

# Technique Note: A generic law-of-the-minimum flux limiter for simulating substrate limitation in biogeochemical models

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## Abstract

We present a generic flux limiter to account for mass limitations from an arbitrary number of substrates in a biogeochemical reaction network. The flux limiter is based on the observation that substrate (e.g., nitrogen, phosphorus) limitation in biogeochemical models can be represented as to ensure mass conservative and non-negative numerical solutions to the governing ordinary differential equations. Application of the flux limiter includes two steps: (1) formulate the biogeochemical processes with a matrix of stoichiometric coefficients and (2) apply Liebig's law of the minimum using the dynamic stoichiometric relationship of the reactants. This approach contrasts with the ad hoc down-regulation approaches that are implemented in many existing models (such as CLM4.5 and the ACME (Accelerated Climate Modeling for Energy) Land Model (ALM)) of carbon and nutrient interactions, which are error prone when adding new processes, even for experienced modelers. Through an example implementation with a CENTURY-like decomposition model that includes carbon, nitrogen, and phosphorus, we show that our approach (1) produced almost identical results to that from the ad hoc down-regulation approaches under non-limiting nutrient conditions; and (2) properly resolved the negative solutions under substrate-limited conditions where the simple clipping approach failed; and (3) successfully avoided the potential conceptual ambiguities that are implied by those ad hoc down-regulation approaches. We expect our approach will make future biogeochemical models easier to improve and more robust.

# 1 **1 Introduction**

2 Biogeochemical modeling has been one of the major themes in developing earth  
3 system models (Hurrell et al., 2013), yet developing numerically robust and mathematically  
4 consistent biogeochemical models has been challenging (Broekhuizen et al., 2008). In  
5 biogeochemical modeling, the systems of interest, such as terrestrial ecosystems, are often  
6 nutrient limited under a wide range of conditions (Vitousek and Howarth, 1991; Vitousek et  
7 al., 2010). Therefore, proper modeling of nutrient limitation is a prerequisite for credible  
8 predictions of carbon-climate feedbacks (Bouskill et al., 2014; Thomas et al., 2015). In the  
9 Earth System Models (ESMs) joining phase 5 of the Coupled Model Intercomparison Project  
10 (CMIP5), only CLM-CN (Thornton et al., 2007) considered carbon and nitrogen interactions,  
11 although observations indicate nitrogen has significantly limited the terrestrial carbon sink  
12 (Arora et al., 2013). Further, many analyses indicate phosphorus is critical for improving  
13 carbon-climate feedback predictions (Vitousek et al., 2010; Yang et al., 2014; Wieder et al.,  
14 2015), and other nutrients (e.g., sulfur, potassium, molybdenum) may also be important  
15 (Schmidt et al., 2013; Moro et al., 2014). Therefore, we expect that as more processes are  
16 included in future biogeochemical models, more substrates will limit different  
17 biogeochemical processes under different conditions.

18 To develop numerically accurate biogeochemical models, it is important to develop a  
19 robust formulation of the biogeochemical processes, such that modelers can safely add or  
20 remove biogeochemical processes without degrading the numerical solution. This capability  
21 would allow users to focus only on deriving the governing ordinary differential equations  
22 (ODEs) of the biogeochemical processes. If the model uses a standard operator splitting  
23 approach (as is common, e.g., Tang et al., 2013), which solves the transport and chemistry  
24 separately, then the numerical solver could resolve the numerical details, such as maintaining  
25 mass conservation and avoiding nonphysical values, without knowing the details of the  
26 ODEs.

27 Existing terrestrial biogeochemical models often describe substrate limitation as  
28 occurring when the total available substrate cannot satisfy the demand from all consuming  
29 fluxes over a particular time step. For nitrogen limitation, many BGC models impose  
30 substrate limitation when the total potential ecosystem nitrogen demand (i.e., demand in the  
31 absence of nitrogen limitation; Thornton et al., 2007; Wang et al., 2010; Thomas et al., 2015)  
32 exceeds the total available mineral nitrogen, provided nitrogen from nitrogen fixers is

1 supplied in mineral form over that time step and contributions from organic nitrogen are  
 2 assumed negligible (the latter of which could be incorrect, see Chapin et al., 1993). However,  
 3 this conceptual model (which served as the basis for those ad hoc down-regulation  
 4 approaches) is not mathematically consistent with the ODE that governs nitrogen limitation:

$$\frac{dN_{\min}}{dt} = N_{\min,\text{sup}} - N_{\min,\text{up}} \quad (1)$$

5 where  $N_{\min}$  (gN),  $N_{\min,\text{sup}}$  (gN s<sup>-1</sup>), and  $N_{\min,\text{up}}$  (gN s<sup>-1</sup>) represent mineral nitrogen, mineral  
 6 nitrogen supply (e.g., fixation, deposition), and mineral nitrogen uptake, respectively.  
 7 Mathematically, Eq. (1) implies that nitrogen limitation occurs only when the numerical  
 8 approximation to  $N_{\min}(t + \Delta t)$  is negative after accounting for mineral nitrogen supply and  
 9 demand over the numerical time step  $\Delta t$ . Therefore, considering that negative mineral  
 10 nitrogen concentration is unphysical, imposing nitrogen limitation should be mathematically  
 11 interpreted as a means to ensure  $N_{\min}(t + \Delta t) = (N_{\min,\text{sup}} - N_{\min,\text{up}})\Delta t + N_{\min}(t) \geq 0$ , rather than  
 12 imposing the constraint  $N_{\min,\text{up}}\Delta t \leq N_{\min}(t)$  as it is often done in current BGC models. Another  
 13 requirement to ensure correct numerical solutions to the ODEs of the biogeochemical model  
 14 is to maintain mass conservation for different chemical elements involved in the  
 15 biogeochemical processes. The mass conservation could be violated if one uses the popular  
 16 clipping method (e.g. Sandu, 2001) to reset negative solutions or by setting the derivative of  
 17 the negative to-be variable to zero, as is done for some explicit ODE solvers. For example, the  
 18 MATLAB function `odenonnegative`, which is used in the explicit solver ODE45 to avoid  
 19 negative solutions, resets the derivative for a negative to-be variable to zero. In either  
 20 implementation, clipping will artificially introduce new mass into the model and such mass  
 21 will accumulate and grow throughout the model integration, resulting in incorrect model  
 22 predictions (Sandu et al., 2001).

23 In this note, we show that by ensuring mass conservation and non-negative solutions  
 24 to the governing equations of a given biogeochemical model, it is possible to obtain a  
 25 universal solution to the mass limitation for an arbitrary number of substrates. We organize  
 26 the remaining of this paper as follows: section 2 describes the technical details of our method;  
 27 section 3 presents an evaluation of the method based on a CENUTRY-like organic matter  
 28 decomposition model (Parton et al., 1988; Appendix A, Table 1 and Table 2); and section 4

1 summarizes our findings. Note that, even though our evaluation of the approach is based on a  
 2 soil biogeochemical model, the approach is generic and could be applied to any  
 3 biogeochemical models.

## 4 **2 Methods**

5 Our approach makes use of the reaction-based formulation of a biogeochemical model  
 6 (e.g., Reichert et al., 2001; Batstone et al., 2002; Fang et al., 2013). Mathematically, for the  $j$ -  
 7 th reaction, we have



8 where  $v_{i,j}^-$  and  $v_{m,j}^+$  are stoichiometric coefficients for the  $i$ -th reactant  $A_{i,j}$  and  $m$ -th product  
 9  $B_{m,j}$ , respectively. Hereforth we assume the units of all chemical species are consistently  
 10 defined depending on the specific problem.

11 By defining reaction rate  $r_j$  of the  $j$ -th reaction as the consumption rate of the master  
 12 species in Eq. (2), for instance,  $A_{1,j}$ , whose stoichiometric coefficient is one, we calculate the  
 13 temporal variation of any chemical species due to the  $j$ -th reaction as

$$\left( \frac{dx_i}{dt} \right)_j = v_{i,j} r_j \quad (3)$$

14 where  $v_{i,j}$  is the stoichiometric coefficient for chemical  $x_i$  in the  $j$ -th reaction. For reactants,  
 15  $v_{i,j}$  is negative, for products  $v_{i,j}$  is positive, and  $v_{i,j}$  is zero when a chemical species is not  
 16 involved in the reaction.

17 We describe the generic model structure using the Peterson matrix form (e.g. Russell,  
 18 2006) as:

$$\frac{d\mathbf{x}}{dt} = \mathbf{S}\mathbf{r} \quad (4)$$

19 where  $\mathbf{S} = \{v_{i,j}\}$  is the matrix of stoichiometric coefficients and  $\mathbf{x}$  and  $\mathbf{r}$  are vectors of the  
 20 state variables and reaction rates, respectively.

1 We now separate  $\mathbf{S}$  into two parts,  $\mathbf{S}^+$  and  $\mathbf{S}^-$ , which, respectively, contain product  
2 ( $v_{i,j}^+; >0$ ) and reactant ( $v_{i,j}^-; \geq 0$ ) stoichiometric coefficients, such that

$$\frac{d\mathbf{x}}{dt} = (\mathbf{S}^+ - \mathbf{S}^-) \mathbf{r} \quad (5)$$

3 In finding the numerical solution to Eq. (5) over a certain number time step, if some reaction  
4 rates are too high, certain state variables will become negative unless those reaction rates are  
5 reduced.

6 Several approaches (other than clipping) have been proposed to ensure non-negative  
7 and mass conservative solutions to equations such as Eq. (5). For instance, Sandu (2001)  
8 proposed two projection-based approaches to post-correct the negative solution using the null  
9 space of  $\mathbf{S}^T$  (here  $T$  denotes transpose). Although his approaches overcome the barriers that  
10 non-negativity either restricts the order of the method to one or restricts the step size to  
11 impractically small values (Bolley and Crouzeix, 1978), they require matrix inversion, which  
12 may become computationally intensive as the problem size increases or impractical because  
13 not every model formulation allows negative state variables in the intermediate step when a  
14 high order scheme is employed. Broekhuizen et al. (2008) ensured the solution non-negativity  
15 of Eq. (5) by applying a single flux limiter (i.e., a scalar modifier that reduces the reaction  
16 rate) to all reaction rates in the governing equations (aka, the mBBKS scheme). However, as  
17 we will show below, the mBBKS approach will fail for models such as CENTURY-like  
18 organic matter decomposition models (Parton et al., 1988; Appendix A, Table 1) when  
19 multiple substrates are limiting under different conditions. We also note that the occurrence of  
20 negative-solution is not unique to the CENTURY-like model that calculates the reaction rates  
21 using the linear kinetics. Michaelis-Menten kinetics based soil biogeochemical models (e.g.,  
22 Gerber et al., 2010; Bouskill et al., 2012) would similarly suffer from the negative solution  
23 problem when many substrates could limit the reaction rates, and such problem cannot be  
24 easily solved by simply resorting to adaptive time stepping algorithms, therefore the solution  
25 strategy proposed below resolves a common issue for any biogeochemical models.

26 To propose a simple solution to ensure non-negative numerical solutions to Eq. (5), we  
27 restrict our ODE integrator to the first order and apply a vector of flux limiters that are  
28 dependent on the reactant stoichiometry  $\mathbf{S}^-$ , which controls the total substrate demand.  
29 Forcing the flux limiter solution to depend linearly on  $\mathbf{S}^-$  maintains the stoichiometric

- 1 relationship for all reactions and thus mass balance over the time step. Specifically, we
- 2 calculate and apply the flux limiter for each reaction according to the Fortran 90 code:

```

!M1 is number of state variables.
!N1 is number of reactions.
!xt is vector of state variables at current time step.
!xtnew is vector of temporary state variables for next time step.
!q is vector of flux limiters for all reactions.
!dt is time step size.
lneg = .false. !Initialize negative state variable indicator to zero
do m = 1, M1 !Loop over all state variables
  xtnew(m) = xt(m)
  Fp = 0.0      !Initialize production flux accumulator to zero
  Fm = 0.0      !Initialize consumption flux accumulator to zero
do n = 1, N1 !Loop over all reactions
  xtnew(m) = xtnew(m) + (sp(m,n)-sm(m,n))*r(n) * dt
  Fp = Fp + sp(m,n)*r(n)
  Fm = Fm + sm(m,n)*r(n)
enddo
if(xtnew(m) < 0) then !The state variable tends to be negative
  !Calculate the limiting factor
  p(m) = (xt(m) + Fp * dt) / (dt * Fm)
  lneg = .true.
endif
enddo
!Now compute and apply the flux limiter
!when there is any negative state variable
if(lneg)then
  do n = 1, N1
    !minp finds the minimum of p,
    !where the corresponding entry in sm is > 0.
    q(n) = minp(p(1:M1), sm(1:M1,n))
    r(n) = r(n)*q(n)
  enddo
endif

```

3

(6)

1 where the function *minp* is defined in Appendix B. In rare situations, one has to apply the  
2 above flux limiting procedure several times to ensure solution non-negativity, but the  
3 computation is much quicker and simpler than the matrix inversion required in Sandu's  
4 projection methods (2001), and can be paralleled easily. In addition, for a single  
5 biogeochemical reaction, one can verify that our approach is equivalent to Liebig's law of the  
6 minimum as applied to a generic biogeochemical reaction, which can be re-stated for a high-  
7 frequency BGC model to imply that the mean reaction rate during a numerical time step is  
8 controlled by the most limiting substrate. It is also noted that our approach avoids the explicit  
9 formulation of the law of the minimum in calculating the reaction rates, as is often done in  
10 many existing biogeochemical models (e.g. CLM-CNP, Yang et al., 2014), which when  
11 combined with their ad hoc down-regulation method leads to double counting of substrate  
12 limitation. We further note that traditional ODE solvers only require the temporal derivatives  
13 of the state variables from the biogeochemical model. To apply our approach in an ODE  
14 solver, however, requires the biogeochemical model to return the reaction rates, and the  
15 positive and negative parts of the stoichiometry matrix  $\mathbf{S}$ .

16 In our evaluation, we compared the performance of our new approach to the mBBKS  
17 approach (Broekhuizen et al., 2008) and two ad hoc down-regulation formulations derived  
18 based on the nitrogen limitation scheme in CLM4.5 (CLM-1 and CLM-2). During a particular  
19 numerical time step, CLM-1 assumes complete independence between nutrient mobilizers and  
20 immobilizers, while CLM-2 assumes complete coupling between nutrient mobilizers and  
21 immobilizers (see details in Appendix C). We analyzed scenarios where the organic matter  
22 decomposition is (1) not nutrient limited (Case-1) and (2) nitrogen and phosphorus limited  
23 (Case-2 and Case-3); the latter situations are where a direct solution (without flux limitation)  
24 to Eq. (5) may produce negative values, and clipping will be triggered in methods like  
25 ODE45. We evaluated the difference between simulations for predicted mineral nitrogen  
26  $N_{\min}$ , mineral phosphorus  $P_{\min}$ , total litter carbon, and total soil organic carbon. We note that  
27 all litter-decomposing reactions in the CENTURY-like model immobilize nitrogen and  
28 phosphorus; therefore, when SOM pools (SOM1, SOM2, and SOM3) are nil, a non-zero pool  
29 size must be assigned to both soil mineral nitrogen and mineral phosphorus to initialize litter  
30 decomposition (such as for Case-2 and Case-3; Table 3). We describe the initial conditions  
31 for our model runs in Table 3: Case-1 represents nutrient non-limiting decomposition; Case-2  
32 represents nutrient limited decomposition with zero initial SOM pools; and Case-3 represents

1 nutrient limited decomposition with non-zero initial SOM pools. We also conducted Case-4 to  
2 reveal that the conceptual ambiguity in those ad hoc down-regulation approaches will result in  
3 model uncertainties that could be avoided in our new approach. Case-4 differs from Case-3  
4 with the addition of a first order loss term for both mineral nitrogen and mineral phosphorus  
5 and a continuous litter input for the first 1500 days of the 3000-day integration (Table 3).  
6 These mineral nutrient loss terms are used to mimic nutrient demand from other processes as  
7 would occur in a BGC model in ESMs. Because there are no analytical solutions to the  
8 CENTURY-like model, Case-1 also serves as a benchmark for our implementation of  
9 different numerical solution strategies with respect to ODE45, which has been very well  
10 tested by the MATLAB developers for problems that have no non-negativity constraint on  
11 their solutions. We coded all our methods as MATLAB scripts and all ODE integrations are  
12 carried out using an adaptive time stepping strategy (Appendix D) with a relative error  $10^{-4}$ .

### 13 **3 Results and discussions**

#### 14 **3.1 Method evaluation**

15 In simulations for the decomposition of nutrient-sufficient organic matter (i.e., no  
16 nutrient limitation; Figure 1), we found our new approach (Fortran 90 code (6)), mBBKS,  
17 CLM-1 and CLM-2 predicted almost identical time series for the various pools when  
18 compared to that from ODE45, indicating the four approaches are implemented correctly as  
19 benchmarked with ODE45.

20 However, for Case-2 (Figure 2, Table 3) where both nitrogen and phosphorus are  
21 insufficient to support decomposition (because it has even less mineral nutrients available  
22 than the nutrient-limited Case-3), mBBKS failed to predict any organic matter decomposition  
23 after the mineral nutrients are consumed in the first few time steps and predicted that all  
24 decomposition pathways were phosphorus limited thereafter (cyan line in Figure 2b). In  
25 contrast, the two ad hoc down-regulation approaches, CLM-1 and CLM-2, and our new  
26 approach all predicted visually identical time series of the different pools and correctly  
27 indicated that the decomposition of SOM pools (SOM1, SOM2, and SOM3 as derived from  
28 litter decomposition using the non-zero initial pools of mineral nutrients) released small  
29 amounts of mineral nutrients to support further litter organic matter decomposition (as can be  
30 inferred from Table 2, which shows that the decomposition of litter pools are all nutrient  
31 limited by stoichiometry). This response was missed by mBBKS, because it applied a single



1 flux limiter to all decomposition pathways, preventing the release of nutrients from  
2 mineralizing pathways to support further decomposition. Besides mBBKS, ODE45 also failed  
3 to predict meaningful decomposition dynamics, because by clipping the derivatives of the  
4 negative to-be state variables to zero it introduced artificial mass into some state variables  
5 during the integration. Specifically, ODE45 predicted the final total nitrogen and total  
6 phosphorus (including both mineral and organic pools) as 0.8066 gN and 0.0511 gP, as  
7 compared to the correct values 0.4445 gN and 0.0175 gP, whereas CLM-1, CLM-2, and our  
8 new approach all conserved carbon, nitrogen, and phosphorus mass within the machine round  
9 off error.

10 For Case-3 (Figure 3, Table 3), where non-zero SOM pools were introduced to release  
11 nitrogen and phosphorus to support litter decomposition, mBBKS again predicted no visible  
12 decomposition because of its use of a single flux limiter to all fluxes (based on the nutrient  
13 limited litter decomposition), even though the SOM decomposition should not be nutrient  
14 limited. ODE45 also failed for Case-3, and predicted very different time series for the various  
15 pools as compared to CLM-1, CLM-2, and our new approach. By day 300, ODE45 predicted  
16 the total nitrogen and total phosphorus (including both mineral and organic pools) as 3.2164  
17 gN and 0.2338 gP as compared to their correct values 3.1046 gN and 0.2273 gP, respectively.

### 18 **3.2 The conceptual ambiguity of implementing nutrient limitation**

19 Although we found little differences between our new method, CLM-1, and CLM-2 in  
20 predicted decomposition dynamics for the three simple cases analyzed (Figure 1, Figure 2,  
21 and Figure 3), we acknowledge that differences should be expected when applying our new  
22 method and the two ad hoc down-regulation approaches CLM-1 and CLM-2 for modeling  
23 ecosystem dynamics because they define nutrient limitation differently (Fortran 90 code (6)  
24 and Appendix C; Figure 4 and Figure 5). As one would infer from Eq. (1), mathematically,  
25 nutrient limitation occurs only when the state variable that represents a certain nutrient  
26 becomes negative if the reaction rates are not limited during a given numerical integration  
27 time step. However, (as we implemented in the Fortran 90 code (6)), this situation is  
28 equivalent to assuming that a released mineral nutrient from the mobilizers will be  
29 instantaneously available to all immobilizers that demand this nutrient. Although the existing  
30 mineral nutrient pool and the newly released mineral nutrients will be tapped proportionally  
31 by the immobilizers, this assumption may still be too strong if a given grid cell covers a too  
32 large spatial domain to support this assumption of homogeneity (Manzoni et al., 2008). CLM-

1 1 and CLM-2 represent the two extremes of this coupling between mineral nutrient mobilizers  
2 (who release nutrients) and mineral nutrient immobilizers (who take up nutrients) in that  
3 CLM-1 assumes the mobilizers and immobilizers are completely independent during the  
4 calculation of mineral nutrient uptake, whereas CLM-2 assumes the nutrients released by  
5 mobilizers are first assimilated by immobilizers and if there is additional demand, the  
6 remaining comes from the mineral nutrient pool (thus CLM-2 adopts an even stronger  
7 mobilizer and immobilizer coupling than our new approach). Indeed the difference between  
8 CLM-1 and CLM-2 is already discernible for Case-3 (Figure 4), and when the decomposition  
9 model is coupled with other nutrient consumers in an ecosystem model, one would potentially  
10 find very different predictions of carbon dynamics (see Case-4 in Figure 5 as a model with  
11 slightly more complicated dynamics than Case-3). With slight modification, our new  
12 approach will allow a consistent representation of the coupling between mobilizers and  
13 immobilizers, including both the CLM-1 and CLM-2 assumptions regarding nutrient  
14 competition. This approach will provide a new tool to analyze prediction uncertainty from the  
15 ambiguity of defining the coupling strength between nutrient mobilizers and immobilizers.

16 Another advantage of our new approach, compared to the ad hoc down-regulation  
17 approaches (e.g. CLM-1 and CLM-2 discussed above), is that it can handle limitation from an  
18 arbitrary number of substrates, as long as the matrix of stoichiometric coefficients is  
19 formulated. In principle, any biogeochemical reaction can be formulated into reaction form  
20 (e.g. Fang et al., 2013), thus our approach will avoid the ordering problem often encountered  
21 in those ad hoc approaches. In this context, the “ordering problem” refers to the situation that  
22 different answers are calculated depending on the order of nutrient limitation (e.g., resolving  
23 nitrogen limitation first, and then phosphorus limitation). For example, following the nutrient  
24 limitation definition in CLM-1, when nitrogen and phosphorus limitation are treated  
25 sequentially, the predicted decomposition dynamics differ significantly from when the  
26 opposite order is applied (CLM-1NP vs CLM-1PN in Figure 5). The implementation where  
27 nitrogen limitation occurs before phosphorus limitation (CLM-1NP, cyan circles in Figure 5)  
28 predicted stronger litter decomposition than when phosphorus limitation is applied before  
29 nitrogen limitation (CLM-1PN, black dots that overlap with blue line in Figure 5c).  
30 Analogously, in the current CLM4.5 soil biogeochemical formulation (Oleson et al., 2013),  
31 organic matter decomposition and methane oxidation are often limited by oxygen (Riley et al.  
32 2011), and nitrogen limitation is imposed after accounting for oxygen limitation, which  
33 potentially would result in different predictions were nitrogen limitation imposed before

1 oxygen limitation. This ordering issue can become more severe if more nutrients (e.g.,  
2 phosphorus, sulfur) are to be introduced in future biogeochemical formulations, and our  
3 approach relieves numerical inaccuracies associated with this ordering ambiguity.

#### 4 **4 Conclusions**

5 In this study, we proposed a generic law of the minimum based flux limiter to handle  
6 substrate limitation in biogeochemical models. Evaluations indicate our method could  
7 produce as accurate results as those ad hoc down-regulation approaches implemented in  
8 existing biogeochemical models for simple decomposition dynamics that only include  
9 decomposers. Additionally, our new approach provides a way to resolve some conceptual  
10 ambiguities implied in those ad hoc down-regulation approaches. We expect our new  
11 approach to help the community to develop more robust and easier to maintain  
12 biogeochemical codes to better predict carbon-climate feedbacks.

13

#### 14 **Appendix A: Governing equations for the CENTURY-like decomposition model**

15 The soil biogeochemical model used in this study adopts the form from the  
16 CENTURY-model, which uses a turnover pool based formulation of soil organic matter  
17 decomposition (Parton et al., 1988). The model includes three pools of litter, one pool of  
18 coarse wood debris (CWD) and three pools of SOM. The model calculates the non-nutrient  
19 limited decomposition of a given organic matter pool  $X$  using the first order kinetics,  
20  $r_x = -k_x X$ , where  $k_x$  ( $\text{yr}^{-1}$ ) is the decay parameter (and is equal to the reciprocal of the  
21 turnover time). In most applications  $k_x$  is a function of temperature and moisture, however, it  
22 is taken as constant in this study. Following CLM4.5 BGC (Oleson et al., 2013), the turnover  
23 times are 0.066 yr, 0.25 yr and 0.25 yr, respectively, for the three litter pools  $LIT1$ ,  $LIT2$  and  
24  $LIT3$ . For the three SOM pools, the turnover times are 0.17 yr, 6.1 yr and 270 yr, respectively,  
25 for  $SOM1$ ,  $SOM2$  and  $SOM3$ .  $CWD$  has a turnover time of 4.1 yr. The decomposed organic  
26 matter released from linear decay is redistributed through the seven organic matter pools  
27 according to the reaction stoichiometry in **Table 1**. Mathematically, the CENTURY-like  
28 decomposition model is summarized with the following governing equations

$$29 \quad \frac{dLIT1}{dt} = -r_{LIT1} + I_{LIT1} \quad (\text{A-1})$$

$$1 \quad \frac{dLIT2}{dt} = -r_{LIT2} + 0.76r_{cwd} + I_{LIT2} \quad (A-2)$$

$$2 \quad \frac{dLIT3}{dt} = -r_{LIT3} + 0.24r_{cwd} + I_{LIT3} \quad (A-3)$$

$$3 \quad \frac{dCWD}{dt} = -r_{CWD} + I_{CWD} \quad (A-4)$$

$$4 \quad \frac{dSOM1}{dt} = -r_{SOM1} + 0.45r_{LIT1} + 0.5r_{LIT2} + 0.42r_{SOM2} + 0.45r_{SOM3} + I_{SOM1} \quad (A-5)$$

$$5 \quad \frac{dSOM2}{dt} = -r_{SOM2} + 0.5r_{LIT3} + f_1r_{SOM1} + I_{SOM2} \quad (A-6)$$

$$6 \quad \frac{dSOM3}{dt} = -r_{SOM3} + 0.03r_{SOM2} + f_2r_{SOM1} + I_{SOM3} \quad (A-7)$$

$$7 \quad \begin{aligned} \frac{dN_{\min}}{dt} = & \left( \frac{1}{CN_{LIT1}} - \frac{0.45}{CN_{SOM1}} \right) r_{LIT1} + \left( \frac{1}{CN_{LIT2}} - \frac{0.5}{CN_{SOM1}} \right) r_{LIT2} + \left( \frac{1}{CN_{LIT3}} - \frac{0.5}{CN_{SOM2}} \right) r_{LIT3} \\ & + \left( \frac{1}{CN_{CWD}} - \frac{0.76}{CN_{LIT2}} - \frac{0.24}{CN_{LIT3}} \right) r_{CWD} + \left( \frac{1}{CN_{SOM1}} - \frac{f_1}{CN_{SOM2}} - \frac{f_2}{CN_{SOM3}} \right) r_{SOM1} \\ & + \left( \frac{1}{CN_{SOM2}} - \frac{0.42}{CN_{SOM2}} - \frac{0.03}{CN_{SOM3}} \right) r_{SOM2} + \left( \frac{1}{CN_{SOM3}} - \frac{0.45}{CN_{SOM1}} \right) r_{SOM3} - q_{N_{\min}} N_{\min} \end{aligned} \quad (A-8)$$

$$8 \quad \begin{aligned} \frac{dP_{\min}}{dt} = & \left( \frac{1}{CP_{LIT1}} - \frac{0.45}{CP_{SOM1}} \right) r_{LIT1} + \left( \frac{1}{CP_{LIT2}} - \frac{0.5}{CP_{SOM1}} \right) r_{LIT2} + \left( \frac{1}{CP_{LIT3}} - \frac{0.5}{CP_{SOM2}} \right) r_{LIT3} \\ & + \left( \frac{1}{CP_{CWD}} - \frac{0.76}{CP_{LIT2}} - \frac{0.24}{CP_{LIT3}} \right) r_{CWD} + \left( \frac{1}{CP_{SOM1}} - \frac{f_1}{CP_{SOM2}} - \frac{f_2}{CP_{SOM3}} \right) r_{SOM1} \\ & + \left( \frac{1}{CP_{SOM2}} - \frac{0.42}{CP_{SOM2}} - \frac{0.03}{CP_{SOM3}} \right) r_{SOM2} + \left( \frac{1}{CP_{SOM3}} - \frac{0.45}{CP_{SOM1}} \right) r_{SOM3} - q_{P_{\min}} P_{\min} \end{aligned} \quad (A-9)$$

9 where  $q_{N_{\min}}$  ( $s^{-1}$ ) and  $q_{P_{\min}}$  ( $s^{-1}$ ) are, respectively, the loss rates for mineral nitrogen and  
10 mineral phosphorus;  $I_{(X)}$  ( $gC s^{-1}$ ) designates the input rate for organic matter  $X$ ; all other  
11 symbols are explained in Table 1.

## 12 **Appendix B: Pseudo code for *minp***

13 For two vectors  $x$  and  $y$  of size  $n$ ,  $p = minp(x, y)$  (assuming  $p \leq 1$ ) is calculated as

```

1         p = 1
2         do i = 1, n
3             if (y(i) > 0) then
4                 p = min(x(i), p)
5             endif
6         enddo
7     
```

(B1)

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### 3 **Appendix C: Two ad hoc down-regulation formulations of nutrient limitation**

4 The first ad hoc down-regulation approach (CLM-1) follows the implementation of  
5 nitrogen down regulation in CLM4.5 (Oleson et al., 2013), where all nitrogen immobilization  
6 fluxes  $N_{immob}$  within the time step  $\Delta t$  are summed and compared to available nitrogen ( $N_{min}$ ).

7 The flux limiter from mineral nitrogen is:

$$8 \quad \gamma_N = \min\left(\frac{N_{min}}{N_{immob} \Delta t}, 1\right) \quad (C1)$$

9 Similarly for mineral phosphorus:

$$10 \quad \gamma_P = \min\left(\frac{P_{min}}{P_{immob} \Delta t}, 1\right) \quad (C2)$$

11 Then for reactions (Table 1) that are only nitrogen limited, we multiply their reaction rates  
12 with  $\gamma_N$ , for reactions that are only phosphorus limited, we multiply their reaction rates with  
13  $\gamma_P$ , and for reactions that are both nitrogen and phosphorus limited, we multiply their  
14 reaction rates with  $\min(\gamma_N, \gamma_P)$ .

15 The second ad hoc down-regulation approach (CLM-2) is similar to the first one,  
16 except that it first subtracts the mobilizing fluxes from the immobilizing fluxes, such that

$$17 \quad \gamma_N = \min\left(\max\left(\frac{N_{min}}{(N_{immob} - N_{mob}) \Delta t}, 0\right), 1\right) \quad (C3)$$

$$18 \quad \gamma_P = \min\left(\max\left(\frac{P_{min}}{(P_{immob} - P_{mob}) \Delta t}, 0\right), 1\right) \quad (C4)$$

1 Therefore, it can be inferred that (under similar conditions) nutrient limitation would  
2 (theoretically) occur more frequently in the first (CLM-1) than in the second (CLM-2) ad hoc  
3 down-regulation approach.

4 One can further define

$$5 \quad \gamma_N = \min \left( \max \left( \frac{N_{\min} + N_{mob} \Delta t}{N_{immob} \Delta t}, 0 \right), 1 \right) \quad (C5)$$

$$6 \quad \gamma_P = \min \left( \max \left( \frac{P_{\min} + P_{mob} \Delta t}{P_{immob} \Delta t}, 0 \right), 1 \right) \quad (C6)$$

7 which can be verified to be identical to that calculated in the pseudo code (6) in the main text  
8 when only one nutrient is limiting. Because this last definition does not change the conclusion  
9 of our study, we only analyzed the first two ad hoc down-regulation approaches (CLM-1 and  
10 CLM-2) in our comparison.

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## 1 Appendix D: MATLAB pseudo code for the adaptive time stepping integration

2 For a certain time step

*hscal* is the time step scaling factor.

$x_{old}$  is the state variable at current time step.

$t$  is current time, and  $\Delta t$  is time step.

$$x_{new} = ode(x_{old}, \Delta t, t)$$

$$x_{new}^* = ode(x_{old}, \Delta t / 2, t)$$

$$x_{new}^* = ode(x_{new}^*, \Delta t / 2, t + \Delta t / 2)$$

%Find the maximum relative error across all state variables.

$$rerr = \max_i \left\{ \frac{|x_{new}^*(i) - x_{new}(i)|}{|x_{new}^*(i)| + eps} \right\}$$

3 if  $rerr < 0.5 * rerr_{tol}$  (D1)

*hscal* = 2; *accept* = 1

elseif  $rerr < rerr_{tol}$

*hscal* = 1; *accept* = 1

elseif  $rerr < 2 * rerr_{tol}$

*hscal* = 0.5; *accept* = 1

else

*hscal* = 0.5; *accept* = 0

end

$$x_{old} = (1 - accept) x_{old} + accept * x_{new}^*$$

$$t = t + accept * \Delta t; \Delta t = \max(\Delta t * hscal, \Delta t_{min})$$

loop

4 where  $ode(\cdot)$  represents the numerical solver of the ODEs, *eps* represents the floating-point

5 relative accuracy in MATLAB, the relative error tolerance  $rerr_{tol}$  is  $10^{-4}$  in all simulations in

6 this study. Other symbols in (D1) should be self-explanatory.

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1 **Author contributions**

2 JYT developed the theory, conducted the analyses, and wrote the paper. WJR discussed the  
3 analyses and wrote the paper.

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11



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1 Table 1. A list of biogeochemical reactions as represented in the century-like organic matter  
 2 decomposition model (Appendix A). The decomposition is calculated as in Parton et al.  
 3 (1988). Here we use  $CN$  to represent carbon to nitrogen ratio, and  $CP$  to represent carbon to  
 4 phosphorus ration. The subscript “min” designates mineral pool for a nutrient, such as  
 5 nitrogen ( $N$ ) and phosphorus ( $P$ ). The three litter pools are  $LIT1$ ,  $LIT2$  and  $LIT3$ . The three  
 6 SOM pools are  $SOM1$ ,  $SOM2$  and  $SOM3$ .  $CWD$  is the pool of coarse wood debris.

---

ID Reactions

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1 
$$LIT1 \rightarrow 0.45SOM1 + 0.55CO_2 + \left( \frac{1}{CN_{LIT1}} - \frac{0.45}{CN_{SOM1}} \right) N_{\min} + \left( \frac{1}{CP_{LIT1}} - \frac{0.45}{CP_{SOM1}} \right) P_{\min}$$

2 
$$LIT2 \rightarrow 0.5SOM1 + 0.5CO_2 + \left( \frac{1}{CN_{LIT2}} - \frac{0.5}{CN_{SOM1}} \right) N_{\min} + \left( \frac{1}{CP_{LIT2}} - \frac{0.5}{CP_{SOM1}} \right) P_{\min}$$

3 
$$LIT3 \rightarrow 0.5SOM2 + 0.5CO_2 + \left( \frac{1}{CN_{LIT3}} - \frac{0.5}{CN_{SOM2}} \right) N_{\min} + \left( \frac{1}{CP_{LIT3}} - \frac{0.5}{CP_{SOM2}} \right) P_{\min}$$

4 
$$CWD \rightarrow 0.76LIT2 + 0.24LIT3 + \left( \frac{1}{CN_{CWD}} - \frac{0.76}{CN_{LIT2}} - \frac{0.24}{CN_{LIT3}} \right) N_{\min} + \left( \frac{1}{CP_{CWD}} - \frac{0.76}{CP_{LIT2}} - \frac{0.24}{CP_{LIT3}} \right) P_{\min}$$

5\* 
$$SOM1 \rightarrow f_1SOM2 + f_2SOM3 + (1 - f_1 - f_2)CO_2$$
  

$$+ \left( \frac{1}{CN_{SOM1}} - \frac{f_1}{CN_{SOM2}} - \frac{f_2}{CN_{SOM3}} \right) N_{\min} + \left( \frac{1}{CP_{SOM1}} - \frac{f_1}{CP_{SOM2}} - \frac{f_2}{CP_{SOM3}} \right) P_{\min}$$

6 
$$SOM2 \rightarrow 0.42SOM1 + 0.03SOM3 + 0.55CO_2$$
  

$$+ \left( \frac{1}{CN_{SOM2}} - \frac{0.42}{CN_{SOM1}} - \frac{0.03}{CN_{SOM3}} \right) N_{\min} + \left( \frac{1}{CP_{SOM2}} - \frac{0.42}{CP_{SOM1}} - \frac{0.03}{CP_{SOM3}} \right) P_{\min}$$

7 
$$SOM3 \rightarrow 0.45SOM1 + 0.55CO_2 + \left( \frac{1}{CN_{SOM3}} - \frac{0.45}{CN_{SOM1}} \right) N_{\min} + \left( \frac{1}{CP_{SOM3}} - \frac{0.45}{CP_{SOM1}} \right) P_{\min}$$

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7 \* In this study, we set  $f_1 = 0.6235$  and  $f_2 = 0.0025$ .

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1 Table 2. Parameter values used in this study. These values are based on syntheses from Parton  
 2 et al. (1988), Yang et al. (2014) and Zhu et al. (2015).

Parameters	Values
$(CN_{LIT1}, CP_{LIT1})$	(90, 1600)
$(CN_{LIT2}, CP_{LIT2})$	(90, 2000)
$(CN_{LIT3}, CP_{LIT3})$	(90, 2500)
$(CN_{CWD}, CP_{CWD})$	(90, 4500)
$(CN_{SOM1}, CP_{SOM1})$	(13, 110)
$(CN_{SOM2}, CP_{SOM2})$	(16, 320)
$(CN_{SOM3}, CP_{SOM3})$	(7.9, 114)

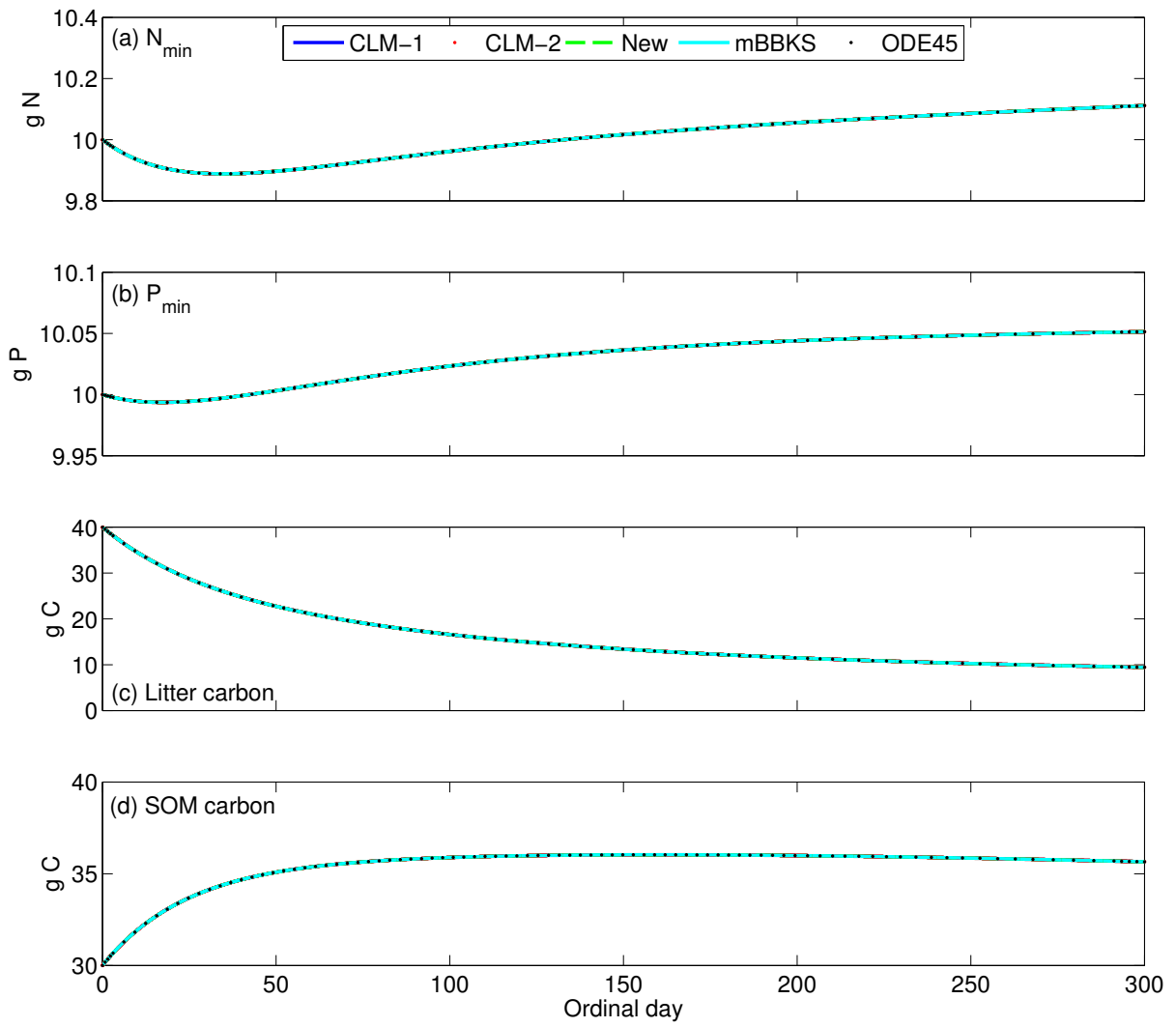
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1 Table 3. Initial conditions and integration length (#days) for the analyzed model simulations.

Variables	Case-1	Case-2	Case-3	Case-4*
<i>LIT1</i>	10 gC	10 gC	10 gC	10 gC
<i>LIT2</i>	10 gC	10 gC	10 gC	10 gC
<i>LIT3</i>	10 gC	10 gC	10 gC	10 gC
<i>CWD</i>	10 gC	10 gC	10 gC	10 gC
<i>SOM1</i>	10 gC	0 gC	10 gC	10 gC
<i>SOM2</i>	10 gC	0 gC	10 gC	10 gC
<i>SOM3</i>	10 gC	0 gC	10 gC	10 gC
$N_{\min}$	10 g N	$10^{-4}$ gN	$10^{-4}$ gN	$10^{-3}$ gN
$P_{\min}$	10 g P	$10^{-8}$ gP	$10^{-8}$ gP	$10^{-7}$ gP
$q_{N\min}$	$0 \text{ s}^{-1}$	$0 \text{ s}^{-1}$	$0 \text{ s}^{-1}$	$10^{-6} \text{ s}^{-1}$
$q_{P\min}$	$0 \text{ s}^{-1}$	$0 \text{ s}^{-1}$	$0 \text{ s}^{-1}$	$10^{-6} \text{ s}^{-1}$
#days	300 days	300 days	300 days	3000 days

2 \*For Case-4, there were rates of  $0.04 \text{ gC day}^{-1}$ ,  $0.04 \text{ gC day}^{-1}$  and  $0.02 \text{ gC day}^{-1}$  input for

3 *LIT1*, *LIT2* and *LIT3* in the first 1500 days.

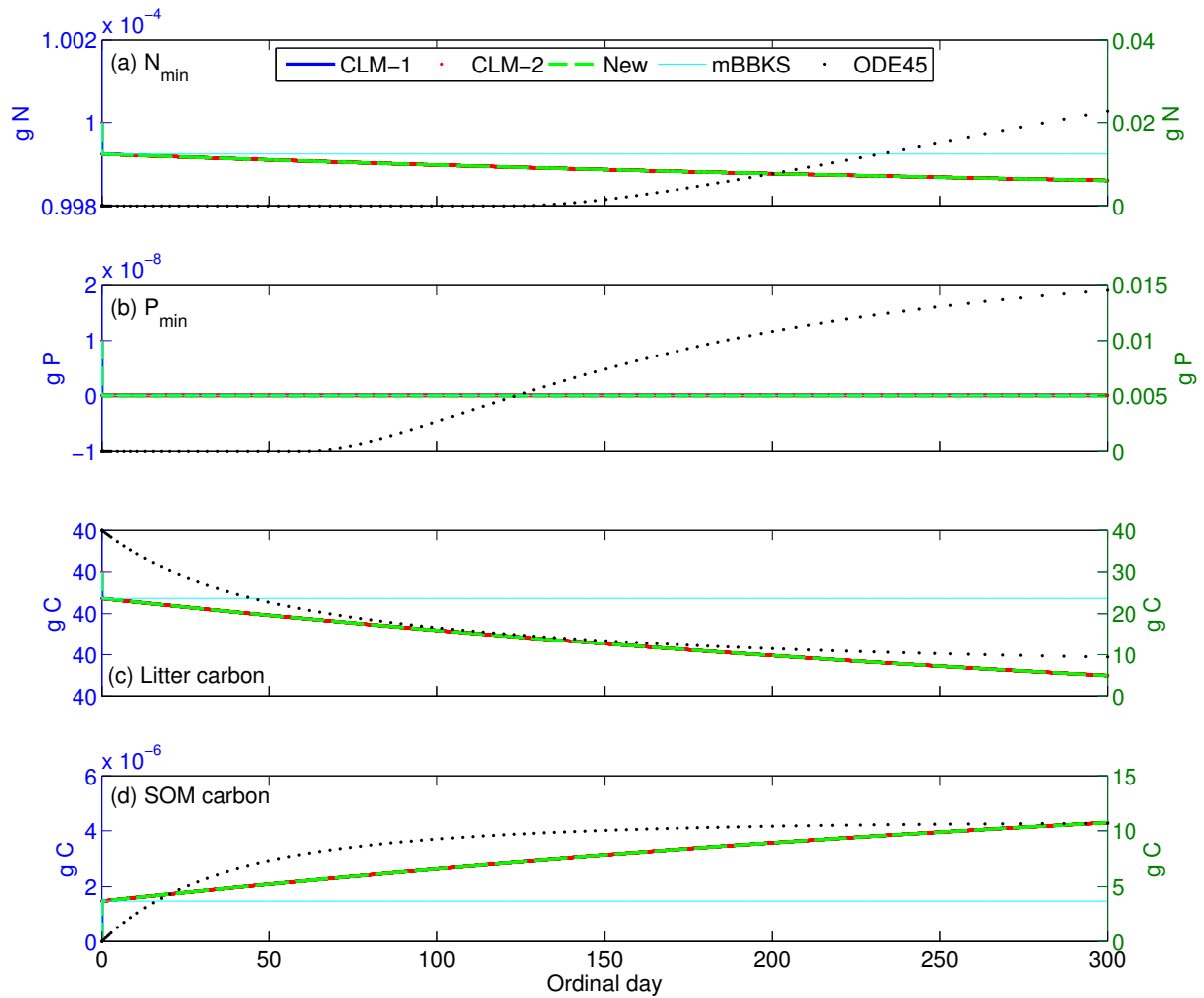


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2 Figure 1 Simulated decomposition dynamics for Case-1 in Table 3. In all panels, all results  
 3 overlap each other.

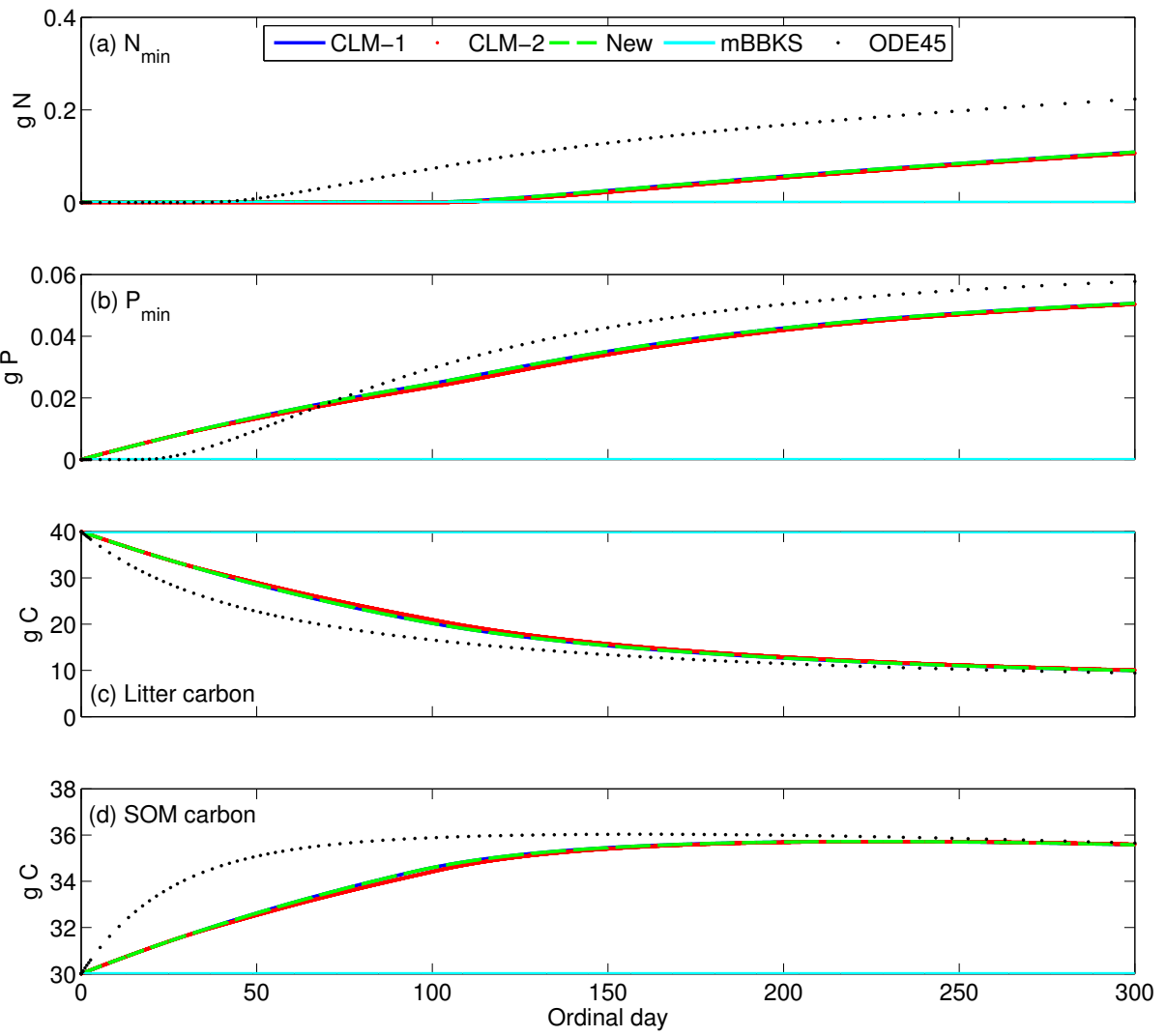
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 2 Figure 2 Simulated decomposition dynamics for Case-2 in Table 3. Note that the ODE45  
 3 scheme (shown on the right hand y-axes) predicted wrong results that are of much large  
 4 magnitude than the other methods.





1

2 Figure 3 Simulated decomposition dynamics for Case-3 in Table 3. In all panels, the result  
 3 from CLM-1 overlaps with that from our new method.

4

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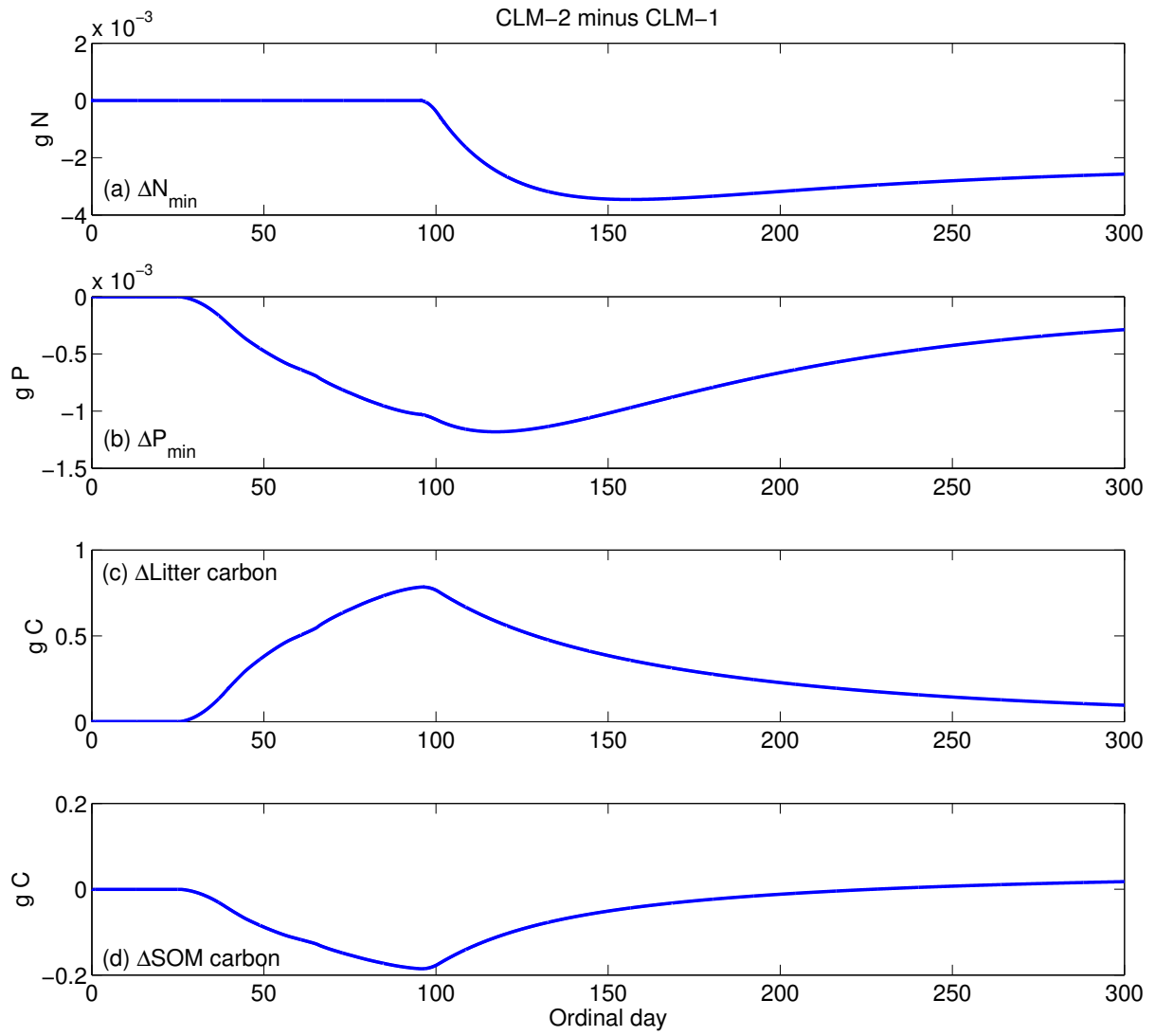
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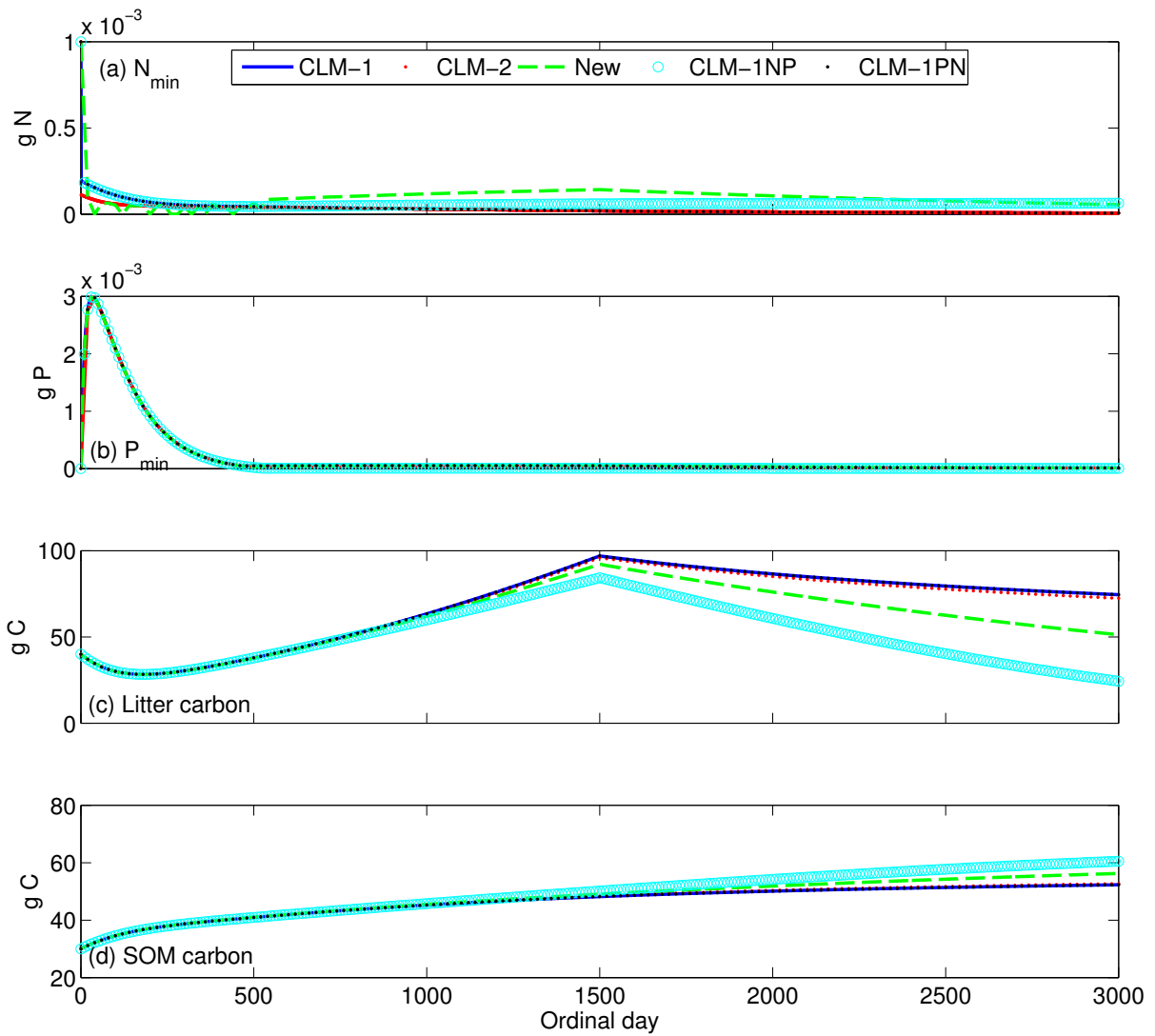
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2

3 Figure 4 Differences between simulated decomposition dynamics by CLM-1 and CLM-2 for  
4 Case-3.

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1  
 2 Figure 5. Simulated decomposition dynamics for Case-4 in Table 3. CLM-1NP performs  
 3 nitrogen down-regulation before phosphorus down-regulation, whereas CLM-1PN reverses  
 4 the order. Similarly to CLM-1 (Appendix C), both CLM-1NP and CLM-1PN assume the  
 5 nutrient mobilizer and immobilizer are independent within a numerical time step. In all  
 6 panels, CLM-1PN predictions overlap with CLM-1 predictions.

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