

Spring bloom onset in the Nordic Seas

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Abstract

The North Atlantic spring bloom is a massive annual growth event of marine phytoplankton, tiny free-floating algae that form the base of the ocean's food web and generates a large fraction of the global primary production of organic matter. The conditions that trigger the onset of the spring bloom in the Nordic Seas, at the northern edge of the North Atlantic, are studied using in-situ data from six bio-optical floats released above the Arctic Circle. It is often assumed that spring blooms start as soon as phytoplankton cells daily irradiance is sufficiently abundant that division rates exceed losses. The bio-optical float data instead suggest the tantalizing hypothesis that Nordic Seas blooms start when the photoperiod, the number of daily light hours experienced by phytoplankton, exceeds a critical value, independently of division rates. The photoperiod trigger may have developed at high latitudes where photosynthesis is impossible during polar nights and phytoplankton enters in a dormant stage in winter. While the first accumulation of biomass recorded by the bio-optical floats is consistent with the photoperiod hypothesis, it is possible that some biomass accumulation started before the critical photoperiod but at levels too

1 low to be detected by the fluorometers. More precise observations are needed to test the
2 photoperiod hypothesis.

3

4 **1. Introduction**

5 The Nordic Seas (Norwegian, Greenland, and Iceland Seas) experience some of the largest
6 carbon dioxide (CO₂) fluxes anywhere in the ocean resulting in a carbon uptake of 20-85 g C m⁻²
7 yr⁻¹ (Takahashi et al., 2002). In the Greenland Sea it has been estimated that one third of the
8 annual carbon uptake is driven by export production from biological activity, while the rest is the
9 result of CO₂ dissolution in cold waters that sink into the abyss (Skjelvan et al., 2005). Most of
10 the biological production occurs during ephemeral spring blooms lasting only a few weeks. A
11 good understanding of the conditions that trigger these blooms is a prerequisite to quantify and
12 model the carbon budget of the Nordic Seas.

13 In winter, phytoplankton populations decay because losses from respiration, grazing, and
14 viral infections exceed growth. Blooms develop in spring when division rates increase and/or
15 loss rates decrease. Phytoplankton division rates increase with abundance of nutrients and light.
16 At high latitudes, nutrients are plentiful in winter, because the strong upper ocean mixing
17 generated by winds and cooling brings deep nutrients to the surface. Thus light appears to be the
18 limiting factor for winter growth in the sub-polar gyres, as argued in the seminal works of Gran
19 and Braarud (1935), Riley (1946) and Sverdrup (1953). However, it was soon noted that the
20 surface light levels in winter are sufficient for photosynthesis growth even at these latitudes.
21 Thus, the light limitation has been attributed to mixing that keeps phytoplankton cells away from

1 the well-lit surface for long periods of time. Sverdrup (1953) formalized this view and suggested
2 that blooms develop when mixing weakens at the end of winter and phytoplankton spend more
3 time close to the surface to receive enough light to grow in spite of losses. More recently,
4 Behrenfeld and colleagues (2013, 2014) pointed out that blooms can alternatively develop when
5 a disturbance in the predator-prey balance reduces loss rates below division rates. The
6 disturbance may be initiated by winter mixing that dilutes both phytoplankton and herbivores
7 reducing their encounter rate and hence the grazing rates (Behrenfeld, 2010). It may also be
8 triggered by an improvement in growth conditions, such light exposure or nutrient availability
9 that causes the division rates to accelerate and to outcompete the grazing rates.

10 These ideas dominate thinking about bloom dynamics, but they may not be as relevant to
11 understand blooms in the Nordic Seas. At these extreme latitudes, insolation drops dramatically
12 in winter. North of the Arctic Circle, no light is received at the ocean surface during polar nights.
13 Phytoplankton growth is simply impossible for days to weeks, depending on the latitude,
14 regardless of mixing levels. It is therefore natural to ask how phytoplankton populations survive
15 such harsh conditions and what triggers their resurgence in spring.

16 In this work, we study the development of blooms in the Nordic Seas using in-situ profiles of
17 phytoplankton from six bio-optical floats released north of the Arctic Circle. The floats were
18 instrumented with miniaturized bio-optical sensors, which measure chlorophyll concentrations in
19 the upper kilometer of the ocean for one to two years. The data suggest that at these high
20 latitudes, one of two possible scenarios explains the onset of the Nordic Seas blooms observed
21 by the floats: the critical photoperiod hypothesis or the critical depth hypothesis.

22 The paper is organized as follows. We introduce the datasets used in the study in Section 2.
23 Section 3 provides a preliminary analysis of the data with the conclusion that two possible

1 interpretations can explain the onset of the Nordic Seas blooms. In Section 4, we develop the
2 theoretical framework to test the two hypotheses. This framework is then used in Section 5 to
3 interpret the data. Finally, Section 6 summarizes and discusses the results.

4 5 **2. Data**

6 **a. Floats deployed north of the Arctic Circle**

7 Our results are based on measurements collected with six bio-optical profiling floats deployed in
8 the Nordic Seas, by the Institute of Marine Research in Norway (see Figure 1 and Table 1).
9 Three floats were deployed in 2010 (IMR1, IMR2 and IMR3) and three floats were deployed in
10 2014 (IMR4, IMR5, and IMR6). The float data were downloaded from the Coriolis data center
11 (<http://www.coriolis.eu.org/>). The three floats deployed in 2010 were in the water for two years
12 and returned observations of six spring blooms. The three floats deployed in 2014 are still
13 operating and sampled the 2014-2015 spring bloom.

14 We consider measurements made by the floats IMR1, IMR2 and IMR3 from September
15 2010 to June 2011 and from September 2011 to June 2012, time periods long enough to capture
16 the onset of the spring blooms—the float IMR3 was deployed in November 2010 and hence the
17 analysis starts in November of that year. Measurements from floats IMR4, IMR5 and IMR6 span
18 the interval from September 2014 to June 2015.

19 **b. Float deployed South of the Arctic Circle**

20 Two bio-optical floats (IMR7 and IMR8), deployed in November 2013 and July 2014
21 respectively, observed a spring bloom south of the Arctic Circle. These floats are used to

1 compare blooms north and south of Arctic Circle and better illustrate the effect of complete
2 darkness on the phytoplankton dynamics. The IMR7 and IMR8 float data were also downloaded
3 from the Coriolis data center.

4 **c. Floats instrumentation and calibration**

5 All floats were APEX float profilers, equipped with a WET Labs ECO FLNTU
6 comprising a chlorophyll fluorometer, and a backscattering sensor at 700 nm. The IMR1-3 and
7 IMR7 floats included a SEABIRD dissolved oxygen sensor while the IMR4-6 and IMR8 floats
8 included an Aanderaa optode [O₂] sensor.

9 The IMR1-3 floats nominal mission included CTD and optical profiles from 1000 m to
10 the surface. The sampling resolution was 25 m from 1000 m to 350 m, 10m from 350m to 100m,
11 and 5 m from 100m to the surface. The IMR4-8 floats nominal mission included CTD and
12 optical profiles from 2000 m to the surface. The sampling resolution was 50 m from 2000 m to
13 1000 m, 20 m from 1000 m to 500 m, 10 m from 500 m to 200 m, and 5 m from 200m to the
14 surface. The upward casts were repeated every 5 or 10 days. The floats typically emerged from
15 the sea around midnight, but, occasionally, they reached the surface in the morning or in the
16 afternoon.

17 The CTD data were quality-controlled using the standard Argo protocol (Wong et al.,
18 2010). The fluorescence raw signals (counts) were transformed into Chl *a* concentration, [Chl *a*],
19 expressed in mg m⁻³ via a scale factor and after the nominal instrument-specific dark counts had
20 been subtracted. The manufacturer provides two parameters for converting measured
21 fluorescence counts to estimated [Chl *a*]: a nominal instrument-specific dark counts and a scale
22 factor expressed in mg m⁻³ relating measured fluorescence minus the dark counts to [Chl *a*].

1 We tested the accuracy of the scale factor provided by the manufacturer against a slope
2 determined by a regression between the float fluorescence (minus the dark counts) and [Chl *a*]
3 estimates from Moderate Resolution Imaging Spectroradiometer (MODIS)—a method first
4 proposed by Boss et al. (2008). The satellite [Chl *a*] estimates represent a measurement
5 weighted from the surface to the depth at which the light intensity is 1% of its surface value. For
6 comparison with MODIS data, float fluorescence measurements were therefore weighted in the
7 same way; i.e :

$$fl_{surf} = \frac{\sum e^{2K_d(490)z} fl(z)}{\sum e^{2K_d(490)z}}, \quad (1)$$

8 where $fl(z)$ is the vertical profile of fluorescence minus the dark counts, and $K_d(490)$ is the
9 diffuse attenuation coefficient for downwelling irradiance at 490 nm estimated by MODIS.
10 We used the 8-day level 3 MODIS composites in $1^\circ \times 1^\circ$ boxes centered on the float locations for
11 match up data. The MODIS matchups for all floats were used to estimate the regression slopes.
12 These regression coefficients were significantly smaller than those provided by the
13 manufacturer's for our fluorometers (see Table 2). The variations in regression slopes for the
14 different floats are likely due to uncertainties in the matchups: we are regressing $1^\circ \times 1^\circ$ satellite
15 data to pointwise measurements. Thus, we computed the MODIS-based correction by averaging
16 over all eight floats and applied a $0.0029 \pm 0.0014 \text{ mg m}^{-3} \text{ count}^{-1}$ slope to compute chlorophyll
17 from the eight fluorometers. Applying individual regression slope results in very different
18 chlorophyll values for each float, a result inconsistent with the fact that the floats sampled the
19 same general region.

1 The winter backscatter values in the mixed layer were always lower than the values below;
2 possibly because particles below the mixed layer have different composition than those above.
3 Regardless, this prevents us to use these data to investigate the phytoplankton dynamics.

4 **d. Atmospheric and solar variables**

5 In our analysis, we need estimates of the heat and freshwater fluxes that drive upper
6 ocean turbulence and the photosynthetically active radiation (PAR). The hourly net atmospheric
7 heat fluxes (Q_0 in $W m^{-2}$) were taken from the ECMWF ERA-interim reanalysis (Dee et al.,
8 2011). We ignored the freshwater fluxes and winds that are a minor contributor to upper ocean
9 turbulence in the winter North Atlantic (Ferrari et al., 2014). Time series of the heat fluxes along
10 the float trajectories were then generated by averaging the daily ERA-interim values in one by
11 one degree bins around the float daily positions.

12 The clear sky instantaneous PAR just beneath the sea surface in $\mu mol photons m^{-2} s^{-1}$,
13 $iPAR_{clear}(0,t)$, was calculated using the Gregg and Carder (1990) solar irradiance model for a
14 free-cloud sky. The reduction in PAR due to clouds was estimated with the formulation of
15 Budyko et al. (1964):

$$iPAR(0, t) = iPAR_{clear}(0, t)(1 - 0.14c - 0.38c^2) \quad (2)$$

16 where c is the total cloud cover. The total cloud cover c , varying from 1 for an overcast sky to 0
17 for a clear sky, was taken from ECMWF ERA-interim reanalysis averaged along the float
18 trajectories as described for the heat fluxes. The daily averaged sea surface PAR in $mol photons$
19 $m^{-2} day^{-1}$, $PAR(0)$, was obtained by averaging Eq. (2) over the length of the day. The irradiance

1 model was evaluated with iPAR measurements from an additional float and demonstrated to be
2 quite accurate, as described in the appendix.

3 Finally, to test the photoperiod hypothesis we need estimates of the length of daytime.
4 The length of daytime (dl in hours) was calculated with the package geosphere from the R
5 software (R Development Core Team, 2016), which computes the length of the daytime for a flat
6 surface for a given latitude and day of year (Forsythe et al., 1995).

7 **e. Float estimates of mixed layer and euphotic layer depth**

8 In the analysis to follow, we need estimates of the mixed layer depth, the layer where
9 density is well homogenized (as a proxy for the layer where mixing is active), and the euphotic
10 layer depth, the depth below which the light level is too low to support photosynthesis.

11 For all floats but one, the mixed layer depth (H) was computed as the depth at which the
12 density change from its value at 10 m is $\Delta\sigma_\theta = 0.01 \text{ kg m}^{-3}$ (Kara et al. 2000, 2003). We chose the
13 value of $\Delta\sigma_\theta$ that best tracked the region of weak stratification in our dataset. This value is
14 consistent with the study of Brainerd and Gregg (1995), who also found that a $\Delta\sigma_\theta$ of 0.005- 0.01
15 kg m^{-3} often marks the base of the active turbulent surface layer. The salinity sensor was
16 defective in the float IMR2 and H was computed as the depth at which the temperature change
17 from its value at 10 m is $\Delta\theta = 0.15 \text{ }^\circ\text{C}$, which corresponds to $\Delta\sigma_\theta \sim 0.01 \text{ kg m}^{-3}$ for a salinity of
18 35.2 representative of values observed in the Nordic Seas.

19 The surface value of [Chl *a*] ($[\text{Chl}]_{\text{ml}}$, mg m^{-3}) was calculated as the average within the
20 mixed layer (ML). The vertical integral of [Chl *a*] ($\langle\text{Chl}\rangle$, mg m^{-2}) was obtained by integrating
21 the vertical profile of [Chl *a*] from the surface down to the ML base.

1 We defined the instantaneous euphotic layer depth $iH_{eu}(t)$ as the depth below which the
 2 light level is too low to support photosynthesis. The threshold light level was set to $1 \mu\text{mol}$
 3 $\text{photons m}^{-2} \text{s}^{-1}$, corresponding to the lowest light levels at which the temperate diatom
 4 *Phaeodactylum-tricornutum* has been observed to grow (Geider et al., 1986). Following the
 5 Beer-Lambert law, the incoming solar radiation was assumed to decay exponentially with depth.
 6 The decay rate, equal to the inverse of the diffuse coefficient attenuation of light K (m^{-1}), was set
 7 to a constant value with depth and throughout the day. Therefore, K was given by:

$$K = \frac{\log(0.01)}{H_{1\%}}. \quad (3)$$

8 The depth $H_{1\%}$ at which the light intensity is 1% of its surface value was calculated from $[\text{Chl}]_{ml}$
 9 using the empirical relationship derived by Morel et al., (2007) from a global datasets of ship-
 10 based measurements of $H_{1\%}$ and surface $[\text{Chl a}]$:

$$\begin{aligned} \log_{10} H_{1\%} = & \quad (4) \\ & = 1.524 - 0.436 \times \log_{10} [\text{Chl}]_{ml} - 0.0145 \times (\log_{10} [\text{Chl}]_{ml})^2 + 0.0186 \times \\ & (\log_{10} [\text{Chl}]_{ml})^3. \end{aligned}$$

12 Whenever K was estimated to be lower than the diffuse coefficient attenuation of light by pure
 13 water $K_w = 0.027 \text{ m}^{-1}$ (Smith and Baker, 1981), K was set to K_w .

14 $iH_{eu}(t)$ was estimated as the depth where the irradiance is $1 \mu\text{mol photons m}^{-2} \text{s}^{-1}$:

$$iH_{eu}(t) = \frac{1}{K} \log \left(\frac{1}{iPAR(0, t)} \right). \quad (5)$$

1 Finally, we found that $iHeu(t)$ transitions vary rapidly from zero at night to its maximum value
2 during the day, so that it can be described by a rectangle function which transitions abruptly from
3 zero at night to the value given by Eq. (5). The height of the function is the daily-averaged
4 euphotic layer, H_{eu} (m), for the whole duration of the day.

5 **3. Data Analysis**

6 From fall to spring, in each of the nine blooms sampled by the floats north of the Arctic
7 Circle (two years each for IMR1, IMR2, IMR3 and one year for IMR4, IMR5 and IMR6), we
8 observed the same qualitative pattern in the evolution of the ML depth and the Chl a
9 concentration. Figure 2 shows as an example the potential density anomaly (σ_θ), and [Chl a],
10 acquired by the float IMR2 from September 2011 to June 2012. (Figures for the other eight years
11 are displayed in the supplementary material Figs. S1-S9.) The ML and euphotic depths are
12 marked as continuous black and white lines respectively. Figure 2a shows that in fall, from
13 September to December, the [Chl a] decreased and the ML deepened. The fluorescence signal
14 dropped to its minimum value from late December-early January during the polar night and the
15 values were essentially uniform from the ML down to 1000 m (not shown) for the following
16 several weeks.

17 To test whether the polar night ML [Chl a] was too low to be detected by the fluorometer,
18 we compared the fluorescence measurements collected in the ML, where one expects to find
19 some low [Chl a], with those collected between 900 m and 1000 m, where no [Chl a] is expected
20 and the fluorescence values can be used as an estimate of the dark signal, i.e. fluorescence values
21 measured in the absence of [Chl a]. For each profile collected from December to April, we
22 checked whether the distribution of fluorescence values in the ML was significantly different

1 from the distribution of values between 900 and 1000 m using a two-sample Mann-Whitney-
2 Wilcoxon test. The test confirmed that during winter, the ML fluorescence values were not
3 different from the deep values at the 95% confidence level (marked with a white asterisk in the
4 figures). In other words, the winter [Chl a] in the ML was on average too low to be detected by
5 the fluorometer. However, the fluorometers detected numerous spikes of higher than average
6 [Chl a] in the winter ML, but not at depth. This suggests that the winter [Chl a] was just below
7 detection levels in winter and occasionally the signal emerged above the noise. Similar results
8 were obtained for all others floats deployed north of the Arctic Circle.

9 The winter [Chl a] profiles from floats IMR7 and IMR8, which profiled south of Arctic
10 Circle in winter, were very different from those north of the Arctic Circle as shown in Figs. 3 and
11 S11. These profiles were characterized by ML fluorescence values significantly higher than
12 those at deeper depths even in winter, most likely because the [Chl a] remained high enough to
13 be detected by the fluorometers. This last point is important, because it suggests that a period of
14 complete darkness depletes the phytoplankton biomass so dramatically that most of the time
15 traditional fluorometers cannot detect its concentration.

16 The ML fluorescence values north of the Arctic Circle emerged from the fluorometer
17 noise level after the end of the polar night. The time of “emergence from noise” t_E , was defined
18 as the first instance (second white vertical line in Fig. 2), when the ML fluorescence values
19 became significantly greater than the deep fluorescence values as per the Mann-Whitney-
20 Wilcoxon test in three consecutive profiles (~ 1 month). The positions of the floats at t_E for all
21 floats deployed north of the Arctic Circle are shown as black dots in Fig. 1.

1 The net accumulation of [Chl a] starting at t_E was detected both in surface [Chl]_{ml} and
2 vertically integrated $\langle \text{Chl} \rangle$, and lasted until June-July. We cannot determine whether
3 accumulation started at t_E or earlier, when the fluorescence values were too low to be detected by
4 the fluorometer. Given that photoautotrophic growth is not possible without light, we conclude
5 that the bloom started sometime between the end of the polar night and t_E . We will refer to this
6 time interval as Δt_{onset} (shown as two black vertical lines and a gray shading area in Fig. 2).

7 Figure 4 shows the surface heat fluxes, the ML depth, the daily averaged PAR and the
8 length of daytime, with time shifted so that the origin is at $t = t_E$ for each of the nine float years.
9 The time of “emergence from noise” for seven out of nine events (blue lines) occurred when the
10 daylength was between 9 and 11 hours (Fig. 4d) and PAR(0) was between 3 and 8 mol photons
11 $\text{m}^{-2} \text{ day}^{-1}$ (Fig. 4c). The surface heat flux Q_0 was moderately negative, between 100 and 200 W
12 m^{-2} (Fig. 4a), and the ML was as likely to be shoaling as deepening (Fig. 4b). Table 3 shows that
13 t_E occurred between year-day 59 and 72 for all years. Moreover, t_E occurred earlier for the floats
14 that were further south. For the two other events (orange lines), Figs 4a and 4b show that the
15 time of “emergence from noise” coincided with the shutdown of convection and the sudden
16 shoaling of the ML, when the daylength was approximately 14 hours, PAR (0) was ~ 12 mol
17 photons $\text{m}^{-2} \text{ day}^{-1}$ and the year-day was between 95 and 96 (Table 2).

18 Two possible bloom onset scenarios emerge from this simple preliminary analysis of the
19 float data. One interpretation is that blooms started at $t = t_E$, when the accumulation of
20 phytoplankton biomass was first detected by the fluorometer, and the photoperiod (the duration
21 of a phytoplankton cell daily exposure to light) reached a critical value of 10 ± 1 hours. For the
22 seven events with shallow MLs, the photoperiod was equal to the daylength (see Fig. 5). In the
23 two cases with deep MLs, the phytoplankton did not experience 10 ± 1 hours of light until the

1 mixing subsided and allowed cells to linger at the surface—this is shown more quantitatively in
2 the next section. This interpretation is supported by two lines of evidence. First, it is statistically
3 unlikely that the co-occurrence of a particular daylength and the first increase in chlorophyll
4 detected by all fluorometers is mere coincidence, as would have to be argued if t_E represented the
5 emergence of fluorescence signal from background noise. Second, the repeated detection of
6 significant fluorescent spikes in the winter ML profiles suggests that the winter $[Chl]_{ml}$ was just
7 below detection levels and thus the emergence from noise was likely close to the actual increase
8 in chlorophyll. (We focus our discussion on photoperiod, because attempts to correlate the bloom
9 onset with daily averaged light or maximum iPAR did not collapse the data as well due to large
10 cloud coverage variations from year to year.)

11 A second interpretation is that all bloom onsets are consistent with the critical depth
12 hypothesis. Blooms started when phytoplankton division rate became larger than the
13 phytoplankton loss rates. However, the biomass accumulation was so weak during Δt_{onset} that
14 went undetected by the fluorometers. In this interpretation, the coincidence of the emergence of
15 the fluorescence signal from noise and the photoperiod must be considered a statistical fluke. We
16 develop the theoretical framework to test these two possible scenarios in the next section.

17

18 **4. Theory**

19 **a. Critical photoperiod hypothesis**

20 In the Nordic Seas, the insolation drops dramatically in winter. As one moves north of the
21 Arctic Circle, there are progressively longer periods of complete winter darkness, the polar

1 nights. Phytoplankton growth is simply impossible for days to weeks, depending on the latitude.
2 Under these conditions, the focus must shift on understanding how phytoplankton cells survive
3 the winter darkness to give rise to a bloom in spring. With no energy to photosynthesize, cells
4 will likely strive to reduce losses due to metabolic respiration, grazing pressure, parasitism, and
5 viral infections. We review recent literature suggesting that the cells enter in a dormant state
6 during polar nights and then wake up, when the daylength crosses some threshold.

7 Eilertsen (1995) studied the onset of spring blooms in the coastal waters of the Nordic
8 Seas. While coastal blooms may be different from open ocean blooms – the focus of our study –
9 some key findings are worth reviewing. These blooms are dominated by marine diatoms in the
10 early stages and begin approximately the same calendar day every year, despite highly variable
11 year-to-year environmental conditions. Field studies showed that in the coastal waters of
12 Northern Norway, the marine diatoms turn into resting spores during winter to drastically reduce
13 respiration and survive several weeks of darkness (Degerlund and Eilertsen, 2010). The heavy
14 spores sink to the bottom a few hundred meters below the surface into permanent darkness.
15 However, they are occasionally re-suspended towards the surface by sudden mixing events
16 triggered by atmospheric storms. Eilertsen et al. (1995) speculated that the spores germinate
17 when the daylength exceeds a critical threshold; estimated between 7 and 12 h (Eilertsen and
18 Wyatt, 2000). Note that this survival strategy is not specific to diatoms. Many species of
19 dinoflagellates and chrysophytes produce cysts at the end of summer or in response to
20 environmental stimuli, such as nutrient limitation, and remain dormant until the following spring.
21 Some are known to germinate in response to light or nutrient stimulation. Others germinate after
22 a specific period of time or in response to photoperiod (McMinn and Martin, 2013).

1 A daylength control has never been documented in the open ocean of the Nordic Seas,
2 possibly due to the dearth of ocean color measurements in winter when cloud coverage is
3 ubiquitous. Moreover, in the open ocean the hypothesis must be modified because the
4 photoperiod can be shorter than the daylength, when strong mixing keeps cells below the
5 euphotic layer for some part of the daytime as shown in Fig. 5.

6 It has been documented that plant systems determine the photoperiod by sensing the
7 duration of darkness (Hamner, 1940; Hamner and Bonner, 1938). In an ocean environment
8 photoperiod based on “darkness-length” is not a viable strategy: the length of darkness correlates
9 primarily with the strength of mixing, which keeps cells away from the surface, and not with the
10 number of light hours at the surface. If cells relied on sampling the number of dark hours, they
11 would germinate every time a strong storm passed by, suddenly deepening the ML and
12 increasing the number of hours without light they experienced. Therefore, photoperiod in the
13 ocean must be based on the length of “light-hours”, if it is to be a viable strategy. We are not
14 aware of studies that investigated how phytoplankton detect photoperiod, but our results suggest
15 that it would be an interesting area of research.

16 The critical photoperiod hypothesis requires that individual cells can detect the duration
17 of light. Thus, we compute the sustained light exposure of individual cells, not of the entire
18 population. In the appendix, we derive an approximate formula to calculate the photoperiod in
19 the open ocean as a function of daylength, euphotic layer depth and strength of mixing. We first
20 show that cells spend a time T_{eu} in the euphotic layer depth,

$$T_{eu} = \frac{H \arccos(1 - 2H_{eu}/H)}{\sqrt{2A|B_0H|^{1/3}}}, \quad (6)$$

1 where H_{eu} is the euphotic layer depth, H is the ML depth, B_0 is the surface buoyancy flux and A
2 a constant coefficient equals to 0.45. If the residency time T_{eu} is longer than the daylength or the
3 ML depth is shallower than the euphotic depth, then the photoperiod experienced by
4 phytoplankton cells in the ocean is equal to the daylength; otherwise the photoperiod is equal to
5 T_{eu} , and shorter than the daylength.

6 In section 5, we will confirm that the first accumulation of chlorophyll detected by the
7 fluorometers occurred at the same critical photoperiod, supporting the hypothesis that the onset
8 of the Nordic Seas blooms is consistent with a critical photoperiod hypothesis.

9 **b. Critical depth hypothesis**

10 Following Sverdrup (1953), the changes in phytoplankton concentration $P(z, t)$ is response
11 to changes in light, grazing and vertical mixing can be described by a partial differential
12 equation:

13

$$\frac{\partial P(z, t)}{\partial t} = \mu(z, t)P(z, t) - m(z, t)P(z, t) + \frac{\partial}{\partial z} \left(\kappa_T(z, t) \frac{\partial P(z, t)}{\partial z} \right), \quad (7)$$

14 where z is the vertical coordinate, t is time, μ is the cell division rate, m is the phytoplankton loss
15 rate and κ_T is the vertical eddy diffusivity, which represents the rate at which turbulence mixes
16 phytoplankton in the vertical. The effect of light on growth is captured by the depth and time
17 dependence of the division rate. Nutrient limitation on growth is ignored, because in the early
18 phase of blooms in the Nordic Seas nutrients are plentiful. Finally, we ignore the effect of lateral
19 advection of phytoplankton by oceanic currents. This is a reasonable assumption as long as the
20 currents are weak or the phytoplankton concentrations are uniform in the horizontal. We cannot

1 test whether this is always the case for the float data, so we will use this equation as a working
2 hypothesis and check to what extent the terms included in the right hand side are sufficient to
3 explain the observed changes in $P(z, t)$.

4 When turbulence is strong, like in the Nordic Seas winter, the phytoplankton is mixed so fast
5 that it remains uniform within the ML and we can ignore the z dependence in P . We also assume
6 that there is no phytoplankton flux through the surface and the ML base. Integrating Eq. (7) over
7 the ML in addition to averaging over a full day (indicated by an overbar), we obtain an
8 expression for the phytoplankton growth rate:

9

$$\int_{-H}^0 \frac{\partial \bar{P}}{\partial t} dz = \langle \bar{\mu} \rangle \bar{P} - \langle \bar{m} \rangle \bar{P}, \quad (8)$$

10 where $\langle \rangle$ represents the vertical integral between the surface and the ML base at $z=-H$. The total
11 population size can grow when the left-hand side is positive, or

12

$$\langle \bar{\mu} \rangle \geq \langle \bar{m} \rangle. \quad (9)$$

13 If following Sverdrup (1953), we assume that the losses are independent of depth, since they
14 depend on phytoplankton and zooplankton concentrations which are uniform with depth, then
15 $\langle \bar{m} \rangle = H\bar{m}$, and accumulation occurs if the ML depth is shallower than a critical depth

$$H \leq H_c = \frac{\langle \bar{\mu} \rangle}{\bar{m}}, \quad (10)$$

16 or stated differently; when the daily mixed layer averaged division rate is greater than the loss
17 rates:

$$\frac{1}{H} \langle \bar{\mu} \rangle \geq \bar{m}. \quad (11)$$

1 Despite its simplicity, the condition necessary for bloom onset in the limit of strong turbulence is
 2 difficult to test quantitatively with profiling float data. Testing Eq. (11) requires in situ
 3 observations of phytoplankton division and loss rates, which presently cannot be measured with
 4 autonomous platforms. Phytoplankton division rate can however be estimated using bio-optical
 5 models. Then, phytoplankton loss rates can then be derived from Eq. (8) by subtracting the net
 6 accumulation rate (i.e., $\frac{1}{\bar{P}} \int_{-H}^0 \frac{\partial \bar{P}}{\partial t} dz$) from estimates of $\langle \bar{\mu} \rangle$.

7 To avoid any confusion down the road, it is worth emphasizing that the critical depth
 8 framework remains the key approach to study the development of blooms. There is however, an
 9 ongoing discussion as to what are the key variables that change at bloom onset and prompt Eq.
 10 (11) to be first satisfied. Sverdrup (1953) hypothesized that Eq. (11) is typically satisfied at the
 11 end of winter, when the ML depth H shoals resulting in an increase of $\frac{1}{H} \langle \bar{\mu} \rangle$. Behrenfeld and
 12 colleagues (2013; 2014) argued that the left and right hand side terms are always very close to
 13 exact balance. Blooms start whenever a small perturbation in the system drives $\frac{1}{H} \langle \bar{\mu} \rangle$ to increase
 14 above \bar{m} , including late fall conditions when \bar{m} decreases rapidly due to ML deepening and
 15 associated dilution of grazers (Behrenfeld, 2010). Finally, it is also possible for blooms to start in
 16 response to an increase in light, and hence $\langle \bar{\mu} \rangle$, with no changes in the other variables. In the
 17 following, we will use the critical depth framework to interpret the float data, with the goal of
 18 determining what processes first trigger the bloom.

19 **i. Phytoplankton division rates**

1 The division rate μ in Eq. (7) represents the division rate of the overall phytoplankton
2 population. We used the physiological model of Geider et al. (1997), together with the photo-
3 physiological parameters from Antoine and Morel (1996), to get an estimate of $\mu(z,t)$.

4 Geider et al. (1997) proposed that the nutrient-saturated division rates are well described
5 by the equation:

6

$$\mu(z, t) = \mu_{max} \left(1 - e^{-\frac{\alpha_{chl} \times \theta_c \times iPAR(z,t)}{\mu_{max}}} \right), \quad (12)$$

7 where μ_{max} is the maximum value of the division rate under light-saturated conditions (s^{-1}), α_{chl} is
8 the Chl a-specific initial slope of the photosynthesis-irradiance curve [$gC \ gChla^{-1} \ (\mu mol$
9 $photons)^{-1} \ m^2$], and θ_c is the chlorophyll to carbon ratio. The maximum value of the division rate
10 under light-saturated conditions is represented as a function of temperature following Bissinger
11 et al. (2008):

$$\mu_{max} = \mu_{ref} e^{0.0631MLT}, \quad (13)$$

12 where $\mu_{ref} = 9.4 \cdot 10^{-6} \ s^{-1}$, and MLT is the average temperature in the ML. The Chl a-specific
13 initial slope of the photosynthesis-irradiance curve is set to $6.4 \cdot 10^{-6} \ gC \ gChla^{-1} \ (\mu mol \ photons)^{-1}$
14 m^2 , a value used in a global light-photosynthesis model of oceanic primary production (Antoine
15 and Morel, 1996). The chlorophyll to carbon ratio θ_c is set to $0.045 \ gChla \ gC^{-1}$, a value
16 representative of photoacclimation to extreme low light levels (Westberry et al., 2008).

17 The vertical profile of $iPAR$ ($\mu mol \ photons \ m^{-2} \ s^{-1}$) is modeled through:

$$iPAR(z, t) = iPAR(0, t)e^{Kz}. \quad (14)$$

1 Finally, we are interested in sustained growth rates for at least a day, not transient growth rates
 2 lasting only a few hours. Consistently we averaged Eq. (12) over a full day in addition to
 3 integrating over the full ML depth:

$$\langle \bar{\mu} \rangle = \frac{1}{1 \text{ day}} \int_0^{1 \text{ day}} \int_{-H}^0 \mu_{\max} \left(1 - e^{-\frac{\alpha_{chl} \times \theta_c \times iPAR(z,t)}{\mu_{\max}}} \right) dz dt. \quad (15)$$

5

6 **ii. Phytoplankton loss rates**

7 Phytoplankton loss rates are given by the sum of grazing, viral lysis and parasitism.
 8 These terms are very difficult to estimate in situ. Instead we will estimate the loss rates as the
 9 residual between the division rates, $\langle \bar{\mu} \rangle$, and the phytoplankton accumulation rates averaged over
 10 a day.

11 Assuming that phytoplankton concentration and loss rates are uniform over the ML
 12 depth, we can derive two separate equations to estimate loss rates during time of ML deepening
 13 and shoaling respectively (Behrenfeld et al., 2013). When the ML deepens and entrains fluid
 14 with no phytoplankton from below, Eq. (7) can be vertically integrated and time averaged over a
 15 few days to obtain an equation for the standing stock, $\langle P \rangle = \int_{-H}^0 P(z) dz = HP$,

$$\frac{d\langle \bar{P} \rangle}{dt} = \frac{1}{H} \langle \bar{\mu} \rangle \langle \bar{P} \rangle - \bar{m} \langle \bar{P} \rangle, \quad (16a)$$

1 where we ignored temporal correlations between the daily variations in division rates and the
 2 slower variations in phytoplankton concentrations and grazing rates. Eq. (16a) can be used to
 3 estimate the vertically integrated and time averaged loss rates, as,

$$\bar{m} = \frac{1}{H} \langle \bar{\mu} \rangle - \frac{1}{\langle \bar{P} \rangle} \frac{d\langle \bar{P} \rangle}{dt}. \quad (16b)$$

4 When the ML shoals and leaves phytoplankton behind, the time and vertical average of
 5 Eq. (7) gives

$$\frac{d\bar{P}}{dt} = \frac{1}{H} \langle \bar{\mu} \rangle \bar{P} - \bar{m} \bar{P}, \quad (17a)$$

6 where we assumed that \bar{P} and \bar{m} are constant in the ML,

$$\bar{m} = \frac{1}{H} \langle \bar{\mu} \rangle - \frac{1}{\bar{P}} \frac{d\bar{P}}{dt}. \quad (17b)$$

7 Equations (16b) and (17b) are very similar except for the appearance of a standing stock
 8 $\langle \bar{P} \rangle$ versus a concentration \bar{P} in the right hand side. In section 5, we will estimate the
 9 phytoplankton loss rates from Eqs. (16b) or (17b), depending on whether the ML is deepening or
 10 shoaling, estimates of $\langle \bar{\mu} \rangle$ based on the algorithm given in section 4bi and rates of population
 11 accumulation from [Chl *a*] float data.

12

13 **5. Testing bloom onset hypotheses**

14 Using the theoretical framework that we developed in the last section, we now test the
 15 two bloom onset scenarios that emerged from the preliminary analysis of the float data.

1 **a. Critical photoperiod hypothesis**

2 First, we test whether the start of the Nordic Seas blooms is consistent with the critical
3 photoperiod hypothesis. To do so, we estimate the photoperiod at the time when the fluorometers
4 detected the first accumulation of biomass, i.e. at $t = t_E$. The photoperiod is calculated with the
5 algorithm presented in the appendix. Notice that in this section we therefore assume that the
6 bloom onset coincided with the first increase detected in fluorescence.

7 In Section 3, we anticipated that, at $t = t_E$, the daylength was between 9 and 11 hours for the
8 seven years when biomass accumulation was detected with negative sea surface heat fluxes. The
9 formula we developed in the appendix suggests that for these seven blooms the daylength is a
10 pretty accurate estimate of the photoperiod, because the cells remained in the euphotic layer for
11 the whole daylength when the surface heat losses are smaller than 200 W m^{-2} . The onset of these
12 blooms is therefore consistent with a critical photoperiod of 10 ± 1 hours. The daylength
13 increases by one hour every 10 days along the Arctic circle, so the photoperiod cannot be
14 determined to better than one hour with the 10-day float sampling frequency.

15 In the remaining two blooms with winter mixed layers much deeper than 200m, the
16 fluorometers detected the first biomass accumulation when the surface heat losses subsided at the
17 end of winter. For these two blooms, in the weeks preceding $t = t_E$, when the daylength was
18 between 9 and 14 hours, the heat loss was constantly above 200 W m^{-2} (Fig. 4a). In the appendix,
19 we show that the strong heat loss generated such intense mixing that the cells never experienced
20 more than 8 hours of light. Hence, the photoperiod experienced by the cells did not reach the 10
21 hours critical threshold until the cooling finally subsided at the end of March. This suggests that
22 the onset of these two late blooms is also consistent with a critical photoperiod of 10 ± 1 hours.

1 **b. Critical depth hypothesis**

2 Next, we test whether the start of the Nordic Seas blooms is consistent with the critical
3 depth hypothesis, i.e. the blooms begin when $\frac{1}{H}\langle\bar{\mu}\rangle \geq \bar{m}$ before they are detected by the
4 fluorometers. In this interpretation, the coincidence of the emergence of the fluorescence signal
5 from noise and the photoperiod must be considered a statistical fluke. Since we cannot determine
6 the loss rates during part of the winter north of the Arctic Circle, we first conduct the analysis on
7 the two events that did not experiment the polar night. Then, assuming that the winter grazing
8 pressure north of the Arctic Circle is no larger than south of it (phytoplankton concentrations are
9 smaller and ML deeper), we investigate whether the Nordic Seas blooms start according to the
10 critical depth hypothesis.

11 For the two blooms sampled south of the Arctic Circle, $\langle\bar{\mu}\rangle$ is estimated as explained in
12 section 4bi. The phytoplankton loss rates are computed as a residual between division and
13 accumulation rates as described in the section 4bii. The last two panels of Fig. 3 show the time
14 series of the daily averaged insolation, of $\frac{1}{H}\langle\bar{\mu}\rangle$ and of \bar{m} for the float IMR7 from November
15 2014 to June 2015 (an equivalent figure for the float IMR8 is displayed in the supplementary
16 material Fig. S11.). The figure reveals that $\frac{1}{H}\langle\bar{\mu}\rangle$ primarily tracks the increase in insolation; both
17 increase monotonically by close to two orders of magnitude from January to April. In fall and
18 spring, the division and loss rates instead track each other very closely. In winter, the loss rates
19 never drop below 0.02-0.05 d⁻¹, hereinafter denoted as \bar{m}_{winter} , whereas $\frac{1}{H}\langle\bar{\mu}\rangle$ drops to extremely
20 low values of about $2\cdot 10^{-3}$ d⁻¹. A loss rate of within a range of 0.05 - 0.1 d⁻¹ is believed to
21 describe background non-grazing phytoplankton mortality rates (Behrenfeld et al., 2013;

1 Dutkiewicz et al., 2015; Evans and Parslow, 1985; Moore et al., 2002). This result supports the
2 hypothesis that grazing was very weak in winter.

3 The bloom onset did not seem to track changes in ML depth. The onset was estimated as
4 the time when $[\text{Chl}]_{\text{ML}}$ or $\langle \text{Chl} \rangle$ first increased. For the float-year IMR7 2014-2015, the bloom
5 onset occurred during a rapid shoaling of the ML, resulting in an increase of $[\text{Chl}]_{\text{ML}}$ and a
6 decrease in $\langle \text{Chl} \rangle$. For the float-year IMR8 2013-2014, the bloom onset coincided with a rapid
7 deepening of the ML, resulting in an increase of $\langle \text{Chl} \rangle$ and a decrease in $[\text{Chl}]_{\text{ML}}$ due to dilution
8 with fluid with no phytoplankton from below. Figs. 3e and S11e show that $\frac{1}{H} \langle \bar{\mu} \rangle$ changed
9 somewhat in response to these ML changes, but much less than is response to the rapid increase
10 in insolation. We conclude that the blooms south of the Arctic Circle could have started because
11 of the increase in insolation, which allowed division rates to exceed losses. This scenario would
12 be consistent with the critical depth hypothesis, but not with Sverdrup's assumption that it is
13 changes in the ML depth that are key.

14 The same analysis is repeated for the nine blooms sampled north of the Arctic Circle. The
15 analysis can start only after time t_E , because prior to that time $[\text{Chl } a]$ measurements are
16 dominated by noise and we cannot estimate loss rates. The average loss rates at t_E for all nine
17 blooms were $0.05 \pm 0.04 \text{ d}^{-1}$. This value is consistent with the winter phytoplankton loss rates
18 range estimated south of the Arctic Circle. It is therefore safe to assume that loss rates in winter
19 were no larger than $\sim 0.05 \text{ d}^{-1}$. In order to test if the Nordic Seas bloom onsets are consistent
20 with the critical depth hypothesis, we next test whether $\frac{1}{H} \langle \bar{\mu} \rangle$ exceeded the upper bound for
21 \bar{m}_{winter} of 0.05 d^{-1} during the time between the end of polar night and t_E .

1 Fig. 6a shows the time series of $\frac{1}{H}\langle\bar{\mu}\rangle$ with time axis shifted so that for each of the nine
2 years the origin is at t_E . In all years, $\frac{1}{H}\langle\bar{\mu}\rangle$ exceeded 0.05 d^{-1} within the month prior to $t = t_E$.
3 Moreover, as shown for the events sampled south of the Arctic Circle, $\frac{1}{H}\langle\bar{\mu}\rangle$ primarily tracked
4 the increase in insolation. Fig. 6b shows that the dramatic increase in $\frac{1}{H}\langle\bar{\mu}\rangle$ disappears, if the
5 seasonal increase in surface insolation is ignored – $i\text{PAR}(0, t)$ was replaced with a periodic
6 repetition of the daily cycle of incoming surface insolation on March 1st at 70° . Surprisingly,
7 even the deep MLs sampled by floats IMR2 and IMR3 had little impact in delaying the increase
8 in division rates driven by the surface insolation. It is however possible that the delay in t_E for
9 these two events is an artifact of $[\text{Chl } a]$ remaining too low to be detected in the deep MLs.

10 Our data are thus consistent with the hypothesis that the Nordic Seas blooms started
11 according to the critical depth hypothesis. But the analysis falls short of proving that the
12 deepening of critical depth at the end of winter is the trigger of the bloom. Such a proof would
13 require accurate estimates of winter division and loss rates, which are simply impossible to
14 obtain with present technology. Moreover, fluorometers with lower noise threshold are needed to
15 document the first accumulation of chlorophyll in the Nordic Seas winter, when concentrations
16 are extremely low.

17 In conclusion, the bloom onset is consistent with the photoperiod hypothesis if the
18 chlorophyll started to accumulate when it was first detected by the fluorometer. However, it is
19 also possible that the bloom started earlier according to the critical depth hypothesis, if some
20 weak accumulation began earlier in the season at levels too low to be detected by fluorometers.
21 Our opinion is that the photoperiod hypothesis is more likely to be correct, because it is hard to

1 believe that the co-occurrence of a critical photoperiod of 10 ± 1 hours and the increase in
2 chlorophyll detected by the fluorometers is mere coincidence.

3

4 **6. Conclusion**

5 In the Nordic Seas, north of the Arctic Circle, insolation drops so dramatically in winter
6 that phytoplankton growth is impossible for days to weeks during polar nights. The goal of this
7 paper was to investigate how do phytoplankton populations survive such harsh winter conditions
8 and what triggers their resurgence in spring. Satellite data are hardly ever available at these
9 latitudes due to continuous cloud coverage. Instead, we used in-situ data of [Chl *a*] and CTD
10 from six bio-optical floats deployed in this region.

11 Not surprisingly, the Chl *a* concentrations dropped dramatically in winter, during polar
12 nights, to values lower than reported by floats south of the Arctic Circle. The values were so low
13 that they were below or at the noise threshold levels of the traditional fluorometers mounted on
14 the floats. After a few months, at the end of winter, the Chl *a* concentrations started increasing
15 very rapidly. We cannot definitively conclude that this increase marked the bloom onset, because
16 low [Chl *a*] accumulation could have started earlier in the season at levels below the
17 fluorometers detection levels. This uncertainty in the exact timing of the bloom onset implies
18 that the float data are consistent with two possible scenarios for the onset of blooms in the
19 Nordic Seas: the critical photoperiod hypothesis and the critical depth hypothesis.

20 In all years sampled by the floats, the increase in Chl *a* concentrations was detected when
21 the phytoplankton experienced a photoperiod of 10 ± 1 hours, i.e. when phytoplankton cells

1 experienced approximately 10 light hours in a day for the first time in the season. The critical
2 photoperiod was equal to a 10 hours daylength, when mixing was weak, but it corresponded to a
3 longer daylength, when mixing was strong and kept cells away from the well-lit surface. We
4 speculate that similarly to what has been documented in the coastal waters of the Nordic Seas,
5 phytoplankton enters in resting stages during polar night in order to minimize energy
6 expenditure. Unlike in coastal waters, the resting stage cannot be in the form of spores or cysts
7 that are too dense to float in the open ocean. Rather the resting stage must be in the form of
8 vegetative cells whose density is closer to that of the water and can remain re-suspended for long
9 periods of time (D'Asaro, 2008). A bloom develops when the cells experience a photoperiod of
10 10 ± 1 hours and emerge from the resting stages.

11 The chlorophyll concentrations dropped below the noise level of our fluorometers in
12 winter. It is thus possible that some weak biomass accumulation started early in the season, but at
13 concentrations too weak to be detected by the fluorometers. We thus tested whether conditions
14 were favorable for bloom initiation prior to the first [Chl *a*] increase measured by the
15 fluorometers. In particular, we investigated whether phytoplankton division rates were likely to
16 have exceeded losses in the weeks between the end of the polar night and the first [Chl *a*]
17 increase, consistent with the more commonly accepted critical depth hypothesis. The float data
18 suggest that changes in the ML depth and heat losses had little impact on the division rates in the
19 Nordic Seas at the end of winter. Furthermore, the winter grazing rates were likely lower than
20 non-grazing mortality due to parasitism and viral lysis. Thus dilution of grazers did not appear to
21 have much an effect on the increase in phytoplankton populations. Insolation instead increased
22 very rapidly at the end of winter north of the Arctic Circle and may have driven an increase in
23 division rates large enough to overcome losses. According to our analysis the increased

1 insolation ought to have triggered the blooms before they were detected by the fluorometers. A
2 possible scenario, given that the fluorometer signals were dominated by noise in winter. But a
3 scenario we cannot test with our data.

4 We tend to favor the photoperiod scenario, because it is hard to believe that the co-
5 occurrence of the bloom onset with a specific photoperiod is pure coincidence. However, the
6 photoperiod hypothesis implies that all species within the population start dividing at a critical
7 photoperiod. To our knowledge, such behavior has never been explored. Therefore, future work
8 will have to investigate which species within the population display a critical photoperiod
9 behavior.

10 Theory and models of high latitude ocean blooms do not consider the possibility that
11 phytoplankton enter and exit from resting stages in response to changes in photoperiod. This
12 omission can potentially impact the whole representation of these ecosystems, because the
13 timing of bloom initiation has been shown to have an impact on all the trophic levels affecting,
14 for example, the survival of larval fish (Platt et al., 2003) and the hatching time of shrimp eggs
15 (Koeller et al., 2009). Furthermore, an accurate representation of the timing and evolution of the
16 bloom is crucial to represent the ocean ecosystem response to climate change and its impact on
17 the ocean carbon budget.

7. Appendix: Irradiance model performance evaluation

We used measurements of photosynthetically active radiation (PAR) made by an additional float IMR9 to evaluate our PAR estimates (as described in Section 2.d). The float was deployed in the Nordic Seas by the Institute of Marine Research in Norway (see Table 1) and the data were downloaded from the Coriolis data center (<http://www.coriolis.eu.org/>). Irradiance measurements imposed the float to emerge from the sea around local noon. Consequently, the chlorophyll fluorescence surface values were affected by non-photochemical quenching. For that reason, the chlorophyll observations made by this float were not used to investigate the Nordic Seas bloom onsets.

The IMR9 float was a PROVOR profiler equipped with a Satlantic OC4 radiometer measuring downwelling irradiance at 380, 412, and 490 nm and Photosynthetically Available Radiation (PAR) integrated between 400 and 700nm, a WET Labs ECO triplet comprising a chlorophyll fluorometer, a backscattering sensor at two wavelengths (532 and 700 nm) and an Aanderaa optode [O₂] sensor. The IMR9 float nominal mission included CTD and bio-optical profiles from 1000 m to the surface. The optical and CTD sampling resolution was one meter. The upward casts were repeated every 5 days. The floats emerged from the sea around local noon.

The performance of the irradiance model was evaluated using 238 observations of iPAR just beneath the sea surface at local noon [$iPAR_{float}(0, t=noon)$] made by the float IMR9. Theoretical iPAR values [$iPAR_{model}(0, t=noon)$] were estimated using Eq. (2) for the same location and time of the day than the measurements. Four statistical indicators were used to evaluate the performance of the model. The first three indicators are relative to the least square

1 regression fitted within the log-transformed data (to account for $iPAR_{float}(0,t=noon)$ ranging over
2 three orders of magnitude and being lognormally distributed): the coefficient of determination r^2 ,
3 slope and intercept. We also computed the coefficient of variation, $\sqrt{e^{(\sigma^2)} - 1}$, where σ^2 is the
4 variance of the log-transformed data. Overall, the $iPAR$ estimates are in good agreement with the
5 $iPAR$ observations with a slope of 0.82, a positive intercept of 1.57 and a coefficient of
6 determination r^2 of 0.72. However, with a coefficient of variation of 1.30 compared to 1.38 for
7 the observations, the model reproduces a lower variability due to cloud coverage than the data
8 (see Fig. S12).

9 **8. Appendix: Calculation of the photoperiod**

10 The photoperiod is the time spent by a cell in the euphotic layer within one day. In the open
11 ocean this time depends on the length of daytime, the thickness of the euphotic layer and the
12 trajectories of a cell in the turbulent mixed layer. The estimation of the euphotic layer depth and
13 the calculation of the cell trajectories are discussed below.

14 **a) Calculation of the euphotic layer depth**

15 The daily-averaged euphotic layer depth (H_{eu}), defined as depth below which the light
16 level is too low to support photosynthesis, was calculated by averaging Eq. (5) over the length of
17 the day. In our dataset, the winter euphotic layer depths were in the range of 150 to 170 m with a
18 mean value of 165 ± 5 m. In the following calculations, the mean value across all nine years are
19 used as representative of the winter euphotic layer depth.

20 **b) Calculation of the turbulent velocity in a convective mixed layer**

1 Mixed layer turbulence can be driven by heat fluxes, freshwater fluxes or winds. In the
2 North Atlantic away from coastal regions, upper ocean turbulence is generated by the surface
3 heat with minor contributions from freshwater fluxes and winds (Ferrari et al., 2014). Hence the
4 analysis will focus on mixed layers forced by heat fluxes.

5 The root mean square vertical velocity in a mixed layer forced by thermal convection in a
6 nonrotating environment follows a scaling verified by numerous laboratory experiments
7 (Deardorff and Willis, 1985; Fernando et al., 1991), and numerical simulations (Deardorf, 1972;
8 Molemaker and Dijkstra, 1997),

$$w_{rms} = A|B_0 H|^{1/3}, \quad (A1)$$

9 where H is the mixing layer depth, i.e the depth to which mixing penetrates (or equivalently the
10 mixed layer depth, since mixing typically extends to the whole mixed layer during winter
11 convection). B_0 is the surface buoyancy flux, and A is an order one coefficient of proportionality.
12 When the surface density is only affected by temperatures changes, B_0 can be related to the
13 surface heat flux $B_0 = \alpha g Q_0 / (c_p \rho_0)$, where c_p is the heat capacity, α is the thermal expansion
14 coefficient, ρ_0 is the water density, and g is the gravitational acceleration.

15 D'Asaro and collaborators (D'Asaro, 2001, 2008; Steffen and D'Asaro, 2002; Tseng and
16 D'Asaro, 2004) using trajectories of Lagrangian floats have shown that the scaling (A1) applies
17 also to winter convection in the real ocean. In particular Steffen and D'Asaro (2002) found that
18 Eq. (A1) applies to convection in the North Atlantic with a coefficient A in the range 0.3-0.6. In
19 the following calculations we will set $A = 0.45 \pm 0.15$.

20

21 **c) Calculation of the cell residence time in the euphotic layer during a**
22 **convection event**

1 Armed with estimates of the euphotic layer depth and the magnitude of the turbulent
 2 velocity, we can now estimate the fraction of time that a particle spends in the euphotic layer
 3 during convection. We idealize the looping trajectories in turbulent convective cells as periodic
 4 oscillations between the ocean surface and the ML depth H ,

$$z(t) = \frac{H}{2} [\cos(\Omega t) - 1], \quad (\text{A2})$$

5 where $T=2\pi/\Omega$ is the period of the oscillations. The assumption of well-defined orbits is an
 6 approximation and real trajectories will be more variable. The vertical velocity of the particles is
 7 therefore given by,

$$w = \frac{dz}{dt} = -\frac{1}{2} H \Omega \sin(\Omega t). \quad (\text{A3})$$

8 Averaging w^2 over a period, we obtain the root-mean-square velocity, $w_{rms} = \frac{1}{2\sqrt{2}} H \Omega$. This
 9 expression, together with the scaling law for w_{rms} , in Eq. (A1), gives a scaling law for the
 10 frequency Ω and the period T of the oscillations in the mixed layer,

$$\Omega = \frac{2\sqrt{2}A|B_0H|^{1/3}}{H}, \text{ and } T = \frac{\pi H}{\sqrt{2}A|B_0H|^{1/3}}. \quad (\text{A4})$$

11 To assess the skill of the scaling for T , we compared the prediction of T from Eq. (A4)
 12 with two estimates of the overturning timescale from Lagrangian floats deployed in the North
 13 Atlantic (Steffen and D'Asaro, 2002). The results are reported in Table C1. Eq. (A4) predicts
 14 overturning timescales of 1.5 ± 0.6 and 1.3 ± 0.5 days using the observed mixed layer depths and
 15 heat fluxes in good agreement with float based estimates of 1.2 and 1.6 days respectively.

16 The residency time of particles in the euphotic layer is now easily computed as the time a
 17 particle spends between the surface and the euphotic layer depth H_{eu} . Assuming that the

1 overturning timescale T is longer than the length of daytime, then the particles will visit the
 2 euphotic layer only once per day for a period of time given by,

$$T_{eu} = \frac{H \arccos(1 - 2H_{eu}/H)}{\sqrt{2}A|B_0H|^{1/3}}. \quad (A5)$$

3 Figure B1 plots the residency time T_{eu} as a function of ML depth and heat flux, for the
 4 typical euphotic depth during winter in the region considered, $H_{eu} = 165$ m. For MLDs close to
 5 the euphotic depth, the particle speed is slow enough that cells in the euphotic layer experience
 6 light most of the daytime. For MLDs deeper than 200 m, the time spent in the euphotic layer
 7 decreases with increasing heat flux and is very weakly dependent on the ML depth. One can
 8 understand this dependence taking the limit of Eq. (A5) for $H_{eu}/H \ll 1$,

$$T_{eu} \sim \frac{\sqrt{2}H_{eu}^{1/2}H^{1/6}}{A|B_0|^{1/3}}. \quad (A6)$$

9 The increase in w_{rms} for increasing H is offset by the decrease in speed close to the surface
 10 resulting into a weak dependence on H .

11 The residency time of phytoplankton cells in the upper 165 m at the onset of all 9 blooms
 12 is shown in Fig. B1. The surface heat flux was estimated as the median value of Q_0 in the time
 13 interval between the last profile before t_E and the profile at t_E . Its uncertainty was defined as the
 14 semi-interquartile range of Q_0 in the same time interval. For most blooms, H was estimated as
 15 the median ML depth between the last profile before t_E and the profile at t_E . The uncertainty in H
 16 was set equal to the difference in ML depth between the last profile before t_E and the profile at t_E .
 17 For the blooms IMR3 2010-2011 and IMR3 2011-2012, the [Chl a] was observed to increase in a
 18 layer shallower than the density-based estimate of the ML depth. The mixed layer depth is a poor
 19 estimator of the mixing layer depth as it may miss any slight restratification near the surface and

1 it may also record past deeper mixing events. For these two blooms, it is therefore more
2 appropriate to estimate H as the depth of the layer where we observed an increase of $[Chl\ a]$,
3 which likely tracks the region where mixing was active.

4 Figure B1 shows that H was shallower than H_{eu} at t_E of four of the blooms and therefore
5 the cells remained in the euphotic layer for the whole length of daytime. For the remaining three
6 floats, the cell residency time in the upper 165 m at t_E is estimated to have been longer than or
7 equal to 9 hours.

8

9 **d) Calculation of the photoperiod**

10 The photoperiod is the number of hours for which phytoplankton cells are exposed to
11 sustained light during the day, i.e, the daily time spent in the euphotic layer. If the residency time
12 T_{eu} is longer than the daylength or the ML is shallower than the euphotic depth, then the
13 photoperiod for cells in the euphotic layer is equal to the daylength; otherwise the photoperiod is
14 shorter and equal to T_{eu} . The Table C2 summarizes the daylength, H , Q_0 and our estimate of T_{eu}
15 at each bloom onset.

16 In seven blooms, t_E occurred when the daylength was between 9 and 11 hours (Table C2).
17 During that period, the surface heat losses remained smaller than $200\ W\ m^{-2}$. For heat fluxes of
18 this magnitude, cells spent more than 9 hours in the upper 165 m as per Fig. B1, while the
19 daylength was shorter. Hence the photoperiod was equal to the daylength and was between 9 and
20 11 hours on the day of t_E . The two hour spread in photoperiod values most likely stems from the
21 10 day sampling of the floats, which is equivalent to a one hour change in daylength at the
22 latitudes sampled by the floats. We conclude that the critical photoperiod when phytoplankton
23 cells germinate is 10 hours with an uncertainty of one hour for these seven bloom events.

1 In the remaining two float years, t_E occurred when the daylength was around 14 hours
2 (Table C2). The two floats were within 30 km of each other at bloom onset, so the two events are
3 not really independent. In both cases, the heat losses were constantly above 200 W m^{-2} as the
4 daylength increased from 9 to 14 hours, as can be seen in Fig. 4a looking at the 40 days prior to
5 bloom onset. According to Fig. B1, such a strong heat flux generated enough mixing to prevent
6 cells from experiencing more than 8 hours of light. Thus, from the point of view of the cells, the
7 photoperiod did not exceed 10 hours until the surface heat fluxes decreased at the end of March
8 and the daylength was already 14 hours. We conclude that the bloom onset is consistent with a
9 10-hour critical photoperiod for these two blooms as well.

10

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19

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2

Table 1. Relevant Information concerning the 9 bio-optical profiling floats used in this study.

<i>Float</i>	<i>wmo number</i>	<i>Deployment location</i>	<i>Deployment Date</i>	<i>Sensor failure date</i>
IMR1	6900796	0.25°W, 67.68°N	30 May 2010	Optical sensors: 31 Oct 2012 CTD: 19 Jan 2013
IMR2	6900798	2.43°E, 69.09°N	02 Jul 2010	Optical sensors: 01 Nov 2012 CTD is still operational
IMR3	6900799	6.01°E, 70.03°N	06 Nov 2010	Optical sensors: 31 Oct 2012 CTD: 20 Sept 2013
IMR4	6902545	7.54°E, 69.27°N	23 Jan 2014	ongoing
IMR5	6902549	1.40°E, 72.57°N	29 Jul 2014	ongoing
IMR6	6902550	5.90°E, 70.78°N	04 Aug 2014	ongoing
IMR7	6902544	0.04°E, 64.66°N	16 Nov 2013	ongoing
IMR8	6902548	4.70°W, 68.58°N	21 Jul 2014	ongoing
IMR9	6902547	7.30°E, 69.13°N	22 Jan 2014	ongoing

1

2 **Table 2.** Fluorometer scale factors provided by the manufacturer, number of MODIS match-
 3 ups, regression slopes determined by a regression through the origin and correlation coefficients
 4 between the float fluorescence (minus the dark counts) and MODIS [Chl *a*] estimates.

<i>Float</i>	<i>Manufacturer scale factor (mg count⁻¹ m⁻³)</i>	<i>Number of MODIS matchups</i>	<i>Regression slope (mg m⁻³ count⁻¹)</i>	<i>Correlation coefficient</i>
IMR1	0.0072	82	0.0051	0.61
IMR2	0.0072	69	0.0034	0.69
IMR3	0.0074	62	0.0049	0.63
IMR4	0.0073	36	0.0026	0.44
IMR5	0.0072	42	0.0020	0.81
IMR6	0.0072	42	0.0013	0.63
IMR7	0.0073	32	0.0018	0.49
IMR8	0.0072	46	0.0024	0.53

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6

1

2 **Table 3.** Time of “emergence from noise” (t_E) in year-day, and latitude ($^{\circ}$ N) at t_E . The events
3 have been sorted in increasing day of the year from top to bottom.

<i>Bloom</i>	t_E	<i>Latitude</i>
IMR1 2011-2012	59	66.7
IMR1 2010-2011	62	68.5
IMR5 2014-2015	66	72.5
IMR3 2011-2012	69	69.9
IMR4 2014-2015	69	70.4
IMR2 2011-2012	70	70.5
IMR6 2014-2015	72	69.9
IMR3 2010-2011	95	69.4
IMR2 2010-2011	96	69.0

4

1 **Table C1.** Surface heat flux Q_0 , ML depth H , and observed overturning time T_{obs} as reported in
 2 (Steffen and D'Asaro, 2002). The corresponding predicted overturning time T_{mod} is based on Eq.
 3 (A4) with the following parameters: $A = 0.45 \pm 15$, $c_p = 3986 \text{ J/Kg } ^\circ\text{C}$, $\alpha = 8.72 \times 10^{-4} \text{ } ^\circ\text{C}^{-1}$, $g = 9.81$
 4 $\text{m}^2 \text{ s}^{-1}$, and $\rho_0 = 1027.764 \text{ kg m}^{-3}$ derived from the observed salinity and potential temperature at
 5 the sea surface in (Steffen and D'Asaro, 2002). The T_{mod} uncertainties (δT_{mod}) are calculated as:

6
$$\delta T_{mod} = \sqrt{\left(\frac{\partial T_{mod}}{\partial A} \delta A\right)^2 + \left(\frac{\partial T_{mod}}{\partial H} \delta H\right)^2 + \left(\frac{\partial T_{mod}}{\partial Q_0} \delta Q_0\right)^2}$$
, with δA , δH , and Q_0 being the
 7 uncertainties of A , H , and Q_0 .
 8

	<i>1997</i>	<i>1998</i>
$Q_0 \text{ (W m}^{-2}\text{)}$	270 ± 40	150 ± 30
$H \text{ (m)}$	960 ± 30	620 ± 20
$T_{obs} \text{ (days)}$	1.2	1.6
$T_{mod} \text{ (days)}$	1.5 ± 0.6	1.3 ± 0.5

9

10

1 **Table C2.** Time of “emergence from noise” t_E (year-day), daylength (hours), ML depth H (m),
 2 surface heat flux Q_0 ($W\ m^{-2}$), the euphotic layer residency time T_{eu} and photoperiod at t_E (hours).
 3 The daylength is the estimated value at t_E . The estimates for Q_0 , H , and their uncertainty are
 4 discussed in the text. The estimates of T_{eu} , based on Eq. A5, are only indicated if $H > H_{eu}=165$
 5 m.

Bloom	t_E (year-day)	Daylength (hrs)	H (m)	Q_0 ($W\ m^{-2}$)	T_{eu} (hrs)	Photoperiod (hrs)
IMR1a	2011-03-03	10	240 ± 50	-65 ± 70	12	10
IMR1b	2012-02-28	10	160 ± 20	-130 ± 60		10
IMR2a	2011-04-06	14	200 ± 170	-100 ± 100	11	11
IMR2b	2012-03-10	11	140 ± 30	-130 ± 20		11
IMR3a	2011-04-05	14	360 ± 310	-130 ± 120	10	10
IMR3b	2012-03-09	11	100 ± 10	-120 ± 20		11
IMR4	2015-03-10	11	320 ± 10	-150 ± 40	10	10
IMR5	2015-03-07	10	120 ± 10	-100 ± 30		10
IMR6	2015-03-13	11	330 ± 40	-170 ± 50	9	9

6

1 **Fig. 1.** Trajectory of the floats used in the study. The black symbols show the location of the
2 floats deployed North of the Arctic Circle (i.e., IMR1, IMR2, IMR3, IMR4, IMR5 and IMR6) at
3 t_E .
4

1 **Fig. 2.** Bloom observed by float IMR2 during the fall-spring 2011-2012. **(a)** Time evolution
2 of the vertical distribution of [Chl *a*]. The asterisks indicate the vertical profiles where the ML
3 fluorescence values are not significantly different from the deep fluorescence values. **(b)** Time
4 evolution of the vertical distribution of potential density σ_θ . The black and white continuous lines
5 are the mixed layer, H, and euphotic layer depths, H_{eu} , respectively. **(c)** Time series of the
6 vertical integral and the average concentration of [Chl *a*] in the ML ($\langle \text{Chl} \rangle$, blue lines and
7 [Chl]_{ml}, orange line). The dashed lines are the standard deviations around the average cycle of
8 [Chl]_{ml}. **(d)** Time series of the daily surface heat flux Q_0 (black line) and the daily surface PAR
9 corrected for cloud cover, PAR(0) (red line). **(e)** Time series of the division rates averaged over
10 the ML depth and over a day as described in section 4bi. The two black vertical lines and the
11 gray shading indicate Δt_{onset} , the time period during which bloom onset is possible. The first
12 vertical line marks the end of the polar night. The second black vertical line indicates t_E , the
13 sampling profile during which the ML fluorescence become significantly different from the deep
14 fluorescence values (i.e., emergence of signal from noise).

15

16

1 **Fig. 3.** Same as Fig. 2, but for the float IMR7 during the winter-spring 2013-2014. The two
2 black vertical lines and the gray shading indicate the onset of the bloom. In panel (e), the
3 continuous red and blue lines are the daily mixed layer averaged division rate ($1/H\langle\bar{\mu}\rangle$) and the
4 phytoplankton loss rates (m), respectively, computed as discussed in section 4b.
5

1

2 **Fig.4. (a)** Time series of surface heat flux (Q_0), **(b)** mixed layer depth (H), **(c)** the daily surface
3 PAR corrected for cloud cover [$PAR(0)$], and **(d)** daylength relative to the time of “emergence
4 from signal to noise” t_E for the nine bloom events observed by the floats. The blue lines represent
5 the cases where the first accumulation of biomass was detected when the sea surface heat fluxes
6 were still negative. The orange lines represent the cases where the first accumulation of biomass
7 was detected when the wintertime cooling shut down. A 10-day moving average has been
8 applied to Q_0 .

9

1 **Fig. 5.** Schematic of the trajectory of a phytoplankton cells in the mixing layer. The
2 photoperiod is the time spent by the cell in the euphotic layer. In the open ocean, this time
3 depends on the daylength, the depth of the euphotic layer, the strength and the vertical extent of
4 the turbulence mixing the cells.

5

6

1 **Fig. 6.** Time series of the daily mixed layer-averaged phytoplankton division rate ($\frac{1}{H} \langle \bar{\mu} \rangle$)
2 based on Eq. (15) for the nine events observed by the floats. All time series are shifted relative to
3 t_E . The blue lines represent the cases where the first accumulation of biomass was detected when
4 the sea surface heat fluxes were still negative. The orange lines represent the cases where the
5 first accumulation of biomass was detected when the wintertime cooling shut down. The
6 horizontal gray shading represents the winter phytoplankton loss rates range, namely 0.02-0.05
7 day^{-1} . **(a)** Estimates based on a clear sky model of incoming irradiance for the days and latitudes
8 sampled by each float (Gregg and Carder, 1990). **(b)** Estimates based on the periodic repetition
9 of the daily cycle of incoming surface insolation on March 1st at 70°N.

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Figure B1. Residency time in the euphotic layer (T_{eu}) as a function of the ML depth (H) and the surface heat flux (Q_0) at the onset time of the bloom. The estimates are based on Eq. (A5) with the following parameter values: $A=0.45$, $c_p=3984 \text{ J/Kg } ^\circ\text{C}$, $\alpha=1.22 \times 10^{-4} \text{ } ^\circ\text{C}^{-1}$, $g=9.81 \text{ m}^2 \text{ s}^{-1}$, $\rho_0=1028 \text{ kg m}^{-3}$, (c_p , α and ρ_0 are the average values at the nine bloom onsets). The vertical dashed line is the euphotic depth, $H_{eu} = 165\text{m}$. The dots represent the ML depth and surface heat flux at bloom onset for all floats. The estimates for Q_0 , H and their uncertainties are discussed in the text.