

## 1 1 TITLE PAGE

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3 **Title:** Soil N<sub>2</sub>O and NO emissions from land use and land-use change in the tropics and  
4 subtropics: A meta-analysis

5

6 **Keywords:** greenhouse gases, nitrous oxide, nitric oxide, systematic review, deforestation,  
7 REDD+, LUC, LULUC

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## 25 2 ABSTRACT

26

27 Deforestation and forest degradation in the tropics may substantially alter soil N-oxide  
28 emissions. It is particularly relevant to accurately quantify those changes to properly account  
29 for them in a REDD+ climate change mitigation scheme that provides financial incentives to  
30 reduce the emissions. With this study we provide updated land use (LU)-based emission rates  
31 (104 studies, 392 N<sub>2</sub>O and 111 NO case studies), determine the trend and magnitude of flux  
32 changes with land-use change (LUC) using a meta-analysis approach (44 studies, 135 N<sub>2</sub>O  
33 and 37 NO cases) and evaluate biophysical drivers of N<sub>2</sub>O and NO emissions and emission  
34 changes for the tropics.

35 The average N<sub>2</sub>O and NO emissions in intact upland tropical forest amounted to  $2.0 \pm 0.2$   
36 ( $n = 90$ ) and  $1.7 \pm 0.5$  ( $n = 36$ ) kg N ha<sup>-1</sup> yr<sup>-1</sup>, respectively. In agricultural soils annual N<sub>2</sub>O  
37 emissions were exponentially related to N fertilization rates and average water-filled pore  
38 space (WFPS) whereas in non-agricultural sites a Gaussian response to WFPS fit better the  
39 observed NO and N<sub>2</sub>O emissions. The sum of soil N<sub>2</sub>O and NO fluxes and the ratio of N<sub>2</sub>O to  
40 NO increased exponentially and significantly with increasing nitrogen availability (expressed  
41 as  $\text{NO}_3^- / [\text{NO}_3^- + \text{NH}_4^+]$ ) and WFPS, respectively; following the conceptual Hole-In-the-Pipe  
42 model. Nitrous and nitric oxide fluxes did not overall increase significantly as a result of  
43 LUC (Hedges's  $d$  of  $0.11 \pm 0.11$  and  $0.16 \pm 0.19$ , respectively), however individual LUC  
44 trajectories or practices did. Nitrous oxide fluxes increased significantly after intact upland  
45 forest conversion to croplands (Hedges's  $d = 0.78 \pm 0.24$ ) and NO increased significantly  
46 following the conversion of low forest cover (secondary forest younger than 30 years,  
47 woodlands, shrublands) (Hedges's  $d$  of  $0.44 \pm 0.13$ ). Forest conversion to fertilized systems  
48 significantly and highly raised both N<sub>2</sub>O and NO emission rates (Hedges's  $d$  of  $1.03 \pm 0.23$   
49 and  $0.52 \pm 0.09$ , respectively).

50 Changes in nitrogen availability and WFPS were the main factors explaining changes in  
51 N<sub>2</sub>O emissions following LUC, therefore it is important that experimental designs monitor  
52 their spatio-temporal variation. Gaps in the literature on N oxide fluxes included geographical  
53 gaps (Africa, Oceania) and LU gaps (degraded forest, wetland (notably peat) forest, oil palm  
54 plantation and soy cultivation).

## 55 3 INTRODUCTION

56 Land use (LU) and land-use change (LUC) are important contributors to global greenhouse  
57 gas (GHG) emissions. The current contribution of LUC to total anthropogenic GHG  
58 emissions is estimated between 7 and 18% (Houghton, 2003; Baumert et al., 2005; Baccini et  
59 al., 2012; Harris et al., 2012). This estimation heavily depends on biomass values and  
60 deforestation rates and is associated with high uncertainties, especially in the tropics  
61 (Houghton 2005). Causes of LUC are a complex and interacting combination of economic,  
62 social and political factors (Lambin et al., 2001, 2003). However, population growth and  
63 agricultural export correlate well with forest conversion rates (DeFries et al., 2010). A recent  
64 comparative study showed commercial and subsistence agriculture to be the most prevalent  
65 deforestation driver in non-Annex I (i.e. developing) countries (Hosonuma et al., 2012).

66 Between 1980 and 2000, 83% of the new agricultural land within the tropical region were  
67 converted from intact or disturbed forest (Gibbs et al., 2010). As the world population and  
68 food demand are expected to grow (respectively 34% and 70% by 2050, FAO [2009]), further  
69 deforestation is likely in the near future.

70 By avoiding deforestation and forest degradation and through enhancing carbon (C) stocks  
71 in forests, reducing worldwide GHG emissions could be achieved with a reasonable level of  
72 cost-efficiency (Stern, 2008; Streck & Parker, 2012). However, for climate change mitigation  
73 schemes such as reducing emissions from deforestation and forest degradation (REDD+),  
74 where payments are based on performance, it is crucial to know how much emissions can be  
75 mitigated by preventing deforestation and reforesting. In addition to carbon dioxide (CO<sub>2</sub>),  
76 several studies on LUC in the tropics reported high non-CO<sub>2</sub> GHG emissions, such as nitrous  
77 oxide (N<sub>2</sub>O) (e.g. Ishizuka et al., 2005; Keller et al., 2005; Takakai et al., 2006; Verchot et  
78 al., 2006; Yashiro et al., 2008) and nitric oxide (NO) (e.g. Verchot et al., 1999; Erickson et  
79 al., 2002; Perez et al., 2007; Davidson et al., 2008). Although the absolute mass of N<sub>2</sub>O

80 emissions might be small, the global warming potential for N<sub>2</sub>O over a 100 year time horizon  
81 is 298 times greater than that of CO<sub>2</sub> (Forster et al., 2007). In addition this trace gas also  
82 contributes to ozone depletion in the stratosphere (Crutzen, 1970). Nitric oxide, on the other  
83 hand, is a free radical that enhances ozone production in the troposphere (lower atmosphere)  
84 (Chameides et al., 1992); ozone in the troposphere is a GHG (Myhre et al., 2013). Although  
85 NO is in fact an indirect GHG, it is relevant to study its dynamic in combination with that of  
86 N<sub>2</sub>O as they share the same processes of production (nitrification and denitrification) in the  
87 soil and are hypothesized to be interlinked (Firestone & Davidson, 1989).

88 Emissions factors in the IPPC guidelines for national GHG inventories (2006) have high  
89 uncertainties although some of these were slightly reduced in the 2013 wetlands supplement  
90 (Drösler et al., 2014). On the one hand, this high uncertainty can be explained by the high  
91 temporal and spatial variability of N<sub>2</sub>O and NO emissions which are known to vary diurnally,  
92 seasonally (see e.g. Meixner et al., 1997; Chen & Huang 2009; Lin et al., 2010), and locally  
93 due to micro site-specific soil variability (Dalal & Allen, 2008). On the other hand, the high  
94 uncertainty is partly due to the paucity of reliable estimates available in the peer-reviewed  
95 literature.

96 Sources of biogenic N<sub>2</sub>O and NO fluxes from the soil can be a wide variety of  
97 microorganisms and processes (Anderson & Poth, 1989), but nitrification and denitrification  
98 are the main mechanisms (Davidson et al., 2000; Baggs & Philippot, 2010). Therefore, the  
99 magnitude of N<sub>2</sub>O and NO fluxes depends on variables that enhance or inhibit nitrification  
100 and denitrification such as nitrogen (substrate) availability, soil water content (aeration  
101 status), soil temperature and pH (Skiba & Smith, 2000; Heinen, 2006; Dalal & Allen, 2008).  
102 Substrates for nitrification and denitrification are ammonium and nitrate, respectively.  
103 Ammonium (NH<sub>4</sub><sup>+</sup>) is the result of microbial decomposition of soil organic matter and is  
104 converted to nitrate (NO<sub>3</sub><sup>-</sup>) by the nitrifying bacteria under aerobic conditions. In this process,

105 N<sub>2</sub>O and NO are produced and partly emitted to the atmosphere. NO<sub>3</sub><sup>-</sup> in return is used under  
106 anaerobic conditions as a terminal electron acceptor for denitrifying bacteria that reduce NO<sub>3</sub><sup>-</sup>  
107 to N<sub>2</sub>. Along this reduction gradient N<sub>2</sub>O and NO are also produced and partly emitted to the  
108 atmosphere (Anderson & Poth, 1989; Baggs & Philippot, 2010).

109 Both nitrification and denitrification produce N<sub>2</sub>O and NO but are influenced differently  
110 by the same soil variables. Therefore, models predicting N<sub>2</sub>O and NO fluxes need to consider  
111 both processes. Firestone & Davidson (1989) proposed a conceptual model – dubbed the  
112 ‘Hole-In-the-Pipe’ (HIP) model – that uses two levels of control for N<sub>2</sub>O and NO emissions  
113 in soils. The first level of control is nitrogen availability, symbolized as the amount of N  
114 flowing through the pipes. The second level of control is generally represented by the soil  
115 aeration status, explained as the size of the holes in the pipe through which N<sub>2</sub>O and NO  
116 “leak” into the atmosphere. The HIP-model and its underlying assumptions were tested under  
117 distinct conditions, which showed that soil nitrogen availability could be expressed in  
118 different ways. Davidson et al. (2000) tested several indicators and found that the C:N ratio  
119 of litterfall and the ratio of NO<sub>3</sub><sup>-</sup> to the sum of NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> were promising proxies of N  
120 cycling. Underlining the importance of rapid cycling N in N-oxide production, Purbopuspito  
121 et al. (2006) showed a good correlation between  $\delta^{15}\text{N}$  signatures of litter and soil and  
122 emissions of N<sub>2</sub>O in Indonesia. Veldkamp et al. (1998) suggested that, in N fertilized systems  
123 of Costa Rica, the major factor controlling N<sub>2</sub>O emissions was the soil aeration status (second  
124 level of control), as N availability exceeded demand. The soil aeration status is commonly  
125 expressed by the water-filled pore space (WFPS) (Linn & Doran, 1984); with a high WFPS  
126 meaning a low aeration (Heinen, 2006). Nitric oxide is mainly produced when the WFPS is  
127 below field capacity, whereas N<sub>2</sub>O is produced at higher WFPS, exceeding field capacity  
128 (Davidson et al., 1991, 1993; Dobbie et al., 1999; Davidson & Verchot, 2000; Bateman &

129 Baggs, 2005). Depending on soil texture, the field capacity is at a WFPS of around 60%;  
130 whenever the WFPS exceeds 80%, most of the N is expected to be denitrified into N<sub>2</sub>.

131 The goal of this study was to review how the emissions of N<sub>2</sub>O and NO in the tropics were  
132 affected by LU and LUC and to examine their variation in relation to biophysical parameters.  
133 We used all studies published in the peer-reviewed literature up to 2013 to calculate  
134 emissions per LU type and evaluated relationships with environmental parameters. Next, the  
135 effect of LUC was assessed by using a quantitative meta-analysis statistical approach that  
136 allows for a comparison between independent studies, and weights studies according to their  
137 uncertainty (Hedges & Olkin, 1985). We used the Hedges' *d* (Koricheva et al., 2013) metric  
138 to evaluate LUC effects. This is a standardized mean difference similar to the Hedges' *g* but  
139 adjusted for small sample sizes. Finally we ran a meta-analysis regression to express the  
140 changes in emission rates following LUC as a function of environmental and soil variables  
141 changes.

## 142 4 MATERIAL AND METHODS

143 We followed three main steps to assess how soil N<sub>2</sub>O and NO emissions were affected by LU  
144 and LUC in the tropics and subtropics: (i) compiling a database of all studies on soil N<sub>2</sub>O and  
145 NO fluxes, selecting those integrating seasonal variation in their experimental design and  
146 categorizing LU types; (ii) estimating average emission rate per LU category and exploring  
147 biophysical factors affecting them; and (iii) characterizing the magnitude of emission change  
148 due to LUC using a meta-analysis approach and evaluating how this change could be  
149 expressed as a function of the change in biophysical factors through a meta-analysis  
150 regression.

151

152 **4.1 Data collection and calculation**

153 The database of Stehfest & Bouwman (2006) (available at:  
154 [www.mnp.nl/en/publications/2006](http://www.mnp.nl/en/publications/2006)) was used as a basis for our research. From this dataset,  
155 we extracted the 102 studies located in the tropics and subtropics (hereafter collectively  
156 referred to as 'tropics'), defined as climate types 3-6, using the climate classification defined  
157 by De Pauw et al. (1996). We then extended the database by including 279 additional peer-  
158 reviewed studies published between 1990 and 2013 on soil emissions of NO and/or N<sub>2</sub>O in  
159 the tropics. A combination of the following keywords were used in the ISI Web of Science  
160 and ScienceDirect search engines: N<sub>2</sub>O, nitrous oxide, NO, nitric oxide, emissions, fertilizers,  
161 forest, arable, grasslands, flux, nitrification, denitrification, land use, NOx, nitrogen-oxide,  
162 tropics, subtropics. As N<sub>2</sub>O and NO fluxes are known to vary seasonally (e.g. Meixner et al.,  
163 1997; Chen & Huang, 2009), we manually selected the studies that measured the fluxes  
164 during both dry and wet seasons. The 103 studies selected (S1), representing 392 N<sub>2</sub>O and  
165 111 NO LU case studies, were used to estimate annual mean N-oxides emission rates per LU  
166 category and to analyse their relationship with environmental proxies. Out of the 104 papers

167 44 measured N<sub>2</sub>O and/or NO emissions synchronically in at least two different LUs, one of  
168 which was a forest. These 44 papers represented 135 N<sub>2</sub>O and 37 NO LUC case studies  
169 which were analysed using a meta-analysis statistical approach (S2).

170 We summarized the number of studies and assessed the representation of LU per continent  
171 categorizing them in five geographical areas: North-Central America, South America, Africa,  
172 South Asia and Oceania. Average annual emission rates were expressed in kg NO-N or N<sub>2</sub>O-  
173 N ha<sup>-1</sup> yr<sup>-1</sup> using the estimates provided by the papers. Whenever annual fluxes were not  
174 provided by the authors, we calculated them. For studies covering year-round measurements,  
175 the annual flux was calculated by scaling up the units from hours or days to a year and cm<sup>2</sup> or  
176 m<sup>2</sup> to ha. Where possible reported fluxes were weighed according to their time interval. For  
177 instance, for studies covering measurements made during the dry and wet seasons, the annual  
178 flux was calculated as the sum of each seasonal flux weighted by the number of days per year  
179 corresponding to each season. The biophysical variables associated with N<sub>2</sub>O and NO  
180 emissions from the publications were also expressed as annual averages. Soil variables  
181 (temperature, WFPS, bulk density, pH, C content, N content, NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup>) are from the  
182 soil top layer (0-10cm). Nitrogen fertilization and litterfall are given as a mass of nitrogen per  
183 hectare per year. In some cases the water-filled pore space (WFPS, %) was manually  
184 calculated as a function of the gravimetric water content (m, g g<sup>-1</sup> d.w.), bulk density (y<sub>d</sub>, g  
185 cm<sup>-3</sup>) and particle density (y<sub>s</sub>, g cm<sup>-3</sup>) as WFPS = 100\*(m\*y<sub>d</sub>)/(1-(y<sub>d</sub>/y<sub>s</sub>)) (Linn & Doran  
186 1984). A y<sub>s</sub> default value of 2.65 g cm<sup>-3</sup> was used for mineral soils (Hillel, 1980), whenever  
187 not provided by the studies. Nitrogen fixation was considered by using a dichotomous  
188 variable indicating the presence or absence of N<sub>2</sub> fixing species in the LU. Nitrogen fixation  
189 rates were barely reported and could not be included. For studies measuring N<sub>2</sub>O and NO  
190 simultaneously, we calculated the ratio and sum of the two and tested their correlation with

191 WFPS and soil N availability. The latter is expressed as the relative fraction of  $\text{NO}_3^-$  to total  
192 inorganic N ( $\text{NO}_3^-/\text{[NO}_3^- + \text{NH}_4^+]$ ).

193 Three LU case studies from Takakai et al. (2006) and the celery plot in Xiong et al (2006)  
194 were excluded from the analysis because the very high fertilizations rates were about three  
195 times higher than the International Fertilizer industry Association (IFA) recommended dose  
196 for the studied crops.

197

#### 198 **4.2 Land use and land-use change characterization**

199 The LU were classified into nine main categories: 1) forest (primary forest and secondary  
200 forest older than 30 years), 2) wetland forest (swamp on peat, swamp on mineral soil and  
201 riparian forest), 3) low forest cover (low canopy closure: woodlands and shrublands,  
202 secondary forest younger than 30 years), 4) degraded forest (human-induced low forest cover  
203 after logging and burning or fallows), 5) agroforestry systems, 6) plantations (mono-specific  
204 plantations, e.g. *Acacia*, rubber, oil palm, cinnamon), 7) pastures (pastures and grasslands), 8)  
205 rice fields, and 9) croplands (annual and perennial crops). For agroforestry, plantation,  
206 pasture, rice and cropland both fertilized and unfertilized cases were combined and the effect  
207 of fertilization was tested separately. Only a few studies included age after conversion in a  
208 chronosequential sampling design; therefore we pulled together LU cases from different  
209 studies to evaluate the change in emission rates as a function of time since conversion.

210 The studies either focused on a specific LUC type (e.g. forest conversion to pasture), or  
211 considered several LUC types which were representative for the study region. In the latter  
212 case, when only one control (forest) site was available, we used the same control for all  
213 converted sites. Whenever several control sites were available in a study we averaged the  
214 fluxes from all control sites. When a study measured emissions for several years, each year  
215 was considered a separate case. The following LUC were analysed: forest to degraded forest,

216 agroforestry, plantation, pasture and cropland; wetland forest to degraded forest, plantation,  
217 pasture and rice; degraded forest to agroforestry; low forest cover to plantation, pasture and  
218 cropland. The effect of primary forest conversion to secondary forest is not included in this  
219 study as secondary forest (>30 years old) and primary forest were merged into a single  
220 category. The same holds for logging impacts in degraded forests.

221

### 222 **4.3 Statistics**

223 Statistical analysis was performed using the software IBM SPSS Statistics for Windows 21.0  
224 (IBM Corp. 2012) and statistical significance was set at a maximum probability level of 5%.  
225 The normality of the flux distribution was tested using the test of Shapiro-Wilks. Neither NO  
226 and N<sub>2</sub>O nor their log-transformed values were normally distributed hence a generalized  
227 linear model with a post-hoc pair-wise comparison was performed for comparing the fluxes  
228 between LU. Throughout the text averages are followed by standard errors ( $\pm$  S.E.).

229 Stepwise multiple linear regression was performed to identify the environmental variables  
230 that were significantly related to soil fluxes of N<sub>2</sub>O and NO. Variables available in <10% of  
231 all study cases were excluded to obtain a sufficient sample size for the regression. In order to  
232 maximize the data availability we used pair-wise exclusion for dealing with missing values.  
233 We also excluded predictor variables that were collinear (multicollinearity test, VIF statistics)  
234 to other variables already included in the model. A non-linear Gaussian function was fit  
235 between N<sub>2</sub>O, NO fluxes and WFPS using averages per 10% WFPS intervals.

236

#### 237 *4.3.1 Meta-analysis*

238 A meta-analysis was used to quantify the effect of LUC on soil annual N<sub>2</sub>O and NO  
239 fluxes. For this we used the software Comprehensive Meta-Analysis version 2.2.064 (Biostat  
240 Inc., New Jersey, USA) and MetaWin 2.0 (Sinauer Associates, Sunderland, Massachusetts).

241 We defined N<sub>2</sub>O or NO emissions after land-use change as being the treatment and N<sub>2</sub>O or  
242 NO emissions before land-use change as being the control. Hedges'  $d$  was used as metric  
243 to evaluate the effect size of LUC on N<sub>2</sub>O and NO fluxes. This metric is defined as:

244

$$245 \quad d = \frac{(\bar{X}_T - \bar{X}_C)}{S} \times J \quad \dots \dots \dots \quad (1)$$

$$246 \quad S = \sqrt{\frac{(N_C-1)(SD_C)^2 + (N_T-1)(SD_T)^2}{N_C + N_T - 2}} \dots \dots \dots (2)$$

$$247 \quad J = 1 - \frac{3}{4(N_C + N_T - 2) - 1} \quad \dots \dots \dots \quad (3)$$

248

249 Where,  $\bar{X}_T$  and  $\bar{X}_C$  are the average  $\text{N}_2\text{O}$  or  $\text{NO}$  flux (in  $\text{kg N ha}^{-1} \text{yr}^{-1}$ ) of the treatment and  
 250 control, respectively;  $S$  is the pooled standard deviation from the control and treatment flux  
 251 standard deviations ( $\text{SD}_C$  and  $\text{SD}_T$ ) and  $J$  is the correction factor calculated from the sample  
 252 sizes ( $N_T$  and  $N_C$ ). The effect size ( $d$ ) for all LUC case studies combined, or that for a  
 253 particular LUC type, was assessed using a random model which allows for a varying true  
 254 effect size between studies (Gurevitch & Hedges, 1999; Borenstein et al., 2009). A  $d$  equal or  
 255 smaller than 0.2 indicates a small effect size, a  $d$  around 0.5 a medium one and a  $d > 0.8$  a  
 256 large effect. Positive and negative  $d$ 's respectively imply an increase and decrease in  $\text{N}_2\text{O}$  or  
 257  $\text{NO}$  emission after LUC, respectively.

258 Calculation of  $d$  requires knowledge of the standard deviation and sample size associated  
259 with the average  $\text{N}_2\text{O}$  or  $\text{NO}$  flux rate. Whenever these were not available in the publication  
260 we contacted the authors, calculated it ourselves using the methodological description of the  
261 experimental design or measured it from the figures of the papers using PlotDigitizer 2.5.1  
262 (Joseph A. Huwaldt, 2011).

Publication bias for studies with significant and/or high effect sizes was assessed using a normal quantile plot (Wang & Bushman, 1998). Deviation from linearity of the observed

265 distribution suggests publication bias while gaps in the plotted scatter plot indicate that  
266 certain effect sizes are missing in the published literature (Borenstein, 2009).

267 Heterogeneity of effect sizes was assessed with the  $Q$  and  $I^2$  statistics. A significant  $Q_{overall}$   
268 means that the variance among LUC study cases is greater than that expected by sampling. In  
269 a heterogeneous dataset, the  $(1-I^2)$  statistic quantifies the variation within case studies and  $I^2$   
270 the variation that could be explained by other variables (or 'real variation').  $I^2$  of 25%, 50%,  
271 75% are respectively considered as low, moderate and high (Borenstein, 2009). An  $I^2 > 0$   
272 shows that a proportion of the observed variation is real; thus, subgroup division into LUC  
273 types and/or meta-analysis regression can be used (Gurevitch & Hedges, 1999). LUC effect  
274 sizes obtained from a low sample size are likely to be influenced by random deviations;  
275 hence their interpretation should be handled with caution.

276 Finally, we performed a meta-analysis regression (or 'meta-regression') (Higgins &  
277 Green, 2011) to assess how the changes in environmental factors affected changes in soil  
278  $N_2O$  or NO emission as a result of LUC. We looked at how the standardized mean difference  
279 of an environmental parameter was affecting that of soil  $N_2O$  or NO emissions. A meta-  
280 analysis regression is considered robust when it includes ten cases studies at least  
281 (Borenstein, 2009; Higgins & Green, 2011).

282 5 RESULTS

283

284 **5.1 Exploring the dataset**

285 The publication rate of peer-reviewed papers on LU and soil emissions of N<sub>2</sub>O and NO in the  
286 tropics has more than doubled over the past decade (less than 2 publications y<sup>-1</sup> before 2000,  
287 more than 5 y<sup>-1</sup> afterwards), but remains low. The Americas (combining North-Central and  
288 South America) and South Asia represented the majority of the dataset (n = 229 and n = 137),  
289 while Africa and Oceania were underrepresented (n = 21 and n = 35, respectively; Fig. 1).

290 LU types studied varied substantially across continents (Fig. 1). In South Asia 61% of the  
291 LUs studied were croplands, rice fields or plantations, while these were only 13% in South  
292 America. Some LUs were geographically well represented while others were clustered in one  
293 continent. For instance, agroforestry systems were spatially well represented, although few in  
294 numbers (n = 8), while rice paddies were mostly studied in Asia. Studies on wetland forest  
295 were underrepresented (n = 7) and restricted to South Asia (Fig. 1a).

296 Ninety-four percent of the LU case studies on soil fluxes of NO were in North-Central and  
297 South America (respectively, n = 62 and n = 36). In Africa and South Asia, respectively, only  
298 five and eight LU case studies were found, while Oceania had no measurements at all.

299

300 **5.2 Average land use emissions and environmental parameter values**

301 Neither N<sub>2</sub>O nor NO fluxes were normally distributed and about 90% of the observations  
302 were below 10 kg N<sub>2</sub>O-N and 8 kg NO-N ha<sup>-1</sup> yr<sup>-1</sup>. Table 1 shows average annual N<sub>2</sub>O and  
303 NO emissions per LU and environmental parameter associated. Croplands displayed the  
304 highest N<sub>2</sub>O emission rate and also the highest average N fertilization rate. Both pastures and  
305 rice fields had relatively high N<sub>2</sub>O emissions; however, these were characterized by a high

306 variation. The average NO emission rates did not show any significant difference between  
307 LU.

308 The availability of environmental parameters in studies on N-oxides emissions was  
309 variable. For example, only 4% of the studies reported nitrogen input through litterfall, while  
310 precipitation was given in 91% of all cases. Although the comparison of values from different  
311 data sources may generate inconsistencies, some generalizations per LU category can be  
312 made. Overall, intact forest had a significantly lower bulk density compared to more  
313 compacted soils from pastures. Wetland forest soils had a significant lower bulk density  
314 compared to all other soils. Wetland forest soils were more acidic than other soils in general,  
315 while cropland soils were significantly less acid than forest soils. Mineral N content did not  
316 differ significantly between LU, except for high  $\text{NH}_4^+$  and  $\text{NO}_3^-$  concentrations in wetland  
317 forest and rice paddy soils. Plantation soils were the only ones where  $\text{NO}_3^-$  concentrations  
318 exceeded those of  $\text{NH}_4^+$ , other LU showed the opposite trend. Carbon and nitrogen content in  
319 the soils of natural wetland forest were very high and significantly higher than that in all  
320 other LUs. Degraded forest soils showed a high carbon content which is due to the inclusion  
321 of eleven degraded peat forests out of the twenty cases. Excluding them resulted in a soil  
322 carbon content of 3.8 %.

323 The multiple linear regression analysis indicated that N fertilization, WFPS, and N  
324 availability (expressed as  $[\text{NO}_3^- / (\text{NO}_3^- + \text{NH}_4^+)]$ ) were the best proxies for estimating overall  
325 soil fluxes of  $\text{N}_2\text{O}$  (Table 2). For agricultural sites (i.e. crop and pasture) N fertilization rate  
326 explained part of the variation ( $R^2=0.31$ ,  $df=160$ ,  $p<0.01$ ); but (pair-wise) including the  
327 WFPS more than doubled the R squared. Proxies for overall soil NO fluxes were N  
328 availability and N fertilization. For agricultural sites N fertilization explained 31% of the  
329 variation in NO fluxes, and the inclusion of the WFPS did not improve the relationship. In  
330 non-agricultural LUs a non-linear Gaussian function of the WFPS simulated with good fit

331 N<sub>2</sub>O and NO fluxes (Fig. 2, Table 2). The relationship indicates that NO and N<sub>2</sub>O fluxes peak  
332 at WFPS of 45% and 61%, respectively. The ratio of N<sub>2</sub>O to NO displayed an exponential  
333 relationship with the WFPS (Fig. 3, Table 2), which indicates N-oxide emissions  
334 predominantly in the form of N<sub>2</sub>O (i.e. N<sub>2</sub>O/NO > 1) above a WFPS of 48%. In non-  
335 agricultural sites the predominance of N<sub>2</sub>O over NO happens at a slightly lower WFPS  
336 (46%). The sum of soil N<sub>2</sub>O and NO emissions also increased exponentially with increasing  
337 N availability.

338 Time since conversion was available in 26% of the LU cases only. Nitrous oxide fluxes  
339 from non-fertilized croplands appeared to be higher the first ten years after conversion and  
340 thereafter decrease, whereas fluxes from fertilized croplands remained high (Fig. 4). For  
341 pastures the pattern was less apparent, the first years after conversion both high and low  
342 fluxes were observed.

343

### 344 **5.3 Land-use change effects on N<sub>2</sub>O and NO emissions and environmental parameters**

345 Land-use change effects were evaluated by looking at differences in emissions after and  
346 before LUC. This was done for each LUC type and for all LUC combined. The effect sizes of  
347 LUC on N<sub>2</sub>O emissions were not strictly normally distributed; however, all effect size ranges  
348 were present. Deviation from linearity occurred for high and low effect sizes indicating a  
349 potential bias for published studies measuring large effects following LUC. A normal  
350 quantile plot for NO emissions as affected by LUC indicated a normal distribution; however,  
351 some gaps were present in the observed values, possibly due to a biased representation of NO  
352 emission changes in the literature.

353 Nitrous oxide emissions were not overall affected by LUC ( $d = 0.11 \pm 0.11$ ); the slight  
354 increasing trend was not significant because of opposing effects in different LUC trajectories  
355 (Table 3). The LUC case studies overall did not share a common effect size ( $Q_{\text{overal}} = 221.3$   $P$

356 < 0.01) and the majority of the variation was within case studies ( $1-I^2$ , 59%). Similarly to  
 357 N<sub>2</sub>O emissions, and for the same reason, NO emissions were not overall affected by LUC;  
 358 with a homogeneous effect size ( $Q_{\text{overall}} = 31.7$ ,  $P = 0.67$ ) and 47% of the variation within  
 359 LUC case studies ( $1-I^2$ ).

360 Most studies focused on forest clearing for croplands ( $n_{\text{F-Crop}} + n_{\text{WFC-Crop}} + n_{\text{DegF-Crop}} = 44$ ) and  
 361 pastures ( $n_{\text{F-Pa}} + n_{\text{WFC-Pa}} + n_{\text{DegF-Pa}} = 42$ ). Transition from intact upland forest to croplands  
 362 significantly increased N<sub>2</sub>O emissions, while conversion to agroforestry showed a slight, but  
 363 insignificant increasing trend. Intact forest conversion to pasture (F-Pa) tended to decrease  
 364 N<sub>2</sub>O emissions, whereas low forest cover conversion to pasture (LFC-Pa) showed the  
 365 opposite trend. Further, conversion of low forest cover overall significantly increased NO  
 366 emissions.

367 The Hedges'  $d$  effect size of forest conversion to fertilized LU amounted to  $1.03 \pm 0.31$   
 368 and  $0.52 \pm 0.23$  for N<sub>2</sub>O and NO, respectively, indicating significant and high increased  
 369 emissions after fertilization. Evidence for increased emission following conversion to LU  
 370 with N fixing crops/trees was weak and fluxes of NO slightly raised but not significantly  
 371 ( $d_{\text{NO}} = 0.61 \pm 0.33$   $n = 8$ ).

372 The results of the meta-regression, which was run pooling all LUC case studies together,  
 373 are presented in Table 2. The change in N<sub>2</sub>O fluxes as affected by LUC was positively related  
 374 to changes in N availability and WFPS. No significant relationships were found for NO. The  
 375 interactive effect of WFPS and N availability change on N<sub>2</sub>O flux change is illustrated in Fig.  
 376 5. Whenever N availability increased after LUC ( $d_{\text{Navailability}} > 0$ ) the increase in N<sub>2</sub>O emissions  
 377 ( $d_{\text{N}2\text{O}} > 0$ ) was exacerbated if the WFPS also increased ( $d_{\text{WFPS}} > 0$ ), or diminished if the WFPS  
 378 was decreased ( $d_{\text{WFPS}} < 0$ ). The slope of the regression between  $d_{\text{N}2\text{O}}$  and  $d_{\text{Navailability}}$  was raised  
 379 by 143% for the  $d_{\text{WFPS}} > 0$  cases, reduced by 58% for  $d_{\text{WFPS}} < 0$  cases.

## 380 6 DISCUSSION

381

382 **6.1 Dataset representativeness and average annual LU emissions**

383 The body of research on LULUC and N<sub>2</sub>O and NO emissions in the Tropics has increased  
384 during the past decade; however, Africa and Oceania remain strongly underrepresented. Most  
385 of Africa's LU case studies were from (converted) savannahs although Africa has a variety of  
386 forest types unaccounted for at present in the literature. Furthermore, a comparison between  
387 the spatial distribution of LUC case studies and global forest conversion for 2005-2010 (FAO  
388 2010) shows that highest deforested areas overlapped well with studies on N emissions from  
389 LUC except for Oceania and Africa (Fig. 1c). These regions need more research on soil N<sub>2</sub>O  
390 and NO emissions, in representative LULUC categories. Sampling bias was not only  
391 geographical; some biofuel or food crops such as oil palm and soy were also  
392 underrepresented ( $n_{oil\ palm} = 7$  and  $n_{soy} = 4$ ) although they are the most rapidly expanding  
393 perennial and annual crop in the tropics (Phalan et al., 2013). Land-use change categories  
394 were also not equally represented; there was a dominance of studies on forest conversion to  
395 croplands and pastures. Only a few cases (10-13%) assessed the effect of nitrogen  
396 fertilization or the use of N-fixing species after LUC. Those studies took place in Latin  
397 America (Matson et al., 1996; Veldkamp & Keller 1997; Veldkamp et al., 1998) and Asia  
398 (Verchot et al., 2006; Veldkamp et al., 2008). Some wetland forest conversion study cases  
399 showed high effect sizes for N<sub>2</sub>O emissions (Hadi et al., 2005; Furukawa et al., 2005;  
400 Jauhainen et al., 2012), but the overall tendency of wetland forest conversion to increase  
401 N<sub>2</sub>O emissions was not significant (Table 3) as observed by Hergoualc'h & Verchot (2014).  
402 However, the sample size was small and none of the converted case studies were fertilized or  
403 intensively monitored following fertilization. Future research direction should consider  
404 conversion to fertilized land uses, using an experimental design adequate for capturing

405 fertilization effects on N oxide emissions, and wetland forests in and outside of South Asia.  
 406 Likewise, few papers studied forest degradation; a topic that needs more attention (Mertz et  
 407 al., 2012).

408 The annual  $\text{N}_2\text{O}$  emission rate in intact upland forest ( $2.0 \pm 0.2 \text{ kg N}_2\text{O-N ha}^{-1} \text{ yr}^{-1}$ ,  $n = 88$ )  
 409 is more than twice the value estimated by Stehfest & Bouwman (2006) ( $0.85 \text{ kg N}_2\text{O-N ha}^{-1}$   
 410  $\text{yr}^{-1}$ ,  $n = 77$ ) for the tropics. We excluded the cases considered by Stehfest & Bouwman  
 411 (2006) that did not cover seasonal variation, but ended up with a higher sample size by  
 412 adding studies published after 2005. Our value is also larger than the model estimations of  
 413  $1.4 \text{ kg N}_2\text{O-N ha}^{-1}$  by Potter et al. (1996) and  $1.2 \text{ kg N}_2\text{O-N ha}^{-1} \text{ yr}^{-1}$  by Werner et al. (2007).  
 414 Dalal & Allen (2008) estimated average emissions in tropical forest of  $3.0 \pm 0.52 \text{ kg N}_2\text{O-N}$   
 415  $\text{ha}^{-1} \text{ yr}^{-1}$  ( $n = 22$ ) and Kim et al. (2013a, 2013b) of  $1.91 \text{ kg N} \pm 0.25$  ( $n = 69$ ). The annual NO  
 416 emission rate in tropical forest amounts to  $1.7 \pm 0.48 \text{ kg N-NO ha}^{-1} \text{ yr}^{-1}$  ( $n = 36$ ), which is  
 417 higher than previous estimates by Stehfest and Bouwman (2006) ( $0.39 \text{ kg NO-N ha}^{-1} \text{ yr}^{-1}$ ,  $n =$   
 418 33), Davidson and Kingerlee (1997) ( $0.8 \text{ kg NO-N ha}^{-1} \text{ yr}^{-1}$ ,  $n = 15$ ) and Potter et al. (1996)  
 419 ( $1.2 \text{ kg NO-N ha}^{-1} \text{ yr}^{-1}$ ).

420 Nitrous oxide emission in agricultural fields and pastures reported by Duxbury et al.  
 421 (1982) were the largest in the entire dataset (average emissions of  $65 \text{ kg N}_2\text{O-N ha}^{-1} \text{ yr}^{-1}$ ).  
 422 The study was conducted in Florida on drained organic soils under crops, grass or kept as  
 423 fallows; that displayed high N mineralization rates ( $600\text{-}1,200 \text{ kg N ha}^{-1} \text{ yr}^{-1}$ ). Excluding them  
 424 decreases the overall average  $\text{N}_2\text{O}$  emissions from  $4.4 \pm 0.6$  ( $n = 387$ ) to  $3.5 \pm 0.3 \text{ kg N}_2\text{O-N}$   
 425  $\text{ha}^{-1} \text{ yr}^{-1}$  ( $n = 381$ ), and croplands emissions from  $8.6 \pm 2.0$  ( $n = 93$ ) to  $5.8 \pm 0.9 \text{ kg N}_2\text{O-N ha}^{-1}$   
 426  $\text{yr}^{-1}$  ( $n = 88$ ).

427

428 **6.2 Land-use change effects on the emissions**

429 According to the meta-analysis LUC overall increased N<sub>2</sub>O and NO emissions, albeit not  
430 significantly. Land-use change types or practices that induced significant changes in  
431 emissions all pointed towards increased rather than decreased emissions. The meta-analysis  
432 confirmed that intact upland forest conversion to croplands and nitrogen fertilization after  
433 LUC significantly and highly increased soil emissions of N<sub>2</sub>O. It also corroborated high  
434 increases in NO emissions after low forest cover conversion in general and when fertilizer is  
435 applied after LUC. For most LUC trajectories the effect of emission change was not  
436 significant even when the sample size was relatively large. For instance, the analysis  
437 indicated a trend of decreased N<sub>2</sub>O emissions following intact upland forest conversion to  
438 pasture, which was not significant since the LUC studies not all agreed on the direction of  
439 change. Several publications reported decreased emissions after conversion to pasture (e.g.  
440 Verchot et al., 1999; Erickson et al., 2001; Garcia-Montiel et al., 2001), others reported the  
441 opposite (e.g. Melillo et al., 2001; Takakai et al., 2006) and one showed no effect (Neill et al.,  
442 2005). These apparent contradicting results have been explained by differences or absence of  
443 differences in time after conversion of the studied pastures (Keller et al., 1993, Veldkamp et  
444 al., 1999, Verchot et al., 1999, Neill et al., 2005, Wick et al., 2005) or the practiced or not  
445 slash and burn technique to clear the forest, both affecting N cycling (Luizao et al., 1989;  
446 Matson et al., 1990; Steudler et al., 1991; Keller & Reiners, 1994; Neill et al., 1995; Melillo  
447 et al., 2001; Garcia-Montiel et al., 2001). Biomass burning produces N<sub>2</sub>O during fires and  
448 may enhance soil N<sub>2</sub>O afterwards by stimulating N mineralisation (Skiba & Smith, 2000).  
449 The paucity of field observations together with the lack of land-use history description did  
450 not allow to evaluate clearing practices effects or temporal trends in soil emission dynamics  
451 with LUC thoroughly. For non-fertilized croplands and pastures, the fluxes of N<sub>2</sub>O tended to  
452 increase during the first five to ten years after conversion and thereafter tended to decrease to  
453 average upland forest or low canopy forest levels (Fig. 4). In fertilized croplands, however,

454 flux rates remained at a high level even beyond this period. Soil physical disturbance  
455 following land clearing, high N inputs associated with clear-felling and soil preparation (e.g.  
456 compaction, drainage in wetland) all combined may be at the origin of the five to ten year  
457 emission peak. In fertilized croplands on the other hand, the sustained emission increase  
458 seems to be driven by high mineral N inputs. This temporal variability in emission change  
459 indicates that the first ten years following LUC are crucial for GHG budget calculations.

460 We used a meta-analysis statistical approach to assess the trend and magnitude of forest  
461 conversion on soil emissions of N oxides. Meta-analysis consists in comparing site specific  
462 (pair-wise) effects weighted according to their robustness, therefore it provides a direction  
463 and a magnitude of emission change more reliable and precise than those obtained by  
464 comparing average emission rates per LU category from individual papers. For example, the  
465 meta-analysis effect on  $\text{N}_2\text{O}$  emissions of intact upland forest conversion to croplands (0.78)  
466 was much higher than the effect calculated (0.48) using average values from Table 1 and Eq.  
467 (1). The effect calculated from average emission rate derived from individual studies can also  
468 lead to misleading conclusions such as in the case of intact upland forest conversion to  
469 pasture. The effect calculated from average emissions (0.34) was positive indicating  
470 increased emissions as opposed to the meta-analysis conclusion (-0.28). Simple assessments  
471 based on average values, in general, encompass more studies than meta-analysis but are  
472 biased due to the exclusion of pair-wise evaluations. In order to improve the understanding of  
473 LUC on trace gas emissions in general, more studies monitoring the fluxes simultaneously in  
474 control (forest) and converted sites are necessary. Whenever the conversion includes  
475 intermediary stages such as short fallows with the practice of slash-and-burn, the  
476 corresponding emission rates should be evaluated as well. When focusing on a specific  
477 crop/tree a chronosequential approach including different ages since planting should be  
478 considered, especially if fertilization rates evolve with time. The first few years after

479 conversion are likely to be hotspots for N oxide emissions and time since conversion is an  
480 important factor to be included.

481

### 482 **6.3 Biophysical drivers of NO and N<sub>2</sub>O emission and emission change**

483 An IPPC Tier 1 approach is generally used by countries in the Tropics to estimate their  
484 annual emissions of GHG. Average LU-based emission rates as provided in this paper or the  
485 contribution of N applied released as N<sub>2</sub>O from agricultural soils (IPCC, 2006) illustrate the  
486 type of emission factors applied to activity data at a Tier 1 level. This approach is useful to  
487 compare anthropogenic emissions from different countries but does not capture the variations  
488 across climate regions for instance (Skiba et al., 2012). Soil fluxes of N<sub>2</sub>O and NO are known  
489 to be controlled by climate (rainfall, temperature), soil conditions (drainage, aeration, texture,  
490 pH, etc.) and management (land cover, fertilization rate and type, etc.) (Skiba & Smith, 2000;  
491 Ludwig et al., 2001; Butterbach-Bahl et al., 2013). Country- or regional LU-specific emission  
492 factors that better account for local climate, soil management and properties are defined as  
493 Tier 2 level whereas Tiers 3 methods usually involves process-based models (Del Grosso et  
494 al., 2006). The multiple regression analysis of the dataset indicated that tropical N<sub>2</sub>O and NO  
495 fluxes could be expressed as a combination of nitrogen availability and/or application and  
496 WFPS; even though the predictive power for simulating overall N<sub>2</sub>O emissions was low ( $R^2$   
497 = 0.39). However, the predictive power of the regressions increases when the database is split  
498 up in agriculture and non-agriculture cases (Table 2). The establishment of an emission factor  
499 for agricultural soils that includes the WFPS in addition to N fertilization rate is likely to  
500 improve estimates of direct agricultural N<sub>2</sub>O emissions, one of the largest source of N<sub>2</sub>O in  
501 most countries. For non-agricultural sites a more mechanistic approach appeared to fit better  
502 the observed data. The fluxes of both NO and N<sub>2</sub>O followed a Gaussian type relationship  
503 with the WFPS – a key determinant for soil anaerobiosis. This type of relationship was

504 hypothesized by Davidson (1991), demonstrated in case studies (Davidson et al., 2000;  
505 Davidson and Verchot, 2000; Veldkamp et al., 1998) and used in modelling (Parton et al.,  
506 2001; Parton et al., 1996; Potter et al., 1996). Its application in the context of the current  
507 tropical database confirms a maximum of  $\text{N}_2\text{O}$  emissions around a WFPS of 60% and  
508 indicates maximum NO emissions at a lower WFPS (45%) than that reported by Davidson et  
509 al. (2000) (55%). It also points out that  $\text{N}_2\text{O}$  emissions remain high at an 80% WFPS and  
510 diminish towards 100% WFPS. Neither air nor soil temperature were found to affect soil N-  
511 oxide fluxes across LUs, although the LU annual average span was wide (12-34°C and 14-  
512 31°C for air and soil temperatures). In the temperate zone exponential increases in  $\text{N}_2\text{O}$   
513 emissions with increasing temperature have been reported, whereas in the tropics the  
514 evidence is mixed (Skiba and Smith, 2000). Substrate (e.g. N, P) and moisture constraints of  
515 microbial processes influencing N-oxide fluxes may reduce the temperature effect. Werner et  
516 al. (2006), for instance, demonstrated that variations in  $\text{N}_2\text{O}$  emissions from tropical  
517 rainforest soils were mainly affected by soil moisture changes and that temperature changes  
518 were of minor importance.

519 The data confirmed the concepts formulated in the HIP model (Davidson et al., 2000) with  
520 the availability of mineral N in the system (first level of control) controlling in an exponential  
521 fashion the  $(\text{NO} + \text{N}_2\text{O})$  flux rate and the WFPS (second level of control) controlling also in  
522 an exponential fashion the ratio of  $\text{N}_2\text{O}$  to NO. Although our exponential models are similar  
523 to those obtained by Davidson and Verchot (2000) using the TRAGNET database and by  
524 Davidson et al. (2000) using fluxes from forest to pasture conversions in the American  
525 Tropics, the magnitude of the coefficient is different. For a WFPS between 30 and 60% the  
526  $\text{N}_2\text{O}$  to NO ratio obtained using the relationship of Davidson and Verchot (2000) is five to  
527 nine times lower than the one obtained with the relationship developed here. Above a  $\text{NO}_3^-$   
528  $/[\text{NH}_4^+ + \text{NO}_3^-]$  ratio of 0.5 the relationship of Davidson et al. (2000) departs from the one we

529 developed. For instance, at a  $0.75 \text{ NO}_3^- / [\text{NH}_4^+ + \text{NO}_3^-]$  value, we estimate annual  $\text{NO} + \text{N}_2\text{O}$   
530 emissions of about  $6 \text{ kg N ha}^{-1} \text{ y}^{-1}$  whereas the model of Davidson et al. indicates  $10 \text{ kg N ha}^{-1}$   
531  $\text{y}^{-1}$ . The probable reason explaining the discrepancy is the temporal scale of the data, we  
532 used annual emission rates whereas Davidson et al. used hourly fluxes and thus took into  
533 account punctual high emission peaks less apparent in annual budgets. Also, given the  
534 nonlinear nature of the functions, an annual budget estimated by summing up fluxes  
535 simulated from e.g. hourly WFPS and inorganic N ratio values would lead to a different  
536 result than the one simulated from annual WFPS and inorganic N ratio values, as we did. This  
537 demonstrates that relationships used in modelling exercises should be developed according to  
538 the time step of the model.

539 Land-use change involves major transformations of the soil-plant-atmosphere continuum.  
540 As a result of land-clearing fires, mechanical ploughing and compaction, vegetation change,  
541 fertilization, etc., the soil system is highly altered from its previous state. Soil properties such  
542 as bulk density, porosity, moisture, WFPS, temperature, mineral N content and pH are often  
543 affected by LUC (Farquharson & Baldock, 2008; Dobbie et al., 1999; Verchot et al., 1999).  
544 Fertilization N input after land-use change increases highly and significantly both  $\text{N}_2\text{O}$  and  
545  $\text{NO}$  fluxes, as reported by many studies, e.g. Stehfest & Bouwman (2006). However,  
546 increased emissions after LUC were not exclusively due to fertilization, changes in  
547 endogenous levels of soil nitrogen availability or WFPS were also key factors impacting the  
548 changes in  $\text{N}_2\text{O}$  fluxes. These variables should therefore systematically be measured and  
549 reported. Land-use change generally impacts more than one variable at a time, therefore  
550 changes in emissions will most likely result from an interaction of factors. This was  
551 illustrated by the interactive effect of the changes in N availability and WFPS on  $\text{N}_2\text{O}$   
552 emission changes (Fig. 5).

## 553 7 CONCLUSIONS

554 We estimate natural tropical forests to emit  $2.0 \text{ kg N}_2\text{O-N ha}^{-1} \text{ yr}^{-1}$  on average and emission  
555 rates to be significantly increased after conversion to cropland, and to a smaller degree to  
556 agroforestry. Low forest cover also see their NO emissions raise significantly after being  
557 converted. These LUC trajectories can hence contribute substantially to non-CO<sub>2</sub> GHG  
558 emission increases whenever they represent a substantial area for a given country. Default  
559 Tier 1 N<sub>2</sub>O and NO emission factors currently proposed by the IPCC for the tropical region  
560 are based on a limited number of studies and rely essentially on N inputs. However,  
561 mechanisms of N-oxide production are the result of microbial processes controlled by a  
562 combination of factors; thus the IPCC Tier 1 approach is somewhat flawed. Here we  
563 established a set of predictive relationships linking annual soil N<sub>2</sub>O and NO emissions to  
564 biophysical parameters and emission changes to biophysical parameter changes. The analysis  
565 established that N availability or N inputs as well as the soil WFPS were the key explanatory  
566 factors of emissions or emission changes. In particular, we developed a statistical model for  
567 tropical countries allowing the calculation of N<sub>2</sub>O emissions from agriculture as a function of  
568 both N fertilization rate and WFPS. Improving the scientific understanding of N<sub>2</sub>O and NO  
569 fluxes and how they relate to environmental parameters requires the design of experiments  
570 considering the high spatio-temporal variation of the fluxes and associated parameters and the  
571 use of standardized measurement methods. Also, studies considering a LUC transition  
572 pathway should include in their design all intermediate land use stages (e.g. degraded forest)  
573 susceptible to modify N cycling. Finally, even though the body of research on LUC and N<sub>2</sub>O  
574 and NO emissions has steadily increased over the past decades, knowledge gaps are still  
575 important especially in Africa and Oceania, and for wetland forest (notably on peat),  
576 degraded forest and important world crops such as oil palm plantations and soy fields.

## 577 8 ACKNOWLEDGEMENTS

578 This research was generously supported by the contributions of the governments of Australia  
579 (Grant Agreement # 46167) and Norway (Grant agreement # QZA-10/0468) and the  
580 European Community's Seventh Framework Programme [FP7/2007-2013] (Grant Agreement  
581 # 226310) to the Center for International Forestry Research. This work was carried out as part  
582 of the Consultative Group on International Agricultural Research programs on Climate  
583 Change and Food Security (CCAFS). We gratefully thank Luluk Suhada for her work on the  
584 bibliography for this study and also all scientists that have measured and published the data  
585 that we used.

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## 870 10 SUPPLEMENTARY MATERIAL

871

872 S1 – Database of LU study cases on N<sub>2</sub>O and NO fluxes and environmental parameters.873 S2 – Database used for the meta-analysis of LUC study cases and Hedges' *d* for N<sub>2</sub>O and NO

874 fluxes and environmental parameters.

## 875 11 TABLES

876

877 Table 1: Average of annual  $\text{N}_2\text{O}$  and  $\text{NO}$  emissions in the Tropics and associated environmental parameter values. Land uses are F: forest,  
 878 WF: wetland forest, LFC: low forest cover, DegF: degraded forest, AGF: agroforestry, Pl: plantation, Pa: pasture, R: rice and Crop: cropland.  
 879 NA: not available, no nitric oxide cases were available for WF. Standard error and sample size are indicated in brackets, # indicates no statistics  
 880 were possible. Letters in superscript indicate significant differences among land uses, whenever differences were not significant no letter was  
 881 indicated.

Land use	Flux (kg N ha <sup>-1</sup> yr <sup>-1</sup> )	Annual precipitation (mm)	Annual temperatu re (°C)	Soil temperature (°C)	WFPS (%)	Bulk density (g d.w. cm <sup>-3</sup> )	pH	NH <sub>4</sub> <sup>+</sup> ( $\mu\text{g N g}^{-1}$ d.w.)	NO <sub>3</sub> <sup>-</sup> ( $\mu\text{g N g}^{-1}$ d.w.)	C (%)	N (%)	Fertilization (kg N ha <sup>-1</sup> yr <sup>-1</sup> )	Litterfall (kg N ha <sup>-1</sup> yr <sup>-1</sup> )
<i>Nitrous oxide</i>													
F	2.0 <sup>a</sup> (0.2, 90)	2226 (81, 90)	22.8 (0.4, 80)	23.7 <sup>a,b</sup> (0.5, 29)	56.1 (3.4, 45)	.9 <sup>a</sup> (0.0, 58)	4.9 <sup>a,b,c</sup> (0.1, 55)	20.5 <sup>a</sup> (2.8, 47)	10.8 <sup>a</sup> (1.5, 45)	4.8 <sup>a</sup> (0.5, 45)	0.4 <sup>a</sup> (0.0, 45)	0 <sup>a</sup> (0, 87)	100 (17, 14)
WF	2.7 <sup>a,b</sup> (1.9, 7)	2485 (167, 6)	26.1 (0.4, 6)	26.8 <sup>a,b</sup> (0.5, 4)	44.5 (7.7, 3)	0.1 <sup>b</sup> (0.0, 4)	3.8 <sup>a</sup> (0.2, 7)	412.0 <sup>b</sup> (119.9, 4)	70.9 <sup>b</sup> (12.2, 5)	49.7 <sup>b</sup> (3.2, 7)	1.6 <sup>b</sup> (0.1, 7)	0 <sup>a,b,d</sup> (0, 7)	n.a. (0, 7)
LFC	0.5 <sup>a,b</sup> (0.1, 11)	1546 (390, 11)	23.9 (1.6, 9)	28.8 <sup>a,b</sup> (1.2, 3)	47.7 (10.6, 8)	1.1 <sup>a,c</sup> (0.1, 10)	5.0 <sup>a,b,c,d</sup> (0.3, 11)	5.1 <sup>a</sup> (1.1, 8)	3.1 <sup>a</sup> (1, 8)	4.0 <sup>a</sup> (0.9, 8)	0.3 <sup>a</sup> (0.1, 8)	0 <sup>a,b,d</sup> (0, 10)	n.a. (0, 10)
DegF	1.9 <sup>a,b</sup> (0.5, 30)	2220 (123, 30)	25.1 (0.4, 28)	27.6 <sup>a</sup> (0.6, 14)	48.4 (5, 19)	0.9 <sup>a</sup> (0.1, 24)	4.4 <sup>a,b</sup> (0.2, 23)	44.4 <sup>a</sup> (17.4, 20)	11.6 <sup>a</sup> (4.1, 20)	30.0 <sup>c 1</sup> (6.2, 20)	0.9 <sup>c</sup> (0.1, 20)	0 <sup>a,b</sup> (0, 26)	122 (54, 3)
AGF	3.4 <sup>a,b</sup> (1.6, 8)	2297 (112, 8)	25.2 (0.8, 6)	22.1 <sup>a,b</sup> (2.3, 2)	77.1 (17.2, 3)	1.2 <sup>a,c</sup> (0.1, 8)	5.6 <sup>b,c,d</sup> (0.3, 7)	10.7 <sup>a</sup> (4.6, 7)	7.8 <sup>a,b</sup> (3.1, 7)	2.8 <sup>a</sup> (0.5, 3)	0.3 <sup>a,c</sup> (0.1, 3)	39 <sup>a,b,c</sup> (31, 8)	218 (#, 1)
Pl	1.5 <sup>a,b</sup> (0.3, 40)	2120 (137, 40)	24.3 (0.6, 38)	25.5 <sup>a,b</sup> (0.6, 22)	59.0 (3.4, 26)	1.0 <sup>a,c</sup> (0.1, 27)	4.8 <sup>a,b,c</sup> (0.1, 37)	11.9 <sup>a</sup> (2.3, 30)	17.8 <sup>a,b</sup> (8.2, 27)	6.7 <sup>a</sup> (2.2, 30)	0.4 <sup>a</sup> (0.1, 31)	53 <sup>a,b</sup> (25, 35)	304 (153, 5)
Pa	5.2 <sup>a,b</sup> (1.3, 97)	1913 (89, 90)	23.4 (0.5, 54)	26.3 <sup>a,b</sup> (1.9, 8)	64.2 (4.6, 18)	1.2 <sup>c</sup> (0, 54)	5.4 <sup>c,d</sup> (0.1, 49)	26.1 <sup>a</sup> (4.2, 29)	26.9 <sup>a,b</sup> (13.7, 29)	5.1 <sup>a</sup> (1.8, 41)	0.3 <sup>a</sup> (0, 39)	90 <sup>b,d</sup> (17, 70)	n.a. (17, 70)

<b>R</b>	5.1 <sup>a,b</sup> (1.7, 17)	1562 (234, 13)	21.9 (1.9, 13)	20.9 <sup>b</sup> (2.4, 5)	73.0 (1.2, 3)	1.2 <sup>a,c</sup> (0, 4)	6.0 <sup>d</sup> (0.3, 17)	788.01 (#, 1)	29.4 <sup>a,b</sup> (2.0, 2)	13.6 <sup>a</sup> (5.2, 17)	0.5 <sup>a,c</sup> (0.1, 17)	228 <sup>c</sup> (61, 17)	n.a.
<b>Crop</b>	8.6 <sup>b</sup> (2.0, 92)	1965 (123, 76)	24.4 (0.6, 60)	25.3 <sup>a,b</sup> (1.1, 23)	58.1 (5.2, 28)	1.1 <sup>a,c</sup> (0.1, 44)	5.7 <sup>d,e</sup> (0.1, 78)	21.3 <sup>a</sup> (10.9, 36)	12.2 <sup>a</sup> (2.6, 36)	7.5 <sup>a</sup> (1.6, 55)	0.4 <sup>a</sup> (0, 55)	155 <sup>c,d</sup> (25, 92)	77 (28, 2)
<i>Nitric oxide</i>													
<b>F</b>	1.7 (0.5, 36)	2342 (166, 35)	21.6 <sup>a</sup> (0.8, 30)	24.9 <sup>a</sup> (0.4, 13)	60.1 (4.5, 24)	0.75 <sup>a</sup> (0.07, 24)	5.3 (0.3, 25)	15.4 (2.5, 21)	12.2 <sup>a</sup> (2.0, 21)	5.1 <sup>a</sup> (0.7, 15)	0.4 (0.1, 19)	0 <sup>ab</sup> (0, 36)	79 (16, 10)
<b>DegF</b>	2.9 (1.9, 20)	2119 (281, 19)	25.4 <sup>bc</sup> (0.9, 12)	26.4 <sup>ab</sup> (0.8, 9)	53.7 (7.8, 11)	1.08 <sup>b</sup> (0.09, 17)	5.6 (0.3, 17)	15.1 (3.1, 13)	5.6 <sup>ab</sup> (1.5, 13)	3.1 <sup>ab</sup> (0.8, 13)	0.3 (0.1, 10)	0 <sup>b</sup> (0, 20)	68 (6, 2)
<b>AGF</b>	2.3 (0.8, 5)	2219 (147, 5)	26.0 <sup>acd</sup> (0.0, 4)	24.4 <sup>#</sup> (#, 1)	56.0 (#, 1)	1.32 <sup>b</sup> (0.03, 5)	5.9 (0.2, 5)	9.5 (4.6, 4)	4.9 <sup>ab</sup> (3.1, 4)	2.5 (#, 1)	0.2 (#, 1)	12 <sup>bdef</sup> (12, 5)	n.a.
<b>PI</b>	5.4 (5.3, 2)	2124 (1839, 2)	21.4 <sup>acd</sup> (4.4, 2)	n.a. (#, 1)	70.0 (#, 1)	1.23 <sup>ab</sup> (0.43, 2)	7.6 (#, 1)	n.a. (#, 1)	n.a. (5.9, 17)	n.a. (1.1, 17)	n.a. (0.4, 13)	180 <sup>cf</sup> (180, 2)	n.a.
<b>Pa</b>	2.6 (0.7, 28)	2279 (252, 26)	25.5 <sup>bd</sup> (0.1, 13)	27.8 <sup>b</sup> (0.7, 8)	66.8 (6.0, 14)	1.22 <sup>b</sup> (0.07, 16)	5.8 (0.3, 17)	27.0 (5.9, 17)	5.4 <sup>b</sup> (1.1, 17)	1.2 <sup>b</sup> (0.4, 13)	0.2 (0.1, 6)	91 <sup>ce</sup> (26, 26)	n.a.
<b>Crop</b>	3.1 (0.8, 20)	1686 (268, 14)	24.7 <sup>acd</sup> (1.1, 3)	27.8 <sup>b</sup> (0.4, 11)	43.0 (12.3, 6)	1.31 <sup>b</sup> (0.09, 13)	5.7 (0.2, 20)	28.2 (14.5, 12)	12.1 <sup>ab</sup> (2.3, 12)	2.5 <sup>ab</sup> (1.0, 9)	0.3 (0.1, 4)	88 <sup>cd</sup> (17, 20)	n.a.

882

883

<sup>1</sup> including 10 degraded peat forests, soil carbon content for non-peat soils was 3.8.

884 Table 2: Multiple regression between soil N<sub>2</sub>O or NO emissions and associated  
 885 environmental parameters; and meta-analysis regression between the standardized differences  
 886 after and before land-use change of N<sub>2</sub>O emissions (or Hedges' *d*, *d*<sub>N<sub>2</sub>O</sub>) and of environmental  
 887 factors (*d*<sub>N<sub>available</sub></sub>, *d*<sub>wfps</sub>). The models are presented with slope and intercept  $\pm$  SE; P values are  
 888 indicated with \* (p<0.05), \*\* (p<0.01) and \*\*\* (p<0.001). All regression models were  
 889 significant (p≤0.01).

890

LU	R <sup>2</sup>	df	Model
<i>Linear regression LU study cases</i>			
All	0.39	125	$\ln(N_2O+1.2) = 0.002^{***} \pm 0.0004 \times N_{fertilization} + 0.87^{**} \pm 0.29 \times N_{available} + 0.014^{***} \pm 0.003 \times WFPS - 0.11^{ns} \pm 0.22$
Agr <sup>a</sup>	0.83	40	$\ln(N_2O+1.2) = 0.008^{***} \pm 0.0007 \times N_{fertilization} + 0.017^{***} \pm 0.003 \times WFPS - 0.28^{ns} \pm 0.26$
Non-Agr <sup>b</sup>	0.17	80	$\ln(N_2O+1.2) = 0.87^{**} \pm 0.27 \times N_{available} + 0.008^{***} \pm 0.003 \times WFPS - 0.15^{ns} \pm 0.21$
All	0.18	64	$\ln(NO) = 2.27^{**} \pm 0.80 \times N_{available} + 0.0085^* \pm 0.0039 \times N_{fertilization} - 1.42^{***} \pm 0.35$
Agr <sup>a</sup>	0.31	44	$\ln(NO) = 0.0081^{***} \pm 0.0019 \times N_{fertilization} - 0.65^* \pm 0.26$
Non-Agr <sup>b</sup>	0.20	36	$\ln(NO) = 3.02^{**} \pm 1.02 \times N_{available} - 1.67^{**} \pm 0.47$
<i>Gaussian regression WFPS</i>			
Non-Agr <sup>b</sup>	0.90	102	$N_2O = 2.3 \times \exp(-0.5 \times ((WFPS^c - 61.8)/24.7)^2)$
Non-Agr <sup>b</sup>	0.89	36	$NO = 2.5 \times \exp(-0.5 \times ((WFPS^c - 45.3)/16.5)^2)$
<i>HIP model regression</i>			
All	0.48	40	$\log(1+N_2O+NO) = 0.92^{***} \pm 0.15 \times N_{available} + 0.15^* \pm 0.06$
All	0.39	42	$\log(1+N_2O/NO) = 0.0129^{***} \pm 0.003 \times WFPS - 0.32^{ns} \pm 0.18$
Non-Agr <sup>b</sup>	0.40	29	$\log(1+N_2O/NO) = 0.0125^{***} \pm 0.003 \times WFPS - 0.27^{ns} \pm 0.20$
<i>Meta-analysis regression LUC study cases</i>			
All	0.23	89	$d_{N2O} = 0.65^{**} \pm 0.14 \times d_{Navailable} - 0.04 \pm 0.13$
All	0.15	69	$d_{N2O} = 0.55^{**} \pm 0.22 \times d_{wfps} + 0.05 \pm 0.16$

891 N<sub>2</sub>O and NO are expressed in kg N<sub>2</sub>O-N yr<sup>-1</sup> or N-NO ha<sup>-1</sup> yr<sup>-1</sup>, N<sub>available</sub> is (NO<sub>3</sub><sup>-</sup>/[NO<sub>3</sub><sup>-</sup>+NH<sub>4</sub><sup>+</sup>]) without units, NO<sub>3</sub><sup>-</sup>  
 892 and NH<sub>4</sub><sup>+</sup> in  $\mu$ g N g<sup>-1</sup> d.w., N<sub>fertilization</sub> in kg N ha<sup>-1</sup> yr<sup>-1</sup> and WFPS in %.

893 <sup>a</sup>Agr includes cropland and pasture.

894 <sup>b</sup>Non-Agr includes forest, low forest cover, degraded forest, agroforestry and plantation.

895 <sup>c</sup>WFPS intervals of 10%.

896 Table 3 – Hedges'  $d \pm \text{SE}$  (n) of  $\text{N}_2\text{O}$  ( $d_{\text{N}_2\text{O}}$ ) and  $\text{NO}$  ( $d_{\text{NO}}$ ) emission change following  
 897 land-use change (LUC). Hedges'  $d$  is the standardized mean difference of  $\text{N}_2\text{O}$  (or  $\text{NO}$ ) flux  
 898 rates after and before LUC. A  $d < 0$  indicates a reduction in emission; a  $d > 0$  an increase.  
 899 Land uses are: F-forest, WF-wetland forest, LFC-low forest cover, DegF-degraded forest,  
 900 AGF-agroforestry, PI-plantation, Pa-pasture, R-Rice and Crop-cropland.

LUC	$d_{\text{N}_2\text{O}}$	$d_{\text{NO}}$
F-DegF	$0.09 \pm 0.29$ (15)	$0.08 \pm 0.34$ (5)
F-AGF	$0.34 \pm 0.29$ (4)	- (1) <sup>a</sup>
F-PI	$0.06 \pm 0.37$ (12)	-
F-Pa	$-0.28 \pm 0.17$ (36)	$-0.56 \pm 0.67$ (9)
F-Crop	$0.78^* \pm 0.24$ (19)	- (2) <sup>a</sup>
Overall F	$0.11 \pm 0.14$ (86)	$-0.19 \pm 0.37$ (17)
WF-DegF	$-0.17 \pm 0.31$ (9)	-
WF-PI	$1.07 \pm 0.42$ (3)	-
WF-Pa	$2.37 \pm 1.80$ (3)	-
WF-R	$-0.06 \pm 0.62$ (9)	-
Overall WF	$0.31 \pm 0.34$ (24)	-
DegF-AGF	$0.27 \pm 0.19$ (4)	$0.72 \pm 0.28$ (4)
LFC-PI	- (2) <sup>#</sup>	-
LFC-Pa	$0.47 \pm 0.37$ (3)	$-0.06 \pm 0.31$ (5)
LFC-Crop	$-0.29 \pm 0.40$ (16)	$0.57^* \pm 0.09$ (11)
Overall LFC <sup>b</sup>	$-0.07 \pm 0.25$ (25)	$0.44^* \pm 0.13$ (20)
Overall LUC	$0.11 \pm 0.11$ (135)	$0.16 \pm 0.19$ (37)
Fertilization <sup>c</sup>	$1.03^* \pm 0.31$ (17)	$0.52^* \pm 0.23$ (12)
N fixation <sup>c</sup>	$-0.14 \pm 0.33$ (13)	$0.61 \pm 0.33$ (8)

901 \*  $p < 0.05$ ; no statistics calculated for studies with  $n < 3$ .

902 <sup>a</sup> no statistics possible.

903 <sup>b</sup> including 4 DegF-AGF LUC cases.

904 <sup>c</sup> Fertilization and N fixation indicate cases of forest conversion to fertilized LU and LU with  $\text{N}_2$  fixing  
 905 trees/crops.

## 906 12 FIGURE LEGENDS

907

908 Figure 1: Spatial distribution of land use case studies on soil fluxes of (a)  $N_2O$  and (b) NO per  
909 land use category in the tropics. Land uses are abbreviated as F: forest, WF: wetland forest,  
910 LFC: low forest cover, DegF: degraded forest, AGF: agroforestry, Pl: plantation, Pa: pasture,  
911 R: rice and Crop: cropland. Y-axes of the diagrams represent number of case studies per land  
912 use. Land use case studies from Europe are omitted ( $n = 2$ ). (c) Spatial distribution of land-  
913 use change case studies on soil  $N_2O$  and NO fluxes, compared to a map of annual loss of  
914 forest area by country between 2005 and 2010. The four shades of grey, from black to light  
915 grey, respectively represent  $>500$ , 500-250, 250-50 and  $<50$  net loss of area in 1,000 ha.

916 Adapted from FAO (2010).

917

918 Figure 2: Gaussian relationships (dashed lines) between the WFPS and  $N_2O$  and NO  
919 emissions in non-agricultural land uses.  $N_2O$  and NO fluxes are averaged in 10% WFPS  
920 intervals. Error bars are N flux standard errors in each WFPS interval.

921

922 Figure 3: Relationships between (a) the WFPS and the ratio of  $N_2O$  to NO; (b)  $N_{available}$  ( $NO_3^-$   
923  $/[NO_3^- + NH_4^+]$ ) and the sum of  $N_2O$  and NO. The domains of definition are (a) [0.02; 44.71]  
924 in  $N_2O$  to NO ratio and [30.4; 94.4] in WFPS; (b) [0.00; 12.80] in  $N_2O + NO$  ( $kg\ N\ ha^{-1}\ yr^{-1}$ )  
925 and [0.01; 0.77] in  $N_{available}$ .

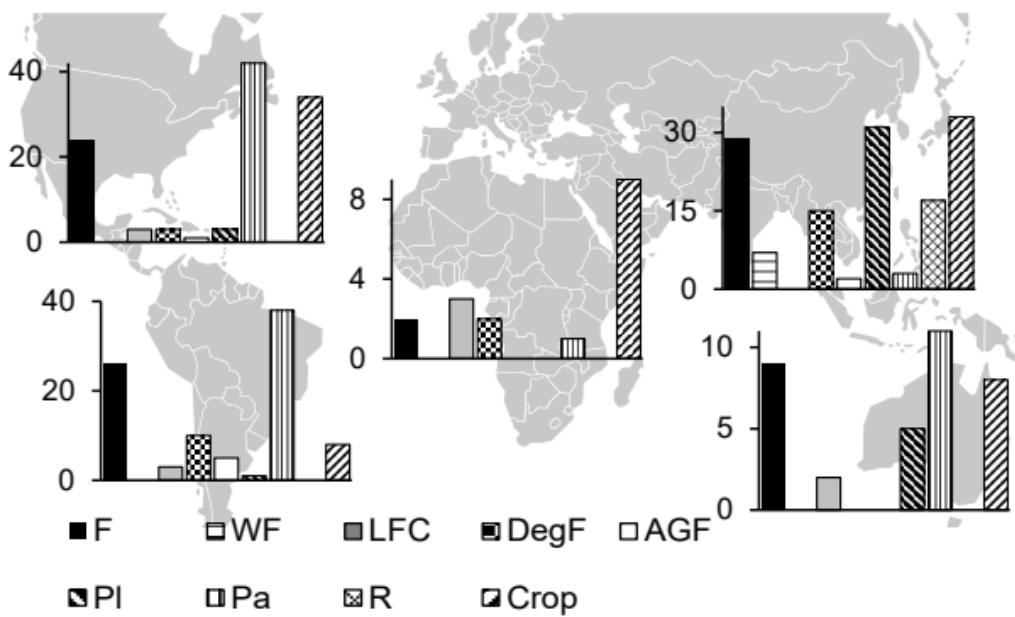
926

927 Figure 4: Effect of time since conversion on  $N_2O$  fluxes in (a) croplands and (b) pastures.  
928 Average  $N_2O$  flux and 95% confidence intervals are given for upland forests (triangle) and  
929 low canopy forests (square). The solid and dashed lines represent a conceptual trend for non-  
930 fertilized and fertilized cases, respectively.

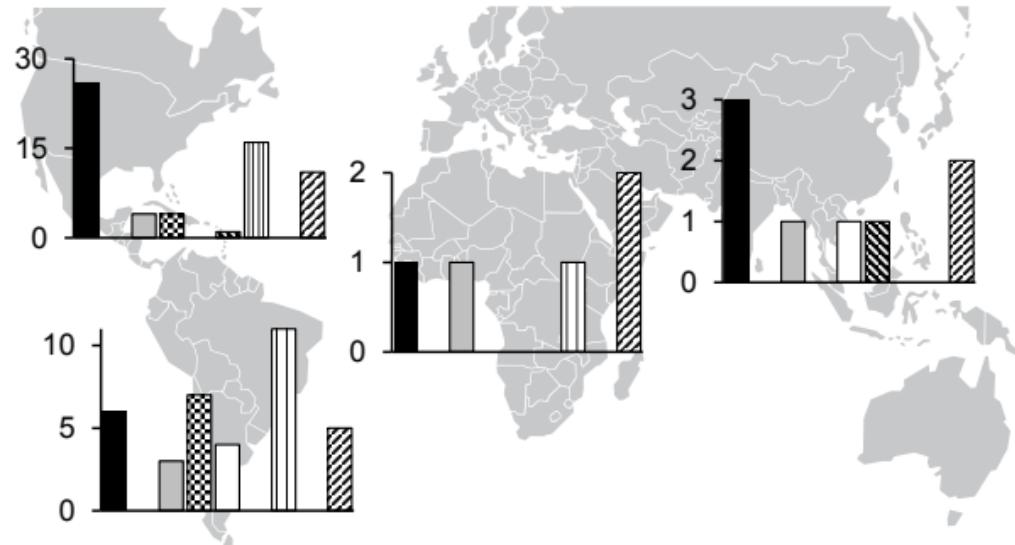
931

932 Figure 5:  $N_2O$  Hedges'd as affected by the interactive changes in  $N_{\text{availability}}$  and WFPS. The  
933 meta-analysis regression between  $d_{N_2O}$  and  $d_{\text{availability}}$  was performed for all cases (solid line)  
934 and for cases when  $d_{\text{WFPs}} > 0$  or  $d_{\text{WFPs}} < 0$  (dashed lines). Closed and open circles represent  
935 increased and decreased WFPS, respectively.

### A. Nitrous oxide LU study cases



### B. Nitric oxide LU study cases



### C. LUC study cases

