, eventually increasing the biological O₂ consumption, which balances or even exceeds the enhanced O₂ supply.

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This study demonstrated that ecosystem models are capable of describing the key features of the O_2 dynamics as an integral part of the North Sea ecosystem. This, in combination with the provision of a spatially and temporally consistent picture is useful for the detection of regions susceptible to low O_2 conditions which therefore require enhanced management. Additionally, this is of importance for monitoring authorities as our model showed that bottom O_2 measurements taken in late summer provide a synoptic picture of the North Sea O_2 conditions.

This study provides a general characterisation and process-based analysis of the North Sea O_2 dynamics in its present state, including the actual eutrophication status in the “continental coastal region” as defined for the OSPAR assessment (Claussen et al., 2009). The question on the anthropogenic contribution to the O_2 deficiency problem in relation to elevated nutrient supply is beyond the scope of this study. However, the capability of three-dimensional models to describe the O_2 dynamics in the context of the natural variability of the ecosystem can be related to changes in anthropogenic drivers, such as increased atmospheric deposition (Troost et al., 2013) or riverine nutrient input (Lenhart et al., 2010).

Similar model studies are essential for the assessment within the Water Framework Directive (WFD), in which dissolved O_2 is used as a key parameter (Best et al., 2007). As the WFD assessment depends strongly on the description of pristine conditions, related to natural nutrient levels (Topcu et al., 2009), ecosystem models can provide a consistent picture of the North Sea O_2 dynamics under these pristine conditions and of the effects of WFD reductions (Schernewski et al., 2015). As river load reductions within the WFD regulation affect the entire North Sea ecosystem, also in terms of bottom O_2 conditions, these scenarios can also be interpreted within the frame of the Marine Framework Directive (MSFD), which involves O_2 as one of the main descriptors for the definition of the “Good Environmental Status”.

Recent observational studies explicitly highlight the importance of organic nutrient loads on the O_2 dynamics in the context of nutrient reductions (Kemp et al., 2009; Topcu and Brockmann, 2015). Thus, future modelling studies on the effects of nutrient reductions on the marine environment should differentiate between the effects of organic and inorganic

1020 nutrient inputs in order to optimise measures in the catchment area with respect to cost efficiency.

1025 Diaz and Rosenberg (2008) report an exponential expansion of global O₂ deficiency (and hypoxia) since the 1960s and argue that future changes in O₂ conditions will strongly depend on the effects of climate change on stratification and riverine nutrient supply. For
1030 the North Sea, several model studies predict a rise in water temperature (e.g., Lowe et al., 2009; Meire et al., 2013; Mathis and Pohlmann, 2014) which will reduce the O₂ solubility (Weston et al., 2008). Stratification intensity may either increase (Lowe et al., 2009; Meire et al., 2013) or even decrease (Mathis and Pohlmann, 2014), implying opposed effects on bottom O₂. Primary production could increase due to enhanced nutrient supply caused by changes in weather conditions (Rabalais et al., 2010) or due to a temperature-driven
1035 increase in metabolic rates (van der Molen et al., 2013), which could eventually aggravate the O₂ conditions (Justić et al., 2003). In contrast, Gröger et al. (2013) predicted a North Sea wide reduction in primary production by about 30 % due to reduced winter nutrient import from the Atlantic. As these potential changes in the O₂ conditions will also affect the biocoenosis of the North Sea (Emeis et al., 2015), it is important to foster the analysis of potential impacts of climate change and changes in nutrient loads on the O₂ dynamics.

Data availability

1040 The time series data from the Cefas SmartBuoy programme can be accessed via: <http://cefasmapping.defra.gov.uk/Smartbuoy>. The time series data of BSH MARNET programme can be accessed via: http://www.bsh.de/en/Marine_data/Observations/MARNET_monitoring_network/. The spatially resolved data from the North Sea cruises 2001, 2005 and 2008 have been released in the framework of the EU-FP6 project CARBOOCEAN and can be accessed via: <http://dataportal.carboocean.org/>.

Appendix A: Evaluation of the stratification and MLD criterion

1045 Figure A1 shows the Hovmöller diagram of simulated T at station North Dogger (see Fig. 2, region 2) for the year 2007, including the MLD (dashed magenta line) derived from the simulated T field according to Eqs. (1) and (2). Regarding the onset of stratification in late March it is shown, that the near-surface (0–25 m) T starts to increase relatively to T in the deeper layers. $T_{\text{crit}} = 0.5 \text{ K}$ is reached on 26 April, marking the beginning of the stratified period according to Eq. (1). The maximum vertical T gradient at the onset occurs in 25 m depth. From that moment stratification according to Eq. (1) persists until 31 October, which may represent a slight overestimation as the maximum T gradient is found in 60 m depth already, indicating deep mixing.

1055 During the first two months of the stratified period (April/May) the MLD shows stronger fluctuations in terms of its actual depth. This results from the relatively weak near-surface stratification, and thus, the stronger effect of mainly wind-induced mixing reaching depths of up to 35 m. These events are indicated by the episodic increase and decrease of surface T . From early June to end of July, the MLD is less variable in depth due to the persistent surface heating and less strong wind events. In late June a short-term decrease in surface T indicating enhanced mixing occurs which also results in a deepening of the MLD. From 1060 August until the end of the stratified period the MLD shows a deepening trend which is caused by the decreasing surface heating and increasing wind activity.

The main assumption behind the rather small critical T difference of 0.05 K is, that even if the surface mixed layer is interrupted due to mixing, this does not necessarily result in a complete overturning of the water column. Thus, even a minor difference in T indicates a bottom layer unaffected from vertical mixing. Despite this significant difference to common MLD criteria (e.g Kara et al., 2000, therein Table 1), the criterion applied in this study represents the stratification conditions quite well. However, it should be noted that the end of the stratified period may be slightly overestimated. In addition, in regions with a less pronounced onset of stratification, i.e., a less distinct increase in surface T , the determined timing of the onset may be slightly too early. The use of the maximum T gradient to determine the MLD under stratified conditions yields reasonable results, and is closely related to real conditions as the thermocline is defined as the layer with the maximum T gradient.

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Table 1. Average critical quantities (2000–2012) characterising the O₂ dynamics in the four different 4×4-regions (see Fig. 2, yellow boxes). Fluxes are cumulated from 1 April to 30 September and relate to a surface layer of thickness $D_{\text{ref}} = 25$ m.

region		A – SNS	B – SCNS	C – NCNS	D – NNS
PP _{mid}	g C m ⁻²	169.0	147.8	134.6	148.1
ADH _{org,in}	g C m ⁻²	95.9	109.2	92.3	111.0
ADH _{org,out}	g C m ⁻²	89.2	107.6	92.7	114.4
EXP _{org}	g C m ⁻²	23.4	17.5	16.2	18.4
MIX _{O₂}	g O ₂ m ⁻²	116.1	66.7	13.7	18.2
initial O ₂	mg O ₂ L ⁻¹	10.1	9.9	9.5	9.5
final O ₂	mg O ₂ L ⁻¹	7.7	7.9	8.0	8.3
t_{strat}	days	80	151	220	226
D_{mid}	m	11.4	14.7	23.1	25.7
D_{bot}	m	39.6	43.5	93.0	113.4
area	km ²	7643.1	7454.3	7108.9	6677.2
V_{sub}	km ³	111.3	138.0	483.3	590.5

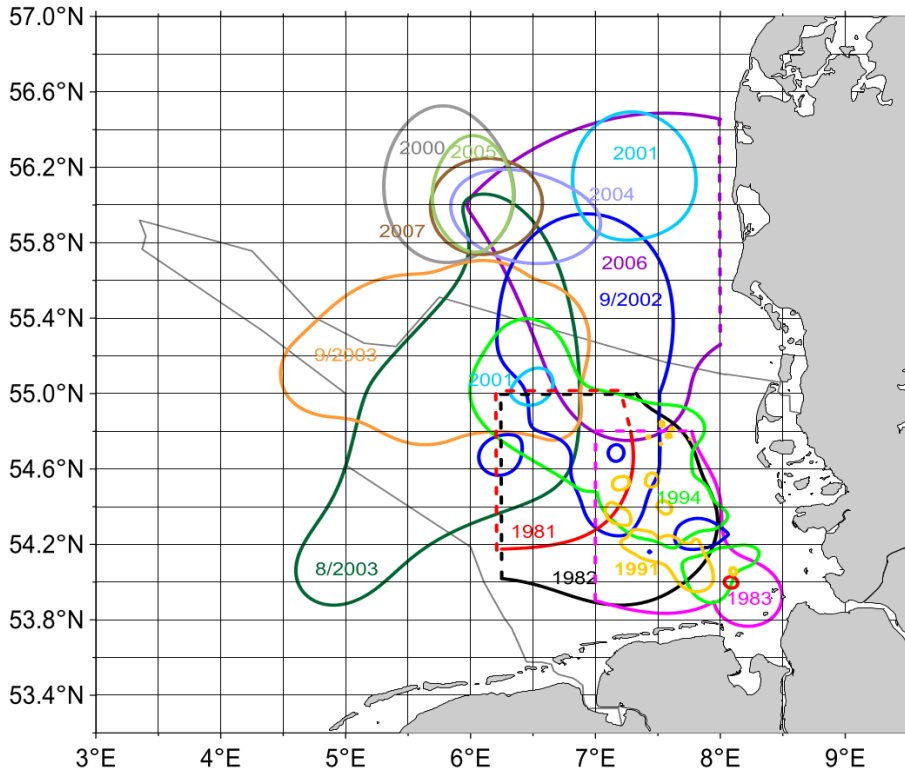


Figure 1. Extent of observed O_2 concentrations $< 6 \text{ mg O}_2 \text{ L}^{-1}$ in the German Bight area from 1980 to 2010. Dotted lines indicate geographical limits of the individual surveys. Light grey line marks German Maritime Area (from Topcu and Brockmann, 2015).

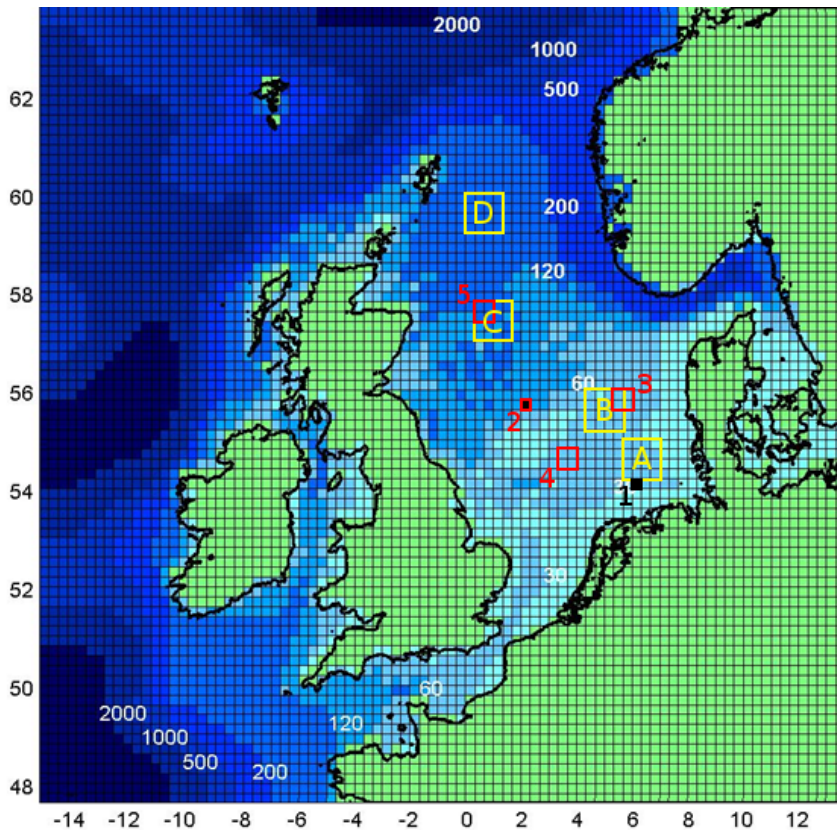


Figure 2. Horizontal grid and bottom topography of the HAMSON-ECOHAM model domain. White numbers indicate depth levels. Yellow boxes A–D mark the 4×4 -regions used for the characterisation of key features presented in Sect. 3.3. Black-filled boxes (1, 2) mark the validation sites discussed in Sect. 3.1.1 Red-framed boxes (2–5) indicate regions used for the O_2 mass balance calculations in Sects. 3.4–3.7.

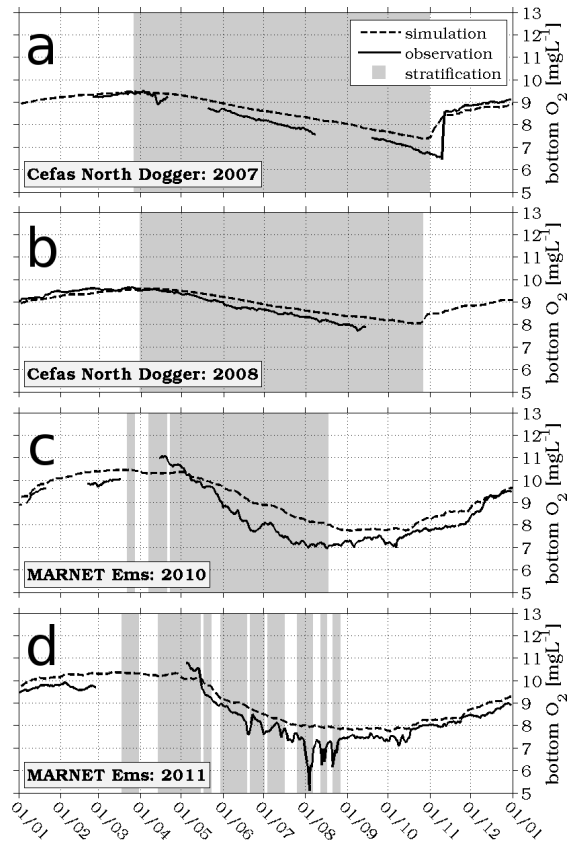


Figure 3. Annual time series of observed and simulated bottom O₂ concentrations at Cefas station North Dogger in (a) 2007 and (b) 2008, and at MARNET station Ems in (c) 2010 and (d) 2011. Same legend for all panels. Grey shaded areas indicate the stratification periods derived from simulated T according to Eq. (1).

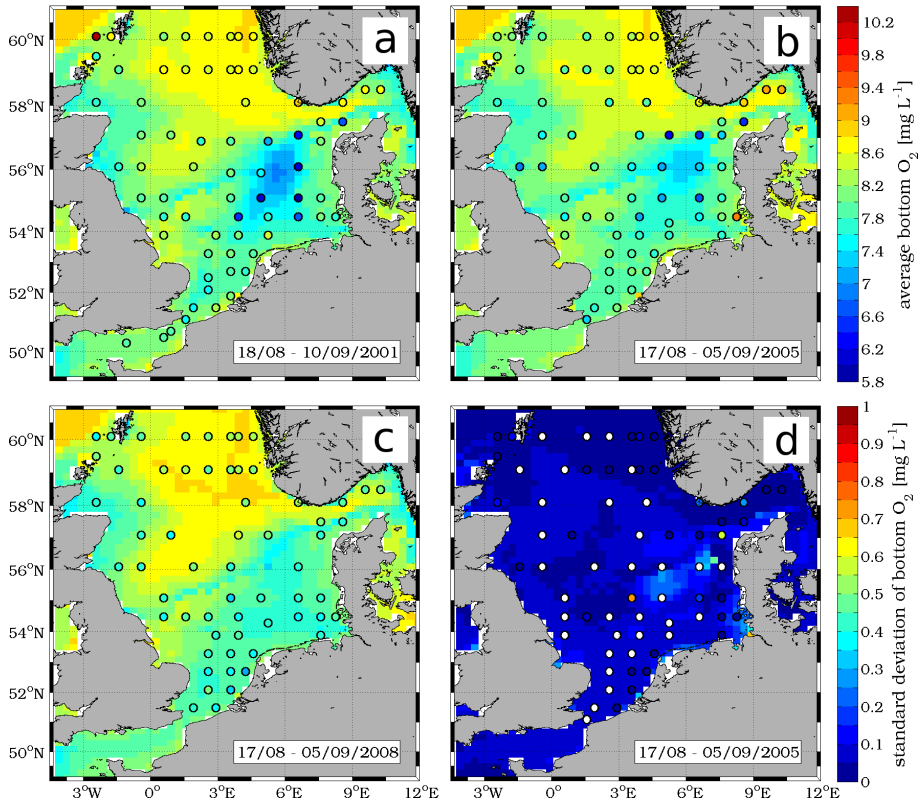


Figure 4. Spatial distribution of observed and simulated average bottom O₂ concentrations in late summer (a) 2001, (b) 2005 and (c) 2008, and (d) standard deviation in 2005. Colour scale of panel (b) applies to panels (a–c). Circles indicate sample sites, underlying colours show simulation results. Averages and standard deviation were calculated for the entire observation period (bottom-left corner of each panel). White circles in (d) mark model bottom grid cells with only one corresponding observed value (i.e., no standard deviation).

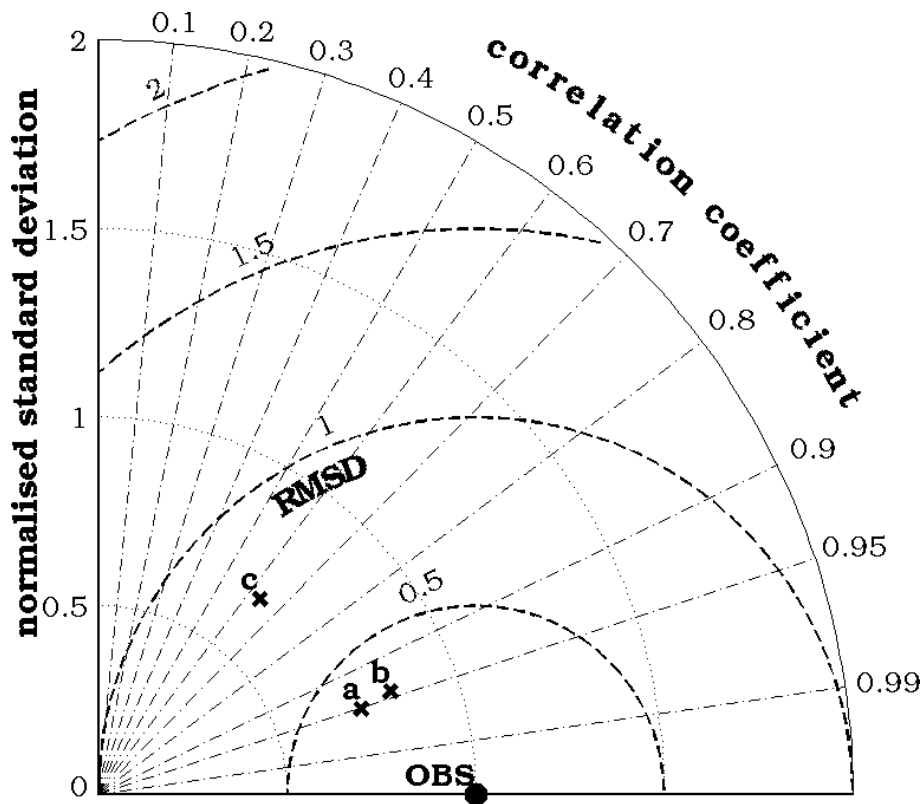


Figure 5. Taylor diagram of simulated (\times) bottom O_2 concentrations compared to observations (OBS) for time series (see Fig. 3) at **(a)** Cefas North Dogger and **(b)** MARNET Ems, and **(c)** spatially resolved data (see Fig. 4). Standard deviations and centred root-mean-square differences (RMSD) were normalised by the standard deviation of the corresponding observations.

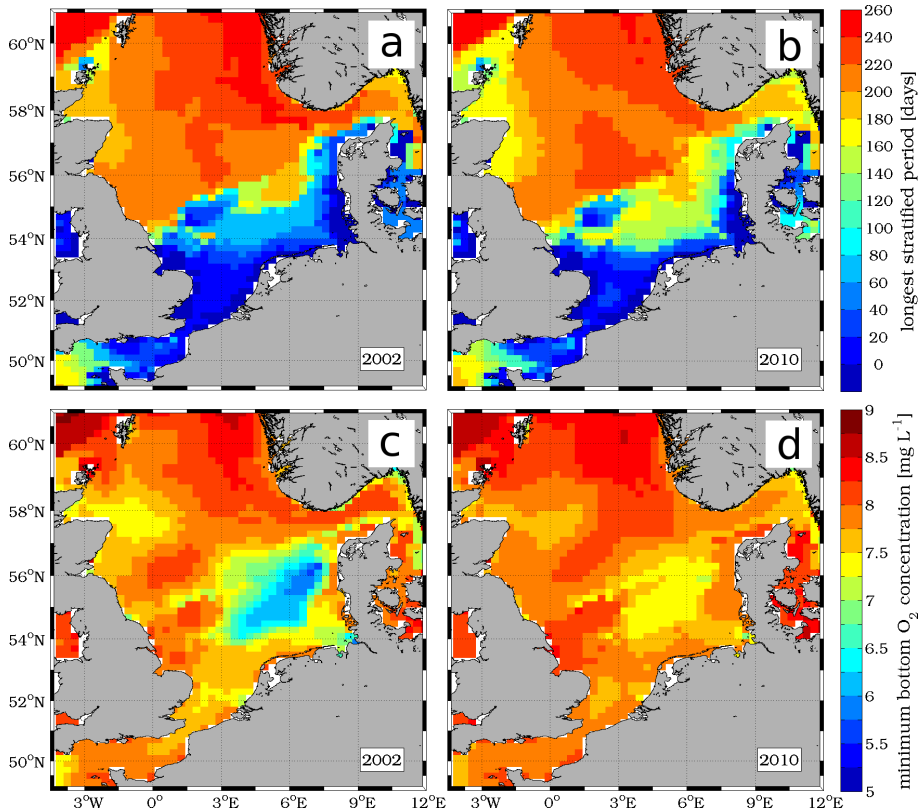


Figure 6. Spatial distributions of (a, b) longest continuous stratification period derived from simulated T according to Eq. (1) and (c, d) simulated annual minimum bottom O₂ concentrations for the years 2002 (a, c) and 2010 (b, d). Same colour scales for (a, b), and (c, d).

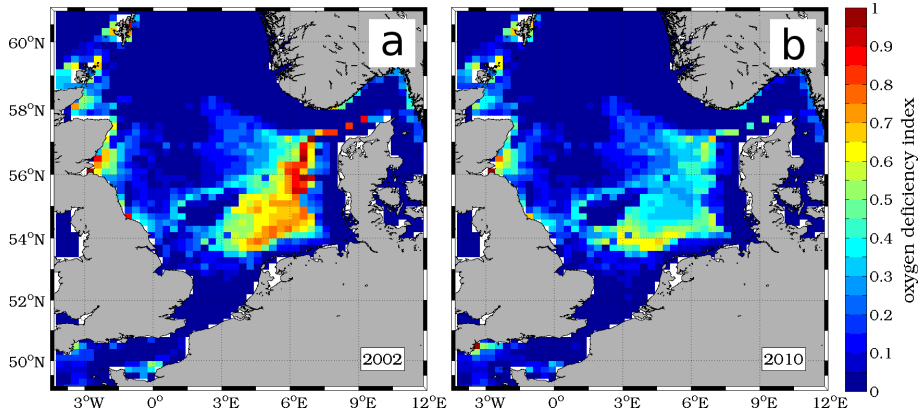


Figure 7. Spatial distribution of oxygen deficiency index (ODI) according to Eq. (5) for the years 2002 (a) and 2010 (b).

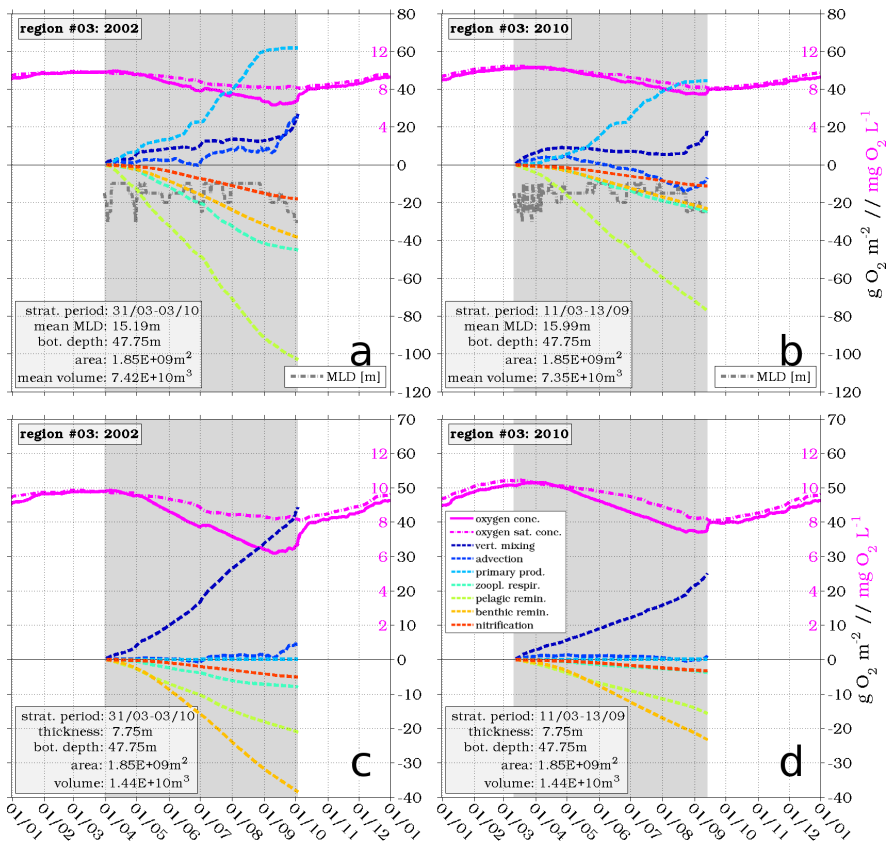


Figure 8. Mass balances of simulated O_2 in region 3 (see Fig. 2) during stratification (grey shaded): **(a, b)** for the entire volume below the MLD (grey dash-dotted; according to Eqs. (1) and (2)), and **(c, d)** only for the bottom layer for the years 2002 **(a, c)** and 2010 **(b, d)**. Same legend for all panels. Black y axes apply to processes, magenta y axes apply to O_2 (saturation) concentrations. Values of black y axes in **(a, b)** also apply to MLD (unit: m). Changes in O_2 due to different processes are cumulative. Text boxes list relevant stratification parameters, average volume of the analysed water body and bottom depth. Note different y axes for **(a, b)** and **(c, d)**.

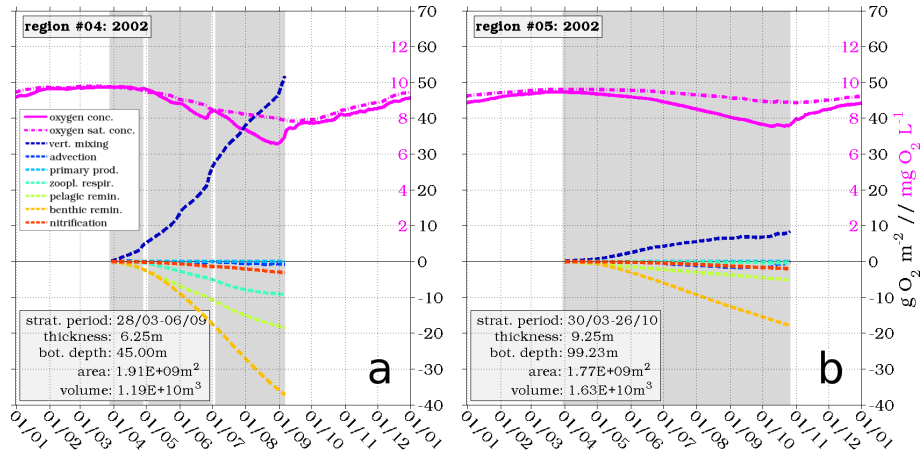


Figure 9. Mass balances of simulated bottom O_2 in regions 4 (a) and 5 (b); (see Fig. 2) during stratification (grey shaded) in 2002. Same legend for (a, b). Black y axes apply to processes, magenta y axes apply to O_2 (saturation) concentrations. Changes in O_2 due to different processes are cumulative.

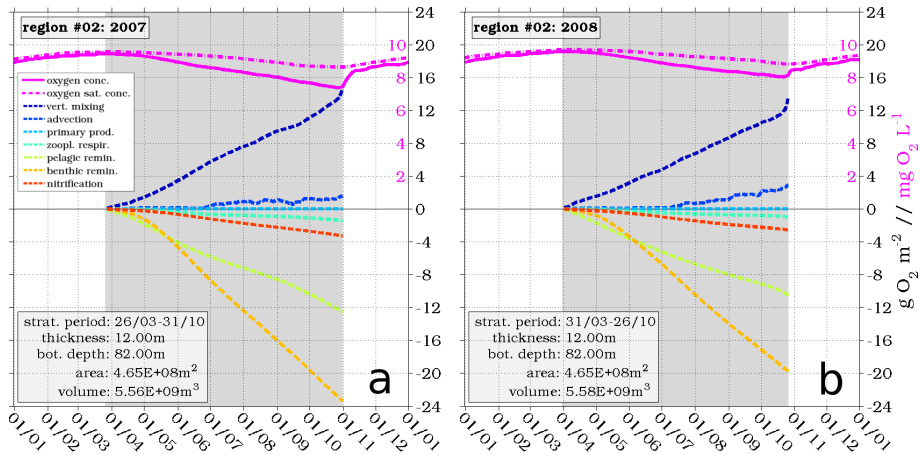


Figure 10. Mass balances for simulated bottom O_2 at Cefas North Dogger (see Fig. 2, region 2) during stratification (grey shaded) in (a) 2007 and (b) 2010. Same legend for (a, b). Black y axes apply to processes, magenta y axes apply to O_2 (saturation) concentrations. Changes in concentrations due to different processes are cumulative.

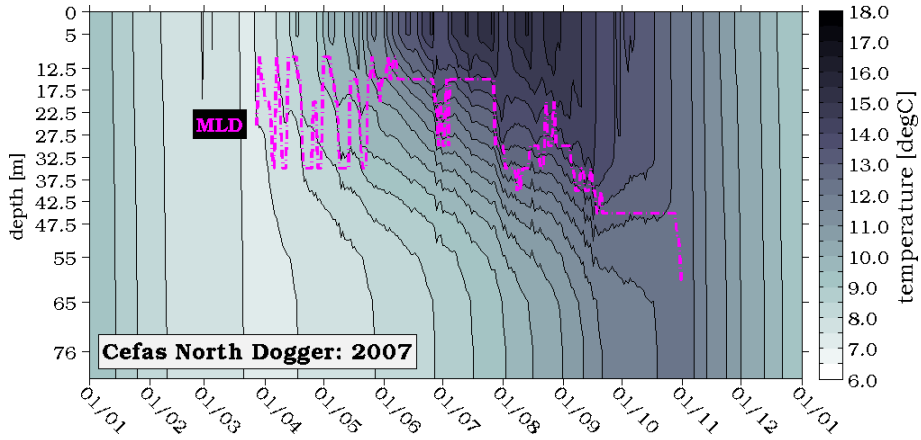


Figure A1. Hovmöller diagram of simulated T and MLD according to Eqs. (1) and (2) at Cefas station North Dogger (see Fig. 2, region 2) in 2007. Depth levels represent the centre depth of model layers.