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# Looking beyond stratification: a model-based analysis of the biological drivers of oxygen depletion in the North Sea

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Looking beyond  
stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Abstract

The problem of low oxygen conditions, often referred to as hypoxia, occurs regularly in the North Sea, a temperate European shelf sea. Stratification represents a major process regulating the seasonal dynamics of bottom oxygen. However, lowest oxygen conditions in the North Sea do not occur in the regions of strongest stratification. This suggests that stratification is an important prerequisite for hypoxia, but that the complex interaction between hydrodynamics and the biological processes drives its development.

In this study we use the ecosystem model HAMSOM-ECOHAM5 to provide a general characteristic of the different North Sea oxygen regimes, and to quantify the impact of the different physical and biological factors driving the oxygen dynamics below the thermocline and in the bottom layer.

We show that the North Sea can be subdivided into three different regimes in terms of oxygen dynamics: (1) a highly productive, non-stratified coastal regime, (2) a productive, seasonally stratified regime with a small sub-thermocline volume, and (3) a productive, seasonally stratified regime with a large sub-thermocline volume, with regime 2 being highly susceptible to hypoxic conditions.

Our analysis of the different processes driving the oxygen development reveals that inter-annual variations in the oxygen conditions are caused by variations in primary production, while spatial differences can be attributed to differences in stratification and water depth. In addition, we show that benthic bacteria represent the main oxygen consumers in the bottom layer, consistently accounting for more than 50 % of the overall consumption.

By providing these valuable insights, we show that ecosystem models can be a useful tool for the interpretation of observations and the estimation of the impact of anthropogenic drivers on the North Sea oxygen conditions.

**BGD**

12, 12543–12610, 2015

## Looking beyond stratification

F. Große et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[I◀](#)

[▶I](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



# 1 Introduction

The problem of low oxygen ( $O_2$ ) conditions, often referred to as hypoxia, occurs regularly in the North Sea. A major process regulating the seasonal dynamics of bottom  $O_2$  is the occurrence and duration of thermal stratification (e.g. Greenwood et al., 2010; O'Boyle and Nolan, 2010), which limits the vertical exchange of  $O_2$  between the oxygenated surface layer and the deeper layers, and separates the productive and respiratory regimes of the water column (surface and subsurface). Both effects favour in synergy the occurrence of  $O_2$  depletion events (e.g. Diaz and Rosenberg, 2008). However, even though the northern North Sea reveals strongest stratification, lowest  $O_2$  concentrations occur in the central North Sea, where the duration of stratification is shorter and shows highest inter-annual variability. Thus, one can argue that stratification is an important prerequisite for hypoxia, but the severity and duration of low  $O_2$  conditions is controlled by the complex interaction between the hydrodynamical condition and the biogeochemical processes involved.

The North Sea is a temperate, semi-enclosed shelf sea adjacent to the northeastern Atlantic ocean. It has an average depth of about 90 m (Ducrotoy et al., 2000) with northward increasing bottom depth. The North Sea circulation is characterised by a cyclonic pattern mainly driven by the southward Atlantic inflow across the shelf edge defining its northern boundary. Lenhart and Pohlmann (1997) showed that about 85 % of the incoming Atlantic water is recirculated north of the Dogger Bank, a shallow area with water depth less than 40 m and 300 km of zonal extent at about  $55^\circ$  N,  $2^\circ$  E (Kröncke and Knust, 1995). The circulation south of the Dogger Bank is governed by the inflow through the English Channel and follows the continental coast. Northwest of Denmark it joins the Norwegian coastal current leaving the North Sea at its northern boundary.

Stratification in the North Sea reveals some significant regional differences. While the shallower southern parts are permanently well-mixed due to the strong influence of the  $M_2$  tidal component (Otto et al., 1990), the deeper parts north of  $54^\circ$  N reveal seasonal, mostly thermal stratification (e.g. Burt et al., 2014; Pingree et al., 1978; van

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Leeuwen et al., 2015). Seasonal haline stratification occurs to a lesser extent along the Norwegian coast. The transition between these permanently mixed and seasonally stratified regions occurs gradually (Pingree et al., 1978). In consequence, even areas relatively near to the coast, which are affected by high riverine nutrient run-off, often reveal stratified conditions at sub-seasonal timescales (e.g. Burt et al., 2014).

The events of O<sub>2</sub> depletion, occurring regularly in the southeastern and southern central North Sea under stratified conditions (Brockmann and Eberlein, 1986; Brockmann et al., 1990; Queste et al., 2013; Rachor and Albrecht, 1983), can be classified as “persistent seasonal” according to Kemp et al. (2009). The problem of low O<sub>2</sub> conditions in the North Sea reached public awareness in relation to the eutrophication problem during the 1980s, when demersal animals died across a large area due to low O<sub>2</sub> concentrations (v. Westernhagen et al., 1986). Eutrophication, or in other words, high anthropogenic nutrient loads mainly supplied by rivers (Brockmann et al., 1988; Jickells, 1998; Rabalais et al., 2010), may raise the ambient nutrient concentrations followed by an increase in biomass production. Under given physical conditions, eutrophication thus causes an enhanced supply of organic matter sinking into the sub-surface layer and thus reinforces O<sub>2</sub> consumption near the sea floor due to bacterial remineralisation.

Even though the second International Conference on the Protection of the North Sea (INSC-2) prescribed a 50 %-reduction of river nutrient loads in order to mitigate the effects of eutrophication (de Jong, 2006), low bottom O<sub>2</sub> concentrations remain a persistent problem in the North Sea up to the present day as shown in Fig. 1. The ecological disturbance of different levels of low O<sub>2</sub> concentration is summarized and described in detail, for instance, by Friedrich et al. (2014) and Topcu et al. (2009). Low bottom O<sub>2</sub> concentrations may lead to the death of benthic organisms or fish eggs as well as avoidance of the affected areas by benthic species. Therefore, low O<sub>2</sub> concentration constitutes a major indicator of eutrophication (category 3 indicator, i.e. “evidence of undesirable disturbance”; OSPAR-Commission, 2003) and O<sub>2</sub> concentrations

## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



lower than  $6 \text{ mg L}^{-1}$  result in the classification as so-called “problem area” in terms of eutrophication within the OSPAR assessment (Claussen et al., 2009).

Despite the relevance of the bottom  $\text{O}_2$  concentration for the assessment of the ecological status of an ecosystem,  $\text{O}_2$  measurements are sparse and either temporally or spatially limited. In addition, it is difficult to place the measurement at the right time and location to obtain a comprehensive picture of the duration and spatial extent of  $\text{O}_2$  depletion events (Friedrich et al., 2014). One way to address this problem is to analyse the available data towards their confidence level with respect to eutrophication assessment (Brockmann and Topcu, 2014).

Only in recent years continuous measurements for the North Sea became available by, for instance, the SmartBuoy programme of Cefas (Centre for Environment, Fisheries and Aquaculture Science, UK; Greenwood et al., 2010) or the MARNET programme (MARine Monitoring NETwork in the North Sea and Baltic Sea) of the BSH (Federal Maritime and Hydrographic Agency, Germany). These monitoring programmes provide daily time series of  $\text{O}_2$  and related parameters (e.g. temperature, salinity, chlorophyll) in different depths and allow for the analysis of the temporal development of stratification and  $\text{O}_2$  concentration at the location of observation.

Greenwood et al. (2010) published the first data from continuous measurements of bottom oxygen concentration for two sites (North Dogger and Oyster Grounds) in a European shelf sea. From these measurements the dynamic interaction between stratification and the development towards low bottom  $\text{O}_2$  concentration can be observed, as well as the rapid recovery to saturated  $\text{O}_2$  conditions after the breakdown of stratification due to mixing in autumn.

Queste et al. (2013) presented an approach to extend the findings by Greenwood et al. (2010) to a spatial scale using data from a survey in August 2010, and ICES historical data. They found that the summer  $\text{O}_2$  depletion near the Dogger Bank is not a recent phenomenon, however, its severity may have changed during the years. Their study showed, that in 2010 the largest part of the  $\text{O}_2$  depletion zone was located in the central North Sea at about  $57^\circ \text{ N}$ . They furthermore pointed out that it was likely caused

## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



by enhanced primary production in combination with the isolation of the deeper layers due to stratification and topographic features. Nevertheless, the question remains why this low  $O_2$  concentration occur in regions of less intense stratification compared to the northern North Sea.

As stated by Greenwood et al. (2010), the data provided insight into the processes affecting the  $O_2$  dynamics but it will require models to further elucidate the significance of the seasonal drivers. Ecosystem models provide insight into the balance between the physical and biological factors and processes governing the development of the bottom  $O_2$  concentration. Thus, they can help to understand and interpret measurements of  $O_2$  and related parameters and can further describe the history of events of low  $O_2$  conditions.

In this study we use the three-dimensional physical-biogeochemical model system HAMSOM-ECOHAM5 to fill the gap between assessment requirements and data availability. The model provides temporally and spatially resolved information for the North Sea ecosystem allowing for the identification and characterisation of the key factors determining the inter-annual dynamics of the sub-thermocline and bottom  $O_2$  concentration in different regions of the North Sea. For this purpose, we first validate the simulated bottom  $O_2$  concentration with respect to its temporal development and spatial distribution in order to show that the model used captures the main features. Consequently, we present a regional characteristic of the parameters controlling the bottom  $O_2$  dynamics and finally analyse the governing processes in terms of inter-annual and regional variations in their individual contribution to the  $O_2$  development.

## 2 Material and methods

### 2.1 The ECOHAM model

Our study is based on the coupled physical-biogeochemical model system HAMSOM-ECOHAM5. The physical model HAMSOM (HAMBurg Shelf Ocean Model; Backhaus,

**BGD**

12, 12543–12610, 2015

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I ◀](#)[▶ I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

1985) is a baroclinic primitive equation model with a free surface and uses the hydrostatic and Boussinesq approximation (Pohlmann, 1991). HAMSOM is defined with a finite difference approach on an Arakawa C-grid (Arakawa and Lamb, 1977) using  $z$  coordinates. It is used to provide the temperature ( $T$ ) and salinity ( $S$ ) distribution, in addition to the fields for advective flow and the vertical turbulent mixing coefficient, used as forcing for the biogeochemical model ECOHAM5 (ECOsystem model HAMBURG, version 5). An additional horizontal turbulent diffusion is not applied as Lenhart and Pohlmann (1997) found, that the applied advection scheme (component upstream) causes an already high numerical diffusion. For a detailed description of HAMSOM the reader is referred to Pohlmann (1991), further information on the application of HAMSOM can be found in Backhaus and Hainbucher (1987) and Pohlmann (1996).

The biogeochemical model ECOHAM5 is the successor of ECOHAM4 (Lorkowski et al., 2012; Pätsch and Kühn, 2008) and represents the pelagic and benthic cycles of carbon (C), nitrogen (N), phosphorus (P), silicon (Si) and  $O_2$ . As an extension to the classical NPZD (nutrients-phytoplankton-zooplankton-detritus) models, a representation of the “microbial loop” (Azam et al., 1983) is implemented using one functional group of bacteria. The model comprises the following state variables: 4 nutrients (nitrate, ammonium, phosphate, silicate), 2 functional groups of phytoplankton (diatoms, flagellates) and zooplankton (micro- and mesozooplankton), slowly and fast sinking detritus, labile and semi-labile dissolved organic matter (DOM), bacteria, dissolved inorganic carbon (DIC), total alkalinity and  $O_2$ . Furthermore, a module for the equilibrium chemistry of inorganic C is implemented allowing for the calculation of the air-sea flux of  $CO_2$ . The C : N : P ratios for phytoplankton, zooplankton and bacteria are fixed, but were chosen individually for each group (Lorkowski et al., 2012). The C : N : P ratios for detritus and DOM can evolve freely. Pelagic primary production is parametrised following Steele (1962) and light availability is limited by light attenuation of water, planktonic self-shading and suspended particulate matter (SPM).

The  $O_2$  module within the ECOHAM5 model incorporates physical and biogeochemical processes determining the pelagic  $O_2$  concentration (Pätsch and Kühn, 2008).



## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I ◀](#)[▶ I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

The air-sea exchange of  $O_2$  at the sea surface constitutes an important physical factor besides the effects of advective transport and vertical diffusion in the interior water column. The air-sea flux of  $O_2$  in the present application is parametrised according to Wanninkhof (1992).

In relation to the biology, the  $O_2$  cycle is linked to the C cycle by photosynthesis, zooplankton respiration and bacterial remineralisation. While photosynthesis is a source of  $O_2$ , the latter ones work as  $O_2$  sinks. A further sink of  $O_2$  is nitrification, the bacterial transformation of ammonium to nitrate. By this process, which is light-dependent, the  $O_2$  cycle is linked to the N cycle within ECOHAM5. Nitrification only occurs under aerobic conditions (i.e.  $O_2$  concentration  $> 0$ ), which is a realistic constrain for the pelagic North Sea environment. Pelagic denitrification is implemented, but is negligible as it only occurs under anaerobic conditions. Pelagic anaerobic ammonium oxidation (anammox) is not implemented, however, can be neglected for the same reason. Except for primary production, the biological processes involved in the  $O_2$  cycle are not temperature-dependent in the present model setup.

For the representation of the benthic remineralisation processes a simple sediment module is used. A layer of zero extent is defined below the last pelagic layer of each water column. There the deposited organic matter is collected and remineralised (Pätsch and Kühn, 2008). The benthic remineralisation of the organic matter is defined as a first-order process with relatively high remineralisation rates inhibiting year-to-year accumulation of deposited matter. The released dissolved inorganic matter is returned directly into the pelagic bottom layer. Different remineralisation rates are applied to organic C, N, P and Si (opal), resulting in different delays for the release into the pelagic. In ECOHAM5, the  $O_2$  cycle (Pätsch and Kühn, 2008) is affected by the benthic remineralisation in a direct and indirect way. First, the remineralisation in the sediment is accompanied by the direct reduction of the  $O_2$  concentration in the pelagic bottom layer above. Second, inorganic nitrogen is released from the sediment in form of ammonium, which can be nitrified within the water column under  $O_2$  consumption. Nitrification is light-dependent, being stronger under low light conditions. According to Seitzinger and

Giblin (1996), who suggested a tight coupling between benthic nitrification and denitrification, benthic denitrification depends on the benthic  $O_2$  consumption in our model. Direct benthic nitrification and benthic anammox had to be neglected as the sediment has zero vertical extent (Pätsch and Kühn, 2008).

For a full set of the differential equations and parameter settings of ECOHAM5, including the different elemental ratios, the reader is referred to Lorkowski et al. (2012). Values of  $O_2$  saturation concentration presented during the course of this study were calculated according to Benson and Krause (1984) using simulated  $T$  and  $S$ .

### 2.1.1 Model setup and external data

The model domain extends from  $15.25^\circ$  W to  $14.083^\circ$  E and from  $47.583$  to  $63.983^\circ$  N and comprises the whole North Sea, large parts of the northwestern European continental shelf (NECS) and parts of the adjacent northeastern Atlantic. The horizontal resolution is  $1/5^\circ$  with 82 grid points in latitudinal direction and  $1/3^\circ$  with 88 grid points in longitudinal direction. The horizontal grid of the model domain is shown in Fig. 2. The vertical dimension with a maximum depth of 4000 m is resolved by 31 z-layers with a surface layer of 10 m and thicknesses successively increasing with depth from 5 up to 1000 m in the layers below. The vertical has as resolution of 5 m between 10 and 50 m depth, which is relevant for the calculation of the MLD (Sect. 2.2). The described grid is applied to both models, HAMSOM and ECOHAM5.

The model system was run over the period 1977 to 2012. HAMSOM was initialised with a monthly-averaged climatology based on the World Ocean Atlas data set (WOA; Conkright et al., 2002). The meteorological forcing was calculated from NCEP/NCAR reanalysis data (Kalnay et al., 1996; Kistler et al., 2001) and provides 6 hourly information for air temperature, cloud coverage, relative humidity, wind speed and direction. The data were interpolated into the model grid and time step according to O'Driscoll et al. (2013) and Chen et al. (2014). Daily freshwater run-off data for 249 rivers were provided by Cefas and represent an updated dataset of that used by Lenhart et al.

(2010) covering the whole simulation period. The same dataset encompassed nutrient loads used for the ECOHAM5.

At open boundaries, surface elevation was prescribed as a fixed (Dirichlet) open boundary condition (OBC) according to the M2 tide, while for horizontal transport velocities radiation OBCs were applied. For tracers ( $T$  and  $S$ ) radiation and radiative-nudging OBCs were used in the case of inflow and outflow, respectively. A detailed description of the OBCs is provided by Chen et al. (2013). The HAMSOM simulation was carried out with a 10 min time step.

ECOHAM5 was run off-line with a time step of 30 min using the daily averages of the hydrographic and hydrodynamic fields generated by HAMSOM. In the model setup used, short wave radiation is attributed to the first layer (surface) only and the specific effect of light attenuation due to SPM and planktonic self-shading on the thermal structure is not taken into account. This approach is similar to the case of very large concentrations of shading material. In a sensitivity run we allowed light to penetrate into deeper layers accounting for light attenuation by water, SPM and phytoplankton. On average, the thermal structure during April–September 2002 (year of highest primary productivity) showed a minor decrease in the  $T$  difference between surface and bottom ( $-0.12 \pm 0.10$  K) and in the stratification period ( $-2 \pm 5.6$  days). The maximum decrease in average summer  $T$  difference was less than 0.5 K and confined to only a small area in the eastern central North Sea. The average effect of phytoplankton shading amounted to about 5 %.

For the biogeochemical state variables a climatology of depth-dependent monthly averages was prescribed at the boundaries, solely for DIC yearly changing data were provided (Lorkowski et al., 2012). To include the effect of SPM on the light climate, a daily climatology from Heath et al. (2002) was used covering the whole model domain. Data for atmospheric N deposition were compiled using a hybrid approach. This was required since the overall simulation period (1977–2012) exceeds the period of data available from the EMEP (Cooperative program for monitoring and evaluation of the long-range transmissions of air pollutants in Europe) model (1995–2012). First, the

**BGD**

12, 12543–12610, 2015

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



EMEP results for total deposition of oxidised ( $\text{NO}_x$ ) and reduced nitrogen ( $\text{NH}_3$ ) were interpolated to the model grid. Second, we calculated the average annual deposition rates for the  $\text{NO}_x$  and  $\text{NH}_3$  for each grid cell, based on EMEP data for the period 1995–2012. The resulting spatially resolved arrays of average deposition rates were subsequently normalised by the spatial average of the whole domain to yield the spatially resolved anomaly fields. Finally, gridded deposition rates for individual years were obtained using (1) the gridded anomaly fields, (2) EMEP's spatially averaged (over our model domain) deposition rates for year 2005, and (3) long-term trends (normalised with respect to year 2005) for the temporal development of European emissions of  $\text{NO}_x$  and  $\text{NH}_3$  (Schöpp et al., 2003, Fig. 2). The output of the biogeochemical simulation was stored as daily values (cumulative fluxes, state variable snapshots) for the whole domain and simulation period.

## 2.2 Extracting stratification parameters from model results

Stratification constitutes the prerequisite for the potential development of low  $\text{O}_2$  conditions in the North Sea, and is defined by its (1) duration and (2) mixed layer depth (MLD). Therefore, these two parameters are crucial for the analysis of the  $\text{O}_2$  dynamics conducted during the course of this study. As observations do not cover the whole model domain and simulation period it is inevitable to determine the duration of stratification and the MLD from the simulation results. For this purpose we developed a simple 2-step algorithm. First, the stratified period is determined using a temperature difference criterion:

$$S_{\text{strat}}(x, y, t) = \begin{cases} 1 & \Delta T|_{-H}^0(x, y, t) \geq 0.05 \text{ K} \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

$S_{\text{strat}}$  is a switch defining if a water column at location  $(x, y)$  and time  $t$  is stratified ( $S_{\text{strat}} = 1$ ) or not ( $S_{\text{strat}} = 0$ ) depending on the temperature difference  $\Delta T$  between the surface and bottom depth  $H$ . The critical temperature difference  $\Delta T_{\text{crit}} = 0.05 \text{ K}$  was determined by evaluating different  $\Delta T_{\text{crit}}$  against the temporal development of simulated

bottom  $O_2$  at different locations within the model domain. For this purpose, we assumed that even if the surface mixed layer is interrupted due to mixing, this must not necessarily cause a complete overturning of the water column. Thus, even a minor difference in  $T$  indicates a bottom layer unaffected from vertical mixing. In addition, periods of stratified conditions are only considered as such, if they last for at least 5 days without any interruption. Otherwise bottom waters are considered to be ventilated again.

In the second step, in the case of stratification the MLD is defined as the depth  $D$  where the vertical temperature gradient  $\delta T / \delta z$  has its maximum:

$$\text{MLD}(x, y, t) = \begin{cases} D(\max(\delta T / \delta z)) & S_{\text{strat}}(x, y, t) = 1 \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

In addition, the discrete model grid implies the definition of the MLD as the bottom depth of the upper of the two layers between which the maximum gradient  $\Delta T / \Delta z$  between the centre points of two vertically adjoining grid cells occurs.

## 2.3 Validation data

For the validation of the model results we used observation data from different sources. The datasets can be subdivided into two types: (1) temporally resolved, localised data and (2) spatially resolved “snapshots”. The first type was used for the validation of the temporal development of  $O_2$ , whereas the second type was used to validate the general spatial patterns of bottom  $O_2$  during late summer.

### 2.3.1 Localised, temporally resolved data – Cefas-SmartBuoy and MARNET

The problem of comparing sporadic  $O_2$  measurements with simulated bottom  $O_2$  concentrations was clearly shown by Lenhart et al. (2010, Fig. 9a). They compared bottom  $O_2$  concentrations simulated by three different models against in-situ observations at the Dutch monitoring station Terschelling 135. Their first approach to directly compare observations and simulations of a single year revealed significant differences between

**Looking beyond stratification**

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



5 simulated and observed bottom  $O_2$  concentrations, with even lower simulated values falling below  $6 \text{ mgL}^{-1}$  in late summer. However, due to the sparse data, they could not determine whether these low simulated concentrations represented reasonable results for the corresponding period or not. Consequently, monthly averages and standard deviations of a 15 year dataset had to be used to unveil if  $O_2$  concentrations below  $6 \text{ mgL}^{-1}$  were within a realistic range during the specific period at the location considered. In our study we use more or less continuous time series of bottom  $O_2$  concentrations for the stations Cefas North Dogger and MARNET Ems to overcome this uncertainty in the validation.

10 Cefas operates a number of autonomous monitoring systems to provide up-to-date reports on marine water quality. One of these so-called “SmartBuoy” moorings is located directly north of the Dogger Bank at  $55^\circ 41' \text{ N}$ ,  $2^\circ 16.80' \text{ E}$  (see Fig. 2, region 2). The SmartBuoy at this station (hereafter “North Dogger”) was operational from 24 February 2007, to 15 September 2008 (Greenwood et al., 2010). At this site the water depth is 85 m and the measuring devices were located in 1, 31 and 85 m depth.  $T$ ,  $S$  and  $O_2$  concentrations were continuously recorded in the different depths. The  $O_2$  concentrations were measured in a burst of between 5 to 10 min using an Aanderaa optode (AADI, Norway) with a frequency of 5 Hz (accuracy of 0.5 %). A 0–100 % calibration was conducted annually on each optode and results were corrected for drift using  $O_2$  concentrations determined from discrete water samples. These samples were collected and preserved in triplicate according to Winkler, and subsequently analysed on board using an automatic titration system (Sensoren Instrumente Systeme, Germany). For a more detailed description of the data acquisition at North Dogger the reader is referred to Greenwood et al. (2010). For validation purposes the  $O_2$  concentration data derived from the sensor at 85 m depth were used.

25 The BSH operates 12 marine monitoring stations within the MARNET programme. This programme focuses on the continuous monitoring of physical properties of sea-water ( $T$ , conductivity,  $O_2$  concentration and saturation) and hydrodynamic parameters, such as current velocities or sea level, to detect changes in the marine climate and to

provide information model applications, e.g. storm surge forecast. At each MARNET station, the  $O_2$  saturation is measured hourly in the surface and in the bottom layer using opto-chemical sensors (optodes).

At station Ems ( $54^{\circ}10' N$ ,  $6^{\circ}21' E$ ; see Fig. 2, region 1), which has a bottom depth of 33 m, the two sensors are located at 6 and 30 m depth, respectively. The applied sensors have a resolution of  $0.03 \text{ mgL}^{-1}$  and an accuracy better than  $0.26 \text{ mgL}^{-1}$ . Before deploying the sensors a 0–100% calibration is conducted, and they are re-calibrated after operation to quantify any drift. In addition, a regular on-site validation takes place using a calibrated fast optode (accuracy of  $\pm 2\%$ ) or by applying the Winkler titration (accuracy better than  $\pm 1\%$ ).

### 2.3.2 Spatially resolved “snapshot” data – the North Sea programme

During the North Sea programme, carried out by the Royal Netherlands Institute for Sea Research (NIOZ) with support from the Dutch Science Foundation (NWO) and the European Union, the North Sea was sampled from 18 August to 13 September 2001, and from 17 August to 5 September 2005 and 2008. The North Sea was covered by an approximate  $1^{\circ} \times 1^{\circ}$  grid, sampling approximately 90 stations in each of the three years. The station grid was denser in the Southern Bight, which is strongly affected by the major continental freshwater run-offs and to a lesser extent by the Baltic outflow. The station grid was coarser in the more homogeneous central and northern North Sea (Bozec et al., 2005, 2006; Salt et al., 2013). During each cruise, a total of 750 water samples was collected for dissolved  $O_2$ . In 2001, the  $O_2$  concentration was determined by the Winkler titration using a potentiometric end-point determination with an accuracy of  $\pm 2 \mu\text{mol kg}^{-1}$  (less than  $\pm 0.07 \text{ mgL}^{-1}$  depending on  $T$  and  $S$ ). In 2005 and 2008, the  $O_2$  concentration was obtained applying the spectrophotometric Winkler approach with a precision of less than  $0.03 \text{ mgL}^{-1}$ . A detailed description of the measurement system used is given in Reinthaler et al. (2006).

The data available were gridded to the model grid (Fig. 2). In the case of multiple measurements for the same model grid cell and date, the average of these measure-

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



ments was used for validation. To compare our model results to these data, we calculated the averages and standard deviations of our simulation over the observation period of the corresponding year.

### 3 Results and discussion

#### 3.1 Evaluation of the stratification and MLD criterion

For the present study, the stratification period and MLD constitute the major parameters defining the integration period and water volume used for the analysis of physical and biological drivers of the North Sea O<sub>2</sub> dynamics. As seasonal stratification in the North Sea is mainly  $T$ -driven (Burt et al., 2014), we used the simulated  $T$  to derive these information according to Eqs. (1) and (2), respectively. The applied critical  $T$  difference ( $\Delta T_{\text{crit}} = 0.05$  K) differs significantly from common MLD criteria (e.g Kara et al., 2000, Table 1), thus, its evaluation is required.

For this purpose, Fig. 3 shows a Hovmöller diagram of simulated  $T$  at station North Dogger (see Fig. 2, region 2) for the year 2007, including the MLD (dashed magenta line) derived from the  $T$  field. Regarding the onset of stratification in late March it is shown, that the near-surface  $T$  (down to 25 m) starts to increase relatively to the  $T$  in deeper layers. The beginning of the stratified period on 26 April coincides very well with this slowly developing separation of surface and bottom waters. The maximum vertical  $T$  gradient at the onset occurs in 25 m depth. From that moment stratification according to Eq. (1) persists until 31 October, which may represent a slight overestimation as the maximum  $T$  gradient is found in 60 m depth already, indicating deep mixing.

During the course of the stratified period we can see that in the first 2 months (April/May) the MLD shows stronger fluctuations in terms of its actual depth. This is caused by the fact, that near-surface stratification is still relatively weak, and thus, short-term events of mainly wind-induced mixing can still reach depths of 35 m. These events are indicated by the episodic increase and decrease of surface  $T$ . From early

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

June to end of July, the MLD is less variable in depth due to the persistent surface heating and less strong wind events. In late June a short-term decrease in surface  $T$  indicating enhanced mixing occurs which also results in a deepening of the MLD. From August until the end of the stratified period the MLD shows a deepening trend which is caused by the decreasing surface heating and increasing wind activity. However, in late August a shallowing of the MLD occurs which is related to an increase in the surface  $T$ .

In general, the criterion applied for the determination of the stratified period and MLD represents the stratification conditions quite well. However, it should be noted the criterion applied for the determination of the stratified period (Eq. (1)) may slightly overestimate the end of stratification. In addition, in regions with a less pronounced onset of stratification, i.e. a less distinct increase in surface  $T$ , the determined timing of the onset may be slightly too early. The use of the maximum  $T$  gradient to determine the MLD under stratified conditions yields reasonable results, and is closely related to real conditions as the thermocline is defined as the layer with the maximum  $T$  gradient.

### 3.2 Model validation

The validation of the model results using local time series data (Sect. 3.2.1) and spatially resolved snapshot data (Sect. 3.2.2) shows that the HAMSOM-ECOHAM5 model system represents the main features of bottom  $O_2$  development in the North Sea. A detailed analysis of the validation results is given in the following.

#### 3.2.1 Temporal development of bottom $O_2$

Figure 4 shows the comparison of simulated bottom  $O_2$  against time series data at the Cefas station North Dogger for the years 2007 (a) and 2008 (b) and the MARNET station Ems during 2010 (c) and 2011 (d). The indicated stratification period was derived from the simulated temperature fields using Eq. (1).

## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I ◀](#)[▶ I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

At North Dogger, observed and simulated bottom  $O_2$  concentration show a steady decrease beginning with the onset of the stratification. While the stratification according to Eq. (1) starts a bit earlier compared to that described by (Greenwood et al., 2010), the beginning of the decrease in bottom  $O_2$  concentration coincides well. The good agreement in the  $O_2$  concentration at this point in time also shows that the spring  $T$  distribution is reproduced well as this value reflects mainly the saturation concentration.

Some small-scale fluctuations in the observations like the small minimum in the  $O_2$  concentration at 15 April 2007, are not fully reproduced by the simulation. However, the general development is represented well by the model. The average reduction rate in the simulation is slightly lower compared to the observations which can be seen in the difference between the concentrations at beginning and end of the stratified period. The decrease in the  $O_2$  concentration in 2007 suddenly ends with a mixing event between 10 and 12 November. It is shown, that stratification ends a bit earlier in the simulation, with the result that simulated bottom  $O_2$  starts to recover while the observed concentration continues to decline until the mixing event, reaching an  $O_2$  minimum of  $6.4 \text{ mg L}^{-1}$ . The observed  $O_2$  concentration at the end of the stratified period is about  $6.8 \text{ mg L}^{-1}$ , while the simulation results in about  $7.4 \text{ mg L}^{-1}$ .

Unfortunately, the critical period in the development towards the minimum  $O_2$  concentration is not covered in 2008, as the measurement ceased on the 14 September 2008. Nevertheless, we can see a similar slight overestimation of simulated  $O_2$  concentration, but to a lesser degree compared to 2007. This is again due to a faster rate of reduction in the observations. In addition, some minor fluctuations can be seen in the observations, which are not represented by the model. However, as in 2007 the general trend of bottom  $O_2$  is represented well by the simulation. It should be noted, that at station North Dogger, the centre depth of the model bottom layer is equal to 76 m (bottom depth of 82 m), while the sampling was conducted in 85 m depth. This difference may also affect the difference between simulated and observed  $O_2$  concentrations.

The consistent slight overestimation of simulated bottom  $O_2$  compared to the observation is likely to result from a consistent overestimation of summer bottom  $T$ , and thus

vertical mixing, by the physical model HAMSOM (not shown). Nevertheless, keeping in mind the limited horizontal resolution of the model, the comparison to the observations at North Dogger is promising as it shows that the model is capable of reproducing the main features of seasonal bottom  $O_2$  development as well as its inter-annual variability.

At MARNET station Ems the observed bottom  $O_2$  concentration shows significantly larger intra-seasonal fluctuations than at Cefas station North Dogger. This applies to both years 2010 (panel c) and 2011 (panel d), and mainly results from the lower bottom depth, i.e. sampling depth (sensor in 30 m). The water column of the model at this location encompasses 6 vertical layers and is 35 m deep with a centre depth of the deepest grid cell of 32.5 m. In 2010, stratification starts to develop in late March, however, breaks down again and finally forms in late April lasting until mid-August.

The onset of  $O_2$  reduction in the observations is in good agreement with the beginning of the decrease in the simulated  $O_2$  concentration. From late May to late June, the observed bottom  $O_2$  reveals a steep decrease which is not fully represented in the simulation, but shows the remarkable drop in observed  $O_2$  in the second half of June, even though to a lesser extent. The same applies to the subsequent increase in observed bottom  $O_2$  in early July, which is less distinct in the simulation. The replenishment of bottom  $O_2$  in both, simulation and observation, occurs at a similar point in time, even though a bit earlier in the observations. This indicates, that the timing of the final breakdown of stratification is in good agreement. However, it has to be noted that the model tends to overestimate bottom  $O_2$  in 2010, revealing a maximum difference of about  $1 \text{ mg L}^{-1}$ . The minor differences at the beginning and end of the year, representing mainly the saturation concentration, show that the general physical setting provided by the model is reasonable, even though some features during the summer period are not fully represented.

In contrast to 2010, continuous stratified periods derived from the simulation do not exceed 2 months at station Ems in 2011. This is also present in the  $T$  observation (not shown). Consequently, the temporal development of bottom  $O_2$  represents mainly the temporal development of the  $O_2$  saturation concentration. The large fluctuations of up

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

to  $\pm 2 \text{ mg L}^{-1}$  visible in the observations indicate the presence of different water masses as station Ems is episodically influenced by the coastal freshwater signal. In addition, the tides may have an effect on the short-term. These events are not represented in the simulation due to the low spatial resolution and the daily output time step of the simulation. Besides these short-term changes the difference between simulated and observed bottom  $\text{O}_2$  concentration are less than  $0.8 \text{ mg L}^{-1}$  with higher summer values in the simulation.

The differences between simulated and observed  $\text{O}_2$  concentration at the beginning and end of the year are less than during the summer period staying below  $\pm 0.4 \text{ mg L}^{-1}$ . This and the relatively good agreement in the temporal development of bottom  $\text{O}_2$  during the stratified period show that the general features of bottom  $\text{O}_2$  at station Ems in 2011 are captured by the model.

### 3.2.2 Spatial distribution of late summer bottom $\text{O}_2$

The profile data collected within the North Sea programme during late summer 2001, 2005 and 2008 provide a highly valuable dataset as they reveal spatial patterns in the North Sea  $\text{O}_2$  distribution as well as inter-annual variations within this region. Figure 5 shows the spatial distribution of the average simulated and observed  $\text{O}_2$  concentrations in the model bottom layer for the years 2001 (panel a), 2005 (panel b) and 2008 (panel c), and the standard deviation related to the averages in 2005 (panel d). The averaging period for the simulations corresponds to the complete observation period for each year, listed in the bottom right corner of each panel.

Comparing the simulated and gridded observed bottom  $\text{O}_2$  concentrations for all three years it is shown that the simulation tends to overestimate the bottom  $\text{O}_2$  concentration in most areas, however, the general spatial and inter-annual variations visible in the observations are represented well by the model. In 2001, the observations show the lowest concentration of all years with minimum values of  $5.9 \text{ mg L}^{-1}$  in the area  $54\text{--}57^\circ \text{ N}$ ,  $4.5\text{--}7^\circ \text{ E}$ . This minimum is similarly present in the model results with values

**Looking beyond stratification**

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

slightly below  $7 \text{ mgL}^{-1}$ . Considering the maximum concentrations in the North Sea, the observations yield values of  $9.3 \text{ mgL}^{-1}$  off the southern tip of Norway and values between  $8.7$  to  $8.8 \text{ mgL}^{-1}$  in the deepest parts of the Norwegian Trench. The very high observed value at the northwesternmost sampling site represents an outlier due to the decreasing vertical resolution of the model in greater depth.

The comparison of the differences between 2005 and 2001 with respect to the 2001 minimum area shows that the observed minimum values are about  $0.3 \text{ mgL}^{-1}$  higher in 2005 than in 2001. This relative increase compared to 2001 is reproduced well by the simulation showing a similar increase by about  $0.2 \text{ mgL}^{-1}$  in this area in 2005. The observations show lowest bottom  $\text{O}_2$  a bit north and south of the simulated minimum, but still relatively low values less than  $7.2 \text{ mgL}^{-1}$  in the centre of the simulated minimum. Highest observed values are located in the very deep area at the eastern end of the Norwegian Trench, and in the inner German Bight yielding an  $\text{O}_2$  concentration of  $9.3 \text{ mgL}^{-1}$ . The model indicates only slightly higher values in the German Bight area compared to 2001, due to the low grid resolution. In the northern North Sea, the simulation shows lower values compared to 2001, which can be seen in the observations as well.

In 2008, the observations reveal significantly higher bottom  $\text{O}_2$  concentrations in the area of the 2001 minimum, compared to the previous years. In contrast, observed concentrations in most other parts of the North Sea are lower than in 2001 and 2005. The overall minimum concentration in 2008 of  $7.2 \text{ mgL}^{-1}$  is reached close to the Dutch coast. Analysing the simulated bottom  $\text{O}_2$  with respect to this inter-annual change in the spatial pattern, we can see that simulated bottom  $\text{O}_2$  also yields lower values in the southern North Sea, and significantly higher values in the 2001 minimum area. For the western central and northern North Sea the picture is different. Here, the observations result in consistently lower values compared to 2001 and 2005, whereas the simulated  $\text{O}_2$  shows higher values in most areas, except for the eastern Norwegian Trench.

In general, the basin-wide distributions of simulated bottom  $\text{O}_2$  represent the spatial patterns shown in the observations quite well, even though absolute values are not

## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

always reflected. Both observed and simulated bottom  $O_2$  concentrations show that the 50 m-isobath (broadly along  $54^\circ$  N,  $0^\circ$  E to  $57^\circ$  N,  $8^\circ$  E; Thomas et al., 2005) marks the separation line between the northern regions unaffected of low  $O_2$  conditions and the southeastern parts, which are more vulnerable to low  $O_2$  concentrations. In addition, the model demonstrated to be capable of capturing inter-annual variations in the bottom  $O_2$  development. In combination with the results of the temporally resolved validation (Sect. 3.2.1), this confirms, that the described model setup provides reliable information on the internal physical and biological processes driving the  $O_2$  dynamics in the North Sea.

As the comparison of the simulated bottom  $O_2$  concentration with the observed ones showed that our model is able to reproduce the main features of late summer  $O_2$  concentrations, the standard deviation of the simulation during the observation period gives useful insight in the temporal variability of  $O_2$  during a period of 3 to 4 weeks in late summer. Figure 5d shows the standard deviation of the simulation and observation for 2005. The picture given for the simulation in this year is mainly representative for the years 2001 and 2005 as well. It shows that in most areas of the North Sea the changes in bottom  $O_2$  during August/September are very low throughout a period of 3 to 4 weeks, indicated by a standard deviation of less than  $0.1 \text{ mgL}^{-1}$ . This in turn implies that measurements taken around this period can be considered as representative for late summer conditions. In addition, the small standard deviations for the simulation confirm that using the average over a period of up to 4 weeks provides a reasonable measure for most areas. This implies that observations taken in late summer before the breakdown of stratification represent a synoptic picture of the late summer bottom  $O_2$  conditions.

However, the validation presented in Sect. 3.2.1 showed that in some areas lowest concentrations of bottom  $O_2$  may occur remarkably later in the year (see Fig. 4a). Consequently, the picture obtained from observations taken in August/September does not necessarily reflect the spatial distribution of minimum bottom  $O_2$  concentrations. In addition, two areas east and west of the 2001 minimum region reveal higher variability

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



during the sampling period indicated by a standard deviation of up to  $0.45 \text{ mg L}^{-1}$ . In the western one, stratification may break down earlier in the year due to the combined effect of lower water depth and the onset of autumn storms, resulting in enhanced mixing. This underlines the importance of choosing the appropriate point in time to conduct measurements with respect to the monitoring of low  $\text{O}_2$  conditions.

The small standard deviation of the observations, which is a result of the data gridding, shows that in most regions vertical  $\text{O}_2$  gradients near the bottom are negligible. However, at the southeastern edge of the Dogger Bank and northwest of Denmark, high values of  $0.75$  and  $0.59 \text{ mg L}^{-1}$ , respectively, show that low  $\text{O}_2$  conditions (compare low average values at the corresponding locations in Fig. 5b) can occur in a relatively thin layer above the bottom.

### 3.2.3 A quantitative assessment of the model performance

The Taylor diagram (Fig. 6; Taylor, 2001) provides a quantitative assessment of the model performance with respect to simulated bottom  $\text{O}_2$  concentrations in relation to the validation data used in Sect. 3.2.1 and Sect. 3.2.2: (a) station Cefas North Dogger (see Fig. 4a and b) station MARNET Ems (see Fig. 4c and d) the spatially resolved data (see Fig. 5a–c). For this purpose, we merged the observations and corresponding simulation data of the different years into one continuous series of data for each of the three datasets. The Taylor diagram presents the correlation coefficients (COR), standard deviations (STD) and centred root-mean-square differences (RMSD) of the simulation relative to the observation. The two latter values are normalised by the STD of the corresponding observations.

The correlation coefficient COR between observation  $X$  and simulation  $Y$  is calculated as follows for each of the three datasets:

$$\text{COR}(X, Y) = \frac{1}{N \cdot \text{STD}(X) \cdot \text{STD}(Y)} \cdot \sum_{i=1}^N (X_i - \bar{X})(Y_i - \bar{Y}). \quad (3)$$

Here,  $N$  equals the number of observation-simulation pairs. The index  $i$  indicates a single value of the considered dataset, while  $\bar{X}$  and  $\bar{Y}$  represent the average values. The unnormalised STD of a dataset  $Z$  (observation or simulation) is calculated as:

$$\text{STD}(Z) = \sqrt{\frac{1}{N} \cdot \sum_{i=1}^N (Z_i - \bar{Z})^2}. \quad (4)$$

5 The unnormalised RMSD between observation  $X$  and simulation  $Y$  is calculated as:

$$\text{RMSD}(X, Y) = \sqrt{\frac{1}{N} \cdot \sum_{i=1}^N [(X_i - \bar{X}) - (Y_i - \bar{Y})]^2}. \quad (5)$$

The statistics for the time series data confirm the good agreement between simulation and observation shown in Fig. 4. For both stations, COR is high with values of about 0.95 and the normalised RMSD is less than 0.38. The RMSD values are mainly due to the larger range and higher (seasonal and sub-seasonal) variability in the observed bottom  $O_2$ , which is also indicated by the normalised STDs of about 0.73 and 0.82 for Cefas North Dogger and MARNET Ems, respectively. For the spatially resolved data, COR reaches only about 0.64. This lower correlation was also shown in Fig. 5 by the inter-annual variations in the simulations and observations between year 2008 and the previous years, when the simulation revealed a relative change inverse to that in the observations in the northern North Sea. The normalised RMSD is about twice as high as for the time series resulting in 0.77, which can be attributed to the greater regional differences in the observed bottom  $O_2$  concentration with higher maximum and lower minimum values. The normalised STD equals 0.67 which also indicates the less strong spatial differences in the simulation. These statistics confirm the picture given by Fig. 5, which showed that the spatial patterns in the observed bottom  $O_2$  concentration are basically reproduced by the model, with only slight shortcomings with respect to the

**Looking beyond stratification**

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





amplitude of the bottom O<sub>2</sub> concentration and inter-annual variations in some regions of the North Sea.

In summary, the validation based on time series and spatially resolved observations showed that our model system is capable of reproducing the main temporal (seasonal and inter-annual) and spatial features of bottom O<sub>2</sub> in the North Sea. Hence, the analysis of the O<sub>2</sub> dynamics based on HAMSOM-ECOHAM5 will provide valuable insight in temporal and spatial variations of the North Sea O<sub>2</sub> dynamics.

### 3.3 Simulated stratification periods and minimum bottom O<sub>2</sub>

In Fig. 7a and b the longest continuous stratification period after Eq. (1) derived from simulated  $T$  is presented for the years 2002 (a) and 2010 (b). Both years show similar stratification patterns which are in good agreement with the different stratification regimes described by Pingree et al. (1978) and van Leeuwen et al. (2015). The resulting stratification periods of > 180 days for large parts of the central and northern North Sea are in good agreement with van Leeuwen et al. (2015). They applied a density-based stratification criterion on model results to subdivide the North Sea into areas of different stratification characteristics, and showed that most areas of the seasonally stratified central and northern North Sea reveal stratification periods of 170 to 230 days.

Comparing the corresponding minimum concentration of bottom O<sub>2</sub> (Fig. 7c and d) the simulation results show significant differences which one would not expect when only comparing the duration of stratification. The minimum bottom O<sub>2</sub> concentration in 2002 in the region from 55–56.5° N, 4.5–7.5° E constitutes the lowest O<sub>2</sub> concentration during the whole period 2000–2012 reaching values of below 5.8 mgL<sup>-1</sup>. In contrast, the duration of stratification in this area is similar or even longer in 2010 than in 2002. The O<sub>2</sub> concentrations in 2002 are even below the O<sub>2</sub> threshold applied by OSPAR (6 mgL<sup>-1</sup>; OSPAR-Commission, 2005) and persist for about one month (not presented). In contrast, 2010 represents a year with relatively high minimum bottom O<sub>2</sub> concentrations being above 7.3 mgL<sup>-1</sup> in the whole model domain.

## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

The areas directly north and south of the Doggerbank also reveal lowered bottom O<sub>2</sub> concentrations in both years. This increased potential for low O<sub>2</sub> conditions north and south of the Doggerbank is in good agreement with observed bottom O<sub>2</sub> time series in these regions (Greenwood et al., 2010). Queste et al. (2013) also observed lower bottom O<sub>2</sub> concentrations north of the Dogger Bank in August 2010, however, they found the minimum concentrations a bit further north around 57° N.

The discrepancy between minimum O<sub>2</sub> concentration in the two years compared to the quite similar stratification patterns demonstrates that stratification is an important prerequisite for low O<sub>2</sub> conditions, but other factors control the actual O<sub>2</sub> development. This poses the question which physical and biological processes drive the O<sub>2</sub> dynamics in the North Sea during the summer stratified period. Based on the processes driving O<sub>2</sub> depletion, our primary hypothesis is that the production and aggregation of organic matter within the mixed layer, subsequently sinking and being remineralised below the thermocline, is the main cause for low O<sub>2</sub> conditions in the deeper layer.

### 3.4 An O<sub>2</sub>-related characteristic of the North Sea

Besides stratification, eutrophication is considered as a major driver for developing low O<sub>2</sub> conditions (e.g. Diaz and Rosenberg, 2008; Kemp et al., 2009). This implies that biogeochemical factors play an important role for the near-bottom O<sub>2</sub> development in general, not only for O<sub>2</sub> depletion. Increased primary production within the mixed layer and the resulting enhanced organic matter export into the layers below the MLD represent the main source for degradable organic matter in the deeper layers. Therefore, these quantities must be considered when characterising different regions of the North Sea with respect to O<sub>2</sub>. In addition, organic matter can be advected from surrounding waters in the form of phyto- or zooplankton and detritus, subsequently sinking out of the mixed layer.

Another important criterion is the water volume below the thermocline (Druon et al., 2004). A smaller volume separated from the surface due to stratification holds a lower initial inventory of O<sub>2</sub> than a larger volume even though concentrations are similar or

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



even higher in the smaller volume. Thus, our set of  $O_2$ -related characteristics consists of: mixed layer primary production ( $PP_{\text{mld}}$ ), horizontal advection of organic matter into and out of the mixed layer ( $ADH_{\text{org,in}}$  and  $ADH_{\text{org,out}}$ ; including phyto-/zooplankton and detritus), organic matter export below the MLD ( $EXP_{\text{org}}$ ; only detritus), mixing of  $O_2$  below the thermocline ( $MIX_{O_2}$ ) and the sub-MLD volume  $V_{\text{sub}}$ .

To detect regional characteristics within the North Sea area, we defined 4 different sub-domains encompassing  $4 \times 4$  model water columns each (see Fig. 2, red boxes): (A) southern North Sea (SNS) under strong tidal influence, (B) southern central North Sea (SCNS) with high inter-annual variability in stratification, (C) northern central North Sea (NCNS) with a dominant summer stratification each year, and (D) northern North Sea (NNS) with a dominant summer stratification each year and a strong influence of the Atlantic. For all these regions, the parameters described above were calculated for the years 2000–2012 relative to a reference depth  $D_{\text{ref}}$ , which is defined as the bottom depth of the model layer directly below the annual maximum MLD among all four regions. We decided to use a  $D_{\text{ref}} > \text{MLD}$  to ensure that for the different regions all parameters were determined on a comparable level. This is especially important for  $MIX_{O_2}$ , as the vertical  $O_2$  gradient may show significant differences depending on whether it is determined between the two layers above and below the thermocline, or between two layers both below the MLD. This implies that the values for  $PP_{\text{mld}}$ ,  $ADH_{\text{org,in}}$  and  $ADH_{\text{org,out}}$  are integrated from the surface to  $D_{\text{ref}}$ , whereas  $EXP_{\text{org}}$  and  $MIX_{O_2}$  are the temporally integrated vertical fluxes through  $D_{\text{ref}}$ . The same  $D_{\text{ref}}$  was applied to all regions, but inter-annual variations were allowed.

To determine the annual maximum MLD, we first calculated the stratification period for the  $4 \times 4$ -regions B–D using Eq. (1). Region A was excluded from this calculation as no persistent MLD developed due to tidal mixing. In this context,  $S_{\text{strat}}(t)$  of a region is only 1 if  $S_{\text{strat}}(x, y, t) = 1$  for all 16 water columns within a  $4 \times 4$ -region. The daily MLD for each water column within a region was calculated by applying  $S_{\text{strat}}(t)$  to Eq. (2), and subsequently the daily MLD of the region is defined as the median of these 16 daily values. The annual MLD for each region was then determined as the median of

this daily time series. Finally, the annual maximum MLD among all 4 regions is used to receive the reference depth  $D_{ref}$ .

Table 1 shows the averages over the period 2000–2012 of the above described critical quantities for all four regions (A–D). The annual values were calculated relative to a  $D_{ref}$  of 25 m which corresponds with the bottom depth of the layer below the maximum MLD for each year and region according to Eqs. (1) and (2). In 2005 and 2007,  $D_{ref}$  was reset to this depth, as the actual  $D_{ref}$  was equal to the minimum bottom depth of region A, not allowing for the calculation of all parameters. The different quantities are compared for two different integration periods: the whole year and 1 April to 30 September (hereafter “summer”).

The mixed layer net primary production,  $PP_{mld}$ , is strongest in the coastal region A and shows decreasing values towards the central North Sea. On an annual basis the SCNS region B has a level of about 92 % compared to the productive coastal region A. The corresponding value for the NCNS region C and NNS region D is about 80 %, respectively. The small differences between annual and summer  $PP_{mld}$  are related to the fact that most of the production takes place from April to September.

Despite the high  $PP_{mld}$  at the coast, Table 1 shows the strongest contribution of gross advection of organic matter,  $ADH_{org,in}$  and  $ADH_{org,out}$ , for the SCNS region B. This feature would not be visible by looking only at net advection, since the difference in the net fluxes is again largest for region A. Both regions show positive net advection, while the two northern regions C and D are characterised by a negative advective net flux, i.e. loss of organic matter due to advective transport. Regions C and D are located north of the Dogger Bank, which means they are within the recirculation system of the northern Atlantic inflow. In this region, net advection results in a loss in organic matter as the recirculated northward flowing water has higher concentrations of organic matter than the incoming Atlantic water. Interestingly, the model reveals the highest  $ADH_{org}$  for region B even exceeding  $ADH_{org}$  in the highly productive region A which is also affected by the strong continental coastal current.

## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The vertical export of organic matter  $EXP_{org}$  below  $D_{ref}$  is clearly linked to the production of organic matter,  $PP_{mld}$ . Region B obtains an  $EXP_{org}$  of 75 % of that in the coastal region A, which corresponds with a higher net advective organic matter import of  $6.7 \text{ g C m}^{-2}$  in region A compared to only  $1.6 \text{ g C m}^{-2}$  part in Region B.  $EXP_{org}$  in region C reaches only about 65 % relative to region A. For region D the annual and summer values reach about 77 % of the corresponding values in the coastal region A. In contrast, the export of organic matter during summer is relatively stable at about 83 % of the annual values for all regions, which is related to the fact that the major part of primary production occurs during the stratified period.

The vertical mixing of  $O_2$ ,  $MIX_{O_2}$ , is highest within the coastal region A because the tidal mixing inhibits the development of a seasonal thermocline. How persistent the  $O_2$  ventilation works throughout the year is nicely illustrated by the fact that the value for the 6 month period is nearly half the annual value. It is interesting to note that the annual values for the vertical mixing in the two northern regions C and D are higher than the one for the SCNS region B. However, the dramatic impact of the occurrence of a strong seasonal thermocline is clearly shown in the reduction of the vertical mixing fluxes to about 7 % in the two northern regions and to about 36 % in region B relative to the annual values. As stratification in region B lasts shorter than in region C and does not cover the whole period from April to September, the impact on the vertical  $O_2$  flux for region B is overestimated. When taking into account only the period when all regions – except region A – are stratified, the flux reduces to about 17 % of the annual flux.

The  $O_2$  concentration at the beginning of the year reveals minor differences between the regions with a range of  $8.9\text{--}9.3 \text{ mg L}^{-1}$ . Comparing these values to those at the beginning of April, it can be seen that the  $O_2$  concentration is continuously increasing during the first three months of the year reaching values between  $9.5$  and  $10.1 \text{ mg L}^{-1}$ . This increase is caused mainly by decreasing  $T$  resulting in a higher  $O_2$  solubility, i.e. higher saturation concentration  $O_{2,sat}$ . However, all considered regions show an increase in the actual  $O_2$  concentration higher than that in  $O_{2,sat}$ , ranging between about

14% higher values in region B and 33% higher values in region A. This suggests that the onset primary production during this early period causes the additional increase in the  $O_2$  concentration on a North Sea wide scale.

In order to give an impression of the impact of the organic matter export  $EXP_{org}$  on the  $O_2$  dynamics of the water volume below the MLD  $V_{sub}$ , we link the amount of exported organic matter to the amount of  $O_2$  available within  $V_{sub}$  assuming the organic matter is remineralised to its full amount in the area of settlement. Based on the  $O_2$  concentration at the beginning of April, the total amount of  $O_2$  results in 1365 kt for region B and 4590 kt for region C. The total amount of exported organic matter is calculated as the product of  $EXP_{org}$  and the total area of the considered region. This calculation yields 130 kt C and 115 kt C for the regions B and C, respectively. As  $O_2$  consumption and C release occur with a molar ratio of 1 : 1 during bacterial remineralisation (Neumann, 2000), the equivalent of total mass of  $O_2$  in kt  $O_2$  can be calculated by using the molar masses of C ( $12.0107 \text{ g mol}^{-1}$ ) and  $O_2$  ( $2 \times 15.994 \text{ g mol}^{-1}$ ). Finally, we receive the daily  $O_2$  consumption in  $\text{kt } O_2 \text{ d}^{-1}$  by dividing by the total duration of the considered 6 months-period (= 183 days). Division of the initial mass of  $O_2$  by this daily rate provides an estimate of the amount of time required for the consumption of the entire amount of  $O_2$  available in the volume below the MLD. This calculation yields a period of 719 days for region B, whereas the corresponding value for region C is significantly higher with 4348 days.

If we base this calculation on the threshold of  $6 \text{ mg } O_2 \text{ L}^{-1}$  used by OSPAR (OSPAR-Commission, 2005), the results for the two regions diverge even more yielding 283 and 4270 days for region B and C, respectively. As  $EXP_{org}$  shows only minor spatial variability between the two regions, these great differences in the resulting consumption illustrate clearly the large influence of the volume below the MLD,  $V_{sub}$ , on the temporal development of the  $O_2$  concentration.

## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



### 3.5 Driving mechanisms and inter-annual variability of sub-thermocline O<sub>2</sub> dynamics

As shown in Fig. 7, similar stratification periods in different years do not necessarily lead to similar distributions of bottom O<sub>2</sub>. This suggests that stratification constitutes a mandatory condition for low O<sub>2</sub> conditions, but inter-annual variations in other physical and biological factors determine whether the sub-thermocline O<sub>2</sub> conditions fall below a critical level or not.

We calculated O<sub>2</sub> mass balances for the years 2002 and 2010 for region 3, encompassing 2×2 model grid cells (see Fig. 2), to analyse the different dynamics below the thermocline (hereafter “sub-MLD”) resulting in the significant inter-annual variations of the minimum bottom O<sub>2</sub> concentration. This region shows the lowest bottom O<sub>2</sub> concentration in the North Sea during most simulated years and is located a bit west of the absolute O<sub>2</sub> minimum in 2002 (see Fig. 7c). This was done to show how inter-annual variations in the sub-MLD processes affect the the sub-MLD O<sub>2</sub> which also controls the O<sub>2</sub> development in the bottom layer. The annual median MLD was determined analogous to that for the 4×4-regions as described in Sect. 3.4.

The sub-MLD O<sub>2</sub> mass balances for 2002 and 2010 are presented in Fig. 8a and b, respectively. The shown actual O<sub>2</sub> concentration and saturation concentration represent the average values within the analysed volume. The fluxes presented are cumulative changes in the O<sub>2</sub> concentration of the considered volume, i.e., the values at the end of the stratified period reflect the total net change of the O<sub>2</sub> concentration due to the corresponding physical or biological process. Positive and negative values at the end of the stratification period indicate net gain and loss, respectively. The slope of each line represents the intensity of the corresponding flux at the specific moment in time, i.e. a steep positive (negative) slope implies a strong gain (loss) effect.

We analyse the inter-annual variations between the different processes affecting sub-MLD O<sub>2</sub> in relation to the setting defined by stratification in 2002 and 2010. The algorithm for the calculation of the median MLD, which defines the upper limit of the anal-

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



ysed volume, resulted in 15 m for both years. Consequently, the volume considered is identical with about  $60.7 \text{ km}^3$ . The stratification period lasts 187 days in both years. The only difference with respect to stratification period and MLD is the timing of the onset and breakdown of stratification. In 2010 stratification already starts on 11 March whereas in 2002 the onset occurs 20 days later.

The comparison of the sub-MLD  $\text{O}_2$  concentrations (solid magenta) at the beginning of the stratified period shows that the concentration of  $9.81 \text{ mg L}^{-1}$  in 2002 is about  $0.33 \text{ mg L}^{-1}$  lower than in 2010. The concentrations at the end of stratification also are lower in 2002 reaching about  $7.13 \text{ mg L}^{-1}$ . The corresponding value in 2010 is about  $0.64 \text{ mg L}^{-1}$  higher. Accordingly, the net decrease in  $\text{O}_2$  during the stratified period is more than  $0.31 \text{ mg L}^{-1}$  higher in 2002 than in 2010.

The diverging temporal development of the simulated  $\text{O}_2$  concentration and the corresponding saturation concentration  $\text{O}_{2,\text{sat}}$  (dash-dotted magenta) reveals that the decrease in  $\text{O}_2$  is caused not only by decreasing  $\text{O}_2$  solubility. This implies that other physical and/or biological factors must play an important role for the  $\text{O}_2$  development below the MLD. In, addition, the only minor differences in  $\text{O}_{2,\text{sat}}$  at the beginning of the stratified period between 2002 and 2010 reveal, that differences in  $\text{O}_2$  solubility only have a minor effect on inter-annual variations in this region and instead are caused by variations in other physical and biological factors affecting  $\text{O}_2$ .

### 3.5.1 The influence of advection and mixing

The comparison of advection ( $\text{ADV}_{\text{O}_2}$ ; including horizontal and vertical components; dashed blue line) and vertical mixing ( $\text{MIX}_{\text{O}_2}$ ; turbulent diffusion; dashed dark blue) for the years 2002 and 2010 shows strong annual and inter-annual variations.  $\text{ADV}_{\text{O}_2}$  regularly changes its influence on the sub-MLD  $\text{O}_2$  concentration during stratification in both years. However, comparing the temporally integrated effect,  $\text{ADV}_{\text{O}_2}$  causes a net gain of about  $0.83 \text{ mg L}^{-1}$  in 2002, whereas in 2010 it results in a net loss of about  $-0.21 \text{ mg L}^{-1}$ . Interestingly, advection positively affects the  $\text{O}_2$  concentration during the

BGD

12, 12543–12610, 2015

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

last 2–3 weeks of the stratified period in both years. In 2002, this strong increase is accompanied by a slight net increase in the  $O_2$  concentration and even precedes the recovery of the  $O_2$  concentration due to enhanced vertical mixing. This suggests that advective input of oxygenated water from surrounding regions can play an important role for the recovery of the sub-MLD  $O_2$  concentration especially in the later phase of the stratified period.

The vertical mixing through the mixed layer  $MIX_{O_2}$  in 2002 has a positive effect on the  $O_2$  concentration throughout the whole stratified period adding up to a total gain in  $O_2$  concentration of almost  $1.6 \text{ mg L}^{-1}$ . In late April and late June two events of strong downward mixing occur causing a rapid gross increase in the  $O_2$  concentration. In the latter case,  $MIX_{O_2}$  is even strong enough to trigger a net increase in the  $O_2$  concentration. This mixing event coincides with a decrease of  $O_{2,\text{sat}}$  by  $-0.05 \text{ mg L}^{-1} \text{ d}^{-1}$  on 1 July which represents the strongest decrease in  $O_{2,\text{sat}}$  during the whole year.

In 2010,  $MIX_{O_2}$  also provides a net gain in  $O_2$  integrated over time, but is about  $0.65 \text{ mg L}^{-1}$  lower than in 2002. During the first 3 weeks of stratification,  $MIX_{O_2}$  shows a remarkably stronger positive effect on  $O_2$  than in 2002, which suggests that in this phase the thermocline is not yet fully developed and still allows for enhanced vertical mixing. However, after this period  $MIX_{O_2}$  weakens and finally has almost no net effect on the sub-MLD  $O_2$  concentration from end of April until late September. During this phase the vertical mixing even causes temporary declines in  $O_2$ , e.g. in mid-June, which result from the fact that highest  $O_2$  concentrations do not occur directly at the surface, but around the MLD. The lower  $O_2$  concentrations directly at the surface result from the higher  $T$ , and thus, lowered  $O_2$  solubility. Consequently, events of wind-induced MLD deepening can bring warmer, less oxygenated water from the uppermost layer into the colder deeper layers causing these slight reductions in the sub-MLD  $O_2$  concentration.

With respect to  $ADV_{O_2}$  the sub-MLD mass balances reveal that the net effect of advection on the  $O_2$  concentration in region 3 is quite different between the years. In

2002,  $ADV_{O_2}$  causes a net increase of  $O_2$  about half as important as the vertical mixing, whereas in 2010 advection causes a net decrease of the  $O_2$  concentration.

To obtain information on the inter-annual variabilities in the changes in  $O_2$  concentration due to advection and vertical mixing during the whole period 2000–2012 we compare the standard deviation (STD) of these physical factors with respect to the cumulated affect during the stratified period. In addition, the comparison of the effect in 2002 and 2010 to the corresponding averages helps to classify these two years in relation to the effect of the physics. As the duration of stratification varies between the different years within this period we calculate the annual average daily rates of change in  $O_2$  due to these factors by dividing their integrated effect during the stratified period by the duration of stratification in the corresponding year. By this we obtain a comparable measure independent of the duration of stratification during the different years.

The average values for the whole period 2000–2012 result in  $0.0005 \pm 0.0017 \text{ mgL}^{-1} \text{ d}^{-1}$  for  $ADV_{O_2}$  and  $0.0081 \pm 0.0034 \text{ mgL}^{-1} \text{ d}^{-1}$  for  $MIX_{O_2}$ . The small positive value for  $ADV_{O_2}$  shows that on average, advection is only a minor source of  $O_2$ . However, the large relative STD of 327% in  $ADV_{O_2}$  indicates the large inter-annual variability during the analysed period. This implies that advection can provide both, a remarkable gain or loss, during different years. The average and STD for  $MIX_{O_2}$  show that vertical mixing causes an increase in sub-MLD  $O_2$  in most years.

### 3.5.2 Biological drivers of the sub-thermocline $O_2$ dynamics

The comparison of the effects of advection and vertical mixing showed that in 2002 both factors provide favourable conditions for uncritical sub-MLD  $O_2$  concentrations, whereas in 2010 the integrated effect of advection and mixing is less beneficial for the  $O_2$  concentration, even though it is still positive. However, it has to be kept in mind, that  $MIX_{O_2}$  is mainly driven by the vertical gradient in the  $O_2$  concentration and higher biological consumption below the MLD would result in a stronger  $MIX_{O_2}$  under similar stratification conditions. The significantly lower simulated minimum  $O_2$  concentration in

BGD

12, 12543–12610, 2015

Looking beyond  
stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



2002 compared to 2010 emphasises the great importance of the biological processes for the sub-MLD O<sub>2</sub> development.

In contrast to the integrated effect of the physical factors, it is shown that the integrated effect of the biological processes causes a net loss in O<sub>2</sub>. The only source process for O<sub>2</sub> is primary production (PP; dashed light blue) which causes a gross increase in O<sub>2</sub> of about 1.06 mgL<sup>-1</sup> in both years. The analysis of the biological sink processes reveals that the pelagic remineralisation of organic matter (REM<sub>pel</sub>; dashed light green) has the strongest effect on the sub-MLD O<sub>2</sub>, exceeding even the integrated effect of benthic remineralisation (REM<sub>sed</sub>; dashed yellow), zooplankton respiration (RES<sub>zoo</sub>; dashed dark green) and nitrification (NIT; dashed red). Among the last-mentioned processes RES<sub>zoo</sub> has the strongest effect on the O<sub>2</sub> concentration and NIT is least affecting O<sub>2</sub>. This a consistent picture throughout the whole period 2000–2012. However, the individual fluxes show remarkable inter-annual variations, especially comparing the two years presented.

In 2002, REM<sub>pel</sub> is strongest among all years 2000–2012 causing an O<sub>2</sub> consumption of about -3.08 mgL<sup>-1</sup>. In contrast, 2010 represents the year with the second lowest REM<sub>pel</sub> being about 0.72 mgL<sup>-1</sup> less than in 2002. In terms of RES<sub>zoo</sub> the difference between the two years is also large with a 2010 value of 0.74 mgL<sup>-1</sup> which is 0.60 mgL<sup>-1</sup> less than in 2002 (77 % of the 2002 value). For RES<sub>zoo</sub>, the 2002 value also constitutes the highest among all years, while the 2010 value marks the lowest value. In 2002, REM<sub>sed</sub> is again the highest value of the whole period 2000–2012 with about -1.18 mgL<sup>-1</sup> O<sub>2</sub> reduction, while the consumption in 2010 represents the second lowest value and is 0.46 mgL<sup>-1</sup> less than in 2002 (55 % of the 2002 value). NIT is also strongest in 2002 with an integrated change in the O<sub>2</sub> concentration by about -0.56 mgL<sup>-1</sup>. In terms of NIT, 2010 also shows values about 12 % below the average value of  $-0.40 \pm 0.08$  mgL<sup>-1</sup>, and is 0.21 mgL<sup>-1</sup> lower than the 2002 value. In terms of REM<sub>sed</sub> and NIT, the 2010 values account for only about 61 and 62 % of the 2002 values, respectively. This similarity shows the link between these two processes. NIT

is light-limited being higher under low light conditions, thus it mainly occurs in deeper layers where  $REM_{sed}$  constitutes the major source for ammonium.

The integrated effect of all biological sink processes ( $REM_{pel}$ ,  $REM_{sed}$ ,  $RES_{zoo}$  and NIT) adds up to  $-6.16 \text{ mgL}^{-1}$  in 2002, and a  $2 \text{ mgL}^{-1}$  lower value in 2010, i.e. the total biological  $O_2$  consumption in 2002 is almost 1.5 times higher than in 2010 and 1.2 times higher than the average value of  $-5.10 \pm 0.63 \text{ mgL}^{-1}$  of the period 2000–2012. Interestingly, the relative contribution of the individual processes to the overall biological  $O_2$  consumption shows only minor inter-annual variations during the analysed period.  $REM_{pel}$  has an average relative contribution of  $53.3 \pm 1.8 \%$ , while  $REM_{sed}$  accounts for  $17.3 \pm 1.1 \%$ . For  $RES_{zoo}$  and NIT the average relative contributions result in  $21.6 \pm 1.6 \%$  and  $7.7 \pm 1.0 \%$ , respectively.

According to our primary hypothesis the amount of organic matter which is produced in the upper layers and subsequently sinks into the sub-MLD zone must be significantly higher in 2002 than in 2010. The comparison of the summer PP within the upper 25 m (not presented; calculation analogous to Table 1) reveals a 1.3 times higher value in 2002. The export of organic matter below this depth is nearly 1.6 times larger than in 2010. As zooplankton is not considered in this comparison, the mismatch between the factors for PP and  $EXP_{org}$  is likely to result from higher zooplankton growth, i.e. organic matter production, which is also indicated by the 81 % higher zooplankton respiration in 2002 compared to 2010 (see Fig. 8a and b). The good agreement between the differences in  $EXP_{org}$  and the integrated effect of the biological  $O_2$  sinks confirms that the supply of detrital matter to the deep layers is the driving force of sub-MLD  $O_2$  consumption. In this context, it should be noted that 2002 represented an exceptional year in terms of especially high riverine input of organic matter and nutrients into the North Sea (Lenhart et al., 2010). Thus, the increased nutrient availability is likely to be the main cause for the low  $O_2$  conditions during this year.

Besides the differences in the temporally integrated effect of the individual biological processes between 2002 and 2010, Fig. 8a and b reveal some differences in their development during the stratified period. In 2002, the mixing events in late April and

**Looking beyond stratification**

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



late June show direct and indirect effects on the biological processes. The mixing-induced renewal of the nutrient pool causes short-term increases in PP around the MLD. Consequently, the reduction due to  $RES_{zoo}$  and  $REM_{pel}$  is enhanced. In addition, the mixing causes increased  $EXP_{org}$  which also triggers stronger  $REM_{pel}$ . The change in the  $O_2$  concentration during these events shows that only the stronger event in late June causes a net increase in  $O_2$ , whereas  $O_2$  continuously decreases during the weaker event in late April. This suggests that only sufficiently strong mixing results in a positive net effect on  $O_2$ , while during events of less strong mixing, biological consumption can balance or even exceed the combined positive effect of ventilation and increased PP.

### 3.6 Bottom layer dynamics of the North Sea $O_2$ minimum zone

The analysis of the different physical and biological processes contributing to the development of sub-MLD  $O_2$  concentration unravels the complexity behind the different processes affecting the formation of a low  $O_2$  zone. However, as lowest  $O_2$  concentrations usually occur in the bottom layer it is important to know which processes are the main contributors to the  $O_2$  dynamics in this layer. For this purpose, Fig. 8c and d show the mass balances for the bottom layer of region 3 for 2002 and 2010. The bottom layer in this region encompasses a volume of about  $14.4 \text{ km}^{-3}$  which accounts for less than 24 % of the total sub-MLD volume ( $V_{sub}$ ).

Comparing the  $O_2$  concentration in the bottom layer at the beginning of the stratified period to that in  $V_{sub}$ , we see only slightly lower values in the bottom  $O_2$  concentrations for both years, yielding  $9.79$  and  $10.12 \text{ mgL}^{-1}$  for 2002 and 2010, respectively. This constitutes a relative difference between bottom  $O_2$  concentration and sub-MLD concentration of less than 0.2 % for both years. These small differences match our expectations, as the water column is considered to be well-mixed before the onset of stratification. In contrast, the difference in the bottom  $O_2$  concentration at the end of stratification between the bottom layer and  $V_{sub}$  is worth mentioning. In 2002, the bot-

tom  $O_2$  concentration results in  $6.76 \text{ mgL}^{-1}$  being  $0.37 \text{ mgL}^{-1}$  lower than in  $V_{\text{sub}}$ . In 2010, the bottom  $O_2$  concentration yields  $7.55 \text{ mgL}^{-1}$  which is  $0.22 \text{ mgL}^{-1}$  lower compared to  $V_{\text{sub}}$ . That means, bottom  $O_2$  reduction in 2002 is about 13% faster than in  $V_{\text{sub}}$ , and still about 10% faster in 2010.

The analysis of the effect of the physical factors,  $ADV_{O_2}$  and  $MIX_{O_2}$ , on the bottom  $O_2$  concentration provides a different picture compared to  $V_{\text{sub}}$ . While in 2002  $ADV_{O_2}$  shows a similar effect on  $O_2$  as for the sub-MLD  $O_2$  with a contribution of about 75% relative to the contribution to sub-MLD  $O_2$ , its effect in 2010 is opposite to that for  $V_{\text{sub}}$ . However, its integrated effect in 2010 is only slightly positive with a value of about  $0.1 \text{ mgL}^{-1}$  on the bottom  $O_2$  concentration. During the last 3 weeks of stratification in 2002, the same positive effect of  $ADV_{O_2}$  as in the sub-MLD mass balance is shown, initiating the recovery of the bottom  $O_2$  before  $MIX_{O_2}$  intensifies at the end of the stratified period. This underlines that the gain in  $O_2$  due to advection can balance or even exceed the still ongoing consumption in the final phase of stratification.

With respect to  $MIX_{O_2}$  the mass balances also reveal differences between the bottom layer and  $V_{\text{sub}}$ . In 2002, the effect on the bottom  $O_2$  increased compared to its influence on the sub-MLD  $O_2$  by factor 3.6. In 2010, we see a similar increase by factor 3.4, and different to its effect on the sub-MLD  $O_2$ ,  $MIX_{O_2}$  is continuously increasing the bottom  $O_2$  concentration during the whole stratified period. The significant gain in the integrated effect of  $MIX_{O_2}$  as well as the consistent picture given for both years results from the fact that the bottom layer shows generally lower  $O_2$  than the layer directly above due to benthic remineralisation. This persistent gradient drives the downward diffusion of  $O_2$  into the bottom layer.

Considering the relative contribution of the biological processes consuming  $O_2$  in the bottom layer the picture also changed compared to that for sub-MLD  $O_2$ : as shown for the years 2002 and 2010, the comparison of the average value of 2000–2012 reveals that the bottom layer  $REM_{\text{sed}}$  accounts for  $50.1 \pm 1.2\%$  of the total biological  $O_2$  consumption which represents the largest contribution, while  $REM_{\text{pel}}$  contributes to  $32.2 \pm 1.4\%$ . This reveals that aerobic remineralisation consistently adds up to more

## BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

than 80 % of the biological  $O_2$  consumption in the bottom layer. The shift in the relative contribution between these two processes occurs due to the fact that in ECOHAM5  $REM_{sed}$  only has a direct effect on the deepest pelagic layer. That means, the absolute change in mass of  $O_2$  due to  $REM_{sed}$  is identical in both cases, while the change in concentration differs in relation to the different volumes considered.

To demonstrate the effect of  $REM_{sed}$  on bottom  $O_2$ , we calculated the total changes in mass of  $O_2$  due to  $REM_{pel}$ , and due to the  $REM_{sed}$  and  $REM_{pel}$  for  $V_{sub}$  and the bottom layer and finally calculate the ratio of their effect on  $V_{sub}$  to that on the bottom layer. The total change in  $O_2$  mass is calculated by multiplying the changes in the  $O_2$  concentration with the corresponding volumes for the period 2000–2012 (not including 2008 due to shallower mixed layer). This yields that the total change in mass in  $V_{sub}$  due to  $REM_{pel}$  is about  $4.7 \pm 0.1$  times larger than in the bottom layer. In contrast, the change in mass due to the combined effect of  $REM_{pel}$  and  $REM_{sed}$  is only about  $2.4 \pm 0.1$  times larger for  $V_{sub}$ . In addition, the ratio between  $V_{sub}$  and the bottom layer volume is equivalent to about 4.2. This shows that  $REM_{pel}$  is less efficient in the bottom layer than in the other parts of  $V_{sub}$  and emphasises the great importance of  $REM_{sed}$  for the dynamics of bottom  $O_2$ .

Regarding the rate of  $O_2$  consumption due to benthic remineralisation, our model yields values between 3.9 and  $6.5 \text{ mmol m}^{-2} \text{ d}^{-1}$  for region 3. These values are in the same order as those derived from observations giving a range from 7 to  $25 \text{ mmol m}^{-2} \text{ d}^{-1}$  for a nearby station (Upton et al., 1993, station 3), however, rather at the lower end of this range. This suggests, that our model may slightly underestimate benthic remineralisation resulting in higher bottom  $O_2$  concentrations compared to observed values.

As shown in Fig. 8 the influence of  $RES_{200}$  on the bottom  $O_2$  concentration is lower than in  $V_{sub}$  as zooplankton tends to stay in the upper part of the water column where phytoplankton concentrations are higher. Its relative contribution accounts for only  $11.3 \pm 1.2\%$  during the years 2000–2012. NIT represents the weakest sink for bottom  $O_2$  with an average contribution of  $6.4 \pm 0.6\%$  during these years. The standard devi-

ations for  $RES_{200}$  and NIT are relatively high compared to their average contribution indicating higher inter-annual variations.

The analysis of the processes in the bottom layer clearly shows that benthic aerobic remineralisation constitutes the major driver for the development of low  $O_2$  conditions in the North Sea  $O_2$  minimum zone. Pelagic aerobic remineralisation still has a significant effect on bottom  $O_2$ , but accounts for a remarkably lower proportion than in the whole sub-MLD volume. This large impact of the two remineralisation processes is in good agreement with the common picture of bacterial respiration being the driving process for low  $O_2$  conditions under stratified conditions (e.g. Diaz and Rosenberg, 2008). In addition, the comparison of the sub-MLD and bottom layer mass balances for the two years showed that the processes in the pelagic zone, especially pelagic remineralisation, are crucial for the bottom  $O_2$  concentrations as they define the potential  $O_2$  supply into the bottom layer. In combination with the inter-annual differences in surface PP presented and the subsequent enhanced export of organic matter in 2002, this confirms that sufficiently long stratification provides the basis for low  $O_2$  conditions, but the biological processes constitute the key element for the development of  $O_2$  depletion.

### 3.7 Spatial variability in the North Sea bottom $O_2$ dynamics

In order to unveil the regional differences in North Sea  $O_2$  dynamics, Fig. 9 shows the mass balances for the sub-MLD volume of two regions in the southern (panel a) and northern North Sea (panel b) in 2002 (see Fig. 2 for location of regions 4 and 5) in comparison to that for region 3 (Fig. 8a).

Regarding the stratification characteristics, region 4 and 5 show some differences compared to region 3. Even though the MLD according to Eqs. (1) and (2) also results in 15 m for both regions, the stratification periods differ. In region 4, the period between the first and last day of stratification accounts for only 163 days compared to 187 in region 3. Additionally, stratification is temporarily intermittent in late April and early July. In region 5, stratification lasts for 211 days without any interruptions. Considering

**BGD**

12, 12543–12610, 2015

## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





the onset of stratification, stratification in region 4 and 5 starts 3 days and 1 day earlier than in region 3, respectively.

For a better understanding of the different effects of physical and biological factors in the different regions, it has to be kept in mind that the water depths and bottom layer volumes differ between the three regions. Region 3 has an average water depth of 47.75 m and a bottom layer volume of about 14.4 km<sup>3</sup>, while region 4 is characterised by an average depth of 45 m and a bottom layer volume of 11.9 km<sup>3</sup>. The greatest difference occurs for the region 5, which has an average bottom depth of 99.25 m and a bottom layer volume of 16.3 km<sup>3</sup>.

The temporal development of O<sub>2</sub> in the bottom layer shows some significant differences for both regions between the three regions. Besides the lower O<sub>2</sub> concentrations at the beginning of the stratified period in both regions (9.79, 9.74 and 9.46 mgL<sup>-1</sup> in region 3, 4 and 5, respectively), the concentrations at the end of stratification for both regions are higher than in region 3. These values reach 6.98 mgL<sup>-1</sup> in region 4 and 7.61 mgL<sup>-1</sup> in region 5, compared to 6.76 mgL<sup>-1</sup> in region 3.

In region 4, the temporary breakdown of stratification in early July causes a remarkable increase in sub-MLD O<sub>2</sub> due to enhanced mixing visible in the sudden increase of MIX<sub>O<sub>2</sub></sub>. This increase even exceeds the biological consumption, and the bottom O<sub>2</sub> pool is fully replenished which is illustrated by the match between O<sub>2</sub> and O<sub>2,sat</sub>. It should be noted, that the strongest increase in MIX<sub>O<sub>2</sub></sub> precedes the interruption of stratification according to Eq. (1). This is caused by the fact that the complete overturning of the water column, and thus the vanishing of the surface-to-bottom *T* difference, requires more time than the breakdown of the near-surface thermocline. Integrated over the stratified period the effect of MIX<sub>O<sub>2</sub></sub> is almost 1.5 times higher than in region 3. In region 5, MIX<sub>O<sub>2</sub></sub> represents a significantly lower supply of O<sub>2</sub> accounting for only 16 and 11 % of that in region 3 and 4, respectively. This mainly relates to the significantly greater water depth resulting in more stable stratification as wind-induced mixing only affects the upper tens of metres. ADV<sub>O<sub>2</sub></sub> has an opposite effect in regions 4 and 5 relative to region 3, however, showing only minor negative effects integrated over time.

Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

The comparison of the biological processes shows that as in region 3 the effect of bottom PP is negligible in region 4 and 5, due to light limitation. The integrated effect of the  $O_2$  consuming processes reveals that among the three regions, consumption is largest in region 4 exceeding even that of region 3 by about 16%. Biological  $O_2$  consumption in region 5 accounts for only about 29% of that in region 3. In that context, it is worth mentioning that the summer PP in the upper 25 m (calculation analogous to Table 1) in region 4 is similar to region 3, while the  $EXP_{org}$  below this depth is even 19% higher than in region 3. The difference between  $EXP_{org}$  and PP can also be attributed to local zooplankton production. The good agreement in the relative differences of  $EXP_{org}$  and biological consumption between region 3 and 4 confirms the strong link between these two factors in shallower regions. In region 5, the upper layer PP and  $EXP_{org}$  account for only 78 and 62% of that in region 3, respectively.

Interestingly, the relative contributions of the different sink processes in region 4 are in the same order as in region 3.  $REM_{sed}$  represents the largest contributor with about 54.6% of the total biological consumption, while  $REM_{pel}$  accounts for 27.2%. For  $RES_{zoo}$  and NIT the relative contributions result in 13.6 and 4.6%, respectively. Consequently, the combined effect of  $REM_{sed}$  and  $REM_{pel}$  accounts for 81.8% which is similar to region 3.

Comparing the rates of benthic remineralisation derived from the simulation to literature for region 4, which lies within the Oyster Grounds area, provides a similar picture as for region 3. The simulation yields a benthic remineralisation rate of about  $8.9 \text{ mmol m}^{-2} \text{ d}^{-1}$  for 2007, while observational studies near this site obtained rates between 5.6 and  $30.6 \text{ mmol m}^{-2} \text{ d}^{-1}$  (Lohse et al., 1996; Weston et al., 2008). This confirms the picture from region 3, that the model most likely underestimates the impact of benthic remineralisation on the bottom  $O_2$ . In addition, this higher rate in region 4 compared to region 3 underlines the high potential for low  $O_2$  conditions in the Oyster Grounds under persistent seasonal stratification. This is in good agreement with the findings by Greenwood et al. (2010), who observed bottom  $O_2$  concentrations less than  $6 \text{ mg L}^{-1}$  in this area.

## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

The comparison of the relative contribution of  $REM_{pel}$  and  $REM_{sed}$  in region 5 reveals some changes with respect to these two processes.  $REM_{sed}$  accounts for about 70 % of the total biological  $O_2$  consumption, while  $REM_{pel}$  contributes to only about 20 %. With respect to the volume and corresponding greater thickness of the bottom layer we would expect a lower relative contribution of  $REM_{sed}$  compared to the other regions. However, the generally lower amount of organic matter exported into the deeper layer (63 % of that in region 3) results in a lower concentration of pelagic bacteria. On the one hand, this causes lower  $O_2$  consumption due to  $REM_{pel}$ , and on the other hand, enhances  $REM_{sed}$  relative to  $REM_{sed}$  as more organic matter is deposited and remineralised in the sediment.

Considering the combined effect of stratification, i.e. mixing, water depth and bottom layer volume, and biological consumption, it is shown, that the shorter duration of stratification and the occurrence of strong mixing in region 4 prohibit the development of low  $O_2$  conditions, even though biological consumption is larger than in region 3. In region 5 stratification lasts longer than in the other two regions without any interruptions, however, the biological consumption is significantly lower than in region 3 and 4 as less organic matter is supplied to the deeper layers. In addition, the water depth, i.e., the sub-MLD volume, in region 5 is significantly larger than in the other regions. This suggests that region 5 is unlikely to be affected by low bottom  $O_2$  concentrations. However, this region lies in the area where Queste et al. (2013) found a bottom  $O_2$  minimum in 2010. They concluded that this  $O_2$  minimum resulted from a combination of stratification, limiting the ventilation of the deeper layers with oxygenated surface waters, and the specific bathymetry, which limits the lateral exchange with the  $O_2$ -enriched surrounding waters. Our results showed that advection only has a minor influence on the bottom  $O_2$  in this area, which indicates that other processes may have caused this  $O_2$  minimum.

### 3.8 Interpreting observed bottom O<sub>2</sub> at North Dogger

At station North Dogger (see Fig. 2, region 2) continuous bottom O<sub>2</sub> data were recorded in the years 2007 and 2008 (Greenwood et al., 2010). These observations shown in Fig. 4a and b showed similar O<sub>2</sub> concentrations of about 9.5 mgL<sup>-1</sup> at the beginning of the stratified period, but revealed a faster decrease in bottom O<sub>2</sub> during 2007 compared to 2008. As simulation also showed this tendency with respect to the inter-annual differences, we want to use the model-based O<sub>2</sub> mass balance to explain the differences in the observations between the two years at North Dogger. These mass balances for 2007 and 2008 are presented in Fig. 10a and b, respectively.

Calculating the average rates of O<sub>2</sub> during the stratified period we obtain about -0.009 mgL<sup>-1</sup> d<sup>-1</sup> for 2007. For 2008, the simulation yields a lower rate of about -0.007 mgL<sup>-1</sup> d<sup>-1</sup>. The value for 2007 slightly underestimates the value of -0.012 mgL<sup>-1</sup> d<sup>-1</sup> derived from the observation by Greenwood et al. (2010), however, is still in the same order. Even though the temporal development in 2008 was not discussed in detail by Greenwood et al. (2010) as the data recording ended before minimum bottom O<sub>2</sub> was reached, the available data indicate lower reduction rates in 2008 compared to 2007 (see Fig. 4a and b).

This poses the question, which processes affecting O<sub>2</sub> vary between the two years. Regarding the O<sub>2</sub> supply due to the physical factors ADV<sub>O<sub>2</sub></sub> and MIX<sub>O<sub>2</sub></sub>, it is shown, that the integrated effect of both is quite similar for both years providing a gross increase in O<sub>2</sub> of about 1.35 mgL<sup>-1</sup> integrated over the stratified period. The only small temporally integrated effect of ADV<sub>O<sub>2</sub></sub> shows that even though the North Dogger area is affected by relatively strong advection (Greenwood et al., 2010), this must not necessarily result in enhanced ventilation in terms of bottom O<sub>2</sub>. This relates to the fact that the deeper waters in the surrounding regions show only slightly higher O<sub>2</sub> concentrations, which causes an only minor net effect of advection on bottom O<sub>2</sub>. This demonstrates, that the main inter-annual variations are related to biological factors.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





interpret measurements with respect to the underlying dynamics. Meire et al. (2013) undertook a similar study for the Oyster Grounds using a one-dimensional physical-biogeochemical model. However, in our study we showed that three-dimensional models can provide the combined benefit of data interpretation and the identification of spatial and temporal patterns in the O<sub>2</sub> dynamics.

#### 4 Conclusions and perspectives

The North Sea is one of the shelf regions regularly suffering from seasonal hypoxia in the bottom water (Diaz and Rosenberg, 2008; Emeis et al., 2015; Rabalais et al., 2010). However, not all areas of the North Sea are similarly affected by low O<sub>2</sub> conditions (e.g. Queste et al., 2013) due to different characteristics with respect to stratification and upper layer productivity. Our study demonstrated that biogeochemical models can be useful to understand and explain these differences.

Our model-based analysis of different factors affecting O<sub>2</sub> (Sect. 3.4) showed that the North Sea can be subdivided into three different regimes in terms of O<sub>2</sub> dynamics: (1) a highly productive, non-stratified coastal regime (region A), (2) a productive, seasonally stratified regime with a small sub-thermocline volume (region B), and (3) a productive, seasonally stratified regime with a large sub-thermocline volume (regions C and D). While the regimes of type 1 and 3 are unlikely to be affected by low O<sub>2</sub> conditions due to either continuously ongoing ventilation (type 1) or the large sub-thermocline volume damping the effect of O<sub>2</sub> consumption (type 3), type 2 is highly susceptible to low O<sub>2</sub> conditions. This results from the specific combination of high upper layer productivity and small sub-thermocline volume, which causes a strong impact of the consumption processes on the decrease in the bottom O<sub>2</sub> concentration.

The model-derived O<sub>2</sub> mass balances showed that inter-annual variations in the sub-thermocline and in the bottom O<sub>2</sub> development are mainly caused by differences in the upper layer productivity as increased primary productivity directly and indirectly enhances the export of organic matter into the deeper layers. Higher primary produc-

**BGD**

12, 12543–12610, 2015

**Looking beyond stratification**

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



tion results in larger amounts of dead phytoplankton sinking below the thermocline, and increased phytoplankton growth enhances zooplankton growth which causes an additional increase in organic matter production and export. Furthermore, enhanced zooplankton growth increases  $O_2$  consumption due to zooplankton respiration. The increased export of organic matter results in stronger bacterial remineralisation which in turn triggers nitrification due to the stronger release of ammonium.

With respect to the biological  $O_2$  consumption our study revealed remarkable differences between the dynamics of the sub-thermocline volume and the bottom layer. While pelagic bacteria represent the major consumer in the sub-thermocline volume, benthic remineralisation constitutes the major driver for the bottom  $O_2$  development. In addition, our results suggest that the relative contribution of the different  $O_2$  consuming processes in the bottom layer at a certain location depends on the water column depth and is independent of the overall consumption. Benthic remineralisation consistently accounts for more than 50 % of the bottom  $O_2$  consumption and revealed an increasing relative contribution with increasing bottom depth. In contrast, pelagic remineralisation is characterised by a decreasing influence in deeper regions, due to the fact, that organic matter concentrations within the pelagic bottom layer are lower in greater depth. Its relative contribution to the overall bottom  $O_2$  consumption exceeds consistently 20 %. The effect of zooplankton respiration varies between almost no effect in deep regions and up to 14 % in shallower areas, whereas nitrification shows only minor variations in its relative contribution (3–8 %).

While our results showed that organic matter production and the subsequent bacterial remineralisation are the main drivers for the  $O_2$  consumption, our study confirmed that vertical mixing represents the only efficient gain term for bottom  $O_2$  in most regions. In addition, our simulations revealed that advection of  $O_2$ -enriched water from the surrounding areas may play an important role for the recovery of sub-thermocline and bottom  $O_2$  concentrations at the end of the stratified period, even before stratification breaks down and vertical mixing replenishes the bottom  $O_2$  concentration.

## Looking beyond stratification

F. Große et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

With respect to the regional distribution of hypoxia in the North Sea, observations and model results revealed that the area between 54–57° N and 4.5–7° E shows the highest potential for hypoxic conditions, but also areas directly north and south of the Doggerbank experience lowered bottom O<sub>2</sub> concentrations.

By providing this valuable insight, the present study demonstrates the potential of ecosystem models to describe key features of the O<sub>2</sub> dynamics as an integral part of the North Sea ecosystem. Model simulations can unveil the complex interplay between hydrodynamical conditions and biological processes, and thus, can help to interpret the O<sub>2</sub> dynamics in the context of the natural variability of the ecosystem. This can be related to weather conditions which result in different stratification patterns of the North Sea, or in variations in the biological production. The latter can be induced by anthropogenic drivers, such as increased atmospheric deposition (Troost et al., 2013) or riverine nutrient input as shown for the exceptionally wet year 2002 (Lenhart et al., 2010). Thus, ecosystem models can help to estimate the impact of these anthropogenic impacts on the bottom O<sub>2</sub> depletion in offshore areas which are susceptible to hypoxia.

With the capability to describe the temporal development and spatial patterns of bottom O<sub>2</sub>, ecosystem models can be used to obtain a more detailed picture of the spatial extent and duration of hypoxic conditions. This is useful for the detection of regions susceptible to low O<sub>2</sub> conditions which therefore require enhanced management. While this study focused on the general characterisation of the key factors driving the North Sea O<sub>2</sub> dynamics, detailed studies could, e.g., further investigate the cause-effect relationship between the high riverine nutrient loads and the major hypoxic event in 2002. In combination with the observational data available, this supports a meaningful discussion of the eutrophication status of the North Sea.

This assessment can be extended towards new measures to combat eutrophication within the Water Framework Directive (WFD), in which dissolved O<sub>2</sub> plays an important role as one of the five “General chemical and physiochemical elements supporting the biogeological elements” for assessment (Best et al., 2007). As the WFD assessment depends strongly on the description of pristine conditions related to natural nutrient



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



5 levels (Topcu et al., 2009), ecosystem models can provide insight in the  $O_2$  dynamics under these pristine conditions, and in addition, for the application of the WFD measures (Schernewski et al., 2015) on the North Sea. Even though the WFD regulation is applied only on narrow band along the coastline, the question of how nutrient reductions under WFD measures will effect the entire North Sea ecosystem, also in terms of bottom  $O_2$  conditions, is highly relevant. Therefore,  $O_2$  is one of the main descriptors under the Marine Framework Directive (MSFD) for the definition of the “Good environmental Status” as the target condition.

10 The article on the “spreading dead zones” by Diaz and Rosenberg (2008) resulted in an increased public awareness of the hypoxia issue, also in the context of the debate on climate change. One can start the discussion with the straight forward argument that climate change scenarios show an earlier onset and increased intensity of stratification for the North Sea due to increasing temperatures (Lowe et al., 2009; Meire et al., 2013). In addition, an increase in temperature will decrease the  $O_2$  solubility in the bottom layer (Weston et al., 2008). Changes in the weather conditions, such as increased precipitation or other extreme events (Rabalais et al., 2010), could furthermore lead to increased nutrient loads triggering higher primary production which could increase the risk of hypoxia (Justić et al., 2003). In contrast, Gröger et al. (2013) predicted a North Sea wide reduction in primary production by about 30 % due to reduced winter nutrient import from the Atlantic. Another potential impact was argued by van der Molen et al. (2013), who concluded that the temperature-driven increase in metabolic rates and nutrient cycling will be followed by an increase in primary production. In combination with an increase in the benthic metabolic rates and other constrains, this will eventually lead to a decrease in the bottom  $O_2$  concentration.

25 As these potential changes in the  $O_2$  conditions will also affect the biological community in the North Sea (Emeis et al., 2015), it is important to foster the analysis of potential impacts of climate change and changes in nutrient loads on the  $O_2$  dynamics. This study provided evidence that ecosystem models can contribute to the better understanding of the complex interplay of hydrodynamical and biogeochemical processes

controlling the bottom O<sub>2</sub> dynamics in the North Sea. This study showed that ecosystem models can be used to either interpret in-situ O<sub>2</sub> measurements, or to estimate the impact of climate change or nutrient reduction scenarios with respect to hypoxia and its concomitants. Thus, they can provide relevant information for the ecological management of marine coastal ecosystems.

### Data availability

The time series data from the Cefas SmartBuoy programme can be accessed via: <http://cefasmapping.defra.gov.uk/Smartbuoy>. The time series data of BSH MARNET programme can be accessed via: [http://www.bsh.de/en/Marine\\_data/Observations/MARNET\\_monitoring\\_network/](http://www.bsh.de/en/Marine_data/Observations/MARNET_monitoring_network/). The spatially resolved data from the North Sea cruises 2001, 2005 and 2008 have been released in the framework of the EU-FP6 project CARBOOCEAN and can be accessed via: <http://dataportal.carboocean.org/>.

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BGD

12, 12543–12610, 2015

### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## References

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### Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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## Looking beyond stratification

F. Große et al.

**Table 1.** Critical quantities characterising the O<sub>2</sub> dynamics in the 4 different 4×4-regions (see Fig. 2, yellow boxes). Fluxes are cumulated over the given period and relate to a surface layer of thickness  $D_{\text{ref}} = 25$  m.

region integration period		A – SNS		B – SCNS		C – NCNS		D – NNS	
		year	summer	year	summer	year	summer	year	summer
PP <sub>mld</sub>	gCm <sup>-2</sup>	192.1	169.0	178.0	147.8	153.0	134.6	164.3	148.1
ADH <sub>org,in</sub>	gCm <sup>-2</sup>	143.1	95.9	165.9	109.2	119.5	92.3	139.6	111.0
ADH <sub>org,out</sub>	gCm <sup>-2</sup>	133.3	89.2	165.3	107.6	122.5	92.7	145.0	114.4
EXP <sub>org</sub>	gCm <sup>-2</sup>	27.8	23.4	21.4	17.5	19.3	16.2	21.5	18.4
MIX <sub>O<sub>2</sub></sub>	gO <sub>2</sub> m <sup>-2</sup>	230.7	116.1	182.1	66.7	208.5	13.7	217.5	18.2
initial O <sub>2</sub>	mgO <sub>2</sub> L <sup>-1</sup>	9.3	10.1	9.1	9.9	8.9	9.5	9.0	9.5
initial O <sub>2,sat</sub>	mgO <sub>2</sub> L <sup>-1</sup>	11.5	12.1	11.2	11.9	11.0	11.5	11.2	11.6
area	km <sup>-2</sup>	7643.1		7454.3		7108.9		6677.2	
V <sub>sub</sub>	km <sup>-3</sup>	111.2		137.9		483.2		590.5	

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I ◀

▶ I

◀

▶

Back

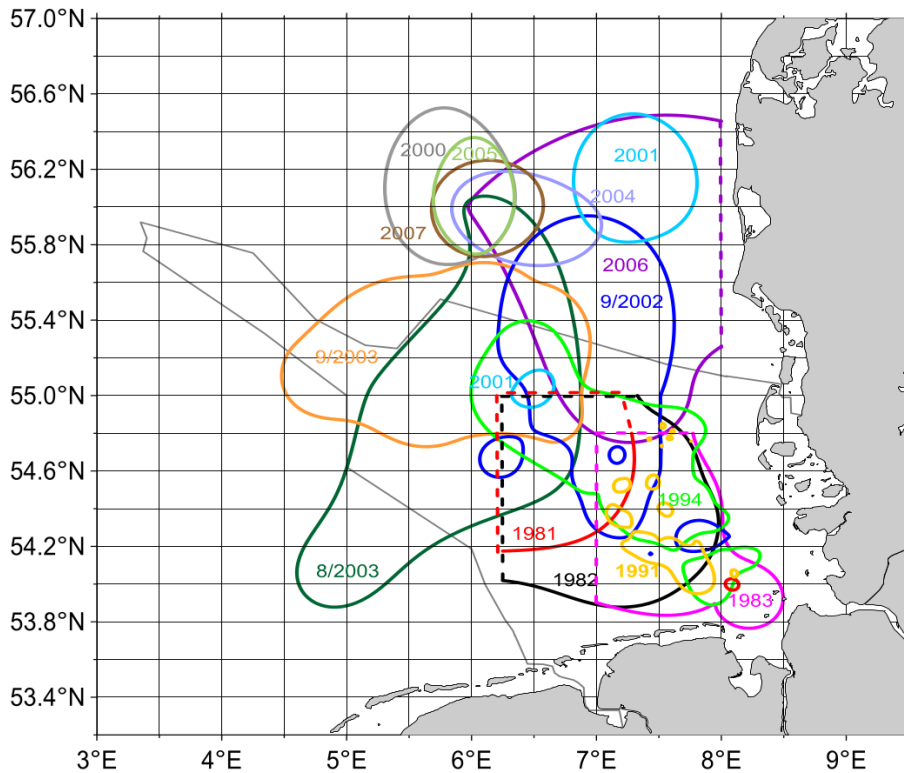
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





**Figure 1.** Extent of observed  $O_2$  concentrations  $< 6 \text{ mgL}^{-1}$  in the German Bight area from 1980 to 2010. Survey limitations are indicated by dotted lines. Light grey line marks German Maritime Area (from Topcu and Brockmann, 2015).

**Looking beyond stratification**

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

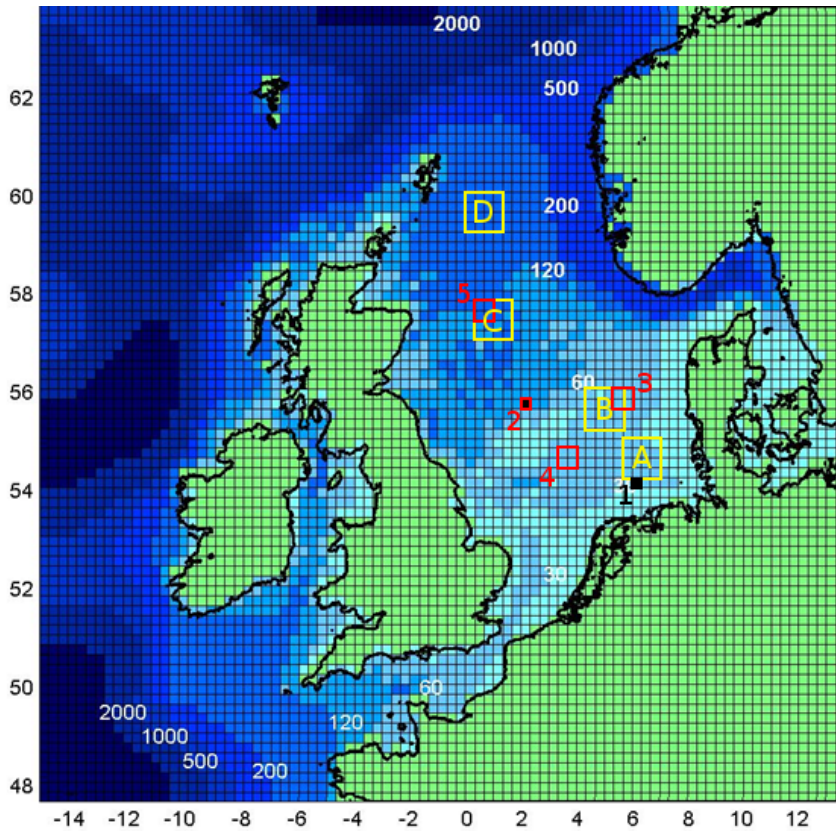
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





**Figure 2.** Horizontal grid and bottom topography of the HAM5OM-ECOHAM5 model domain. White numbers indicate depth levels. Yellow boxes A–D mark the 4×4-regions used for the characterisation of key features presented in Sect. 3.4. Black-filled boxes (1, 2) mark the validation sites discussed in Sect. 3.2.1 Red-framed boxes (2–5) indicate regions used for the O<sub>2</sub> mass balance calculations in Sects. 3.5–3.8.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

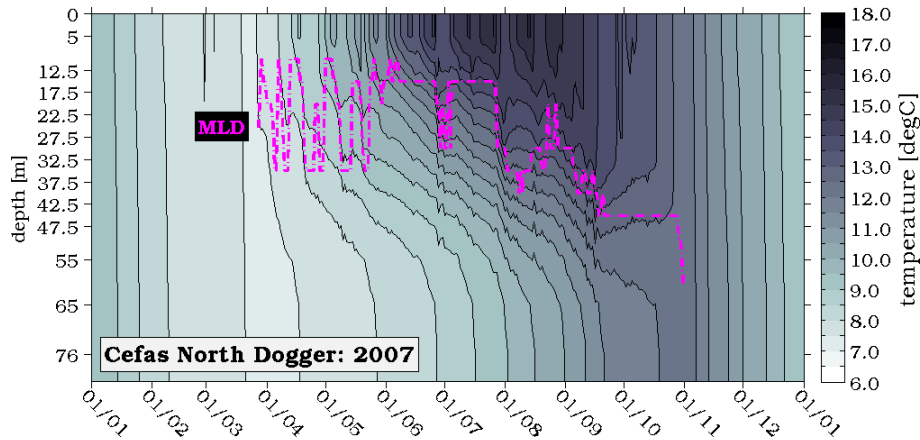
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Interactive Discussion



## Looking beyond stratification

F. Große et al.

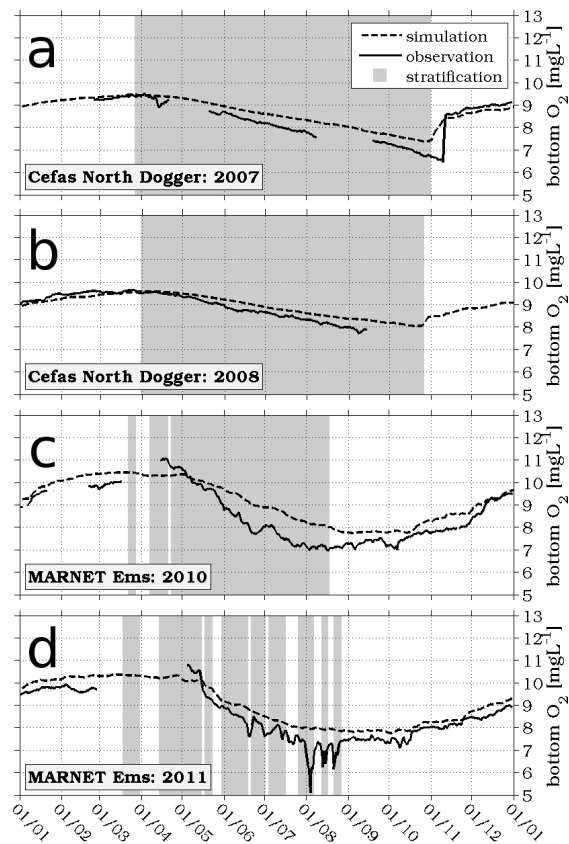


**Figure 3.** Hovmöller diagram of simulated  $T$  and MLD according to Eqs. (1) and (2) at Cefas station North Dogger (see Fig. 2, region 2) in 2007. Depth levels represent the centre depth of model layers.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

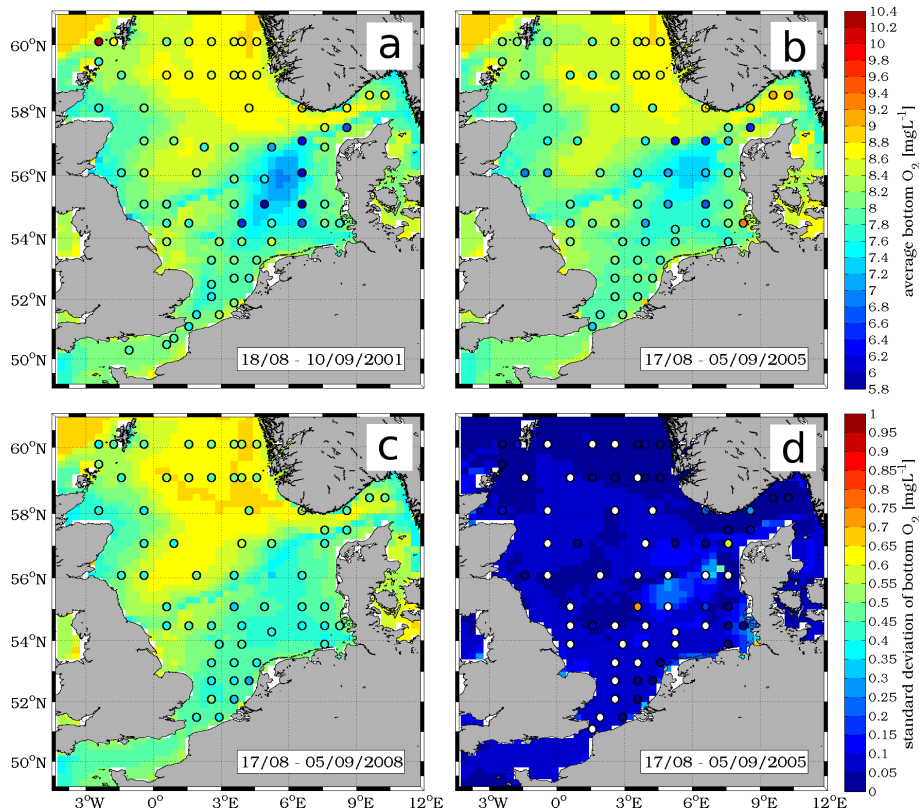

## Looking beyond stratification

F. Große et al.

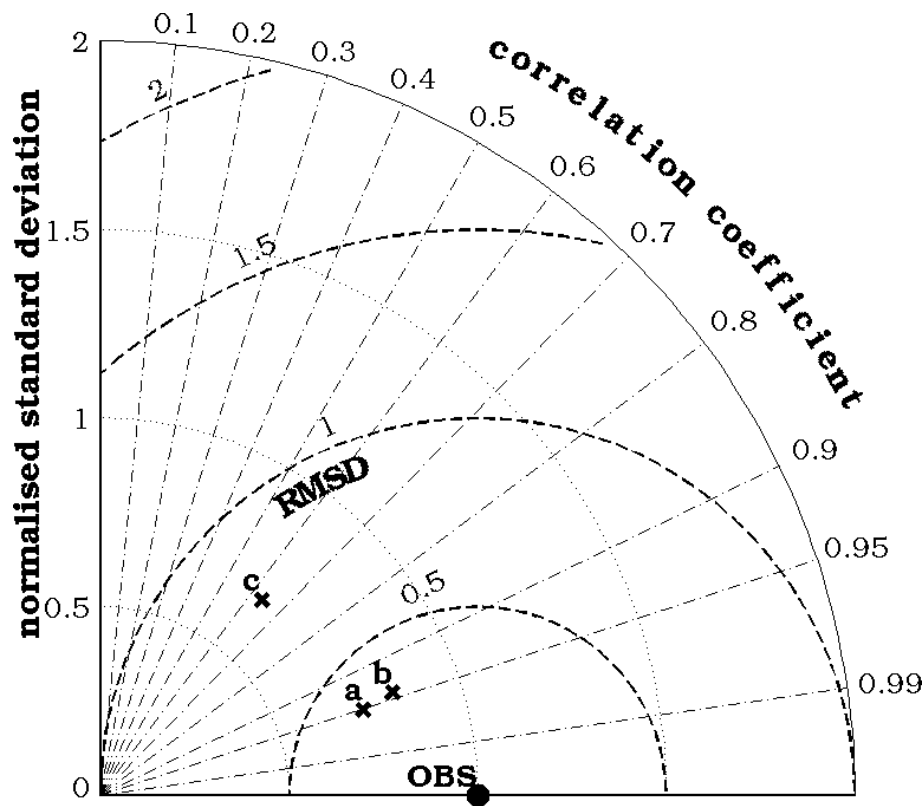


**Figure 4.** Annual time series of observed and simulated bottom O<sub>2</sub> concentration at Cefas station North Dogger in (a) 2007 and (b) 2008, and at MARNET station Ems in (c) 2010 and (d) 2011. Same legend for all panels.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)



**Figure 5.** Spatial distribution of observed and simulated average bottom  $O_2$  concentration in late summer (**a**) 2001, (**b**) 2005 and (**c**) 2008, and (**d**) standard deviation in 2005. Colour scale of panel (**b**) applies to panels (**a–c**). Circles indicate sample sites, underlying colours show simulation results. Averages and standard deviation were calculated for the whole observation period (bottom-left corner of each panel). White circles in (**d**) mark model bottom grid cells with only one corresponding observed value (i.e. no standard deviation).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Figure 6.** Taylor diagram of simulated (x) bottom  $O_2$  concentration compared to observations (OBS) for time series (see Fig. 4) at (a) Cefas North Dogger and (b) MARNET Ems, and (c) spatially resolved data (see Fig. 5). Standard deviations and centred root-mean-square differences (RMSD) were normalised by the standard deviation of the corresponding observations.



## Looking beyond stratification

F. Große et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

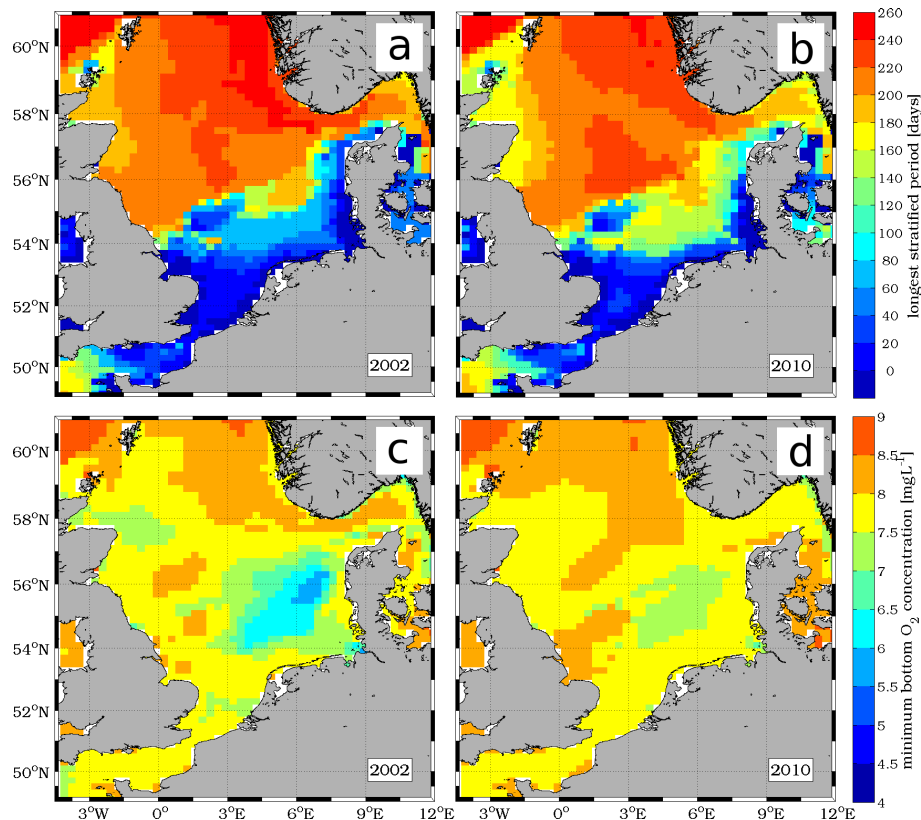
Back

Close

Full Screen / Esc

Printer-friendly Version

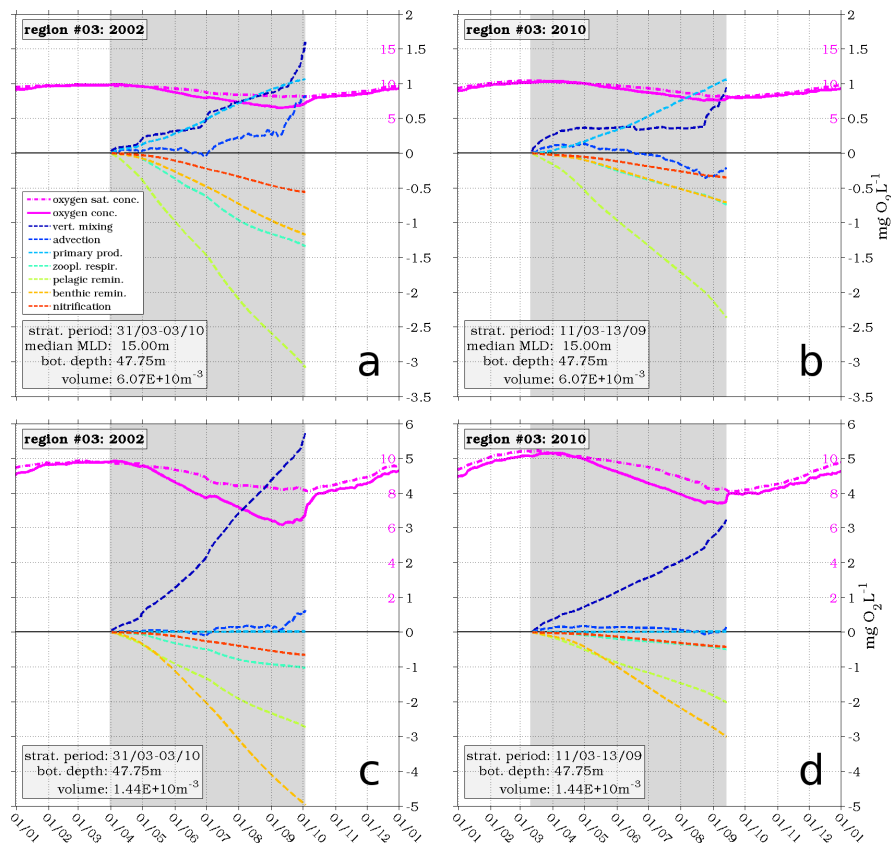
Interactive Discussion



**Figure 7.** Spatial distributions of (a, b) longest continuous stratification period derived from simulated  $T$  according to Eq. (1) and (c, d) simulated annual minimum bottom O<sub>2</sub> concentration for the years 2002 (a, c) and 2010 (b, d). Same colour scales for (a, b), and (c, d).

## Looking beyond stratification

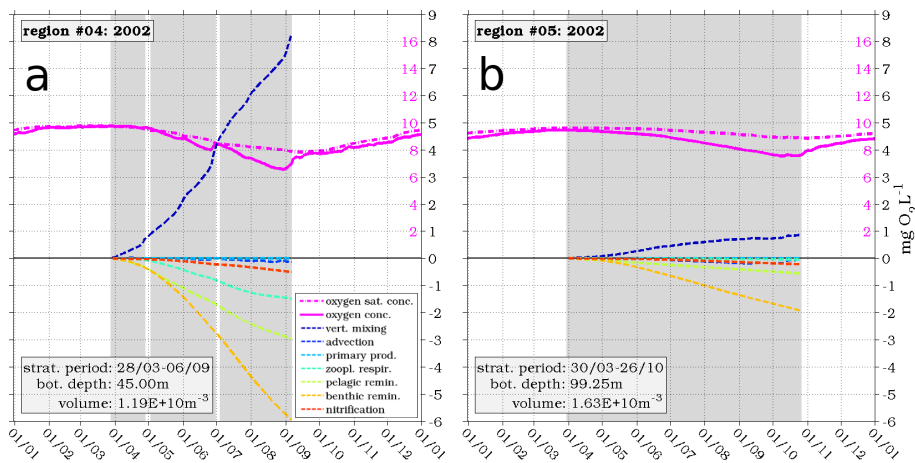
F. Große et al.



**Figure 8.** Mass balances of simulated O<sub>2</sub> in region 3 (see Fig. 2) during the stratified period: **(a, b)** for the whole volume below the annual median MLD (according to Eq. 2), and **(c, d)** only for the bottom layer for the years 2002 **(a, c)** and 2010 **(b, d)**. Same legend for all panels. Magenta y axis applies to O<sub>2</sub> (saturation) concentration. Changes in concentration due to different processes are cumulative. Text boxes list relevant stratification parameters, bottom depth and volume of the analysed water body. Note different y axis for **(a–d)**.

## Looking beyond stratification

F. Große et al.



**Figure 9.** Mass balances of simulated bottom  $O_2$  in regions 4 (**a**) and 5 (**b**); (see Fig. 2) during the stratified period in 2002. Same legend for (**a**, **b**). Magenta y scale applies to  $O_2$  (saturation) concentration. Changes in concentration due to different processes are cumulative.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

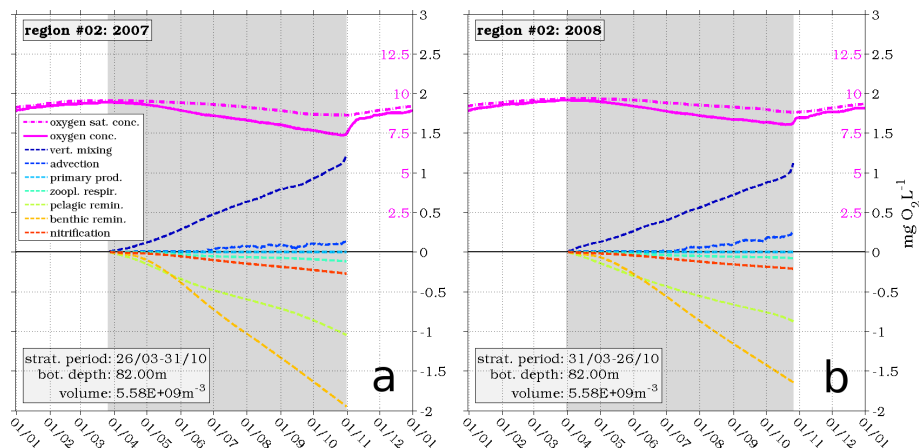
Printer-friendly Version

Interactive Discussion



## Looking beyond stratification

F. Große et al.



**Figure 10.** Mass balances for simulated bottom  $O_2$  at Cefas North Dogger (see Fig. 2, region 2) during the stratified period in (a) 2007 and (b) 2010. Same legend for (a, b). Magenta y scale applies to  $O_2$  (saturation) concentration. Changes in concentration due to different processes are cumulative.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

