Biogeosciences Discuss., 12, 14343–14375, 2015 www.biogeosciences-discuss.net/12/14343/2015/ doi:10.5194/bgd-12-14343-2015 © Author(s) 2015. CC Attribution 3.0 License.



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# Complexity of diatom response to Lateglacial and Holocene climate and environmental change in ancient, deep, and oligotrophic Lake Ohrid (Macedonia/Albania)

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Received: 30 July 2015 – Accepted: 8 August 2015 – Published: 1 September 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.



# Abstract

Lake Ohrid (Macedonia/Albania) is a rare example of a deep, ancient Mediterranean lake and is a key site for palaeoclimate research in the northeastern Mediterranean region. This study conducts the first high-resolution diatom analysis during the Lateglacial

- and Holocene in Lake Ohrid. It demonstrates a complex diatom response to temperature change, with a direct response to temperature-induced productivity and an indirect response to temperature-related stratification/mixing regime and epilimnetic nutrient availability. During the Lateglacial (ca. 12 300–11 800 cal yr BP), the low-diversity dominance of hypolimnetic *Cyclotella fottii* indicates low temperature-dependent lake pro-
- <sup>10</sup> ductivity. During the earliest Holocene (ca. 11 800–10 600 cal yr BP), although the slight increase in small, epilimnetic *C. minuscula* suggests climate warming and enhanced thermal stratification, diatom concentration remains very low as during the Lateglacial, indicating that temperature increase was muted. The early Holocene (ca. 10 600–8200 cal yr BP) marked a sustained increase in epilimnetic taxa, with mesotrophic *C.*
- <sup>15</sup> ocellata indicating high temperature-induced lake productivity between ca. 10600– 10200 cal yr BP and between ca. 9500–8200 cal yr BP, and with *C. minuscula* in response to low nutrient availability in the epilimnion between ca. 10200–9500 cal yr BP. During the mid Holocene (ca. 8200–2600 cal yr BP), when sedimentological and geochemical proxies provide evidence for high temperature, anomalously low *C. ocellata*
- <sup>20</sup> abundance is probably a response to epilimnetic nutrient limitation, almost mimicking the Lateglacial flora apart from mesotrophic *Stephanodiscus transylvanicus* indicative of high temperature-induced productivity in the hypolimnion. During the late Holocene (ca. 2600–0 cal yr BP), high abundance and fluctuating composition of epilimnetic taxa is largely a response to enhanced anthropogenic nutrient input. In this deep, olig-
- otrophic lake, this study demonstrates the strong influence of lake physical and chemical processes in mediating the complex response of diatoms to climate change with particular respect to temperature.



### 1 Introduction

Deep, ancient lakes are of global importance for palaeoclimate research, and diatom records from these lakes can provide powerful insights into mechanisms of climate and environmental change over long timescales (Mackay et al., 2010). Lake Ohrid
<sup>5</sup> (Macedonia/Albania) is a rare example of a deep, ancient Mediterranean lake (Roberts and Reed, 2009). It is thought to be the oldest lake in Europe, and probably the most biodiverse lake in the world (Albrecht and Wilke, 2008; Levkov and Williams, 2012). It is therefore a key site for palaeoclimate research in the northeastern Mediterranean region (Wagner et al., 2014). As most Mediterranean lakes are relatively shallow and demonstrate a strong diatom response to shifts in moisture availability (Zhang et al., 2014), the diatom record in Lake Ohrid may provide an important means by which to disentangle temperature and precipitation effects in Mediterranean climate research.

To date, diatom-based palaeoclimate research in Lake Ohrid has focused on lowresolution analysis of response to the last glacial-interglacial cycle (Wagner et al.,

- <sup>15</sup> 2009; Reed et al., 2010; Cvetkoska et al., 2012). Fluctuations in diatom composition between glacial/stadial and interglacial/interstadial stages have suggested a strong and simple response to temperature-induced changes in lake productivity. Here, we focus on high-resolution analysis of diatom response to Lateglacial and Holocene climate, environmental and limnological change, testing the response of diatoms in greater
- depth than has been achieved previously. Core Co1262, in the western part of the lake, is chronologically well constrained and is the longest and most continuous Holocene sequence yet retrieved from the lake. Diatom results are compared with sedimento-logical and geochemical data from the same core (Wagner et al., 2012; Lacey et al., 2014). We also compare with low-resolution diatom data from core Lz1120 (southeast-
- ern Lake Ohrid; Wagner et al., 2009), core Co1202 (northeastern Lake Ohrid; Reed et al., 2010; Cvetkoska et al., 2012) and core 9 (north-central Lake Ohrid; Roelofs and Kilham, 1983), and with palynological data from the region (Wagner et al., 2009; Panagiotopoulos et al., 2013).



#### 2 Site description

Lake Ohrid  $(40^{\circ}54'-41^{\circ}10' \text{ N}, 20^{\circ}38'-20^{\circ}48' \text{ E}, 693 \text{ ma.s.l.}; \text{ Fig. 1})$  is an ancient graben lake with a > 1.2 Ma sedimentary record (Wagner et al., 2014). The lake is about 30 km long, 16 km wide, and has a surface area of 358 km<sup>2</sup> and a maximum water depth of 293 m (Albrecht and Wilke, 2008; Wagner et al., 2012). The lake basin has

- a relatively simple tub-shaped morphometry with steep slopes along the western and eastern sides and less inclined shelves in the northern and southern parts. It is surrounded by the Galicica Mountain (2256 m a.s.l.) to the east, the Mali i Thate Mountain (2276 m a.s.l.) to the southeast, the Jablanica Mountain (2225 m a.s.l.) to the northwest,
- and the Mokra Mountain (1512 m a.s.l.) to the west. Geological formations around the lake comprise Palaeozoic metamorphics to the northeast, karstified Triassic limestones to the east, southeast and northwest, Jurassic ophiolites to the west, Tertiary molasse deposits to the southwest and south, and Quaternary fluvio-lacustrine deposits in the Struga, Ohrid and Starovo plains to the north, northeast and south, respectively (Hoff-
- <sup>15</sup> mann et al., 2010; Reicherter et al., 2011). The local climate belongs to the Mediterranean regime with minimum precipitation occurring in June–August, and it is also influenced by the continental regime as it is surrounded by high mountains (Watzin et al., 2002). The catchment vegetation is distributed mainly in altitudinal belts as, in ascending order, mixed deciduous oak forest, beech forest, coniferous forest, and subalpine
   <sup>20</sup> and alpine meadows (Lézine et al., 2010; Panagiotopoulos et al., 2013).

Lake Ohrid is fed mainly by karstic springs (53 %, including 27 % surface springs and 26 % sublacustrine springs), with 24 % of water input from river inflow and 23 % from direct precipitation on the lake surface. Direct outflow is via the Crni Drim River (66 %), with 34 % evaporative loss (Matzinger et al., 2006a). The largest surface springs are

those of Sveti Naum and Tushemisht at the southeastern edge of the lake, with smaller complexes comprising the Biljana spring in the northeastern part and the Dobra Voda spring in the northwest (Albrecht and Wilke, 2008). Sublacustrine springs are located mainly on the eastern shore of the lake, with one in the northwestern corner (Matter



et al., 2010). The most important source of karstic springs is the Lake Prespa underground outflow, which provides 21 % of total Lake Ohrid water input (Matzinger et al., 2006b). The karst aquifers are also charged by the infiltration of precipitation on the Galicica and Mali i Thate Mountains. There is no major inflow close to the Lini Penin<sup>5</sup> sula in the western part of the lake. The top 150–200 m of the water column is mixed every winter, and a complete circulation of the entire water column occurs roughly every seventh winter (i.e. it is oligomictic) (Stanković, 1960; Matzinger et al., 2006a). Lake Ohrid is alkaline, with pelagic water pH 8.0–8.9 in the period 2004–2006 (Tasevska et al., 2012), and ionic composition dominated by bicarbonate and calcium (Stanković, 1960). It is highly oligotrophic, with mean total phosphorus and total nitrogen concentration throughout the water column at the lake centre of 4.6–6.8 and 171–512 µgL<sup>-1</sup>, respectively, in 2000–2001 (Watzin et al., 2002), and low dissolved silica concentra-

tion of <  $0.2 \text{ mg L}^{-1}$  in the trophogenic zone in summer (Stanković, 1960). It is typically fresh and clear, with low water conductivity of 195–239 µS cm<sup>-1</sup> in the littoral zone in 2009–2010 (Schneider et al., 2014), and high Secchi depth of 11–21 m in 2000–2003 (Petrova et al., 2008).

# 3 Material and methods

Following detailed hydro-acoustic surveys carried out between 2004 and 2009 on lake bathymetry and sediment architecture (Wagner et al., 2012; Lindhorst et al., 2015),

a 1008 cm-long core Co1262 was recovered in June 2011 from 260 m water depth in front of the Lini Peninsula at the western margin of Lake Ohrid, using UWITEC gravity and piston coring equipment from a floating platform (www.uwitec.at). Not taking into account a 200 cm-thick mass wasting deposit and three smaller ones (< 20 cm) revealed by coarse grain size and low water content (Wagner et al., 2012), the undisturbed composite sediment sequence is 785 cm long.</p>

The age model of core Co1262 was described in detail by Lacey et al. (2014). Radiocarbon dating, tephrostratigraphy and cross correlation of calcite and organic matter



contents with other sediment cores from Lake Ohrid and the hydraulically-linked adjacent Lake Prespa were used to provide chronological control for core Co1262. The age model was calculated based on five calendar ages of terrestrial plant remains, three well-dated tephras (Somma-Vesuvius AD 472/512 tephra, Mount Etna FL tephra and Somma-Vesuvius Mercato tephra; Sulpizio et al., 2010; Damaschke et al., 2013) and five correlation points, using the smoothing spline method (smoothing = 0.1) with the software package Clam 2.2 (Blaauw, 2010). One radiocarbon age of fish remains is

apparently too old and was excluded. The radiocarbon and tephra chronologies are shown in Table 1, and the correlation of core Co1262 with other sediment cores was described in detail by Wagner et al. (2012). The age model shows that core Co1262

covers the past 12 300 years (Fig. 2), spanning the Lateglacial and Holocene period. Diatom analysis was carried out on 104 samples in the 785 cm-long master sequence, taken every 8 cm but at a higher resolution of 4 cm around putative abrupt events at ca. 8200 and 4200 cal yr BP. The age resolution is ca. 80–110 years for the

- top 120 cm, ca. 40–70 years between 240–120 cm (ca. 2200–1400 cal yr BP), ca. 100–200 years between 350–240 cm (ca. 4400–2200 cal yr BP), ca. 270–350 years between 435–350 cm (ca. 7800–4400 cal yr BP), and ca. 90–120 years for the lower sequence. The relatively low age resolution in the mid core is a result of low sedimentation rate. Standard techniques in Battarbee et al. (2001) were adopted for preparation of di-
- atom slides. Approximately 0.1 g dry weight sediment samples were heated in 25– 30 mL 30 %  $H_2O_2$  to oxidise organic matter, and a few drops of concentrated HCI were added to remove carbonates and remaining  $H_2O_2$ . The residue was suspended in distilled water, centrifuged and washed 4–5 times to remove clay and remaining HCI. The suspension was diluted to an appropriate concentration, and known quantities of
- <sup>25</sup> plastic microspheres were added to allow calculation of absolute diatom concentration. Diatom slides were mounted using Naphrax<sup>TM</sup>. Diatoms were counted along transects at ×1000 magnification under oil immersion on an OLYMPUS BX51 light microscope. More than 500 valves per slide were counted. Diatom identification was based on a range of standard literature (Krammer and Lange-Bertalot, 1986, 1988, 1991a, b;



Lange-Bertalot, 2001; Krammer, 2002; Houk et al., 2010, 2014) and the dedicated Lake Ohrid works which reflect ongoing revision and improvement of diatom taxonomy (Levkov et al., 2007; Levkov and Williams, 2011; Cvetkoska et al., 2012, 2014a), adopting the nomenclature of the Catalogue of Diatom Names (on-line version) (Fourtanier

- and Kociolek, 2011). The endemics, *Cyclotella fottii* Hustedt and the smaller taxon *Cyclotella hustedtii* Jurilj were previously separated (Hustedt, 1945; Jurilj, 1954). They are now combined as *C. fottii* but we split morphotypes as size classes to investigate additional sub-species response (cf. Reed et al., 2010; Cvetkoska et al., 2012). *Cyclotella minuscula* (Jurilj) Cvetkoska is a new species identification (Cvetkoska et al., 2014a),
- which was previously identified as *Discostella stelligera* (Cleve & Grunow) Houk & Klee (Roelofs and Kilham, 1983; Wagner et al., 2009) or briefly combined with *Cyclotella ocellata* Pantocsek (Reed et al., 2010; Cvetkoska et al., 2012). *Cyclotella ocellata* morphotypes were split by number of ocelli. *Stephanodiscus transylvanicus* Pantocsek is another improvement of species identification (Cvetkoska et al., 2012), which was pre-
- viously identified as *Stephanodiscus astraea* (Ehrenberg) Grunow (Roelofs and Kilham, 1983), *Stephanodiscus neoastraea* Håkansson & Hickel (Wagner et al., 2009) or *Stephanodiscus galileensis* Håkansson & Ehrlich (Reed et al., 2010). Diatom results were displayed using Tilia version 1.7.16, and zone boundaries were defined based on relative abundance data according to Constrained Incremental Sum of Squares
   (CONISS) cluster analysis (Grimm, 2011).

To assess the quality of diatom preservation, Ryves' *F* index of the dominant endemic taxon *C. fottii* was calculated as the ratio of pristine valves to all valves (sum of pristine and dissolved valves), where F = 1 indicates perfect preservation while F = 0shows that all valves are visibly dissolved (Ryves et al., 2001). Unconstrained ordination techniques were used to explore the variance in the diatom relative abundance data using Canoco for Windows 4.5 (Ter Braak and Šmilauer, 2002). Detrended correspondence analysis (DCA) gave the largest gradient length of 1.85 SD units, and thus the linear ordination method principal components analysis (PCA) was selected (Ter Braak, 1995; Lepš and Šmilauer, 2003). Diatom influx data, with the influence of



sedimentation rate factored out, provide a more robust interpretive tool for productivity than concentration data (Rioual and Mackay, 2005), but the necessary dry bulk density data were not available. Instead, potential influences of changing sedimentation rates on diatom concentration were assessed qualitatively.

#### 5 4 Results

Six major diatom assemblage zones can be defined based on diatom relative abundance data, which match well with changes in absolute diatom concentration (Fig. 3). *F* index values for endemic *Cyclotella fottii* are > 0.75 throughout with > 500 valves counted and >  $2 \times 10^7 \text{ g}^{-1}$  concentration, and diatom preservation quality is high.

In Zone D-1 (785–639 cm, ca. 12 300–10 600 cal yr BP), planktonic *C. fottii* is dominant at > 80 % abundance, diatom PCA Axis 1 scores are low, and diatom concentration is very low. In Subzone D-1a (785–743 cm, ca. 12 300–11 800 cal yr BP), facultative planktonic taxa, mainly comprising *Staurosirella pinnata* (Ehrenberg) Williams & Round and *Pseudostaurosira brevistriata* (Grunow) Williams & Round, are present at ca. 8 % abundance. Subzone D-1b (743–639 cm, ca. 11 800–10 600 cal yr BP) is marked by a slight increase in the abundance of planktonic *C. minuscula*, and facultative planktonic taxa decreases slightly to < 5 %.</li>

Zone D-2 (639–551 cm, ca. 10 600–9500 cal yr BP) shows a decline in the abundance of *C. fottii* (and its large morphotypes in particular), and a shift to relatively high diatom

- PCA Axis 1 scores. Cyclotella ocellata increases to ca. 10–30% in Subzone D-2a (639–607 cm, ca. 10600–10200 cal yr BP), and S. transylvanicus occurs, with peak diatom concentration. In Subzone D-2b (607–551 cm, ca. 10200–9500 cal yr BP), C. minuscula increases to ca. 20–40% at the expense of C. ocellata and S. transylvanicus, while diatom concentration is relatively low.
- In Zone D-3 (551–449 cm, ca. 9500–8200 cal yr BP), *C. ocellata* is abundant throughout (ca. 20–60 %), with high diatom PCA Axis 1 scores and diatom concentration. In Subzone D-3a (551–511 cm, ca. 9500–9000 cal yr BP), *C. ocellata* shows sustained



peak abundance (ca. 30–60%), including non-classic morphotypes with  $\geq$  4 ocelli in valve centre. Subzone D-3b (511–449 cm, ca. 9000–8200 calyrBP) is characterised by increased abundance of *S. transylvanicus*, and *C. ocellata* consists mainly of the classic morphotype (3 ocelli).

In Zone D-4 (449–269 cm, ca. 8200–2600 cal yr BP), *C. fottii* is at high abundance (ca. 60–85%), *S. transylvanicus* is consistently present at ca. 5–10% abundance, and *C. ocellata* is at relatively low abundance (ca. 10–20%), with a decline in diatom PCA Axis 1 scores and diatom concentration.

In Zone D-5 (269–214 cm, ca. 2600–2000 cal yr BP), *C. ocellata* shows renewed high abundance (ca. 50–60 %), with an increase in diatom PCA Axis 1 scores. Diatom concentration is relatively high.

In Zone D-6 (214–0 cm, ca. 2000–0 cal yr BP), *C. ocellata* is abundant (ca. 25–60 %), and there is increased but fluctuating abundance of *C. minuscula*, showing a sharp peak (ca. 35 % abundance) at the lower zone boundary. Diatom PCA Axis 1 scores are high, but diatom concentration is low.

#### 5 Interpretation

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The limnological interpretation of diatoms rests in part on previous studies (Stanković, 1960; Allen and Ocevski, 1976; Ocevski and Allen, 1977), which found that endemic *C. fottii* occupies the hypolimnion throughout the year in Lake Ohrid. *Cyclotella fottii* is described as oligothermic and oligophotic, and is thought to be an opportunistic species which extends its growth into the epilimnion during periods of low temperature in winter and early spring (Stanković, 1960). *Stephanodiscus transylvanicus* probably has similar ecological preferences to other intermediate- to large-sized *Stephanodiscus* species by virtue of their morphological similarity (Bradbury, 1991), and has been described as
hypolimnetic (Stanković, 1960; Allen and Ocevski, 1976) and mesotrophic (Wagner et al., 2009). *Cyclotella ocellata* (and by inference *C. minuscula*) adopts an epilimnetic life habit in Lake Ohrid, thrives mainly in late spring and summer, and is described as



eurythermic (Stanković, 1960). It has been described as mesotrophic (Wagner et al., 2009), and is taken as an indicator of nutrient enrichment in this highly oligotrophic lake compared to *C. fottii* (Lorenschat et al., 2014). *Cyclotella minuscula* is very small (3–5  $\mu$ m diameter), and probably has a similar ecological niche as other small-celled

- *Cyclotella sensu lato* species (Saros and Anderson, 2015), which have low nutrient and light requirements, high growth rates and low sinking rates, owing to their high surface area to volume ratio (Winder et al., 2009; Finkel et al., 2009). In contrast to previous palaeolimnological work (Roelofs and Kilham, 1983; Wagner et al., 2009; Reed et al., 2010; Cvetkoska et al., 2012), we adopt here the specific assumption that variations in the relative abundance of these taxa represent a response to shifts in lake productivity
- and/or changes in mixing regime, both in relation to temperature change.

From the results of this study, the complacency in *C. fottii* F index values and high quality of diatom preservation indicate that major shifts in diatom composition are not related to the taphonomic effects of dissolution, but represent a real ecological shift.

- Diatom PCA Axis 1 scores clearly vary according to the relative abundance of the putative epilimnetic taxa, with high positive scores with the dominance of epilimnetic taxa and high negative scores in zones of low-diversity *C. fottii* dominance. To strengthen diatom interpretation, we compare the diatom results of core Co1262 with sedimento-logical and geochemical data from the same core (Fig. 4; Wagner et al., 2012; Lacey
- et al., 2014). In this lake, total inorganic carbon (TIC) or calcite (CaCO<sub>3</sub>) content in particular has proved to be a strong proxy for temperature-induced lake productivity (Vogel et al., 2010; Wagner et al., 2010). Diatom shifts in core Co1262 are well correlated with those of core Lz1120, southeastern Lake Ohrid (Fig. 5; Wagner et al., 2009), validating diatom interpretation of core Co1262 as representative of basin-wide response. Com-
- <sup>25</sup> parison of diatoms with palynological data for catchment vegetation change from core Lz1120 is also shown in Fig. 5, to aid interpretation of the possible additional influence of catchment processes on nutrient delivery.



# 5.1 The Lateglacial (ca. 12300–11800 cal yr BP)

During the Lateglacial or Younger Dryas (Subzone D-1a; ca. 12300–11800 calyr BP), the low-diversity dominance of hypolimnetic, oligothermic and oligophotic *C. fottii* indicates low temperature-dependent lake productivity, as during marine isotope stage

- <sup>5</sup> 2 (MIS 2) in core 9, north-central Lake Ohrid (Roelofs and Kilham, 1983) and core Co1202, northeastern Lake Ohrid (Reed et al., 2010). This corresponds to low calcite content, and is also consistent with low organic matter (i.e. total organic carbon, TOC) content, low hydrogen index (HI) and high oxygen index (OI) which suggest low algal organic matter contribution and/or high organic matter degradation (Lacey et al., 2014).
- <sup>10</sup> The regularly-distributed (ca. 8 % relative abundance) pioneering, facultative planktonic fragilaroid taxa *S. pinnata* and *P. brevistriata* are probably related to cold water and winter lake ice cover (Mackay et al., 2003; Schmidt et al., 2004), which is consistent with the deposition of ice-rafted debris (Wagner et al., 2012). Low temperature would either have resulted in the high frequency and long duration of complete lake circulation
- <sup>15</sup> which usually occurs in severe winters in this lake today (Stanković, 1960; Matzinger et al., 2006a) or, if subject to winter lake ice cover, it would have been dimictic or monomictic rather than currently oligomictic. Thus, the capacity for mixing-induced upward nutrient supply would have been high. High potassium (K) concentration suggests high erosion, more clastic delivery, and low calcite and organic matter accumulation (Wag-
- <sup>20</sup> ner et al., 2012). This is consistent with a sparsely-vegetated catchment during the Younger Dryas (Panagiotopoulos et al., 2013). Thus, erosion-induced external nutrient input would also have been high. However, Younger Dryas temperature must have been low enough to prevent nutrient-induced productivity increase. Low temperature during the Younger Dryas is consistent with pollen-based temperature reconstruction
- in Lake Maliq, Albania (Bordon et al., 2009) and SL152, northern Aegean Sea (Kotthoff et al., 2011), and with alkenone- and foram-inferred low sea surface temperature (SST) in MNB3, northern Aegean Sea (Gogou et al., 2007; Geraga et al., 2010).



#### 5.2 The earliest Holocene (ca. 11 800–10 600 cal yr BP)

During the earliest Holocene (Subzone D-1b; ca. 11 800–10 600 cal yr BP), the slight increase in the relative abundance of small, epilimnetic *C. minuscula* probably represents the inherent response of small planktonic diatoms to climate warming and enhanced thermal stratification, and resultant reduced nutrient availability and/or increased sinking velocities in a deep, oligotrophic lake (Winder et al., 2009; Finkel et al., 2009). The rarity (< 5% relative abundance) of facultative planktonic taxa suggests a more prolonged ice-free period, which is consistent with the disappearance of ice-rafted debris deposition after ca. 11 300 cal yr BP (Wagner et al., 2012). The increase in the abundance of epilimnetic *Cyclotella* species is also possibly related to a longer ice-free season (Smol et al., 2005; Rühland et al., 2008). There is a gradual rather than abrupt change in increasing organic matter content, increasing HI and decreasing OI which indicate relatively subtle increases in algal organic matter contribution and/or organic matter preservation (Lacey et al., 2014). However, diatom concentration remains

- very low as during the Lateglacial. In combination with low calcite content, this indicates that temperature is still very low during this period, possibly with only intermittent stratification. Although the diatom signature of the Lateglacial–Holocene transition is more pronounced here than in core Co1202 (Reed et al., 2010), the transition is remarkably muted compared to the marked diatom shifts observed in shallower southern
- Balkan lakes. The distinct transition in Lake Ioannina, northwestern Greece (Wilson et al., 2008; Jones et al., 2013), Lake Prespa, Macedonia/Albania/Greece (Cvetkoska et al., 2014b) and Lake Dojran, Macedonia/Greece (Zhang et al., 2014), for example, is instead a response driven by a major increase in lake level and moisture availability. The temperature shift was insufficient to cause major productivity increase in this
- deep lake, in spite of relatively high K concentration indicative of catchment erosion and nutrient input similar to the Lateglacial environment. The results also confirm the potential of Lake Ohrid's contrasting response thresholds to contribute to separation of temperature and precipitation change in regional palaeoclimate reconstruction.



### 5.3 The early Holocene (ca. 10 600-8200 cal yr BP)

The early Holocene (Zones D-2 and D-3; ca. 10600–8200 calyrBP) marked a sustained increase in the abundance of epilimnetic taxa, with an alternation between *C. ocellata* and *C. minuscula* in Zone D-2 (ca. 10600–9500 calyrBP) and dominance by

- <sup>5</sup> C. ocellata in Zone D-3 (ca. 9500–8200 cal yr BP). Diatom PCA Axis 1 scores are correspondingly high. Diatom concentration could still indicate a real change in lake productivity, since sedimentation rate is unchanged compared to Zone D-1 (Fig. 4). High abundance of eurythermic, mesotrophic C. ocellata between ca. 10600–10200 cal yr BP (Subzone D-2a) and between ca. 9500–8200 cal yr BP (Zone D-3) corresponds to high
- diatom concentration and high organic matter content, supporting an interpretation of *C. ocellata* as indicative of high temperature-induced lake productivity, as in core Co1202 (Reed et al., 2010). This is also consistent with generally high HI and slightly low OI, reflecting high algal organic matter contribution and/or better organic matter preservation (Lacey et al., 2014). High temperature would have reduced the frequency,
- <sup>15</sup> duration and strength of lake circulation, and thus restrained nutrient availability in the epilimnion. K concentration is generally low in the diatom zones D-2a and D-3, which might be attributed to more non-clastic material accumulation or might represent a decline in catchment erosion and associated external nutrient delivery. This is consistent with a densely-forested catchment (Panagiotopoulos et al., 2013). However, nutrient
- <sup>20</sup> concentration must have been insufficiently low to prevent temperature-induced productivity increase. High *C. minuscula* abundance between ca. 10 200–9500 cal yr BP (Subzone D-2b), at the expense of *C. ocellata*, corresponds to a major peak in calcite content. Given primarily photosynthesis-induced endogenic calcite precipitation and negligible detrital calcite in this lake, the peak calcite content indicates high lake pro-
- <sup>25</sup> ductivity and, by inference, high temperature (Vogel et al., 2010; Wagner et al., 2010). However, a contrasting diatom ecological response is shown in this subzone, with high *C. minuscula* abundance and low diatom concentration. Although, as suggested above, strong thermal stratification would support the bloom of small-sized planktonic diatom



species, low diatom concentration is not consistent with the inferred high temperatureinduced productivity. It is possible that, corresponding to the peak calcite content, more nutrients such as phosphorus are lost from the epilimnion through being absorbed onto the surface of precipitating calcite particles (Allen and Ocevski, 1976). In con-

<sup>5</sup> trast to Subzone D-2a and Zone D-3, epilimnetic nutrient availability in Subzone D-2b must have been low enough to prevent high temperature-induced productivity increase. The significance of this shift was not highlighted in previous study (Reed et al., 2010), wherein *C. minuscula* was separated only as a morphotype of *C. ocellata*.

An increase in the abundance of hypolimnetic, mesotrophic *S. transylvanicus* in Subzone D-3b (ca. 9000–8200 cal yr BP) corresponds to renewed calcite accumulation, and

- <sup>10</sup> zone D-3b (ca. 9000–8200 cal yr BP) corresponds to renewed calcite accumulation, and the lower boundary of this subzone is also coincident with an extreme, abrupt peak in K concentration, which indicates the Mercato tephra (Wagner et al., 2012). The tephra input would increase epilimnetic silica availability and reduce phosphorus release from the sediment (Barker et al., 2000; Telford et al., 2004), resulting in either an increase in diatam concentration (Letter et al., 2005; Testward et al., 2020) are a shift of diatam
- <sup>15</sup> in diatom concentration (Lotter et al., 1995; Eastwood et al., 2002) or a shift of diatom composition to the dominance of taxa that require higher Si/P ratio (Abella, 1988; Cruces et al., 2006). The tephra impact would also be short-lived, with a recovery of diatom composition towards the pre-tephra state (Telford et al., 2004; Cruces et al., 2006). It is apparent that the Mercato tephra has no impact on diatoms here, since *S*.
- transylvanicus maintains its abundance over the long term and diatom concentration maintains its maximum level. It is possible that *S. transylvanicus* is favoured by phosphorus release in the hypolimnion due to the dissolution of precipitating calcite and the mineralisation of settling organic matter from the upper layer (Stanković, 1960; Allen and Ocevski, 1976; Matzinger et al., 2006a, 2007). In all, during the early Holocene,
- <sup>25</sup> contrasting trophic types of diatom species respond to high temperature in different ways: the response of *C. ocellata* is direct in relation to high temperature-induced epil-imnetic productivity, while the response of *C. minuscula* is indirect in relation to nutrient limitation in the epilimnion. Lacey et al. (2014) interpreted the phase between ca. 8500–8000 cal yrBP as a response to the abrupt 8.2 ka cooling event based on



sedimentological and geochemical data, but there is no apparent diatom reversal to an oligothermic-type flora and the decline in diatom concentration is more a long-term change associated with reduced sedimentation rate.

# 5.4 The mid Holocene (ca. 8200–2600 cal yr BP)

- The mid Holocene (Zone D-4; ca. 8200–2600 calyr BP) was undoubtedly a phase of 5 high temperature and lake productivity, as indicated strongly by high calcite and organic matter content, high HI and low OI (Vogel et al., 2010; Wagner et al., 2010; Lacey et al., 2014). However, diatom response is complex. Cyclotella ocellata is anomalously at low abundance, with reduced diatom PCA Axis 1 scores. As in Subzone D-2b, this may be attributed to epilimnetic nutrient limitation, but to the extent that C. minuscula is also constrained. Mixing-induced upward nutrient supply is low due to strong thermal stratification. Erosion-induced external nutrient input is also low, as suggested by low K concentration and clastic content, and low catchment erosion is also supported by dense vegetation in the catchment (Fig. 5; Wagner et al., 2009) and by decreasing water inflow indicated by rising  $\delta^{18}O_{calcite}$  values from ca. 7000 cal yrBP (Leng et al., 2010; Lacey et al., 2014). The effect of high phosphorus precipitation linked to the "calcite scavenging" effect (Allen and Ocevski, 1976), and exacerbated by low internal and external nutrient supply, could be sufficient to limit the development of C. ocellata during the mid Holocene in spite of high temperature. The only predictable aspect of the
- diatom data is the relatively high abundance of mesotrophic *S. transylvanicus*, benefitting from high temperature-induced productivity in the hypolimnion. The flora is similar to that of the mid Holocene in core Lz1120 (Fig. 5; Wagner et al., 2009). Diatom concentration is still relatively high, possibly as an artefact owing to low sedimentation rate. As in other Lake Ohrid sediment cores (Wagner et al., 2009; Vogel et al., 2010), there
- is no evidence for an abrupt event at ca. 4200 calyr BP. Overall, in contrast to Zone D-1, low abundance of epilimnetic taxa here is the response to high rather than low temperature; in contrast to Zones D-2 and D-3, the diatom response here is to limited epilimnetic nutrient availability rather than high temperature-induced productivity.



#### 5.5 The late Holocene (ca. 2600–0 cal yr BP)

Between ca. 2600–2000 cal yr BP (Zone D-5), high *C. ocellata* abundance, along with high diatom PCA Axis 1 scores, is consistent with that of core Lz1120 (Fig. 5; Wagner et al., 2009). There is surprisingly little change in other limnological proxies during

- this phase, but it correlates with palynological evidence for anthropogenic catchment deforestation in core Lz1120 (Fig. 5; Wagner et al., 2009). Along with distinctly increasing sedimentation rate, relatively high diatom concentration probably represents a response to epilimnetic productivity increase, caused at least in part by human activity. At ca. 2000/1900 cal yrBP, the abrupt peak in *C. minuscula* abundance is correlated with
- peak K concentration, abrupt reductions in calcite, organic matter and HI, and a peak in OI. The peak is consistent with previous interpretations, suggesting that this is related to intensified human activity in the catchment during the Roman Period, and that enhanced erosion causes increased delivery of nutrients, clastic material and organic matter that is extensively oxidised (Wagner et al., 2009; Vogel et al., 2010; Lacey et al.,
- <sup>15</sup> 2014). It is not a predictable diatom response to high nutrient availability, however. While very small *Cyclotella sensu lato* species have low nutrient preferences, they may respond to nitrogen enrichment when N/P supply ratio is low (Saros and Anderson, 2015). There is no abiotic mechanism for the removal of nitrogen from the epilimnion, and phosphorus precipitation linked to the "calcite scavenging" effect is low at this time
   <sup>20</sup> (Allen and Ocevski, 1976).

After ca. 1900 cal yr BP (Zone D-6), Lake Ohrid essentially reached its modern state with high abundance of epilimnetic taxa, dominated by relatively small valves of *C. ocellata* and *C. minuscula*. As suggested in the previous zones, the autecology of *C. ocellata* and *C. minuscula* is probably divergent in relation to nutrient availability and <sup>25</sup> mixing depth, which is supported by other observational and experimental studies (e.g.

Saros et al., 2012); however, *C. ocellata* is relatively small compared to *C. paraocellata* Cvetkoska in neighbouring Lake Prespa, and it may also show synchronous change with very small *Cyclotella sensu lato* species (e.g. Rühland et al., 2008). Thus, it is



not surprising that *C. ocellata* and *C. minuscula* concur to respond to enhanced anthropogenic nutrient input during this period. There is strong palynological evidence for catchment deforestation from core Lz1120 and Lake Prespa core Co1215 (Wagner et al., 2009; Panagiotopoulos et al., 2013). There is no definitive evidence for diatom
<sup>5</sup> response to known late-Holocene climatic events such as the Medieval Warm Period (MWP) or the Little Ice Age (LIA). If a stong MWP did occur, anthropogenic nutrient input to the modern lake was sufficient to override temperature-induced nutrient limitation in the epilimnion. With maintained external nutrient input, the implications for future climate warming are that loss of epilimnetic diversity would not occur. Instead, the main threat to Lake Ohrid is probably eutrophication, resulting in the invasion of non-native taxa.

#### 6 Conclusions

This study provides a detailed picture of diatom response to Lateglacial and Holocene climate and environmental change in Lake Ohrid, based on diatom analysis of core
<sup>15</sup> Co1262 and comparison with sedimentological and geochemical data from the same core, with extant low-resolution diatom data from cores Lz1120 and Co1202 and with palynological evidence for catchment vegetation change. Since most Mediterranean lakes are relatively shallow, with a strong diatom response to moisture availability rather than temperature, this study is important in demonstrating a complex diatom response to temperature in deep, oligotrophic Lake Ohrid, with a direct response to temperature-related stratification/mixing regime and epilimnetic nutrient availability.

During the Lateglacial (ca. 12300–11800 cal yr BP), the low-diversity dominance of hypolimnetic *C. fottii* indicates low temperature-dependent lake productivity. Dur-<sup>25</sup> ing the earliest Holocene (ca. 11800–10600 cal yr BP), although the slight increase in small, epilimnetic *C. minuscula* probably represents climate warming and enhanced thermal stratification, diatom concentration is as low as during the Lateglacial, in-



dicating that temperature increase was muted. The early Holocene (ca. 10600-8200 calyr BP) marked a sustained increase in epilimnetic taxa, with mesotrophic C. ocellata indicating high temperature-induced lake productivity between ca. 10600-10 200 cal yr BP and between ca. 9500-8200 cal yr BP, and with C. minuscula in re-

- sponse to low nutrient availability in the epilimnion between ca. 10 200-9500 cal yr BP. During the mid Holocene (ca. 8200–2600 calyr BP), in spite of high temperature, anomalously low C. ocellata abundance is probably a response to epilimnetic nutrient limitation, while relatively high abundance of mesotrophic S. transylvanicus indicates high temperature-induced productivity in the hypolimnion. During the late Holocene
- (ca. 2600–0 calyr BP), high abundance but fluctuating composition of epilimnetic taxa 10 is largely a response to enhanced anthropogenic nutrient input.

Author contributions. B. Wagner, M. J. Leng and J. M. Reed designed this research in the SCOPSCO project. X. S. Zhang performed diatom analysis. X. S. Zhang and J. M. Reed interpretated diatom data by comparison with other proxy data. A. Francke and B. Wagner developed age model. A. Francke and B. Wagner generated and interpretated sedimento-15 logical and geochemical data (sedimentation rate, potassium concentration, and calcite content). J. H. Lacey and M. J. Leng generated and interpretated geochemical data (total organic carbon content, hydrogen index, and oxygen index). Z. Levkov provided developments in diatom taxonomy. X. S. Zhang wrote the paper under supervision of J. M. Reed with editorial comments from all co-authors.

Acknowledgements. The study was funded by a University of Hull-China Scholarship Council (CSC) PhD Scholarship to X. S. Zhang. It is part of the project Scientific Collaboration on Past Speciation Conditions in Lake Ohrid (SCOPSCO), supported by the International Continental Scientific Drilling Program (ICDP), the German Federal Ministry of Education and Research

- (BMBF), the German Research Foundation (DFG), the University of Cologne, the British Geo-25 logical Survey (BGS), the Italian National Institute of Geophysics and Volcanology (INGV), the Italian National Research Council (CNR), and the Governments of the Republics of Macedonia (FYROM) and Albania. Many thanks are due to Jens Holtvoeth, Klaus Reicherter and Daniel Ariztegui for discussions of human impacts and adaption in the 4th SCOPSCO workshop in
- Hull, UK. Thanks are also due to Aleksandra Cvetkoska, Elena Jovanovska and Aleksandar 30 Pavlov for discussions of diatom taxonomy.



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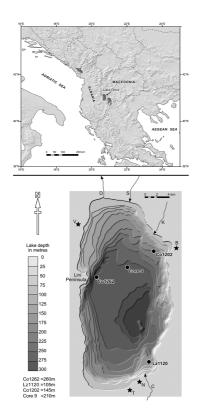
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# **Table 1.** Age estimates for core Co1262. The calibration of radiocarbon ages into calendar ages is based on Calib 7.0.2 (Stuiver and Reimer, 1993) and IntCal13 (Reimer et al., 2013) and on a $2\sigma$ uncertainty.

Core depth (cm)	Lab code	Material	Radiocarbon age ( <sup>14</sup> CyrBP)	Calendar age (cal yr BP)
17	COL 1251.1.1	terrestrial plant remains	$164 \pm 20$	$140 \pm 145$
122		the AD 472/512 tephra		1478/1438
240	COL 1735.1.1	terrestrial plant remains	$2176 \pm 46$	$2190 \pm 140$
315		the FL tephra		$3370 \pm 70$
318	COL 1736.1.1	terrestrial plant remains	$3280 \pm 45$	$3510 \pm 110$
335	COL 1737.1.1	terrestrial plant remains	3581 ± 40	3850 ± 130
368	COL 1738.1.1	terrestrial plant remains	$4370 \pm 44$	$5030 \pm 190$
503		the Mercato tephra		$8890 \pm 90$
548	COL 1243.1.1	fish remains	$10492\pm37$	$12400 \pm 190$ (rejected)





**Figure 1.** Map showing the location of Lake Ohrid (Macedonia/Albania) and the coring sites Co1262 (this study; Wagner et al., 2012; Lacey et al., 2014), Lz1120 (Wagner et al., 2009), Co1202 (Vogel et al., 2010; Reed et al., 2010; Cvetkoska et al., 2012), and Core 9 (Roelofs and Kilham, 1983). *Arrows* indicate main river flows (C = Cerava River, K = Koselska River, S = Sateska River, D = Crni Drim River) and *asterisks* indicate major springs (N = Sveti Naum, T = Tushemisht, B = Biljana, V = Dobra Voda). Modified from Reed et al. (2010).



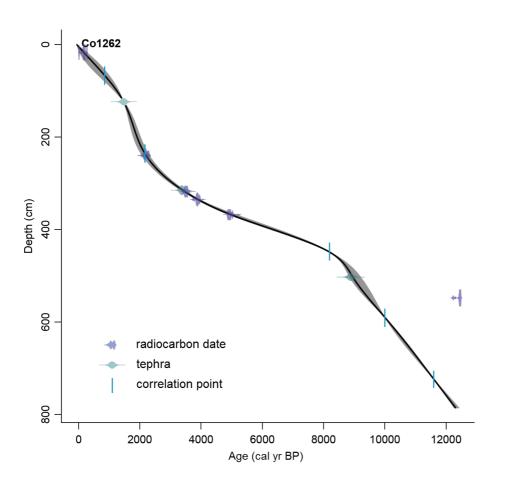
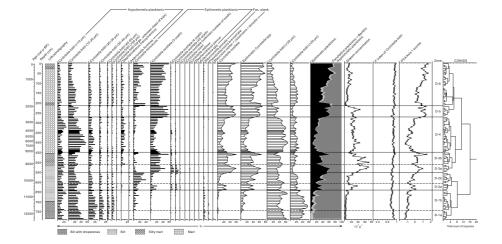


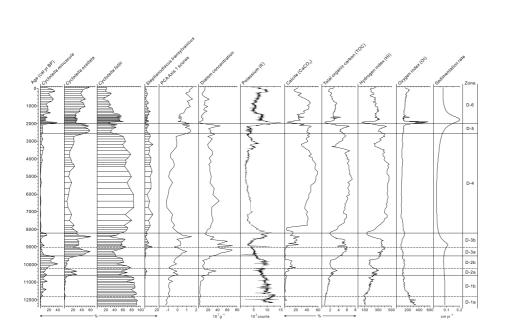
Figure 2. Age-depth model of core Co1262 (modified from Lacey et al., 2014).





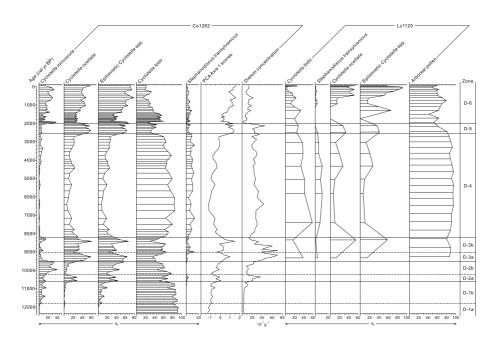
**Figure 3.** Summary diatom diagram of relative abundance of planktonic and facultative planktonic species from core Co1262, showing lithostratigraphy (modified from Wagner et al., 2012), diatom concentration, *C. fottii F* index values, and principal components analysis (PCA) Axis 1 scores.





**Figure 4.** Comparison of diatoms in core Co1262 with sedimentological and geochemical data from the same core. Calcite  $(CaCO_3)$  content and potassium (K) concentration are from Wagner et al. (2012), and total organic carbon (TOC) content, hydrogen index (HI) and oxygen index (OI) are from Lacey et al. (2014).





**Figure 5.** Comparison of diatoms in core Co1262 with diatom and palynological data from core Lz1120, southeastern Lake Ohrid (Wagner et al., 2009).

